

## Point-by-point response to the reviews

### Part I: Reply to Referee 2

1. Quotation of the general comment: "In general, the abstract should address the substantial contribution to the understanding of natural hazards and their consequences for this journal. The reader cherish the effort of what you did, but you should highlight what is the key contribution, the new founding, not the workflow."

Reply: Thank you very much, I think the current situation and the problem, the method also needs to describe clearly. We make some modification to the abstract to highlight the contribution and the founding.

2. Quotation of the general comment: "What is the criterion for the selection of earthquake records? No matter how familiar you are with this concept, please give the full name of abbreviation, when it appears for the first time. If it is better than the highest level, why do you mention the average level? Ignoring some parameter is not a problem, the result/consequence of ignoring should be addressed. "

Reply: Thank you very much, we revised in the manuscript. The criterion for the selection of earthquake records is described in detail in the data section .Because the average level stands for 11 years mean level for the current operational Japan EEW statistical data. Also,the different 1 degree seismic intensity residual level deepened on the different

earthquake that the EEW system deal with. So it is more reasonable to compare the average case and extreme case. Because for EEW or fast intensity report purpose, we almost could not consider the phase characteristics, so it is reasonable to ignore it for real timely calculation.

3. Quotation of the general comment: "When you would like to use abbreviation for this, it is suggested to add () (JMA for this case), directly after the full name."

Reply: Yes. We add (JMA).

4. Quotation of the general comment: "The "after" used here might lead to confusion. Do you means, after the main shock of the 2011 event, the EEW system failed? "

Reply: I will explain it more clearly, after the main shock of the 2011 event, many aftershock occurred almost simultaneously. Under this situation, The EEW system produced false alarms due to high aftershocks activity and high background noise.

5. Quotation of the general comment: "It is better to add "and" before the last item you enumerate."

Reply: Yes. We add "and".

6. Quotation of the general comment: "Since you mention the source, it is better to provide the exact web address directly as reference, which makes it easier for the reader to follow."

Reply: Yes. We add the exact web address.

([http://www.kyoshin.bosai.go.jp/kyoshin/db/index\\_en.html?all](http://www.kyoshin.bosai.go.jp/kyoshin/db/index_en.html?all))

7. Quotation of the general comment: "Please rephrase this sentence.

What are the parameters EEW uses"

**Reply:** Usually, the EEW parameters include the EEW magnitude and hypocentre.

8. Quotation of the general comment: "Do you means besides here?"

**Reply:** Thank you very much. Yes. It should be "besides".

9. Quotation of the general comment: "Set the title clearly, e.g.,

"Proposed method by Hoshiba" and "Network method". Keep consistent with your figure caption."

**Reply:** Thank you very much. We modified the picture to keep consistent with figure caption.

10. Quotation of the general comment: "Space"

**Reply:** Yes. We add "space".

11. Quotation of the general comment: "It is clear, but you could make it briefly. The Amp, Obs, Sim are the abbreviation of ....., respectively. "

**Reply:** Thank you very much. In the table, the Amp, Obs, Sim, Comp and Res are the abbreviation of Amplification, Observation, Simulation, Component and Residual, respectively.

12. Quotation of the general comment: "Not necessary to add 1, since the station names are different. If you add sequence number 1 after the station name, readers are inclined to look for "2"."

**Reply:** I think it is necessary to add 1 and 2 to the figure to make it more easy to understand, because the Kik-net station name is same, 1 stands for data recorded in the borehole, 2 stands for data recorded in the surface.

**13. Quotation of the general comment:** "Could you analyze the reason or the characteristics for this failure? Then, it will help the user to avoid this erroneous-evaluation by this method."

**Reply:** We analyse the possible reason in the discussion paragraph.

**14. Quotation of the general comment:** "Try  $\pm$ "

**Reply:** Yes. We use  $\pm$ .

**15. Quotation of the general comment:** "As amplifier estimation by the method is the training result between two stations, the distance between two stations might not be the significant parameters affect the result. Even though as the distance increases, the degree of uncertainty might raise. More data or theoretical analysis are needed to reach this conclusion."

**Reply:** If the distance between two stations increase, the site amplification factor need to be modeled will raise the degree of uncertainty .Yes, More data or theoretical analysis are needed to reach this conclusion. So we delete this sentence in the revised manuscript.

**16. Quotation of the general comment:** "Would you please seperate this A4 paragraph into sub-sections. Show me your logical thinking. "

**Reply:** Thank you very much, we separate it into some small paragraphs

logically.

17. Quotation of the general comment: "It is better to put this analysis after table 5."

Reply: I think it is also acceptable for describe the case in the previous paragraph and then analysis this possible reason in the discussion paragraph.

18. Quotation of the general comment: "Trade off sth for sth. Try this expression."

Reply: thank you. "We need to make a trade-off between the accuracy of the input spectral ratio and the difficulty of the filter design." may be more suitable.

19. Quotation of the general comment: "Please recall the full name, instead of using "the method"."

Reply: Yes, we recall the full name in the manuscript.

## **Part II: Reply to Referee 3**

Quotation of the general comment: "My suggestions are:

1: pay attention to the letter lower case or upper case, in Page 2, line 16, "Filter "should be "filter".

2: There is something wrong with the reference citation, Page 4, line 5, "(e.g., Iwata and Irikura (1988))" should be "(Iwata and Irikura,

1988)"

3: Page4, line 14 and 17,"the first and second-order filters "should be "the first-order and second-order filters".

4: Page5, line 16," around 25HZ (Figure 3(c)).The Spectral ratios "should be " around 25Hz (Figure 3(c)).The spectral ratios".

5: Page5, line 19," around 3HZ" should be "around 3Hz".

6: Page 5, the figure caption "spectra ratio for every for every event" should be" spectra ratio for every event" ”

[Reply](#): Thank you very much for your suggestion. We check the typos and misspelling in the revised manuscript following your detailed suggestion.

# Study on Real-time Site Amplification Factor Correction

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**Abstract.** The site amplification factor was usually considered as scalar values, such as amplification of peak ground acceleration or peak ground velocity, increments of seismic intensity in the earthquake early warning system or seismic intensity repaid report system. This paper focus on evaluation of infinite impulse recursive filter method that could produce frequency-dependent site amplification and compare the performance of the scalar value method with the infinite impulse recursive filter method. A large amount of strong motion data of IBRH10 and IBRH19 of Kiban Kyoshin network (Kik-net) triggered in more than one thousand earthquakes from 2004 to 2012 were selected carefully and used to get the relative site amplification **ratio**, we model the relative site amplification factor by casual filter. Then we make simulation from borehole to surface and also simulation from front-detection station to far-field station. Compare different simulation cases, it can easily be found that this method could produce different amplification factor for different earthquakes and could reflect the frequency-dependent of site amplification. Through these simulation between two stations, we can find that the frequency-dependent correction for site amplification **shows** better performance than the ARV method and station correction method. It also shows better performance than the average level and the highest level of Japan Meteorological Agency (JMA) earthquake early warning system in ground motion prediction. **Some simulation case that did not work very well were also found, possible reasons and problems were analyzed and addressed.** This method pays attention to the amplitude and ignore the phase characteristic, this problem may be improved by the seismic interferometry method. Frequency-dependent correction for site amplification in the time domain highly improve the accuracy of predicting ground motion real-timely.

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## 1 Introduction

25 In recent decades, real-time strong ground motion prediction has become an important part of earthquake early warning systems. In the world, there are many **countries and regions** deployed operational earthquake early warning systems like Mexico (Espinosa-Aranda et al. 1995; Espinosa-Aranda et al. 2009), Japan (Kamigaichi et al. 2004; Hoshiba 2008; Nakamura et al. 2009), Taiwan (Wu et al. 2002; Hsiao et al. 2009), Turkey (Erdik et al. 2003; Alcik et al. 2009), and Romania (Wenzel et al. 1999; Ionescu et al. 2007) Also there are **some** earthquake early warning **systems** under developing and testing like the  
30 Unites States (Allen et al. 2003; Allen et al. 2009; Bose et al. 2009;), Italy (Zollo et al. 2006; Zollo et al. 2009), and China (Peng et al. 2011).

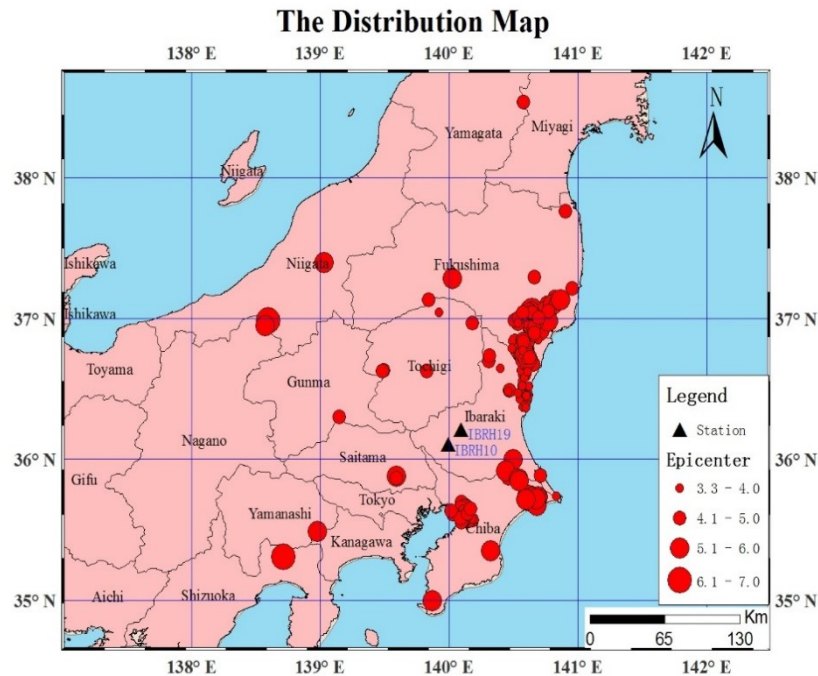
Usually, the earthquake early warnings systems can be categorized as offsite (also known as regional EEW) and onsite warnings. The offsite warning utilizes a few seconds of seismogram observed at the first station, and then estimate the source parameter, such as magnitude, epicentre distance or others. Then according to the parameter estimated, a warning can be manually or automatically issued based on some rules made before an earthquake occurs. Hoshiba et al., 2008 mentioned that the Japan earthquake early warning system has been operational nationwide since October, 2007 by Japan Meteorological Agency (JMA). Approximately 1,100 stations from JMA network and the high sensitivity seismograph network (Hi-net) were used to determine the hypocenter of Japan Meteorological Agency earthquake early warning system. In order to disseminate the warning quickly, hypocentre estimation should be done just after the first detection of the P phase at a single station. In order to ensure the reliability of the estimation, the B-Delta Method (Odaka et al., 2003) and Network Method (Horiuchi et al., 2005; Horiuchi et al., 2009) are used in combination. Usually, the current Japan Meteorological Agency earthquake early warning system works well. But after the main shock of the 2011 great Tohoku Earthquake, the earthquake early warning system did not work well due to high aftershocks activity and high background noise, as well as power failure and wiring disconnections (Hoshiba, 2011). Earthquakes that occurred nearby simultaneously in different locations also made the system provide false information. The site amplification factor was usually considered as scalar values, such as amplification of peak ground acceleration or peak ground velocity and increments of seismic intensity, in the conventional earthquake early warning system. There are some research papers on improving the site amplification factor for more accuracy calculating Japan Meteorological Agency seismic intensity of Japan earthquake early warning system (e.g., Iwakiri et al., 2011). Among them we chose the new idea proposed by Hoshiba (2013) and used it to design casual filter for modelling the site amplification factor of Kik-net stations. We focus on full evaluation the performance of this method by selecting large amount of Kik-net data triggered in more than one thousand earthquakes, then we make simulation from borehole to surface and also simulation from front-detection station to far-field station. Then compare the statistical simulation result with other methods considering the accuracy of the seismic intensity prediction and clarify the advantages and some problem need to be considered when utilizing it to the earthquake early warning system.

## 2 Data

The hypocentre parameters including origin time, location of hypocentre, and magnitude were obtained from the JMA seismic catalogue. The strong motion data were downloaded from the website (<http://www.kyoshin.bosai.go.jp/>). The advantage of this network is that all stations have a borehole of 100 m or more in depth, with accelerographs installed both on the ground surface and at the bottom of boreholes. The site information measured in the boreholes includes soil type along with P and S wave velocity profiles. The sampling frequency is 200Hz for the records before November 2007 and is 100Hz thereafter. In this analysis, we use records observed at 2 stations. One of them with site code IBRH10 has been in operation since September 1, 2000 and the other with site code IBRH19 since May 15, 2004, respectively. Until Decmber.31, 2012, IBRH10 and IBRH19 recorded 1119 and 910 events respectively. We selected 673 strong ground motion records which was recorded at the surface



and borehole sensor when both of these two stations were triggered by an earthquake. The inner distance between IBRH10 and IBRH19 is 14.6 km. We selected strong motion data with hypocentre distance larger than at least three times of the inner distance. The number of earthquakes is up to 553 and the range of magnitude was between 3.3 and 9. The recording time spans from May 16, 2004 to December 31, 2012. Excluding the earthquake which occurred in the sea area, the number of earthquakes ranging from Magnitude 3.3 to Magnitude 7 adds up to 208 (Figure 1). There exists 20 meter soft sediment at station IBRH10. The layer of appears at the depth of 518m. The IBRH19 is almost a completely rock site station. The site profiles can be downloaded from the Kik-net website ([http://www.kyoshin.bosai.go.jp/kyoshin/db/index\\_en.html?all](http://www.kyoshin.bosai.go.jp/kyoshin/db/index_en.html?all)).



**Figure 1. The station and epicentre distribution map used for this research.**

### 3 Theory and Methodology

Source parameters including hypocentre location and magnitude are determined within a few seconds after an earthquake occurrence. Then the ground motions are estimated based on these parameters. While the EEW using a few parameters, parameter uncertainty leads to another error in the ground motion prediction. A new method was proposed by Hoshiba (2013). The method predicts ground motion using ground motions observed at front stations in the direction of incoming waves. The idea of this method were shown in Figure 2. In this method, the observed information is sent directly forward to the target point. The core idea of this method is that the frequency-dependent site amplification factor can be reproduced by a casual

recursive filter based on historical relative spectrum ratio between two stations. In the method the far-field simulated waveform can be obtained by real-time filtering of the observed waveform recorded in the front detection station.

Seismic ground motions are often modelled by convolution of source, propagation and site amplification factors. Site amplification factor plays important role in determine seismic wave amplitude besides propagation effect and source effect.

- 5 Usually, the site amplification factor was evaluated in frequency domain. However, for earthquake early warning systems it is not suitable as this procedure needs some length windowed waveform for FFT in frequency domain. In many previous studies, site amplification factors are estimated using following equation. (Iwata and Irikura, 1988)

$$O_{kl}(f) = S_k(f)G_l(f)T_{kl}(f) \quad (1)$$

Where  $f$  is frequency in Hz,  $O_{kl}(f)$ ,  $S_k(f)$ ,  $G_l(f)$ , and  $T_{kl}(f)$  represent the observed seismic spectrum from event  $k$  at site  $l$ , the source spectrum characterizing the event  $k$ , the site amplification factor at site  $l$ , and the propagation factor between event  $k$  and site  $l$  respectively, and  $f$  is the frequency of the seismic waves.

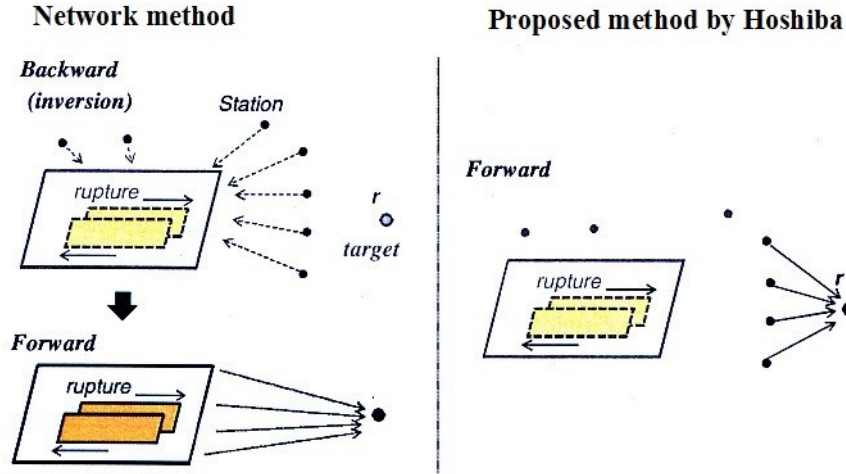


Figure 2. Comparison of the method proposed by Hoshiba with the network method. (Modified after Hoshiba, 2013)

The frequency-dependent relative site amplification factors are assumed to be modelled by a following linear system of first-order and second-order filters,

$$F(s) = G_0 \prod_{n=1}^N \left( \frac{\omega_{2n}}{\omega_{1n}} \right) \cdot \frac{s + \omega_{1n}}{s + \omega_{2n}} \cdot \prod_{m=1}^M \left( \frac{\omega_{2m}}{\omega_{1m}} \right)^2 \cdot \frac{s^2 + 2h_{1m}\omega_{1m} + \omega_{1m}^2}{s^2 + 2h_{1m}\omega_{1m} + \omega_{2m}^2} \quad (2)$$

where  $N$  and  $M$  stand for the numbers of the first-order and second-order filters, respectively, and  $s = i\omega$ . Here  $\omega$  are angular frequencies and  $h$  are damping factors that characterize the frequency dependence, respectively.  $s^2 + 2h\omega + \omega_m^2$  represents a

damping oscillation (Hoshiba, 2013).  $G_0, \omega_{1n}, \omega_{2n}, \omega_{1m}, \omega_{2m}$  are estimated for given values of  $N$  and  $M$  by using the least-squares method in logarithmic scales. We focus on the amplitude characteristics, ignoring phase characteristics. The bilinear transform (also known as Tustin's method) is introduced as

$$s = \frac{2}{\Delta T} \cdot \frac{1-z^{-1}}{1+z^{-1}} \quad (3)$$

- 5 Which is used in digital signal processing and discrete-time control theory to transform continuous-time system representations to discrete-time. Then the pre-warping equation

$$\omega \rightarrow \frac{2}{\Delta T} \tan\left(\frac{\omega \Delta T}{2}\right) \quad (4)$$

is applied to  $\omega_{1n}, \omega_{2n}, \omega_{1m}, \omega_{2m}$ , Then the transfer function  $F(z)$  is obtained, where  $\Delta T$  is the sampling interval of the digital waveforms and  $z = \exp(s\Delta T)$ . Eq. (3) and Eq. (4) are the necessary procedures to obtain the coefficients of a causal recursive

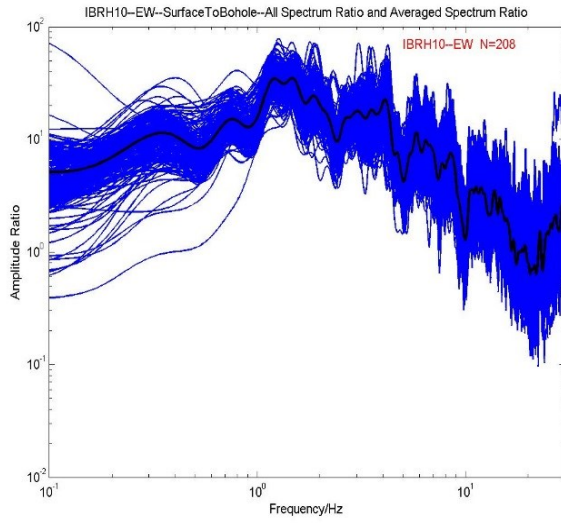
- 10 filter for real time processing.

## 4 Result analysis

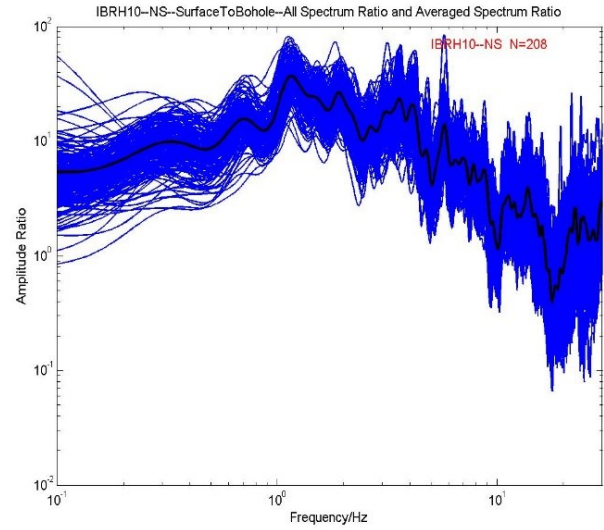
### 4.1 Spectral Ratios

- We use the strong motion data recorded by IBRH10 and IBRH19 during these 208 earthquakes. The spectral ratio results obtained are shown in Figure 3(a) to Figure 6(f). Parzen window of 0.3Hz bandwidth was used to smooth the spectra. The spectral ratios of EW component and NS component of IBRH10 have similar tendencies. It is approximately 30 at around 1.3~1.5Hz; whereas it is less than 2 at around 20Hz (Figure 3(a) and (b)). The spectral ratio of UD component of IBRH10 is approximately 10 at around 2~3Hz whereas it is less than 2 at around 25Hz (Figure 3(c)). The spectral ratios of EW component and NS component of IBRH19 also have similar tendencies. It is approximately 6 at around 5Hz and 4 at 13Hz, respectively, whereas it is less than 2 at less than 2 Hz (Figure 4(a) and (b)). The spectral ratio of UD component of IBRH19 is approximately 5 at 5Hz and 4 at 25Hz, respectively, whereas it is less than 2 at around 3Hz (Figure 4(c)). The spectral ratio of the borehole component of IBRH10 to IBRH19 is almost flat, as this ratio is calculated from bedrock to bedrock. The maximum site amplification is 2.5 at about 20Hz, and the spectral ratio is nearly 1 at the rest of the frequency (Figure 5(a), (c) and (e)). The spectral ratios of the EW component and NS component of IBRH10 surface to IBRH19 surface are approximately 20 at 1Hz to 2Hz, whereas it is less than 1 from 17Hz to 30 Hz (Figure 5(b) and (d)). The spectral ratio of the UD component of IBRH10 surface to IBRH19 surface is approximately 10 at 1.5Hz, whereas it is less than 1 from 22Hz to 30 Hz (Figure 5(f)).
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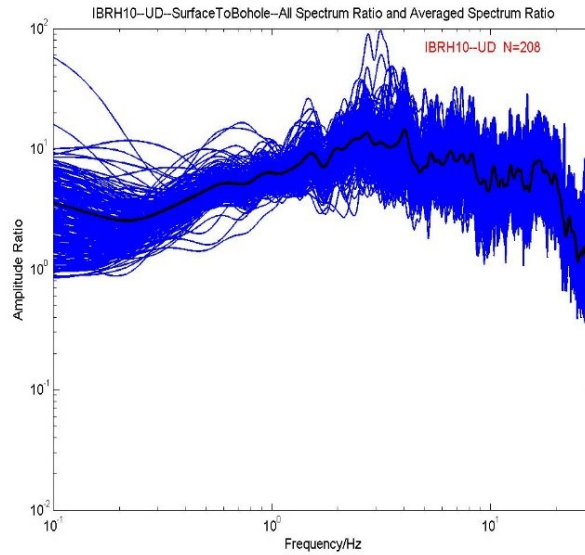
(a)



(b)

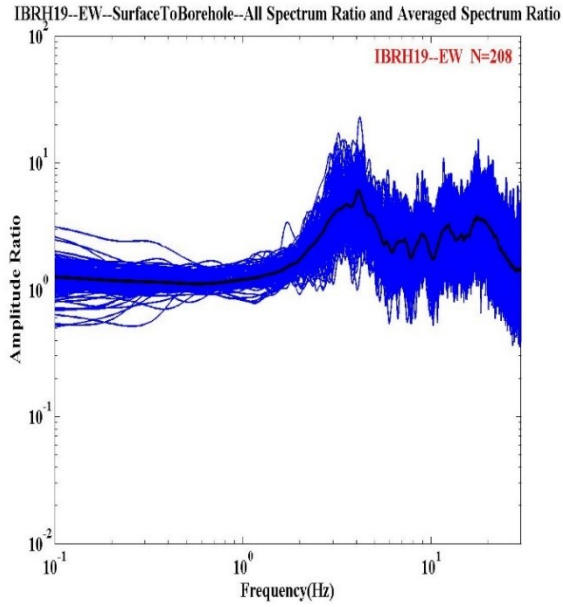


(c)

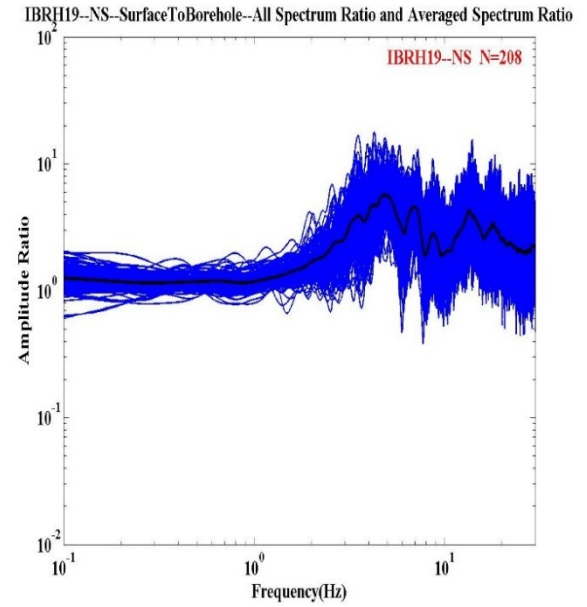


**Figure 3. Surface to Borehole Spectral Ratios at IBRH10: (a) EW2/EW1, (b) NS2/NS1, (c) UD2/UD1. The blue lines stand for the spectra ratio for every earthquake event and the black one stands for the average spectra ratio for all the events.**

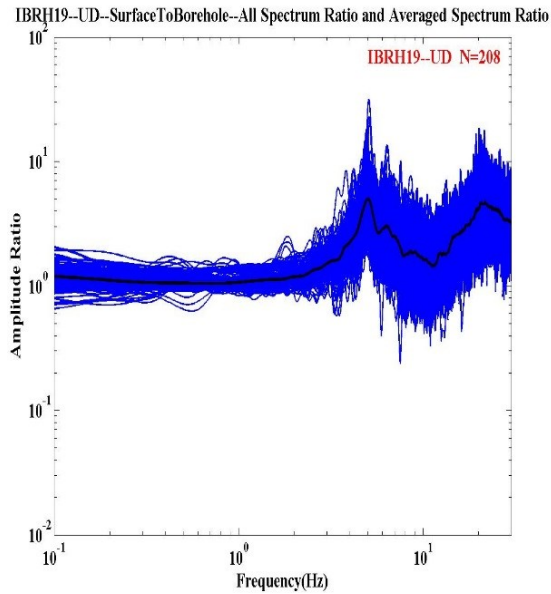
(a)



(b)

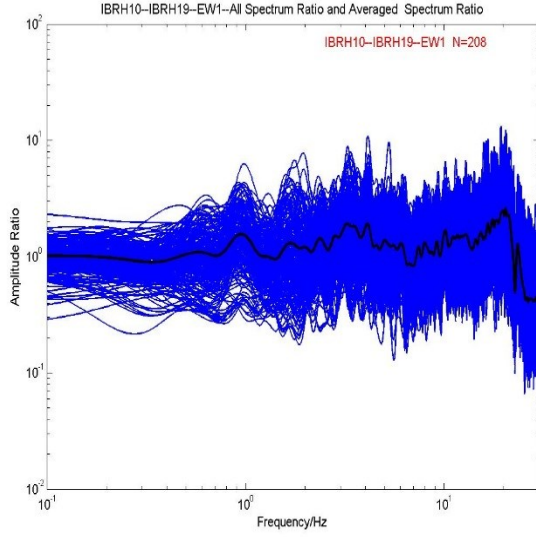


(c)

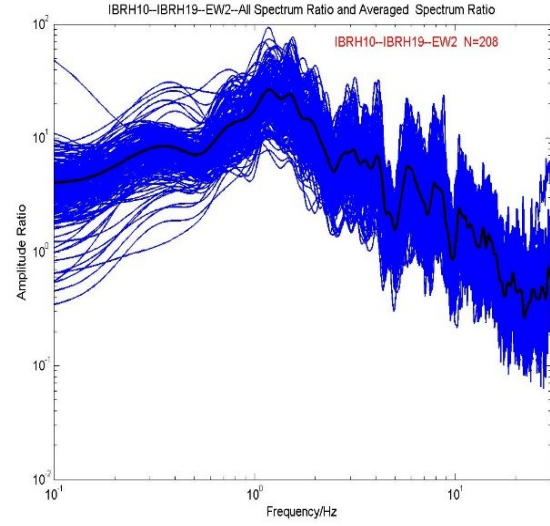


**Figure 4. Surface to Borehole Spectral Ratios at IBRH19: (a) EW2/EW1, (b) NS2/NS1, (c) UD2/UD1. The blue lines stand for the spectra ratio for every earthquake event and the black one stands the average spectra ratio for all the events.**

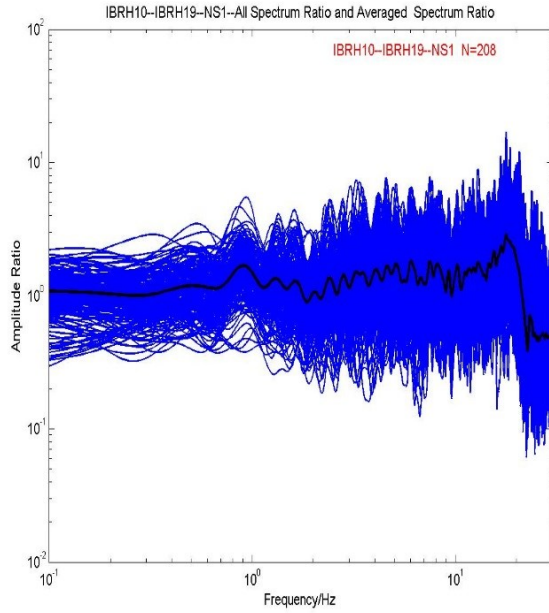
(a)



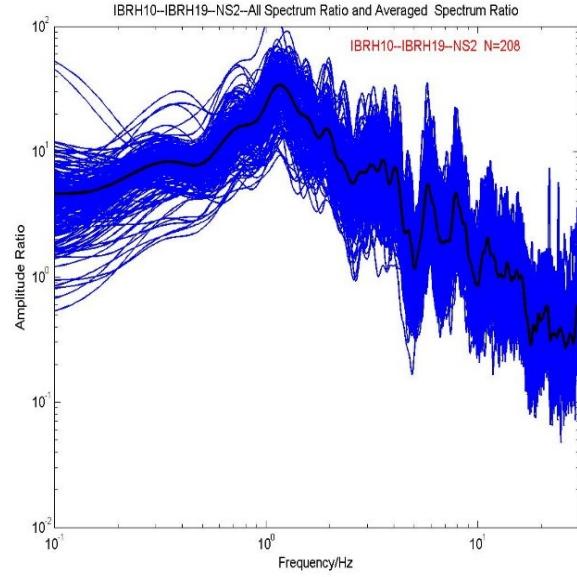
(b)



(c)

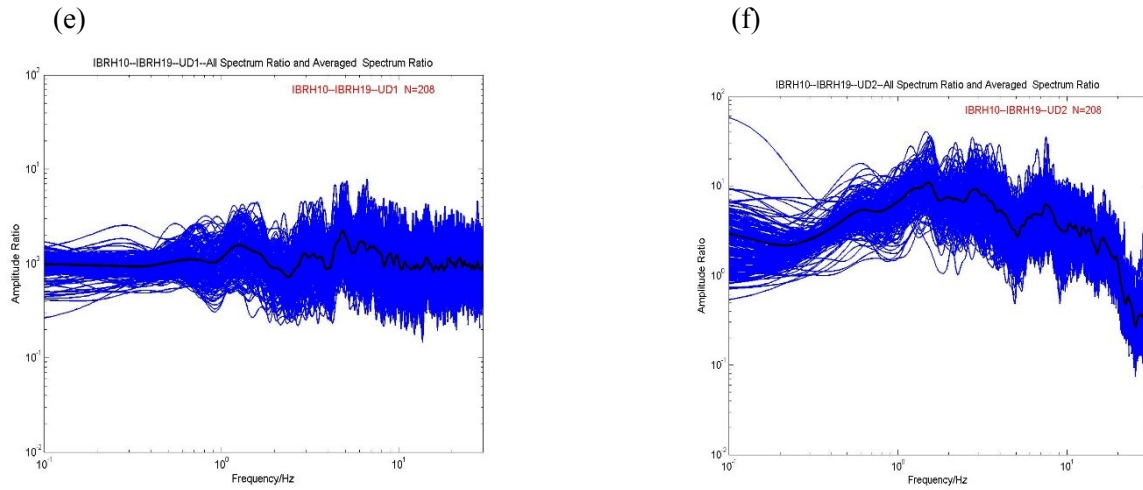


(d)



**Figure 5. spectral ratios of IBRH10 to IBRH19 for: (a) EW1, (b) EW2, (c) NS1, (d) NS2. The blue lines stand for the spectra ratio for every earthquake event and the black one stands the average spectra ratio for all the events. (to be continued )**





**Figure 5. spectral ratios of IBRH10 to IBRH19 for: (e) UD1, (f) UD2. The blue lines stand for the spectra ratio for every earthquake event and the black one stands the average spectra ratio for all the events (Continued)**

#### 4.2 Simulation from borehole to surface

Firstly, we make the simulation from borehole to surface, although it is not useful for earthquake early warning system. But it could be used to make full evaluation of this method. We use the strong motion data recorded by IBRH10 borehole sensor to simulate the surface station acceleration waveforms and spectrum. Figure 6(a) to Figure 6(d) shows the simulation results for the M4.5 earthquake which occurred on November 21, 2009. The information about the site amplification factors and the increment of seismic intensity are summarized in in Table1. In the table, the Amp, Obs, Sim, Comp and Res are the abbreviation of Amplification, Observation, Simulation, Component and Residual, respectively.

Table 1 Information for M4.5 earthquake (IBRH100911211539)

	PGA (gal)			PGA Amp.		I <sub>ima</sub>					
	Boh.	Suf.		Amp. (Suf./Boh.)		Bore.	Surf.		Difference (Suf.-Boh.)		Res. (Sim.-obs.)
		Obs.	Sim.	Obs.	Sim.		Obs.	Sim.	Obs	Sim.	
Comp.	Obs.	Obs.	Sim.	Obs.	Sim.	1.1	3.1	3.3	2.0	2.2	0.2
NS	1.9	13.2	16.8	6.9	8.8						
EW	1.4	7.5	12.1	5.4	8.6						
UD	0.7	4.1	5.6	5.9	8.3						

Figure 7(a) to Figure 7(d) show the simulation results for the M4.6 earthquake which occurred on December 7, 2012. The information about the site amplification factors and the increment of seismic intensity are summarized in Table 2.

Table 2 Information for M4.6 earthquake (IBRH101212070532)

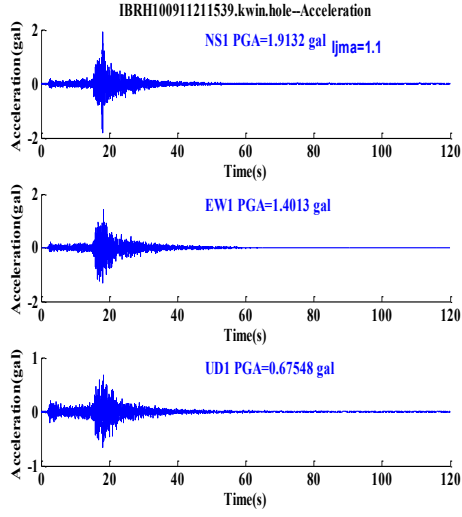
	PGA (gal)			PGA Amp.		I <sub>jma</sub>					
	Boh.	Suf.		Amp. (Suf./Boh.)		Boh.	Surf.		Difference (Suf.-Boh.)		Res. (Sim.-obs.)
Comp.	Obs.	Obs.	Sim.	Obs.	Sim.	Obs.	Obs.	Sim.	Obs	Sim.	
NS	0.7	2.7	3.6	3.9	5.1	0.0	1.2	1.3	1.2	1.3	0.1
EW	0.6	3.3	4.0	5.5	6.7						
UD	0.4	2.9	2.4	7.3	6						

For these two examples, compared the simulated acceleration and spectrum with the observed acceleration and spectrum of the surface records, the result simulated well. The different amplifications of maximum acceleration between Table 1 and Table 2 reflect the differences of the frequency contents of the incident waveforms that cannot be reproduced by a scalar site amplification factor (e.g., amplification of peak ground acceleration or peak ground velocity, or increment of seismic intensity).

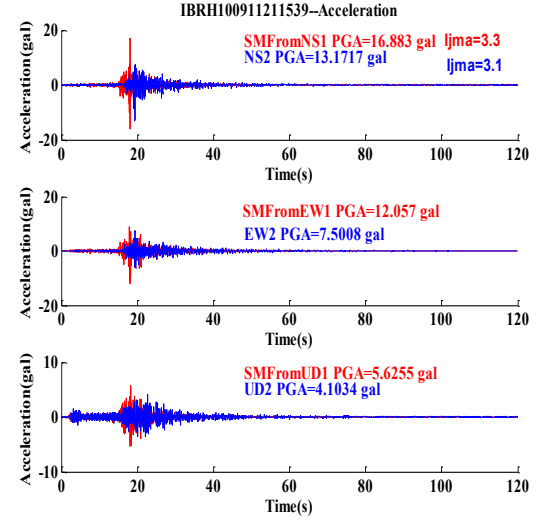
The seismic intensity is calculated according to the method described in the paper (Yamazaki et al. 1998). Figure 8 shows the seismic intensity residuals. The average seismic intensity residuals of these 208 earthquakes is 0.139. The standard deviation of the difference is 0.254. 98.6% of the seismic intensity residuals is less than 0.5. 100% of the seismic intensity residuals is less than 1. As the minimum resolution for the seismic calculation is 0.1 degree, It is reasonable that we consider these simulations show good performance.



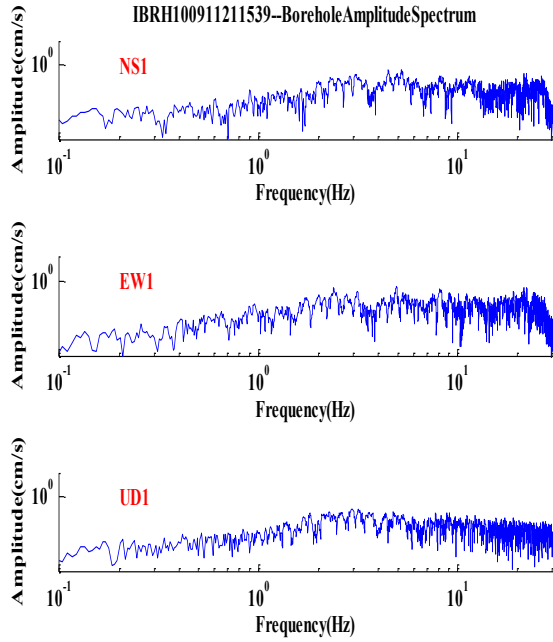
(a)



(b)



(c)



(d)

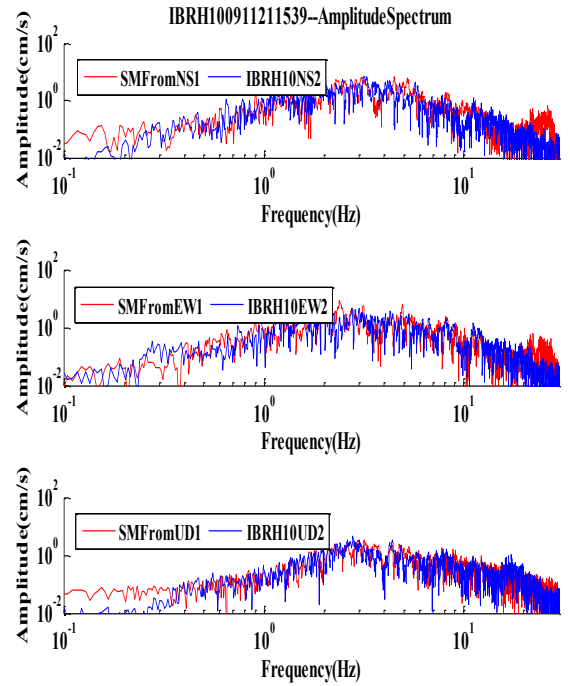


Figure 6. An example of the results of simulation for IBRH100911211539: (a) the observed accerlation at the borehole, (b) the observed surface acceleration waveform (blue) compared with the simulated one (red), (c) the spectra of the observed surface record, (d) the spectra of the observed surface record (blue) compared with the simulated one (red).

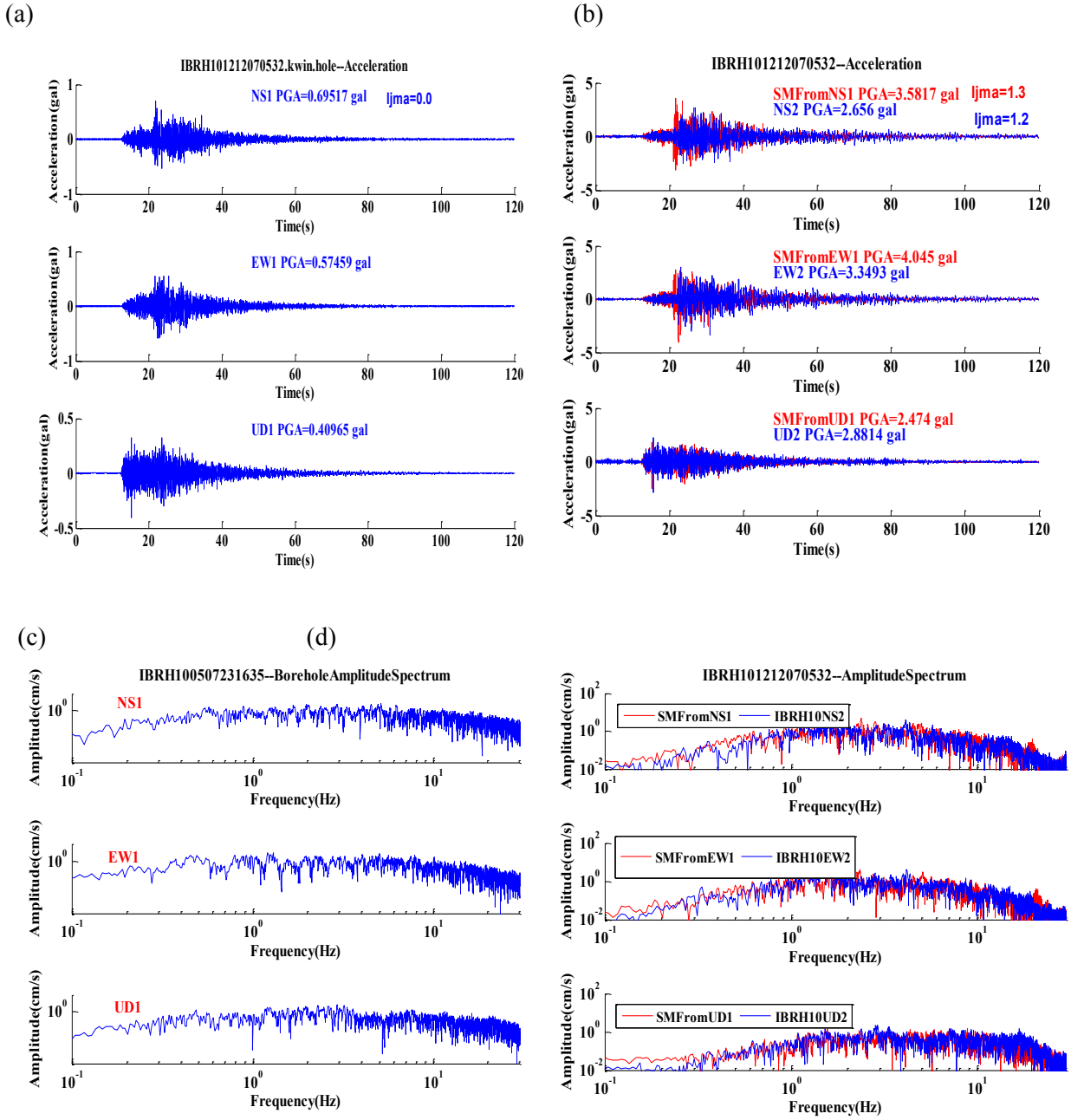


Figure 7. An example of the results of simulation for IBRH101212070532: (a) the observed accerlation at the borehole, (b) the observed surface acceleration waveform (blue) compared with the simulated one (red), (c) the spectra of the observed surface record, (d) the spectra of the observed surface record (blue) compared with the simulated one (red).

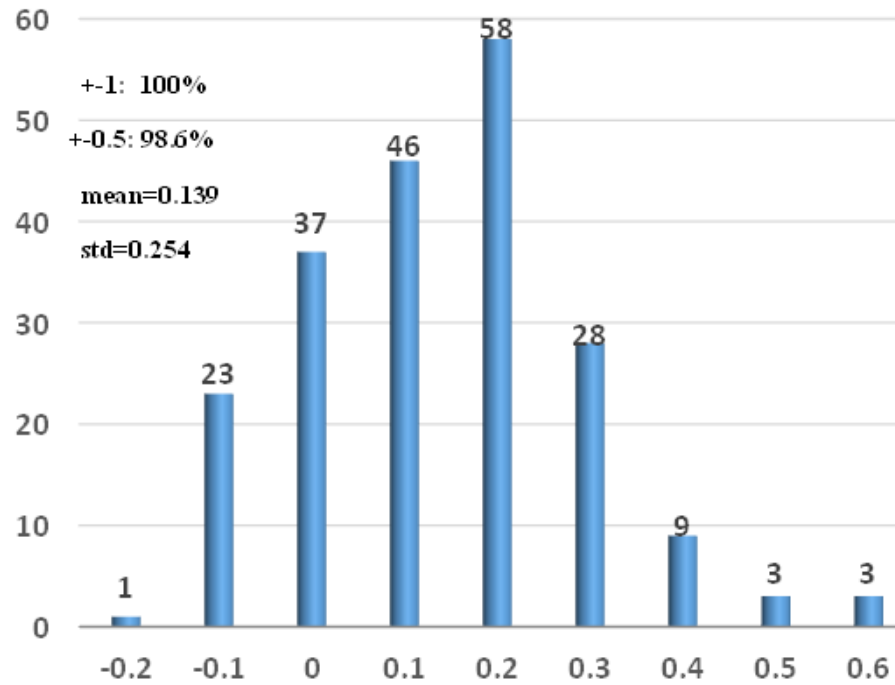


Figure 8. The seismic intensity residuals between observed data and simulated data.

#### 4.3 Simulation Between Front-detection Station and Far-flied Station

Then, Using the surface strong motion data of IBRH19, we get simulated waveforms for IBRH10. Figure 9(a) through Figure 9(d) show the simulation results for the M5.2 earthquake which occurred on Feb. 19, 2012. The information about site amplification factors and the increment of seismic intensity are summarized in Table 3.

Table 3 Information for M5.2 earthquake (IBRH10 & IBRH19 for 201202191454)

	PGA(gal)			PGA Amp.		I <sub>jma</sub>			
	IBRH19		IBRH10		Amp. (IBRH10/IBRH19)	IBRH19		IBRH10	
	Obs.	Obs.	Sim.	Obs.		Obs.	Obs.	Sim.	Res. (Obs.-Sim.)
Comp.	Obs.	Obs.	Sim.	Obs.	Sim.	Obs.	Obs.	Sim.	
NS	17.7	50.9	69.7	2.8	3.9	2.2	3.5	3.7	0.2
EW	13.6	45.7	53.2	3.3	3.9				
UD	11.2	23.7	38.9	2.1	3.5				

The simulation results for the M5.1 earthquake occurred on April 14,2011 is shown in Figure 10(a) to Figure 10(d) . The comparison information about the site amplification factors and the increment of seismic intensity are summarized in Table 4.

Table 4 Information for M5.1 earthquake (IBRH10 & IBRH19 for 201104140735)

	PGA(gal)			PGA Amp.		I <sub>jma</sub>			
	IBRH19	IBRH10		Amp. (IBRH10/IBRH19)		IBRH19	IBRH10		Res. (Obs.-Sim.)
Comp.	Obs.	Obs.	Sim.	Obs.	Sim.	Obs.	Obs.	Sim.	
NS	7.1	25.4	16.7	3.6	2.4	1.3	2.7	2.7	0
EW	7.1	18.1	17.6	2.5	2.5				
UD	4.3	14.6	16.7	3.4	3.9				

Compared the observed the surface record acceleration and spectrum with the simulated acceleration and spectrum, it shows that the simulation result is well. The acceleration amplification factor for the he M5.2 earthquake which occurred on Feb. 19, 2012 is 3.9,3.9,3.5 respectively, while the acceleration amplification factor for the he M5.1 earthquake which occurred on April 14,2011is 2.4,2.5,3.9 respectively. Comparing the simulation results for these two earthquakes, the different amplifications of acceleration between Table 3 and Table 4 shows the different frequent contents of the waveforms that cannot be reproduced by a scalar site amplification method.

Although most of the simulation result shows good performance, there exists the case that the simulation did not work well. For example, Figure 11(a) to Figure 11(d) shows the simulation results for the M5.3 earthquake occurred on March 16, 2011. The information about the site amplification factors and the increment of seismic intensity are summarized in Table 5. Compared the observed acceleration and spectra with simulated acceleration and spectra, it indicates that the simulation did not work well for the M5.3 earthquake occurred on March 16, 2011.

Table 5 Information for M5.3 earthquake (IBRH10 & IBRH19 for 201103162239)

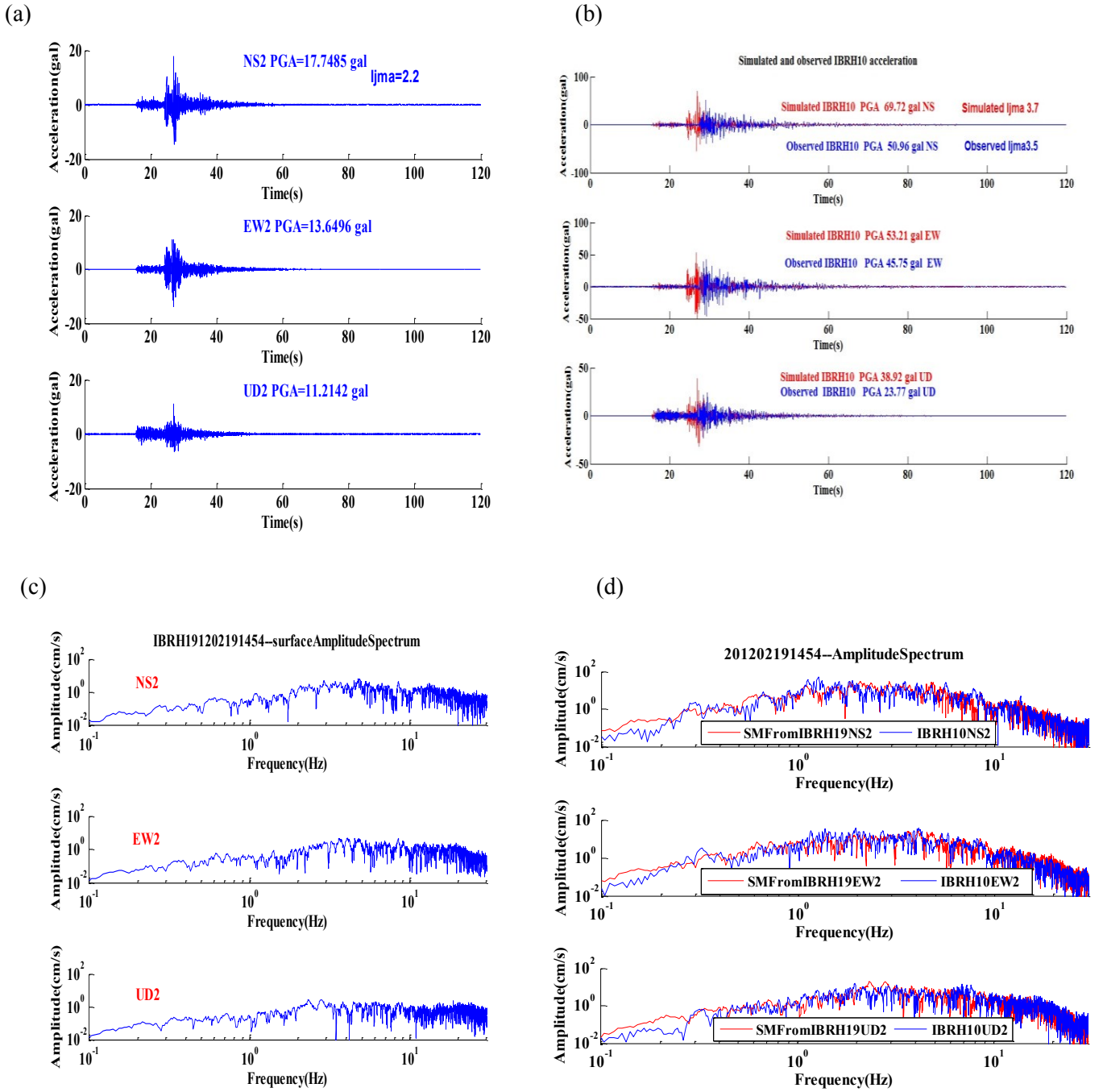
	PGA(gal)			PGA Amp.		I <sub>jma</sub>			
	IBRH19	IBRH10		Amp. (IBRH10/IBRH19)		IBRH19	IBRH10		Res. (Obs.-Sim.)
Comp.	Obs.	Obs.	Sim.	Obs.	Sim.	Obs.	Obs.	Sim.	
NS	6.1	10.7	34.9	1.8	5.7	1.5	2.7	3.8	1.1
EW	5.0	12.6	36.1	2.5	7.2				
UD	3.9	6.2	22.2	1.6	5.7				

The seismic intensity is calculated according to the method described in the paper(Yamazaki et al. 1998). Figure 12 shows the seismic intensity residual. The average seismic intensity residual is 0.35 for all our data set. The standard deviation of seismic intensity residual is 0.36. 69.7% of the seismic intensity residual is less than 0.5. 98.1% of the seismic intensity residual is less than 1. Japan Meteorological Agency used ARV method (amplitude ratio of peak ground velocity at the ground surface relative to the engineering bedrock of averaged S-wave velocity 700 m/s) based on topographic data. Iwakiri et al. 2011 proposed the station correction method. The station correction method based on site amplifications obtained empirically from observed seismic intensity data.

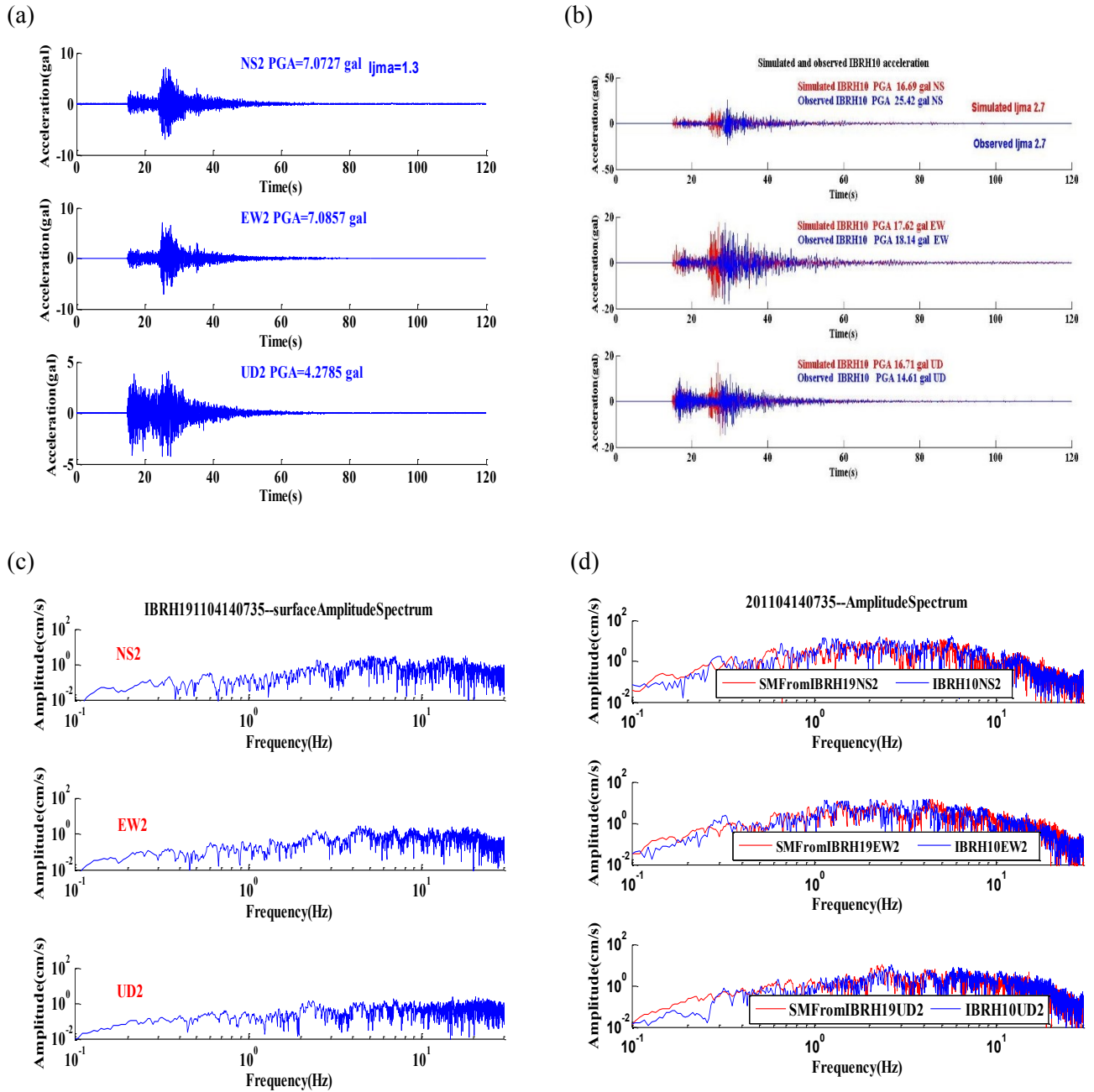
We compared the performance of this method with the ARV method and station correction method. For the ARV method and station correction method, The seismic intensity residual within  $\pm 0.5$  is 55% and 59%, respectively. The seismic intensity residual within  $\pm 1$  is 84% and 93% respectively for ARV method and station correction method. The comparison result between different methods are shown in Table 6. The statistical 1 degree seismic intensity error of the current JMA EEW system (JMA,2018) was shown in figure 13. The average 1 degree seismic intensity error is 74.74% for all the eleven years data. The best case is 93.7% in 2017,the worst case is 34.6% in 2010.From the analysis mentioned above, we can conclude that this method could improve the accuracy of the seismic intensity estimation. It highly improve the accuracy of predicting ground motion real-timely. It could be used to the earthquake early warning system.

Table 6. The comparison result

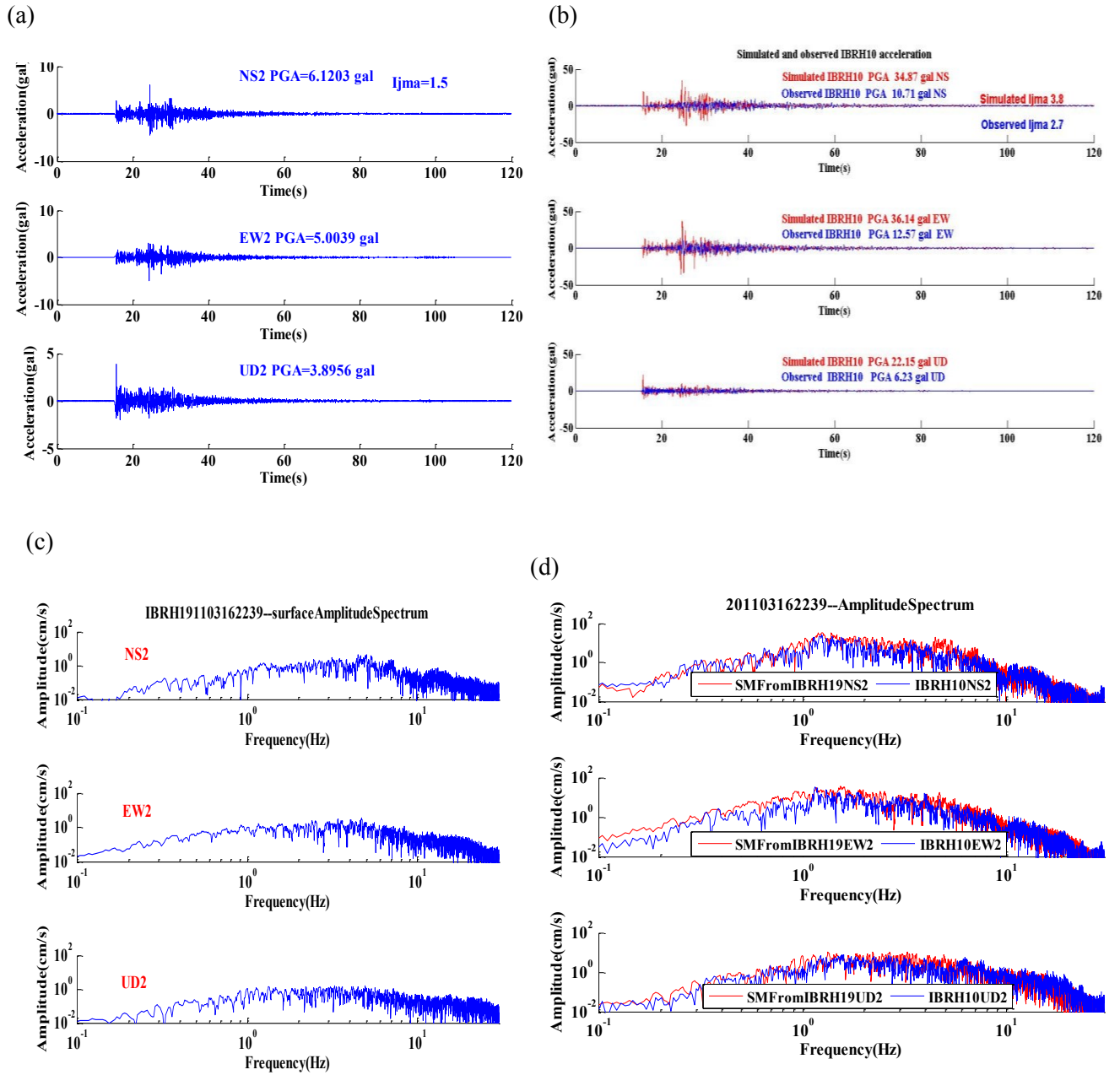
Method	mean residual	standard deviation	+0.5	+1.0
ARV(Iwakiri et al. 2011),	0.25	0.63	55%	84%
Station Correction (Iwakiri et al. 2011),	0.19	0.55	59%	93%
This paper	0.35	0.36	69.7%	98.1%



**Figure 9. An example of simulation from IBRH19 (surface) to IBRH10 (surface) for the earthquake 201202191454: (a) the observed surface acceleration for IBRH19, (b) the observed surface acceleration waveform (blue) compared with the simulated one (red), (c) the spectral of observed surface record for IBRH19, (d) the observed spectra of surface record at IBRH10 (blue) compared with the simulated one (red)**



**Figure 10.** An example of simulation from IBRH19 (surface) to IBRH10 (surface) for the earthquake 201104140735: (a) the observed surface acceleration for IBRH19, (b) the observed surface acceleration waveform (blue) compared with the simulated one (red), (c) the spectral of observed surface record for IBRH19, (d) the observed spectra of surface record at IBRH10 (blue) compared with the simulated one (red)



**Figure 11. An example of simulation from IBRH19 (surface) to IBRH10 (surface) for the earthquake 201103162239:**  
 (a) the observed surface acceleration for IBRH19, (b) the observed surface acceleration waveform (blue) compared with the simulated one (red), (c) the spectral of observed surface record for IBRH19, (d) the observed spectra of surface record at IBRH10 (blue) compared with the simulated one (red)



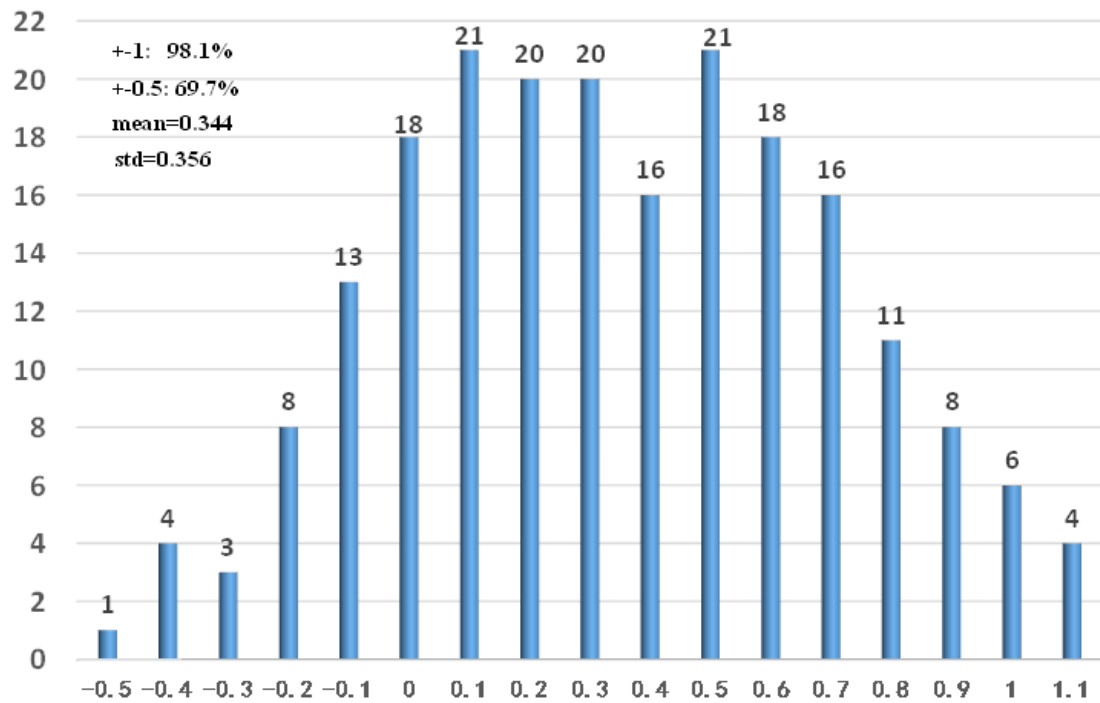


Figure 12. The seismic intensity residuals between the observed data and simulated data.

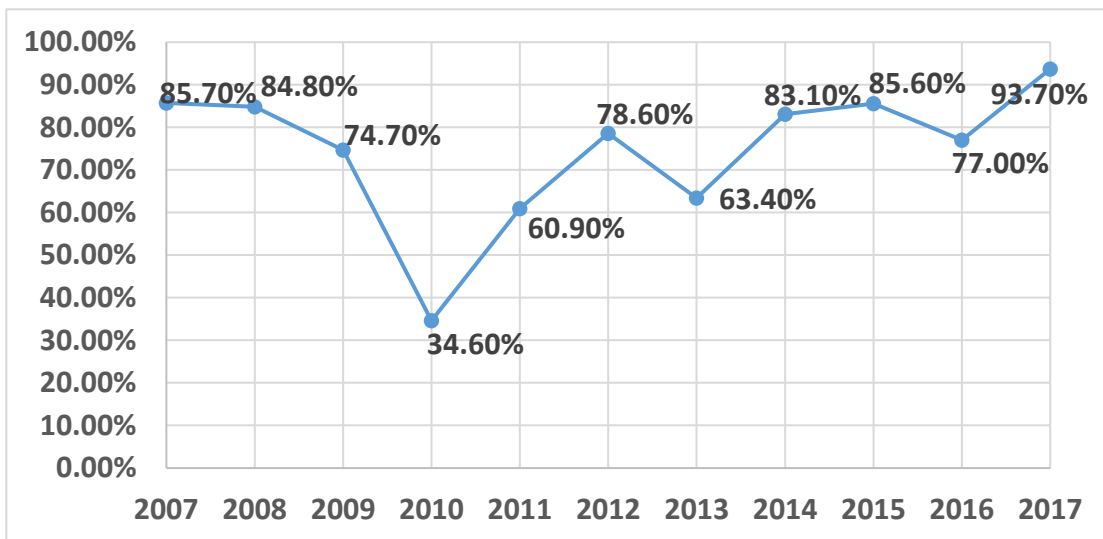


Figure 13. The percent ratio diagram for 1 degree seismic intensity error in the current Japan EEW System

## 5 Discussion

Through compare different simulation cases, it can be easily find that frequency-dependent correction of site amplification factor could produce different amplification factor for different earthquakes. It could produce the frequency-dependent site amplification factor. It highly improves the situation that scalar value site amplification methods which could not produce different amplification factor for different earthquakes. It skips the procedure to calculate the EEW magnitude and epicenter distance or hypocenter distance using the start portion of the waveform. We can obtain the waveform real-timely at the target station. It highly improve the accuracy of predicting ground motion real-timely compared with the scalar value site amplification factor. The simulation from borehole to surface is not suitable for earthquake early warning system. But it shows that this method shows good performance for real time simulating waveforms of the target station. For earthquake early warning purpose, we need to save much lead time for warning to the public that needs the distance between two stations much larger. It means that the method have relation with network density. We could use the frequency-dependent site implication factor to predict the seismic intensify more accurately in the seismic intensity quick report system and earthquake early warning system with high network density. For earthquake early warning purpose, we need to use large amount of historical ground motion records to model the relative site amplification and search the optional casual filter parameter firstly. In the area with sparse network and low seismicity, we could not get the relative site amplification easily because of little amount of strong motion records. We need to consider other methods to estimate relative site amplification factor. We can adopt the method such as coda normalization method (Philips and Aki, 1966), generalized spectrum inversion method (Iwata and Irikura, 1986; Kato et al., 1992).

There are the cases that some simulation did not work very well. 1.9% of the seismic intensity residuals is larger than 1. One of possible reason is azimuth dependency of site amplification (Cultrea et al. 2002). We did not consider azimuth dependency in designing the frequency-dependent site amplification factor filter. If we design multiple frequency-dependent site amplification factor correction filter regarding the azimuth dependency of site amplification, we could be able to predict the target ground motion more precisely. Another possible reason is the accuracy of the input relative spectrum ratio, This situation may be improved by more precisely characterize the input spectral ratio and complicated filter design. For example, we can use a large number of first and second order filter to model the spectral ratio, but it is more complicated and time consuming for the hardware design when the number of filter grows larger. We need to make a trade-off between the accuracy of the input spectral ratio and the difficulty of the filter design.

This method pays attention to the amplitude characteristic and ignore the phase characteristic, there are few research on how to consider the phase in the earthquake early warning system. This situation may be improved the seismic interferometry method (Yamada et al. 2010). Because the site amplification factor was assumed as linear system, so the nonlinearity of weak ground motion and strong ground motion (Noguchi et al. 2012) was not taken into consider in this study. More research are needed to solve these problems.

## 6 Conclusion

In this paper, we make evaluation of the infinite impulse recursive filter method that model the relative site amplification factor by historical strong ground motion data and then implementing the relative site amplification factor by the casual filter. First, we calculated the spectrum ratio for IBRH10 and IBRH19, then we got the surface simulated acceleration time series and spectrum for IBRH10 from borehole records at IBRH10. Similarly, we got the IBRH10 simulated surface acceleration time series and spectrum from the surface strong ground motion records of IBRH19. At last, we calculated the seismic intensity residual between the observed data and simulated data, and then compared the accuracy with the previous method and statistical report. This method highly improve the accuracy of predicting ground motion real-timely. The result shows as following:

(1) The spectra ratio is calculated between borehole record and surface record from the same station. Then we use infinite impulse recursive filter method to model our relative spectra ratio. The average seismic intensity residual of these earthquakes is 0.139. The standard deviation of these seismic intensity residuals is 0.254. 98.6% of these seismic intensity residuals is less than 0.5. 100% of these seismic intensity residuals is less than 1.

(2) The spectra ratio is calculated between IBRH10 and IBRH19 surface records. Similarly, we use infinite impulse recursive filter method to model the relative spectra ratio between two stations. The average seismic intensity residual of these earthquakes is 0.35. The standard deviation of these seismic intensity residuals is 0.36. 69.7% of these seismic intensity residuals is less than 0.5. 98.1% of these seismic intensity residuals is less than 1. This method shows better performance than the ARV method and station correction method. The average 1 degree seismic intensity error of all the eleven years statistical data of the current Japan Meteorological Agency earthquake early warning system is 74.74%. This method also shows better performance than the current operational Japan Meteorological Agency earthquake early warning system. This method highly improve the accuracy of predicting ground motion real-timely.

### Data availability.

The hypocenter parameters including origin time, location of hypocenter, and magnitude used in this study, which were routinely determined by JMA, were obtained from the JMA seismic catalog. The KiK-net strong motion data can be downloaded from NIED website (<http://www.kyoshin.bosai.go.jp/>). Thanks to JMA and NIED for their hard work.

### Author contributions.

QX completed the core work and wrote the text content, QM and JZ were the technical director in chief, and HY supervised the strong motion data processing and related analysis. All authors discussed the editors' opinions and revised the paper.

### Competing interests.

The authors declare that they have no conflict of interest.

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