

# Revised earthquake sources along Manila Trench for tsunami hazard assessment in the South China Sea

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## Abstract

Seismogenic tsunami hazard assessments are highly dependent on the reliability of earthquake source models. Here in a study of the Manila subduction zone (MSZ) system, we combine the geological characteristics of the subducting plate, the geometry, and coupling state of the subduction interface to propose a series of fault rupture scenarios. We divide the subduction zone into three rupture segments: 14°N-16°N, 16°N-19°N and 19°N-21.7°N inferred from geological structures associated with the down-going Sunda plate. Each of these segments is capable of generating earthquakes of magnitude between Mw 8.5+ and Mw 9+, assuming a-1000-year seismic return period as suggested by previous studies. The most poorly constrained segment of the MSZ lies between 19°N-21.7°N, and here we use both local geological structures and characteristics of other subduction zone earthquakes around the world, to investigate the potential rupture characteristics of this segment. We consider multiple rupture modes for tsunamigenic-earthquake type and megathrust-splay fault earthquakes. These rupture models facilitate an improved understanding of the potential tsunami hazard in the South China Sea (SCS). Hydrodynamic simulations demonstrate that coastlines surrounded the SCS could be devastated by tsunami waves up to 10-m if large megathrust earthquakes occur in these segments. The regions most prone to these hazards include west Luzon of Philippines, southern Taiwan, the southeastern China, central Vietnam and the Palawan Island.

## 33 1. Introduction

34 Large and damaging tsunamis are commonly triggered by megathrust ruptures that occur  
35 along convergent plate boundaries (i.e. Subduction zones). Since 1900, many megathrust  
36 ruptures have triggered numerous devastating near- and far-field tsunamis including the  
37 1952  $M_w$  8.8-9.0 Kamchatka event (e.g., Johnson and Satake 1999; Kanamori, 1976), the  
38 1960  $M_w$  9.5 event in the Chile subduction (e.g., Cifuentes, 1989; Moreno et al., 2009), the  
39 1964  $M_w$  9.2 Alaska earthquake (e.g., Plafker, 1965), the 2004  $M_w$  9.2 Sumatra-Andaman  
40 Earthquake along northern Sunda Trench (e.g., Vigny et al., 2005; Banerjee et al., 2007;  
41 Chlieh et al., 2007) , and the more recent 2010  $M_w$  8.8 Maule event in Chile (e.g., Vigny et al.,  
42 2011; Pollitz et al., 2011) and 2011  $M_w$  9.0 Tohoku-Oki earthquake along the northwest  
43 border of the pacific ocean (e.g., Koketsu et al., 2011; Wei et al., 2012). These earthquakes  
44 and their associated subduction zones have been intensively studied from different  
45 perspectives, including their tectonic settings and long-term evolution, seismic activities,  
46 geodetic and geophysical features. In contrast, the Manila subduction zone (MSZ), which  
47 extends from the southern Taiwan to the southern tip of the Luzon Island in Philippines  
48 along the eastern margin of the South China Sea (SCS) (Figure 1), receives less attention,  
49 even though it shares many similarities with megathrust systems where large  
50 tsunamigenic earthquakes have occurred (Hsu et al., 2012, 2016).

51  
52 Over the past decade, attempts to study megathrust earthquakes and tsunamis from the  
53 Manila subduction zone are starting to gain momentum. A number of rupture models have  
54 been used to assess potential tsunami hazard in the SCS (e.g., Hong Nguyen et al., 2014; Liu  
55 et al., 2009; Megawati et al., 2009; Okal et al., 2011; Wu and Huang, 2009) and yet, the  
56 simulated tsunami wave heights and the subsequent hazard assessments differ greatly  
57 among studies (Hong Nguyen et al., 2014; Liu et al., 2009; Megawati et al., 2009; Okal et al.,  
58 2011; Wu and Huang, 2009; Xie et al., 2019). The difference often lies in the proposed fault-  
59 slip magnitudes of these models, and also the fault geometries used. Large variability in the  
60 results produced by these models underscores the fact that the seismogenic behaviors of  
61 the MSZ are still poorly understood. Some of the challenges which stand out and need to be  
62 resolved include assessing whether the MSZ is capable of hosting M9+ earthquakes; and  
63 investigating the amount of tectonic strain it has accumulated, its style of strain  
64 accumulation and constraining how that strain is likely to be released in future.

66 Several lines of evidence suggest that the Manila trench has the potential to host a giant  
67 rupture capable of generating a basin wide tsunami. Firstly, both historical earthquake  
68 records and modern seismicity databases (Hsu et al., 2012, 2016) indicate an absence of  
69 earthquakes larger than  $M_w$  7.6 since Spanish colonization of Luzon in 1560s (Bautista et al.,  
70 2012; Megawati et al., 2009; Ramos et al., 2017; Terry et al., 2017). The lack of significant  
71 megathrust-related earthquakes in modern records implies that either a predominately  
72 aseismic megathrust or a highly coupled interseismic megathrust with the potential for a  
73 large ( $M_w$  8.5+) rupture (e.g. Hsu et al., 2012). Several recent studies favor the high  
74 interseismic coupling model, since both the analysis of earthquake focal mechanisms and  
75 geodetic monitoring results demonstrate that the upper plate is under shortening, which  
76 suggests that the megathrust, at least since the 1960s, shows minimal creeping (Bautista et  
77 al., 2001; Hsu et al., 2012, 2016). Secondly, the rate of plate convergence across the Manila  
78 trench is up to 90-100 mm/year- faster than the convergence rate of the Sumatra, Japan  
79 and Nankai subduction zones, all of which have hosted giant earthquakes in the past few  
80 decades ( McCaffrey, 2008; Megawati et al., 2009; Hsu et al., 2016, 2012;). Since the MSZ  
81 did not produce any significant events in the past four centuries, >30m of slip deficit is  
82 estimated to have been accumulated on the subducting interface ( Megawati et al., 2009;  
83 Hsu et al., 2016). Thirdly, historical documents together with a few geological records  
84 across the SCS basin have reported nearly 130 tsunami-events with different generation  
85 mechanisms (i.e. Earthquakes, submarine landslides, volcanic eruptions). Although the  
86 credibility levels of these records varies (Bautista et al., 2012; Lau et al., 2010; Paris et al.,  
87 2014) and the geological-based interpretation suffers from the challenges of distinguishing  
88 tsunami waves from extreme storm surges, a series of records stand out with similar range  
89 of event ages. Notably, four independent geological and geomorphological studies (Ramos  
90 et al., 2017; Sun et al., 2013; Yang et al., 2018; Yu et al., 2009) (Figure 1) have purported  
91 evidence from coastal deposits which they have inferred to be the result of large tsunami  
92 event in SCS around 1000 to 1064 A.D., which is of near coincidence with a historical large  
93 wave event recorded in Chaoan, Guangdong in November, 1076 A.D. (Lau et al., 2010). The  
94 four independent sites of geological evidence are located at Dongdao island (Sun et al.,  
95 2013), Yongshu island (Yu et al., 2009), Badoc island near Luzon (Ramos et al., 2017) and  
96 Nanao island in southern Chinese coastline (Yang et al., 2018) (Figure 1). Since these

97 studies identified only one event and if it were indeed generated by one tsunami, then we  
98 can conclude that the event was likely to be basin-wide and triggered by a very large MSZ  
99 event. Such an event will be a megathrust earthquake with sufficiently large rupture up to  
100 1,000 km long. Such a long and persistent rupture is comparable to the rupture length of  
101 the 2004 Sumatra-Andaman earthquake (e.g. Megawati et al., 2009). With the afore-  
102 mentioned pieces of evidence, there is no reason to rule out the possibility that the Manila  
103 trench could rupture as an  $M_w$  9 earthquake (i.e. Megawati et al., 2009; Hsu et al., 2016).  
104 The current status of the Manila subduction zone could be an analog of the Sumatran  
105 subduction zone before the 2004  $M_w$  9.2 Sumatra-Andaman event between Myanmar and  
106 Aceh where a paucity of earthquake  $> M_w$  8 precede the 2004 event (Chlieh et al., 2008; Hsu  
107 et al., 2012), despite of the very different geological settings (i.e. age, buoyancy, fault  
108 geometry) between these two subduction zones.

109  
110 The SCS region is vulnerable to potential tsunami hazard. It covers an area ca.3.5 million  
111 km<sup>2</sup> (Terry et al., 2017), and is encircled by the coastlines of southeastern China, southern  
112 Taiwan, western Philippines, eastern Vietnam, northern Borneo and eastern Malaysia,  
113 forming a semi-enclosed basin (Figure 1). The SCS coastline is one of the world's most  
114 densely populated with more than 80 million people living in the surrounding coastal cities  
115 (Terry et al., 2017). Many of these coastal cities serve as the economic centers and play  
116 pivotal roles in their respective countries' economic development. The coastline also hosts  
117 a very high density of major infrastructure (i.e. nuclear power plants, ports, airports). Data  
118 from the World Nuclear Association shows that more than 10 nuclear power plants are  
119 currently in operation or about to start construction in the SCS coastline  
120 (<http://www.world-nuclear.org/information-library>). Thus, if a large megathrust  
121 earthquake (e.g.  $M_w > 9$ ) were to occur within the SCS basin (Li et al., 2018), the impact  
122 would be amplified and much more devastating as the SCS is only about 1/20 the size of the  
123 Indian Ocean. It is therefore crucial to provide physical-based earthquake rupture models  
124 for a more realistic tsunami hazard assessment in the SCS region.

125  
126 This study differs from previous studies (e.g., Hong Nguyen et al., 2014; Liu et al., 2009;  
127 Megawati et al., 2009; Okal et al., 2011; Wu and Huang, 2009), because we utilize a geodetic  
128 coupling model constrained by 17 years of GPS velocity measurements (Hsu et al., 2016) to

129 propose a suite of better constrained physically based earthquake rupture scenarios. We  
130 also consider rupture segmentations constrained by the geological characteristics and the  
131 relief of the subducting Sunda plate. Scenario-based rupture models are different with the  
132 probabilistic-based tsunami hazard assessments within which hundreds and thousands are  
133 implemented for rupture uncertainty estimates. Therefore, the probabilistic approaches  
134 (e.g., Li et al., 2016; Grezio et al., 2017) are often more complex to understand and  
135 implement than the scenario-based approaches. Here the proposed rupture models afford  
136 a physical-based understanding of the tsunami hazard in the SCS. As a demonstration, we  
137 implement the rupture models to conduct hydrodynamic simulations to assess the tsunami  
138 characteristics along the coastlines of the SCS.

139

## 140 **2. Refined possible rupture scenarios**

141 Forecasting the extent and the slip distribution of earthquake ruptures is a challenging task.  
142 Before the 2004  $M_w$  9.2 Sumatra-Andaman earthquake (Chlieh et al., 2008), an  $M_w$  9  
143 earthquake had never been anticipated along the Sunda Trench, due to its oblique  
144 convergence orientation and seismically inactive feature (Satake and Atwater, 2007).  
145 Globally, the eventual ruptures of some unexpected fault locations keep surprising  
146 scientists (Bilek and Lay, 2018). We've seen partial ruptures of fully locked megathrusts  
147 (Konca et al., 2008; Qiu et al., 2016; Ruiz et al., 2014; Schurr et al., 2014), and piecemeal  
148 breaks in the center of perceived seismic gaps (e.g. Salman et al., 2017). Even with  
149 improved observations, it remains difficult to constrain the magnitude of potential  
150 earthquake in the first order, and even more difficult to define the rupture pattern (e.g., Lay,  
151 2018). A recent example comes from Japan where Loveless & Meade (2010) used a number  
152 of inland GPS stations to estimate the coupling state of the Japan megathrust before the  
153 2011 Tohoku-Oki earthquake. They indicated the spatial extent of a possible future rupture.  
154 Notably, the rupture models constrained by multiple geodetic data sets after the 2011  
155 earthquake (Koketsu et al., 2011; Loveless and Meade, 2011, Wei et al., 2012) are  
156 significantly different to the coupling map of Loveless and Meade (2010). The discrepancy  
157 between a coupling map and actual rupture estimates has also been observed at other  
158 subduction zones (e.g. Ruiz et al., 2014; Schurr et al., 2014) and for the collision zone  
159 between the Indian and Eurasian plates (Avouac et al., 2015; Qiu et al., 2016; Stevens and

160 Avouac, 2015). Clearly, our current knowledge of the seismogenic characteristics of giant  
161 earthquakes remains deficient.

162

163 Great efforts have been made to investigate the physical parameters that characterize  
164 subduction zones with regard to the geometry, geology and dynamics (Schellart and  
165 Rawlinson, 2013). Systematic analysis of collections of great earthquakes globally indeed  
166 suggests that some of the physical parameters do play key roles in controlling the rupture  
167 characteristics (Bilek and Lay, 2018; Bletery et al., 2016; Schellart and Rawlinson, 2013),  
168 although limitations in the historical earthquake records inevitably make it difficult to have  
169 high confidence on such relationships. Taking into account the geometrical effects, previous  
170 studies have divided the entire Manila subduction zone into three segments (i.e. Zhu et al.,  
171 2013; Li et al., 2016; Gao et al., 2018). Here we follow the segments proposed by Li et al.  
172 (2016), and we provide new constraints on earthquake and tsunami potentials by  
173 combining geological information and the geodetic constrained coupling map to adjust  
174 these segments accordingly. The modulated three segments are 14°N-16°N, 16°N-19°N,  
175 19°N-22°N, respectively. Their significances are detailed in subsequent sections.

## 176 **2.1 Rupture segment 1 (zone 1, 14°N-16°N)**

177 The Manila trench primarily starts from ca.13°N west of Mindoro and ends at ca.22°N  
178 southwest of Taiwan, and beyond these bounds the Manila trench gradually transform into  
179 collision and accretionary belt in the north and south (Figure 1). At the southernmost area  
180 of the Manila trench, the strike direction of the trench bends to southeast offshore the  
181 Mindoro Island (ca.13°N) before it further collides with Panay (ca.11°N). Within this region  
182 (ca.13°N to 11°N) the relocated seismicity suggest the subducting slab dips almost  
183 vertically, with an absence of the deep seismicity (Bautista et al., 2001). Based on these  
184 features, Bautista et al. (2001) suggest the subducting slab may have been heated up and  
185 assimilated into the mantle. We, therefore, interpret that the great megathrust earthquake  
186 is less likely south of 13°N. Li et al. (2016) placed the southern boundary of the first  
187 segment at ca.12.5°N. In contrast, Bautista et al. (2001) proposed a slab tear at ca.14°N  
188 which is the result of the collision of a micro-continental plate with Mindoro and Panay  
189 islands and as evidenced by the narrow seismicity gap north of 14°N that trends  
190 northeastward (Figure 2). Based on these geological characteristics and geodetic

191 measurements, together with the fact that the spatial coverage of GPS measurements in  
192 this region only allows us to estimate the coupling status starting at 14°N to the north (Hsu  
193 et al. 2016), we move the southern boundary of the first segment from ca.12.5°N proposed  
194 by Li et al. (2016) to 14°N, but we do not rule out the possibility of ruptures that propagate  
195 across 14°N to 13°N or even beyond.

196  
197 Moving to the north, between 16°N to ca.17.5°N, a bathymetric high called Scarborough  
198 seamount chain is subducting beneath the Philippine plate. The Scarborough seamount can  
199 be traced between ca.12°N to 18°N from the subducting Sunda plate and between ca.16°N  
200 to 19°N (Figure 1) after subducting beneath the Philippine plate from the regional  
201 tomography model (Wu et al., 2016). This seamount chain has been interpreted as part of  
202 an extinct Middle Ocean Ridge (MOR) that is either presently being accreted or subducted  
203 under the trench at 16°N (Ludwig, 1970; Pautot and Rangin, 1989). A slab tear was  
204 proposed at 16°N based on seismic-related strain energy release of intermediate-depth and  
205 shape changes in the dip angle of the slab (Bautista et al., 2001). Although great  
206 earthquakes can rupture across the seamounts or morphological bounds occasionally (e.g.  
207 Bell et al., 2014; Duan, 2012; Kumagai et al., 2012), global observations suggest that in  
208 many cases seamounts or barriers impede (Singh et al., 2011; Wang and Bilek, 2011) or  
209 confine rupture propagations (Qiu et al., 2016). Further, we note that slab tears at 14°N and  
210 16°N bound the southern and north tip of the highly coupled west Luzon trough (Hsu et al.,  
211 2012, 2016) coincidentally, and these tears may act as morphological barriers to limit the  
212 rupture propagation similar to that noted from the 2015  $M_w$  7.8 Nepal event (Qiu et al.,  
213 2016). We, therefore, define the region between 14°N to 16°N as segment 1 (zone 1)  
214 (Figure 3a and d).

215

## 216 **2.2 Rupture segment 2 (zone 2, 16°N-19°N)**

217 As noted in section 2.1, the Scarborough seamount chain is located between ca.16°N to  
218 17.5°N where the subducting Sunda plate meets with the Philippine plate (Figure 1). A  
219 regional tomography model also suggests that the subducted seamount chain can be traced  
220 between ca.16°N to 19°N (Wu et al., 2016). In this subducted seamount region, the absence  
221 of seismicity and seismic-related strain energy release at intermediate depths suggest the

222 possible trajectory of the MOR that is interpreted to be still hot and deforming plastically  
223 (Bautista et al., 2001). Globally studies of subducting seamount systems suggest that large  
224 fracture zones are formed surrounding the seamount, and the highly fractured region can  
225 act as barriers to hinder the rupture propagation (e.g., Wang and Bilek, 2011). Because the  
226 stress concentration in and around the fracture zones is high and may easily reach failure  
227 criteria, the seamount can trigger (e.g., Kumagai et al., 2012; Koyama et al., 2013) the  
228 failure of highly stressed asperities in the neighborhood, nucleating as a great earthquake  
229 (e.g., Kumagai et al., 2012; Koyama et al., 2013). Previous studies also suggest that  
230 seamounts cause persistent fault creep (e.g., Singh et al., 2011) or rupture as small  
231 earthquakes due to localized areas of high fracture and associated regional stress  
232 anomalies (e.g., Wang and Bilek, 2011). Thus, fault creep and the rupture of single or  
233 multiple asperities are all possible in this region.

234

235 The Geodetic coupling map constrained by long-term GPS velocity measurements indicates  
236 that the seamount chain region (i.e. ca.16°N to 19°N) is less coupled (Figure 3, coupling  
237 models A and B), partially due to the fault creep caused by the seamounts or poor  
238 constraints by paucity of the offshore observations (Hsu et al., 2012, 2016). The weak  
239 coupling extends further north to 19°N, in the area of the southern tip of the North Luzon  
240 Trough and west of the northern tip of Luzon Island. This area is likely creeping or weakly  
241 coupled (Figure 3, coupling mode A and B). Additionally a trench-parallel gravity anomaly  
242 (TPGA) has been interpreted with great subduction earthquakes occurring predominately  
243 in areas characterized by strongly negative TPGA, while regions with strongly positive  
244 TPGA are relatively aseismic (Song and Simons, 2003). We note that positive TPGA covers  
245 from ca.16°N to 19°N (Hsu et al., 2012), coinciding with the geodetically determined  
246 weakly coupled and creep regions. Considering all these factors mentioned above, we  
247 redefine segment 2 (zone 2) as the region between 16°N to 19°N as (Figure 3b and e)  
248 slightly extends further north when compared with the same segment of Li et al. (2016).

249

### 250 **2.3 Rupture segment 3 (zone 3, 19°N-22°N)**

251 The area of the megathrust bounded between the southern tip of Taiwan and northern  
252 Luzon (between 19°N to 22°N) (Figure 1) is poorly understood, as the current available

253 geodetic measurements are sparse and primarily deployed in the volcanic islands to the  
254 east which are far away from the Manila trench (Hsu et al., 2012, 2016). In this region, the  
255 Manila trench bends sharply at 20°N (Figure 1). Geologically the bending has been  
256 interpreted as the result of the subduction of a high-relief bathymetrical plateau that is  
257 sufficient buoyant to impede subduction (Bautista et al., 2001; Suppe, 1988) or may due to  
258 thick sediments (Lin et al., 2009). Additionally, here regional block faulting stretches the  
259 continental crust, resulting in numerous micro-continental fragments. Further, the 1980s  
260 geophysical studies (Taylor and Hayes, 2013) have recovered a magnetic quiet zone  
261 characterized to the continental-to-oceanic boundary (Bautista et al., 2001), and this zone  
262 was further interpreted with a transition zone between a continental and oceanic  
263 lithosphere (Taylor and Hayes, 2013). If these numerous fragments are indeed subducting  
264 beneath the Philippine sea plate, then they would have to be buoyant enough to resist the  
265 subducting process at 20°N with fast subducting of the neighboring portions of the trench  
266 that may extending south to 19°N. Such a situation would result a complex stress field in  
267 the upper plates that were mirrored by diverse and complicated focal mechanism solutions  
268 (Bautista et al., 2001).

269

270 As more marine geophysical data becomes available, there is an increased understanding of  
271 the geological structure and potential seismogenic faults (Lin et al., 2009). Detailed  
272 analysis of seismic reflection data (i.e. Line 973 in Lin et al., 2009) reveals prominent  
273 seismogenic structures in the region, which include frontal décollement beneath the lower-  
274 slip domain and out-of-sequence thrusts (OOST) in lower- and upper-slope domains (Lin et  
275 al., 2009; Zhu et al., 2013). Evidence from the thermal regime of these structures suggests  
276 that the megathrust and part of the frontal décollement are seismogenic (Lin et al., 2009).  
277 These seismogenic structures are found to be analogous to that observed in the Nankai  
278 prism of the Nankai Trough, Japan, posing potentials for generating great earthquakes and  
279 tsunamis as they did in Nankai (Lin et al., 2009; Yokota et al., 2016).

280

281 Fan et al. (2016) revealed a low-velocity zone that spans from shallow to deep depths of  
282 20-200km beneath the prism, suggesting that the collision develops northward and the  
283 subducting process may stop at 22°N. Coincidentally, at the similar latitude (21.5°N), Lin et  
284 al. (2009) interpret that south of 21.5°N, the subducting is active while north of this

285 latitude the plate convergence is accommodated by intense compressional deformation of  
286 the crust due to the buoyance of the Eurasian plate that resists subduction. Consequently,  
287 in light of the geological evidence noted above, we slightly shorten the northern boundary  
288 of the segment 3 from Li et al. (2016), and we define the region to be between 19°N to  
289 21.7°N as the segment 3 (zone 3) (Figure 3c and f).

290

### 291 **3. Proposed slip deficit models**

292 Using geodetic surface measurements, a velocity value can be derived and used to  
293 constrain the elastic strain accumulation rate between the subduction plate interfaces, the  
294 so-called interseismic coupling model (Chlieh et al., 2008; Hsu et al., 2012, 2016; Loveless  
295 and Meade, 2010; Megawati et al., 2009). This model reveals strain accumulation within  
296 seismic cycles that can potentially be released during great earthquakes, although the final  
297 rupture extent is commonly not exactly the same as forecasted by the coupling maps  
298 (Konca et al., 2008; Ruiz et al., 2014) and in some cases uncoupled parts of the megathrust  
299 may regularly produce tsunamis (Witter et al., 2016). However to move towards an  
300 associated tsunami hazard assessment from such potential ruptures, the coupling map,  
301 although not perfect, is often the necessary choice (e.g., Power et al., 2012; Megawati et al.,  
302 2009).

303

304 Using decades-long GPS velocity measurements, Hsu et al. (2016) proposed two coupling  
305 models (A and B) that best explain the plate movements and coupling state on the Manila  
306 megathrust and other faults on the Luzon island. With this coupling or slip deficit rate  
307 estimates and the possible seismic return time period, we can forecast the likely slip  
308 distributions that may fail in future earthquakes. For seismic return time period, given the  
309 short duration of historical records relative to the return-periods of large-magnitude  
310 events of interest, and limitations in our capacity to infer earthquake return-periods from  
311 first-principles physics, it is unrealistic to expect to develop a comprehensive  
312 understanding of seismic return periods. We thus have to rely on the observations. The  
313 modern seismic records for the Manila trench only trace back to ~1900 and provide  
314 constraints on the natural frequency of earthquakes with its corresponding magnitude  
315 assuming the Gutenberg-Richter (G-R) earthquake relations, and thus often implemented

316 for tsunami hazard assessment (Li et al., 2016; Power et al., 2012). Historical records since  
317 the 1560s suggest that there is no recorded earthquake with  $M_w > 7.6$  in the Manila  
318 subduction zone, implying that the determined return time period for great earthquake  
319 from G-R relation will likely poorly constrained (Hsu et al., 2016). However geological  
320 evidence from purported tsunami deposits may provide evidence of tsunamis at four  
321 locations in SCS (i.e. Figure 1, Ramos et al., 2017; Sun et al., 2013; Yu et al., 2009; Yang et al.,  
322 2018). Some studies suggest that a giant tsunami event might have occurred ca.1000-1064  
323 AD (Ramos et al., 2017; Tang et al., 2018). With an assumption of a-1000-year return  
324 period, the magnitude can reach  $M_w$  9+ from geodetic analysis (Hsu et al., 2016). Here we  
325 choose to model scenarios, which release 1000 years of accumulated strain, because these  
326 represent large, rare and yet plausible events, which are of interest for hazard assessment  
327 purposes, and paleo-geological data indicate that large events may occur about 1000 years  
328 ago.

329

330 Based on coupling models A and B of Hsu et al. (2016) in which the spatial distribution of  
331 slip rate and coupling rate are available, we use a return period of 1000 years to calculate  
332 the slip deficit of great earthquakes assuming each event releasing 1000 years of strain  
333 accumulation while ignoring possible portion of strain release by smaller events. For the  
334 predefined zones 1 to zone 3 (see sections 2.1-2.3), different approaches are used. For  
335 zones 1 and zone 2 where the coupling ratios and slip rate are relatively better constrained  
336 than zone 3, we calculate the slip deficit by multiplying the slip deficit rate at each triangle  
337 node (Figure 3a, b d and e) with 1000 years. The slip deficit models in zone 1 for models A  
338 and B (Figure 3a and d) are similar with the maximum slip >50 meters occurred at ca.20-  
339 30km seismogenic depth due to the high coupling ratio. For zone 2, the slip model based on  
340 A has a compact area and less slip amount as compared with slip model based on B (Figure  
341 3b and e). This is because the extra north Luzon trough fault was introduced in model B,  
342 resulting in larger spatial extent and higher coupling while equally explaining the GPS  
343 velocity measurements (Hsu et al., 2016).

344

345 Due to paucity of observations in zone 3, no coupling ratios were resolved. Geologically this  
346 zone is much more complicated than zones 1 and 2 (Lin et al., 2009). Multiple OOSTs are  
347 revealed from seismic reflection profiles (Lin et al., 2009; Zhu et al., 2013). Failure of these

348 OOSTs (or called megasplay) faults with high dip angle contributes to generating  
349 devastating waves as evidenced from historic tsunami events in other subduction zones  
350 (Moore et al., 2007; Park et al., 2002). It is, therefore, crucial but difficult to precisely  
351 quantify individual role of the OOSTs and megathrust in tsunami generation.

352

353 We propose two end-member scenarios, considering different rupture modes in zone 3  
354 with two steps. We first calculate the slip deficit from the slip deficit rate of models A and B  
355 between 19°N to 20°N. We then consider two end-member scenarios in the region from  
356 20°N to 21.7°N. The first-member is the seismogenic events with rupture depths  
357 determined from a collection of GCMT solutions of the world megathrust earthquakes  
358 (Figure 4). We assume the fault slip pattern follows a Gaussian distribution centered at 25  
359 km of the mean depth from the global great earthquakes. We cutoff slip deeper than 50 km  
360 as the rock properties at this depth and beyond induce semi-brittle and ductile flow  
361 (Hippchen and Hyndman, 2008; Hyndman and Wang, 1993; Wang, 2007). This can capture  
362 to first-order the potential slip extent (Figure 3c and f), with a depth-range of slip  
363 consistent with observations from global megathrust great earthquakes (e.g., Chlieh et al.,  
364 2007; Pollitz et al., 2011; Ruiz et al., 2014; Salman et al., 2017; Wei et al., 2012). For the  
365 second mode, we consider tsunamigenic events similar to 2011  $M_w$  9.0 Japan earthquake in  
366 which the earthquake can rupture all the way to the trench. We estimate the plate  
367 convergence rate in the fore-arc in zone 3 is 67 mm/year (Hsu et al., 2009) with a 24.5  
368 mm/year shortening under the 91.5 mm/year plate convergence rate with respect to  
369 Sunda plate (Hsu et al., 2016; Sella et al., 2002). We assume 67 mm/year convergence was  
370 fully accommodated by the megathrust and implement it as the amplitude of the Gaussian  
371 distribution, allowing the maximum slip occurring at the trench (Figure 5a and b). For each  
372 rupture mode, we have two slip models corresponding to coupling model A and B, and  
373 assume half of plate convergence rate are accommodated by the megathrust (Figures 5a  
374 and b, with 80% coupling ratio shown in Figure 6c and d). For the second-member model,  
375 we implement rupture on both the megasplay fault and the megathrust assuming each of  
376 them accommodating half of the fore-arc plate convergence and a uniform slip on the splay  
377 fault as a simple case (Figure 5c and d). We implement this splay fault only with  
378 seismogenic rupture events as we think this case is easier due to splay fault's bottom cut to  
379 the megathrust at seismogenic depth (Lin et al., 2009). We consider a 50% coupling ratio

380 on both the megathrust and splay fault (Figure 5c and d, with 80% coupling shown in  
381 Figure 6a and b). Details about these proposed rupture scenarios are given in the summary  
382 Table S1 in the supplementary file.

383  
384 The geometry of the OOST is derived from Lin et al. (2009) and covers the area from 20°N  
385 to ca.22.2°N, as we ignored the bending portions of the OOST in the north and south  
386 although they still can rupture with a low probability. The fault is ca.260 km long, ca.16 km  
387 wide, and it strikes 345° to the north and dips 50° to the east (Figures 1, 5 and 6).

## 388 **4. Tsunami impacts in SCS**

### 389 **4.1 Tsunami simulation set up**

390 We use **Cornel Multi-grid Coupled Tsunami model (COMCOT)** to simulate the  
391 hydrodynamic process of the tsunami waves (e.g., Wang et al., 2008; Philip, 1994; Li et al.,  
392 2018; Li et al., 2016) produced by those proposed earthquake ruptures. The initial surface  
393 elevations generated by all the proposed rupture models can be found in Supplementary  
394 data. To account for the nonlinear effect in nearshore region, the simulation solves the non-  
395 linear shallow water equations in spherical coordinates for the entire SCS region with a  
396 bottom Manning friction coefficient of 0.013 (Li et al., 2018). We used the 1 arc-minute grid  
397 of General Bathymetric Chart of the Oceans (GEBCO) data for the modeling. A uniform grid  
398 was used because we don't focus in near- and on-shore processes where high-resolution  
399 topographical data and good understanding of the bottom friction effect are required.  
400 Synthetic gauges along the 20-m isobaths are specified to record the tsunami waveforms.  
401 For the initial tsunami waves, we assume the rupture occurs instantaneously and the  
402 vertical seafloor deformation produced by the ruptures is equal to the initial ocean surface  
403 deformation (e.g., Li et al., 2016; Li et al., 2018; Liu et al., 2009).

### 404 **4.2 Maximum tsunami wave height**

405 For all the simulated scenarios, the resulting wave height in the near-source regions mainly  
406 depends on the rupture location and earthquake magnitude. While in the relatively far-  
407 field, the tsunami wave directivity effects and bathymetry effects also play important roles  
408 (Figures 7 and 8). We describe the tsunami impact of each pair of source models from  
409 south (zone 1) to north (zone 3). Slip models in zone 1 generate the largest tsunami waves

410 (>10m) in western Luzon (Figure 7a-b). Central Vietnam experiences a similar tsunami  
411 height (4-8 m) with the intermediate far-field area, western Palawan. Southeastern China  
412 and southern Taiwan could be attacked with up to 5 m tsunami waves (Figure 7a-b).  
413 Moving to zone 2, the slip models show the significant difference in terms of both  
414 magnitude and slip distribution between models A and B (Figure 3b and Figure3e).  
415 Consequently, the tsunami impact caused by model B is much larger than the one caused by  
416 model A in both near-source (e.g., western Luzon and southern Taiwan) and far-field  
417 regions (e.g. southeastern China and central Vietnam). Compared with the most affected  
418 region by slip models in zone 1, the worst-hit region also moves northward with the  
419 rupture location. Similarly, when the earthquakes rupture the megathrust in zone 3, the  
420 hardest-hit regions move further to the northern part of the SCS and concentrate in  
421 northern Luzon, southern Taiwan and southeastern China (Figure 7e-f). Further, Figure 8  
422 shows the diverse tsunami impacts generated by rupture scenarios in zone 3. Not  
423 surprisingly, the results suggest rupture models with higher coupling cases (Figure 8a-b)  
424 result larger tsunami wave heights in regions located in northeast SCS despite of the  
425 tsunami generation efficacy of shallow slip earthquakes (Figure 8c-d). One interesting  
426 phenomenon worthy of mention is the high tsunami hazard of the southeastern China  
427 regardless of the rupture locations. This is likely explained by the combined effect of  
428 tsunami wave directivity and bathymetry (Figures 7 and Figure 8). Tsunami waves refract  
429 significantly in the southern Chinese coast due to the shape and gradient of the continental  
430 slope, leaving southeastern China (including coastlines of Guangdong, Hong Kong, and  
431 Macau) in the direct tsunami path.

432

433 To summarize, the near-source regions including western Luzon, northern Luzon and  
434 southern Taiwan face the greatest tsunami hazard. The second most threatened areas are  
435 southeastern China, central Vietnam and western Palawan. Archipelagos inside the SCS  
436 including Dongsha, Zhongsha and Xisha also suffer severe tsunami attacks (up to 6-8 m  
437 tsunami wave height) when large earthquakes occur in zones 2 and 3. Coastal regions of  
438 northern Borneo, eastern Malaysia, eastern Thailand, and southern Cambodia are  
439 significantly less affected.

440

### 441 **4.3 Tsunami travel time**

442 The tsunami travel time is key information in tsunami evacuation planning. Similar to the  
443 other subduction zones, the near-source areas including the coast of Luzon and southern  
444 Taiwan suffer the highest tsunami waves with least evacuation time (Figures 7 and 8). We  
445 plot the time series of tsunami wave generated by all the source models in selected  
446 synthetic gauges near 9 major coastal cities in Figure 9. Depending on the rupture  
447 locations, the tsunami arrival time is in minutes or less than half an hour for near-source  
448 cities, like Vigan, Kenting and Kaohsiung (Figure 9), posing great challenges to the early  
449 warning system and subsequent evacuation process. In other areas tsunami wave travel  
450 time is relatively longer for example Vietnam and southeastern China. The arrival time is  
451 commonly between 2-3 hours after the earthquake for central Vietnam and 3-4 hours for  
452 southern China. For the Archipelagos inside the SCS, the tsunami waves arrive much earlier  
453 than they do on the mainland in Vietnam and China, typically ~1 hour earlier. The earlier  
454 arrival time in archipelagos make them ideal locations for installing tsunami monitoring  
455 instruments (e.g. tide gauges or GPS ( see Peng et al., 2019)). Such measurements may  
456 provide timely constraints on wave height for the evacuations in far-field areas. Detailed  
457 inundation maps of the main coastal cities in this region are highly recommended for  
458 designing evacuation routes.

459

## 460 **5. Discussion**

461 How and where earthquake rupture will occur on a plate boundary is challenging to  
462 forecast (Bilek and Lay, 2018; Satake and Atwater, 2007). A comprehensive understanding  
463 of a single megathrust behavior may be impractical since the seismic cycle is typically in  
464 the order of hundreds and thousands of years, much longer than instrumental records.  
465 Conversely understanding megathrust behaviors over different subduction zones at  
466 different time stages of their cycle offers insights into rupture style and characteristics.  
467 Previous studies have intensively investigated giant subduction zone earthquakes, gaining  
468 useful insights into physical parameters that are related to developing giant ruptures. Such  
469 physical parameters include the subducting plate age, rate and buoyance of the slab  
470 (Kanamori, 2006; Nishikawa and Ide, 2014; Ruff and Kanamori, 1980, 1983); the forearc  
471 structures (Song and Simons, 2003; Wells et al., 2003), upper plate characteristics

472 including plate motion (Schellart and Rawlinson, 2013), trench characteristics of the long-  
473 term migration (Schellart and Rawlinson, 2013) and sediments thickness (Heuret et al.,  
474 2012), and the width of seismogenic zones (Hayes et al., 2012; Schellart and Rawlinson,  
475 2013). While as the boost of geodetic measurements, the relationship between great  
476 ruptures and the convergence rate was challenged (McCaffrey, 1994; Stein and Okal, 2007;  
477 Nishikawa and Ide, 14). The maximum moment magnitude of a potential earthquake is  
478 often determined from seismic catalogue data, alternatively determined from basic  
479 moment conservation principles and catalog data (Rong et al., 2014; Kagan and Jackson,  
480 2013). Overall, with current short observation time span as compared with multi-century  
481 seismic return period, it is improperly to make the determination on the relationship  
482 between these physical parameters and how big or how often a giant earthquake can occur  
483 in any subduction zone (McCaffrey, 2008). Clearly, long-term and complete observations  
484 within seismic cycles are required for a better understanding of subduction zone rupture  
485 behaviors.

486  
487 Recently a summary study based on global subduction zone observations concludes that  
488 mega-seismic events preferentially rupture flat, gently dipping interface (Bletery et al.,  
489 2016). In the Manila trench, the dip is gentle and progressively increases from north to  
490 south (Bautista et al., 2001). In zone 3, the presence of subducting plateau of the  
491 continental fragments results in a gently dipping, near flat interface that potentially favors  
492 the development of giant earthquakes (Figures 1 and 2). The dipping degree is in a similar  
493 rage with those found in other subduction zones, e.g., Japan-Kuril-Kamchatka, Alaska-  
494 Aleutians, Sumatra-Java, South American, and Cascadia, which are known to produce  $M_w >$   
495 9.0 earthquakes (Bletery et al., 2016).

496  
497 Morphological barriers have been found to have a predominant role in controlling rupture  
498 propagation and style. The barriers can confine and arrest rupture propagation (Qiu et al.,  
499 2016), and act be a persistent fence to stop rupture (Meltzner et al., 2012; Morgan et al.,  
500 2017). Faults bends can also hinder rupture overstep at bending points (Wesnousky, 1988,  
501 2006). In the case of the Manila subduction zone, the presence of Scarborough Seamount  
502 chain in zone 2 and slab tear in zone 1 indicates that a giant rupture propagation through  
503 zones 3 to 1 is less likely, although we do acknowledge that the rupture-across-zone

504 earthquake is possible with very low probability like the 2007 Mw 8.1 ruptured a triple  
505 junction (Furlong et al., 2009; Taylor et al., 2008). Dynamic simulations do show possible  
506 scenarios that involve multiple portions of the Manila trench rupturing as a single giant  
507 earthquake (Yu et al., 2018). However, the details of the slab tear in zone 1 and the  
508 seamount chain in zone 2 were smoothed out in the simulation, due to the challenges of the  
509 numerical calculation (Yu et al., 2018).

510

511 Regarding the potential source of the geological records, the tsunami simulations suggest  
512 the difficulty of creating a scenario which could affect all the four tsunami deposit locations  
513 with sufficiently high tsunami waves, especially for the record located in Yongshu island (Yu  
514 et al., 2009). Assuming all the four records are indeed tsunami deposits, the spatial  
515 distribution demands the whole trench to rupture at once and the southern segment needs  
516 to extend further to  $13^\circ$  in order to generate tsunami waves propagation southwest  
517 direction towards Yongshu. Another alternative explanation could be that the deposits in  
518 Yongshu island were generated by large storm event instead of tsunami event.

519

520 In summary, our definition of the rupture zones 1, 2 and 3 are derived by taking into  
521 account the bathymetry features of the subduction Eurasian plate, earthquake focal  
522 mechanisms distributions, structure controlled TPGA and more than 20-year-long GPS  
523 measurements. The refined coupling models (Hsu et al., 2016) offer more detailed images  
524 that reflect the likely motions on the plate interface. Combination of the coupling models  
525 and morphological bounds constrained zone definitions provide more realistic rupture  
526 scenarios than planar fault with uniform slip assumed rupture cases. We've seen that finite  
527 rupture models of historical earthquakes indicate that slip is heterogeneous, and this is  
528 represented by our scenarios. Further detailed tsunami hazard assessment in SCS  
529 demonstrates that uniform slip models underpredict tsunami hazards as compared to a  
530 heterogeneous slip model (Li et al., 2016). Therefore, our refined earthquake rupture  
531 scenarios in zones 1 and 2 provide new insights for tsunami hazard assessment in SCS. For  
532 zone 3, the scarcity of measurements and the presence of complicated geological structures  
533 result in a poor understanding of the seismogenic characteristics, although the tsunami-  
534 genic potential remains high (Lin et al., 2009). The possible ruptures provided in this study  
535 can be a first-order approximation of the earthquake scenarios in the region. Subsequent

536 measurements collected in coming years can help us to refine our understanding in this  
537 region.

538

539

## 540 **6. Conclusion**

541 We have proposed updated earthquake rupture scenarios along the Manila trench based on  
542 new geological, earthquake focal mechanisms information and geodetic observations.  
543 These rupture models enable tsunami assessment in SCS, and subsequent detailed  
544 examination on inundation process for mega-cities along the coastlines of SCS.

545

546 Tsunami simulations based on these rupture scenarios indicate that the coastlines of the  
547 SCS region are under a risk of devastating tsunami waves, specifically for western Luzon of  
548 Philippine, southern Taiwan, the southeastern China, central Vietnam, and Palawan Island.  
549 Besides the near-source region, the southeastern China will also be attacked severely due  
550 to the bathymetry focusing effect no matter which portion of the Manila thrust breaks.  
551 Southern Taiwan is affected by ruptures in zones 2 and 3, with west Luzon affected by all  
552 earthquake scenarios. Central Vietnam and Palawan Island are mostly affected by ruptures  
553 in zones 1 and 2. In all cases, the waves sweep these coastlines within ca.3 hours. Our  
554 results highlight that it is necessary to conduct further detailed inundation investigations at  
555 these severely affected coastal regions, for future preparation on hazard mitigation plans.  
556 Our findings also provide useful information that could be used to find possible archived  
557 geological recordings of historical tsunami deposits, and call for following paleo-  
558 sedimentology studies in the SCS basin.

### 559 **Data availability**

560 The GEBCO data used in this study were downloaded  
561 from [https://www.gebco.net/data\\_and\\_products/gridded\\_bathymetry\\_data/](https://www.gebco.net/data_and_products/gridded_bathymetry_data/)(Weatherall, 2015)  
562 in October 2014 and readers can also currently access the data from this link. All data  
563 needed to evaluate the conclusions in the paper are present in the paper and/or the  
564 Supplementary Materials. We provide files of initial surface elevations generated by the  
565 proposed fault models in the Supplementary Materials. Readers can download these files  
566 for tsunami simulation. Additional data related to this paper can be requested from the  
567 authors through email.

568 **Author contribution:** QQ, LL, YH and YW developed the method of calculating the fault  
569 parameters. QQ performed the tsunami simulations. QQ and LL prepared the manuscript  
570 with contributions from all co-authors.

#### 571 **Competing interests**

572 The authors declare that they have no conflict of interest.

573

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585

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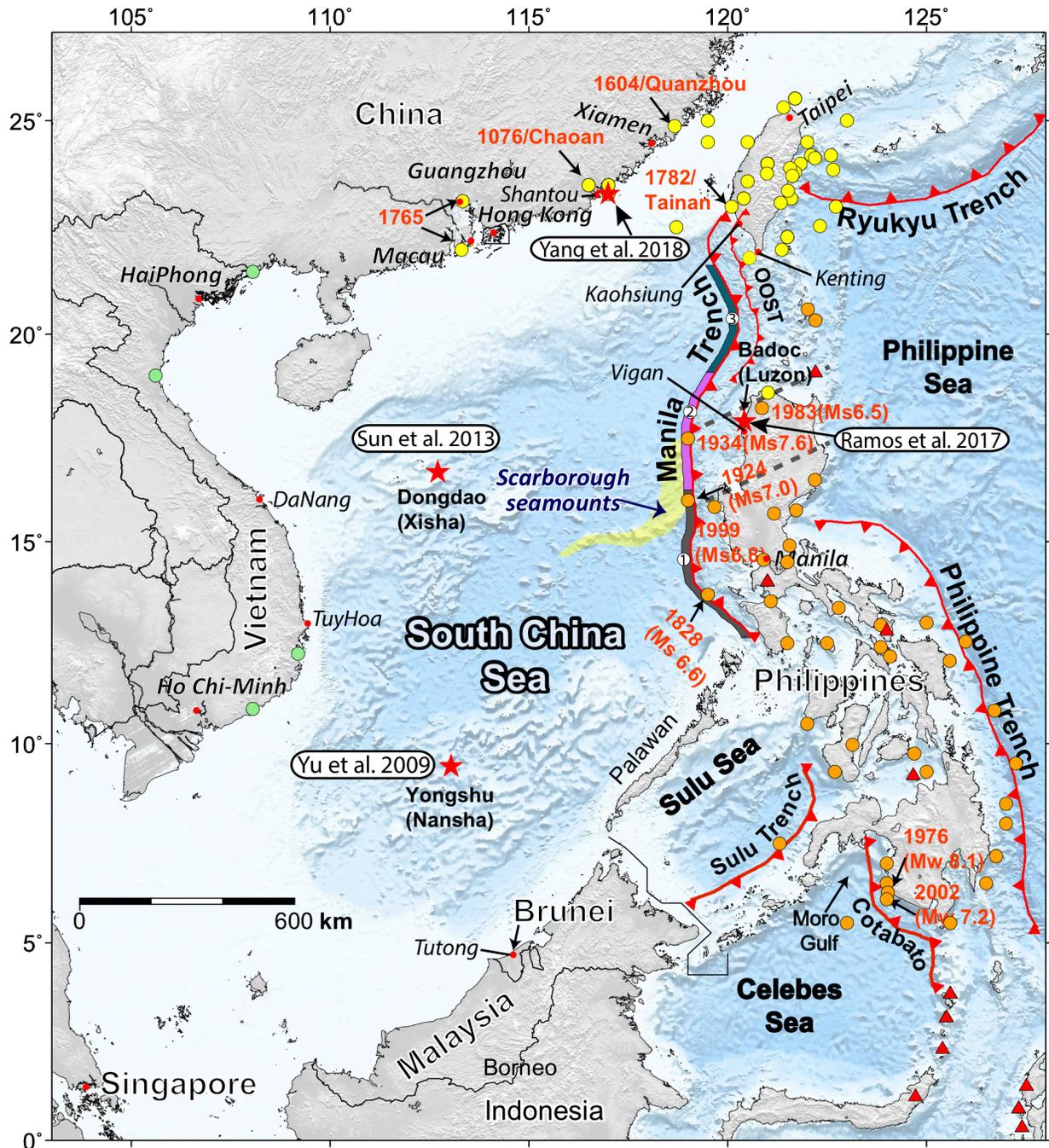
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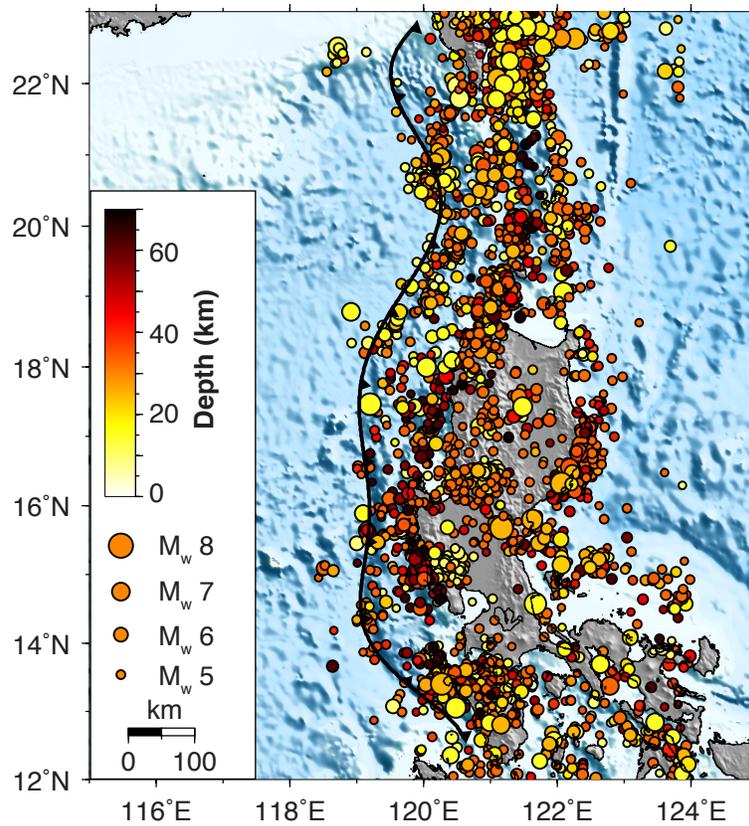
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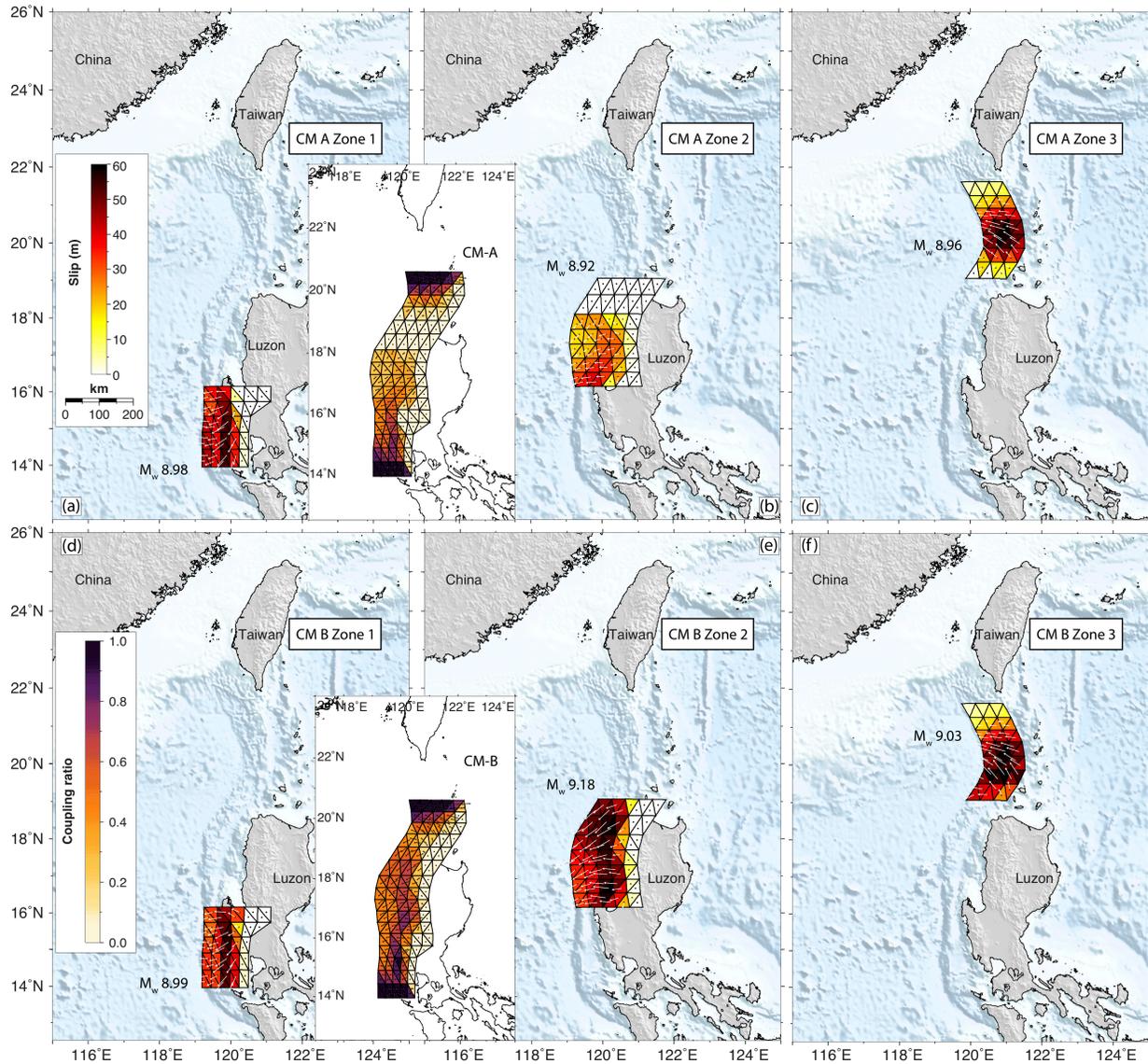
- Tsunami catalog (Lau et al., 2010)
- Tsunami catalog (PHIVOLCS)
- Tsunami catalog (Vu and Xuyen, 2008)
- ▲ Volcanic tsunami (Paris et al., 2014)
- Major city
- ★ Locations of geological record

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 882 **Figure 1.** Tectonic setting and historical tsunami catalogs in the South China Sea region.  
 883 Colored circles indicate published tsunami catalogs and are labeled in the legend. Red  
 884 triangles represent historical tsunamis related to volcanic activities. Red barbed curves  
 885 show the megathrusts in this region. Geological tsunami records are marked with red stars  
 886 (Ramos et al., 2017; Sun et al., 2013; Yang et al., 2018; Yu et al., 2009). The megacities are  
 887 labeled in the legend and the seafloor subducting features are highlighted in the map. The

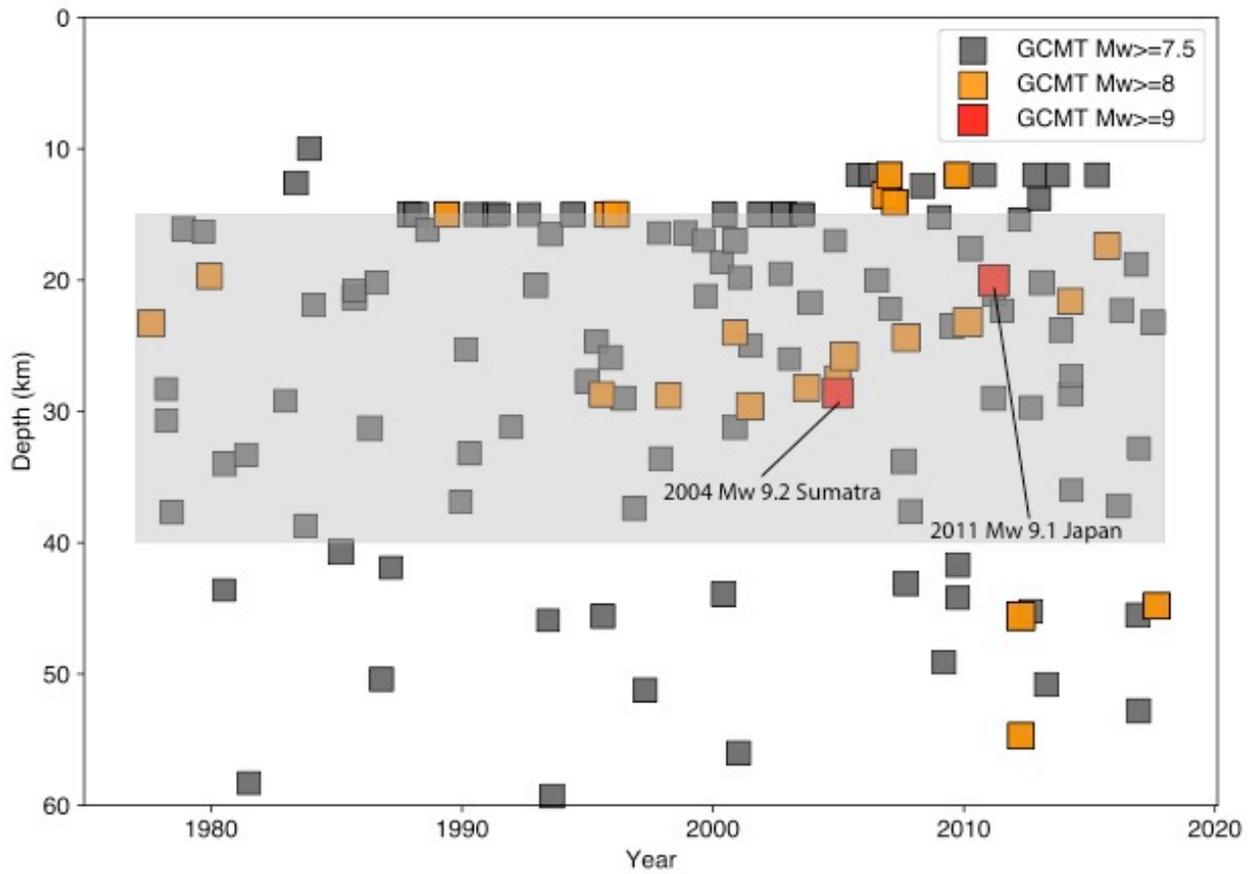
888 historical earthquakes with  $M_w > 6.5$  in Philippines are labeled. The likely tsunami events  
889 reported in the mega-cities are also labeled in the map. The two dashed lines represent the  
890 possible trace of the subducted Scarborough seamounts underneath the overriding plate as  
891 imaged from tomography study (Wu et al., 2016). The rupture zones are denoted by the  
892 color-shaded curves.  
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896 **Figure 2.** Seismicity ( $M_w > 4.5$ ) in the Manila subduction zone between 1900 and 2018.  
897 This data set is downloaded from USGS catalog. Color represents the depth and size scales  
898 the seismic moment magnitude indicated in the legend.  
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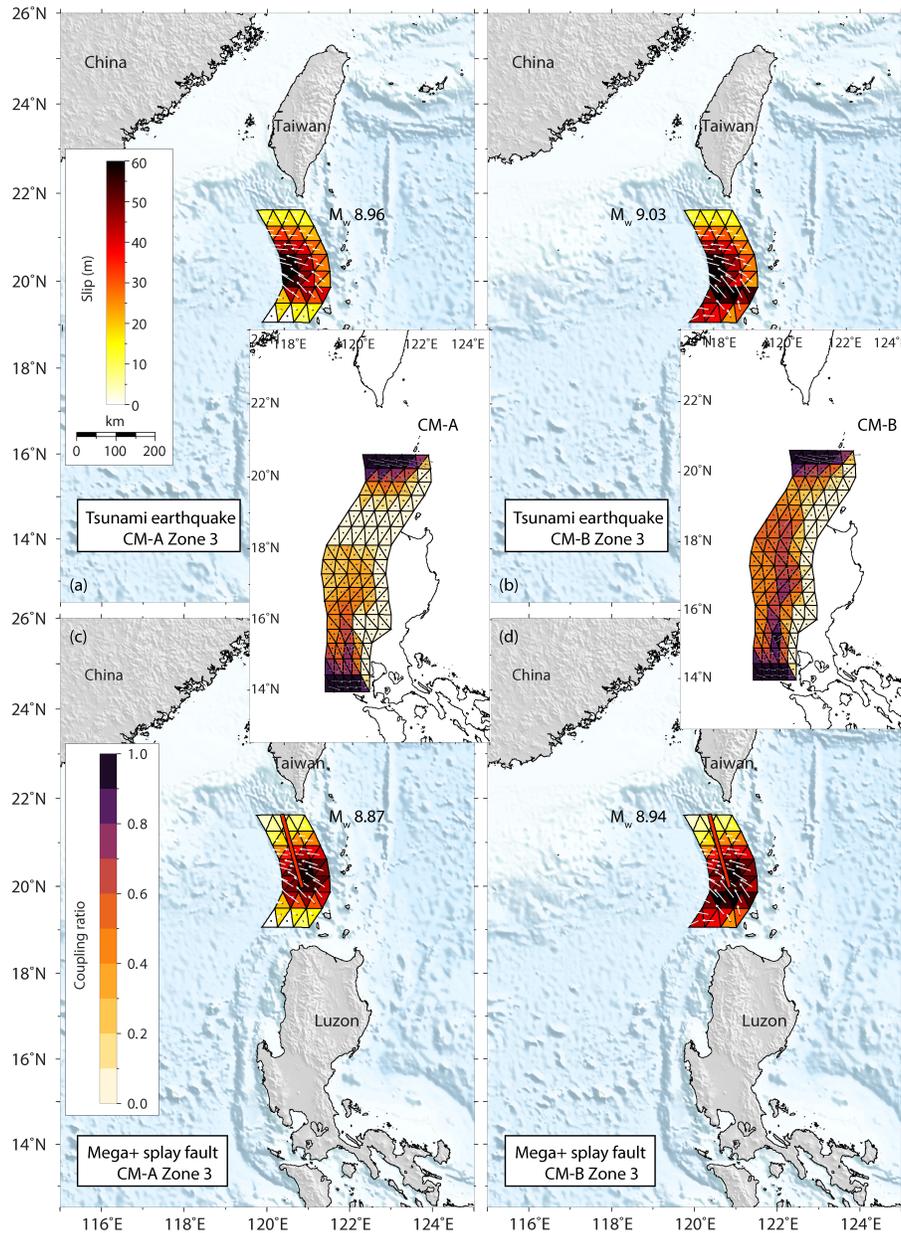


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 902 **Figure 3.** Proposed rupture slip models based on coupling models from Hsu et al. (2016)  
 903 assuming a 1000-year seismic return time period. (a) and (b) show the slip models from  
 904 coupling model A (Hsu et al., 2016) in zone 1 and 2, respectively. (c) shows a proposed  
 905 hybrid model based on coupling model A (19 °N to 20 °N) and a Gaussian shape of slip  
 906 distribution (20 °N to 21.7 °N) with 50% coupling ratio in zone 3. (d), (e) and (f) represent  
 907 the same slip models with (a), (b) and (c) but based on coupling model B (Hsu et al., 2016).  
 908 CM refers to coupling model. Coupling models A and B are from Hsu et al. (2016) that are  
 909 shown in the inset map. White arrows show the possible slip directions during earthquake.  
 910 Vectors in the coupling maps show the slip deficit direction that is accumulated for future  
 911 release in earthquakes. The estimated seismic moment of each model are labeled in each  
 912 subplot with rigidity 30 GPa. The slip magnitude and coupling ratio are shown by its  
 913 corresponding color scales.



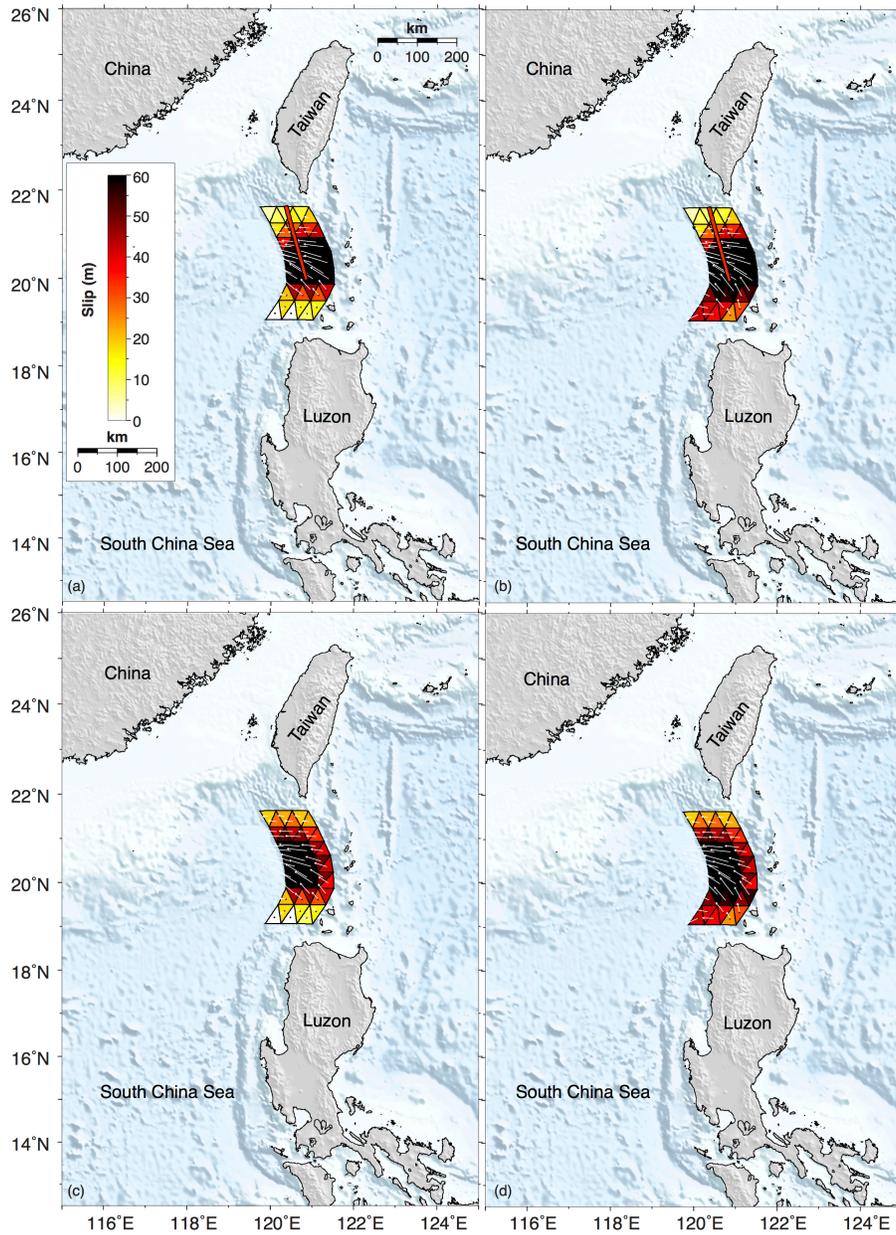
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**Figure 4.** Depth distribution of the seismicity in the Manila subduction zone between 1970 and 2018. This data set is downloaded from GCMT catalog. Colors represent the seismic moment magnitude. The giant 2004 Sumatra and 2011 Japan earthquakes are highlighted in the map.

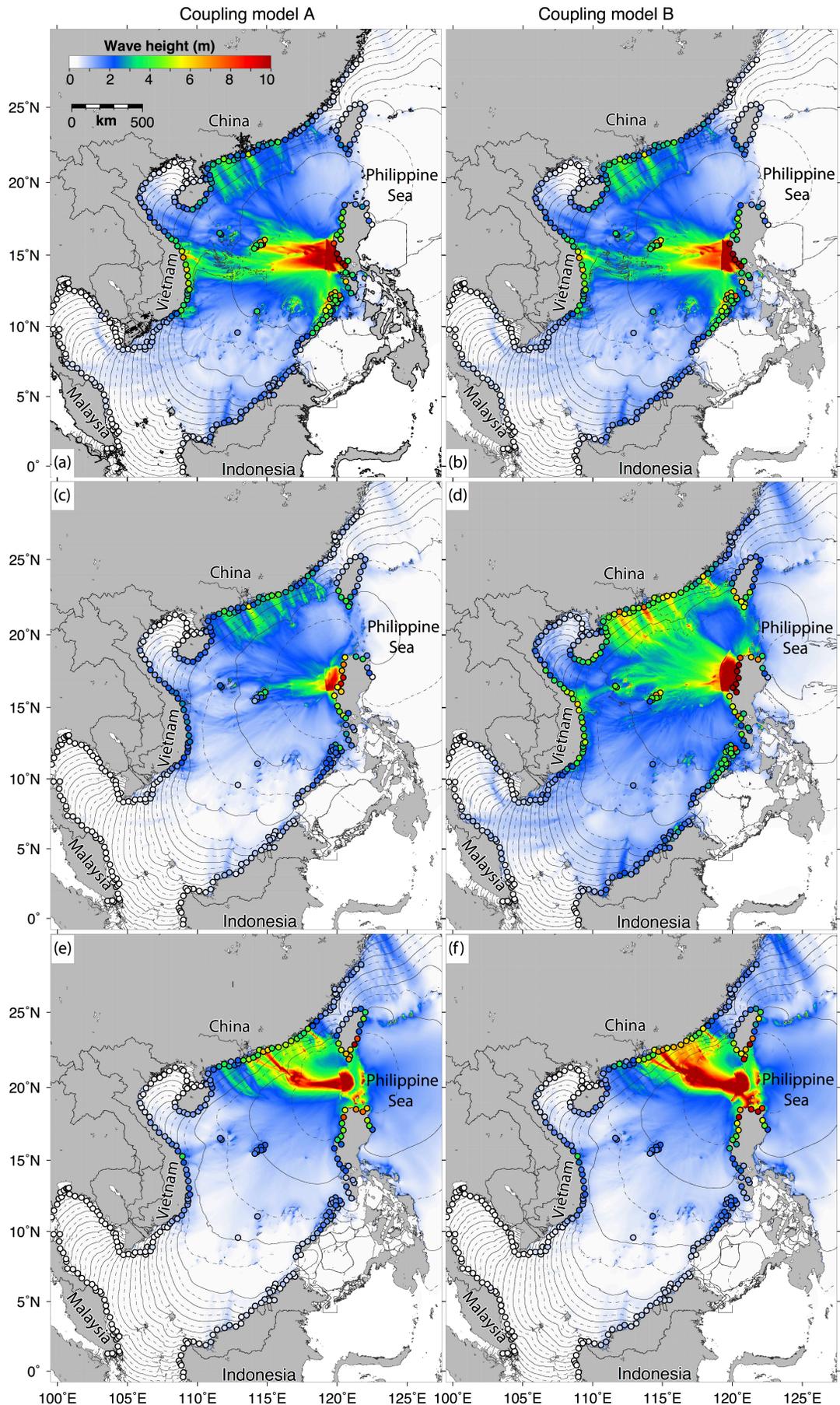


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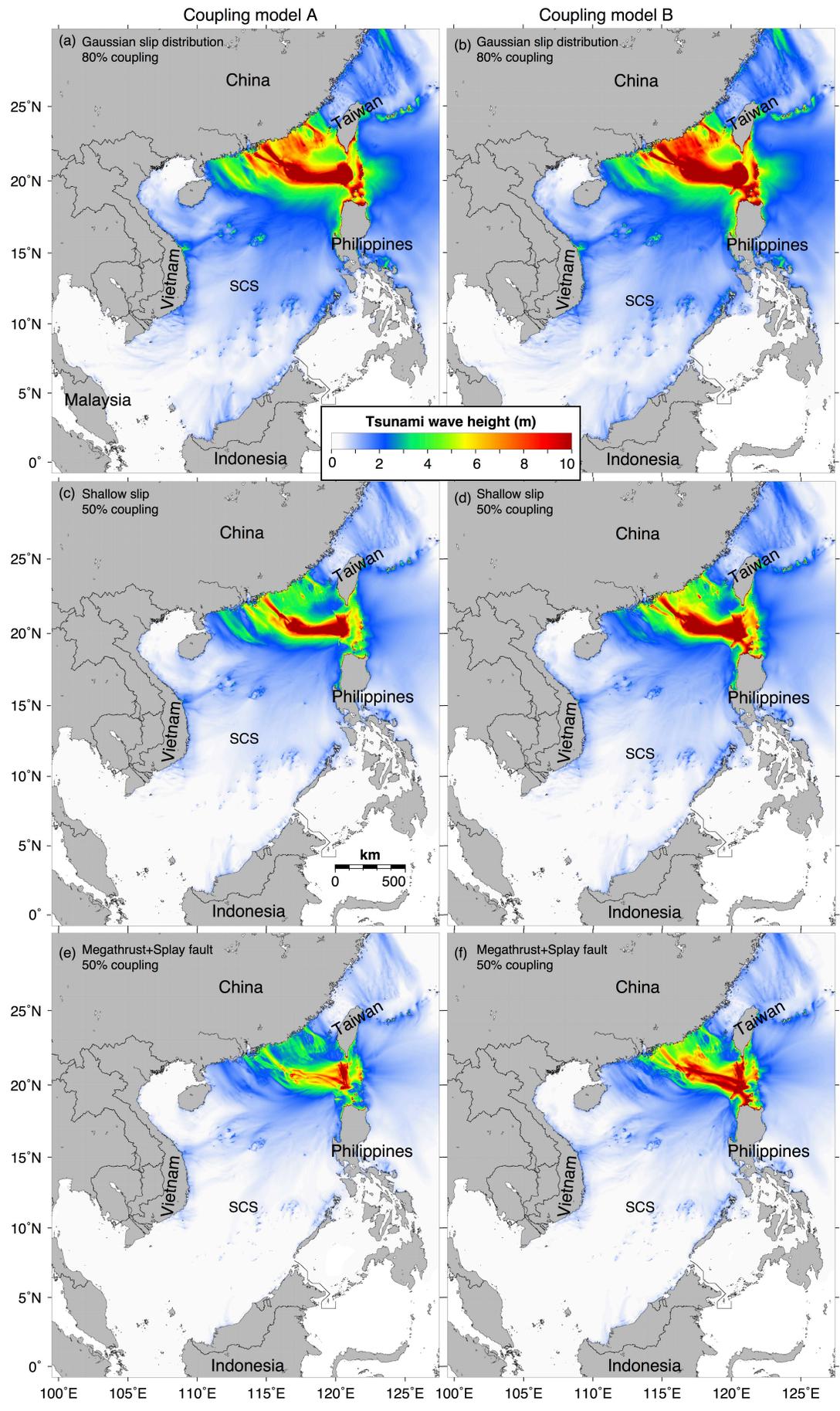
**Figure 5.** Proposed slip models in zone 3. (a) and (b) show shallow rupture type of slip models (e.g., Tokoku-Oki) based on coupling models A and B (Hsu et al., 2016), respectively. (c) and (d) represent megathrust (Figure 3. c and f) rupture together with the out-of-sequence megasplay type of slip models, respectively. We assume 50% coupling for the megathrust and the megasplay faults. CM refers to coupling model shown in the inset map. White arrows show the possible slip directions during earthquakes. Vectors in the coupling maps show the slip deficit direction that is accumulated for future release in earthquakes.



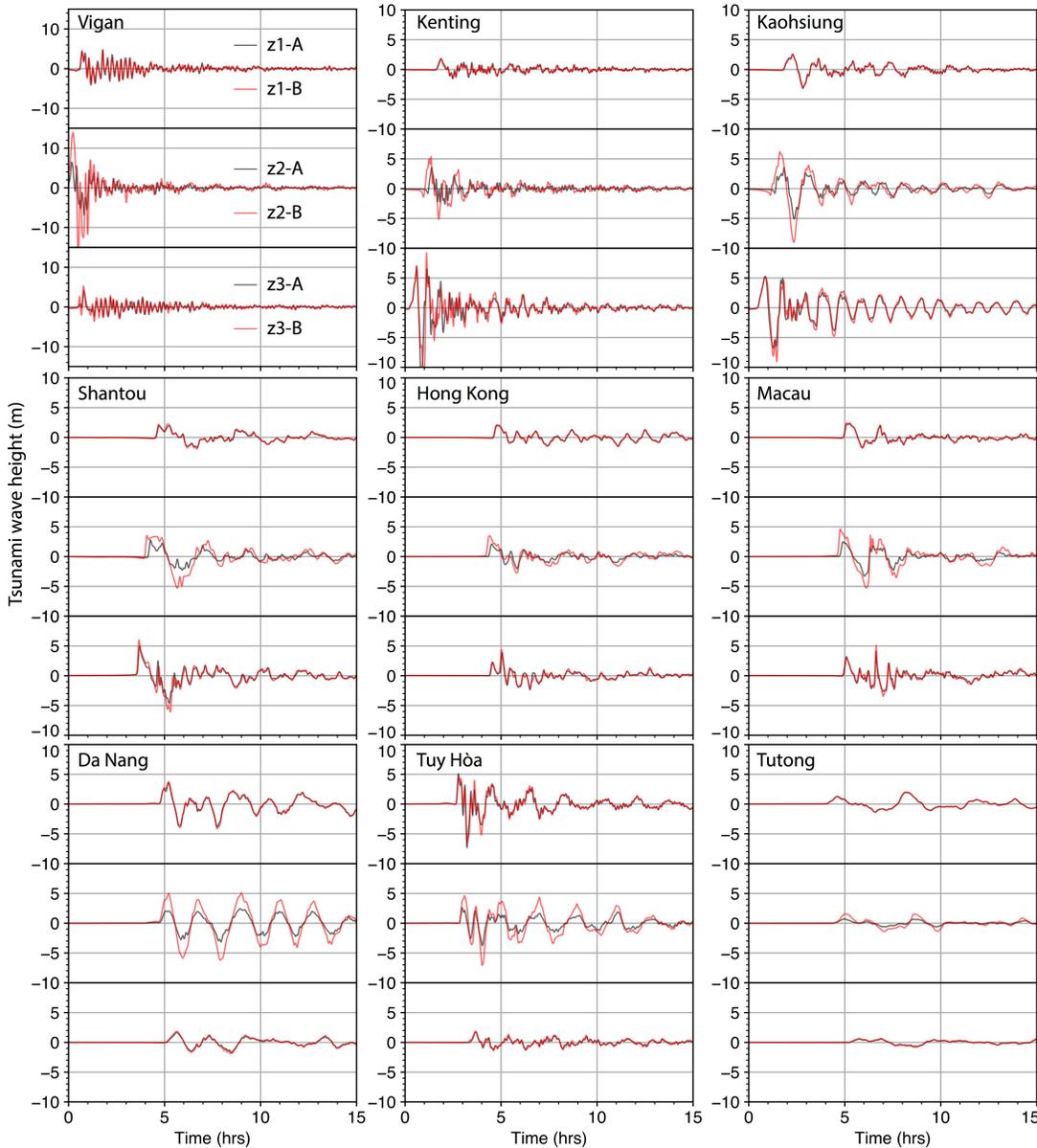
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 932 **Figure 6.** (a) Seismogenic megathrust rupture together with mega-splay rupture scenario  
 933 with 80% coupling ratio on each of them from model A of Hsu et al. (2016). (b) same with  
 934 (a) but from model B of Hsu et al. (2016). (c) Shallow rupture (e.g., Tohoku-Oki rupture)  
 935 the same as Figure 3.a but with 80% coupling ratio on the megathrust. (d) Shallow rupture  
 936 (e.g., Tohoku-Oki rupture) same as Figure 3.b but with 80% coupling ratio on the  
 937 megathrust. A 1000-year seismic return period was assumed in the slip calculation.  
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941 **Figure 7.** Modeled maximum tsunami wave heights and arrival time contours in SCS. (a),  
942 (c) and (e) show the maximum tsunami wave heights generated from rupture zones 1, 2  
943 and 3 based on slip models calculated from coupling model A (Hsu et al., 2016),  
944 respectively. (b), (d) and (f) show the same maximum tsunami wave heights but with slip  
945 models calculated from coupling model B (Hsu et al., 2016). In zone 3, we show Gaussian  
946 slip distribution with 50% coupling ratio scenario with other example scenarios shown in  
947 Figure 8. The solid black contours show hourly tsunami arrival time with half an hour  
948 increment (dashed contours). The colored dots show the subsampled location at 20-m  
949 water depth, with color showing the maximum wave heights.



951 **Figure 8.** Maximum tsunami wave heights from different rupture characteristics in zone 3  
 952 with hybrid coupling models. (a), (c) and (e) show the maximum tsunami wave heights  
 953 based on coupling model A (Hsu et al., 2016). (b), (d) and (f) show the same maximum  
 954 tsunami wave heights but with slip models based on coupling model B (Hsu et al., 2016).  
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957 **Figure 9.** Simulated tsunami wave time histories at example coastal cities in the SCS region.  
 958 Top panel, middle and bottom of each subpanel show simulated waves from ruptures in  
 959 zones 1, 2 and 3, respectively. A and B represent coupling models A and B from Hsu et al.  
 960 (2016). For rupture zone 3, we show the Gaussian slip distribution with 50% coupling ratio  
 961 cases (Figure 3. c and f) as examples.  
 962