



# 1    **Analysis of instability conditions and failure mode of a special** 2    **type of translational landslide using a long-period monitoring** 3    **data: a case study of the Wobaoshi landslide (Bazhong city,** 4    **China)**

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 9    **Abstract:** A translational landslide comprising nearly horizontal sand and mud interbed was  
 10    widely developed in the Ba river basin of the Qinba–Longnan mountain area. Scholars have  
 11    conducted theoretical research on this rainfall-induced landslide; however, owing to the lack of  
 12    landslide monitoring engineering and data, demonstrating and validating the theoretical research  
 13    was difficult. This study considered a translational landslide with an unusual morphology: the  
 14    Wobaoshi landslide, which is located in Bazhong city, China. First, the formation conditions of  
 15    this landslide were ascertained through field exploration, and the deformation and failure  
 16    characteristics of the plate-shaped sliding body were analyzed. Then, long-period monitoring  
 17    engineering was conducted to obtain multi-parameter monitoring data, such as crack width,  
 18    rainfall intensity, and pore-water pressure. Finally, through the mechanical model analysis of the  
 19    multi-stage sliding bodies, the calculating formula of the maximum height of the multi-stage plate  
 20    girders,  $h_{cr}$ , was derived, and the long-period monitoring data were used to verify its accuracy.  
 21    Combined with numerical simulation and calculations, the deformation and failure modes of the  
 22    plate-shaped sliding bodies were analyzed and explored. In this paper, the multi-parameter  
 23    monitoring data proved that the stability of the sliding body is affected greatly by the rainfall



intensity and pore-water pressure and the pore-water pressure in the crack is positive for the beginning of the plate-shaped sliding bodies, and an optimization monitoring method for this type of landslide was proposed. Therefore, this paper has theoretical and practical significance for the intensive study of translational landslides in this area.

**Keywords:** Translational landslide; A Long- period monitoring; Instability conditions; Failure mode; Plate-shaped sliding body; Pore-water pressure.

## 0. Introduction

A special type of landslide occurs in the red beds of Qinba–Longnan mountainous area. This landslide is mainly developed in the rock mass of the nearly horizontal sand and mudstone interbed in the Ba river basin. This phenomenon has the following characteristics: the cover layer is extremely thin, generally not more than 5 m; the sliding surface is close to horizontal; and the rock layer inclination angle is generally only  $3^{\circ}$ – $8^{\circ}$ . The sliding body of this landslide is typically a thick layer of sandstone with good integrity, and the bottom is a weak layer consisting of mudstone. During the rainy season, particularly when rainstorms occur, the sliding body is pushed horizontally along the sliding surface. Some scholars call this phenomenon a flat-push landslide, which is a typical rainfall-induced landslide (Zhang et al., 1994; Fausto G. et al., 2004; Xu et al., 2010).

Research on the formation mechanism and deformation mode of a translational landslide is divided mainly into two perspectives. The first is the translational landslide is induced mainly by hydrostatic pressure or confined water pressure caused by rainstorm (Kong and Chen, 1989; Matjaž et al., 2004; Yin et al., 2005). The sliding body of the thick sandstone can slide along the



46 surface because of the combined action of the hydrostatic pressure in cracks and the uplift force of  
47 the sliding surface (Wang et al., 1985; Zhang et al., 1994; Xu et al., 2006; Fan, 2007). At the same  
48 time, the sliding soil, which is expanded by water, leads to a slip between nearly horizontal layers  
49 (Yin et al., 2005). The other perspective is the hard rock layer covered by the upper layer, such as  
50 granite and sandstone, has a crushing effect on the lower weak rock layer, thereby causing the  
51 rock mass to expand laterally to form a landslide (Cruden et al., 1996; ЕМЕЛЬЯНОВА, 1986).

52 Regarding the theoretical study on rainfall-induced translational landslide, domestic and  
53 foreign scholars have used physical simulation experiments, mechanical model analysis, and  
54 satellite remote-sensing methods to investigate the genetic mechanism, initiation criteria, and  
55 sensitive safety factors. Fan Xuanmei et al. (2008) reproduced the deformation and failure process  
56 of landslides through physical simulation, and verified further the formation mechanism and  
57 starting criterion formula of the flat-push landslide studied previously by Zhang et al. (1994).  
58 Sergio et al. (2006) focused on the influence of pore-water pressure on the stability of  
59 rainfall-induced landslides, and studied soil failure model based on pore-water pressure by  
60 simulation experiment. Mario et al. (2008) and Teixeira et al. (2015) selected rainfall data from  
61 historical heavy rainfall condition, and used physical experiments to establish an optimization  
62 model for rainfall-induced landslide initiation criteria for landslides in the southern Apennines and  
63 shallow landslides in northern Portugal; the researchers also evaluated landslide susceptibility and  
64 safety factors to evaluate the possibility of landslide resurrection induced by rainstorm. Barlow et  
65 al. (2003) and Martin et al. (2005) used US land satellite ETM+ and DEM data to detect the  
66 residues of translational bedrock landslides in alpine terrain. Jessica et al. (2018) used resistivity  
67 imaging to investigate the Montaguto translational landslide in the southern part of the Apennines;



68 the researchers also established a refined geometric model to observe the lithologic boundaries,  
69 structural features, and lateral and longitudinal discontinuities associated with sliding surfaces.

70 Through the data collation and analysis of the current research status of the translational  
71 landslide, domestic and foreign scholars have conducted further research on the formation  
72 characteristics and genetic mechanism of translational landslides. Certain physical data models  
73 have been established by using historical data on rainfall, and physical simulation experiments  
74 have been conducted in the laboratory to verify the damage model. However, the actual  
75 engineering cases of on-site monitoring for this type of landslide have not been observed in  
76 domestic and foreign studies. Therefore, the research on translational landslide lacks monitoring  
77 engineering and measured data on landslide physical parameters, such as trailing edge crack width,  
78 real-time rainfall, pore-water pressure, and groundwater level. Thus, demonstrating and validating  
79 the deformation and failure mode of the translational landslide in the theoretical analysis is  
80 difficult.

81 Based on the formation mechanism of the translational landslide established by previous  
82 studies, this paper combines the work results of the geological hazard investigation in the Ba river  
83 basin of Qingba–Longnan mountain area. This study selected a typical and special translational  
84 landslide (the Wobaoshi landslide) in the working area and adopted field survey, long-period  
85 monitoring methods (February 2015 to July 2018) model analysis and numerical simulation.  
86 Through the comprehensive analysis between the theoretical model calculation and the monitoring  
87 data, the instability conditions and variation failure model of this translational landslide under the  
88 influence of heavy rainfall are studied.

89



## 1. Landslide Characteristics

### 1.1. General Situation of the Wobaoshi Landslide

The Wobaoshi landslide is located in the Ba river basin in the Qinba–Longnan mountainous area. Its specific location is in Baiyanwan village, Sanhui town, Enyang district in Bazhong city. Fig. 1 presents the geographical location and elevation information. The Wobaoshi landslide is located on the left bank of the Shilong river. The front edge of this landslide is in the curved section of the river, and the left boundary gully is located on the concave bank on the left bank of the river. The landslide area is classified as a red-bed layer in a low mountainous area, the vegetation of the sliding body is dense, and geomorphic unit is cuesta structural slope. The geological structure of the landslide body is in the south side of the Nanyangchang anticline, and the stratum is the mudstone and sandstone interbed of the Penglaizhen Formation of upper Jurassic series (Chen et al., 2015).

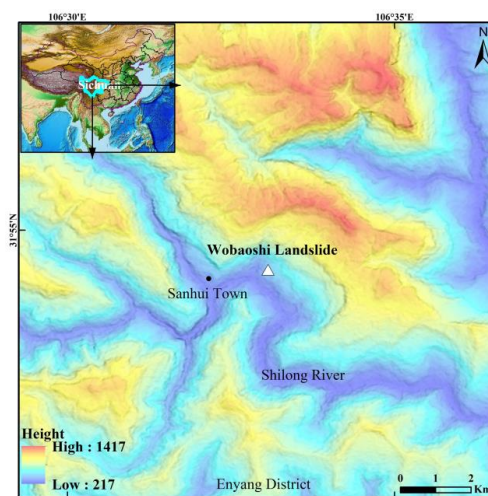


Fig. 1 Geographical location and elevation map of the Wobaoshi landslide.

This landslide is common in the eastern subtropical monsoon climate region, where the rainfall is abundant and mostly concentrated from May to October, accounting for 75%–85% of the total annual rainfall. The monthly average rainfall is above 100 mm, of which the highest is in July, and the monthly average in July is over 200 mm and often accompanied by rainstorm. The rainfall gradually decreases after August. The types of groundwater are mainly fissure water in

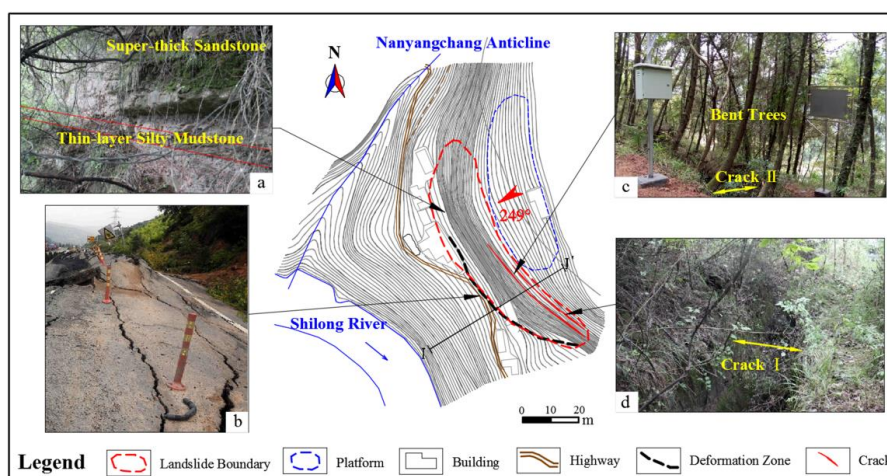


110 weathered bedrock and pore-water in trailing edge cracks, and the dynamic change of groundwater  
 111 is affected greatly by climatic change (Chen et al., 2015). The rapid immersion of groundwater  
 112 softens the joint surface of soil and rock formation, especially under heavy rainstorm, when the  
 113 groundwater level rises and the pore-water pressure increases sharply. This condition changes the  
 114 stress mode and equilibrium state of the rock and soil mass, thereby easily inducing a landslide.

## 115 1.2. Landslide Characteristics and Forming Conditions

### 116 1.2.1 Landslide Characteristics

118 According to the satellite remote-sensing interpretation and landslide survey, the shape of the  
 119 sliding body is a flat long rectangle on the plane. Its longitudinal (sliding) direction is nearly 32 m,  
 120 the lateral width is 160 m, the average thickness of the sliding body is approximately 30 m, and  
 121 the volume is approximately  $1.536 \times 10^5 \text{ m}^3$ . It belongs to small- to medium-sized landslides  
 122 according to the scale size. The sliding direction of the landslide is  $249^\circ$ , and the overall  
 123 occurrence of the rock formation is  $170^\circ - 180^\circ \angle 6^\circ - 8^\circ$ . The strike is nearly parallel to  
 124 the overall trend of the bank slope, which is a typical nearly horizontal consequent bedding rock  
 125 slope. Fig. 2 shows a planar graph of the Wobaoshi landslide and photographs of four observation  
 126 points. Fig. 3 presents I-I' sectional graph of the landslide.



127 Fig. 2 Planar graph of the Wobaoshi landslide and photographs of observation points: (a) exposed bedrock in front  
 128 edge, (b) roadbed is pushed uplifted in front edge, (c) crack II and bent trees, and (d) crack I.  
 129  
 130

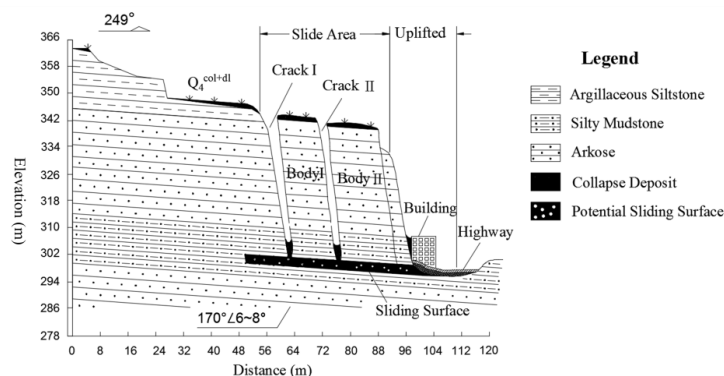


Fig. 3 I-I' sectional graph of the landslide

As Fig. 2 shows, the landslide shape is special, the longitudinal length is much less than the lateral width and even smaller than the thickness of the sliding body. Therefore, it can easily be mistaken for a multi-stage dangerous rock mass with dumping deformation during the disaster investigation. According to Fig. 3, the inclination of the landslide is almost erect, and a group of long and straight structural planes that are parallel to the slope cuts the slope into two thin plates (sliding bodies I and II), the surface structure of the slope has a certain degree of aperture, both sides of the crack are closed, and the bottom of the crack is filled with clay with gravel and collapse deposits.

#### 1.2.2 Forming Conditions

The sliding body of the Wobaoshi landslide formed two obvious cracks from the outside to the inside, which cut and disintegrated the sliding body into plate-shaped blocks from front to back, as shown in the photographs of observation points c and d in Fig. 2. Then, the plate-shaped sliding bodies I and II were formed. The landslide is a two-stage translational landslide in which the longitudinal length of the sliding body I is 12 m, the identifiable lateral width is approximately 70 m and the thickness is approximately 30 m, the longitudinal length of the sliding body II is 16 m, the identifiable lateral width is approximately 65 m, and the thickness is approximately 28 m. The sliding body I forms crack I with the trailing edge of the landslide, and the sliding body II forms crack II with the sliding body I. When a large rainfall intensity occurs during the rainy season, the pore-water in the cracks can be observed, thereby indicating that cracks I and II have preferable water-storage conditions.



154 As the photo of observation point c in Fig. 2 shows, bent trees grow on the trailing edge of  
 155 the landslide bodies I and II. The trees on the landslide are skewed with the soil mass sliding, and  
 156 after the sliding stops, the upper part of the trunk turns to the upright state year by year. The  
 157 existence of bent trees represents the tendency of the slope body to become unstable or the  
 158 existing landslide accumulation body tends to slide again, and it is also the historical evidence of  
 159 the slow sliding of the landslide (Zhang Lizhan et al., 2015).

160 As the photo of observation point a in Fig. 2 shows, the shallow surface of the Wobaoshi  
 161 landslide is a 2–3 m thick layer of collapsed and plowed soil. The sliding body is composed of  
 162 extremely thick sandstone with good integrity, and the bottom sliding surface is a weak interlayer  
 163 consisting of silty mudstone. In summary, the Wobaoshi landslide is a typical and special  
 164 translational landslide, and according to the characteristics of its plate-shaped body, it can be  
 165 considered a plate-shaped landslide (Fan et al., 2008; Xu et al., 2009).

166 According to the characteristics of the Wobaoshi landslide, the formation conditions are  
 167 inferred; in other words, during the heavy rain, the group of open cracks parallel to the slope in the  
 168 sliding body is concentrated and quickly filled with water, and the sliding bodies I and II slide  
 169 horizontally along the contact surface of the bottom sand-mud rock weak layer. This condition  
 170 leads to the uplift of residential houses and highways in the front edge, as shown in the photo of  
 171 the observation point b in Fig. 2.

172

## 173 **2. Landslide Monitoring Scheme and Monitoring Data Analysis**

### 174 **2.1. The Long-period Monitoring Scheme**

175 According to the detailed investigation of the Wobaoshi landslide, two cracks extend through  
 176 the sliding surface at the trailing edge of the landslide, and the pore-water in the cracks exists in  
 177 the condition of heavy rain. As the hydrostatic pressure in the cracks strongly influences the  
 178 stability of the plate-shaped landslide (Fan Xuanmei et al., 2008; Guo Xiaoguang et al., 2013),  
 179 rainfall and pore-water pressure was conducted from February 2015 to July 2018 to determine the  
 180 landslide state in different periods such as rainy and non-rainy seasons, as well as the interaction  
 181 between multilevel plate girders and sliding surface, in the nearly three-and-a-half-year period of



182 long- period real-time monitoring of cracks. Fig. 4 shows the layout graph of the monitoring  
 183 equipment.

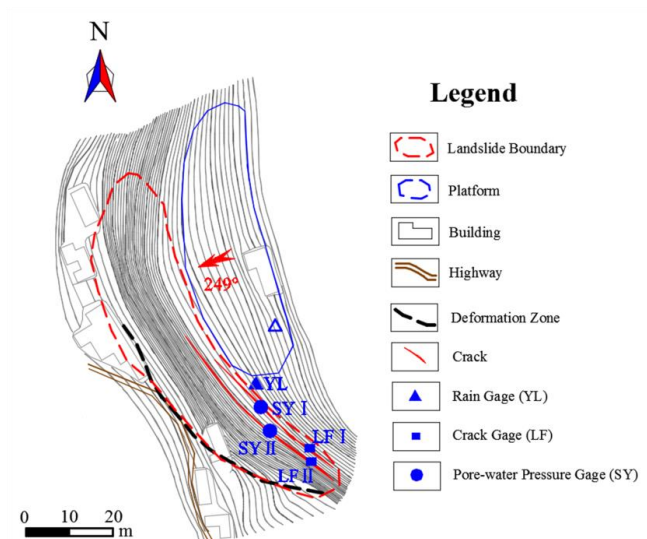


Fig. 4 Layout planar graph of the monitoring equipment

187 As Fig. 4 shows, two non-contact crack automatic monitors, LF I and II, are respectively  
 188 installed on both sides of cracks I and II, to record the real-time variation of the width of the two  
 189 cracks (Yimin Liu et al., 2015). An automatic rain gage is installed in flat space and no tree  
 190 occlusion is seen at the trailing edge of the landslide to record the real-time and cumulative values  
 191 of the rainfall. Two pore-water pressure gages are respectively installed at the bottom of crack I  
 192 and II to measure the pore-water pressure. The value of pore-water level,  $h_c$ , can be calculated by  
 193 the installation depth of the pore-water pressure gage,  $h_i$ , depth of the crack,  $H$ , and measured  
 194 value of the pore-water pressure gage,  $h_m$ , and  $h_c = H - h_i + h_s$ .

195 In this example, the initial width value of crack I is 5.640 m, and the initial width value of  
 196 crack II is 4.492 m (first measurement time is in January 2015); and the installation depth  $h_{i1} =$   
 197 24.72 m, and the depth of crack I is  $H_1 = 38$  m, and  $h_{c1} = 13.28\text{m} + h_{m1}$ ; and the installation depth  
 198  $h_{i2} = 24.85$  m, and the depth of crack I is  $H_2 = 35$  m, and  $h_{c2} = 10.15\text{m} + h_{m2}$ . The monitoring  
 199 frequency of the crack width is three times a day, the monitoring frequency of the pore-water  
 200 pressure is two times a day, and the rainfall intensity adopts the accumulative value of one month.  
 201 The multi-parameter monitoring data is transmitted to the monitoring server through the GPRS



network.

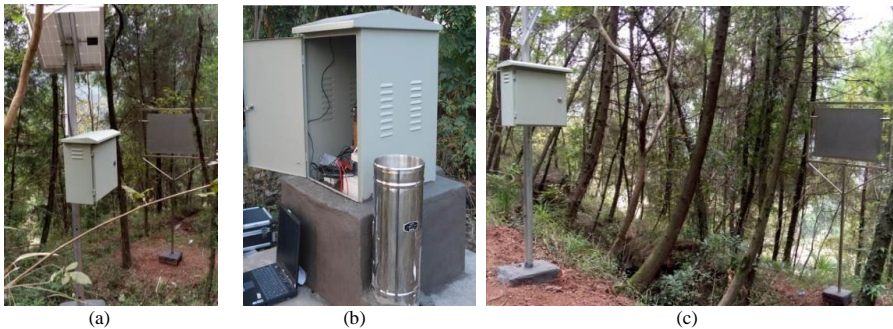


Fig. 5 Photos of monitoring instrument installation: (a) Crack I gage; (b) Rain gage and pore-water pressure gage; (c) Crack II gage.

## 2.2. Monitoring Data Analysis

Through the monitoring work on the Wobaoshi landslide for three-and-a-half years (February 2015 to July 2018), this study selects the typical data of the width of cracks I and II, the pore-water pressure and rainfall intensity, details of this monitoring data are in attached Tables 1 and 2. The corresponding time curves in Fig. 6 show the monitoring data of the rainfall intensity and the width of cracks I and II. Fig. 7(a) presents a comparison curve of the monitoring width data of crack I and its pore-water pressure, and Fig. 7(b) presents a comparison curve of the monitoring width data of crack II and its pore-water pressure.

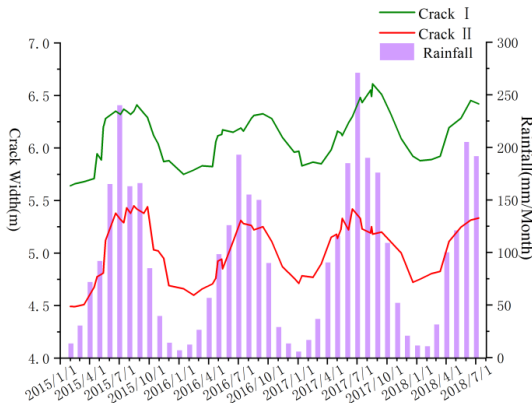




Fig. 6 Monitoring data curves (rainfall intensity and width of cracks I and II)

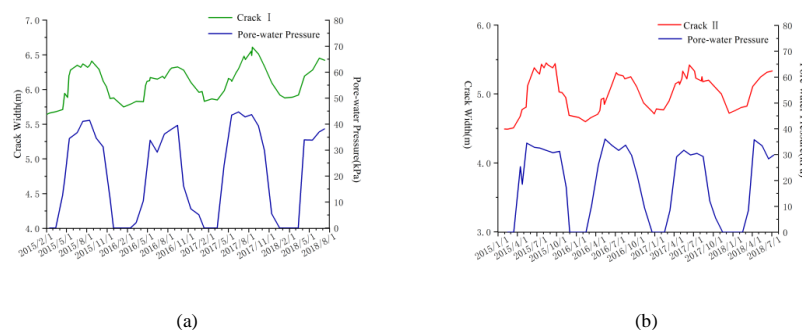


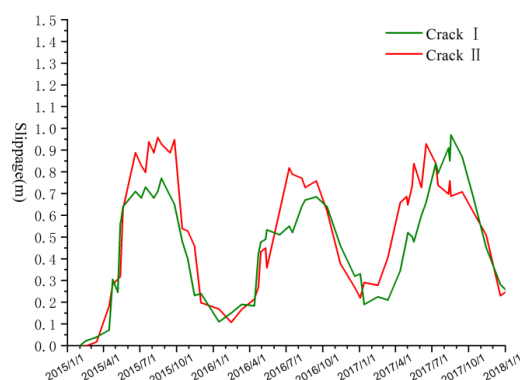
Fig. 7 Monitoring data curves: (a) width of crack I and its pore-water pressure; (b) width of crack II and its pore-water pressure.

Based on the comprehensive comparison and analysis of the data curves in Figs. 6 and 7, this study concludes that the Wobaoshi landslide is still in creep deformation state, and the plate-shaped sliding body exhibits a regular trend with the change of rainfall intensity and pore-water pressure. Crack I and crack II show a preferable water-storage capacity during the rainy season, and the increase of the pore-water pressure affects the crack width variation. The specific analysis is as follows:

(1) A clear correspondence exists between the absolute amount of crack width change and season change (rainfall intensity), the magnitude of the rainfall intensity determines the change of the width of the two cracks. As Fig. 6 shows, the width of cracks I and II widens as the rainfall intensity increases during the rainy season (May to September), and the crack width gradually shrinks as the rainfall intensity weakens during the non-rainy season (October to April in the next year). As Fig. 8 indicates, the maximum width of crack I reaches 6.615 m, and the absolute stretching amount of this crack is close to 1 m in July–August 2017 (monthly rainfall exceeding



237 250 mm). The maximum width of crack II is also in the range of 5.40–5.45 m, and the absolute  
 238 stretching amount is more than 1 m in July–August 2015 and July–August 2017. During the  
 239 non-rainy season, when the rainfall intensity weakens, the crack width begins to shrink and  
 240 decreases to a minimum in January of each year..



241  
 242 Fig. 8 Absolute slippage amount curves of crack I and II

243  
 244 (2) The width of cracks I and II tend to increase year by year, indicating that the two-stage  
 245 sliding body of the Wobaoshi landslide is still moving along the sliding surface due to the  
 246 influence of rainfall. In Fig. 6, the measured data in the monitoring period indicate that the  
 247 minimum width of crack I and II is gradually increasing, and the maximum value is affected  
 248 greatly by the rainfall intensity during a particular month.

249 (3) Fig. 7 shows that the stretching of crack I and crack II or both have the same tendency as  
 250 the pore-water pressure or that the magnitude of pore-water pressure determines the width  
 251 variation of the cracks. Fig. 7 also shows that the water-storage capacity of crack I is good during  
 252 the rainy season, and after the sliding body slides, it can maintain a certain pore-water level due to



rainfall replenishment. Meanwhile, the increase of rainfall intensity leads to the increase of water level in the cracks, and the increase of pore-water pressure has a positive effect on the initiation of the plate girder. The curve in Fig. 8 shows that the increase in pore-water pressure has a significant causal relationship with the stretching of the cracks.

### 3. Model Calculation and Numerical Simulation

#### 3.1. Model Establishment and Stability Calculation

Aiming at the genetic model of the evolution process of the Wobaoshi landslide, the mechanical model of the plate-shaped sliding body is established and the stability is calculated, and combined with the monitoring data for comparative analysis. According to previous findings, when many penetrating cracks are parallel to the slope in the rock mass, after the cracks are filled with water at the same time, the water pressure on both sides of the plate-shaped body are basically in a balanced state except for the outermost body. However, once the outer body slides, due to the sudden decrease of the pore-water level in the trailing edge crack, the water pressure immediately following the plate-shaped body becomes unbalanced, and new sliding damage is generated (Fan, 2007; Xu, 2008). Therefore, for the failure mode of the two-stage plate girders of the Wobaoshi landslide, this study selects a typical section of the plate-shaped sliding body and establishes the mechanical model, as shown in Fig. 9. First, this section carries out stability analysis of the outer layer plate girder II, and then analyzes the inner plate girder I.

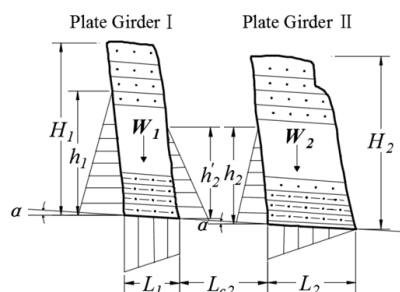




Fig. 9 Mechanical model of two-stage plate-shaped sliding bodies

Fig. 9 shows,  $\alpha$  is the angle of the sliding surface,  $h_1$  and  $h_2$  are respectively heights of pore-water level in crack I and II,  $L_1$  and  $L_2$  are respectively widths of plate girder I and II,  $L_{c2}$  is the distance between plate girder I and II,  $H_1$  and  $H_2$  are respectively heights of plate girder I and II, and  $W_1$  and  $W_2$  are respectively the self-weights of the plate girder I and II per unit width. According to the relationship between stability coefficient of plate girder,  $K$ , and the height of pore-water level,  $h$ , in Fig. 8 (Zhang et al., 1994; Xu et al., 2010), and in consideration of the internal cohesive force in sliding surface, the calculation formula of the stability coefficient  $K_2$  of the outer layer plate girder II is expressed as follows:

$$K_2 = \frac{\left( W_2 \cos \alpha - \frac{1}{2} \gamma_w h_2 L_2 - \frac{1}{2} \gamma_w h_2^2 \sin \alpha \right) \tan \theta + c L_2}{\frac{1}{2} \gamma_w h_2^2 \cos \alpha + W_2 \sin \alpha} \quad (1)$$

In formula (1),  $c$  is the internal cohesion in sliding surface,  $\gamma_r$  is the saturated gravity of sandstone,  $\gamma_w$  is the gravity of water, and  $W = H \cdot L \cdot \gamma_r$ .  $K_2$  is set to 1, that is, the plate girder II is set in critical sliding state (GB/T 32864-2016, 2017), and calculation formula (2) of the maximum pore-water level of the plate girder II,  $h_{cr2}$ , is derived by formula (1).

$$h_{cr2} \approx \frac{1}{2 \cos \alpha} \left[ L_2^2 \tan^2 \theta + \frac{8}{\gamma_w} \left( W_2 \cos \alpha \tan \theta - W_2 \sin \alpha + c L_2 \right) \cos \alpha \right]^{\frac{1}{2}} - \frac{L_2}{2 \cos \alpha} \tan \theta \quad (2)$$

According to the triaxial confining pressure experimental data of rock core of the Wobaoshi landslide (Chen et al., 2015), the internal friction angle of the sliding surface  $\theta = 11.2^\circ$ , the saturated gravity of sandstone  $\gamma_r = 19.2 \text{ kN/m}^3$ , the gravity of clear water  $\gamma_w = 9.8 \text{ kN/m}^3$ , and the internal cohesion of the sliding surface  $c = 10.2 \text{ kPa}$ . According to the sectional graph of the



292 Wobaoshi landslide (see Fig. 2),  $H = 35$  m,  $L = 16$  m,  $\alpha = 6^\circ$ . Therefore, according to formula (2),  
 293  $h_{cr2} = 13.896$  m.

294 On the basis of stability analysis of the plate girder II, combines with formula (1), formula (2)  
 295 and Fig. 7, the calculation formula of the stability coefficient  $K_I$  of the inner layer plate girder I is  
 296 expressed as formula (3). And  $h_2' = h_2 - L_{c2} \sin \alpha$ ,  $L_{c2} = 3.8$  m, therefore,  $h_2' = 13.499$  m.

$$297 \quad K_I = \frac{\left[ W_1 \cos \alpha - \frac{1}{2} \gamma_w (h_1 + h_2') L_1 - \frac{1}{2} \gamma_w (h_1^2 - h_2'^2) \sin \alpha \right] \tan \theta + c L_1}{\frac{1}{2} \gamma_w (h_1^2 - h_2'^2) \cos \alpha + W_1 \sin \alpha} \quad (3)$$

298 Similarly,  $K_I$  is set to 1, and in the plate girder I,  $H_I = 38$  m,  $L_I = 12$  m,  $\alpha = 6^\circ$ ,  $h_2' = 13.499$  m,  
 299 therefore, the maximum pore-water level  $h_{cr1}$  of the plate girder I can be calculated by using the  
 300 formula (3), and  $h_{cr1} = 17.249$  m.

301 The preceding calculation results show that when the pore-water level at the trailing edge of  
 302 the plate girder reaches the maximum height at which the landslide starts, that is, when the  
 303  $h_{cr1} = 17.249$  m,  $h_{cr2} = 13.896$  m, the pore-water pressure triggers the plate-shaped sliding bodies. The  
 304 next section aims to verify the pore-water monitoring data, which are acquired by the landslide  
 305 monitoring.

306 The pore-water monitoring data in Section 2.2, which is acquired by the landslide monitoring  
 307 engineering is used to test the calculating formula of the maximum height of multi-stage plate  
 308 girders,  $h_{cr}$ . According to the monitoring data of pore-water pressure and installation depth of the  
 309 sensors, the actual maximum height value  $h_{c1}$  and  $h_{c2}$  of the pore-water level have been calculated  
 310 in attached Table 3. Combined with the change of the absolute stretching amount in Fig. 8, the



311 typical data of the measured pore-water level is selected, which corresponding to sudden change  
 312 of the absolute slippage (see Table 3 for details), as shown in Figure 10.

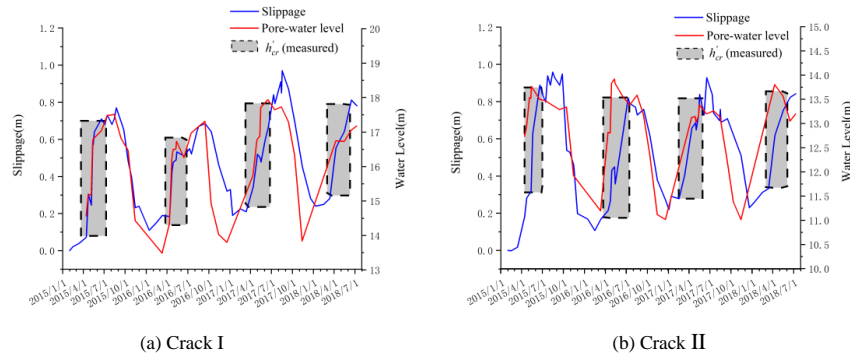


Fig. 10 Determination of the maximum pore-water level  $h_{cr}(\text{measured})$

317 The dotted box in Fig. 10 represents the value of pore-water level when the sliding body is  
 318 sliding, that is, the maximum pore-water level,  $h_{cr}'$ , which causes the sliding body to be unstable.  
 319 Then compare the  $h_{cr}'$  (measured) in Fig. 10 with the relationship between the pore-water level,  $h$ ,  
 320 and stability coefficient of the plate girder,  $K$ , in formula (1) and formula (3), which is shown in  
 321 Fig. 10.

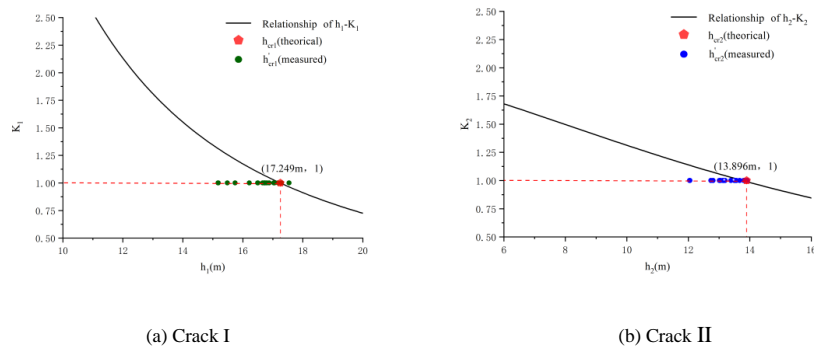


Fig. 11 Comparison figure of  $h_{cr}(\text{measured})$  and  $h_{cr}(\text{theoretical})$



325

326 In Fig. 11, the curves of relationship of  $h$ - $k$  represent formula (1) and formula (3). The  
 327 frequency of  $h_{cr}$ (measured) in Fig. 11 shows that most of the monitoring pore-water levels are not  
 328 bigger than the theoretical calculations. The Wobaoshi landslide monitoring example shows that in  
 329 most cases, when  $h_{cr}$ (measured)  $\leq h_{cr}$ (theoretical), the pore-water pressure will cause the instability  
 330 of the sliding body.

### 331 3.2. Numerical Simulation of the Plate-shaped Sliding Bodies

332 The numerical simulation and calculation of the plate girder is carried out by MIDAS GTS  
 333 NX geotechnical finite element software. Firstly, the 1:1 sliding body model in Fig. 9 is  
 334 introduced into the finite element software, and mechanical parameters of the sliding body model  
 335 are shown in Table 4. The boundary conditions are set as follows:

336 (1) Displacement boundary: the left and right boundaries constrain the X direction  
 337 displacement,  $T_X = 0$ ; the bottom boundary: constrain the X and Y direction displacement  $T_X =$   
 338  $T_Y = 0$ ;

339 (2) Seepage conditions: set the water level at the left and right boundaries to be 342m and  
 340 275m respectively.

341 The typical data of pore-water level in Table 3 is introduced into the finite element model,  
 342 and then numerical calculations are performed to obtain typical deformation and displacement  
 343 states of the plate-shaped sliding bodies in the rainy season and non-rainy season, as shown in Fig.  
 344 12.

345

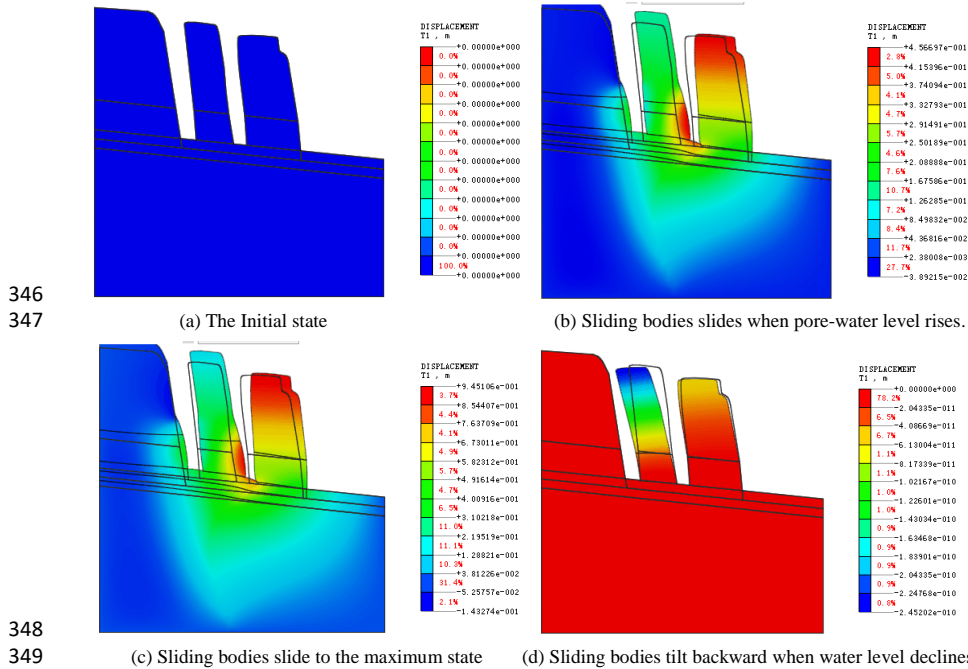


Fig. 12 Finite element simulation and numerical calculation

The initial displacement state in Fig. 12(a) is set to zero for the following analysis; Figure 12 (b) shows that under the combined effect of pore-water pressure and seepage, the multi-stage plate girders slide horizontally along the sliding surface; Figure 12 (c) represents that the multi-stage plate girders have slid to the maximum distance, wherein the maximum distance of the slider II is 0.945 m, which is close to the monitoring data; In Fig. 12(d), due to the decrease of the pore-water level in the non-rainy season, the sliding bodies I and II have a same tendency to tilt backward. The calculation results of the numerical simulation can corroborate with sliding body mechanics model and landslide monitoring data.

## 4. Discussion

As mentioned in the previous sections, this special type of translational landslide, which has a



plate-shaped sliding body and is generally formed in an extremely thick sandstone slope with a thin cover layer, is nearly horizontal and has good integrity. According to the traditional theory of granular equilibrium limit, deformation or sliding movement of this nearly horizontal bedrock slope is almost impossible, and the likelihood of forming a landslide is minimal. However, in the investigation of geological hazard hidden dangers, a special structure of translational landslide occurs in the red-bed zone of the Qinba–Longnan mountainous area. Owing to the dense population and large infrastructure in the working area, the plate-shaped landslide is characterized by large volume, concealment, and sudden and strong destructive ability. The collapse is often considered to be small-scale and its danger is ignored. Therefore, in the investigation and risk assessment of geological hazards, the characteristics of the plate-shaped landslide and the deformation and failure mode should be combined to detect the hidden dangers with the geological conditions of the landslide. Combines the results of predecessors, discussion of this paper is shown in the following three aspects.

#### 4.1. Deformation and Failure Mode Exploration of the Wobaoshi Landslide

The monitoring results of the Wobaoshi landslide in this case validate the rainfall-triggered failure mode of the translational landslide (Zhang Yuyuan et al., 1994). According to the landslide monitoring data and the numerical simulation of the plate-shaped sliding bodies, the deformation and failure mode of the landslide is obtained, which is shown in Fig. 13. Fig. 13 shows deformation of the plate-shaped sliding body of the Wobaoshi landslide during a monitoring period (non-rainy season–rainy season–non-rainy season). As shown in Fig. 13(b), a large amount of rainfall causes the cracks to be filled with water in rainy season, when the pore-water level reaches the maximum height at which the landslide starts, increased pore-water pressure has a positive effect on the initiation of the plate-shaped sliding body (Fan Xuanmei et al., 2007). When the pore-water pressure rises to the threshold value, the plate-shaped landslide can be triggered. In this monitoring case, the pore-water pressure can push the plate-shaped sliding body by nearly 1 m, thereby resulting in the uplift of residential houses and highways in the leading edge. Therefore, we can infer that one or more penetrability cracks should be parallel to the slope in the landslide body. When the rainy season is approaching, the plate-shaped sliding body II begins to slide first,



and the water pressure balance in the cracks is destabilized. This condition causes the gliding of the plate-shaped sliding body I, thereby forming a multi-stage translational landslide with the characteristic of step-by-step backward movement.

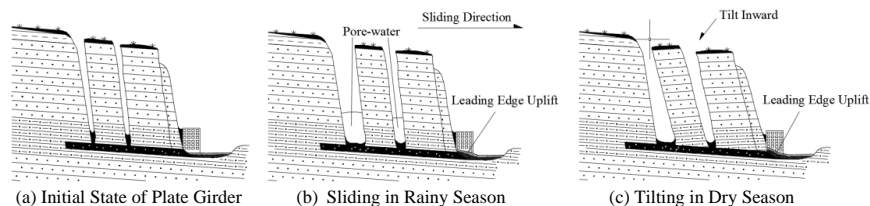


Fig. 13 Schematic of deformation and failure mode of the Wobaoshi landslide

As shown in Fig. 13(c), the plate girder is tilted to the trailing edge by the lower pore-water level and its own weight with less rain during the non-rainy season, thereby causing the plate girder to fall backward (inside the slope) until the top of the plate girder is in contact with the slope surface, the crack width begins to shrink, and a narrow A-shaped crack is formed. Monitoring data of the Wobaoshi landslide and numerical simulation of plate-shaped sliding body also verify the deformation and failure mode of the plate-shaped landslide after occurrence (Xu et al., 2010). Year after year, the cracks at the bottom of the slab-shaped sliding body grow larger, and the degree of inclination of the plate girder continues to increase. The degree of arching of the front edge also increases, which causes the stability of the landslide to decrease continuously, thereby posing a high risk for the houses and roads on the front edge of the landslide.

#### 4.2. Determination of Maximum Pore-water Level $h_{cr}$

The theoretical analysis and stability calculation of the mechanical model of the plate girder is described in Section 4.1, along with the starting criterion for multi-stage sliding bodies of translational landslide, that is, determination of the maximum water height in the crack,  $h_{cr}$ , (Zhang et al., 1994) and calculation of value of the stability coefficient of the sliding body,  $K$ , (Xu et al., 2010), which is determined by the theoretical calculation of strata inclination, shape, weight, and physical properties (such as saturated gravity,  $\gamma_r$ , internal cohesion of the sliding surface,  $c$ , and internal friction angle of the sliding surface,  $\theta$ ) based on the limit equilibrium theory (Lin et



al., 2010). Therefore, the stability coefficient of the landslide decreases exponentially with the increase of the water-filling height of the trailing edge crack (Fan, 2008; Xu et al., 2010).

In this case, the formula for calculating the maximum pore-water level,  $h_{cr}$ , deduced in Section 3.1, comparing the measured data of the Wobaoshi landslide in Section 2.2, we can observe that the measured maximum pore-water level,  $h'_{cr}$ , is close to the theoretical maximum pore-water level,  $h_{cr}$ , thus verifying the correctness of calculation formula of  $h_{cr}$ , and instability conditions of the sliding bodies. And the most measured data are slightly smaller than the theoretical calculation value, that is,  $h'_{cr} \leq h_{cr}$ . In other words, compared with the calculation formula of the maximum water height proposed by Zhang et al. (1994) and the physical simulation experiment conducted by Fan et al. (2008), the monitoring case of the Wobaoshi landslide shows that the measured data  $h'_{cr}$  is mostly lower than the theoretical calculated value,  $h_{cr}$ , which can cause the instability of the sliding body. The reason for the instability may be that the actual cohesion value  $c'$  of the sand-shale contact surface is smaller than the cohesive force value  $c$  of the sliding surface in formula (2) during the creep state of the landslide for a long time, or the frictional angle of the sliding surface,  $\theta$ , changes slightly. According to the calculation of the stability coefficient,  $K$ , in formula (2), when  $c' \leq c$ ,  $h'_{cr} \leq h_{cr}$  is obtained, the plate girder slides in case of  $h'_{cr}$  (measured) is not larger than  $h_{cr}$  (theoretical).

### 4.3. Optimization Methods of Landslide Monitoring

Focusing on the plate-shaped translational landslide through the existing field monitoring result experience and deformation and failure mode exploration, this study proposes the following suitable monitoring methods for this type of landslide. First, long- period monitoring should be conducted to obtain sufficient monitoring data, which mainly includes obtaining groundwater level, pore-water pressure, rainfall intensity, and displacement data on the front edge of the landslide during the rainy season, as well as focusing on the change of overall inclination of the plate girder during the non-rainy season. The reason is the inclination angle  $\alpha$  relative to the



440 sliding surface also changes after the sliding of the plate girder. Thus, the inclination measuring  
 441 device should be installed in the sliding body, in order to verify the theoretical exploration of  
 442 deformation mode of the plate-shaped sliding body in non-rainy season in Fig. 13(c). Furthermore,  
 443 a sensitivity analysis of various parameters affecting the stability coefficient  $K$  of the sliding body  
 444 (such as the pore-water level, internal cohesive force in saturated water, internal friction angle of  
 445 the sliding surface, and inclination angle of the plate girder) should be conducted on the basis of  
 446 the monitoring data. Therefore, it is beneficial to in-depth analysis and exploration of the  
 447 deformation and failure mode of the plate-shaped landslide and improves the success rate of  
 448 landslide warning.

449

## 450 5. Conclusions

451 Taking the case of the Wobaoshi landslide as an example, this study uses research methods  
 452 such as field exploration, a long- period monitoring engineering, mechanical model analysis and  
 453 numerical simulation, and to deeply analyze the instability conditions and failure characteristics of  
 454 a special type of translational landslide. The research results are beneficial to the stability analysis  
 455 and evaluation of this type of landslide. Targeted monitoring methods are proposed to enrich  
 456 theoretical research of the translational landslide. The following conclusions are drawn:

457 (1) The characteristics, formation conditions, and occurrence mechanism of rainfall-triggered  
 458 translational plate-shaped landslide are summarized. This type of landslide generally exists in a  
 459 consequent slope with the inclination angle of the sliding surface less than  $10^\circ$ , a group of long  
 460 and straight structural planes parallel to the slope cuts the slope into several thin plates. The  
 461 plate-shaped sliding body generally consists of extremely thick sandstone, which is nearly



462 horizontal and has good integrity. The bottom sliding zone is a weak mudstone interlayer affected  
 463 by heavy rainfall, single-stage or multi-stage plate-shaped sliding bodies slide horizontally along  
 464 the bottom mudstone sliding zone.

465 (2) Based on establishment of a mechanical model of plate-shaped sliding bodies, the  
 466 relationship between stability coefficient of the multi-stage sliding body,  $K$ , and the pore-water  
 467 level,  $h$ , are obtained, and the maximum pore-water level,  $h_{cr}$ , which causes the instability of  
 468 multi-stage plate girders are calculated. The instability conditions of the plate-shaped sliding  
 469 bodies are also determined.

470 (3) Theoretical conclusions of the plate-shaped landslide research are verified by the  
 471 long-period monitoring data. The multi-parameter monitoring data show that the stability of the  
 472 sliding body is affected greatly by the rainfall intensity and pore-water pressure. The pore-water  
 473 pressure in the crack is positive for the beginning of the plate-shaped sliding body, which  
 474 demonstrates the rainfall-triggered failure mode of the translational landslide. This study compares  
 475 and analyzes the measured maximum pore-water level  $h_{cr}'$  and theoretical calculated value  $h_{cr}$ , and  
 476 discusses the influence of the change of internal cohesive force and internal friction angle on the  
 477 stability coefficient of the sliding body.

478 (4) Combined with landslide numerical simulation, this paper analyzes and explores the  
 479 deformation and failure modes of the plate-shaped landslide, that is, combined with the pore-water  
 480 pressure in the crack and seepage effect in the rainy season, the sliding bodies will slide  
 481 horizontally along the contact surface of the bottom sand-mud rock weak layer. During the  
 482 non-rainy season, the pore-water pressure decreases and disappears, the sliding body, due to its



483 dead weight, will be inclined to the trailing edge. On this basis, this paper proposes an  
 484 optimization monitoring methods to closely monitor the pore-water pressure, rainfall, and  
 485 landslide frontal displacement during the rainy season, and this method focuses on the overall  
 486 inclination angle change of the plate girder during the non-rainy season.

487

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566  
567



## 568 **Figure Captions**

569 Fig. 1. Geographical location and elevation map of the Wobaoshi landslide.

570 Fig. 2. Planar graph of the Wobaoshi landslide and photographs of observation points:

571 (a) exposed bedrock in front edge, (b) roadbed is pushed uplifted in front edge, (c)  
 572 crack II and bent trees, and (d) crack I.

573 Fig. 3. I-I' sectional graph of the landslide.

574 Fig. 4. Layout planar graph of the monitoring equipment.

575 Fig. 5. Photos of monitoring instrument installation: (a) Crack I gage; (b) Rain gage  
 576 and pore-water pressure gage; (c) Crack II gage.

577 Fig. 6. Monitoring data curves (rainfall intensity and width of cracks I and II).

578 Fig. 7. Monitoring data curves: (a) width of crack I and its pore-water pressure; (b)  
 579 width of crack II and its pore-water pressure.

580 Fig. 8. Absolute stretching amount curves of crack I and II.

581 Fig. 9. Mechanical model of two-stage plate-shaped sliding bodies.

582 Fig. 10 Determination of the maximum pore-water level  $h'_{cr}$ (measured) .

583 Fig. 11. Comparison figure of  $h'_{cr}$  (measured) and  $h_{cr}$  (theoretical).

584 Fig. 12 Finite element simulation and numerical calculation.

585 Fig. 13 Schematic of deformation and failure mode of the Wobaoshi landslide.

586



## Table

Table 1 Typical monitoring data of the Wobaoshi landslide

Measured time	Crack I width (m)	Crack II width (m)	Crack I Pore-water pressure (kPa)	Crack II Pore-water pressure (kPa)
2015/2/1	5.640	4.492	0	0
2015/4/24	5.945	4.774	18.561	27.303
2015/5/7	5.886	4.798	18.649	33.212
2015/5/13	6.203	4.810	33.134	33.036
2015/5/15	6.215	4.899	34.476	35.456
2015/8/15	6.350	5.451	41.474	31.625
2015/9/14	6.330	5.380	34.594	30.772
2015/11/15	5.871	4.952	11.280	17.395
2016/2/15	5.790	4.599	0	0
2016/4/13	5.824	4.706	10.378	26.156
2016/5/14	6.173	4.850	33.810	36.035
2016/7/17	6.161	5.281	36.162	31.664
2016/8/18	6.310	5.220	38.024	33.683
2016/9/15	6.325	5.251	39.298	29.723
2016/12/20	5.960	4.763	5.106	0
2017/2/16	5.865	4.770	0	0
2017/4/13	5.984	5.152	24.108	29.155
2017/5/17	6.118	5.332	43.463	31.703
2017/7/17	6.433	5.239	42.787	30.478
2017/8/15	6.490	5.255	43.639	29.273
2017/11/14	6.091	5.004	5.488	8.428
2017/12/20	5.922	4.723	0	0
2018/1/11	5.881	4.751	0	0
2018/4/10	6.194	5.110	33.957	35.819
2018/5/17	6.283	5.246	33.830	33.438
2018/6/16	6.452	5.315	36.995	28.391
2018/7/10	6.421	5.310	38.171	29.841

Table 2 Rainfall intensity value of the Wobaoshi landslide (mm/month)

Year	Month												Total
	1	2	3	4	5	6	7	8	9	10	11	12	
2015		13.5	30.5	71.8	121.9	165.0	240.1	163.0	166.1	85.0	39.6	14.1	1110.6
2016	6.9	12.5	26.5	56.8	98.4	126.1	193.2	155.1	150.0	90.3	29.1	13.5	958.4
2017	5.7	16.8	36.8	90.5	115.6	185.1	271.3	190.0	176.2	109	52.1	20.8	1269.9
2018 年	11.5	10.9	31.5	99.9	121.0	205.1	191.6						671.5



592

Table 3 Measured pore-water level data of the sliding bodies

Measured time	Crack I slippage (m)	Measured pore-water level (m)	Crack II slippage (m)	Measured pore-water level (m)
2015/4/15	0.072	14.566	0.183	12.736
2015/4/24	0.305	15.174	0.282	12.936
2015/5/7	0.246	15.183	0.306	13.539
2015/5/13	0.561	16.661	0.318	13.521
2015/5/15	0.573	16.798	0.407	13.768
2015/6/20	0.711	17.032	0.888	13.502
2015/7/17	0.519	17.474	0.798	13.471
2015/10/16	0.481	16.470	0.538	13.340
2015/11/15	0.229	14.431	0.458	11.925
2016/1/15	0.108	\	0.169	\
2016/4/13	0.184	13.490	0.214	12.819
2016/4/23	0.421	14.339	0.269	12.804
2016/4/29	0.475	16.214	0.432	13.835
2016/5/11	0.469	16.494	0.449	13.920
2016/5/14	0.531	16.505	0.358	13.827
2016/6/15	0.508	16.731	0.618	13.574
2016/9/15	0.683	17.312	0.758	13.183
2016/10/12	0.637	14.930	0.618	12.360
2017/2/16	0.223	\	0.278	\
2017/4/13	0.344	15.741	0.658	13.125
2017/4/29	0.489	16.712	0.686	13.141
2017/5/2	0.518	16.799	0.648	13.024
2017/5/13	0.501	16.877	0.734	13.161
2017/5/17	0.476	17.715	0.838	13.385
2017/8/15	0.848	17.733	0.758	13.137
2017/9/16	0.869	16.324	0.333	12.235
2018/3/14	0.281	\	0.618	11.013
2018/4/10	0.552	16.745	0.754	13.805
2018/5/17	0.643	16.732	0.333	13.562

593

594

Table 4 Mechanical parameters of sliding body model

Lithology	Elastic Modulus (N/m <sup>2</sup> )	Poisson Ratio	Gravity (N)	Internal Cohesion (N/m <sup>2</sup> )	Internal Friction Angle	Permeability Coefficient (cm/s)
Arkose	600000	0.25	19200	30000	36°	1.20E-07
Silty Mudstone	360000	0.28	19000	20000	30°	6.00E-07
Clay	300000	0.3	18000	10200	11.2°	1.20E-06

595