

The influence of land use and land cover change on landslide susceptibility: A case study in Zhushan Town, Xuanen County (Hubei, China)

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Abstract: Land use and land cover change can increase or decrease landslide susceptibility (LS) in the mountainous areas. In the hilly and mountainous part of southwestern China, land use and land cover change (LUCC) has been taking place in the last decades due to infrastructure development and rapid economic activities. This development and activities can worsen the slope susceptible to sliding due to mostly the cutting of slopes. This study, taking Zhushan Town, Xuanen County as the study area, aims at evaluating the influence of land use and land cover change on landslide susceptibility at a regional scale. Spatial distribution of landslides was determined in terms of visual interpretation of aerial photographs and remote sensing images, supported by field surveys. Two types of land use/land cover (LUC) maps, with a time interval covering 21 years (1992-2013), were prepared: the first was obtained by the neural net classification of images acquired in 1992, the second by the object-oriented classification of images in 2002 and 2013. Landslide susceptible areas were analyzed using the logistic regression model in which six influencing factors were chosen as the landslide susceptibility indices. In addition, the hydrologic analysis method was applied to optimize the partitioning of the terrain. The results indicated that the LUCC in the region was mainly the transformation from the grassland and arable land to the forest land, which is increased by 34.3%. An increase of 1.9% is shown in the area where human engineering activities concentrate. The comparison of landslide susceptibility maps among different periods revealed that human engineering activities were the most important factor in increasing LS in this region. Such results emphasize the requirement of a reasonable land-use planning activity process .

Keywords: land use and land cover change; landslide susceptibility; Geographic Information System; neural network classification; object-oriented image analysis; slope unit; logistic regression model

30 **1 Introduction**

31 Landslide constitutes one of the most hazardous geomorphic processes in the mountainous areas (Karsli et al., 2009),
32 which can result in serious injuries, human casualties and cause environmental and economic damages every year (Fell et
33 al., 2008; García-Ruiz et al., 2010). It is, therefore, necessary to take landslide hazard into account for public safety and
34 the realization of safe engineering projects (Fell et al., 2008; Gioia et al., 2015). Because landslide is the results of the
35 complex spatial-temporal interaction of many factors (Pisano et al., 2017), numerous environmental factors (e.g.,
36 topography, lithology and hydrology) have been defined as the main criteria in the literatures (Guzzetti et al., 2006a;
37 Nandi and Shakoor, 2009; Pourghasemi and Rossi, 2017). Moreover, some studies have indicated that human-induced
38 land use and land cover change (LUCC) contributes significantly to the initiation and reactivation of landslides (Guillard
39 and Zêzere, 2012; Galve et al., 2015; Meneses et al., 2019), especially in populated regions, where landslides represent a
40 major risk to infrastructure, human settlements and people, (Pinyol et al., 2012; Abancó and Hürlimann, 2014). So this
41 factor should not be ignored in the landslide risk reduction process, particularly against the background of adaptation to
42 sustainable natural hazard risk management (Promper et al., 2015; Wang et al., 2018).

43 LUCC often implies both modifications in the natural and social systems (Promper et al., 2015; Lopez-Saez et al.,
44 2016), in particular to changes in vegetation cover (Tasser et al., 2003; Schmaltz et al., 2017), undercutting of slopes
45 (Scalenghe and Marsan, 2009), surface sealing or changes of drainage system (Ghestem et al., 2011, 2014), all of which
46 can potentially influence landslide hazard processes. For example, the phenomenon that mountainous areas with forest
47 cover typically appear to be less susceptible to shallow landslides than unforested mountain slopes as described in many
48 studies such as Curden and Miller (2001), Beguería (2006) and Galve et al. (2015). Similarly, deforestation as a result of
49 human activities, e.g., road and/or railway construction, undercutting of slopes, development of settlement areas, etc. in
50 steep mountainous areas increases the vulnerability to landslide hazards (Glade, 2003; Bruschi et al., 2013). All these
51 modifications may lead to an increase in landslide events (Cervi et al., 2010; Piacentini et al., 2012; Reichenbach et al.,
52 2014). Thus, it is of utmost important to link land-use planning activity with landslide risk assessment (Glade, 2003; van
53 Westen et al., 2006; Fell et al., 2008). For single slopes and at local scales, the impact of the plant root or the spatial
54 distribution of LUC on landslides have been evaluated using various methods, including digital photogrammetric
55 techniques (Karsli et al., 2009), microstructure analysis (Ghestem et al., 2011), laboratory shear tests (Ghestem et al.,
56 2011), numerical modelling approaches (Mao et al., 2014) and so on. From the perspective of the regional scale, within
57 an effective hazard mitigation planning, the landslide susceptibility (LS) is usually considered as the initial work (Chen et

58 al., 2016; Zhou et al., 2018) which can be used to reflect the degree to which a terrain unit can be affected by future slope
59 movements (van Westen et al., 2008; Lombardo and Mai, 2018). The importance of the influence of LUCC in landslide
60 susceptibility analysis at a regional scale has been noted by several authors (Reichenbach et al., 2014; Pisano et al., 2017;
61 Meneses et al., 2019).

62 During the past decades, various techniques incorporating geographical information system (GIS) along with remote
63 sensing (RS) technologies have been widely used to map slope stability, e.g., quantifying landslide hazards in relation to
64 LUCC (Meneses et al., 2019), use of spatial statistical analysis (Kayastha, 2015), aerial photogrammetry (Karsli et al.,
65 2009; Bruschi et al., 2013), using space-borne optical sensors data (Taubenböck et al., 2011; Li et al., 2019) and
66 time-lapse photography for soil aggregate stability (Ymeti et al., 2017). For such studies, in general, the selection of
67 meaningful mapping units is a basic step because it is of great importance for susceptibility zonation. A mapping unit
68 refers to a portion of land surface with analogous geologic and/or geomorphic properties (Guzzetti et al., 2006b), which
69 can broadly be summarized into four categories: grid cells, slope units (SU), terrain units (TU) and unique condition units,
70 of which grid cells and SU are the most widely used (Van Den Eeckhaut et al., 2009; Rotigliano et al., 2012; Chen et al.,
71 2016). Each category of mapping units presents advantages and disadvantages. Despite the long-term efforts by
72 researchers, the adoption of the best mapping unit still remains a conceptual problem and an operational challenge
73 (Guzzetti et al., 2000; Alvioli et al., 2016). In addition to the extensive discussions about this subject (Guzzetti et al.,
74 1999; Aleotti and Chowdhury, 1999; Brenning, 2005), several authors have provided examples where different mapping
75 units were tested for the same area (Van Den Eeckhaut et al., 2009; Rotigliano et al., 2012). We can see that mostly the
76 current trend of using grid cells is unjustified (Schlögel et al., 2018), especially considering single-cell values are less
77 representative for phenomena involving portion or whole slopes (Camilo et al., 2017); rather, slope unit considers the
78 totality of the slopes where the landslides occurred which can forecast the locations of future independent landslides.

79 In Zhushan Town, land use and land cover change have been taking place in the last decades due to infrastructure
80 development and rapid economic activities. These processes have also caused changes of the geological environment,
81 mainly in three aspects: (i) Steepening of slopes by undercutting and backfilling during constructions of infrastructures
82 and residential structures on the hill slopes; (ii) Destruction of cultivated and forest lands due to local mining activities;
83 (iii) Construction of hydropower facility near the urban area (the Shuanglonghu Reservoir built in 1992)The change in the
84 seepage conditions along the reservoir bank slopes water level fluctuations has a great impact on the stability of the
85 slopes on both sides of the reservoir. The aim of this study is thus to explore the relationship between LUCC and regional

86 landslide susceptibility. It is of utmost importance to know the land cover and land use processes, which is responsible
87 for landslide susceptibility so that preventive measures can be implemented from the beginning. Landslide inventory was
88 carried out, and influencing factors were determined. Different LUC maps for three periods with a time interval covering
89 21 years (1992-2013) were prepared using remote sensing techniques. Finally, landslide susceptibility assessment was
90 carried out in GIS and subsequently compared to evaluate the impact of the LUCC during this period.

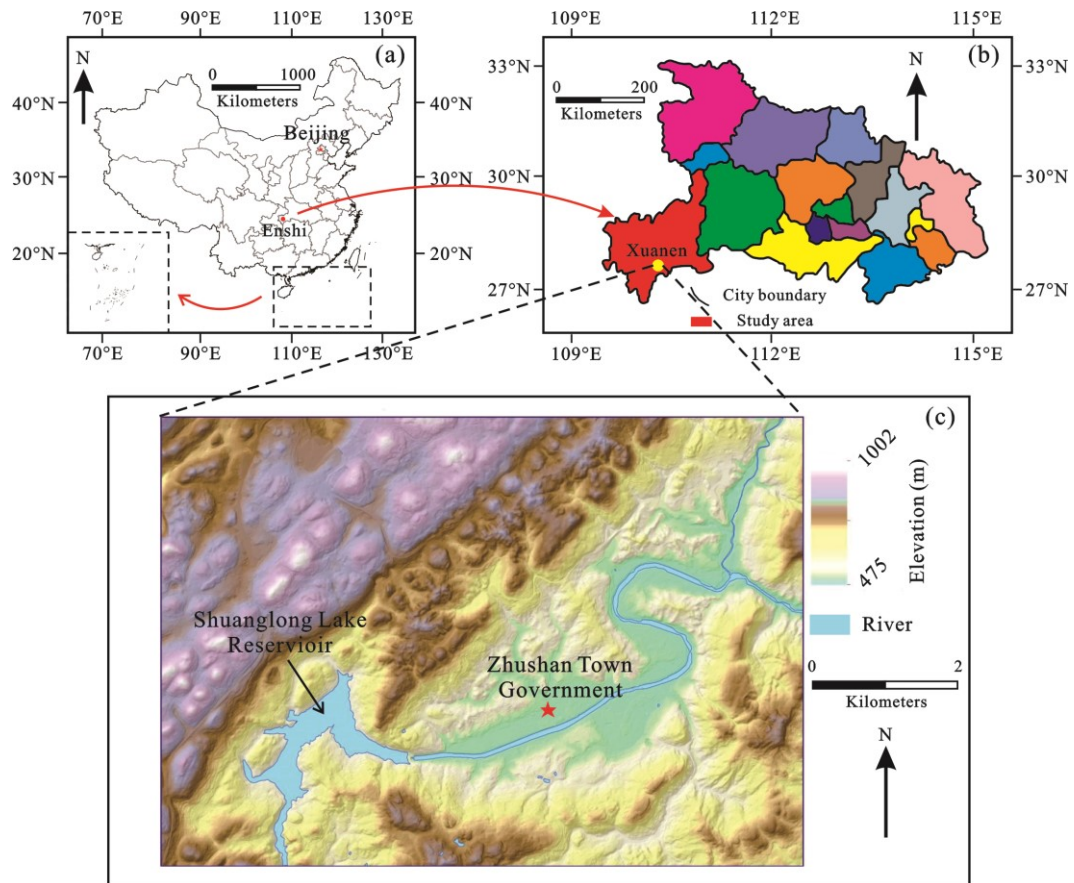
91 **2 Materials**

92 **2.1 Study area**

93 **2.1.1 General description**

94 Xuanen County in the southwest of Hubei Province (China) was selected as the study area, which is about 45 km away
95 from the Enshi city (Fig. 1). The study area lies within longitude 109°11'-109°55' east and latitude 29°33'-30°12' north.
96 Zhushan Town is located in northwestern Xuanen County with an area covering approximately 49 km². The region
97 belongs to the extension of the Wuling and Qiyue Mountains and surrounded by middle and low mountains. The
98 elevation ranges between 350 m and 2015 m above sea level which is characterized as higher in the northwest and lower
99 in the southeast. The region is situated at the end of the syncline core, which extends along the NE-SW direction. The
100 geological formation presents the sedimentary rocks from Cambrian to Cretaceous and the loose Quaternary deposits.
101 The outcrop of the strata in Xuanen County largely consists of the Badong formation of middle Triassic (T₂b), sandstone,
102 claystone and limestone, and the Quaternary deposits. As this geological structure, there is a joints system of NE and NW
103 direction which affected the integrity of the rock mass.

104 The climate of the study area is a subtropical monsoon. Precipitation varies locally due to elevation differences. In
105 the town with an elevation below 800 m, the average annual rainfall is about 1500 mm, which gradually increases with
106 an increase in altitude. When the elevation is above 1200 m, the average annual rainfall exceeds 1800 mm. The Gongshui
107 River is the mainstream which drains the area, with the Shuanglong Lake Reservoir built across the river.



108

109 **Fig. 1 The location of the study area: (a) The location of Hubei Province in China. (b) The location of Xuanen County in Hubei**
 110 **Province. (c) The digital elevation model (DEM) showing the basic terrain conditions**

111 **2.1.2 Urbanization and human engineering activities**

112 Before the 1980s, there were small numbers of settlements in Xuanen County. With the rapid development of the
 113 economy in the last two decades, expansion of settlement areas took place very quickly, such as the construction of
 114 highways, nearly doubled number of industrial and civil structures. By the earlier 1990s, Zhushan Town had increased
 115 significantly, of which the urban area mainly concentrated on the northern side of the Gongshui River valley. Most parts
 116 of the area surrounding settlements were deforested, bare or cultivated. With the constructions of infrastructures,
 117 especially along the No. 209 national highroad, the traffic condition has been significantly improved. Tourism has
 118 gradually become an important economy. Currently, Zhushan Town has become the political and economic center of the
 119 county, and the settlement area has expanded not only on both the sides of the river but also on the mountainous areas
 120 outside the valley. The urban area has grown from the initial 0.5 km² to nearly 7 km² where recently populated 75000
 121 residents, making it the most densely populated center.

122 During the process of urbanization in recent decades, many engineering activities carried out in the area have

123 changed the original topography. Although the urbanization process has improved the local economy, the LUCC caused
 124 by construction activities has also become one of the main factors influencing slope deformation and failure.

125 2.2 Data sources

126 The data used in the study mainly include (i) topographic map, (ii) geological map; (iii) landslide reports, (iv) aerial
 127 photographs and (v) remote sensing images. Details of data sources are shown in Table 1.

128 **Table 1 The sources and characteristics of the data used in the paper**

No.	Data	Scale	Resolution	Source	Purpose
1	Topographic map	1:50000	10 m	China Geological Survey (Wuhan Center)	Landslide influencing factor maps
2	Geological map	1:100000	20 m		
3	Landslide reports	/	/	China Geological Survey (Wuhan Center)	
4	Aerial photographs	/	2048*1536 dpi	DJI drone	Landslide inventory
5	Google Earth images	/	30 m	Google (https://google-earth.en.softonic.com/)	map
6	RS images	/	30 m	Landsat4-5TM (28 August 1992)	LUC maps
7	RS images	/	2 m	Superview-1 (25 September 2002 And 20 September 2013)	

129 3 Methodology

130 3.1 Land use and land cover mapping

131 Satellite remote sensing techniques are generally used to obtain land use and land cover information. The key step in this
 132 process is image classification (Shrestha et al., 2019). For land cover change analysis, it is more logical to use the same
 133 analysis method for processing the images from different years. However, the quality of the RS data, which is mainly
 134 associated with the spatial resolution of the data, should also be taken into account to have better results. In the 1990s
 135 highest spatial resolution of multispectral images was 30 m (Landsat TM), which allows optimal pixel-based
 136 classification. With the development of high-resolution RS images, object-oriented techniques, using a polygon entity as
 137 the basic unit, provide a widely-used method for information processing (Blaschke, 2010; Bayramov et al., 2016; Ymeti
 138 et al., 2017). Therefore, for the present study, both pixel-based, as well as the object-oriented methods, were chosen for

139 the classifications of images obtained in 1992, 2002 and 2013.

140 Three sets of RS images were prepared to obtain the LUC maps of different years: Landsat4-5TM images from 1992,
141 superview-1 images from 2002 and 2013. For the Landsat4-5TM images, normalized difference vegetation index (NDVI)
142 (Huang et al., 2018) and normalized difference water index (NDWI) (Li et al., 2019) were obtained using ENVI software.
143 After this, the first five spectral bands (wavelength ranges of 0.45~0.52 μm , 0.52~0.60 μm , 0.63~0.69 μm , 0.76~0.90 μm ,
144 and 1.55~1.75 μm , respectively) , as well as the NDVI and NDWI, were used for neural net classification. For
145 classification, the training samples were taken using the regions of interest determined by visual interpretation. The
146 logistic function was determined as the activation. The number of hidden layers was set to 1, and the training rate was set
147 to 0.5. The termination condition was set to 10^{-4} , and the number of training iterations was set to 500. For the
148 superview-1 images, the multiscale segmentation was performed based on eCognition software
149 (<http://www.ecognition.com/>). The parameters were set as: (i) scale parameter: 200, (ii) band weight: blue 1, Green 1 and
150 red 1, (iii) shape: 0.6, and (iv) compactness: 0.4. Then, considering the average brightness, length-width-height ratio, and
151 shape index of the object as the features, nearest neighbor classification was carried out, where the way to obtain the ROI
152 was similar to that used for the classification of Landsat images.

153 **3.1.1 Pixel-based analysis: neural network (NN) classification**

154 The neural network algorithm compares pixels to one another and those of known identity and then assigns groups of
155 identical pixels into classes that match the informational categories of user interest (Abdul-Qadir, 2014). Among
156 numerous NN models developed for pattern recognition (Berberoglu et al., 2000; Aitkenhead et al., 2008), BP neural
157 network (BPNN) is the most commonly used. The basic element of a BPNN is the processing node and the
158 interconnections between each node, which has an associated weight (Lee et al., 2004). These nodes are organized into
159 layers, and each layer is fully interconnected to the following layer in general. Each BPNN consists of three or more
160 interconnected layers: input layer (i.e., the first layer), output layer (i.e., the final processing layer) and hidden layer
161 (between the input layer and output layer). The user defines the number of hidden layers as well as the nodes within each
162 layer.

163 Each pixel in the image has its own specific LUC information. Although it is impossible to state the clear LUC
164 characteristics of all pixels, we can still determine the LUC properties using statistics or fieldwork data which is used in
165 defining the region of interest (ROI) and their LUC information are extracted directly from the image as the training
166 dataset of the BPNN. This dataset is input into the nodes of the first layer, and each processing node sums the values of

167 its weighted inputs. The summed input signals are then transformed and passed to the nodes in the next layer in a
168 feed-forward manner. After each training process, the output results are compared with the actual LUC values, and the
169 errors are returned to the input layer for correction. Therefore, with the constant iteration of the training process, the final
170 classification accuracy is improved gradually.

171 **3.1.2 Object-oriented analysis: multiscale segmentation and nearest neighbor classification**

172 The high-resolution satellite imagery has a higher spatial resolution, but with less spectrum number, so the phenomena
173 “some objects with different spectra and different objects with same spectrum” exist (Zhang and Tang, 2019). In such
174 images, pixels are smaller than the object, so the grouping of pixels is possible to obtain real-world homogeneous
175 features (Blaschke, 2010; Ymeti et al., 2017). After the grouping, the smallest unit of the image in the classification
176 process is not a pixel but the image object. It should be noted that spectral information, as well as the geometric and
177 structural information, should be all considered for subsequent analysis and processing.

178 Multiscale segmentation is a bottom-up image segmentation method based on two-two region merging techniques. It
179 can perform multiple and continuous merging of pixels and ensure good homogeneity of all pixels in the same object in
180 the image. Three important parameters are influencing the segmentation results: scale, band weight, and shape. The scale
181 factor can determine the size of the object after the segmentation, as well as the final accuracy of the extracted
182 information. The band weight can determine whether a specific band in the image is considered in the segmentation and
183 the degree of the influence of this band. The shape factor can ensure the shape integrity of the object.

184 The eCognition software was selected as the tool for multiscale segmentation in this study, and the supervised
185 classification based on the nearest neighbor method was used. Similar to pixel-based analysis, this method allows
186 selecting the region of interest (ROI) for taking training samples. In addition, it allows the description of samples in terms
187 of the shape and texture of the objects in the feature space. The classification of the test object is determined by the
188 nearest neighbor. The distance between the test and sample objects can be calculated as follows:

$$189 \quad l = \sqrt{\sum_f \left(\frac{v_f^{(t)} - v_f^{(s)}}{\sigma_f} \right)^2} \quad (1)$$

190 where f is the order of the feature, $v_f^{(t)}$ is the feature values of the test object, $v_f^{(s)}$ is the feature values of the sample
191 object, and σ_f is the standard deviation of the feature.

192 **3.2 Logistic regression model**

193 Numerous models have been developed to perform landslide susceptibility assessment, including heuristic, deterministic,
194 statistical, and machine learning models (Huang et al., 2017). Considering the objective of the study is to observe the
195 impact of LUCC in terms of their propensity to landslide initiation, a single multivariate statistical classification model
196 is suitable. Therefore, we prepared the logistic regression model to link the dependent variable expressing landslide
197 probability with the independent variables (landslide influencing factors).

198 For landslide susceptibility assessment, the logistic regression model is a commonly used statistical technique that
199 involves one or more independent explanatory variables to extract the empirical relationships from observations (Zhou et
200 al., 2018). In particular, through the addition of a suitable link function to the usual linear regression model, variables in
201 the model may be either continuous or discrete, or any combination of both types and that they do not necessarily have
202 normal distributions (Lee, 2005), which gives it an advantage over linear and log-linear regressions. Ozdemir et al (2013)
203 and Lee (2005) have explained the detailed formula in the case of landslide susceptibility studies, which is denoted as
204 follows:

205
$$Y = a + b_1X_1 + b_2X_2 + b_3X_3 + \dots + b_mX_m \quad (2)$$

206
$$Y = \log \text{it} (P) = \ln\left(\frac{P}{1-P}\right) \quad (3)$$

207
$$P = \frac{e^Y}{1+e^Y} \quad (4)$$

208 where X_1, X_2, \dots, X_m are predictor variables, and Y is a linear combination function of these variables that represent a
209 linear relationship. If Y is used as a binary variable (0 or 1), then the value 0 or 1 represents the absence or presence of a
210 landslide, respectively; The parameters a, b_1, b_2, \dots, b_m are the regression coefficients that must be estimated, among
211 which is the intercept, and b_1, b_2, \dots, b_m are the coefficients that measure the contribution of the independent variables ($X_1,$
212 X_2, \dots, X_m) to the variations in Y ; P is the probability that the target variable (Y) is 1; $P/(1-P)$ is the so-called odd or
213 frequency ratio. Through this process, the model can establish a functional relationship between binary-coded landslide
214 events and the different factors used for landslide susceptibility assessment (Yalcin et al., 2011).

215 After the analysis of the relationship between the landslide and the predictor variables, the value of P can be
216 considered as the landslide susceptibility index (LSI). In this study, the LSI s were divided into four classes, e.g., very high,
217 high, moderate, and low, according to the reasonable thresholds of LSI determined by natural breaks method.

218 **3.3 Receiver operating characteristic (ROC) curve**

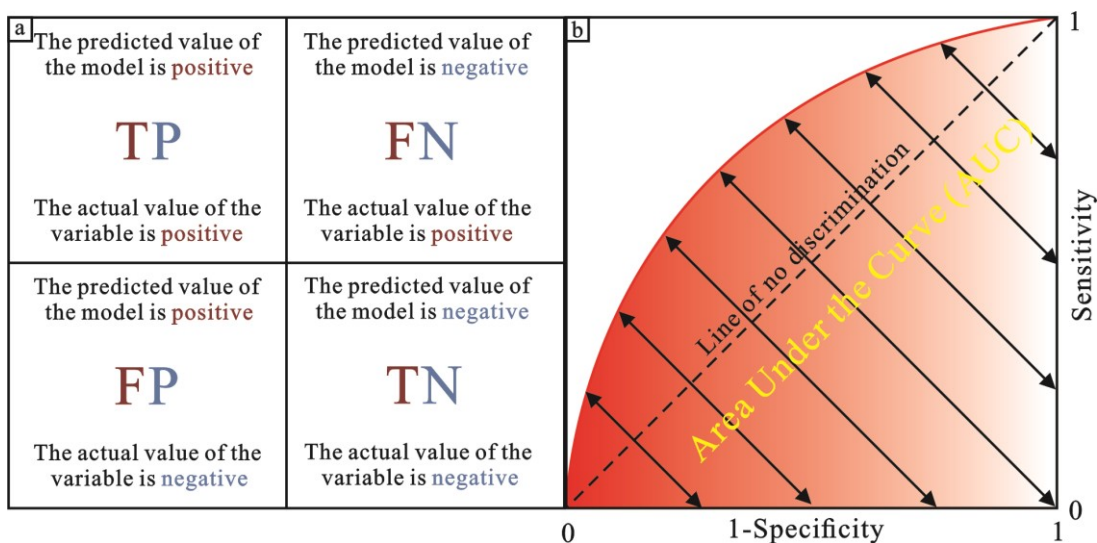
219 Although the statistical methods can evaluate the model performance effectively such as the frequency ratio (*FR*) index,
 220 they require reclassification of landslide susceptibility index (*LSI*) values, and the change of the different breakpoint
 221 values can result in different evaluation results. To remedy this, the receiver operating characteristic curve (ROC) is
 222 more commonly used to evaluate landslide susceptibility results due to the cutoff-independence of it.

223 Several indices can be used to evaluate landslide-prone area classification in the ROC method, including true
 224 positive (TP) rate, true negative (TN) rate, false positive (FP) rate, false negative (FN) rate, sensitivity and
 225 specificity(Fig.2(a)). In simple terms, if a model predicts a positive value of a given variable (event forecast) and the
 226 value of the variable is actually positive (event), a true positive prediction is obtained. On the opposite, if the value of
 227 the variable is actually negative (no event), a FN prediction is obtained (Corsini and Mulas, 2017). TN and FN predictions
 228 are classified following similar logical combinations. Based on this, the sensitivity (*Sen*), i.e., the percentage of correctly
 229 classified landslide cases, and the specificity (*Spe*) can be determined as follows:

230
$$\text{Sen} = \frac{\text{"Number of TP"}}{\text{"Number of TP"} + \text{"Number of FN"}} \quad (5)$$

231
$$\text{Spe} = \frac{\text{"Number of FN"}}{\text{"Number of FP"} + \text{"Number of TN"}} \quad (6)$$

232 The *Sen* is also considered as the true positive rate, and the value (1 – *Spe*) is the rate of false positives (Melchiorre
 233 et al., 2008). Generally, High sensitivity indicates a high number of correct predictions, whereas high specificity (low
 234 1-*Spe* difference) indicates a low number of false positives (Mohammady et al., 2012). Hence, the *Sen* of the model is
 235 plotted against 1-*Spe* to obtain the ROC curve, and in most cases, the area under the curve (AUC) is utilized to evaluate
 236 the prediction ability of models. The model is considered better if the value of AUC is larger (Fig. 2 (b)).



237

238 **Fig. 2 (a) Some indices used to evaluate the landslide susceptible area classification in ROC method; (b) The example of ROC**
239 **and AUC (source: Corsini and Mulas, 2017).**

240 **3.4 Slope unit**

241 Slope unit is defined as one slope part, or the left/right part of a watershed, representing the region of space-delimited
242 between ridges and valleys under the constraint of homogeneous slope aspect and steepness distributions. It can avoid the
243 shortcomings of low geomorphological representativeness of grid-based susceptibility mapping (Camilo et al., 2017).
244 Hence, we adopted the slope unit as the mean of landslide susceptibility in this study.

245 The slope unit can be drawn manually from topographic maps or can be delineated automatically using specialized
246 software (Alvioli et al., 2016). According to the prevalent methods provided by the literatures (Xie et al., 2004;
247 Reichenbach et al., 2014; Schlögel et al., 2018), the slope units of the study area were partitioned using ArcGIS-based
248 hydrologic analysis method. Slope units were generated in steps as follows: (i) preparing the reverse DEM by subtracting
249 the original DEM from the highest elevation of the study area; (ii) filling the original and the reverse DEM, respectively;
250 (iii) extracting the surface water flow direction to distinguish areas with extremely rapid changes in surface morphology;
251 (iv) establishing the stream link for obtaining the valley lines and ridge lines; (v) delineating the slope units based on the
252 valley and ridgelines. One of the advantages of adopting slope units is that the computational burden is reduced due to a
253 lower number of units compared with the grid-based method (Camilo et al., 2017). Moreover, the slope units make it
254 possible to maximize the internal homogeneity and the external heterogeneity of the slope aspect (Mashimbye et al., 2014;
255 Schlögel et al., 2018).

256 **3.5 Landslide mapping and analysis**

257 **3.5.1 Landslide mapping**

258 In the simplest form, landslide inventory plays an essential role in its susceptibility mapping (Kayastha, 2015), especially
259 in the initial phase because it provides its spatial distribution (Tian et al., 2019). It can be done in a region using different
260 techniques such as field surveys, satellite image/air photo interpretation, and literature search for historical landslide
261 records (Yalcin et al., 2011). The inventory was carried out from a combination (i) detailed reports obtained from
262 management institutes, (ii) visual interpretation of aerial photographs and remote sensing images, and (iii) field surveys
263 carried out in the period during April and May 2013. To clarify their detailed information, we link the landslide property
264 database to the map, which includes the descriptions of some data that cannot be digitized, e.g., the amount, area and
265 occurrence time of landslides.

266 3.5.2 Factors influencing landslides

267 The spatial distribution of landslide hazards is the combined effect of different factors, including not only internal
268 geological structures but also their external environmental settings. In this study, six influencing factors were determined,
269 i.e., slope gradient, aspect, slope shape, lithology, distance to the reservoir, and LUC. The thematic data were collected
270 from different sources. For example, topographic factors were generated from elevation contour lines (1:50000 scale),
271 and lithology information was derived from the geological map (1:100000 scale) obtained from the China Geological
272 Survey. The urban planning map, which indicates the location of the Shuanglonghu Reservoir, was collected from the
273 administration department of Zhushan Town. The LUC maps were obtained from RS images.

274 The study of the relationship between landslide events and their triggering factors is a key step in landslide
275 susceptibility assessment. In this study, this relationship was determined by calculating the ratio of the number of units
276 with landslide occurrence to the total amount of units in each class, namely the distribution curve of ratio. However, it
277 should be noted that the continuous variables (e.g., slope, aspect, *etc.*) cannot be used directly as input data into the
278 applied model in this study. It is necessary to classify the continuous variables into discrete classes to understand the
279 effects of each variable on landslide occurrence. This was done according to the distribution curve of the frequency ratios
280 (Huang et al., 2017). After the selection and preliminary analysis of these factors, their independency test was performed.
281 The results showed that all the variables were highly uncorrelated to each other (correlation coefficient of less than 0.2)
282 and thus they were considered very appropriate to take into account for landslide susceptibility assessment.

283 *Topographic factors*

284 A digital elevation model (DEM) was prepared by interpolating contour lines at 10 m intervals from which topographic
285 factors including slope gradient, aspect, and slope shape were obtained.

286 Slope angle (Fig. 3 a), defined as the steepness of a surface, is the major parameter of slope stability analysis which
287 can help us in understanding the characteristics of a basin for runoff and erosion processes (Vasu and Lee, 2016). The
288 slope gradient of the study area varies in the range of 0° ~ 73.6° and an average value of 21.3° . The slope gradients were
289 divided into four categories: (i) flat to gentle ($<15^{\circ}$), (ii) moderate (15 - 25°), (iii) steep (25 - 40°), and (iv) very steep ($>40^{\circ}$).
290 From the perspective of spatial distribution, the flat to gentle slope class mainly situates along the banks of the Gongshui
291 River, while the surrounding mountainous areas have steep to very steep slopes (slope gradients of 20° to 45°). Based on
292 the statistical results of LRM, the locations where landslides generally occurred are in the moderate slope class. This can
293 be explained that steeply sloping areas are generally in high elevation areas where human activities are minimal and

294 nearly no landslide activities have been detected in the inventory (Cervi et al., 2010; Zhou et al., 2018).

295 Aspect (Fig. 3 b) is considered an important factor in landslide susceptibility assessment because of the role it plays
296 in micro-climate and hydrology due to differences in exposure to sunlight, winds, rainfall (degree of saturation), and
297 discontinuities (Yalcin et al., 2011). The slope aspect was divided into eight categories. Statistical results using three
298 years of data in the study area revealed that landslides generally occur on the slope aspect within the range of 40-100°.

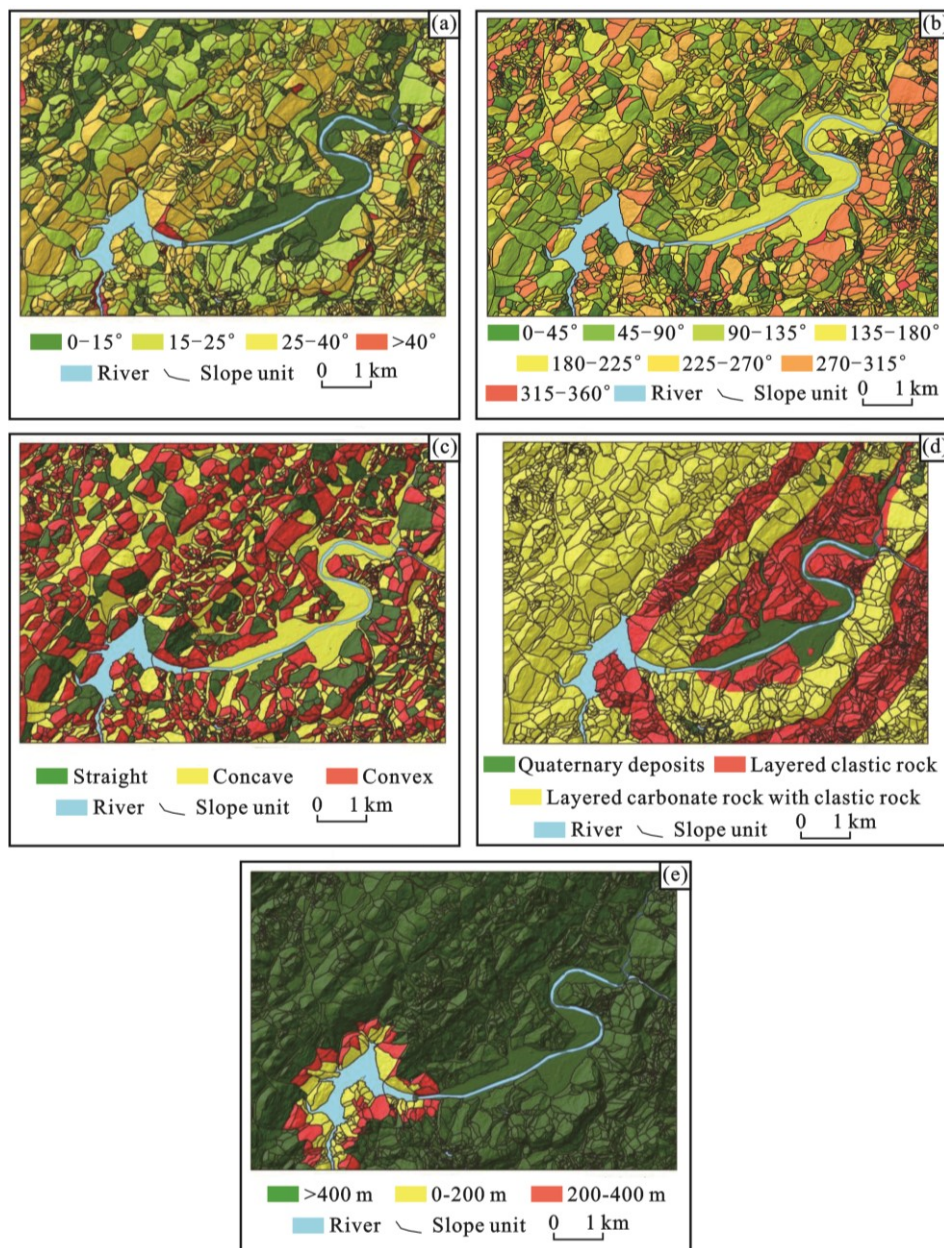
299 Defined along the line of maximum slope, profile curvature (Fig. 3 (c)) affects the acceleration and deceleration of
300 flow and, therefore, influences subsequent erosion and deposition (Regmi et al., 2010). However, the geological
301 meaning of the profile curvature is not clear. To remedy this, this study classified the profile curvature map into three
302 categories according to the values of the slope profile curvature: (i) convex; (ii) concave; (iii) straight (planar). These
303 categories represent different slope shapes. In general, concave slopes are considered as potentially landslide-prone areas
304 as they concentrate water at the lowest point that can generate adverse hydrostatic pressure whereas convex slopes are
305 more stable than concave slopes because they disperse the runoff more equally down the slope (Kayastha, 2015). This
306 point can be confirmed by the model used in this study.

307 ***Lithology***

308 The landslide event has a close relationship with lithological characteristics because different rock types have different
309 mechanical and hydrological properties (Van Westen et al., 2008). The lithology map (Fig. 3 (d)) of the study area was
310 extracted from the geological map (1:100000 scale), which indicated that the main strata consist of Jianglingjiang
311 Formation (T_{1j}) of lower Triassic (northwest of the urban area), Badong Formation (T_{2b}) of middle Triassic (most areas
312 of the region) and the Quaternary deposits (banks of the Gongshui River). From the perspective of the material types, the
313 T_{2b} is a kind of clastic rock composed of marine-terrigenous interdepositional mudstone, siltstone, and marl (Deng et al.,
314 2017), and the T_{1j} is a kind of carbonate rock composed of marine depositional dolomite, dolomitic limestone, and
315 microcrystalline limestone. Similarly, the Quaternary deposits also have several components, such as alluvium,
316 proluvium, and so on. According to the characteristics of engineering geological properties, these strata were
317 differentiated into three lithological units: (i) the Quaternary deposits; (ii) layered clastic rocks; (iii) layered carbonate
318 and clastic rocks. The layered clastic rock types show the strongest positive impact on the occurrence of landslides. More
319 than 80% of the total landslides occurred in the stratum of layered clastic rock, although the amount of units of this
320 category only accounts for 38.3% of the total units, which indicates that Badong Formation is a landslide-prone stratum.

321 ***Distance to reservoir***

322 The large-scale engineering infrastructures can change the initial geological conditions, which can influence slope
 323 stability. In areas with abundant runoff, reservoir construction is the most common infrastructure development activity to
 324 utilize water resources, which significantly affects landslides (Iqbal et al., 2018), such as in the case of the Three Gorges
 325 Reservoir in China (Huang et al., 2017; Wang et al., 2018; Zhou et al., 2018). To see the effect of the Shuanglonghu
 326 Reservoir construction on landslides, we prepared the distance to the reservoir (Fig. 3 (e)), with a buffer distance of 200
 327 m. The study area was divided into three categories of distances to reservoir: (i) < 200 m; (ii) 200-400 m; (iii) > 400 m.
 328 Although the area belonging to the category of (i) and (ii) only accounts for about 5% of the whole region, the ratio of the
 329 units with landslide occurrences is larger than the category of (iii).



330

331 **Fig. 3 Influencing factors used in the landslide susceptibility modelling: (a) slope angle; (b) aspect; (c) profile curvature; (d)**
332 **lithology; (e) distance to reservoir.**

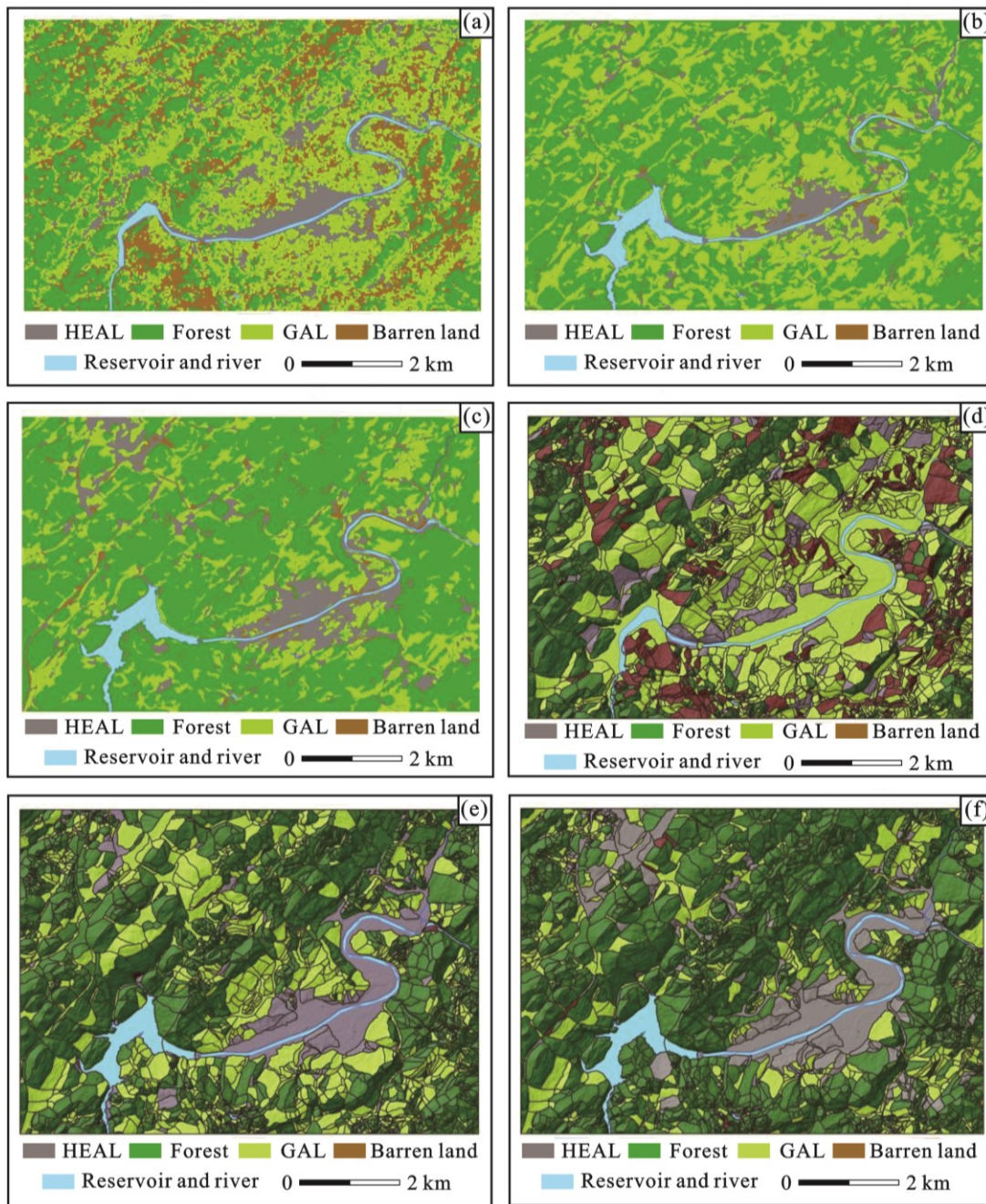
333 *Land use and land cover*

334 Different LUC types may affect the stability of slopes because LUC can change the hydrological functioning of hillslopes,
335 rainfall partitioning, infiltration characteristics, and runoff production, and furthermore the shear strength of the soil
336 (García-Ruiz et al., 2010). Meanwhile, the difference from several environmental factors such as geological structure and
337 lithology, the LUC can be affected by major modifications seasonally or over decades because it can be natural or
338 induced and controlled by human actions (Reichenbach et al., 2014). Hence, for a region where the LUC types can
339 change quickly over a short period, the correlation between LUC type and landslides should be defined to assess the
340 effect of LUC on the occurrence of landslides. For the LUC maps, the evolution must be extracted through the
341 comparison from at least two different periods (Pisano et al., 2017). In this study, a time interval covering 21 years
342 (1992-2013) was considered, which were divided into two ranges: 1992-2002 and 2002-2013. It should be noted that the
343 maps before 1992 were not provided because of the availability of the RS images needed for the mapping procedure and
344 the undeveloped urbanization at that time.

345 **4. Results**

346 **4.1 Land use and land cover maps**

347 Classification results show various LUC types, but some of the land cover types had to be combined for statistical
348 analysis. For example, settlement areas, roads, and mining areas were combined and named as the human engineering
349 activities land (HEAL). Since the land cover types, e.g., grassland and arable land (GAL) are covered by vegetation types
350 with a shallow root system, they were grouped into the same LUC type. The area covered by trees was considered as
351 forest land. The remaining areas are classified as barren land. Hence, the final LUC map of the study area has four
352 classified into four classes: (i) land with human engineering activities, (ii) forest land, (iii) grassland and arable land, and
353 (iv) barren land (Fig, 4). The data were then integrated into an ArcGIS environment where 2870 slope units have been
354 delineated according to the method described in section 3.4. Finally, the characteristics of the spatial distribution of
355 different LUC types were indicated based on slope units (Fig. 4 d, 4e, and 4f). The classification results show an overall
356 accuracy of more than 89% for the LUC classification of in all the years (Table 2). The highest overall classification
357 accuracy of 96% was obtained for 1992 and the lowest (89%) being in 2013. The LUC classification results provided a



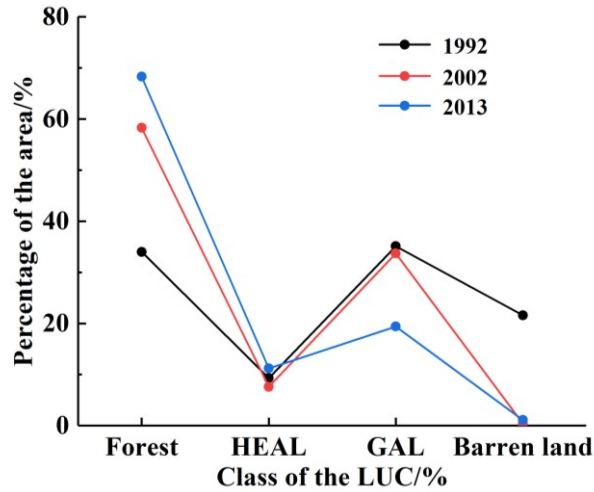
359
360 Fig. 4 (a) The LUC map of 1992; (b) The LUC map of 2002; (c) The LUC map of 2013; (d) The LUC map of 1992 based on SU; (e)
361 The LUC map of 2002 based on SU; (f) The LUC map of 2013 based on SU.

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Table 2 The classification accuracies of LUC maps corresponding to different years

Year	LUC	PA/%	UA/%	OA/%	Kappa/%
1992	HEAL	98.4	99.5		
	Forest land	95.8	97.2		
	GAL	91.5	85.2	95.6	93.9
	Barren land	94.5	97.5		
2002	HEAL	87.8	90		
	Forest land	88.1	94.9		
	GAL	100	96.4	92.3	88.8
	Barren land	83.3	62.5		
2013	HEAL	87.5	87.5		
	Forest land	100	100		
	GAL	89.2	97.1	89.3	83.4
	Barren land	91.7	73.3		

371 As shown in Fig. 5, barren land has decreased from 1992 to 2013, mainly because of the continued urbanization
372 process, leading to the conversion of barren land into construction activities, e.g., buildings, roads, and so on. Similarly,
373 the area covered by grassland and arable land also shows a rapid reduction. On the contrary, the areas by the category of
374 (i) and (ii) increased in this period, especially the forest land from 34% in 1992 to 68.3% in 2013. Even though most
375 studies have revealed that regional forest degradation was more likely to occur in the past decades (Karsli et al., 2009;
376 García-Ruiz et al., 2010; Galve et al., 2015), this was not the case in this area. However, some studies show the increase
377 of forest land mainly due to migration of people and land abandonment (Beguería, 2006) or due to strict management
378 (Pisano et al., 2017) and so on. Our study shows that deforestation was severe before 1992, causing the disappearance of
379 a large number of natural forestlands. Because of the awareness of environmental protection in the area since 2000, the
380 environmental problems have gradually been the focus point by the decision-makers in China. The national policy of
381 "returning farmland to forest land", which started in 1999, has resulted in very positive outcomes. Besides, the
382 development of the tourism industry in the area also calls for better environmental management.



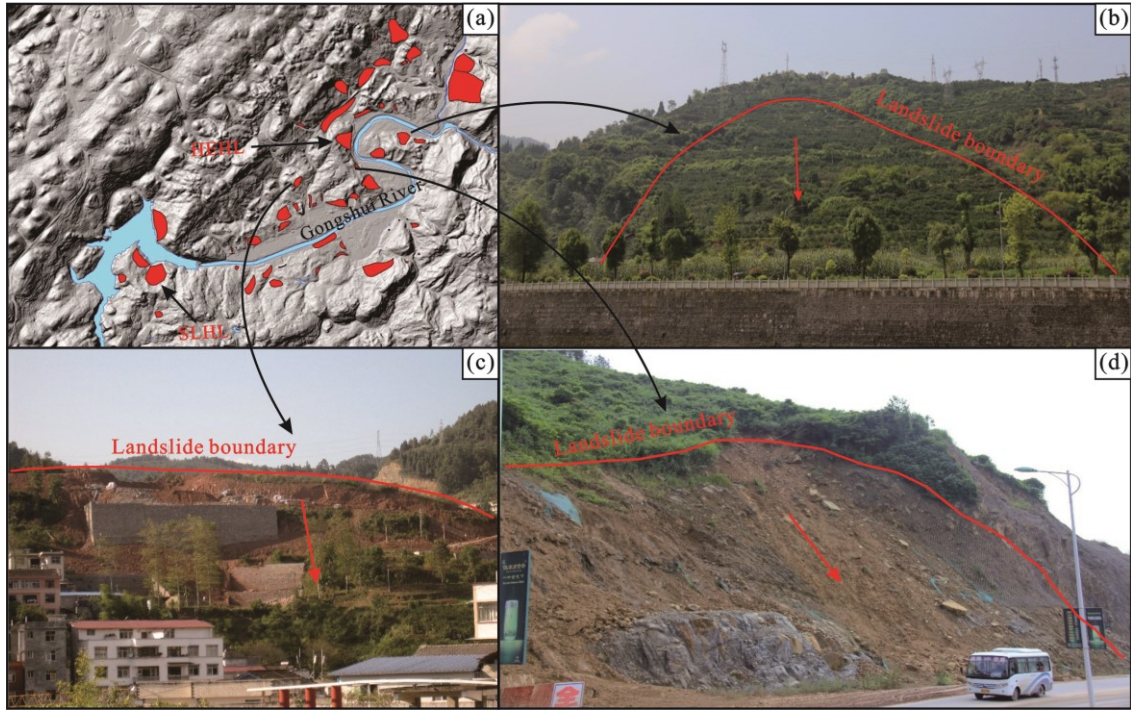
383

384 Fig. 5 The change of area of different land use and land cover types.

385 **4.2 Landslide inventory**

386 The landslide inventory of the area (Fig. 6) revealed 53 landslides, among which one occurred in the period 1992-2002,
 387 and 10 occurred from 2002 to 2013. The total area occupied by these landslides is $201.6 \times 10^4 \text{ m}^2$, with a volume of
 388 approximately $1000 \times 10^4 \text{ m}^3$. The depths of landslides range from 1 m to 15 m, among which more than 30 landslides
 389 have a depth of less than 5 m, and only 5 landslides have a depth of larger than 10 m. Hence, shallow landslides are the
 390 most important in the area. According to the type of movement, material, and estimated depth, most of the landslides are
 391 shallow earth slides, and composite soil slides and debris flow. The deformation of many landslides is characterized by
 392 cracks (Fig. 7), including tensional ground cracks and bulging cracking. In the urban area, the front undercutting of
 393 slopes caused the small-scale sliding on the toe of landslides. For example, the Huanghexiang landslide, located 500 m
 394 on the northwest side of the Qingshui River, is a shallow earth slide, which occurred on the slide-prone strata of the
 395 Badong Formation (Deng et al., 2017). Under the combined effects of strata and slope cutting, the landslide was partially
 396 reactivated, causing cracks and becoming a severe threat to residents.

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Fig. 6 The spatial locations of the landslides and the photos of different types of landslides in the study area: (a)The spatial locations of the landslides. (b)The photo of the rock slide. (c) The photo of the composite soil slide–debris flows. (d) The photo of the shallow earth slide.



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Fig. 7 The deformation of the landslides in the study area: (a) The topography of landslide (see Fig. 6 (a) for location). (b)The cracks on the road. (c) The uplift of the ground. (d) The topography of landslide (see Fig. 6 (a) for location). (e) The tension cranks on the ground. (f) The cracks on the building.

406

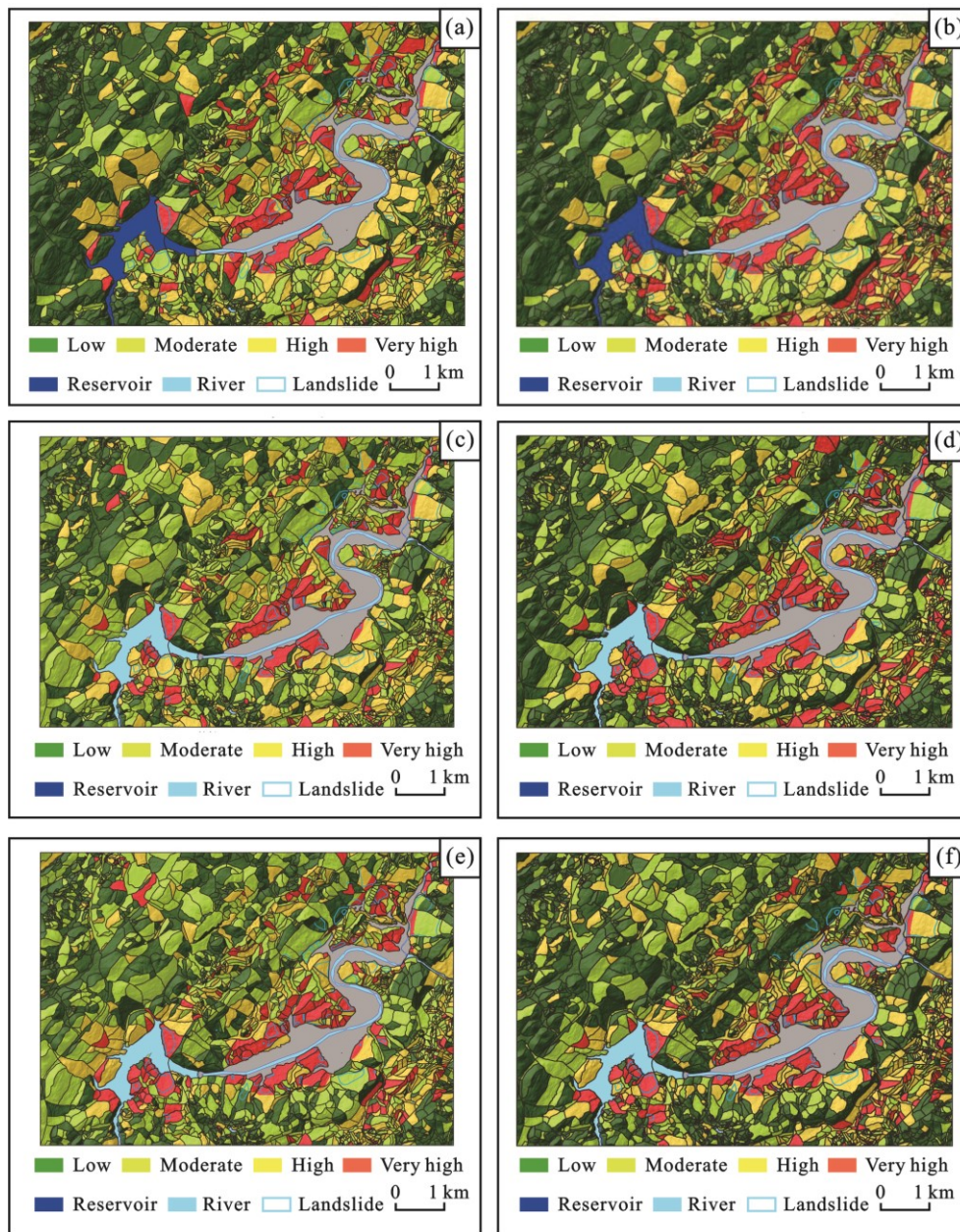
4.3 Landslide susceptibility zonation

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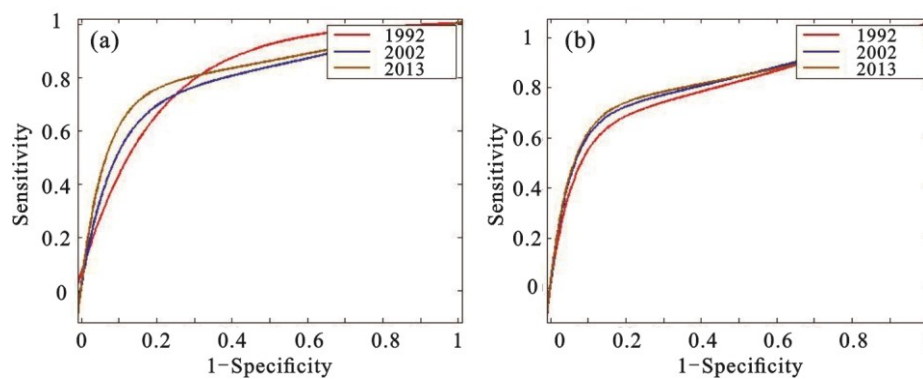
Results of the landslide susceptibility assessment are shown in Fig. 8. The maps obtained by the logistic regression model are shown in Fig.8a,8c, and 8e, and the results of the weight of evidence model (Regmi et al., 2014; Razavizadeh et al.,

409 2017) utilized as the comparative model are shown in Fig.8b, 8d, and 8f. The ROC curves were applied to show the
410 accuracies of different models quantitatively, by plotting the cumulative percentage of observed landslide occurrence
411 against the cumulative percentage from very high to low susceptibility with decreasing *LSI* values. As shown in Fig. 9
412 and Table 3, in all six cases, the AUC values are larger than 80% (except for the result of 2002), showing high accuracies
413 of the landslide susceptibility assessment. By comparing the results of different models in the same year, the logistic
414 regression model is better than the weight of evidence model in our study. Especially, the change of ROC curves,
415 sensitivity and specificity values of weight of evidence model in different periods are significant, e.g., the sensitivity
416 values are 83.0%, 70.8%, and 79.9% for the years 1992, 2002, and 2013 respectively, while that of logistic regression
417 model, the sensitivity values are 74.6%, 75.0% and 78.4%, which indicates that the performance of logistic regression
418 model is more stable than weight of evidence model.



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Fig. 8 The results of landslide susceptibility zonation: (a) LRM for 1992; (b) WEM for 1992; (c) LRM for 2002; (d) WEM for 2002; (e) LRM for 2013; (f) WEM for 2013.



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Fig. 9 The ROC curves of (a) WEM, and (b) LRM

Table 3 The accuracies of different models

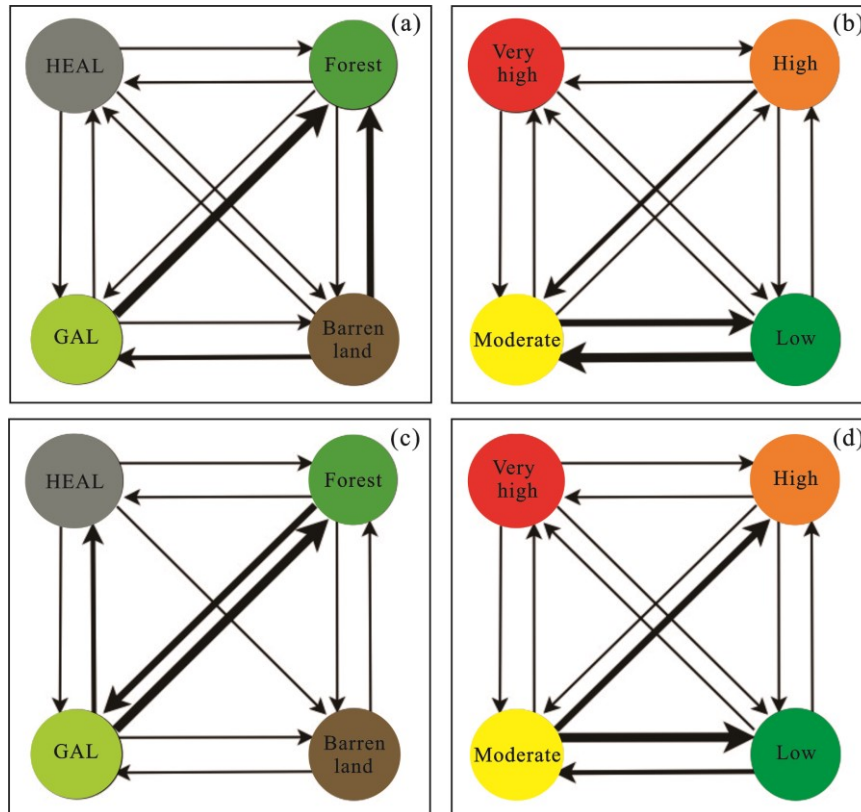
Model	Year	True positive rate/%	True negative rate/%	False positive rate/%	False negative rate/%	Sensitivity /%	Specificity /%	AUC /%
Weight of evidence model	1992	1.4	66.2	32.1	0.3	83.0	67.3	81.3
	2002	1.2	76.7	21.6	0.5	70.8	78.0	78.8
	2013	1.7	73.9	24.0	0.4	79.9	75.5	82.0
Logistic regression model	1992	1.2	74.1	24.3	0.4	74.6	75.3	81.8
	2002	1.3	75.9	22.4	0.4	75.0	77.2	84.0
	2013	1.6	72.8	25.1	0.5	78.5	74.7	81.8

4.4 Evolutions of LUC and landslide susceptibility

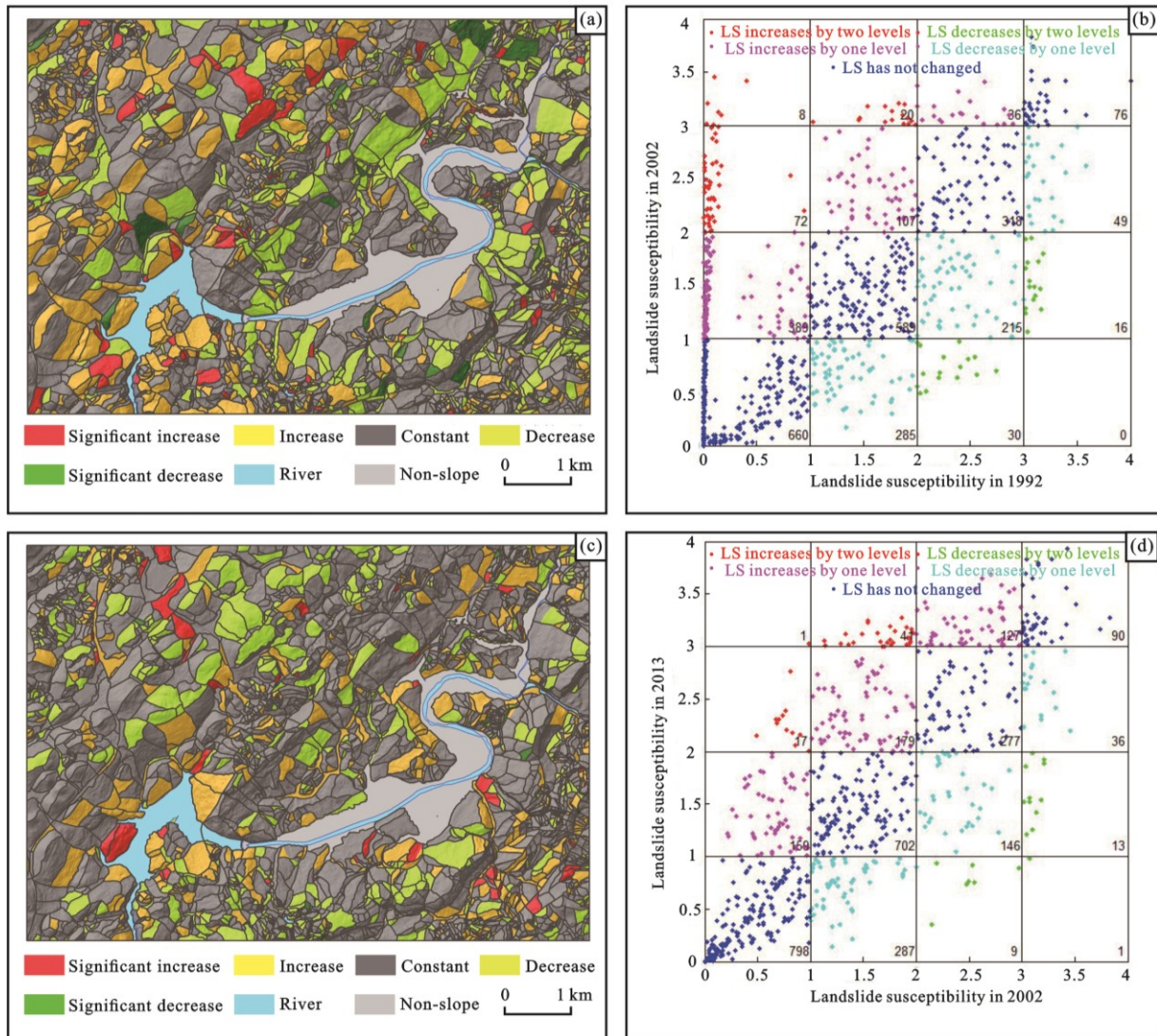
Maps of the LUC and landslide susceptibility in different periods were placed together to compare and clarify the temporal evolutions of LUC and LS during the 21 years. It should be noted that the logistic regression model shows a better performance for landslide susceptibility assessment in this study, so the subsequent analysis was carried out in the framework of this model.

As seen in Fig. 10, during the period 1992 - 2002, the main trend of LUCC is the arable land becoming forest land and the barren land becoming arable land and forest land, especially the area of barren land decreased, from 19.8% in 1992 to 0.2% in 2002. In contrast, forest land increased by 33.6%. Except for the reasons stated in section 5.1, data quality should also be considered: the low-resolution images of Landsat TM4-5 resulting in poor classification between barren land and grassland covered by sparse vegetation. On the contrary, the land with human engineering activities did not change obviously in terms of surface area and the number of units. This is mainly because the urbanization process during this period had concentrated mainly on the plain areas on the banks of the river, which always belonged to one slope unit class (flat terrain). Compared to 1992, the landslide susceptibility in 2002 did change 632 units increased their susceptibility while 595 units show the decreased, accounting for 22.0% and 20.7%, respectively (Fig.11). Further, if the magnitude of the landslide susceptibility changes are subdivided into five classes: visible increase (LS has increased by at least two levels, e.g., from low to high), increase, constant, decrease and obvious decrease (LS has decreased by at least two levels), it is clear that the number of the units of obvious increase is also larger than that of obvious decrease, similar to overall change of landslide susceptibility. Such characteristics of LS change indicate that the LUCC from 1992-2002

443 made Zhushan Town a more landslide-prone area. The LUCC with obvious increase condition can be grouped into three
 444 cases: (i) constant, (ii) areas with human engineering activities, and (iii) grassland and arable land. The corresponding
 445 numbers of units are 24, 36, and 40, respectively. Two important LUC types for increasing LS in this period would be:
 446 increase of human engineering activities, and the transformation from forest land to grassland and arable land. Moreover,
 447 it should be mentioned that these units with obvious increase LS, none unit transfers from the human engineering
 448 activities to other types, which indicates the impact of human engineering activities on the LUC.



449
 450 **Fig. 10** (a) The transformation of LUC from 1992 to 2002; (b)The transformation of LS from 1992 to 2002; (c)The
 451 transformation of LUC from 2002 to 2013; (d)The transformation of LS from 2002 to 2013.



452

453 **Fig. 11 (a) The change of the landslide susceptibility of each slope unit between 1992 and 2002; (b) The scatter plot showing the**
 454 **change of the landslide susceptibility between 1992 and 2002; (c) The change of the landslide susceptibility of each slope unit**
 455 **between 2002 and 2013; (d) The scatter plot showing the change of the landslide susceptibility between 2002 and 2013.**

456 During the period from 2002 to 2013, the trend of LUCC may be concluded as two aspects (Fig. 10): the first is the
 457 slight increase of the human engineering activities, mainly from the transformation of the grassland and arable land.
 458 Different from the previous period, the human engineering activities during this period were no longer confined to the
 459 plain areas, but also to the other areas, e.g., the northwestern and southeast part of the county, which was mainly covered
 460 by forest land, or grassland or arable land before. The second is the increase in forest land. Interestingly, the mutual
 461 transformation between the category (ii)(forest land) and the category (iii) (grassland and arable land) also can be seen in
 462 the northeast of the region. This indicates that reasonable land-use planning gradually developed in this region. In other
 463 words, the residents were not interested in the increase of the forest land anymore, but more on the location where
 464 reforestation should take place. This shows the increase in people's awareness of environmental protection. As a result,

465 the increase of forest area can be seen around the town in 2013, unlike in 2002 when the area covered by arable land was
466 more. Such land-use planning can effectively protect the town from harsh environment problems (e.g., sandstorm, flood).
467 As a result, the landslide susceptibility of 441 units increased (15.4%), and that of 506 units decreased (17.6%) in 2013.
468 Compared with 2002, these numbers are smaller, indicating that the influence of the LUCC during this period was lower
469 than that during 1992-2002. The units of obvious increase and obvious decrease for landslide susceptibility in 2013 were
470 59 and 23, respectively, also smaller than that in 2002. The LS of most units was constant during this period. This is
471 mainly because of the increase of the human engineering activities being smaller, and the limited impact of forest land,
472 grassland and arable land on the slope stability. Despite this, the change of landslide susceptibility influenced by the
473 human engineering activities land still existed. During that period, a total of 195 units were transformed from other types
474 of LUCC to the human engineering activities land, of which the landslide susceptibility of 161 units increased. Among
475 the total 59 units with an obvious increase of LS, the LUC of 46 units were transformed into the human engineering
476 activities land, accounting for 78.0 % of the total units. Therefore, the transformation to this type of LUCC played an
477 important role in the increase of the landslide susceptibility in the region.

478 **4.5 Typical landslide events influenced by LUCC**

479 During the period of 2002~2013, 9 landslide events occurred in the study area, among which 2 were located on the bank
480 of the river, mainly triggered by the fluctuating reservoir water level. The remaining landslides were taken as examples to
481 study the impact of the engineering activities. A 25 m buffer of each landslide was established, and the change of the
482 engineering activities in the buffer zone was counted. The area of the engineering activities around all landslides has
483 expanded since 2002. On average, the area of engineering activities around the landslides has increased by about 20%,
484 and the change mainly closed to the toe of the landslides resulting from under cutting of slope for buildings.

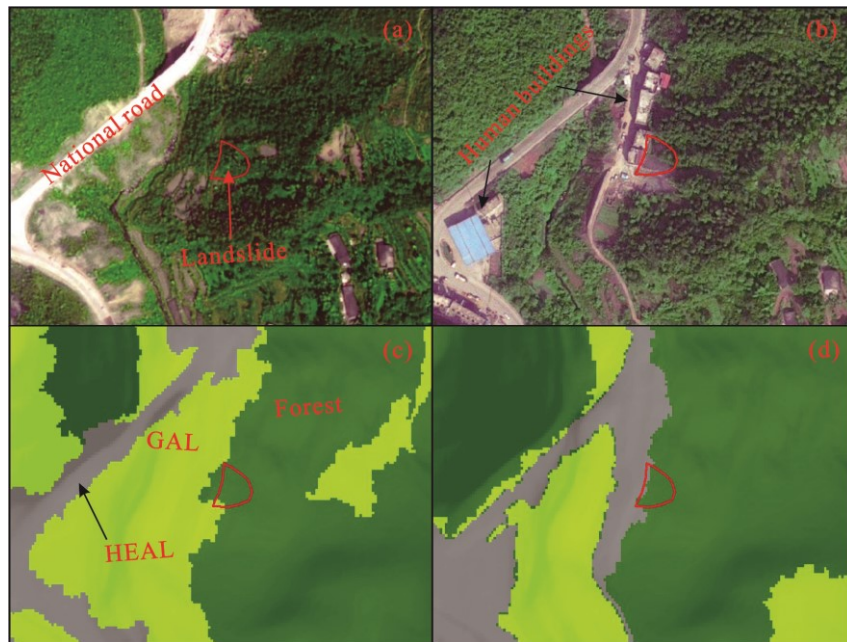
485 **4.5.1 The Qili Bridge Landslide**

486 The landslide (QLQL) is located at Qili Bridge Village of Zhushan County, on the right slope of the No. 209 national
487 high way (Fig. 12). The elevation of landslide ranges from 520 m to 762 m above the sea level and a gully with a strike
488 direction of 340° along the front of the slope. The landslide occurred on the lower part of the slope, covering an area of
489 9000 m² with a volume of 0.27×10^4 m³. The landslide materials mainly composed of cataclastic marl rock of Triassic
490 and Quaternary deposits including silty clay and rubble soil.

491 In 2007, at the lower part of the slope, where the elevation was approximately 520 m, a platform began to be

492 constructed, and then 6 brick-and-concrete buildings with 3~4 stories were built on the platform without any protective
 493 measures. The slope was a consequent bedding rock slope steeper than 30° of dip angle. 3 m high cut slope was
 494 artificially excavated which worsened the stability of the slope. Rainfall infiltrated rapidly into the sliding body along
 495 many fissures, which softened the strength of the materials. In July 2011, a continuous heavy rain initiated the landslide.
 496 The back walls of the buildings were destroyed by the rock mass, causing some injuries to people and severe economic
 497 losses. As shown in Fig. 12, the natural slope was mainly covered by the forest land, grassland, and arable land before the
 498 construction of the buildings. However, the subsequent engineering activities disrupted the original geological conditions,
 499 causing the instability of the slope. During field visit, some sliding materials still remaining on the slope were noticed,
 500 being a big potential danger for the residents.

501



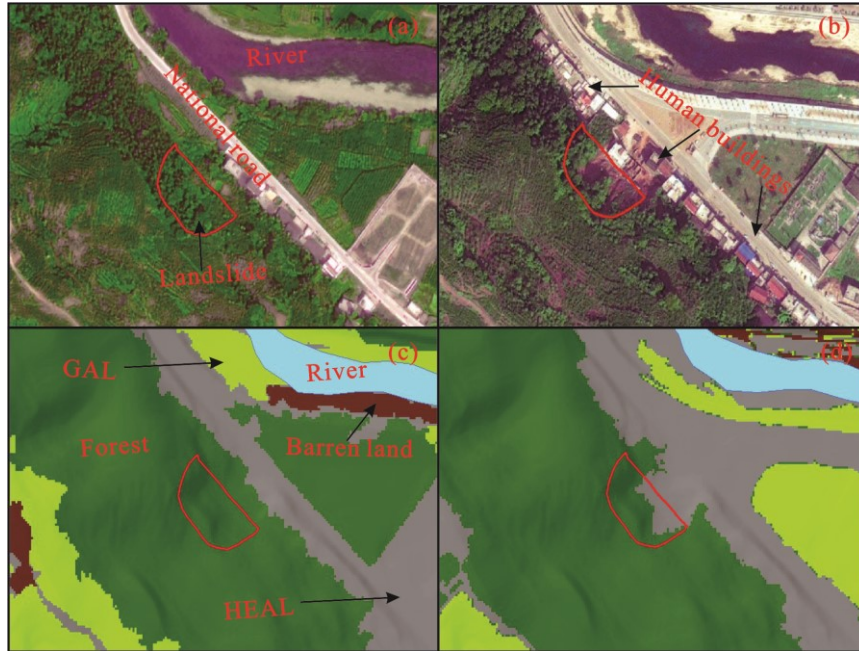
502

503 **Fig. 12 The LUC around the landslide: (a) The RS image of landslide in 2002 (obtained from Superview-1 RS data); (b) The**
 504 **RS image of landslide in 2013 (obtained from DJI drone); (c) The LUC type of landslide in 2002; (d) The LUC type of landslide**
 505 **in 2013.**

506 **4.5.2 The Liangshuigou Landslide**

507 The Liangshuigou landslide (LSGL) is located at Lianhuaba village, on the left bank of the Gongshui River (Fig. 13). The
 508 natural slope had a dip angle ranging from 25°~35°. The landslide initiated at the lower part of the slope, with an area of
 509 6300 m² and a volume of 0.1 × 10⁴ m³. The landslide materials mainly composed of the Quaternary deposits, including
 510 silty clay and rubble soil. The bedrock was argillaceous siltstone of Badong Formation in Triassic formation. Joints and
 511 fissures cut the rock mass which formed the flow way for rainfall infiltration.

512 Before 2010, the slope was covered by field crops and citrus trees (arable land). But, the urbanization process led to
 513 many human engineering activities, including the construction of the building and the roads. The under cutting of slopes
 514 caused a free surface with a height of about 10 m. In June 2012, the landslide was triggered by heavy rainfall.

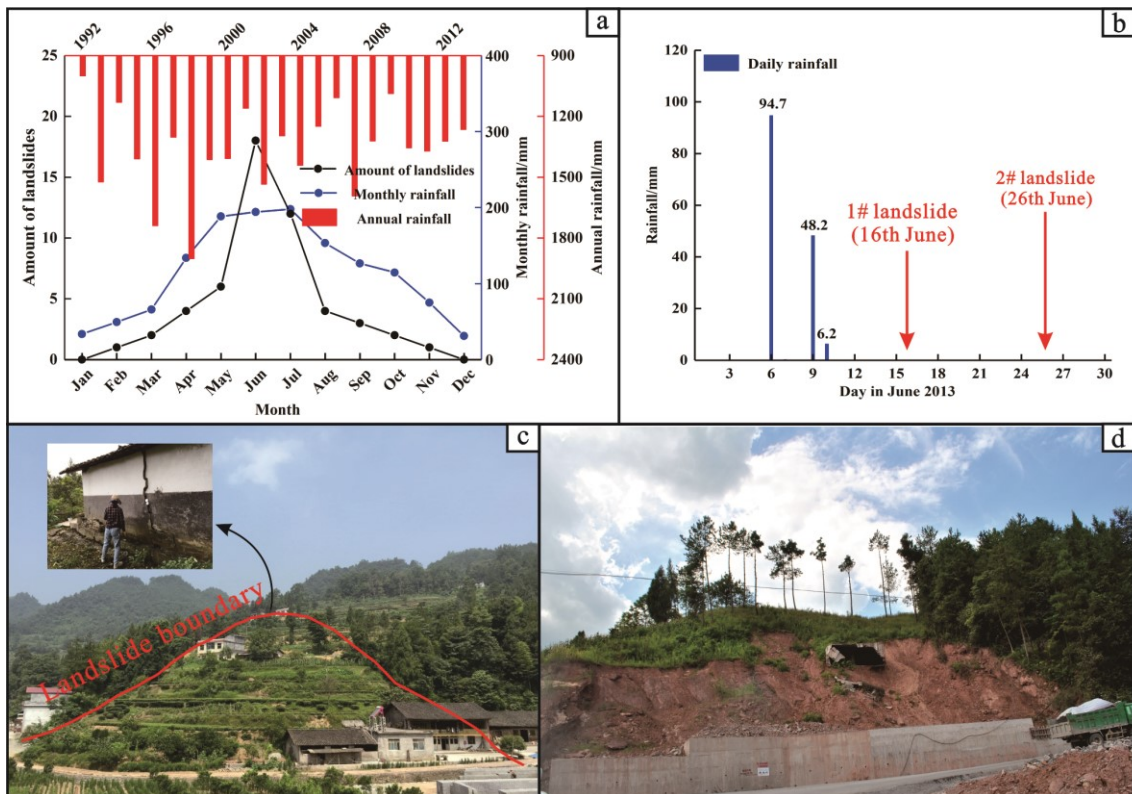


515
 516 **Fig. 13** The LUCC around the landslide: (a) The RS image of landslide in 2002 (obtained from Superview-1 RS data); (b) The
 517 RS image of landslide in 2013 (obtained from DJI drone); (c) The LUC type of landslide in 2002; (d) The LUC type of landslide in
 518 2013.

519 **5. Discussion**

520 Although the results highlight the significance of LUCC in the susceptibility assessment of shallow landslides, it is
 521 obvious that LUCC is not the only factor that can influence the landslide occurrence in the region. In most cases, the
 522 impact of LUC on landslides is about the internal geological conditions, such as topography features, drainage conditions.
 523 Such impacts can worsen or improve the stability of natural slopes resulting in increasing or decreasing the frequency of
 524 landslide events (Schmaltz et al., 2017; Galve et al., 2015). For instance, Beguería (2006) reported in a case study in the
 525 Spanish Pyrenees that the former arable fields on the valley slopes facilitated landsliding, even after the land was
 526 abandoned and re-vegetated by shrubs or trees. This is due to water redistribution in the slopes after prolonged rainfall
 527 periods. However, it should be noted that the shallow landslides are directly triggered by the LUC, except for some
 528 landslides which are induced by under cutting of slopes. The statistical results of the temporal distribution of landslides in
 529 this study area also support this assumption: the positive correlation between the number of landslides and monthly
 530 average rainfall (a statistical result of daily rainfall data between 1992~2013) is rather strong. The number of landslides

531 occurring during the months of June and July are 18 and 12, respectively, accounting for 56.6% of the total landslides,
 532 whereas only 10 landslides were initiated or reactivated in the dry season, accounting for 18.9% of the total landslides.
 533 Analysis of 21-year data shows that the change of the landslide susceptibility at a regional scale is associated with rainfall
 534 conditions. As shown in Fig. 14, annual rainfall seems to be increasing from 1992 to 1998, and then decreasing from
 535 1999 to 2013, although the magnitude of the change is relatively small. Similar patterns are also shown in the number of
 536 heavy rainfall events during this period. It should be noted that this regulation is roughly the same as the change of the
 537 high susceptibility area. Thus, more exactly, it's not that the LUCC can change the susceptibility directly, but the natural
 538 slope conditions are influenced by various LUC and subsequently by different environmental for initiating landslide. In
 539 general, most landslides in the area, especially the shallow ones, were triggered not by a single factor, but the combined
 540 results of external environmental factors. For example, during the period from 6¹ to 26 June in 2013, the area received
 541 149 mm rain although the number of rainy days was only three (6th, 9th and 10th of June). Two landslides (i.e., 1# and 2#
 542 landslides) were triggered by this heavy rainfall event, which occurred on 16th and 26th this month.
 543



544
 545 **Fig. 14** The relationship between rainfall and shallow landslides in the area: (a) The curve showing monthly rainfall and
 546 temporal distribution of landslides; (b) Daily rainfall in June 2013; (c) The topography of 1# landslide; (d) The topography of 2#
 547 landslide.

548 In addition, reservoir operation is another important triggering factor, as shown in Fig.7. Before the construction of
549 the reservoir (1992), the slope unit where the landslide is located has moderate susceptibility, whereas the susceptibility
550 increased to a very high level in 2002 and 2013. Although the reservoir is also a kind of human engineering activities, the
551 landslide was triggered by the reservoir impoundment. The seasonal and periodic fluctuation of the reservoir water level
552 has changed the seepage and softened the geotechnical properties, both of which can gradually destabilize the landslide.
553 During field survey, the appearance of a large number of cracks was noticed on the ground of SLHL after the
554 construction of the reservoir. A nearly decade-monitoring of deformation also indicated the slow but continuous
555 movement of the landslide, with a velocity of approximately 1.6m/yr. In particular, the landslide movement shows an
556 obvious intermittent characteristic: the movement accelerates in the rainy season in which period the reservoir water level
557 generally drop down, while the movement often stops in other periods. Obviously, the landslide is undergoing the creep
558 deformation influenced by the reservoir water level combined with rainfall. In the final analysis, however, this kind of
559 impact was not highlighted because the reservoir area was considered as a kind of HEAL. The change of the
560 susceptibility of this slope unit was incorporated into the results of LUCC.

561 In order to study the impact of LUCC on landslide occurrence, the temporally and spatially differentiated
562 information for both the landslide inventory and LUC maps are particularly important, while the other influencing factors
563 were considered as being static. However, they have proven to be dynamic because they change significantly in a few
564 decades. Especially, in populated areas, the topographic factors (i.e., slope angle, aspect, and profile curvature) can be
565 altered by frequent earth movement processes (e.g., landslides, soil erosion, under cutting of slopes, etc.) in a short time.
566 Therefore, a more accurate susceptibility result depends on good DEM data and influencing factor maps. Moreover, in
567 landslide susceptibility evaluation, the LUC data integrates the controlling factors group, and are generally, directed by
568 another factor input to the evaluation model. In some cases, LUC data are used as a landslide conditioning factor
569 (Meneses et al., 2019). For instance, the CORINE land cover (CLC) data are widely used for landslide susceptibility
570 assessment in many regions in Europe because it is the only LUC data available (Feranec et al., 2007). A similar situation
571 happens in the analysis of 1992 in this study. The RS data with low resolution caused the inherent uncertainties of the
572 obtained LUC maps, which was subsequently taken into the landslide susceptibility model. Even though it has tried to
573 reduce such uncertainties by decreasing the amount of LUC categories and using the classification method of images
574 with better accuracy, the final LS zonation results still have to deal with a considerable amount of uncertainties. As a
575 consequence, it seems not to be important to compare different models of improving the accuracy of landslide

576 susceptibility evaluation. For example, Schmaltz et al. (2017) have recommended applying easily interpretable
577 multi-variable model or generalized additive models, which is in accordance with the model used in this study.

578 **6. Conclusion**

579 Land use and land cover change can alter the geological conditions and affect the occurrence of the landslides. This study
580 revealed the evolution of LUC and how LUC change affected landslide susceptibility at a regional scale. Through the
581 analysis of different LUC maps with a 21-year time interval obtained from remote sensing images, it documented the
582 rapid growth of the afforestation as well as intense urbanization process in the region since 1990s: the areas of forest land
583 and human engineering activities between 1992 and 2013 increased by 34.3% and 1.9%; whereas the areas of the
584 grassland and arable land, and the barren land decreased by 15.7% and 20.5%. Combined with the other five factors
585 (slope angle, aspect, profile curvature, lithology and distance to the reservoir), the LUC was subsequently utilized for
586 landslide susceptibility analysis in different years based on logistic regression model and slope unit. The zonation results
587 have shown that the urban area on both sides of the river valley is always the area with the largest landslide susceptibility.
588 Along with the increase of engineering construction activities, the susceptibility of many areas increases. Even some
589 small shallow landslides were directly triggered by the transformation of the LUC type (i.e., from forest land and GAL to
590 HEAL).

591 In conclusion, the availability of high-resolution RS images and the selection of a suitable model for assessing
592 landslide susceptibility are the keys to evaluate the impact of land use and land cover change on landslide susceptibility.
593 In addition, the study concluded that human activities play an important role in the change of landslide susceptibility.
594 Engineering activities on slopes could destabilize landslide hazard if risk assessment and mitigation measures do not take
595 place in advance. Consequently, the method used in the study is beneficial for landslide hazard mitigations due to the
596 combined use of GIS and RS techniques. Such results not only call for a more reasonable land use planning in the
597 urbanization process in the future but also suggest a more systematic inclusion of LUC change in hazard assessment.

598 **Data availability.** The study relied on three sets of data: (i) the data collected by the field work, (ii) remote sensing data,
599 and (iii) the detailed landslide investigation reports provided by China Geological Survey (Wuhan Center). The
600 categories (i) and (2) are included in Table 1 in this paper. The detailed processing workflow for these data sets can be
601 seen in the methodology section of this paper.

602 **Author contribution.** Chen and Yin guided the field work and data collection. Jin prepared the remote sensing data and
603 processed the RS images. Chen and Guo discussed the research plan and prepared the paper together. Guo carried out the
604 statistical analysis and prepared the figures of the paper. Chen supervised the project and Shrestha helped in the paper
605 development and English writing.

606 **Competing interests.** The authors declare that they have no conflict of interest.

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