

Dear Authors, This paper is very interesting. It gives a real added value in dry spells perspectives. For me methods are ok and results are robust enough. I'm not fully familiar with all the different concepts of evapotranspiration and I guess that it could be the case of other readers of the journal you chose for publication. That's why I have some questioning that maybe could improve the universality of the paper.

We would like to thank you for this positive evaluation of our manuscript.

As the evapotranspiration is the central point, and when I read the paper, I have some wondering that maybe could directly discuss in your paper: Why using ET0 instead of PET? Or could you explain more what is ET0? And what is the added value of using ET0 instead of PET?

As noted in the Harris et al. 2014 paper describing the CRU dataset, they used a variant of the Penman–Monteith method, the FAO (Food and Agricultural Organization) grass **reference evapotranspiration** equation (based on Allen et al., 1994).

The two terms PET and ET0 are often mistaken or reversed (many authors, in particular in the hydrological science literature are in fact using ET0 but they call it PET). The potential evapotranspiration is the evapotranspiration from a hypothetical crop surface with adequate water and only influenced by the atmospheric conditions. Hence, the available water in the soil does not limit potential evapotranspiration. The reference evapotranspiration concept was introduced in the late 1970s to avoid ambiguities that existed in the definition of potential evapotranspiration, related to a specific crop and its development stage. Reference evapotranspiration is defined as the rate of evapotranspiration, only influenced by the atmospheric conditions, from a clipped grass-surface having 0.12 m height and bulk surface resistance equal to 70 s m⁻¹, an assumed surface albedo of 0.23 (Allen et al., 1994), and no moisture stress.

Therefore ET0 represents the evapotranspiration for a given surface (grass) when PET is basically equal ET0 modulated by a crop coefficient (Kc) that can vary with the different vegetation covers. To compare between different sites it is often more efficient to use ET0, also since the estimation of crop coefficients for each station location could be difficult, in particular since the Kc varies in time during the year.

We added in the manuscript =

“In the CRU dataset, the ET0 is computed from a simplified version of the FAO Penman-Monteith (FAO-PM) equation (Allen et al. 1998) that uses data of air temperature, sunshine duration, vapor pressure deficit and a climatology for wind speed. The detail for the computation is given in Harris et al. (2014). By comparison, Potential Evapotranspiration (PET) is the evapotranspiration from a given crop surface, requiring the use of crop coefficients that can vary in time due to the development stage of the vegetation. The use of ET0 allows the comparison between stations and does not require estimating local crop coefficients.”

I well understand that it's mainly in summer that evapotranspiration become crucial in term of dry spells definition but precisely, in summer in the

Mediterranean area the vegetation is really weak? So why using ET0 that is based on a homogeneous and quite dense vegetal cover? To summarize, the paper is really nice but maybe adding some extra information's about ET0 and why ET0 could improve it somehow.

This is an interesting comment, however we feel like it is already addressed in the discussion section, second paragraph. In this part, we indicate that indeed the fixed vegetation cover may not be really realistic, and further work could consider the evapotranspiration simulated by land surface schemes, able to represent vegetation dynamics. We added some elements in the discussion to better stress this point and added the recent reference of Quintana-Seguí et al., 2019 who show the current discrepancy between different land surface model simulations over the Mediterranean.

This work describes a new methodology for the analysis and definition of dry spells in the Mediterranean, based on a time-varying threshold instead of a fixed precipitation threshold. Despite trends and drought return periods are not modified by the use of one diagnostic or the other, the new one is able to estimate dry spell duration in a more accurate way. The methodology is well described and robust, supported by a fair number of references, and results are consistent with previous literature but also highlight the new findings. A few improvements (pointed out as major revisions, they are in fact small majors) are needed before this work could undergo publication on NHESS

On behalf of the co-authors, I would like to thank the reviewer for this positive feedback on the manuscript and the comments to improve it.

1) it is not clear why the authors choose to use ET₀ instead of potential evapotranspiration (line 114). In addition, many references are provided for the ET₀ definition, but the equation is needed (line 150) to understand all the components that are part of the calculation.

We copy here the explanation already given to reviewer 1 on the same question: As noted in the Harris et al. 2014 paper describing the CRU dataset, they used a variant of the Penman–Monteith method, the FAO (Food and Agricultural Organization) grass **reference evapotranspiration** equation (based on Allen et al., 1994).

The two terms PET and ET₀ are often mistaken or reversed (many authors, in particular in the hydrological science literature are in fact using ET₀ but they call it PET). The potential evapotranspiration is the evapotranspiration from a hypothetical crop surface with adequate water and only influenced by the atmospheric conditions. Hence, the available water in the soil does not limit potential evapotranspiration. The reference evapotranspiration concept was introduced in the late 1970s to avoid ambiguities that existed in the definition of potential evapotranspiration, related to a specific crop and its development stage. Reference evapotranspiration is defined as the rate of evapotranspiration, only influenced by the atmospheric conditions, from a clipped grass-surface having 0.12 m height and bulk surface resistance equal to 70 s m⁻¹, an assumed surface albedo of 0.23 (Allen et al., 1994), and no moisture stress.

Therefore ET₀ represents the evapotranspiration for a given surface (grass) when PET is basically equal ET₀ but modulated by a crop coefficient (K_c) that can vary with the different vegetation covers. To compare between different sites it is often more efficient to use ET₀, also since the estimation of crop coefficients for each station location could be difficult, in particular since the K_c varies in time during the year.

We added in the manuscript =

“In the CRU dataset, the ET₀ is computed from a simplified version of the FAO Penman-Monteith (FAO-PM) equation (Allen et al. 1998) that uses data of air temperature, sunshine duration, vapor pressure deficit and a climatology for wind speed. The detail for the computation is given in Harris et al. (2014). By comparison, Potential Evapotranspiration (PET) is the evapotranspiration from a given crop

surface, requiring the use of crop coefficients that can vary in time due to the development stage of the vegetation. The use of ET₀ allows the comparison between stations and does not require estimating local crop coefficients.”

We choose to not include the equations for the Penman-Monteith equation since it is available in many studies, such as Harris et al., (2014) aforementioned or McMahon et al (2013) (in the reference list) who provided an excellent review of these concepts.

It is not clear (line 150-151) what the meaning of setting wind speed at 2m/s would be.

It was a mistake. After re-reading carefully the paper of Harris et al. 2014 describing the CRU dataset, one can read section 3.3.7 that “a fixed monthly climatology for wind speed (New *et al.*, 1999)” is used.

The sentence has been removed.

2) why is a dry day defined when $\langle P - ET_0 = 0 \rangle$, and not when $\langle P - ET_0 \leq 0 \rangle$? In this respect, authors are also required to better describe how AED can be considered a measure of this quantity. These two points need a deeper discussion.

Indeed, it was not written explicitly in the text but a dry day is when $P - ET_0 \leq 0$. We modified accordingly.

On the top of these, a few minor corrections would be appreciated.

a) line 115: what is an evaporation pan?

It is a very basic device to measure evaporation, a bucket filled with water and the amount of water evaporated is measured daily. Most common type is the Colorado pan.

See more details here = https://en.wikipedia.org/wiki/Pan_evaporation

And here =

<http://www.fao.org/3/X0490E/x0490e08.htm#pan%20evaporation%20method>

b) line 227: please designate the acronyms for the two threshold here, and rephrase lines 227-229 (figure 3 is also involved in this part, not only figure 2).

We added line 227 : “named thereafter respectively S1 and SET₀”

We also added the reference to figure 3.

c) line 244: figure 4 shows the high variability of the ET₀/SDII index during the summer months: a description of this feature is required.

We added line 244 “During the summer months there is also a large variability and the ration is often exceeding 1”.

d) line 296-300: authors say "ET0 in summer is not high enough to exceed the daily precipitation". This statement is not supported by figure 8, then it needs rephrasing. Rather, what is noticeable is that ET0 variability is much lower than that of daily precipitation

On figure 8 is plotted simultaneously the daily precipitation (in blue) for the year 1998 and the ET0 for the years 1960 (in red), 1998 (yellow), 2000 (purple). As you can see in Figure 8, individual rainfall events do exceed the ET0 in 1960, 1998, 2000, so yes the statement that ET0 in summer does not exceed individual rainfall events is correct.

We rephrased the sentence ("to exceed daily events of intense precipitation ") to highlight that we are talking about individual rainfall events and not monthly or seasonal averages.

1 Impact of the dry day definition on Mediterranean extreme dry
2 spells analysis

3

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33 **ABSTRACT**

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35 To define a dry day, the most common approach is to identify a fixed threshold below which
36 precipitation is considered equivalent to zero. This fixed threshold is usually set to account for
37 measurements errors and also for precipitation losses due to the atmospheric evaporation
38 demand. Yet, this threshold could vary in time according to the seasonal cycle but also in the
39 context of long-term trends such as the increase of temperature due to climate change. In this
40 study, we compare extreme dry spells defined either with a fixed threshold for a dry day (1
41 mm) or with a time-varying threshold estimated from reference evapotranspiration (ET_0) for a
42 large data base of 160 rain gauges covering large parts of the Mediterranean basin. Results
43 indicated positives trends in ET_0 in particular during summer months (June, July and August).
44 However, these trends do not imply longer dry spells since the daily precipitation intensities
45 remains higher than the increase in the evaporative demand. Results also indicated a seasonal
46 behavior: in winter the distribution of extreme dry spells is similar when considering a fixed
47 threshold (1 mm) or a time-varying threshold defined with ET_0 . However, during summer, the
48 extreme dry spell durations estimated with a 1 mm threshold are strongly underestimated by
49 comparison with extreme dry spells computed with ET_0 . We stress the need to account for the
50 atmospheric evaporative demand instead of using fixed thresholds to define a dry day when
51 analyzing dry spells, in particular with respect to agricultural impacts.

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60 **Keywords:**

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62 Extremes, dry spell, Mediterranean, reference evapotranspiration, atmospheric evaporative
63 demand

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67 **1. INTRODUCTION**

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69 The Mediterranean region is affected by severe droughts episodes, linked to the strong inter-
70 annual variability of precipitation patterns (Mariotti and Dell'Aquila, 2012). These droughts
71 can impact agricultural production (Páscoa et al., 2017), and water resources (Lorenzo-Lacruz
72 et al., 2013), in particular when occurring during the (wet) winter season (Raymond et al.,
73 2016). In addition, several studies indicate a tendency toward a warming and drying of the
74 Mediterranean region that could intensify in the future according to climate projections
75 (Hoerling et al. 2012, Hertig and Trambly 2017, Naumann et al. 2018).

76

77 There are different methods to analyze droughts, by means of drought indices (Mishra and
78 Singh, 2010, Mukherjee et al., 2018) but also by explicitly modelling the frequency/duration
79 of dry spells (Vicente-Serrano and Beguería-Portugués, 2003). A dry spell is meteorologically
80 defined as a sequence of consecutive dry days with no precipitation, or precipitation below a
81 certain threshold. Although dry spells cannot be used to determine drought severity, as a
82 consequence of the climatological differences, they are highly useful to assess spatial
83 differences in the drought hazard probability (Lana et al., 2006), but also to determine
84 possible trends associated to climate change (Raymond et al., 2016). Moreover, analyses
85 based on dry spells have usually been used for agricultural management purposes in different
86 regions of the world (Sivakumar, 1992, Lana et al., 2006, Mathugama and Peiris, 2011,
87 Raymond et al., 2016).

88

89 Several authors analyzed long dry spells, considering different precipitation thresholds (1 to
90 10 mm/day), but fixed for the whole observation period (Vicente-Serrano and Beguería-
91 Portugués, 2003, Lana et al., 2006, Serra et al., 2016, Raymond et al., 2016, 2017, Trambly
92 and Hertig, 2018). About the threshold considered for a "dry" day it is usual to use values
93 higher than zero to account for measurements errors or very little amounts of rain that are not
94 available for plants or water resources, due to interception or/and direct evaporation
95 (Douguedroit, 1987, Raymond et al., 2016). In a climate change context it is also used to
96 reduce the typical "drizzle effect" of dynamical models which results in too many low
97 precipitation amounts compared to observations. The determination of this threshold, noted
98 Daily Rainfall Threshold (DRT), can be a key issue to relate dry spells risk to impacts in
99 different sectors. Douguedroit (1987) defined a threshold of 1 mm of precipitation in
100 environments with a Mediterranean climate, because below this amount the rainfall is

101 generally not absorbed by soils under conditions of high evapotranspiration. It is the most
102 widely used daily rainfall threshold (Polade et al., 2014, Raymond et al., 2016, 2017), even
103 though this arbitrary value has not been supported by any experimental study.

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105 However, fixed thresholds are not representative of real ground conditions, since the
106 evaporation varies throughout the year and for different locations. The atmospheric
107 evaporative demand (AED) can strongly modulate the net precipitation that is available for
108 the plants, affecting water stress levels by plants and crops (Allen et al., 2015; Anderegg et al.,
109 2016; Lobell et al., 2015; Lobell and Field, 2007). It is expected that based on precipitation
110 records, dry spells of similar duration could be characterized by different water stress as a
111 function of the differences in the AED as suggested by drought indices using precipitation and
112 the AED for calculations (Beguiría et al., 2014, Manning et al., 2018). AED can be calculated
113 using meteorological data from different approaches such the potential evaporation
114 (McMahon et al., 2013) or the reference evapotranspiration (ET_0) (Allen et al. 1998) but it can
115 be also measured by means of Evaporation Pans. In the Mediterranean region different studies
116 have shown an increase in the AED in recent decades (Vicente-Serrano et al., 2014) that has
117 increased drought severity (Vicente-Serrano et al., 2014a, Stagge et al., 2017). It is unclear
118 how these trends could affect extreme dry spell severity.

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120 The goal of the present study is to evaluate the influence of different daily precipitation
121 thresholds to define a dry day on the estimation of seasonal extreme dry spell hazard in the
122 Mediterranean. The novelty of the approach proposed herein, is to use the AED to identify dry
123 days prior to the analysis of extreme dry spell risk. Two thresholds to define a dry day are
124 compared: 1 mm/day, the threshold commonly used in most Mediterranean studies, and a
125 daily precipitation threshold defined by the AED, thus seasonally and temporally variable.
126 Two questions are addressed in the present work: (i) are there trends in extreme dry spell
127 length in Mediterranean region and is the trend detection influenced by the way at which dry
128 days are defined? (ii) since in most studies a distinction is made between winter and summer
129 dry spells due to their different characteristics and impacts (Raymond et al. 2018, Trambly
130 and Hertig, 2018)- is there a different impact on the estimation of extreme dry spells in winter
131 or summer according to different daily rainfall thresholds?

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136 2. PRECIPITATION AND REFERENCE EVAPOTRANSPIRATION DATA

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138 A network of 160 stations with long daily precipitation records in the Mediterranean region is
139 considered (see Hertig and Trambly, 2017, Trambly and Hertig, 2018 for more details about
140 this dataset). Since most stations have almost complete records between 1960 and 2000, it is
141 the period considered in the present analysis to allow a comparison between stations. The
142 years with more than 5% missing days have been discarded from subsequent analysis. A
143 preliminary sensitivity analysis considering different missing day ratios has shown that it does
144 not impact the results.

145

146 In addition to precipitation data, as a representative and spatially comparable metric of the
147 AED, the reference evapotranspiration (ET_0) from the Climate Research Unit (CRU) dataset
148 version 4.2 is considered (Harris et al., 2014). Several studies (McVicar et al., 2012a, 2012b,
149 Todorovic et al., 2013, Vicente-Seranno et al., 2014b, Anabalón and Sharma, 2017)
150 highlighted the need to consider a physically based ET_0 calculation, such as the FAO-PM, to
151 account for possible changes in other variables than temperature in the AED and to have an
152 accurate quantification of the climate change effect on drought (Trenberth et al., 2014).
153 Reference evapotranspiration is defined as the rate of evapotranspiration, only influenced by
154 the atmospheric conditions, from a clipped grass surface having 0.12 m height and bulk
155 surface resistance equal to 70 s m^{-1} , an assumed surface albedo of 0.23 and no moisture stress.

156 In the CRU dataset, the ET_0 is computed from a simplified version of the FAO Penman-
157 Monteith (FAO-PM) equation (Allen et al. 1998) that uses data of air temperature, sunshine
158 duration, vapor pressure deficit and a climatology for wind speed. The detail for the
159 computation is given in Harris et al. (2014). By comparison, Potential Evapotranspiration
160 (PET) is the evapotranspiration from a given crop surface, requiring the use of crop
161 coefficients that can vary in time due to the development stage of the vegetation. The use of
162 ET_0 allows the comparison between stations and does not require estimating local crop
163 coefficients.

164

165 Two different definitions for a dry spell are used in the present work. The first one considers a
166 dry spell as consecutive days with precipitation below 1 mm. For the second one, the ET_0 is
167 considered as a threshold to define a dry day when $P-ET_0 \leq 0$. In addition, to provide a
168 measure of rainfall intensity we computed from daily precipitation the Simple Precipitation

169 Intensity Index (SDII), defined as the monthly sum of precipitation during wet days divided
170 by the number of wet days in the month (expressed as mm/day). It is an interesting metric for
171 the present dry spells analysis, since the SDII can provide a measure of rainfall intensity that
172 can be compared with the threshold used to define a dry day during a dry spell.

173

174 **3. METHODS**

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176 **3.1 Statistical tests**

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178 To test the presence of trends in the different station time series, the non-parametric Mann-
179 Kendall (Mann, 1945) test was used. Since the presence of autocorrelation in the data could
180 lead to an increased number of type I errors (Serinaldi et al., 2018), we used the trend-free
181 pre-whitening method introduced by Yue et al. (2002) and modified according to Serinaldi et
182 al. (2006). In addition, since the tests are repeated on a large ensemble of stations (160), we
183 also implemented the false discovery rate (FDR) method of Benjamini and Hochberg (1995)
184 to distinguish between at-site and regionally significant trends (Wilks, 2018).

185

186 To compare the different extreme dry spells distributions, computed with different definitions
187 of a dry day, the Anderson Darling test (Scholz and Stephens, 1987, Viglione et al., 2007) is
188 considered. The test verifies the hypothesis that two independent samples belong to the same
189 population without specifying their common distribution function. The test statistic measures
190 the distance between the empirical cumulative distribution functions and places more weight
191 towards the tail of the distributions, hence making it adapted to the analysis of extreme values.

192

193 **3.2 Distribution fitting**

194

195 To compute the return levels for different extreme dry spell durations, there is the need to fit a
196 distribution to the samples. No single distribution is commonly applied to extreme dry spell
197 lengths and also we define differently dry spells than previously (Vicente-Serrano and
198 Beguería-Portugués, 2003, Lana et al., 2006, Serra et al., 2016). Thus, the GEV, Gamma and
199 Log normal distribution are first compared to represent extreme dry spells, using the
200 maximum likelihood estimation method. A split-sample procedure has been implemented to
201 validate the choice of the distribution. The same procedure as described in Zkhiri et al. (2017)
202 or Renard et al., (2013) is retained, based on a bootstrap cross-validation. The relative average

203 root mean square error (RRMSE) for the validation samples is used as an evaluation metric to
204 select the best distribution. The best distribution retained is then used to compute extreme dry
205 spell quantiles computed with different precipitation thresholds for a dry day.

206

207 **3.3 Definition of the seasons**

208

209 The Mediterranean regions are classified as Csa and Csb climate types in the Köppen
210 classification (Peel et al., 2007), defined as climates with a precipitation deficit during
211 summer months (when the sub-tropical high pressure belt moves northward and prevent
212 moisture advection from westerlies). The Mediterranean climate is then characterized by two
213 contrasted seasons: A summer (dry) season from around April to September and an extended
214 winter season (wet) from October to March, with most of the precipitation occurring during
215 this period. Yet the transitional months could vary depending on the location and one single
216 definition of the Mediterranean seasons is probably not appropriate due to strong North/South
217 and West/East variations on the beginning/finishing dates for the season of precipitation
218 deficit. This has been highlighted by the recent study of Raymond et al. (2018). Reiser and
219 Kutiel (2009) previously observed different lengths for the wet season, (on 40 stations) with
220 less than 6 months in the south and up to 10 months in the North. Thus, in the present study
221 we choose to define the season lengths for each station according to an objective criterion,
222 being the precipitation deficit in summer (ie. the months when $P-ET_0 = 0$ are defined as the
223 summer season). Then a clustering approach (Ward, 1963) is used to group stations with a
224 similar seasonality. The optimal number of clusters is estimated with the gap statistic
225 (Tibshirani et al. 2001) and silhouette plot (Kaufman and Rousseeuw, 1990).

226

227 **4. RESULTS**

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229 **4.1 Climatic trends**

230

231 There are increasing trends in ET_0 in West/Central Mediterranean stations, mostly during
232 summer months and to a lesser extent in March for the Iberian Peninsula (Figure 1). These
233 monthly trends imply an increase of ET_0 at the annual scale for these stations (Spain, South
234 France, Italy, East Algeria and Tunisia). When tested on the annual total ET_0 , the trends are
235 regionally significant at 67 stations, located in south France, Spain, Middle East, Tunisia and
236 Algeria, Italy and the Adriatic. With both thresholds 1mm or Et_0 to define a dry days (named

237 thereafter respectively $S1$ and SET_0), there is an increase in the frequency of dry days in
238 February and March, centered on the stations in Spain, Portugal and South France (Figure 2
239 and Figure 3). The spatial patterns of detected trends are similar with the two thresholds but
240 the increase is more pronounced, with more regionally significant trends, when using ET_0 as
241 threshold for dry days. Yet, the increase in ET_0 during summer months does not imply an
242 increase in the frequency of dry days during this season when considering ET_0 to define a dry
243 day. On the contrary, in March the increase in Et_0 in the Western Mediterranean is
244 accompanied by an increased frequency of dry days. The monthly ET_0 during winter months
245 lies in the interval 0.5 to 2 mm for all stations, when for the summer daily ET_0 ranges between
246 3 mm and 7 mm/day.

247

248 Additionally, we tested the trends for the Simple Daily Intensity Index (SDII). The results
249 indicate a decrease of SDII for a few stations, in particular in February in South France, but
250 overall these trends are not regionally significant. An interesting feature is illustrated in
251 Figures 4 and 5: the ratio between ET_0 and the SDII during June, July and August show a
252 remarkable North/South difference: In the south the average precipitation amounts during
253 summer stay below evapotranspiration during rainfall events. During the summer months
254 there is also a large variability and the ration is often exceeding 1. This implies that, on
255 average, precipitation events will not be able to end a succession of dry days and this
256 characteristic favor very long dry spells during summer. On the opposite, in the north the
257 average precipitation during an event stay above ET_0 .

258

259 **4.2 Seasonal comparison of extreme dry spells**

260

261 As mentioned in the previous section and in section 3.3, there is a different seasonal behavior
262 of dry spells between winter and summer months. In addition, several studies have shown that
263 long dry spells during the winter season may have more severe consequences than those
264 occurring during summer. This justifies a seasonal analysis of the extreme dry spells defined
265 according to different dry day definitions. Nevertheless, prior to a seasonal comparison, a
266 classification of stations according to monthly net precipitation ($P - ET_0$) has been performed
267 as explained in section 3.3. The classification shows a marked distinction between two
268 clusters as shown in Figure 6, very similar to the spatial patterns of Figure 5, with northern
269 stations (approximately north of $40^\circ N$) with a precipitation deficit from April to September
270 and southern stations with a precipitation deficit from March to October.

271

272 Then for each season and each year, the maximum dry spell lengths have been extracted at the
273 different stations according to two thresholds for a dry day: 1 mm and ET_0 (thereafter the
274 extreme dry spells derived from the two thresholds are noted S1 and SET_0). Then, the
275 Anderson-Darling test has been applied between summer and winter maxima. For S1, the test
276 rejects the null hypothesis at the 5% significance level for 135 stations. The remaining 25
277 stations where the winter and summer distributions are found similar are located in northern
278 Mediterranean countries such as France (including Perpignan, Nîmes, Orange), Spain
279 (Huesca, Valencia, Soria, Vallalolid), Italy (Ferrara, Genoa), Croatia (Gospic, Zavizan). For
280 SET_0 , the test rejects the null hypothesis for 155 stations (except Mantova, Verona, Reijka,
281 Milan, Mons). This indicates that the majority of stations the winter and summer distributions
282 of extreme dry spell are different whatever the threshold considered for a dry day. Indeed, the
283 extreme dry spells tends to be longer in summer than in winter for all stations and this feature
284 accentuates with increased aridity. This result justifies the need to perform a seasonal analysis
285 when considering extreme dry spells risk.

286

287 Finally, the same Anderson-Darling test has been applied for a given season between extreme
288 dry spells computed with the threshold 1 mm (S1) and extreme dry spells computed with ET_0
289 (SET_0). As shown in Figure 7, there are strong differences in summer when extreme dry spells
290 are computed with the dry day threshold 1 mm or ET_0 . For most stations, the two distributions
291 are significantly different at the 5% level. On the opposite, for winter it can be assumed that
292 extreme dry spells computed with 1mm or the ET_0 are stemming from the same distribution.
293 This is due to the fact that during winter the AED is low and close to the value 1mm.

294

295 **4.3 Return levels of extreme dry spells**

296

297 Prior to the fitting of statistical distributions, there is the need to verify the hypothesis of
298 stationarity. Overall, there are not significant trends in extreme dry spells duration, neither for
299 winter or summer, with the threshold 1 mm or ET_0 to define dry days. This finding is quite
300 surprising since there is an increase of ET_0 in summer and one would expect an increase in
301 dry spells when considering ET_0 as daily rainfall threshold. As elements of explanations, it
302 was shown before that the increase of ET_0 is focused only in the months of June, July and
303 August (see Figure 1). Furthermore, two extreme cases are exemplified here, Montpellier in
304 the North (783mm/year on average) and Gafsa in the South (168mm/year). In Figure 8 the

305 daily rainfall for a random year (1998) is plotted together with ET_0 at the beginning of the time
306 period (1960), in 1998 and for the end of the time period (2000). In Gafsa or Montpellier, the
307 increase of ET_0 in summer is not high enough to exceed daily events of intense precipitation
308 (often thunderstorms). In the south, the ET_0 is already higher than most of precipitation events
309 (e.g. Figure 5), except for a few high-intensity events above ET_0 . Still, the increase in ET_0
310 does not impact the longest dry spells sequences as indicated by the trend analysis.

311
312 The GEV, Log Normal and Gamma distributions have been compared to fit extreme dry
313 spells. The results are illustrated in Figure 9 for 10 stations located in different regions having
314 long records and very little or no missing data over their full records. For both S1 and S ET_0 ,
315 the Gamma distribution outperforms the GEV or Log-Normal since it provides lower mean
316 RRMSE values in validation results on independent samples. Quantiles corresponding to a 20-
317 year return period have been computed from a Gamma distribution for each station and each
318 season, according to the two different thresholds for dry days. A relative difference between
319 the two quantiles has been computed, taking the S1 quantile as reference, since it is up to now
320 the most widely used approach to estimate dry spell durations. Results, shown in Figure 10,
321 indicate a strong underestimation of extreme dry spells during summer when using the fixed
322 threshold of 1 mm. This underestimation is on average -29%, but only 4% in winter. This
323 result question the use of a fixed threshold of 1 mm during summer, since it is not
324 representative of the real amount of water available on the ground due to evaporation. On the
325 contrary, focusing on winter only with a fixed threshold 1 mm does not induce strong
326 uncertainties due to the low AED during this season.

327

328 **5. Discussion**

329

330 The results obtained in the present work indicate the need to consider AED in particular
331 during summer months to define a dry day, which is probably more realistic than with a fixed
332 threshold of 1 mm. In more arid environments than the Mediterranean region, such as the
333 Middle East and North Africa regions, it would mean that the analysis of dry spell could be
334 strongly impacted whether the AED is taken into account or not. It implies that it necessary to
335 re-define appropriate thresholds to define dry days according to different regions. By
336 comparison with other drought indices, such as the SPI or SPEI that are averaged on a
337 monthly basis for different time horizons (Mukherjee et al., 2018), the explicit consideration
338 of extreme dry spells could be an interesting way of relating dry spells to impacts. Indeed, dry

339 spell durations computed with dry day thresholds representative of real climate conditions
340 could be directly related to plants phenology to study the drought impacts for different
341 agricultural productions. This new definition of dry spells, considering a time varying
342 threshold based on AED, is a departure from the classical viewpoint of a meteorological
343 drought index since it tries to relate the atmospheric and ground conditions to assess the
344 amount of water that is actually available for plants or water use. In that sense, it relates to the
345 SPEI but tailored at the scale of individual dry spell events.

346

347 The results of the present study rely on the estimation of AED with reference
348 evapotranspiration. Still more reliable than ET_0 estimates from temperature only, the FAO-PM
349 equation may not be fully representative of the AED at the different locations considered.
350 McMahon et al. (2013) provided a synthesis of the uncertainties related to the estimation of
351 the AED: data limitations such as wind or humidity, which are not always available for all
352 gauging stations, but also the fact that reference evapotranspiration rely on an hypothetical
353 grass surface that may not be representative of the real land cover at the different stations
354 during the different seasons of the year. Indeed, it is possible to derive the potential
355 evapotranspiration from reference evapotranspiration using crop coefficients representative of
356 the real ground conditions. These changes in land cover could modulate the AED between
357 different locations. As an alternative, it could be possible to use actual evapotranspiration but
358 since it cannot be measured (at least for large areas) this would require the use of land surface
359 modelling. However, there are differences in actual evapotranspiration computed from
360 different land surface models, due to different parametrization, climate forcing, and
361 representation of the semi-arid surface processes (Quintana-Seguí et al., 2019). Finally, it
362 must be stressed that the estimation of AED in the Mediterranean for a long term perspective
363 and climate change impact studies, must face several sources of uncertainties, such as land
364 cover changes, forest fires that could induce drastic changes in surface processes, water soil
365 conditions influenced by human activity and irrigation, among others.

366

367 **6. Conclusions**

368

369 In this study, the extreme dry spells defined either with a fixed dry-day threshold (1 mm/day)
370 or with a time-varying threshold estimated from reference evapotranspiration (ET_0) have been
371 compared for a large data base of 160 rain gauges covering the whole Mediterranean basin.
372 An increase in ET_0 is found for summer months (JJA) mainly in the central/western parts of

373 the Mediterranean basin. The reported trends for summer are consistent with previous studies
374 in Spain, driven by a decrease in relative humidity and an increase of maximum temperature
375 (Vicente-Serrano et al., 2014a, 2014b). Also increases in the number of dry days are found for
376 February and March at a large number of stations, either with 1mm or ET_0 to define a dry day.
377 However, no trends are detected for extreme dry spell lengths when using both thresholds to
378 define a dry day. The distributions of extreme dry spells have been found to be different for
379 winter and summer, with much longer extreme dry spells during summer. Also, for many
380 locations a stronger variability in winter extreme dry spells became apparent. These results
381 highlight the need of a seasonal analysis to avoid the misestimating the extreme dry spells
382 risk. Despite the climatic trends on precipitation and evapotranspiration, there are no
383 significant trends in seasonal extreme dry spells risk in most areas. The frequency analysis of
384 seasonal extreme dry spells reveals that using a fixed threshold set to 1 mm implies an
385 underestimation of extreme dry spells risk by comparison to a time-varying threshold
386 representing evapotranspiration during the extended summer season. The time-varying
387 thresholds appear a more relevant choice representative of real atmospheric conditions, but
388 this needs to be further confirmed by relating extreme dry spells computed with this new
389 approach and drought impacts in different sectors (agriculture, vegetation, etc.). As a
390 conclusion, we stress the need to account for the atmospheric water demand when analyzing
391 dry spells in particular if the goal is to relate them with agricultural impacts.

392

393 **Acknowledgements**

394

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396 (HyMeX) program, through INSU-MISTRALS support for the studentship of Pauline
397 Rivoire. The results have been obtained using the R packages: extRemes, MASS, kSamples,
398 randtests, stats, zyp.

399

400

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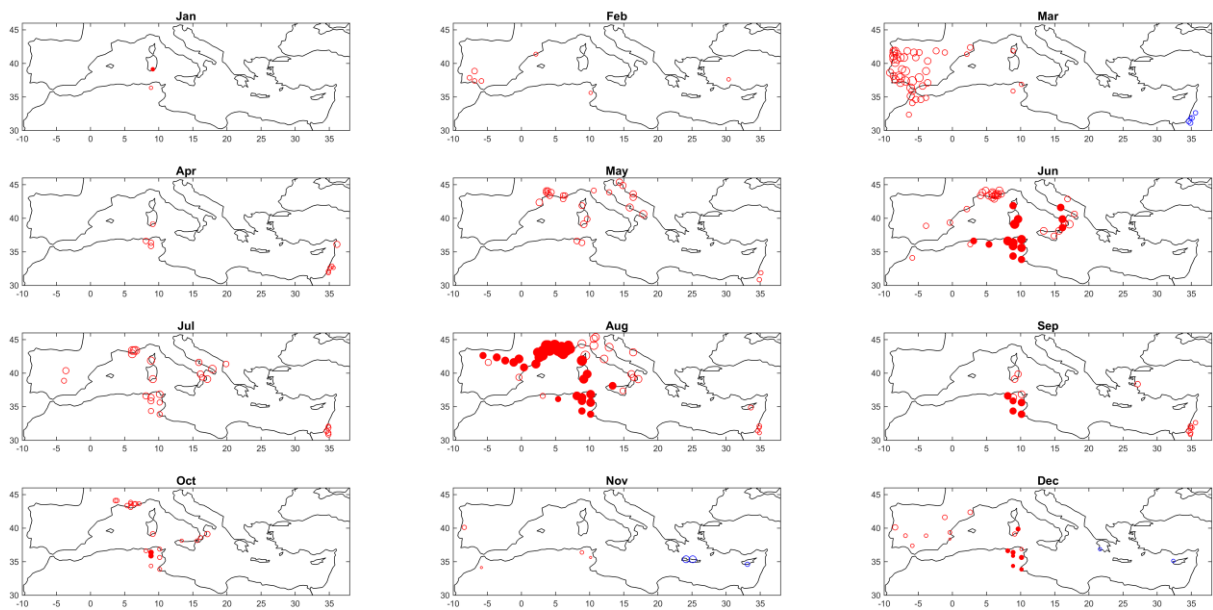
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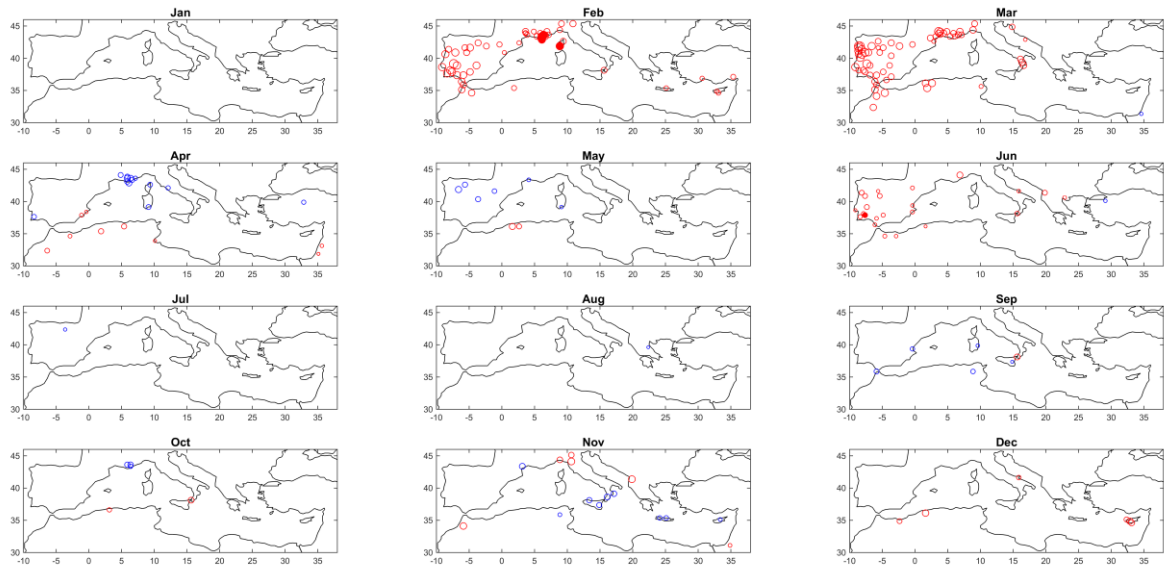
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INDEX OF FIGURES



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Figure 1: Significant trends (5% level) in monthly ET_0 . The size of the circles indicate the magnitude of the trends (red = increasing, blue = decreasing) and the filled circles denote regional significant trends



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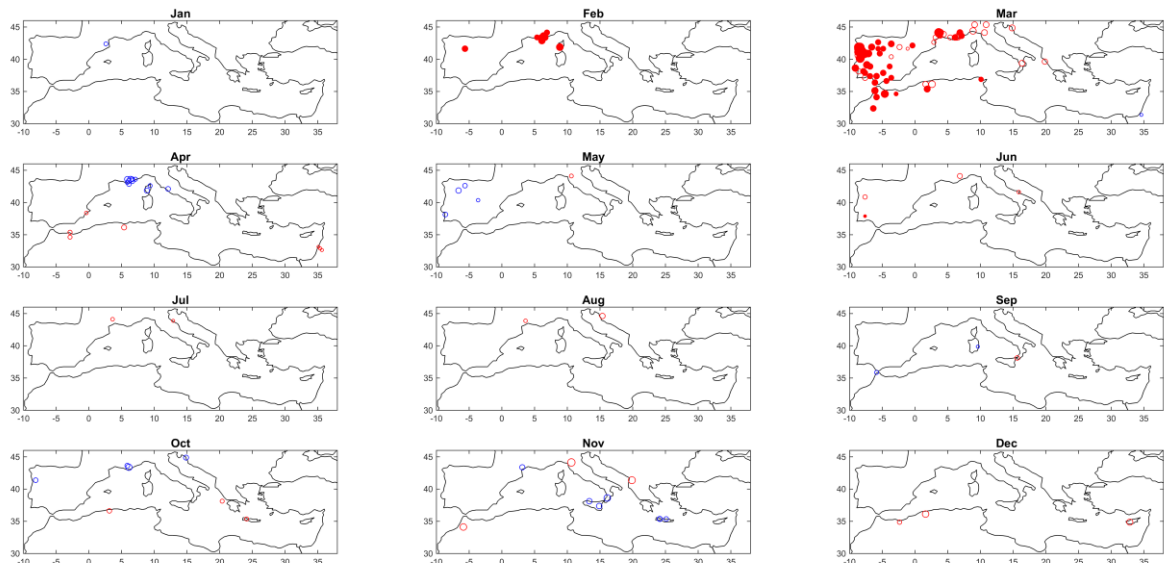
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Figure 2: Significant trends (5% level) in the frequency of dry days when considering the 1mm threshold to define a dry day. Same as Fig.1 for the display

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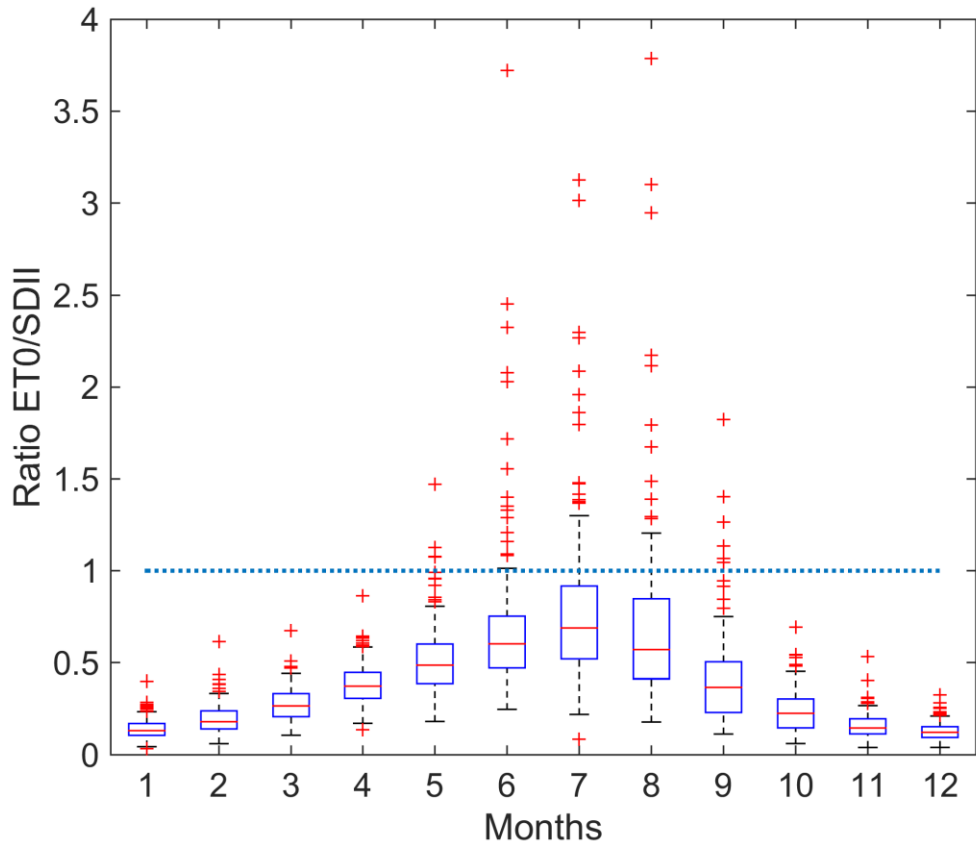
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Figure 3: Significant trends (5% level) in the frequency of dry days, considering that $P-ET_0 = 0$ is a dry day. Same as Fig.1 for the display

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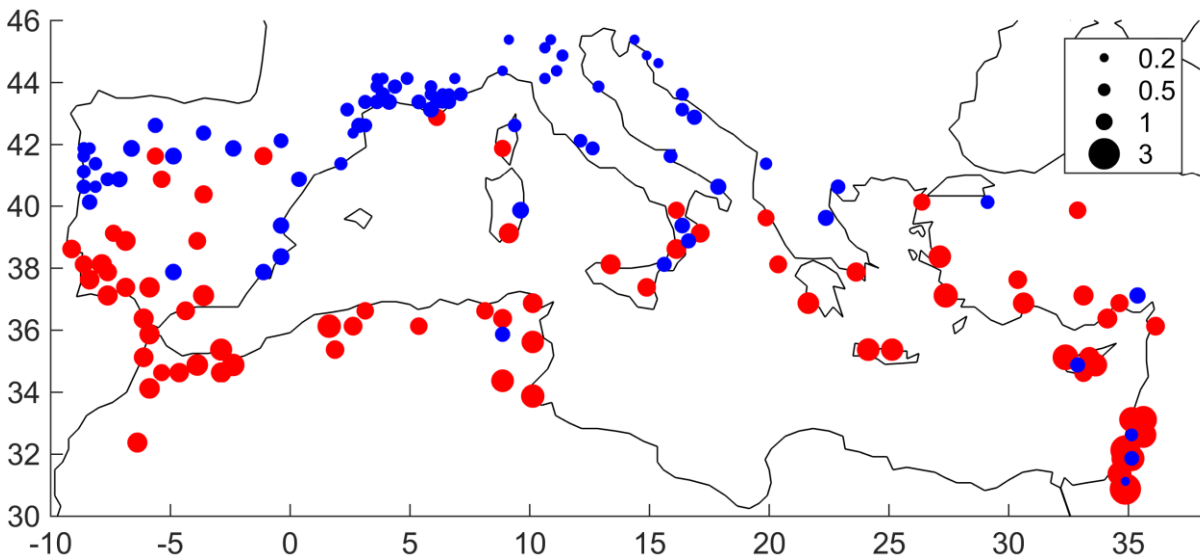
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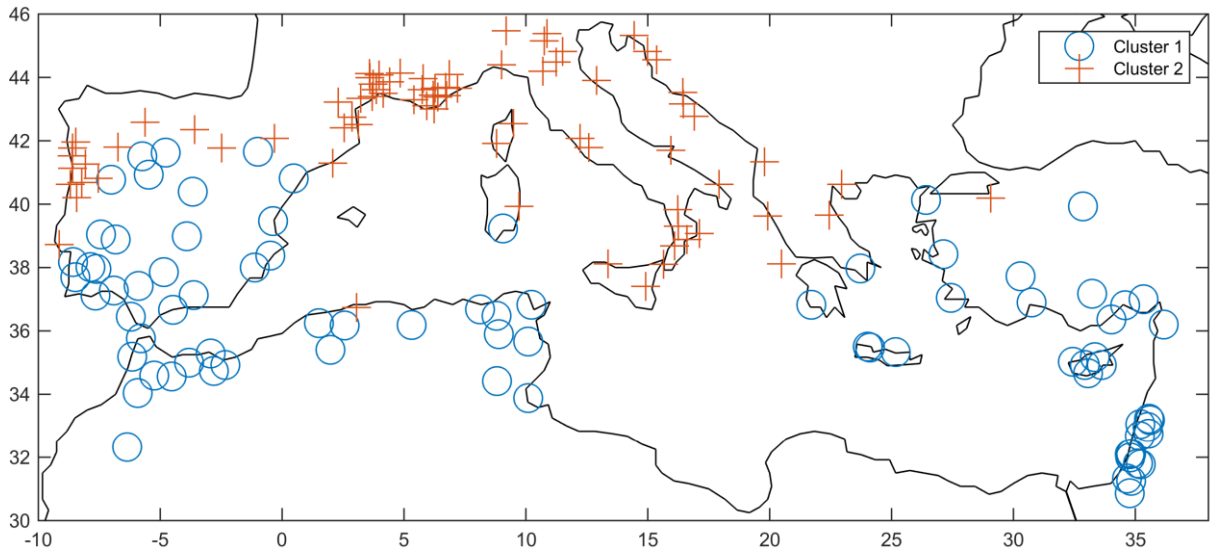
Figure 4: Boxplot of the monthly ratios between ET0 and SDII. On each box, the central mark is the median, the edges of the box are the 25th and 75th percentiles, the whiskers extend to +/- 1.5 interquartile range



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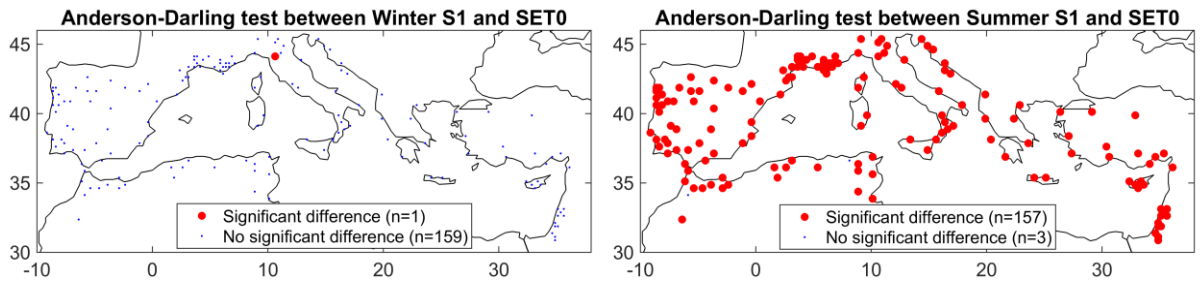
Figure 5: Ratio between ET0 and SDII for June, July, August. In blue the stations where the ratio is inferior to 1 ($SDII > ET_0$), in red where the ratio superior to 1 ($SDII < ET_0$)

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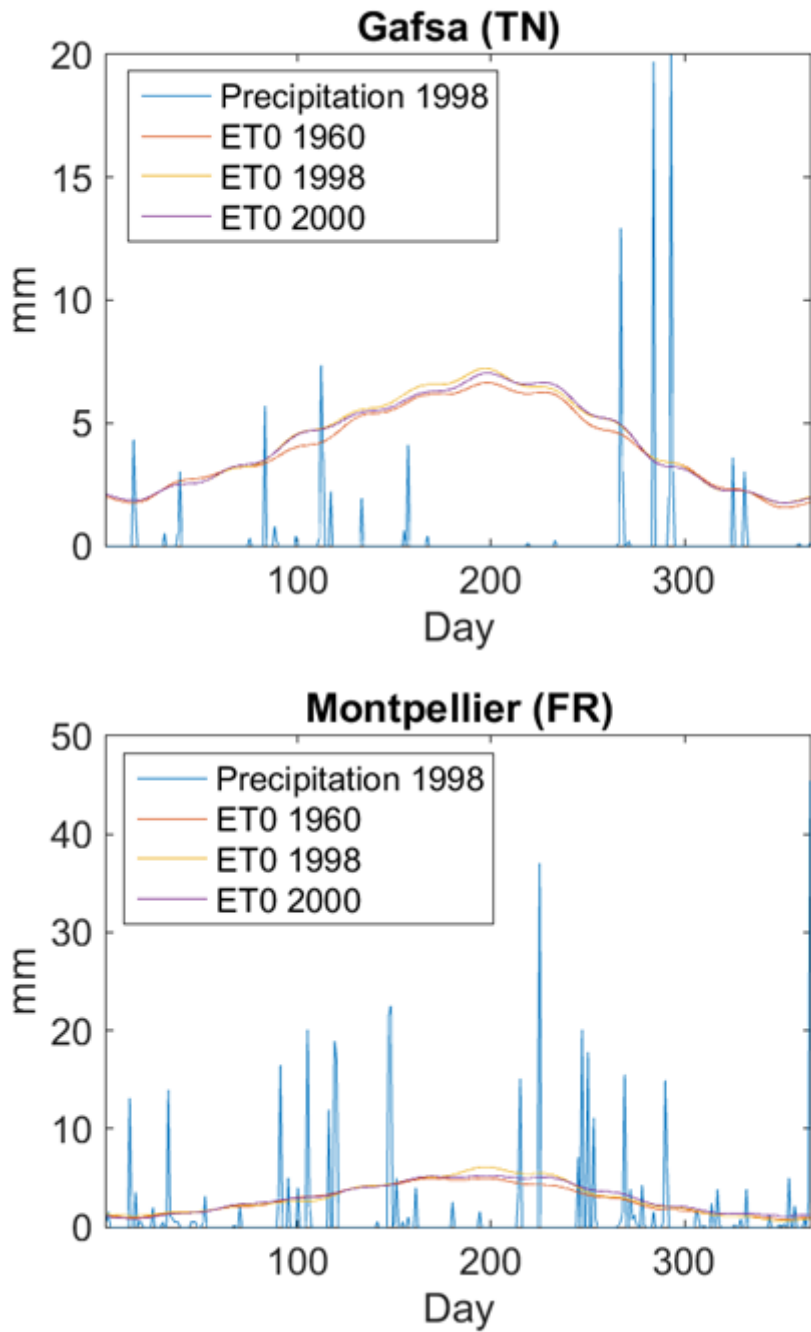
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Figure 6: Clustering result of monthly net precipitation ($P-ET_0$)



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Figure 7: Anderson-Darling test results between winter extreme dry spells defined with S1 or SETP (left) and summer extreme dry spells defined with S1 or SET_0 (right)



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Figure 8: Daily precipitation for the year 1998 plotted with ET_0 in 1960, 1998 and 2000 for two stations, Gafsa in Tunisia and Montpellier in France

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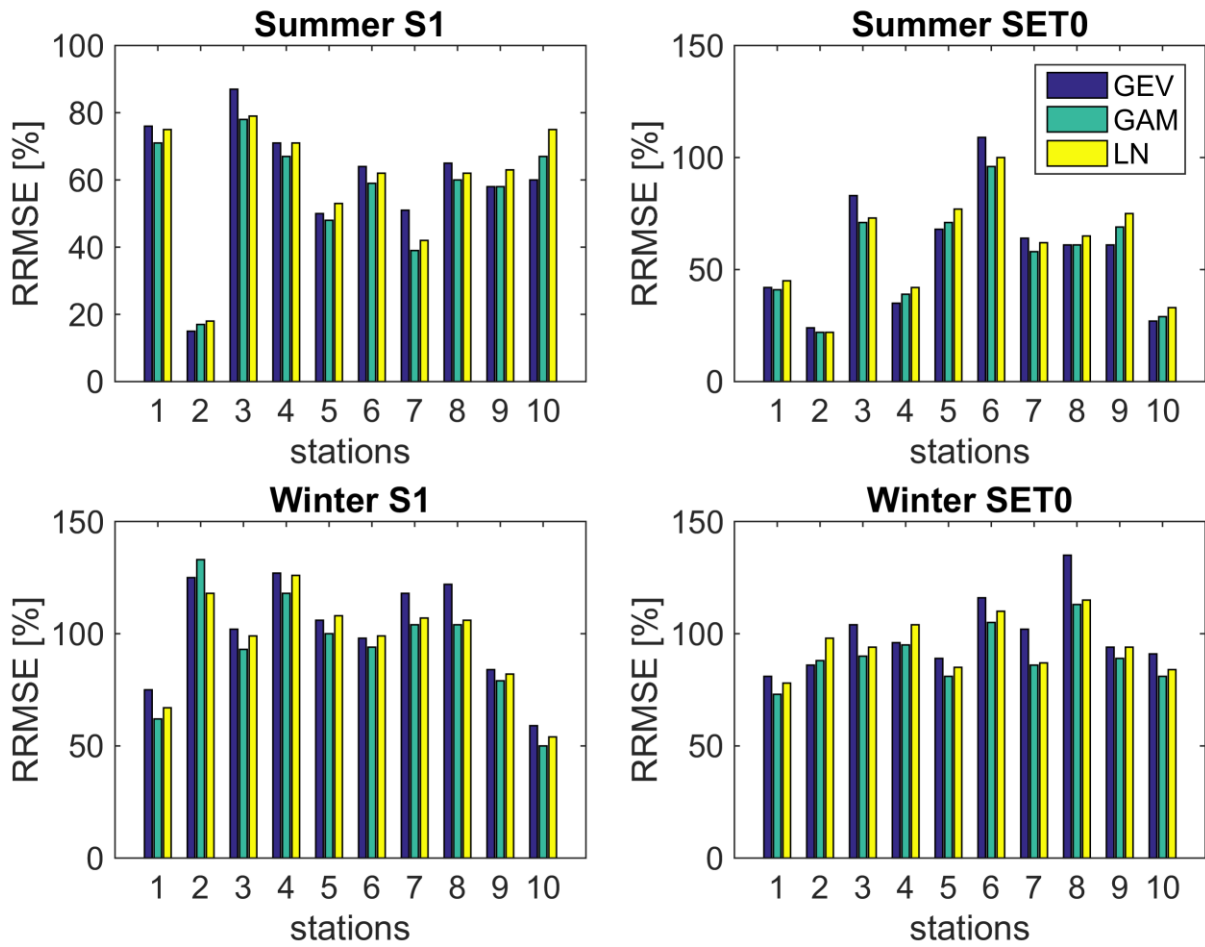
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670 Figure 9: Validation results of the fitting of the GEV, Gamma (GAM) and Log-Normal (LN)
 671 distributions in terms of relative root mean square error (RRMSE) for 10 representative
 672 stations (stations numbers: 1-Athens (GR), 2-Tel Aviv (IS), 3-Mantova (IT), 4-Lisboa (PT),
 673 5-Madrid (ES), 6-Montpellier (FR), 7-Roma (IT), 8-Beni Mellal (MA), 9-Tunis (TN), 10-
 674 Capo Bellavista (IT))

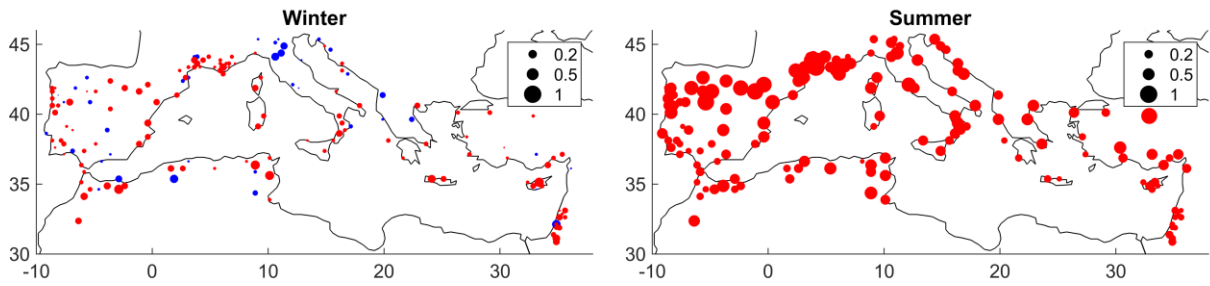
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Figure 10: Relative difference of the 20-year quantile of extreme dry spell computed with

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SET₀ compared to S1. Red (blue) dots indicate that SET₀ 20-year quantiles are larger

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(smaller) than those obtained with S1. The larger the bubble, the largest is the difference

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between S1 and SET₀ (in the legend, 1 = 100% overestimation)

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