



Natural Hazards and Earth System Sciences (NHESS) Journal

Att. Prof. Thomas Glade

NHESS-2019-272 Revision 1

Dear Prof. Glade,

We wish to thank you for considering our manuscript.

18 December 2019 ROLO

We agree with all points raised by the reviewers and made the following changes:

- Included a new discussion section 5.5 which focuses on the potential for generalization of our approach and suggests a course of action for implementing our findings in new case studies
- Included a new section S6 in the supporting information which elaborates the choice of data transformation in flood damage regression
- Clarified terminology on data resolutions / fitting resolutions / prediction resolutions in the workflow figure (Fig. 3) and the main text
- Clarified explanations throughout the manuscript as outlined in our replies to the reviewers detailed comments.

Both reviewers commented on minor language issues. We confirmed with Copernicus that the journal performs language copy-editing and therefore suggest that this is addressed after acceptance.

We hope to have addressed the comments appropriately and look forward to your reply.

Best regards,

Roland Löwe and Karsten Arnbjerg-Nielsen

Included below

- Point by point reply to reviewers and indication of where changes were made in the manuscript
- Manuscript version with changes to text and tables highlighted

We wish to thank the reviewer for taking the time for a thorough review the manuscript and for providing constructive comments. In brief, we agree with the issues raised by the reviewer and will adress them as detailed in our reply to each comment below.

This involves the following major changes:

- New discussion section "5.5 Generalization and application" which includes a stepwise workflow for deriving suitable scales in a new case study and discusses the limitations linked to topography and urban layout raised by the reviewer
- Streamline terminology and symbols related to the different data resolutions in the workflow figure (Fig.3) and throughout the text.
- Include scatterplots showing the effect of data transformations in flood damage regression in the supporting material
- Improve explanations in the manuscript as suggested by the reviewer in the detailed comments.

Both reviewers point out language issues, so we suggest that will have the manuscript proofread by a language editing service before final submission.

Review of manuscript "Urban pluvial flood risk assessment - data resolution and spatial scale when developing screening approaches on the micro scale" by Roland Löwe and Karsten Arnbjerg-Nielsen submitted to NHESS

The authors present a study analyzing the impact of aggregation scale of high resolution DEM, imperviousness and building data on urban pluvial flood risk assessments. The study intends to quantify these impacts and to identify the optimal scale for data aggregation to be used in "flood screening", i.e. for low computational flood hazard and risk assessment considering different flood adaptation scenarios and urban developments. The authors thus deal with a topic that has been of a long standing concern in flood risk research and add an at least useful, but potentially also important contribution to the question of optimal scales to be used in flood risk assessments, here with a particular focus on urban pluvial floods.

This is an appropriate summary of our study.

The study is generally well designed and presented, the data analysis solid and the conclusion are supported by the results. Overall I don't have any major objections to the presented work, but I suggest to enhance the discussion of the implications of the findings for urban pluvial flood risk assessments in more detail, as well as the generalization/transferability of the results. This would enhance the manuscript and increase the potential impact of the work. In section 5.4 about the limitations of the work the authors state that the regression models likely have to be newly fitted for different topography and urban structures, but that they expect that the identified optimal scales are generic. Unfortunately the authors did not provide any reason why they expect that the optimal scales are generic, i.e. transferable to any other urban flood risk study. This needs to be provided. I actually would challenge this statement. From my experience and understanding of the problem, I would argue that the urban texture/layout also controls the optimal scale for risk assessment. In the context of this work it should control at least the optimal scale of the imperviousness regression.

Think of cities with wide roads and sidewalks designed for car traffic (e.g. American suburbs) vs. old towns with narrow streets and sidewalks and/or steep topography (e.g. old European cities with medieval city centers). It can be reasoned that at least the optimal resolution for the

imperviousness regression is likely different for these urban structures. If the authors argue against this, proper arguments should be given. Otherwise the limitations of the study results in terms of transferability needs to be extended.

We agree with the reviewer – optimal scales must depend on the density of urban developments, which can vary between cities. We have elaborated on these issues in the discussion section and use them to introduce the application workflow in the new section "5.5 Generalization and application" (l434ff)

Furthermore, the manuscript would profit if the authors provide recommendation/blueprints of how the presented optimal scales and regressions can be used in other urban flood risk studies/assessments and assessment of flood management/mitigation/urban development plans. What would be the procedure to follow? What are the minimal data and model requirements? This is currently a bit blurry and not well defined. A more detailed illustration of the use of the results/findings would surely increase the uptake of the study in research as well as in practice. The new section "5.5 Generalization and application" now includes a stepwise workflow towards creating a screening setup for flood risk in a new case study.

Besides these general concerns, I have some specific small comments listed below.

The term "flood screening" should be explained/defined in the introduction. The authors expect the reader to be familiar with the term, but this cannot be assumed. Moreover, the term is not widely used (to my knowledge), and thus different readers are likely to associate different meanings to the term.

The term is now defined in the introduction (I30). We also noticed that the term was used in varying ways in the original text. "Flood screening setup" does now refer to the overall setup for fast flood risk assessment (i.e., the combination of fast urban development simulation, simulation of flood hazard and damage calculation), while the simulation of flood hazard is referred to as "fast flood simulation".

- I found it occasionally difficult to follow the different aggregation scales used in the different analysis (Δx_{fit} , Δx_{pred}). Additionally different terms are used in the manuscript, e.g. Δx_{fit} as fitting resolution or data resolution. This should be harmonized. Additionally it would be beneficial to clearly separate these terms in order to easy the understanding of the work done in the different sections, although I also don't have a precise suggestion how this can be achieved. One way could be a clear definition at the start of the method section, e.g. in a table:

Symbol	Description as used in text	Explanation / used in analysis
		xy
Δx_{fit}	Data resolution	
Δx_{pred}	Prediction resolution	

The description should then be used constantly throughout the text.

There are 3 resolutions to distinguish:

- Δx_{fit} being the data resolution used when training the regression models (varied from 25 to 2000m both, in imperviousness and damage regression)
- Δx_{pred} being the data resolution at which predictions are generated from the regression models (varied between and 25 and 2000m for imperviousness regression, and kept fixed at 2000m for damage regression)
- \bullet Δx_b being the resolution of the building data used for predicting imperviousness as input to the 2D flood simulations, as well as to compute the flooding building area as input to the damage regression models

We preferred clarifying the usage of different data resolutions in Figure 3 over inserting a new

table, because we would expect that the reader would try to understand the dataflow from this figure. The figure now makes explicit reference to different data resolutions used in the different parts of the analysis. In addition, we have revisited the text. We inserted explicit references to Δx_{fit} , Δx_{pred} and Δx_{b} when discussion resolutions and removed the term "fitting resolutions".

- The regression results are compared to a benchmark simulation based on highly detailed input data. This is totally valid, but ideally a quality statement of the benchmark should be provided. If there is no quality assessment of the benchmark possible (because of lacking data/observations), then there should be at least a statement that benchmark is not validated and could thus also be (far) off reality. Of course this does not touch the validity of the results, because the benchmark could likely be tuned to be close to reality as possible if validation data is available.

We have included a corresponding statement in the Methods section (I176). As reasoned by the reviewer, our aim was to generate flood map which is realistic rather than to reproduce observed conditions.

In Figure 3 and associated text it is stated that only 8 aggregation levels (resolutions) for imperviousness (simulated flooded areas) are used for the regression of the damage functions, but there is no reason given for the reduction. I assume that this is because of reduction of possible resolution combinations without compromising the overall results, but it needs to be stated

Indeed, we have performed flood simulations for a limited set of resolutions, because additional simulations require substantial manual effort, provide limited insight and make it difficult to present results in an understandable manner.

The statement suggested by the reviewer is now included in the figure caption for Fig. 3.

In section 4.1 it is stated that the optimal solution derived from Figure 4 is in the order of 400m, because the curves in Figure 4F have a local minimum at about 400m for prediction resolutions of 500m – 2000m. However, the standard deviation of RMSE for a prediction resolution of 250m has no minimum, but is always below the standard deviation of RMSE of the higher prediction resolution for all fitting resolutions. Therefor I cannot really follow the conclusion that 400m is the optimal fitting resolution for estimating the impervious area. This should be explained better. Moreover, the caption of figure 4 should state that it deals with the regression functions of the imperviousness. This is currently missing, thus impairing the understandability of the figure without reading the associated text section.

We have clarified the figure caption and provide an explanation for the artefact at 250m prediction resolution in the main text. A detailed explanation is provided below, but it should hopefully also be clear from the paper now (I273-293).

The standard deviation of the estimated regression model coefficients decreases the smaller data resolutions Δx_{fit} are considered during model fitting, i.e., we obtain to more stable parameter estimates (not shown). The mean parameter estimates approach 1 the smaller the data resolution becomes (not shown), i.e., the regression models only capture the roof area as impervious area. A strong negative bias is thus introduced in the regression predictions of impervious areas.

When considering large enough prediction resolutions (Δx_{pred}), where the pixels containing the buildings also include all the associated impervious areas, this bias leads to strong variability of the RMSE values computed during cross validation, despite smaller variability of the parameter estimates. The variability is driven by different areas being sampled for validation (e.g., more or fewer industrial areas). The bias disappears when coarser data resolutions Δx_{fit} are considered

(leading also the increase in COD values in Fig. 4D), however, at the expense of fewer data points being available, leading to instability in the parameter estimates and again an increasing variability of the RMSE values computed during cross validation. The data resolution where $\sigma(RMSE)$ is minimal ($\Delta x_{fit} \sim 400m$) indicates the optimal tradeoff, where regression predictions become unbiased and the data are aggregated only to the necessary level. It is also the resolution where COD values in Fig. 4D reach their maximum.

For smaller prediction resolutions ($\Delta x_{pred}=250m$), we observed an artefact where the biased regression predictions for small data resolutions Δx_{fit} do not lead to an increase in $\sigma(RMSE)$. In this case, substantial portions of the impervious areas are located in pixels where building areas are 0. The impervious area predicted by the regression models for these pixels is thus always 0 and does not depend on the regression coefficients. The absolute values of RMSE increase due to the bias. However, the variability of RMSE values (Fig. 4F) becomes determined by how much the predictions of imperviousness close to the buildings vary during cross validation. This variability decreases as the coefficients approach a constant value of 1.

- In equation (1) the a_i needs to be explained in the text below. For better understanding the meaning of the equation should be explained in one sentence. The statement "we considered the following relationship" has only a vague relation to the text leaving room for speculation/confusion.

Fixed (1125)

- Page 8, line 156: extend the sentence to "Buildings were not explicitly included in the DEM for flow calculation in this case."

Fixed, we now also refer to flow calculation in the other 2 bullets (1154).

- Section 3.4.1 (page 10, line 215ff): Please provide argument/reasoning for the square root transformation used in equation (6). It is currently unclear why this transformation was performed. Ideally provide a figure in the supplement to justify/explain this transformation. Furthermore the coefficients b_{xi} in equation (6) need to be explained in the text below the equation.

The coefficients are now explained in the paper (1226)

We have included scatterplots and a brief reasoning in the supporting material (Figures S4 and S5). We have, in fact, experimented with a number of power and logarithmic transformations. The squareroot transformation turned out to be robust and can handle 0 values. The latter point is a problem particularly with the logarithmic transformation, which amplifies the impact of outliers (see Figures S4 and S5) and where regression predictions of flood damages for pixels with a flooded building area of zero are not quaranteed to be zero.

- Page 10, lines 232-234: to improve understandability, clearly state the difference between baseline flood map and the flood maps based on aggregated building data (buildings in the DEM blocking flows and not) again.

We have included a brief explanation of the baseline flood map in the text (1239).

- I would feel more comfortable to use the term "coefficient of determination COD" instead of NSE throughout the manuscript. Both have identical meanings, with NSE being adopted in the hydrological modelling community and typically used to compare simulated and observed

(discharge) time series, which is clearly not the case in this study. COD is more widely and generically used.

However, this is a suggestion, the authors are free to decide. We have changed "NSE" to "COD" in the text and the result figures.

- Page 11, equation (7): explain subscript "CV 2000". I assume that this refers to "cross validation over the 2000m x 2000m sub-areas", but it needs to be explained.

Fixed (1256)

- Page 17, line 368: what is meant here? "while" does not seem appropriate. Maybe "..., because coarse representations of imperviousness had little effect on the flow dynamics."

Rephrased to "Coarse representations of imperviousness and the resulting change in rainfall-runoff behaviour had little effect in comparison." (I384)

- Occasionally the English reads a bit awkward/complicated, which is not of major concern for me, but a grammar check by a native English speaker might improve the manuscriptfurther.

We noticed that the journal performs language copy-editing and therefore suggest that this is addressed after acceptance.

Thank you very much for taking the time to review the manuscript and for providing constructive comments. As outlined below, we have no objections regarding the comments, and we hope to have addressed them appropriately.

This paper shows interesting research on the impact of spatial aggregation on urban pluvial flood risk assessments. The article presents a good work, complemented by detailed explanations, tables, and figures. I have some concerns and suggestions.

- 1. Abstract: "Future work needs to focus on training regression approaches where different degrees of flood-awareness in landuse management can be considered". It is not a good practice to provide the future work in the abstract. It is, in turn, expected to be found within the discussion section.
 - The sentence was removed from the abstract. Issues related to the application of our approach and required future work is now summarize in Section 5.5 ("Generalization and application") which was introduced following a similar comment from R1 (I435ff).
- Method: "Fast urban development models that are useful for exploratory modeling would typically provide outputs resembling those where building areas were rasterized to resolutions between 25 and 500m." Why? Please provide justifications/references.
 We reformulated the paragraph as illustrated below to clarify the context (I 83ff):
 - "Hydrological modeling and flood damage assessment are commonly performed based on polygon data characterizing the urban layout. Fast, raster-based urban development models instead provide information about the building area inside a pixel or the land use mix inside a pixel, which, through an assumed building density, can be translated into building areas. Typically, these models operate with raster resolutions in the order of 100 to 200m (Bach et al., 2018; Mustafa et al., 2018; Fuglsang et al., 2013). Such coarse input data will affect both rainfall runoff simulations, i.e., the location where flood hazards occur, and are likely to be incompatible with flood damage assessments derived for polygon data. To analyze issues arising in different parts of the pluvial flood risk modeling chain, we performed hydrological assessments considering imaginary urban development model outputs in the form of rasterized building data with resolutions between 25 and 2000m....."
- 3. Model setup: "To test the impact of spatial data resolution, we fitted regression models to datasets with 80 different resolutions". Did you examine the relationship and ensured that it is a linear relationship? That may lead to a misleading conclusion.
 - We did. Scatterplots of building area vs. impervious area had already been included in the supporting information (Figure S1). However, we have reformulated the sentence under the equation to clarify that these plots are provided (I128):
 - "Scatterplots of impervious area versus building area were included in the supporting information (Figure S1). We have not included an intercept in Eq. (1) to ensure undeveloped areas are assigned an imperviousness of 0, and because the scatterplots did not suggest that an intercept would be necessary. For fine data resolutions this leads to biased regression predictions."
 - Reviewer 1 had a similar comment regarding the data transformation applied in damage regression. We refer to page C8 in our reply to reviewer 1 ("'Section 3.4.1 (page 10, line 215ff)"').
- 4. I would recommend the authors to discuss the transferability of their finding to other places in the discussion section.

- Following your comment and similar comments from reviewer 1, we have included a new section "5.5 Generalization and application" in the manuscript (I434ff).
- 5. I believe that urban layout setting impacts the flooding according to the findings of some studies (Mustafa et al., 2018). The authors should discuss this point in the discussion section. Mustafa, A., Wei Zhang, X., Aliaga, D.G., Bruwier, M., Nishida, G., Dewals, B., Erpicum, S., Archambeau, P., Pirotton, M., Teller, J., 2018. Procedural generation of flood-sensitive urban layouts. Environ. Plan. B Urban Anal. City Sci. 0, 1–23. https://doi.org/10.1177/2399808318812458
 This point is now included in the new section "5.5 Generalization and application" (I441). We prefer to refer to the companion paper from the same group, which explicitly assesses the impact of different characteristics of urban layouts on flood hazard.

 Bruwier, M., Mustafa, A., Aliaga, D. G., Archambeau, P., Erpicum, S., Nishida, G., ... Dewals, B. (2018). Influence of urban pattern on inundation flow in floodplains of lowland rivers. Science of the Total Environment, 622–623, 446–458. https://doi.org/10.1016/j.scitotenv.2017.11.325
- 6. English needs improvements.

 We noticed that the journal performs language copy-editing and therefore suggest that this is adressed after acceptance.

Urban pluvial flood risk assessment - data resolution and spatial scale when developing screening approaches on the micro scale

Roland Löwe¹ and Karsten Arnbierg-Nielsen¹

¹Section of Urban Water Systems, Department of Environmental Engineering, Technical University of Denmark (DTU), Kgs. Lyngby, Denmark.

Correspondence: Roland Löwe (rolo@env.dtu.dk)

Abstract. Urban development models typically provide simulated building areas in an aggregated form. When using such outputs to parametrize pluvial flood risk simulations in an urban setting, we need to identify ways to characterize imperviousness and flood exposure. We develop data-driven approaches for establishing this link, and we focus on the data resolutions and spatial scales that should be considered. We use regression models linking aggregated building areas to total imperviousness, and models that link aggregated building areas and simulated flood areas to flood damages. The data-resolutions used for training regression models are demonstrated to have a strong impact on identifiability, with too fine data resolutions preventing the identification of the link between building areas and hydrology, and too coarse resolutions leading to uncertain parameter estimates. The optimal data resolution for modeling imperviousness was identified to be 400m in our case study, while an aggregation of the data to at least 1000m resolution is required when modeling flood damages. In addition, regression models for flood damages are more robust when considering building data with coarser resolutions of 200m than for finer resolutions. The results suggest that aggregated building data can be used to derive realistic estimations of flood risk in screening simulations. Future work needs to focus on training regression approaches where different degrees of flood-awareness in landuse management can be considered.

Copyright statement. TEXT

Introduction 1

> The development of pluvial flood risk adaptation measures in urban areas typically requires that a variety of combinations of different measures are tested (Radhakrishnan et al., 2018; van Berchum et al., 2018). In addition, flood risk is strongly affected by climate change, urbanization and socio-economic changes (Di Baldassarre et al., 2015; Hinkel et al., 2014; Muis et al., 2015; Muller, 2007; Semadeni-Davies et al., 2008). Projections of these parameters are subject to substantial uncertainties over infrastructure lifetimes between 30 and 100 years (Cohen, 2004; Granger and Jeon, 2007; Hall et al., 2014; Madsen et al., 2014).

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To consider these uncertainties in the design of water infrastructures, scenario assessments are performed. In these assessments, model simulations of the urban layout are linked to water systems models (Urich and Rauch, 2014) and the combined impact of climate change, represented as changing forcing in the water systems model, and changes in exposure, represented by varying simulated urban layouts, is assessed. For example, Löwe et al. (2017, 2018) linked a vector-based urban development model to a 1D-2D hydraulic model of the urban catchment to assess pluvial and coastal flood risk, while Mustafa et al. (2018) implemented a similar setup for fluvial flood risk, considering a cellular automata model for urban development and 2D hydraulic simulations. Other studies have applied cellular automata to study the effect of urbanization on extreme rainfall and resulting flood risk (Huong and Pathirana, 2013) and to quantify changes in coastal flood areas as a result of urbanization (Sekovski et al., 2015).

Raster-based implementations for modeling urban development, such as the one-ones used by Mustafa et al. (2018) and Bach et al. (2018), have the advantage of short simulation times. In combination with flood screening tools, such tools Such models can be combined into a "'flood risk screening setup"' together with fast flood simulation tools, i.e., a setup which allows for a fast evaluation of flood risk with limited accuracy. Such setups enable testing flood risk adaptation measures in a scenario-based approach, where the combination of various potential measures and different socio-economic and climate scenarios easily leads to simulation requirements exceeding 10,000 events (Kwakkel et al., 2015; Löwe et al., 2017, 2018; van Berchum et al., 2018). In this context, conceptual flood screening simulation tools as described by Bermúdez et al. (2018) and Jamali et al. (2018) Jamali et al. (2018, 2019) may be preferable over machine learning techniques (e.g., Wang et al. (2015)), because they allow for a physically interpretable implementation of surface adaptation measures, and because they can be linked to conceptual models of the drainage system, and thus be used for a combined assessment of flood risk and other environmental impacts of the drainage system.

When applying a linked (possibly conceptual) urban development – hydraulic simulation setup for pluvial flood risk assessment, we need to consider effects of increasing impervious areas, leading to increased runoff and thus larger flood hazard (Kaspersen et al., 2017), as well as of increasing exposure, resulting from an increase in the potentially flood-prone urban area (Löwe et al., 2017). For both parameters, urban development simulations will frequently not provide a full quantification of the hydrologically relevant variables. For example, impervious surfaces such as terraces, carports or even streets might not be explicitly represented in the urban development model. Similarly, micro-scale flood damage assessments where simulated flood areas are overlaid with building and infrastructure objects are state-of-the-art in urban hydrology (Hammond et al., 2015), but some building types that are relevant for flood damage assessments (e.g., schools) might not be modeled, and the location of buildings may not exactly reflect reality, or may be blurred if (raster-based) cellular automata approaches are applied. In addition, while Bruwier et al. (2018) clearly demonstrated that building data affect urban flood simulations by blocking flow paths, this effect is difficult to consider if an urban development simulation only provides building information in the form of a building area density.

For the case where urban development models provide aggregated, raster-based outputs, it is not clear how to link this output to hydrological modeling approaches and subsequent economic pluvial risk assessments. Related work has applied ad-

hoc definitions (Löwe et al., 2017), guesstimates from planning documents (Bach et al., 2013) and manual tuning of model parameters (Bach et al., 2018) to predict imperviousness based on modeled building areas.

Data-driven, empirical approaches would be highly attractive to parametrize this link. Our aim is to evaluate such procedures and to characterize the data resolutions and spatial scales for which robust performance can be obtained. Similarly, for damage assessment we would be highly interested in procedures that allow upscaling of locally derived depth-damage functions, which are likely to provide better damage estimates (Cammerer et al., 2013) and facilitate acceptance by stakeholders. This need was also recognized in the literature (de Moel et al., 2015). Upscaling procedures were previously described by Kreibich et al. (2010) and Thieken et al. (2008), but focused on meso-scale damage assessments, rather than assessments on city- or even neighborhood scale that we are interested in when performing exploratory modelling for urban flood adaptation.

None of the previous work has explicitly assessed to what extent data resolutions applied in the development of scaling procedures affect the outcome of these procedures, and at which spatial scale reasonable predictions can be obtained. A thorough assessment of these issues throughout the pluvial urban flood risk modeling chain is the main contribution of this paper.

2 Study area and data

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We consider the city of Odense, Denmark as a case study. Odense has approximately 200,000 inhabitants and it is located in a typical moraine landscape close to the sea.

As base data characterizing the urban form, we were provided with building footprints in vector format by Odense Municipality (Figure 1). The building footprints included information on the building types that were grouped into the 11 classes shown in Table S1. In addition, information on the number of residential units and the commercial floor space area in each building was available.

Data on impervious area were provided in vector format. The data were obtained from remote sensing campaigns and grouped into six classes (Figure 3). The responsible utility Vandcenter Syd continuously performs manual, small-scale evaluations of which percentage of each impervious area class is connected to the sewer system. These evaluations were performed for each of the 18,000 subcatchments used in the existing hydrodynamic model for the city's drainage network. We used this processed dataset for our analysis, i.e., impervious area was considered as effective impervious area connected to the pipe system. A digital elevation model (DEM) was available from Agency for Data Supply and Efficiency (2017) in a resolution of 0.4m. The data supplier ensured hydrological validity of the data by removing obstacles for major flow paths such as bridges. The data were averaged to a resolution of 5m.

Figure 1 shows terrain elevations, footprints of the existing buildings and the network of existing major roads. We refer to Löwe et al. (2019) for a detailed evaluation of the characteristics of the urban layout in the case study area.

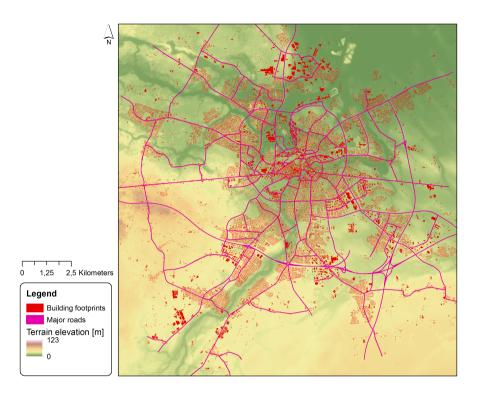


Figure 1. Terrain elevations in Odense, footprints of buildings existing in 2017 and major road network.

85 3 Methods

Figure 2 illustrates the overall problem. Hydrological modeling and flood damage assessment are commonly performed based on polygon data characterizing the urban layout. Fast, raster-based urban development models that are useful for exploratory modeling would typically provide outputs resembling those where building areas were rasterized to resolutions between 25 and 500minstead provide information about the building area inside a pixel or the land use mix inside a pixel, which, through an assumed building density, can be translated into building areas. Typically, these models operate with raster resolutions in the order of 100 to 200m (Bach et al., 2018; Fuglsang et al., 2013; Mustafa et al., 2018). Such coarse input data will affect both rainfall runoff simulations, i.e., the location where flood hazards occur, and are likely to be incompatible with flood damage assessments derived for polygon data.

Building footprint polygons and total building footprint areas aggregated to data resolutions of 25 and 200m. Shown for two selected areas in the case study together with flood maps simulated based on the building dataset shown in each subfigure for a return period of T=100 years. In the baseline flood simulation, buildings were included as obstacles in the terrain model, while this was not the case in the flood simulations performed for the aggregated building data sets.

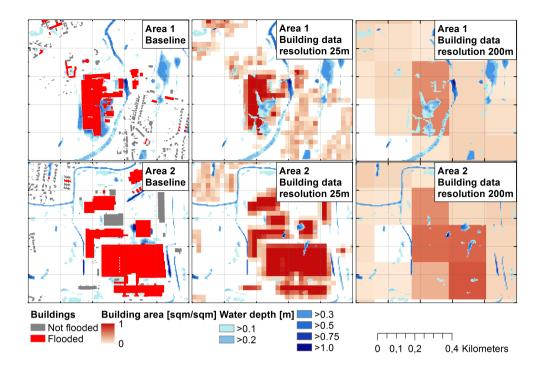


Figure 2. Building footprint polygons and total building footprint areas aggregated to data resolutions of 25 and 200m. Shown for two selected areas in the case study together with flood maps simulated based on the building dataset shown in each subfigure for a return period of T=100 years. In the baseline flood simulation, buildings were included as obstacles in the terrain model, while this was not the case in the flood simulations performed for the aggregated building data sets.

To analyze the To analyze issues arising in different parts of the pluvial flood risk modeling chain, we have performed hydrological assessments considering imaginary urban development model outputs in the form of rasterized building data with resolutions between 25 and 2000m.

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We structured our study around steps illustrated in Fig. (3). Summarized roughly, these steps involved the identification of a regression relationship between rasterized building footprint areas (the assumed urban development modeling output) and impervious area. The identified relationship was subsequently applied to derive a raster of predicted impervious area, which was used to parametrize 2D hydrodynamic simulations of surface water flow. The results of these simulations were used to estimate the amount of flooded building area, which was then used as input to regression models that predicted flood damages derived in a reference simulation. The reasoning behind this approach was the following:

1. Urban development models in general, and fast, raster-based modeling approaches in particular, do not provide detailed information on all impervious areas in a catchment. Thus, we need to estimate empirical relationships between an assumed urban development modeling output (here raster-based building footprint areas for different building types) and measured imperviousness. Fitting the regression relationship to datasets with varying resolutions, provides insight on

the spatial scale at which the link between urban layout and imperviousness can be identified. Generating predictions at varying resolutions provides insight on the spatial scale at which reasonable predictions can be generated.

- 2. In a hydrological model, coarse representations of imperviousness affect the amount and location where runoff occurs, and will thus lead to different simulations of flood hazards. We performed hydrodynamic 2D flood simulations where the hydrodynamic model was parametrized using impervious areas based on building areas with varying levels of aggregation. Comparing the resulting flood maps against a reference simulation, we can quantify how increasingly coarse representations of the urban layout affect simulated flood hazard.
- 3. Economic flood damages are an important parameter in decision making related to flood adaptation. The standard approach for damage estimation in urban hydrology is to overlay high-resolution flood areas and building polygons. If only coarse, raster-based building data are available, flood damages can be derived by establishing a regression relationship between flood damages derived in a reference simulation and the amount of flooded building area as a measure of exposure. Inspecting the validity of this relationship provides insight into the combined impact of coarse representations of the urban layout on hazard and exposure.

In addition to the above, buildings affect simulated flood hazards by obstructing flow paths. This effect cannot be considered when only coarse building data are available. To separate this effect in our study, we performed an additional baseline simulation where buildings were not included in the DEM (not shown in Fig. (3)). We compared simulated flood areas and damages against the reference.

3.1 A - Regression fitting to predict impervious area

3.1.1 Model setup

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Our aim was to predict impervious area in simulated urban developments when the assumed output of an urban development are building footprint areas for different building types. Linear regression approaches for modeling such relationships were previously documented by Butler and Davies (2011) for detached housing only, and by Chabaeva et al. (2009) for a variety of land cover classes derived from satellite observations. To identify a regression relationship, we rasterized the high-resolution polygon dataand. We modeled, for each pixel j, modeled and each of the building types i shown in Table S1, the observed impervious area $A_{imp,j}$ in m^2/m^2 against as a function of the building footprint area $A_{bf,i,j}$ in m^2/m^2 for each of the building types i shown in Table S1. We considered the following relationship: and coefficients a_i :

$$A_{imp,j} = \sum_{i} a_i \cdot A_{bf,i,j} \tag{1}$$

We Scatterplots of impervious area versus building area were included in the supporting information (Figure S1). We have not included an intercept in Eq. (1) to ensure undeveloped areas are assigned an imperviousness of 0, and because an analysis of the dataset suggested no need for an intercept (Figure S1) the scatterplots did not suggest that an intercept would be necessary.

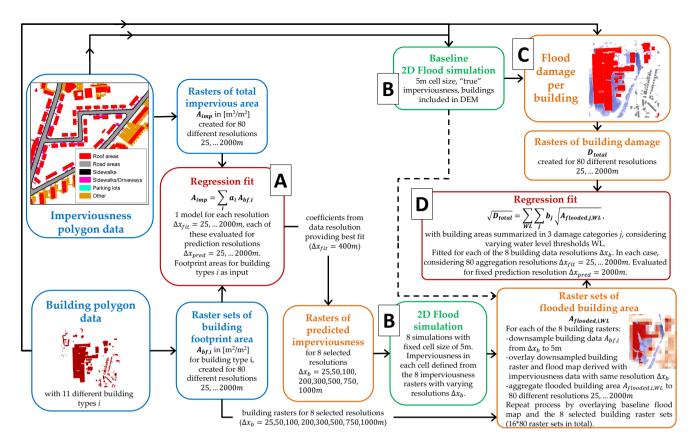


Figure 3. Outline of the analysis steps performed in this paper. Letters A to D refer to the part of the methods sections were the corresponding step is detailed. The dashed line illustrates the case where flood maps from the baseline simulation were used to derive flooded building areas as input to damage regression. Note that the second baseline 2D flood simulation where buildings were not inserted in the DEM is not shown in the flow chart. Steps B and D were performed for a set of 8 selected building raster resolutions Δx_b to reduce the number of possible resolution combinations without compromising the overall results.

For fine data resolutions this leads to biased regression predictions. While the dataset certainly is subject to spatial autocorrelation, the regression models provided strong predictive performance and we have therefore not investigated the matter further.

To test the impact of spatial data resolution, we fitted regression models to datasets with 80 different resolutions Δx_{fit} , ranging from 25 to 2000m in steps of 25m. The regression coefficients identified for each resolution were then used to predict imperviousness at 80 different aggregation levels Δx_{pred} , ranging from 25 to 2000m. We embedded our tests into a cross-validation setup where 80% of the dataset were used for calibration and 20% for model validation. If $\Delta x_{pred} > \Delta x_{fit}$ we sampled from the pixels of the dataset used for prediction, and otherwise from the pixels of the fitting dataset. For cross-validation, a pixel from the dataset with finer resolution was linked to the pixel of the dataset with coarser resolution with which it shared the greatest overlap. The cross-validation procedure was repeated k = 1000 times, i.e., a total of $80 \cdot 80 \cdot 1000$ regression models was considered.

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3.1.2 Performance assessment

During each iteration, we computed root mean squared error $RMSE_{Aimp,k}$, coefficient of determination $COD_{Aimp,k}$, and bias ratio $RBIAS_{Aimp,k}$, $RMSE_{Aimp,k}$ and $NSE_{Aimp,k}$:

$$RMSE_{Aimp,k} = \sqrt{1/n \cdot \sum_{j} (A_{imp,pred,j} - A_{imp,obs,j})^2}$$
(2)

$$155 \quad \underline{NSE} \underbrace{COD}_{Aimp,k} = 1 - \frac{\sum_{j} (A_{imp,pred,j} - A_{imp,obs,j})^2}{\sum_{j} (A_{obs,pred,j} - \overline{A}_{imp,obs})^2} \frac{\sum_{j} (A_{imp,pred,j} - A_{imp,obs,j})^2}{\sum_{j} (A_{imp,obs,j} - \overline{A}_{imp,obs})^2}$$

$$(3)$$

$$RBIAS_{Aimp,k} = \sum_{j} A_{imp,pred,j} / \sum_{j} A_{imp,obs,j}, \tag{4}$$

where $A_{imp,pred,j}$ and $A_{imp,obs,j}$ were predicted and observed impervious areas for a pixel j in the validation dataset and $\overline{A_{imp,obs}}$ was the average imperviousness of all pixels j in the validation dataset. We considered the median of $RBIAS_{Aimp,k}$ and $NSE_{Aimp,k}$ over all k iterations as measures of goodness of fit, and the standard deviation $\sigma(RMSE_k)$ of $RMSE_{Aimp,k}$ as a measure of how reliably the model could be identified for a given combination of Δx_{fit} and Δx_{pred} .

3.2 B - 2D flood simulations

3.2.1 Model setup

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We performed 2D flood simulations of pluvial hazards for ten different models, considering:

- a model where imperviousness was determined from the original imperviousness dataset, and where buildings were included in the DEM for flow calculation (baseline model),
 - a model where imperviousness was determined from the original imperviousness dataset, and where buildings were not
 included in the DEM for flow calculation (baseline without buildings), and
- models where imperviousness was derived considering the regression relationship shown in the supporting material (Sect. S2), and considering building data aggregated to resolutions Δx_b of 25, 50, 100, 200, 300, 500, 750 and 1000m as input. Buildings were not explicitly included in the DEM for flow calculation in this case.

Our 2D modelling approach was the exact same as used by Kaspersen et al. (2017) for the same case study area. The 2D surface flow model was implemented in MIKE 21 (DHI, 2016) using a grid size of 5m. Simulations were performed for Chicago design storms (CDS) with return periods of 20 and 100 years and durations of 4 hours. Rainfall-runoff computations were performed for each grid cell during each time step of a simulated event, and the runoff created in each cell was then included in the simulation of surface water flows.

As in Kaspersen et al. (2017), runoff R_t in time step t for each 5m pixel was computed as

$$R_t = P_t - f_t(1 - IS) - P_{t,RP5}IS, (5)$$

where P_t was the rain intensity and IS the ratio of impervious area in a pixel to its total area. The effective infiltration intensity $f_t(1-IS)$ in a cell was computed based on a constant infiltration rate $f_t = 29.3mm \cdot h^{-1}$. On the impervious portions of a pixel, the rain intensity $P_{t,RP5}$ of a 5 year design storm at the same time step t was subtracted from the rain intensity to simulate the effect of drainage systems.

Impervious areas linked to major roads (Figure 1) were preserved throughout all simulations. In an urban development simulation, main roads would need to be considered explicitly, instead of being lumped into a regression prediction of imperviousness with building areas as the only input. As an example, we included maps of infiltration rates $f_t(1-IS)$ derived for two building datasets in the supporting information, Sect. S3.

The 2D flood model was not calibrated to reflect observed flooding in the catchment. While the simulated flood maps may not coincide with reality, they provide a realistic baseline for the further analysis.

3.2.2 Performance assessment

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We compared the simulated flood maps against the baseline simulation where true imperviousness percentages were applied for runoff modeling, and buildings were included in the DEM. In the comparison, we focused on built-up areas and excluded natural areas and water bodies.

We created contingency tables where we counted in how many pixels both the predicted flood map under scrutiny and the baseline flood map exceeded a water level of 0.1m (hits), and how often this was the case only for the baseline model (misses) or the tested model (false alarms). Subsequently, we computed the scores hit rate HR, false alarm ratio FAR and critical success index CSI as defined in (Bennett et al., 2013). In addition, we evaluated the total area flooded above a water level of 0.1m.

3.3 C - Flood damage assessment

Based on the 2D flood simulations performed for the baseline situation, we assessed flood damages. The derived damage data were subsequently used as a reference for training and validating the regression models derived in Sect. 3.4.

Direct flood damages in urban areas are commonly assessed by overlaying polygons of exposed objects with high-resolution flood maps. A damage is then assigned to each object (e.g., a building) depending on the greatest adjacent water depth (Hammond et al., 2015). For our assessment, we have focused on direct, tangible flood damages as these are most directly related to the urban form.

We distinguished two approaches for damage assessment, which we expected might yield different results in terms of which impacts different data resolutions may have in damage assessment. The first type are threshold-based approaches, where a unit-damage is assigned to an object if the water level exceeds a defined threshold. In Denmark, such approaches are frequently applied in the context of pluvial risk assessments (Kaspersen and Halsnæs, 2017; Odense Kommune, 2014; Olsen et al., 2015), because water levels are generally low. In the international literature, depth-damage curves are widely applied (Penning-Rowsell et al., 2013; Thieken et al., 2008), where damage potentials are assigned to different objects in the urban space. Depending on the flood water level, different portions of the damage potential are realized.

We considered the framework of Olsen et al. (2015) as an example for the unit-damage approach, while the framework of Beckers et al. (2013) was considered as an example for the depth-damage based approach. The latter builds on damage functions from FLEMO. It is the only example we were able to find in the literature where damage potentials for residential and commercial properties were published for the same case study. We have therefore selected it for our work. Table 1 summarizes both approaches. We have not considered damages to road structures, because these were of negligible magnitude.

Flood damages were derived by overlaying the simulated flood areas with the building polygons. A damage per sqm was derived for each building, considering the damage functions shown in Table 1. The building polygons were then rasterized to a resolution of 1m and subsequently aggregated to the different data resolutions used for fitting the regression models detailed in Sect. 3.4.

We have also derived flood damages for the baseline simulation where buildings were not included in the DEM. The damage values were not used for regression, but are shown in the results section, as they provide insight on the impact of blocked flow paths on damage assessment.

3.4 D - Flood damage regression

3.4.1 Model setup

In the regression of flood damages, we considered the building footprint area $A_{flooded,WL[i]}$ flooded with a water level above threshold WL[i] as the main input variable. This area was determined by down-sampling the building raster data with resolutions Δx_b of 25, 50, 100, 200, 300, 500, 750 and 1000m to the same resolution as the flood maps (5m) and summing up the building areas for all pixels which were flooded above the threshold of interest.

We reasoned that the regression models should reflect the characteristics of the damage function applied in the original damage assessment. We have therefore considered a model structure based on the three building classes considered in damage assessment. A square-root transformation was applied to both input and output variables based on an analysis of scatter plots between inputs and outputs to linearize the relationship (see Sect. S6 in the supporting information):

$$D^{0.5} = \sum_{i=1}^{n} (b_{1i} A_{flooded,res,WL[i]}^{0.5} + b_{2i} A_{flooded,comm,WL[i]}^{0.5} + b_{3i} A_{flooded,pub,WL[i]}^{0.5}).$$

$$(6)$$

The flooded building footprint areas for residential $(A_{flooded,res})$, commercial $(A_{flooded,pub})$ and public $(A_{flooded,pub})$ buildings were computed as the total footprint area of the corresponding class that was flooded above water level WL[i] and below WL[i+1], and coefficients b_{1i} , b_{2i} and b_{3i} were estimated for each threshold WL[i]. The mapping between the 11 building types considered in our case study and three building classes considered for damage assessment is illustrated in Table S1.

Both, for the damage data derived based on Olsen et al. (2015) and on Beckers et al. (2013), we have applied Eq. (6) with a single damage threshold of 0.1m, resulting in a model with three input variables that corresponded to the total flooded footprint area for each building type. This approach was in the following named **DMOD1**. In addition, for the damage data derived based on Beckers et al. (2013), we also applied a model where all five water level thresholds shown in Table 1 were considered. The result was a regression model with 15 input variables that reflected the building footprint areas flooded above the different water level thresholds considered in the original damage assessment. This approach was called **DMOD2**.

Similar to the approach for impervious areas in Sect. 3.1, we fitted the regression models DMOD1 and DMOD2 considering 80 different input data resolutions Δx_{fit} between 25 and 2000m. The flooded building area $A_{bf,WL[i]}$ was always determined at a resolution of 5m (corresponding to the resolution of the flood map), and was subsequently aggregated to the resolution that should be used for regression fitting.

To distinguish to what extent coarse building data affect damage assessment by creating uncertainty on flood exposure or flood hazard, we derived flooded building areas both from the baseline flood map and (considering true imperviousness and buildings included in DEM for flood simulation) and from the flood map created in a 2D simulation with the aggregated building data which were also considered for damage regression.

3.4.2 Performance assessment

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To assess model performance, we performed cross validation. The city was divided into subareas of 2000x2000m squares with an edge length Δx_{pred} of 2000m (see Sect. S5 in the supporting information). We trained the regression model on a random sample of 80% of the subareas and assessed model performance on the remaining 20%. This process was repeated k = 1000 times

When the regression models were fitted to datasets with resolutions Δx_{fit} finer than 2000m, we linked the pixels at the lower data resolution to the subarea with which they overlapped most. Regression modeling was then performed at the finer resolution, and predicted damages for each subarea were computed by aggregating the values from the linked pixels. The subdivision into subareas allowed us to evaluate model performance at a constant spatial scale despite applying different data resolutions for model fitting. However, it had the disadvantage that the pixels in the datasets used for regression modeling were not always completely included in a subarea, leading to noise in the computed scores.

To evaluate regression fit, we computed the NSE for cross validation iteration k the COD of damage values $D_{pred,j,k}$ predicted for each subarea j in the validation dataset by comparing against the baseline damage $D_{baseline,j}$ value for the same subarea:

$$\underbrace{NSECOD_{D,CV2000,k}}_{NSECOD_{D,CV2000,k}} = 1 - \frac{\sum_{j} (D_{pred,j,k} - D_{baseline,j})^2}{\sum_{j} (D_{baseline,j} - \overline{D}_{baseline})^2}$$
(7)

The index CV2000 indicates that cross validation was performed on a spatial scale of 2000m. In addition, we computed the total damage ratio $DR_{tot,k}$ considering all subareas j in the validation dataset as

$$270 DR_{tot,k} = \sum_{j} D_{pred,j,k} / \sum_{j} D_{baseline,j}. (8)$$

Median values of $NSE_{D,CV2000,k}$ $COD_{D,CV2000,k}$ and $DR_{tot,k}$ were considered in the analysis of results. For the cases where flooded building areas $A_{flooded,WL[i]}$ were derived based on the flood map from the baseline simulation, scores were marked with subscript **BF**.

4 Results

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275 The results section was structured into the same parts that were also highlighted in Fig. (3). Performance scores related to the simulation of flood hazards and the assessment of flood damages (parts B to D) were collected in Tables 2 and 3, distinguishing results for building data with varying resolutions Δx_h .

4.1 A - Estimation of impervious areas

Figure 4 summarizes $NSE_{Aimp,k}COD_{Aimp,k}$, $RBIAS_{Aimp,k}$ and $RMSE_{Aimp,k}$ where regression models for impervious area were fitted for varying data resolutions (Δx_{fit}), and where the coefficients fitted for one resolution were used to predict impervious areas considering building data aggregated to varying resolutions as input (Δx_{pred}). Subfigures A-C show histograms of the score values obtained during 1000 cross validation iterations for the combination $\Delta x_{fit} = \Delta x_{pred} = 500m$, while subfigures D-F show median values of $NSE_{Aimp,k}$ and $RBIAS_{Aimp,k}$ and the standard deviation of $RMSE_{Aimp,k}$ obtained for each of the $80 \cdot 80$ combinations of Δx_{fit} and Δx_{pred} .

When the regression models were fitted to data with resolutions below approximately 250m, the relationship between building footprint areas and imperviousness could not be identified, because building footprint areas would then not necessarily be located in the same pixels as the associated features of the urban layout (e.g., sidewalks). Regression coefficients approached 1 for the finest data resolutions Δx_{fit} and hardly varied during cross validation (not shown). This lead to low values for $NSE_{Aimp} COD_{Aimp}$ and an under-prediction of the total imperviousness ($RBIAS_{Aimp} < 1$). Values of NSE_{Aimp} Considering the prediction resolution Δx_{pred} , values of COD_{Aimp} above 0.95 were achieved when predicting impervious areas at spatial scales above 500m. For finer spatial scales, there would be random variations in the imperviousness that could not be explained by the amount of building footprint areas alone (see also Fig. (S1)).

While the median predictive performance of the regression models (NSE_{Aimp} COD_{Aimp} and $RBIAS_{Aimp}$) remained constant for fitting resolutions data resolutions Δx_{fit} between approximately 250 and 2000m, the standard deviation of the RMSE values obtained for a fixed prediction resolution was minimal for fitting data resolutions in the order of 400m, i. e., for coarser fitting (Fig. (4F)). For coarser data resolutions there would be a larger portion of the cross validation iterations where the regression models would not be properly identified. This behavior was considered plausible, because coarser fitting resolution data resolutions are accompanied by a loss of information on spatial variability, and because the decreasing number of data points may make it harder to identify the models. Thus, for decreases. For finer data resolutions Δx_{fit} , the negative bias in predicted imperviousness similarly lead to an increase of $\sigma(RMSE_k)$, because prediction errors varied depending on which areas were sampled for validation. This effect was not observed for $\Delta x_{pred} = 250m$, because the impervious areas that were

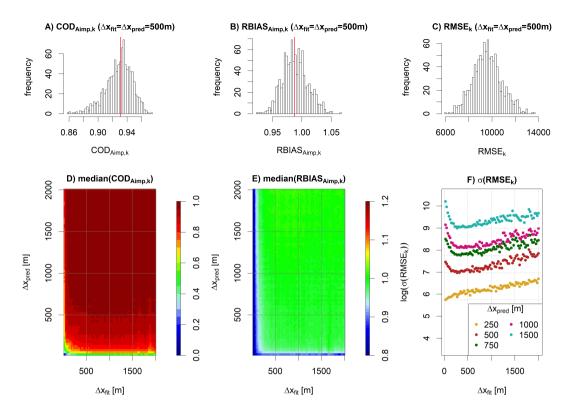


Figure 4. Results for regression models for impervious areas. Subfigures A-C: Histograms of $NSE_{Aimp,k}COD_{Aimp,k}$, $RBIAS_{Aimp,k}$ and $RMSE_k$ obtained during 1000 cross validation iterations k for the combination of fitting resolution $\Delta x_{fit} = 500m$ and prediction resolution $\Delta x_{pred} = 500m$. Red lines in subfigures A and B indicate median values. Subfigures D and E: median values of $NSE_{Aimp,k}COD_{Aimp,k}$ and $RBIAS_{Aimp,k}$ obtained for varying combinations of Δx_{fit} and Δx_{pred} . Subfigures F: standard deviation (log-transformed) of $RMSE_k$ obtained for varying values of Δx_{fit} and selected values of Δx_{pred} (dots with varying colours).

not captured during parameter estimation were then also in the validation phase largely located in pixels where the building area was zero (leading to a predicted imperviousness of constant zero).

For our case study, we identified a fitting resolution data resolution Δx_{fit} of 400m as the optimal trade-off between capturing the link between urban layout and imperviousness by data aggregating data into large enough pixels, and avoiding loss of information by blurring the dataset.

4.2 B - 2D flood simulation

Figure 5 shows the total area which was simulated flooded above different water level thresholds. Results are compared for the baseline model and for a model where imperviousness was specified based on building footprint areas aggregated to a raster resolution Δx_b of 200m. The figure suggests that the model based on aggregated building data simulated fewer areas flooded

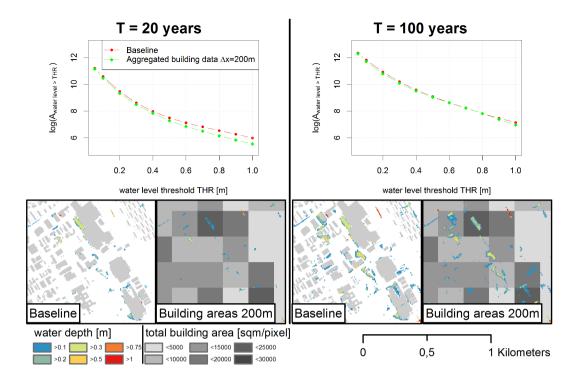


Figure 5. Total area flooded above water level threshold in baseline 2D simulation and in simulation based on building footprint areas aggregated to 200m raster. Results are shown for return periods of 20 (left) and 100 (right) years. Maps below the plots illustrate simulated water depths in the different cases with background showing building footprint polygons (baseline) and total building footprint area per 200x200m pixel (aggregate building data).

with high water levels for the 20 year event. The reason was that this model did not consider the blockage of surface flow paths by buildings. The effect can also be seen by comparing the flood maps in the lower part of Fig. (5).

For the 100 year event, similar total flooded areas were obtained for both models, which can be associated to the greater degree of water movement on the surface and, as a result, the filling of sinks in both models. However, the performance scores shown in Table 3 suggest that there was substantial disagreement between the two models in where flooding occurred. It was difficult to conclude how severely simulated flood maps deviated from the baseline in absolute terms because the performance scores were based on pixel by pixel comparisons, and thus suffered from double penalty issues.

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For both return periods, the score values in Tables 2 and 3 suggest that the flood maps generated with models based on aggregated building data generally resembled the flood map from the baseline simulation without buildings. An increasingly coarse representation of imperviousness in the model thus had little impact on the simulated flood maps as compared to the effect caused by the blockage of flow paths in the baseline simulation.

A minor effect was noticeable in particular in the total simulated flood areas. Coarse building area resolutions implied that impervious areas would be distributed increasingly evenly over the catchment, leading to the distribution of effective

precipitation over larger areas, surface flows with small water levels and, as a result, fewer areas where water levels would exceed the threshold of 0.1m. On the other hand, total impervious areas would be underestimated by the regression model for fine building datasets as a result of the regression specification without intercept. In fact, total impervious areas would be underestimated by 10% with the 25m building raster set, while the bias would exponentially decrease to under 1% at a resolution Δx_b of 300m. These two competing effects implied that the flood maps obtained based on 25m building raster data resembled the baseline best in the 20 year event, where runoff depths were comparably small, and significant water depths only occurred due to an aggregation of impervious areas. For the 100 year event, raster sets with resolutions of 50 and 100m yielded the best trade-off between avoiding an underestimation of impervious areas and ensuring sufficient spatial aggregation of impervious areas.

It needs to be emphasized that the effects discussed above were very minor compared to the impact of whether buildings were considered in the DEM applied for 2D simulation or not. The missing impact of increasingly coarse representations of imperviousness is likely to be linked to the fact that sewer systems were considered by reducing effective rainfall in a manner which was proportional to the imperviousness in a pixel (Eq. (5)), i.e., the design of the assumed sewer system followed the distribution of impervious areas in space.

4.3 C - Damage assessment

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Figure 6 compares damages derived based on the baseline flood map and based on the flood map where buildings were not considered in the 2D simulation of surface flows. In general, the latter approach lead to an underestimation of flood damages, because blocked flow paths in the baseline lead to higher water levels.

The figure also illustrates differences in the results obtained for the two damage frameworks. Considering an aggregation level of 400m, we noticed individual pixels where damages derived using depth-damage curves (Beckers et al., 2013) were several times greater than for the threshold-based method (Olsen et al., 2015), while damages were of similar magnitude on an aggregation level of 2000m. In addition, the approach based on depth-damage curves was subject to stronger variations and and stronger underestimation of total damages. These effects were mainly caused by large commercial buildings which could induce very high damage values when water ponded next to these buildings in the baseline simulation, even though the flooded area would often be small. The threshold-based damage assessment was more robust towards such effects, because a unit damage would be assigned which depended on neither the building size nor the water level.

4.4 D - Damage regression

Performance scores for damage regression models fitted based on building data with varying aggregation levels were summarized in Tables 2 and 3. The scores shown in the tables were derived considering a data resolution Δx_{fit} of 1000m.

The damage regression generally scored high values for $NSE_{D,CV2000}$ $COD_{D,CV2000}$ (median values obtained in cross validation) and only slightly biased total damages (DR_{tot}) , suggesting that, on aggregation levels of 2000m and above, the regression models were able to compensate for deviations in both the simulated flood area and for aggregated representations of building exposure in the form of raster representations of building footprint areas. In addition, there was little difference

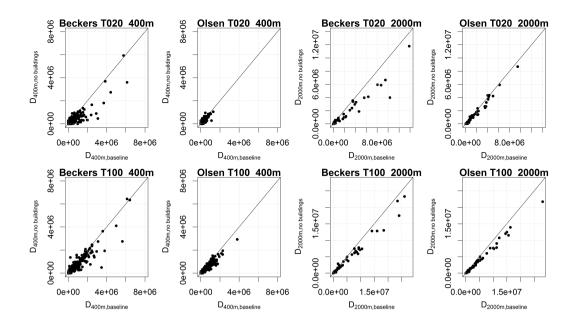


Figure 6. Scatterplots of flood damages estimated based on 2D flood simulations with (baseline) and without buildings included in DEM. Results are shown for return periods of 20 (top row) and 100 (bottom row) years, for both damage assessment frameworks and for spatial aggregation levels of 400 and 2000m. Damages were assessed by overlaying building polygons and the corresponding flood areas.

in the regression scores when flooded building areas were derived using flood maps created based on the aggregated building data, and when the baseline flood map was applied (comparing $NSE_{D,CV2000}$ and $NSE_{D,CV2000,BF}COD_{D,CV2000}$ and $COD_{D,CV2000,BF}$), supporting the statement above.

Both of the above statements were not true for the cases where damages were derived based on the framework of Beckers et al. (2013) in the 20 year event. Similar to the observations in Sect. 4.2 and 4.3, this effect was tied to local ponding near large buildings in the baseline simulation and the associated large damages assigned by the framework of Beckers et al. (2013).

NSED,CV2000,BF CODD,CV2000,BF** was much higher in these cases than **NSED,CV2000** CODD,CV2000** which underlines that the regression models were not able to reproduce damages simply because no or insufficient degrees of flooding were simulated in areas where major damages occurred.

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Figure 7 illustrates how $NSE_{D,CV2000}$ $COD_{D,CV2000}$ varied when different data resolutions Δx_b were applied for regression model fitting (Δx_{fit}) , and when different building data resolutions Δx_b were considered for both parametrizing imperviousness in the surface flood simulations and for computing flooded building area as input to the regression models. As the computed score values were noisy (see Sect. 3.4), we have displayed smoothed lines (R function "'loess"' with parameter span = 0.25 (Cleveland et al., 1992; R Core Team, 2018)). True values were included as dots to illustrate the level of variation around the smoothed line. Values obtained for the best performing building data resolution of 200m $\Delta x_b = 200m$ were colored blue.

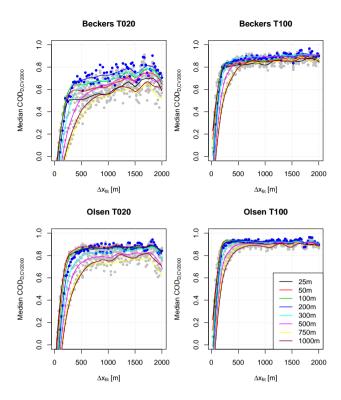


Figure 7. $NSE_{D,CV2000}$ $COD_{D,CV2000}$ considering flood damage regression models (DMOD1) fitted at different data resolutions (Δx_{fit}) and considering building data aggregated to different resolutions in m (lines with varying colors). Lines were smoothed while dots indicate the true $NSE_{D,CV2000}$ $COD_{D,CV2000}$ values derived for each combination of fitting resolution and building input data resolution. Dots were colored blue for a building data resolution of 200m and grey otherwise.

Similar to the tresults obtained for impervious areas, a minimal data resolution Δx_{fit} between 200 and 1000m was required to properly identify the regression models, depending on the damage framework and the resolution of the building data considered. More surprisingly, building data with a resolution Δx_b of 200m consistently yielded high $NSE_{D,CV2000}$ values, while high resolution building data only yielded high score values when damages were computed according to the threshold-based approach of Olsen et al. (2015).

Figure 8 illustrates for the framework of Beckers et al. (2013) and a return period of 100 years the damages computed in the baseline simulation, and compares them against regression predictions generated using building data aggregated to raster resolutions Δx_b of 25, 200 and 750m. For a building data resolution of 25m substantial over- and under-predictions of damages were observed. These effects were mediated when considering coarser building data with a resolution of 200m, while the coarsest building dataset with a resolution of 750m no longer allowed to capture the spatial variability of flood damages.

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Figure 2 illustrates simulated flood areas and building data for the pixels marked as "'Area 1"' and "'Area 2"' in Fig. (8). Similar damages were observed in the baseline simulation for both areas. However, the extent of the flooded area is very

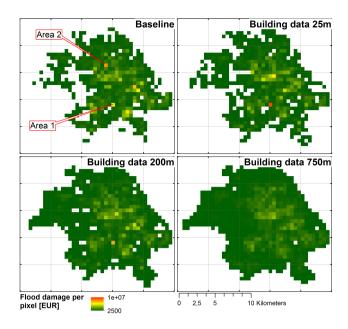


Figure 8. Flood damages predicted by DMOD1 on an aggregation level of 500, considering the baseline dataset and regression predictions generated with building data aggregated to resolutions of 25, 200 and 750m. Damages were computed using the framework documented by Beckers et al. (2013) for a return period of 100 years. Flood areas and building data for the pixels named area 1 and 2 are shown in Fig. (2).

different in both cases. In particular, only very small parts of the building overlap with the flooded area in area 2 for a building data resolution Δx_b of 25m. For a data resolution resolution Δx_b of 200m, the spatial averaging of building areas leads to a lower value for the flooded building area in area 1, and a higher value in area 2, allowing for a better regression fit. Similar to the discussion in Sect. 4.3, this effect was less pronounced when flood damages were computed according to the framework of Olsen et al. (2015), because the threshold-method was less prone to creating high damages in individual locations.

Finally, comparing values of $NSE_{D,CV2000}COD_{D,CV2000}$ and DR_{tot} for DMOD1 and DMOD2 in Tables 2 and 3, little difference could be observed between the two models. In fact, the more complex DMOD2 occasionally yielded lower scores, because more parameters needed to be identified. In addition, the flooded building areas for different level thresholds were correlated, because areas with high water depths would typically also be associated with greater flood extents in general (Fig. (2)), and the additional variables thus yielded little additional information in the regression process.

5 Discussion

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5.1 Using aggregated building data for flood risk assessment

The results suggest that the consideration of aggregated building data affected both the simulation of flood hazards, and the assessment of flood damages. In terms of the simulated flood hazards, the main effect arose from not considering the blockage of

surface flow paths in the 2D flood simulations when considering aggregated building data, while coarse. Coarse representations of imperviousness and the resulting change in rainfall-runoff behaviour had little effect in comparison.

Despite the aggregation of building data, we were able to achieve realistic representations of flood exposure, which was illustrated by the high $NSE_{D,CV2000}$ $COD_{D,CV2000}$ and DR_{tot} values obtained during damage regression. Building data aggregated to resolutions Δx_b in the order of 200m yielded better regression performance than building data with finer resolutions when considering damages derived using the depth-damage based framework of Beckers et al. (2013). Performance of the finer and coarser datasets was similar when considering damages derived based on the threshold-based framework of Olsen et al. (2015). These trends were independent on whether the baseline flood map was applied in damage regression, or the flood map simulated based on aggregated building data. Slightly higher aggregation levels of the building raster sets can thus be considered beneficial for flood screening approaches, as it yields a more robust representation of flood exposure.

The damage regression yielded total damage estimates that, for a building data resolution Δx_b of 200m, differed between 1 and 10% from the baseline values. This was considerably better than the total damage values obtained in the baseline simulation where buildings were neglected in the 2D flood simulation, but damage assessment was performed using building polygon data. This highlights the need for adjusting damage frameworks developed for high-resolution data to the actual modeling context.

5.2 Damage frameworks for pluvial flood risk assessment

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The damage assessment approach based on depth-damage curves (Beckers et al., 2013) produced high, localized damage values where flow paths were blocked by large buildings. These situations were difficult to reproduce using aggregated building data, because it was not possible to simulate the local ponding of water, in particular for the smaller event.

It is questionable whether this damage assessment approach is reasonable for pluvial flood risk, because it relies on modeled water depths which in reality would be unlikely to occur in this form, because the water would likely enter the building and distribute without causing major structural damages. Damage assessment approaches which are less sensitive to water depths may thus be preferable for pluvial flood risk assessment.

The issue could be mitigated by explicitly considering water flow through buildings in the surface flow model, which, however, poses technical challenges. Alternatively, robust regression approaches are likely to yield better results when performing damage regression in the presence of such issues.

5.3 Data resolution in the development of scaling approaches

Very clear dependencies on spatial scale could be identified when developing regression models that predicted impervious areas as a function of building footprint areas. The optimal data resolution Δx_{fit} for developing these models was identified to be in the order of 400m. For finer data resolutions, buildings would not necessarily be located in the same pixel as other impervious areas linked to the buildings (e.g., sidewalks), resulting in an underestimation of impervious areas by the regression models. For coarser resolutions, the data would gradually become too aggregated to properly identify the link between the different building types and imperviousness, leading to a stronger variability of the results during cross-validation. Reliable predictions of imperviousness could be obtained at spatial scales Δx_{nred} above 500m (NSECOD>0.95).

In a similar manner, the performance of regression models for flood damages only reached acceptable levels when data resolutions Δx_{fit} between 500 and 1000m were considered during parameter estimation, depending on the level of aggregation of the considered building dataset. DR_{tot} approached values near 1 only when data resolutions Δx_{fit} of 1500m and coarser were considered (see Figure S4)Fig. (S6)), suggesting that the data needed to be aggregated to such levels to counterbalance local variations in where flooding was simulated and which buildings were exposed to flooding.

5.4 Limitations

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We performed 2D surface flow simulations based on publicly available DEM data where buildings and plants were removed in an automated manner. Our results suggest that the simulated flood maps were very strongly affected by whether the blockage of flow paths through buildings was considered in the DEM or not. Remnants originating from the DEM cleaning process may affect this result and could be an explanation for the rather low performance scores of the simulations where buildings were not included in the DEM. For example, slight misalignments between building polygons and building locations in the DEM may result in artificial sinks in the baseline simulation which would not be possible to reproduce in simulations without buildings.

Our 2D flood modeling approach was a simplified representation of the urban water cycle. This approach was justified as our intention was to evaluate which spatial scales should be considered in the development of flood screening approaches. For detailed assessment of the risk we would recommend 1D-2D calculation methods to more accurately represent where flooding occurs in the catchment.

Finally, the regression models for imperviousness and flood damages

5.5 Generalization and application

The regression parameters for imperviousness are likely to depend on topography and urban layout (e.g., degree of urban creep and density of the urban developments). In addition, the optimal data resolution for identifying regression relationships is likely to depend on the urban layout, with coarser data resolutions being optimal in less densely developed cities. This implies that regression models can be transferred between cities with similar urban layout and topography, but in many cases it will be necessary to identify optimal spatial scales and model parameters for the specific case study.

For flood damage regression, optimal spatial scales and the identified regression models additionally depend on the approach which is used for calculating flood damages. Further, the level of damages incurred by a given amount of flooded area must be expected to depend on the location of sinks and flow paths in the specific case study, and the degree to which urban planning was performed in a flood aware manner (Bruwier et al., 2018). We thus expect that these regression models always have to be identified for the specific case study. Considering the impact of different approaches to landuse planning is an important line of future research in the development of flood screening approaches. Thus, different models would need to be trained for different case studies, while we expect that the scale dependencies identified in our work are generic. More importantly, if landuse planning is implemented in a flood-aware manner, the relationship between flood damages and the flooded building area computed from aggregated data will change. This effect can be considered by training regression models to different datasets, which is an important line of future research in the development of flood screening approaches.

- Based on the considerations above, we suggest the following work flow for developing a fast flood risk screening setup in a new case study:
 - 1. Obtain vector based building data and highly resolved imperviousness data from aerial imagery as base data characterizing the urban layout.
- Perform hydrodynamic flood simulations (e.g., 1D-2D) for the case study to derive a baseline flood map and compute
 flood damages.
 - 3. Train regression models for impervious area and identify a suitable data resolution Δx_{fit} using cross-validation as demonstrated in this paper (see computer code in Löwe (2019)).
 - 4. Use the predicted impervious area as input to fast flood simulation tools (e.g., Jamali et al. (2019)) and generate flood map.
- 5. Use the flood map and rasterized building data to train damage regression models. Identify suitable resolutions for training data (Δx_{fit}) and building rasters (Δx_b) using cross-validation.
 - 6. Apply setup simulate urban development in raster format, predict impervious area based on the simulated building areas, use predicted imperviousness for rainfall-runoff calculation in fast flood simulation tool and compute flood damages based on the generated flood map, simulated building areas and damage regression model.

480 6 Conclusions

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We studied how different data resolutions affect the identification of empirical relationships between building data and urban hydrology, and at which spatial scales reasonable predictions could be obtained. Based on our results, we draw the following conclusions:

- 1. The identification of empirical relations between urban layout and urban hydrology is subject to a bias-variance-tradeoff. Too fine spatial data resolutions prevent the identification of empirical relationships and lead to biased results, while too coarse resolutions reduce the number of data points and blur out spatial variations, leading to uncertainty in the estimated relationships. The optimal data resolutions are expected to vary for different topographies and urban layouts and must thus be evaluated in the specific case study.
- Simulated pluvial flood hazards are strongly affected by whether surface flow simulations consider the blockage of flow paths through buildings, and less by spatially averaged representations of imperviousness during rainfall runoff calculations.
 - 3. Water levels are underestimated if local ponding near buildings is not considered in the surface flow simulations, as would be the case when considering aggregated building data for flood screening input. Without correction, this effect also leads to an underestimation of flood damages.

- 4. A simple regression model predicting flood damages in an area as a function of the amount of flooded building area can, to some extent, compensate for deficiencies in the simulated flood area. Building data aggregated to resolutions in the order of 200m are were the preferred input and perform in our case study and performed more robust than building data with finer resolutions, because they reduce reduced local extrema in flooded building areas.
- Regression models for flood damage must be expected to depend on whether flood-aware spatial planning was applied
 in the case study used for model training or not. Different models must thus be trained to consider different land-use management strategies.
 - 6. Local ponding next to large buildings can create rather large water levels in simulations of pluvial flood risk that may be unrealistic. Damage assessment frameworks where damages increase as a function of water levels are vulnerable to this type of error which is specific to pluvial flood risk.
- Code and data availability. Computer code for fitting regression models for imperviousness and flood damages was made available by Löwe (2019). Building and imperviousness data were proprietary. These datasets and the derived 2D flood models were therefore not made available. Upon request, the authors will attempt to obtain permission for sharing this data.

Author contributions. Roland Löwe performed the analysis and led the preparation of the manuscript. Karsten Arnbjerg-Nielsen supported the scoping of the study and provided feedback on various iterations of the results and the manuscript.

510 Competing interests. We declare no conflict of interest.

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Table 1. Damage assessment frameworks considered in our work

	Olsen	l	Becke	rs et al.	(2013)						
	et	al.									
	(2015)									
				imn	nobile	mo	obile				
type	WL	unit	WL	loss	damage	loss	damage				
	[m]	dam-	[m]	ratio	po-	ratio	po-				
		age		[%]	tential	[%]	tential				
		[EUR]			[EUR/		[EUR/				
					sqm]		sqm]				
			>0.1	3		3					
tial			>0.21	8		8					
residential	>0.1	1800	>0.6	11	389	11	119				
res			>1.0	17		17					
			>1.5	22		22					
			>0.1	5		29					
O			>0.21	9		30					
public	>0.1	8300	>0.6	17	370	42	1.32				
Д			>1.0	23		48					
			>1.5	39		61					
			>0.1	5		29					
cial			>0.21	9		30					
commercial	>0.1	9500	>0.6	17	343	42	90				
com			>1.0	23		48					
			>1.5	39		61					

Table 2. Summary scores for return period T=20 years. The top section compares flood areas simulated with the varying input datasets against the baseline simulation. The middle and lower section evaluate goodness of fit for the damage regression models, considering separate results for the two damage assessment frameworks. Values shown for $NSE_D CODD$ and DR_{tot} correspond to median values obtained during cross validation. The subscript BF marks those cases were flood areas from the baseline simulation were used to determine flooded building areas for regression. Score values for damage regression were derived at a fitting resolution $\Delta x_{fit} = 1000m$.

		Baseline, build-	footprint areas used for predicting imp							
	Score	ings excluded	viousi	ness and	l for dar	nage re	gression	- resolu	ution Δa	c_b in m
		from DEM	25	50	100	200	300	500	750	100
Comparison of	CSI	0.55	0.55	0.55	0.55	0.55	0.55	0.55	0.55	0.5
simulated flood	HR	0.70	0.69	0.68	0.67	0.67	0.67	0.66	0.67	0.6
areas	FAR	0.27	0.26	0.25	0.24	0.24	0.24	0.25	0.25	0.20
(WL>0.1m)	$A_{flood}/A_{flood,baseline}$	0.96	0.92	0.90	0.89	0.88	0.88	0.88	0.89	0.90
	DMOD1-NSE _{D,CV2000} COD _{D,CV2000}		0.54	0.58	0.66	0.7	0.64	0.67	0.59	0.6
Flood damage	DMOD1- $\frac{NSE_{D,CV2000,BF}}{COD_{D,CV2000,BF}}$	COD=0.84 ¹ DR=0.68 ¹	0.74	0.86	0.89	0.88	0.86	0.79	0.72	0.73
assessment	DMOD2- $NSE_{D,CV2000}$ $COD_{D,CV2000}$		0.52	0.63	0.66	0.65	0.47	0.7	0.67	0.74
Beckers et al.	DMOD2- $NSE_{D,CV2000,BF}$ - $COD_{D,CV2000,BF}$		0.77	0.87	0.88	0.86	0.75	0.75	0.7	0.72
(2013)	DMOD1- DR_{tot}		0.85	0.86	0.88	0.9	0.85	0.85	0.74	0.84
	DMOD1- $DR_{tot,BF}$		0.93	0.92	0.94	0.95	0.91	0.91	0.8	0.8
	$DMOD2\text{-}DR_{tot}$		0.87	0.88	0.91	0.93	1.01	0.96	0.96	0.9
	$DMOD2\text{-}DR_{tot,BF}$		0.91	0.93	0.96	0.93	0.95	0.97	1.03	1.02
Flood damage	DMOD1-NSE _{D,CV2000} COD _{D,CV2000}	COD-0.06 ¹	0.88	0.89	0.87	0.86	0.82	0.79	0.75	0.70
assessment	DMOD1- $NSE_{D,CV2000,BF}$ - $COD_{D,CV2000,BF}$	$COD=0.96^{1}$	0.88	0.88	0.86	0.84	0.82	0.82	0.77	0.8
Olsen et al.	DMOD1- DR_{tot}	DD 0.001	0.92	0.96	0.96	0.95	0.94	0.94	0.9	0.9
(2015)	DMOD1- $DR_{tot,BF}$	DR=0.88 ¹	0.95	0.96	0.97	0.96	0.96	0.95	0.93	0.93

 $^{^{1}}COD$ was computed by aggregating damages derived for the baseline simulation without buildings to 2000m, and comparing these results against the baseline simulation with buildings. DR was computed by computing the ratio of total damages in both simulations.

Table 3. Summary scores for return period T=100 years. The top section compares flood areas simulated with the varying input datasets against the baseline simulation. The middle and lower section evaluate goodness of fit for the damage regression models, considering separate results for the two damage assessment frameworks. Values shown for NSE_D CODD and DR_{tot} correspond to median values obtained during cross validation. The subscript BF marks those cases were flood areas from the baseline simulation were used to determine flooded building areas for regression. Score values for damage regression were derived at a fitting resolution $\Delta x_{fit} = 1000m$.

	Score	Baseline, build- ings excluded from DEM		_	_	-			predicti pr	
Comparison of	CSI	0.59	0.60	0.59	0.59	0.59	0.59	0.59	0.59	0.59
simulated flood	HR	0.73	0.71	0.71	0.71	0.70	0.70	0.70	0.70	0.70
areas	FAR	0.24	0.21	0.23	0.23	0.21	0.21	0.20	0.20	0.20
(WL>0.1m)	$A_{flood}/A_{flood,baseline}$	0.95	0.90	0.93	0.92	0.89	0.88	0.88	0.88	0.88
	DMOD1-NSE _{D,CV2000} COD _{D,CV2000}	COD=0.94 ¹ DR=0.81 ¹	0.86	0.86	0.86	0.88	0.91	0.91	0.86	0.9
Flood damage	DMOD1- $NSE_{D,CV2000,BF}$ - $COD_{D,CV2000,BF}$		0.89	0.91	0.91	0.91	0.93	0.91	0.88	0.9
assessment	DMOD2- $NSE_{D,CV2000}$ $COD_{D,CV2000}$		0.78	0.76	0.83	0.88	0.89	0.88	0.91	0.8
Beckers et al.	DMOD2- $NSE_{D,CV2000,BF}$ - $COD_{D,CV2000,BF}$		0.87	0.89	0.89	0.9	0.89	0.86	0.88	0.8
(2013)	DMOD1- DR_{tot}		0.92	0.94	0.93	0.95	0.93	0.95	0.9	0.9
	DMOD1- $DR_{tot,BF}$		0.96	0.97	0.95	0.96	0.95	0.94	0.92	0.9
	DMOD2- DR_{tot}		0.93	0.97	0.95	0.94	0.94	0.97	0.95	0.9
	$DMOD2\text{-}DR_{tot,BF}$		0.95	0.98	0.96	0.97	0.96	0.96	0.95	0.9
Flood damage	DMOD1-NSE _{D,CV2000} COD _{D,CV2000}	COD=0.94 ¹	0.9	0.91	0.92	0.92	0.92	0.9	0.88	0.9
assessment	DMOD1- $NSE_{D,CV2000,BF}$ - $COD_{D,CV2000,BF}$	COD=0.94°	0.94	0.93	0.93	0.93	0.91	0.92	0.89	0.9
Olsen et al.	DMOD1- DR_{tot}	DR=0.79 ¹	0.95	0.97	0.99	0.98	0.98	0.97	0.96	0.9
(2015)	DMOD1- $DR_{tot,BF}$	DK=0.79	0.98	0.97	0.99	0.98	0.97	0.98	0.97	0.9

 $^{^{1}}COD$ was computed by aggregating damages derived for the baseline simulation without buildings to 2000m, and comparing these results against the baseline simulation with buildings. DR was computed by computing the ratio of total damages in both simulations.