

# Lituya Bay 1958 tsunami – pre-event bathymetry reconstruction and 3D-numerical modelling utilizing the CFD software Flow-3D

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**Abstract.** This study aims to test the capacity of Flow-3D regarding the simulation of a rockslide impacting a water body by evaluating the influence of the extent of the computational domain, the grid resolution, the  
15 corresponding computation times on the accuracy of modelling results. A detailed analysis of the Lituya Bay tsunami event (1958, Alaska, maximum recorded run-up of 524 m a.s.l.) is presented. A focus is put on the tsunami formation and run-up in the impact area with the numerical model. Several simulations with a simplified bay geometry are performed in order to test the concept of a “denser fluid”, compared to the seawater in the bay, for the impacting rockslide material. Further, a topographic and bathymetric surface of the impact area are set up. The  
20 observed maximum run-up can be reproduced using a uniform grid resolution of 5 m, where the wave overtops the hillcrest facing the slide source, then flows diagonally down the slope. The model is extended along the entire bay to simulate the wave propagation. The tsunami trimline is well recreated when using a) a uniform mesh size of 20 m or b) a non-uniform one of 15x15x10 m with a relative roughness of 2 m for the topographic surface. The trimline mainly results from the primary wave, in some locations also from reflected waves. The “denser fluid” is  
25 a suitable, simple concept to recreate a sliding mass impacting a water body, in this case with impact velocities of ~93 ms<sup>-1</sup>. The tsunami event and the related trimline are well reproduced using the 3D-modelling approach with the density evaluation model available in Flow-3D.

30 **Keywords:** Impulse wave, Cascade Hazards, Numerical modelling, Lituya Bay, Mountain hazards.

## 1 Introduction

The analysis and management of the hydrological and geological risks in mountain regions are considered nowadays as a priority for human and territory safety. Obtaining an accurate understanding of phenomena, like  
35 landslides, flash floods and landslide-generated impulse waves, has been and still is a major challenge for reliable natural hazards assessment. In recent decades, the awareness of natural hazard events such as tsunamis in lakes and artificial basins (known as impulse waves) has increased since several disasters occurred (e.g. Tafjord – Norway 1934, Holmsen, 1936, Furseth, 1958, Braathen et al., 2004; Lituya Bay – Alaska 1958, Miller, 1960; Vajont – Italy 1963, Paronuzzi and Bolla, 2012; Chehalis lake – Canada 2007, Wang et al., 2015; Aysen Fjord –

Chile 2007, Sepúlveda et al., 2010; Taan Fjord – Alaska 2015, Haeussler et al., 2018; Karrat Fjord – Greenland 2017, Gauthier et al., 2017). Such tsunamis can be induced by both subaquatic and subaerial landslides (Basu et al., 2010). The creation of new reservoirs for hydroelectric power generation in steep mountain valleys has highlighted the risk evaluation of this type of natural hazard; in particular, the Vajont catastrophe (10 October 1963, Italy) where an enormous landslide collapsed in the reservoir and triggered one of the largest impulse waves ever recorded which killed ~2000 individuals (Paronuzzi and Bolla, 2012).

The generation of impulse waves in lakes or fjords is often caused by a quantity of slope material collapsing and impacting the water body, with enough mass and speed to enable a wave to form and propagate (Basu et al., 2010; Heller et al., 2010; Vasquez, 2017; González-Vida et al., 2019). These large landslides or rockslides are often triggered by intense rainfall events or earthquakes (e.g. Lituya Bay 1958, Miller, 1960; Chehalis Lake 2007, Wang et al., 2015).

The Lituya Bay 1958 tsunami event (Fig. 1) represents a cascading hazard, since an earthquake-generated rockslide (Fig. 2) collapsed and impacted the water body. As a consequence, an impulse wave formed and propagated over a distance of around 12 km to the seaside of the bay and devastated the area surrounding the bay (Miller, 1960).

The Lituya Bay case (Fig. 1, 2) marked the beginning of several challenges for the scientific community, where many experts gave their contribution to develop accurate and applicable concepts to simulate and to assess landslide-generated impulse wave.

Scientists tried to obtain insights into the landslide-generated wave formation and investigated the characteristics as wave height, amplitude and velocity (Fritz et al., 2001; Mader and Gittings, 2002; Quecedo et al., 2004; Weiss and Wuenemann, 2007; Schwaiger and Higman, 2007; Basu et al., 2010; Chuanqi et al., 2016; Xenakis et al., 2017). The main task was to simulate the rockslide-generated impulse wave and to recreate the observed run-up on the opposite slope adopting different approaches (e.g. physical tests, numerical methods based on Navier-Stokes-equations or Smoothed Particle Hydrodynamics (SPH), see chapter 2.3). A few of them tried to reproduce the phenomena along the whole bay and to give a complete overview and explanation of the event itself (Ward and Day, 2010; González-Vida et al., 2019). With these studies a significant effort could be achieved in understanding process behavior and hazard potential of landslide-induced tsunamis. The present work also aims to contribute to this. With the focus on the Lituya Bay 1958 tsunami it is addressed to the following research questions:

- Which modelling techniques are available to simulate or reproduce landslide-generated impulse waves?
- Which is the best modelling concept to simulate this kind of phenomena and how high is the requested computational effort to obtain a simulation that adequately reflects the natural processes?
- How far can we go in terms of extent of investigated area and validated results?
- Is a physically correct representation of the landslide collapse and impact process an important factor for the correct representation of wave formation, propagation and run-up?
- What are the requirements on bathymetry and topography in terms of level of detail and accuracy?
- Can a detailed model help for a better understanding of the whole physical phenomena itself?
- Can we apply knowledge gained from back analysis to mitigate or prevent such phenomena?

Recently, the most used commercially available software to model impulse waves is the computational fluid dynamics (CFD) model Flow-3D, which is based on a three-dimensional numerical modelling approach (Das et al., 2009; Vasquez, 2017). The objective of this study is to test the capacity and limits of Flow-3D by means of reconstructing a landslide-generated impulse wave on a large spatial scale. An analysis of the past event at Lituya

Bay (1958, South Alaska, maximum run-up recorded is 524 m a.s.l.; Miller, 1960, Fig. 1c, 3a) is proposed in this contribution, since a lot of information and data are available for this study and the results can be compared with already existing simulations and publications (e.g. Fritz et al., 2001; Basu et al., 2010; Ward and Day, 2010; Gonzalez-Vida et al., 2019). This deterministic analysis aims to reproduce the tsunami event, using specific data provided by literature, and to validate the modelling results by comparison with the documented tsunami impact. A sensitivity analysis concerning the computational grid resolution and their related outputs is provided.

Since no bathymetry just before the tsunami event is available, a new interpretation of the Lituya Bay and the related shoreline before the event is proposed (Fig. 1, 3), starting from the available cartography and from the free data provided by the National Ocean Service (Hydrographic Survey with Digital Sounding). The pre-event topography is recreated with a resolution of 5 m.

This work focuses on the wave dynamics where a fluid volume moving along the slope represents the trigger process to initiate the wave generation and propagation. Since reproducing the physics (rheology) of the rockslide is not target of this study, the simplified concept of a “denser fluid” in comparison to the seawater is adopted for simulating the impact from the slope. Additionally, the use of a fluid gives the possibility to adapt the volume shape according to the topographic surface during the collapse process.

First, a detailed analysis of the tsunami formation and run-up in the impact area is accomplished with the numerical model. A 3D-model of the impact area (the Gilbert Inlet, Fig. 1c, 2, 4) with a simplified bay geometry as a bucket is reproduced starting from the work done by Basu et al. (2010). Bulk slide volume and density of the observed rockslide are considered for the set-up of the “denser fluid”. The main task of this part is to test the concept of the “denser fluid” for the impact and to observe wave formation, propagation, and run-up after the impact of the denser fluid into the water body.

In a second step, the Gilbert Inlet is recreated using the real topographic and bathymetric surface. The model is run using three different uniform cell sizes (20-10-5 m). The denser fluid shape is readapted to the detachment area topography.

Moreover, the model is enlarged to simulate the propagation of the wave along the entire bay (Fig. 5) and to recreate the inundated area and the related trimline. The results change in function of the cells size adopted for the simulation (20x20x20 m, 20x20x10 m, 15x15x10 m). An analysis concerning the adoption of different roughness values for the topographic surface (relative roughness: 0-1-2 m) is accomplished with the numerical model.

## **2 Study case**

### **2.1 Geomorphological and tectonic setting of Lituya Bay**

Lituya Bay is a fjord in southeast Alaska, originated by the glaciers retreat (Fig. 1a) ten thousand years ago at the beginning of the current interglacial period (Pararas-Carayannis, 1999), resulting in its present T-shape (Fig. 1b). U-shaped slopes are the main features of the bay, with recent terminal moraine deposits of former Tertiary glaciation periods (Pararas-Carayannis, 1999).

At the head of the bay the slopes exhibit very steep walls, from 670 to 1030 m a.s.l. and to more than 1800 m a.s.l. in the Fairweather fault area, about 3 km from the Crillon Inlet. The Lituya Bay has a length of 12 km and its width ranges from 1.2 to 3.3 km (Fig. 1b), while the entrance is about 300 m wide (Fritz et al., 2001). The northern and southern channels on the side respect Cenotaph Island in the middle of the bay are about 650 and 1300 m wide

(Fig. 1b). The shores consist mostly of rocky beaches. At the entrance of the bay, La Chaussee Spit (Fig. 1b) represents the terminal moraine resulting from the Last Glacial Period (Pararas-Carayannis, 1999).

The Queen Charlotte and Fairweather Faults are situated at the west coasts of Canada and Alaska north to Lituya Bay. They are part of the fault system along the boundaries of the Pacific and the North American plate (Tocher and Miller, 1959). The Gilbert and Crillon Inlet represent the geomorphological expression of the Fairweather Fault.

## 2.2 The Lituya Bay 1958 tsunami event

Fritz et al. (2001) report that from 1980-2000 four (probably more) big waves could have been verified in Lituya Bay. This occurrence is most likely due to the “unique geologic and tectonic setting of the bay” (Fritz et al., 2001). Miller (1960) reports that several tsunami events happened in Lituya Bay (1853, 1936 and 1958), devastating the forest and reaching a run-up of over 100 m a.s.l. in the inland.

Compared to many other bays or fjords, the numerous manifestations of tsunami in the Lituya bay are due to several factors. These are a) its recent environment history (a fjord formed by glacier retreat), b) the fragile geological and tectonic configuration (steep slopes consisting of fractured rock slopes in a very active fault area), c) the presence of a great amount of water in the bay and a deep seafloor, and d) its climate condition including intense rain events and periodic freezing and thawing (Miller, 1960).

The earthquake from July 9, 1958, featuring a 7.9-8.3 Richter magnitude, occurred along the Fairweather fault. A rockslide collapsed into the bay, at the Gilbert Inlet (Fig. 1) (Fritz et al., 2001). Horizontal movements of 6.4 m and vertical movements of 1.0 m were estimated as reported in Tocher and Miller (1959). Fishermen that experienced the event spoke about 1 to 4 minutes of shaking. The earthquake may have triggered the rockslide (Fritz et al., 2009). The impact of the rockslide generated a huge impulse wave whose maximum run-up (524 m a.s.l., Fig. 1c, 2b) is the highest ever recorded in history (Fritz et al., 2001). The wave propagation along the bay resulted in forest destruction and ground erosion (Fig. 1b). Miller (1960) hypothesizes the rockslide as a source of the tsunami evaluating photographs from the slopes at the Gilbert Inlet before and after the event. He estimates the volume of the main rockslide ( $30 \times 10^6 \text{ m}^3$ ) and defined the upper scar limit at about 915 m a.s.l. (Fig. 1c, 2a).

To be able to distinguish this mass movement from gradual processes and ordinary landslides, Pararas Carayannis (1999) classify it as “subaerial rockfall”, while Miller (1960) describes it as a mixture between a landslide and a rockfall process according to Sharpe (1938) and Varnes (1958).

Before the catastrophic event, two gravel deltas were located in front of the Gilbert Glacier (Fig. 1b,c). The rockslide propagated with very high speed (Ward and Day, 2010), hitting a part of the glacier and the gravel deltas (Miller, 1960). After the event, the glacier front showed a vertical wall (Fig. 2a), since 400 m of ice have been disintegrated and the collapsed deltas. Ward and Day (2010) describe the water ran upslope as a surge or splash. The second maximum run-up of 208 m a.s.l. has been identified near Mudslide Creek on the southeast side of the Gilbert Inlet (Fig. 1b). The wave reached a distance in the inner land of 1400 m on the plain in front of Fish Lake (Fig. 1b) on the northwest side of the bay (Ward and Day, 2010).

Two fishermen eye-witnessed a violent disturbance at the mouth of Gilbert shortly after the first shaking and confirmed the rockslide as trigger of the impulse wave (Ward and Day, 2010). The fishermen estimated the wave’s amplitude to be about 15-30 m as it impacted the Cenotaph Island (Fig. 1b). Additionally, they experienced, at

their boats, a short period with wave heights up to a few meters shortly after the initial wave (Ward and Day, 2010).

### 2.3 Existing studies on the Lituya Bay 1958 Tsunami event

As one of the most studied cases for landslide-generated impulse waves, the Lituya Bay Tsunami 1958 has been and still is of great interest for the scientific community concerning the assessment of natural hazards. Different approaches have been used to reproduce the tsunami covering analytical studies, physical scale tests and numerical modelling.

A three-dimensional model of Lituya Bay at a 1:1,000 scale was created by Wiegel (1964). Thereby, a run-up of about three times of water depth was observed on the opposite slope of the sliding source. Fritz et al. (2001) recreated the Lituya Bay 1958 tsunami in the impact area. He simulated the wave formation and run-up in a two-dimensional physical scale model as a vertical-section of Gilbert Inlet at 1:675 scale. The results confirm the hypothesis of the rockslide as source of the impulse wave and the observed maximum run-up. A three-dimensional pneumatic generator for landslide-generated impulse waves was applied by Fritz et al. (2009). The Lituya Bay 1958 rockslide was thereby recreated in a three-dimensional model at a scale of 1:400.

A number of studies is based on the application of analytical equations, amongst derived from experimental analyses. With it, amplitude of the impulse wave as well as maximum run-up were reconstructed. Concerning the maximum impulse wave height, obtained results are within the range 94-162 m (Kamphuis and Bowering, 1970; Noda, 1970; Slingerland and Voight, 1979; Huber and Hager, 1997; Fritz et al., 2004; Fritz et al., 2009). Hall and Watts (1953) and Synolakis (1987) matched the maximum run-up of 526 m a.s.l. and 493 m a.s.l., assuming the measured impacting wave height of 162 m and a water depth of -122 m as input (Fritz et al., 2001). Slingerland and Voight (1979) confirmed that a height of about 160 m is required to recreate the maximum observed wave run-up. Heller and Hager (2010) applied the impulse product parameter to estimate the landslide-generated impulse wave main characteristics in Lituya Bay. Considering a slide impact velocity of  $92 \text{ ms}^{-1}$  (Körner, 1976), they predicted a wave height of 179 m based on the wave channel geometry.

Starting from the experiment of Fritz et al. (2001), Mader and Gittings (2002), Quecedo et al. (2004), Weiss and Wuennemann (2007), and as well Basu et al. (2010) numerically simulated the Lituya Bay 1958 tsunami event with a focus on the impact area by applying the Navier-Stokes hydrodynamic code in two dimensions. Mader (2001) applied the SWAN-code to model distinct wave trigger mechanisms. These studies stated that a straightforward landslide-generated tsunami leads to wave floods. If the slide would lift a volume of water equal to the slide volume upon the sea level, it results in less than one tenth of the observed one. Mader and Gittings (2002) simulated the Lituya Bay tsunami with the Navier-Stokes AMR Eulerian compressible hydrodynamic code (SAGE). With it, the maximum wave height was 250 m. It ran up to 580 m a.s.l. at the opposite slope.

Pastor et al. (2008) applied a coupling model in displacement and pore pressure together with a generalized plasticity model that describes soil behavior. Propagation is evaluated using a depth-integrated model with fluidized soil rheology. Slide and water interaction is simulated with a level-set algorithm that tracks the interfaces between air, water and solid. They computed a slide impact velocity of  $110 \text{ ms}^{-1}$  leading to a maximum wave amplitude of 226 m. To simulate the tsunami run-up, Weiss et al. (2009) used a hybrid model approach for the movement of deformable bodies in a U-shaped valley (comparable to the Gilbert Inlet). They obtained a maximum wave amplitude of 152 m and a maximum run-up of 518 m a.s.l. Basu et al. (2010) applied the drift-flux model implemented in the CFD software Flow-3D to simulate the landslide-generated impulse wave formation in the

impact area of Lituya Bay. Assuming an initial void fraction of 40 % for the rockslide material they predicted a maximum amplitude of 200 m and a maximum run-up height of around 673 m a.s.l.

The two-dimensional representation of Lituya Bay according Fritz et al. (2001) was also used in the context of a SPH (smoothed particle hydrodynamics) modelling approach by Schwaiger and Higman (2007), Chunqi et al. (2016) and Xenakis et al. (2017). SPH allows a better representation and simulation of the landslide material collapse process and its impact into the water body.

Ward and Day (2010) developed a new “tsunami ball approach” to simulate the impulse wave formation and propagation along the whole Lituya Bay. They predicted a wave height up to 150 m in the impact area and a run-up height of 500 m a.s.l. They considered a dual source for the tsunami event: the subaerial rockslide and a huge amount of subglacial sediments released in the bay after the rockslide impact in the water body. The resulted trimline is overestimated by the dual source approach, but only the subaerial rockslide as impulse wave trigger was not enough to explain the whole flooded area along the bay. Gonzalez-Vida et al. (2019) applied a finite volume Savage-Hutter Shallow Water coupled numerical model (HySEA). The resulting numerical simulations succeeded in reproducing most of the features of the tsunami event.

### **3 Methods, data and model set-up**

#### **3.1 Pre-event bathymetry and topography**

Digital data and cartographic material concerning the bathymetry and topography of Lituya Bay, dated before and after the tsunami event, are available. None of these data is closed enough to describe the exact configuration of the bay shortly before 9 July 1958.

The 1926 and 1940 bathymetry surveys (U.S. Coast and Geodesic Survey, 1942) show that the northeast limit of Lituya Bay has a U-shaped valley with steep slopes and a wide flat sea bottom, increasing constantly its depth until the maximum point of -220 m a.s.l. on the southern side respect to Cenotaph Island (Pararas-Carayannis, 1999), then decreasing in direction of the sea. In the area close to the bay entrance, the bay floor is at - 10 m a.s.l. in average.

Miller (1960) has been the first after 1958 who described the bay before the tsunami event (Fig. 1c). He describes the area between Cenotaph Island and Gilbert Inlet as a wide expanse with depths between -150 and -220 m a.s.l. He highlights the presence of two deltas on both sides in front of Gilbert Inlet. Miller (1960) mapped the topographic and the bathymetric contours pre-event. In the post-1958 surveys, these areas and deltas are not present (Ward and Day, 2010). The 1969 chart obtained from the 1959 survey (U.S. Coast and Geodetic Survey, 1969) shows a flat sea bed (green zones in Fig. 4a). A ridge divided the bay floor into two sub basins: a smaller one southeastern respect to the Gilbert Head (-156 m maximum depth), and a larger on south front of Cenotaph Island (-150 m maximum depth). A third survey published in 1990 (U.S. Coast and Geodetic Survey, 1990) gives the possibility to estimate the sedimentation rate in time. The two charts, first from 1942 to 1969 and second from 1969 to 1990, differ completely (see Figure 8 in Ward and Day, 2010). The north-eastern sub basin in front of the Gilbert glacier is filled by sediments. The bay floor decreases constantly between the Gilbert Inlet and the basin in front of Cenotaph Island. Ward and Day (2010) estimated that  $3 \times 10^8 \text{ m}^3$  of total material discharged into the bay after the tsunami event, filling it until the -130 m depth contour, resulting in a 70 m thick deposit. From the previous considerations, they propose a hypothesis to justify the whole infill of the bay between 1926 and the 1958 tsunami event. Given that: i) the sedimentation rate is assumed constant during the last century; ii) in 1936 a landslide collapsed in the bay (where the generated wave was 1/10 the size of the 1958 tsunami; Miller, 1960); iii)

the 1958 rockslide contained  $3-6 \times 10^7 \text{ m}^3$  of material (10-20 % of the total infill volume), and iv) soil, sub soil and bedrock have been eroded by the wave (about  $4 \times 10^6 \text{ m}^3$ , Miller, 1960); they suggested that the remobilized sediment located under the Gilbert Glacier contributed to infill the bay floor during the tsunami event. The volume generated from the displacement of the deltas in front of the Gilbert Glacier has not been considered.

All these considerations are useful to give a good interpretation of the bay pre-event configuration (Fig. 3b). The bathymetric data used for this study is provided by the National Ocean Service: Hydrographic Surveys with Digital Sounding. In particular, data from Survey ID: H08492, 1959, is used as reference bathymetry after the event since this survey is the closest to the 9 July 1958 tsunami event, and data from Survey ID: H04608, 1926, the closest previous to the event. The survey from 1926 has not enough resolution to provide an acceptable bathymetry in the whole bay. Nevertheless, it provides sufficient information of the pre-tsunami bathymetry in the areas at Gilbert Inlet and south to Cenotaph Island. As mentioned in Ward and Day (2010), the infilling material after the 1958 event covers an area that remains under the -120 – -130 m depth contours. In the map, contour lines defined by Miller (1960) (red dashed lines in Fig. 3a) from a depth of -122 m to -220 m show the bay bathymetry before the infill. From these considerations, the elevation points from the Survey ID: H08492, 1959, are taken as representative of the shallower part of the bay floor (from the surface to -120 m depth). Below -120 m depth, the elevation points from the Survey ID: H04608, 1926, are considered to be representative of the deeper area of the bay floor. Due to few data, the contour lines defined by Miller (1960) are used to better reproduce the shape of the bay floor. In addition, for flatter parts, lines are set between different elevations points to allow a more accurate interpolation for the bay floor surface reconstruction. The delta in front of the Gilbert Glacier (Fig. 3a) is reproduced considering the information given by Miller (1960).

The topographic surface pre-event is reproduced starting from the current digital terrain model (5 m resolution) available from the DGGs Elevation Portal of Alaska. Contour lines of 5 m are used to recreate the topography. Where necessary, contour lines of 1 m are also used to highlight some details that influence the estimation of the flooded area (as steeper slopes, hills or specific curves). The observed trimline and the run-up records (red spots in Fig. 3b) are used as references to define the required spatial extent of the model topography.

Manual modifications of the DTM are provided at the Gilbert and Crillon Inlet locations in order to recreate those geomorphological elements that have been displaced and washed away during the landslide impact and wave formation. The Gilbert Glacier body is recreated starting from the descriptions provided by Miller (1960) and the available cartography (see Figure 16 in Miller, 1960), so the shape of the two deltas located in front of the glacier.

The same is done for the Crillon Inlet. Miller (1960) describes a scars area located northern of the maximum observed run-up as pre-existent the tsunami event (Fig. 2b). He reports only a little scar exactly under the maximum run-up that could form after the tsunami event. Also the pre-event shoreline is reproduced starting from the descriptions provided by Miller (1960).

The bathymetric and topographic surfaces are recreated and exported to stereolithography files (stl) by use of the software Rhinoceros 6 (<https://www.rhino3d.com/>).

## 3.2 Model setup and computational details

### 3.2.1 Solver methodology

For computational modelling the CFD software Flow-3D (Harlow and Welch, 1965; Welch et al., 1966; Hirt and Nichols, 1981; Flow Science Inc., 2018) is applied. Its solver is based on a finite volume formulation in an Eulerian framework. The partial differential equations express the conservation of mass, momentum, and energy of the fluid

in the computational domain. The software enables the possibility to simulate two-fluid problems, incompressible and compressible flows, and as well flow conditions at highly different Reynolds-numbers (laminar, turbulent). Flow-3D solves the Reynolds-averaged Navier-Stokes equations (RANS) adopting the Fractional Area/Volume Obstacle Representation (FAVOR) (Hirt and Sicilian, 1985) and the Volume of Fluid (VOF) (Hirt and Nichols, 1981) method.

The Reynolds-averaged Navier-Stokes and the continuity equations are expressed as follows (Hinze, 1975):

$$\frac{\partial \bar{u}_i}{\partial t} + \bar{u}_j \frac{\partial \bar{u}_i}{\partial x_j} = -\frac{\partial p}{\partial x_i} + \nu \frac{\partial^2 \bar{u}_i}{\partial x_i \partial x_j} - \frac{\partial T_{ij}}{\partial x_j} \quad \text{for } T_{ij} = \overline{u'_i u'_j} \quad (1)$$

$$\frac{\partial \bar{u}_i}{\partial x_i} = 0 \quad (2)$$

where  $\bar{u}$  is the Reynolds-averaged fluid velocity,  $p$  is the Reynolds-averaged pressure (divided by the density  $\rho$ ),  $\nu$  is the kinematic viscosity of the fluid and  $T$  is the Reynolds-stress term (which include the reaction of the turbulent motion on the mean stresses) (Hinze, 1975).

The VOF method is a two-phase solution where the grid includes both the water and air. With this approach every cell in the mesh has a fraction of water ( $F$ ), which is equal to 1 when the cell is fully water-filled and 0 when it is air-filled. In a case between 0 and 1, the cell comprises the free water surface. A transport equation is thus considered as follows (Rady, 2011):

$$\frac{\partial F}{\partial t} + u \frac{\partial F}{\partial x} + v \frac{\partial F}{\partial y} + w \frac{\partial F}{\partial z} = 0 \quad (3)$$

where  $u$ ,  $v$  and  $w$  are the components of the fluid velocity  $u$  in  $x$ -,  $y$ - and  $z$ -direction.

The FAVOR-algorithm (Hirt and Sicilian, 1985) permits the definition of solids within the orthogonal computational grid and computes areal and volumetric fractions of blocked volumes of each computational element. A set of turbulence models is implemented in order to cope with the problem in context of the RANS-equations and to simulate turbulent flow conditions respectively. For this work, the RNG-model (Yakhot and Smith, 1992) is used. It adopts statistical models to calculate the two model parameters, the turbulent kinetic energy and the turbulent kinetic energy dissipation rate.

Several tools and parameter modules are useful to simulate a body sliding along a slope and impacting a water basin, depending on which kind of gravitation process is going to be simulated (rockfall, rockslide, rock avalanche or snow avalanche). To use a denser fluid, in respect to the seawater density, for the sliding mass is a suitable concept for those gravitational processes that behave more like a fluid during their collapse and run-out process. The use of this solution to qualitatively recreate the sliding process (similar to the model described by Miller, 1960 and Fritz et al., 2001) is a well suited approximation for the impact modelling of the Lituya Bay event. Both the first and the second order approaches for the density evaluation implemented in Flow-3D are adopted to simulate the two fluids and their interaction. These models (first or second order) calculate a distinct density transport equation and perform the motion of two different fluids (of different densities) in the domain, thereby simulating two fluids together with a free surface (Flow Science Inc., 2018).

All simulations are run with the same computation resource with the following hardware components:

- Processor: Intel® Core™ i7-3820 CPU 3.60 GHz,
- RAM: 32 GB,
- System type: 64-bit Operating System,
- Graphic card: GeForce GTX 6602 (Integrated RAMDAC, total available memory 4096 MB),



- Number of core license tokens checked out: 8 (Flow-3D parallel license code).

### 3.2.2 Denser fluid setup

The cliff material consists mostly in amphibole and biotite schists with an estimated density of the undisturbed rock of  $2700 \text{ kgm}^{-3}$  (Table 1). The sliding mass dimension before the collapse is well known. The thickness of the slide has been defined by Miller (1960). The mass of the rockslide is described as a rock prism with a triangular shape (along a vertical section) with a width varying from 730 m to 915 m (Miller, 1960; Slingerland and Voight, 1979; Fig. 1c). The length results in 970 m along the slope (Slingerland and Voight, 1979, Table 1). The maximum thickness results in 92 m; the center of gravity is located at 610 m elevation (Miller, 1960; Table 1, Fig. 1c). Miller estimated the volume of the sliding mass to be about  $30.6 \times 10^6 \text{ m}^3$  with an elevation from 230 to 915 m a.s.l. Since the concept of a denser fluid is adopted, a bulk slide volume and a bulk slide density, respectively  $51.0 \times 10^6 \text{ m}^3$  and  $1620 \text{ kgm}^{-3}$ , are used for the denser fluid simulation (Table 1). As done in Fritz et al. (2001), the reduced bulk density of  $1620 \text{ kgm}^{-3}$  considers a void content of  $n=40 \%$  (Table 1). The used porosity is based on data from debris flows observed in the Alpine Region (Tognacca, 1999). This is not entirely representative of the real rockslide material but gives an appropriated approximation for the trigger mechanism of the landslide-generated impulse wave.

### 3.2.3 Model concept analysis

An idealized 3D model of the Lituya Bay topography as a bucket shape is assumed for the model concept analysis (Fig. 4a), starting from the information provided by the 2D-numerical simulations proposed by Basu et al. (2010) that resume the experiment of Fritz et al. (2001). The simulation time is set to 60 seconds. Terrain model and as well the computational domain are presented in Fig. 4a.

Different slope angles of 35-40-45 degrees are set to verify the influence of the impact angle on the impact velocity (Fig. 4b). Despite the change in inclination, in all simulations the difference in heights is maintained equal, thus the geometrical set-up is done in the way that this difference is measured from the sea level to the upper edge (915 m a.s.l.) of the denser fluid at the initial position (Fig. 4b). To ensure the same volume and shape, the center of gravity (as well as the lower edge of the denser fluid) is at different heights for different slope situations.

The computation domain has its origin located at the bay floor, assumed to be -122 m below the sea level (Table 2). An orthogonal grid comprising a uniform cells size of 10 m is defined for these models. The grid includes the air space above the bay between the headlands to accommodate the waves according the VOF-algorithm (Basu et al., 2010).

The boundaries are specified as outflow on the free sides of the idealized topography to allow the fluid to flow out of the model without any kind of interaction or reflection. The extent of the flow domain is set in the way that the fluid interacts mostly with the boundary that represents the bay floor and inland slopes (left and right boundaries).

The presence of the glacier and possible virtual walls to constrain the fluid during its movement along the slope is also considered (Fig. 4a). With regard to the evaluation of the modelling concept, it is expected that the impact velocities stay within the interval  $90\text{-}110 \text{ ms}^{-1}$  (Table 2).

The initial fluid in the bay represents typical seawater conditions and features a density of  $1035 \text{ kgm}^{-3}$  (Table 1).

### 3.2.3 Modelling of the impact area and the whole bay

Further simulations in the impact area included the topography surface and the recreated bathymetry (Fig. 5, Table 2). The simulation time is 70 seconds. Different uniform cell sizes are set up for these simulations (20 m, 10 m and 5 m) in order to verify the accuracy of the results in function of the grid resolution (Table 2). The simulation domain extends 1600 x 4000 m in X - Y direction and 1200 m in elevation. The same boundary conditions as used for the model concept analysis are set for these simulations. The denser fluid shape is redefined starting from satellite images and cartographic material pre-event. The resulting volume is readapted to the detachment area. The maximum used slide thickness of 134 m is equivalent to 1.4 times the thickness of 92 m provided by Miller (1960). This increase of 40 % in thickness was considered also by Fritz et al. (2001). They adopted this rise in slide density to compensate for the void fraction current in granular flow to match the slide mass-flux per unit width. The same concept has been assumed for the fluid mass in this part of the work.

For the impulse wave propagation along the whole bay, the domain extends 6810 x 13575 m in X - Y direction and 1200 m in elevation (Fig. 5, Table 2). The simulation takes a time of 7 minutes. Uniform and non-uniform cells of different size are set up (20x20x20 m, 20x20x10 m and 15x15x10 m). At the domain limits at Gilbert and Crillon Inlets and at the seaside, the outflow boundary condition is set to allow the wave to flow out from the model domain.

Control points (Fig. 5) representing specific records of run-up are set in order to validate the results. Several observation gauges (history probes) are set along the entire model domain to achieve information regarding impact time, impact velocity, wave propagation speed and characteristics as water surface elevation (or wave amplitude), flow velocity magnitude (total velocity considering all the vector components) and their trend in time. In the impact area, probes P1-P2-P3 are located along the main wave flow direction (Fig. 5), for a distance  $x_o$  of 45-688-1342 m respectively from the impact point. Other history probes are set parallel to the bay length (Fig. 6), starting in front of the delta in correspondence of the Cascade Glacier for a distance  $x_o$  of 600 m (P4), 3100 m (P5), 5600 m (P6), 6600 m (P7N/P7S, both located laterally respect Cenotaph Island), 8100 m (P8) and 10600 m (P9).

The surface roughness in Flow-3D consists of two components: The first results from the preprocessing phase of the considered solid structures (stl-files) with the FAVOR-method. Depending on the mesh structure and resolution it features divergences from the original solid structure. The computational geometry usually features a rougher surface than the solid structure in the case that the mesh orientation does not fit perfectly with the surface slope. Furthermore, an additional roughness parameter, defined as equivalent grain roughness (m), can be set for each solid structure to consider for example vegetation.

The computations in this study are set-up mainly with an almost smooth topographic surface (equal to 0 m in additional equivalent grain roughness). In order to verify the influence of the vegetation on the inundation process and the trimline definition, simulations with values of 1 m and 2 m are set up for simulations with a grid resolution of 15x15x10 m.

## 4 Results

### 4.1 Evaluation of the denser fluid concept

Several preliminary simulations are accomplished with the numerical model in order to test the concept of the “denser fluid” in respect to the seawater density for the impacting fluid. Different configurations are investigated: a) different slope angles (35-40-45 degrees), b) absence or presence of the Gilbert Glacier (as a vertical wall of 100 m a.s.l.), and c) use of virtual walls to constrain the denser fluid during movement along the slope. This is

done to observe the reaction of the wave in dependence of the changes in these options in comparison to the simple bucket shape.

The whole process reflects what resulted from the experiment of Fritz et al. (2001), where they describe the high velocity of the slide impact process with the following two main steps: a) the impact of the slide, with the emergence of cavity effects and generation of the impulse wave, and b) the collapse of cavity effects and components mixing phase processes. The formation of a large air cavity after the initial impact is well observed in the computational model.

The results of the model concept analysis are discussed in this context in the following:

- The denser fluid reaches the water body within 10-14 seconds. Maximum velocity varies between 92-114  $\text{ms}^{-1}$  as a function of the modelled impact angle (Fig. 6c). Since the denser fluid does not act as a non-deformable body during the moving process along the slope, also its center of gravity changes position during movement. This fluid deformation has a considerable influence on the impact velocity. In Figure 6 the fluid velocity during the impact process is shown for every considered slope angle. An upper and lower limit (dashed black lines) define a reasonable velocity interval for the center of gravity hitting the water body (values obtained from the equation 3.1 provided by Heller et al., 2009, with a dynamic bed friction angle  $\delta$  of 0 and 14 degrees).
- The presence of virtual constraining wall does not significantly influence the impact velocity, while it avoids the mass to spread along the slope during its movement.
- The impulse wave is formed and reaches its maximum height after 9-10 seconds from the impact, with a wave amplitude ranging between 203-220 m.
- The presence of the constraining walls increases the wave amplitude of 5 to 15 m. This means that the shape of the denser fluid (fluid thickness), as it is constrained by the walls at the impact, influences the wave characteristics more than the impact velocity. The presence of the glacier does not influence the wave formation.
- The additional presence of the glacier, together with the constraining walls, affects and increases the impulse wave just before the impact on the opposite headland (20 seconds after the slide impact). Here the wave amplitude ranges between 156 and 217 m. It is observed that the wave has no possibility to complete its breaking process, hitting very violently the opposite headland and starting its run-up process along the slope.
- Different maximum run-up values result for different model configurations. They overestimate the observed one, ranging from 570 to 790 m a.s.l. between 36-38 seconds after the slide impact and 16-17 seconds after the wave hits the opposite headland. Once the maximum run-up is reached, a backflow of the wave is observed.
- Closer run-up values to 524 m a.s.l. are found for calculations considering the simple bucket shape of the bay, without the presence of the glacier and walls. Considering these two elements in the model, the maximum observed run-up is highly overestimated.
- It is noticed that the use of different order approaches for the density evaluation influences the interaction between the two fluids. With the first order approach, the mixing process leads to a change in density of the denser fluid from 1620 to about 1250  $\text{kgm}^{-3}$ . With the second order approach density changes to 1400  $\text{kgm}^{-3}$ . In both cases, a part of the denser fluid runs up a short distance on the opposite slope. The use of one approach or the other does not influence the wave characteristics.

The main task of several authors was to reproduce the impulse wave formation and reach the observed run-up. Once the wave run-up could reproduce this value and flows back, it was supposed to have obtained a reliable result and a good reproduction of the Lituya Bay 1958 tsunami event. However, this is not properly correct if the complete run-up process is taken into account. The wave actually did not stop at 524 m a.s.l. but overtopped the hillcrest and continued to flow diagonally along the slope to the other side for a distance of about 1 km before re-impacting the sea (Fig. 1c, 2b). An overestimation of the maximum run-up, in these simplified simulations, makes sense to allow the further overtopping at the hillcrest. More "power" is needed to reproduce the phenomena and what has been observed in the whole bay and in the impact area. For the model concept with a topographic surface, the presence of the glacier (and walls to constrain the denser fluid during the movement along the slope) might be necessary to recreate the impulse wave formation and run-up at the head of Lituya Bay.

#### 4.2 Wave formation and run-up

A topographic and bathymetric surface of the impact area is set up and the shape of the denser fluid is readapted to the detachment area (Fig. 5, 7a). What changes in here with respect to the model concept analysis is: a) the slope angle is not constant, but ranging from  $45^\circ$  at higher elevation to  $35^\circ$  at the shoreline, b) the volume of the seawater involved in the numerical model since the deltas were not considered in the simplified simulations (about  $1.73 \times 10^6 \text{ m}^3$  of seawater respect  $3.34 \times 10^6 \text{ m}^3$  in the model concept analysis). This can have a significant influence regarding the water volume involved in the wave formation and run-up.

The main task of this part of the work is to investigate the wave characteristics after the impact of the denser fluid, to simulate the maximum run-up but also to simulate the overtopping process and the flow path along the slope on the other side in respect to the Gilbert Inlet and recreate the related trimline.

The detachment area, where the rockslide failed, is confined on the left side from the topographic surface, while on the right side two scar channels are presented (Fig. 2a, 7a). These are related to other smaller rockslides that occurred during the earthquake but were not involved in the impulse wave formation (Miller, 1960). For this reason, a constraining wall (invisible in the images) is set only on the right side with respect to the rockslide described by Miller (1960). A simulation without the wall is also set up to observe the eventual fluid collapse and impact process.

The results obtained (Fig. 8) vary according to the adopted uniform grid resolution (20-10-5 m) (Fig. 5). Best fit with the observed maximum run-up in the impact area can be achieved using a uniform grid resolution of 5 m.

Following, a description of the wave formation and run-up resulting from the simulation with 5 m grid resolution and the adopted second order approach for the density evaluation is provided. This model takes 30 hours to run.

- 0-15 s: The denser fluid reaches the sea after 10 seconds with a maximum velocity of  $93 \text{ ms}^{-1}$  and a maximum thickness of 79 m (P1 -  $x_0=45 \text{ m}$ , Fig. 7c, 8a). The depth averaged velocity varies from  $40 \text{ ms}^{-1}$  in the upper part to  $90 \text{ ms}^{-1}$  in the lower part of the fluid during the movement (Fig. 7).
- 15-30 s: After 24 seconds from the denser fluid release the maximum estimated wave amplitude results to 208 m with a flow velocity of  $78 \text{ ms}^{-1}$  (P2 -  $x_0=688 \text{ m}$ , Fig. 7b). Little further ( $x_0=885 \text{ m}$ ) the wave maintains its height to start its breaking process. A part of the wave flows also on the glacier. The wave front runs up the delta and the following slope (Fig. 7c).
- 30-35 s: The whole wave crashes on the opposite headland after 30 seconds from the denser fluid release (20 seconds after the impact), with a variable water surface elevation of 129-147 m a.s.l and a flow

velocity between  $50\text{--}70\text{ ms}^{-1}$  (P3  $x_0=1342$ , Fig. 7c). The wave breaking stage is not complete: it partially breaks when it flows on the delta.

- *35-50 s*: The wave runs up the headland and the scars located upon the delta. The maximum observed run-up (524 m a.s.l.) is reached after 46 seconds (36 seconds after the denser fluid impact, Fig. 9) with a flow depth of 4-10 m and a flow velocity of  $12\text{ ms}^{-1}$ . Part of the wave body overtops the hillcrest, but a backflow is also observed.
- *50-70 s*: The wave flows on a diagonal direction compared to the slope, with a depth average velocity of  $50\text{--}70\text{ ms}^{-1}$ . The wave reaches the seaside 8 seconds after the maximum run-up (54 seconds from the denser fluid release, Fig. 9). The flow depth is about 15 m with a flow velocity of  $70\text{ ms}^{-1}$ .

It is noticed that the left part of the denser fluid is well constrained by the actual topography (Fig. 7b, c) during the collapse process. Avoiding the wall on the right side, the mass largely spreads and collapses on the glacier, losing a great amount of volume involved in the impact process and decreasing the wave formation. The presence of the wall constrains the denser fluid on this side and allows it to collapse in the water body. In addition, the Gilbert Glacier acts also like a constraining wall and the delta in front of the glacier as a ramp.

The maximum wave amplitude of 208 m is located upon the terminal front of the delta on the bay floor (where the history probe P2 is located, graph in Fig. 8b). In here, the wave celerity is estimated with  $55\text{ ms}^{-1}$  (from the equation 2.2 provided by Heller et al., 2009). The wave starts to break because of its interaction with the decreasing bay floor depth. Fritz et al. (2001) observed the maximum wave height ( $> 200\text{ m}$ ) at  $x_0=600$ , while at  $x_0=885$  they reconstructed a wave height of 152 m.

The presence of the scars area on the right side of the maximum run-up has a key role in the run-up process, since it allows the wave to run-up along a channel (Fig. 9) and reach the elevation of 524 m a.s.l. This observation supports the topography description provided by Miller (1960). Despite the reproduction of the expected overtopping over the hill and the flow process on the other side of the slope, the resulting trimline appears underestimated compared to the observed one (light blue in Fig. 12b).

Additionally, if 524 m a.s.l. is the maximum run-up elevation observed from the trimline, this is not clear in the scars area on the right side since there are no evidences of a forest trimline. With regard to the simulation results, it appears that the maximum run-up has reached an elevation up to 600 m a.s.l. in this part of the slope.

### 4.3 Impulse wave propagation

The aim of these simulations is to reproduce the wave propagation along the bay, to understand how the waves interact and inundate the inland and to recreate the actual trimline. For the wave propagation, only the second order approach for the density evaluation has been used. Observation gauges for water level measurement allow more insights in the wave characteristics during the propagation and to observe the wave attenuation along the bay to the seaside (Fig. 5).

The results obtained from the simulations vary depending on the resolution of the computational grid. A description of the wave propagation and inundation resulting from the simulation with  $15\times 15\times 10\text{ m}$  grid resolution is provided (details are referred to the primary wave front). The model takes 165 hours to run completely.

- *0-60 s*: Over the impact area, the wave starts to propagate resulting in a water surface elevation of 40 m a.s.l. and a flow velocity of  $9\text{ ms}^{-1}$  at P4 ( $x_0=600$ , Fig. 10a).

- 60-120 s: The wave propagates in open water; at P5 ( $x_0=3100$ , Fig. 10b) a water surface elevation of 39 m a.s.l. and a flow velocity of  $19 \text{ ms}^{-1}$  are recorded, due to the amount of water flowing down from the slope with high velocity. An impact on the southern side of the bay in front of the Gilbert Inlet is observed, where a secondary wave front is generated due to reflection. The primary wave front reaches the Mudslide Creek delta and floods the inland with a depth-averaged velocity of  $20\text{-}30 \text{ ms}^{-1}$ . The second highest run-up results overestimated with 233 m a.s.l. about 94 seconds after the release of the denser fluid (the observed one is 208 m a.s.l.).
- 120-200 s: The wave splits into two fronts approaching and impacting Cenotaph Island (water surface elevation of 22 m a.s.l. and  $8 \text{ ms}^{-1}$  of flow velocity recorded at P6,  $x_0=5600$ , Fig. 10c). On the southern side, the wave slightly slows down due to attenuation process, with a water surface elevation of 19 m a.s.l. and a flow velocity of  $5 \text{ ms}^{-1}$  at P7S ( $x_0=6600$ ). The steep slopes on the southern side of the bay are completely flooded by the wave (Fig. 10d). On the northern side of the island, where the bay floor gets more shallow (depth 20-40 m) and narrow, the water surface elevation results in 15 m a.s.l. with a velocity of  $7 \text{ ms}^{-1}$  at P7N ( $x_0=6600$ , Fig. 12d). This is probably due to a breaking process.
- 200-280 s: Due to diffraction, the waves turn around the island and flood the western side of Cenotaph Island. The wave front from the southern channel comes first, as observed at P8 ( $x_0=8100$ , Fig. 10d,e) resulting in a flow velocity of  $4 \text{ ms}^{-1}$  and a water surface elevation of 10 m a.s.l. The flatter northern side of the bay is flooded (Fig. 10e).
- 280-340 s: The wave reaches the maximum distance of 1400 m flooding the area in front of Fish Lake with a depth-averaged velocity of  $10\text{-}25 \text{ ms}^{-1}$  and an according water surface of 15-5 m from the ground (Fig. 10f). The wave approaches to the mouth of the bay, resulting in a water surface elevation of 13 m a.s.l. and a flow velocity of  $5 \text{ ms}^{-1}$  at P9 ( $x_0=10600$ , Fig. 10f). The second wave front reaches the first one, resulting in a long period wave; it takes 180 seconds to pass over the history probe P9 (from 240 seconds to the time limit of the simulation, 420 seconds).
- 340-420 s: After 340 seconds from the release of the denser fluid the wave reaches the seaside, flooding completely La Chaussee Spit and the nearby areas with a depth-averaged velocity of  $10\text{-}20 \text{ ms}^{-1}$ .

The main wave is mainly responsible for the forest destruction, but secondary reflected waves along the bay also contribute to the observed trimline. A clear example is the wave reflected from the Mudslide Creek impacting the opposite northern slope of the bay at 140 seconds (Fig. 10c). Other secondary wave fronts spread from the bay head due to several reflections of the backflow in front of the Gilbert Inlet.

In Figure 11a the wave propagation in time for the primary wave front is illustrated. The reported values represent a mean value of propagation speed for each space interval, starting from the records provided by the gauges located along the bay, considering the wave front position at the time of its passage upon every singular gauge (Fig. 11b) and adopting flow path lines for distance estimation. The wave attenuation process, both in terms of wave amplitude (from 40 to 18 m) and mean propagation velocity decreases (from  $40 \text{ ms}^{-1}$  to  $17 \text{ ms}^{-1}$ ), proceeds from the head of the bay until the seaside. Higher values of the mean velocity are found between P4 and P5 (due to the water flowing down from the slope and impacting the sea with high velocity, thus accelerating the wave) and between P7S and P8 (due to the deepest bay floor, inducing a local increase of the wave propagation velocity).

Dashed lines represent the secondary wave in time. Its role becomes relevant after the gauge P6: the second front

approaches the first one evolving in a whole wave body between P9 and La Chausse Spit (C.S. in the graph), inducing an increase of the wave amplitude (from 13 to 18 m) before the breaking process.

Independently from the grid resolution, general discrepancies in the trimline definition are observed (Fig. 12a,b). Some areas result underestimated, as for example the slopes on the southern side of the bay head, the western part of Crillon Inlet and the Mudslide Creek location. Others are overestimated at the Cascade Glacier location, the second highest run-up after the Mudslide Creek and southern of Cenotaph Island.

The adoption of different values of relative roughness for the topographic surface (0-1-2 m, Fig. 12c) results in an evident change for the inundation process. As shown in Figure 12c, important differences in the flooded area are evident on flatter locations, mainly presented in the western region of the bay. Additionally, adopting a roughness of 2 m, the second maximum run-up at the Mudslide Creek results in 210 m a.s.l. Therefore, the trimline obtained from the simulation with 2 m of relative roughness, for a grid resolution of 15x15x10, is very close to the observed one, even if some small under and overestimation are still present. However, it is noticed that also the simulation with a uniform grid resolution of 20 m can reproduce the tsunami trimline well.

The fluids mixture process and the submerged propagation of the denser fluid along the bay floor takes place using the second order approach for the density evaluation. At the end of the simulation the denser fluid reaches a distance up to 4 km from the impact point, still propagating with a low velocity of 5 ms<sup>-1</sup> and a thickness about 35 m. The bulk slide density of the denser fluid decreases during the propagation from 1620 kgm<sup>-3</sup> to approximately 1080 kgm<sup>-3</sup>, which is close to the seawater density.

## 5 Discussion

To accurately simulate landslide-generated impulse wave dynamics in lakes (or fjords) and inundation processes, a high-quality and detailed reconstruction of the bay configuration pre-event is required, especially in areas where the wave characteristics (as height and velocity) change rapidly and drastically (as in the impact area). No high-resolution data pre and post 1958-event as bathymetry and topography are available for the Lituya Bay. The use of the most recent DTM together with data and information provided by several sources for the case study area and the bay bathymetry before and after the event allows a reliable reconstruction of the bay configuration previously to the event. This has a high influence on the model performance and its outputs.

The use of virtual walls and their effects was first investigated in the model concept analyses before being considered in the simulations with the topographic surface (section 4.1 and 4.2). The absence of the walls allows the fluid volume to expand during the movement process, while the presence of the walls constricts the fluid until the impact into the sea. This mostly influences the wave characteristics close to the impact location during the propagation phase and the further run-up on the opposite slope.

In the simulations with the topographic surface, the topography performs as a normal constriction for the dense fluid at the SE boundary of the scar area (Fig. 7). While on the NW border the presence of the wall has been adopted as a simple solution to compensate the lack of topographic elements due to the presence of scars related to secondary rockslides not involved in the wave generation (Fig. 2a). Since it is understood that almost all the main rockslide volume impacts the water body and generates the impulse wave, the presence of the virtual wall avoids the lack of a part of the moving denser fluid volume to impact the water body. In the contrary case, it would disperse and impact on the glacier, resulting in a decrease of wave amplitude and run-up.

Uniform and non-uniform computational meshes with different grid resolutions have been used to simulate the wave formation and propagation. For the impact area uniform mesh blocks are set, with resolutions of 20-10-5 m.

For the whole bay, uniform and non-uniform resolutions as 20x20x20 m, 20x20x10 m, 15x15x10 m are used. As expected, the outputs vary according to the resolution of the simulation. More accuracy for finer meshes is due to the computation process and the generated computation surface (e.g. roughness), resulting in more accurate representation of the natural bathymetry and topography.

In the impact area, it appears that the denser fluid and flow characteristics, using a uniform grid resolution of 20 m, result in lower values respect to the ones obtained with a grid resolution of 5 m, except for the flow velocity at  $x_0=1342$  m and for the thickness of the denser fluid (graphs in Fig. 8a).

Concerning the wave propagation (water surface elevation and flow velocity, graphs in Fig. 10), it is noticed, that a grid resolution of 20x20x10 m roughly approximates the results using a grid resolution of 15x15x10 m. Adopting a resolution of 20x20x20 m results mostly in an underestimation of the wave characteristics, where a delay, compared to the other trends, of a few to 12 seconds can be observed.

In order to verify improvements of the outputs accuracy for finer used resolutions, a conformation of difference reduction in flow characteristics values, between each refinement, is provided. The percentage difference and root mean square error (RMSE), starting for the series of data recorded from the gauges, are thus estimated. The finest used mesh (15x15x10 m) is taken as a standard. Concerning the water surface elevation, the estimate shows an improvement of the accuracy of the resulting data with a percentage difference of  $-39 \pm 119$  (RMSE of 4.83 m) and  $-16 \pm 68$  (RMSE of 2.25 m) from the uniform resolutions of 20 m and non-uniform one of 20x20x10 m. An improvement of the accuracy of the flow velocity with a percentage difference of  $-21 \pm 62$  (RMSE of  $2.02 \text{ ms}^{-1}$ ) and  $-16 \pm 45$  (RMSE of  $1.07 \text{ ms}^{-1}$ ) from the resolutions of 20 m and 20x20x10 m is also noticed. This comparison of the computational results covers water surface elevations and velocities not only for the local maxima but during the entire simulation periods. This means that already small temporal delays in wave propagation lead to distinctive statistical parameters when comparing two simulations with nearly identical maxima of amplitude and flow velocities with each other.

The influence of different grid resolutions on the outputs can be clearly observed in the estimated run-up (cross sections in Fig. 13). Adopting the second order approach for the density evaluation, the maximum run-up, in the impact area results to 390 m a.s.l., 450 m a.s.l. and 524 a.s.l. m for a uniform grid resolution respectively of 20-10-5 m (Fig. 13a). The second highest run-up at Mudslide Creek results to 209 m a.s.l., 220 m a.s.l. and 233 m a.s.l. for a grid resolution of 20x20x20 m, 20x20x10 m, 15x15x10 m respectively (Fig. 13b). The present divergence with mesh refinement, for the run-up values in the two different locations, is explained considering the 3D effect of the topography and the direction of the wave approaching the inland and runs upon the topographic surface (from the front in case of section A-A' and from the side in case of section B-B').

Discrepancies in resulting trimline with respect to the observed one (Fig. 12) can be related to different sources: a) to computation errors propagation, b) to the impossibility to sufficiently reduce the grid resolution given the required computational power and memory, c) errors in the reconstruction of the bathymetry, topography and shoreline in some areas of the bay, thus a not adequate seawater volume to generate the wave, and d) the adoption of a smooth surface (zero relative roughness) for the topography surface.

Some instabilities occurred during the calculation for the finer meshes. These are noticed to be mostly caused by isolated fluid drops as result of free surface breakup (persistent fraction packing locations due to high splashing or foaming; Vanneste, 2012). To avoid instabilities, the CFPK (fraction packing coefficient) has been reduced by a factor of 10 in the advance numerics option in Flow-3D.



The estimated trimline, for the coarsest resolution used (uniform - 20 m), results in an evident underestimation at Gilbert Inlet but, on the contrary, appears to be quite close to the observed one along the whole bay. An intermediate grid resolution (uniform - 10 m in the impact area and non-uniform - 20x20x10 m for the whole bay) gives still an underestimated trimline at Gilbert Inlet, and results in a slight overestimation along the entire bay.

The finest grid resolution used (uniform – 5 m in the impact area and non-uniform – 15x15x10 m for the whole bay) results in a more accurate trimline, though some under- and overestimations are still obvious. The adoption of a relative roughness higher than 1 m avoids some of these overestimations, bringing the resulting trimline closer to the observed one. Additionally, it is observed that a value of roughness higher than 0 m avoids the splashing of water on the topographic surface, allowing an easier definition of the inundated area and a shorter simulation duration.

Concerning grids and the limits with regard to the computation times, the resolution of 15x15x10 m leads to the maximum manageable number of cells for this model (total cells: 50,458,234; active fluid cells: 7,254,191; solid sub-domain cell: 835,184). A resolution of 10x10x10 has also been tested (active fluid cells: 16,176,884). Despite the use of a more powerful machine (and a parallel license tokens using 32 cores), the simulation could not be completed within a couple of weeks. This can be due of high instability in the model, possibly related to splashing (a reduced value of CFPK did not avoid instabilities in this model).

It is noticed that the mixing process between the two fluids strongly depends on the order approach for the density evaluation. As showed in Fig. 14a, b, c the first order allows the fluids to mix fast immediately after the impact, during the air cavity collapse and the run-up. Whereas with the second order approach (Fig. 14d, e, f), separation of the fluids is much more remarkable. The use of specific order affects the slide material behavior during its run-out process, where the first order approach leads to a larger dispersion of the denser fluid inside the seawater.

The described mixing process in section 4.3 is not representative for the Lituya Bay rockslide underwater run-out, since the denser fluid model is adopted at the Gilbert Inlet to recreate the sliding body. Regarding the material deposited in the bay after the tsunami event, and considering the available information provided by literature (section 3.1), it is plausible to consider that the disintegrated rockslide mass did not totally infill the bay floor. The contribution of the material generated from the deltas displacement, the sediment released by the glacier and the eroded soil from the inland has to be as well taken into account.

## 6 Conclusion

In this study, the Lituya Bay 1958 tsunami event was reproduced. With respect to previous works, we provide an improvement over the studies that limit in reproducing the physical scale test of Fritz et al. (2001), recreating the bay configuration pre-event and adopting a specified dataset provided by literature. From the numerical modelling perspective, while most of the previous simulations were setup in 2D, we adopted a 3D-numerical modelling approach implemented in Flow-3D to recreate the wave dynamics in the whole bay. In this way, we expanded existing knowledge on this complex physical phenomenon regarding the wave formation, propagation and the 3D effects on the wave characteristics due to the interaction with the recreated bay surface.

Our results attest that a good model can represent what actually happened during the entire event and give a better understanding of the Lituya Bay tsunami event on 9 July 1958. The impact area and the whole inundated bay have to be analysed separately to get more details into the entire process.

The reconstruction (or definition) of a realistic, reliable and detailed bathymetry and topography is recommended for an impulse wave simulation since the surface generated by the computation grid influences the definition of

the inundated area during wave propagation and inundation. Having reliable bathymetry data, realistic depth and shape information of the bay floor before the event enables the simulation of a reliable interaction between the impulse wave and the bay floor, e.g. to observe the wave behavior during its propagation (breaking process or maintaining its shape and characteristics).

5 A detailed topography allows simulating a trimline as similar as possible to the observed one. This is depending on the surface generated by the computation grid and its spatial resolution. A high grid resolution can highlight topography details that can be fundamental to estimate the flooded area. The definition of the pre-event shoreline is relevant, mostly where it has been extremely modified by the tsunami event. This happens principally in the impact area, where the rockslide entered into the water body and the tsunami featured highest intensities (in terms  
10 of velocity and water height). In general, this highlights the need for adequate pre-event information of the terrain, especially in regions with lower water depths and impact with the surrounding ground.

The following main conclusions are reported:

- The model concept analysis reliably reflects results from experiments and numerical simulations proposed by Fritz et al. (2001) and Basu et al. (2010), despite an overestimation of the run-up values. It  
15 is observed that a “dense fluid” is a suitable, simple concept to recreate the impact of a sliding mass in a water body, in this case with an impact velocity about of  $92\text{--}114\text{ ms}^{-1}$  (for a slope inclination of 35 degrees, Fig. 6c). For this concept, the consideration of the bulk slide volume and density is adequate for the reproduction of the impact intensity. The presence of the Gilbert Glacier and virtual walls to constrain the slide material during the collapse process has a crucial influence on wave formation and run-up.
  - It is demonstrated that the rockslide represents the main trigger for the impulse wave generation in Lituya Bay (as proposed by Fritz et al., 2009), and for the forest destruction under the trimline. The simulated fluid impacts into the water body reproduces the wave dynamics and run-up at Gilbert Inlet. It also represents the primary trigger for the wave propagation along the whole bay, including water surface elevation, wave propagation speed, inundation effects and trimline definition. On the other side, it can be  
20 confirmed that the rockslide material alone does not explain the total infill of the bay bed after the 1958 tsunami event.
  - The resulting maximum wave amplitude of 208 m and the maximum run-up of 524 m a.s.l. are obtained using a uniform mesh size of 5 m. Even though the simulation shows the wave overtopping the hill facing the slide source, then flowing diagonally downslope, the simulations still significantly underestimate the  
25 observed trimline.
  - A mesh size of  $15 \times 15 \times 10\text{ m}$  is required for a reliable simulation of the wave dynamics propagation along the whole bay. The estimated trimline fits best to the observed one when a relative roughness of 2 m is set for the vegetated part of the topographic surface. The inundation is caused not only by the primary wave but also by several secondary reflected waves. It is observed that the wave reacts to the bathymetry and topography shape, varying its features during the propagation and evolving from a high-velocity, steep-front wave at the head of the bay, to a slow-velocity, long-period wave when approaching the  
30 seaside.
  - The use of different order approaches for the density evaluation has been tested, resulting in different behavior for the mixing process between the two fluids, occurring faster for the first order approach. No  
35 important influences on the wave amplitudes and run-up heights are observed.
- 40

- The relative difference and the RMSE for the water surface elevation and flow velocity values highlight the improvement in accuracy when adopting a finer mesh. In general, this work supports the necessity to use a grid resolution as high as possible for a reliable model and to obtain accurate outputs and insights in the wave dynamics.
- The results confirm that the bay configuration before the tsunami event has been reconstructed well and support the descriptions provided by Miller (1960). The possibility to have direct available data concerning the bathymetry and topography before and after a tsunami event makes the interpretation and reconstruction of the case study easier and more precise. The lack of data and limited information concerning the Lituya Bay 1958 tsunami event obligates experts to give their own subjective interpretation.

Following these remarks and what has been discussed in section 5, some considerations in terms of computational effort vs. timeline reliability are proposed. Utilizing the same computational resource (see section 3.2.1), the wave propagation model with an uniform grid resolution of 20 m already gives a timeline quite close to the observed one with a calculation time of 3 hours. Adopting a finer resolution of 15x15x10 m, even if the timeline results more accurate, overestimations are still noticed. The calculation takes time of almost 7 days to run. This high computational time can be still considered as an affordable one for a numerical model simulations. Despite this, in an application for a hazard analysis, a model with a coarser resolution can represent a fast and sufficient solution for a rough assessment of a landslide-generated impulse wave event, where it is possible to obtain already a good approximation of the inundated area. Anyway, in order to get more details and insights for the wave dynamics, models with a finer mesh are recommended, where different scenarios adopting different values for the topographic surface roughness have to be tested.

Concluding, Flow-3D represents a suitable tool for landslide-generated impulse wave simulations. The software can provide a good approximation for the impact process with the limitations of the chosen modeling concept regarding the representation of the physics of the impact process. Some discrepancies in the inundation dynamics and the timeline estimation still occur in the model. This can be explained by the software limits, computational errors, and imprecision in the bay reconstruction due of lack of information. It has to be said that observation data are also not always and everywhere perfectly represented.

With regard to the last research questions concerning the application of this 3D-numerical approach and its capabilities (section 1), this work shows the value and applicability of models like this not only for back-calculating and recreating past events, but for risk assessment in areas potentially endangered by large impacts in fjords and lakes. The shape of the Lituya Bay, as a narrow and long fjord, and the gravitational process that generated the impulse wave (a rockslide evolved in a rock avalanche) represent a situation that can be easily found also in other mountain regions as the Alps.

### **Data availability**

Simulation videos and additional data (reconstructed bathymetry and topography) that support the findings of this study are available on the following link:

<https://www.uibk.ac.at/alpinerraum/dps/dp-mountainhazards/scienceflash/franco.html.en>.

The original DTM-data is available from the DGGS Elevation Portal, the bathymetry data from the National Ocean Service: Hydrographic Surveys with Digital Sounding (Survey IDs: H08492, 1959; H04608, 1926).

### Authors contributions

- 5 AF designed the case study and the main research goals, with support from BG concerning the modelling in Flow 3D. AF prepared the manuscript with contributions of JM, BSM, MS and BG. All authors discussed, reviewed and edited the different versions of the manuscript.

### Competing interests

- 10 The authors declare that they have no conflict of interest.

### Acknowledgements

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15 dynamics and potential downstream hazard effects”. A great thank is also owned to the (anonymous) reviewers whose comments and remarks greatly improved the models set-up, quality of the achieved results, clarity and finally the quality of this manuscript.

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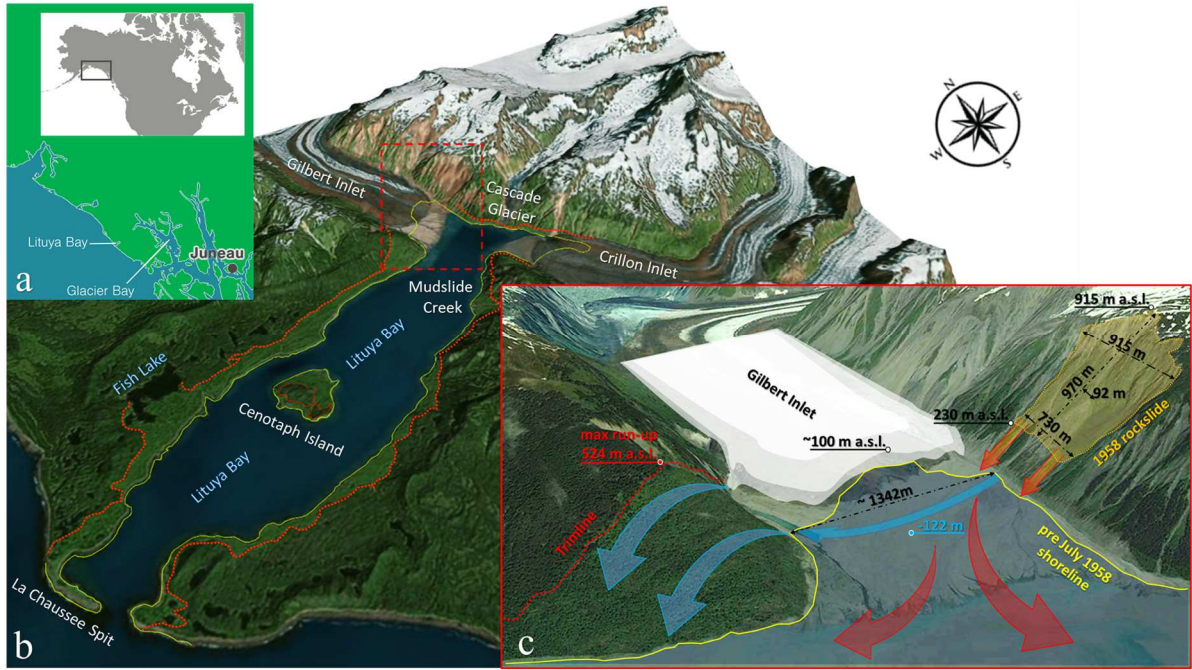


Table 1: Summary of the governing parameters of the Lituya Bay 1958 tsunami event and related references.

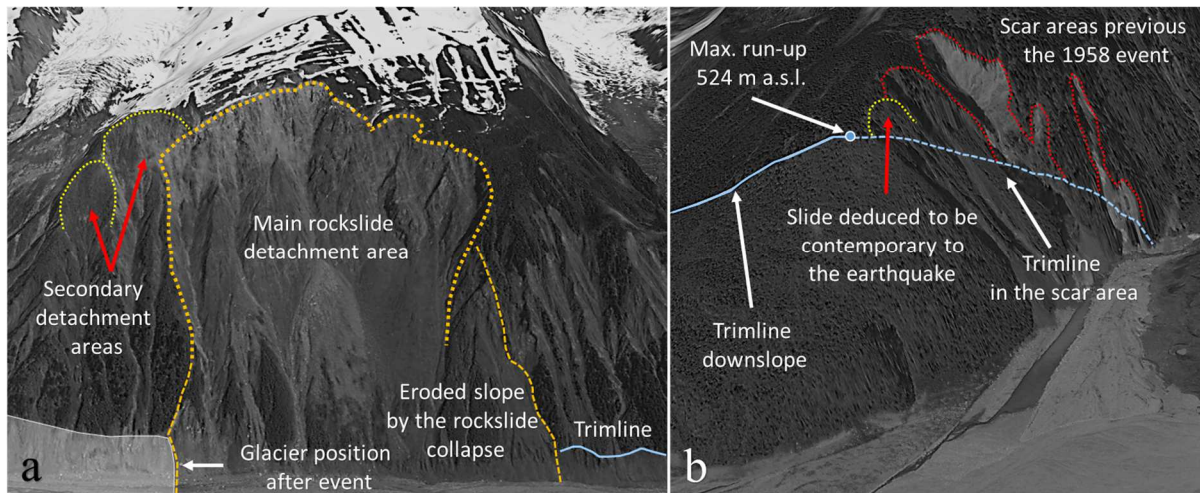
Data	Symbol	Dimension	Value	References
Water depth (impact area)	$hw$	m	122	Miller, 1960; Slingerland and Voight, 1979; Fritz et al., 2001
Seawater density	$\rho_w$	kgm <sup>-3</sup>	1035	Basu et al., 2010
Slide height (thickness)	$sh$	m	92	Miller, 1960; Slingerland and Voight, 1979; Fritz et al., 2001
Bulk slide height	$Sh$	m	134	Fritz et al., 2001
Slide length	$ls$	m	970	Miller, 1960; Slingerland and Voight, 1979; Fritz et al., 2001
Slide impact velocity	$vs$	ms <sup>-1</sup>	90-110	According to equation 3.1 from Heller et al., 2009, with a dynamic bed friction angle $\delta$ 14 degrees; Slingerland and Voight, 1979, Fritz et al., 2001
Grain volume	$V_g$	m <sup>3</sup>	$30.6 \times 10^6$	Miller, 1960; Slingerland and Voight, 1979 ; Fritz et al., 2001
Bulk slide volume	$V_s$	m <sup>3</sup>	$51.0 \times 10^6$	Heller et al., 2010
Grain density	$\rho_g$	kgm <sup>-3</sup>	2700	Miller, 1960; Slingerland and Voight, 1979; Fritz et al., 2001
Bulk slide density	$\rho_s$	kgm <sup>-3</sup>	1620	Heller et al., 2010
Impact slope angle	$\alpha$	°	35-45	Miller, 1960; Fritz et al., 2001
Porosity	$n$	%	40	Fritz et al., 2001
Maximum run-up	-	m a.s.l.	524	Miller, 1960; Fritz et al., 2001
Maximum wave height	-	m a.s.l.	>200	Fritz et al., 2001

Table 2: Summary of the simulation setup and modelling tasks.

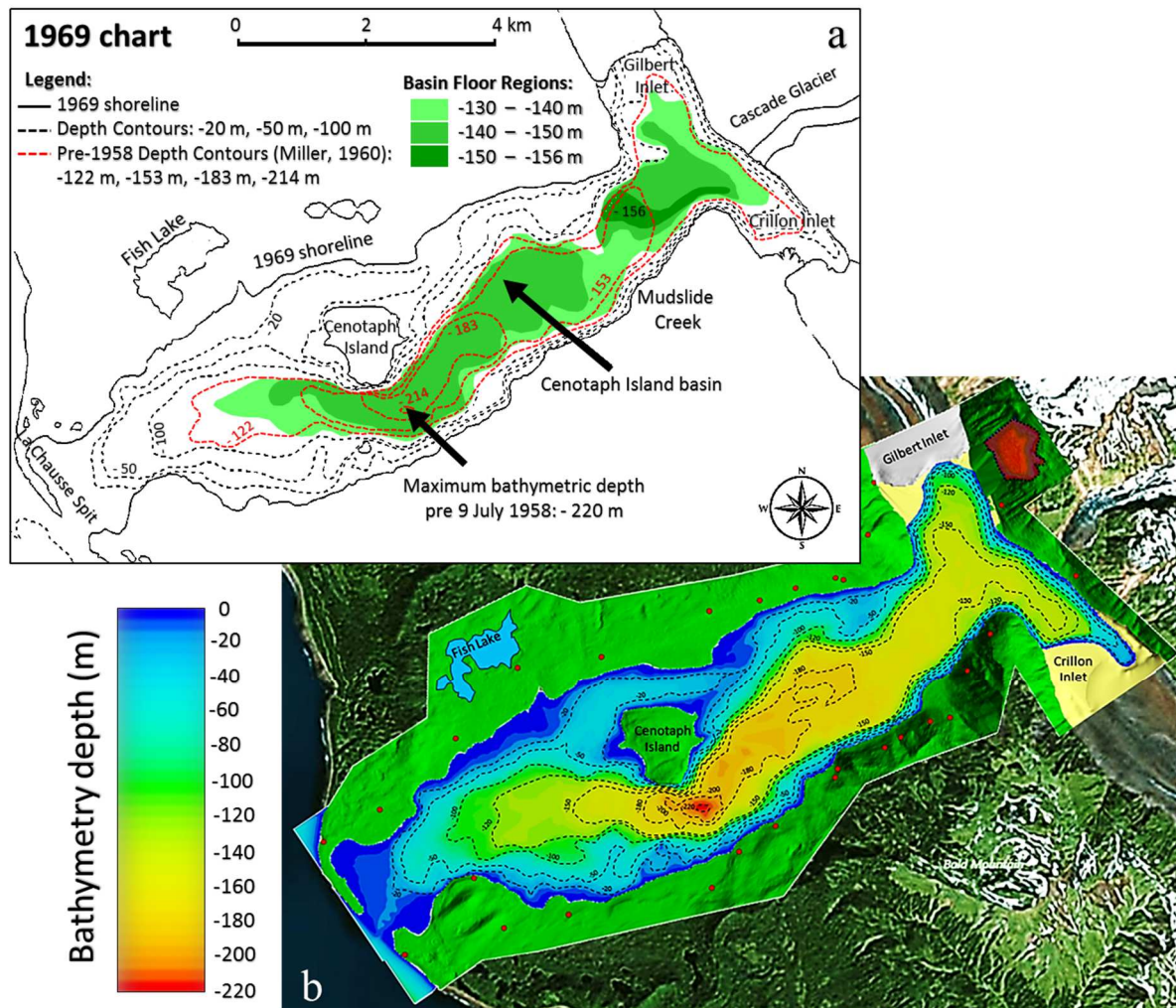
Model	Grid resolution [m]	Domain extent [m]	Number of cells: total; active fluid [-]	Simulation time [s]	Modelling task
Concept analysis (for 45 ° slope inclination)	10x10x10	3190 x 2220 x 1120	8,233,657; 2,282,450	60	Test of the denser fluid concept and its effects on the wave formation and run-up in the simplified bucket model
Impact area	20x20x20 10x10x10 5x5x5	1600 x 4000 x 1200	1,089,596; 423,833 8,435,576; 3,073,436 66,376,736; 23,153,232	70	Recreation of wave formation, run-up and overtopping process utilizing the topography and bathymetry of the pre-event configuration
Whole bay	20x20x20 20x20x10 15x15x10	6810 x 13575 x 1200	14,482,156; 2,251,903 28,497,136; 4,129,579 50,458,234; 7,254,191	420	Recreation of wave propagation, inundation process and the observed trimline utilizing the topography and bathymetry of the pre-event configuration



**Figure 1.** (a) Location of Lituya Bay, in southeast of Alaska (modified from Bridge, 2018). (b) View on Lituya Bay, the yellow line represents the shoreline before July 1958, the red line the trimline of the tsunami. (c) Gilbert Inlet showing the situation in July 1958 pre- and post-tsunami: the rockslide dimension (orange), the maximum bay floor depth of -122 m (light blue) and the maximum run-up of 524 m a.s.l. (Miller, 1960) on the opposite slope with respect to the impact area are indicated (topography data from © Google Earth Pro 7.3.2.5776, date 28/05/2015).

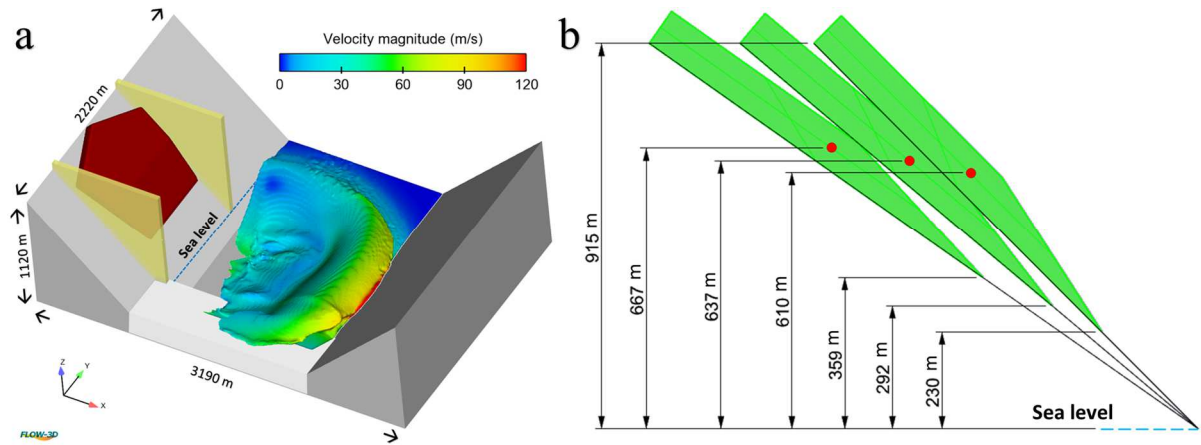


**Figure 2.** Rockslide source and facing opposite slope of the maximum run-up (info according from the interpretation of Ward and Day, 2010, refer to Figure 1). (a) NE-directed overview of rockslide detachment area. (b) NW-directed overview towards the Gilbert Inlet; the blue line shows the tsunami trimline on Gilbert Head as mapped by Miller (1960); red dotted lines are related to scar areas pre-1958 event on this slope; yellow dotted lines are related to a slide supposed to be coincident to the earthquake of 9 July 1958 as interpreted by Miller, 1960 (topography data from © Google Earth Pro 7.3.2.5776, date 28/05/2015).

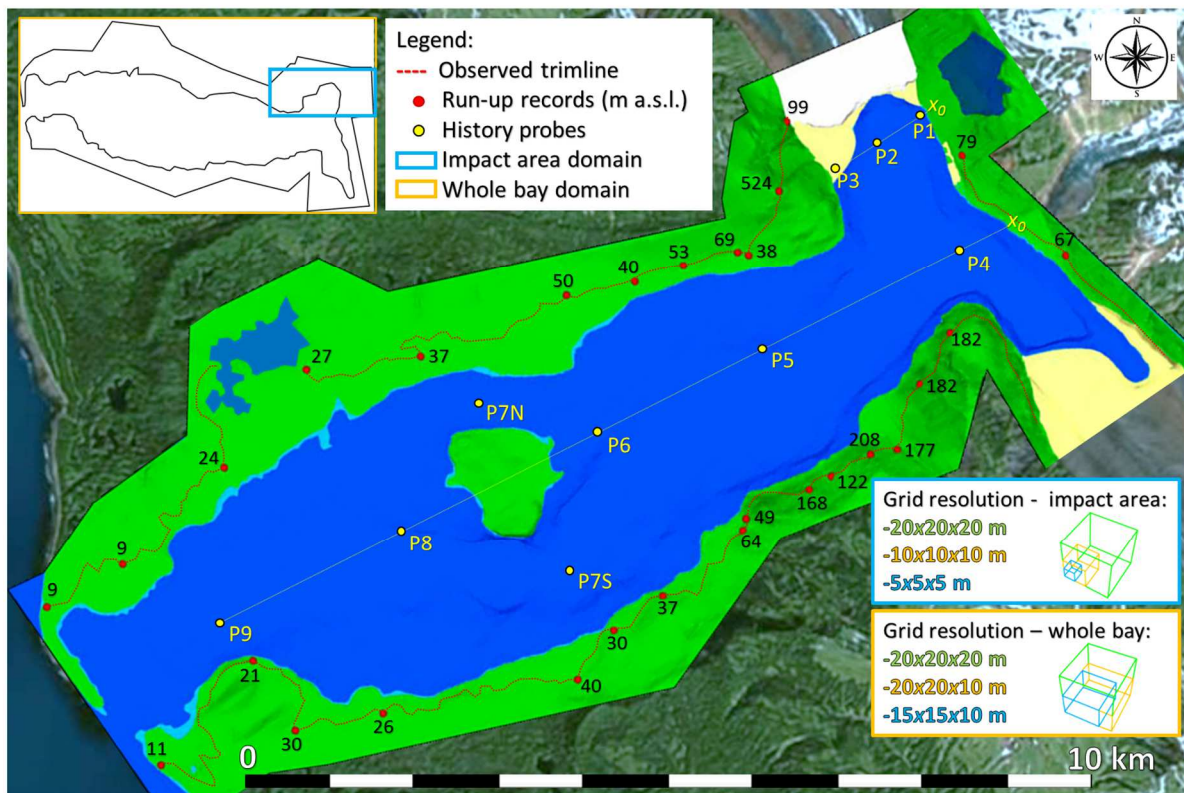


**Figure 3.** (a) The 1969 chart, based on a 1959 survey, highlights the flat bay floor (max. depth about -150, -156 m) relative to the pre-1958 data (red dashed lines, max depth of -220 m) provided by Miller (1960) (modified from Ward and Day, 2010, refer to Figure 8). (b) Reconstruction of the Lituya Bay pre-1958 bathymetry based on data from U.S. Coast and Geodesic Survey: Survey id: H04608, 1926 and Survey id: H08492, 1959; DTM available from DGGS Elevation Portal of Alaska (background topography from © Google Earth Pro 7.3.2.5776).

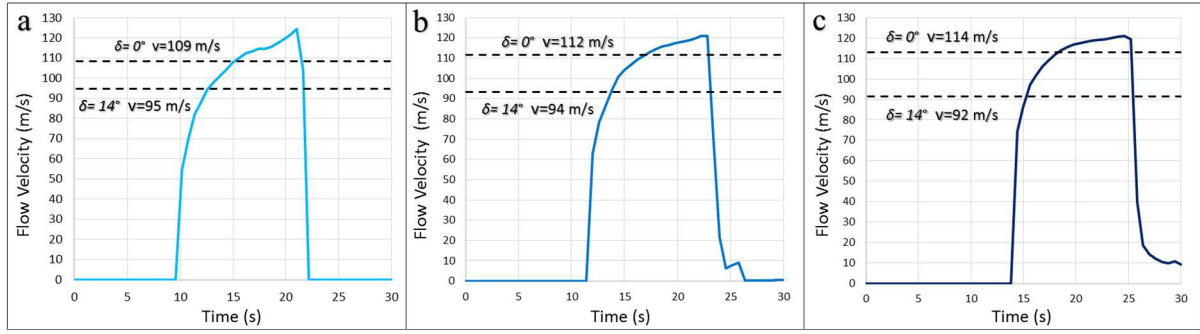




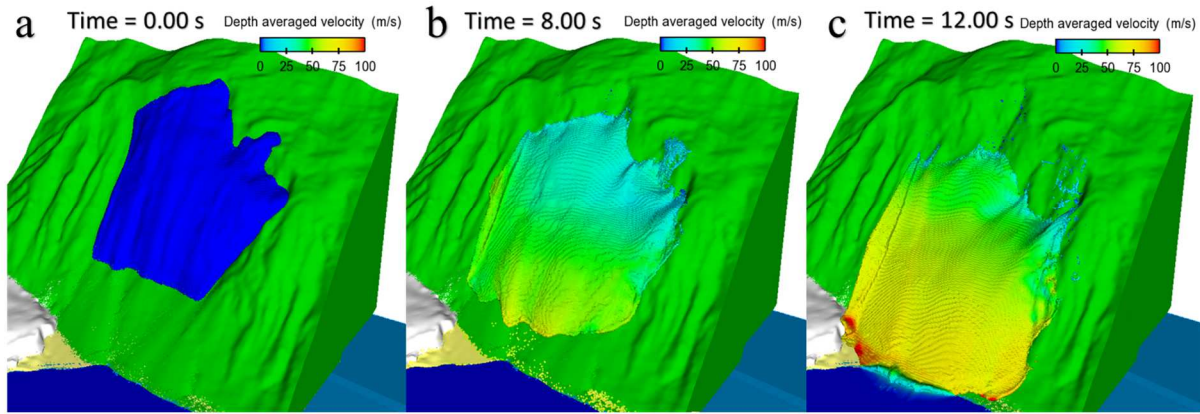
**Figure 4.** (a) Configuration of the bay head at Gilbert Inlet used for concept model analysis (for a slope angle of 45 degrees). The initial position of the impacting fluid (brown), the glacier (white) and the two constraining walls are shown. The wave propagation and flow velocity magnitude contours (total velocity considering all the vector components) before impacting the opposite slope are illustrated (simulation time = 32 s). (b) Illustration showing the position of the impacting fluid and its related center of gravity (red spot) for different slope angles (35°-40°-45°).



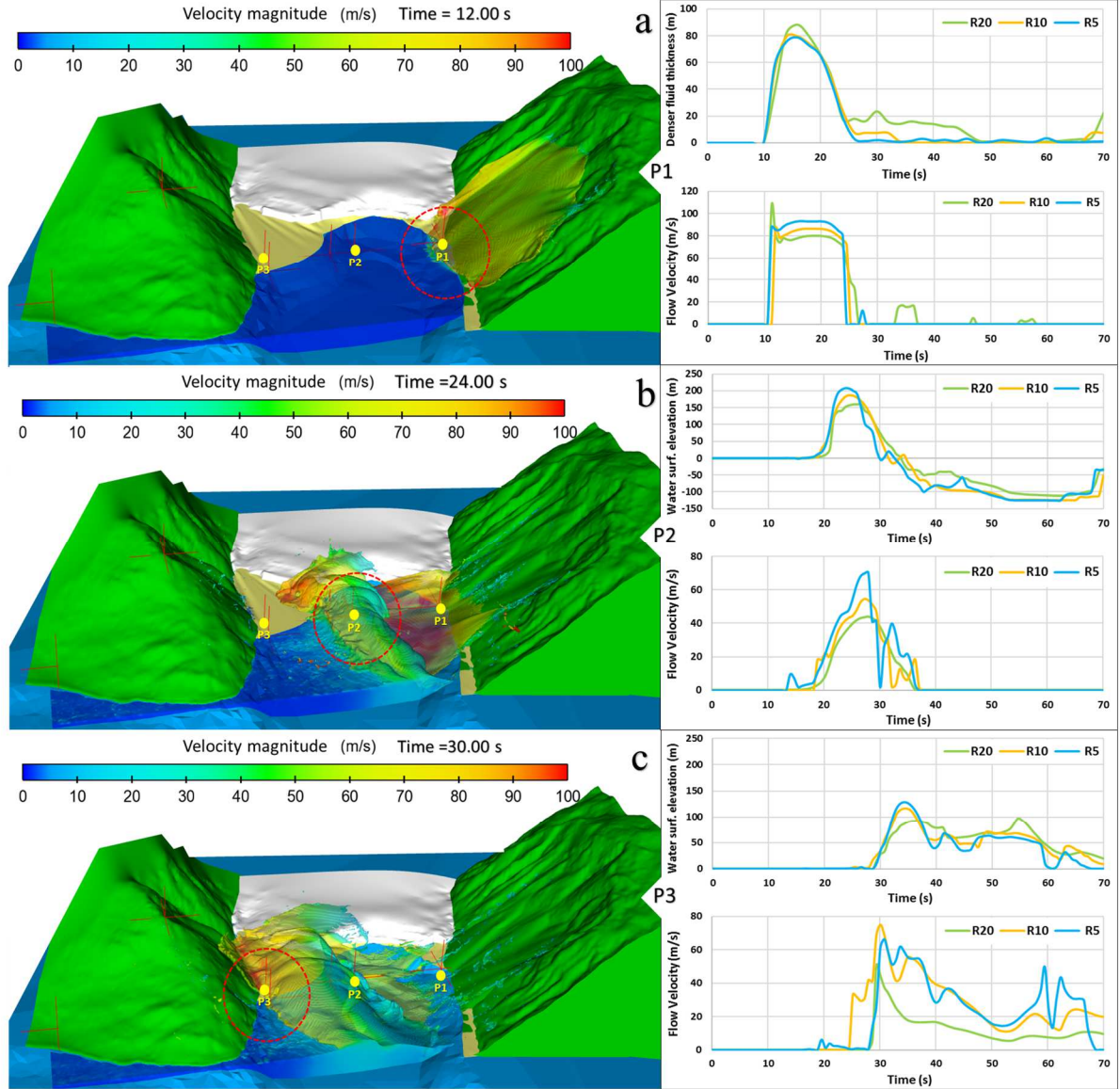
**Figure 5.** Model set up, covering impact area (light blue rectangle) and the whole bay (orange rectangle); the different adopted grid resolutions are shown. Observation gauges (history probes, yellow points P) represent water level gauges; the observed trimline (red dotted lines) and the documented run-up values along the bay (red spots) are used for model validation (background topography from © Google Earth Pro 7.3.2.5776).



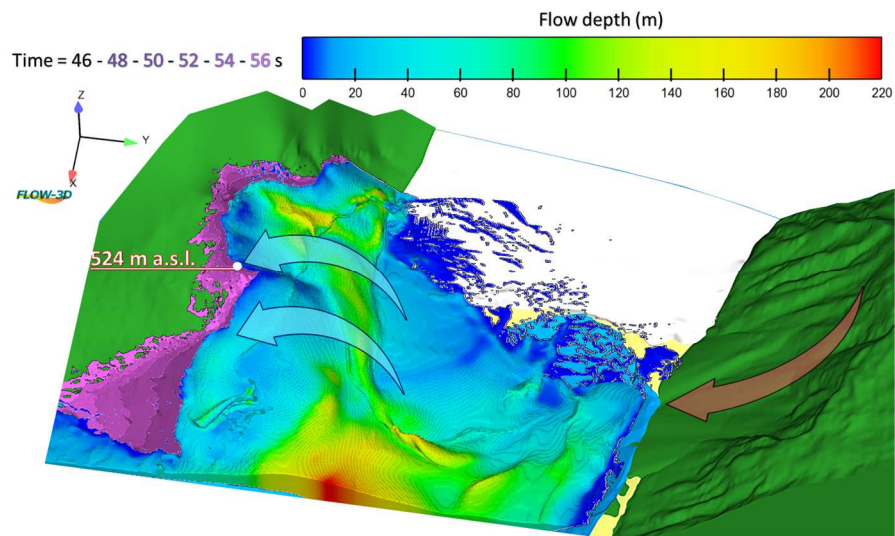
**Figure 6.** Impact velocity distribution versus time for the impacting fluid considering different slope angles of (a) 45°, (b) 40° and (c) 35°. The lower and upper limits represent a reasonable velocity interval for the center of gravity of the deforming fluid, when entering the water body (from the equation 3.1 provided by Heller et al., 2009, with a dynamic bed friction angle  $\delta$  of 0 and 14 degrees).



**Figure 7.** Fluid model at (a) 0 s, (b) 8 s and (c) 12 s impacting the sea – colored by the depth-averaged velocities in (m/s) with a range 0-100 m/s. Uniform grid resolution of 5 m.



**Figure 8.** Wave formation and propagation in the impact area using the second order approach for the density evaluation. Observation gauges P1, P2, P3 are set to verify the water surface elevation and flow velocity magnitude. Their trends are shown in the graphs for different grid resolutions (R: 5-10-20 m). More accurate results are obtained using the grid resolution of 5 m (sky-blue line, R5).



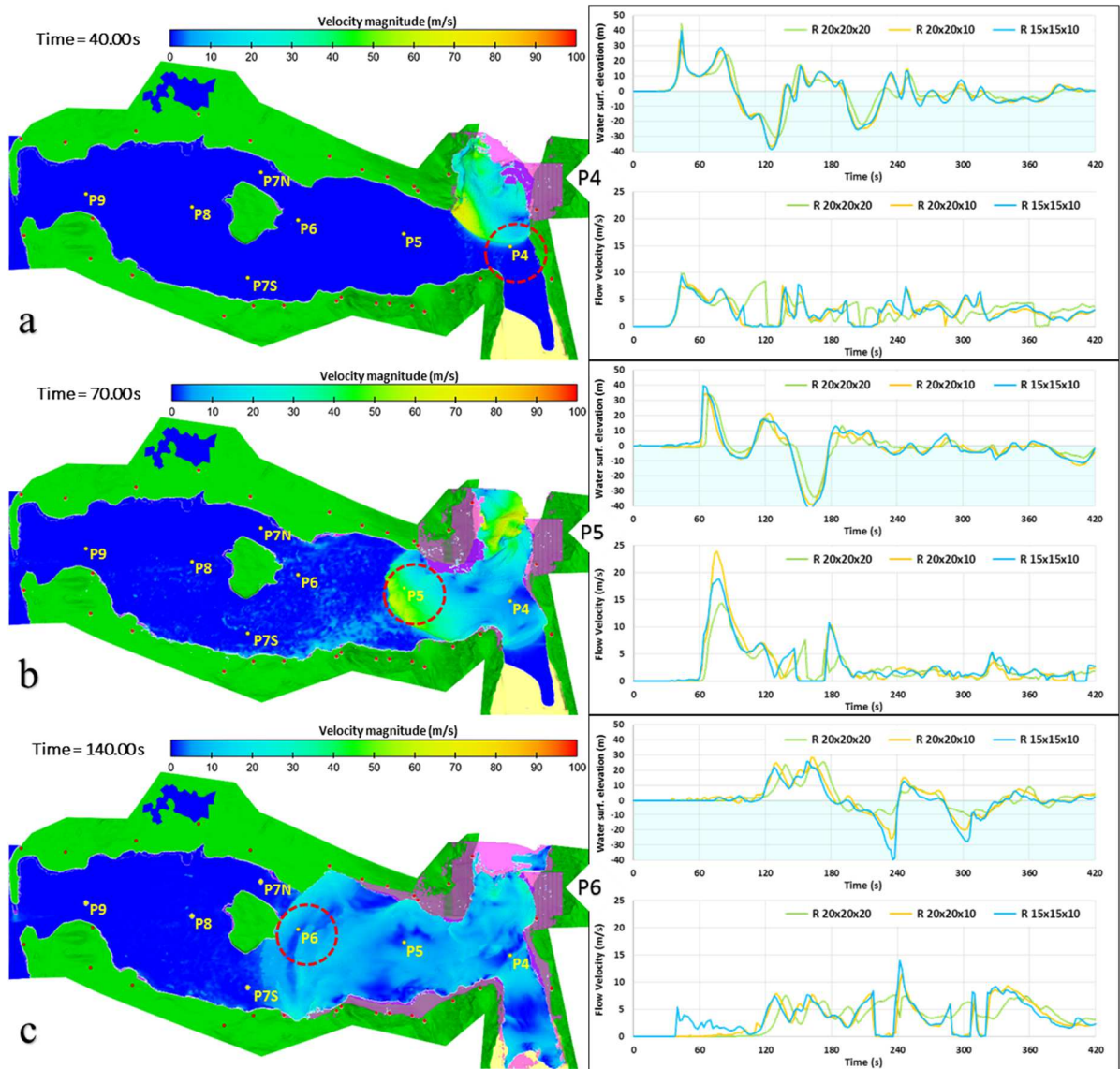
**Figure 9.** Impulse wave run-up on the opposite slope. At time step 46 seconds the wave reaches the maximum observed elevation of 524 m a.s.l. (flow depth contours). A part of the wave body overtops the hill and proceeds its path in a diagonal direction with respect to the slope gradient (shown by the different shades of purple for the time steps of 48-50-52-54-56 seconds).

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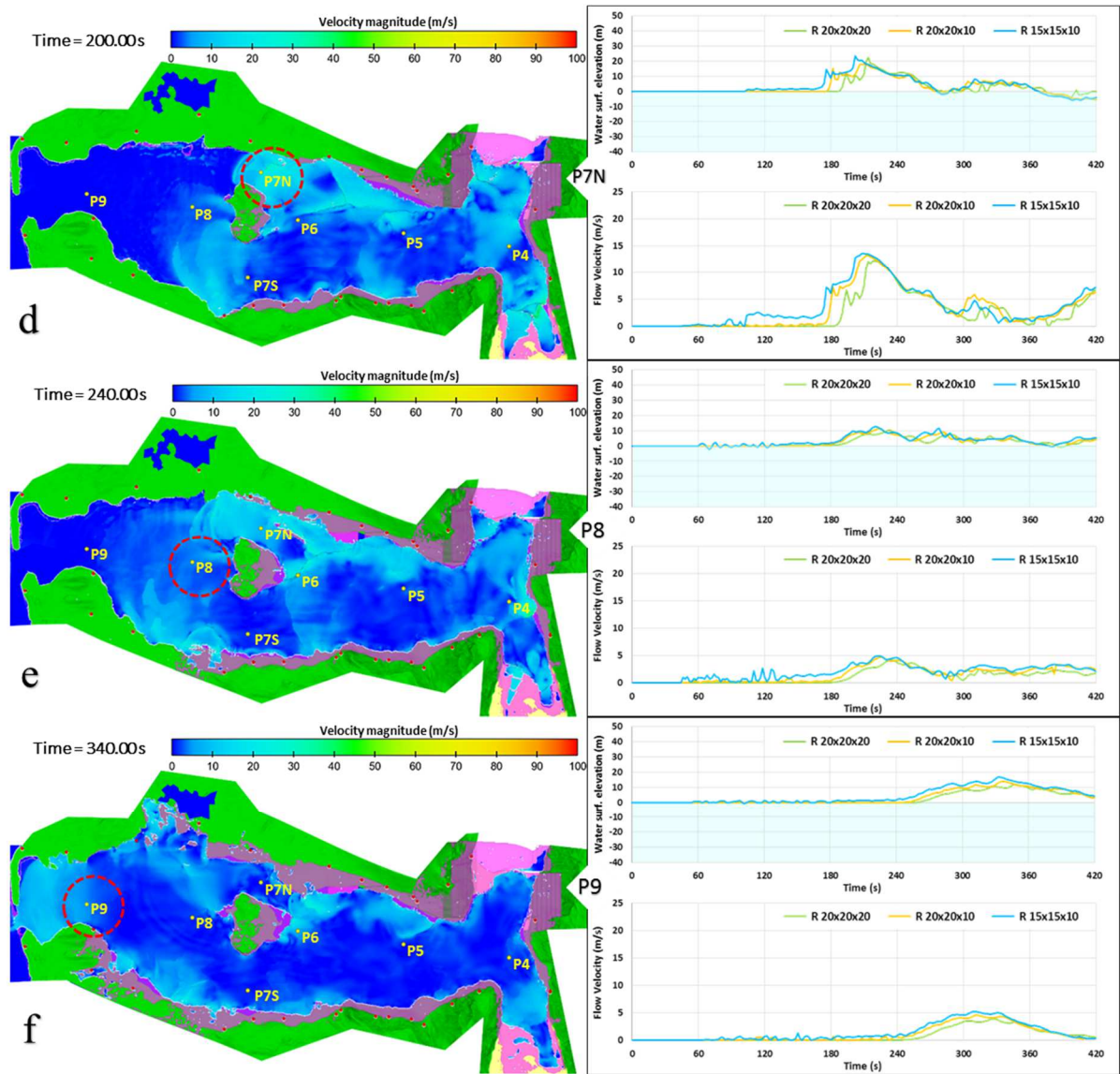
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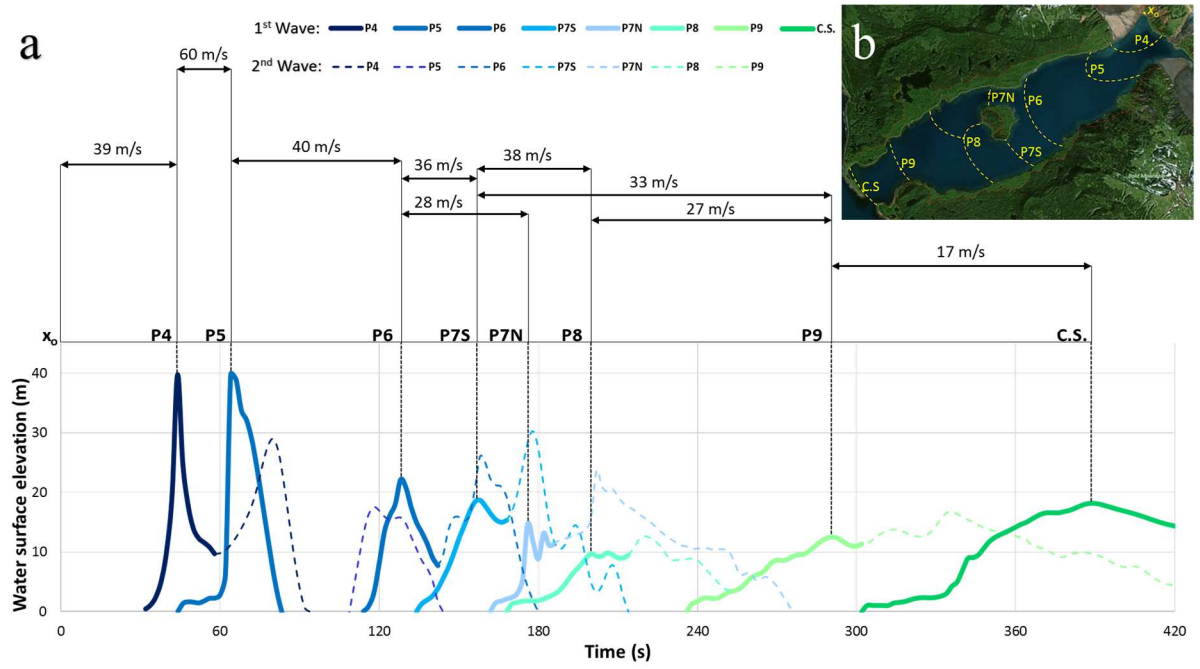




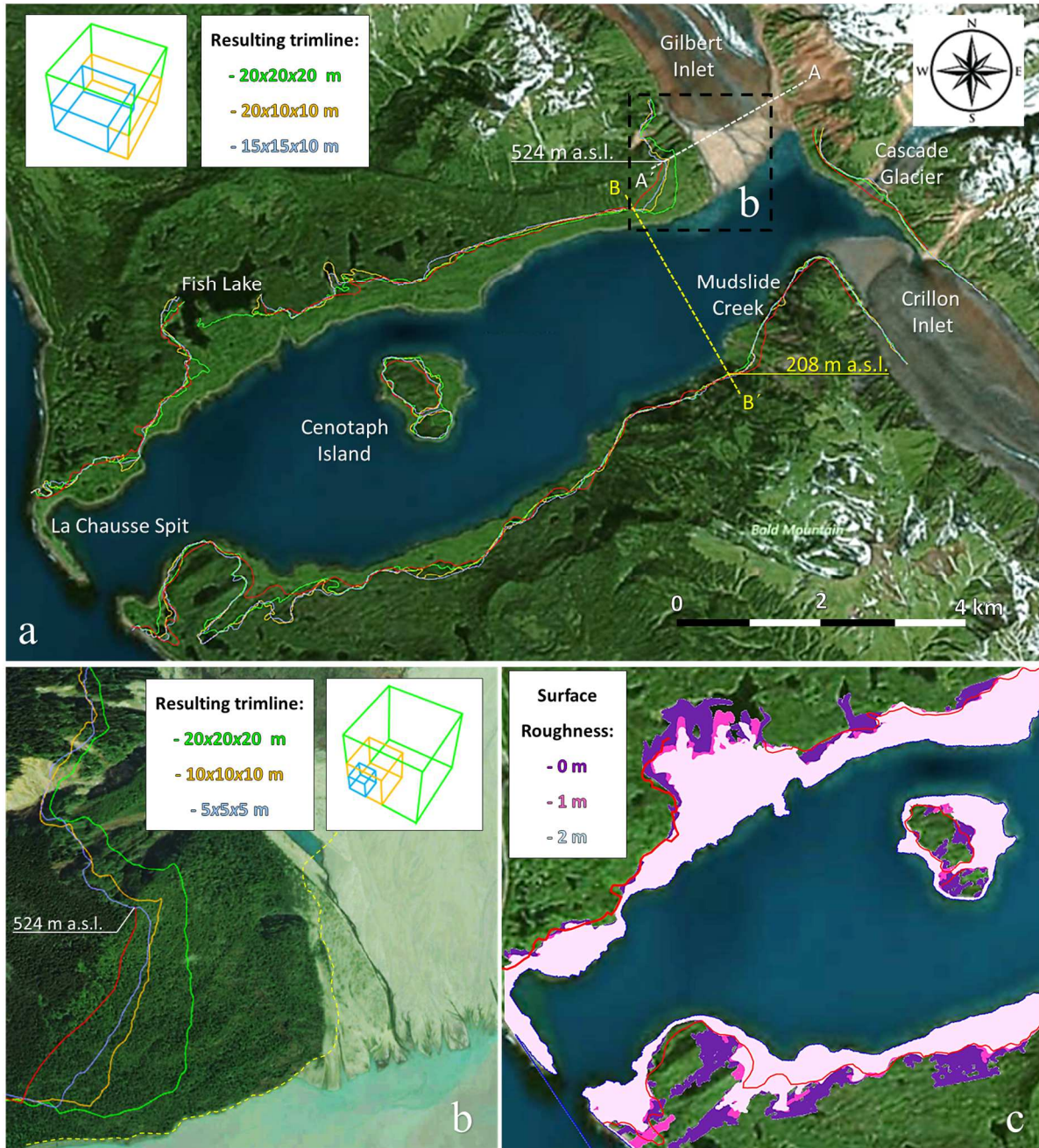




**Figure 10.** After the fluid impact into the sea, the wave propagates and floods the inner land along the bay. The images show the flow velocity magnitude at (a) 40 s, (b) 70 s, (c) 140 s, (d) 200 s, (e) 240 s and (f) 340 s. Different observation gauges are set to check the wave attenuation during wave propagation. The trend of the water surface elevation and flow velocity are shown in the graphs for the related observation gauge, adopting different non-uniform grid resolutions (R: 20x20x20, 20x20x10 and 15x15x10 m). The purple color on the inland represent the inundated areas while the wave propagates.

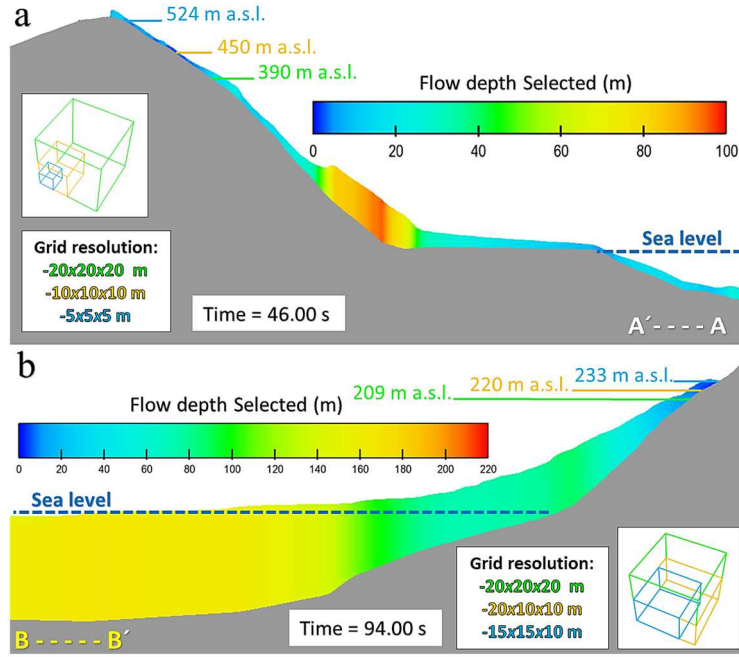


**Figure 11.** (a) Wave attenuation process during its propagation in the bay. The first and the second wave fronts are represented by the full and dashed lines respectively. Mean wave propagation velocity is estimated starting from the records at every gauge, considering the position of the first wave front displayed in (b) at the moment of its passage upon the gauges and path lines to estimate the distance of propagation.

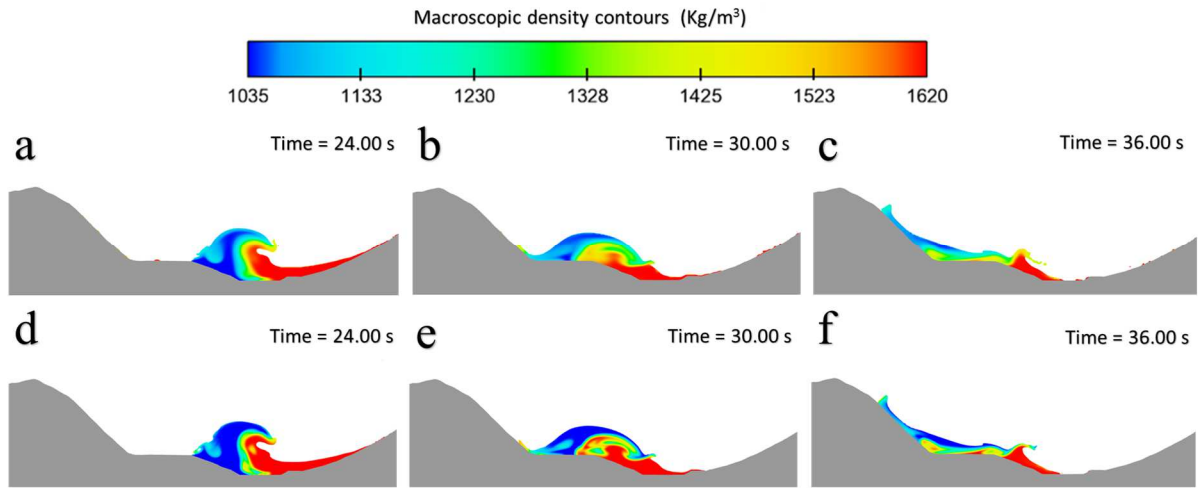


**Figure 12.** (a) Different results of the inundated area and the related trimline, with respect to the observed data (red line), for different grid resolutions and relative roughness equal to 0 m. Sections are reported in Figure 13 (b) At Gilbert Inlet, the resulting trimlines are defined from the grid resolutions used for the impact area simulations (20-10-5 m) and relative roughness equal to 0 m.; the yellow dashed line represents the shoreline before the tsunami event. (c) The resulting inundation area varies in function of the selected relative roughness of 0-1-2 m for the topographic surface (background topography from © Google Earth Pro 7.3.2.5776).





**Figure 13.** Maximum wave run-up resulting from different grid resolutions (referred cross section in figure 12) (a) In the impact area the maximum resulting run-up of 524 m a.s.l. relates to the 5 m uniform mesh size. (b) The maximum wave run-up results in 233 m a.s.l. for a non-uniform mesh size of 15x15x10 at the Mudslide Creek (observed run-up was 208 m a.s.l.).



**Figure 14.** Vertical section, for an uniform grid resolution of 5 m, at Gilbert Inlet showing the interaction and the mixing process between the two fluids adopting the first order approach (a, b, c) and the second order approach (d, e, f) for the density evaluation.