

## Reply to the comments and List of relevant changes

We thank the editor for these new comments. All the suggested changes have been incorporated in the revised version of the manuscript. Here it follows the list of the relevant changes.

- References have been listed in chronological order, as suggested, at lines 36, 46, 51, 94, 269, 272, 275, 290.
- A new reference about the Camaione sinkhole has been included in the new version of the manuscript at line 91 and in figure 1. We referred to *Buchignani, V., D'Amato Avanzi, G., Giannecchini, R., Puccinelli, A.: Evaporite karst and sinkholes: a synthesis on the case of Camaione (Italy). Environmental Geology, 53, 1037-1044. <https://doi.org/10.1007/s00254-007-0730-x>, 2008.*
- We better described the vegetation at Prà di Lama and its impact on the coherence loss at lines 191-193
- We provided further information about the book "Pieve Fosciana Ieri e Oggi" by adding the "Amministrazione Comunale di Pieve Fosciana" as editor. We also added the place and the number of pages.

# GROWTH OF A SINKHOLE IN A SEISMIC ZONE OF THE NORTHERN APENNINES (ITALY)

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## Abstract

Sinkhole collapse is a major hazard causing substantial social and economic losses. However, the surface deformations and sinkhole evolution are rarely recorded, as these sites are known mainly after a collapse, making the assessment of sinkholes-related hazard challenging. Furthermore, more than 40% of the sinkholes of Italy are in seismically hazardous zones; it remains unclear whether seismicity may trigger sinkhole collapse. Here we use a multidisciplinary dataset of InSAR, surface mapping and historical records of sinkhole activity to show that the Prà di Lama lake is a long-lived sinkhole that was formed over a century ago in an active fault zone and grew through several events of unrest characterized by episodic subsidence and lake-level changes. Moreover, InSAR shows that continuous aseismic subsidence at rates of up to 7.1 mm yr<sup>-1</sup> occurred during 2003-2008, between events of unrest. Earthquakes on the major faults near the sinkhole are not a trigger to sinkhole activity but small-magnitude earthquakes at 4-12 km depth occurred during sinkhole unrest in 1996 and 2016. We interpret our observations as evidence of seismic creep ~~in an~~ active fault zone at depth causing fracturing and ultimately leading to the formation and growth of the Prà di Lama sinkhole.

## 1. Introduction

Sinkholes are closed depressions with internal drainage typically associated with karst environments, where the exposed soluble rocks are dissolved by circulating ground water (dissolution sinkholes) but other types of sinkholes also exist. Subsidence sinkholes, for example, can form for both internal erosion and dissolution of covered layers leading to downward gravitational deformations such as collapse, sagging or suffosion (*Ford and Williams, 2007; Gutiérrez et al., 2008; Gutiérrez et al., 2014*). Deep sinkholes have been often observed along seismically active faults indicating a causal link between sinkhole formation and active tectonics (*Faccenna et al., 1993; Harrison et al., 2002; Closson et al., 2005; Florea, 2005; Harrison et al., 2002; Del Prete et al., 2010; Parise et al., 2010; Wadas et al., 2017*). In some cases, the processes responsible for the ir formation ~~of these sinkholes~~ have been attributed to fracturing and increased permeability in the fault damage zone promoting fluid circulation and weathering of soluble rocks at depth. Additionally, when carbonate bedrocks lie below thick non-carbonate formations, stress changes caused by faulting may cause decompression of confined aquifers favouring upward migration of deep fluids, hence promoting erosion and collapses (e.g. *Harrison et al., 2002; Wadas et al., 2017*). Seismically-induced stress changes could also trigger collapse of unstable cavities as in the case of the two sinkholes that formed near En Gedi (Dead Sea) following the  $M_w$  5.2 earthquake on the Dead Sea Transform Fault in 2004 (*Salamon, 2004*). Sinkhole subsidence and collapses are a major hazard and cause substantial economic and human losses globally (*Frumkin and Raz, 2001; Closson, 2005; Wadas, 2017; Closson, 2005*).

In Italy, a total of 750 sinkholes have been identified and the 40% of them are along active faults (*Caramanna et al., 2008*) but this number could be underestimated due to the high frequency of sinkholes both related to karst and anthropogenic origin (*Parise and Vennari, 2013*). Seismicity

induced sinkhole deformation have been often observed in Italy (e.g. [Santo et al., 2007](#); [Parise et al., 2010](#); [Kawashima et al., 2010](#); ~~[Santo et al., 2007](#)~~).

The sinkhole of Prà di Lama, near the [village of](#) Pieve Fosciana ~~town~~ (Lucca [province](#), Italy), is a quasi-circular depression filled by a lake. Prà di Lama is located in the seismically active Apennine range of Northern Tuscany, at the intersection between two active faults (Fig. 1). Hot springs are also present at Pieve Fosciana suggesting that fluid migration along the faults planes occurs. Sudden lake-level changes of up to several meters, ground subsidence, surface fracturing and seismicity have occurred repeatedly since at least 991 A.D. ([Nisio, 2008](#)). The most recent deformation events occurred in March 1996 and between May 2016 and October 2017. However, the processes that control the growth of the Prà di Lama sinkhole remain unclear. Furthermore, whether seismicity along the active faults around Prà di Lama may trigger sinkhole subsidence or collapse is debated.

In this paper we combine recent InSAR observations, seismicity, and surface mapping, as well as historical records of lake-level changes and ground subsidence at the Prà di Lama from 1828 to understand the mechanisms of sinkhole growth in an active fault system.

## 2. Geological setting

The area of the Prà di Lama sinkhole is located within the Garfagnana basin (Fig.1), an extensional graben in the western Northern Apennines, a NW-SE trending fold-and-thrust belt formed by the stack of different tectonic units caused by the convergence of the Corsica-European and Adria plates. The current tectonic regime of the Apennines is characterized by shortening in the eastern sector of the Apennine range and extension in the westernmost side of the range ([Elter et al., 1975](#); [Patacca and Scandone, 1989](#); [Bennett et al., 2012](#)). The contemporaneous eastward migration of shortening and upper plate extension are believed to be caused by the roll-back subduction during the counter-clockwise rotation of the Adria plate ([Doglioni, 1991](#); [Meletti et al., 2000](#); [Serpelloni et al., 2005](#); [Faccenna et al., 2014](#); [Le Breton et al., 2017](#)). Extension started 4-5 Ma

ago leading to the formation of several NW-SE-oriented grabens, bounded by NE-dipping and SW-dipping normal faults that are dissected by several NE-trending, right-lateral strike-slip faults (Fig. 1). The inner northern Apennines are a seismically active area, where several earthquakes with  $M_w$  > 5 occurred, including the largest instrumentally recorded earthquake,  $M_w$  6.5, in 1920 (*Tertulliani and Maramai, 1998; Rovida et al., 2016; Bonini et al., 2016*) and the most recent  $M_w$  5.1 earthquake in 2013 (*Pezzo et al., 2014; Stramondo et al., 2014; Molli et al., 2016*).

The uppermost stratigraphy at Prà di Lama consists of an 8m-thick layer of alluvial and palustrine gravels and sandy deposits containing peaty levels, covering an ~85m-thick sandy-to-silty fluvio-lacustrine deposits with low permeability (from Villafranchian to present age) (*Chetoni, 1995*) (Fig. 2a and b). These deposits cover a ~1000m-thick turbiditic sequence (Macigno Fm). Below it, a sequence of carbonate rocks pertaining to the Tuscan Nappe Unit is present reaching down to a depth of ~2000 m, where anhydrites (Burano fm.) and calcareous-dolomitic breccias (Calcare Cavernoso Fm.) overlie the Tuscan Metamorphic Units (Fig. 2c).

The Prà di Lama lake lies at the centre of a depression (Figs. 2 and 5). The low slopes characterizing the topography of the area results in the absence of active gravitational ground motions (Fig 2). Furthermore, the Prà di Lama sinkhole is an isolated feature in the region being the only mapped sinkhole in the entire Garfagnana graben (*Caramanna et al., 2008*); the closest sinkhole is in Camaiore (*Buchignani et al., 2008*; ~~Lucca~~) near the Tuscany coast (Fig. 1).

The Prà di Lama sinkhole is located at the intersection between two seismically active faults: the Corfino normal fault (~~*Itacha working group, 2003*~~; *Di Naccio et al., 2013*; ~~*Itacha working group, 2003*~~; *ISIDE working group, 2016*) and the right-lateral strike-slip fault M.Perpoli-T.Scoltenna that recently generated the  $M_w$  4.8 earthquake in January 2013 (Fig. 1) (*Vannoli, 2013; Pinelli, 2013; Molli et al., 2017*). Hot water springs are also present at Prà di Lama (*Bencini et al., 1977; Gherardi and Pierotti, 2018*). Geochemical analyses of the Prà di Lama spring waters by *Gherardi and Pierotti*

99 (2018), expanding on previous research (Baldacci et al., 2007), suggest that both shallow and deep  
100 aquifers are present below Prà di Lama (Fig. 2b). Shallow aquifers have low salinity and low  
101 temperature while waters feeding the thermal springs have high temperature (~57 °C) and high  
102 salinity (5.9g/kgw), suggesting the presence of a deep aquifer at ~2000 m into the anhydrite and the  
103 calcareous-dolomitic breccia. The high salinity of the deep groundwaters is associated with  
104 dissolution of the deep evaporitic formations. Furthermore, un-mixing of deep and shallow waters  
105 is interpreted by Gherardi and Pierotti (2018) as an evidence of their rapid upwelling, likely occurring  
106 along the existing faults.

### 107 **3. Data**

108 Century-scale historical records of sinkhole activity are available at Prà di Lama and allow us  
109 to determine the timescale of sinkhole evolution as well as to characterize the different events of  
110 unrest, in particular the two most recent events in 1996 and 2016. InSAR time-series analysis is also  
111 carried out to measure ground deformations in the Prà di Lama sinkhole in the time period between  
112 events of unrest. Finally, the local catalogue of seismicity (ISIDE catalogue, INGV) is used to inform  
113 us on the timing and types of brittle failures in the area of the sinkhole.

#### 114 **3.1 Historical Record**

115 The first historical record of the Prà di Lama sinkhole dates back to the 991 A.D., when the  
116 area was described as a seasonal shallow pool fed by springs. Since then, the depression grew and  
117 several events of unrest consisting of fracturing and fluctuations of the lake level were reported  
118 (Raffaelli, 1869; De Stefani, 1879, Giovannetti, 1975) (Table 1). In particular, eight events of unrest  
119 were reported, giving an average of 1 event of unrest every 26 years. We conducted direct  
120 observation of surface deformation around the lake for the two most recent events in 1996 and  
121 2016.

122 In 1996, the lake level experienced a fall of up to 4 m (Fig. 3 and Fig. S1) and at the same time  
123 the springs outside the lake suddenly increased<sup>d</sup> the water outflow. Clay and mud were also ejected  
124 by the springs outside the lake while fractures and slumps occurred within the lake due to the water  
125 drop (Fig. 3 and Fig. S1). The unrest lasted approximately 2 months, from March to April 1996.  
126 During the final stages, the water level in the lake rose rapidly<sup>z</sup>, recovering its initial level<sup>z</sup>, and  
127 contemporaneously the springs water flow reduced.

128 In June 2016, an event of unrest consisting of ground subsidence on the western and southern sides  
129 of the Prà di Lama lake started and lasted approximately 9 months, until February 2017. During this  
130 period fractures formed and progressively grew, increasing their throw to up to 70 cm and affecting  
131 a large area on the western side of the lake (Fig. 3 and Fig. S2). Subsidence around the lake resulted  
132 in an increase of the lake surface<sup>z</sup>, in particular on the western side and in the formation of tensile  
133 fractures (Fig. 3 and Fig. S2). Unlike the 1996 events of unrest, no lake level changes or increase of  
134 water flow from the springs around the lake were observed.

### 135 3.2 InSAR

136 InSAR is ideally suited to monitor localized ground deformation such as caused by sinkholes  
137 as it can observe rapidly evolving deformation of the ground at high spatial resolution (*Baer et al.,*  
138 *2002; Castañeda et al., 2009; Atzori et al., 2015; Abelson et al., 2017*). Furthermore, the availability  
139 of relatively long datasets of SAR images in the Apennine allows us to study the behaviour of the  
140 Prà di Lama sinkhole using multi-temporal techniques. We processed a total of 200 interferograms  
141 using SAR images acquired by the ENVISAT satellite between 2003 to 2010 from two distinct tracks  
142 in Ascending or Descending viewing geometry (tracks 215 and 437). We used the Small BASeline  
143 Subset (SBAS) multi-interferogram method originally developed by *Berardino et al. (2002)* and  
144 recently implemented for parallel computing processing (P-SBAS) by *Casu et al. (2014)* to obtain  
145 incremental and cumulative time-series of InSAR Line-of-Sight (LOS) displacements as well as maps

146 of average LOS velocity. In particular, the InSAR processing has been carried out via the ESA platform  
147 P-SBAS open-access on-line tool named G-POD (Grid Processing On Demand) that allows generating  
148 ground displacement time series from a set of SAR data (*De Luca et al., 2015*).

149 The P-SBAS G-POD tool allows the user to set some key parameters to tune the InSAR  
150 processing. In this work, we set a maximum perpendicular baseline (spatial baseline) of 400 m and  
151 maximum temporal baseline of 1500 days. The geocoded pixel dimension was set to ~80 m by 80 m  
152 (corresponding to averaging together 20 pixels in range and 4 pixels in azimuth).

153 We initially set a coherence threshold to 0.8 (0 to 1 for low to high coherence) in order to  
154 select only highly coherent pixels in our interferograms. The 0.8 coherence threshold is used to  
155 select the pixels for the phase unwrapping step that is carried out by the Extended Minimum Cost  
156 Flow (EMCF) algorithm (*Pepe and Lanari, 2006*). By setting high values of this parameter the pixels  
157 in input to the EMCF algorithm are affected by less noise as compared to selecting low values, thus  
158 increasing the quality of the phase unwrapping step itself and reducing the noise in our final velocity  
159 maps and time-series (*De Luca et al., 2015; Cignetti et al., 2016*).

160 We also inspected the series of interferograms and excluded individual interferograms with low  
161 coherence. We identified and discarded 29 noisy interferograms in track 215A and other 11  
162 interferograms in track 437D. Finally, we applied an Atmospheric Phase Screen (APS) filtering to  
163 mitigate further atmospheric disturbances (*Hassen, 2001*). Accordingly, we used a triangular  
164 temporal filter with a width of 400 days to minimize temporal variations shorter than about a year  
165 as we focus on steady deformations rather than seasonal changes. Shorter time interval of 300 days  
166 was also tested but provided more noisy time-series.

167 The average velocity map and the incremental time-series of deformation obtained with the  
168 P-SBAS method have to be referred to a stable Reference Point. For our analysis, the reference point  
169 was initially set in the city of Massa because GPS measurements from *Bennett et al. (2012)* show

170 that the surface velocities there are  $< 1\text{mm yr}^{-1}$ ; therefore, Massa can be considered stable.  
171 Assuming Massa as reference point, the average velocity map revealed the deformation pattern  
172 around the Prà di Lama lake. We then moved the reference point outside the sinkhole deformation  
173 pattern but close to the village of Pieve Fosciana ~~town~~ (Fig. S3a). Selecting a reference point close  
174 to our study area rather than in Massa allowed us to better minimize the spatially correlated  
175 atmospheric artefacts.

176 As a final post processing step we also calculated the vertical and east-west components of the  
177 velocity field in the area covered by both the ascending and descending tracks and assuming no  
178 north-south displacement. Given that the study area is imaged by the ENVISAT satellite from two  
179 symmetrical geometries with similar incidence angles (few degrees of difference), the vertical and  
180 east-west components of the velocity field can simply be obtained solving the following system of  
181 equations (*Manzo et al., 2006*):

$$182 \quad \begin{cases} v_H = \frac{\cos \vartheta}{\sin(2\vartheta)} (v_{DESC} - v_{ASC}) = \frac{v_{DESC} - v_{ASC}}{2 \sin \vartheta} \\ v_V = \frac{\sin \vartheta}{\sin(2\vartheta)} (v_{DESC} + v_{ASC}) = \frac{v_{DESC} + v_{ASC}}{2 \cos \vartheta} \end{cases}$$

183 where  $v_H$  and  $v_V$  are the horizontal and vertical component of the velocity ~~field~~,  $v_{DESC}$  and  $v_{ASC}$   
184 are the average LOS velocities in the Descending and Ascending tracks, respectively;  $\vartheta$  is the  
185 incidence angle.

186 The InSAR P-SBAS analysis shows that significant surface deformation occurs at Pieve Fosciana  
187 between 2003 and 2010. The observed deformation pattern consists of range increase mainly on  
188 the western flank of the Prà di Lama lake. The range increase is observed in both ascending and  
189 descending velocity maps (Fig. 4a, b), with average LOS velocities of up to  $-7.1 \text{ mm yr}^{-1}$  decaying to  
190  $-1 \text{ mm yr}^{-1}$  over a distance of 400 m away from the lake. Elsewhere around the lake coherence is not  
191 kept due to the presence of both cropland and woodland cover, leading to decorrelation. However,  
192 few coherent pixels are identified on the eastern flank of the lake, in areas with buildings and sparse

193 vegetation cover, suggesting that the deformation pattern may be circular, with a radius of ~600 m  
194 (Fig. 4 and 5). In order to increase the number of analysed pixels we tested decreasing our  
195 coherence threshold from 0.8 to 0.4. The results are displayed in Fig. S3b and show that only a few  
196 more pixels are gained north of the sinkhole as compared to choosing a threshold of 0.8 (Fig. 4). We  
197 conclude that decreasing the coherence threshold does not allow to retrieve the entire deformation  
198 pattern, likely due to the fact the area is vegetated.

199 The maps of vertical and East-West velocities show vertical rates of  $-4.6 \text{ mm yr}^{-1}$  and horizontal  
200 eastward velocities of  $5.4 \text{ mm yr}^{-1}$  (Fig. 4c, d) consistent with subsidence and contraction centred at  
201 the lake. Furthermore, figure 5 shows that the current deformation pattern follows the topography,  
202 suggesting that subsidence at Prà di Lama is a long-term feature. The time-series of cumulative LOS  
203 displacements show that subsidence occurred at an approximately constant rate between the 2003  
204 and the 2008 but it slowed down in 2008 (Fig. 4e, f), indicating that subsidence at Prà di Lama occurs  
205 also between events of unrest. Furthermore, our time-series of vertical and east-west cumulative  
206 displacements also confirm that the fastest subsidence and contemporaneous eastward motion  
207 occurred until 2008 (Fig. 4 g, h). In order to better understand the mechanisms responsible for the  
208 sinkhole growth and the different types of episodic unrest we also analysed the seismicity.

### 209 3.3 Seismicity

210 ~~We analysed the~~ seismicity at the Prà di Lama lake was analysed using the catalogue ISDe  
211 (Italian Seismological Instrumental and Parametric Data-Base) spanning the time period from 1986  
212 to 2016. We calculated the cumulative seismic moment release using the relation between seismic  
213 moment and magnitudes given by *Kanamori* (1977). First, we analysed the seismic moment release  
214 and the magnitude content of the earthquakes in the area encompassing the sinkhole and the faults  
215 intersection (10 km radius, Fig. 1) to understand whether unrest at Prà di Lama is triggered by  
216 earthquakes along the active faults (Fig. 6). ~~Figure-~~ 6a shows that although several seismic swarms

217 occurred in the area, no clear temporal correlation between the swarms and the events of unrest  
218 at Prà di Lama is observed, suggesting that the majority of seismic strain released on faults around  
219 the Prà di Lama lake does not affect the activity of the sinkhole. We removed from the plot in  
220 ~~figure~~Fig. 6a the large magnitude earthquake,  $M_w$  4.8, on the 25<sup>th</sup> of January, 2013 in order to better  
221 visualize the pattern of seismic moment release in time. In any case, no activity at Prà di Lama was  
222 reported in January 2013.

223 We also analysed the local seismicity around the Prà di lama lake, within a circular area of 3  
224 km radius around the lake (Fig. 1), to better understand the deformation processes occurring at the  
225 sinkhole and we found that swarms of small-magnitude earthquakes ( $M_L \leq 2$ ) occurred during both  
226 events of unrest at Prà di Lama in 1996 and 2016 (Fig. 7a, b, c), while a few earthquakes with  
227 magnitudes  $> 2$  occurred irrespective of the events of unrest. This indicates that seismicity during  
228 sinkhole activity is characterized by seismic energy released preferentially towards the small end of  
229 magnitudes spectrum. This pattern is specific of the sinkhole area as in the broader region (Fig. 6b,  
230 c) the majority of earthquakes magnitudes are in the range between  $M_L > 2$  and  $M_L < 3$  and few  $M_L$   
231  $> 3$  also occurred. We also analysed the hypocentres of the earthquakes around the Prà di lama lake  
232 (3 km radius) and find that these range between 4.5 and 11.5 km depth, indicating that deformation  
233 processes in the fault zone control the sinkhole activity. On the other hand, no earthquakes were  
234 recorded at Prà di Lama during the period of subsidence identified by InSAR between 2003 and  
235 2010, indicating that subsidence between events of unrest continues largely aseismically.

236 To strengthen our seismicity analysis and clarify whether a connection between major  
237 tectonic earthquakes and sinkhole unrest exists, we also analysed the historical parametric seismic  
238 catalogues (*Rovida et al., 2016; INGV Catalogo Parametrico dei Terremoti Italiani, CPTI15*). Figure 8  
239 shows the occurrence of major earthquakes, with magnitude  $> 4.0$  up to 20 km distant from Pieve  
240 Fosciana and the events of sinkhole unrest at Prà di lama. No clear connection between occurrence

241 of large distant earthquakes and events of sinkhole unrest is observed, suggesting that the  
242 mechanisms responsible for activation of the Prà di Lama sinkhole should be attributed to local  
243 processes.

#### 244 4. Discussion and conclusions

245 A multi-disciplinary dataset of InSAR measurements, field observations and seismicity reveal  
246 that diverse deformation events occur at the Prà di Lama sinkhole. Two main events of sinkhole  
247 unrest occurred at Prà di Lama in 1996 and 2016 but the processes had different features. In 1996  
248 the lake-level dropped together with increased water outflow from the springs, while in 2016  
249 ground subsidence led to the expansion of the lake surface and fracturing. In 2016, fractures formed  
250 ~~on~~<sup>ed</sup> the South-Western shore of the lake. The main active strike-slip fault is also oriented SW,  
251 suggesting a possible tectonic control on the deformation.

252 We ~~also~~ considered processes not related to the sinkhole activity that could explain the  
253 observed deformation at Prà di Lama. Active landslides can cause both vertical and horizontal  
254 surface motions (e.g. *Nishiguchi et al., 2017*). However, no landslides are identified in the deforming  
255 area around the sinkhole (Fig.3). Furthermore, the low topographic slopes rule out the presence of  
256 active landslides in the area. Concentric deformation patterns are observed above shallow aquifers  
257 (e.g. *Amelung et al., 1999*). However, deformation caused by aquifers have a seasonal pattern rather  
258 than continuous subsidence over the timespan of several years, as in Prà di Lama. A long-term  
259 subsidence could only be caused by over-exploitation of an aquifer but no water is pumped from  
260 the aquifers in the deforming area around Prà di Lama. We conclude that the observed InSAR  
261 deformation is caused by the sinkhole.

262 InSAR analysis shows that continuous but aseismic subsidence of the sinkhole occurred  
263 between the two events of unrest, during the period 2003-2010. Instead swarms of small-  
264 magnitude earthquakes coeval to the unrest events of 1996 and 2016 were recorded at depth

265 between 4.5 and 11.5 km, indicating that a link between low magnitude seismicity and sinkhole  
266 activity exists. We suggest that seismic creep in the fault zone underneath Prà di Lama occurs,  
267 causing the diverse deformation events.

268 Seismic creep at depth could have induced pressure changes in the aquifer above the fault  
269 zone (1996 events) as well as causing subsidence by increased fracturing (2016 events). The  
270 seismicity pattern revealed by our analysis suggests that the Mt.Perpoli-T.Scoltenna strike-slip fault  
271 system underneath Prà di Lama is locally creeping, producing seismic sequences of low magnitude  
272 earthquakes. Similar seismicity patterns were observed along different active faults (*i.e.* [Nadeau et al.,](#)  
273 [1995](#); [Linde et al. 1996](#); ~~[Nadeau et al., 1995](#)~~; [Rau et al., 2007](#); [Chen et al., 2008](#); [Harris, 2017](#)). In  
274 2006, along the Superstition Hills fault (San Andreas fault system, California) seismic creep has been  
275 favoured by high water pressure ([Scholz, 1998](#); [Wei et al., 2009](#); ~~[Scholz, 1998](#)~~; [Harris, 2017](#)). We  
276 suggest that along the fault zone below Prà di Lama an increase in pressure in the aquifer in 1996  
277 caused fracturing at the bottom of the lake and upward migration of fluids rich in clays, in agreement  
278 with the observations of lake-level drop and mud-rich water ejected by the springs in 1996. Our  
279 interpretation is also in agreement with geochemical data indicating that the high salinity of thermal  
280 waters at Prà di Lama have a deep origin, ~2000 m, where fluid circulation dissolves evaporites and  
281 carbonates, creating cavities and then reaching the surface by rapid upwelling along the faults  
282 system ([Gherardi and Pierotti, 2018](#)). The presence of deep cavities and a thick non-carbonate  
283 sequence suggests that the Prà di Lama sinkhole is a deep-sited caprock collapse sinkhole according  
284 to the sinkhole classification of [Gutiérrez et al. \(2008, 2014\)](#). Sudden fracturing and periods of  
285 compaction of cavities created by enhanced rock dissolution and upward erosion in the fluid  
286 circulation zone could explain both sudden subsidence and fracturing, as in 2016, and periods of  
287 continuous but aseismic subsidence as in 2003-2010. Similar processes have been envisaged for the  
288 formation of a sinkhole at the Napoleonville Salt Dome, where a seismicity study suggests that

289 fracturing enhanced the rock permeability, promoting the rising of fluids and, as a consequence,  
290 erosion and creation of deep cavities prone to collapse ([Sibson, 1996; Micklethwaite et al., 2010;](#)  
291 [Nayak and Dreger, 2014; Yarushina et al., 2017; Sibson, 1996; Micklethwaite et al., 2010, Nayak and](#)  
292 [Dreger, 2014](#)). Recently, a sequence of seismic events was identified at Mineral Beach (Dead Sea  
293 fault zone) and was interpreted as the result of cracks formation and faulting above subsurface  
294 cavities ([Abelson et al., 2017](#)).

295         Precursory subsidence of years to few months has been observed to precede sinkhole collapse  
296 in carbonate or evaporitic bedrocks (e.g. [Baer et al., 2002; Nof et al., 2013; Cathleen and Bloom,](#)  
297 [2014; Atzori et al., 2015; Abelson et al., 2017](#)). However, the timing of these processes strongly  
298 depends on the rheological properties of the rocks ([Shalev and Lyakhovsky, 2013](#)). Furthermore, the  
299 presence of a thick lithoid sequence in Prà di Lama may delay sinkhole collapse, also in agreement  
300 with the exceptionally long timescale (~200 years) of growth of the Prà di Lama sinkhole ([Shalev](#)  
301 [and Lykovsky, 2012; Abelson et al., 2017](#)). However, at present we are not able to establish if and  
302 when a major collapse will occur in Prà di Lama.

303         We identified a wide range of surface deformation patterns associated with the Prà di Lama  
304 sinkhole and we suggest that a source mechanism for the sinkhole formation and growth is seismic  
305 creep in the active fault zone underneath the sinkhole. This mechanism could control the evolution  
306 of other active sinkholes in Italy as well as in other areas worldwide where sinkhole form in active  
307 fault systems (e.g. Dead Sea area). InSAR monitoring has already shown to be a valid method to  
308 detect precursory subsidence occurring before a sinkhole collapse and the recent SAR missions, such  
309 as the European Sentinel-1, will very likely provide a powerful tool to identify such deformations.

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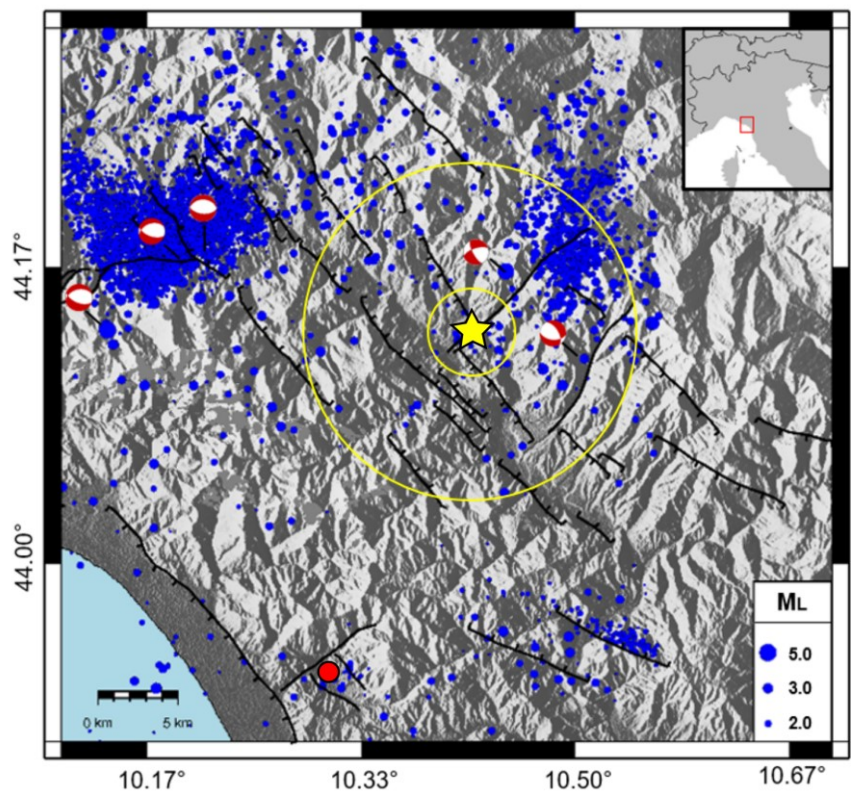
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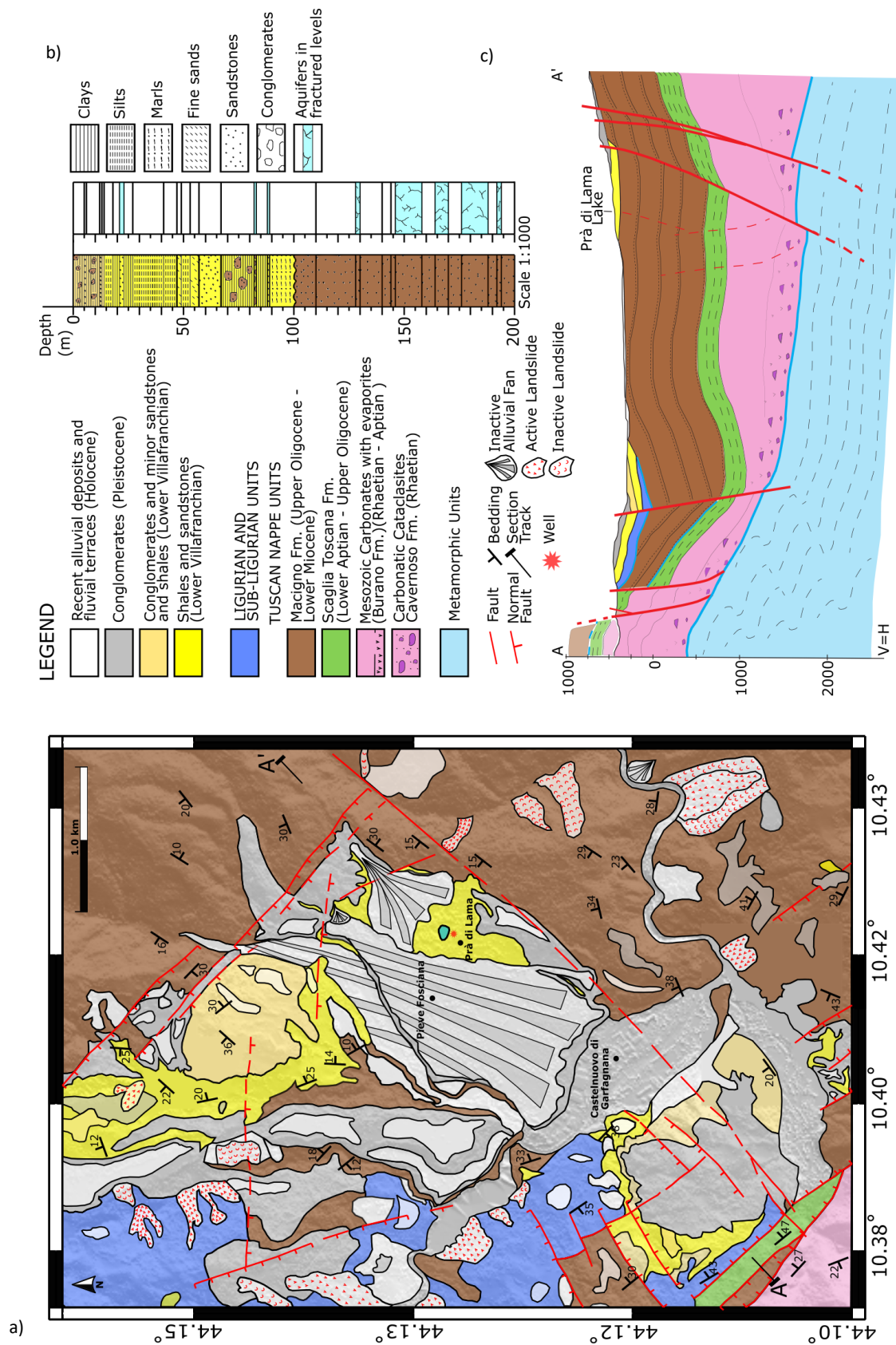
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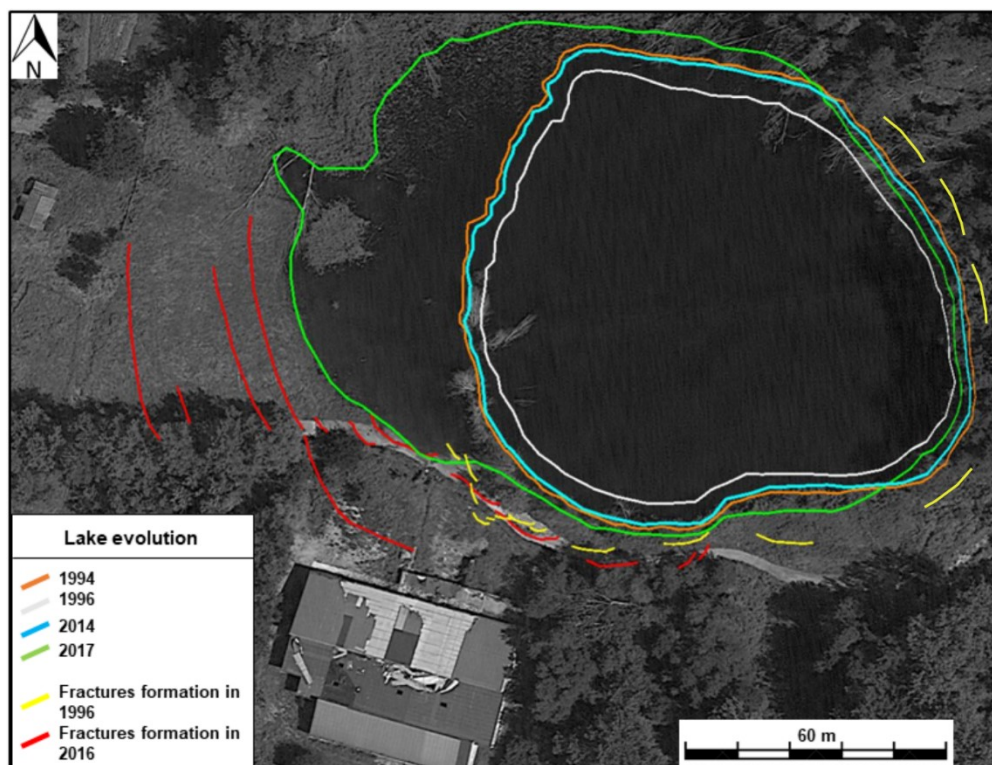
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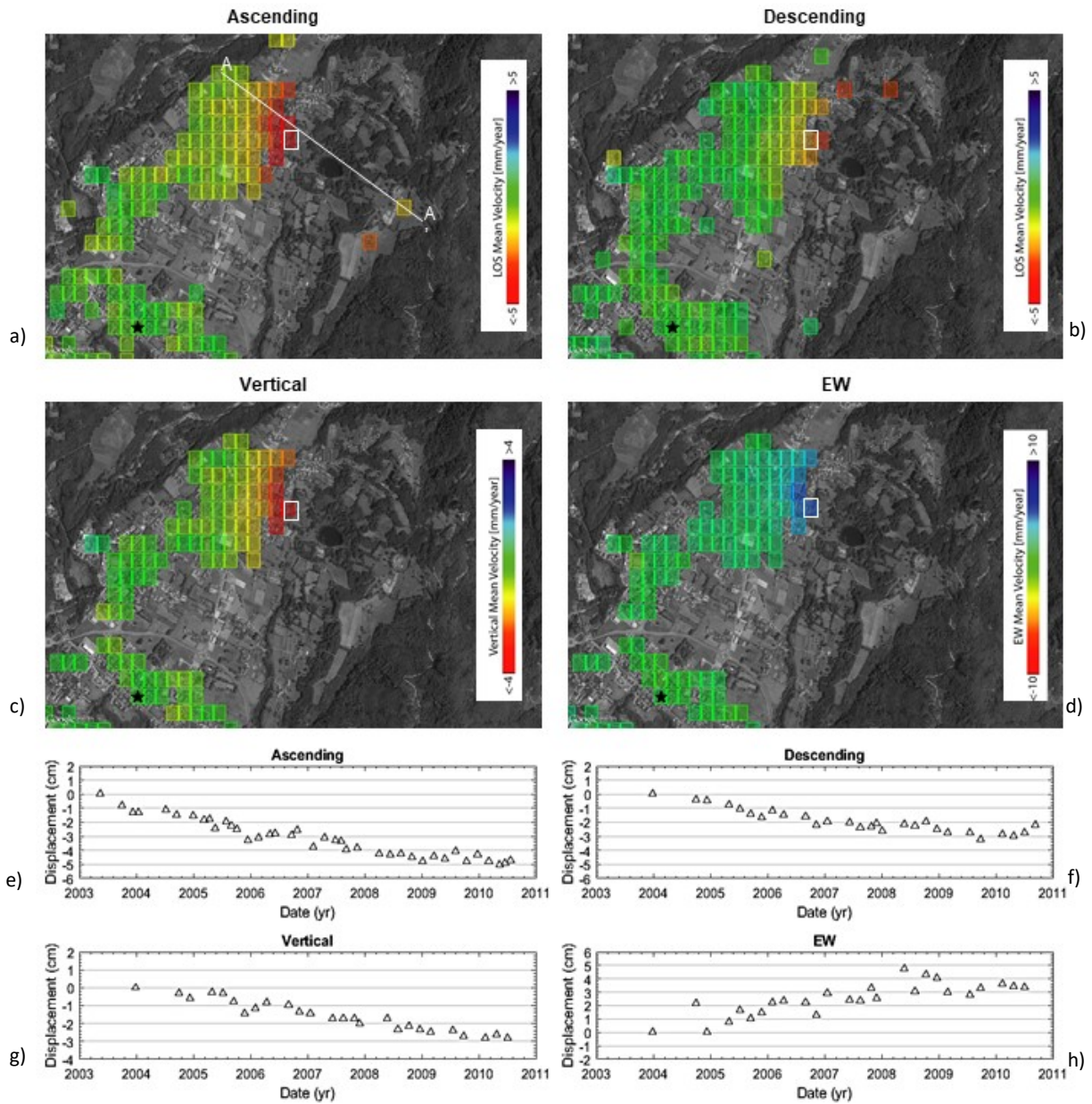
**Figure 1 - Study area.** The Prà di Lama sinkhole is marked by the yellow star. Black tick lines are faults. Blue dots are the earthquakes between 1986 and 2017. Focal mechanisms are from the Regional Centroid Moment Tensor (RCMT) catalogue. The yellow circles represent the areas with radii of 3km and 10 km used for the seismicity analysis. The red dot is the sinkhole of Camaiole ([Buchignani et al., 2008](#); [Caramanna et al. 2008](#)). The red box in the *inset* marks the location of the area shown in the main figure.



**Figure 2 – Geological setting of the study area. a)** Geological, structural and geomorphological map of the area nearby Prà di Lama showing the main tectonic and lithostratigraphic units. **b)** Schematic sedimentary sequence of the Villafranchian deposits obtained from the well drilled at Prà di Lama (*Modified from Chetoni 1995*). **c)** Stratigraphic cross-section across the Garfagnana graben.



**Figure 3 – Evolution of the Prà di Lama lake between 1994 and 2017.** Lake shores variation have been retrieved from the analysis of Landsat image



**Figure 4 – a, b)** Maps of average surface velocity and its vertical (c) and East-West (d) components obtained from ENVISAT SAR images acquired between 2003 and 2010. Negative values indicate range increase. The white line in panel a) marks the cross-section shown in figure 4. The black star is the point used as reference for the InSAR-SBAS processing. **e, f, g, h)** Time-series of incremental deformation extracted from the pixel bounded with the white rectangle.

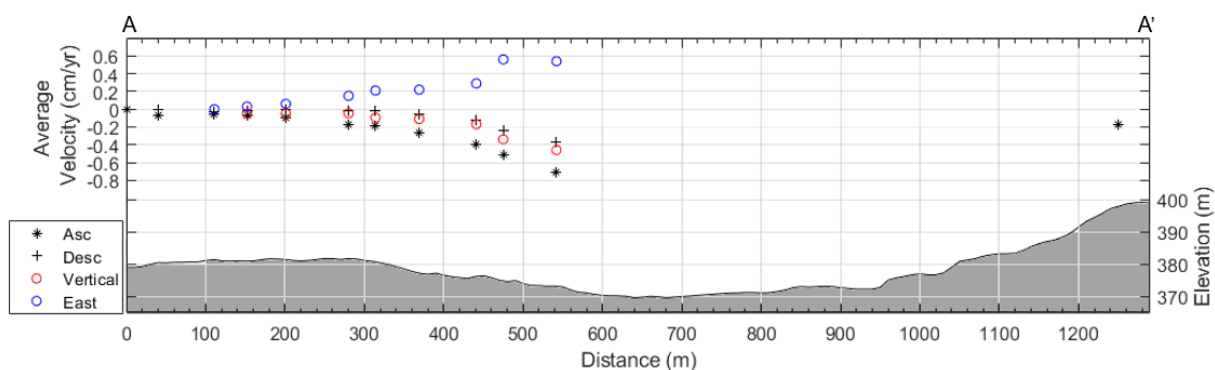


Figure 5 - Cross-section of topography and InSAR velocities along the A-A' profile as shown in figure 3a.

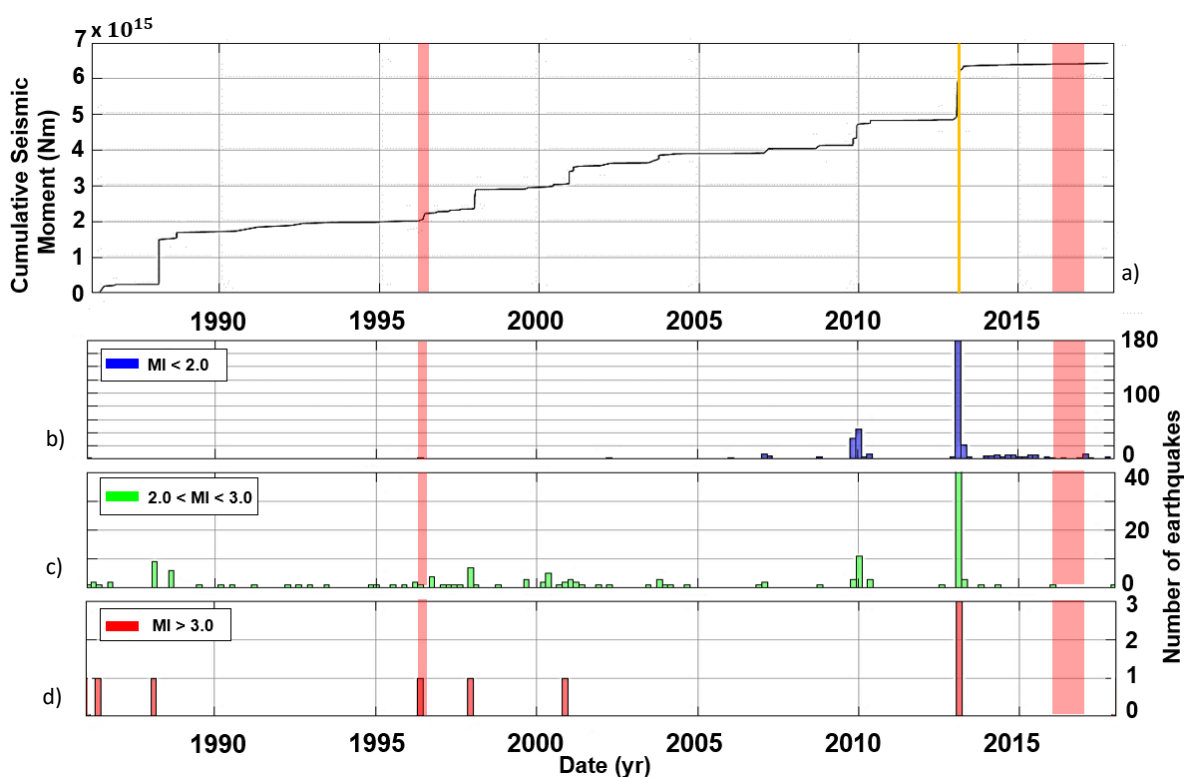
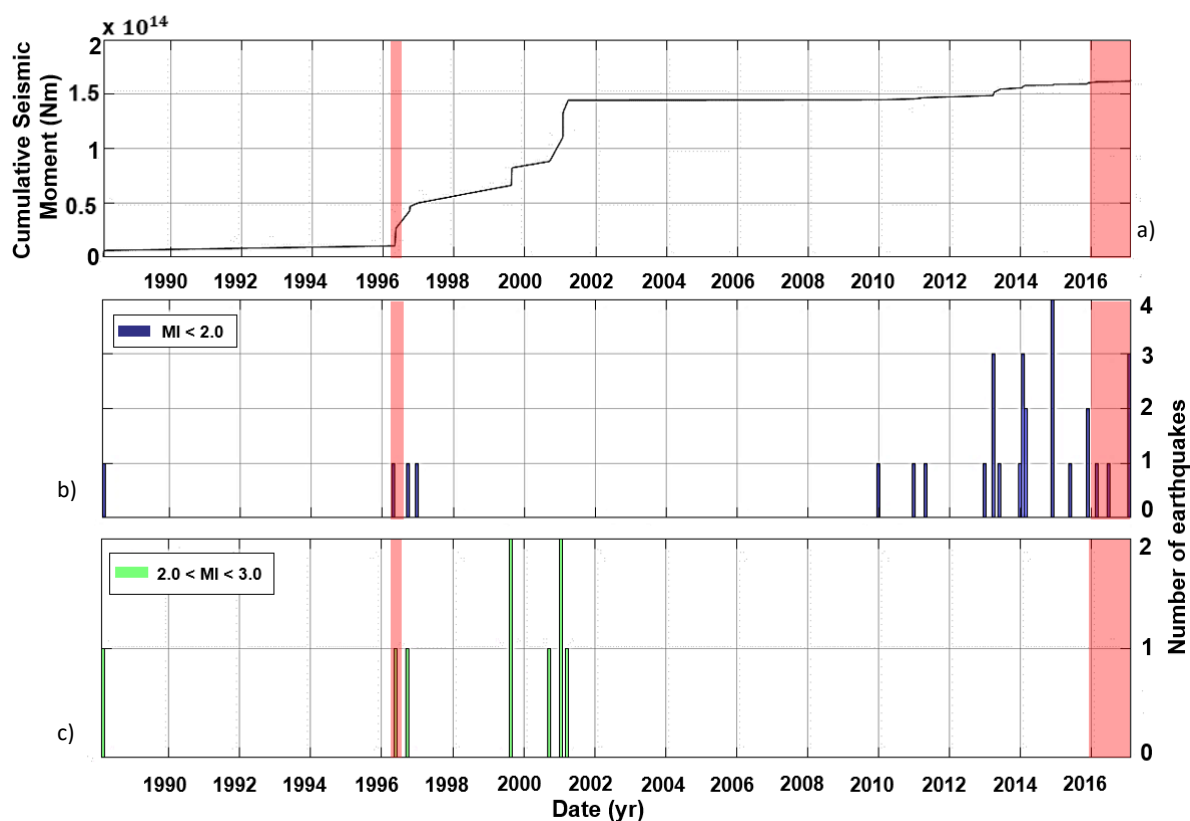
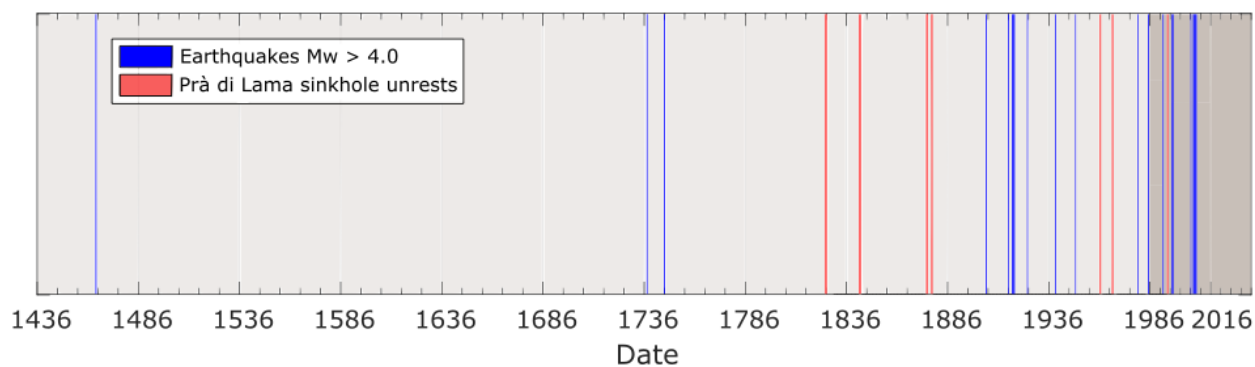


Figure 6 – Seismicity features of an area 10 km in radius around the Prà di Lama lake. Cumulative seismic moment released in the area (a) and histograms of the number of earthquakes per month. Three different classes of magnitude have been created:  $MI < 2.0$  (b),  $2.0 < MI < 3.0$  (c) and  $MI > 3.0$  (d). The dataset covers the period between 1986 and 2017. The red transparent bars indicate the two events of unrest of 1996 and 2016.



**Figure 7 - Seismicity features of an area 3 km in radius around the Prà di Lama lake.** Plot of the cumulative seismic moment released in the area (a) and histograms showing the number of earthquakes occurred each month. Two different classes of Magnitude have been created:  $MI < 2.0$  (b),  $2.0 < MI < 3.0$  (c). No events of  $MI > 3.0$  occurred in the area between 1986 and 2017. The red transparent bars indicate the two events of unrest of 1996 and 2016.



**Figure 8 – Comparison between the earthquakes (blue lines) in the Garfagnana area (INGV Catalogo Parametrico dei Terremoti Italiani CPTI15, Rovida et al., 2016), and events of unrest at the Prà di Lama sinkhole (red lines).**

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Year	Brief description of the event
991	Seasonal pool fed by springs
1828	Bursts of the springs water flow. Uprising of muddy waters and clays ( <i>Raffaelli, 1869; De Stefani, 1879</i> )
1843	Bursts of the springs water flow. Uprising of muddy waters and clays ( <i>Raffaelli, 1869; De Stefani, 1879</i> )
1876	Subsidence and fracturing ( <i>De Stefani, 1879</i> )
1877	Subsidence and fracturing ( <i>De Stefani, 1879</i> )
1962	Bursts of the spring water flow. Uprising of muddy waters and clays ( <i>Giovannetti, 1975</i> )
1969	Abrupt falling of the water level and fracturing along the shores. The lake almost disappeared ( <i>Giovannetti, 1975</i> )
1985	Arising of muddy waters in a well
1996	Abrupt fall of the water level and fracturing along the shores
2016-2017	Subsidence and fracturing

601 *Table 1 – Description of the activity at Prà di Lama lake*

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