

# Interactive comment on "Difficulties in explaining complex issues with maps. Evaluating seismic hazard communication — the Swiss case" by Michèle Marti et al.

#### Klaus Wagner (Referee)

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In my view, this good study which evaluates seismic hazard maps has two major shortcomings. 1. The researchers define which information should be extracted – it remains unclear if the maps fulfill the information needs of the target audience of the study (people at risk, architects). The authors just state: "Risk communication can lead to more accurate beliefs about seismic hazard and a higher tendency towards taking precautionary measures (Whitney et al., 2004). As elaborated previously, maps are the means of choice to communicate seismic hazard. In the following, we discuss the factors determining how hazard maps are read, interpreted, and understood. This sets

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the baseline to analyze the maps 90 produced by the SED." It remains unclear which role hazard maps should play in the risk communication. In my view, there is a big difference between seismic and e.g. flood hazard maps. Flood hazard maps could easily be used by people at risk to plan mitigation measures: They get information, if their house might be flooded and the flood height for a given scenario. Thus, the information of the hazard map is mostly sufficient for the planning of mitigation measures. In contrast, seismic hazard maps cannot initiate specific mitigation measures by people at risk. The list of possible mitigation measures which is presented in question 21 can be classified in 3 categories: 1. Mitigation measures which can only be implemented by experts (Earthquake-resistant construction; Contracting an earthquake insurance)  $\rightarrow$  here public only needs to know, that there is a severe danger 2. Mitigation measures which should be adopted when a basic hazard threshold is reached (Knowing what to do in case of an earthquake, Securing items inside a building e.g. shelfs)  $\rightarrow$ here public only needs to know, that there is a moderate danger 3. Normal precautionary measures (Allocating an emergency food supply)  $\rightarrow$  basic awareness for different types of hazards is necessary This is just a rough guess of an expert who is working on flood and alpine hazards. My expert judgement is that you are presenting to complex information which is not necessary within the overall risk communication goal (= foster private mitigation measures). Thus, my recommendation is, that you clarify the role of hazard maps within the risk communication process (you could use the path diagram of Nathe 2000 (which you have cited)). On this basis, your introduction and discussion could be improved. The second problem of the paper is in my view the data mining approach within the statistical analysis. The authors do not formulate any hypotheses, they just present statistical significant combinations they have found (ex-post) in the data set. It would be necessary that the authors formulate hypotheses on the basis of a risk perception or risk communication theory or at least a literature review. It would be interesting to present also the independent variables which had no statistical influence.

Minor comments: The authors often use following style: Meyer et al. (Meyer et al., 2012) recommend – the classical style would be: Meyer et al. (2012) I. 128: Please

remove the brackets after e.g. I. 140: ", statistical" instead of ", Statistical" I. 171: Case study instead of cases study Tables 6 and 7 are not really necessary. I. 420: Here, the studies of the Fuchs/Dorner group (2 times cited by the authors) would be helpful. This group used the eye tracking technique and could show that legends of maps were only used by expert users while lay people directly tried to interpret the map. Sorry for my limited English skills – I hope you understand my comments.

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Interactive comment on Nat. Hazards Earth Syst. Sci. Discuss., https://doi.org/10.5194/nhess-2019-112, 2019.

## Reply to the review comment of Klaus Wagner

Dear Mr Wagner,

Thank you very much for taking the time to review our manuscript and for your thoughtful comments.

Your first major criticism concerns the usefulness of seismic hazard maps to enhance the preparedness of a wider public. This is a very relevant objection, which is partly supported by the results of our study suggesting evaluating other means to communicate seismic hazard.

However, seismic hazard maps are currently a reality and worldwide used for this purpose. As elaborated in our paper, they are the only accessible information allowing the public to understand if they are threatened or not. They are widely requested and used by the public and decision makers. In contrast to other natural hazards, earthquake hazard is 'invisible" as the processes of relevance occur deep underground without any indication at the surface. In addition, seismic hazard is driven by low-probability but high-impact events which occur without warning. Currently, seismic hazard maps are the only established means to make this hazard visible. Thereby, seismic hazard maps play an even more important role in raising awareness compared to other natural hazard maps. Nowadays, the public as well as professionals take them into account to base on any mitigation decision. We consider it therefore as extremely important to test the use and usefulness of seismic hazard maps. We focused on the question if users are able to distinguish between hazardous and less hazardous areas and deduce further information. In this respect, they take on the same tasks as flood or other natural hazard maps.

It is true, that applying seismic design standards is the most effective mitigation measure. For this purpose, experts are needed. Nevertheless, even when strict building codes are in place, their application is often deficient or impeded. Taking Switzerland as an example, where the enforcement of building codes depends in many parts of the country exclusively on nonspecialized engineers and architects or knowledgeable building owners. Currently, their only source of information allowing them to understand the seismic hazard of a given area is the information provided in the framework of the national seismic hazard map. This is also applies for home owners, who need to take a decision about contracting an earthquake insurance. This is not exclusively the case for Switzerland, but worldwide, because earthquake damages are largely uncovered. In Switzerland, this deficit is regularly debated in the national parliament and may at some point be decided by a public vote. Here also hazard maps that are understandably, transparently, and fairly portray the hazard are essential to allow the public to take an informed decision. In addition, building codes only set a minimal standard which can easily be exceed by a specific event. Therefore, individual preparedness is essential. In our opinion, earthquake preparedness does not significantly differ from other natural hazards. In any case, a knowledgeable public is needed to enforce existing regulations, to take individual measures, and to seek for professional assistance (e.g. insurance, construction work) to fill in remaining preparedness gaps.

Of course, it can and should be questioned in the future if seismic hazard maps are an adequate means to serve this purpose. Based on this real-world setting, our study is the first of its kind to analyze current approaches and thereby sets a baseline for improved hazard communication. In addition, as you correctly observed, user needs should be carefully elaborated. An aspect which

was not in the scope of our study. We therefore highlighted these aspects more clearly in the introduction and discussion sections (see supplement).

With respect to the data analysis we conducted, the parameters tested all derive from peer reviewed publications presented in Chapter 2. Based on these findings, we developed research questions to base on our analyses. In our understanding, this is the common procedure in case of poor theoretical evidence as it is the case for the evaluation of seismic hazard maps. However, based on your useful suggestion, we added and tested two hypotheses where sufficient theoretical evidence is available. In addition, we included an additional research question with respect to the currently unknown factors influencing the understanding of seismic hazard maps and also specified non-significant correlations (see supplement).

Thank you also for the minor remarks which we all considered.

Kind regards,

Michèle Marti (on behalf of the co-authors)



# *Interactive comment on* "Difficulties in explaining complex issues with maps. Evaluating seismic hazard communication — the Swiss case" *by* Michèle Marti et al.

## Anonymous Referee #2

Received and published: 23 July 2019

[1] The paper "Difficulties in explaining complex issues with maps. Evaluating seismic hazard communication – the Swiss case" by Marti, Stauffacher and Wiemer, deals with the evaluation of maps as a tool to communicate seismic hazard. The maps are composed according to a set of recommendations / conditions that improve map readability and comprehensibility. The evaluation is based on the analysis and interpretation of the answers provided by different target groups to a questionnaire specifically created for this work and adapted to the Swiss case. The paper is well written, rises pertinent and interesting research questions about hazard communication and mapping features. The methodological approach to collect data followed seems adequate. Although a form with 25 questions may involve multiple analyses, the authors focus on

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some specific points (INCLUDE) that lead to their key conclusions. In my opinion, this paper would be of interest for the readers of NHESS. Summing up, I recommend the publication of this paper after completing minor revisions. [2] I have a major comment on the research setup: A) The large amount of maps (45) used by the authors may hinder the comprehensibility and the ability of the respondents, as they may feel saturated of information. In my opinion, maps are good means to communicate information because they present a visual summary of information that is (or at least, should be) easy to understand. But using tens of maps makes the analysis complicated, as the reader does not distinguish the main message and may get confused by irrelevant (?) information. B) The information represented in the maps should be adapted to the end user. Specifically (and in consonance with the documentation for professionals given in the SED site): - Effects maps are risk (not hazard) maps, related to issues that any person (with any background) can observe. They are suitable for any end user. - Hazard maps are developed for rock condition (i. e. excluding site effects that could amplify ground motions) and thus give a incomplete view of the actual expected ground motions. Only specialized people (eventually including architects and engineers) would interpret these maps correctly. - Magnitude maps are basically seismicity maps, not hazard maps. I think these maps are not adequate to evaluate seismic hazard communication. I understand that the authors focus the analysis on whether the best-practice recommendations followed to elaborate the maps do facilitate hazard communication to end users. From this point of view, I have no concern with the paper. However, these points are determinant for the interpretation of results and the conclusions. Perhaps the use of a smaller amount of maps and the mapping of more user-oriented variables would lead to different conclusions. In my opinion, the issues commented in this point [2] should be included in the paper. [3] Below I provide some specific comments to the paper: 1. Introduction Lines 12-13: the usefulness of hazard maps for earthquake resistant design is mentioned as the most efficient way to reduce earthquake risk... this is valid for recent and new construction. Any comment on older (pre- seismic code) constructions? Line 17: the authors state that hazard maps "often the only accessible

information to help the public deciding about mitigation measures" and give examples in Fig. 1. There are many examples (from the countries which maps are shown in Fig. 1 among others) of other "accessible information to help people...", maybe not maps. I would suggest this rephrasing "a principal source of information to help the public deciding about mitigation measures". 2.Best practices in communicating seismic hazard Subsection 2.2.1, line 7: I think you should add "for non-experts" at the end of "Whenever possible, technical vocabulary should be avoided" 3. Case study and focus of research Subsection 3.1, lines 25-28. Note that the hazard map is expressed in terms of acceleration and that the effects and magnitude maps are expressed in terms of probability. This may cause some confusion to the respondents. Would it be better understood an "effects (or magnitude) map" depicting the expected EMS intensity (magnitude) value for a given return period? This should be included in the discussion. Subsection 3.2, lines 20-21. The authors state "we are interested in factors influencing the performance of participants in understanding and interpreting hazard information. such as numeracy skills, age, gender or education". Please, include in the proper section an explanation about how age, gender or education influence the performance of participants in understanding and interpreting hazard information. (I SEE THAT THIS IS ALREADY TACKLED IN THE RESPONSE TO REFEREE K. WAGNER. FORGET IT). Subsection 3.2, lines 24. Please, explain what do you mean by "and therefore controlled". This sentence may require rewriting it. 4. Approach It the general public is informed about the meaning of the terms "hazard", "effects" and "magnitude" before providing the answers? How? 5. Results The first paragraph of this section is a bit confusing. Please, state how many persons of the general public and of architects/engineers constitute the sample used to assess each research question (as numbered at the end of section 3.2). If one of these research questions are answered by both groups (general public and architects/engineers), clearly indicate the differences/coincidences between the answers provided by both groups (if any). Tables: indicate the meaning of abbreviations in some tables (M for mean, SD for standard deviation, etc.) at list in one table (the first appearance). ADDITION: Sentence 425 of the

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supplement to the comment of reviewer K Wagner may be confusing. Please rewrite it.

Interactive comment on Nat. Hazards Earth Syst. Sci. Discuss., https://doi.org/10.5194/nhess-2019-112, 2019.

# Reply to the review comment of the anonymous referee #2

Dear anonymous referee,

We very much appreciate your comprehensive acknowledgement of our paper and your helpful comments.

Your first critic focuses on the large amount of maps presented and the associated excessive demands on participants. We fully agree that especially for the workshop participants, the amount of maps accessible to solve the usability tasks was probably overwhelming as stated in the conclusion section. Nevertheless, we would like to clarify that neither the public nor the architects or engineers not specializing in seismic retrofitting were confronted with all 45 maps. In the online survey, only a selection of four different maps was presented: the seismic hazard map for a return period of 475 years and three different magnitude or effect maps. We have now specified this aspect in section 4.2. The architects and engineers had in principle access to all 45 maps. However, the seismic hazard map for a return period of 475 years is the preselected option in the interactive web tool not demanding for any further selection. In contrast, to solve the other usability tasks, different magnitudes or effect maps had to be considered. As most of the reported results are based on the online survey, we do not think that the amount of maps presented critically biases their understanding or interpretation.

Another important point you are bringing up is to reflect in more detail if the maps are meeting user requirements. In its report about the updated seismic hazard model, the SED explains that the introduction of the magnitude and effect maps was owed to the fact that users are commonly not interested in ground acceleration values. They rather want to know how often they have to expect a certain magnitude or a damaging event in a specific area. Our results now show that these maps are less well interpreted and understood compared to the seismic hazard map. We attribute this one hand to the poor implementation of best practices and on the other on the deficient understanding of intensity. Despite the assumed value of magnitude and effect maps for a better understanding of the strength and the impact an earthquake might have, they are less requested and almost never picked-up by the media. Of course, habit could also be part of the explanation. Previously, only hazard maps were published and people might just refer to what they are more familiar with without reflecting that another product could be of more value. We have further elaborated this issue in section 3.1 and 7.

With respect to your specific comments, we made the following clarifications:

# (1)

Of course, respecting seismic building codes is not only important for new constructions, but also when renovating older facilities. We have added this information, thank you.

We agree that the phrasing "principle source of information" is more adequate and changed it accordingly.

## (2)

We added "for non-experts" as requested.

(3)

You suggest to discuss if magnitude and effect maps would be better understood if a magnitude or intensity value would be provided for a specific return period. Currently, in the interactive web tool people can choose between three different return periods for magnitude and effect maps: one year, 50 years, and 100 years. For our study, we have chosen to only vary the magnitude and intensity values and left the return periods constant at 100 years. It would be an interesting research question to also study if different return periods affect people's understanding and interpretation of the maps.

We rewrote the sentence in section 3.2.

We specified what we mean by "therefore controlled". Because of their assumed influence all the factors previously mentioned are controlled.

(4)

The meaning of the different terms was explained in the legends included in every map depicted. We missed to mention this previously and have now added this information. In addition, a definition of every map type was provided in the selection of answers to question 12.

(5)

You are completely right; we have not explained well enough on which sample the reported results are based on. We have now specified this.

Thank you for spotting that we have not indicated the meanings of M and SD at first appearance.

We revised the misleading sentence in the last supplement.

Kind regards,

Michèle Marti (on behalf of the co-authors)

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# *Interactive comment on* "Difficulties in explaining complex issues with maps. Evaluating seismic hazard communication — the Swiss case" *by* Michèle Marti et al.

## Anonymous Referee #1

Received and published: 24 July 2019

I would like to thank the authors for their careful reply to my comments. I have to admit that I misunderstood the research question. In my view it is much more important to ask the question, if the hazard maps fulfill their role within an goal oriented risk communication (in this direction was my first major critique of the paper, although this is not the research question of the authors). Having in mind the critique of referee #2 the research question of the authors is, if the public can understand unnecessary complex information which they don't need for their preparedness actions – sorry, this formulation is a bit sarcastic, nevertheless I would like to insist a bit on my point: Especially in the conclusion section the authors could use all the empirical and expert knowledge, they have presented in the paper combined with their research results to

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give recommendations which really help to improve the quality of the presented maps within a goal oriented risk communication to the public. Here the authors should consider which types of maps are used by agencies of the natural hazard management in Switzerland to inform the public about natural hazards. The most common map is the danger zone plan (Gefahrenzonenplan) which includes a risk assessment of the magnitude and frequency of different scenarios. For a good risk communication a similar risk assessment should be developed by state actor (Swiss Seismological Service, PLANAT, BAFU ....). Right now the Swiss Seismological Service presents many different maps which are interesting for experts but not for lay people. Here it is not helpful to give recommendations how to improve the readability of maps only experts need (e.g. lines 519ff), referee #2 talked about "irrelevant (?)" information. The information of the Swiss Seismological Service would be evaluated as "to complex" by Hagemeier-Klose and me (cited in lines 182f). Thus, the question of the conclusion section could be how the information of the service cloud be improved to initiate preparedness actions.

Interactive comment on Nat. Hazards Earth Syst. Sci. Discuss., https://doi.org/10.5194/nhess-2019-112, 2019.

## Reply to the second review comment of Klaus Wagner

Dear Mr Wagner,

Thank you again for taking the time to comment our reply to your first comment.

We acknowledge your judgement of the "unnecessary complexity" of the products presented and the suggestion to simplify the design according to the danger zone plan, where the information is color coded and assigned to five categories. We agree that it would be a relevant research question to analyze if seismic hazard information integrated into such a format would be easier to understand and interpret for non-experts. We have added a listing of potential improvements (incl. the classification of data) to the conclusion section and pointed out that these would need to be tested first to prove their usefulness in a seismic hazard context. In addition, we added the recommendation to analyze whether such amended products meet users' needs.

Most similar to such danger zone plans, but depicting data continuously, are the effect maps implemented by the Swiss Seismological Service (SED). They would best allow to deduce information about the local impact of a specific event. As specified in its report about the updated seismic hazard model, the SED introduced this map type as well as the magnitude maps because users are commonly not interested in ground acceleration values. They rather want to know how often they have to expect a damaging event or a certain magnitude in a specific area. Our results now show that these maps are less well interpreted and understood compared to the seismic hazard map. We attribute this one hand to the poor implementation of best practices and on the other on the deficient understanding of intensity. Despite the assumed value of magnitude and effect maps for a better understanding of the strength and the impact an earthquake might have, they are less requested and almost never picked-up by the media. Of course, habit could also be part of the explanation. Previously, only hazard maps were published and people might just refer to what they are more familiar with without reflecting that another product could be of more value. We have further elaborated this issue in section 3.1 and 7.

We agree that novel forms of communicating seismic hazard should be taken into consideration and also discuss potential formats e.g. infographics. We added an additional reference (Dobson *et al.*, 2018) showing that maps lead in a flood hazard context to the least accurate decision compared with tables and graphics. In addition, we suggest to reduce the information load and to probably introduce scenarios to initiate preparedness actions. However, our study also reveals that the seismic hazard map for a return period of 475 years is well understood. Despite depicting ground acceleration values, which are unknown to most non-experts. We tried to make these aspects clearer in the conclusion section and highlighted to increasingly evaluate users' needs.

Kind regards,

Michèle Marti (on behalf of the co-authors)

## **Relevant changes**

Based on the very helpful comment of the anonymous reviewer and Klaus Wagner we have thoroughly revised our paper "Difficulties in explaining complex issues with maps. Evaluating seismic hazard communication – the Swiss case".

The most significant amendments concern the role and importance of non-experts in strengthening earthquake preparedness and the current relevance of seismic hazard information to base on decisions. We highlighted and discussed these aspects more prominently in the introduction, discussion, and conclusion section. In addition, we describe in more detail the potential implications of our results with respect to future approaches for communicating seismic or other natural hazards.

Another important set of changes includes more precise descriptions of the study design and meaningful additions to the data analysis.

In the following document, all changes with respect to the first version submitted are marked and therewith traceable.

In case, any further specifications about the amendments taken are required, we would be very grateful to provide additional annotations.

# Difficulties in explaining complex issues with maps. Evaluating seismic hazard communication – the Swiss case

Michèle Marti<sup>1</sup>, Michael Stauffacher<sup>2</sup>, Stefan Wiemer<sup>1</sup>

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## Abstract

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2.7 billion people live in areas where earthquakes causing at least slight damage have to be expected regularly. Providing

- 10 information can potentially save lives and improve the resilience of a society. Maps are an established way to illustrate natural hazardhazards. Despite of being mainly tailored to the requirements of professional users, they are often the only accessible information to help the public deciding about mitigation measures. There is evidence that hazard maps are frequently misconceived. Visual and textual characteristics as well as the manner of presentation have been shown to influence their comprehensibility. Using a real case reflecting current practices, the material to communicate the updated seismic hazard
- 15 model for Switzerland was analyzed in a representative online survey of the population (N = 491) and in two workshops involving architects and engineers not specializing in seismic retrofitting (N = 23). Although many best practice recommendations have been followed, the understanding of seismic hazard information remains challenging. Whereas most participants were able to distinguish hazardous from less hazardous areas, correctly interpreting detailed results and identifying the most suitable set of information for answering a given question proved demanding. We suggest scrutinizing current natural
- 20 hazard communication strategies-and, empirically testing new products.—, and exploring alternatives to raise awareness and enhance preparedness.

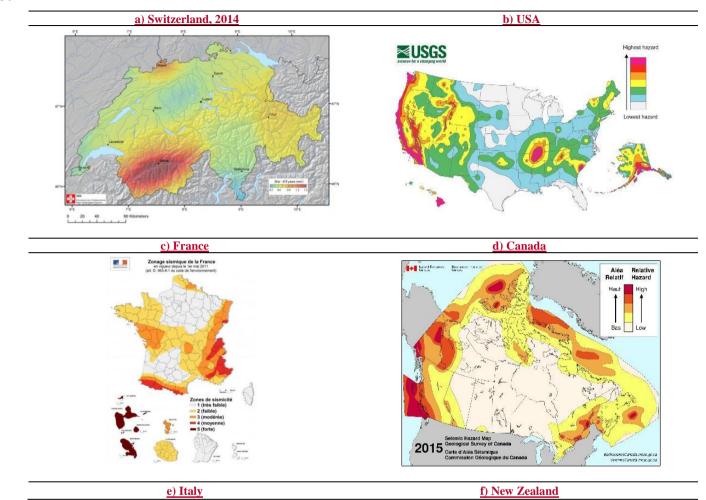
### **1** Introduction

The preferred means of communicating complex natural hazard calculations are currently maps<u>Many</u> of the 2.7 billion people living in areas where earthquakes causing at least slight damage have to be expected regularly<sup>1</sup> (Pesaresi et al., 2017) are

25 unaware of this threat or underestimate it. Earthquake hazard is invisible as the processes of relevance occur deep underground. In addition, earthquakes are characterized as low-probability, high-impact events allowing for no warning. Currently, seismic hazard maps are the most commonly used means to visualize and communicate this danger (see a selection in Fig. 1) (Bostrom

<sup>&</sup>lt;sup>1</sup> The global seismic hazard map (EMMI-GSHAP) defines areas as hazardous if there is a 10 % chance of exceedance in 50 years for earthquakes with a minimal intensity of V on the Mercalli scale.

et al., 2008; Gaspar-Escribano and Iturrioz, 2011; Kunz and Hurni, 2011)(Bostrom et al., 2008; Gaspar-Escribano and Iturrioz, 2011; Kunz and Hurni, 2011).



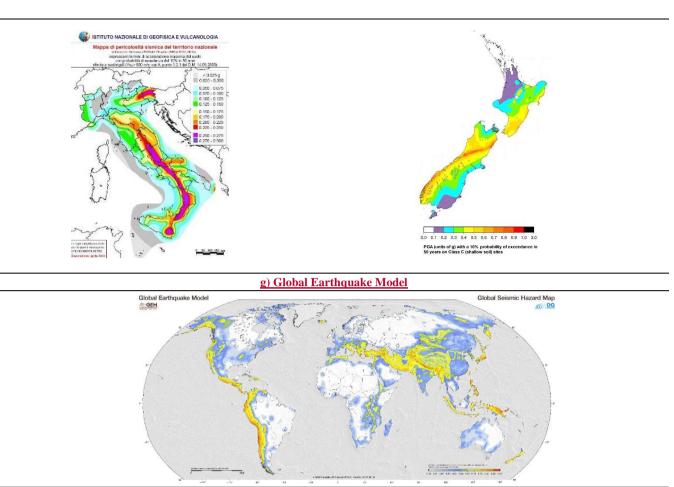


Fig. 1. Selection of national seismic hazard maps: a) Swiss seismic hazard map (Swiss Seismological Service, 2018, www.seismo.ethz.ch/knowledge/seismic-hazard-switzerland/) b) US seismic hazard map (United States Geological Survey, 2018, https://earthquake.usgs.gov/hazards/hazmaps/) c) Zonage sismique de la France (Bureau de recherches géologiques et minières, 2018, http://www.planseisme.fr/Zonage-sismique-de-la-France.html) d) Simplified seismic hazard map for Canada, the provinces and territories (Natural Resources Canada, 2018) e) Pericolosità sismica di riferimento per il territorio nazionale (Istituto Nazionale di Geofisica e Vulcanologia, 2018, http://zonesismiche.mi.ingv.it/) f) The 2010 National Seismic Hazard Model for New Zealand (Institute of Geological and Nuclear Sciences Limited, 2018), https://www.gns.cri.nz/Home/Our-Science/Natural-Hazards/Earthquakes/Earthquake-Forecast-and-Hazard-Modelling/2010-National-Seismic-Hazard-Model g) Global Earthquake Model (GEM) Seismic Hazard Map (version 2018.1 - December 2018) (Pagani et al., 2018, https://www.globalquakemodel.org/gem).

- 40 Knowing and understanding seismic hazard is a major step towards loss reduction (Gaspar-Escribano and Iturrioz, 2011; Shaw et al., 2004). An earthquake-resistant building design, based on seismic hazard values (Perry et al., 2016), is the most efficient means of reducing seismic risk and generally implemented by professionals. This is not only valid for new constructions, but also when conducting renovations at older facilities. However, even when strict building codes are in place, their application is often deficient or impeded (Day, 2012). For example, in Switzerland the enforcement of building codes depends in many
- 45 parts of the country exclusively on non-specialized engineers and architects or knowledgeable building owners. The information provided in the framework of the national seismic hazard map is their principle source to understand the seismic

hazard of a given area. This also applies for home owners, who need to take a decision about contracting an earthquake insurance. This is not exclusively the case for Switzerland, earthquake damages are worldwide underinsured (OECD, 2018). In addition, building codes only set a minimal standard which can easily be exceed by a specific event. Therefore, individual

50 preparedness is essential.

Even though natural hazard maps are mainly tailored to the needs of primary users (Perry et al., 2016), they are used unaltered to communicate with other recipients (Thompson et al., 2015). In consequence, recent publications indicate that they often fail to transmit their content (Meyer et al., 2012). Non-experts in the field, in particular, often struggle to interpret the maps correctly (Hagemeier-Klose and Wagner, 2009; Kjellgren, 2013; Perry et al., 2016; Severtson and Vatovec, 2012). This is

55 fundamental, <u>because as stated above</u>, improving resilience requires not only knowledgeable experts, but also politicians, authorities, and <del>an</del>-informed <del>public members of society</del> to support precautionary actions.
2.7 billion people live in areas where earthquakes causing at least slight damage have to be expected regularly<sup>2</sup> (Pesaresi et al.,

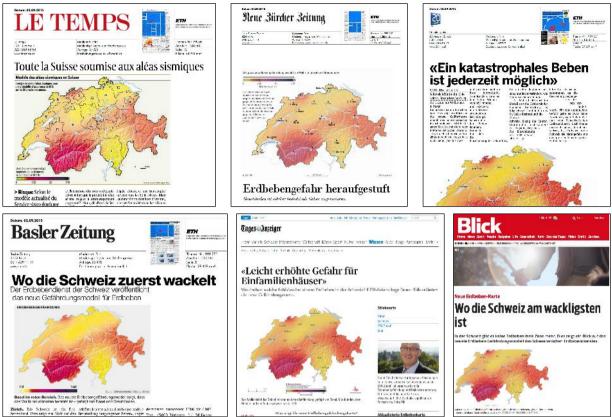
2017). Earthquakes cannot be predicted, therefore knowing and understanding seismic hazard is a major step towards loss reduction (Gaspar Escribano and Iturrioz, 2011; Shaw et al., 2004). It enables a society to take precautionary measures like

60 persisting in the application of building codes or securing movable items. An earthquake resistant building design, based on seismic hazard values (Perry et al., 2016), is the most efficient means of reducing seismic risk. Identifying and providing seismic hazard values is a primary responsibility of seismological services around the world.

Earthquake hazard describes how often a certain horizontal acceleration caused by an earthquake has to be anticipated at a specific location (Swiss Seismological Service, 2018). The most prominent output of such seismic hazard assessments are

65 maps, which are often the only accessible information to help the public deciding about mitigation measures (see a selection in Fig. 1). The access statistics of the website of the seismological service (www.seismo.ethz.ch) demonstrate for Switzerland that seismic hazard information is highly requested: the respective pages dedicated to non-professionals are among the most popular. For professionals, there is a separate portal where also hazard spectra and curves can be accessed (www.efehr.org). In addition, media often inquires to reprint the seismic hazard map for a return period of 475 years (see Fig. 2).

<sup>&</sup>lt;sup>2</sup>-The global seismic hazard map (EMMI-GSHAP) defines areas as hazardous if there is a 10 % chance of exceedance in 50 years for earthquakes with a minimal intensity of V on the Mercalli scale.



70 Fig. 2. Examples of reprints of the Swiss seismic hazard map for a return period of 475 years in popular national media outlets. Screenshots of Swiss newspapers taken by the authors.

The main users or recipients of seismic hazard maps can be broken down into three groups (Meyer et al., 2012): (1) experts, mainly seismologists, geologists, and specialized civil engineers, who use seismic hazard maps on a regular basis for professional purposes; (2) other professionals, like architects, engineers not specializing in seismic retrofitting, and emergency

75 and disaster managers, who only deal occasionally with seismic hazard maps; (3) the public, who are confronted by authorities or media with seismic hazard maps or seek for advice before purchasing a house or contracting an insurance. They are usually unfamiliar with many of the maps components'.

Previous studies evaluating maps for risk management purposes mainly focused on directly-involved stakeholders and authorities (Dransch et al., 2010). The few studies that analyzed the public's needs regarding hazard maps did so either by

80 questioning experts or by mostly relying on a small sample (Hagemeier-Klose and Wagner, 2009; Kjellgren, 2013; Meyer et al., 2012; Thompson et al., 2015). The understanding of seismic hazard by non-experts in the field and the public has thus been neglected. The challenge lies not only in making accurate information available, but in presenting it in understandable ways (Peters et al., 2008). Disseminating hazard maps online is seen as an important option of providing hazard information to the public (Hagemeier-Klose and Wagner, 2009; Kostelnick et al., 2013)

- 85 With respect to flood maps, Meyer et al. (Meyer et al., 2012) recommend implementing a less complex map design for the public in contrast to primary users. (2012) recommend implementing a less complex map design for the public in contrast to primary users. Different requirements and expectations are also emphasized by Hagemeier-Klose and Wagner (Hagemeier-Klose and Wagner, 2009), who stress that when presenting flood maps, technical terms should be avoided and "emotional empathy" created. (2009), who stress that when presenting flood maps, technical terms should be avoided and "emotional empathy" created.
- 90 empathy" created. With regard to volcanic hazard maps, accurate data classification, meaningful application of color schemes, and textual elements are emphasized as being important for user engagement and the interpretation of map content (Thompson et al., 2015). Overall, there is a serious lack of empirically tested knowledge on how to design (seismic) hazard maps, especially when addressing the public.

ThisFor the first time, we study takes a real-world setting to understand how well seismic hazard maps provided by the Swiss

- 95 Seismological Service (SED) at ETH Zurich, as the most prominent output of any model, are read and understood by the able to inform non-experts. Thereby, we focus on the general public andas well as on architects and engineers not specializing in seismic retrofitting. We focus on those recipients that They are both indispensable to improve earthquake resilience but are currently neither in the focus of the producers of most seismic hazard outputs nor of the research about maps to communicate natural hazards. There is also a genuine interest of this user groups, as the website access statistics of the SED show. The
- 100 respective pages are among the most popular of the SED website. In addition, media often requests to reprint the seismic hazard map for a return period of 475 years (see Fig. 2). Our study is based on a real-world case, analyzing the seismic hazard maps and aligned information provided by the Swiss Seismological Service (SED) at ETH Zurich.

This case was chosen as it is representative of the way results of a natural hazard assessment are presented to a variety of users. It typically reflects the work seismological services and other natural hazard agencies are doing around the world. By not only

105 taking into account the newest findings in the model calculation but also in the presentation of the results, the SED has gone one step further. We analyze how well participants are able to handle the information provided as well as their competence in deriving answers to given questions. We also examine their ability to interpret statistical information and the effect of interactive access. To our knowledge, for the first time, seismic hazard information is comprehensively tested in a real-world setting. The results will allow to derive best practices for improving seismic hazard and natural hazard communication 110 worldwide.

#### 2 Best practices in communicating seismic hazard

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Risk communication can lead to more accurate beliefs about seismic hazard and a higher tendency towards taking precautionary measures (Whitney et al., 2004). As elaborated previously, maps are the means of choice to communicate seismic hazard. In the following, we discuss the factors determining how hazard maps are read, interpreted, and understood. This sets the baseline to analyze the maps produced by the SED.

6

#### 2.1 Visual characteristics

Visual characteristics of seismic hazard maps are mainly defined by colors, contrast, and the explanatory legend. A survey compared volcanic hazard maps with a red-yellow and a red-yellow-blue color scheme (Thompson et al., 2015). Despite indicating the same values, identical hazard levels were interpreted differently. In a red-yellow map, areas colored yellow were

120 considered to be at risk. Unlike, in a red-yellow-blue map, areas previously colored yellow and now colored blue gave the impression of being safe. Red color schemes are, with some cultural differences, commonly associated with danger, hazard, and risk (Bostrom et al., 2008). In contrast, light colors naturally seem less alarming than dark colors (Gaspar-Escribano and Iturrioz, 2011; Peters et al., 2008).

Clear colors and high contrast ratios improve the understanding of maps (Hagemeier-Klose and Wagner, 2009). Contrasts are

125 especially relevant for people with defective color vision (Kunz et al., 2011). This is particularly important for maps with color schemes ranging from green to red (Thompson et al., 2015). Depicting certain values directly on the map instead of only mentioning them in the legend helps people with visual impairments to interpret the content correctly.

The chosen colors should allow easy distinction between data classes. The use of different color hues at each end of the scheme instead of a single hue helps. However, having too many classes diminishes users' ability to distinguish color values and

- 130 decreases saturation of a specific class (Kunz and Hurni, 2011).(Kunz and Hurni, 2011). It is worth testing which intervals are most likely to be understood and categorizing the data into three to five classes (Fuchs et al., 2011; Gaspar-Escribano and Iturrioz, 2011). Alternatively, unclassed maps can be used to depict continuous data. Even though users cannot distinguish small changes and might have difficulties in situating single data points in the legend, unclassed maps represent the data more accurately (Severtson and Myers, 2013).
- 135 Legends are another important aspect of visuals. If users cannot clearly understand or see the legend, they will probably misunderstand the map content (Kunz and Hurni, 2011). (Kunz and Hurni, 2011). As different users have different needs, Gaspar-Escribano and Iturrioz (Gaspar-Escribano and Iturrioz, 2011) recommend comprehensive, numerical information for professionals and qualitative legends for non-professionals. Another approach suggests combining unclassed maps with verbal legends (e.g. low to high risk), as in any case, users struggle to assign specific color hues to single data points in the legend.
- 140 In contrast, isarithmic maps, which connect points of equal values with lines, are preferably combined with numerical values (Severtson and Myers, 2013).

#### 2.2 Textual characteristics

Descriptions support the understanding of graphics and enhance their persuasive impact (Lipkus, 2007). To reach this aim, the tradeoff between the completeness and the comprehensibility of information needs to be well balanced. Access to more

145 complete information does not necessarily lead into enhanced comprehension and a better quality of choice (Peters et al., 2007)(Peters et al., 2007). This is especially true for older persons and those with lower numeracy skills (Peters, 2008). Numeracy acts as representative for cognition (Severtson and Myers, 2013) and may influence the general ability to understand

graphics (Spiegelhalter et al., 2011). Providers should shift from an approach centered on information completeness to one that facilitates users' decision-making(Peters, 2008) by emphasizing important information (Pang, 2008).

150 In the context of seismic hazard communication, technical jargon, transmitting odds and other statistical information is further of special relevance.

#### 2.2.1 Technical vocabulary

Whenever possible, technical vocabulary should be avoided <u>for non-experts</u> (Hagemeier-Klose and Wagner, 2009). Recent usability studies (e.g. (Burningham et al., 2008))Burningham et al., 2008) in the context of flood hazards emphasize that non-

experts struggle to understand technical terms accompanying flood maps, like "return periods expressed as probabilities"

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(Meyer et al., 2012) or "one hundred year flood" (Hagemeier-Klose and Wagner, 2008).

#### 2.2.2 Odds

Most people struggle to understand odds. What they would like to know is the likelihood of an earthquake occurring within a conceivable period (Nathe, 2000). In the context of volcanic hazard, using "within" instead of "in" to describe the period helps

160 to achieve a more balanced judgment of the distribution of likelihood of volcanic eruptions over a given time frame (Hudson-Doyle et al., 2011). Nevertheless, the effect is only visible for longer periods and is more pronounced in likelihood judgments by non-scientists (Doyle et al., 2014).

#### 2.2.3 Statistical information

When it comes to statistics, it has proven especially exigent to communicate single-event probabilities, conditional probabilities, and relative risks (Gigerenzer and Edwards, 2003).

- To avoid misinterpretations of single event probabilities and conditional probabilities, Statistical judgments by experts and non-experts improve similarly if they are based on frequencies rather than probabilities (Hoffrage et al., 2000). Nevertheless, in a study on volcanic hazard, participants with relatively high numeracy skills expressed a preference for percentages only, or percentages in combination with natural frequencies (Thompson et al., 2015). Alternatively, verbal and linguistic
- 170 probabilities can be used (e.g. "likely", "certain"), even though they appear to be interpreted very differently. To minimize the risk of misinterpretation, combining verbal and numerical information is seen as the most promising approach (Bodemer and Gaissmaier, 2012; Budescu et al., 2014).

Conditional probabilities pose another challenge. The standard seismic hazard map depicts a probability of exceedance of 10 percent within 50 years. Health-related studies demonstrate that such conditional probabilities are often misconceived by both

175 physicians and patients (Bodemer and Gaissmaier, 2012; Gigerenzer and Edwards, 2003).(Bodemer and Gaissmaier, 2012; Gigerenzer and Edwards, 2003). Relative risks are more difficult to understand than absolute risks (Bodemer and Gaissmaier, 2012; Gigerenzer and Edwards, 2003). (Bodemer and Gaissmaier, 2012; Gigerenzer and Edwards, 2003). Communicating absolute risks improves the correct understanding of a given statistical statement (Gigerenzer and Edwards, 2003). (Gigerenzer and Edwards, 2003).

#### 180 2.3 Manner of presentation

Experiential and interactive information generates a stronger impact on attitudes and leads to a higher level of preparedness (Becker et al., 2013; McIvor and Paton, 2007). Bostrom et al. (Bostrom et al., 2008) point out the potential in offering interactive visualizations to explore seismic risk information, allowing individual configurations to cover different user groups' needs. (2008) point out the potential in offering interactive visualizations to explore seismic risk information, allowing individual configurations should not be overloaded

185 <u>individual configurations to cover different user groups' needs.</u> However, interactive visualizations should not be overloaded or too complex. Moreover, they should be based on clear communication goals and only offer functionalities that serve those (Dransch et al., 2010). In a study, natural hazard experts confirmed the usefulness of interactive hazard mapping tools (Kunz and Hurni, 2011).(Kunz and Hurni, 2011).

Interactive map visualization facilitates the comparison of different parameters and allows personalized settings e.g. for transparency. Maps should enable appropriate hazard assessment and therefore make it possible to compare hazards at different times and in different areas (Dransch et al., 2010; Hagemeier-Klose and Wagner, 2009). Adaptive zooming is strongly recommended. It reduces the amount of information visible at once (Kunz and Hurni, 2011). (Kunz and Hurni, 2011). The interface provided has to be user-friendly and offer access to further information (Hagemeier-Klose and Wagner, 2008). An extensive review of flood maps in Europe revealed that all analyzed maps were either too simple or too complex. Many

195 included too many functionalities and too much information, which diminished their comprehensibility (Hagemeier-Klose and Wagner, 2009).

## 3. Case study and focus of research

Testing hazard products is seen as an important success factor for information-presenting strategies (Kostelnick et al., 2013; Perry et al., 2016; Peters, 2008; Thompson et al., 2015). A testing campaign should determine how well the given information is understood, the extent to which the communication goals are reached, and the influence of the presented materials on actual choices (Peters et al., 2008). Our <u>casescase</u> study uses the original maps the SED provides to communicate its hazard model. In the following, we discuss their qualities with respect to the aforementioned best practices.

### 3.1 Qualities in the presentation of the Swiss seismic hazard model

Besides traditional hazard maps depicting ground acceleration values, the SED introduced two other map types: effect and magnitude maps (see Fig. 3). Effect maps show the probability of a particular intensity (EMS 98) and the associated effects within a certain period. Magnitude maps illustrate how often an earthquake of, or above, a certain size is expected to occur within a specific radius and period. Fig. 3). Effect maps show the probability of a particular intensity (EMS-98) and the associated effects within a certain period. Magnitude maps illustrate how often an earthquake of, or above, a certain size is expected to occur within a specific radius and period. These maps were developed because users mostly do not ask for ground acceleration values, but rather want to know how often a damaging earthquake or an earthquake with a certain magnitude has

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to be expected at a specific location (Wiemer et al., 2015).

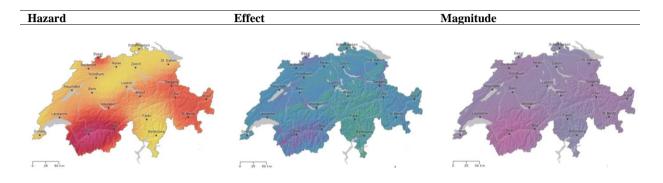


Fig. 3. Comparison of the color scales of the three map types offered for the release of the updated seismic hazard model: hazard map (in units of m/sec^2), effect map (in units of EMS Intensity) and magnitude map (in units of magnitude) (Swiss Seismological Service, 2018, www.seismo.ethz.ch/knowledge/seismic-hazard-switzerland/).

To make it easier to compare maps in terms of e.g. return periods, the same color scale is used for all map variations within

one of the three map types (Fig. 4).(Fig. 4).

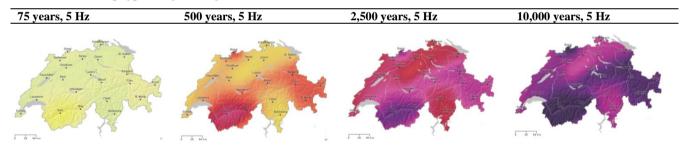


Fig. 4. Same color scale for hazard maps with different return periods (from left to right: 75 years, 500 years, 2,500 years, 10,000 years) (Swiss Seismological Service, 2018, www.seismo.ethz.ch/knowledge/seismic-hazard-switzerland/maps/hazard/).

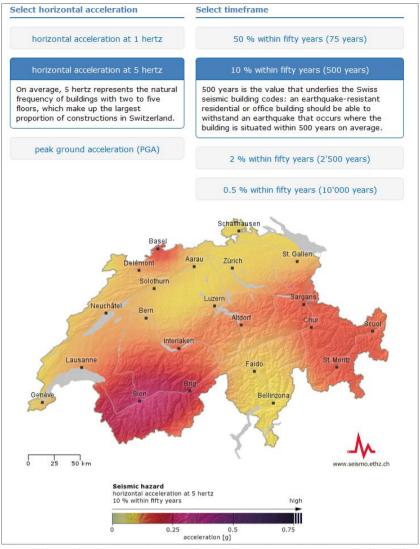


Fig. 5. Screenshot of the Swiss seismic hazard model interactive web tool 2015 (Swiss Seismological Service, 2018, www.seismo.ethz.ch/knowledge/seismic-hazard-switzerland/maps/hazard/).

With regard to visual characteristics (see Sect. 2.1), darker colors are used to depict areas with higher hazard, intensity, or magnitude values, as recommended in other studies (Gaspar-Escribano and Iturrioz, 2011; Peters et al., 2008). In contrast to its previous version (see Fig. 1, Fig. 1, upper left corner), the seismic hazard map is mostly colored yellow to red, indicating that the whole country is potentially endangered. All maps are unclassed as they depict continuous data, which includes the downside of not allowing users to read single data points (Severtson and Myers, 2013). The contrast ratios are rather low, especially in the case of the magnitude and effects map, as a consequence of using the same color scale for all maps of a certain type. Low contrast ratios degrade the readability of the maps and also impede understanding of the information shown

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230 (Hagemeier-Klose and Wagner, 2009; Kunz et al., 2011). The legends are prominently positioned and depict numeric and qualitative information, as suggested by literature.

With respect to textual characteristics (see Sect. 2.2), the information provided follows best practice recommendations. Even though technical vocabulary has not been avoided in the legends, it is explained in accompanying texts around 100 to 200 words long. In addition, every map has a caption summarizing the most important parameters. All map types depict different probabilistic information, which is not only provided in numbers but also explained<sup>3</sup>.

The interactive tool allows different map parameters to be combined individually. However, there is no option to zoom in, select specific data points or information, or personalize the map displayed (e.g. transparency), which contradicts current best practices in the field (see Sect. 2).

#### 3.2 Research questions

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- Although there are various fragments contributing to best practices in the conceptualization of hazard maps and accompanying information, a comprehensive theoretical background is lacking. In addition, the few studies analyzing the conceptualization and comprehensibility of hazard maps mainly consulted primary users and usually worked with small, non-representative samples (Hagemeier-Klose and Wagner, 2009; Kjellgren, 2013; Meyer et al., 2012; Thompson et al., 2015). This is astonishing considering that maps are the preferredmostly used means to communicate hazard values to a greater audience. In the absence of alternatives, they play a particularly important role in raising the awareness of the population and in influencing decisions
- 245 of alternatives, they play a particularly important role in raising the awareness of the population and in influencing dec about precautionary measures.

To fill these research gaps, our study analyzes based on a real case, how well the public, including architects and engineers not specializing in seismic retrofitting, understand and interpret the seismic hazard information provided by the SED. Our findings will significantly depend on how well the maps are conceptualized in terms of visuals, texts, and presentation format.

- We are focusing on three areas: the handling and understanding of the maps, the interpretation of statistical information, and the benefit of interactive access. In addition, we are interested in factors influencing the performance of participants in understanding and interpreting hazard information, such as numeracy skills, age, gender, or education (Peters, 2008; Solberg et al., 2010; Thompson et al., 2015). Awareness and risk perception are further important precursors of future actions (Becker et al., 2013; Lindell and Perry, 2000; Ronan and Johnston, 2005)<del>, and therefore. Because of their assumed influence all these</del> factors are controlled.
- 255 <u>Idetors are</u> controlled.

The most prominent output of the seismic hazard model is the seismic hazard map for a return period of 475 years. Based on the first research question, we aim to study whether people are able to correctly read and understand this particular seismic hazard map. Distinguishing hazardous from less hazardous areas requires correct interpretation of color hues, shading, and the

 $<sup>^{3}</sup>$  For example, the term "probability of exceedance of 10 percent in 50 years (500 years)" used for the seismic hazard maps is explained in connection to the building codes: "Earthquake-resistant residential or office buildings in Switzerland are designed to withstand shaking that is expected to occur where the building is situated once every 500 years on average. The lifetime of a building is approximately fifty years. Within this lifetime, the probability of a residential or office building experiencing the design shaking is ten percent."

information provided in the legend. It might also be beneficial to take into account and accurately interpret the accompanying

260 information.

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- 1. Are participants able to distinguish regions with a higher seismic hazard from regions with a lower seismic hazard in Switzerland?
- 2. Which factors influence the understanding of seismic hazard maps?

To execute predetermined tasks using magnitude or effect maps, participants need to derive the right conclusions based on color hues, legends, and textual information.

2.3. Are participants able to choose the right magnitude or effect map for answering a given question?

3.4. Are participants able to identify and correctly interpret probability values on a magnitude or effect map to answer a given question?

Statistics are fundamental for seismic hazard assessments and a genuine part of seismic hazard communication. They have

270 proven to be very challenging to interpret. We therefore analyze how participants judge different statistical statements.

4.5. How well do participants interpret statistical information?

Based on previous findings (Peters et al., 2008), we assume that numeracy influences the interpretation of statistical information and therefore formulate the following hypothesis:

H1 Participants with higher numeracy skills interpret the statistical information presented more accurately.

275 An interactive presentation of hazard data, allowing users to answer personalized questions, is believed to support understanding of the information provided.

Does<u>H2 An</u> interactive exploration of the Swiss seismic hazard model influencepositively influences the understanding of the content provided?.

## 4. Approach

- A combination of quantitative and qualitative methods was chosen to best picture how people understand and interpret the maps and information offered in the context of the updated seismic hazard model for Switzerland. According to Haynes et al., (Haynes et al., 2007) quantitative methods alone fail to "capture the complexity of risk perception" in the case of volcanic hazard. Haynes et al., (2007) quantitative methods alone fail to "capture the complexity of risk perception" in the case of volcanic hazard. Haynes et al., (2007) quantitative methods alone fail to "capture the complexity of risk perception" in the case of volcanic hazard. When analyzing flood hazard maps, too, a combination of both approaches proved to be advantageous
- 285 (Hagemeier-Klose and Wagner, 2009). We conducted an online survey of the public in order to collect data and invited architects and engineers to participate in two workshops with a view to gaining deeper insights.

## 4.1 Sample

In total, 491 members of the public answered the online survey. Random sampling based on quotas for age, gender, education, and language was carried out by a professional research company using their panel. From a total of 1,042 participants, 478

- 290 were detained to complete the survey after having answered first sociodemographic questions. Their quota was already full. From the remaining 564, 36 were suspended because they had not completed the survey and 37 for quality reasons because they invested less than 5 minutes to fill it in. The remaining participants took an average of 12.9 minutes to complete the survey. 257 of the participants were female and 234 male. 71.1 % filled in the German version of the online survey and 28.3 % the French version<sup>4</sup>. The average age of participants was 46.9 years<sup>5</sup> and most of them were renting a house or an apartment
- 295 (66.4 %). The statistics on final examinations showed that 10.4 % had completed compulsory education, 52.1 % had gained upper-secondary-level qualifications (vocational education and training certificate), and 37.5 % had gained third-level qualifications (e.g. university degree). In sum, the sample was mostly representative of the Swiss population in terms of gender, language, and level of education.

23 architects and engineers participated in the two workshops, each of which lasted about two hours. The 4 women and 19 men were 36 years old on average and mostly worked for civil engineering companies in the German-speaking part of Switzerland. All of them had a university degree. Participants were selected using a snowball sampling approach.

#### 4.2 Procedure and measurements

Both groups, the public and the architects and engineers, started by answering a standardized questionnaire (see Table 1). Table

1). Detailed response options are specified in the Appendix. In the online survey, the response options have been randomly

305 reordered.

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**Table 1.** First set of standardized questions. The public answered the questions online, the workshop participants on a handout. Translated from German to English by the authors.

First set of standardized questions	<ol> <li>Sociodemographic questions (age, gender, education etc.)</li> <li>Perceived risk questions</li> <li>Have you personally ever felt an earthquake in Switzerland?</li> <li>How high would you classify seismic hazard in Switzerland?</li> <li>Are there any areas with a particular seismic hazard in Switzerland?</li> <li>Do you know the seismic hazard map the Swiss Seismological Service at ETH Zurich has published?</li> <li>If so, where have you seen it?</li> <li>Have you ever used this map to base on a decision?</li> </ol>
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Afterwards, all participants had to conduct usability tasks (see Table 2). In the online survey, the maps questioned were always
on display including an explanatory legend, expect for question 12, where no map was depicted. All online participants had to reply the questions concerning the hazard map for a return period of 475 years. In the following, they were randomly assigned to answer either questions concerning the magnitude or the effect maps. For question 13 three magnitude (magnitude 5, 6, and 7) or effect (intensity IV, VII, VIII) maps were on display. For questions 14 and 15 one map either depicting the probability

<sup>&</sup>lt;sup>4</sup> Switzerland has three official languages (German, French, and Italian) and four national languages (the aforementioned three languages and Rumantsch). The survey looked at the two groups with the most representatives among the total population: German speakers (63 %) and French speakers (22.7 %) (Bundesamt für Statisik, 2015).

<sup>&</sup>lt;sup>5</sup> 12.6 % of the Swiss population aged 25 to 64 have only completed compulsory education, 46.2 % hold upper-secondary-level qualifications and 41.2 % hold third-level qualifications.

for an earthquake with a magnitude 6 or higher respectively an intensity of VIII within the next 100 years was shown. In total,

315 <u>online participants were confronted with four different maps.</u>

The architects and engineers were split from the beginning into two groups. In front of a big screen, they had to navigate through the website of the SED (www.seismo.ethz.ch) to find the information needed to solve the usability tasks. Again, all participants were confronted with the hazard map, but only one group at a time answered the questions concerning the magnitude respectively the effect maps. Four observers documented their discussions, their navigation paths on the website, and their suggested answers to the given questions. Apart from the setting, the assignment of tasks was identical.

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**Table 2.** Survey section with usability tasks. The public answered the questions online, the workshop participants noted their answers on a flipchart. The public solved the usability task as part of the online survey, workshop participants needed to use the SED website to find their answers. Translated from German to English by the authors.

	Hazard map	<ul><li>Which are the regions with the highest seismic hazard?</li><li>Which town has the higher seismic hazard, Aarau or Interlaken?</li><li>Are there any areas in Switzerland without seismic hazard?</li></ul>
Usability tasks	Magnitude maps	<ul> <li>a. Which map type would you choose to answer the following question: «In which two Swiss cities is an earthquake with a magnitude of 6 or higher most likely to be expected within the next 100 years?"</li> <li>a. Which of the three magnitude maps depicted seems most useful to answer the following question? "In which two Swiss cities is an earthquake with a magnitude of 6 or higher most likely to be expected within the next 100 years."</li> <li>a. Choose the pair of cities where according to the map depicted an earthquake with a magnitude of 6 or higher has to be expected most likely.</li> <li>a. How big is the probability for an earthquake with a magnitude 6 or higher to occur within the next 100 years in Bern?</li> </ul>
	Effect maps	<ul> <li>b. Which map type would you choose to answer the following question: "In which two Swiss cities is an earthquake causing severe damage most likely to be expected within the next 100 years?"</li> <li>b. Which of the three effect maps depicted seems most useful to answer the following question? "In which two Swiss cities is an earthquake causing severe damage most likely to be expected within the next 100 years."</li> <li>b. Choose the pair of cities where according to the map depicted an earthquake causing severe damage has to be expected most likely.</li> <li>b. How big is the probability for an earthquake causing severe damage to occur within the next 100 years in Bern?</li> </ul>

325 To conclude, both groups were asked, based on given adjectives and statements, to rate the information provided. A further set of questions dealt with their understanding of statistical information, their willingness to take precautionary measures, and amendments in their risk perception. Finally, they had to evaluate their numeracy skills (see <u>Table 3).Table 3).</u>

Table 3. Second set of standardized questions. Translated from German to English by the authors.

	What is your general impression with respect to information you have seen? How do you rate the following statements with respect to the maps you have seen before? It is mentioned several times that an event is expected "within" a certain period e.g. 50 years. What does that mean to you?
	Assumed, there is a 60 percent probability for a damaging earthquake at your place of living within the next 50 years. How do you rate this number?
Second set of	Assumed, you were living in the Valais, where there is an approximately 60 percent probability for a
standardized questions	damaging event within 50 years. Which measures would you take to protect yourself from such an event?
	Have you personally taken any measures to protect yourself from the impact of an earthquake?
	What could we improve in the presentation of the hazard model to enhance its comprehensibility?
	Has your assessment of the earthquake hazard in Switzerland changed in the course of the survey?
	Please assess your numeracy skills by answering the following questions.
	Do you have additional comments about the survey?

330 The following measurement parameters derive from the online survey; only there did enough people participate to allow resilient statistical statements.

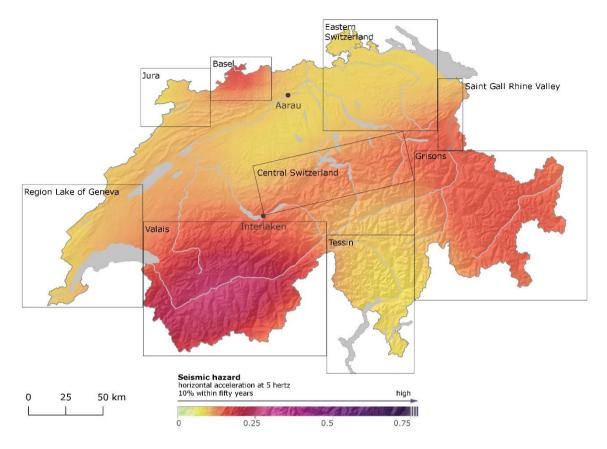
A five-point Likert scale was used to measure perceived risk (question 4), covering eight items compiled in an index with a Cronbach's  $\alpha$  of 0.753<sup>6</sup>. Earthquake hazard in Switzerland was classified on a five-point Likert scale (1 "very low" to 5 "very high").

335 In the usability section, based on a list with nine areas, we measured the number of correctly selected hazardous areas (question 9) and built a variable reflecting seismic hazard competence (see Table 4). Table 4). The five areas with an elevated seismic hazard are Valais, Basel, Grisons, Central Switzerland, and Saint Gall Rhine Valley. The four areas with low to moderate seismic hazard are Jura, Tessin, Lake of Geneva Region, and Eastern Switzerland.

Hazard competence	Number of correctly selected hazardous areas	Percentages	Number
very low	0-1	28.9 %	142
low	2	22.8 %	112
medium	3	39.9 %	196
high	4–5	8.4 %	41

**Table 4.** Distribution hazard competence (N = 491).

<sup>&</sup>lt;sup>6</sup> "Switzerland has a high earthquake hazard."/ "If an earthquake hits Switzerland, major damage is to be expected." / "I do not think that a major earthquake will occur in Switzerland in the near future." / "I believe earthquakes do not pose a major threat to me." / "I am afraid that the apartment/house I am living in might be destroyed." / "I feel protected against earthquakes at my place of work." / "I feel personally affected by the earthquake hazard in Switzerland." / "Switzerland would recover fast in the aftermath of a major earthquake."



**Fig. 6.** Seismic hazard map displaying the probability of a horizontal acceleration at 5 Hertz to be experienced with 10 % within fifty years (475 years) on rocky subsoil and the areas in question (Swiss Seismological Service, 2018, www.seismo.ethz.ch/knowledge/seismic-hazard-switzerland/maps/hazard/). The map was shown to the participants without the frames highlighting the different areas.

In the second set of standardized questions we made the following measurements: Firstly, a selection of seven adjectives measuring the general impression of the information presented had to be rated on a five-point Likert scale (question 16),

345 leading to an index with a Cronbach's  $\alpha$  of 0.790<sup>7</sup>. Secondly, statements regarding the coloring of the maps, the differentiation of map types and color hues, and the explanations provided had to be rated on a five-point Likert scale; no index was compiled<sup>8</sup> (question 17). This was followed by two questions addressing the understanding and interpretation of statistical information, also measured on a five-point Likert scale (questions 18 and 19). To conclude, participants' numeracy skills were measured with four items<sup>9</sup> (Fagerlin et al., 2007) compiled in an index with a Cronbach's  $\alpha$  of 0.916 (question 24).

<sup>7 &</sup>quot;attractive" / "trustworthy" / "helpful" / "instructive" / "complicated" / "nontransparent" / "confusing" /

<sup>&</sup>lt;sup>8</sup> "The colors chosen for the maps are cumbersome to understand the information depicted." / "The difference in content the maps display is clear." / "Color differences on the various maps are not distinct enough to read out details." / "The explanations for the individual maps are comprehensive." / "The legends (captions) are helpful to understand the maps." /

<sup>&</sup>lt;sup>9</sup> "How good are you at working with fractions?" / "How good are you at working with percentages?" / "How good are you at calculating a 15 % tip?" / "How good are you at figuring out how much a shirt will cost if it is 25 % off?"

#### 350 **5. Results**

The following results are mainly based on the online survey conducted with members of the Swiss public. Unlike the data gathered at the workshops with the architects and engineers not specializing in seismic retrofitting, the analysis of the online survey allows for resilient statistical statements. Only the last section, concerning interactive access, solely takes into account Therefore, sections 5.1 to 5.3 solely reflect the results of the online survey and section 5.4 the observations made during the workshops.

355 the workshops

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#### 5.1 Understanding seismic hazard maps

Before being confronted with the seismic hazard map for a return period of 475 years, most participants (85.5 %) state that they have not seen it before. With the map displayed, a majority is able to correctly select two to five hazardous areas form a total of nine regions (see <u>Table 4).Table 4</u>). Most often, participants recognize the Valais as an area with an elevated seismic hazard, closely followed by Basel, and Grisons. As areas with an objectively lower hazard than the aforementioned regions (though they are still among the most hazardous areas in Switzerland), the Saint Gall Rhine Valley and Central Switzerland are in most cases not recognized as such (see Table 5). Almost all participants (93.5 %) successfully differentiate a city in a less hazardous area (Aarau) from one in a more hazardous area (Interlaken) (see <u>Fig. 6).Fig. 6).</u> 76.4 % agree to the statement that there are not any areas without seismic hazard in Switzerland.

365 **Table 5.** Participants' selection of hazardous and other areas in Switzerland (N = 491) with the map displayed. Number of selections taken = 1,280.

Areas with an elevated seismic hazard in Switzerland	Percentages of participants selecting hazardous area	Other areas	Percentages of participants selecting other area
Valais	84.1 %	Tessin	9.6 %
Basel	60.9 %	Eastern Switzerland	9.4 %
Grisons	58.5 %	Jura	9.4 %
Central Switzerland	11.8 %	Lake of Geneva Region	7.5 %
Saint Gall Rhine Valley	9.6 %		
Total selection of hazardous areas	86.25 %	Total selections of other areas	13.75 %

The numeracy skills of participants <u>and their living situation</u> significantly influence their hazard competence <u>and how they</u> assess the statement with respect to hazardous areas in Switzerland. Participants with advanced numeracy skills <u>and home</u> <u>owners</u> have a higher hazard competence <del>and rather state</del>( $\chi^2 = 19.28$  (6), p = .004, n = 491). Those stating that there are not any areas in Switzerland without seismic hazard in Switzerlandalso have higher numeracy skills, are younger, and have rather a third-level qualification ( $\chi^2 = 14.42$  (4), p = .006, n = 491) (see Table 6).

**Table 6.** Univariate variance analysis with the numeracy skills index as dependent variable and hazard competence  $\frac{1}{2}$  or  $\frac{1}{2}$  as well as the numeracy skills index and age as dependent variables and the areas without seismic hazard as independent  $\frac{1}{2}$  variables  $\frac{1}{2}$  (N = 491).

	Index numer	acy skills			
Hazard competence	<u>Mean (</u> M)	<u>SDStandard</u> <u>deviation</u> (SD)	-		
very low	3.66	1.07	-		
low	3.75	1.03	- 0.002	E(497) 4 70	2 0.02
medium	4.02	0.95	- p = 0.003	F(487) = 4.70	$\eta^2 = 0.03$
high	4.09	0.91	-		
	Index numer	acy skills			
Areas without seismic hazard	M	<u>SD</u>	-		
Yes	3.63	1.12		F(488) = 3.93	$\eta^2 = 0.02$
No	3.93	0.97	p = 0.020	F(488) = 3.93	$\eta^2 = 0.02$
	Age				
Areas without seismic hazard	M	<u>SD</u>	-		
Yes	<u>51.10</u>	<u>14.00</u>	- n = 0.001	E(499) = 6.64	$m^2 = 0.26$
No	45.51	15.17	p = 0.001	F(488) = 6.64	$\eta^2 = 0.26$

The rating of the information provided using adjectives significantly influences participants' hazard competence as well as the choice of the city with the higher seismic hazard. Those who rate the provided information more favorable have a higher hazard competence and are more inclined to choose Interlaken instead of Aarau (see Table 7).

380 **Table 7.** Univariate variance analysis with the index rating of the information presented as dependent variable and hazard competence or the city pair as independent variables (N = 491).

	Index rating information				
Hazard competence	М	SD			
very low	3.45	0.73			
low	3. <del>55<u>56</u></del>	0.71		E(407) 0.4C	2 0.05
medium	3.80	0.67	p =< 0. <u>001<u>000</u></u>	F(487) = 8.46	$\eta^2 \!= 0.05$
high	3.80	0.76			
City pair	<u>M</u>	<u>SD</u>			
Aarau	3.13	0.66		E(490) = C.90	? 0.01
Interlaken	3.50	0.79	p = 0.009	F(489) = 6.80	$\eta^2 \!= 0.01$

375

Gender, age, and risk perception have no significant influence on hazard competence or the ability to adequately select a city with an elevated seismic hazard or correctly assess that there are not any areas without seismic hazard in Switzerland.

- 385 In response to the first research question, the majority of participants is able to correctly distinguish regions and a city with a higher seismic hazard from regions and a city with a lower seismic hazard. Furthermore, they generally assess the whole country as potentially in danger. However, only a few recognize Central Switzerland and the Saint Gall Rhine Valley as being among the areas with an elevated seismic hazard. With respect to the second research question, there is a series of factors influencing participants' understanding of the information provided. Numeracy skills and the rating of the information
- 390 provided significantly influence participants' ability to accurately identify areas or a city with an elevated seismic hazard in Switzerland. Together with education, age, and the living situation, numeracy also significantly influences whether people are able to correctly deduce that there are not any areas without seismic hazard in Switzerland. The rating of the information provided further affects the choice of the more hazardous city.

#### 5.2 Understanding magnitudes and effects maps

395 Participants had to select the most suitable of three magnitude or effect maps for answering a given question. The results shown in Table 8 indicate that participants make the right choice more often (see highlighted frame) when confronted with magnitude rather than effect maps.

Table 8. Selection of the most suitable magnitude or effect map for answering a given question. The correct answers are highlighted.

Map selected "In which two magnitude of 6 or	Magnitude maps (N = 244) Map selected to answer the following question: "In which two Swiss cities is an earthquake with a gnitude of 6 or higher most likely to be expected within the next 100 years?"		e with a "In which two Swiss cities is an earthquake causing sever ected within most likely to be expected within the next 10			
Magnitude 5	Magnitude 5 Magnitude 6 Magnitude 7			Intensity VII	Intensity VIII	
35.2 %	56.6 %	8.2 %	12.2 %         53.8 %         34 %			

400 Then, with the correct magnitude or effect map displayed, participants had to select which of four city pairs has the highest probability of experiencing a magnitude 6 event or an earthquake causing severe damage. Again, they select the correct pair more often when the magnitude map was displayed ( $\chi^2$  (3) = 56.72, p < 0.001) (see highlight in Table 9).

Table 9. Percentages of city pairs selected with maps displayed. The correct answers are highlighted.

	Which city pair has the highest probability for experiencing a magnitude 6 event or an earthquake causing severe damage						
	Basel/Sion	Sion/Brig	Sion/Sargans	Brig/Sargans			
Magnitude maps (N = 244)	18.4 %	71.3 %	5.8 %	4.5 %			
Effect maps (N = 247)	46.2 %	39.7 %	11.3 %	2.8 %			

405 Participants perform similarly well when asked to choose the correct probability range for the occurrence of an earthquake with a magnitude of 6 or an intensity of VIII within the next 100 years in Bern ( $\gamma 2$  (4) = 7.73, p = 0.147) (see highlight in Table **10).** Table 10).

	Probability range for the occurrence of an earthquake with a magnitude 6 or an intensity of VIII within the next 100 years in Bern						
	10-25 %	25-50 %	50-75 %	75-100 %	Not possible to depict from the map		
Magnitude maps (N = 244)	64.8 %	20.9 %	6.1 %	0.4 %	7.8 %		
Effect maps (N = 247)	61.9 %	18.6 %	12.2 %	1.2 %	6.1 %		

Table 10. Percentages of probability ranges selected with maps displayed. The correct answers are highlighted.

- 410 As regards the second third research question, the results show that participants struggle to select the most suitable of three maps for answering a given question. Their performance, especially in case of the magnitude maps, improves when asked to fulfill a task with the right map already displayed. With respect to the thirdfourth research question, results are mixed. Participants rather choose the correct city pair with the highest probability of experiencing a certain event with the magnitude map displayed. In contrast, the probability range for a specific event in Bern is assigned equally well on the magnitude and the 415
- effect map.

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#### 5.3 Interpreting statistical information

Regarding the understanding of textual information describing probabilities, the statement of an event "within" a certain period of time is interpreted as intended by 73.3 % of the participants (N = 491). It can be understood as an event that has to be expected on average every 50 years, without knowing if it will happen tomorrow or in 70 years. The Numeracy skills as well as the change of perceived risk significantly influences the choice of the statement (see Table 11).

**Table 11.** Univariate variance analysis with <u>numeracy</u>, the change of risk perception as dependent variable and the assessment of a verbal statement about an event within 50 years as independent variable (N = 491).

	Numeracy				
Assessment of a verbal statement concerning an event within 50 years	M	<u>SD</u>			
certain to occur until 2067	<u>3.53</u>	<u>1.25</u>			
certain to occur in 2067	<u>3.48</u>	<u>0.93</u>	m < 0.000	E(478) = 7.01	$m^2 = 0.46$
to be expected on average every 50 years	<u>4.00</u>	<u>0.95</u>	<u> </u>	F(478) = 7.91	$\underline{\eta}^2 = 0.46$
I do not know	<u>3.48</u>	<u>1.02</u>			
	Change of p	erceived risk			
Assessment of a verbal statement concerning an event within 50 years	М	SD			
certain to occur until 2067	3.50	0.99			
certain to occur in 2067	3.32	0.67		E(497) 9.44	2 0.40
to be expected on average every 50 years	2.80	0.95	— p < 0.001	F(487) = 8.44	$\eta^2 = 0.49$
I do not know	2.85	0.60			

When asked to choose a verbal statement to assess the chance of a damaging earthquake occurring in their hometown within the next 50 years with a probability of 60 percent, 72.5 % of the participants rate such an event as quite plausible or almost certain. Participants' <u>numeracy skills</u>, risk perception, and change of perceived risk significantly affect their assessment (see Table 12). 430 **Table 12.** Univariate variance analysis with <u>numeracy</u>, the risk perception index or the change of perceived risk as dependent variable and the assessment of a verbal statement concerning an earthquake in their hometown as independent variable (N = 491).

	<del>Risk index<u>Numeracy</u></del>	<del>perception</del>			
Assessment of a verbal statement concerning an earthquake in their hometown	М	SD	_		
very unlikely	<u>3.68</u>	<u>1.04</u>	-		
<u>quite plausible</u>	<u>3.45</u>	<u>0.97</u>	<u>p &lt; 0.000</u>	<u>F(266) = 10.56</u>	$\underline{\eta}^2 = 0.041$
almost certain	<u>4.00</u>	<u>0.98</u>	-		
	Risk perception in	<u>ndex</u>			
very unlikely	<u>2.63M</u>	<u>0.63SD</u>			
very unlikely	<u>2.63</u>	<u>0.63</u>	- p = 0.002	F(488) = 6.07	$\eta^2 = 0.024$
quite plausible	2.80	0.67	p = 0.002	$\Gamma(488) = 0.07$	II = 0.022
almost certain	3.00	0.62	-		
	Change of perceiv	ved risk	_		
	M	<u>SD</u>	-		
very unlikely	2.96	0.76	-		
quite plausible	3.30	0.91	p < 0.001	F(488) = 10.6	$\eta^2\!=0.04$
almost certain	3.31	0.70	-		

RiskThe first hypothesis is clearly confirmed, participants with higher numeracy skills interpret statistical information more accurately. In addition, risk perception and its change are important factors influencing thetheir interpretation-of statistical information. Nevertheless, over two thirds of the participants interpret the statistical statements as intended. In respect of the fourthfifth research question, we conclude that the statistical information provided is well understood.

### 5.4 Benefit of interactive access

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Only the architects and engineers not specializing in seismic retrofitting worked directly with the web tool provided by the SED to solve the usability tasks.

- 440 The results of the observations made at the two workshops reveal that navigation through the website is challenging, at least in a group setting. The choice of the most suitable map type or map version for answering a given question proves very demanding for this group of participants too. Even though additional information was available in the form of descriptions, participants do not usually take much time to read them. They also mention that the amount of information and options to choose from is demanding. In addition, the interpretation of single data points or probability ranges is perceived difficult.
- 445 Participants criticize the web interface for not allowing them to zoom in or display specific values.

Despite having interactive access, the architects and engineers not specializing in seismic retrofitting do not differ from the participants filling in the online survey in their ability to understand and interpret the information provided. To answerWe therefore have to reject the fifth research question, second hypothesis assuming that an interactive access has noa measurable positive effect influence on the understanding of the content provided.

#### 450 **6. Discussion**

This study, based on a real world setting, reveals that although<u>Although</u> communication of seismic hazard in Switzerland follows many best practice recommendations, its understanding remains challenging for the public as well as for architects and engineers not specializing in seismic retrofitting. Potential for improvements can mainly be found in the following: amount of information presented, user guidance, coloring of certain maps, and design of interactive access. As such, all elements of the map conceptualization in terms of visuals, texts, and presentation are affected. Furthermore, a complex interplay of personal

455 map conceptualization in terms of visuals, texts, and presentation are affected. Furthermore, <u>a complex interplay of personal</u> factors <u>likeincluding</u> risk perception, its change, the rating of the information provided, <u>and</u>-numeracy skills, <u>age, education</u>, <u>and living situation</u> influence how hazard information is understood and interpreted.

Looking at the hazard map for a return period of 475 years, participants are generally able to differentiate areas and a city with an elevated seismic hazard from those with a lower seismic hazard. A majority also deduces correctly that there are not any

- 460 areas in Switzerland without seismic hazard. Participants' competence in handling the maps is influenced by their numeracy skills and, the rating of the information provided using adjectives, their living situation, age, and level of education. The higher their numeracy skills and the better their rating of the information presented, the higher is their hazard competence. This is in line with previous findings, highlighting numeracy as an important moderator for the handling of scientific information (Keller, 2011; Peters et al., 2008; Severtson and Myers, 2013) and interpreting graphics (Spiegelhalter et al., 2011). Regarding the
- 465 effect of the rating, a greater ability to read the maps may have led to a more favorable assessment of the information presented. We conclude that the color hues chosen, the graduation of the coloring, and the conceptualization of the legend, all of which follow best practices (see Sect. 3.1), supported the understanding of this product. Home owners' comparably higher hazard competence might be explained by the fact that they are more often confronted with questions on how to best protect their building. Therefore, they might have a greater interest and therewith capability to process relevant information. Younger
- 470 participants and those with third-level qualification more often correctly state that there are not any areas without seismic hazard in Switzerland. It is known that preparedness increases up to a certain age and then drops again (Joffe et al., 2016). Better prepared individuals are probably also better informed or more used to interpret available evidence. The same is true for those with a higher education.

By contrast, participants are less successful in understanding and interpreting magnitude and effect maps. These additional

475 map types were introduced to provide an alternative to the ground acceleration values depicted in hazard maps, which are usually unfamiliar to non-primary users. However, many participants struggled to select the most suitable of three maps for answering a given question. Participants would have needed to read three sentences at the bottom of each map explaining its content to make the right choice. The comparatively short average time taken to complete the online survey and the observations made at the workshops indicate that many users did not take this information into account. It is open to speculation

- whether three sentences already unbalance the equilibrium between completeness and comprehensibility (Peters et al., 2007)(Peters et al., 2007)(Peters et al., 2007) or whether the caption was just overlooked. A future study using eye tracking could shed light on this, as this method makes it possible to gain a better understanding of the elements taken into account (Keller, 2011). Furthermore, whereas for the magnitude map, the magnitude value of 6 was directly mentioned in the caption<sup>10</sup>, the term "very severe damage" had to be autonomously translated into an intensity value of VIII<sup>44</sup>-In an eye-tracking analysis of flood maps
- 485 <u>it was observed that laypersons have difficulties in focusing on specific aspects of a map. Compared to specialists they show a rather erratic manner to explore the content (Fuchs et al., 2011) Furthermore, whereas for the magnitude map, the magnitude value of 6 was directly mentioned in the caption<sup>12</sup>, the term "very severe damage" had to be autonomously translated into an intensity value of VIII<sup>13</sup>. Since intensity values are not commonly communicated in Switzerland, people might have struggled to understand and interpret them.</u>
- 490 When asked to pick and interpret probability values, participants are in tendency more successful when magnitude maps, rather than effect maps, were displayed. However, a considerable amount of participants failed, which is mostly attributed to the color scales used (a criticism often brought up in the comment section and observed at the workshops). Coloring is a very sensitive component of map conceptualization (Thompson et al., 2015). As recommended for depicting continuous data, unclassified maps were compiled, which have the downside of impeding the readability of single data points (Severtson and
- 495 Myers, 2013). In sum, both map types failed to apply best practices with respect to their coloring, as the shading is not sufficient (Kunz and Hurni, 2011).

The majority of participants interpret statistical information identically and as intended. Using "within" instead of "in" to describe the period for an expected event seemed to have supported the comprehensibility of the statements, as described in previous studies (Doyle et al., 2014; Hudson-Doyle et al., 2011). Two thirds further describe a damaging event occurring at

500 their hometown with a probability of 60 as quite plausible or almost certain. Due to the semantically similarity of these options only the differentiation to the third option "very unlikely" is justifiable, which was only chosen by a minority. <u>Participants'Confirming previous findings (e.g. Peters et al., 2008), participants with higher numeracy skills more often choose</u> the indented interpretation. In addition, participants' risk perception and its change have, at least in tendency, an effect on the

<sup>&</sup>lt;sup>40</sup>."The map below shows the probability of an earthquake with a magnitude of 6 or higher, within a radius of 50 km, within one hundred years. In the case of earthquakes with a magnitude of 6, moderate to major damage is likely over a wide area. One hundred years represents the approximate life expectancy of a human being."

<sup>&</sup>lt;sup>41</sup>."This map shows the probability of experiencing shaking on local subsoil with an intensity VIII or higher within one hundred years. In the case of an intensity VIII, major damage and even the collapse of buildings is likely. One hundred years represents the approximate life expectancy of a human being."

<sup>&</sup>lt;sup>12</sup> "The map below shows the probability of an earthquake with a magnitude of 6 or higher, within a radius of 50 km, within one hundred years. In the case of earthquakes with a magnitude of 6, moderate to major damage is likely over a wide area. One hundred years represents the approximate life expectancy of a human being."

<sup>&</sup>lt;sup>13</sup> "This map shows the probability of experiencing shaking on local subsoil with an intensity VIII or higher within one hundred years. In the case of an intensity VIII, major damage and even the collapse of buildings is likely. One hundred years represents the approximate life expectancy of a human being."

interpretation of statistical information. McClure et al., (MeClure et al., 2015)(2015) also show, using the example of a potential

- 505 earthquake in Wellington or Christchurch, that risk perception influences likelihood estimations. The two workshops conducted with architects and engineers revealed that they are similarly challenged by the tasks assigned. Interactive access had no measurable positive effect on the comprehensibility of the Swiss seismic hazard model. Besides the similar knowledge and awareness levels of engineers and architects not specializing in seismic retrofitting, the amount of information provided and the design of the interactive access may explain the outcome. As stated in other studies, too much
- 510 information is rather obstructive for transmitting knowledge (Pang, 2008; Peters et al., 2007)(Pang, 2008; Peters et al., 2007). As people only invested a little time in going through the content, even shorter texts are advisable. With respect to interactive access, workshop participants mentioned on several occasions that the tool does not meet their expectations, which were established by use of popular commercial mapping tools (e.g. Google Maps). This attitude was also documented by Perry et al. (Perry et al., 2016).(2016). Being unable to zoom in or display specific values by clicking was seen as a major drawback
- 515 and disregards best practices (Dransch et al., 2010; Hagemeier Klose and Wagner, 2009; Kunz and Hurni, 2011)(Dransch et al., 2010; Hagemeier-Klose and Wagner, 2009; Kunz and Hurni, 2011). It prevents users from accessing information on different aggregation levels, which is recommended (Kunz et al., 2011). In any case, there is always a trade-off between providing individualized information and offering too many options (Pang, 2008).
- Despite some particularities of seismic hazard communication, the results of this study are transferable to any other context in 520 which maps are used to communicate hazard to a wide range of users. The challenges observed are not limited to seismic hazard maps, but have also been observed for flood (Hagemeier-Klose and Wagner, 2009; Kjellgren, 2013) or volcanic hazard (Thompson et al., 2015) maps and therefore apply to any other (natural) hazard. However, the results of this study are limited to Switzerland, a country with a moderate seismic hazard, and a population with low earthquake awareness.
- The real-world setting brings some limitations as for example the material tested already existed. Due to the complexity of updating a hazard model, the data needed for communication materials usually only becomes available very short before the actual release. In addition, the development of communication materials is technically challenging and very resource intensive. However, the study design mirrors adequately the setting in which seismic hazard is communicated, not only by the SED, but by many agencies around the world (see Fig. 1).Fig. 1). Therefore, it allows for practical insights beyond theoretical considerations or lab experiments.

### 530 7. Conclusions and practical implications

The<u>Seismic</u> hazard map for a retour period of 475 years ismaps are the most prominent<u>f</u>requently used output of <u>complex</u> assessments to inform the public about this threat. Knowing and understanding the seismic hazard of a particular area is a requirement for being able to take informed preparedness decisions. Despite the importance of professionals in advancing seismic hazard mitigation through building codes, a knowledgeable public is needed to enforce existing regulations and to fill

- 535 in reaming preparedness gaps, for example by contracting an insurance or fixing movable items. We tested based on a realworld case if non-experts understand current approaches to present seismic hazard information. The most frequently requested map of the Swiss seismic hazard model. It is frequently requested by individuals as well as often reused by authorities and media. This map and the accompanying information follow, the one for a return period of 475 years, follows best practices and eonfirm confirms their practical use-usefulness. The map seems to reach its aims by adequately
- 540 informing non-experts about seismic hazard.and allowing them to distinguish hazardous from less hazardous areas. We conclude that onlydespite the evidence that many hazard maps are not interpreted correctly (Hagemeier-Klose and Wagner, 2009; Kjellgren, 2013; Perry et al., 2016; Severtson and Vatovec, 2012), when designed very carefully, natural hazard maps they have the potential to also inform non-experts in the respective area.

The Conversely, the disregard of best practices leads to the weak comprehensibility of magnitude and especially effect maps is

- 545 attributed to the disregard of best practices. Mainly the coloring, the impossibility of reading or accessing single data points, and the assumed unfamiliarity with intensity values impair their understanding. Improving the coloring over a wide range of values without using unappealing colors or color combinations is very challenging. An alternative would be to classify the data (e.g. in five classes) and thus greatly simplify the map design. Further, the needs of people with visual impairments should be taken into account, an aspect not specifically evaluated and considered in the framework of this study. It is also difficult to
- further educate people about intensity values without increasing the amount of information. By contrast, access to single data points could be implemented easily in an interactive tool allowing users to zoom in and click.
   <u>To conclude, the newly introduced map types, magnitude and effect, currently do not fulfill their intended purpose (see Sect.</u>
   <u>3.1</u>). They are not offering users a worthwhile alternative to complex ground acceleration values depicted in seismic hazard maps. Despite the assumed value of magnitude and effect maps for a better understanding of the strength and impact an
- 555 earthquake might have at a specific location, they are less requested and almost never picked-up by the media. We attribute this mainly to the poor implementation as well as to the unfamiliarity with intensity values. In addition, habit may play a role. Previously, only hazard maps were published and people might refer to what looks familiar to them without reflecting that another product could be more suitable.

Finding the most appropriate information for answering questions relating to earthquake hazard has proven to be very

- 560 demanding. Textual information was often not taken into account. This is a very challenging condition for the design of successful communication measures. The most obvious solution would be to improve the texts themselves, namely their positioning and appearance, while another would be to enhance user guidance. Instead of offering all possible options at once, specific, frequently-asked questions could be answered by displaying the most suitable map automatically. As an alternative to frequently-asked questions, local scenarios (Perry et al., 2016) could be used to help people realize that such a threat is real
- and might impact their lives (Mileti et al., 2004; Nathe, 2000). As a result, the total of 45 maps would only be accessible in a next step for users wishing to conduct more in-depth investigations.

The deficient performance of magnitude and effect maps in particular raises the question as to whether maps are the most eligible means of communicating hazard information. <u>DespiteA doubt supported by the findings of Dobson et al. (2018) in the</u>

context of flood hazard information: in direct comparison with tables and graphics, maps lead to the least accurate decisions.

- 570 <u>This indicates that despite</u> their extensive use there might be other, more adequate, more user-friendly means of processing the information. Infographics are currently trending as a way to communicate complex issues. They aspire to graphically represent data for a lay audience. <u>DespiteIn spite of</u> their assumed potential, there is currently only limited experimental evidence on their impact (Spiegelhalter et al., 2011). A recent analysis showed that infographics were well received but rated as being less trustworthy (McMahon et al., 2016). Nevertheless, future studies exploring the potential of infographics to communicate 575 seismic hazard could be beneficial.
- Besides the characteristics of the information presented, users' personal traits, experiences, and perceptions influence how well they understand and interpret seismic hazard information. Risk perception and its change have proven to be of relevance, conforming previous findings: familiarity with a specific hazard is the very first step towards precautionary intentions and actions (Whitney et al., 2004). Moreover, the effect of the change of perceived risk demonstrates that informing people is
- 580 pertinent and can have an impact. However, the interplay between the information provided and personal characteristics is very complex. Since every member of a society is needed to strengthen earthquake resilience, the understanding of a regional seismic hazard is crucial for all of them. This implies that We strongly recommend to assess actual user needs and to take them into account when developing future products to inform about seismic hazard. An option would be to co-produce seismic hazard information material together with relevant users. In any case, seismological services will continue to struggle to meet
- 585 all users' needs when offering hazard information.
- <u>Through our representative study analyzing the way seismic hazard information is currently presented we set the baseline for</u> <u>improved hazard communication.</u> Our study shows that applying or disregarding best practices in visualization, editing, and presentation significantly impacts the comprehensibility of seismic hazard information. <u>We further discuss numerous</u> <u>possibilities for improvements like revising the coloring, classifying data, amending textual information, reducing complexity,</u>
- 590 <u>implementing scenarios, improving mapping tools, or using infographics. Yet, whether these amendments meet users' needs</u> and actually lead to an improved understanding and interpretation of seismic hazard information has to be tested first.
  - Due to the similarity in communicating other hazard assessments, we are convinced that our results are transferable to any other (natural) hazard context, where maps play a central role in making the results of an assessment accessible to a variety of users. We therefore strongly suggest evaluating current natural hazard communication strategies and empirically testing
- 595 updated or new products. <u>We also encourage to explore new ways in presenting and communicating seismic hazard to raise</u> <u>awareness and to trigger protective actions.</u> Such efforts would be of particular benefit to the public and non-specialist professionals, who may strongly support precautionary actions.

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# Appendix

The table below depicts the detailed response options and procedure.

 Table A1. Detailed response options and procedure.

	Public	Architects and engineers
	Online	Handout
First set of standardized questions	<ul> <li>Final examination</li> <li>Canton of living</li> <li>Profession</li> <li>Perceived risk quess</li> <li>Switzerland has</li> <li>If an earthquake</li> <li>I do not think th</li> <li>I believe earthque</li> <li>I am afraid that</li> <li>I feel protected</li> <li>I feel personally</li> <li>Switzerland wood</li> <li>Have you personally</li> <li>Yes</li> <li>No</li> <li>How high would you</li> <li>1 very low</li> <li></li> <li>5 very high</li> <li>Are there any areas</li> <li>Open section</li> <li>Do you know the see has published?</li> <li>Yes</li> <li>No</li> <li>If so, where have you</li> <li>In a printed new</li> <li>On the internet</li> <li>In a brochure</li> <li>Other</li> <li>Have you ever used</li> <li>No</li> <li>Yes, when buyit</li> </ul>	<pre>((tenant, home owner, other) ons d tions a high earthquake hazard. bits Switzerland, major damage is to be expected. at a major earthquake will occur in Switzerland in the near future. uakes do not pose a major threat to me. the apartment/house I am living in might be destroyed. against earthquakes at my place of work. affected by the earthquake hazard in Switzerland. uld recover fast in the aftermath of a major earthquake. y ever felt an earthquake in Switzerland? u classify seismic hazard in Switzerland? with a particular seismic hazard in Switzerland? us seen it? //spaper of the Swiss Seismological Service this map to base on a decision? mg a house a decision about insurances</pre>

		Online Workshop
	Hazard map	<ul> <li>9. Which are the regions with the highest seismic hazard?</li> <li>Jura <ul> <li>Valais</li> <li>Grisons</li> <li>Central Switzerland</li> <li>Tessin</li> <li>Basel</li> <li>Lake of Geneva Region</li> <li>Eastern Switzerland</li> <li>Saint Gall Rhine Valley</li> </ul> </li> <li>10. Which town has the higher seismic hazard, Aarau or Interlaken?</li> <li>11. Are there any areas in Switzerland without seismic hazard?</li> <li>Yes <ul> <li>No</li> </ul> </li> </ul>
Usability tasks	Magnitude maps	<ul> <li>12a. Which map type would you choose to answer the following question: «In which two Swiss cities is an earthquake with a magnitude of 6 or higher most likely to be expected within the next 100 years?" <ul> <li>The <i>effects maps</i> focusing on potential consequences of an earthquake.</li> <li>The <i>hazard maps</i> depicting how often specific horizontal accelerations hit a building.</li> <li>The magnitude maps showing how often earthquakes with a specific magnitude occur.</li> </ul> </li> <li>13a. Which of the three magnitude maps depicted seems most useful to answer the following question? In which two Swiss cities is an earthquake with a magnitude of 6 or higher most likely to be expected within the next 100 years.</li> <li>The map shows the probability of an earthquake with a magnitude of 5 or higher, within a radius of 30 km, within fifty years. In the case of earthquakes with a magnitude of 6, moderate to major damage is likely over a wide area. The lifetime of the load-bearing structure of an average building is approximately fifty years.</li> <li>The map shows the probability of an earthquake with a magnitude of 5 or higher, within a radius of 50 km, within fifty years. In the case of earthquakes with a magnitude of 6, moderate to major damage is likely over a wide area. The lifetime of the load-bearing structure of an average building is approximately fifty years.</li> <li>The map shows the probability of an earthquake with a magnitude of 5 or higher, within a radius of 50 km, within fifty years. In the case of earthquakes with a magnitude of 6, moderate to major damage is likely over a wide area. The lifetime of the load-bearing structure of an average building is approximately fifty years.</li> <li>The map shows the probability of an earthquake with a magnitude of 5 or higher, within a radius of 50 km, within fifty years. In the case of earthquakes with a magnitude of 6 on objert to major damage is likely over a wide area. The lifetime of the load-bearing structure of an average building is approxi</li></ul>

	Effect maps	<ul> <li>12b. Which map type would you choose to answer the following question: "In which two Swiss cities is an earthquake causing severe damage most likely to be expected within the next 100 years?"</li> <li>The effects maps focusing on potential consequences of an earthquake.</li> <li>The hazard maps depicting how often specific horizontal accelerations hit a building.</li> <li>The magnitude maps showing how often earthquakes with a specific magnitude occur.</li> <li>13b. Which of the three effect maps depicted seems most useful to answer the following question? In which two Swiss cities is an earthquake causing severe damage most likely to be expected within the next 100 years.</li> <li>The map shows the probability of experiencing shaking on local subsoil with an intensity IV or higher within hundred years. In the case of an intensity IV, generally no damage is likely, although the earthquakes will still be felt across a wide area. One hundred years represents the approximate life expectancy of a human being.</li> <li>The map shows the probability of experiencing shaking on local subsoil with an intensity VII or higher within hundred years. In the case of an intensity VII, damage to buildings is likely. One hundred years represents the approximate life expectancy of a human being.</li> <li>The map below shows the probability of experiencing shaking on local subsoil with an intensity VIII or higher within hundred years. In the case of an intensity VIII, major damage and even the collapse of buildings is likely. One hundred years represents the approximate life expectancy of a human being.</li> <li>The map below shows the probability of experiencing shaking on local subsoil with an intensity VIII or higher within hundred years. In the case of an intensity VIII, major damage and even the collapse of buildings is likely. One hundred years represents the approximate life expectancy of a human being.</li> <li>How bag sargans</li> <li>Sion &amp; Brig</li> <li>How big is the probability for an earthquake causing sever</li></ul>
		Online Handout
Second set of questions	<sup>•</sup> standardized	<ul> <li>16. What is your general impression with respect to information you have seen? <ul> <li>attractive</li> <li>trustworthy</li> <li>helpful</li> <li>instructive</li> <li>complicated</li> <li>nontransparent</li> <li>confusing</li> </ul> </li> <li>17. How do you rate the following statements with respect to the maps you have seen before? <ul> <li>The colors chosen for the maps are cumbersome to understand the information depicted.</li> <li>The difference in content the maps display is clear.</li> <li>Color differences on the various maps are not distinct enough to read out details.</li> <li>The explanations for the individual maps are comprehensive.</li> </ul> </li> </ul>

The explanations for the individual maps are completensiv
 The legends (captions) are helpful to understand the maps.

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18. It is mentioned several times that an event is expected "within" a certain period e.g. 50
years. What does that mean to you?
- Such an earthquake will certainly occur until 2067. If not the period of 50 years has
exceeded.
<ul> <li>In 50 years, in 2067, such an earthquake has to be expected to occur.</li> </ul>
- On average, at least one such earthquake occurs over period of 50 years. It can
happen tomorrow or in 70 years.
– I do not know.
19. Assumed, there is a 60 percent probability for a damaging earthquake at your place of living within the part 50 years. How do you get this number?
living within the next 50 years. How do you rate this number? — A damaging earthquake in the near future is very unlikely to occur at my place of
<ul> <li>A damaging eartiquake in the near future is very uninkery to occur at my place of living.</li> </ul>
<ul> <li>Within the next 50 years it is almost certain that a damaging earthquake occurs.</li> </ul>
<ul> <li>Within the next 50 years it is unlost certain that a damaging earthquake occurs.</li> <li>Within the next 50 years it is quite plausible that a damaging earthquake occurs.</li> </ul>
20. Assumed, you were living in the Valais, where there is an approximately 60 percent
probability for a damaging event within 50 years. Which measures would you take to
protect yourself from such an event?
– None
<ul> <li>Earthquake-resistant construction</li> </ul>
<ul> <li>Contracting an earthquake insurance</li> </ul>
<ul> <li>Allocating an emergency food supply</li> </ul>
<ul> <li>Knowing what to do in case of an earthquake</li> </ul>
<ul> <li>Securing items inside a building e.g. shelfs</li> </ul>
- Other
21. Have you personally taken any measures to protect yourself from the impact of an earthquake?
– None
<ul> <li>Earthquake-resistant construction</li> </ul>
<ul> <li>Contracting an earthquake insurance</li> </ul>
<ul> <li>Allocating an emergency food supply</li> </ul>
<ul> <li>Knowing what to do in case of an earthquake</li> </ul>
<ul> <li>Securing items inside a building e.g. shelfs</li> </ul>
– Other
22. What could we improve in the presentation of the hazard model to enhance its
comprehensibility?
23. Has your assessment of the earthquake hazard in Switzerland changed in the course of
the survey?
<ul> <li>1 I assess the earthquake hazard now lower</li> </ul>
<ul> <li>- 5 I asses the earthquake hazard now higher</li> </ul>
24. Please assess your numeracy skills by answering the following questions.
<ul> <li>Hease assess your numeracy skins by answering the following questions:</li> <li>How good are you at working with fractions?</li> </ul>
<ul> <li>How good are you at working with nucleons?</li> <li>How good are you at working with percentages?</li> </ul>
<ul> <li>How good are you at calculating a 15 % tip?</li> </ul>
- How good are you at figuring out how much a shirt will cost if it is 25 % off?
25. Do you have additional comments about the survey?