- 1 Investigating beach erosion related with tsunami sediment transport at Phra Thong Island,
- 2 Thailand caused by the 2004 Indian Ocean tsunami
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### 19 Abstract

20The 2004 Indian Ocean Tsunami and the 2011 Great East Japan earthquake and tsunami caused large-21scale topographic changes in coastal areas. Whereas much research has focused on coastlines that have 22or had large human populations, little focus has been paid on coastlines that have little or no infrastruc-23ture. The importance of examining erosional and depositional mechanisms of tsunami events lies in the 24rapid reorganization that coastlines must undertake immediately after an event. A thorough understand-25ing of the pre-event conditions is paramount to understanding the natural reconstruction of the coastal 26environment. This study examines the location of sediment erosion and deposition during the 2004 27Indian Ocean Tsunami event on the relatively pristine Phra Thong Island, Thailand. Coupled with sat-28ellite imagery, we use numerical simulations and sediment transportation models to determine the loca-29tions of significant erosion and the areas where much of that sediment was redeposited during the tsu-30 nami inundation and backwash processes. Our modelling approach suggests that beaches located in two regions on Phra Thong Island were significantly eroded by the 2004 tsunami, predominantly during the 3132backwash phase of the first and largest wave to strike the island. Although 2004 tsunami deposits are 33found on the island, we demonstrate that most of the sediment was deposited in the shallow coastal area, 34facilitating quick recovery of the beach when normal coastal processes resumed.

35

### 36 1. Introduction

The 2004 Indian Ocean Tsunami and the 2011 Great East Japan earthquake and tsunami caused large scale geomorphologic changes in coastal areas during the erosional phases of inflow and outflow (Pari

39et al., 2008; Goto et al., 2011a; Tanaka et al., 2011; Haraguchi et al., 2012; Hirao et al., 2012; Udo et 40 al., 2013; Imai et al., 2015). In each tsunami event, the erosional phases translocated sediments onshore 41and offshore, and primed the coastal zone for rapid (months to decades) recovery (Choowong et al., 422009; Ali and Narayama, 2015; Udo et al., 2016; Mieda et al., 2017; Koiwa et al., 2018). However, little 43information exists to identify real-time sediment dynamics during the erosional and depositional phases 44of tsunami events. In particular, erosive phases mobilize sediments into the onshore (e.g. Jankaew et al. 452008; Gouramanis et al. 2017) and offshore environments (e.g. Feldens et al 2009). Following the tsu-46 nami event, both offshore environment and coastal environments are primed for natural processes to resume and redistribute sediments onshore to restore the coastal environment to similar pre-tsunami 4748configurations.

However, in many regions, such as the area affected by the 2011 tsunami, extensive engineering interventions (e.g. levee construction and land level raising) are affecting the natural recovery processes of the coastal zone. In Japan, plans for coastal reconstruction and defenses are typically formulated shortly after a tsunami, preventing natural recovery processes (Suppasri et al., 2016) and many locations have not undergone or been allowed to recover naturally (Udo et al., 2016). These political and engineering interventions make it difficult to observe or predict the natural recovery processes of coastal areas.

Before an understanding of the recovery processes of a tsunami-affected coastal zone can be achieved, a thorough understanding of the sediment budget must be determined. The relocation of sediments during the main tsunami erosion and deposition phases establishes the pre-recovery or baseline conditions upon which natural processes can act to facilitate the recovery of the coastal zone. To determine the locations of sediment deposition during a tsunami event, the sediment transport dynamics during the tsunami must be defined.

62Unfortunately, real-time data from observations has not been possible to establish quantitative esti-63 mates of sediment erosion and deposition during a tsunami event, though qualitative spatial patterns of sediment process (Udo et al., 2016; Yamashita et al., 2016) have been examined through analyze of 64 65video footage. Prior studies have mainly estimated sediment transport dynamics, such as erosion and sediment deposition through remote sensing (e.g. Fagherazzi and Du 2008, Choowong et al., 2009; 66 67Liew et al., 2010), and sedimentological and stratigraphic analysis (e.g. Paris et al. 2007; Hawkes et al. 68 2007; Switzer et al. 2012). However, the information obtained regarding the final results of the sediment transport process is limited. It is difficult to obtain information on where sediment has eroded and de-69 70posited (e.g. Pham et al., 2018), and whether topographic changes caused by the local sediment runoff 71or deposition are the results of action from inflow or backwash (e.g. Choowong et al., 2009; Paris et al 722007; Switzer et al. 2012). This information determines the sediment budget in the system before and 73after the tsunami and is therefore important for considering geomorphic recovery. 74Numerical simulations using wave dynamics of an area can reproduce spatial-temporal variations of

the sediment mobility and deposition and can effectively model the sediment transport process using the wave and sediment characteristics of the natural system. In recent years, the numerical modeling of

tsunami sediment transport has been developed (e.g. Takahashi et al., 2000), improved (e.g. Takahashi

et al., 2011; Apotsos et al., 2011a; Li et al., 2013; Morishita and Takahashi, 2014; Yamashita et al.,

2018) and applied in the field (e.g. Gelfenbaun et al., 2007; Takahashi et al., 2008; Apotsos et al., 2011b;

Apotsos et al., 2011c; Gusman et al., 2012; Li et al., 2014; Arimitsu et al., 2017; Yamashita et al., 2017),

and reproducibility has been confirmed by comparison between the calculated and measured values

- 82 (e.g. Li et al., 2012; Ranasinghe et al., 2013; Sugawara et al., 2014a; Yamashita et al., 2015; Yamashita
- et al., 2016).

84 An important consideration in the sediment dynamics during catastrophic marine events (e.g. typhoon 85 and tsunami) is the degree of development and human modification of the coastal zone prior to the 86 event. Artificial structures, such as sea walls, roads and buildings interfere with washover processes, 87 and these areas are often targeted from reconstruction and rehabilitation through rapid engineering reconstruction. Little is known about the recovery processes in sparsely developed and unpopulated areas. 88 As such, the largely, anthropogenically-undisturbed Phra Thong Island, western Thailand, is an ideal 89 90 location to model the sediment dynamics, coastal erosion and deposition following a major tsunami 91 event.

92The main objective of this study is to investigate the short-term conditions of sediment transport such as erosional and depositional process and establishes the baseline sediment conditions that led to further 93 94investigation of the long-term recovery of the Phra Thong Island coastline after the 2004 IOT. We used 95tsunami sediment transport calculations to spatio-temporally reproduce the sediment transport pro-96 cesses occurring during the tsunami and identify zones of sediment deposition in the offshore and on-97 shore areas and validate these modelling results with published observational data of the 2004 IOT deposits on the island. Due to the largely natural environment, Phra Thong Island is a rare case that is 9899 useful for verifying tsunami sediment transport models where few artificial features can generate model 100uncertainties.

101 Examining the sediment transport processes on Phra Thong Island is also expected to elucidate phenomena, improve numerical calculation models for the future and is applicable to other areas. Further-102103more, at least three palaeotsunami deposits were identified in areas impacted by the 2004 IOT on Phra Thong Island (Jankaew et al., 2008; Sawai et al., 2009; Fujino et al., 2009; Fujino et al., 2010; Prender-104105gast et al. 2012; Brill et al., 2012a, b; Gouramanis et al., 2017; Pham et al., 2018). Thus, clarifying the 106sediment transport conditions of the 2004 tsunami will also be important for future estimations of his-107tory, scope and cause of older tsunamis on Phra Thong Island and elsewhere in the coastal areas of the Indian Ocean. 108

109

## 110 **2.** Setting and method

### 111 2.1. Phra Thong Island, Thailand

112 During the 2004 IOT, a wave of approximately 7 m inundated the northern portion of Phra Thong Island

- 113 (Fig. 1) and measurements up to 20 m were recorded from the southernmost tip of the island (Jankaew
- 114 et al. 2008). Over 70 people were lost and a village of 100 households disappeared. Geomorphologically,





the western coast of the island has a beach ridge sequence trending parallel to the coast, which formed during the sea level regression following mid-Holocene sea level highstand about 6,000 B.P. (Brill et al. 2015). The eastern shore of the island is extensively covered by mangroves along the shores of tidal channels. The island has a tropical climate. Additionally, palaeotsunami deposits are preserved in swales in the beach ridge system along the western coast of Thailand (e.g. Jankaew et al. 2008; Gouramanis et al. 2017). Furthermore, although local beaches were lost in the 2004 tsunami, satellite photography showed rapid natural recovery within 18 months (e.g. Choowong et al. 2009).

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## 126 **2.2.** Topography and bathymetry data

127 The topography and bathymetry data used for the tsunami sediment transport calculations were cre-128 ated based on various water depths and elevations. Figure 2 shows the terrain data that were created. 129 Topographic data were downscaled from Region 1, which includes the Andaman Sea, to Region 6, 130 which includes all of Phra Thong Island. The grid spacing decreases from Region 1 (the spatial grid 131 size  $\Delta x_1 = 1,215$  m) to Region 6 ( $\Delta x_6 = 5$  m). In the tsunami sediment transport calculations, UTM zone



133Figure 2 Terrain data (The black frame shows Region 1 to Region 6, and the black line in Region 6134shows the cross-section where calculation was performed. Dashed squares are the beach where ero-135sion was confirmed from satellite image.)

132

47N was used to geospatially constrain the horizontal modelling coordinates of Phra Thong Island. 137Region 1 is the projection of depth data of the 30-second grid provided by GEBCO (2014) on the Car-138tesian coordinate system UTM 47N. Regions 2-4 use a digital marine chart with 300 m resolution based 139on a survey by the Royal Thai Navy. Regions 5 and 6 use an original 5 m (terrain data) and 15 m (sea 140depth data) grid spacing to create mean terrain and water depth data based on analysis of satellite image 141142by EOMAP and elevation data provided by the Land Development Department of Thailand (LDD, 2018). The terrain data of Region 4, created from the digital marine chart of 300 m resolution, showed 143discontinuity at the boundary with Region 5, which had a higher resolution. The discontinuity was 144therefore removed to the extent possible by interpolation with an inverse distance weighting method 145146using all terrain data.

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## 148 **2.3.** *Tsunami source model*

The tsunami source model proposed by Suppasri et al. (2011) was used as the tsunami source of the 2004 Indian Ocean Tsunami as the model focused on the coast of Thailand and accurately reproduced the inundation area and surveyed trace height of the 2004 IOT. The fault model is divided into six small faults from satellite image analysis and survey results, and it is assumed that each small fault slides simultaneously and instantaneously. For the tsunami source, the vertical tectonic displacement in each fault was calculated according to Okada (1985). Table 1 shows the fault parameters of each fault and Figure 3 shows the initial water level.





157 158

Figure 3 Initial water level after earthquake occurrence

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Table 1 Earthquake fault parameters for calculating initial water level (Suppasri et al., 2011)

Segment No.	1	2	3	4	5	6
Latitude (°N)	3.03	4.48	5.51	7.14	8.47	9.63
Longitude (°E)	94.4	93.3	92.9	92.3	91.9	91.6
Strike (deg)	323	335	340	340	345	7.00
Dip (deg)	15.0	15.0	15.0	15.0	15.0	15.0
Rake (deg)	90.0	90.0	90.0	90.0	90.0	90.0
Length (km)	200	125	180	145	125	380
Width (km)	150	150	150	150	150	150
Slip (m)	14.0	12.6	15.1	7.00	7.00	7.00
Depth (km)	10.0	10.0	10.0	10.0	10.0	10.0

### 161 **2.4.** *Tsunami sediment transport calculation*

# 162 2.4.1. Tsunami propagation and run-up model

163 Tohoku University's Numerical Analysis Model for Investigation of Near-field tsunamis, No. 2

164 (TUNAMI-N2) is based on the nonlinear long wave theory and was used as the tsunami propagation

165 and run-up model (Imamura, 1996).

$$\frac{\partial \eta}{\partial t} + \frac{\partial M}{\partial x} + \frac{\partial N}{\partial y} = 0 \tag{1}$$

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168 
$$\frac{\partial M}{\partial t} + \frac{\partial}{\partial x} \left(\frac{M^2}{D}\right) + \frac{\partial}{\partial y} \left(\frac{MN}{D}\right) + gD \frac{\delta\eta}{\delta x} + \frac{gn^2}{D^{\frac{7}{3}}} M\sqrt{M^2 + N^2} = 0$$
(2)

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170 
$$\frac{\partial N}{\partial t} + \frac{\partial}{\partial x} \left(\frac{MN}{D}\right) + \frac{\partial}{\partial y} \left(\frac{N^2}{D}\right) + gD \frac{\delta\eta}{\delta y} + \frac{gn^2}{D^{\frac{7}{3}}} N\sqrt{M^2 + N^2} = 0$$
(3)

171

Here,  $\eta$  is the change in water level from the still-water surface, *D* is the total water depth from the bottom to the water surface, and *g* is the acceleration of gravity. The bottom friction is expressed according to the Manning formula, where *n* is Manning's roughness coefficient (n = 0.025 s m<sup>-1/3</sup>). *M* and *N* are the total flow fluxes in the *x* and *y* directions, respectively, and are given by integrating the horizontal flow velocity *u*, *v* from the water bottom *h* to the water surface  $\eta$ . It is assumed that the horizontal flow velocity is uniformly distributed in the vertical direction.

178The nonlinear long wave theory consists of a continuous equation that is derived from (1) the princi-179ple of conservation of mass (continuity equation) and (2) the conservation of momentum (equation of 180 motion). These two equations are obtained by vertically integration from the seabed to the water surface. 181 When the water depth is about 50 m or less, the effects of the second, third and fifth terms of the 182advection and seabed friction terms (Equations 2 and 3) are reduced, therefore wave theory that omit these terms is often used at depths shallower than 50 m. Meanwhile, the Message Passing Interface 183184 (MPI) parallel was implemented in the model for highly efficient calculations. Both the advection term 185and the bottom friction term were therefore considered in the calculations without reducing accuracy in 186 deeper waters.

187 The reproducibility of the calculated results is based on the tsunami height data (IUGG; available at 188 http://www.nda.ac.jp/~fujima/TMD/index.html) for the 2004 IOT and is discussed using the geometric 189 mean *K* and geometric standard deviation  $\kappa$  proposed by Aida (1978).

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191

$$\log K = \frac{1}{n_{\rm p}} \sum_{i=1}^{n} \log K_i \tag{4}$$

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193 
$$\log \kappa = \sqrt{\frac{1}{n_{\rm p}} \left\{ \sum_{i=1}^{n} (\log K_i)^2 - n_{\rm p} (\log K)^2 \right\}}$$
(5)

194

Here,  $n_p$  is the number of points,  $R_i$  is the tsunami height at the *i*th point,  $H_i$  is the calculated value at

#### 196 the *i*th point, and $K_i = R_i/H_i$ .

197

#### 198 2.4.2. Sediment transport model

For the tsunami movable bed model, we used the numerical Sediment Transport Model (STM) proposed by Takahashi et al. (2000), which solves the time evolution of sediment transport considering the exchange sediment volume of the bed and suspended load layers according to the flow conditions of the nonlinear long wave theory-based TUNAMI-N2 model. For each time step in the finest calculation region (region 6), the STM receives the total flow fluxes from TUNAMI-N2 and calculates the change of seafloor and land surface and feeds this to the next time step of the TUNAMI-N2 model.

In this model, tsunami sediment transportation is derived into two layers, bed load layer and suspended load layer. In the bed layer, the sediment particles are transported through rolling, sliding or saltating. In the suspended load layer, the sediment particles are uplifted and transported by the dynamic of flowing suspension. The governing equations consist of continuous equations for the bed load layer and the suspended load layer:

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$$\frac{\partial Z_{\rm B}}{\partial t} + \frac{1}{1-\lambda} \left( \frac{\partial q_{\rm B,x}}{\partial x} + \frac{\partial q_{\rm B,y}}{\partial y} + w_{\rm ex} \right) = 0$$
(6)

$$\frac{\partial Ch_{\rm s}}{\partial t} + \frac{\partial CM}{\partial x} + \frac{\partial CN}{\partial y} - w_{\rm ex} = 0 \tag{7}$$

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213

Here,  $\lambda$  is the porosity of the sand particles,  $Z_B$  is the bottom height from the reference plane,  $q_B$  is the amount of bed load sediment, *C* is the average suspended load layer concentration,  $h_s$  is the suspended load layer thickness (= total water depth), and *M*, *N* are the water discharges in the *x* direction and *y* direction,  $w_0$  is the settling velocity of the sand particles.

Equation (6) is a continuous equation for within the bed load layer. The first term is the exchange sediment volume with the bottom, the second term is the balance of sediment flow volume moving in a tractive form in the flow direction, and the third term defines the balance of suspension flux, caused by diffusion, and sedimentation flux, caused by gravity, as the exchange sediment volume between the bed load layer and the suspended load layer.

Equation (7) is a continuous equation for within the suspended load layer. The first and second terms are bed load sediment moving in a suspended state in the flow direction, the third term is the exchange sediment volume between the bed load layer and the suspended load layer, and the fourth term is the increase or decrease of the sediment flow in the suspended load layer.

In Equations (8) and (9), the equations defining the bed load sediment volume  $q_{\rm B}$  and the equation defining the exchange sediment volume  $w_{\rm ex}$  of the bed load layer and suspended load layer are necessary, but according to Takahashi et al. (2000), they are obtained by the following:

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$$q_{\rm B,x\,y} = \alpha \sqrt{sgd^3} (\tau^* - \tau^*_{crit})^{\frac{3}{2}}$$
(8)

$$w_{\rm ex} = \beta \sqrt{sgd} (\tau^* - \tau^*_{crit})^2 - w_0 C \tag{9}$$

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$$\tau_* = \frac{{u^*}^2}{sgd} \tag{10}$$

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238Here,  $\alpha$  is the coefficient of the bed load sediment volume equation,  $\beta$  is the coefficient of the suspension 239volume equation, s is the submerged density of the sand particles ( $s = \rho_s / \rho_w - 1$ ;  $\rho_s$  and  $\rho_w$  are the density of sand particles and water, respectively;  $\rho_s = 2,650 \text{ kg m}^{-3}$  and  $\rho_w = 1,000 \text{ kg m}^{-3}$ , g is 240241the acceleration of gravity, d is the grain diameter,  $w_0$  is the settling velocity of the sediment grains,  $\tau^*$ is the Shields parameter (Equation (10)),  $\tau_{crit}^*$  is the critical Shields parameter,  $u^*$  is the friction ve-242locity obtained from the Manning's law  $(u^{*2} = gn_s^2 M |M|/D^{7/3})$ .  $n_s$  is the roughness coefficient for 243STM. It is worthwhile to mention that in the tsunami calculation, roughness coefficient n is the param-244eter to calculate the shear stress from ground surface which is differs from  $n_s$ , the parameter for calcu-245lating the shear stress which forces on the surface of sand particles. 246

The grain-size dependent parameter for bed load ( $\alpha$ ) and exchange rate ( $\beta$ ) in Equation (8) and (9) are derived from Equations (11) and (12) based on the hydraulic experiments by Takahashi et al. (2011): 249

 $\alpha = 9.8044e^{-3.366d} \tag{11}$ 

(12)

 $\beta = 0.0002e^{-6.5362d}$ 

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However, the functions should not be applied when *d* is outside the 0.166 mm to 0.394 mm range as he validity of extrapolated *d* values may produce erroneous results.

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$$w_{0} = \sqrt{sgd} \left( \sqrt{\frac{2}{3} + \frac{36\nu^{2}}{sgd^{3}}} - \sqrt{\frac{36\nu^{2}}{sgd^{3}}} \right)$$
(13)

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Equation (13) is a settling velocity of the sand particles by Rubey (1933). Here,  $\nu$  is the kinematic viscosity coefficient ( $\nu = 1.39 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ ).

Considering the effect from the bed slope (Watanabe et al., 1984), the formulation of the bed load,  $q_{\rm B}$ , Equation (6), is rewritten as  $Q_{\rm B}$  as shown in Equations (14) and (15):

264 
$$Q_{\mathrm{B},x} = q_{\mathrm{B},x} - |q_{\mathrm{B},x}| \varepsilon \frac{\partial Z_{\mathrm{B}}}{\partial x}$$
(14)

$$Q_{\mathrm{B},y} = q_{\mathrm{B},y} - |q_{\mathrm{B},y}|\varepsilon \frac{\partial Z_{\mathrm{B}}}{\partial y}$$
(15)

where  $\varepsilon$  is the parameter which related to the diffusion coefficient of the sediments ( $\varepsilon = 2.5$ ; Sugawara et al., 2014a).

Sediment transport during tsunami largely occurs as suspension (Takahashi et al., 2000). In such situations, suspended sediments are maintained in the water column by turbulence while the energy of the turbulence is dissipated due to the increased suspended sediment concentration. This induced an equilibrium state in which no further sediment supply from the bottom occurs. The resulting concentration is called the saturated sediment concentration. The expression for saturation concentration of suspended sediments  $C_s$  is applied as (Van Rijn, 2007; Sugawara et al., 2014a):

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277 
$$C_{\rm s} = \frac{\rho_{\rm w}}{\rho_{\rm s} - \rho_{\rm w}} \frac{e_{\rm s} n_{\rm s}^2 u^3}{h_{\rm s}^{4/3} w_0 - e_{\rm s} n_{\rm s}^2 u^3}$$
(16)

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where  $e_s$  is the efficiency coefficient ( $e_s = 0.025$ ; Bagnold, 1966). Note that in the sediment transport calculation, the saturation concentration of suspend sediments given by Equation (16) is applied entrainment of sediment from the bottom layer. Namely, sediment supply from the bottom to the water column (suspended load layer) by  $w_{ex}$  is not permitted if  $C \ge C_s$ . However, supersaturation ( $C \ge C_s$ ) due to sediment advection, or sudden decrease of  $C_s$  due to the change of flow parameters, is permitted. In this calculation, when C exceeds maximum concentration  $C_{max}$  was set to 37.7%, based on the observed value (Xu, 1999a, 1999b).

In Equation (6) and Equation (7), the bottom height  $(Z_B)$  is determined from the reference plane, and the average suspended sediment concentration (*C*) are the initial values before the tsunami and the flow flux (*M*). Because suspended sediment thickness ( $h_s$ ) is given by the equation of motion of a fluid and the continuous equation, sea level fluctuation can be determined over time. Further, the MPI parallel was implemented to enable relatively efficient wide area calculations (e.g. Yamashita et al. 2016).

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#### 292 2.5. Calculation conditions

The initial conditions for the numerical simulations used the terrain data (Figure 2) and tsunami source (Figure 3). The simulations were performed using a 3:1 nested grid that increased the resolution a 1,215 m<sup>2</sup> grid to a 5 m<sup>2</sup> grid. Additionally, the target region of the sediment transport calculation was limited to Region 6, with a grid spacing of 5 m<sup>2</sup>.

The simulations were calculated over a 0.05 second increment with a 6-hour period in which the test case with a 12-hour period showed the suspended sediment concentration in the vicinity of the shoreline decreased and stabilized. Therefore, 6-hour simulation was used for the reproduction of the 2004 tsunami as well as further sensitivity analysis of the grain size and roughness coefficient.

Table 2 Set parameters for sediment transport calculations

Parameter	Value
Coefficient of bed load sediment volume equation $\alpha$	6.40
Coefficient of suspension sediment volume equation $\beta$	$8.70 imes10^{-5}$
Critical friction velocity $u_{crit}^*$	0.0137 m s <sup>-1</sup>
Settling velocity of sand particles w <sub>0</sub>	0.00971 m s <sup>-1</sup>

For the bottom conditions of STM, the roughness coefficient was fixed at  $n_s = 0.030$  s m<sup>-1/3</sup>, and the 303 entire area of Region 6 was considered the movable bed. In general, when simulating tsunami sediment 304305transport, it is necessary to determine the roughness coefficient according to land use. However, since 306there is no land use map before the tsunami on Phra Thong Island, a fixed value was used, similar to previous studies (e.g. Sugawara et al., 2014a, b; Yamashita et al., 2015; Yamashita et al., 2016). How-307 308ever, Sugawara et al. (2014a) showed that the variation in Manning's roughness coefficient for the sand beds may affect the general distribution pattern of sediment deposits and erosions across the artificial 309topographic features with much higher roughness coefficient such as artificial canals, roads and popu-310lated residential areas. Therefore, a sensitivity analysis on the roughness coefficient was performed. 311312Phra Thong Island has no such artificial topographic features and using the single roughness coefficient 313should sufficiently capture the overall roughness. However, to ensure robust conclusions, a sensitivity analysis for two bottom conditions was performed at  $n_s = 0.025$  s m<sup>-1/3</sup> and  $n_s = 0.035$  s m<sup>-1/3</sup>, which are 314within the range of previously used estimates of roughness (e.g. Sugawara et al., 2014a, b). 315

The grain size was based on one sediment data set (Gouramanis et al., 2017) from the locally eroded region, and was considered as a representative value for all of the tsunami sediment grain sizes. A uniform grain size of d = 0.127 mm was used. The critical Shields parameter  $\tau_{crit}^{*}$  in Equations (9) and (10) was obtained using Equations (17) and (18) according to Iwagaki et al. (1956):

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- 321
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 $\tau_{crit}^* = u_{crit}^{*\,2}\rho\tag{17}$ 

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$$u_{crit}^{*\,2} = 8.41 d^{\frac{11}{32}} \tag{18}$$

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The numerical model used in this paper can only consider a single grain size, so the model cannot resolve the grading observed in the sand layers (e.g. Gouramanis et al., 2017). Additionally, initial bed grain size can have a large effect on erosion and deposition (e.g. Apotsos et al., 2011b; Sugawara et al., 2014a; Jaffe et al., 2016). Furthermore, the sediment data we used to set the grain size is from a single location in the north of the island, and is assumed to be a representative grain size for the tsunami deposits. As such we performed a sensitivity analysis on the grain size. Pham et al. (2018) investigated



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Figure 4 Comparison of calculated and measured maximum tsunami height

the surface grain size of the offshore (water depth > 15 m), nearshore (water depth < 15 m), and onshore on Phra Thong Island, which they considered to be the source of sediments that formed the tsunami deposits. Pham et al. (2018) recorded a mean grain size of 0.314 mm in the offshore area, 0.129 mm in the nearshore area, and 0.285 mm in the onshore area. Based on these mean grain sizes, we conducted a sensitivity analysis for two grain sizes (0.285 mm and 0.314 mm representing the offshore and onshore sediments).

342

### 343 **3. Results**

## 344 **3.1.** Verification of reproducibility

## 345 **3.1.1.** *Tsunami height*

346Figure 4 shows the results of the calculation of the maximum tsunami heights and the seven measured 347tsunami heights on Phra Thong Island. From Equations (4) and (5), K = 1.16 and  $\kappa = 1.40$  are obtained. 348The Japan Society of Civil Engineers (2012) consider 0.95 < K < 1.05 and  $\kappa < 1.45$  as guides for evaluating reproducibility of tsunami numerical calculations. Although the K value is slightly higher than 349 350the guideline but this is because of an uncertain 19.6 m measured in the southern part of the Island. Additionally, the source model used in this calculation gives K = 0.84 and  $\kappa = 1.30$  for reproducibility 351of tsunami height in the wide area along the coast of Thailand (Suppasri et al., 2011). Therefore, it can 352353be said that this calculation has the same tsunami reproducibility as the previous study.



Figure 5 Topographic change and shoreline position caused by the tsunami (solid & dashed lines show that the coastline after and before the tsunami in the simulation, P and Q are the points confirmed local beach erosion in region (a) and (b), blue and red mapping show erosion and deposition after the tsunami in the simulation)

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#### 360 **3.1.2.** Shoreline changes

Our sediment transport models identify the locations of significant sediment erosion, which are con-361firmed from post-tsunami satellite images. Figure 5 shows the pre-2004 IOT topographical and geo-362morphological features (dashed line) and the modelled changes caused by the tsunami (solid line). Ero-363364sion typically occurs locally where small tidal channels breach the youngest beach ridge system (Figure 3655(a) and 5(b)). Comparison with the satellite image shows that the position of erosion in both regions is consistent (Figure 6). Although the actual amount of erosion is unknown, this indicates that the planar 366spread of the eroded component can be well reproduced by the calculation. Region (a) was further 367368investigated in detail, as the area corresponds to the point where sediment outflow occurred (Jankaew 369et al., 2008).

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Figure 6 Comparison of observed shoreline position from Figure 5 region (a) and (b) derived from
satellite images before and after the tsunami (20 Dec. 2004 and 30 Jan. 2005), which is overlain by
the modelled extent of erosion showing that the modelled results closely match the observed
changes. The red line is the calculated shoreline after the tsunami, and the yellow line is the shoreline before the tsunami (© CNES, 2019, Distribution Airbus DS").
a1) Satellite image before the tsunami in region (a), a2) Satellite image after the tsunami in region (a),

b1) Satellite image before the tsunami in region (b), b2) Satellite image after the tsunami in region

(b)





387Figure 7 Comparison of field measured and simulated tsunami deposit thickness using a representa-388tive grain size of d = 0.127 mm. Black point shows the measured thickness by Jankaew et al.389(2008) and Gouramanis et al. (2017), white point shows the simulated thickness. Blue and red line390show the cumulative curves of measured data and simulated data.

### 392 3.1.3. 2004 IOT onshore sediment deposition

In addition to the erosional features, the model simulated the deposition of 2004 IOT sediments across the island. The thickness of these simulated deposits is compared with 133 measured 2004 IOT deposit thicknesses (Jankaew et al., 2008; Gouramanis et al., 2017). Figure 7 shows a comparison of layer thicknesses at each site (black circles for measured results and white circles for simulated results), which shows that most of the sites are overestimated within 1 km from the shoreline and underestimated at distances greater than 2 km from the shoreline. The model specification and topographical data can be considered as the major causes of this error.

400 First, considering the overestimation within 1 km of the inundation distance, it is found that the STM has a setting of the maximum suspended concentration,  $C_{\text{max}}$  as 37.7% (Xu, 1999a and 1999b). The 401 computed suspended concentration in this area is higher than  $C_{\text{max}}$ . Therefore, the surplus sediment is 402403forced to be deposited in this zone causing overestimation. Pham et al. (2018) found that the source of 404tsunami deposits in Phra Thong Island is mainly the sediment from the nearshore zone. In other words, 405the first wave, which had the highest wave height, eroded a large amount of sediment in the nearshore 406and transported a large amount of sediment inland. Therefore, it is considered that the maximum con-407centration was reached during the first wave run-up because of the very high concentration of suspended 408 sediment, which led to the overestimation of the forced sedimentation in the simulation.

Second, considering the underestimation of the deposition in inundation distances of 2 km or more, the most likely reason is the computational grid and the model specification. Previous studies have shown that tsunami deposits are highly affected by locality features (e.g. Sugawara et al., 2014a; Watanabe et al., 2018). As shown in three locations with the actual measured deposit thickness (dashed boxes) in Fig. 8, it can be seen that most of the measured thickness is zero which indicate and support





Figure 8 Spatial distribution of measured and simulated thickness of tsunami deposits. The black dotted
 lines indicate that the calculated values are underestimated at distances greater than 2 km.

the reasons of localized deposition. Although the computational grid is very fine ( $\Delta x_6 = 5$  m), it is dif-418 419ficult to reproduce local sedimentation with averaged elevation data. It is worth noting that STM adopts 420only single grain size and can only perform deposits which consist sand. Sugawara et al. (2014a) conducted tsunami sediment transport simulation on the Sendai Plain and discussed the transportation pos-421422sibility of finer grained sandy and muddy sediment. Muddy sediments were also found in the Sendai 423Plain at a distance of 2 km or more from the 2011 tsunami. Sugawara et al. (2014a)'s STM-based tsunami sediment transport simulation could not be reproduced for Phra Thong Island. This can be attribute 424425to the limitation of sandy sediment and single grain size model. Therefore, it is possible that muddy or 426very fine-grained sediment was deposited at the three sites but were underestimated in the simulations 427using the current model.

Based on all above-mentioned reasons, it is more practical to evaluate the simulation results by the overall trend of the tsunami deposit rather than comparing the thickness point by point. In Figure 7,

430 the line of "Cumulative volume" show the cumulative deposition expressed at each point by the sedi-

431 ment thickness multiplied by the area of the computational grid. In general, the tsunami deposits are

- 432 greatly affected by local micro-topography (Sugawara et al., 2014a; Jaffe et al., 2016), and it is difficult
- 433 to fit the modelled layer thickness with the observed layer thickness using DEM averaged in a compu-
- 434 tational grid. Therefore, we introduce the concept of cumulative sedimentation, and evaluated the scale
- 435 of the amount of sediment movement generated. Although the modelled layer thickness typically
- 436 overestimates the observed layer thickness by +7%, such low variation suggests a relatively successful





438 Figure 9 Topographic change and shoreline position caused by the tsunami for each grain size 439

Table 3 volume of erosion and deposition in regions (a) and (b) for each grain size (Percentage shows

the ratio to reference)

	d (mm)	Erosion (m <sup>3</sup> )		Deposition (m <sup>3</sup> )		
		Region (a)	Region (b)	Region (a)	Region (b)	
Reference	0.127	352,333	314,189	259,379	254,417	
Onshore	0.285	143,793 (41%)	155,225 (49%)	161,810 (62%)	149,470 (59%)	
Offshore	0.314	117,491 (33%)	128,289 (41%)	137,749 (53%)	128,801 (51%)	







Figure 11 Topographic change and shoreline position caused by the tsunami for each roughness coef-ficient

450 Table 4 volume of erosion and deposition in regions (a) and (b) for each roughness coefficient (Per-

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centage snows the ratio to reference)	centage	shows the	ratio to	reference	)

		-			
	$n_{\rm s}~({\rm s}~{\rm m}^{-1/3})$	Erosion (m <sup>3</sup> )		Deposition (m <sup>3</sup> )	
		Region (a)	Region (b)	Region (a)	Region (b)
Reference	0.030	352,333	314,189	259,379	254,417
Low	0.025	293,032 (83%)	285,659 (91%)	249,242 (96%)	230,905 (91%)
High	0.035	410,323 (116%)	352,284 (112%)	272,394 (105%)	262,522 (103%)



Figure 12 Comparison of field measured and simulated tsunami deposit thickness for each roughnesscoefficient.

453

reproduction of the observed dataset (Figure 7). The modelled overestimation is likely due to the as-457sumption that the entire exposed land area would act as a movable bed. In reality, this is an oversimpli-458459fication of the true ground surface, which contains vegetation that binds and traps the soil and wet regions (i.e. in swales) that would have higher degrees of sediment cohesion, reducing the area that 460would be eroded. In addition, the model also reproduces the inland thinning of the 2004 IOT deposit. 461462Based on these results, comparison of the sediment layer thickness of the 2004 tsunami shows that the 463scale and the overall sediment transport trend are comparable, and therefore, the results are sufficiently 464 reproducible with confidence to evaluate the actual sediment transport.

465

### 466 **3.1.4.** Sensitivity analysis for grain size and roughness

467 Figure 9 shows the topographical changes and the thickness of the sediment layers used in this calculation for each grain size, and Table 3 shows the volume of erosion and deposition in regions (a) and 468469 (b). These figures show that the smaller the grain size is, the greater the topographic change. This can be understood by the smaller the grain size, the larger the Shields parameter in Eq. (10), which indicates 470the ease of sediment transport, and the greater the amount of bed load in Eq. (8). However, Figure 9 471472suggests that the qualitative characteristics of sediment transport are the same in the three cases, due to the local erosion position of the beach in region (a) and (b) did not change for any grain size. And then, 473474comparing the tsunami sediment thickness in Figure 10 the errors of the cumulative volume of d = 0.314475mm and d = 0.285 mm are -63% and -55%. Therefore, the grain size of d = 0.127 mm is considered to 476show the better reproducibility.

Figure 11 shows the topographical changes and thickness of sediment layer in this calculation for each bottom roughness coefficient, and Table 4 shows the volume of erosion and deposition in regions (a) and (b). These figures show that the larger the value of roughness coefficient  $n_s$  is, the greater the topographic change. This can be understood by larger the roughness, the larger the Shields parameter in Eq. (10) because the friction velocity is proportionate to  $n_s$ . Therefore, an increase in the roughness coefficient indicates the ease of sediment transport, and the greater the amount of bed load in Eq. (8). However, Figure 11 suggests that the qualitative characteristics of sediment transport are the same in the three cases, due to the local erosion position of the beach in region (a) and (b) did not change for any bottom conditions. And then, comparing the tsunami sediment thickness in Figure 12, the errors of the cumulative volume of  $n_s = 0.025$  s m<sup>-1/3</sup> and  $n_s = 0.035$  s m<sup>-1/3</sup> are -8% and 13%. Therefore, the roughness coefficient of  $n_s = 0.030$  s m<sup>-1/3</sup> is considered to show the better reproducibility.

488

### 489 **3.2.** Sediment transport process

490 Although the model reproduces the zones of sediment erosion and deposition well, the sediment 491transport processes during the tsunami event are further examined in regions (a) and (b) in Figure 5. 492The modelled time series of the changes of water height and elevation at point P in region (a) and point 493Q in region (b) are shown in Figure 13. The modelling results show that the first wave arrived 2 hours 40 minutes after the earthquake, and backwash was generated 10 minutes later (Figure 13). In addition, 494the ground surface elevation increased by about 30 cm through sediment deposition during the first 495496inflowing wave and more than 1.5 m was eroded during the backwash transporting sediment towards 497the ocean, so beach loss in both regions is considered to be a result of erosion during the backwash (red 498line in Figure 13).

499In addition, no major topographic changes occurred on the beaches in both areas after the second 500wave backwashed, most of the sediment movement on the eroded beaches is considered to have been 501completed by the second wave drawback. In other words, the sediment transport processes during this 502period are the most important to examine the shoreline changes that occurred during the tsunami and set up the primary conditions for beach recovery post-tsunami. As such, there are two narrow time 503504periods that highlight the key factors that for establishing the initial conditions of the recovery process. 505First, why was not the beach eroded by the inflowing waves? Second, how did the sediment flowing 506seaward in the first wave move?

Based on the waveform (which assumes a flat surface), a shore-normal cross section calculation was carried out along the transect in Figure 2. The transect covers the region (b) from 1,000 m offshore across the shoreline and 1,000 m inland. Beyond these distances the planar effect was considered to be negligible. Figure 14 shows the changes in ground level, water level, suspended sediment concentration and saturation of suspended sediment concentration on the transect at each unit of time as waves washed in and out.

513

### 514 **3.2.1** Why was not the beach eroded by the pushing wave?

As shown in Figure 14, prior to the first wave, the ocean receded to below approximately 8 m below mean sea level. As inflow of the first wave began, sediment was eroded from the sea floor at about 5-10m below mean sea level. This nearshore erosion increased the suspended sediment concentration as the first wave propagated onshore. At the shoreline, the suspended sediment concentration saturated and sedimentation could begin at the shoreline. In other words, it is estimated that sediment eroded the nearshore (5 m < depth < 10 m) environment during the first inflowing wave, and much of this sediment



Figure 13 Chronological change of flow depth and land surface at point P and Q in region (a) and (b)

(blue line shows the flow depth and red line shows the land surface)

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524 525

was transported shoreline and inland.

It should be noted that, there will be no increase in suspended sediment when the suspended sediment 526527is saturated in the model and is the likely reason that the beach was not eroded by the inflowing first 528wave. Although there is a possibility that the beach was actually eroded, the numerical results suggest 529that the erosion in shallow coastal waters (deeper than 5 m but shallower than 10 m) resulted in a very 530high concentration of suspended sediment when the inflowing first wave entered -5 m to the beach section of the coast and sediment ceased to be entrained. Pham et al. (2018) found that the source of the 5315322004 IOT deposits on Phra Thong Island was from the nearshore (depth < 15 m). This means that large 533scale erosion in shallow water has occurred and a large amount of sediment has been transported inland 534which agrees with the simulation results. Therefore, it is highly likely that the sediment concentration was very high when it reached the beach during the first inflowing wave. Takahashi (2012) showed that 535when the suspended sediment is in a high concentration state, turbulence is suppressed and the ability 536to retain suspended sediment may decrease. Therefore, it is highly probable that the same phenomenon 537occurred on Phra Thong Island and the beach erosion during the inflowing wave was suppressed. 538

539

### 540 **3.2.2** How did the sediment flowing seaward in the first wave move?

In Figure 14, at the initiation of backwash, the suspended sediment concentration is low. As backwash 541flows towards the ocean, the velocity increases, which increases erosion and causes the suspended sed-542543iment concentration to increase. This finding is consistent with the changes recorded in Figure 13. Beach 544erosion due to backwash has also been confirmed in for the 2004 IOT in Sri Lanka and the 2011 Tsunami along the Sendai Plain and at Rikuzentakata. (e.g. Tanaka et al., 2007, Tanaka et al. 2011, Yamashita et 545al. 2015, 2016). On the Sendai Plain, the estuary section of the old river tends to increase the return 546547flow due to the tsunami (Tanaka et al., 2007, Tanaka et al. 2011). Therefore, there is a possibility that the region (a) and (b) (Fig. 2, 5 and 6) where local beach erosion of the backwash occurred on Phra 548549Thong Island are the old river part.

550 Conversely, the entire beach was eroded by the return flow in Rikuzentakata (Yamashita et al., 2015,

551 2016), but no erosion was observed along the entire beach on Phra Thong Island and the Sendai Plain.

552 Yamashita et al. (2015, 2016) suggested that the difference between Rikuzentakata and the Sendai Plain



Figure 14 Change in water level (WL), land surface (EL), topographic change (TC), suspended sediment concentration (CS), and saturation suspended sediment concentration (CS\_Sat) by section
calculation along the survey line in region (b). (I) before the first inflowing wave, (II) Advance
of first leading wave in shallow water, (III) Start of first leading wave run-up, (IV) Maximum of
first leading wave , (V) Advance of second backwash, (VI) Maximum of second backwash

may be related to the horizontal distance of the plains. On the Sendai Plain, the inland topographic gradient is small, the inundation distance is long and the inland inundation depth tends to be small. Therefore, the potential energy that the inundation depth changes to kinetic energy during the backwash (return flow) becomes relatively small. The Sendai Plain and Phra Thong Island are flooded plains over 2 km inland and have similar topographical features.

From the above reasons, the local beach erosion due to the return flow on Phra Thong Island occurred at the mouths of tidal channels and within tidal channels and that minimal erosion occurred across the wider beach ridge strand plain. As backwash of the first wave ended, the water still contained a high suspended sediment concentration and this was deposited in the nearshore environment at less than 5 m water depth (Figure 15). After that, no significant topographic change was found. Thus, this modelling shows that most of the sediment that eroded from the onshore area was deposited in the shallow nearshore zone.

571

### 572 **4.** Discussion

### 573 4.1. Sediment transport process and beach erosion

574Regions (a) and (b) were selected for detailed investigation of the simulation results and discussed. On Phra Thong Island, the 2004 IOT wave was large enough to expose the nearshore sediments and 575576entrained most of its sediments from the shallow offshore region (below 5m). The wave ran up the 577exposed nearshore area while retaining sediment from the shallow offshore region. The sediment con-578centration gradually increases as the wave runs up the relatively long distance of the exposed nearshore zone, and became sediment-saturated as the wave reached the shoreline, making it difficult for new 579sediment to be eroded further. This explains why there was little erosion of the beach during the inflow-580ing wave, and may be a characteristic sediment transport property of shallow beaches like those on Phra 581582Thong Island. The numerical simulation results suggest that there is little transportation of sediments 583from beach by the first inflowing wave and that inland tsunami deposits originated from the nearshore environment. This finding validates Sawai et al. (2009)'s observation that the 2004 IOT entrained dia-584toms from shallow offshore waters at Phra Thong Island, and Pham et al. (2018)'s observation that 585586sediment grain sizes and mineralogy were most similar to those of nearshore sediments. Figure 15 587shows the results of the calculated sediment deposition both onshore and offshore Phra Thong Island. 588From the modelling results, most of the eroded sediment was deposited in shallow nearshore environ-589ments in water less than approximately 5 m deep.

590 The simulations show that the eroded sediments were deposited in the nearshore zone during back-591 wash (Fig. 15), which primed the coastal zone for rapid coastal recovery. The removal of sediment from 592 the onshore coastal zone also generated accommodation space that may have contributed to the coastal 593 recovery process. Future studies can build on these findings to determine the extent of sediment 594 transport and deposition, and identify the processes of coastal recovery on Phra Thong Island.

595 Geomorphologically, the Sendai Plain, which was inundated by the March 11, 2011 Great East Japan 596 tsunami, is similar to the beach ridge plain on Phra Thong Island (Tanaka et al., 2011), but most of the



Figure 15 Sediment distribution derived from the simulation (showing depth contours at 5 m intervalsin the sea area)

600

tsunami sediment deposited onshore came from terrestrial sources (Goto et al., 2012; Szczuciński et al., 601 2012; Takashimizu et al., 2012; Sugawara et al., 2014b). However, the Great East Japan tsunami differed 602 603 from the 2004 IOT as the Japanese event had a much smaller receding wave (Nationwide Ocean Wave 604 information network for Ports and HArbourS, NOWPHAS: available at 605 http://www.milt.go.jp/kowan/nowphas). As such the Japanese tsunami may not have achieved sediment 606 saturation as the wave approached the shoreline, thereby containing a lower sediment concentration and allowing large volumes of sediment to be entrained from the beach for subsequent formation of inland 607 608 deposits. The different sources of deposited sediment in the two areas reflect contrasting sediment 609 transport mechanisms on shallow beaches, and may be useful for identifying paleotsunami from coastal recovery and geological records. 610

611

#### 612 **4.2.** *Limits of calculation results*

This study analyzed tsunami sediment transport on Phra Thong Island using numerical calculations and assumed that the island was unvegetated and lacked topography. However, the western half of the island has an undulating surface caused by the beach ridge and swale system, and is extensively vegetated with trees and dense grasses on the ridges and thick grasses within the swales. The eastern half of the island has wide tidal channels and an extensive fringing mangrove system. Both topography and differing vegetation types add complexity to the inundation and backflow sediment transport models
not captured here. In future, it is necessary to consider the influence of vegetation and topography on
tsunami sediment transport.

Another potential limitation of the model is the selection of a single (median) grain size for the sediments. As shown in previous studies (e.g. Sugawara et al., 2014a, b), the assumption of transport of single grain sized sediment differs from actual situations because of the distribution of grain sizes mobilized and deposited by tsunami. Therefore, it is important to set representative grain sizes and fully study how grain size affects tsunami sediment transport. Future modelling may consider simulating the suite of grain sizes individually or simulating a population of grain sizes that are identified in the modern environment and in preserved tsunami deposits.

Furthermore, although the calculation was performed considering the entire area a movable bed, the existence of fixed beds, such as rocky areas, should be considered. We consider this a minor component of this research as the rocky headlands that serve as fixed beds are relatively small in area and would contribute little to the overall simulations in our models.

Sugawara et al. (2014b) considers the simulation result of sediment layer thickness using the tsunami
sediment transport calculation to be affected by grain size, bottom conditions and topographic data.
Their study showed that the layer thickness increases as grain size becomes finer and the layer thickness
distribution tendency was unchanged regardless of grain size. Similar results were obtained in this study.

637

### 638 **5.** Conclusion

Because of insufficient knowledge about the topographic recovery process after a tsunami, this study
used sediment transport modelling to identify the erosional and depositional processes affecting the
coastal zone at Phra Thong Island, Thailand during the 2004 Indian Ocean Tsunami.

First, it was confirmed by comparing simulated results of the shoreline and sediment layer thickness that the location of beach runoff identified on Phra Thong Island was reproducible and consistent with sediment transport results (Figs. 6 and 7). Based on the sediment transport results we conclude that the processes of sediment erosion and deposition on Phra Thong Island are characterized by the following sequence:

- erosion caused by the inflowing waves occurred at a relatively shallow location in the offshore
  area and the transported sediment was deposited near the shoreline;
- the inflowing waves caused minimal erosion of the shoreline; and,
- erosion of the shoreline was largely caused by backwash resulting in onshore sediments depos ited in the shallow nearshore zone.

These erosional and depositional processes demonstrate the locations of sediment removal and subsequent deposition during the different phases of the first tsunami wave on Phra Thong Island which will serve as an important baseline of sediment sources for further study of the recovery process. The simulations also show that the zones of erosion and deposition across the island and offshore coastal 656 zone are non-uniform. In particular, the zones of erosion and deposition highlighted in the simulations 657 establish the environmental conditions that existed in the transitional phase between catastrophic tsu-658 nami and normal coastal processes that facilitated coastal recovery.

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## 661 6. Acknowledgements

662We would like to express our gratitude for the support from Dr. Panon Latcharote of the Faculty of 663 Engineering, Mahidol University, Prof. Supot Teachavorasinskun, Dean of Faculty of Engineering, 664Chulalongkorn University, Dr. Pitcha Jongvivatsakul, Department of Civil Engineering Chulalongkorn 665University; and data from the Royal Thai Navy. RM, AS, KY, FI was support by JSPS Grant-in-Aid for 666Scientific Research (A) No. 17H01631 (FY2017 - FY2021). AS and NL was support by JSPS Bilateral program for joint research with National Research Council of Thailand (NRCT) (FY2017 - FY2018). 667668CG was supported by NUS grant (R-109-000-223-133). NL was supported by Ratchadapisek Sompoch 669 Endowment Fund (2019), Chulalongkorn University (762003-CC). This work is a contribution to IGCP 670 Project 639, 'Sea-level Change from Minutes to Millennia'.

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