

Response to the reviewer's comments on the manuscript “Nonlinear deformation and run-up of single tsunami waves of positive polarity: numerical simulations and analytical predictions” by Ahmed A. Abdalazeez et al.

5 We thank the Reviewers #1 and #2 for their thorough work to improve our manuscript and useful comments and suggestions. We took into account all of them and revised the manuscript accordingly. The detailed point-by-point response is given below.

Reviewer #1

10 *Section 2: The paper considers solutions to nonlinear shallow water equations. These are commonly utilized to describe tsunamis, but are also known to neglect dispersive effects. In this context, the recent work of Larsen and Fuhrman (2019), <https://doi.org/10.1016/j.coastaleng.2019.04.012>, would be relevant to mention. They have similarly performed CFD simulations (RANS equations + k-omega model for turbulence closure) involving the run-up of positive single waves, including full resolution of dispersive short waves (and their breaking) that can develop near a positive tsunami front (there*
15 *similarly shown that this effect depends on the propagation distance prior to the slope, if a simple toe with a slope type of bathymetry is utilized.) This work shows that these waves have little effect on the overall run-up, and hence give additional credence to the use of shallow water equations in the context of the present paper. These results largely confirmed what was previously hypothesized by Madsen et al. (2008), that these short waves would have little effect on the overall run-up and inundation of tsunamis (though they found that they could significantly increase the maximum flow velocities).*

The following paragraph was added in the end of the Conclusions and discussion Section:

20 “The nonlinear shallow water equations which are used in this study and commonly utilized for tsunami modelling, are also known as to neglect dispersive effects. In this context, it is important to mention the recent work of Larsen and Fuhrman (2019). They used RANS equations and k- ω model for turbulence closure to simulate propagation and run-up of positive single waves, including full resolution of dispersive short waves (and their breaking) that can develop near a positive tsunami front. They similarly showed that this effect depends on the propagation distance prior to the slope, if a simple toe
25 with a slope type of bathymetry is utilized. This work shows that these short waves have little effect on the overall run-up, and hence give additional credence to the use of shallow water equations. These results largely confirm what was previously hypothesized by Madsen et al. (2008), that these short waves would have little effect on the overall run-up and inundation of tsunamis (though they found that they could significantly increase the maximum flow velocities).”

30 *Line 103: A minor comment, but as the sech^2 variation is not periodic, variable T should probably be called an "effective period".*

Corrected.

Line 121: That numerical dissipation is included is introduced here, and later (e.g. lines 170-171 "supported by the numerical dissipation", and perhaps line 187, and elsewhere) this is seemingly taken as representing a positive and/or a

physical effect. Numerical dissipation is model error (hence dependent on the space and time step, etc.), and should not be presented as otherwise. If dissipation effects are intended to be incorporated or considered, then an additional bottom friction term should be added.

Corrected

Lines 168-169: It is stated that the numerical simulations give larger run-up than predicted analytically, which is explained as due to the wave reflection from the underwater slope. As I understand it, this effect is neglected analytically, but included numerically. Would reflection from the slope then not reduce the incoming wave height and hence the run-up? Please clarify this apparent contradiction.

We corrected our statement. It is not only reflection but also interaction with the bottom slope and the reflected wave. By merging flat bottom with a plane beach we introduce the horizontal scale which in general case leads to resonant effects and may also increase the run-up height.

Nonlinear deformation. Starting with Fig. 4, results go essentially directly to the runup, and seemingly skip the nonlinear deformation, though this aspect is emphasized in the title. Can the authors please present some snapshots of the nonlinear deformation leading to the run-up. This would give a much more comprehensive picture of what is being simulated.

The propagation and wave deformation are shown in Fig. 9.

Figs. 4, 5, 6, 7, 8, etc.: All of these figures are presented in dimensional terms, making their interpretation limited to the specific cases (wave characteristics and bottom slope) considered. This severely limits the overall potential impact of the paper. Please utilize a proper non-dimensional parameterization of the results. There are several potential ways to do this. As inspiration, it can be noted e.g. that Madsen and Fuhrman (2008) and Madsen and Schaffer (2010) have parameterized run-up R/H vs. surf similarity parameter ξ , and shown that the non-breaking run-up leads to a family of curves in terms of H/h at the toe. Some form of non-dimensional parameterization needs to be utilized, such that the results presented can be interpreted as widely as possible.

We thank the referee for this comment. In the new version of the manuscript all parameters are dimensionless.

Similarly, Fig. 10 results seem only valid for the A and T combinations presented. These need to be presented in a way that can be more widely interpreted.

Done. Indeed, results in Fig. 10 do not depend on A , but on T . This was resolved by introducing the factor $(L/\lambda)^{1/4}$ in the new version of the manuscript (see Fig. 11).

Line 225: The wave front steepness s , is presented as the maximum of $d\eta/dt$ i.e. as a velocity. Should the steepness not also be defined in a dimensionless manner?

It has been normalized by A/T .

Reviewer #2

1. The presented solution is valid for the applied wave (LSWPP). However, authors go further, linking this type of wave to a tsunami. In the introduction authors highlight that tsunamis have been represented in several ways, being some of them a soliton, like in the case they present. However, Madsen (2008) described how this simplification is not accurate enough to properly represent a tsunami, mainly because solitons do not take into account the tail of the tsunami that is the main component that determines the final run-up. Due to this, the use of tsunami word in the definition of the problem and

70 *the direct application of the results of the study to tsunamis must be directly addressed in the paper, explaining the limitations, especially after the paper of Madsen who broke the soliton paradigm for tsunamis. The presented solution could properly solve LSWPP, but its application to tsunamis need further discussion in the paper.*

We acknowledge the “soliton paradigm” described by Madsen et al. (2008) as not applicability of solitons (mathematical solutions of Korteweg de Vries equation) to the tsunami problem due to their short wave length compare to typical tsunamis.

75 Regarding the tsunami wave shape, it varies very much and cannot be unified.

We added the following text to the Introduction on P.2:

“It should be mentioned that the shape of tsunami varies a lot depending on its origin and the propagation path. One of the best examples of tsunami wave shape variability is given in Shuto (1985) for the 1983 Japan Sea tsunami, where the same tsunami event resulted in very different tsunami approaches in different locations along Japanese coast. These wave shapes
80 included: single positive pulses, undergoing both surging and spilling breaking scenarios, breaking bores, periodic wave trains, surging and breaking as well, a sequence of two or three waves and undular bores. This is why there is no such term as “typical tsunami wave shape”, and therefore in the papers on wave run-up cited above many different wave shapes, such as single pulses, *N*-waves, periodic symmetric and asymmetric wave trains, are considered.”

2. *I understand from the manuscript that there is a limitation on the result because reflection is not included due to
85 independent analysis: constant depth and plane beach. Does this limitation depend on the geometry (depth, amplitude, X_0) or is it a general limitation? Then in Figure 4 X_0 is used and defined as the distance to the toe of the beach, so it is not clear if the real limitation of not taking reflection into account. In the case of a real tsunami, due to its wavelength, depending on the geometry, the reflection would certainly need to be included. Could you discuss this?*

The limitation exists only in the analytical approximation. The study also includes direct numerical simulations using
90 nonlinear shallow water equations, which does not have such limitation.

3. *The presented analysis does not lack scientific rigor, but the generalization of the results is not direct. In order to make it easier the analysis of both graphs and results, they must be non-dimensionalized. Some references of examples of this standardizations can be found in Madsen and Shaffer (2010), already referred by the authors. This modification allows a better analysis of the results and of the influence of each parameter in the final value of the run-up.*

95 We thank the referee for this comment. In the new version of the manuscript all parameters are dimensionless.

4. *The final concern regards the application of the results to real tsunami cases, linked comment with my first comment. Have the authors tried to use/validate them in any real scenarios? I understand the limitation of the adopted LSWPP over an idealized geometry, but if the intention is to apply the results to tsunami scenarios, some details on the way to do this must be given.*

100 We added the following comment in the Conclusions and Discussion:

“These results can also be used in tsunami forecast. Sometimes, in order to save time for tsunami forecast, especially for long distance wave propagation, the tsunami run-up height is not simulated directly, but estimated using analytical or empirical formulas (Glimsdal et al. 2019; Løvholt et al. 2012). In these cases we recommend using formulas, which take into

105 account the face front wave steepness. The face front steepness of the approaching tsunami wave can be estimated from the data of the virtual (computed) or real tide-gauge stations and then be used to estimate tsunami maximum run-up height on a beach.”

5. *Finally, section 5 of the paper is a summary of the work, together with a brief discussion. A summary is always interesting but a specific section of conclusions, clearly stated, for instance in bullets, would give a better overview.*

Done

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Nonlinear deformation and run-up of single tsunami waves of positive polarity: numerical simulations and analytical predictions

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Abstract. The estimate of individual wave run-up is especially important for tsunami warning and risk assessment as it allows to evaluate the inundation area. Here as a model of tsunami we use the long single wave of positive polarity. The period of such wave is rather long which makes it different from the famous Korteweg-de Vries soliton. This wave is nonlinearly deformed during its propagation in the ocean, which results in a steep wave front formation. Situations, when waves approach the coast with a steep front are often observed during large tsunamis, e.g. 2004 Indian Ocean and 2011 Tohoku tsunamis. Here we study the nonlinear deformation and run-up of long single waves of positive polarity in the conjoined water basin, which consists of the constant depth section and a plane beach. The work is performed numerically and analytically in the framework of the nonlinear shallow water theory. Analytically, wave propagation along the constant depth section and its run-up on a beach are considered independently without taking into account wave [interaction with](#) the toe of the bottom slope. The propagation along the bottom of constant depth is described by Riemann wave, while the wave run-up on a plane beach is calculated using rigorous analytical solutions of the nonlinear shallow water theory following the Carrier-Greenspan approach. Numerically, we use the finite volume method with the second order UNO2 reconstruction in space and the third order Runge-Kutta scheme with locally adaptive time steps. During wave propagation along the constant depth section, the wave becomes asymmetric with a steep wave front. Shown, that the maximum run-up height depends on the front steepness of the incoming wave approaching the toe of the bottom slope. The corresponding formula for maximum run-up [height, which takes into account the wave front steepness](#), is proposed.

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1. Introduction

Evaluation of wave run-up characteristics is one of the most important tasks in coastal oceanography especially when estimating tsunami hazard. This knowledge is required as for planning coastal structures and protection works, as for short-term tsunami forecast and tsunami warning. Its importance is also confirmed by a number of scientific papers, see recent works (Tang et al. 2017; Touhami and Khellaf 2017; Zainali et al. 2017; Raz et al. 2018; Yao et al. 2018).

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The general solution of the nonlinear shallow water equations on a plane beach was found by Carrier and Greenspan (1958) using the hodograph transformation. Later on many other authors found specific solutions for different types of waves climbing the beach, see, for instance, (Pedersen and Gjevik 1983; Synolakis 1987; Synolakis et al. 1988; Mazova et al. 1991; 145 Pelinovsky and Mazova 1992; Tadepalli and Synolakis 1994; Brocchini and Gentile 2001; Carrier et al. 2003; K noglu 2004; Tinti and Tonini 2005; K noglu and Synolakis 2006; Madsen and Fuhrman 2008; Didenkulova et al. 2007; Didenkulova 2009; Madsen and Schaffer 2010).

Many [of these](#) analytical formulas have been validated experimentally in laboratory tanks (Synolakis 1987, Li and Raichlen 2002; Lin et al. 2009; Didenkulova et al. 2013). For most of them, the solitary waves have been used. The soliton is rather 150 easy to generate in the flume, therefore, laboratory studies of run-up of solitons are the most popular. However, (Madsen et al. 2008) pointed out that the solitons are inappropriate to describe the real tsunami and proposed to use waves of longer duration than solitons and downscaled records of real tsunami. Schimmels et al. (2016) and Sriram et al. (2016) generated such long waves in the Large Wave Flume of Hannover (GWK FZK) using the piston type of wave maker while McGovern et al. (2018) did it using the pneumatic wave generator.

155 It should be mentioned that the shape of tsunami varies a lot depending on its origin and the propagation path. One of the best examples of tsunami wave shape variability is given in Shuto (1985) for the 1983 Japan Sea tsunami, where the same tsunami event resulted in very different tsunami approaches in different locations along Japanese coast. These wave shapes included: single positive pulses, undergoing both surging and spilling breaking scenarios, breaking bores, periodic wave trains, surging and breaking as well, a sequence of two or three waves and undular bores. This is why there is no such term as “typical tsunami wave shape”, and therefore in the papers on wave run-up cited above many different wave shapes, such as single pulses, *N*-waves, periodic symmetric and asymmetric wave trains, are considered. In this paper, we [focus on](#) the nonlinear deformation and run-up of long single pulses of positive polarity on a plane beach.

A similar study was performed for periodic sine waves (Didenkulova et al. 2007; Didenkulova 2009). It was shown that the run-up height increases with an increase in the wave asymmetry (wave front steepness) which is a result of nonlinear wave 165 deformation during its propagation in a basin of constant depth. It was found analytically that the run-up height of this nonlinearly deformed sine wave is proportional to the square root of the wave front steepness. Later on, this result was also confirmed experimentally (Didenkulova et al. 2013).

It should be noted that these analytical finding also match tsunami observations. Steep tsunami waves are often witnessed and reported during large tsunami events, such as 2004 Indian Ocean and 2011 Tohoku tsunamis. Sometimes the [wave, 170 which approaches the coast](#), represents a “wall of water” or a bore, which is demonstrated by numerous photos and videos of these events.

The nonlinear steepening of the long single waves of positive polarity has also been observed experimentally in (Sriram et al. 2016), but its effect on wave run-up has not been studied yet. In this paper, we study this effect both analytically and numerically. Analytically, we apply the methodology developed in (Didenkulova 2009; Didenkulova et al. 2014), where we 175 consider the processes of wave propagation in the basin of constant depth and the following wave run-up on a plane beach

independently, not taking into account the point of merging of these two bathymetries. Numerically, we solve the nonlinear shallow water equations.

The paper is organized as follows. In Section 2, we give the main formulas and briefly describe the analytical solution. The numerical model is described and validated in Section 3. The nonlinear deformation and run-up of the long single wave of positive polarity is described in Section 4. The main results are summarized in Section 5.

2. Analytical solution

We solve the nonlinear shallow water equations for the bathymetry shown in Fig. 1:

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + g \frac{\partial \eta}{\partial x} = 0, \quad (1)$$

$$185 \quad \frac{\partial \eta}{\partial t} + \frac{\partial}{\partial x} [(h(x) + \eta)u] = 0. \quad (2)$$

Here $\eta(x, t)$ is the vertical displacement of the water surface with respect to the still water level, $u(x, t)$ – depth-averaged water flow, $h(x)$ – unperturbed water depth, g is the gravitational acceleration, x is the coordinate directed onshore, and t is time. The system of Eqs.(1),(2) is solved independently for the two bathymetries shown in Fig. 1: a basin of constant depth h_0 and length X_0 and a plane beach, where the water depth $h(x) = -x \tan \alpha$.

190 Eqs. (1),(2) can be solved exactly for a few specific cases. In the case of constant depth, the solution is described by the Riemann wave (Stoker 1957). Its adaptation for the boundary problem can be found in Zahibo et al. (2008). In the case of a plane beach, the corresponding solution was found by Carrier and Greenspan (1958). Both solutions are well-known and widely used and we do not reproduce them here, but just provide some key formulas.

As already mentioned, during its propagation along the basin of constant depth h_0 , the wave transforms as a Riemann wave (Zahibo et al. 2008):

$$195 \quad \eta(x, t) = \eta_0 \left[t - \frac{x + X_0 + L}{V(x, t)} \right], \quad (3)$$

$$V(x, t) = 3\sqrt{g[h_0 + \eta(x, t)]} - 2\sqrt{gh_0}, \quad (4)$$

where $\eta_0(x = -L - X_0, t)$ is the water displacement at the left boundary. After the propagation over the section of constant depth h_0 , the incident wave has the following shape:

$$200 \quad \eta_{x_0}(t) = \eta_0 \left[t - \frac{X_0}{V(x, t)} \right], \quad V_{x_0}(t) = 3\sqrt{g[h_0 + \eta_{x_0}(t)]} - 2\sqrt{gh_0}. \quad (5)$$

Following the methodology developed in Didenkulova (2008), we let this nonlinearly deformed wave described by Eq. (5) run-up on a plane beach, characterized by the water depth $h(x) = -x \tan\alpha$. This approach does not take into account the merging point of the two bathymetries and, therefore, does not account for reflection from the toe of the slope and wave interaction with the reflected wave.

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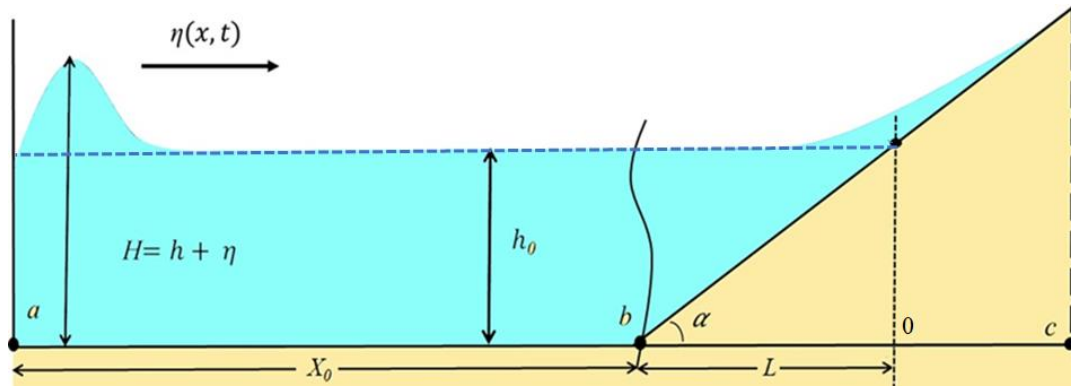


Figure 1: Bathymetry sketch of numerical simulations and analytical solution. The blue dots line corresponding to the still water level. The wavy line regards analytical solution, which does not take into account the merging between constant depth and beach slope.

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To do this we represent the input wave η_{x_0} as a Fourier integral:

$$\eta_{x_0} = \int_{-\infty}^{+\infty} B(\omega) \exp(i\omega t) d\omega. \quad (6)$$

Its complex spectrum $B(\omega)$ can be found in an explicit form in terms of the inverse Fourier transform:

$$B(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \eta_{x_0}(t) \exp(-i\omega t) dt. \quad (7)$$

215 Eq. (7) can be re-written in terms of the water displacement, produced by the wave maker at the left boundary (Zahibo et al. 2008):

$$B(\omega) = \frac{1}{2\pi i \omega} \int_{-\infty}^{+\infty} \frac{d\eta_0}{dz} \exp\left(-i\omega \left[z + \frac{x + X_0 + L}{V(\eta_0)}\right]\right) dz, \quad z = t - \frac{x + X_0 + L}{V(\eta_0)}. \quad (8)$$

In this study we consider long single pulses of positive polarity:

$$\eta_0(t) = A \operatorname{sech}^2\left(\frac{t}{T}\right), \quad (9)$$

220 | where A is the input wave height and T is the effective wave period at the location with the water depth h_0 . The wave described by Eq. (9) has an arbitrary height and period and, therefore, does not satisfy properties of the soliton, but just has a sech^2 shape. Substituting Eq. (9) into Eq. (8), we can calculate the complex spectrum $B(\omega)$.

Wave run-up oscillations at the coast $r(t)$ and the velocity of the moving shoreline $u(t)$ can be found from (Didenkulova et al. 2008):

$$225 \quad r(t) = R \left(t + \frac{u}{g \tan \alpha} \right) - \frac{u^2}{2g}, \quad (10)$$

$$u(t) = U \left(t + \frac{u(t)}{g \tan \alpha} \right), \quad (11)$$

$$R(t) = \sqrt{2\pi\tau(L)} \int_{-\infty}^{+\infty} \sqrt{|\omega|} H(\omega) \exp \left\{ i \left(\omega(t - \tau(L)) + \frac{\pi}{4} \text{sign}(\omega) \right) \right\} d\omega, \quad (12)$$

$$U(t) = \frac{1}{\tan \alpha} \frac{dR}{dt}, \quad (13)$$

where $\tau = 2L / \sqrt{gh_0}$ is a travel time to the coast.

230 | This solution we also compare with the run-up of a single wave of positive polarity described by Eq. (9) (without nonlinear deformation). The maximum run-up height R_{\max} of such wave (9) can be found from (Didenkulova et al. 2008; Sriram et al. 2016):

$$\frac{R_{\max}}{A} = 2.8312 \sqrt{\cot \alpha} \left(\frac{1}{gh_0} \left(\frac{2h_0}{\sqrt{3T}} \right)^2 \right)^{1/4} \quad (14)$$

If the initial wave is soliton, Eq. (14) coincides with the famous Synolakis formula (Synolakis, 1987).

235 | **3. Numerical model**

Numerically, we solve the nonlinear shallow water equations Eqs. (1),(2) written in a conservative form for a total water depth. We include the effect of the varying bathymetry (in space) and neglect all friction effects. However, the resulting numerical model will take into account for some dissipation thanks to the [numerical scheme dissipation](#), which is [necessary](#) for the stability of the scheme and should not influence much run-up characteristics. Namely, we employ the natural numerical method, which was developed especially for conservation laws - the finite volume schemes.

240 | The numerical scheme is based on the second order in space UNO2 reconstruction, which is briefly described in (Dutykh et al. 2011b). In time we employ the third order Runge-Kutta scheme with locally adaptive time steps in order to satisfy the CFL stability condition. The numerical technique to simulate the wave run-up was described previously in (Dutykh et al.

2011a). The bathymetry source term is discretized using the hydrostatic reconstruction technique, which implies the well-
 245 balanced property of the numerical scheme (Gosse, 2013).

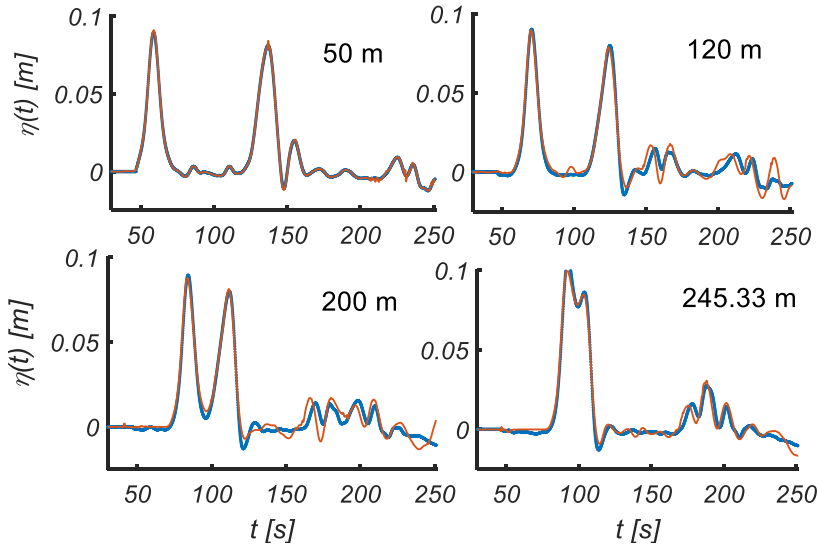
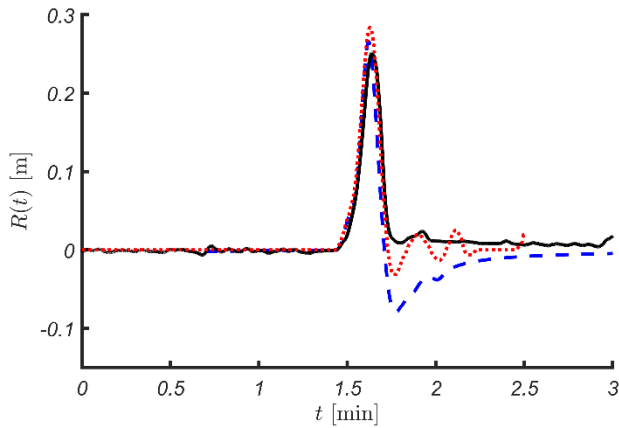


Figure 2: Water elevations along the 251 m long constant depth section of the Large Wave Flume (GWK), $h_0 = 3.5$ m, $A = 0.1$ m, $T = 20$ s, $\tan\alpha = 1:6$: results of numerical simulation are shown with red line, and experimental data are shown with the blue line.

250 The numerical scheme is validated against experimental data of wave propagation and run-up in the Large Wave Flume
 (GWK), Hannover, Germany. The experiments were set with a flat bottom with constant depth $h_0 = 3.5$ m and length
 [a, b] = [-251 m, and a plane beach with a slope $\tan\alpha = 1:6$ (see Fig. 1). The flume had 16 wave gauges along the constant
 depth section and a run-up gauge on the slope. The incident wave had amplitude, $A = 0.1$ m, and period, $T = 20$ s. The
 detailed description of the experiments can be found in Didenkulova et al (2013). The results of numerical simulations are in
 255 a good agreement with the laboratory experiments as along the constant depth section (see Fig. 2) as also on the beach
 (Fig. 3). The comparison of run-up height calculated numerically and analytically using the approach described in Section 2
 with the experimental record is shown in Fig. 3. It can be seen that the experimentally recorded wave is slightly smaller
 which may be caused by the bottom friction and especially on the slope. Both numerical and analytical models describe the
 first wave of positive polarity rather well. The numerical prediction of run-up height is slightly higher than the analytical
 260 one. This additional increase in the run-up height in numerical model may be explained by the nonlinear interaction with the
 reflected wave, which is not taken into account in the analytical model. The wave of negative polarity is much more sensitive
 to all the effects mentioned above than the wave of positive polarity and, therefore, looks different for all three lines in
 Fig. 3. By introducing additional dissipation in numerical model one can easily reach perfect agreement between the
 numerical simulations and experimental data. However, we do not do so, since below we are focusing on the analysis of
 265 analytical results and for clarity would like to avoid additional parameters in the numerical model. Also, we focus on the
 maximum run-up height and, therefore, expect small differences between the results of analytical and numerical models.



270 **Figure 3: Run-up height of the long single wave with $A = 0.1$ m and $T = 20$ s on a beach slope $\tan \alpha = 1:6$, the numerical solution is shown with the red dots line, analytical solution is shown with blue dashed line and the experimental record is shown with the black solid line.**

4. Results of numerical and analytical calculations

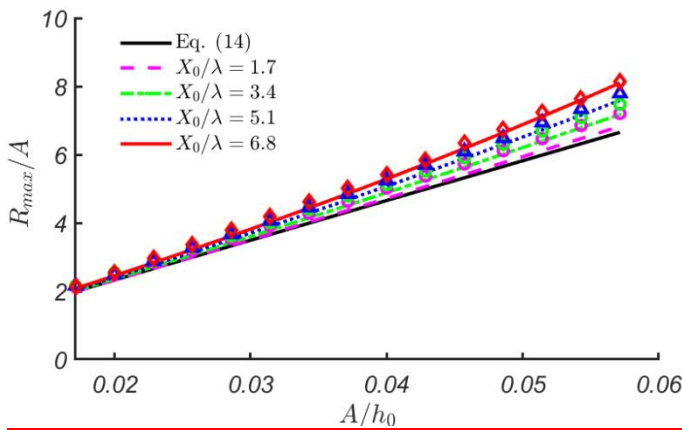
It is reported in (Didenkulova et al 2007; Didenkulova 2009) for a periodic sine wave, that the extreme run-up height increases proportionally to the square root of the wave front steepness. In this section, we study the nonlinear deformation and steepening of waves described by Eq. (9) and its effect on the extreme wave run-up height. The corresponding bathymetry used in analytical and numerical calculations is normalized on the water depth in the section of constant depth h_0 , and is shown in Fig. 1. The input wave parameters such as wave amplitude, A/h_0 , and effective wave length, λ/X_0 , where $\lambda = T\sqrt{gh_0}$ are changed. The beach slope is taken $\tan \alpha = 1:20$ for all simulations.

We underline that in order to have analytical solution, the criterion of no wave breaking should be satisfied. Therefore, all analytical and numerical calculations below are chosen for non-breaking waves.

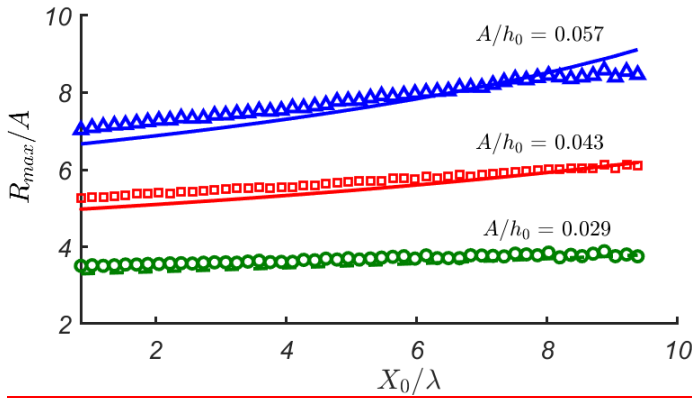
280 Fig. 4 shows the dimensionless maximum run-up height, R_{\max}/A as a function of the initial wave amplitude, A/h_0 . The incident wave propagates over different distances to the bottom slope, $X_0/\lambda = 1.7, 3.4, 5.1, 6.8; kh_0 = 0.38$. Analytical solution described in Section 2 is shown with lines, and numerical solution described in Section 3 is shown with symbols (diamonds, triangles, squares and circles). It can be seen that in most cases and especially for small values of $X_0/\lambda = 1.7$ and 3.4, numerical simulations give larger run-up heights than analytical predictions. These differences can be explained by the effects of wave reflection-interaction with from the toe of the underwater beach slope, which are not taken into account in the analytical solution. For larger distances $X_0/\lambda = 6.8$, both analytical and numerical solutions give similar results, supported by the numerical scheme dissipation described in Section 3, which can be considered a “numerical error”. It should be mentioned that we use zero physical dissipation rate for these simulations, however, small dissipation for stability of the numerical scheme is still needed and this may become noticeable at large distances. For the sech²-shaped wave ($A/h_0 = 0.03$, $\lambda/X_0 = 0.12$) propagation, the reduction of initial wave amplitude constitutes ~2 %.

It is worth mentioning, that for small initial wave amplitudes all run-up heights are close to each other and are close to the thick black [line, which](#) corresponds to Eq. (14) for wave run-up on a beach without constant depth section. This means that the effects we are talking about are important only for nonlinear waves and irrelevant for weakly nonlinear or almost linear waves.

295 The same effects can be seen in Fig. 5, which shows the maximum run-up height, R_{\max}/A as a function of distance to the slope, X_0/λ , for different amplitudes of the initial wave, A/h_0 . The distance X_0/λ changes from 0.8 to 9.4; $kh_0 = 0.38$. The analytical solution is shown with lines while the numerical solution is shown with symbols (triangles, squares and circles). It can be seen in Fig. 5, for smaller values of $X_0/\lambda \leq 6$ numerical predictions provide relatively larger run-up values, as compared with analytical predictions, while for higher values of $X_0/\lambda > 6$ the differences are significantly reduced. A relevant change of this behaviour is given for $A/h_0 = 0.06$. We can observe that numerical predictions for this amplitude become smaller than analytical predictions for $X_0/\lambda > 8$. As stated above, we believe that this can be a result of interplay of 300 two effects: [interaction with](#) the underwater bottom slope, which is not taken into account in the analytical prediction and the [numerical scheme dissipation](#) (“numerical error”), which affects the numerical results.



305 **Figure 4: Maximum run-up height, R_{\max}/A , as a function of initial wave amplitude, A/h_0 , for different distances to the slope, X_0/λ . Analytical solution described in Section 2 is shown with lines and numerical solution described in Section 3 is shown with symbols (diamonds, triangles, squares and circles) with matching colours. The thick black line corresponds to Eq. (14) for wave run-up on a beach without constant depth section, $kh_0 = 0.38$.**

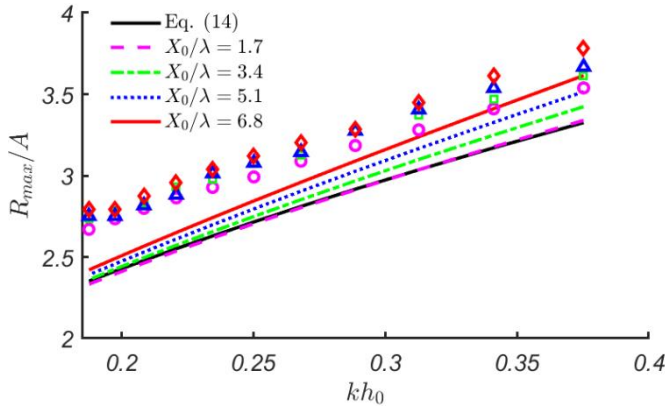


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Figure 5: Maximum run-up height, R_{max}/A , as a function of distance to the slope, X_0/λ for different amplitudes of the initial wave, A/h_0 . Analytical solution described in Section 2 is shown with lines and numerical solution described in Section 3 is shown with symbols (triangles, squares and circles) with matching colours, $kh_0 = 0.38$.

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The dependence of maximum run-up height, R_{max}/A on kh_0 is shown in Fig. 6 for $A/h_0 = 0.03$. It can be seen that the difference between numerical and analytical results decreases with an increase in kh_0 . We relate this effect with the wave interaction with the slope, which is not properly accounted in our analytical approach. As one can see in Fig. 7, this difference for a milder beach slope $\tan \alpha = 1:50$ is reduced.

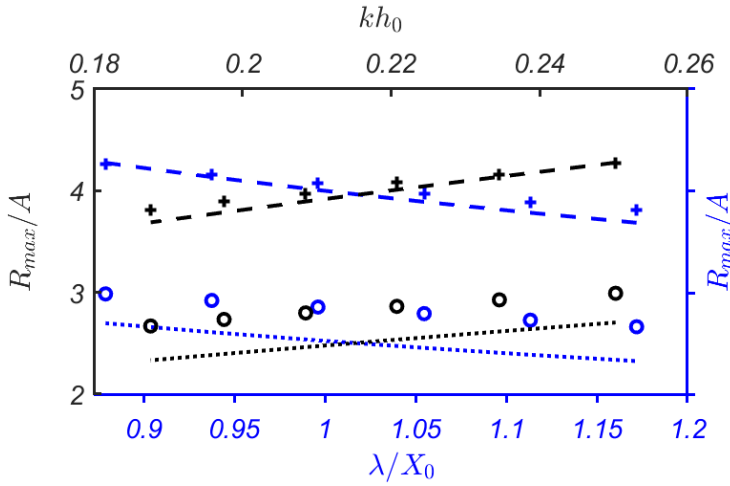


320

Figure 6: Maximum run-up height, R_{max}/A as a function of kh_0 for different distances to the slope, X_0/λ . Analytical solution described in Section 2 is shown with lines and numerical solution described in Section 3 is shown with symbols (diamonds, triangles, squares and circles) with matching colours. The thick black line corresponds to Eq. (14) for wave run-up on a beach without constant depth section, $A/h_0 = 0.03$.

325

The next Fig. 8 supports all the conclusions drawn above. It also shows that difference between analytical and numerical results increases with an increase in wave period. As pointed out before for small wave periods the numerical solution may coincide with the analytical one or even become smaller as it happens for $kh_0 = 0.38$ for $X_0/\lambda > 8$.



330 **Figure 7: Maximum run-up height, R_{\max}/A as a function of initial effective wave length, λ/X_0 (blue axes), and kh_0 (black axes). Analytical solutions for $\tan \alpha = 1:20$ and $\tan \alpha = 1:50$ are shown with dotted and dashed lines respectively, while numerical simulations for $\tan \alpha = 1:20$ and $\tan \alpha = 1:50$ are shown with circles and crosses respectively, $A/h_0 = 0.03$.**

335 Important, that both analytical and numerical results in Fig. 5 and Fig. 8 demonstrate an increase in maximum run-up height with an increase in the distance X_0/λ . This result is in agreement with the conclusions of (Didenkulova et al 2007; Didenkulova, 2009) for sinusoidal waves. In order to be consistent with the results of (Didenkulova et al 2007; Didenkulova, 2009), we connect the distance X_0/λ with the incident wave front steepness in the beginning of the bottom slope. The wave front steepness s is defined as maximum of the time derivative of water ~~displacement~~ $d(\eta/A)/d(t/T)$, and is

340 studied in relation with the initial wave front steepness, s_0 , where:

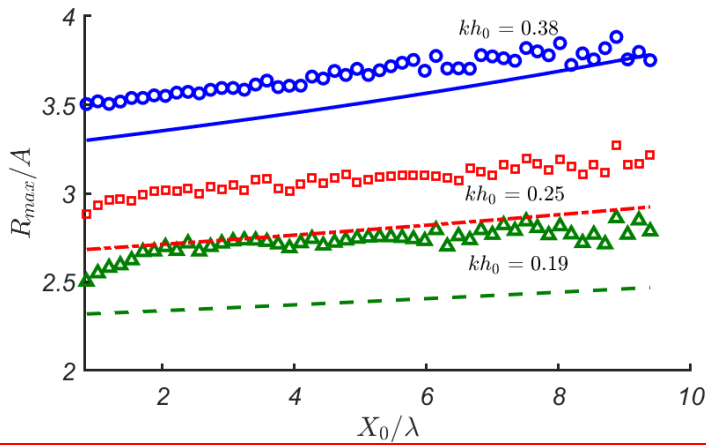
$$s(x) = \frac{\max(d\eta(x,t)/dt)}{A/T}, \quad s_0 = \frac{\max(d\eta(x=a,t)/dt)}{A/T}. \quad (15)$$

In order to calculate the incident wave front steepness in the beginning of the bottom slope from results of numerical simulations we should separate the incident wave and the wave reflected from the bottom slope. At the same time, the wave steepening along the basin of constant depth is very well described analytically as demonstrated in Fig. 9.

345 It can be seen that the wave transformation described by the analytical model is in a good agreement with numerical simulations. Therefore, below we reference to the analytically defined wave front steepness having in mind that it well coincides with the numerical one. Having said this, we approach the main result of this paper, which is shown in Fig. 10. The red solid line gives the analytical prediction. It is universal for single waves of positive polarity for different amplitudes A/h_0 and kh_0 and can be well approximated by the power fit (coefficient of determination R -squared = 0.99):

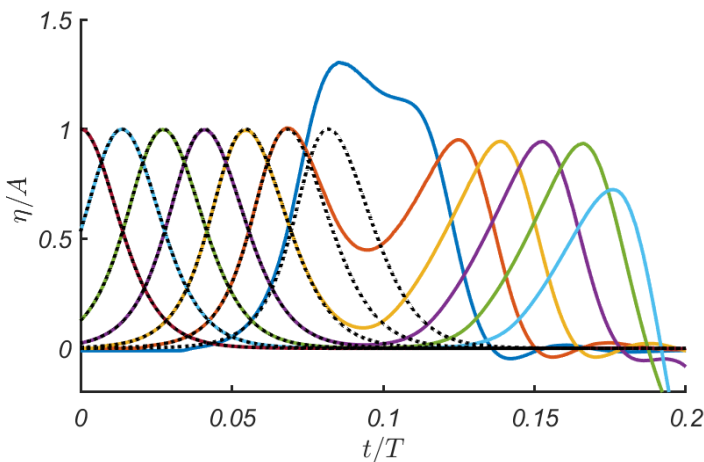
350 $R_{\max}/R_0 = (s/s_0)^{0.42}, \quad (16)$

where R_{\max}/A is the maximum run-up height in the conjoined basin (with a section of constant depth), R_0/A is the corresponding maximum run-up height on a plane beach (without a section of constant depth).

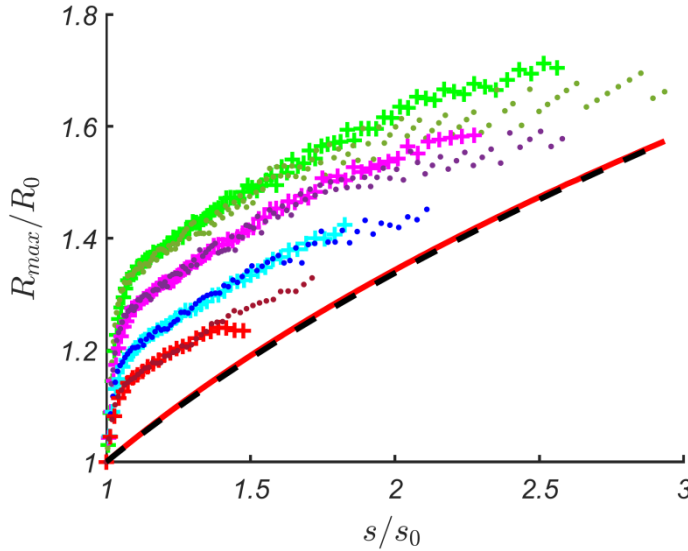


355 **Figure 8: Maximum run-up height, R_{\max}/A as a function of the distance to the slope, X_0/λ for different kh_0 . Analytical solution described in Section 2 is shown with lines and numerical solution described in Section 3 is shown with symbols (triangles, squares and circles) with matching colors; $A/h_0 = 0.03$.**

The fit is shown in Fig. 10 by the black dashed line. For comparison, the dependence of the maximum run-up height on the wave front steepness obtained using the same method for a sine wave is stronger than for a single wave of positive polarity (Didenkulova et al. 2007) and is proportional to the square root of the wave front steepness. This is logical as sinusoidal wave has a sign-variable form and, therefore, excites a higher run-up. For possible mechanisms, see discussion on N -waves in (Tadepalli and Synolakis 1994).



365 Figure 9: Wave evolution at different locations $x/\lambda = 0, 0.85, 1.71, 2.56, 3.41, 4.27$ and 5.12 along the section of constant depth for a basin with $X_0/\lambda = 5.12$ and $\tan \alpha = 1:20$. Numerical results are shown with solid lines, while the analytical predictions are given by the black dotted lines. The parameters of the wave: $A/h_0 = 0.03, kh_0 = 0.19$.



370 Figure 10: The ratio of maximum run-up height in the conjoined basin, R_{\max}/A and the maximum run-up height on a plane beach, R_0/A versus the wave front steepness, s/s_0 for $A/h_0 = 0.057, kh_0 = 0.38$ (brown points), $A/h_0 = 0.086, kh_0 = 0.38$ (red plus signs), $A/h_0 = 0.057, kh_0 = 0.29$ (blue points), $A/h_0 = 0.086, kh_0 = 0.29$ (turquoise plus signs), $A/h_0 = 0.057, kh_0 = 0.22$ (violet points), $A/h_0 = 0.086, kh_0 = 0.22$ (pink plus signs), $A/h_0 = 0.057, kh_0 = 0.19$ (dark green points), $A/h_0 = 0.086, kh_0 = 0.19$ (light green plus signs). All markers correspond to the results of numerical simulations, while the asymptotic analytical predictions are given by the red solid line. Black dashed line corresponds to the power fit of the analytical results Eq. (16).

375

The results of numerical simulations are shown in Fig. 10 with different markers. It can be seen that numerical data for the same period, but different amplitudes follow the same curve. The run-up is higher for waves with smaller kh_0 . In our opinion, this dependence on kh_0 is a result of merging plane beach with a flat bottom. This effect can be parameterized with the factor $(L/\lambda)^{1/4}$. The result of this parameterization is shown in Fig. 11. Here we can see that for smaller face front wave steepness, $s/s_0 < 1.5$, the run-up height is proportional to the analytically estimated curve shown by Eq. (16), while for larger face front wave steepness, $s/s_0 > 1.5$, the dependence on s/s_0 is weaker. This dependence for all numerical run-up height data, presented in Fig. 11, can be approximated by the power fit (coefficient of determination R -squared = 0.85):

385
$$R_{\max}/R_0 = 1.17(\lambda/L)^{1/4} (s/s_0)^{1/4}. \quad (17)$$

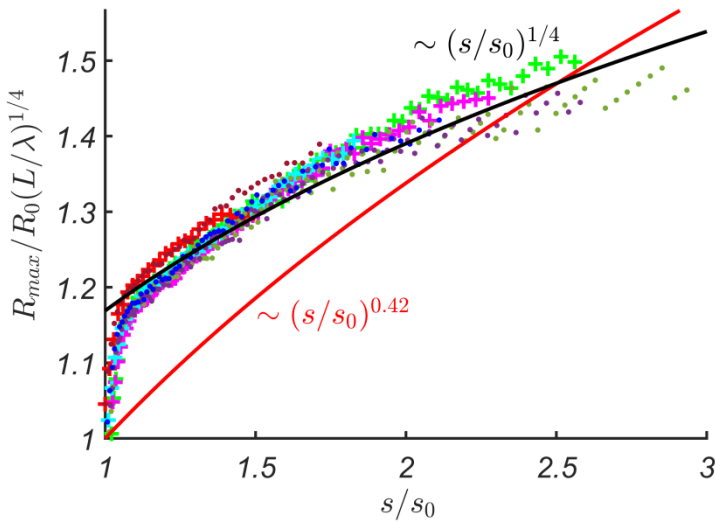


Figure 11: The normalized maximum run-up height $R_{\max}/R_0 (L/\lambda)^{1/4}$ calculated numerically versus the wave front steepness, s/s_0 for the same values of A/h_0 and kh_0 as in Figure 10. Red solid line is proportional to the “analytically estimated” Eq. (16), while black solid line corresponds to Eq. (17).

5. Conclusions and Discussion

In this paper, we study the nonlinear deformation and run-up of tsunami waves, represented by single waves of positive polarity. We consider the conjoined water basin, which consists of a section of constant depth and a plane beach. While propagating in such basin, the wave shape changes forming a steep front. Tsunamis often approach the coast with a steep wave front, as it was observed during large tsunami events, e.g. 2004 Indian Ocean Tsunami and 2011 Tohoku tsunami.

The study is performed both analytically and numerically in the framework of the nonlinear shallow water theory. The analytical solution considers nonlinear wave steepening in the constant depth section and wave run-up on a plane beach independently and, therefore, does not take into account wave interaction with the toe of the bottom slope. The propagation along the bottom of constant depth is described by Riemann wave, while the wave run-up on a plane beach is calculated using rigorous analytical solutions of the nonlinear shallow water theory following the Carrier-Greenspan approach. The numerical scheme does not have this limitation. It employs the finite volume method and is based on the second order UNO2 reconstruction in space and the third order Runge-Kutta scheme with locally adaptive time steps. The model is validated against experimental data.

The main conclusions of the paper are the following.

- Found analytically, that maximum tsunami run-up height on a beach depends on the wave front steepness at the toe of the bottom slope. This dependence is general for single waves of different amplitudes and periods and can be approximated by the power fit: $R_{\max} / R_0 = (s / s_0)^{0.42}$.

- This dependence is slightly weaker than the corresponding dependence for a sine wave, proportional to the square root of the wave front steepness (Didenkulova et al. 2007). The stronger dependence of a sine wave run-up on the wave front steepness is consistent with the philosophy of *N*-waves (Tadepalli and Synolakis 1994).

- Numerical simulations in general support this analytical finding. For smaller face front wave steepness ($s/s_0 < 1.5$) numerical curves of maximum tsunami run-up height are parallel to the analytical one, while for larger face front wave steepness ($s/s_0 > 1.5$), this dependence is milder. The latter may be a result of numerical dissipation (error), which is larger for a longer wave propagation and, consequently, larger wave steepness. The suggested formula, which gives the best fit with the data of numerical simulations in general is $R_{\max}/R_0 = 1.17(\lambda/L)^{1/4} (s/s_0)^{1/4}$.

- These results can also be used in tsunami forecast. Sometimes, in order to save time for tsunami forecast, especially for long distance wave propagation, the tsunami run-up height is not simulated directly, but estimated using analytical or empirical formulas (Glimsdal et al. 2019; Løvholt et al. 2012). In these cases we recommend using formulas, which take into account the face front wave steepness. The face front steepness of the approaching tsunami wave can be estimated from the data of the virtual (computed) or real tide-gauge stations and then be used to estimate tsunami maximum run-up height on a beach.

The nonlinear shallow water equations which are used in this study and commonly utilized for tsunami modelling, are also known as to neglect dispersive effects. In this context, it is important to mention the recent work of Larsen and Fuhrman (2019). They used RANS equations and $k-\omega$ model for turbulence closure to simulate propagation and run-up of positive single waves, including full resolution of dispersive short waves (and their breaking) that can develop near a positive tsunami front. They similarly showed that this effect depends on the propagation distance prior to the slope, if a simple toe with a slope type of bathymetry is utilized. This work shows that these short waves have little effect on the overall run-up, and hence give additional credence to the use of shallow water equations. These results largely confirm what was previously hypothesized by Madsen et al. (2008), that these short waves would have little effect on the overall run-up and inundation of tsunamis (though they found that they could significantly increase the maximum flow velocities).

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