



1 **Influencing factors and their interactions of water erosion**  
2 **based on yearly and monthly scale analysis: A case study**  
3 **in the Yellow River basin of China**

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10 **Abstract.** In the Yellow River basin, soil erosion is a significant natural hazard problem, seriously  
11 hindering the sustainable development of society. An in-depth assessment of soil erosion and a  
12 quantitative identification of the influencing factors are important and fundamental for soil and water  
13 conservation. The RUSLE model and geographical detector method were applied to evaluate and identify  
14 the dominant factors and spatiotemporal variability in the Yellow River basin. We found that  
15 topographical factors such as slope and surface roughness were the dominant factors influencing the  
16 spatial distribution of soil erosion in the Yellow River basin, while rainfall and vegetation were as follows.  
17 In the period of low rainfall and vegetation coverage, the interaction of rainfall and slope can enhance  
18 their impact on the distribution of soil erosion, while the combination of vegetation and slope was the  
19 dominant interacting factor in other periods. The dominant driving factors of soil erosion variability were  
20 affected by changes in rainfall, but the contribution decreased. The spatial and temporal heterogeneity of  
21 soil erosion on a monthly scale was higher, and July had the highest amount of soil erosion with a multi-  
22 year average of 12.385 ton/(km<sup>2</sup>·a). The results provide a better understanding of the relationships  
23 between soil erosion and its latent factors in the Yellow River basin. Given the temporal and spatial  
24 heterogeneity effects of geographical conditions, especially at the basin scale, policy-makers should form  
25 a collaborative environmental governance framework to minimize the risk of soil erosion.

26 **1 Introduction**

27 Soil erosion has the potential to change soil structure and negatively affects soil fertility, land  
28 productivity, food security, biological diversity and the global carbon (C) cycle; additionally, soil erosion  
29 is likely the most dangerous form of soil degradation worldwide (Amundson et al., 2015; Van Oost et  
30 al., 2012; Alexandridis et al., 2015; Keesstra et al 2016; Lal, R., 2004). It is a global environmental and  
31 ecological issue that seriously hinders the sustainable development of society (Borrelli et al., 2017;  
32 Martinez-Casasnovas et al., 2016; Kefi et al., 2011). Although a large number of soil erosion assessments  
33 have been carried out on different spatial scales, the relationships between environmental factors and soil



34 erosion are not consistent among various research conditions. How to quantify the effect of  
35 environmental factors on the distribution and variability of soil erosion, especially considering the  
36 interaction of environmental factors, is still a question that must be answered by conducting multiple  
37 analyses of regions that experience high soil erosion.

38 The identification of the mechanisms of soil erosion and factors affecting soil erosion is an  
39 important basis for land use management and ecosystem government. Several studies have focused on  
40 determining the driving forces affecting soil erosion, including precipitation, geomorphology, land use  
41 type, vegetation, and soil physical properties (Vrieling, 2006; Zhou et al., 2008; Peng and Wang, 2012;  
42 Gao and Wang., 2018; Beskow et al., 2009; Tian et al., 2009). The splashing function of raindrops and  
43 the runoff generated by rainfall are the main driving factors of soil erosion. As the slope increases, the  
44 amount of soil erosion and the rate of increase of soil erosion both increase. For vegetation, the vegetation  
45 canopy can protect the surface soil from direct impact from raindrops and weaken runoff, thus eventually  
46 reducing soil erosion. The Yellow River, especially the middle reaches located on the Loess Plateau, is  
47 the region with the most serious soil erosion caused by water in the world (Liu and Liu, 2010; Sun et al.,  
48 2014). The Chinese Government has undertaken numerous soil conservation projects in the Yellow River,  
49 especially the Grain-for-Green Program that started in 1998, which has greatly improved the ecological  
50 and environmental quality in this region and is expected to influence soil erosion (Gao et al., 2011; Fu et  
51 al., 2011). Sun et al. explored the effects of rainfall, vegetation cover, land cover and topography on soil  
52 erosion risk in the Loess Plateau (2013;2014). Zhao et al. identified the risk of soil erosion in the middle  
53 reaches of the Yellow River from 1978 to 2010 dynamically (2018). Du et al. assessed the risk caused  
54 by water and wind in the watershed of the Ningxia-Inner Mongolia reach of the Yellow River (2016).

55 Previous studies have primarily been concerned with the identification and quantification of single  
56 factors; however, research on the effects of multi-factor interactions on soil erosion is insufficient. The  
57 variation in precipitation will influence the soil water content, further influence the development of  
58 vegetation, and eventually decrease or accelerate erosion (Hou et al., 1996). In addition, the decreased  
59 rainfall reduces the rainfall erosivity and eventually lowers the amount of soil erosion, but it may also  
60 lower the density of vegetation cover due to insufficient water. Therefore, the relationships among  
61 precipitation, vegetation, topography and erosion are uncertain due to their complex interactions, and



62 quantitative studies of their contributions and multiple interacting factors are important. These studies  
63 are important and necessary for policy-makers to develop soil and water protection measures.

64 Large-scale soil erosion monitoring relies heavily on the development of models, and the Revised  
65 Universal Soil Loss Equation (RUSLE) is the most widely applied empirical erosion model based on the  
66 Universal Soil Loss Equation (USLE) (Wishmeier and Smith, 1978; Renard et al., 1997). Using the  
67 detailed surface information provided by remote sensing, the RUSLE model has successfully been  
68 applied to a variety of spatial scale assessments of soil erosion, from the plot scale to the global scale  
69 (Thiam, 2003; Vrieling, 2006; Van der kniff, 1999; Van der kniff, 2000; Borrelli et al., 2013).  
70 Specifically, for the RUSLE model, the soil erodibility (K factor) and topography (LS) factors are stable  
71 over a long time period and are relatively independent of anthropogenic interventions. However, the  
72 rainfall erodibility (R factor) and vegetation cover and management factor (C factor) are seasonally  
73 variable. The C factor is the most adjustable factor based on land use management (Durán Zuazo and  
74 Rodríguez Pleguezuelo, 2008; Maetens et al., 2012; Biddoccu et al., 2014; Eshel et al., 2015; Biddoccu  
75 et al., 2016), with the highest amplitude of spatial and temporal variation among all the RUSLE factors  
76 (Estrada-Carmona et al., 2016). Similar to the C factor, the contribution of the R factor is also the  
77 amplitude of the spatial and temporal variation caused by the large variability in the monthly rainfall  
78 under the context of climate change. Because of seasonal changes in these environmental factors, the  
79 annual scales of soil erosion assessments often ignore more detailed fluctuations, and the effects of  
80 factors related to soil erosion must also have the same seasonal effects. Furthermore, the focus of soil  
81 and water conservation work is closely related to the seasonal fluctuation of soil erosion and its driving  
82 factors. Compared to existing annual scale studies, more detailed time-scale soil erosion assessments are  
83 urgently needed, which would help establish the effects and trends of various factors on soil erosion and  
84 develop soil and water conservation strategies based on seasonal fluctuations.

85 The aim of this work is to study the dominant factors influencing soil erosion and temporal change  
86 in the Yellow River basin of China. The specific objectives include the following: (1) obtain the  
87 distribution and monthly variation of soil erosion in the Yellow River basin; (2) quantitatively identify  
88 the dominant factors affecting the distribution pattern and variability of soil erosion on a yearly and  
89 monthly scale.



90 **2 Data and methods**

91 **2.1 Study area**

92 The study area is the Yellow River basin. The Yellow River has a total length of 5,464 km and a  
93 drainage area of 795,000 km<sup>2</sup>, accounting for 8.28% of China's land area (Figure 1). According to  
94 statistics from 1997, the population of the Yellow River basin was 1.07 × 10<sup>8</sup>, accounting for 8.6% of  
95 the national population; additionally, the area of cultivated land in the Yellow River basin was  
96 1.26 × 10<sup>7</sup> km<sup>2</sup>, accounting for 13.3% of the country's cultivated land and making it an important  
97 agricultural development zone in China. However, soil erosion in the Yellow River basin, especially in  
98 the middle reaches of the Loess Plateau, has become an important environmental problem that hinders  
99 local agricultural and socio-economic development. Therefore, the soil and water conservation work in  
100 the Yellow River basin is of great significance to the sustainable development of the basin.

101 **2.2 Data and processing**

102 **2.2.1 The RUSLE model**

103 The soil erosion was estimated by the RUSLE model (Renard et al., 1997), which was revised based  
104 on the USLE model (Wishmeier and Smith, 1978). This model has been used to simulate and assess soil  
105 erosion worldwide using GIS and remote sensing tools. The equation is as follows:

106 
$$A = R \times K \times LS \times C \times P, \quad (1)$$

107 where A is the soil erosion module, R is the rainfall erosivity factor, K is the soil erodibility factor,  
108 LS is the slope aspect factor, C is the land cover and management factor, and P is the conservation  
109 measure factor.

110 The R factor was computed using a diurnal rainfall model based on the Köppen climatic zone. The  
111 Yellow River basin contains 6 Köppen climatic zones: BS (arid and steppe), BW (arid and steppe), Cf  
112 (warm temperate and fully humid), Cw (warm temperate and winter dry), Dw (snow and dry winter) and  
113 Df (snow and fully humid). The specific R factor formula is as follows:

114 
$$EI = \alpha P^\beta + \varepsilon, \quad (2)$$

115 where P is the daily rainfall data, and the values of α, β, and ε depend on the climate region. The  
116 parameters are shown in Table S2. Rainfall data from 1995 to 2015 were acquired from the National



117 Meteorological Information Center (<http://data.cma.cn/>). A gridded rainfall erosivity dataset with a  
 118 spatial resolution of 1000 m at monthly and yearly scales was interpolated using ANUSPLIN 4.2  
 119 software (Hutchinson, 2001), with data from 240 meteorological stations in the Yellow River basin and  
 120 its surrounding areas.

121 We computed the soil erodibility (K factor) using the land erosion-productivity impact model (EPIC)  
 122 developed by Williams et al. (1990) as follows:

$$123 \quad K = \left[ 0.2 + 0.3e^{-0.0256SAN\left(1-\frac{SIL}{100}\right)} \right] \left( \frac{SIL}{CLA+SIL} \right)^{0.3} \left( 1.0 - \frac{0.25C}{C+e^{3.72-2.95C}} \right) \left( 1.0 - \frac{0.7SN_1}{SN_1+e^{-5.51+22.9SN_1}} \right), \quad (3)$$

124 where SAN is the percent sand content, SIL is the percent silt content, CLA is the percent clay content,  
 125 C is the percent organic carbon content, and  $SN_1 = 1 - SAN/100$ .

126 Factors L and S were calculated based on the interaction of topography and flow accumulation.  
 127 Thus, the 90 m digital elevation model (DEM) dataset STRM3 DEM (<http://srtm.csi.cgiar.org/>) was used.  
 128 For S, the formula of McCool et al. (1987) was selected for slopes below 10°, and the formula of Liu et  
 129 al. (1994) was used for slopes above 10°. The specific formula is as follows:

$$130 \quad S = 10.8 \times \sin\theta + 0.03 \quad (\theta < 5^\circ), \quad (4)$$

$$131 \quad S = 16.8 \times \sin\theta - 0.5 \quad (5^\circ \leq \theta < 10^\circ), \quad (5)$$

$$132 \quad S = 21.9 \times \sin\theta - 0.96 \quad (10^\circ \leq \theta), \quad (6)$$

133 where  $\theta$  is the slope value.

134 The L factor was computed using the method developed by Liu et al. (2010), based on the  
 135 expression in Foster and Wischmeier (1974).

$$136 \quad L_i = \frac{\lambda_{out}^{m+1} - \lambda_{in}^{m+1}}{(\lambda_{out} - \lambda_{in-1})22.13^m}, \quad (7)$$

$$137 \quad m = \begin{cases} 0.2 & \theta \leq 0.5^\circ \\ 0.3 & 0.5^\circ < \theta \leq 1.5^\circ \\ 0.4 & 1.5^\circ < \theta \leq 3^\circ \\ 0.5 & \theta > 3^\circ \end{cases}, \quad (8)$$

138 where  $L_i$  is the L factor of the  $i$ -th grid,  $\lambda_{out}$  and  $\lambda_{in}$  are the slope lengths of the exit and entrance,  
 139 respectively, and  $m$  is the slope length index.



140 The C factor is defined as the ratio of soil loss under the given vegetation cover to that which would  
141 occur under continuously bare soil. The C factors were acquired from previous large-scale studies in  
142 Europe (Van der kniff,1999,2000), and the detailed equation is as follows:

$$143 \quad C = \exp(-2(\text{NDVI}/(1 - \text{NDVI}))), \quad (9)$$

144 where the NDVI is the normalized difference vegetation index. The NDVI images were acquired by the  
145 Global Inventory Modelling and Mapping Studies (GIMMS) NDVI 3g V1.0, which has a 15-day spatial  
146 resolution of 1/12 degrees that is available globally (<https://ecocast.arc.nasa.gov/data/pub/gimms/3g.v1/>). Using the maximum value composite (MVC) method, we generated monthly NDVI data based  
147 on two corresponding 15-day datasets and used the average of the generated monthly NDVI dataset to  
148 obtain the annual NDVI dataset. P is the supporting practice. Due to the lack of data and the spatial  
149 resolution of the research, this value was set to 1.  
150

151 The Climate Change Initiative land cover (CCI LC) project developed by the European Space  
152 Agency with a spatial resolution of 300 m was also used in this study. The temporal frame of analysis  
153 included 20 years from 1995 to 2015, with particular attention to the five temporal nodes of 1995, 2000,  
154 2005, 2010 and 2015.

#### 155 2.2.2 Geographical detector

156 The geographical detector is a spatial variance analysis method developed to detect the  
157 heterogeneity of an event and assess the relationship between the event and its potential risk factors,  
158 including environmental and anthropogenic factors (Wang et al., 2010). The core idea is based on the  
159 assumption that if an independent variable  $X$  has an important influence on a dependent variable  $Y$ , then  
160 the spatial distributions of the independent variable  $X$  should have similarities (Wang et al., 2012, Wang  
161 et al., 2017). The proportion of the spatial distribution of dependent variable  $Y$  that can be explained by  
162 independent variable  $X$  is measured by the power of determinant ( $q$  value). The calculation is as follows:

$$163 \quad q = 1 - \frac{1}{N\sigma^2} \sum_{Z=1}^L N_Z \sigma_Z^2, \quad (10)$$

$$164 \quad \sigma_Z^2 = \frac{1}{N_Z - 1} \sum_{i=1}^{N_Z} (Y_{z,i} - \bar{Y}_Z)^2, \quad (11)$$

$$165 \quad \sigma^2 = \frac{1}{N - 1} \sum_{j=1}^N (Y_j - \bar{Y})^2, \quad (12)$$



166 where  $\sigma^2$  is the variance of  $Y$  in the region,  $\sigma_z$  is the variance in zone  $Z$  divided by  $X$ ,  $N$  is the number  
167 of sample units in the region,  $N_z$  is the number of sample units in zone  $Z$ , and  $L$  is the number of  
168 zones.  $Y_{z,i}$  and  $Y_j$  are the values of  $Y$  in the  $i$ -th sample units of zone  $Z$  and the  $j$ -th sample unit of the  
169 entire region, respectively.

170 Two modules provided by a geographical detector, a factor detector module and an interaction  
171 detector module are used in this study. The factor detector module probes the extent to which factor  $X$   
172 (independent variable) explains the spatial differentiation of attribute  $Y$  (dependent variable), and the  $q$   
173 value of the interaction between two influencing factors was calculated using the interaction detector  
174 module. The input dataset (independent variable  $X$ ) that a geographical detector requires must be  
175 discretized, such as a land use dataset and a continuous value dataset, such as a rainfall and slope dataset,  
176 must be discretely processed by a certain method. In this study, we divided the rainfall, slope and NDVI  
177 into nine sections using the natural break method. The land use dataset (CCI LC) was reclassified into  
178 nine categories based on the classification scheme of Table S1. We selected 816 randomly distributed  
179 sample points with a spatial separation of at least 15 km as statistical units for model input, and the  
180 distribution of sample points is listed in Figure S1. We conducted a geographical detector method with  
181 ArcGIS 10.5 and the R package “geodetector” ([https://cran.r-](https://cran.r-project.org/web/packages/geodetector/index.html)  
182 [project.org/web/packages/geodetector/index.html](https://cran.r-project.org/web/packages/geodetector/index.html)).

### 183 **3 Results**

#### 184 **3.1 Distribution and monthly variation of soil erosion**

185 The soil erosion in the Yellow River basin in 2015 showed a high degree of spatial heterogeneity.  
186 The areas with large amounts of soil erosion were mainly concentrated in the middle reaches of the  
187 Yellow River. In Inner Mongolia, Shandong, southwestern Shaanxi, northern Ningxia and western Gansu,  
188 the amount of soil erosion was small. There is a large risk of soil erosion in the eastern part of Qinghai,  
189 southern Gansu, southern Ningxia and north-western Shaanxi, which is caused by pressures from soil  
190 and water conservation. From the perspective of the basin, the middle reaches of the Yellow River,  
191 such as the Weihe River, face a high risk of soil erosion. Although the soil erosion intensity in the lower  
192 reaches of the Yellow River is not high, the sediment caused by the erosion of the middle reaches of the  
193 Yellow River causes sedimentation in the downstream riverbed, which further affects the atrophy and



194 uplift of the riverbed in the downstream area. The lower reaches of the Yellow River also face problems,  
195 such as river channel siltation, reservoir lake siltation, and river bank erosion. Due to the thin soil layer  
196 and the exposed rock in the area of Qinghai, although the current soil erosion intensity is low, the area  
197 faces the potential danger of high soil erosion.

198 Figure 3 illustrates the boxplot of soil erosion and its scatter distribution for each month from 1995  
199 to 2015. The amount of monthly soil erosion was significantly different from 1995 to 2015. The overall  
200 numerical distribution showed a more pronounced symmetrical shape: the middle months were high, and  
201 the values at the beginning and end of the year were lower. Specifically, soil erosion reached its highest  
202 level in July with a multi-year average of 12.385. The average monthly soil erosion in the first and fourth  
203 quarters was relatively low, at 2.006 and 3.332, respectively. Compared with March, the multi-phase soil  
204 erosion in April increased by 115.79%. There was also a large drop in November compared with that in  
205 October, with a decline of 57.81%. Furthermore, the soil erosion was extremely low in January and  
206 December, with multi-phase averages of 0.833 and 0.526, respectively. However, the median amount of  
207 multi-phase soil erosion in May was higher than that in June, but the average was slightly lower.

### 208 **3.2 Quantitative attribution analysis of yearly and monthly soil erosion distributions**

209 Figure 4 illustrates the quantitative attribution of soil erosion at the annual and monthly scales;  
210 specifically, at the annual scale, topographic factors contribute more to soil erosion, while the dominant  
211 factors in different time periods are different at the monthly scale. At the annual scale, the factors  
212 affecting each factor did not change much and were relatively stable. From the annual scale, the slope  
213 and surface roughness have a greater impact, while the rainfall and vegetation effects are ranked as three  
214 or four. The topographical factor increased its influence before 2005, and the  $q$  value reached values  
215 above 0.2 and then experienced fluctuations in terms of its decline and rise. Because both are based on  
216 DEM dataset generation, the effects of surface roughness and slope present a synergistic change. The  
217 rainfall peaked in 2000, and the  $q$  value followed with a small decline.

218 At the monthly scale, the shock of various influencing factors was very obviously, and rainfall and  
219 slope factors had a greater impact at the beginning and end of the year, while in the middle of the year,  
220 vegetation had a greater impact. Compared to the other months, the impacts of land cover in March are  
221 the highest of those for the year. At the beginning and end of the year, when the rainfall and vegetation  
222 coverage are relatively low, rainfall has a greater impact, while in periods of high rainfall and high





223 vegetation coverage, vegetation factors will play a leading role over the effects of other factors. The  
224 spatial resolution of the NDVI dataset used in this study was 8 km and that of the land cover dataset was  
225 300 m. The spatial resolution of the two was quite different, which caused the detailed land cover  
226 information to be covered by the coarse-resolution vegetation information. Thus, the effect of land cover  
227 on soil erosion would be underestimated in this study. In general, the contribution rate of a single factor  
228 to soil erosion is low. Only in January 2005 did the  $q$  value of the rainfall impact reach 0.42, which was  
229 the highest in the study. In other cases, the  $q$  value of the influencing factor of a single factor almost did  
230 not exceed 0.3.

231 According to Figure 4, because there is some redundancy between slope and surface roughness and  
232 the influence of land cover-related factors is low, the three main factors of topography, rainfall and  
233 vegetation are selected for analysis. The effect of pairwise interactions among the three factors on soil  
234 erosion was studied (Figure 5). In general, the interaction of two factors is more effective in explaining  
235 soil erosion than is a single factor. Similarly, the annual scale suggests that the factors affecting each  
236 factor change little and are relatively stable. At the monthly scale, the shock of various influencing factors  
237 is very obvious.

238 From the annual scale, the synergy between the NDVI and slope plays a greater role, followed by  
239 the synergy between the rainfall and slope. The  $q$  value of the two is approximately 0.4. The NDVI and  
240 slope, the rainfall and slope, and the slope and vegetation are similar in several typical years, including  
241 1995, 2000, 2005, 2010, and 2015. The  $q$  value showed an upward trend in 1995 – 2005, then decreased  
242 slightly and finally increased. At the monthly scale, at the beginning and end of the year, the rainfall and  
243 slope were synergistically dominant. In the middle of the year, the vegetation and slope factors were  
244 dominant, and between 2000 and 2015, there were fewer time nodes that shared a combination of rainfall  
245 and vegetation. The rainfall and slope factors showed a relatively obvious increase and then decreased,  
246 reaching the lowest value around July. In several months, the synergy between rainfall and slope reached  
247 its highest in January 1995, and its  $q$  value was 0.727. In July 2005, the lowest value was reached, and  
248 its  $q$  value was 0.153. The synergy between vegetation and slope showed irregular oscillations in the  
249 months of 1995 and 2000, while in 2005, 2010, and 2015, a certain peak was reached in the middle of  
250 the year. The synergy between vegetation and rainfall presented irregular oscillations in the study years.



### 251 3.3 Quantitative attribution analysis of yearly and monthly soil erosion variability

252 Figure 6 shows the effect of annual and monthly scale single factors on soil erosion. At the annual  
253 scale, the magnitude of the three factors is ranked as rainfall > slope > vegetation. In general, rainfall had  
254 a higher impact on soil erosion than did the other two factors, and the trend of the effect of rainfall first  
255 increased and then decreased. The impact reached its highest in 2005, with a q value of 0.287, and then  
256 it experienced a decline, and the q value of rainfall in 2015 was less than 0.1. While the NDVI had a  
257 small impact on soil erosion changes, it experienced a slow rise. The rainfall in 2015 experienced a large  
258 increase compared to that in 2010.

259 At the monthly scale, the changes in the effects of the three factors are obvious, and the rainfall  
260 factor tends to have a greater impact at the beginning and end of the year due to the obvious changes in  
261 rainfall at the beginning and end of the year. The q value of the rainfall factor at the beginning and end  
262 of the year is higher. In the middle of the year, the change of rainfall is relatively low, which results in a  
263 lower impact on the amount of soil erosion in the adjacent months. For the vegetation factor, the time  
264 period with the lowest impact of the whole year is the period with the smallest q value, which occurs  
265 around July. Due to the year-round variation in the NDVI, the impact of vegetation on soil erosion  
266 changes to a lower value in the middle of the year.

267 Figure 7 shows the contribution of the two-factor interactions to changes in soil erosion at annual  
268 and monthly scales. At the annual scale, after 2005, the impact of the slope and rainfall interaction is  
269 declining, but at all research nodes, the interaction of the slope and rainfall is the strongest among the  
270 three factors, and the impact of vegetation on soil erosion rises. The interaction between the vegetation  
271 and rainfall experienced an initial increase and then a decrease. At the monthly scale, the interaction  
272 between the rainfall and slope presented a symmetrical pattern, with a greater effect at the beginning and  
273 end of the year; furthermore, it reached its lowest value for the year around July. However, the others  
274 showed a vibrating state. Overall, the two-factor interaction was more powerful than was the single-  
275 factor interpretation, and changes in soil erosion were more sensitive to fluctuations in rainfall than to  
276 fluctuations in vegetation.



277 **4 Discussion**

278 **4.1 Integrating temporal and spatial heterogeneity effects into soil erosion management**

279 Ecosystems are complex entities that span geographic and temporal scales and are inconsistent with  
280 various man-made jurisdictional and political demarcations (Bodin, 2017). Given these conditions, it is  
281 important for the structures of governance to solve the institutional fragmentation and match the temporal  
282 and spatial extents of ecosystem processes (Lubell, 2013). Cross-border and cross-scale collaboration is  
283 often seen here as a means by which to overcome such institutional fragmentation (Cosens, 2013; Walker  
284 et al., 2009). Therefore, it is urgent to integrate temporal and spatial heterogeneity effects into erosion  
285 management and to achieve a collaborative environmental governance framework for soil and water  
286 conservation.

287 According to Figure 3, soil erosion shows a high level of temporal variability, with soil erosion  
288 being highest in July and lower at the beginning and end of the year. The reason for this heterogeneity in  
289 soil erosion is because the parameters associated with soil erosion show an equally high spatial  
290 heterogeneity (Nearing et al., 1999). The period of the highest soil erosion during the year should be the  
291 period combined with high rainfall erosivity (high R factor) and low vegetation cover (high C factor). If  
292 the annual average data are used to blindly assess soil erosion on a detailed time scale, it may cause an  
293 incorrect estimate of soil erosion, which is not conducive to the implementation of soil and water  
294 conservation work.

295 Based on the analyses in Figures 4-7, we found that the distribution patterns of soil erosion and the  
296 factors that drive changes in soil erosion vary from month to month. In general, for this study area,  
297 rainfall has a greater impact during periods of low rainfall and vegetation coverage, while the  
298 contribution of vegetation is greater during periods of high vegetation coverage and rainfall. In short, we  
299 need to plan reasonable soil and water conservation work based on the characteristics of the time period.  
300 In recent years, demographic, cultural and political changes have had a strong impact on deforestation,  
301 replacing forests with croplands, and this practice has led to an increase in soil erosion (Begueria et al.,  
302 2006). A large range of soil and water conservation measures have been adapted to increase agricultural  
303 production and reduce soil erosion. These techniques are mainly concentrated on reducing slope  
304 correction/water velocity (i.e., bench terraces), increasing vegetation cover (i.e., cover crop, mulching,  
305 permanent cover with tree/crop/herbaceous associations and rangeland restoration) and/or improving soil



306 quality (i.e., amendments) (Raclot et al., 2018). However, these control measures become more  
307 concentrated by changing the C factor or the LS factor. We found that the soil erosion distribution and  
308 changes were more sensitive to the interaction of two factors compared to that of a single factor. In other  
309 words, soil erosion control measures for two or more factors may have a significant improvement.  
310 Furthermore, all of these techniques have been introduced with varying degrees of success depending on  
311 the environmental and societal contexts (De Graaff et al., 2013; García-Ruiz et al., 2013).

312 The formulation and implementation of land use policies and ecological protection policies cannot  
313 be constrained to certain administrative units (Chi and Ho, 2018). The management of soil erosion risk  
314 should also break through the boundaries of administrative units; however, most work is based on the  
315 three-level basin scale. Promoted by the Chinese Government, the River Chiefs system is well-placed to  
316 coordinate various governmental departments and improve the efficiency and efficacy of a multitude of  
317 water-resource management efforts, operating on the provincial, city, county, and township levels.  
318 Drawing on the experience of the River Chiefs system, it is urgent to establish a water and soil  
319 conservation management system based on different river basin level scales. Furthermore, human  
320 behaviours and multiple ecosystem processes have been interconnected, and ecosystem management  
321 may trigger possible unprecedented effects on the target and/or non-target processes (Zhao et al., 2018).  
322 Therefore, soil and water conservation is by no means an isolated act because soil erosion control may  
323 cause multiple effects from the local to regional scales (Fu et al., 2017). Using soil and water conservation  
324 as a case study, there can be positive effects, such as soil conservation and C fixation, at the local scale  
325 (Wang et al., 2015); however, it can also lead to environmental problems downstream, such as dried soil  
326 layers and water shortages (Feng et al., 2016). Large-scale soil and water conservation requires cross-  
327 sectoral and cross-regional trade-offs and coordination.

#### 328 **4.2 The direction of model improvement**

329 Scale refers to the time and space dimension of the object of process under study, and the  
330 appropriate scale for observations is a function of the type of environment and the type of information  
331 desired (Woodcock and Strahler., 1987). The representation of geographical phenomena on the time and  
332 space scales, as the time and space resolutions of observations change, the information that is obtained  
333 also changes. The spatial scale of the application of RUSLE's original design should be only at the plot  
334 scale. However, with the deepening of the research, the RUSLE model has been applied to larger scales,



335 e.g., nation (Van der kniff, 1999), continent (Van der kniff, 2000) and even global (Borrelli et al., 2013),  
336 by adjusting the data sources, algorithms and parameters of some factors in RUSLE. However, the  
337 exploration of using RUSLE at different temporal scales is still lacking, and a small number of studies  
338 focus on the C factor for a more in-depth discussion (Alexandridis et al., 2015; Schmidt et al., 2018).  
339 However, there has been a rapid advancement of remote sensing and GIS technology and an  
340 improvement in the satellite revisiting cycle, which provides data with different spatial and temporal  
341 resolutions and data downscaling methods. The data accumulated by long-term field testing also provide  
342 extensive and accurate verification values for the validation and application of the model. Overall, a lack  
343 of data is no longer a hindrance to the development of soil erosion models. High temporal resolution  
344 products based on MODIS data series have been widely used. The high temporal resolution of soil  
345 erosion mapping should also receive attention.

346 Based on the study of Figure 4 and Figure 6, slope has a greater impact on the spatial distribution  
347 of soil erosion, and the change in soil erosion is more sensitive to the change in rainfall. The finer R  
348 factor method and rainfall datasets can more accurately characterize the change in soil erosion, while the  
349 finer LS factor and method can invert the spatial distribution of soil erosion. Of course, any improvement  
350 in data, method, and parameters for each factor in the RUSLE model can effectively improve the  
351 accuracy of soil erosion, but it may be a more efficient direction to explore the R or LS factors in depth  
352 over the other factors.

353 Many of the currently developed C factor formulas combine land use and NDVI data (Panagos et  
354 al., 2015; Jiang et al., 1996; Liu et al., 2010). However, the inconsistency of the spatial resolution scale  
355 of the NDVI and land cover data result in greater uncertainty of the research in specific applications.  
356 Therefore, the adaptability of the spatial resolution of the two kinds of data should be fully considered in  
357 the development of C factor formulas that combine vegetation and land cover data.

#### 358 **4.3 Uncertainty analysis and future perspectives**

359 The method used to evaluate the factors affecting soil erosion was the geographical detector method,  
360 but the input of independent variable data used by this tool must be discretized according to certain  
361 principles. The choice of discretization methods will inevitably affect the interpretation of the final results.  
362 According to the previous experience of soil erosion (Gao and Wang, 2019), we used the natural break



363 method, and the input data were divided into 9 categories. Other classification methods, such as the  
364 geometrical interval and equal interval methods, are also worth trying.

365 This study applies the RUSLE model to a monthly scale, which violates the original intention of  
366 the RUSLE model design, but we think this was an effective attempt. The amount of monthly scale  
367 erosion that may be assessed is not accurate but reflects the trend in soil erosion at a monthly scale to  
368 some extent. We believe that this study provides many useful ideas and inspirations for soil erosion  
369 assessment and control.

## 370 **5 Conclusion**

371 The current study identified the dominant factors (and combinations of factors) of soil erosion in  
372 the Yellow River basin of China and its variability in the typical years of 1990, 1995, 2000, 2005, 2010  
373 and 2015 based on the RUSLE model and the geographical detector method.

374 Topographical factors such as slope and surface roughness have a greater impact on the spatial  
375 distribution of soil erosion, while rainfall and vegetation are as follows. In periods of low rainfall and  
376 vegetation coverage, the interaction of rainfall and slope has a great influence on the distribution of soil  
377 erosion, while in periods of high vegetation coverage and high rainfall, the spatial distribution of soil  
378 erosion is greatly affected by the synergy of vegetation and slope. The change in rainfall contributes  
379 greatly to the change in soil erosion, but the contribution decreases each year, and the contribution of  
380 vegetation change increases each year.

381 We found that the distribution patterns of soil erosion and the factors that drive changes in soil  
382 erosion vary from month to month and vary from area to area. It is necessary to combine the temporal  
383 and spatial heterogeneity with the soil erosion management and form a collaborative environmental  
384 governance framework. A finer LS factor formula, terrain datasets, R factor formula and rainfall datasets  
385 can more accurately characterize the distribution and variation of soil erosion. Future research needs to  
386 develop soil erosion assessment models for higher temporal resolutions (monthly scale) to cope with soil  
387 erosion risks.



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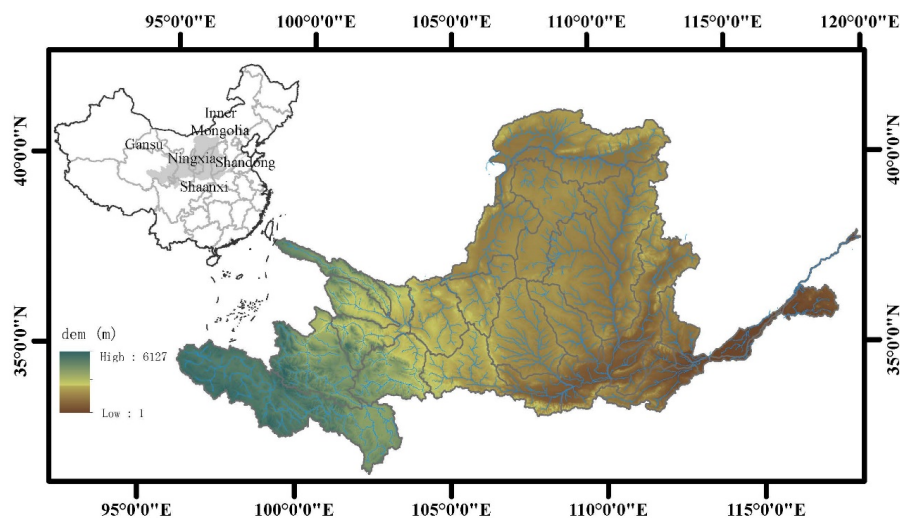




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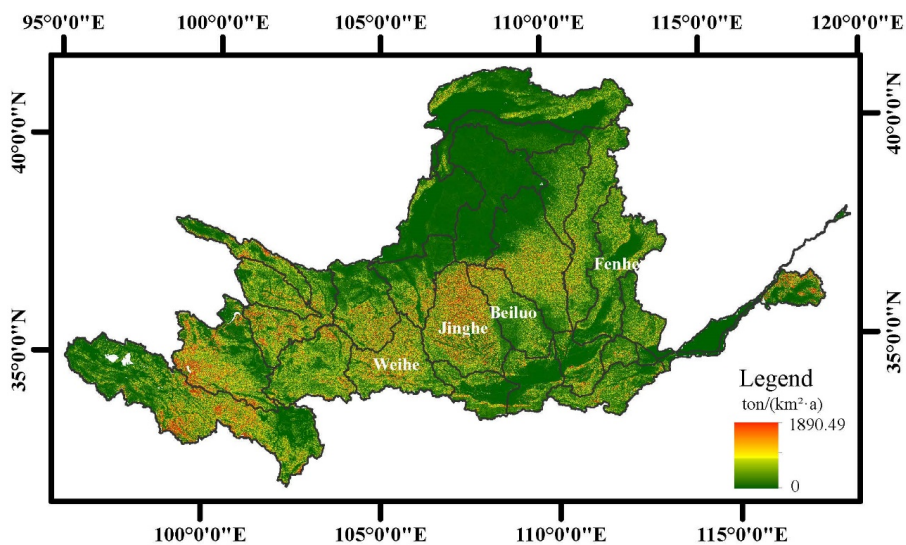
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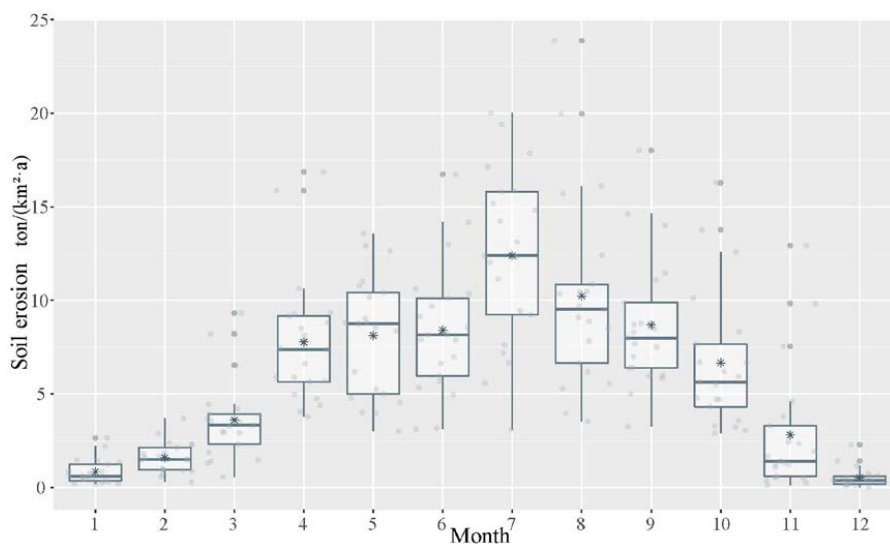
Figure 1: The location of the study area in China and the regional topography.



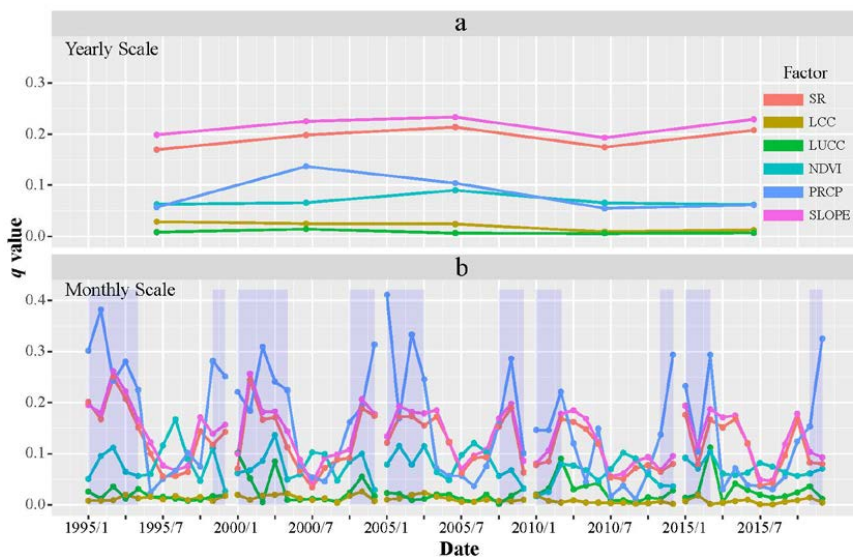
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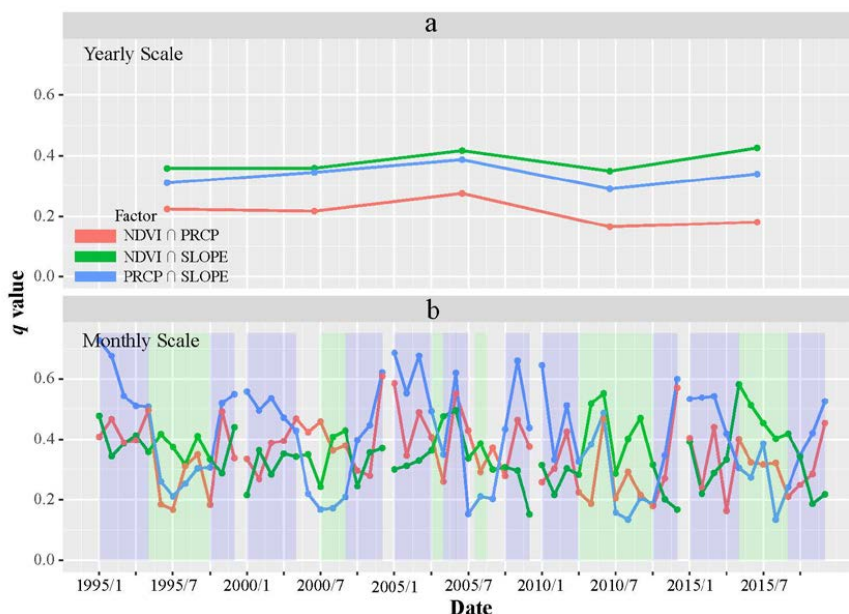
Figure 2: Distribution of soil erosion in the Yellow River basin in 2015.



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 559 **Figure 3: Variation in average monthly soil erosion from 1995 to 2015.**



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 561 **Figure 4: Contribution analysis of a single factor to the soil erosion distribution on a yearly and monthly scale.**  
 562 **SR** refers to the surface roughness, **LCC** refers to the land cover complexity, **LUCC** refers to the land use and  
 563 **land cover change**, **NDVI** refers to the normalized difference vegetation index, **PRCP** refers to the  
 564 **precipitation** and **SLOPE** refers to the surface slope gradient.



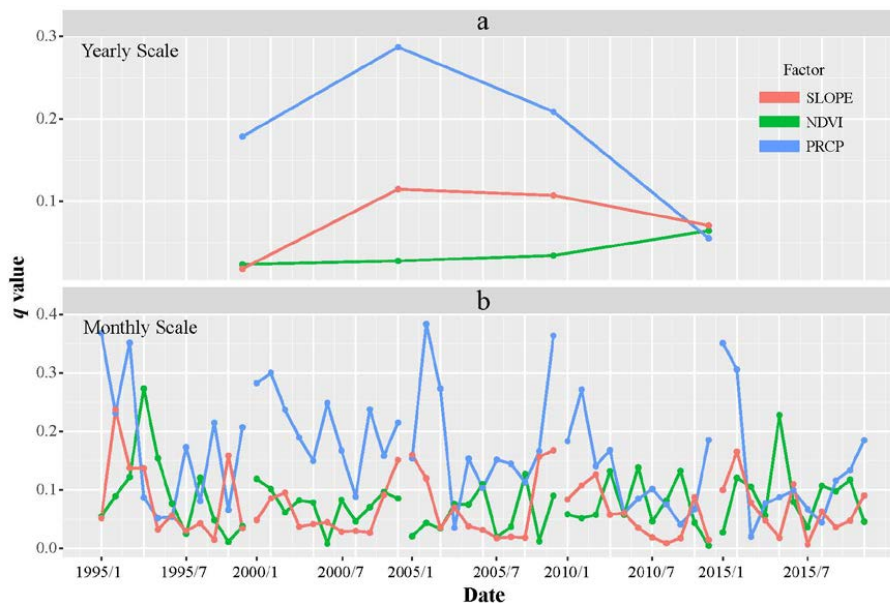
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**Figure 5: Contribution analysis of multiple interacting factors to soil erosion distribution on a yearly and monthly scale, where NDVI refers to the normalized difference vegetation index, PRCP refers to the precipitation and SLOPE refers to the surface slope gradient.**



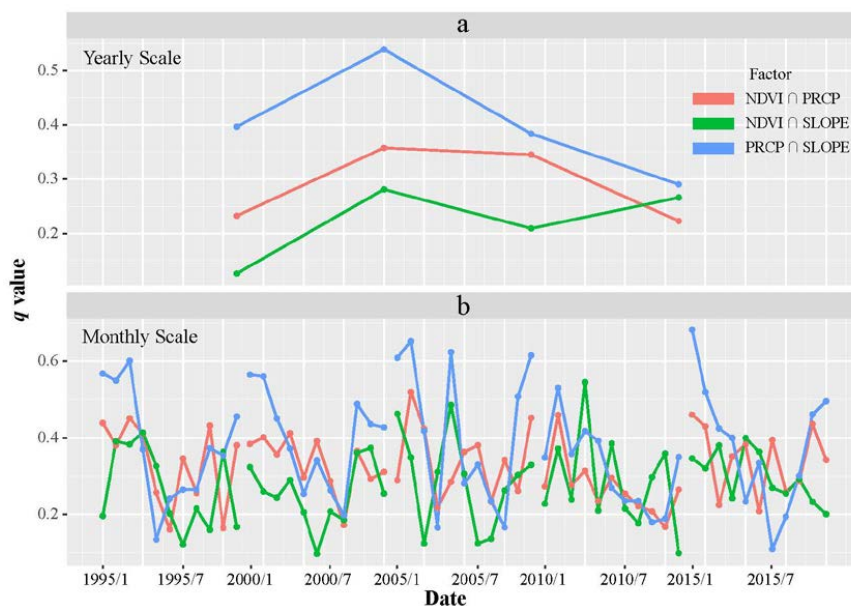
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**Figure 6: Contribution analysis of a single factor to soil erosion variability on a yearly and monthly scale, where NDVI refers to the normalized difference vegetation index, PRCP refers to the precipitation and SLOPE refers to the surface slope gradient.**



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**Figure 7: Contribution analysis of multiple interacting factors to soil erosion variability in yearly and monthly scales, where NDVI refers to the normalized difference vegetation index, PRCP refers to the precipitation and SLOPE refers to the surface slope gradient.**