

# An 18-year climatology of derechos in Germany

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## Abstract.

Derechos are high-impact convective wind events that can cause fatalities and widespread losses. In this study, 40 derechos affecting Germany between 1997 and 2014 are analysed to estimate the derecho risk. Similar to the United States, Germany is affected by two derecho types. The first ~~derecho type forms in~~, called warm-season type, forms in strong south-westerly

5 500-hPa flow downstream of ~~intense~~ west-European troughs and accounts for 22 of the 40 derechos. ~~These derechos are named warm-season type due to their~~ It has a peak occurrence in June and July. Warm-season type derechos frequently start ~~over southwestern Germany~~ in the afternoon and move either eastward along the Alpine forelands or north-eastward across southern central Germany. ~~Only one warm-season derecho moved across the North Sea and one moved across the Baltic Sea in the 18-year period. Proximity soundings of German warm-season type derechos indicate strong deep-layer~~ Associated proximity  
10 ~~soundings indicate strong 0–6-km and 0–3-km vertical wind shear with and~~ a median of  $20 \text{ ms}^{-1}$  0–6-km shear and mixed-layer Convective Available Potential Energy (mixed-layer CAPE) ~~between 20 and 2600 J kg<sup>-1</sup>~~ with a median around 500  $\text{J kg}^{-1}$ . The second derecho type ~~forms in north-westerly 500-hPa flow and accounts for 18 of the 40 derechos. These derechos form~~ the cold-season type, forms in strong north-westerly 500-hPa flow, frequently in association with mid-tropospheric PV intrusions, ~~and accounts for 18 of the 40 derechos~~. They are ~~named cold-season type because they are~~ associated with a secondary peak from December to February. Cold-season type derechos start over or close to the North Sea and primarily affect north and central Germany; their start time is not strongly related to the peak of diurnal heating. Proximity soundings indicate high-shear-low-CAPE environments ~~with a median 0–6-km shear of 35 ms<sup>-1</sup> and a median mixed-layer CAPE of 3 J kg<sup>-1</sup>~~. ~~Environmental CAPE is zero in almost half of cold-season type proximity soundings~~. Fifteen warm-season type and nine cold-season type derechos had wind gusts reaching  $33 \text{ ms}^{-1}$  in at least at three locations. Although warm-season derechos are  
15 more frequent, the path length of cold-season type derechos is on average 1.4 times longer. Thus, these two types of German derechos are likely to have similar impacts.

## 1 Introduction

Convective wind events can produce high losses and fatalities in Germany. One example is the Pentecost storm in 2014 (Mathias et al., 2017) with six fatalities in the region of Düsseldorf in ~~north-western~~<sup>western</sup> Germany and high impacts in particular to the railway network. Trains were stopped due to blocked tracks and trees were blown down and hit overhead power lines, 5 severing connections (Die Welt, 2014). ~~Another example is~~ Other examples are the convective windstorm that killed eight in Berlin and Brandenburg on 10 July 2002 (Gatzen, 2004) and derecho in Poland on 11 August 2017 that caused six fatalities (Taszarek et al., 2019). The high number of casualties is caused by the sudden ~~and frequently~~ unexpected occurrence of severe convective storms due to their rapid development (Doswell, 2001), and there are indications that people caught outdoors are not well-prepared. Open-air events are at risk in particular as demonstrated by incidents such as on 06 July 2001 near Strasbourg, 10 France, when trees fell on tents where visitors took cover, killing 12 (European Severe Weather Database (ESWD), CNN, 2001; Dotzek et al., 2009) and at the Pukkelpop open-air festival in Hasselt, Belgium, when estimated wind gusts of  $47 \text{ ms}^{-1}$  during the 18 August 2011 storm caused five fatalities due to the collapse of tents and parts of the stage (BBC, 2011). A common characteristic of such events is that they travel over large distances of several hundred kilometers, which greatly increases their potential impact due to the large area affected.

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Data from the European Severe Storms Laboratory (ESSL) can be used to determine the impact of large-scale convective wind events. In 2017, there were 131 fatalities and 783 injuries due to severe weather ~~(including severe winds, heavy rain, (tornadoes, and large hail, ??))~~ (including severe winds, heavy rain, tornadoes, and large hail, Groenemeijer et al., 2017). Of these, 41 fatalities and 456 injuries were caused by the most significant 12 large-scale convective windstorms ~~(??)~~ 20 (Groenemeijer et al., 2017). The high potential for fatalities and substantial economic losses due to widespread high-impact weather in combination with their rapid development make these convective windstorms among the most challenging forecast situations for weather services and their forecasters.

Similar convective windstorms are known in the United States, where the most intense are called *derechos* ~~(after Hinrichs, 1888)~~ 25 ~~(Johns and Hirt, 1987)~~ (after Hinrichs (1888); Johns and Hirt, 1987). To classify derechos based on severe wind gust reports and radar reflectivity data, Johns and Hirt (1987) require that

- deep moist convection is associated with concentrated wind occurrence over a path that extends over at least 400 km along the major length axis. Wind gusts must exceed  $26 \text{ ms}^{-1}$  as indicated by measurements and/or damage reports,
- associated wind gusts can be related to the same event, so that a chronological progression of singular wind swaths or a 30 series of wind swaths is indicated,
- there must be at least 3 reports of wind gusts of at least  $33 \text{ ms}^{-1}$  (and/or related wind damage) separated by 64 km or more within the area of severe wind gusts, and
- all wind reports have to occur within 3h of the other wind reports of the same derecho event.

For the United States, Ashley and Mote (2005) estimate that the derecho hazard potential can be as high as that from tropical storms and tornadoes except for the highest impact tornadoes and hurricanes. Up to four derechos in three years can be expected for 200 km x 200 km grid boxes in the most affected region south-west of the Great Lakes (Coniglio and Stensrud, 2004). Derechos form in association with mesoscale convective systems that develop bow echoes on plan-view radar displays 5 (Fujita, 1978). Bow echoes indicate strong flow from the rear to the front of a convective system, a so-called rear-inflow jet (e.g. Smull and Houze, 1987; Przybylinski, 1995). The development of rear-inflow jets is fostered by persistent convection initiation along the gust front of a convective system (Mahoney et al., 2009). Studies on the environmental-ambient conditions 10 of convective storms have shown that a combination of high Convective Available Potential Energy (CAPE) and strong vertical wind shear is one environment that can support this upscale growth (e.g. Rotunno et al., 1988; Corfidi, 2003; Klimowski et al., 2003). In particular, a combination of strong shear and high CAPE can occur during May to July in the United States when 15 derechos commonly occur (Ashley and Mote, 2005). The environment may become so favourable (as during heat waves) that derechos may occur in clusters of several events called *derecho families* during the same large-scale flow situation (Bentley and Sparks, 2003; Ashley et al., 2004). However, derechos can also develop when instability is weak (Johns, 1993; Burke and Schultz, 2004). Instead of high shear and high CAPE, these derechos form in situations with high shear and low CAPE (Sherburn and Parker, 2014) called high-shear-low-CAPE environments (e.g. Evans, 2010; King and Parker, 2014).

In contrast to the United States, the *derecho* definition is still not commonly used in Europe although several events have been classified in the past decades

(Gatzen, 2004; Punkka et al., 2006; López, 2007; Gatzen et al., 2011; Pistorik et al., 2011; Púčik et al., 2011; Simon et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014; Toll et al., 2015; Gospodinov et al., 2015; Mathias et al., 2018)

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Four of these derechos affected large portions of Germany (Gatzen, 2004; Gatzen et al., 2011; Pistorik et al., 2011; Mathias et al., 2018). Before 2004, German convective windstorms were not classified as derechos, but there are indications of potential derechos in the previous literature (e.g. Köppen, 1882, 1896; Faust, 1948; Kurz, 1993; Haase-Straub et al., 1997; Kaltenböck, 2004). The low number of classified events does not allow for a risk estimate of derechos in Germany, because their characteristics 25 (e.g. the spatio-temporal distribution, typical environmental conditions, large-scale flow patterns) are not known.

Based on the case studies listed above, European derechos possess some similar characteristics to derechos in the United States. For example, they can be divided into a “warm-season type” and a “cold-season type”. The majority of European derecho case studies can be attributed to the warm-season type. These are frequently associated with rather high CAPE 30 (Púčik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014) (Púčik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014; Taszarek et al., 2019) compared to the environments of the majority of severe convective wind environments (Púčik et al., 2015). Four events (Púčik et al., 2015). Five case studies of the warm-season derecho type also mention strong vertical wind shear (Gatzen, 2004; Púčik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014) (Gatzen, 2004; Púčik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014; Taszarek et al., 2019). Publications with 35 radar images describe sustained convection initiation along or ahead of a gust front (Gatzen, 2004; Celiński-Mysław and Ma-

tuszko, 2014), bow echoes (Gatzen, 2004; Púćik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014) (Gatzen, 2004; Púćik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014; Taszarek et al., 2019), and rear-inflow jets (Gatzen, 2004) (Gatzen, 2004; Taszarek et al., 2019). Finally, the large-scale flow was frequently from the south-west (e.g. Gatzen, 2004).

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Next to the warm-season type derechos described above, three case studies refer to cold-season type derechos (Gatzen et al., 2011; Pistotnik et al., 2011; Mathias et al., 2018). In contrast to the examples of German warm-season type derechos, German cold-season type derechos form within north-westerly large-scale flow (Gatzen et al., 2011; Pistotnik et al., 2011; Mathias et al., 2018). In particular, Pistotnik et al. (2011) describe a mid-troposphere PV intrusion in one of the cold-season type derechos. Cold-season type derechos form along narrow cold-frontal rainbands in an environment similar to high-shear-low-CAPE situations described for the United States (e.g. Burke and Schultz, 2004; van den Broeke et al., 2005). Classification of cold-season type derechos can be difficult because strong synoptic-scale winds frequently overlap with convective gusts (e.g. van den Broeke et al., 2005).

15 Given that only a few European derechos have been analysed, in particular of the cold-season type, it is not clear if the described characteristics are typical for German derechos and thus can be useful in operational forecasting. The purpose of this study is to contribute to European convective storms research and present a derecho climatology for Germany. Creating accurate derecho climatologies is laborious due to manual identification, and this ~~work~~-study goes beyond the climatology of warm-season wind gusts presented in Gatzen (2013). Our work investigates how often derechos occur across Germany, how 20 intense they are, and which parts of the country are most affected. Another main research question is to determine the differences between derechos that occur in the warm and cold seasons, specifically differences in the large-scale flow patterns and the local thermodynamic environment as given by observational data such as ~~raw~~ ~~in~~ ~~sondes~~ ~~those from radiosonde ascents~~. The benefit of this analysis to support operational weather services in potential derecho situations is assessed. Moreover, results are compared to the derecho climatology of the United States, for which more information is available.

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Section 2 introduces the data we used and explains the methods to identify and analyse derechos in Germany. Section 3 presents our results and interpretation of the German derecho climatology and compares it with the derecho climatology of the United States. This section also aids forecasters to support them in identifying potential derecho situations. Finally, section 4 provides the conclusions and an outlook to future work.

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## 2 Data and Methods

For the derecho classification, we used maximum wind gusts together with radar data. Maximum wind gusts are the highest ~~3-seconds~~ ~~3-second~~ averaged wind speed measurements within an hour taken of the German Weather Service (DWD) surface

station network. Additionally, 10-minute maximum wind-gust measurements were obtained from the Meteodata/MeteoGroup network. Wind-measurement sites increased from 260 to more than 1000 sites between 1997 and 2011. This corresponds to a density between 0.7 and nearly three measurement points per 1000 km<sup>2</sup> across Germany (approximately 360,000 km<sup>2</sup>), with an average station spacing between 10 and 20 km. Beyond the borders of Germany, internationally distributed SYNOP and other data such as data from buoys and ships sometimes allowed for following derecho tracks farther into neighbouring countries and across the North Sea. We used data from the radar network of the German Weather Service, including radar displays in 15-minute intervals, showing radar reflectivity of the lowest elevation scans. This data was interpolated on a Cartesian grid with a horizontal grid spacing of 2 km, a vertical grid spacing of 1 km, and seven intensity thresholds (Schreiber, 2000). Starting in 2009, we used radar displays available at MeteoGroup with cell sizes of 1 km x 1° azimuth angle, time intervals of 5 minutes, and intensity classes in 2-dBZ steps (DWD, 1997).

To identify potential derechos, DWD surface wind measurements have been analysed with respect to concentrated occurrence of severe wind gusts. Following Evans and Doswell (2001), we used a threshold of 25 ms<sup>-1</sup> to define a severe wind gust as opposed to 26 ms<sup>-1</sup> as proposed by Johns and Hirt (1987). When more than five stations reported a daily maximum wind gust of 25 ms<sup>-1</sup> or more, this date was chosen for further analysis. Given the average station spacing of 20 km or less, we expect a high detection rate of potential derechos using this criterion. However, derechos that start or end close to the borders of Germany may affect fewer than five stations. We expect a decreasing detection rate close to the German borders for this reason. In the months from October to March, when high winds frequently occur with extratropical cyclones, it was more effective to identify potential derechos first based on radar displays, choosing only those dates that indicated the presence of mesoscale convective systems or narrow cold-frontal rainbands (NCFR). Convective systems were identified on radar displays by high reflectivity and large reflectivity gradients that typically form linear structures such as bowing line segments. ~~Narrow cold-frontal rainbands~~ NCFRs identified for cold seasons from 1998/99 to 2008/09 in Gatzen (2011) were completed with events back to 1997 and until 2014 using subjective analysis following Gatzen (2011). A NCFR had to exceed 100 km in length at one time during its lifetime; its width should be small compared to their length axis (i.e. typically about one to four pixels on the radar composite image which corresponds to 2–8 km). An aspect ratio was not used. NCFRs with a lifetime less than 1 h were excluded from the analysis. Bands of precipitation that were likely not related to cold fronts (e.g. convective gust fronts) were also excluded. For dates with more than five severe wind gust measurements (April to September) or indications of mesoscale convective systems or NCFRs (October to March), hourly maximum wind gusts of the networks from DWD and Meteodata/MeteoGroup were analysed together with plan-view radar images. Only severe wind gusts were considered that could be followed chronologically with the associated mesoscale convective system or NCFR.

In some of the events, severe wind gusts occurred in the environment before the mesoscale convective system or NCFR approached. This happened, for example, when isolated thunderstorms produced wind gusts in the pre-derecho area or when severe non-convective winds occurred ahead of the NCFR. Due to the comparatively large time intervals between available wind gust measurements (i.e. 10 or 60 minutes), some of these wind gusts could not be clearly assigned to the derecho. As

a first approximation, we assumed the wind gust intensity to be nearly constant ahead of the convective gust front. When a measurement site reported severe winds prior to the approach of the convective system, it was only used for the derecho classification when it recorded a further increase of the maximum wind gust of at least  $3 \text{ ms}^{-1}$  during the passage of the convective system or NCFR. The passage of the convective system or NCFR was analysed on radar display, as well as observations at 5 the SYNOP station such as wind direction, temperature, or dewpoint temperature. This increase in wind speed is about 10% or roughly 1 increment on the Beaufort scale and is used to exclude reports with almost constant severe wind gusts ahead of the derecho and no further increase in speed with the derecho passage. This method is also used to estimate the contribution of convection to wind gusts assigned to a derecho, although it is sometimes not possible to clearly distinguish between the contributions of non-convective and convective processes as discussed in van den Broeke et al. (2005). Based on the resulting 10 subset of severe wind reports, an event was classified as a derecho when there was a concentrated area that could be followed for at least 400 km along the centre line of the associated linear radar structure. All wind measurements had to occur within a distance of less than 167 km from the other wind measurements. Also, no gaps of more than 2 h between successive wind reports were allowed, to ensure concentrated severe wind occurrence within the derecho path. This procedure is similar to that introduced by Evans and Doswell (2001).

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This study differs from previous derecho climatologies because we included over-water stations such as measurements from buoys, ships, and oil rigs. The threshold of  $25 \text{ ms}^{-1}$  might be more easily surpassed at open-water stations, because the effect of friction on the wind speed is weaker over ~~the~~-water compared to ~~the~~-land. Wind measurements on oil rigs and ships are not taken at 10 m but at heights up to 100 m above the sea level. This difference in wind measurements might lead to a higher rating 20 of a wind event when it moves across open water compared to the land. Furthermore, the density of wind measurements affects the detection rate of derechos. Derechos can be followed across the North Sea where the measurement density is high enough, in particular across the southern North Sea. Across the central and northern North Sea, there are fewer wind measurements, and it was more difficult to follow derecho tracks farther out than 100 km away from the German coast. For this reason, derecho paths across the North Sea may be shorter and/or less reliable compared to derecho paths over land.

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Finally, we used lightning data to follow derecho events into areas where radar data was not available, for example over eastern Europe. We used data from the Arrival Time Difference (ATD) system operated by the Met Office (Lee, 1986) available at [wetterzentrale.de](http://wetterzentrale.de) (2016) until the year 2000 and of the Siemens Blids lightning network (Siemens, 2019) for events after the year 2000. We decided to expand a derecho path into regions where lighting data allowed a chronological detection of the 30 associated convective system together with the severe wind-gust occurrence.

We took the first and the last gust ~~measurement~~measurements of a derecho to define its location and time of start and dissipation, respectively. The derecho duration was defined as the corresponding time difference. The path length of derechos was calculated by the direct distance between the first and the last wind measurements close to the derecho centre line. Following 35 Coniglio and Stensrud (2004), we distinguished between high-end, moderate, and low-end intensity derechos. If a derecho

met the requirements by Johns and Hirt (1987) and included three or more wind measurements of at least  $38 \text{ ms}^{-1}$ , two of them occurring during the mature phase of the convective system, then it was classified a high-end intensity event; if it met the requirements by Johns and Hirt (1987) (i.e. that at least three wind reports of  $33 \text{ ms}^{-1}$  or greater that are separated by 64 km or more occur within the derecho path), then the event was classified as moderate intensity; the remaining were classified as 5 low-end intensity events. Wind damage was not used to classify the derecho intensity. To allow for a comparison of the derecho density to that of the United States, we calculated the spatial derecho density of moderate and high-end intensity events for grid boxes of 200 km x 200 km similar to Coniglio and Stensrud (2004), counting every derecho that at least partly affected a box and computed the spatio-temporal density by dividing the number of derechos per box by the number of analysed years.

10 We analysed the large-scale flow in derecho situations indicated by the 500-hPa geopotential charts following Evans and Doswell (2001), Burke and Schultz (2004), and Coniglio and Stensrud (2004). We used reanalysis data (ERA-Interim; Dee et al., 2011) displayed together with the derecho position using the wetter4 visualization tool of MeteoGroup. Because PV intrusions have been observed in association with cold-season derechos (e.g. Pistotnik et al., 2011), we also analysed fields of potential vorticity (PV) on the 320 K isentropic surface. We used archived GFS charts of wetter3.de (<http://www1.wetter3.de/Archiv/>), 15 concentrating on PV intrusions as indicated by sharp PV gradients and large PV values of more than 1.5 PV units (PVU; 1 PVU =  $10^{-6} \text{ Kkg}^{-1} \text{ m}^2 \text{ s}^{-1}$ ); PV was only available for years after 1998.

Similar patterns in 500-hPa flow situations geopotential field were grouped together using the agglomerative hierarchical clustering of the `scipy.cluster` python package (Berkhin, 2006; Müllner, 2011). Base fields for the clustering were the NCEP 20 NCAR Reanalysis 2 (available at 00, 06, 12, and 18 UTC<sup>1</sup>; Kalnay et al., 1996) 500-hPa geopotential height fields. We used the analysis time closest before the start time of the derecho and normalized the geopotential-fields with respect to their minimum and maximum geopotential heights in the region of interest. The clustering was done in a latitude-longitude box between 20°W–20°E and 40°N–60°N. The distance between two normalized geopotential height fields is calculated as the sum of the Gaussian distance between the single grid points. Clusters were joined agglomeratively (bottom-up) by calculating the average 25 distance between clusters. We used 500 hPa to analyse synoptic-scale situations to compare the clusters with results from the United States (Coniglio et al., 2004).

To study thermodynamic environments in which derechos in Germany form, we used proximity soundings determined from criteria used by Evans and Doswell (2001): soundings had to be taken within 150 km and 2 h of the derecho path. We used 30 these criteria to allow for a larger number of proximity soundings although small-scale changes of the environment may be missed that could be significant to the development of convective storms (e.g. Brooks et al., 1994; Potvin et al., 2010; Apsley et al., 2016). Specifically, Markowski et al. (1998) found meso- to storm-scale changes of the low-level vertical wind shear near supercells, and Potvin et al. (2010) found that the best distance of proximity soundings from tornadoes is in the range of

<sup>1</sup>Central European Time CET = UTC + 1 in the cold season and Central European Summer Time CEST = UTC + 2 in the warm season when daylight savings time is in effect.

40–80 km and less than 2 h. Moreover, Apsley et al. (2016) analysed a simulation of a NCFR that showed a narrow zone of CAPE in a narrow strip just ahead of the rainband that would be not indicated by soundings taken more than 100 km away. For this reason, proximity soundings will be interpreted with care.

5 Every proximity sounding had to be launched ahead of the convective system or NCFR. To ensure that soundings were released ahead of the derecho gust front, we took the release time that is given in the raw data available at University of Wyoming sounding data archive (<http://weather.uwyo.edu/upperair/sounding.html>) and analysed the derecho position as indicated by radar data and ground observations such as wind direction and temperature at the release time. Parameters such as mixed-layer CAPE were taken from the University of Wyoming sounding data archive (<http://weather.uwyo.edu/upperair/sounding.html>).  
10 Here, parameters are based on the lifting curve of the lowest 500-m mixed-layer parcel using the virtual-temperature correction of Doswell and Rasmussen (1994). Additionally, we computed 0–1-km vertical wind shear as the magnitude of the vector difference between the wind at 1 km altitude above ground level and the wind closest to the ground that is always referenced to the 10-m (or surface) wind regardless of the exact measurement height ~~the 10-m (or surface) wind~~. Similarly, we computed the ~~0–3-km and~~ 0–6-km vertical wind shear by the magnitude of the vector difference between the winds at ~~3 and~~ 6 km altitude  
15 above ground level and the surface. We linearly interpolated the wind vectors at ~~1km~~ ~~3,~~ and 6 km based in the closest wind measurements near the corresponding heights.

### 3 Results

Between 1997 and 2014, 40 derechos at least partly affected Germany (Table 1). This is more than two derechos every year  
20 on average, with a range from zero derechos ~~in 1998~~ ~~in 1998~~ and 2012 to four derechos in ~~the year~~ 2003. In the following paragraphs, we present the characteristics of German derechos.

#### 3.1 Derecho occurrence across Germany

The regional derecho frequency was analysed based on the areas of each ~~of the 40 derecho~~ paths. Some parts of Germany are  
25 much more affected than others. In general, the derecho density increases from the ~~low lands~~ ~~lowlands~~ in the north to the higher terrain in the south, with the maximum density across southern central Germany (Fig. 1). Here, the derecho density of moderate and high-end intensity events reaches 0.72 per year or about three derechos every four years for 200 km x 200 km grid boxes. Derechos are less frequent across Germany compared to the eastern United States, where four moderate and high-end intensity derechos can be expected every three years for similar grid boxes in the most affected region from Minnesota and Iowa to  
30 Pennsylvania and from the eastern parts of the southern Plains to the lower Mississippi Valley (Coniglio and Stensrud, 2004). The derecho frequency of southern Germany is comparable to that of the Appalachian Mountains in the United States (Coniglio and Stensrud, 2004). Fewer derechos have been found across northern Germany. Along the coasts of the North and Baltic Seas

derechos are rare with only 0.28 to 0.33 events per year or about one event in three to four years. The rarity of derechos along the coast is similar to the rarity of thunderstorms ~~along the coast~~ (Wapler, 2013) and the low mixed-layer CAPE along the coast relative to locations farther south (Siedlecki, 2009). The fraction of derechos occurring in the cold-season (October to March) increased from south to north.

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German derechos are less intense compared to the United States. Five of 40 (13%) German derechos reached high-end intensity according to the definition of Coniglio and Stensrud (2004), with 19 (48%) being moderate and 16 (40%) being low-end intensity (Fig. 2a). A relatively small fraction of German derechos is of high-end intensity compared to the 23% in the United States (55 of 244 events, Coniglio and Stensrud, 2004)<sup>2</sup>. Also, a relatively large fraction of German derechos is of low-end intensity compared to the 30% in the United States (73 of 244 events analysed by Coniglio and Stensrud, 2004).

10 The path length of German derechos is between 400 and 600 km for 15 of 40 events (38%) and between 600 and 800 km for another 15 of 40 events (38%). Ten of the 40 derecho paths (25%) exceed 800 km (Fig. 2b). Germany extends about 600 km from west to east and 850 km from north to south, and it is possible that its limited areal extent and the lower detection rate 15 of derecho paths beyond the German borders leads to shorter derecho paths for some of the analysed events. However, it was possible to follow derecho paths farther into neighbouring countries based on internationally distributed wind reports. Some events could be tracked as far as Scotland or Serbia.

20 German derechos occur most commonly in June and July, as 16 of 40 derechos (40%) fall within these two months (Fig. 3). In late summer, the derecho frequency drops rapidly, and September is the only month with no recorded derecho during the 18-year period. This clear seasonal derecho frequency maximum is comparable to that in the United States (e.g. Coniglio et al., 2004). From December to February, there is another peak in the German derecho distribution. These three months account 25 for 12 derechos (30%), and January is the month with the third-highest derecho number during the analysed time period. The secondary maximum during the cold season also occurs in the United States, although it is less pronounced; October to March contribute to less than 25% of the United States derecho number (Ashley and Mote, 2005), compared to 38% in Germany.

30 The two seasonal peaks of derecho occurrence are associated with distinct flow patterns at mid levels. A cluster analysis of the 500-hPa geopotential fields indicates two main clusters that account for 39 of 40 derechos (Fig. 4). There is a warm-season cluster that represents 22 derechos that occurred between May and August. The 20 May 2006 event is the only one out of 23 events that occurred between May to August and is not included in this cluster. The cold-season cluster contains 16 of 17 derechos that occurred between October and April plus the one on 20 May 2006. There is one event that is not represented in these two clusters, 11 April 1997. From a manual analysis, this event resembles the cold-season cluster, with high geopotential across the Atlantic Ocean to the west and lower geopotential across eastern Europe. In contrast, the warm-season cluster indicates low

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<sup>2</sup>The intensity classification by Coniglio and Stensrud (2004) also includes damage reports that could result in a larger fraction of moderate and high-end intensity events.

geopotential across western Europe and higher geopotential across eastern Europe. We therefore assigned the remaining event to the cold-season cluster. In the following sections, we name derechos of the warm-season cluster *warm-season type derechos*; derechos of the other cluster (including the 20 May 2006 event) and the event of 11 April 1997 are named *cold-season type derechos*.

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### 3.2 Warm-season type derechos

In the 18-year analysis, 22 of 40 derechos (55%) are of the warm-season type. They form from May to August with a frequency peak in early July (Fig. 3). The diurnal cycle of diabatic heating is likely important for these events. Seventeen of the 22 warm-season type derechos (77%) start in the afternoon and early evening between 12 and 17 UTC, corresponding to 10 14 and 19 Central European Summer Time, and a further three (14%) between 9 and 12 UTC (11 and 14 Central European Summer Time, respectively) (Fig. 5a). Their intensity is high-end for three of 22 (14%) and low-end for seven of 22 (32%); their average path length is 620 km. Almost all of these derechos move from the south-west to the north-east across Germany (Fig. 6a). They frequently start north of the Swiss Alps or over the northern slopes of the Jura Mountains across Switzerland and France (Fig. 1a). Over Germany, 12 of 22 warm-season type derechos follow either eastward paths along the northern 15 slopes of the Alps eventually entering Austria or paths along the north-western slopes of the Swabian and Frankonian Jura mountains toward central and eastern Germany. In contrast to the relatively high derecho frequency in southern Germany, only two warm-season type events affect the northern Germany in the 18-year period (Fig. 6a). Warm-season type derechos that affect northern Germany move from south to north, whereas the events close to the Alps have a large eastward component of motion.

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The average synoptic-scale 500-hPa flow of the warm-season type cluster shows ~~an intense a~~ west-European trough and a strong south-westerly flow across Germany (Fig. 4a). The warm-season type thus typically occurs downstream of ~~intense~~ troughs to the west of Germany. As indicated by the anticyclonic curvature of the average geopotential contour lines over eastern Germany, some derechos move into the downstream ridge during their lifetime. These events can be a challenge to 25 operational forecasters because they occur in regions where severe storms might not be necessarily expected. Furthermore, derechos of the warm-season type frequently ~~occur~~ in derecho families with a recurrent large-scale weather pattern (Bentley and Sparks, 2003; Ashley et al., 2004). There are two events that follow each other on 02 and 04 July 2000, 12 and 14 July 2010, and 04 and 06 August 2013. Moreover, there are two derechos per day on 02 June 1999, 23 July 2009, and 22 June 2011.

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In addition to the synoptic-scale flow on derecho days, the thermodynamic environment can help to assess the derecho potential. We analysed 23 proximity soundings for the warm-season derecho type (right columns of Table 2) with respect to sounding parameters such as mixed-layer CAPE and vertical wind shear (Fig. 7). We used Púčik et al. (2015) and Taszarek et al. (2017) to compare ~~the our~~ results with a larger set of sounding parameters across central Europe. Púčik et al. (2015)

identified 1135 proximity soundings by using a maximum distance of 150 km to severe wind reports occurring up to 3 h after the sounding time. His study covered western and central Europe for March–October 2008–2013. Taszarek et al. (2017) found 828 proximity soundings by using a maximum distance of 125 km and time differences of up to 2 h before and 4 h after the sounding time. This study covered the March–October 2009–2015 warm season across western and central Europe.

5 Additionally, Taszarek et al. (2017) present further categories of proximity soundings such as non-severe thunderstorms (8361 soundings), and extremely severe convective wind gusts ( $33+ \text{ ms}^{-1}$ ; 23 soundings). We compare medians of sounding parameters of both studies with those of German derechos. Furthermore, box-and-whisker plots of German derechos (Fig. 7) are compared with those presented in Taszarek et al. (2017, their Figures 3 and 8) to analyse the ability of sounding parameters to discriminate between environments of derechos and other categories of thunderstorms.

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The 0–6-km vertical wind shear in warm-season type derecho proximity soundings in Germany is relatively strong. The median is  $20.1 \text{ ms}^{-1}$  (Fig. 7d–h and Table 3) compared to a median of  $16.1$  and about  $13.8 \text{ ms}^{-1}$  for severe convective wind gusts indicated by Púčik et al. (2015) and Taszarek et al. (2017), respectively Taszarek et al. (2017). Additionally, the median of derecho 0–6-km shear is slightly higher than the median of the extremely severe wind-gust category (about  $19.5 \text{ ms}^{-1}$  Taszarek et al., 2017) (about  $19.5 \text{ ms}^{-1}$ , Taszarek et al., 2017). Deep-layer shear discriminates between derecho and non-severe thunderstorm environments, as the median of  $20.1 \text{ ms}^{-1}$  almost reaches the 90th percentile of non-severe thunderstorm environments which is about  $20.5 \text{ ms}^{-1}$  (Taszarek et al., 2017). The 0–6-km shear also discriminates between derecho environments and the median of derecho environments is also above the upper quartile of the severe convective wind environments with gust category (about  $19.5 \text{ ms}^{-1}$ , Taszarek et al., 2017). Compared to the work of Púčik et al. (2015) that includes cold-season wind events, 20 the median of 0–6-km shear of warm-season type derechos is still rather high compared to the median of the severe wind gust category ( $16.1 \text{ ms}^{-1}$ ).

We found similar results based on vertical wind shear at lower levels. For 0–3-km shear, the derecho median is  $14.9 \text{ ms}^{-1}$  (Fig. 7g and Table 3) compared to a median of about  $9.8 \text{ ms}^{-1}$  and an upper quartile of about  $19.5$ – $14.2 \text{ ms}^{-1}$  (Taszarek et al., 2017). The for severe convective wind gusts and a 90th percentile of  $13.9 \text{ ms}^{-1}$  for non-severe thunderstorms given by Taszarek et al. (2017). For the 0–1-km shear indicates a similar tendency. The median increases from about  $3.5 \text{ ms}^{-1}$  over  $5 \text{ ms}^{-1}$  to about  $5.8 \text{ ms}^{-1}$  for respectively non-severe thunderstorms, severe convective wind gusts, and extremely severe convective wind gusts (Taszarek et al., 2017) given by Taszarek et al. (2017). It reaches  $6.7 \text{ ms}^{-1}$  for derechos (Fig. 7e–f and Table 3). Púčik et al. (2015) indicated a rather strong median of 0–1-km shear for severe convective wind reports that is about the same magnitude than the median for derechos ( $6.6 \text{ ms}^{-1}$  compared to  $6.7 \text{ ms}^{-1}$ ). Nonetheless, 0–1-km shear does not discriminate well between derecho environments and that of other categories. For example, the derecho median of 0–1-km shear is only slightly higher than the upper quartile for the non-severe thunderstorm category (about  $6 \text{ ms}^{-1}$  Taszarek et al., 2017) ( $6 \text{ ms}^{-1}$  Taszarek et al., 2017) and for one proximity derecho sounding, 0–1-km shear is as weak as  $1.6 \text{ ms}^{-1}$  (Fig. 7ef).

In addition to vertical wind shear, the equilibrium-level temperature of derecho soundings could discriminate between non-severe thunderstorm and derecho environments. The median equilibrium-level temperature is  $-48^{\circ}\text{C}$  (Fig. 7e-b and Table 3), which is below the 90th percentile of non-severe thunderstorm environments, and it is also about  $20^{\circ}\text{C}$  below the medians of severe and extremely severe convective-wind environments (Taszarek et al., 2017). The median of mixed-layer CAPE of warm-season type derechos in Germany is  $513 \text{ J kg}^{-1}$ . This value is higher than the medians of mixed-layer CAPE for non-severe thunderstorms and severe convective wind gusts presented in (Taszarek et al., 2017) Taszarek et al. (2017) which are about  $100 \text{ J kg}^{-1}$  and  $250 \text{ J kg}^{-1}$ , respectively, but below the median for severe convective wind reports in Púčik et al. (2015) that reaches  $695 \text{ J kg}^{-1}$  of most-unstable CAPE<sup>3</sup>. Furthermore, the upper quartile of mixed-layer CAPE for non-severe thunderstorms is well below the median of derechos ( $375 \text{ J kg}^{-1}$ , Taszarek et al., 2017), so that mixed-layer CAPE discriminates between derecho and non-severe thunderstorm environments. Other thermodynamic parameters are less useful to anticipate derecho potential. Low-level mixing ratio is not useful to discriminate between derecho and non-severe thunderstorm environments, as well as between non-severe thunderstorm and severe convective wind gust environments, although its median is slightly higher for German derechos with  $10.6 \text{ g kg}^{-1}$  (Fig. 7g-c and Table 3) compared to approximately  $9.7 \text{ g kg}^{-1}$  for non-severe thunderstorms and approximately  $10.3 \text{ g kg}^{-1}$  for severe convective wind gusts (Taszarek et al., 2017). Finally, derechos can form when the level of free convection (LFC) is close to the ground (e.g. 885 hPa 1147 m) or at greater heights (e.g. 605 hPa 4227 m). There is no tendency for derechos to form in association with particularly low or high LFCs (Fig. 7f-e and Table 3).

In summary, German warm-season type derecho environments are characterised by relatively strong vertical wind shear and cold equilibrium levels compared to other convective events across central Europe. Additionally, mixed-layer CAPE is relatively large. Compared to derecho proximity soundings from the United States, 0–6-km vertical wind shear in German warm-season derecho environments is rather strong. For example, the lower and upper quartiles of 0–6-km vertical wind shear of this study are higher compared to the results of Evans and Doswell (2001) ( $15.9$  and  $24.7 \text{ ms}^{-1}$  compared to  $11.8$  and  $20.0 \text{ ms}^{-1}$ , respectively). The mixed-layer CAPE values of German warm-season derechos are low compared to those in the United States. For example, for derecho proximity soundings given in Evans and Doswell (2001), the lower quartile of mixed-layer CAPE<sup>4</sup> is  $1097 \text{ J kg}^{-1}$  which slightly exceeds the upper quartile in the German distribution ( $1061 \text{ J kg}^{-1}$ , Fig. 7ba). Derechos with low CAPE have been also analysed in the United States (Evans and Doswell, 2001; Burke and Schultz, 2004). These events indicated similar mid-level synoptic-scale flow ahead of advancing high-amplitude troughs (strongly-forced synoptic situations; Evans and Doswell, 2001, their Fig. 1b). Next to differences in sounding parameters, German warm-season type derechos form later in the year compared to the results of Bentley and Sparks (2003), with an annual maximum in early July in Germany compared to May to July in the United States. For the United States, the location of the monthly maximum of derecho activity moves northward in the spring and summer (Bentley and Sparks, 2003). Likewise, the later derecho maximum in Germany may be related to its high latitude and the associated average seasonal CAPE distribution. This is in accordance to

<sup>3</sup>Most-unstable CAPE and mixed-layer CAPE are calculated differently and cannot be compared directly.

<sup>4</sup>Evans and Doswell (2001) also include cold-season derechos and calculate mixed-layer CAPE for the lowest 100-m mixed-layer parcel instead of the lowest 500-m mixed layer parcels used in our study.

the lightning frequency across central Europe (Rädler et al., 2018).

### 3.3 Cold-season type derechos

5 Eighteen of the 40 derechos (45%) are of the cold-season type that mostly form during the secondary annual frequency  
~~maximum~~ maximum from December to February (Fig. 3). Only three derechos are assigned to the cold-season type after  
1 March (11 April 1997, 29 April 2002, 20 May 2006). During the winter, diurnal heating is weak in Germany. Consequently,  
a less distinct diurnal cycle is present for cold-season type derechos in comparison to the warm-season type (Figs. 5a and 5b).  
10 Seven of 18 (39%) cold-season type derechos start between 18 and 6 UTC (19 and 7 Central European time, respectively), when  
there is no insolation (Fig. 5b). Nonetheless, diurnal heating has some influence as indicated by a sharp frequency maximum  
in the early afternoon from 12 to 15 UTC (13 to 16 Central European time), when 10 of 18 (56%) cold-season-type derechos  
start (Fig. 5b). The intensity of the cold-season type is high-end for two of 18 (11%) and low-end for nine of 18 (50%) events.  
15 On average, cold-season type derechos are slightly weaker than the warm-season type given the smaller fraction of high-end  
intensity and a larger fraction of low-end intensity compared to the warm-season type (14 and 32%, respectively). However,  
the most intense derecho in the dataset is of the cold-season type and occurred on 01 March 2008. Moreover, the average path  
length of the cold-season type is 880 km, which is 1.4 times the average path length of the warm-season type (path lengths are  
given in Table 1).

In contrast to the warm-season type, most cold-season type derechos occur across central Germany (Fig. 6b). Four events  
20 start over the North Sea, and a further 10 start over north-western Germany, Belgium, and the Netherlands. This regional vari-  
ability could be related to the distance to the North Sea that likely serves as a source of low-level humidity in the cold season.  
Furthermore, cold-season type derechos move typically from north-west to south-east across Germany; only two have more  
west-east-oriented paths (Fig. 6b). The motion of cold-season type derechos is related to the large-scale flow. The cold-season  
25 type is associated with a westerly to north-westerly 500-hPa flow in the wake of low geopotential heights across eastern Europe  
(Fig. 4b, c). A comparable flow pattern has been described for cold-season bow echoes in the United States (Johns, 1982, 1984;  
Burke and Schultz, 2004). In many situations, ~~an intense a~~ trough amplifies across Germany during the event, associated with  
upper-tropospheric PV intrusions ~~in down to~~ the mid-level troposphere (not shown). Cold-season type derechos occur in 11  
out of 19 cold seasons, and some of these seasons are associated with groups of derechos: 2001/02 (three derechos), 2007/08  
(two derechos), and 2013/14 (two derechos). There are no derechos in the cold-seasons 1997/98, 2000/01, 2005/06, 2008/09,  
30 2009/10, 2010/11, 2011/12, and 2012/13.

To characterise the cold-season derecho environment, we analysed 31 proximity soundings (left columns in Table 2). We  
compared sounding parameters to those of proximity soundings across central Europe given in Púčik et al. (2015) (for severe  
convective wind events) and across the United States presented by Burke and Schultz (2004) (for cold-season bow echoes). We  
found high-shear-low-CAPE environments (Sherburn and Parker, 2014): Cold-season type derecho environments are charac-

terised by exceptionally strong 0–6-km shear that is calculated for 28 of the 31 soundings (Fig. 7h). It is at least  $22\text{ ms}^{-1}$  and peaks at  $59\text{ ms}^{-1}$ , the median is  $34.5\text{ ms}^{-1}$  (Table 3), ~~what which~~ is slightly above the median of 0–6-km shear presented for severe convective-wind environments in Púčik et al. (2015) ( $33.2\text{ ms}^{-1}$ ). Strong deep-layer vertical wind shear is also common in cold-season bow-echo environments in the continental United States with a mean 0–5-km shear of  $23\text{ ms}^{-1}$  (Burke and Schultz, 2004). ~~The 0–1-km shear is strong as well with a For lower level shear, we found a 0–3-km shear median of  $23.1\text{ ms}^{-1}$ , and a 0–1-km shear~~ median of  $21.2\text{ ms}^{-1}$  (Fig. 7e,f,g and Table 3) ~~which is~~. ~~The median of 0–1 km shear of cold-season type derechos is therefore~~ slightly higher compared to cold-season severe ~~convective-wind convective-wind~~ environments ( $18.1\text{ ms}^{-1}$ , Púčik et al., 2015). For cold-season bow echoes in the United States, 0–2.5-km shear is weaker than 0–1-km shear in German derechos. The mean 0–2.5-km shear is  $14\text{ ms}^{-1}$  with 87% of proximity soundings having less than  $20\text{ ms}^{-1}$  shear (Burke and Schultz, 2004).

Forty-one percent of proximity soundings in German cold-season type derechos have zero mixed-layer CAPE. The maximum and median of mixed-layer CAPE are  $231$  and  $3\text{ J kg}^{-1}$ , respectively, and the lower quartile is  $0\text{ J kg}^{-1}$  (Fig. 7a and Table 3). This was not unexpected; proximity soundings of severe convective-wind events across central Europe show similar results with a median most-unstable CAPE of  $14\text{ J kg}^{-1}$  (Púčik et al., 2015). Furthermore, although most cold-season bow echoes in the continental United States have higher most-unstable CAPE, there are also long-lived bow-echo proximity soundings with most-unstable CAPE less than  $100\text{ J kg}^{-1}$  (Burke and Schultz, 2004). In addition to low mixed-layer CAPE, German cold-season type derecho environments are characterised by low moisture in the lowest 500-m layer above the ground. The highest mean mixing ratio is  $8.3\text{ g kg}^{-1}$ , the median is  $5.2\text{ g kg}^{-1}$  (Table 3), and the lowest mixing ratio is  $3.5\text{ g kg}^{-1}$  (Fig. 7e and Table 3). These are rather low values; in the continental United States, Burke and Schultz (2004) presented a minimum of mean lowest 100-hPa mixing ratio of  $9\text{ g kg}^{-1}$  for nine long-lived cold-season bow echoes. Nonetheless, there is a low LFC in many German cold-season type derecho soundings (median ~~867 hPa; 1061 m; Fig. 7e and Table 3~~, indicating high relative humidity of the low-level air mass.

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The equilibrium-level temperature in proximity soundings of cold-season type derechos is high in some events, peaking at  $+6^\circ\text{C}$ , with a median of  $-7.5^\circ\text{C}$  (Fig. 7e, Table 3). Although cold-season type derechos do not need to be associated with thunderstorms by definition, all German events produced lightning, which becomes likely at sufficiently low equilibrium-level temperatures (~~van den Broeke et al., 2005~~) (i.e. below  $-10^\circ\text{C}$ ; ~~van den Broeke et al., 2005~~). Soundings with high equilibrium-level temperatures are likely not representative for the particular derecho events for this reason. One example is the derecho proximity sounding at Bergen (WMO number 10238) for 12 UTC 28 December 2001. The sounding has zero mixed-layer CAPE, whereas the 12-UTC SYNOP at the same location reports a thunderstorm with graupel (not shown). Rapid changes of the local equilibrium-level temperature can be expected to occur close to this cold-season type derecho in the time between the ~~sounding~~-balloon launch (1047 UTC) and the thunderstorm observation (1150 UTC). Forecasters have to be aware that soundings may not indicate the potential of convective storms in the vicinity of cold-season type derechos.

In summary, cold-season type derechos in Germany are associated with strong vertical wind shear with a median 0–6-km shear of  $35 \text{ ms}^{-1}$  and a median 0–1-km shear of  $21 \text{ ms}^{-1}$ . At the same time, mixed-layer CAPE is low and soundings frequently have zero mixed-layer CAPE. Taking into account that German cold-season type derechos have longer path lengths on average and are of similar intensity than warm-season type derechos, we expected them to have a similar potential impact ~~than as~~ in Germany.

## 4 Conclusions

This work analyses the derecho potential across Germany. Based on the presented climatology, the derecho risk is higher than would be expected from previously published cases~~(four events)~~. Whereas four events had been published in 10 years between 2004 and 2014~~),~~, 40 events were classified in the 18-year period, including 24 moderate and high-end intensity derechos. The highest regional derecho risk occurs in southern Germany with about three moderate ~~and or~~ high-end intensity derechos in four years, a value of similar magnitude to the Appalachian mountains in the eastern United States (Coniglio and Stensrud, 2004). The spatial derecho density maximum across southern Germany overlaps with the maximum of averaged thunderstorm days across Germany (Piper et al., 2019). The highest yearly derecho number in Germany was four in 2003; no derechos formed in 1998 and 2012. German derechos are most frequent in June and July, consistent with the highest seasonal lightning frequency across Germany (Wapler, 2013). The winter season (October–March) contributes on average to 40% of the annual derecho number, although eight of 18 winter seasons had no derechos. The relative contribution of German winter season derechos to the annual derecho number is large compared to the winter season in the United States, which contributes only 25% to the annual derecho number (Ashley and Mote, 2005), and also large with respect to the low average frequency of lightning in the winter season (Wapler, 2013).

According to a cluster analysis of the 500-hPa flow across Europe, German derechos form in two distinct synoptic-scale situations. Twenty-two derechos correspond to the first derecho type that forms in strong south-westerly 500-hPa flow downstream of ~~an intense~~ a trough across the north-eastern Atlantic Ocean and western Europe. ~~This~~ Such weather patterns are also favourable for thunderstorms across Germany (Piper et al., 2019). ~~This~~ first derecho type only occurs in the summer months (May to August) and is thus named warm-season type accordingly. ~~From proximity soundings~~ Based on our climatology, there is less mixed-layer CAPE in the pre-derecho region than expected from case studies of central European warm-season derechos. Median mixed-layer CAPE in this study is  $500 \text{ J kg}^{-1}$  versus mixed-layer CAPE between  $1000$  and  $2500 \text{ J kg}^{-1}$  in previous derecho case studies (Gatzen, 2004; Púčik et al., 2011; Hamid, 2012; Celiński-Mysław and Matuszko, 2014). Derechos in environments with limited CAPE have also been described in the United States (Evans and Doswell, 2001; Burke and Schultz, 2004) and are characterised by similar mid-level synoptic-scale flow ahead of advancing high-amplitude troughs (strongly-forced synoptic situations; Evans and Doswell, 2001, their Fig. 1b).

We also compared the set of warm-season type derecho proximity soundings with the results of studies on central European sounding analyses by Púčik et al. (2015) and Taszarek et al. (2017) to evaluate if derecho environments differ from those of non-severe thunderstorms or severe convective wind events. For example, the median mixed-layer CAPE of warm-season type derechos is  $513 \text{ J kg}^{-1}$  compared to about  $113 \text{ J kg}^{-1}$  for non-severe thunderstorms (Taszarek et al., 2017); their equilibrium-level temperature is  $-48^\circ\text{C}$ , which is below the 90th percentile of non-severe thunderstorms (Taszarek et al., 2017). The best parameter to distinguish between environments of warm-season type derechos and other convective events in Germany is 0–6-km shear, given that the median of  $20.1 \text{ ms}^{-1}$  is higher than the median of all severe convective wind gust proximity soundings which is  $16.1 \text{ ms}^{-1}$  (Púčik et al., 2015) and about  $13.8 \text{ ms}^{-1}$  (Taszarek et al., 2017). Compared to proximity soundings in the United States, 0–6-km shear of German warm-season type derechos is stronger, with lower and upper quartiles of  $15.9$  and  $24.7 \text{ ms}^{-1}$  compared to  $11.8$  and  $20.0 \text{ ms}^{-1}$  (Evans and Doswell, 2001). Other sounding parameters showed less discrimination between derechos and other convective situations in Germany, particularly for LFC and the low-level mixing ratio. In conclusion, forecasters need to be aware that warm-season type derechos can develop in environments with CAPE below  $500 \text{ J kg}^{-1}$ , when concurring strong vertical wind shear and large-scale forcing are present. Strong south-westerly flow at 500 hPa can also indicate derecho potential in such situations.

The remaining 18 of 40 derechos are of the cold-season type. In contrast to the warm-season type, these derechos form in strong north-westerly 500-hPa flow, mostly at the south-western flank of rapidly amplifying 500-hPa troughs. These troughs are always associated with PV intrusions ~~at from upper levels down to~~ 500 hPa, and derechos form close to the PV intrusions. Such weather pattern is typically not associated with frequent thunderstorms across Germany (Piper et al., 2019). Cold-season type derechos have a clear frequency peak from December to February, but some events occur as early as October or as late as May. Proximity soundings indicate high-shear–low-CAPE environments, and cold-season type derechos are associated with exceptionally strong 0–1-km and 0–6-km vertical wind ~~shear with a median shears with medians~~ of  $21$  and  $35 \text{ ms}^{-1}$ , respectively. These median shear values are stronger than for cold-season severe convective wind events in central Europe ( $18$  and  $33 \text{ ms}^{-1}$  Púčik et al., 2015) and cold-season bow-echo environments in the continental United States, with a mean 0–2.5-km shear of  $14 \text{ ms}^{-1}$  and a mean 0–5-km shear of  $23 \text{ ms}^{-1}$ , respectively (Burke and Schultz, 2004). Mixed-layer CAPE has a median of ~~14–3~~  $\text{J kg}^{-1}$  and is zero in 41% of cold-season type derecho proximity soundings. Moreover, the equilibrium-level temperature has a median of  $-7.5^\circ\text{C}$  and frequently does not indicate any potential of thunderstorms close to cold-season type derechos. Forecast parameters based on CAPE may thus fail to indicate derecho potential in these cases. The limited capability of CAPE-based forecast parameters to provide insight on cold-season convective potential has been discussed in the United States (Sherburn et al., 2016). On the other hand, the relation to mid-tropospheric PV intrusions is promising with respect to forecasting of cold-season type derechos. Further work should thus focus on PV intrusions and their relation to cold-season type derechos.

Despite the differences with respect to the large-scale flow and environmental conditions, the comparison of warm-season and cold-season type derechos reveals no clear differences in terms of their intensity. On the one hand, a larger fraction of

warm-season type derechos reach moderate or high-end intensity (54% and 14%, respectively) compared to cold-season type derechos (39% and 11%, respectively). On the other hand, the most intense derecho during the investigation period is of the cold-season type, and cold-season type derecho path lengths are about 1.4 times longer on average (880 km compared to 620 km for the warm-season type). The potential impact of cold-season type derechos in Germany is thus expected to be similar to that of warm-season type derechos.

*Data availability.* The ERA-Interim reanalysis data are available publicly from their website <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>. NCEP Reanalysis 2 data are provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA, from their website at <https://www.esrl.noaa.gov/psd/>. Radar and wind data are available via the DWD website ([www.dwd.de](http://www.dwd.de)). The MeteoGroup data are not freely available but can be made available via the provider. All code is available from the authors.

*Author contributions.* Christoph P. Gatzen, Andreas H. Fink, David M. Schultz, and Joaquim G. Pinto conceived and designed the research. Christoph P. Gatzen performed the analysis, prepared the figures and wrote the initial draft of the paper. All authors contributed with discussions and revisions.

15 *Competing interests.* The authors declare that they have no conflict of interest.

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**Table 1.** Derechos found between 1997 and 2014. Countries of start and end points are abbreviated as GER (Germany), AUT (Austria), FRA (France), SVK (Slovakia), CZE (Czechia), POL (Poland), DEN (Denmark), SUI (Switzerland), BEL (Belgium), NED (Netherlands), SRB (Serbia), SCO (Scotland), ENG (England), CRO (Croatia), respectively.

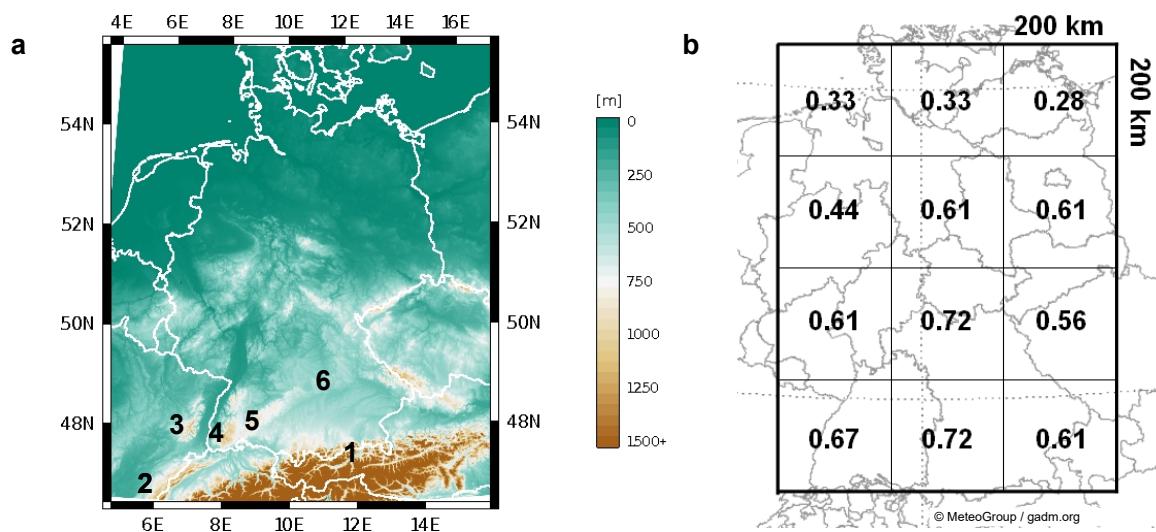
Number	Start time (UTC)	Path length (km)	Duration (h)	Intensity	Path		
1	11 April 1997 02:00:00	1620	16	moderate	NW GER	-	E AUT
2	05 February 1999 00:00:00	660	10	moderate	N GER	-	<u>Centr</u> <u>CENTR</u> GER
3	02 June 1999 14:00:00	420	6	moderate	SW GER	-	<u>Centr</u> <u>CENTR</u> GER
4	02 June 1999 15:00:00	600	7	high	E FRA	-	SE GER
5	03 December 1999 15:00:00	560	6	low	NW GER	-	E GER
6	02 July 2000 14:00:00	550	7	moderate	N FRA	-	W GER
7	04 July 2000 11:00:00	630	7	moderate	SE GER	-	N SVK
8	06 July 2001 17:00:00	450	6	moderate	E FRA	-	<u>Centr</u> <u>CENTR</u> GER
9	28 December 2001 09:00:00	750	12	low	NW GER	-	N CZE
10	28 January 2002 12:00:00	930	12	low	N GER	-	SE POL
11	28 January 2002 13:00:00	430	5	low	NW GER	-	E GER
12	29 April 2002 12:00:00	410	6	low	W GER	-	E GER
13	10 July 2002 16:00:00	600	7	high	SE GER	-	E DEN
14	28 January 2003 06:00:00	440	6	low	W GER	-	SE GER
15	19 May 2003 15:00:00	620	6	low	N SUI	-	<u>Centr</u> <u>CENTR</u> CZE
16	14 June 2003 06:00:00	1000	15	moderate	N FRA	-	SE AUT
17	15 December 2003 21:00:00	700	6	low	N GER	-	E CZE
18	12 August 2004 15:00:00	590	9	low	N SUI	-	E AUT
19	19 November 2004 06:00:00	850	12	moderate	S GER	-	SE POL
20	12 February 2005 15:00:00	610	7	low	S BEL	-	SE GER
21	29 July 2005 14:00:00	740	11	high	NW SUI	-	E GER
22	20 May 2006 12:00:00	600	8	moderate	W GER	-	NW CZE
23	18 January 2007 13:00:00	1100	11	moderate	N NED	-	SE POL
24	21 June 2007 06:00:00	1020	15	moderate	W SUI	-	N SVK
25	22 February 2008 15:00:00	1150	13	moderate	NW DEN	-	E POL
26	01 March 2008 02:00:00	1520	16	high	W NED	-	<u>Centr</u> <u>CENTR</u> SRB
27	25 June 2008 16:00:00	570	6	low	E GER	-	<u>Centr</u> <u>CENTR</u> SVK
28	26 May 2009 12:00:00	570	8	moderate	SW SUI	-	SW CZE
29	23 July 2009 17:00:00	520	7	moderate	SE GER	-	N SVK
30	23 July 2009 15:00:00	670	7	low	E GER	-	<u>Centr</u> <u>CENTR</u> POL
31	12 July 2010 09:00:00	650	6	moderate	S BEL	-	SW DEN
32	14 July 2010 12:00:00	500	5	moderate	<u>Centr</u> <u>CENTR</u> FRA	-	<u>Centr</u> <u>CENTR</u> NED
33	22 June 2011 12:00:00	450	6	low	SW GER	-	E GER
34	22 June 2011 13:00:00	550	5.5	moderate	E FRA	-	SE GER
35	24 August 2011 16:00:00	440	6	low	W GER	-	E GER
36	04 August 2013 10:00:00	790	10	low	N SUI	-	W SVK
37	06 August 2013 12:00:00	750	10	moderate	SW GER	-	E GER
38	05 December 2013 05:00:00	1160	13	high	N SCO	-	NW GER
39	03 January 2014 14:00:00	680	7	low	N FRA	-	N GER
40	21 October 2014 13:00:00	1600	15	moderate	S ENG	-	E CRO

**Table 2.** Proximity soundings of derechos between 1997 and 2014. The left column lists soundings of cold-season type derechos, the right column lists soundings of warm-season type derechos. Sounding sites are given by their WMO station identifier code. Soundings marked with \* are chosen although the derecho moved across the sounding site 3 h after the sounding launch instead of 2 h. For the sounding marked with \*\* is-there was no clear evidence that it was crossed by the derecho before or after the launch time.

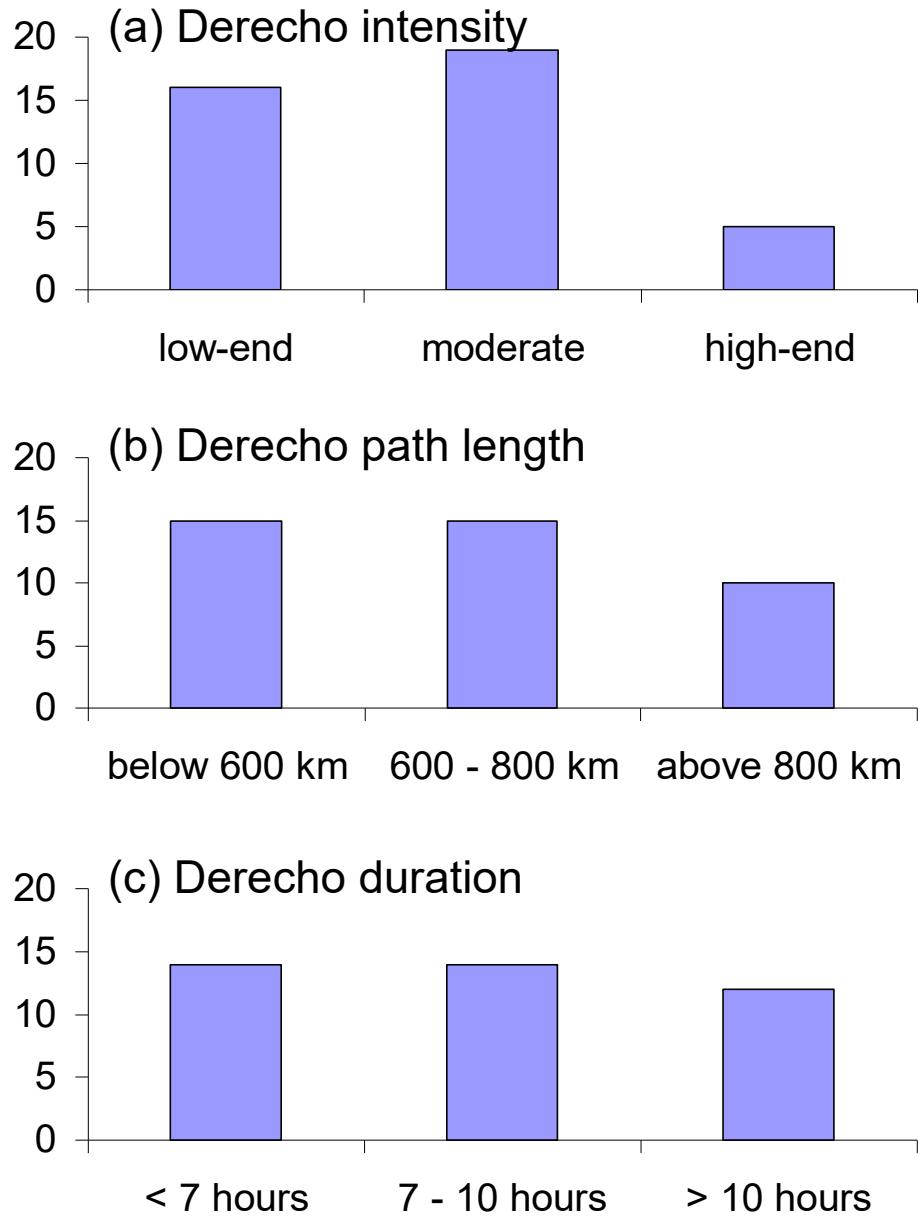
Date / Time (UTC)	Sounding #	Date / Time (UTC)	Sounding #
11 April 1997 12:00:00	12425**	02 June 1999 12:00:00	10618
05 February 1999 00:00:00	10035	02 June 1999 12:00:00	10739
05 February 1999 06:00:00	10393	04 July 2000 12:00:00	11035
05 February 1999 12:00:00	11520*	02 July 2000 12:00:00	07145
05 February 1999 12:00:00	10771	04 July 2000 12:00:00	10868
28 December 2001 12:00:00	10238	07 July 2001 12:00:00	10868
28 December 2001 12:00:00	10410	10 July 2002 18:00:00	10393
28 December 2001 18:00:00	11520	19 May 2003 18:00:00	11520
28 January 2002 12:00:00	10410	14 June 2003 12:00:00	10739
28 January 2002 18:00:00	10393	29 July 2005 12:00:00	06610
29 April 2002 12:00:00	10410	30 July 2005 00:00:00	12425
29 April 2002 12:00:00	06260	21 June 2007 12:00:00	10739
28 January 2003 12:00:00	11035	26 May 2009 18:00:00	10771
28 January 2003 12:00:00	06610	26 May 2009 18:00:00	11520
15 December 2003 00:00:00	11520	23 July 2009 12:00:00	11520
15 December 2003 00:00:00	10548	22 June 2011 12:00:00	10618
19 November 2004 06:00:00	10771	22 June 2011 12:00:00	10739
19 November 2004 12:00:00	11952*	22 June 2011 18:00:00	10771
12 February 2005 18:00:00	10618	22 June 2011 18:00:00	10393
20 May 2006 12:00:00	10410	24 August 2011 18:00:00	10548
20 May 2006 12:00:00	07145	04 August 2013 12:00:00	10739
20 May 2006 12:00:00	06260	06 August 2013 12:00:00	10739
20 May 2006 18:00:00	10771	06 August 2013 12:00:00	06610
18 January 2007 18:00:00	10393		
23 February 2008 00:00:00	10393		
23 February 2008 00:00:00	12425*		
01 March 2008 00:00:00	06260*		
01 March 2008 06:00:00	10618		
05 December 2013 12:00:00	10035*		
21 October 2014 12:00:00	07145		
21 October 2014 18:00:00	10771		

**Table 3.** Median values of sounding parameters derived from derechos of the warm-season (top) and cold-season (bottom) type. From left: Mixed-layer CAPE (MLCAPE), mixing ratio (MIXR), 0–6-km shear (DLS SHR 0–6), 0–3-km shear (SHR 0–3), 0–1-km shear (LLS SHR 0–1), equilibrium-level temperature (ELT), and level of free convection (LFC).

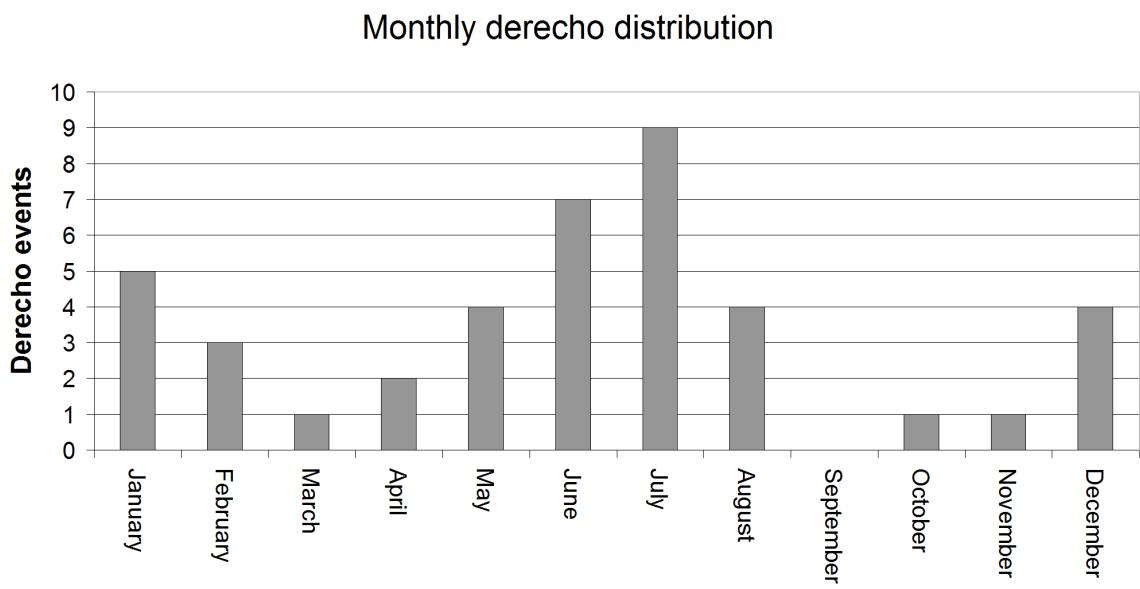
Derecho type	MLCAPE	MIXR	DLS SHR 0–6	LLS SHR 0–3	SHR 0–1	ELT	LFC
warm-season	513 J kg <sup>-1</sup>	10.62 g kg <sup>-1</sup>	20.1 ms <sup>-1</sup>	14.9 ms <sup>-1</sup>	6.7 ms <sup>-1</sup>	-48°C	753 hPa
cold-season	3 J kg <sup>-1</sup>	5.16 g kg <sup>-1</sup>	34.5 ms <sup>-1</sup>	23.1 ms <sup>-1</sup>	21.2 ms <sup>-1</sup>	-7.5°C	867 hPa



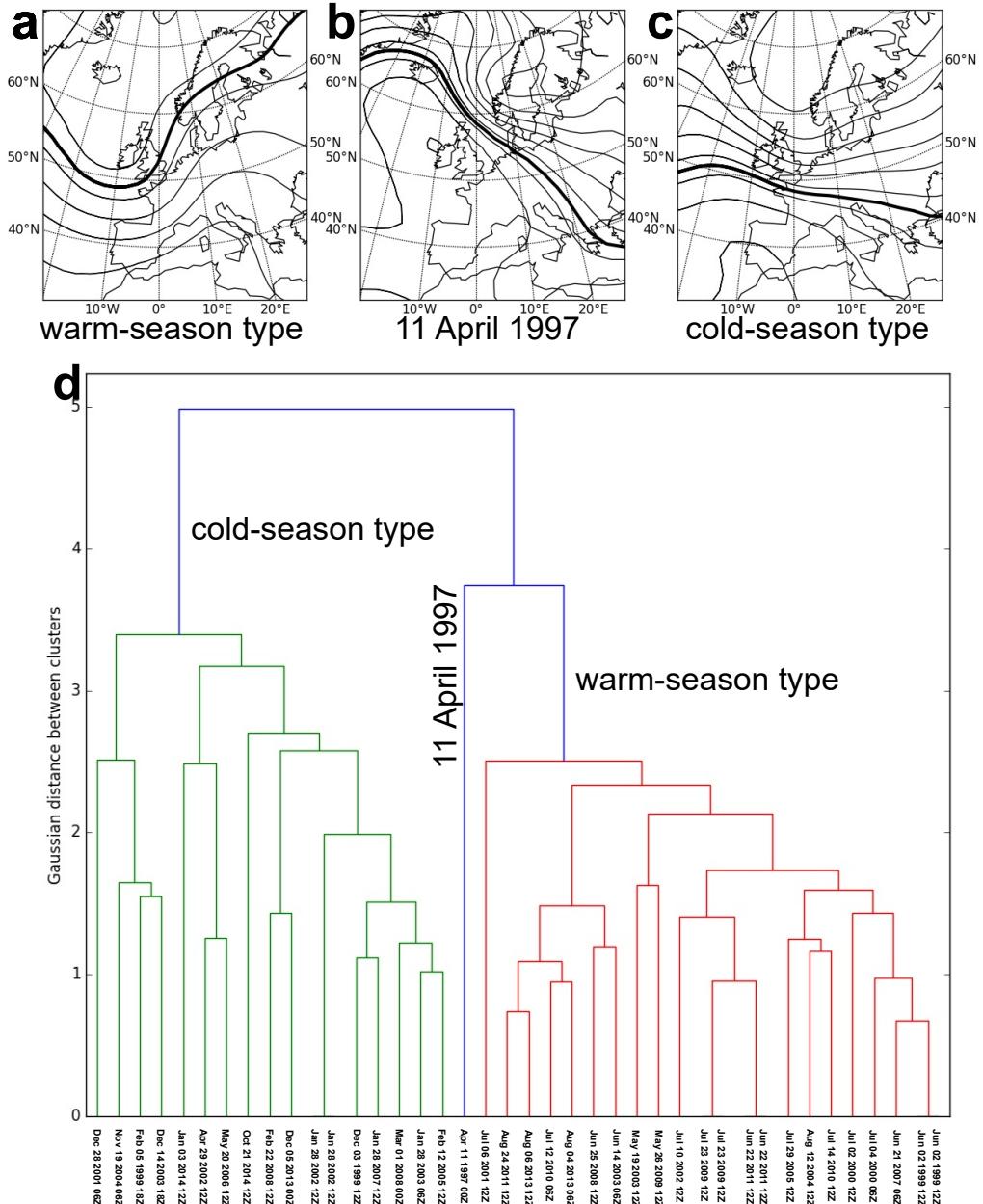
**Figure 1.** (a) Topography of Germany. Terrain height [m] is given by shading according to the colour bar. Mountains mentioned in the text are highlighted by numbers: 1 - Alps, 2 - Swiss Jura, 3 - Vosges, 4 - Black Forest, 5 - Swabian Jura, 6 - Frankonian Jura. (b) Number of moderate and high-end intensity derechos affecting grid boxes with 200 km side length across Germany per year (1997–2014).



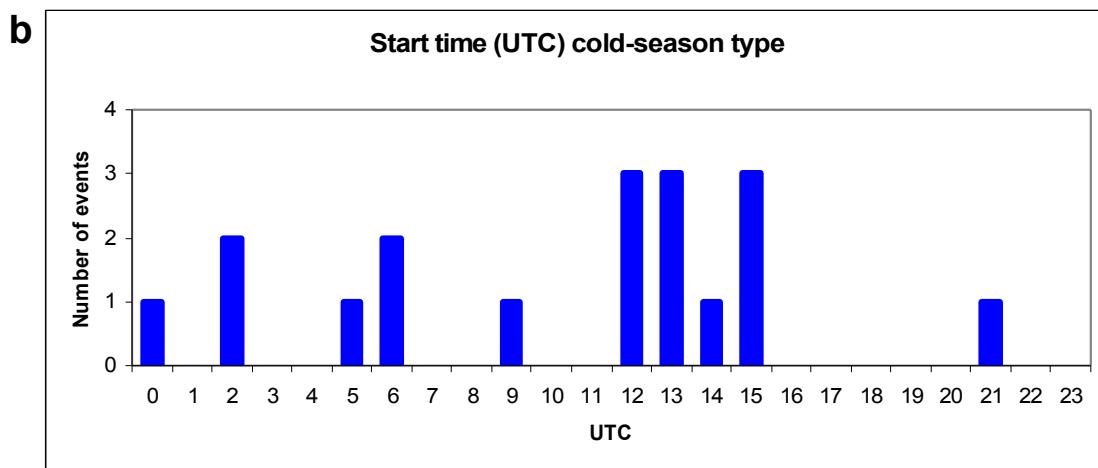
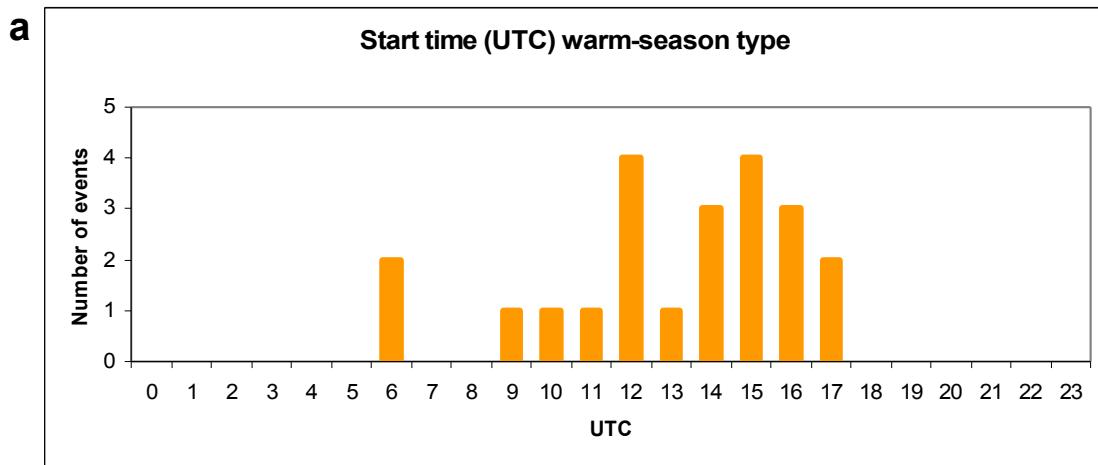
**Figure 2.** Characteristics of derechos (1997–2014): (a) Intensity, (b) path length, and (c) duration.



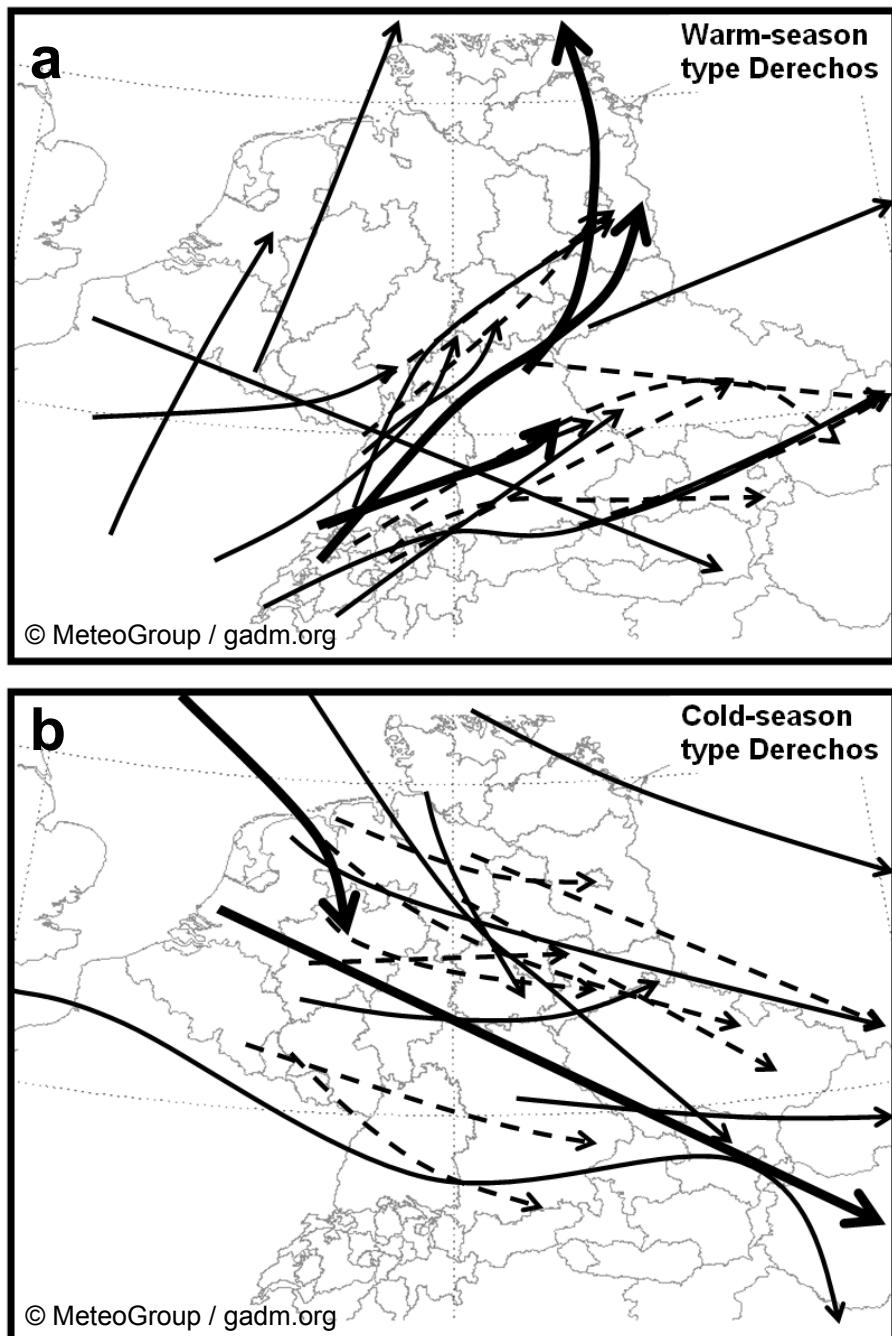
**Figure 3.** Seasonal distribution of ~~dereehos~~ derecho events in Germany (1997–2014).



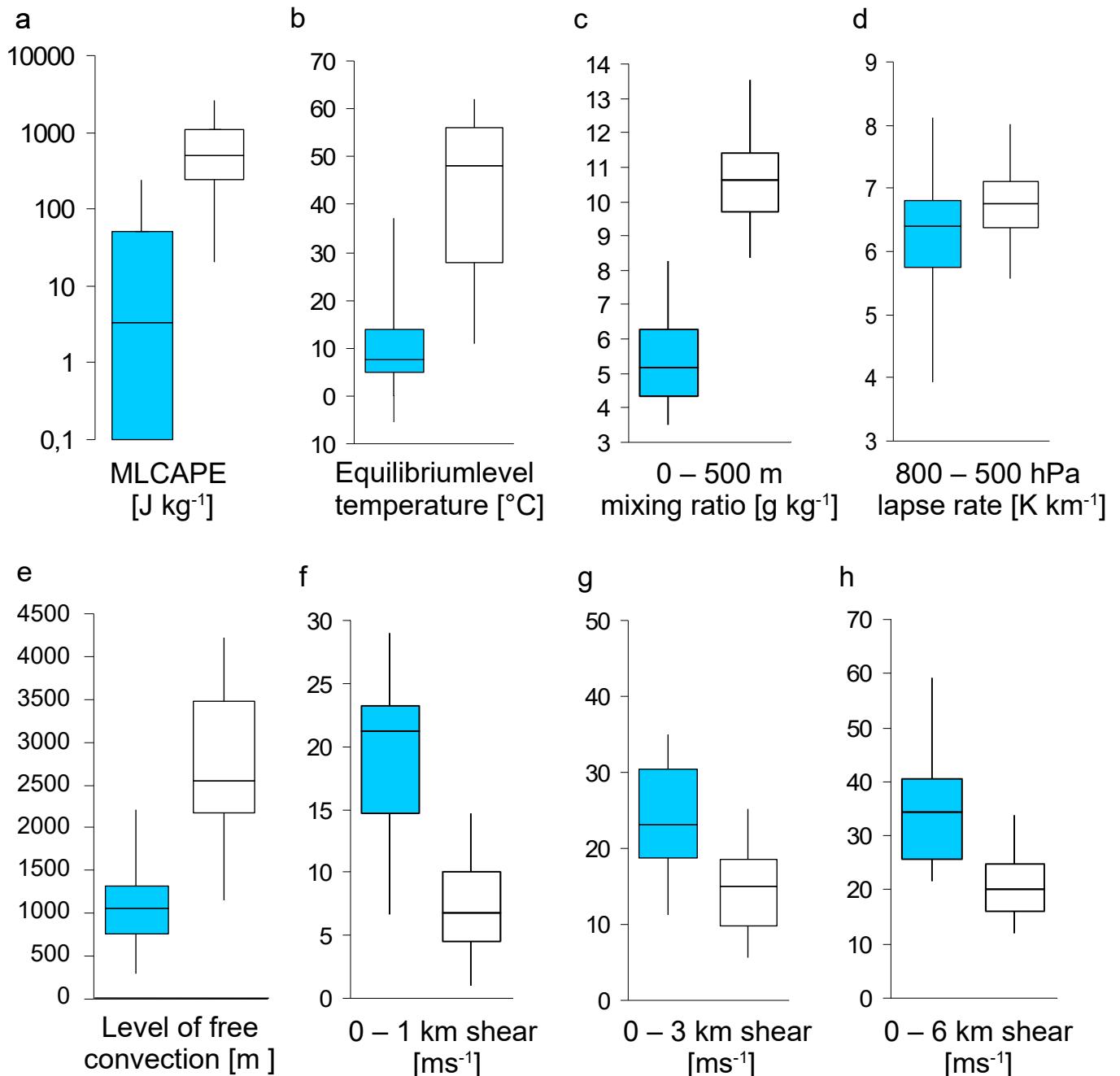
**Figure 4.** (a) Averaged 500-hPa geopotential field of the warm-season type derecho cluster (1997–2014). Isohypses are displayed in 80 m intervals; the 5600 m isohypse is highlighted by the thick line. (b) 500-hPa geopotential field of 11 April 1997 00:00:00 UTC. Isohypses are displayed in 80 m intervals; the 5600 m isohypse is highlighted by the thick line. (c) Averaged 500-hPa geopotential field of the cold-season type derecho cluster. Isohypses are displayed in 80 m intervals; the 5600 m isohypse is highlighted by the thick line (1997–2014). (d) Dendrogram of the cluster analysis of 500-hPa geopotential fields in German derecho events (1997–2014). Dates of the analysis fields are given below each event line. The labels names of the clusters (a)–(c) are also given in the dendrogram. The maps in (a)–(c) have been produced with the python module basemap.



**Figure 5.** Start time (UTC) of derechos (1997–2014) of the warm-season type (a) and the cold-season type (b).



**Figure 6.** Tracks of derechos (1997–2014) of the warm-season type (a) and the cold-season type (b). Thick lines, thin lines, and broken lines relate to high-end, moderate, and low-end intensity derechos.



**Figure 7.** Box-and-whisker diagrams of warm-season type and cold-season type derecho proximity soundings (1997–2014). Boxes (blue for the cold-season type) give the upper and lower quartiles of the distributions, the end of the vertical thin lines maxima and minima, and the horizontal thick lines the medians. (a) Mixed-layer CAPE for the cold-season type; (b) Mixed-layer CAPE for the warm-season type Equilibrium-level temperature; (c) 0–1-km vertical wind shear 0–500-m mixing ratio; (d) 0–6-km vertical wind shear 800–500 hPa lapse rate; (e) Equilibrium-level temperature; (f) Level of free convection; (f) 0–1-km vertical wind shear; (g) 0–500-m mixing ratio 0–3-km vertical wind shear; (h) 0–6-km vertical wind shear.

## Response to reviewer 1

We thank the reviewer for his/her suggestions on our original submission, which lead to an improved .revised manuscript. Please find our detailed replies to your comments below

### General comment #1 from referee 1

**What was the logic behind choosing a period from 1997 to 2014? Why not until 2018? At some point it may be even possible to investigate 2019 if there were any such cases, so the paper may include the most recent data.**

### Author's response

The laborious manual analysis of German derechos was the first part of the first author's Ph.D thesis (Gatzen, Ch., 2018: Climatology and large-scale Dynamics of Derechos in Germany 173 p., <https://kups.ub.uni-koeln.de/8275/>) and was already completed in 2015. Even though we share the reviewer's view that adding more recent years would provide an added value, this is not feasible due to other professional obligations of the first author. However, we are confident that the main conclusions would not change if 4 further years would be added to our 18-year climatology.

### Author's changes in manuscript

No change to the manuscript.

### General comment #2 from referee 1

**Did author try other indices? I am missing two very important variables. First is a mid-level shear (e.g. 0-3km) that is commonly used in investigating atmospheric potential for convective windstorms (e.g. <https://doi.org/10.1175/811.1>, [https://doi.org/10.1175/1520-0434\(2003\)18<502:SCWOTN>2.0.CO;2](https://doi.org/10.1175/1520-0434(2003)18<502:SCWOTN>2.0.CO;2), <https://doi.org/10.1007/s00704-018-2728-6>, <https://doi.org/10.1175/WAF-D-13-00041.1>). Second is a mid-level lapse rate (e.g. 800-500 hPa) that may be useful in characterizing cold-seasons derechos, that usually form in a steep temperature gradients. Also, most of the results in the paper are compared to severe wind events from Pucik et al. (2015) and Taszarek et al. (2017), but I am wondering whether authors tried to use a larger number of soundings and define how derecho-related indices differ from the long-term climatological background (null-cases)? Alternatively, this can be derived from a central European sounding-climatology distributions (e.g. <https://doi.org/10.1175/JCLI-D-17-0596.1>).**

### Author's response

We thank the reviewer for this comment. As suggested by the reviewer, the mid-level shear and lapse rates were tested. The major goal was to find environmental parameters in proximity soundings that best discriminate between warm- and cold season derechos over Germany. As can be seen from the box-and-whisker plots in Figure R1 below, the 0-1 km (0-6 km) shear is the best (worst) discriminator, and 0-3 km is in between. We also compared 0-3 km shear of derechos to those of different event classes presented in Pucik et al. (P, 2015) and Taszarek et al. (T, 2017). While (P, 2015) analyzed proximity soundings identified for three categories of ESWD convective-wind reports (non-severe below 25 m/s, severe, and extremely severe above 32 m/s) through-out the year (warm- and cold season), (T, 2017) analyzed proximity soundings identified for four categories of ESWD wind reports (thunderstorms with non-severe gusts below 25 m/s, severe gusts, and extremely severe gusts above 32 m/s, and reports without thunderstorms and CAPE=0) in the warm-season only. To compare the results of warm-season type derecho proximity soundings, (T, 2017) is the best choice as it concentrates on the warm-season; we used the three categories with thunderstorms. For the cold-season type of derechos, (P, 2015) gives context to compare with. Below are the results for the warm-season type of derecho proximity soundings.

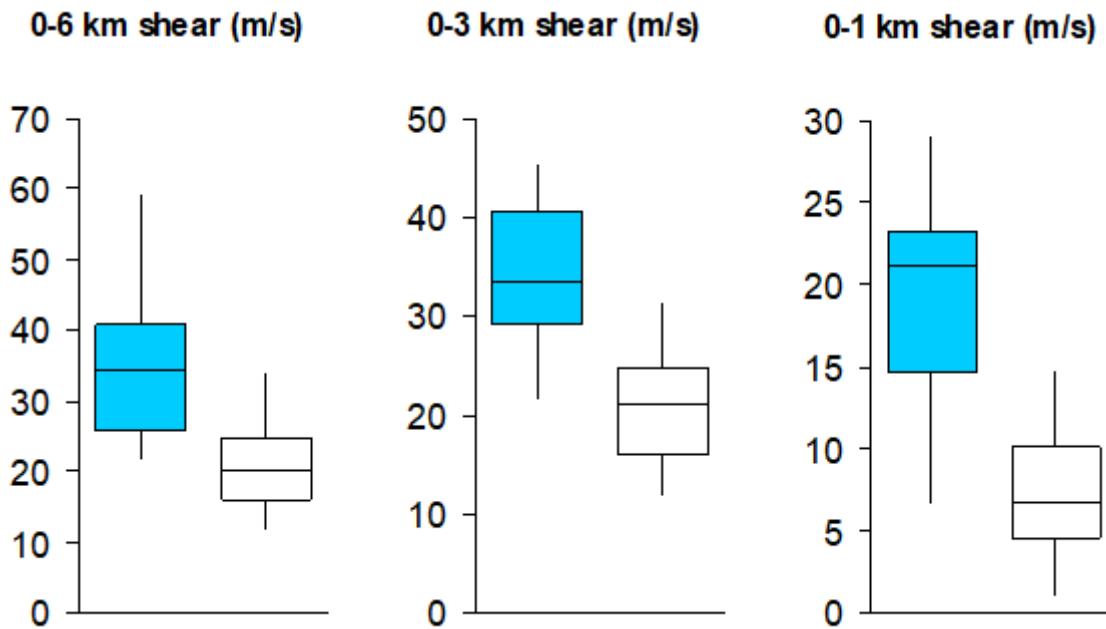


Fig. R1: Box-and-whisker plots of vertical wind shear of proximity soundings for cold-season (blue boxes) and warm-season (white boxes) derechos.

Warm season	0-6 km shear	0-3 km shear	0-1 km shear
Non-severe median	<b>~9.4 (T, 2017)</b> ~13.3 (P, 2015)	~6.3 (T, 2017) ~9.1 (P, 2015)	~3.5 (T, 2017) ~5.5 (P, 2015)
Non-severe upper quartile	~15 (T, 2017) ~19.2 (P, 2015)	<b>~9.8 (T, 2017)</b> ~13 (P, 2015)	~6 (T, 2017) ~8.3 (P, 2015)
Non-severe 90 <sup>th</sup> percentile	~20.5 (T, 2017) ~25.4 (P, 2015)	<b>~13.9 (T, 2017)</b> ~17.5 (P, 2015)	
<b>Derecho median</b>	<b>20.1</b>	<b>14.9</b>	<b>6.7</b>

Warm season	0-6 km shear	0-3 km shear	0-1 km shear
Severe median	~13.8 (T, 2017) 16.1 (P, 2015) (also only warm-season)	~9.8 (T, 2017) ~13 (P, 2015)	~5 (T, 2017) 6.6 (P, 2015) (also only warm-season)
Severe upper quartile	~19.5 (T, 2017) ~23.7 (P, 2015)	~14.2 (T, 2017) ~17.5 (P, 2015)	~8.5 (T, 2017) ~10.9 (P, 2015)
<b>Derecho median</b>	<b>20.1</b>	<b>14.9</b>	<b>6.7</b>

Warm season	0-6 km shear	0-3 km shear	0-1 km shear
Extr. severe median	~19.5 (T, 2017) ~20 (P, 2015)	~12.3 (T, 2017) ~14.1 (P, 2015)	~5.8 (T, 2017) ~7.4 (P, 2015)
Extr. severe upper quartile	~22 (T, 2017) ~24.7 (P, 2015)	~16.6 (T, 2017) ~19.1 (P, 2015)	~10.9 (T, 2017) ~11.5 (P, 2015)
<b>Derecho median</b>	<b>20.1</b>	<b>14.9</b>	<b>6.7</b>

As can be seen, 0-3 km shear indeed discriminates best between non-severe thunderstorms and derechos, as the upper quartiles and 90<sup>th</sup> percentile are below the median of warm-season type derechos. However, 0-6 km shear medians differ most for 0-6 km shear. We appreciate the reviewers comment and add the data of 0-3 km shear in the paper as figure and change the text accordingly.

Figure R2 demonstrates that the mid-level lapse rate from proximity soundings is not a suitable distinction parameter between warm- and cold-season type derechos. To enable comparisons with earlier studies, we opted to add this new figure to the Supplementary Material.

**Lapse Rate  
800\_500 (K/km)**

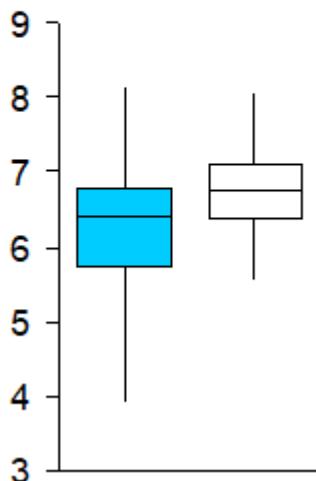


Fig. R2: Box-and-whisker plot of lapse rates of proximity soundings for cold-season (blue box) and warm-season (white box) derechos.

We agree that a comparison to a longer-term climatology of the sounding locations, in particular to null cases is meaningful. However, building sounding climatologies is laborious due to missing and erroneous sounding data particularly in winter when 10% of the balloons obviously exploded. As a compromise, we used the climatologies presented in Taszarek et al. (2017) and Pucik et al. (2015). We consider a comparison to these climatologies to be sufficient since our aim was to put our results into the context of severe convective wind events. Furthermore, both studies compare their results with null cases.

#### Author's changes in manuscript

The main text has been modified to refer to the supplementary figures:

#### Page 8, lines 2-6 (data and methods)

“... we computed 0–1-km vertical wind shear as the magnitude of the vector difference between the wind at 1 km altitude above ground level and the wind closest to the ground that is always referenced to the 10-m (or surface) wind regardless of the exact measurement height. Similarly, we computed the

0–3 and 0–6-km vertical wind shear by the magnitude of the vector difference between the winds at 3 and 6 km altitude above ground level and the surface. We linearly interpolated the wind vectors at 1, 3, and 6 km based in the closest wind measurements near the corresponding heights.”

#### Page 10, line 34 to page 11, line 13 (results; warm-season type derechos)

The 0–6-km vertical wind shear in warm-season type derecho proximity soundings in Germany is relatively strong. The median is  $20.1 \text{ ms}^{-1}$  (Fig. 6d and Table 3) compared to a median of about  $13.8 \text{ ms}^{-1}$  for severe convective wind gusts indicated by Taszarek et al. (2017), respectively. Additionally, the median of derecho 0–6-km shear is slightly higher than the median of the extremely severe wind-gust category (about  $19.5 \text{ ms}^{-1}$ ; Taszarek et al., 2017). Deep-layer shear discriminates between derecho and non-severe thunderstorm environments, as the median of  $20.1 \text{ ms}^{-1}$  almost reaches the 90th percentile of non-severe thunderstorm environments which is about  $20.5 \text{ ms}^{-1}$  (Taszarek et al., 2017). The 0–6-km shear's median of derecho environments is also above the upper quartile of the severe convective wind gust category (about  $19.5 \text{ ms}^{-1}$ ; Taszarek et al., 2017). Compared to the work of Pucik et al (2015) that includes cold-season wind events, the median of 0–6-km shear of warm-season type derechos is still rather high compared to the median of the severe wind gust category ( $16.1 \text{ ms}^{-1}$ ).

We found similar results based on vertical wind shear at lower levels. For 0–3-km shear, the derecho median is  $14.9 \text{ ms}^{-1}$  (Fig. 6d and Table 3) compared to a median of about  $9.8 \text{ ms}^{-1}$  and an upper quartile of  $14.2 \text{ ms}^{-1}$  for severe convective wind gusts and a 90<sup>th</sup> percentile of  $13.9 \text{ ms}^{-1}$  for non-severe thunderstorms given by Taszarek et al. (2017). For 0–1-km shear the median increases from about  $3.5 \text{ ms}^{-1}$  over  $5 \text{ ms}^{-1}$  to about  $5.8 \text{ ms}^{-1}$  for respectively non-severe thunderstorms, severe convective wind gusts, and extremely severe convective wind gusts given by Taszarek et al., 2017. It reaches  $6.7 \text{ ms}^{-1}$  for derechos (Fig. 6c and Table 3). Pucik et al. (2015) indicated a rather strong median of 0–1-km shear for severe convective wind reports that is about the same magnitude than the median for derechos ( $6.6 \text{ ms}^{-1}$  compared to  $6.7 \text{ ms}^{-1}$ ). Nonetheless, 0–1-km shear does not discriminate well between derecho environments and that of other categories. For example, the derecho median of 0–1-km shear is only slightly higher than the upper quartile for the non-severe thunderstorm category ( $6 \text{ ms}^{-1}$ , Taszarek et al., 2017) and for one proximity derecho sounding, 0–1-km shear is as weak as  $1.6 \text{ ms}^{-1}$  (Fig. 6c).

#### Page 13, line 13-19 (results; cold-season type derechos)

Cold-season type derecho environments are characterised by exceptionally strong 0–6-km shear that is calculated for 28 of the 31 soundings (Fig. 6). It is at least  $22 \text{ ms}^{-1}$  and peaks at  $59 \text{ ms}^{-1}$ , the median is  $34.5 \text{ ms}^{-1}$  (Table 3), what is slightly above the median of 0–6-km shear presented for severe convective-wind environments in Pucik et al. (2015) ( $33.2 \text{ ms}^{-1}$ ). Strong deep-layer vertical wind shear is also common in cold-season bow-echo environments in the continental United States with a mean 0–5-km shear of  $23 \text{ ms}^{-1}$  (Burke and Schultz, 2004).

For lower level shear, we found a 0–3-km shear median of  $23.1 \text{ ms}^{-1}$ , and a 0–1-km shear of  $21.2 \text{ ms}^{-1}$  (Fig. 6c and Table 3). The median of 0–1 km shear of cold-season type derechos is therefore slightly higher compared to cold-season severe convective-wind environments ( $18.1 \text{ ms}^{-1}$ , Pucik et al., 2015).

General comment #3 from referee 1

Did authors try producing scatterplots of selected pairs of variables? Combination of mixing ratio and lapse rates, or mixing ratio and 0-3km shear may very clearly indicate differences between cold and warm season derechos (two clusters) and provide possibility to compare "coordinates" of these cases with previous or future studies dealing with convective parameters climatology (e.g. using Kernel Density Estimation of climatological background for soundings). In this way, it can be assessed how rare are such environments (see also general comment #2).

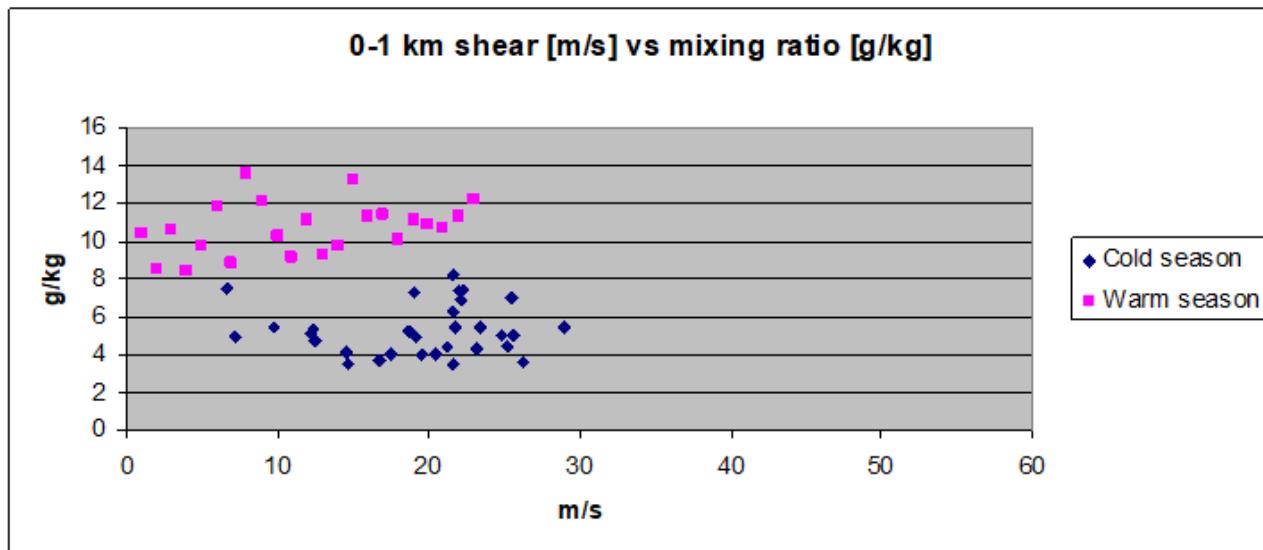


Fig. R3: Scatter plot of 0–1 km shear and 0–500 m mixing ratio of proximity soundings for cold-season (symbols in dark blue) and warm-season (symbols in magenta) derechos.

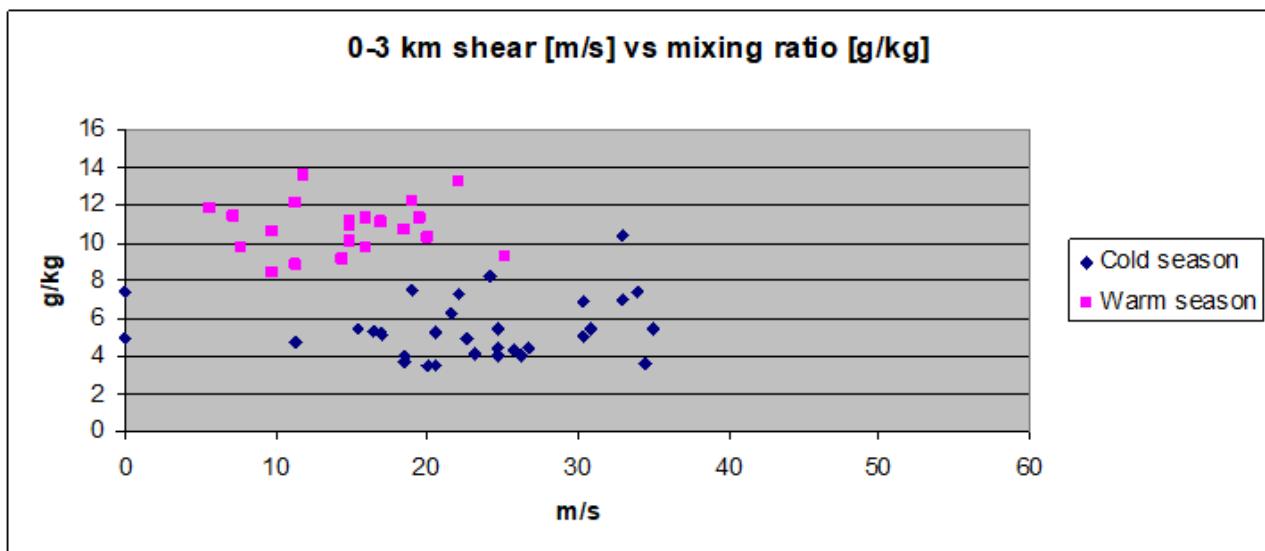


Fig. R4: Scatter plot of 0-3 km shear and 0-500 m mixing ratio of proximity soundings for cold-season (symbols in dark blue) and warm-season (symbols in magenta) derechos.

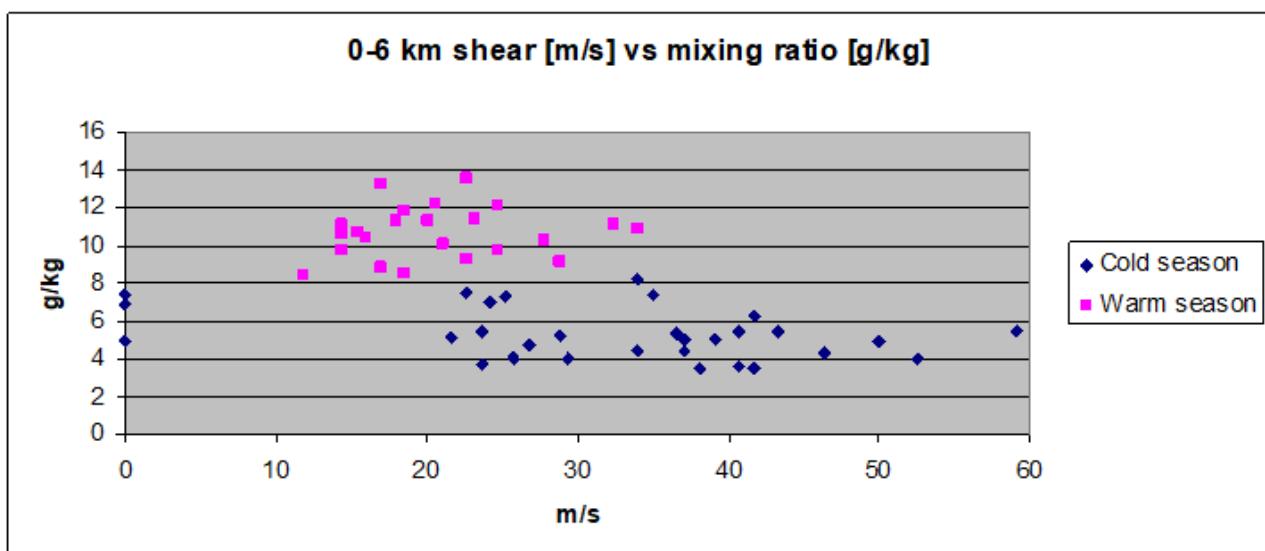


Fig. R5: Scatter plot of 0-6 km shear and 0-500 m mixing ratio of proximity soundings for cold-season (symbols in dark blue) and warm-season (symbols in magenta) derechos.

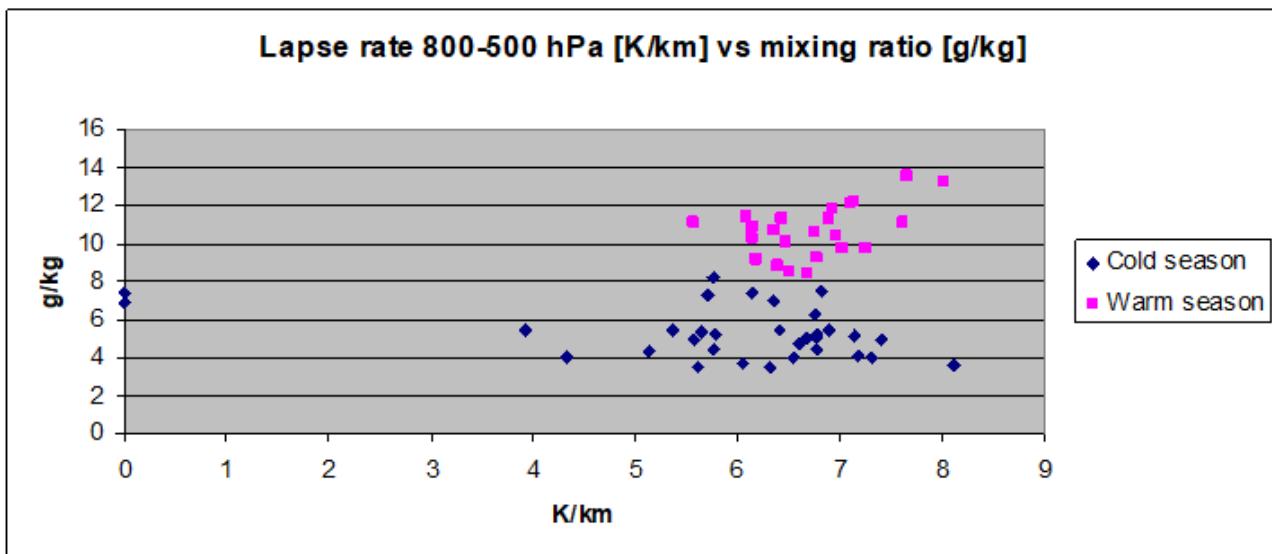


Fig. R6: Scatter plot of 800-500 hPa lapse rate and 0-500 m mixing ratio of proximity soundings for cold-season (symbols in dark blue) and warm-season (symbols in magenta) derechos.

#### Author's response

Figures R3-R6 display the scatter plots suggested by the Reviewer. Indeed there are regimes for the two different types that are clearly separated, in particular due to the differences in the moisture between warm- and cold-season events. There is also a tendency for higher shear in the cold-season type, but there is still quite an overlap between both types. Finally, the lapse rate vs mixing ratio diagram indicates the difference in the moisture, but no big differences in the lapse rate.

In summary, the scatter plots basically show the clear dependency of the warm- and cold-season event regimes to the seasonal moisture variation. Therefore, we consider the box-and-whisker diagrams to analyse which parameters discriminate best between the two regimes as being sufficient and have decided not change the manuscript.

#### Author's changes in manuscript

No changes to the manuscript.

#### General comment #4 from referee 1

I have a feeling that some further discussion on the climatological background of convective environments over Europe, synoptic patterns and overall thunderstorm frequency can be provided. Authors may discuss how unusual is the situation with a derecho given a background of convective activity over central Europe. I believe that the last section of the paper (conclusions) may be trimmed from repeating what was already written in the results, and instead, some space may be devoted for a deeper discussion.

#### Author's response

Thanks for this comment. We agree with the referee that it can be helpful to put our results into context, and since derechos are convective phenomena, the thunderstorm or CAPE climatology of Germany can provide some context to the derecho climatology. We will include a review on the thunderstorm climatology of Germany, including the annual frequency, spatial distribution, and seasonal variability and compare our results in this context. The annual number and spatial distribution of thunderstorm days (reference period 2001 to 2014) can be obtained from Piper, D. A., Kunz, M., Allen, J. T., & Mohr, S. (2019): "Investigation of the temporal variability of thunderstorms in Central and Western Europe and the relation to large-scale flow and teleconnection patterns." Quarterly Journal of the Royal Meteorological Society. Additionally, the seasonal cycle of lightning (reference period 2007 to 2012) is presented in Wapler, K. (2013): "High-resolution climatology of lightning in Central Europe." In EGU General Assembly Conference Abstracts (Vol. 15).

## Author's changes in manuscript

### Page 14, line 19-33 (conclusions)

This work analyses the derecho potential across Germany. Based on the presented climatology, the derecho risk is higher than would be expected from previously published cases (four events in 10 years between 2004 and 2014): 40 events were classified in the 18-year period, including 24 moderate and high-end intensity derechos. The highest regional derecho risk occurs in southern Germany with about three moderate and high-end intensity derechos in four years, a value of similar magnitude to the Appalachian mountains in the eastern United States (Coniglio and Stensrud, 2004). The spatial derecho density maximum across southern Germany overlaps with the maximum of averaged thunderstorm days across Germany (Piper et al., 2019). The highest yearly derecho number in Germany was four in 2003; no derechos formed in 1998 and 2012. German derechos are most frequent in June and July, consistent with the highest seasonal lightning frequency across Germany (Wapler, 2013). The winter season (October–March) contributes on average to 40% of the annual derecho number, although eight of 18 winter seasons had no derechos. The relative contribution of German winter season derechos to the annual derecho number is large compared to the winter season in the United States, which contributes only 25% to the annual derecho number (Ashley and Mote, 2005), and also large with respect to average frequency of lightning in the winter season (Wapler, 2013).

According to a cluster analysis of the 500-hPa flow across Europe, German derechos form in two distinct synoptic-scale situations. Twenty-two derechos correspond to the first derecho type that forms in strong south-westerly 500-hPa flow downstream of an intense trough across the north-eastern Atlantic Ocean and western Europe. Such weather patterns are also favourable for thunderstorms across Germany (Piper et al., 2019). This first derecho type only occurs in the summer months (May to August) and is thus named warm-season type accordingly.

### Page 15, line 23-26 (conclusions)

The remaining 18 of 40 derechos are of the cold-season type. In contrast to the warm-season type, these derechos form in strong north-westerly 500-hPa flow, mostly at the south-western flank of rapidly amplifying 500-hPa troughs. These troughs are always associated with PV intrusions at 500 hPa, and derechos form close to the PV intrusions. Such weather pattern is typically not associated with frequent thunderstorms across Germany (Piper et al., 2019). Cold-season type derechos have a clear frequency peak from December to February, but some events occur as early as October or as late as May.

## Specific comment #1 from referee 1

**#1. P1: Abstract has 345 words. A usual standard for scientific publishing is around 200-250 words, authors may want to cut it down a bit.**

### Author's response

We agree and reduced the number of words from 345 to 252 words as requested by the reviewer.

## Author's changes in manuscript

Abstract. Derechos are high-impact convective wind events that can cause fatalities and widespread losses. In this study, 40 derechos affecting Germany between 1997 and 2014 are analysed to estimate the derecho risk. Similar to the United States, Germany is affected by two derecho types. The first, called warm-season type, forms in strong south-westerly 500-hPa flow downstream of west-European troughs and accounts for 22 of the 40 derechos. It has a peak occurrence in June and July. Warm-season type derechos frequently start in the afternoon and move either eastward along the Alpine forelands or north-eastward across southern central Germany. Associated proximity soundings indicate strong 0–6-km and 0–3-km vertical wind shear and a median of mixed-layer Convective Available Potential Energy (mixed-layer CAPE) around 500 J kg<sup>-1</sup>. The second derecho type, the cold-season type, forms in strong north-westerly 500-hPa flow, frequently in association with mid-tropospheric PV intrusions, and accounts for 18 of the 40 derechos. They are associated with a secondary peak from December to February. Cold-season type derechos start over or close to the North Sea and primarily affect north and central Germany; their start time is not strongly related to the peak of diurnal heating. Proximity soundings indicate high-shear–low-CAPE environments. Fifteen warm-season type and nine cold-season type derechos had wind gusts reaching 33 ms<sup>-1</sup> in at least at three locations. Although warm-season derechos are more frequent, the path length of cold-season type derechos is on average 1.4 times longer. Thus, these two types of German derechos are likely to have similar impacts.

Specific comment #2 from referee 1

**#2: P1 L4: "mid-tropospheric" may be more appropriate than "500-hPa layer" [\[MOU2\]](#) (same for L11), also what authors mean by "intense"?**

Author's response

Thank you very much for this suggestion. However, since we want to address also forecasters, we think that "500-hPa layer" is better than "mid-tropospheric" since 500-hPa charts are used in operational forecasting.

With "intense troughs" we mean that the trough is associated with a strong flow due to sharp geopotential gradients. We appreciate your suggestion and changed the sentence accordingly.

Author's changes in manuscript

The first, called warm-season type, forms in strong south-westerly 500-hPa flow downstream of intense west-European troughs and accounts for 22 of the 40 derechos.

Specific comment #3 from referee 1

**#3. P1 L9: To avoid repetition I suggest "strong 0-6 km wind shear with a median of 20 ms<sup>-1</sup> and ..."**

Author's response

Thank you for this suggestion. We deleted this sentence to shorten the abstract.

Author's changes in manuscript

The sentence was deleted in the manuscript.

Specific comment #4 from referee 1

**#4: P1 L10: I think that abbreviations shouldn't be used in the abstract, but if authors intend to use CAPE, I suggest to mention "mixed-layer" only for the first time, and later just refer to CAPE without adding "mixed-layer" term, also in the whole manuscript body.**

Author's response

There are so many different ways to calculate CAPE, and we want to make sure that the reader knows which CAPE we use. Additionally, we compare our results with respect to CAPE of other studies, that sometimes use different CAPE calculations (e.g. MUCAPE). To avoid confusion with respect to the calculation of CAPE, we use the exact abbreviation everywhere.

Author's changes in manuscript

No changes to the manuscript.

Specific comment #5 from referee 1

**#5: P1 L16: It is possible that zero CAPE soundings were not representative enough for sampling a "true" environment in which derecho initially occurred? On the basis of what premise authors came to the conclusion that the choose sounding was representative?**

Author's response

We explain the objective method of sounding choosing and also discuss that some of these may be not representative.

Author's changes in manuscript

No changes to the manuscript.

Specific comment #6 from referee 1

**#6: P2 L3: What do authors mean by "frequently"? I would suggest to remove this word.**

Author's response

Yes, we agree and appreciate this comment.

Author's changes in manuscript

**Page 2, lines 3-6:**

The high number of casualties is caused by the sudden **and frequently** unexpected occurrence of severe convective storms due to their rapid development (Doswell, 2001), and there are indications that people caught outdoors are not well-prepared.

Specific comment #7 from referee 1

**#7: P2 L3-L17:** Authors may want to mention also a recent powerful derecho case from Poland from 11 August 2017 where 6 people died being outdoors due to falling trees, including two teenage scouts camping in the forest (<https://doi.org/10.1175/MWR-D18-0330.1>). This event had a very unique convective evolution and had a remarkable intensity given European windstorms, it was one of the most significant event over the last 20 years. Also, it took place very close to studied area.

Author's response

We changed this according to the reviewers suggestions by putting the citation in the introduction as a recent example.

Author's changes in manuscript

Another example is **Other examples are** the convective windstorm that killed eight in Berlin and Brandenburg on 10 July 2002 (Gatzen, 2004) **and derecho in Poland on 11 August 2017 that caused six fatalities (Taszarek et al., 2019).**

Specific comment #8 from referee 1

**#8: P2 L12-L17:** I don't think references to ESSL twitter reports are needed, authors may just use a reference to ESWD (<https://doi.org/10.1016/j.atmosres.2008.10.020>) or ESSL (<https://doi.org/10.1175/BAMS-D-16-0067.1>).

Author's response

We agree and changed the text according to the reviewer's suggestion.

Author's changes in manuscript

Changed the citations to ESSL (<https://doi.org/10.1175/BAMS-D-16-0067.1>).

Specific comment #9 from referee 1

**#9: P3 L13-L15 & L26-L30: Please see comment #7**

Author's response

We included the suggested citation at several places.

Author's changes in manuscript

**Page 3, lines 13-15:**

In contrast to the United States, the derecho definition is still not commonly used in Europe although several events have been classified in the past decades (Gatzen, 2004; Punkka et al., 2006; López, 2007; Gatzen et al., 2011; Pistoñik et al., 2011; Pucik et al., 2011; Simon et al., 2011; Hamid, 2012; Celinski-Mysław and Matuszko, 2014; Toll et al., 2015; Gospodinov et al., 2015; Mathias et al., 2018, [Taszarek et al., 2019](#)).

**Page 3, lines 26-30:**

These are frequently associated with rather high CAPE (Pucik et al., 2011; Hamid, 2012; Celinski-Mysław and Matuszko, 2014, [Taszarek et al., 2019](#)) compared to the majority of severe convective wind environments (Pucik et al., 2015). [Five](#) case studies of the warm-season derecho type also mention strong vertical wind shear (Gatzen, 2004; Pucik et al., 2011; Hamid, 2012; Celinski-Mysław and Matuszko, 2014, [Taszarek et al., 2019](#)). Publications with radar images describe sustained

convection initiation along or ahead of a gust front (Gatzen, 2004; Celinski-Mysław and Matuszko, 2014), bow echoes (Gatzen, 2004; Púcik et al., 2011; Hamid, 2012; Celinski-Mysław and Matuszko, 2014, [Taszarek et al., 2019](#)), and rear-inflow jets (Gatzen, 2004, [Taszarek et al., 2019](#)). Finally, the large-scale flow was frequently from the south-west (e.g. Gatzen, 2004).

Specific comment #10 from referee 1

**#10: P5 L14: See general comment #1**

Author's response

Please refer to the response to general comment #1.

Author's changes in manuscript

No changes to the manuscript.

Specific comment #11 from referee 1

**#11: P6 L26-L31: Did wind measurements from the water surface were also used to estimate derecho intensity and duration? This may affect results that are presented in second paragraph of section 3.1, especially comparisons with U.S. climatologies where derechos usually do not travel through water surface where friction is lower and promotes stronger wind gusts (that can help reaching derecho criteria).**

Author's response

We discussed this on page 6, lines 7-15:

This study differs from previous derecho climatologies because we included over-water stations such as measurements from buoys, ships, and oil rigs. The threshold of  $25 \text{ ms}^{-1}$  might be more easily surpassed at open-water stations, because the effect of friction on the wind speed is weaker over the water compared to the land. Wind measurements on oil rigs and ships are not taken at 10 m but at heights up to 100 m above the sea level. This difference in wind measurements might lead to a higher rating of a wind event when it moves across open water compared to the land. Furthermore, the density of wind measurements affects the detection rate of derechos. Derechos can be followed across the North Sea where the measurement density is high enough, in particular across the southern North Sea. Across the central and northern North Sea, there are fewer wind measurements, and it was more difficult to follow derecho tracks farther out than 100 km away from the German coast. For this reason, derecho paths across the North Sea may be shorter and/or less reliable compared to derecho paths over land.

Author's changes in manuscript

No changes to the manuscript.

Specific comment #12 from referee 1

**#12: P7 L9:** Maybe it would be better to use a phrase of: "A similar patterns in 500 hPa geopotential field .."

Author's response

Thank you for your suggestion. We changed the text accordingly.

Author's changes in manuscript

**Page 7, line 9:**

Similar patterns in 500-hPa geopotential field flow situations were grouped together using...

Specific comment #13 from referee 1

**#13: P7 L10-L11:** Authors used ERA-Interim reanalysis to display derecho positions, GFS for PV intrusions and NCEP/NCAR reanalysis for clustering method. This is quite a mix. Why authors did not use just one source of data? (e.g. ERA-Interim?). Is it possible that the use of various data sources might have introduced inhomogeneities?

Author's response

We agree that the use of different reanalysis sources is not ideal. Since we only analyse the synoptic-scale flow of derecho events, inhomogeneities due to the different data sources are very unlikely.

Author's changes in manuscript

No changes to the manuscript.

Specific comment #14 from referee 1

**#14. P7 L12:** No need to repeat a word "geopotential".

Author's response

We agree and changed the text.

Author's changes in manuscript

We used the analysis time closest before the start time of the derecho and normalized the geopotential fields with respect to their minimum and maximum geopotential heights in the region of interest.

Specific comment #15 from referee 1

**#15. P8 L3:** What does exactly mean "10-m or surface"? Wouldn't be better to write that the shear was computed as a magnitude between first available level from the radiosonde up to 1 or 6 km AGL? (I assume this is the case).

Author's response

This is correct, since we do not know the exact measurement height of every observation site. We changed the text accordingly.

Author's changes in manuscript

**Page 8, lines 2-6 (data and methods)**

"... we computed 0–1-km vertical wind shear as the magnitude of the vector difference between the wind at 1 km altitude above ground level and the wind closest to the ground that is always referenced to the 10-m (or surface) wind regardless of the exact measurement height."

Specific comment #16 from referee 1

**#16. P8 L10:** "the year" is not needed (to be consistent with earlier part of the sentence "in 1998 and 2012").

Author's response

We agree and changed the text.

Author's changes in manuscript

**Page 8, line 10:**

...with a range from zero derechos in 1998 and 2012 to four derechos in the year 2003.

Specific comment #17 from referee 1

**#17. P8 L14:** "based on the areas of each derecho path"

Author's response

We agree with this suggestion.

Author's changes in manuscript

The regional derecho frequency was analysed based on the areas of each of the 40 derecho paths.

Specific comment #18 from referee 1

**#18. P8 L21-25:** Perhaps some short comment on the fraction of warm and cold season derechos in the spatial variability would be good.

Author's response

Yes, we agree and added one sentence to the paragraph.

Author's changes in manuscript

**We added the following sentence at the end of the paragraph (page 8, line 25):**

At the same time, the fraction of derechos occurring in the cold-season (October to March) increased from south to north.

Specific comment #19 from referee 1

**#19. P10 L31:** At this point I would like to highlight once again that among 0-1km,

0-3km and 0-6km shear parameters analyzed in a cited study, 0-3km shear demonstrated the best value in discriminating between extremely severe wind events and other categories. See also general comment #2.

Author's response

We changed the manuscript accordingly.

Author's changes in manuscript

Please see response to general comment #2.

Specific comment #20 from referee 1

**#20. P11 L28-L30:** Why authors use pressure values for LFC instead of m AGL? I am not sure if this information adds any value because we don't know what is its relation to the ground level (for each station and in a different synoptic pattern it will be different). Also, we cannot compare it with other studies since majority of them use m AGL. I believe authors should recompute LFC for m AGL. Same for P13 L34.

Author's response

We agree. We will change that to address forecasters more directly.

Author's changes in manuscript

Changed the figure 6f and Table 3: Instead of LFC [hPa], LFC [m] is given. Changed the text accordingly:

**Page 11, line 28-30:**

Finally, derechos can form when the level of free convection (LFC) is close to the ground (e.g. 1147 m) or at greater heights (e.g. 4227 m). There is no tendency for derechos to form in association with particularly low or high LFCs (Fig. 6f and Table 3).

**Page 13, line 34:**

Nonetheless, there is a low LFC in many German cold-season type derecho soundings (median 1061 m; Table 3), indicating high relative humidity of the low-level air mass.

Specific comment #21 from referee 1

**#21. P12 L8-L12:** This shift may be related to a different peak in thunderstorm activity (compared to USA) based on central European climatology of thunderstorms (<https://doi.org/10.1007/s00703-013-0285-1>, <https://doi.org/10.1175/JCLID-18-0372.1>, <https://doi.org/10.5194/nhess-16-607-2016>), and European climatology of convective environments (<https://doi.org/10.1175/JCLI-D-17-0596.1>, <https://doi.org/10.1175/JAMC-D-17-0132.1>). Peak in derecho frequency seems to overlap with these estimates.

Author's response

We appreciate your comment and thank you for the publication links. We will cite Raedler et al., 2018 who gives an overview to lightning occurrence in western and central Europe. The seasonal frequency maximum is similar to that of the warm-season derecho type.

Author's changes in manuscript

**Page 12, lines 8-12:**

Next to differences in sounding parameters, German warm-season type derechos form later in the year compared to the results of Bentley and Sparks (2003), with an annual maximum in early July in Germany compared to May to July in the United States. For the United States, the location of the monthly maximum of derecho activity moves northward in the spring and summer (Bentley and Sparks, 2003). Likewise, the later derecho maximum in Germany may be related to its high latitude and the associated average seasonal CAPE distribution. [This is in accordance to the lightning frequency across central Europe \(Rädler et al., 2018\).](#)

comment #22 from referee 1

**#22. P13 L10-P21: Authors may also provide some comment here on a typical high-shear low-CAPE environments that usually induce such events (<https://doi.org/10.1175/WAF-D-13-00041.1>).**

Author's response

We included the citation and said that the presented soundings indicate high-shear – low-CAPE environments.

Author's changes in manuscript

**Page 13, lines 10-21:**

To characterise the cold-season derecho environment, we analysed 31 proximity soundings (left columns in Table 2). We compared sounding parameters to those of proximity soundings across central Europe given in Pucik et al. (2015) (for severe convective wind events) and across the United States presented by Burke and Schultz (2004) (for cold-season bow echoes). We found high-shear – low-CAPE environments (Sherburn and Parker, 2013): Cold-season type derecho environments are characterised by exceptionally strong 0–6-km shear that is calculated for 28 of the 31 soundings (Fig. 6). It is at least  $22 \text{ ms}^{-1}$  and peaks at  $59 \text{ ms}^{-1}$ , the median is  $34.5 \text{ ms}^{-1}$  (Table 3), what is slightly above the median of 0–6-km shear presented for severe convective-wind environments in Pucik et al. (2015) ( $33.2 \text{ ms}^{-1}$ ). Strong deep-layer vertical wind shear is also common in cold-season bow-echo environments in the continental United States with a mean 0–5-km shear of  $23 \text{ ms}^{-1}$  (Burke and Schultz, 2004). The 0–1-km shear is strong as well with a median of  $21.2 \text{ ms}^{-1}$  (Fig. 6c and Table 3) which is slightly higher compared to cold-season severe convective wind environments ( $18.1 \text{ ms}^{-1}$ , Pucik et al., 2015). For cold-season bow echoes in the United States, 0–2.5-km shear is weaker than 0–1-km shear in German derechos. The mean 0–2.5-km shear is  $14 \text{ ms}^{-1}$  with 87% of proximity soundings having less than  $20 \text{ ms}^{-1}$  shear (Burke and Schultz, 2004).

Specific comment #23 from referee 1

**#23. P14 L3: Is it possible to provide which value of ELT is "sufficiently low"? Would it be around -10C? ([https://doi.org/10.1175/1520-0493\(1996\)124<0602:CTGLOF>2.0.CO;2](https://doi.org/10.1175/1520-0493(1996)124<0602:CTGLOF>2.0.CO;2)).**

Author's response

Yes, we will include the numbers from the cited publication.

Author's changes in manuscript

**Page 14, lines 2-4:**

Although cold-season type derechos do not need to be associated with thunderstorms by definition, all German events produced lightning, which becomes likely at sufficiently low equilibrium-level temperatures (i.e. below -10°C; van den Broeke et al., 2005).

Specific comment #24 from referee 1

**#24. P14 L10: Can authors provide some additional discussion why ELT may rapidly change and briefly explain the mechanism leading to steepening of the temperature lapse rates (in a strongly synoptic-scale forced situations) that can "make" CAPE available? I suppose leading author of the paper may have something to say on this process.**

Author's response

This would be a lot of speculation since the exact processes are not clear to us so far.

Author's changes in manuscript

No changes to the manuscript.

Specific comment #25 from referee 1

**#25. P14 L15: replace "than" with "as"**

Author's response

We changed the word according to the reviewer's suggestion.

Author's changes in manuscript

Taking into account that German cold-season type derechos have longer path lengths on average and are of similar intensity than warm-season type derechos, we expected them to have a similar potential impact ~~than~~ as warm-season type derechos in Germany.

Specific comment #26 from referee 1

**#26. P14 L19-L21: Awkward sentence construction, please split it for two sentences or clarity (after the bracket).**

Author's response

We changed the text according to the suggestion.

Author's changes in manuscript

Based on the presented climatology, the derecho risk is higher than would be expected from previously published cases. Whereas (four events had been published in 10 years between 2004 and 2014): 40 events were classified in the 18-year period, including 24 moderate and high-end intensity derechos.

Specific comment #27 from referee 1

**#27. P15 L31: Wasn't ML CAPE median 3 Jkg<sup>-1</sup> (Table 3?)**

Author's response

That is correct! Thank you for this important point. We have fixed this in the revised manuscript

Author's changes in manuscript

**Page 14, line 31:**

Mixed-layer CAPE has a median of ~~14~~ 3 Jkg<sup>-1</sup> and is zero in 41% of cold-season type derecho proximity soundings.

Specific comment #28 from referee 1

**#28. Table 1: Authors may consider including additional column with a measured peak wind gust. Also, "Centr" may be replaced with CNTRL for consistency.**

Author's response

We thank for these suggestions. We changes "Centr" to "CENTR" in Table 1. However, we did not include the measured peak wind gust.

Author's changes in manuscript

Changed "Centr" to "CENTR" in Table 1.

Specific comment #29 from referee 1

**#29. Figure 1. Color scale for orography may be a very confusing and indicate that Alps are up to 1500m.**

Author's response

We will add a “plus” to the last colour layer to indicate that it includes also higher levels.

Author's changes in manuscript

Will include a “plus” to the 1500 m colour layer in the colour bar.

Specific comment #30 from referee 1

**#30. Figure 3. I want to compliment authors for this figure. This is an excellent display of various synoptic patterns supporting derecho occurrence over central Europe. However, it may be a bit confusing for readers that dendrogram indicates two patterns and authors display three at the top. It may be useful to add headings at the top of (a), (b) and (c) to denote something like "Warm-type", "11 April 1997", "Cold-type" - in this way it may be easier to catch what is really displayed on in these plots.**

Author's response

Thanks for this suggestion which lead to an improvement of the figure.

Author's changes in manuscript

Changed according to the reviewer's suggestion.

Specific comment #31 from referee 1

**#31. Figure 5. This is a nice figure. Since this is a German derecho climatology I can understand that authors plot provinces, but I am not sure why provinces of Switzerland and Austria are included while others are not? This is not consistent. Perhaps a thicker line of German border would better highlight a country location.**

Author's response

We agree that it is better to delete the provinces of Switzerland and Austria in the figure.

Author's changes in manuscript

Changed according to the reviewer's suggestion.

Specific comment #32 (1) from referee 1

**#32. Figure 6. This is a very nice looking plot, I have two comments regarding this. (1) Did authors think about adding box-plots with some null-cases (e.g. only situations with  $ML\ CAPE > 0\ J/kg$ ) that can help to see how the distribution of a certain parameters looks on the background of a climatological mean? (see also general comment #2).**

Author's response

Thanks for this suggestion. We can understand that such information would increase the context of derecho environments. However, we are not able to calculate these data at this time. The main reason is that sounding data needs a quality control that we cannot effort. Soundings presented in this study have been analysed manually to take care of possible measurement errors.

Author's changes in manuscript

No changes made.

Specific comment #32 (2) from referee 1

(2) I see that due to different data ranges ML CAPE is split into two different plots. Maybe authors can consider using WMAX (square root of two times CAPE) instead of ML CAPE (similar to Figure 6 in <https://doi.org/10.1175/JCLI-D-17-0596.1>). Values of ML CAPE can be still included on the axis for better readability. This solution may provide a more comfortable range of values and can fit both distributions on a single plot without a loss of details.

Author's response

Thanks for this helpful comment. We will implement a logarithmic scale to have both plots combined. However, we still present CAPE in order to address forecasters that are not familiar with wmax.

Author's changes in manuscript

We now display CAPE of the two types in one plot in figure 6, but in logarithmic scale.

Specific comment #33 from referee 1

#33. (optional) – given that only 6 figures are in the paper, authors may consider to add one additional plot with a small histograms of (a) derecho path length (b) derecho duration and (c) derecho intensity categories – all which can be extracted from Table 1. It is nice to have this table, but additional histograms would help to assess distributions at certain values. Additional scatterplot may be also added (see general comment #3).

Author's response

We included additional plots as requested.

Author's changes in manuscript

Added additional plots of derecho path length, derecho duration, derecho intensity categories.

## Response to reviewer 2

We thank the reviewer for his/her suggestions on our original submission, which lead to an improved .revised manuscript. Please find our detailed replies to your comments below

### Specific comment #1 from referee 2

#### Minor Points: 3rd page

– line22: Although it is an obvious classification (and authors included later in the manuscript) I suggest to authors add at this point the monthly reference period in order to determine the “warm-season” and “cold-season” types (e.g. April to Sept and Oct to Mar, respectively).

#### Author's response

The occurrence of warm-season and cold-season type events is not limited to the defining seasons (e.g., a cold-season type event can occur in May). Please refer to e.g. page 9, lines 21-22: “The cold-season cluster contains 16 of 17 derechos that occurred between October and April plus the one on 20 May 2006.”

#### Author's changes in manuscript

No changes made to the manuscript.

### Specific comment #2 from referee 2

4th page – line 24-25: I recommend to authors to add a sentence regarding the wind surface measurements and clarify that all data were based on 10m or if there were some station with lower measurements (e.g. 3 or 7m) those data were referenced to 10m measurements.

#### Author's response

We agree with this suggestion. Since there can be indeed differences in the height of surface wind measurements that we do not give, we have added this information in the data and methods section.

#### Author's changes in manuscript

#### Page 8, lines 2-6 (data and methods)

“... we computed 0–1-km vertical wind shear as the magnitude of the vector difference between the wind at 1 km altitude above ground level and the wind closest to the ground that is always referenced to the 10-m (or surface) wind regardless of the exact measurement height. Similarly, we computed the

0–3 and 0–6-km vertical wind shear by the magnitude of the vector difference between the winds at 3 and 6 km altitude above ground level and the surface. We linearly interpolated the wind vectors at 1, 3, and 6 km based in the closest wind measurements near the corresponding heights.”

### Specific comment #3 from referee 2

**Figures 1-6: I recommend to authors to include also the reference period of study.**

#### Author's response

Thank you, we included the reference period as requested.

#### Author's changes in manuscript

We included the reference period in the figures as requested.

### Specific comment #4 from referee 2

**Figure 6: I suggest to authors to join the a and b plots regardless the huge difference of scale.**

### **Author's response**

Thank you, we changed the figure accordingly: we now display CAPE of the two types in one plot, but in logarithmic scale.

### **Author's changes in manuscript**

We now display CAPE of the two types in one plot in figure 6, but in logarithmic scale.