

Reviewer 1

Dear Reviewer,

We very much appreciate your positive statements regarding our manuscript. We are appreciative of your time and the detailed comments. In fact, you brought up interesting aspects, and we believe that these comments and our respective reactions to them will improve the quality of the paper. We did our best to improve the scientific quality of the manuscript significantly. We have modified several sections of the manuscript based on both reviewers suggestions and comments.

General Comments

In general, the authors presents an interesting approach on the problem of general access or even existence of modern, i.e. web accessible landslide inventories. However, it remains a bit unclear if the focus is on the IT technical side or on the geological technical and on the harmonisation side, which is probably the bigger challenge. The paper would benefit from practical examples of how the harmonisation actually can be accomplished. Whether this was in the scope of the project or not could be clarified. It could also be elaborated on how the authors see the maintenance issue, should this system be actually implemented. Nodal agencies are mentioned but are they really capable of doing it. You could elaborate on what it would take for one of the nodal agencies to actually implement this.

Thank you for the useful and important comments. We should clarify that in this paper authors want to focus on highlighting the necessity of develop of a national-scale landslide management system, and present how stakeholders can contribute. We aimed to show available landslide information with various formats in Nepal and illustrate the need for collecting the landslide inventories for better hazard management in the country. Furthermore, please consider that the present paper is not part of a real project from the government, and it should be considered as a research work at an academic level. We agree that the issue of maintaining such a nationwide platform requires human resource and infrastructure. Therefore, based on our conducted fieldworks in the study area, we came to know that there are some landslide databases already prepared in Nepal and international organisations like International Centre for Integrated Mountain Development (ICIMOD) already generated a database of spatial data for regional development, which has a potential to play a significant role in hazard management and consequently in a sustainable development of the study area. Therefore, our research focuses on conceptualising the idea of national scale landslide database, but there is no plan for implementing. As mentioned before there are already some databases available at regional and national level. Thus, organisations in Nepal have the capabilities and human resources to apply them within a more applicable system. We completely agreed with your comment that it would be much work additionally for a single nodal agency. Thus, that is why developing such a system requires collaboration between different organisations.

Specific comments

- Line number 8 Drop “that”

We have added more recent information to the introduction section.

- Line number 17 Better 'landslide hazard and risk management'.

Landslide management in Nepal was rewritten as per your suggestion as; Landslide hazard and risk management in Nepal, See line 16.

- Line number 34 there is an increase in damage. Even though this is likely, pls. provide actual proof.

We have added a reference as a real proof for the statement. See line 33.

- Line number 45 there is little collaboration happens between the authorities. Change happens to happening

We have rephrased the sentence, see lines 62-63.

- Line number 54 pls. provide information on WHY the access is limited

Thank you for the valuable comment. We have added the explanation in the text as the inventories were prepared by research organisations and academic departments, they provide results in the form of reports and digital copy of inventories are not available. See lines 42-43.

- Line number 84 database considered to database are considered.

We have added more recent information to the introduction section.

- Line number 92 during landslide hazards is a bit imprecise here. As the hazard is a probability, there can be no "during". Something like when landslides actually occur is better

We agree with you and have rephrased the text.

- Line number 93 change Landslide management

We agree with your comment. Landslide management in Nepal was rewritten as per your suggestion as; Landslide hazard and risk management in Nepal. See lines 60-61.

- Line number 123 please explain not only in the figure

In Nepal the stakeholders can be categorised into five main groups: governmental authorities, research institutions and academia, international organisations, news and media, and the public. Governmental authorities are further subdivided according to the administrative and organisational structure in Nepal. The smallest administrative unit on the community level is the Village Development Committee (VDC) which is headed by the VDC head. At the local level (rural/urban municipalities), there are administrative departments related to soil conservation, forest management, urban planning, and agricultural development. We refer you to figure 2 and lines 208-212.

- Line number 164 pls. explain the acronyms when first used

Done

- Line number 196 pls. make clear whether the imagery used in that report was compared to pre-disaster imagery in order to make clear that the landslides mapped were actually triggered by the earthquake.

A total of 19332 coseismic landslides were mapped by (Gnyawali et al., 2016), in most of the region, the satellite imagery of pre-earthquake were used from December 2014 and post-earthquake imagery from May 2-4 which was around one week after the main earthquake. We refer you to lines 198-200.

- Line number 210 The role of the EO database to be explained

Remote sensing and EO data play a significant role in mapping and analysing inventories. The EO data with appropriate resolution of pre and post-landslide event are required for conducting classification and interpretation of the hazard-affected area.

- Line number 238 pls avoid figures with unreadable content, reduce it to your message or drop it completely. It has no real benefit.

Done.

- Line number 242-243 pls re-word this

We have restructured the sections in the manuscript, please check the revised version.

- Line number 314 The upper section of this figure repeats figure 1, pls. make it more concise

We have restructured the sections in the manuscript, please check the revised version.

- Line number 321 harmonize, and integrate

We have restructured the sections in the manuscript, please check the revised version.

- Line number 322 That is relatively trivial. Shorten it.

We have restructured the sections in the manuscript, please check the revised version.

- Line number 330 It will be more than just a database, there will be application code, a web server etc.

Done. We refer you to lines 358-359.

- Line number 331 I think, this can be a problem in a developing country, how can you ensure sustainability.

You are completely right and authors agree with you. However, please consider this paper is not part of a real project from the government and it should be considered as a research work at an academic level.

- Line number 335 PostgreSQL is not a query language (this would be SQL) . I think this paragraph can be shortened. Just mentioning PostGIS is enough.

Done, We refer you to lines 363-364.

- Line number 343 Other classifications exist; pls. explain why you chose this one.

Done, We refer you to lines 368-370.

- Line number 345 can you give estimates on the time required for every step?

The time required for a single person at district level to verify new uploaded landslides can be varied and depends upon the local infrastructure and information available to him.

- Line number 369 Unclear English

We have improved English language throughout the manuscript.

- Line number 379 which study

Study refers to our current work mentioned in the manuscript.

- Line number 398 This is just a link to the BRGM website, either provide a detailed link or leave out.

Reference details updated.

- Line number 414 Duplication of name in reference.

Reference details updated.

- Line number 430 This source is imprecise

Reference details updated.

- Line number 537 Duplication of name

Reference details updated.

Reviewer 2

This paper discusses a conceptual framework of landslide information system for preparing, maintaining and upgrading landslide database in Nepal. The authors also discussed the data providers, end-users of the landslide information and nodal agencies to regulate the database. This paper attempts to fill in the knowledge gaps regarding landslide information in a developing country like Nepal, where there are limited comprehensive and dedicated landslide database. However, there are several issues identified in the paper. I recommend that this paper be accepted after major revision.

Dear Reviewer,

We very much appreciate your positive statements regarding our manuscript. We are appreciative of your time and the detailed comments. In fact, you brought up interesting aspects, and we believe that these comments and our respective reactions to them will improve the quality of the paper.

Major comments:

1. Figure 2 clearly outlines the process of landslide inventory preparation. But the text in the workflow section is confusing and does not clearly articulate the process and steps taken to prepare the landslide inventory framework (Figure 2).
 - We have updated the manuscript significantly and improved the text based on your above suggestion.
2. For identifying stakeholders, the administrative structure of Nepal is discussed in paragraph starting with line 122 and is depicted in Figure 1. This administrative structure is old. I suggest replacing with new system. At present, a new administrative structure is enforced following the federalization in 2015: Local government (rural/urban municipalities), Provincial government and federal/central government.
 - Thank you for the suggestion, we have replaced the information in manuscript to the new administrative structure suggested by you.
3. In Line 64 the authors have discussed the efforts of landslide inventory in European countries, Britain, New Zealand, China and USA. But they have not presented the landslide database management efforts in developing countries e.g. India. Considering the efforts underway in developed countries is critical to learn from them. But it is also necessary to take into account situation of landslide database in developing countries. This would help the authors to contextualize the framework in the context of Nepal.
 - Thank you for your suggestion, We do agree with you to take into account the situation of landslide databases in developing countries we have included landslide database management efforts in developing countries e.g. India.
4. In Line 51, the authors have mentioned that institutions like Tribhuvan University and ICIMOD have landslide database. But they have not included the Nepal Disaster Risk Reduction Portal, Ministry of Home Affairs, Nepal (<http://drrportal.gov.np/>). This portal has been established since 2010 and includes information on landslides. There are global and regional databases (e.g. EMDAT and DesInventar) that include inventory of landslides from 1970-to date, which are not discussed.
 - Thank you for such valuable suggestions, We have now included institutions like Nepal Disaster Risk Reduction Portal and Ministry of Home Affairs, Nepal (<http://drrportal.gov.np/>), which play an essential role in disaster management in Nepal. The information about DRR portal has also been added in the text. We have also discussed global databases like (e.g. EMDAT and DesInventar).
5. Paragraph starting with line 161 discusses about different organisations involved in landslide management. However, National Disaster Risk Reduction and Management Authority (NDRRMA)-which is responsible to act as a nodal agency for coordinating and managing disasters in Nepal. In Nepal, NDRRMA and Ministry of Home Affairs is the focal institution at the central government for managing disasters. While at the local level, there are Climate change/disaster focal persons at the Municipalities for coordinating at the local level to various

sectoral offices. This information is missing. Without clearly presenting the institutions working for disasters in Nepal, it is not useful to suggest a nodal agency.

- Thank you, for the comment, we have now included National Disaster Risk Reduction and Management Authority (NDRRMA) and Ministry of Home affairs which are focal institutions at the central government for managing disasters. Also, we have modified the text related to local level disaster management.

Minor comments:

6. Poor organisation and lack of coherence between sentences and paragraphs o Introduction para open up with Line 28, which starts with landslide risk in Himalayas. This line ends with one sentence and then in the next section the situation of Nepal is presented. o Line 55 begins with a comprehensive landslide inventory. This section presents the techniques of landslide inventory preparation. o Line 64 global efforts of landslide inventory is discussed o Line 82 web-based landslide inventory provides vital information about landslide areas. o Line 88 in our case study of Nepal.
 - All of the above suggestions are the introduction section, and now we have modified the whole introduction section based on the flow of the manuscript and have covered all of your suggestions.
7. I suggest reorganizing the introduction section from general to specific: provide the landslide risk in the world, Himalayas and then Nepal. Then discuss landslide inventory (what is it, why is it important) and techniques of landslide inventory preparation. Following this, discuss the efforts of landslide database preparation as discussed in line 64 and add the information about developing countries. Then include information about Nepal.
 - We have modified the whole introduction section based on the flow of the manuscript, as suggested by you.

Thank you so much

The authors

NELIS: a conceptual framework for a web-based geographical information system for enhanced landslide management in Nepal

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Abstract:

Comprehensive and sustainable landslide management, including the identification of areas susceptible to landslides, requires a lot of organisations and people to collaborate efficiently. Landslide management efforts are often made after major triggering events, such as hazard mitigation after the 2015 Gorkha earthquake in Nepal. Next to a lack of efficiency and continuity, there is also a lack of sharing of information and cooperation among stakeholders to cope with significant disaster events. There should be a system to allow easy updates of landslide information after an event. For a variety of users of landslide information in Nepal, the availability and extraction of landslide data from a common database are a vital requirement. In this study, we investigate the requirements to propose a concept for a web-based Nepalese landslide information system (NELIS) that provides users with a platform to share information about landslide events to strengthen collaboration. The system will be defined as a web GIS that supports responsible organisations to address and manage different user requirements of people working with landslides, thereby improving the current state of landslide hazard and risk management in Nepal. The overall aim of this study is to propose a conceptual framework and design of NELIS. A system like NELIS could benefit stakeholders involved in data collection and landslide management in their efforts to report and provide landslide information. Moreover, such a system would allow for detailed and structured landslide documentation and consequently provide valuable information for susceptibility, hazard, and risk mapping. For the reporting of landslides directly to the system, a web portal is proposed. Based on field surveys, literature review, and stakeholder interviews, a structure of the landslide database and a conceptual framework for the NELIS platform are proposed.

Keywords: Landslide inventory, landslide information system, web GIS, user requirements, remote sensing

1. Introduction

Landslides are one of the significant hazards that cause fatalities and damages in the Himalayas. About 70% of Nepal's total area is mountainous terrain and prone to landslides (Kargel et al., 2016). One of the most severe landslide events in recent years happened as a result of the Gorkha earthquake in April 2015. The earthquake had a magnitude of M 7.8 and caused landslides in an area of 10,000 km² located in Nepal and China, which led to property damage and about 9,000 human fatalities (Kargel et al., 2016; Tsou et al., 2018). As Nepal is located in the Indo-Eurasian tectonic zone, it is prone to earthquakes. In

30 addition to earthquake-induced landslides, heavy rainfalls during the monsoon season trigger landslides every year. Many of
31 the earthquake-induced landslides get reactivated and extended during the monsoon rains and lead to the destruction of
32 infrastructure and human losses (Zhang et al., 2019). Due to a high population growth rate and unplanned dense building
33 activities in susceptible areas, there is an increase in damage (Dikshit et al., 2020). Limited investments in slope protection
34 and the absence of spatial planning reveal the lack of intervention measures for reducing the landslide risk in Nepal. As a
35 result, there is an increment of Nepal's mountainous regions' socio-economic problems due to landslides, including loss of
36 agricultural fields, deforestation, and the homeless population due to destroyed buildings.

37 In response to the landslides in the Gorkha earthquake context, the authorities in Nepal realized that their management of the
38 landslide hazard and associated risk mitigation programs has to improve both at the regional and national scale. There are
39 several reasons why current processes are insufficient. First, efficiency is hindered by the low level of collaboration that exists
40 between the authorities in charge of landslide management in Nepal. This sometimes leads to duplicated efforts in landslide
41 documentation because of uncoordinated responsibilities. Second, and as a consequence, the information basis for landslide
42 management is heterogeneous and dispersed over different organisations. Typically, access to landslide inventories is limited
43 to internal users as most of the inventories are published in form of reports. . Moreover, each organisation follows its own
44 rules to collect landslide information, i.e. they do not follow standardized approaches or guidelines for data collection. This
45 heterogeneity also contributes to a lack of information exchange and hinders collaboration because the landslide information
46 of one organization may not fulfil another organization's requirements. In addition to public authorities, some scientific
47 organisations also address landslide management, mainly focusing on testing new landslide documentation with remote
48 sensing. For example, the Tribhuvan University and the International Centre for Integrated Mountain Development (ICIMOD)
49 have prepared pre-earthquake (Pokharel and Bhujju, 2015) and post-earthquake (Gurung and Maharjan, 2015) landslide
50 inventories for the Gorkha earthquake. However, their mapping approaches are not yet integrated into responsible authorities'
51 documentation processes to ensure that the resulting inventories comply with the authorities' requirements, e.g. concerning
52 validation procedures for data verification. A third reason why current landslide management practices are insufficient is the
53 mode in which management efforts are carried out. They are often implemented after major triggering events only, such as
54 recovery measures taken after the 2015 Gorkha earthquake in Nepal. This approach mostly favours reactive measures but omits
55 a proactive perspective on landslide management. Additionally, the poor state of availability and accessibility of landslide
56 information in Nepal limits the quality of landslide hazard assessments. Most landslide information is available either in
57 analogue reports, or the organisations cannot share the information with others.

58 Further, landslide management in Nepal may not exploit input from all relevant stakeholders yet. At the local level, residents
59 that are affected by landslides are the primary source of landslide information. In rural areas, the residents often report the
60 events to the local police or other authorities. However, currently, there are not enough efforts to involve local people in
61 landslide hazard and risk management in Nepal.

62 In all, landslide management in Nepal involves many organisations that have little collaboration between each other and have
63 to work with a heterogeneous and dispersed basis of landslide information. Efforts on landslide management mostly happen
64 event-triggered and lack a proactive perspective. Consequently, current processes tend to lack efficiency and cannot provide
65 continuity for the entire landslide management cycle of mitigation, preparedness, response, and recovery.

66 In Nepal, there is a need for a consistent landslide information database, i.e. a landslide inventory, as part of a collaboration
67 platform that is accessible for all organisations involved in landslide management and that is embedded within well-organized
68 landslide management processes. Hosted by a comprehensive nodal agency, the platform could significantly increase the
69 awareness of landslides. It would also enable to perform improved susceptibility analysis and enhance hazard evaluation and
70 risk assessment credibility. Such a platform would provide researchers and policymakers with an updatable database to prepare
71 landslide zonation of the country and identify susceptible regions. Therefore, it would allow for better spatial planning for
72 mitigation of future hazards. Moreover, with a quick enough documentation process, the platform would even support
73 organising remedial actions to reconstruct infrastructure in affected areas.

74 To achieve such a solution, landslide management organisations in Nepal could exploit opportunities provided by processes
75 and state-of-the-art technologies from the wider natural hazards domain that are already applied in other countries or that are
76 currently researched. In the natural hazards domain, endeavours are made to generate landslide inventory databases triggering
77 events such as earthquakes (Roback et al., 2018). The international Emergency Events Database (EM-DAT) lists events, where
78 at least ten persons died or at least 100 people were affected (CRED, 2018). United Nations Office for Disaster Risk Reduction
79 (UNISDR) has set up DesInventar Sendai as a tool for recording disaster loss data for the member countries, and it directly
80 addresses “the SFDRR targets A, B, C and D which aims at reducing the human fatalities, number of people affected, financial
81 losses and infrastructural damages by the year 2030” (Panwar and Sen, 2020).

82 Van Den Eeckhaut and Hervás (2012) carried out a study in Europe that shows the status of landslide databases and the value
83 for attaining landslide susceptibility hazard and risk analysis. It indicates that a total of 25 European Union members maintain
84 national landslide databases. In another effort, Herrera et al. (2018) analysed the landslide databases from the European
85 countries’ geological surveys by concentrating on their interoperability and completeness. In general, geological surveys are
86 most often responsible for creating landslide databases in their country; for example, France's digital landslide database was
87 developed by the French Geological Society already in 1994. Some countries have more than one landslides database, e.g.
88 Italy has two, the Inventory of the Landslide Phenomena in Italy (IFFI) Lazzari et al. (2018), and the AVI project (Vulnerable
89 Italian Areas) (Guzzetti et al., 1994). In the United Kingdom, there is a national landslide database (Pennington et al., 2015)
90 developed by the British Geological Survey (BGS). As of 2015, it has point and polygon-based landslide information with
91 attributes attached for each landslide and covers approximately 17,000 records of landslides. Recently, national landslide
92 databases have been developed, for example, for China Xu et al. (2015) and New Zealand (Rosser et al., 2017). In the USA,
93 landslide inventory data is managed by the United States Geological Survey (USGS). In developing countries like India, they

94 have the BHUVAN a geoportal platform for providing visualisation services and Earth observation (EO) data to users in the
95 public domain. Remote sensing data are available for public and organisational usage and it has basic GIS functionality with
96 many thematic maps on display functions. Landslide data in India is collected by the Geological Survey of India (GSI) along
97 with the National Remote Sensing Centre (NRSC).

98 Web technologies and information processing functionality can facilitate the use of landslide inventories in landslide
99 management. A comprehensive web-based landslide inventory can include various additional data, such as aerial photographs,
100 satellite data, monitoring data, and attribute information (Chen et al., 2016). Several landslide inventory preparation techniques
101 can be considered: visual satellite image interpretation (Roback et al., 2018; Cheng et al., 2018), semi-automated image
102 analysis techniques (Hölbling et al., 2012), machine learning models (Fang et al., 2020; Tavakkoli Piralilou et al., 2019), deep
103 learning approaches and convolution neural networks (Ghorbanzadeh et al., 2019), Unmanned Aerial Vehicle (UAV) based
104 mapping (Suwal and Panday, 2015; Rossi et al., 2018), use of tablet-based GIS (Knoop and van der Pluijm, 2006; De Donatis
105 and Bruciatelli, 2006), and involvement of local communities as an alternative approach (Devkota et al., 2014; Carr, 2014;
106 Jaiswal and van Westen, 2013). Landslide inventory databases provide the base data for carrying out susceptibility analysis
107 using multiple knowledge-based and data-driven models at various spatial levels from regional to national levels (Meena et
108 al., 2019; Hölbling et al., 2018). Ideally, for every landslide, the accessible data will be transferred to one central database so
109 that clients can retrieve, include, update or expel information in an automated way (Klose et al., 2014). Web-based landslide
110 inventory databases provide vital baseline information about landslide areas, location, types, triggers, geometry, distribution
111 and a broad scope of extra attributes (Guzzetti et al., 2012).

112 For Nepal, we suggest developing the Nepalese landslide information system (NELIS) to report and arrange landslide data.
113 NELIS would make landslide data available to all relevant government agencies as well as the public. NELIS would also allow
114 the reporting of landslides directly in the system through a web portal connected to a central database for storing landslide
115 information.

116 This study aims to conceptualise a web-based landslide information system that supports coordinated landslide action in Nepal
117 and the development of a consistent landslide database. We propose NELIS's conceptual framework and analyse related user
118 requirements, thereby presenting a starting point for technical implementation. Section 2 presents the methods for identifying
119 stakeholders and for performing interviews according to a questionnaire. Further, it explains how we analyse the responses to
120 understand the stakeholder's existing workflows and develop user needs and requirements for NELIS. The methods section
121 concludes by presenting the steps to identify system architecture components for and the user interaction with NELIS.
122 Accordingly, section 3 presents the results and discussion. Section 3.1 includes an overview of the stakeholders involved in
123 Nepal's landslide management and their motives for collecting landslide information. We present a complementary overview
124 of the state of currently available landslide documentations coming out of mapping efforts done in the past. In section 3.2, we
125 present the stakeholders' needs and requirements towards a collaboration platform, and we design the appropriate workflows

126 for landslide documentation supported by a platform like NELIS. Finally, in section 3.3, we propose a system architecture that
127 supports the functionalities required by NELIS. Section 4 presents the conclusion.

128 **2. Methods**

129 Service development yields the best results when users with their needs and preferences are involved in the design process
130 (Saffer, 2017). To develop a concept for NELIS, we, therefore, adopted a user-centred design (UCD) approach. According to
131 Wealands et al. (2007), UCD follows a sequence of tasks: understanding and specifying the user's working context, defining
132 the user requirements, producing the design solution, and evaluating the design. Albrecht et al. (2016) already applied UCD
133 for developing an EO-based landslide web service for the landslide community in Austria. This study for proposing the NELIS
134 concept followed similar steps of UCD as Albrecht et al. (2016), adapted for Nepal's situation.

135 **2.1 Collecting information about users**

136 For collecting information about users, their needs, and requirements, we prepared a questionnaire survey addressing the
137 stakeholders that are active in landslide management in Nepal. Further, we performed a field trip to visit relevant stakeholder
138 organisations at their premises and to conduct interviews. Additional information about the stakeholders and their workflows
139 related to landslide management was available through their web presentations and the body of literature on landslide research
140 in Nepal. The main opportunity for establishing contacts to stakeholders and for distributing the questionnaire was our
141 participation in the workshop "Scientific learning exchange on landslide management and bio-engineering in Nepal: from data
142 to landslide mitigation - new venues for collaboration" which was organized by the Nepali government's Department of Soil
143 Conservation and Watershed Management (DSCWM) in Kathmandu, Nepal, in summer 2015. Most of the stakeholders active
144 in landslide management in Nepal and representatives of the landslide research community were present. This included
145 governmental authorities, both on the national and district levels, non-governmental organisations working in the natural
146 hazards domain and infrastructure management, and research organisations, international organisations like the United Nations
147 Development Programme (UNDP), ICIMOD and other local Nepalese organisations. From the more than 80 participants, we
148 received 40 answers to our questionnaire survey. Furthermore, we visited eight organizations at their premises for detailed
149 interviews to better understand their organisational structure and how they collect information on natural hazards at the local
150 level.

151 The questionnaire survey used in this study collected information about the respondent's organisation and the respondent's
152 role within the organisation. Besides, it posed four open questions related to landslide management in Nepal as a basis for
153 identifying user needs and requirements. The first question related to the functions and components of a landslide database
154 that are important and needed to be prioritized. It asked which functions and components would be important when
155 implementing a Nepalese landslide information system. The answering options pointed out the four main functions of
156 "reporting," "data collecting," "mapping," and "updating datasets". The respondents were allowed to select one or more of the

157 functions. The second question allowed open answers and asked people to explain their choice from the first question, i.e. why
158 or why not they think a particular function needs to be prioritized. The third question allowed open answers and asked how
159 the respondent would contribute to a landslide information system or the development of it and with which components. The
160 fourth question again allowed open answers and asked the respondents the main challenges they see in establishing NELIS.

161 The personal interviews conducted with stakeholders allowed to ask detailed questions that focused on understanding the
162 stakeholders' responsibilities, information needs, and NELIS requirements. In case we identified knowledge gaps in our
163 understanding of the stakeholders' context later in the analysis process, we consulted the stakeholder's web presentation or
164 called the stakeholders for follow-up verification.

165 **2.2 Analysis of information input**

166 Based on the above-described process for information collected from the stakeholders, we carried out a stakeholder analysis
167 and identified user needs and requirements. The stakeholder analysis covered governmental authorities with a role in landslide
168 management from all Nepal's appropriate administrative levels. Further, the analysis included national and international non-
169 governmental organizations, research institutes, and other stakeholders from the public.

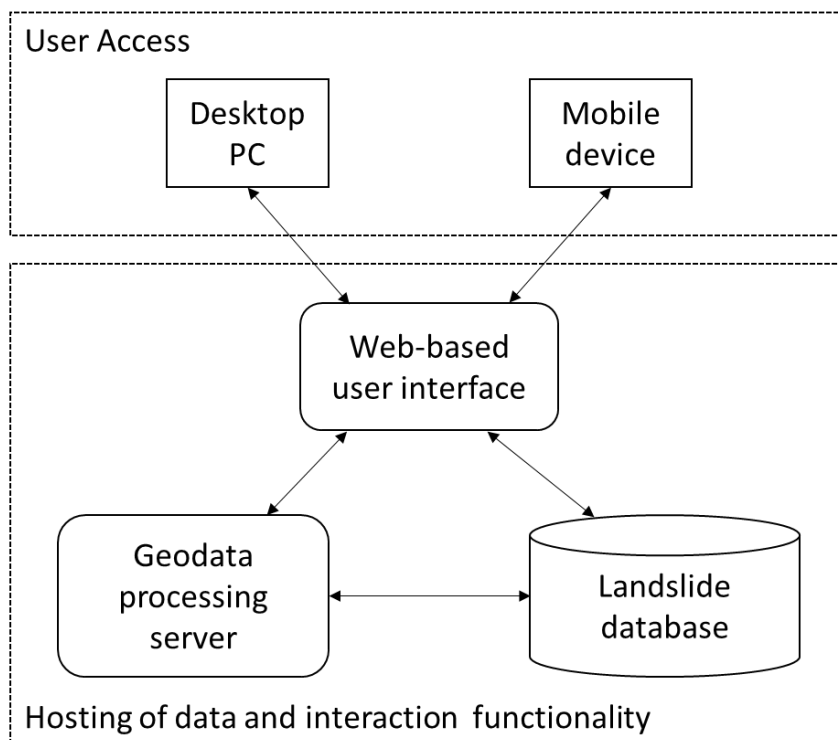
170 As landslide information of the past constitutes an important basis for any future studies, we present a table of the existing
171 landslide inventories and analogue registries that stakeholders have produced for Nepal or selected regions of Nepal. For each
172 inventory, we identify the producing organisation and if the documented landslides happened before, during, or after the
173 Gorkha earthquake. Further, we identify the number of landslides included in the inventory, the geometry type, the
174 documentation process/method, the data used (very high resolution (VHR)/high resolution (HR) EO data, etc.), the covered
175 area, and the literature reference/source. Besides, we describe the landslide documentation processes of selected organisations
176 in more detail.

177 We performed an open questionnaire survey with stakeholders and did interviews during field trips to stakeholder premises.
178 We also collected existing information about stakeholders available from platforms like Nepal Disaster Risk Reduction Portal,
179 Ministry of Home Affairs, Nepal (<http://drrportal.gov.np/>). The answers of stakeholders were categorised according to their
180 requirements. For some stakeholders, we only list them as representative of a broader category. The subsequent identification
181 of user needs and requirements first defines scenarios where a user applies a system for a specific purpose. The scenarios are
182 a narrative description of how a user interacts with a system to achieve his objective. We document the primary scenarios that
183 NELIS shall fulfill. Out of the scenarios we identify needs, for the needs, we assign user requirements.

184 **2.3 Designing a conceptual framework for NELIS**

185 We used the gathered user needs and requirements to formulate the structure of NELIS. Based on information about
186 stakeholders' requirements, the existing infrastructure of Information and Communications Technology (ICT) in Nepal, i.e.

187 the Nepal Disaster Risk Reduction (NDRR) Portal (<http://drrportal.gov.np/>). The NDRR portal collects information related to
188 floods, earthquakes, landslides, fire, drought, avalanches, heavy rainfall events, and keeps information in the form of tables in
189 the portal. The public can visit the NDRR web portal and get information about a specific location related to the date of the
190 incident, damage, missing people, estimated loss, etc. We identified the system architecture components for the development
191 of NELIS's conceptual structure and enhanced the present architecture of the portal, which only has data in tabular form and
192 digital maps. The proposed structure is presented in Figure 1.



193

194 **Figure 1: Conceptual structure for NELIS**

195 Our approach to identifying the specific structural elements is to model user interaction workflows with NELIS with workflow
196 diagrams. The diagrams identify the users, their steps in the process of landslide documentation, the required resources for
197 input, employed system components, stored datasets, and related properties of data entries. Thereby, the database structure of
198 NELIS could be formulated in detail.

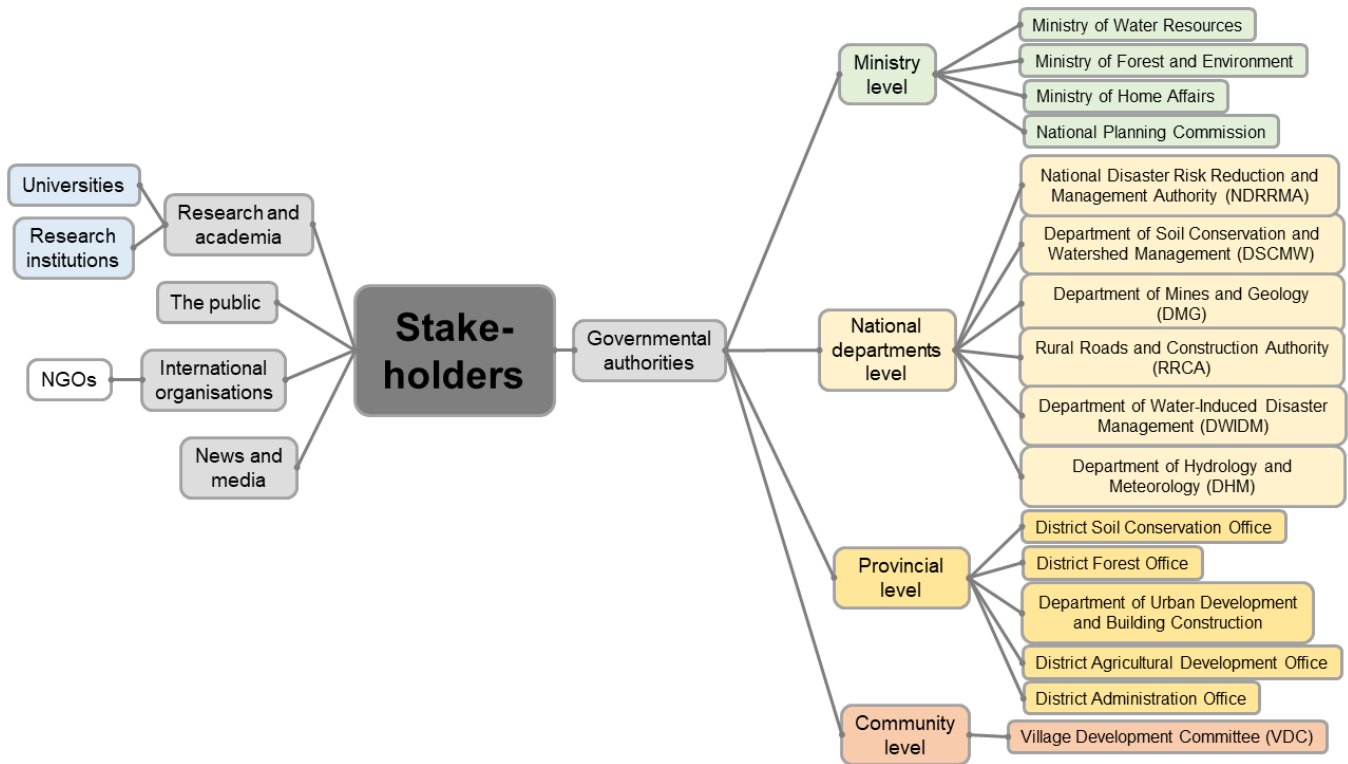
199

200 **3. Results and discussion**

201 **3.1 Stakeholder overview and status of landslide management in Nepal**

202 3.1.1 *Stakeholder overview*

203 Figure 2 presents an overview of the relevant stakeholders that are involved in landslide management in Nepal.



206 **Figure 2: Stakeholder’s overview and organisational structure in Nepal.**

207 The stakeholders can be categorised into five main groups: governmental authorities, research institutions and academia,
208 international organisations, news and media, and the public. Governmental authorities are further subdivided according to the
209 administrative and organisational structure in Nepal. The smallest administrative unit on the community level is the Village
210 Development Committee (VDC) which is headed by the VDC head. At the local level (rural/urban municipalities), there are
211 administrative departments related to soil conservation, forest management, urban planning, and agricultural development.
212

213 National Disaster Risk Reduction and Management Authority (NDRRMA) which is responsible for acting as a nodal agency
214 for coordinating and managing disasters in Nepal. In Nepal, NDRRMA and Ministry of Home Affairs are the central
215 government's focal institution for managing disasters. While at the local level, there are Climate change/disaster focal persons

216 at the Municipalities for coordinating at the local level to various sectoral offices. On the level of national departments, the
217 stakeholders involved in landslide management in Nepal are interested in landslide information for various reasons. For
218 example, landslides lead to land degradation and soil erosion and therefore are relevant for the Department of Soil Conservation
219 and Watershed Management (DSCWM), the Department of Mines and Geology (DMG), and the Department of Water-Induced
220 Disaster Management (DWIDM). The DSCWM, the Rural Roads and Construction Authority (RRCA), and the Department
221 of Hydrology and Meteorology (DHM) use landslide information as an input to landslide hazard mitigation planning. There
222 are the Ministry of Water Resources, the Ministry of Forest and Environment, and the National Planning Community that have
223 an interest in landslides on the ministry level. Due to landslides' relevance to their work, many stakeholders have produced
224 their landslide inventories for past landslide events (for more details, see section 3.1.2).

225 3.1.2 *Landslide inventory data from stakeholders in Nepal*

226 After the Gorkha earthquake in 2015, several attempts were made by stakeholders to carry out landslide inventory mapping
227 for the affected area of about 10,000 km² (Goda et al., 2015; Shrestha et al., 2016; Valagussa et al., 2016; Kargel et al., 2016;
228 Gnyawali et al., 2016; Robinson et al., 2017; Martha et al., 2017; Roback et al., 2018). Table 1 lists the landslide inventories
229 created for Nepal. There is a variation in the number of landslides for the same event. Some of the inventories were accessed
230 through the online portal of earthquake response HDX, (2015), and for the pre-earthquake inventories, authors were contacted
231 for the data. Most inventories are polygon-based, hence enable the statistical analysis of area distribution for hazard analysis
232 (Malamud et al., 2004). Other inventories are point-based, compiled just after the earthquake by ICIMOD (Gurung and
233 Maharjan, 2015) and the BGS.

234 There were several attempts made to map landslides by teams from, for example, the University of Arizona, Tucson, AZ, USA
235 (Kargel et al., 2016), the NASA-USGS earthquake response team (Roback et al., 2018), and the Chinese Academy of Sciences
236 (Zhang et al., 2016). Gnyawali et al. (2016) mapped 19,332 landslides using Google Earth imagery. Researchers from the
237 Indian Space Research Organisation (ISRO) (Martha et al., 2017) mapped a total of 15,551 landslides using object-oriented
238 image classification. Valagussa et al. (2016) mapped 4,300 coseismic landslides using Google Earth satellite images in a sub-
239 region of the affected area. Roback et al. (2018) mapped 24,915 landslides over most of the earthquake area. A large number
240 of identified landslides is the result of using VHR Worldview satellite imagery. They also differentiated the source area and
241 body of the landslides, which makes the inventory distinct from others. Three rainfall-induced landslide inventories were
242 created based on data collected during fieldwork. Pre-earthquake landslides were mapped by Zhang et al. (2016) and by
243 Pokharel and Bhujju (2015).

244

245

Table 1: Landslide inventories in Nepal.

Producing organisation	Inventory description	No. of landslides	Geometry type	Method of inventory generation	Area coverage	Reference
Department of Civil Engineering, Khwopa College of Engineering, Bhaktapur, Nepal	Post 2015 Gorkha earthquake	19,332	Point	Remote sensing (visual interpretation) and field verification.	Central Nepal	Gnyawali et al. (2016)
Department of Mines and Geology (DMG)	NA	NA	NA	Fieldwork	Regional	Analogue reports
Department of Soil Conservation and Watershed Management (DSCWM)	NA	NA	NA	Fieldwork	Regional	Analogue reports
Tribhuvan University	Landslide Inventory for whole Nepal	5,003	Point	Remote sensing (visual interpretation) and fieldwork	Whole Nepal	Pokharel and Bhujju, (2015)
ICIMOD Koshi River Basin 1992	Landslide Inventory for whole Nepal	3,559	Polygon	Remote sensing (visual interpretation)	Koshi River Basin	Zhang et al. (2016)
ICIMOD Koshi River Basin 2010	Landslide Inventory for whole Nepal	3,398	Polygon	Remote sensing (visual interpretation)	Koshi River Basin	Zhang et al. (2016)
University of Milano-Bicocca, Italy	Post 2015 Gorkha earthquake	4,300	Polygon	Remote sensing (visual interpretation)	Central Nepal	Valagussa et al. (2016)


International Centre for Integrated Mountain Development (ICIMOD)	Post 2015 Gorkha earthquake	5,159	Polygon	Remote sensing (visual interpretation)	Central Nepal	Gurung and Maharjan (2015)
United States Geological Survey (USGS)	Post 2015 Gorkha earthquake	24,915	Polygon	Remote sensing (visual interpretation)	Central Nepal	Roback et al. (2018)
Indian Space Research Organisation (ISRO)	Post 2015 Gorkha earthquake	15,551	Polygon	Remote sensing (visual interpretation)	Central Nepal	Martha et al. (2017)
Chinese Academy of sciences	Post 2015 Gorkha earthquake	2,645	Polygon	Remote sensing (visual interpretation)	Central Nepal	Zhang et al. (2016)
ITC, University of Twente	Post 2015 Gorkha earthquake	2,513	Polygon	Remote sensing (visual interpretation)	Central Nepal	Meena et al. (2018)
The University of Arizona, Tucson, USA	Post 2015 Gorkha earthquake	4,312	Polygon	Remote sensing (visual interpretation)	Central Nepal	Kargel et al. (2016)
National Aeronautics and Space Administration (NASA)	Post 2015 Gorkha earthquake	NA	Point	Harvesting of digital news articles and Remote sensing (visual interpretation)	Global Landslide Database	Juang et al. (2019)

247 The different approaches applied to produce the above-described landslide inventories include fieldwork, remote sensing-
248 based methods, and digital news articles' harvesting. The fieldwork of local departments such as DMG and DSCWM produced
249 detailed field reports for landslide inventory creation (see Table 2). Different technical reports and information sheets for field
250 data collection are available among different organisations. After the Gorkha earthquake, an initial assessment of earthquake
251 affected settlements was carried out by DMG, DSCWM, DWIDM, and Tribhuvan University. An example of an information

252 sheet as used by DSCWM is shown in Table 3. The information collected in the field is summarized in technical reports that
 253 provide details about the occurrence of a landslide (location and date), its dimensions, damage caused, impacted area, and
 254 sketch maps.

255

Table 2: Example of a Landslide Mapping Information sheet DSCWM, 2016.

District Rasuwa	Name of VDC Yarsa	Name of Ward: Ghormu	Name of Village: Tole
The dimension of Landslide:	Length: 200 m	Width: 20 m	
Position on the hill:	Middle		
Crakes in the Land	Length	Width	
Impacted area:	2000 m ²		
Potential impact area:	500 m ²		
Property in possible impact area:	a. Farmland: Ropani b. Settlement: c. Road: 10 m Goreto bato d. Irrigation canal e. Other property: Water supply, water mill		
GPS points:	Longitude: 0623293	Latitude: 3100224	Elevation: 1748m
Sketch map of Landslide			
			
Information collected by:		Name of the person	

256

257 Some of the mentioned organisations have landslide inventories and socio-economic data for most of the districts, but the
 258 information is often only in analogue reports. However, the field reports are only available in an analogue format. Analogue

259 reports are highly valuable; however, they are not as readily available as digital landslide information, and they are not
260 accessible to a broad audience. There are maintenance reports by rural road department offices, which were created after road
261 blockages. DSCWM has prepared a landslide inventory, but landslide data is compiled into reports, and there is no geocoded
262 information about the landslides.

263 EO based landslide information is collected (Table1) by several organisations in Nepal. The NDRR Portal
264 (<http://drrportal.gov.np/>), hosted by the Ministry of Home Affairs, has been established in 2010 and includes information on
265 landslides collected through field surveys as well as EO data. However, EO data hosted by Ministry of Home Affairs could be
266 used more intensively to complement the fieldwork done by expert organisations to update landslide information, e.g. regularly
267 after the monsoon season, and consequently support disaster risk reduction in the country. NELIS can act as a platform to
268 provide landslide information produced by various stakeholders using the EO data and field verifications from the Ministry of
269 Home Affairs.

270 Additionally, newspaper and media reports can be a valuable source of landslide information in Nepal. A good example is the
271 global landslide database of the National Aeronautics and Space Administration (NASA), which is based on news reports and
272 scientific sources (Kirschbaum et al., 2010). News articles may be the first way by which people hear about a hazard. In Nepal,
273 landslides near the road network or near the built-up area are sometimes covered by the newspaper and media agencies.
274 Newspaper archives can give information about the damage caused by a landslide and the most probable landslide location
275 near a locality or village. Photos of the event shown in newspapers can provide information on the spatial extent of the
276 landslide. In today's digital era, some newspapers in Nepal are also available online, enabling readers to find news articles
277 from the past. Newspapers like The Himalayan Times, the most popular newspaper in Nepal, sometimes cover stories about
278 landslides that affect populated areas or block rivers.

279 3.1.3 *Collecting new landslide data*

280 The previous section describes the used collection methods and existing landslide data for past landslides in Nepal. However,
281 the stakeholders aim for a common approach to landslide collection that results in consistent landslide data across Nepal. This
282 section's primary purpose is to provide indications for the use of techniques for collecting landslide data for NELIS. Despite
283 the significance of landslide inventories and the way that landslide maps have been prepared for a long time, there are no clear
284 guidelines for the creation of landslide maps and the assessment of their quality in Nepal. The selection of a specific mapping
285 technique depends upon the purpose and the extent of the study area. Criteria for selecting mapping techniques include the
286 mapping scale, the spatial resolution of the available remote sensing imagery, and most importantly the skills and resources
287 available for completing the task (Van Westen et al., 2006; Guzzetti, 2000; Guzzetti et al., 2012).

288 Landslide features can be stored as a single feature with a point and polygon representing the landslide location. A landslide
289 ID can be assigned to an individual landslide with associated attributes like the event's date, the resulting damage, the people

290 affected, and the landslide type, if such information is available. There can be variations among different datasets regarding
291 their attributes. Based on expert opinion and literature, a set of essential attributes needs to be defined and used as a
292 specification for a common landslide database. Hence, not all the primary databases' data will be transferred to the new NELIS
293 database because of redundancy or false information in the primary databases. Landslide attributes and the type of information
294 will be taken from Varnes classification (Varnes, 1978). Huang et al. (2013) proposes another list of attributes with the primary
295 attributes landslide location, date and time of the event, type of landslide, and secondary attributes like triggering factors,
296 damage. For the generation of new data, based on the Nepalese situation and data availability, there will be a linkage of spatial
297 and metadata attributes to a single landslide polygon. The landslide at one location will get a unique landslide ID so that the
298 new information or existing information from several data sources can be attached.

299 3.2 User requirements

300 During the interviews and open questionnaire survey, several suggestions and requirements of the various stakeholders and
301 organisations working in landslide research and mitigation were identified. The evaluation of the stakeholder's roles and
302 requirements for NELIS showed that many suggestions resulted in NELIS development. The user requirement analysis
303 revealed the components of NELIS that need to be prioritised during development. Four components are of most importance:
304 a reporting system (18%), the collection of new data from various sources after an event (23.08%), updating of already existing
305 datasets (32.98%), and the development of new guidelines for a mapping workflow (26.37%). Survey results show that most
306 mapping or data collection work has been carried out after the Gorkha event, but hardly any updates of the datasets were made
307 afterwards. It also became evident that landslide inventory data are not available to the public, and it is difficult to get
308 permissions from authors to share the data with external scientists or organisations. The further analysis of the questionnaire's
309 open questions identified particular functionality and associated requirements that the stakeholders expect that NELIS should
310 support (Table 3).

311

Table 3: Functionality and associated requirements requested by stakeholders.

	Department of Soil Conservation and Watershed Management (DSCWM)	Department of Mines and Geology (DMG)	Rural Roads and Construction Authority (RRCA)	Department of water-induced disaster management (DWIDM)	Department of Hydrology and Meteorology (DHM)	Village Development Committee (VDC)	International organisations	Academic and research institutes
Landslide data collection	✓	✓	✓	✓				
Prepare guidelines for mapping landslides	✓			✓				✓
Digital landslide information converted from analogue format (reports)		✓	✓		✓		✓	✓
Mitigation works for landslide hazard mitigation	✓		✓		✓			
Local, regional level landslide information gathering		✓	✓			✓		✓
Human resource support	✓	✓	✓	✓	✓		✓	✓

314 From the questionnaire responses and the personal interviews conducted with stakeholders, NELIS's common objective could
315 be synthesized: NELIS shall support coordinated landslide action in Nepal and the development of a consistent landslide
316 database that is widely accessible. When developing a comprehensive landslide inventory, there are two main questions: first,
317 how to deal with new information to be collected about landslide events in the future, and second, how to deal with available
318 information about past landslide events. After finding answers to these two questions, the third question is how to enable
319 widely accepted usage of the resulting comprehensive landslide inventory.

320 Based on the questionnaire survey and the interviews, the following user needs and requirements for the development of NELIS
321 were compiled:

- 322 i. There is a need for general guidelines for coordinated documentation of new landslides and coordination among
323 organisations is necessary to avoid duplication of efforts.
- 324 ii. The guidelines should be built on existing mapping guidelines of, for example, DSCWM and DMG to ensure compatibility
325 with landslide information.
- 326 iii. The landslide inventory should be regularly updated, at least after each monsoon season.
- 327 iv. NELIS should exploit both EO and field data collection advantages in generating comprehensive and reliable landslide
328 information. Additionally, NELIS should be open to methodological advancements from research in the landslide hazard
329 domain.
- 330 v. Stakeholders and users from different domains should be able to provide landslide information.
- 331 vi. There is a need for a platform that supports the documentation process of new landslides.
- 332 vii. The platform should allow the integration of existing datasets (digital data and analogue reports).
- 333 viii. The platform shall allow users to perform a comparative analysis of landslide information with different background layers
334 such as land use, settlements, geology, and export the analysis results.

335
336 Requirements and suggestions should be included in NELIS development, considering any technical and management
337 limitations at the national level. Based on the user requirements, a conceptual structure of NELIS is proposed.

338

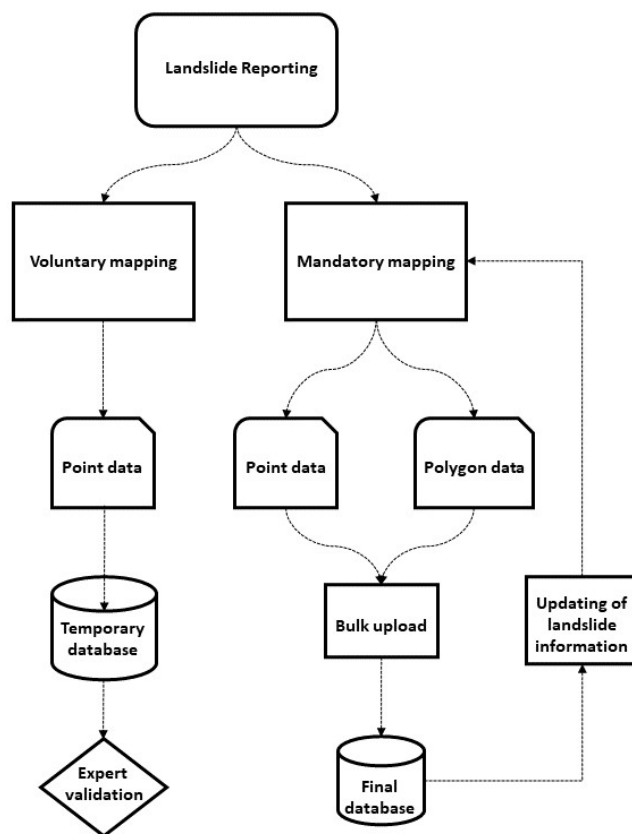
339 **3.3 The database structure of NELIS**

340 NELIS shall allow access, store, display, query, and add landslide data while considering standard guidelines and standardized
341 workflows. The existing landslide datasets from different sources have various structures and types, making it challenging to
342 transfer and compare the data. Moreover, landslide datasets show different scales and accuracy levels. Therefore, a unified
343 data model for landslide storage is needed. There is a need for the development of guidelines for data provision following a
344 defined structure. NELIS is proposed to have a series of views and tables in a relational spatial database. The location and

345 shape of landslides represent the spatial information. The database should be designed to store landslide information as polygon
346 and point features and information related to the projection system. Landslide information from analogue technical reports
347 needs to be transferred to digital format with geocoded information and then upload to NELIS.

348 The communities can directly report landslides into the system. NELIS will allow users to participate in the mapping process
349 by pointing out a landslide and adding metadata, if available, on the web-based platform. After reporting, the information will
350 be stored in a temporary database. There could be false information entered by non-experts, and thus, a landslide expert should
351 check the data at the district level before it will be published. There is a landslide expert at every district headquarter, and this
352 expert can be the responsible person for validating the public reported landslides.

353 After implementing NELIS, officers from governmental organisations should be given training regarding the use of the system
354 and the management of the information from different sources. Experts can also transfer bulk data directly to the system, both
355 point, and polygon data (see Figure. 3).

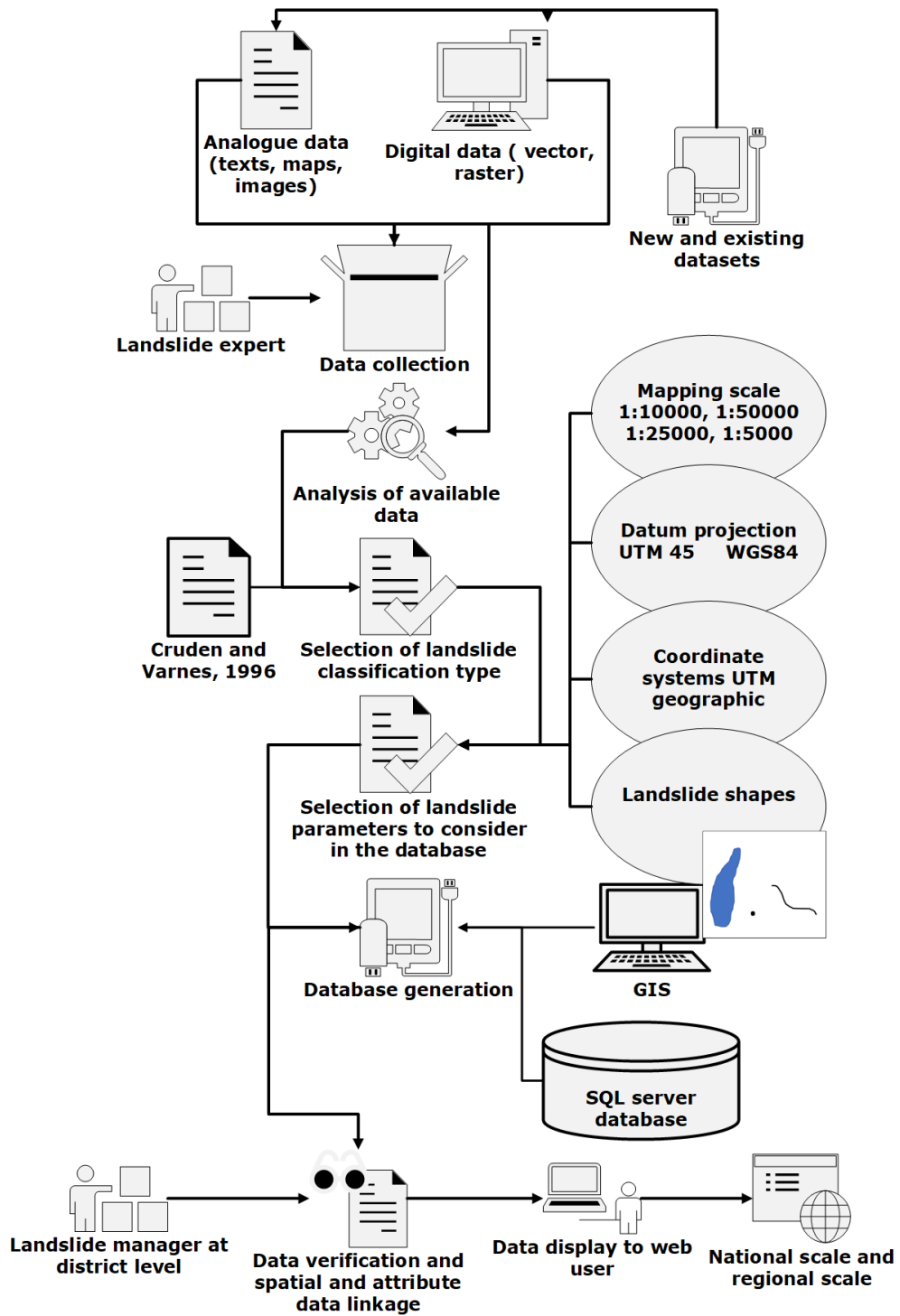


356

357 **Figure 3: The workflow for reporting landslides.**

358 The web service platform can be implemented as a spatial relational database, application code, a web server etc and can be
359 hosted, developed and maintained by related organisations in Nepal. The web interface functionality comprises tools for
360 searching and displaying landslide information in the form of map views and tables. This allows the user to interact with the
361 information and map layers (Rosser et al., 2017). An advantage of the proposed concept for NELIS is that it is exclusively
362 based on open-source software. The object-relational database management system (DBMS) will be based on PostGIS,
363 providing all SQL functions as a database language for generation and manipulation of stored data and data queries. To process
364 and store spatial data, PostGIS can be integrated as an extension for PostgreSQL. PostGIS improves the storage of GIS
365 information in the DBMS and offers spatial operations, spatial functions, spatial data types, and a spatial indexing enhancement
366 (Obe and Hsu, 2011).

367 The first and foremost step is new data collection and import from analogue reports and existing digital databases by a landslide
368 expert. Then the data needs to be transferred to vector or raster layers for further analysis (see Figure. 4). After that, the
369 available landslide data can be classified into different landslide types based on approach of landslide classification by (Cruden
370 and Varnes) and (Hungar et al., 2014). In the next step, data is stored in a database. Finally, landslide managers will verify the
371 landslides in their respective areas, and after the final check, data can be uploaded to the web-based system to be available
372 online.



373

374

Figure 4: The workflow of NELIS; adapted from Devoli et al. (2007)

375 **4. Conclusions**

376 The development of NELIS to report and arrange landslide data will facilitate better data sharing among stakeholders and will
377 provide a platform with comprehensive landslide information to support future risk mitigation efforts. Any produced landslide
378 inventory cannot be fully complete or entirely accurate. The quality of the data in NELIS will be dependent on the quality and
379 completeness of data recorded in the source database. Many landslide records only store point-based location information,
380 with no information on landslide area, movement date, type of landslide, or the triggering event.

381 One of the data's limitations to be integrated into the common NELIS landslide database is the inconsistency of the spatial
382 accuracy of landslide points and polygons, which depends on several factors such as the original method and scale of mapping
383 and the skills of the interpreter. Generally, landslide polygons delineated from satellite imagery are accurate at the scale at
384 which they are delineated or portrayed, while the absolute accuracy may be limited. Landslide point location accuracy is highly
385 variable and ranges from sub-meter to centimetres precision measured by GPS devices. Often it is even impossible to reach
386 landslides to take on-site GPS measurements.

387 Landslide inventories also show limitations as a result of the landslide mapping method applied. A comprehensive landslide
388 database at a central platform allows for better characterisation of landslides by relating them to a particular triggering event
389 such as heavy rainfall or an earthquake in a particular area, and, consequently, facilitates the estimation of the damages and
390 impacts. Such information is useful for land-use planners and policymakers for managing landslide hazards and associated
391 environmental impacts.

392 This study shows the available landslide information in Nepal and identifies the stakeholders involved in landslide
393 management. This knowledge was used to propose a conceptual framework for NELIS, including a potential design and
394 workflow structure. The system can be beneficial for specifying the potential risky regions and, consequently, developing risk
395 mitigation strategies. The next step can be the practical implementation of the conceptual framework to support landslide
396 action in Nepal.

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400 Austrian Space Applications Program (ASAP) through the projects “Land@Slide” (EO-based landslide mapping: from
401 methodological developments to automated web-based information delivery; contract no: 847970) and MontEO (The impact
402 of mass movements on alpine trails and huts assessed by EO data contract no: 873667).

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