# Response to the Reviews on "Bias Correction of Gauge-based Gridded Product to Improve Extreme Precipitation Analysis in the Yarlung Tsangpo-Brahmaputra River Basin" (nhess-2019-327)

#### Responses to Reviewer 1

1. I have carefully read the article: "Bias correction of gauge-based gridded product to improve extreme precipitation analysis in the Yarlung Tsangpo-Brahmaputra River Basin." By Luo et al. While I find that the results of the authors are interesting, I don't quite see how they amount to novel and publishable results as they stand. I should stress that my field of research, in the strictest sense, is bias correction of hydrological data from regional climate models for use in impact model forecasts. So, although I am well informed in matters concerning bias correction of observations, there may be something in the significance of this article that I am not quite understanding.

The authors are using two sources of non-gridded observational data (NMIC and GHCN) to bias correct APHRODITE which is a gridded observational dataset. They use 4 well established Bias Correction (BC) methods. The first two are essentially multiplicative correction factors. They differ in that the second uses a wet-day correction. The third is a variational method, fitted to correct mean and variance, and the last is a parametric Quantile Mapping BC. All these methods are well established, their pros and cons are well studied.

As far as I can see, the authors use all the available non-gridded data to correct the APHRODITE data-set, and then examine the effects of the different BC methods against the very same non-gridded data-set that was used for BCing. This implies that all the comparative results (section: "Evaluation of APHRODITE estimates") are only demonstrative of the mathematical construction of the BC methods and not of any increase in the skill of the corrected APHRODITE data. In simple words, if you bias correct a model to an observation, then, trivially, it looks like that observation. In climate forecasting, one uses past observations and hindcasts to calibrate the BC method and, subsequently, applies the results to bias correct future climate simulations. To validate the BC method one divides the observations into two periods and uses one for correction and one for validation. The studies I have reviewed where observations are bias-corrected, usually divide their observations into two groups as well, one for correction and one for validation, alternatively they sometimes use a leave one-out cross-validation method. Again, unless I missed something, the

comparisons of extreme events indexes between corrected and raw APHRODITE, while insightful, doesn't tell us anything about which one is better since we do not have observations of extreme event statistics from the non-gridded data.

In conclusion, I suggest that the authors extend their work to validate the bias-corrected APHRODITE against observations that were not used in the calibration process and then resubmit their work. Below are line-by-line comments the authors may find useful.

Response: Thank you for your comments concerning our manuscript entitled "Bias correction of gauge-based gridded product to improve extreme precipitation analysis in the Yarlung Tsangpo-Brahmaputra River Basin". Those comments are all valuable and very helpful for revising and improving our paper, as well as the important guiding significance to our researches. We have studied comments carefully and have extended our work and made corrections.

As you pointed out, the observations are usually divided into two periods to validate the bias correction, and one is applied for correction and the other for validation. However, GHCN-Daily records used in this study are mostly short and incomplete, and it is difficult to divide these short records into two groups. Alternatively, a leave one-out cross-validation method could also be used to validate bias correction. To improve our manuscript, we have used this method to compare extreme events indexes between corrected and original APHRODITE estimates. The observations in each one of the rainfall stations were leaved and applied to calculate extreme precipitation indices alternately for validation. The observations in all other rainfall stations were used for bias correction and extreme precipitation analysis, and extreme precipitation indices in the rainfall station for validation were obtained from interpolation and then compared with the results calculated from observations. A new figure named "Mean error of extreme precipitation indices for leave one-out cross-validation in the YBRB" was added. By using leave one-out cross-validation, the comparisons of extreme events indexes among raw APHRODITE estimates and different corrected APHRODITE estimates could be more reliable, and QM was proved to perform better than the other 3 methods.

2. Line 30 to 32: I do not think the authors have proven this statement: "Bias correction [...] greatly improves the performance of extreme precipitation analysis".

Response: After using leave one-out cross-validation, it could be found that bias correction greatly improves the performance of extreme precipitation analysis.

3. Line 36 to 38: I do not see how the results, since they are not cross-validated, help select a bias correction method. Moreover, there are many more bias correction methods available in the literature than those mentioned in this article. See Teutschbein and Seibert 2012 or Cannon et al. 2015

Cannon, A, et al. Bias Correction of GCM Precipitation by Quantile Mapping: How Well Do Methods Preserve Changes in Quantiles and Extremes?, Alex J. Cannon\*, Stephen R. Sobie, and Trevor Q. Murdock, Pacific Climate Impacts Consortium, University of Victoria, Victoria, British Columbia, Canada. https://doi.org/10.1175/JCLI-D-14-00754.1

Response: The results has been further cross-validated, which could help select a bias correction method.

In the paper of "Bias correction of regional climate model simulations for hydrological climate-change impact studies: Review and evaluation of different methods" written by Teutschbein and Seibert, 6 bias correction methods were used to adjust RCM simulations: (1) linear scaling, (2) local intensity scaling, (3) power transformation, (4) variance scaling, (5) distribution transfer, and (6) delta-change approach. Among them, variance scaling was only applied to correct temperature, while delta-change approach only correct precipitation for the future scenario. Except them, the other 4 methods were all used in this study.

In the paper of "Bias Correction of GCM Precipitation by Quantile Mapping: How Well Do Methods Preserve Changes in Quantiles and Extremes", 3 bias correction methods were used to adjust RCM simulations: (1) quantile mapping (QM), (2) quantile delta mapping (QDM), and detrended quantile mapping (DQM). QM has been used in this study. The QDM preserves model-projected relative changes in quantiles, while at the same time correcting systematic biases in quantiles of a modeled series with respect to observed values. While DQM incorporates additional information about the climate model outputs in the projected period. As QDM and DQM are used to correct systematic distributional biases in precipitation outputs from climate models, we have not used them to correct APHRODITE estimates.

4. Line 90: As explained above, I do not think the authors "evaluated" as much as "described" their performance.

Response: We have further evaluated the performances of bias corrections using leave one-out cross-validation method.

5. Line 125: The sentence: "The ratios of rainfall observations..." and the sentence after are unclear. Response: We have modified the sentence. The rainfall observations that had undergone quality control were gathered, and the ratios of rainfall observations to the world climatology were calculated and then interpolated for each month.

6. Line 146: I find the indexing not to be exhaustively clear. Is Pobs a station data value? Has the corresponding PAPH been interpolated or vice versa?

Response: We have modified the statement about the variables. Pobs is the daily precipitation at rainfall station, and PAPH is the daily precipitation of the APHRODITE estimate at corresponding grid.

7. Line 153: I know what a wet day correction is but I doubt anyone who does not would understand this sentence.

Response: We have modified the statement. Firstly, an adjusted precipitation threshold is determined so that the number of days exceeding this threshold for APHRODITE estimates matches that of observed days with precipitation larger than 0 mm.

8. Line 172: Why show the Gamma density if you are fitting the CDF? Indeed, why write a generic functional form at all? What do the authors mean by "matched"? Is it "fitted"?

Response: The CDF of Gamma distribution is not integrabel, and we did not show it. The Gamma distribution has been proven to be effective in precipitation analysis, and this form was used in other papers. Besides, we have modified statement about quantile—quantile mapping. The cumulative density function (CDF) of the APHRODITE estimates is adjusted to agree with that of the observation, and the daily precipitation for APHRODITE estimates is corrected depending on its quantile.

9. Section 2.3.2: I do not see the need for 5 different error measurements.

Response: As leave one-out cross-validation method was used, we have deleted the section of

evaluation of original and corrected APHRODITE estimates. By comparing with the extreme

precipitation indices obtained from observation, mean error (ME) was used to evaluate the

performances of original and corrected APHRODITE estimates on extreme precipitation analysis.

10. Line 203 to 204: IDW has serious effects on extreme value distributions. The authors should

compare what the distributions look like before and after interpolation.

Response: We selected IDW to interpolate extreme precipitation indices due to its deterministic

feature. Interpolation methods can be divided into exact interpolation and approximate interpolation.

When exact interpolation (such as IDW) is used, interpolation surface moves exactly through each

of the points, while the surface of approximate interpolation dose not. In the Yarlung Tsangpo-

Brahmaputra River Basin, influenced by complex topography, extreme precipitation vary greatly.

The application of IDW could ensure that the interpolated results equal to the values in the sample

points so that extreme precipitation indices would be more reliable. We have used leave one-out

cross-validation to compare observations and the results obtained by interpolation.

11. Section Results: As explained above, results in section 3.1 are unsurprising, while section 3.2

are not clearly useful to APHRODITE data users.

Response: Leave one-out cross-validation was used to better compare the performance of different

bias correction methods. To make the results more useful to APHRODITE data users, some

characteristics of APHRODITE estimates were summarized, and the advantage of different bias

correction methods were also further analyzed.

12. Line 250 to 251: I do not see how the authors can say this.

Response: We have deleted this sentence.

13. Section Conclusions: The authors draw three conclusions and, in the strictest sense, I agree with

all of them. This is because the first conclusion is unsurprising while the last two are couched as

possibilities instead of results. I refer to language such as: ". . . is expected to perform better in

extreme precipitation analysis" and "extreme precipitation may be greatly improved". While I

absolutely agree with these two statements, they are not novel.

Response: The statement of conclusions were modified. Thanks for all of your suggestions.

#### **Responses to Reviewer 2**

#### 1. General comments

The paper by Luo X. and al propose to compare the performance of four bias correction methods (Linear Scaling, Local Intensity Scaling, Power transformation and Quantile Mapping) of daily precipitations during 1951-2015 over Yarlung Tsangpo-Brahmaputra River Bassin (YBRB). The data to correct comes from the gridded APHRODITE dataset, and the reference dataset are sparse observations from meteorological stations.

In the first section the dataset and methods are introduced, but it is not clear what time series is matched between model and observations? The observations are interpolated? Aggregated?

Strangely, the authors can compute the error due to biased correction method between corrected dataset and observations used as reference, but not for extremal indexes. So the final section discussed the influence of bias correction but can not check the quality of the correction itself. Furthermore, a classic way to test of a bias correction is the cross validation step. (cut a least the dataset into calibration and validation period, and swap its), not used here.

In the state of the paper, I can recommend the publication only after major revisions taking into accounts (at least) of my comments, detailed below.

Response: Thank you for your comments concerning our manuscript entitled "Bias correction of gauge-based gridded product to improve extreme precipitation analysis in the Yarlung Tsangpo-Brahmaputra River Basin". We have revised the manuscript according to your kind advices and detailed suggestions.

We have added statements about the calculation and interpolation of extreme precipitation indices. This study associated the observation at rainfall stations with the APHRODITE estimates according to the location and observation time. In the grids distributed with rainfall stations, the parameters of bias corrections were determined using corresponding available rainfall observations. APHRODITE estimates during 1951–2015 in these grids were corrected by 4 bias correction methods, respectively. After that, extreme precipitation indices for corrected APHRODITE estimates in the grids

distributed with rainfall stations were calculated. To obtain extreme precipitation indices in other grids with no rainfall station distributed, spatial interpolation was performed using inverse distance weighted (IDW) interpolation.

To improve the study, we have used a leave one-out cross-validation method to further discuss the quality of the different bias correction methods and the influence of bias correction on extreme precipitation analysis. The observations in each one of the rainfall stations was leaved and used to calculate extreme precipitation indices alternately for validation. The observations in all other rainfall stations were used for bias correction and extreme precipitation analysis, and extreme precipitation indices in the rainfall station for validation were obtained from interpolation and compared with the results calculated from observations. A new figure named "Mean error of extreme precipitation indices for leave one-out cross-validation in the YBRB" was added.

#### 2. Specific comments

(1) Section 2.2 At the end of this section, you have two dataset, APHRODITE (to correct) and some observations at stations. The stations, considering the Fig. 1 does not match with the grid of APHRODITE, so how do you associate the time series to be correct with the reference time series? At the end of this section we need to know exactly what is the biased dataset X matched with the observations Y.

Response: We have added statements about the bias correction on APHRODITE estimates and calculation of extreme precipitation indices. This study associated the observation at rainfall stations with the APHRODITE estimates according to the location and observation time. In the grids distributed with rainfall stations, the parameters of bias corrections were determined using corresponding available rainfall observations. After that, APHRODITE estimates during 1951–2015 in these grids were corrected by 4 bias correction methods, respectively.

(2) Section 2.3 Related to previous questions, I am not sure to understand exactly what is Pobs and Paph. For example: Paph is a time series of APHRODITE at a grid point and Pobs is the interpolation of observations to correspond to the grid of APHRODITE? Or you aggregate all data in your three zones TP; HB and FP?

Response: We have modified the explanation about the variables. Pobs is the observation at rainfall

station, while PAPH is APHRODITE estimate at corresponding grid.

(3) Section 2.3 For the quantile mapping, how do you fit the Gamma distribution? MLE? Moments? What is the error of the fit? (I think the error of quantile mapping comes from also from the error of the Gamma model)

Response: For the quantile mapping, we fit the Gamma distribution by maximum likelihood estimates. Though the Gamma distribution has been proven to be effective in precipitation analysis, error could be caused by the Gamma model.

(4) Section 3.2.1 This section is based on the description of Fig. 3, which is not readable, please remove the colormap of topography and increase the size.

Response: As the topography in this figure is used to analyze the impacts of the Himalayas on the spatial distribution of extreme precipitation, we have not removed the colormap of topography. To make this figure more readable, we have modified the transparency of the colormap of topography, and the colors of isolines were also changed. Besides, we have increased the size of this figure.

(5) Section 3.2.2 to end of section 3 You compare the original and bias corrected dataset. But without reference, how can you assess an improvement or a degradation by the bias correction method? You can investigate the effect of bias correction, but not the quality of the correction.

Response: We have added the analysis on leave one-out cross-validation and modified section 3.2. By using leave one-out cross-validation, we studied the quality of different bias correction on extreme precipitation analysis. Thanks for all of your suggestions.

1	Title
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3	Yarlung Tsangpo-Brahmaputra River Basin
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Abstract. Critical gaps in the amount, quality, consistency, availability, and spatial distribution of rainfall data limit extreme precipitation analysis, and the application of gridded precipitation data are challenging because of their considerable biases. This study corrected Asian Precipitation Highly Resolved Observational Data Integration Towards Evaluation of Water Resources (APHRODITE) estimates in the Yarlung Tsangpo-Brahmaputra River Basin (YBRB) using two linear and two nonlinear methods, and their influence on extreme precipitation indices were assessed by leave one-out cross-validation. Bias correction greatly improved the performance of extreme precipitation analysis. The ability of four methods to correct the wet-day frequency and coefficient of variation were substantially different, leading to considerable differences in extreme precipitation indices. Higher-skill bias-corrected APHRODITE data are expected to perform better than those corrected by lower-skill approaches. This study would provide reference for using gridded precipitation data in extreme precipitation analysis and selecting bias-corrected method for rainfall products in data-sparse regions.

# 1 Introduction

Extreme precipitation often leads to floods, debris flows, and other secondary disasters (Wang et al., 2017), and changes in the frequency and intensity of extreme precipitation profoundly influence both natural environment and human society profoundly (Easterling et al., 2000; Yucel and Onen, 2014). Rainfall observations provide a primary foundation for comprehending their long-term variability and change in extreme precipitation (Alexander, 2016). Accurate rainfall data are necessary for flood protection and water resource management. However, due to scarce spatial coverage of rainfall stations, short-length rainfall records, and high proportions of missing data,

observations currently available in some remote basins are clearly inadequate to capture their precipitation characteristics. In addition, observed rainfall data are usually difficult to collect in international river basins because many countries may not share or freely distribute data (Lakshmi et al., 2018).

The Yarlung Tsangpo-Brahmaputra River is the fourth largest river in the world in terms of

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flow (Kamal-Heikman et al., 2007), which is influenced profoundly by complex atmospheric dynamics and regional climate processes (Immerzeel et al., 2010; Pervez and Henebry, 2015). Because its agriculture and economy rely heavily on monsoon precipitation, the basin is particularly vulnerable to changing climate (Singh et al., 2016; Liu et al., 2018; Janes et al., 2019; Xu et al., 2019; Zhang et al., 2019). During the four summer monsoon months of June, July, August, and September (JJAS), extreme precipitation with large uncertainties leads to numerous floods (Kamal-Heikman et al., 2007; Dimri et al., 2016; Malik et al., 2016). However, the understanding on extreme precipitation in the Yarlung Tsangpo-Brahmaputra River Basin (YBRB) have a number of gaps because of its complex topographic interactions with atmospheric flows, lack of observations, and data sharing issues, which hinder effective flood management (Ray et al., 2015; Prakash et al., 2019). Currently, different gridded rainfall products provide effective information over regional to global scales, which could be broadly classified into four categories: (1) gauge-based data sets that build on observations from rainfall stations; (2) products from numerical weather predictions or atmospheric models; (3) satellite-only products; and (4) combined satellite-gauge products. The performance of these products varies from region to region (Duan et al., 2016). Given the heterogeneity of orography and climate in the YBRB, observing and modeling its precipitation are very challenging (Khandu et al., 2017). In addition, satellite products are less reliable because high

convective rainfall generally takes place in the southern foothills of the Himalayas (Prakash et al., 2015). Compared with some other gauge-based products, Asian Precipitation Highly Resolved Observational Data Integration Towards Evaluation of Water Resources (APHRODITE) dataset collected more rainfall observations across South Asia (Rana et al., 2015), which have been proved could better estimate spatial precipitation (Andermann et al., 2011). Nonetheless, the lack and uneven distribution of rainfall stations at high altitudes in the Tibetan Plateau and Himalayas may introduce uncertainty and affect the accuracy of APHRODITE estimates (Rana et al., 2015; Chaudhary et al., 2017).

Numerous rainfall observations can be obtained from public databases, although their short record and static character limit their direct application in precipitation analysis (Donat et al., 2013).

record and static character limit their direct application in precipitation analysis (Donat et al., 2013). However, these data could be useful for bias correction of gauge-based gridded products by providing additional observations from the denser network of rainfall stations. On the other hand, ranging from simple linear scaling to more sophisticated nonlinear approaches, several methods have been developed to adjust global climate model (GCM) data (Teutschbein and Seibert, 2012). Similarly, these bias correction methods could be applied to correct gridded rainfall products in sparsely-gauged mountainous basins (He et al., 2017). It is important to study whether extreme precipitation analysis could be improved by bias correction of gridded precipitation data and how different methods would influence extreme precipitation indices.

This study evaluated different bias correction approaches for APHRODITE estimates in the YBRB and assessed their effects on extreme precipitation analysis. We first corrected APHRODITE estimates by both linear and nonlinear methods. Next, we calculated extreme precipitation indices using original and different corrected APHRODITE estimates, and the effects of bias correction on

extreme precipitation analysis were further investigated by leave one-out cross-validation. The results would support reference for the application of gridded precipitation data and bias-corrected methods in extreme precipitation analysis.

# 2 Material and methods

# 2.1 Study area

The YBRB can be divided into three physiographic zones: (1) the Tibetan plateau (TP), covering 44.4% of the basin, with elevations above 3500 m; (2) the Himalayan belt (HB), accounting for 28.6% of the basin, with elevations ranging from 100 m to 3500 m; and (3) the floodplains (FP), covering 27.0% of the basin, with elevations up to 100 m (Immerzeel, 2008).

The moisture in the YBRB is mainly from the Indian Ocean. The YBRB exhibits a broad range of precipitation from the semi-arid upstream areas to the HB characterized by abundant orographic rainfall as well as the vast humid FP. In the upstream areas, precipitation is concentrated during JJAS, and rainfall intensity is mostly low due to long-distance moisture transport (Guan et al., 1984). The irregular topographic variations in the Himalayas profoundly affect the spatial distribution of precipitation by altering monsoonal flow, producing intense orographic rainfall along the Himalayan foothills (Khandu et al., 2017). The downstream areas also receive high rainfall from monsoon flow during JJAS, accounting for 60%–70% of the annual rainfall (Gain et al., 2011).

#### 2.2 Data sources

# 2.2.1 Observational data

In the upper YBRB, rainfall data across China recorded at 31 meteorological stations were

collected from the National Meteorological Information Center (NMIC, sourced from the China Meteorological Data Sharing Service System). In addition, data observed at 91 rainfall stations in the downstream area were obtained from the Global Historical Climatology Network (GHCN)—Daily dataset for bias correction. GHCN-Daily dataset comprises observations from four sources, which have been undergone extensive quality reviews, including the U.S. Collection, the International Collection, the Government Exchange Data, and the Global Summary of the Day. The locations of rainfall stations are shown in Fig. 1.

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# 2.2.2 APHRODITE estimates

Numerous rainfall observations were incorporated into APHRODITE estimates, including (1) Global Telecommunication System (GTS)-based data, (2) data obtained from other projects or organizations, and (3) their own collection. The rainfall observations that had undergone quality control were gathered, and the ratios of rainfall observations to the world climatology were calculated and then interpolated for each month. The interpolated ratios were multiplied by the world climatology, and the first six components of the fast Fourier transform of the resulting values were used to obtain daily precipitation (Yatagai et al., 2012). of APHRO MA 025deg V1101 Daily rainfall data (http://aphrodite.st.hirosakiu.ac.jp/index.html) at 0.25° resolution in the Asian monsoon area end in 2007, while recently published APHRO\_MA\_025deg\_V1101EX\_R1 (http://aphrodite.st.hirosaki-u.ac.jp/index.html), using the same algorithm and spatial resolution, extend the time series over the period 2007–2015. Therefore, extreme precipitation could be analyzed during 1951–2015 by applying both datasets. To investigate the influence of topography on bias-corrected APHRODITE estimates, the grids were classified into three topographic zones (the TP, HB, and FP; Fig. 2).

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#### 2.3 Methods

#### 2.3.1 Bias correction methods

- 137 Two linear methods (linear scaling (LS) and local intensity scaling (LOCI)) and two non-linear methods (power transformation (PT) and quantile-quantile mapping (QM)) were used for bias 138 139 correction in this study.
- 140 (1) LS
- 141 LS corrects monthly estimates in accordance with observations (Lenderink et al., 2007). It corrects APHRODITE estimates using the ratio between mean monthly observation and 142 143 corresponding estimation:

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$$P_{APH}^{*}(d) = P_{APH}(d) \cdot \left[ \frac{\mu_{m} \left( P_{obs}(d) \right)}{\mu_{m} \left( P_{APH}(d) \right)} \right] \tag{1}$$

- where  $P_{APH}^*(d)$  and  $P_{APH}(d)$  are the daily precipitation of corrected and original APHRODITE estimate, respectively, and  $P_{obs}(d)$  is the daily precipitation observed at the rainfall station in corresponding grid of the APHRODITE estimate.  $\mu_{\scriptscriptstyle m} ig( P_{\scriptscriptstyle obs} (d) ig)$  and  $\mu_{\scriptscriptstyle m} ig( P_{\scriptscriptstyle APH} (d) ig)$  are the mean monthly precipitation of observations and corresponding APHRODITE estimates in the mth month, respectively.
- (2) LOCI 150
- LOCI makes a flexible adjustment to the wet-day frequency and intensity (Schmidli et al., 2006; 152 Teutschbein and Seibert, 2012). Firstly, an adjusted precipitation threshold ( $P_{th,APH}$ ) is determined so 153 that the number of days exceeding this threshold for APHRODITE estimates matches that of 154 observed days with precipitation larger than 0 mm. Secondly, a linear scaling factor (s) for wet days

is computed:

- where  $\mu_{m}(P_{obs}(d)|P_{obs}(d)>0 \text{ mm})$  is the mean monthly precipitation of observations with daily
- precipitation larger than 0 mm, and  $\mu_{m}\left(P_{APH}\left(d\right)\middle|P_{APH}\left(d\right)>P_{th,APH}\right)$  is the mean monthly precipitation
- of APHRODITE estimates with daily precipitation larger than  $P_{h,APH}$ . Finally, the precipitation data
- are corrected, using:

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$$P_{APH}^{*}(d) = \max(s \cdot (P_{APH}(d) - P_{th,APH}), 0)$$
 (3)

- 162 (3) PT
- PT corrects both the mean and the coefficient of variation of precipitation (Leander and
- Buishand, 2007), changing precipitation by:

$$P_{APH}^*(d) = a \cdot \left(P_{APH}(d)\right)^b \tag{4}$$

- where a and b are the parameters of the power transformation, which are obtained using a
- distribution-free approach and estimated for each month within a 90-day window. Using a root-
- finding algorithm, the value of b is firstly determined to ensure that the coefficient of variation of
- the corrected estimates matches that of the observations. The parameter a is then calculated using
- the mean observation and the corresponding mean of the transformed values.
- 171 (4) QM
- By shifting occurrence distributions, QM corrects the distribution function of precipitation
- estimates to match that of observations, which is commonly used in correcting systematic
- 174 distributional biases (Cannon et al., 2015). A Gamma distribution is usually assumed for
- precipitation events (Teutschbein and Seibert, 2012):

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$$f_{\gamma}(x|\alpha,\beta) = x^{\alpha-1} \cdot \frac{1}{\beta^{\alpha} \cdot \Gamma(\alpha)} \cdot e^{-\frac{x}{\beta}}; x \ge 0; \alpha,\beta > 0$$
 (5)

where  $\alpha$  and  $\beta$  are the shape parameter and scale parameter, respectively.

The cumulative density function (CDF) of the APHRODITE estimates is adjusted to agree with that of the observation, and the daily precipitation for APHRODITE estimates is corrected depending on its quantile. It should be noted that for APHRODITE estimates, many days had low precipitation estimates instead of substantial dry conditions, which may distort the distribution of daily precipitation. Therefore, an adjusted precipitation threshold is also used to ensure the wet-day frequency of corrected APHRODITE estimates match the observed frequency:

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$$P_{APH}^{*}(d) = \begin{cases} 0, & \text{if } P_{APH}(d) < P_{th,APH} \\ F_{\gamma}^{-1} \left( F_{\gamma} \left( P_{APH}(d) \middle| \alpha_{APH,m}, \beta_{APH,m} \right) \middle| \alpha_{obs,m}, \beta_{obs,m} \right), & \text{otherwise} \end{cases}$$
 (6)

 $F_{\gamma}$  and  $F_{\gamma}^{-1}$  are the Gamma CDF and its inverse, respectively.  $\alpha_{APH,m}$  and  $\beta_{APH,m}$  are the shape parameter and scale parameter of original APHRODITE estimates in the *m*th month, respectively, and  $\alpha_{obs,m}$  and  $\beta_{obs,m}$  are those of observations in the *m*th month, respectively.

This study associated the observation at rainfall stations with the APHRODITE estimates according to the location and observation time. In the grids distributed with rainfall stations, the parameters of bias corrections were determined using corresponding available rainfall observations. After that, APHRODITE estimates during 1951–2015 in these grids were corrected by 4 bias correction methods, respectively. Hereafter, APHRODITE estimates corrected by LS, LOCI, PT, and QM are referred as LS-APHRODITE, LOCI-APHRODITE, PT-APHRODITE, and QM-APHRODITE estimates, respectively.

# 2.3.2 Indices of extreme precipitation

To characterize extreme precipitation during JJAS, six indices recommended by the Expert

Team on Climate Change Detection and Indices (ETCCDI), including consecutive wet days (CWD), number of heavy precipitation days (R10mm), number of very heavy precipitation days (R20mm), maximum 1-day precipitation amount (Rx1d), maximum 5-day precipitation amount (Rx5d), and simple daily intensity index (SDII), were applied in this study. Detailed descriptions of these indices are shown in Table 1. The indices fall roughly into three categories: (1) duration indices, which represent the length of the wet spell; (2) threshold indices, which count the days on which a fixed precipitation threshold is exceeded; (3) absolute indices, which describe the maximum 1-day or 5-day precipitation amount (Sillmann et al., 2013).

Extreme precipitation indices for corrected APHRODITE estimates in the grids distributed with rainfall stations were calculated. To obtain extreme precipitation indices in other grids with no rainfall station distributed, spatial interpolation was performed using inverse distance weighted (IDW) interpolation. This allowed us to calculate mean values for each of the three topographic zones.

#### 2.3.3 Leave one-out cross-validation

To validate the bias correction, the observations are usually divided into two periods, and one is used for correction and the other for validation. However, GHCN-Daily records used in this study are mostly short and incomplete, and it is difficult to divide these short records into two groups. Alternatively, a leave one-out cross-validation method could also be used to validate bias correction. The observations in each one of the rainfall stations were leaved and applied to calculate extreme precipitation indices alternately for validation. The observations in all other rainfall stations were used for bias correction and extreme precipitation analysis, and extreme precipitation indices in the

220	rainfall station for validation were obtained from IDW interpolation. By calculating mean error
221	(ME), these statistics were compared with those obtained from observation.
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223	3 Results
224	3.1 Extreme precipitation indices calculated from original and corrected APHRODITE
225	estimates
226	3.1.1 Extreme precipitation indices in the three physiographic zones
227	Extreme precipitation indices calculated from original and four corrected APHRODITE
228	estimates in the three different physiographic zones are shown in Fig. 3. The CWD estimated using
229	original APHRODITE and LS-APHRODITE estimates were similar. Meanwhile, those derived
230	from LOCI-, PT-, and QM-APHRODITE estimates were much less.
231	Mean R10mm during JJAS obtained by original APHRODITE estimates in the TP, HB, and FP
232	were 6.7, 31.0, and 47.7 days, respectively. These were similar to those estimated by bias-corrected
233	APHRODITE datasets. However, the differences in R20mm were much pronounced. Mean R20mm
234	in HB and FP for bias-corrected APHRODITE datasets were close to 19.0 and 26.5 days,
235	respectively, which were approximately 4-5 days higher than those derived from original
236	APHRODITE estimates.
237	Compared with original APHRODITE estimates, the Rx1d and Rx5d increased greatly after
238	bias correction. In the HB, the mean Rx1d obtained from original APHRODITE estimates was 49.5
239	mm, while those for LS-, LOCI-, PT-, and QM-APHRODITE estimates were 72.4, 90.1, 109.0, and
240	103.8 mm, respectively. In addition, the range of Rx1d and Rx5d also increased considerably.
241	The differences in SDII between original and corrected APHRODITE estimates were also

marked. For example, mean SDII in the FP calculated from original APHRODITE estimates was 13.4 mm. After correction, mean SDII for LOCI- and QM-APHRODITE estimates increased to 23.4 and 25.1 mm, respectively. These values were much greater than those derived from LS- and PT-APHRODITE datasets (15.7 and 17.7 mm).

# 3.1.2 Relative changes in extreme precipitation indices

The relative changes in extreme precipitation indices during JJAS based on original and corrected APHRODITE estimates are shown in Fig. 4. The CWD for LOCI-, PT-, and QM-APHRODITE estimates were all lower than original APHRODITE estimates, yielding relative change rates from –66% to –27%. This indicates bias corrections decreased the number of rainy days except LS. The variations in R10mm and R20mm illustrated that corrected APHRODITE estimates identified much more extreme precipitation events in the TP. The changes in indices varied considerably for different correction methods, with the change rates of R20mm in the TP for LS-, LOCI-, PT-, and QM-APHRODITE estimates being 30.4%, 169.2%, 297.1%, and 317.4%, respectively. For Rx1d, Rx5d, and SDII, the increases in the HB were much pronounced than those in the FP and TP. Except for LS-APHRODITE estimates, the increases in Rx1d and Rx5d in the HB were all above 70% for corrected APHRODITE estimates.

# 3.2 Influence of bias correction on extreme precipitation indices

# 3.2.1 Evaluation of extreme precipitation indices

- 262 The ME of extreme precipitation indices for leave one-out cross-validation are shown in Fig.
- 5. For original APHRODITE estimates, the ME of CWD in the TP, HB, and FP were 7.3, 22.3, and

23.8 days, respectively. There were a lot of days with low precipitation estimations instead of substantial dry conditions, leading to the overestimation on CWD. Likewise, this propagated to LS-APHRODITE estimates with similar ME of CWD, because there was no change made to the wetday frequency. In contrast, for both LOCI- and QM-APHRODITE estimates, these low precipitation days were redefined as dry days using precipitation threshold, resulting in much lower ME and more reliable CWD. Finally, although the PT did not correct wet-day frequency, the CWD for PT-APHRODITE estimates were lower because tiny precipitation were also corrected. Corrected APHRODITE estimates reduced error on R10mm except LS-APHRODITE estimates, and they also perform better on R20mm in the TP and FP than original APHRODITE estimates. The number of heavy and very heavy precipitation days could be effectively corrected by LOCI, PT, and QM. Original APHRODITE data tend to underestimate Rx1d and Rx5d, especially in the HB and TP, and the ME of Rx1d and Rx5d in the HB reached -64.3 and -130.5 mm. Corrected APHRODITE estimates improve the accuracy on Rx1d and Rx5d. LS and LOCI used consistent ratio in its linear transformation, resulting in underestimation on Rx1d, while PT and QM outperformed them. For Rx5d, the performances of LOCI, PT, and QM were similar. Original APHRODITE estimates greatly underestimated SDII. Firstly, original APHRODITE estimates tended to underestimate precipitation, resulting in high precipitation in the HB and TP not being fully captured. Secondly, original APHRODITE estimates overestimated wet days instead of substantial dry conditions, which distorted the estimation of precipitation intensity. Smaller error were found in LOCI- and QM-APHRODITE estimates because they correct rainfall amount as well

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# 3.2.2 Spatial distribution of extreme precipitation

Rainstorms over the lower YBRB usually have a duration of 2-3 days (Dhar and Nandargi, 2000), and large multi-day precipitation events are crucial to the floods in the basin. Hence, the spatial distribution of Rx5d during JJAS based on original APHRODITE estimates were compared with corrected APHRODITE estimates in Fig. 6. For original APHRODITE estimates, the area with Rx5d higher than 300 mm only accounted for 2.0% of the basin, while the proportions for LS-, LOCI-, PT-, and QM-APHRODITE estimates were 10.9%, 18.7%, 21.7%, and 21.3%, respectively. The most profound difference between original and corrected APHRODITE estimates occurred over the windward slopes of the Himalayas before the river flows into the Brahmaputra valley. The Rx5d calculated from original APHRODITE estimates were lower than 300 mm, while much higher Rx5d were obtained after bias correction, yielding maxima of 946.6, 1030.3, 1105.1, and 1396.6 mm for LS-, LOCI-, PT-, and QM-APHRODITE estimates, respectively. The eastern Himalayas, acting as orographic barriers, push the southwest moist air upwards, leading to heavier extreme precipitation over the windward slopes (Singh et al., 2004; Bookhagen and Burbank, 2010; Dimri et al., 2016). However, original APHRODITE estimates tended to substantially underestimate these extreme precipitation. Besides aforementioned region, higher Rx5d along the Himalayan front were also found after bias correction. In this case, extreme precipitation calculated from nonlinear approaches were heavier than those derived from linear methods. In general, bias correction are able to consider topographic effects on the spatial distribution of extreme precipitation more comprehensively.

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#### 4 Discussion

Using two linear and two bias nonlinear methods, we corrected APHRODITE estimates during JJAS in the YBRB to investigate the effects of different approaches on extreme precipitation analysis. Extreme precipitation indices were strongly dependent on the bias correction approach applied.

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A primary problem when using gauge-based gridded data sets for extreme precipitation analysis is the fundamental mismatch between point-based observations and gridded estimates (Alexander, 2016). In addition, the spatial coverage of rainfall stations is another major source of uncertainty, particularly where spatial distributions of precipitation are complex (Donat et al., 2013). There are currently several approaches for bias correction, ranging from simple linear scaling to more sophisticated nonlinear methods (Teutschbein and Seibert, 2012). Although mean precipitation corrected by all bias-corrected approaches were similar, their standard deviations and consequent extreme precipitation indices varied considerably. In the case of linear corrections, both mean and standard deviation are multiplied by same factor (Leander and Buishand, 2007), resulting in dubious variations of precipitation. Nonlinear corrections adjust mean and also coefficient of variation (Teutschbein and Seibert, 2012), yielding more reliable results. In addition, the typical biases of rainfall products are related to their identification of too many wet days with low-intensity precipitation. Among the four bias-corrected approaches applied herein, LS and PT make no change on the number of rainy days, while LOCI and QM use threshold exceedance to match the wet-day frequency to the observations. Overall, QM corrects most of the statistical characteristics, and therefore it is expected to perform better in extreme precipitation analysis.

In international river basins, rainfall data are usually not publicly available, and extreme precipitation analysis may suffer from data restrictions (Nishat and Rahman, 2009; Luo et al., 2019).

Several great international rivers in south Asia, including the Indus, Ganges, and Yarlung Tsangpo—Brahmaputra, originate from or flow through the Himalayas. Topographic variations of the Himalayas profoundly influenced the spatial distribution of precipitation by altering monsoonal flow, resulting in considerable orographic rainfall on the windward slopes (Khandu et al., 2017). Rainfall estimates of different products varied markedly along the Himalayan front and obtained similar results toward the adjacent low-relief domains (Andermann et al., 2011). The GHCN-Daily data can be applied to correct gauge-based gridded data sets in this region, ensuring these products capture the spatial distribution and variation of extreme precipitation. However, numerous GHCN-Daily records in Asia do not contain data from recent years, and the short or incomplete rainfall records limit their direct applications (Donat et al., 2013). Hence, it would be preferable to add spatial coverage in data-sparse regions by applying nonpublic datasets.

# 5 Conclusions

Despite increasing use of gridded rainfall products in sparsely gauged river basins, their application in extreme precipitation analysis is challenging due to considerable biases. This study made use of four methods to correct APHRODITE estimates in the YBRB. Their influences on extreme precipitation indices were compared and assessed. The following conclusions were drawn.

- (1) Insufficient gauge observations in the Himalayas caused high uncertainty in the heavy precipitation estimates for original APHRODITE estimates. After bias adjustment especially those of nonlinear correction, the heterogeneous orographic effects on extreme precipitation were captured more accurately.
  - (2) The extreme precipitation indices calculated from different corrected APHRODITE

352	estimates varied substantially, depending on correction method and location. Major dissimilarities			
353	were induced by wet-day frequency and standard deviation. Nonlinear correction methods adjust			
354	not only mean precipitation but also coefficient of variation, and QM further corrects probability of			
355	wet days, which perform better in extreme precipitation analysis in the YBRB.			
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357	Data availability. The co-authors used publicly available data from the Asian Precipitation Highly			
358	Resolved Observational Data Integration Towards Evaluation of Water Resources and the National			
359	Centers for Environmental Information. In addition, rainfall observations in China were obtained			
360	from the National Meteorological Information Center.			
361				
362	Author contributions. XL and YL conceived the study, XL and XF carried out bias correction and			
363	extreme precipitation analysis, XL drafted the paper, and all co-authors jointly worked on enriching			
364	and developing the draft.			
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366	Competing interests. The authors declare that they have no conflict of interest.			
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370	Science and Technology Planning Project of Yunnan Province, China (2017FB073).			
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**Table 1.** Detailed description of extreme precipitation indices.

**Table 1.** Detailed description of extreme precipitation indices.

Index	Descriptive name	Definition	Unit
CWD	Consecutive wet days	Maximum number of consecutive days with	days
CWD		precipitation ≥ 1 mm	
	Number of heavy precipitation days	Count of days when precipitation $\geq 10 \text{ mm}$	
R10mm		during June, July, August, and September	days
		(JJAS)	
R20mm	Number of very heavy precipitation	Count of days when precipitation $\geq 20 \text{ mm}$	days
K20IIIII	days	during JJAS	
Rx1d	Maximum 1-day precipitation	Maximum 1-day precipitation	mm
KXIU	amount		
Rx5d	Maximum 5-day precipitation	Maximum consecutive 5-day precipitation	mm
KXJU	amount		
	Simple daily intensity index	Total precipitation during JJAS divided by the	
SDII		number of wet days (when precipitation $\geq 1$	mm/day
		mm)	

497 Figure 1. Locations of rainfall stations in the Yarlung Tsangpo-Brahmaputra River Basin (YBRB). 498 Figure 2. Location of Asian Precipitation Highly Resolved Observational Data Integration Towards 499 Evaluation of Water Resources (APHRODITE) grids over the Tibetan plateau (TP), Himalayan belt 500 (HB), and floodplains (FP). 501 Figure 3. Box-whisker plot for (a) consecutive wet days (CWD), (b) number of heavy precipitation 502 days (R10mm), (c) number of very heavy precipitation days (R20mm), (d) maximum 1-day precipitation amount (Rx1d), (e) maximum 5-day precipitation amount (Rx5d), and (f) simple daily 503 504 intensity index (SDII) during June, July, August, and September (JJAS) in the three different 505 physiographic zones (the TP, HB, and FP) of the YBRB derived from original and corrected 506 APHRODITE estimates. 507 Figure 4. Relative change rate of (a) CWD, (b) R10mm, (c) R20mm, (d) Rx1d, (e) Rx5d, and (f) 508 SDII during JJAS for original and corrected APHRODITE estimates. 509 Figure 5. Mean error (ME) of extreme precipitation indices for leave one-out cross-validation in 510 the three different physiographic zones (TP, HB, and FP) of the YBRB. 511 Figure 6. Spatial distribution of mean Rx5d during JJAS in the YBRB based on (a) original 512 APHRODITE estimates, as well as (b) linear scaling (LS)-APHRODITE estimates, (c) local 513 intensity scaling (LOCI)-APHRODITE estimates, (d) power transformation (PT)-APHRODITE

estimates, and (e) quantile—quantile mapping (QM)-APHRODITE estimates.

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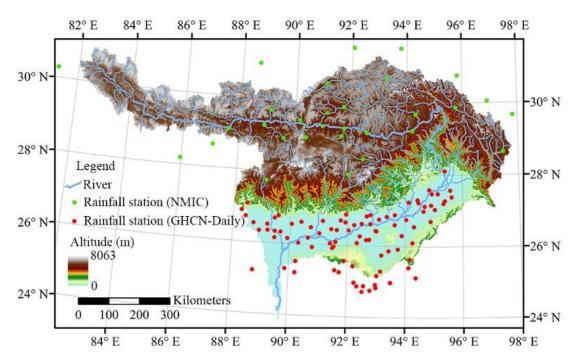
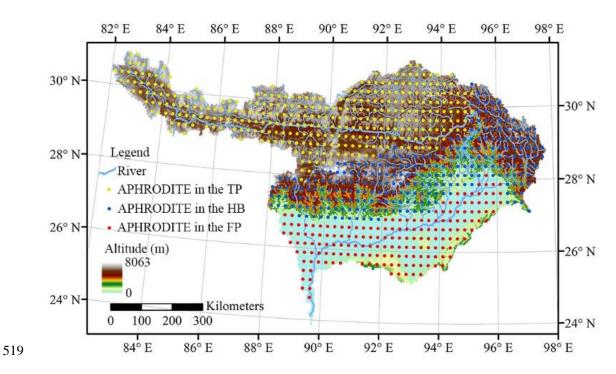


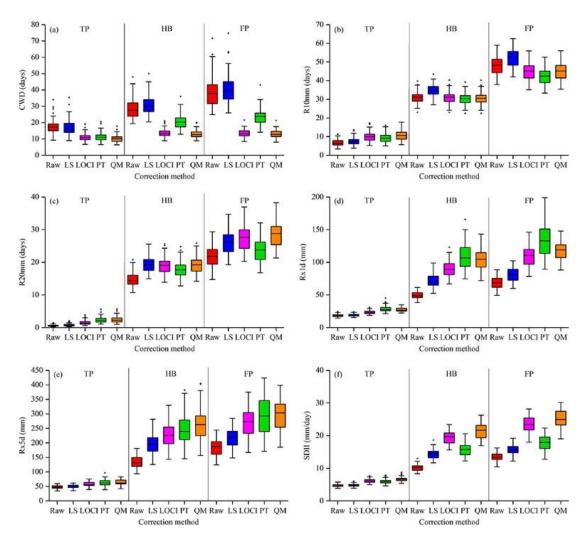
Figure 1. Locations of rainfall stations in the Yarlung Tsangpo-Brahmaputra River Basin (YBRB).



**Figure 2.** Location of Asian Precipitation Highly Resolved Observational Data Integration Towards

Evaluation of Water Resources (APHRODITE) grids over the Tibetan plateau (TP), Himalayan belt

(HB), and floodplains (FP).



**Figure 3.** Box-whisker plot for (a) consecutive wet days (CWD), (b) number of heavy precipitation days (R10mm), (c) number of very heavy precipitation days (R20mm), (d) maximum 1-day precipitation amount (Rx1d), (e) maximum 5-day precipitation amount (Rx5d), and (f) simple daily intensity index (SDII) during June, July, August, and September (JJAS) in the three different physiographic zones (the TP, HB, and FP) of the YBRB derived from original and corrected APHRODITE estimates.

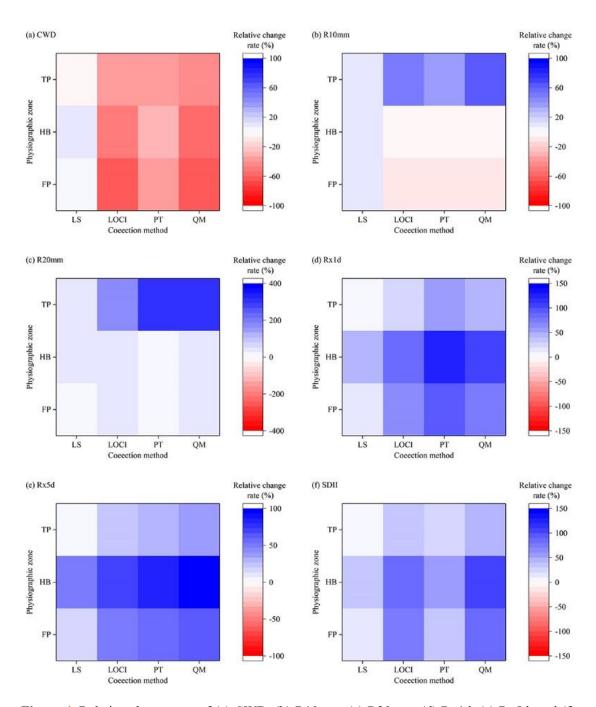
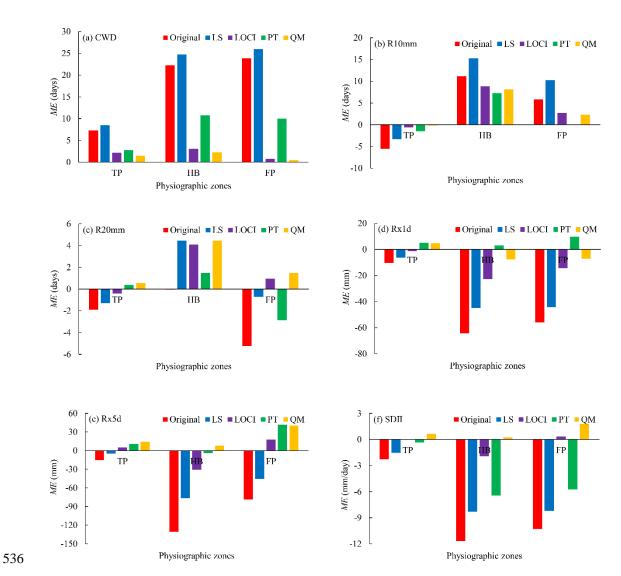


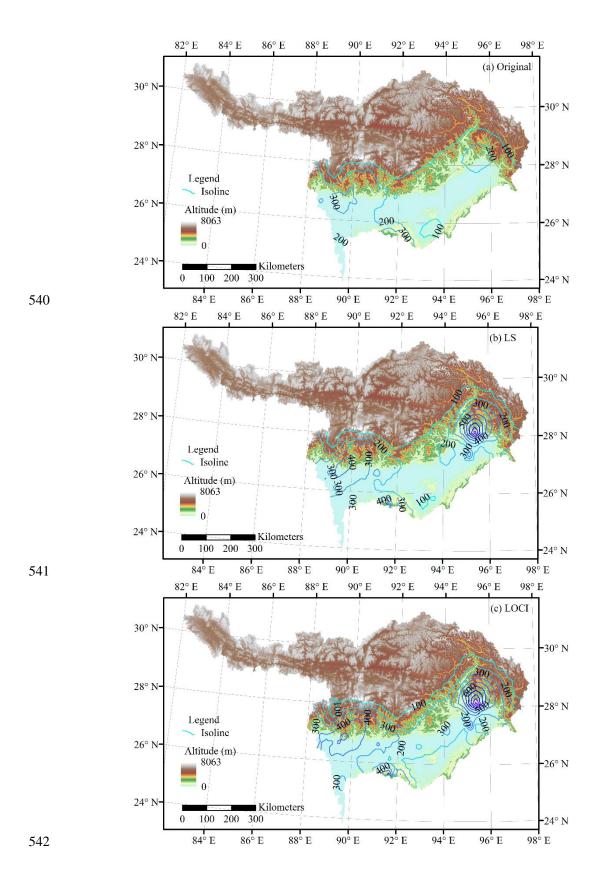
Figure 4. Relative change rate of (a) CWD, (b) R10mm, (c) R20mm, (d) Rx1d, (e) Rx5d, and (f)

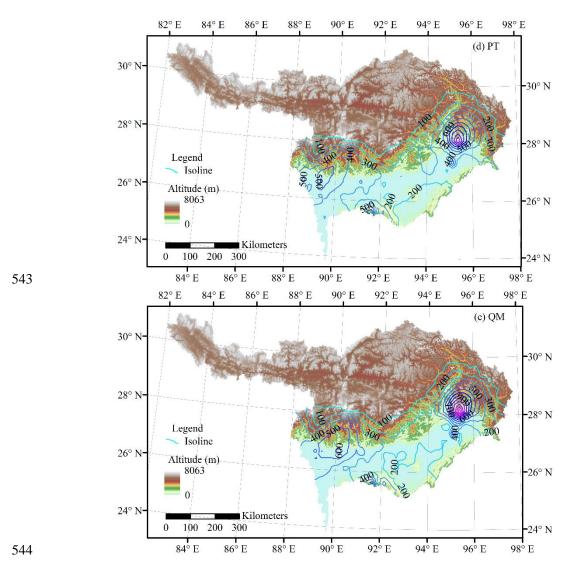
SDII during JJAS for original and corrected APHRODITE estimates.



**Figure 5.** Mean error (*ME*) of extreme precipitation indices for leave one-out cross-validation in

the three different physiographic zones (TP, HB, and FP) of the YBRB.





**Figure 6.** Spatial distribution of mean Rx5d during JJAS in the YBRB based on (a) original APHRODITE estimates, as well as (b) linear scaling (LS)-APHRODITE estimates, (c) local intensity scaling (LOCI)-APHRODITE estimates, (d) power transformation (PT)-APHRODITE estimates, and (e) quantile—quantile mapping (QM)-APHRODITE estimates.