Regional Interaction Frameworks to Support Multi-Hazard Approaches to Disaster Risk Reduction (With an Application to Guatemala)

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Abstract. Here we present an interdisciplinary approach to developing comprehensive, systematic, and evidenced visual syntheses of potential hazard interactions at regional scales (or *regional interaction frameworks*). Frameworks can help to better understand the multi-hazard environment of a specific spatial extent. We set out our approach and apply this in Guatemala, developing regional interaction frameworks for national and sub-national (Southern Highlands) spatial extents. The frameworks are constructed and populated using five evidence types: (i) internationally accessible literature (93 peer-review and 76 grey literature sources); (ii) locally accessible civil protection bulletins (267 bulletins from 11 June 2010 to 15 October 2010); (iii) field observations; (iv) stakeholder interviews (19 semi-structured interviews), and (v) a stakeholder workshop (16 participants). These five evidence types were synthesised to determine an appropriate natural hazards classification scheme for Guatemala, with 6 natural hazard groups, 19 hazard types, and 37 hazard sub-types. For a national spatial extent in Guatemala, we proceed to construct and populate a regional interaction framework (matrix form), identifying 50 possible interactions between 19 hazard types. For a sub-national spatial extent (Southern Highlands of Guatemala), we construct and populate a regional interaction framework (matrix form), identifying 114 possible interactions between 33 hazard sub-types relevant in the Southern Highlands. We also use this evidence to explore multi-hazard interaction networks and anthropogenic processes that can trigger natural hazards. We present this information through accessible visualisations to improve understanding of multi-hazard interactions in Guatemala. We believe that our regional interaction frameworks approach to multi-hazards is scalable, working at global to local scales with differing resolutions of information. Our approach can also be replicated in other geographical settings. We demonstrate how regional interaction frameworks and the discussion of potential scenarios arising from them can help to enhance cross-institutional dialogue on hazard interactions, their likelihood, and potential impacts. We review future research directions and steps to embed interaction frameworks into agencies contributing to implementation of the Sendai Framework for Disaster Risk Reduction.

1 Introduction

The Sendai Framework for Disaster Risk Reduction (Sendai Framework) is a global plan to reduce disaster losses, adopted by UN member states in 2015. It emphasises the need for multi-hazard approaches (UNDRR, 2015), defined as *"the selection of multiple major hazards that the country faces, and the specific contexts where hazardous events may occur simultaneously, cascadingly or cumulatively over time, and taking into account the potential interrelated effects"* (UNDRR, 2017). A key, but complex, step in understanding risk (Sendai Framework, Priority for Action 1), and the focus of our paper, is understanding the multi-hazard landscape of a region (i.e., the relevant single hazards, and the processes by which they may interrelate to generate combinations or cascades of hazards).

Relationships between hazards include:

- *Compound (or coincident) hazards*, where two or more independent hazards impact the same region in time and/or space (e.g., a heat wave at the same time as an earthquake)
- *Concurrent or consecutive hazards*, where two or more independent hazards occur successively and cause cumulative pressures on a given region (e.g., a hurricane occurring a few days after an earthquake)
- *Triggering interactions*, where one hazard triggers another hazard (e.g., an earthquake triggering a landslide)
- *Increased probability interactions*, where one hazard increases the probability of another hazard occurring (e.g., a wildfire increasing the probability of debris flows given heavy rain),

These relationships can combine to form complex interaction networks. For example, tropical storms can trigger floods and/or landslides; volcanic eruptions can trigger wildfires that subsequently increase the probability of debris flows; and earthquakes can trigger regional subsidence which increases the likelihood of flooding. Many more examples, and extensive case studies, of such interactions feature in the literature (e.g., Tarvainen *et al.*, 2009; Kappes *et al.*, 2010; Gill and Malamud, 2014; Duncan *et al.*, 2016; Tilloy *et al.*, 2019).

Stakeholders involved in implementing the Sendai Framework (e.g., civil protection agencies, hazard-monitoring scientists, urban planners, development practitioners) will therefore all benefit from resources (e.g., tools, review reports) that help to increase understanding of the multi-hazard landscape of a region by systematically identifying and characterising potential hazards and hazard interactions. Building on global approaches for identifying and characterising hazard triggering and increased probability interactions set out in Gill and Malamud (2014, 2016, 2017), here we explore the following research questions:

1. For a defined spatial region, how does one construct and populate a synthesis of potential natural hazard interactions using blended sources of evidence for past case histories and theoretical future possibilities from that region's characteristics? *(Here we focus particularly on triggering and increased probability interactions,*

but discuss additional hazard relationships in the context of future developments of this work).

2. How do triggering interactions documented in the literature contrast with the knowledge of hazard/civil protection professionals operating in the region?
3. What are the implications of this work on multi-hazard methodologies to support disaster risk reduction, management and response?

We address these questions by collating and uniting diverse evidence sources (e.g., field observations, interviews) from the natural and social sciences, through a visual database (i.e., a matrix) of potential hazard interactions at regional (e.g., national/sub-national) scales. We demonstrate an approach that is comprehensive (*includes a broad array of potential hazards*), systematic (*exploring the potential for interactions in between each hazard pairing*), and evidenced (*documenting the evidence for the existence of interactions*). We label these frameworks '*regional interaction frameworks'* defined to be visualisations that support the identification and characterisation of relevant hazard interactions in a defined region (from 10^2 to 10^6 km^2).

Currently, regional studies of potential hazard interactions are sparse, and none of these set out a replicable and scalable method for systematically doing this. **Table 1** outlines and characterises seven examples of frameworks for specific named regions or geographical features that include natural hazards and a deliberate attempt to characterise possible hazard interactions. While there is significant variation in the approaches used to construct and populate these frameworks, they helpfully demonstrate the scalability of regional interaction frameworks and issues to be considered when construction regional interaction frameworks. These examples also highlight some of the complexity in understanding potential hazard interactions. For example, while many multi-hazard studies focus only on two or three hazards (Ciurean *et al.*, 2018), the examples in **Table 1** all show regions exposed to a much larger range of hazards (6–11 natural hazards). This results in significant complexity when trying to constrain and characterise the potential relationships between natural hazards, using either qualitative or quantitative tools.

Building on these examples, we present and apply an interdisciplinary methodology in this paper to develop and enhance comprehensive, systematic and evidenced regional interaction frameworks. We apply this interdisciplinary approach in the context of Guatemala to produce a suite of comprehensive and robust frameworks of potential hazard interactions for two spatial extents (national and sub-national), and describe their application to multi-hazard disaster risk reduction in Guatemala. We trialled our approach in Guatemala due to (i) the hazardousness of the region, and (ii) logistical feasibility (contacts, language, accessibility). A broad range of natural hazards and anthropogenic processes in Guatemala make it an appropriate country to examine hazard interactions. Guatemala's dynamic geological history and geographical setting give rise to many potential hazards. These include geological (e.g., earthquakes, volcanic activity, landslides, and surface collapses) and hydrometeorological hazards (e.g., tropical cyclones, thunderstorms, hailstorms, tornados, coastal storm surges, floods, drought, heatwaves, and cold spells), as defined by UNISDR (2017). Guatemala ranks high in descriptions

of countries exposed to multiple hazards and risks (e.g., Welle *et al.*, 2013; Kreft *et al.*, 2015; Bündnis Entwicklung Hilft/United Nations University, 2017). **Figure 1** shows a map of Guatemala, including key locations and physiographic details.

We believe these to be the first national scale comprehensive characterisation of potential hazard interactions in the published literature, relevant to a wide range of actors involved in disaster risk reduction (DRR). While the regional interaction frameworks developed in this paper specifically support Guatemalan stakeholders, we suggest that our approach is replicable and can support implementation of the Sendai Framework in other settings through improved characterisation of multi-hazard interactions, as we discuss throughout this paper.

The paper is structured as follows: In **Section 2** we outline the methods used to collect five diverse evidence types, characterise this evidence, and describe how we integrate this evidence to construct and populate a regional interaction framework. We combine our description of data collection methods with the characterisation of the data as we it is more helpful for the reader to have this together. In **Section 3** we integrate and use this evidence to characterise hazard interactions and interaction networks (cascades), constructing two regional interaction frameworks for Guatemala (national and sub-national spatial extents). In **Section 4** we discuss future developments of this work and our findings in the context of regional interaction frameworks and multi-hazard assessments for disaster risk reduction. Conclusions are presented in **Section 5**.

2 Data and Methods Used to Construct the Regional Interaction Framework

2.1 Evidence Types and Integration

Developing comprehensive and evidenced regional interaction frameworks requires diverse evidence to improve the systematic identification of relevant hazards and interactions. In **Table 2**, we group possible evidence types to construct regional interaction frameworks into (1) publications and other reports, (2) social and other media, (3) field evidence, (4) stakeholder engagement, and (5) miscellaneous. Some overlap exists between these categories, and not all the examples given are relevant in any given location. Of the evidence types in **Table 2**, we used five that can help construct and populate a regional interaction framework for Guatemala (letters **A**–**E** below, and used throughout this paper):

- **A.** *International Literature (publications and reports).* A comprehensive synthesis of literature describing natural hazards in Guatemala and their interactions, including peer-review material, technical reports, databases, and media reports (93 peer-review, 76 grey literature) (**Section 2.2**).
- **B.** *Civil Protection Bulletins (locally accessible publications and reports).* Analysis of government issued, Spanish-language, civil protection information bulletins (267 bulletins from 11 June 2010 to 15 October 2010) (**Section 2.3**).
- **C.** *Field Observations.* Reconnaissance trips, giving an overview of the hazard landscape of Guatemala (three sites

discussed in the text) (**Section 2.4**).

D. *Stakeholder Interviews*. Semi-structured interviews with hazard and civil protection professionals in Guatemala (19 interviews, conducted from 28 February to 14 March 2014) (**Section 2.5**).

E. *Workshop*. A 3-hour workshop with hazard and civil protection professionals in Guatemala (16 participants, 06 March 2014) (**Section 2.6**).

For the latter two (D and E), principal government organisations tasked with informing disaster risk reduction and response activities in Guatemala are CONRED (Coordinadora Nacional para la Reducción de Desastres/National Coordinator for Disaster Reduction) and INSIVUMEH (Instituto Nacional de Sismología, Vulcanología, Meteorología e Hidrología/National Institute for Seismology, Volcanology, Meteorology and Hydrology). Additional organisations include universities (e.g., Universidad de San Carlos de Guatemala), private sector consultancies and research institutes (e.g., Private Institute for Climate Change Research), civil society organisations (e.g., Oxfam), and regional and international intergovernmental organisations (e.g., CEPREDENAC, UN OCHA).

Other evidence types (e.g., historical records, community knowledge) are included in peer-review and grey literature publications we examined, or may be particularly pertinent in other geographical locations. The use of multiple evidence types (vs. a reliance on one evidence type) facilitates a more comprehensive characterisation of hazards and hazard interactions. For each evidence type considered, we do not use all possible examples, methods, and sources; rather we use examples of key case studies from regions of interest. Collecting and interpreting this evidence requires engagement with a range of organisations engaged in research and practitioner work relating to natural hazards, disaster risk reduction, and disaster response. Through **Sections 2.2** to **2.6** we characterise our data (evidence types) and the methods used to collect and unite this to address our research questions. We outline limitations associated with this evidence and the methods used to collect it in **Section 2.7**. In **Section 2.8**, we summarise how we integrate evidence types to develop our regional interaction frameworks in **Section 3**.

2.2 Publications and Reports (Internationally Accessible)

Internationally accessible publications and reports includes both peer-review and grey literature, such as journal articles, edited volumes, Masters and PhD theses, textbooks, technical reports, databases, and NGO disaster situation reports. This compilation of literature all reports on hazard events in specific geographic regions, providing evidence of hazard interactions. For example, Rose *et al.* (2004) present a set of papers on natural hazards in El Salvador (edited volume), and ReliefWeb (2018) present a situation report on the impact of Tropical Storm Nate in Central America (disaster situation report). We identified multiple publication and report types with information about Guatemala. We prioritised literature giving a broad overview of natural hazards, synthesising multiple texts, or characterising hazard interactions. It is beyond the scope of this study to examine publications on every aspect of hazards in Guatemala, or to review all

publications on any one aspect of a hazard.

We primarily accessed literature using large web-databases (Google Scholar, Web of Science) for peer-reviewed articles and general online searches for other grey literature (e.g., media reports). We used Boolean search methods, including both 'Guatemala' and keywords associated with a preliminary list of 21 natural hazards (from Gill and Malamud, 2014). For example, 'earthquake', 'aftershock', 'seismic', 'tremor', and 'liquefaction' were searched for alongside 'Guatemala' and 'Central America' to identify relevant material. We evaluated results to determine their relevance and identify other keywords. We also identified specialist books, such as an edited volume on the geology of Central America (Bundschuh and Alvarado, 2007).

We examined literature in a systematic manner, collating references, maps and figures for 17 (of the 21) natural hazards: earthquake, tsunami, volcanic eruption, landslide, flood, drought, regional subsidence, ground collapse, soil (local) subsidence, ground heave, storm, tornado, hailstorm, lightning, extreme temperature (heat), extreme temperature (cold), and wildfire. Snow avalanches and snowstorms have limited spatial relevance in Guatemala, and geomagnetic storms and impact events have little country-specific (vs generically relevant) information. For each hazard considered, we cross-referenced diverse literature to characterise it at a level of detail appropriate to this study, including information on spatial and temporal distribution, triggering relationships, and impacts. We identified and used as evidence 169 sources, with 93 (55%) of these being peer-review, and 76 (45%) of these being grey literature.

2.3 Publications and Reports (Locally Accessible)

Another evidence type to inform the development of regional interaction frameworks is locally accessible reports, such as government or NGO bulletins, newspapers, and emergency call out records. Civil protection information bulletins and newspapers can both give a focused overview of natural hazard occurrences (e.g., Guzzetti *et al.* 1994; Trimble, 2008; Raška *et al.* 2014; Taylor *et al.*, 2015), providing information on hazard interactions or noting triggering relationships.

In Guatemala, we use Spanish-language civil protection information bulletins from the *Coordinadora Nacional para la Reducción de Desastres* (CONRED, National Coordinator for Disaster Reduction). Bulletins are issued when there is a threat to lives, livelihoods, and infrastructure, and include information on hazards, their spatial and temporal extent, and their impacts, including triggering other hazards. Natural hazards occurring in remote regions or having a very low impact (e.g., very small landslides) are unlikely to be included in bulletins, and therefore bulletins do not provide a complete record of events. CONRED may issue multiple bulletins per day, depending on the evolution of, for example, a weather system or a disaster event. Bulletins are distributed to a mailing list of personnel, with some on their website (CONRED, 2018b) and ReliefWeb (2016). At the time of writing, CONRED bulletins are not systematically archived online. We therefore classify these bulletins as locally accessible.

CONRED made available to the authors (electronic format) 267 accessible information bulletins published over a 127-day period between the 11 June 2010 and 15 October 2010. Based on their numbering, we believe CONRED published 413 bulletins during this 127-day period. Additional information that characterises these bulletins is included in **Supplementary Material (Table S1)**. We searched the 267 accessible bulletins for keywords, placing these into context by looking at the surrounding sentences. Taylor *et al.* (2015) used this approach to enrich the UK national landslide database by examining newspaper archives.

We selected and used the following six keyword verbs connecting two hazard types and suggesting an interaction between them (with an abbreviated Spanish verb base in parentheses): *to trigger* (*desenca*), *to provoke (provoc)*, *to generate (genera)*, *to cause (caus)*, *to produce (produ)*, and *to catalyse* (*catal*). We performed a keyword Boolean search in Spanish using the abbreviated form of the verb base to ensure the return of multiple derivatives of the verb. To check if there were other verbs of interest, we then searched for the following hazard keywords in Spanish form (both singular and plural): *seismic, earthquake, volcano, eruption, landslide, flood, collapse, sinkhole, hurricane, storm, tsunami, drought, tornado, wind, rain.* We also searched for references to three active volcanoes (*Pacaya, Santiaguito,* and *Fuego*) in Guatemala. From these hazard keywords and three volcanoes, we looked for any further interaction verbs that might be included near these words and identified no additional keyword verbs using these. The number of keyword search results for each of the six keyword abbreviated verb bases connecting two hazard types are: *to trigger* (*desenca, 0 results*), *to provoke (provoc, 26 results)*, *to generate (genera, 58 results)*, *to cause (caus, 22 results)*, *to produce (produ, 37 results)*, and *to catalyse* (*catál, 0 results*).

In total, there were 143 results from 95 bulletins, prior to us processing them based on their relevance to the theme of hazard interactions. These results included some bulletins with more than one result. By examining the context, we determined that 39 of the 143 results (from 36 different bulletins, on 28 unique days) described unique events where interactions occurred between natural hazards. These results are presented in the **Supplementary Material** (**Table S2**). The results in this section, although based on an incomplete dataset, demonstrate examples of the types of interactions that could occur. Further research could use a larger sample of bulletins to better characterise interactions in Guatemala, or an event database such as EM-DAT (CRED, 2018). This would be necessary if the frequency of different types of events was a consideration, with a four-month period being too short to analyse this.

2.4 Field Observations

Field observations can also help to understand the relevance and dynamics of hazards and hazard interactions. For example, Havenith *et al.* (2003) describe field evidence of earthquake-triggered landslides in the Northern Tien Shan Mountains of Kyrgyzstan. Approaches include reconnaissance visits to improve contextual understanding of the region, detailed geological, geomorphological or hazard mapping, and the application of technologies such as rain gauges, drones,

and thermal imaging infrared cameras.

In Guatemala, from January to March in 2014 (9 weeks total), we visited regions in the Southern Highlands of Guatemala (identified in **Figure 1**) affected by multiple natural hazards and anthropogenic activity. We aimed to familiarise ourselves with the features of key locations and hazards in Guatemala, but did not gather primary field data (e.g., community interviews). We enhanced our understanding of Guatemala's multi-hazard environment, observing the spatial and temporal scales at which hazards and anthropogenic processes act. This helped to enrich interviews with expert participants (described in **Section 2.5**), understanding examples, local places names, and descriptors used by participants to characterise and evidence natural hazard interactions in Guatemala.

We conducted multiple field visits alongside INSIVUMEH, with support from the University of Bristol, and one field visit with CONRED. This helped to develop constructive relationships, establishing the mutual trust and respect required for subsequent data-rich interviews (Kitchin and Tate, 2000). Examples of principal field locations and relevant interactions are (a) Lake Atitlán (e.g., tropical storms triggering landslides, landslides triggering flooding, landslides triggering lake tsunamis), (b) Volcán de Fuego (e.g., lahars triggering floods), (c) Volcán de Santiaguito (lahars triggering flooding).

2.5 Stakeholder Engagement: Interviews

Interviews provide additional evidence to construct and populate regional interaction frameworks. Participants often come from diverse backgrounds, with differing understanding of natural hazards and geographic regions. Participants with relevant evidence can include both 'experts' (e.g., hazard and disaster professionals) and local people who might be impacted by hazards (e.g., farmers, local government, communities). Selecting participants based on their experience and relevance to a research question (purposeful sampling), can result in data-rich interviews (MacDougall and Fudge, 2001; Longhurst, 2003; Suri, 2011; Palinkas *et al.*, 2015). Semi-structured interviews provide one means by which to have this dialogue, focused around questions on hazards and hazard interactions. This style gives enhanced freedom to explore areas of interest and pursue emerging lines of enquiry (Qu and Dumay, 2011).

Prior to travelling to Guatemala in 2014, we obtained ethics approval from King's College London for research with human participants. At the start of each interview we explained the purpose of our work and sought informed, prior consent to use data generated. All participants gave permission for us to use their data and identify their institution unless this would identify the individual. We interviewed 21 hazard and civil protection professionals in Guatemala, during 19 interviews. **Supplementary Material (Table S3)** characterises the interview participants. Participants came from academia, the private sector, INSIVUMEH, and CONRED. We selected interview participants from diverse professional backgrounds in terms of hazard speciality (e.g., earthquakes, landslides, floods) and engagement in the disaster cycle (e.g., early warning, mitigation, recovery). We identified contacts before travelling to Guatemala through their online

profiles and professional engagement in other projects, and through introductions once in Guatemala.

We ensured that participants were comfortable to reduce possible power relations between the interviewer and participant (Kitchin and Tate, 2000; DiCicco-Bloom and Crabtree, 2006; Qu and Dumay, 2011). Interviews ranged from 30−120 minutes, following a semi-structured approach (Longhurst, 2003; Qu and Dumay, 2011). Interviews included opportunities for participants to talk about (i) their background and training, (ii) their consideration and use of information on hazard interactions, (iii) examples of existing multi-hazard interaction networks, and (iv) hazard interaction visualisations. All interviews aimed to cover these key themes, however there were differences in the order that they were introduced, and the specific questions asked. Interviews were conducted in Spanish (with a translator), in Spanish (without a translator), and in English, depending on the context.

Supplementary Material (**Table S4**) presents key statements relating to natural hazards, interactions, and anthropogenic processes, extracted from these 19 semi-structured interviews. Multiple participants highlighted specific interaction examples. These include ones noted in internationally accessible publications (e.g., lahars from Santiaguito triggering flooding, Harris *et al.*, 2006), and interactions not described in other evidence types (e.g., Pacific coastal flooding due to simultaneous high tides and river sedimentation).

2.6 Stakeholder Engagement: Workshop

Another form of stakeholder engagement are workshops designed to generate data through activities and focused discussion. We organised a 3-hour workshop in Guatemala involving 16 civil protection professionals at CONRED. Participants included senior and junior staff working in diverse departments. **Supplementary Material** (**Table S3**) characterises participants, with all giving permission for us to use their data in an anonymised form. During our workshop, participants independently completed two tasks.

Task 1. *Network Linkage Diagram for 21 Hazards (16 participants)*. Participants used this to record triggering relationships that they believed to be relevant to Guatemala. We did not expect any participant to map out all relevant interactions.

Task 2. *7 × 11 Hazard Interaction Matrix (15 participants)*. Participants completed a blank hazard interaction matrix, with seven primary hazards on the vertical axis and eleven secondary hazards on the horizontal axis. Results are outlined in **Section 2.6.2**.

We therefore collected two sets of visual records that document participants' perceptions of relevant hazard interactions in Guatemala. We include all completed diagrams in the **Supplementary Material** (**Figures S1** and **S2**). Completed network linkage and interaction matrix diagrams vary in the number and range of interactions proposed to be relevant in Guatemala. The number of interactions proposed by any one participant using the hazard linkage diagram, for example,

ranged from 8 to 35, with a mean of 18 and a median (50th percentile) of 15.

Using all 16 completed network linkage diagrams (Task 1), we can represent the combined knowledge of the workshop participants, and use this as evidence when constructing regional interaction frameworks for Guatemala. In **Figure 2**, we overlay evidence from 16 completed network linkage diagrams on a blank interaction framework, showing the number of participants (out of 16) proposing each triggering relationship. Of a total possible 441 (21×21) interactions, there are 86 different interactions proposed in **Figure 2** as being relevant in Guatemala (by 1–16 participants), equivalent to 20% of the 441 possible interactions. Consequently, 355 interactions (80% of the 441 possible interactions) were determined by all 16 participants as not relevant in Guatemala. Some of the proposed interactions may not be relevant (false positives), and others not proposed by participants may be relevant (false negatives) in Guatemala. We present more detailed statistics resulting from this workshop, and analysis of the hazard interaction matrices, in the **Supplementary Material**. These results highlight different opinions on which hazard interactions are relevant in Guatemala. There is strong consensus on the occurrence of some interactions, but weak consensus on others. The workshop results demonstrate the need for communication across hazard disciplines, and the value of comprehensive, systematic and evidenced frameworks to enhance understanding of relevant interactions.

2.7 Limitations Associated with Methods and Data Collection

Evidence types **A**–**E**, characterised in **Section 2**, are each associated with limitations and uncertainties:

i. *Information Accuracy*. Based on our working with blended sources of grey-literature evidence we found that it can sometimes be difficult to verify information sources, including media articles and textbooks, civil protection bulletins, and personal perspectives offered through interviews and workshops. Where possible, we evaluated authenticity by cross-referencing grey and older literature with peer-review and recent literature. Including grey-literature, however, broadens the scope of reviews and provides comprehensive access to available published evidence (Mahood *et al.*, 2014).

ii. *Bias Towards High-Impact Events*. Civil protection bulletins, like newspaper articles, focus on events that affect the things humans value (Carrara *et al.*, 2003), and thus exclude events with a low societal impact. In contrast to newspaper records, bulletins are less likely to focus on novel events (Moeller, 2006) and it is reasonable to expect a higher level of specialist understanding compared to newspaper journalists (Ibsen and Brunsden, 1996).

iii. *Information Omission.* Our semi-structured approach to interviews may make it difficult to focus on important issues (Kitchin and Tate, 2000), increasing the likelihood of missing pertinent topics.

iv. *Language Barriers.* The evidence in **Section 2** required working across language barriers. Civil protection bulletins required translation from English to Spanish (when selecting keywords) and Spanish to English (when

analysing keyword search results). We did not translate all text in the 677 pages of the bulletins, but rather searched for keywords within the text, and examined their context. Working in a non-native language may have resulted in missing interactions and/or misunderstanding context. Interviews and the workshop were conducted in a non-native language (either for us or the interviewee) making it harder to ensure consistency and minimise the omission of information (Squires, 2009). The use of translators may also result in challenges (Temple, 2002; Temple and Young, 2004). For example, translators can change the meaning of questions, directly or indirectly contribute to answers, or change interview dynamics. Careful selection of translators can minimise the impact of these limitations, as can working with researchers with an appropriate level of Spanish language.

v. *Cultural Barriers and Positionality.* Interviews and the workshop involved working across cultures. Our position in social and cultural structures influences our perspective of the world, and the way that this then influences the conduct and interpretation of stakeholder engagement (e.g., Merriam *et al.*, 2001; Sultana, 2007; Fisher, 2015). Race, nationality, age, gender, social and economic status influence our positionality (Madge, 1993), as do prior experiences pertinent to this research. The interviewer, translator and interviewees may have different perspectives, value systems, customs and social behaviours. Relationships between these groups can be complex and dynamic, with similarities and differences (Merriam *et al.*, 2001). Recognising cultural differences and similarities has implications on how to manage interview contexts to ensure that they are fruitful (Schneider and Barsoux, 2002).

vi. *Participant Selection.* Hosts at CONRED and INSIVUMEH generally selected interview and workshop participants. We desired participants from a diversity of professional backgrounds and levels of seniority, and this was generally respected. While participant selection was not in our control, the purposeful sampling used was an appropriate approach (MacDougall and Fudge, 2001; Longhurst, 2003; Suri, 2011; Palinkas *et al.*, 2015).

vii. *Power Dynamics.* Age, gender, educational level, ethnicity and socio-economic status can influence an interview or workshop process and the results (e.g., Valentine, 1997; Edwards, 1998; Kitchin and Tate, 2000; Qu and Dumay, 2011). Genuine rapport, respect, trust, and an understanding of cultural differences can reduce the impact of power dynamics (Kitchin and Tate, 2000; DiCicco-Bloom and Crabtree, 2006).

viii. *Peer Influence.* During the workshop, a controlled environment was encouraged during the completion of tasks. It was, however, difficult to prevent those sitting next to each other from seeing other contributions and speaking about what they were including.

ix. *Hazards and Interaction Classifications.* Gill and Malamud (2016) discussed difficulties in distinguishing between triggering and increased probability interaction types. Workshop participants may have found this distinction between two different interaction types confusing, inadvertently characterised an interaction as one

type when they meant the other. Participants may have a different understanding of what any of the interaction or hazard types includes, and the use of a pre-defined hazard scheme in workshops may restrict discussion of other hazards not included in this scheme.

These examples are likely to have resulted in some uncertainty within the evidence used, and therefore within the interaction frameworks produced using this evidence. Some sources of uncertainty can be mitigated, and appropriate actions were taken to do so, including the following:

- A reflexive and respectful approach reduced language barriers, cultural barriers and power dynamics on the results of stakeholder engagement.
- A critical approach to literature analysis determined where inaccuracies may exist in grey or historical literature.
- Integrating multiple evidence types helped to reduce the impact of uncertainties on regional interaction frameworks.
- We cross-referenced personal perspectives expressed in interviews, for example, with peer-review literature to explore accuracy.
- We used global interaction frameworks to serve as useful databases of what could occur, helping to evaluate the scope of possible interactions before ascertaining their relevance in Guatemala.

We suggest, therefore, that the regional interaction frameworks presented in the remainder of this paper are robust assessments of potential triggering and increased probability interactions in Guatemala. It is possible, however, that relevant hazard interactions and anthropogenic processes, or the likelihood or spatial distribution of these, will vary over time.

2.8 Integration of Evidence Types to Construct and Populate Interaction Frameworks

The construction of comprehensive and systematic regional interaction frameworks requires three components for a region of interest, each bringing together diverse strands of evidence, and unifying them within a formal structure, supported by expert knowledge (Neri *et al.*, 2008):

i. **Information on relevant single hazards and appropriate ways to classify these**, using the evidence in **Sections 2.2** to **2.6,** and the classification of 21 natural hazards in Gill and Malamud (2014).

ii. **Information on relevant hazard interactions to populate the interaction framework** (i.e., identifying how single hazards interact with each other), using the evidence in **Sections 2.2** to **2.6**, and the matrix of globally possible interactions in Gill and Malamud, 2014.

iii. **An appropriate visualisation framework to represent hazard interactions.** We adapt existing visualisation

frameworks (Gill and Malamud, 2014, 2016, 2017), and ensure these are appropriate to Guatemala.

We can then use this framework and evidence presented in **Section 2** to identify potential multi-hazard interaction networks, and explore how anthropogenic processes can trigger natural hazards or catalyse hazard interactions (Gill and Malamud, 2017).

3 Regional Interaction Frameworks (Visualisations)

We now proceed to develop our comprehensive, systematic and evidenced *regional interaction framework* for Guatemala. In **Section 3.1**, we discuss the construction and population of regional interaction frameworks. In **Section 3.2**, we present a revised hazards classification scheme for Guatemala. In **Section 3.3**, we use this scheme and additional evidence to populate two regional interaction frameworks, a 21×21 hazard interaction matrix completed for a national spatial extent (Guatemala), and a 33×33 hazard interaction matrix completed for a sub-national spatial extent (Southern Highlands of Guatemala). In **Section 3.4**, we use these frameworks and evidence from **Section 2** to illustrate and discuss multi-hazard interaction networks. In **Section 3.5**, we consider anthropogenic processes triggering hazards and catalysing interactions in Guatemala.

3.1 Guiding the Construction and Population of Regional Interaction Frameworks

In **Table 3**, we explore in the context of Guatemala six themes set out by Gill (2016) to guide the generation of regional interaction frameworks: *spatial scale, temporal scale, likelihood-magnitude relationships, selection and classification of natural hazards, identifying relevant hazard interactions,* and *visualisation style and user communities*. We integrate perspectives from hazard and civil protection professionals in Guatemala (from semi-structured interviews and the workshop, see **Sections 2.5** and **2.6)**. Professional organisations have an understanding of local culture, language and knowledge, and have the mandate to adapt interaction frameworks into suitable forms for other stakeholders (e.g., policy makers and communities).

3.2 Relevant Natural Hazards and Hazards Classification

Gill and Malamud (2014) propose a broad classification of 21 natural hazards, in six hazard groups (geophysical, hydrological, shallow Earth, atmospheric, biophysical, space). This, or an alternative, comprehensive classification can be adapted to develop a regionally specific classification, using available evidence. We use this approach to propose a detailed, location-specific classification of natural hazard types in Guatemala, building on evidence in **Section 2**. We begin by identifying which of the 21 natural hazards listed in Gill and Malamud (2014) are relevant in Guatemala, and sub-divide selected hazards where evidence supports an expanded classification. We present our evidenced classification

scheme in **Table 4**, including six hazard groups, 19 hazard types, and 37 hazard sub-types. We also include an indication of the evidence supporting this classification, using identifying letters **A**–**E** introduced in **Section 2.7**, and specific referenced publications and reports where appropriate. The 37 detailed natural hazard sub-types in **Table 4** helps to improve the detail by which we can characterise interactions in regional interaction frameworks (e.g., see **Section 3.3**).

Our classification is one way of grouping relevant natural hazards, with alternative classifications possible. Other natural hazard types may exist in Guatemala that have been missed from our classification, including those occurring less frequently or having a lesser impact than those we consider. We reduce the likelihood of missing key hazards by reviewing multiple evidence types to ensure a comprehensive and evidenced classification. We include 26 to 32 more hazard sub-types than existing regional interaction frameworks (e.g., Tarvainen *et al.*, 2006; Kappes *et al.*, 2010; Liu *et al.*, 2016). In addition to the 37 natural hazard sub-types in **Table 4**, we could also consider how a changing climate influences natural hazards (see McGuire and Maslin, 2012, for a full discussion), or other groups of processes, such as biological hazards (e.g., epidemics), technological hazards (e.g., structural collapse), and anthropogenic processes (e.g., vegetation removal). The latter are discussed in **Section 3.5**.

3.3 Guatemala Interaction Frameworks

Building upon the reflections in **Section 3.1**, and using the hazard classification in **Section 3.2** and evidence in **Section 2**, we now construct and populate interaction frameworks for two different spatial extents in Guatemala.

1. **National** spatial extent (**Section 3.3.1**). We produce a 21×21 interaction framework (matrix form), with 19 relevant hazards. We initially constrain interactions for a national spatial extent using the coarser hazard classification (21 hazard types).
2. **Sub-national** (Southern Highlands of Guatemala) spatial extent (**Section 3.3.2**). We produce an interaction framework (matrix form) using our classification of 37 hazard sub-types, giving a maximum of 37 primary and 37 secondary hazards. We use information from **Section 2** to: (a) explain and justify the selection of the Southern Highlands of Guatemala; (b) determine which of the 37 hazard sub-types are relevant in this spatial extent; and (c) adapt the 21×21 interaction framework to incorporate these hazard sub-types and populate this framework with relevant hazard interactions.

Both interaction frameworks use a matrix visualisation approach.

3.3.1 Guatemala National 21×21 Interaction Framework (Matrix Form)

To develop an interaction framework for the national spatial extent of Guatemala, we start with an existing 21×21 matrix (Gill and Malamud, 2014). From **Table 4** we identify that 19 of the 21 natural hazards in this matrix are relevant to

Guatemala. Using the evidence in **Section 2**, we systematically examine each matrix cell to consider whether an interaction is possible in Guatemala. We present our completed national-scale, regional interaction framework in **Figure 3**, with 21 primary natural hazards on the vertical axis (of which 19 are relevant), and the same 21 secondary (of which 19 are relevant) natural hazards on the horizontal axis. 50 (11%) of 441 cells are shaded, indicating 50 possible interactions. These include:

i. *Triggering Only*. 15 (30%) of the 50 interactions.

ii. *Increased Probability Only.* 5 (10%) of the 50 interactions.

iii. *Triggering and Increased Probability.* 30 (60%) of the 50 interactions.

The evidence types (**A**–**E**) supporting these 50 hazard interactions are outlined in **Supplementary Material (Table S5)**. We believe this to be the first national scale assessment of possible hazard interactions in the literature, with our approach being generalizable for other national contexts. We use **Table S5** to inform the development of an additional national-scale 21×21 matrix to communicate uncertainty regarding each interaction, also presented in the **Supplementary Material (Figure S5)**. This additional matrix demonstrates the importance of a multi-methods approach, integrating diverse evidence types to understand relevant hazard interactions. Analysing any one evidence type (**A**–**E**) would only identify a sample of relevant interactions. **Table S5** shows that 13 (26%) of 50 relevant interactions were identified in the workshop of civil protection professionals, 9 (18%) using civil protection bulletins, 28 (56%) using interviews with hazard professionals, and 32 (64%) using international literature. Developing comprehensive regional interaction frameworks requires multiple, diverse evidence types.

3.3.2 Guatemala Southern Highlands 33×33 Interaction Framework (Matrix Form)

We now proceed to develop a regional interaction framework for a sub-national spatial extent. Using physiography, we divide Guatemala into four spatial regions (1) low relief northern plateau, (2) Central Highlands, with deep valleys, (3) Southern Highlands, and (4) Pacific coastal plains, as indicated in **Figure 1**. In **Table 5**, we show the 37 hazard sub-types described in **Section 3.2** and use the evidence in **Section 2** (**A**–**E**) to characterise their spatial relevance in these four regions. More hazards are spatial relevant to the Southern Highlands of Guatemala than other regions in Guatemala. 33 (89%) of 37 possible hazard sub-types are possible in the Southern Highlands of Guatemala, compared with 26 (70%) to 27 (73%) of 37 hazard sub-types relevant in the other regions. The Southern Highlands is a region of variable topography between the Pacific Coast and the Polochic-Motagua-Chamalecón fault system. It incorporates the volcanic arc, with at least three active volcanic systems (Pacaya, Fuego and Santiaguito).

The 33 hazard sub-types relevant in the Southern Highlands are used as primary and secondary hazards in our regional interaction framework. This results in 1089 (33×33) possible interactions between these hazard sub-types. Using existing

global interaction frameworks (e.g., Gill and Malamud, 2014) and evidence in **Section 2**, we systematically examine each cell to determine if an interaction could or could not occur. In **Figure 4** we present this 33×33 sub-national interaction framework for the Southern Highlands of Guatemala. **Figure 4** includes 114 (10%) of 1089 cells shaded, indicating 114 possible interactions. These include:

i. *Triggering Only*. 26 (23%) of 114 interactions.

ii. *Increased Probability Only.* 15 (13%) of 114 interactions.

iii. *Triggering and Increased Probability.* 73 (64%) of 114 interactions.

The 114 interactions in **Figure 4** include interactions that occur over large and small spatial areas, with both high and low frequencies, and both high- and low-magnitude events. The temporal relevance of interactions in **Figure 4** may change, for example due to evolving anthropogenic activity (see **Section 3.5**) or environmental change. Interactions include some originating outside of the spatial region of interest, and others that may propagate outside. For example, (i) an earthquake north of the Southern Highlands may result in ground shaking, liquefaction, landslides and other secondary hazards inside the Southern Highlands, (ii) lahars triggered in the Southern Highlands may trigger flooding outside of the Southern Highlands, in the Pacific coastal plains, and (iii) large volcanic eruptions in the Southern Highlands can eject ash/tephra far beyond this extent. Characteristics of interactions (e.g., likelihood) are not included in **Figure 4**, but could be added as additional information layers if further research results were available.

3.4 Multi-Hazard Interaction Networks (Cascades)

In addition to one hazard triggering or increasing the probability of another hazard, longer linear or non-linear multi-hazard interaction networks (or cascades) can also occur (Han *et al.,* 2007; Choine *et al.,* 2015; Gill and Malamud, 2016; Pescaroli and Alexander, 2018). These networks include both high and low likelihood events, having diverse impacts. Ciurean *et al.* (2018) outline a range of methods for qualitatively and quantitatively characterising such multi-hazard interaction networks. For example, event scenario trees can be used to assess the probabilities of specific hazard cascades (Neri *et al.,* 2008, Neri *et al.,* 2013).

The evidence we have presented in **Section 2** includes many examples of interaction networks. For example, the internationally published literature characterising the 1976 M_w 7.5 Guatemala earthquake, clearly articulates a set of triggered hazards. After the earthquake there was multiple aftershocks and movement on other faults close to Guatemala City, as well as rapid subsidence or ground collapse (Espinosa, 1976; Plafker *et al.,* 1976). The earthquake triggered more than 10,000 landslides, rock falls and debris flows, blocking vital transport routes (Plafker *et al.,* 1976; Harp *et al.,* 1981) and blocking rivers to trigger upstream flooding (Plafker *et al.,* 1976; Harp *et al.,* 1981). Breaches of these landslide dams also resulted in further flooding (Harp *et al.,* 1981).

The civil protection bulletins characterised in **Section 2.3** also include several examples of networks in Guatemala. These include events with primary, secondary and tertiary hazards, as well as events reporting primary hazards changing the likelihood of future hazards. **Table 6** gives three diverse examples of networks derived from **Table S2**, demonstrating the complexity of hazard interaction networks in Guatemala. **Table 6** also includes a simple visualisation of each example, showing the range of hazards and interaction relationships:

i. Linear events where one primary hazard triggers one secondary hazard which triggers one tertiary hazard (*Example 1*).

ii. Multi-branch events where a primary hazard may trigger multiple secondary hazards, each triggering one or more tertiary hazards (*Example 2*).

iii. A primary hazard triggering and increasing the likelihood of multiple secondary hazards during a high-magnitude, complex event, replicated in multiple areas of Central America (*Example 3*).

Further examples of hazard interaction networks emerged from stakeholder interviews, including volcanic eruptions and heavy rain triggering lahars, which subsequently trigger floods. These networks can be visualised using interaction frameworks, as illustrated in **Figures 5** and **6**:

i. *Case Study 1 (**Figure 5**): Lahars triggered on the flanks of Santiaguito, which result in severe erosion and trigger flooding*. This example featured in evidence in **Sections 2.2**, **2.4** and **2.5**. It occurs annually in the rainy season, while Santiaguito is active and generating large volumes of tephra.

ii. *Case Study 2 (**Figure 6**): Hurricane Stan (2005) triggering a debris flow in the mountains adjacent to Lake Atitlán, with this debris flow triggering a tsunami, which caused a small lakeside flood*. This example featured in evidence in **Sections 2.2**, **2.4** and **2.5**. It occurs less frequently than Case Study 1, based on a specific event in 2005, Hurricane Stan (Luna, 2007).

The regional interaction frameworks we present in this paper can help to visualise case studies of cascades identified through various evidence types and identify *potential* networks, given a primary event. For example, given a large earthquake, the possible scenarios that may arise could be visualised using **Figures 3** and **4**, and evaluated by hazard professionals. Gill and Malamud (2016) outlined three reasons why the assessment and visualisation of possible interaction networks are of importance to both the theoretical and practical understanding of hazards and disaster risk reduction. These three reasons are as follows:

i. Assessing, managing and reducing disaster risk requires better modelling of the natural environment by moving from understanding discrete, independent events to matching the observed reality by including interaction networks.

ii. Identifying possible hazard interaction networks may allow improvements to disaster preparedness by better assessing how vulnerability will change during successive hazard events. Aspects of social and/or physical vulnerability may change following the occurrence of a specific natural hazard (e.g., volcanic eruption), before the triggered hazard (e.g., rain triggered lahars) occur.

iii. Understanding how hazard interaction networks are initiated and propagated may help determine how to invest resources to minimise disruption should a specific network of interacting hazards occur.

3.5 Anthropogenic Processes

In **Sections 3.2** to **3.4**, we primarily consider interactions between natural hazards; however, anthropogenic processes can also trigger natural hazards and influence natural hazard interactions (Glade 2003; Knapen *et al.*, 2006; Owen *et al.*, 2008; Gill and Malamud, 2017). Information on relevant anthropogenic processes can support hazard and civil protection professionals to evaluate how anthropogenic activity may trigger hazards and influence hazard interactions.

Using a classification of 18 anthropogenic processes (Gill and Malamud, 2017), and evidence from **Section 2**, we identify 17 relevant anthropogenic processes in Guatemala, listed in the **Supplementary Material Table S6**. Some of these processes are only relevant for small spatial extents (e.g., individual towns), with others more widespread (e.g., in many populated regions). **Table S6** includes the evidence (**A**–**E**) used to justify their relevance in Guatemala. Some anthropogenic processes feature multiple times within one evidence type. For example, four interviewees noted road construction (*Infrastructure Construction: Unloading*) and four noted deforestation (*Vegetation Removal*), in the context of triggering landslides. In contrast, only one interview participant mentioned groundwater abstraction as a potential trigger of subsidence.

The spatial and temporal relevance of these 17 anthropogenic processes will vary and could change over time. Anthropogenic processes can start and stop, and both grow and shrink in their spatial extent. The anthropogenic processes in **Table S6** should be regularly reviewed to assess their relevance and if other processes have started, and any consequences of this variation on natural hazards and hazard interactions. For example, increased road construction may change the likelihood of landslides during heavy rain.

3.6 Regional Interaction Framework Summary

We have integrated diverse evidence types regarding hazards and hazard interactions in Guatemala, and unified them in a formal structure, supported by expert knowledge. We have collated information on relevant single hazards and appropriate ways to classify these in Guatemala, and information on relevant hazard interactions. Using a comprehensive and systematic approach, we have constructed evidenced national and sub-national interaction frameworks in matrix form, considering hazard interaction networks and relevant anthropogenic processes. We have demonstrated that our approach

is scalable (with national and sub-national applications described) and therefore suggest that it is reproducible in diverse geographical contexts, and at multi-national to local scales. *Regional Interaction Frameworks* provide a comprehensive overview of potential hazard interactions that allow agencies responsible for hazard monitoring and response to assess if current disaster risk reduction and response strategies, and communication and collaboration mechanisms, can be enhanced to recognise the complexity represented.

4 Discussion

In this discussion section, we first set out how the approach to constructing regional interaction frameworks we have developed in this paper can be replicated and scaled in diverse settings (**Section 4.1**). We proceed to explore how regional interaction frameworks can be used to enhance understanding of multi-hazard interactions (**Section 4.2**) and opportunities to enhance regional interaction frameworks through new research and practice (**Section 4.3**).

4.1 Scalability and Relevance of Regional Interaction Frameworks for Disaster Risk Reduction

The interdisciplinary, multi-method approach we have set out in **Sections 1** to **3** is scalable and can be applied in diverse geographical settings to generate a comprehensive, systematic, evidenced review of potential hazard interactions. A synthesis of available evidence in any given context (e.g., multi-national, national, sub-national) is necessary to underpin the construction of regional interaction frameworks. Our approach first develops an extensive location-specific hazard classification, and then populates a customised matrix with information about relevant hazard interactions. This contrasts with many existing studies of multi-hazards which are often focused on the layering of single hazards but not looking at the potential interactions. When potential hazard interactions are considered, most studies are not systematic and are selective about which hazards they include. The studies often do not describe the evidence for including/excluding certain hazards or interactions between hazards. The regional interaction frameworks we present in **Section 3** include 21 to 33 natural hazards (compared to 6 to 11 natural hazards in the examples summarised in **Table 1**).

Other countries in Central America (e.g., Nicaragua, El Salvador, Costa Rica) have similarities to Guatemala in their multi-hazard landscape. Their national interaction frameworks would likely be similar, although not identical, to Guatemala. Interaction frameworks for other countries may look very different, shaped by the tectonic and meteorological setting. Regional interaction frameworks can also be developed for sub-national scales, including large geographical domains, municipalities, or localised sites important to the development of critical infrastructure.

We propose that comprehensive, systematic and evidenced regional interaction frameworks can improve awareness of complex multi-hazard landscapes and assessment of potential networks of hazard interactions, thus informing disaster risk reduction and response strategies. Detailed and evidenced reviews of multi-hazard interactions are a fundamental

first step to understanding the complexity of the multi-hazard landscape and therefore understanding risk (Sendai Framework for Disaster Risk Reduction, Priority for Action 1). In particular, regional interaction frameworks can be a powerful tool for scenario discussions between hazard managers and those responsible for single hazard preparedness and response. Through sitting down and discussing together the potential multi-hazard scenarios that may occur decisions can be made about the preparedness steps required and how different actors would work together to respond. It may be possible to indicate which scenarios have a high-likelihood vs. low-likelihood, and which could have a large impact vs. small impact. When the regional interaction frameworks were used by us in this way in Guatemala during a visit in 2018, some participants questioned the inclusion of particular hazards and/or hazard interactions in the interaction frameworks (e.g., landslides triggering tsunamis). Following discussion of the evidence used to populate the matrix for this scenario, participants reported changes in opinion about the relevance of these interactions and their need for inclusion within planning.

Further examples of how the information within *regional interaction frameworks*, and generated scenarios, can be used by agencies responsible for hazard monitoring, DRR and disaster response are as follows:

- *Scenarios to ensure hazard preparedness and disaster response systems are effective.* The occurrence of one hazard (e.g., a volcanic eruption) may result in the movement of people or assets to another region. Ensuring comprehensive awareness within decision-making agencies of how this hazard has changed the likelihood of other hazards (e.g., lahars, landslides) is necessary to ensure exposure and vulnerability of displaced people is not increased. Developing and discussing scenarios of triggered hazard scenarios, particularly with diverse single-hazard actors all taking part in the discussion, can help explore dynamic vulnerability between successive hazard events, and the steps needed to prevent compounding impacts.

- *Scenarios as an aid for land-use planning.* Urban development is growing in many parts of the world, with cities expanding rapidly. We believe these regional interaction frameworks can be used as scenarios by land-use planners to be much more aware of the multi-hazard landscape and interaction networks, and bring this into their planning. These frameworks can help inform urban planning by creating scenarios where there are potential for interactions between spatially overlapping or contiguous hazards. This can then help to ensure risk is not underestimated and build effective hazard management plans that consider potential cascades of hazards. For example, an underground transport system may need to consider how an earthquake triggering subsidence would affect its susceptibility to groundwater flooding.

- *Educational and preparedness messages delivered to communities.* Many communities are exposed to multiple hazards. Understanding the physical processes that underpin these hazards, and the steps they can take to reduce their risk is acknowledged as important within the Sendai Framework guiding principles (UNISDR, 2015).

Building awareness through multiple separate communications, about individual hazards, may result in confusion, fatigue, or missed opportunities to benefit from synergies in preparedness strategies. A regional interaction framework provides professionals responsible for public education and preparedness with a comprehensive list of possible hazards, and a tool through which scenarios of interaction networks can be identified and discussed with those at risk. The regional interaction framework matrices provide a visualization tool for more effective discussions and communications with these at risk communities. When sharing household or individual preparedness steps that could help to reduce vulnerability to one hazard, additional consideration can be given to make sure they don't increase vulnerability to other hazards.

Failing to consider multi-hazard interactions can therefore lead to the distortion of management priorities, increased vulnerability to other spatially relevant hazards, overwhelming a community with multiple and sometimes conflicting hazard management strategies for multiple hazards, or an overall underestimation of risk (Tobin and Montz, 1997; ARMONIA, 2007; Kappes *et al.,* 2010; Budimir *et al.,* 2014; Mignan *et al.,* 2014; Gill and Malamud, 2014). Regional interaction frameworks are a valuable informational compilation and visualization tool for (i) raising awareness of the complexities of the multi-hazard environment, and (ii) extracting and discussing potential scenarios of multi-hazard interaction networks to explore how exposure and vulnerability may change between successive hazard events.

4.2 Using Regional Interaction Frameworks to Enhance Awareness of Multi-Hazard Interactions

Hazard interactions cut across multiple disciplines and so require input from diverse specialisms (Kappes *et al.,* 2012; Scolobig *et al.,* 2013; Scolobig *et al.,* 2017). Interaction frameworks could therefore help to facilitate enhanced cross-institutional dialogue about hazard interactions, their likelihoods and potential impacts. This could help to strengthen collective knowledge of hazard interactions, and the ability of an individual to access this knowledge. By contrasting results from our workshop (**Figure 2**) with our Guatemala national interaction framework (**Figure 3**), we can examine and quantify congruence between the two matrices. **Figure 7** is a 21×21 interaction matrix that combines **Figures 2** and **3** to indicate the number of workshop participants (from a total of 16) that identified an interaction as being relevant to Guatemala (numbers), and the interactions identified within our national interaction framework (grey shading, from **Figure 3**).

Figure 7 combines information and knowledge from 16 participants to present something that is 'owned' by no individual. It is collective knowledge, combining information and knowledge owned by multiple people (Antonelli, 2000). We do not expect an individual scientist or hazard professional to map out all relevant interactions. Assessing how an organisation rather than an individual understands interactions demonstrates their collective knowledge. For this knowledge to be truly collective there must be effective communication between participants, and a means by which this knowledge can be accessed, shared and applied (Foray, 2000; Antonelli, 2000; Paton *et al.,* 2008).

Multi-hazard research is complex, and requires scientists and professionals operating in many different disciplines. **Figure 7** demonstrates large variation in perspectives between participants on hazard interactions. There is a unanimous consensus (i.e., 16 participants) that an interaction exists in two (0.5%) of 441 possible triggering interactions. To assess congruence between the participants' perspectives (numbers in **Figure 7**) and national interaction framework (grey shading in **Figure 7**), we use Matthews' Correlation Coefficient, or *MCC* (Matthews, 1975). *MCC* values are a function of true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN) and can be expressed as follows (Matthews, 1975; Powers, 2011):

$$MCC = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}} \qquad (\mathbf{Eq.\ 1})$$

The *MCC* gives a value of congruence between '−1.0' (zero overlap between the numbers and grey shading in **Figure 7**) and '+1.0' (perfect overlap between the numbers and grey shading in **Figure 7**). An *MCC* = 0.0 suggests that the amount of congruence is no better than a random average (Kaufmann *et al.*, 2012). We use two different approaches:

i. *All identified interactions*. Where ≥1 people note an interaction to be relevant, we consider this part of the group's collective knowledge. From **Figure 7** we identify 86 interactions identified by the 16 workshop participants. This compares to 50 interactions in the national framework, **Figure 3**.

ii. *Interactions identified by '≥ x' participants.* A threshold could be applied, in terms of the number of participants identifying a given natural hazard interaction. Only those interactions that reach or exceed this threshold are considered. We select thresholds of ≥3 and ≥5 participants (out of 16 workshop participants) identifying an interaction as being relevant. From **Figure 7** we identify 32 and 19 possible interactions for these respective scenarios. These thresholds demonstrate a method for considering what constitutes collective knowledge, but others could be selected.

Using three thresholds (≥1, ≥3, ≥5 participants), we calculate Matthews' Correlation Coefficients (*MCC*) using **Eq. 1**. These thresholds are selected arbitrarily to demonstrate how this approach could be adjusted to remove those interactions only volunteered by one (or a small number of) professionals, thus acting as a form of quality control. Other thresholds could be used. Coefficients for thresholds ≥1, ≥3, and ≥5 participants are presented in **Table 7** and are *MCC* = 0.28 when all interactions are considered (≥1), improving to *MCC* = 0.51 with a threshold of ≥3 participants and *MCC* = 0.49 with a threshold of ≥5 participants noting an interaction. Applying a threshold of ≥3 (vs. ≥1) people identifying an interaction has a slight influence on the number of true positives (22 vs. 24 interactions) but significantly reduces the number of false positives (10 vs. 62 interactions). Using a sensitivity test, where the number of TP and TN are varied by +1, *MCC* changes by 0.02 for each additional TP and 0.01 for each additional TN. For example, a participant identifying 12TP and 374TN

will have an MCC = 0.25, whereas a participant identifying 13TP and 375TN will have an MCC = 0.28 (=0.25+0.01+0.02).

Matthews' Correlation Coefficient is a simple indicator of agreement, which we use to examine differences between stakeholder perspectives and our national interaction framework (**Figure 3**). When applying a small threshold (≥3 people agreeing on a given interaction) to determine which interactions were analysed, the collective knowledge of 16 participants generated the closest agreement to the national interaction framework (*MCC* = 0.51). This *MCC* is based on 22 (44%) of 50 interactions in **Figure 3** being identified by ≥3 participants, and therefore 28 (56%) of 50 interactions that ≤2 participants identified in the workshop. Of these 27 interactions identified by ≤2 participants, nobody identified 25 different interactions. These results suggest the following:

- *Enhanced communication within and across organisations involved in natural hazards and DRR in Guatemala could help when considering hazard interactions.* When co-created by diverse stakeholders, interaction frameworks can help to facilitate communication across specialisms engaged in hazard monitoring and civil protection. Interaction frameworks could also help elicit additional information to characterise interactions, such as which are most likely to occur and which could cause the greatest damage about interaction likelihoods and impacts. Ensuring that collective understanding of hazard interactions is operationalised to greatest effect will require strong institutions, and cross-departmental and cross-disciplinary communication (Scolobig *et al.*, 2017).

- *National and sub-national interaction frameworks could promote dialogue on both high- and low-likelihood events.* Interactions in the national interaction framework (**Figure 3**) include some low-likelihood hazard interactions, such as impact events triggering tsunamis, and storms triggering (meteo)tsunamis. Workshop participants may not consider low-likelihood events due to lack of access to peer-review literature. Only 5 of the 21 interview participants (**Section 2.5**) had access to, or regularly used, peer-review journals. Interview participants predominantly relied on experience and communication with colleagues for further information on natural hazards and interactions.

- *We can use MCC values to monitor changing awareness and perceptions of natural hazard interactions. MCC* values can be determined before interaction frameworks are introduced into an organisation, and then recalculated weeks, months, or years after individuals have explored, discussed and used them in their work.

The results of this exercise demonstrate that there are knowledge gaps that the development of comprehensive and evidenced frameworks of interactions could help to address, and provides a tool that could help to monitor changes in awareness of hazard interactions over time.

4.3 Future Research and Practice to Enhance Regional Interaction Frameworks

We have set out an approach in **Sections 1** to **3** that integrates diverse evidence sources from the natural and social sciences through a visual database to give a comprehensive, systematic, and evidenced review of the multi-hazard interactions for a regional spatial extent. We believe this approach builds on and enhances existing forms of regional interaction framework, such as those described in **Table 1**. Additional research can further enhance regional interaction frameworks (**Section 4.3.1**), as can better understanding how to embed research outputs into relevant agencies through meaningful stakeholder dialogue (**Section 4.3.2**). Engagement with hazard and civil protection professionals, academics, the private sector and intergovernmental organisations in Guatemala informed our development of regional interaction frameworks. Understanding stakeholder requirements (e.g., terminology, spatial scales and temporal scales) helps to ensure that frameworks are fit-for-purpose. Draft results were discussed with many of these stakeholders in Guatemala in 2018, prior to publishing. We shared our interaction frameworks through seminars, roundtable discussions and interviews to document perspectives on (i) the structure and content of the interaction frameworks, (ii) use of the interaction frameworks, and (iii) future research and innovation opportunities. We highlight some of the common themes in the following two sub-sections.

4.3.1 Future Research Directions

Three broad areas where additional research could help to enhance regional interaction frameworks include (i) expanding the range of interaction types considered, (ii) increasing the number of layers within regional interaction frameworks to better characterise interactions, and (iii) quantifying more complex scenarios derived from regional interaction frameworks.

In the regional interactions frameworks we have developed, we have particularly focused on triggering and increased probability interaction types, and the way in which these can connect to form multi-hazard interaction networks. Other interaction types are also important and emphasised in the Sendai Framework, notably where hazardous events occur simultaneously or cumulatively over time. Additional literature searches, fieldwork, data interrogation and/or stakeholder engagement could be used to document particular physical and social impacts of two or more independent hazards occurring simultaneously or consecutively in a region of interest (e.g., the near simultaneous eruption of Volcán de Pacaya and Tropical Storm Agatha in Guatemala in 2010). Examining the impacts of simultaneous or consecutive events on physical infrastructure, response systems, and community wellbeing could identify particular strengths or weaknesses where investment or capacity strengthening could help to reduce vulnerability to the broad multi-hazard landscape (de Ruiter *et al.*, 2018).

A second stream of research that could enhance regional interaction frameworks is the development and inclusion of additional layers of information such as how often each interaction occurs, possible thresholds, likelihoods and scales of

impact. For each interaction, understanding the frequency-magnitude of occurrence and the range of potential impacts would involve the collation of additional and extensive evidence. We previously noted that some of this information could be elicited from diverse stakeholders, including through forensic studies of past and ongoing disasters to generate new insights into potential impacts. A 'multi-hazards observatory' could also enable the collection of diverse data to better characterise these layers of information. Information to characterise multi-hazard interactions would help to inform decision making about which interactions primarily need to be addressed to reduce disaster risk.

Building on the enhanced characterisation of potential interactions outlined above, a third stream of research is the quantification of more complex scenarios (interaction networks) derived from regional interaction frameworks. There is a gap for more modelling of real multi-hazard situations, involving multiple natural hazard types, anthropogenic processes, and a range of interaction types. A review of multi-hazard literature completed by Ciurean *et al.* (2018) highlighted that much of the current literature described simulated environments for a limited number of hazard and interaction types. This is potentially due to challenges in access to the data needed to characterise these complex multi-hazard environments, and the need to integrate data from difference disciplines. One approach to collate relevant data and improve the characterisation of hazard interactions is to use an online wiki-style system where relevant papers, datasets, and assessments of frequency-magnitude can be uploaded.

Furthermore, interaction frameworks can also be used as a tool to *guide* future research priorities, by determining where there is a lack of evidence and/or understanding of certain interactions. For example, in the context of the frameworks developed in **Section 3** for Guatemala, there were conflicting statements by stakeholders about the potential for both seismic and landslide-triggered tsunamis in the Pacific Ocean and lake systems. Further research about the history and impact of hazards in Central America could therefore be suggested as a priority to better inform the regional interaction framework.

4.3.2 Embedding and Enhancing Regional Interaction Frameworks through Stakeholder Dialogue

Embedding regional interaction frameworks into key agencies responsible for hazard monitoring, disaster risk reduction, and disaster response can contribute to improved decision making by having a more holistic understanding of the multi-hazard landscape. Interaction frameworks are a visual synthesis of diverse knowledge, traditionally 'owned' by diverse disciplinary groups. They can help to enhance awareness of the spectrum of hazards and hazard interactions in a given territory, and strengthen communication across disciplinary boundaries. Interaction frameworks allow those undertaking research into any particular single hazard to place their work within the context of other natural hazards, thus fostering communication between hazard specialists and encouraging a more interdisciplinary approach. When reviewing the draft regional interaction frameworks for Guatemala, one interview participant noted that (translated from Spanish) '*sometimes knowledge is in a head, but now it is in a visual summary* [that can be used by a range of people]'.

One future step to help embed regional interaction frameworks into decision making is to consider the scale of spatial extent for which they are prepared. Many participants suggested that municipalities are the preferred scale of interest for further multi-hazard tools. Guatemala currently has 340 municipalities, across 22 Departments. The emphasis on municipalities likely arises from the political context in Guatemala, with municipal authorities being the final users of information. Other stakeholders noted that it may not be most effective (or efficient) to produce municipal-scale hazard assessments as hazards cross municipal, departmental, and national boundaries. Tools can therefore be prepared at scales that both provide useful information to those working at a municipal scale and recognise the artificial nature of these boundaries. Tools that allow the spatial representation of information in **Section 3** could facilitate this, seeing both municipal perspectives and cross-border challenges. A GIS tool allowing the creation of municipal multi-hazard risk maps was a high priority of stakeholders, allowing the identification of hazard hotspots, improved disaster preparation (e.g., evacuation routes), and enhanced response through improved communication of potential secondary hazards. Spatial representation of information could help to identify regions where secondary hazards are more likely after a primary hazard, and the assessment of disaster impacts, including those generated through secondary hazards, by overlay of exposure and multi-hazard maps.

Participants also noted specific ways that they could use regional interaction frameworks in their ongoing work. INSIVUMEH, CONRED and UN-OCHA indicated that they could use interaction frameworks as reference tools to strengthen preparedness and response to hazards. CONRED suggested they could integrate secondary hazards information into their public information bulletins and requested blank matrices to complete for specific high-risk municipalities. Finally, universities indicated that they would use this research and our systematic classification of hazards in Guatemala in their teaching. Fully realising the impact of regional interaction frameworks, and ensuring positive social impact, will require sustained collaborative engagement with user communities. The potential developments and applications outlined through **Section 4.3** would support the embedding and operationalisation of this research in Guatemala with the lessons learned helping other regions and the wider hazard/disaster risk community.

5 Conclusions

Understanding and characterising the multi-hazard landscape of a region directly supports the implementation of the Sendai Framework (UNISDR, 2015). In this paper, we have addressed three research questions, originally outlined in **Section 1**:

1. *For a defined spatial region, how does one construct and populate a synthesis of all relevant potential natural hazard interactions using blended sources of evidence for past case histories and theoretical future possibilities from that region's characteristics?*

2. *How do interactions documented in the literature contrast with the knowledge of hazard/civil protection professionals operating in the region?*
3. *What are the implications of our regional interaction frameworks for multi-hazard methodologies to support disaster risk reduction, management and response?*

We develop and describe an approach to understand the multi-hazard landscape through comprehensive, systematic and evidenced regional interaction frameworks. We apply this approach in Guatemala, generating regional interaction frameworks for the national spatial extent of Guatemala and sub-national spatial extent of the Southern Highlands of Guatemala. Five evidence types (internationally accessible publications and reports, locally accessible civil protection bulletins, field observations, semi-structured stakeholder interviews, and a stakeholder workshop) underpin the construction and population of these frameworks. We use this evidence to:

i. *Determine an appropriate classification scheme.* For Guatemala, this consists of six natural hazard groups, 19 hazard types, and 37 hazard sub-types.

ii. *Identify potential natural hazard interactions.* For a national spatial extent in Guatemala, we identify 50 possible interactions between 19 relevant natural hazard types. For the Southern Highlands of Guatemala, we identify 114 possible interactions between 33 relevant natural hazard sub-types.

Interaction frameworks can help to improve understanding of the multi-hazard landscape of a given region and potential scenarios of multi-hazard interaction networks. We present information in accessible visualisations, primarily interaction matrices. The use of accessible visualisation tools, such as matrices, to represent complex hazard interactions contributes to knowledge exchange across different disciplines. We demonstrate through *Matthews' Correlation Coefficient,* a simple indicator of agreement, that there are many differences between stakeholder perspectives and our national interaction framework. The development of comprehensive and evidenced frameworks of interactions could help to increase awareness of multi-hazard interactions, and strengthen communication between different stakeholders so as to improve collective knowledge. They could also be used as a tool to monitor changes in understanding of hazard interactions over time.

Our approach allows those working on any individual hazard in Guatemala to place their work within the context of other natural hazards. When taking draft regional interaction frameworks back to Guatemala, we observed them fostering communication between hazard specialists and encouraging integrated multi-hazard approaches to DRR. We believe our approach is scalable and can be replicated in diverse geographical settings. While examples of regional interaction frameworks exist in the literature, these often do not include a systematic assessment of possible natural hazards and interactions for a defined spatial extent.

By integrating diverse evidence types, we have developed an approach that constrains relevant interactions between a

comprehensive selection of natural hazards, simplifying a broad array of complex information to facilitate an effective analysis by those working on reducing and managing the risk from natural hazards within both policy and practitioner sectors. We believe our approach can support the scientific community to construct more evidenced and detailed profiles of relevant interactions for diverse user groups, identify and explore multi-hazard interaction scenarios and how they may result in changes to exposure and vulnerability (potentially exacerbating risk), as well as extract locally-specific research and innovation gaps.

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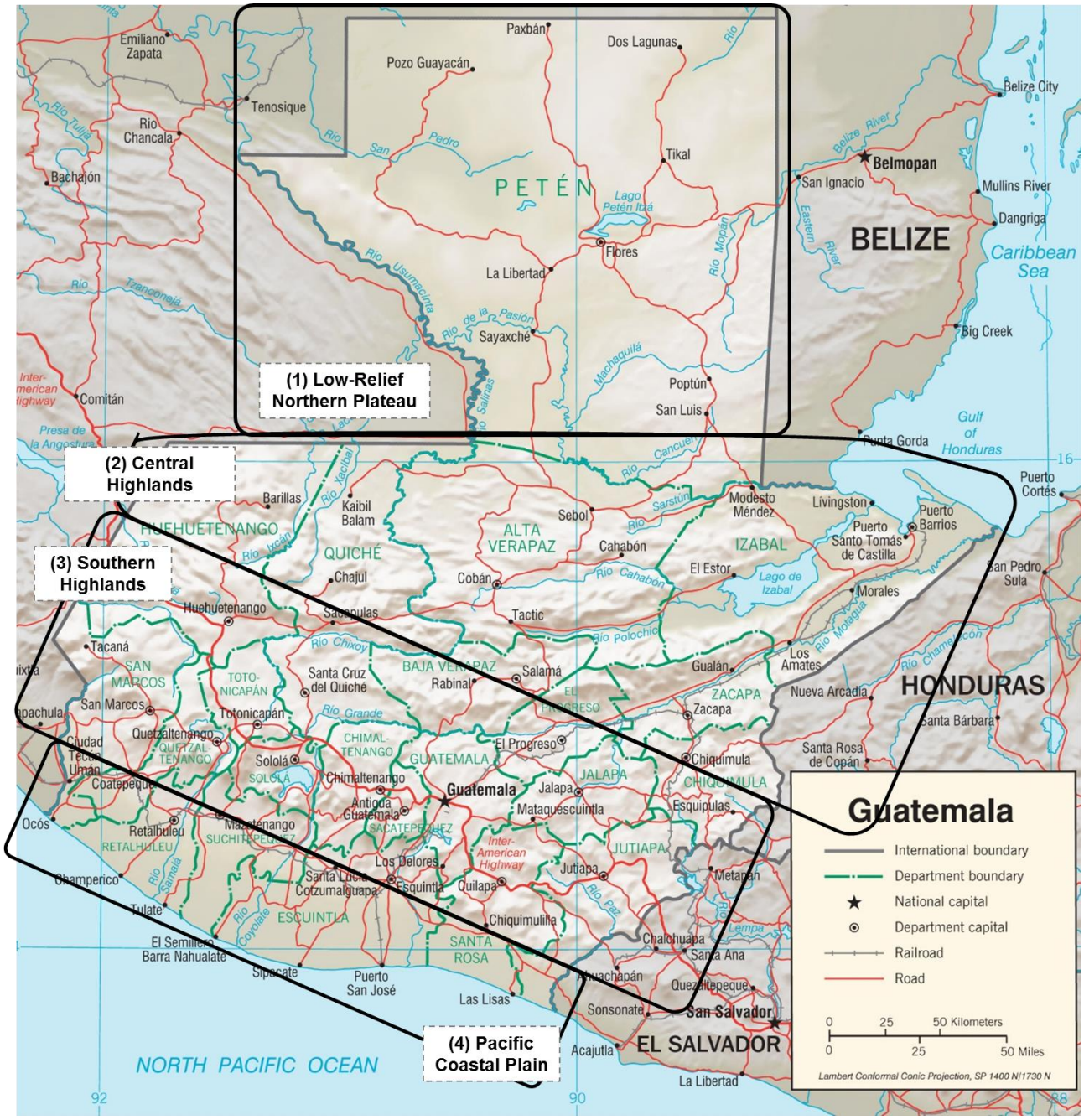


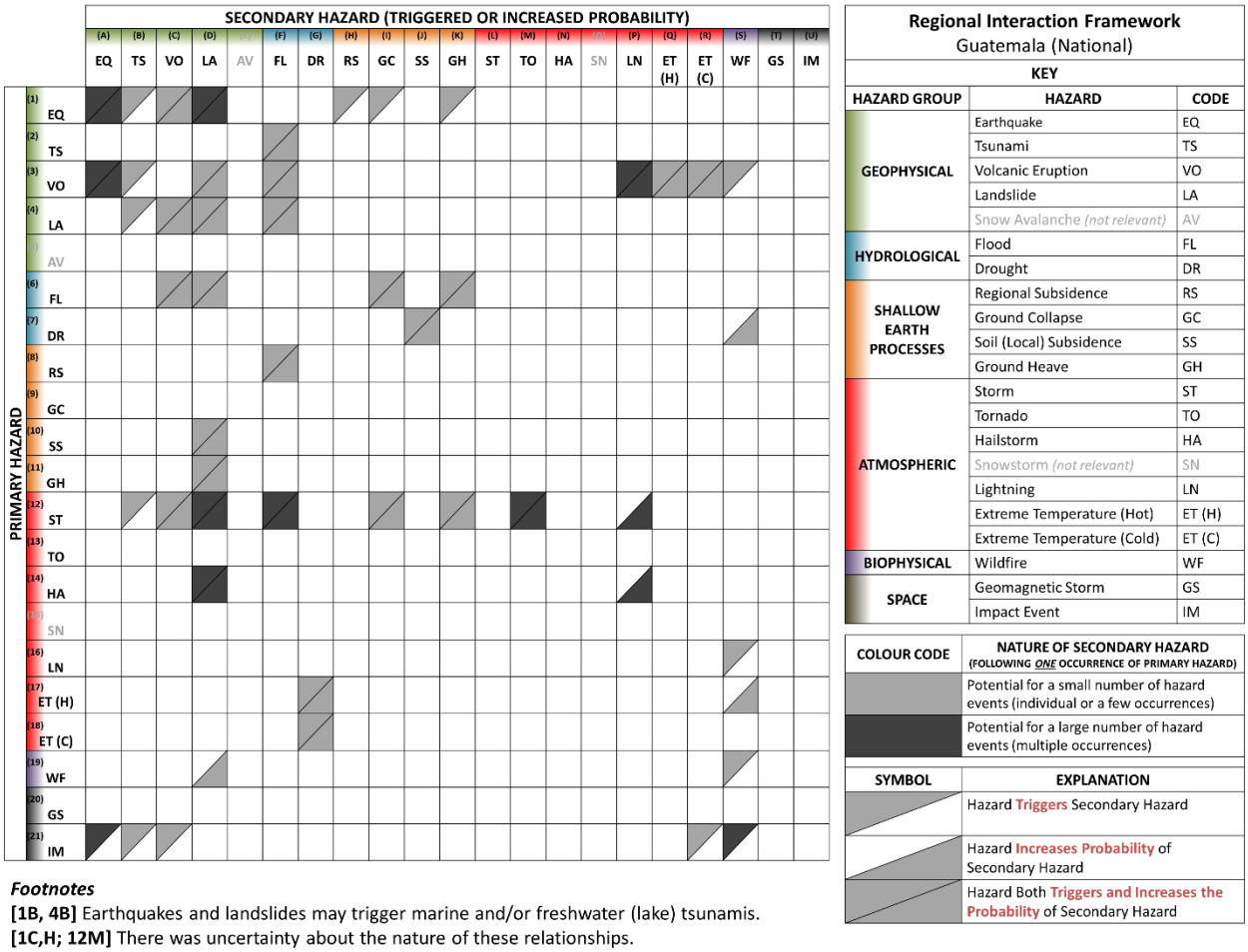
Figure 1. Guatemala Map: Key Locations and Physiography (CIA, 2001), using CIA Base 802723AI (C00113) 12-00. A combined political and physiographic map of Guatemala, showing differential relief (greyscale shading), Departmental boundaries (green lines and text), key locations (black text), rivers (blue lines and text) and roads (red lines). We group Guatemala into four broad regions (1–4) based on physiography. We refer particularly to the Southern Highlands (Region 3) throughout this paper.

PRIMARY HAZARD \ SECONDARY HAZARD (TRIGGERED OR INCREASED PROBABILITY)	(A) EQ	(B) TS	(C) VO	(D) LA	(E) AV	(F) FL	(G) DR	(H) RS	(I) GC	(J) SS	(K) GH	(L) ST	(M) TO	(N) HA	(O) SN	(P) LN	(Q) ET (H)	(R) ET (C)	(S) WF	(T) GS	(U) IM
(1) EQ	5	11	4	16	2	2		7	8	8	13	1							1		
(2) TS						4															
(3) VO	8	2		7	1			2	1	1	1								4		
(4) LA					1			1	1	1	3										
(5) AV																					
(6) FL							1			1	1										
(7) DR						1		1					1						5		
(8) RS									1	1	1										
(9) GC						1		1		1	2										
(10) SS								2	1		1								1		
(11) GH	2							1	2	2											
(12) ST				12	1	16		3	9	5	5			3		4					
(13) TO						1						1				1					
(14) HA					1							1									
(15) SN					1							1									
(16) LN																			8		
(17) ET (H)							12						1	1					11		
(18) ET (C)					1							1	1	6	4						
(19) WF				1			3														
(20) GS																	3		2		
(21) IM	3			1				3	1	1	2								3		

KEY		
HAZARD GROUP	**HAZARD**	**CODE**
GEOPHYSICAL	Earthquake	EQ
	Tsunami	TS
	Volcanic Eruption	VO
	Landslide	LA
	Snow Avalanche *(not relevant)*	AV
HYDROLOGICAL	Flood	FL
	Drought	DR
SHALLOW EARTH PROCESSES	Regional Subsidence	RS
	Ground Collapse	GC
	Soil (Local) Subsidence	SS
	Ground Heave	GH
ATMOSPHERIC	Storm	ST
	Tornado	TO
	Hailstorm	HA
	Snowstorm *(not relevant)*	SN
	Lightning	LN
	Extreme Temperature (Hot)	ET (H)
	Extreme Temperature (Cold)	ET (C)
BIOPHYSICAL	Wildfire	WF
SPACE	Geomagnetic Storm	GS
	Impact Event	IM

SYMBOL	EXPLANATION
12	Number of workshop participants (*n=16*) identifying interactions as relevant in Guatemala using hazard linkage diagrams (example below)

Figure 2. Stakeholder identification of possible hazard interactions in Guatemala, using network linkage diagrams produced by 16 civil protection professionals in Guatemala. A 21 × 21 matrix with primary hazards on the vertical axis and secondary hazards on the horizontal axis. These hazards are coded, as explained in the key. Numbers indicate the number of stakeholders (from a maximum of 16) proposing each hazard interaction as being possible in Guatemala. This information was collected using blank network linkage diagrams for 21 hazards during a workshop in Guatemala on 6 March 2014. The workshop is described in **Section 2.6**, and all images from the workshop are included in the **Supplementary Material (Figures S1 and S2)**.



Regional Interaction Framework
Guatemala (National)

KEY

HAZARD GROUP	HAZARD	CODE
GEOPHYSICAL	Earthquake	EQ
	Tsunami	TS
	Volcanic Eruption	VO
	Landslide	LA
	Snow Avalanche *(not relevant)*	AV
HYDROLOGICAL	Flood	FL
	Drought	DR
SHALLOW EARTH PROCESSES	Regional Subsidence	RS
	Ground Collapse	GC
	Soil (Local) Subsidence	SS
	Ground Heave	GH
ATMOSPHERIC	Storm	ST
	Tornado	TO
	Hailstorm	HA
	Snowstorm *(not relevant)*	SN
	Lightning	LN
	Extreme Temperature (Hot)	ET (H)
	Extreme Temperature (Cold)	ET (C)
BIOPHYSICAL	Wildfire	WF
SPACE	Geomagnetic Storm	GS
	Impact Event	IM

COLOUR CODE	NATURE OF SECONDARY HAZARD (FOLLOWING *ONE* OCCURRENCE OF PRIMARY HAZARD)
	Potential for a small number of hazard events (individual or a few occurrences)
	Potential for a large number of hazard events (multiple occurrences)

SYMBOL	EXPLANATION
	Hazard **Triggers** Secondary Hazard
	Hazard **Increases Probability** of Secondary Hazard
	Hazard Both **Triggers and Increases the Probability** of Secondary Hazard

Footnotes

[1B, 4B] Earthquakes and landslides may trigger marine and/or freshwater (lake) tsunamis.
[1C,H; 12M] There was uncertainty about the nature of these relationships.
[1I,K] Earthquakes may trigger collapse/heave primarily through liquefaction.
[3B] Volcanic explosions may trigger freshwater tsunamis in the Lakes of Guatemala.
[3Q/R] Volcanic eruptions can trigger temperature changes if they are of sufficient magnitude.
[6,12C] Water input triggers or increases the probability of a phreatic/phreatomagmatic eruption.
[8F] Although regional subsidence triggering flooding was not noted in any evidence sources consulted, this is an inevitable consequence of the lowering of the ground surface.
[12B] Pressure changes associated with storms may trigger meteotsunamis in marine environments.
[21A-C,R,S] Identified as being generally possible, supported by globally-relevant literature rather than location-specific evidence.

Figure 3. National Interaction Framework for Guatemala. A 21×21 matrix with 21 primary natural hazards on the vertical axis, and 21 secondary natural hazards on the horizontal axis. Interactions (shaded cells) include primary hazards triggering a secondary hazard, and primary hazards increasing the probability of a secondary hazard. This matrix is populated using different evidence types, as outlined through **Section 2**. Visualisation structure based on Gill and Malamud (2014). We also include an additional matrix in the **Supplementary Material (Figure S5)** showing the number of evidence types used for each hazard interaction pairing when populating **Figure 3**.

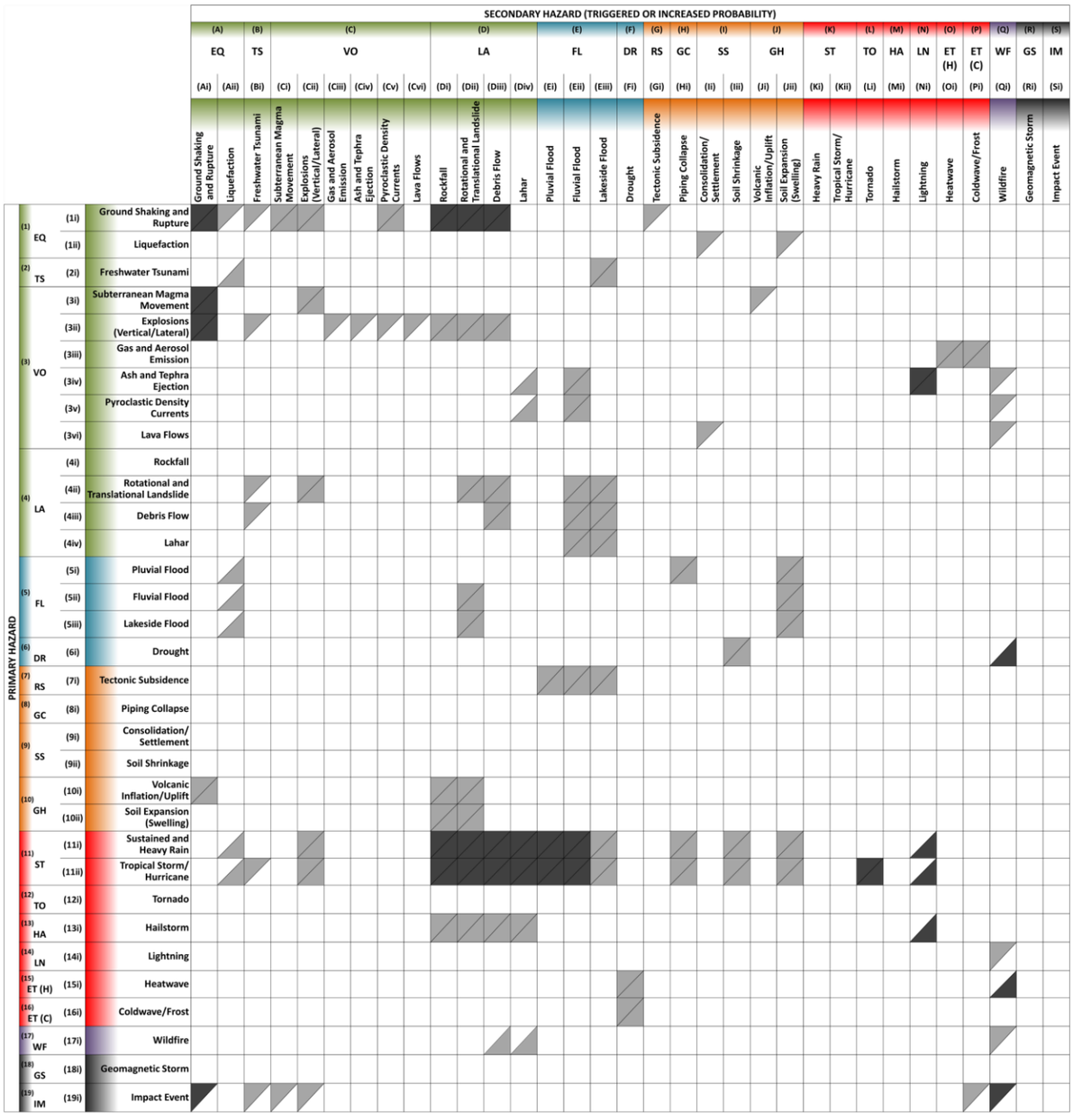


Figure 4. Southern Highlands (Sub-National) Interaction Framework, Guatemala. A 33×33 matrix with 33 primary natural hazard sub-types on the vertical axis, and 33 secondary natural hazard sub-types on the horizontal axis. Interactions (shaded cells) include primary hazards triggering a secondary hazard, and primary hazards increasing the probability of a secondary hazard. This matrix is populated using different evidence types, as outlined through **Section 2**. The symbols and coding are the same as **Figure 3**, and we direct the reader to the key in that figure.

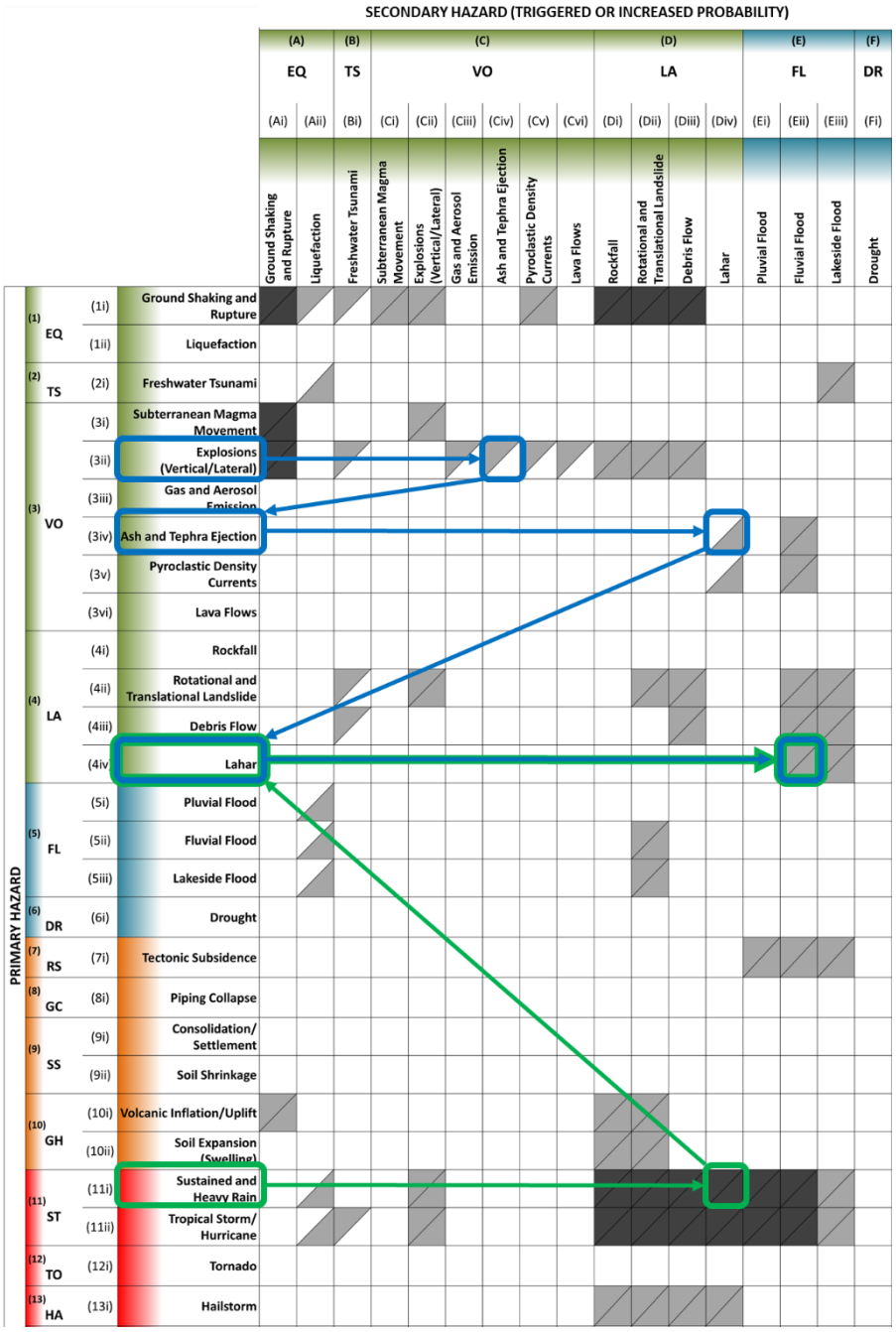


Figure 5. Network of Hazard Interactions (Example 1), Southern Highlands, Guatemala. A 26 × 17 extract of the 33×33 sub-national interaction framework presented in **Figure 4**, with an example of a network of hazard interactions (cascade). This example shows (i) volcanic explosions triggering the ejection of ash and tephra, (ii) ash and tephra increasing the likelihood of lahars, (iii) heavy rain (together with the existing tephra and ash) combining to trigger a lahar. (iv) lahars triggering flooding. Evidence for this network is stated in the text.

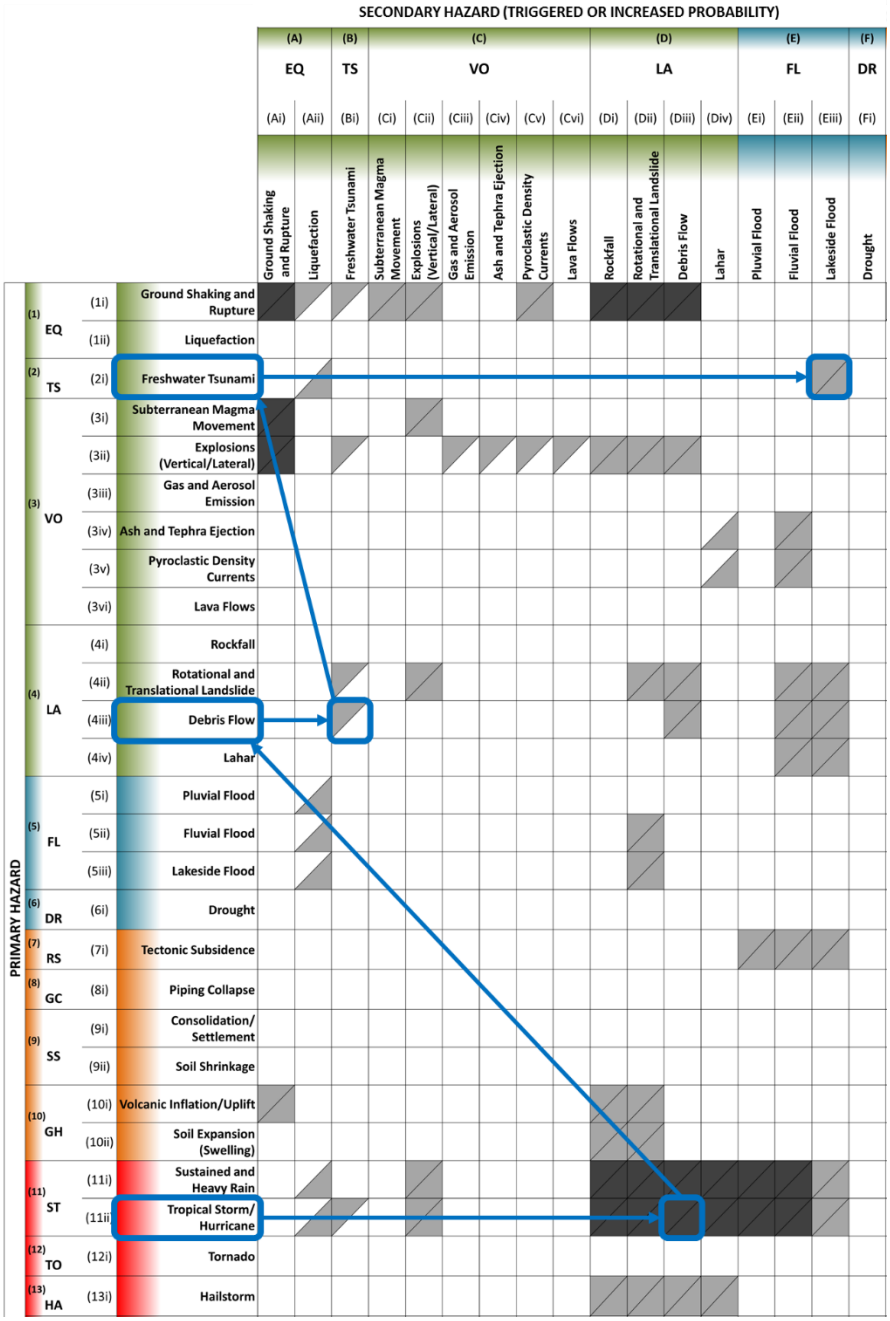
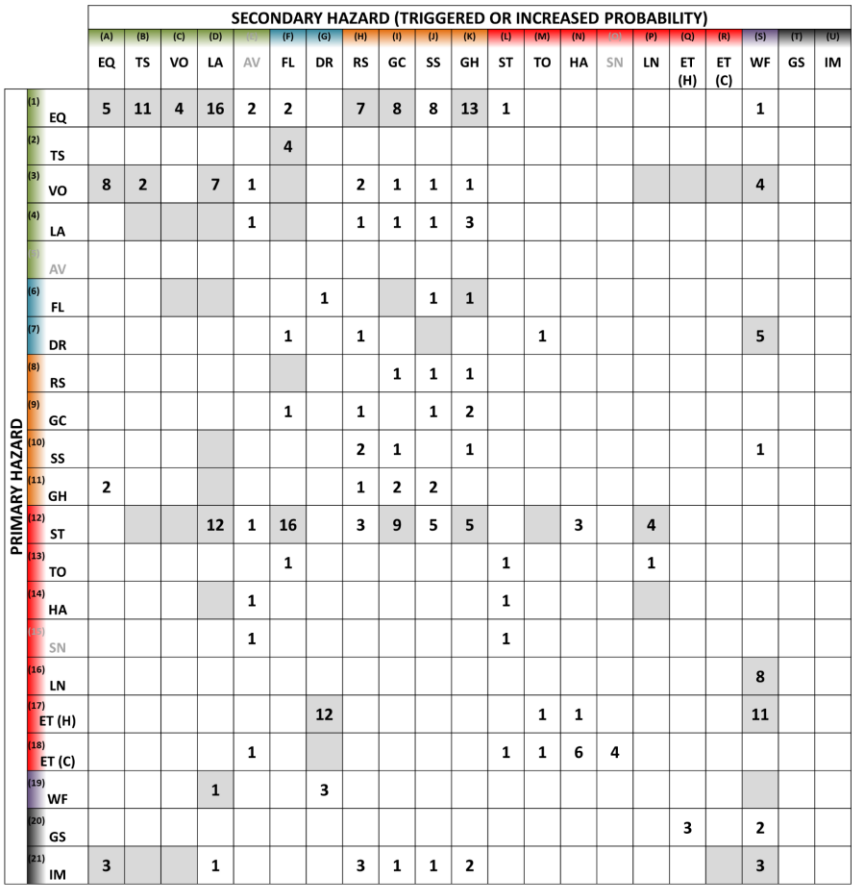


Figure 6. Network of Hazard Interactions (Example 2), Southern Highlands, Guatemala. A 26 × 17 extract of the 33×33 sub-national interaction framework presented in **Figure 4**, with an example of a network of hazard interactions (cascade). This example shows (i) Hurricane Stan triggering a debris flow, (ii) debris flows triggering a freshwater tsunami in Lake Atitlan, and (iii) freshwater tsunami triggering a lakeside flood. Evidence for this network is stated in the text.



Regional Interaction Framework
Guatemala (National)

KEY

HAZARD GROUP	HAZARD	CODE
GEOPHYSICAL	Earthquake	EQ
	Tsunami	TS
	Volcanic Eruption	VO
	Landslide	LA
	Snow Avalanche *(not relevant)*	AV
HYDROLOGICAL	Flood	FL
	Drought	DR
SHALLOW EARTH PROCESSES	Regional Subsidence	RS
	Ground Collapse	GC
	Soil (Local) Subsidence	SS
	Ground Heave	GH
ATMOSPHERIC	Storm	ST
	Tornado	TO
	Hailstorm	HA
	Snowstorm *(not relevant)*	SN
	Lightning	LN
	Extreme Temperature (Hot)	ET (H)
	Extreme Temperature (Cold)	ET (C)
BIOPHYSICAL	Wildfire	WF
SPACE	Geomagnetic Storm	GS
	Impact Event	IM

Footnotes: **[1B, 4B]** Earthquakes and landslides may trigger marine and/or freshwater (lake) tsunamis. **[1C,H; 12M]** There was uncertainty about the nature of these relationships. **[1I,K]** Earthquakes may trigger collapse/heave primarily through liquefaction. **[3B]** Volcanic explosions may trigger freshwater tsunamis in the Lakes of Guatemala. **[3Q/R]** Volcanic eruptions can trigger temperature changes if they are of sufficient magnitude. **[6,12C]** Water input triggers or increases the probability of a phreatic/phreatomagmatic eruption. **[8F]** Although regional subsidence triggering flooding was not noted in any evidence sources consulted, this is an inevitable consequence of the lowering of the ground surface. **[12B]** Pressure changes associated with storms may trigger meteotsunamis in marine environments. **[21A-C,R,S]** Identified as being generally possible, supported by globally-relevant literature rather than location-specific evidence.

Figure 7. Stakeholder identification of possible hazard interactions in Guatemala, overlain over the national interaction framework developed in Figure 3. A 21×21 matrix with primary hazards on the vertical axis and secondary hazards on the horizontal axis. These hazards are coded, as explained in the key. These matrices show cases where a primary hazard could trigger and/or increase the probability of a secondary hazard. Grey cell shading indicates the interaction was identified in the national hazard interaction matrix presented in **Figure 3**. Numbers indicate the total number (from a maximum of 16) of stakeholders proposing each hazard interaction as being possible in Guatemala.

Table 1. Examples of seven regional interaction frameworks, including a summary of the spatial extent, hazards and processes considered, and interaction types.

Authors	Summary *(Spatial extent, hazards and processes considered, and interaction types)*
Tarvainen *et al.* (2006)	• **Continental** spatial extent (Europe). • **Binary matrix**. • Identifies interactions between **eleven natural hazards** (*avalanche, drought, earthquake, extreme temperature, flood, forest fire, landslide, storm surge, tsunami, volcanic eruption, winter storm*) and **four technological hazards** (*air traffic accident, chemical plant, nuclear power plant, oil processing/ transport/ storage*). • Interactions are determined based on physical processes (**causal correlation**), and are only considered when hazard intensities in a given region exceed an average value.
De Pippo *et al.* (2008)	• **Sub-national** spatial extent (Northern Campanian coast, Italy). • **Descriptive matrix** is used **to characterise interactions** between hazards, which are weighted according to their importance in different zones along the coast. • **Semi-quantitative method** to quantify, rank and map the distribution of hazard. • Considers the effect of **six hazards** (*shoreline erosion, riverine flooding, surge, landslide, seismicity and volcanism*) and the effect of manufactured structures.
Kappes *et al.* (2010)	• **Sub-national** spatial extent (French Alpine region of Barcelonnette). • Uses a combination of **binary** and **descriptive matrices**. • Considers both **triggering interactions** and **interactions where a hazard changes the disposition** or general setting that favours another hazard process. • **Seven primary natural hazards** (*avalanche, debris flow, rock fall, landslide, flood, heavy rainfall, and earthquake*).
van Westen *et al.* (2014)	• **Sub-National** (European mountainous environments) • Possible interactions are mapped out using a **network flow diagram**, including interactions between the seven resulting (secondary hazards).Considers **two primary triggers** (*earthquake, meteorological extremes*), and **seven resulting natural hazards** (*mass movement, snow avalanche, forest fire, land degradation, flooding, seiche, technological hazard*).
Neri *et al.* (2008)	• **Sub-National** (Vesuvius volcano, Italy). • Uses a **quantitative** (**probabilistic**) **approach** to map out possible future eruptive scenarios. • Scenarios consider **ten hazards** (*volcanic eruption, fallout, ballistics, pyroclastic density current, debris avalanche, tsunami, flood, landslide, lahar, mudslide, heavy rain*).
Neri *et al.* (2013)	• **Sub-National** (Kanlaon volcano, Philippines) • Presented using an **event/scenario tree**. • Uses a **semi-quantitative method**, combing geological and historical data to consider hazard events. • **Eight hazards considered** (*volcanic eruption, fallout, ballistics, pyroclastic density current, debris avalanche, tsunami, flood, lahar/mudslide*).
Liu *et al.* (2016)	• **Sub-National** (Yangtze River Delta, China). • **Zones** of similar hazards and hazard interactions are identified and **spatially mapped**. • Hazard interactions classification is **based on the '*the hazard-forming environment*'**, defined as the geophysical environment that natural hazards arise from. • **Four interactions types** are considered • **Ten natural hazards** (*earthquakes, volcanic eruption, tropical cyclone, slow riverine flood, fast riverine flood, coastal flood, pluvial flood, landslide, avalanche, drought*), with a selection of these being relevant to the Yangtze River Delta case study.

Table 2. **Examples of five diverse evidence types that might indicate the relevance of a given multi-hazard interaction.**

Evidence Types	Examples
1. Publications and Reports	Public and confidential government, technical, private sector and/or civil society reports Peer-reviewed and other research publications Maps and archive documents Student projects (e.g., dissertations, theses) Books Diaries
2. Social and Other Media	Photographs and video clips (e.g., from print and online newspapers, blogs, websites, tweets, citizen science) Newspaper articles Social media posts (e.g., 'Tweets')
3. Field Evidence	Observations from the impact on the built environment (e.g., marks on vertical services to indicate flooding occurred, or the minimum extent flood water reached) Geological mapping and any field identification of evidence of the hazard occurring (e.g., flood deposits)
4. Stakeholder Engagement	Interviews with the public, hazard professionals, and civil protection officials Focus Groups Workshops
5. Miscellaneous	Insurance records Instrumental records and associated notes Emergency call out and incident records from emergency services Remote sensing images

Table 3. Consideration of six themes (identified in Gill, 2016) with respect to Guatemala. A description is given of how each theme is addressed in this regional interaction framework, using stakeholder comments discussed in **Sections 2.5** (interviews) and **Section 2.6** (workshop results) to inform this process.

Challenge	Relevance in Context of Guatemalan Case Study
Spatial Extent	Interview evidence suggested that national and sub-national spatial extents were suitable for regional interaction frameworks. The Southern Highlands of Guatemala, identified in **Figure 7**, includes large population centres and critical infrastructure. We therefore produce regional interaction frameworks for Guatemala (using political boundaries) and the Southern Highlands of Guatemala (using non-political boundaries. For both scales, we consider hazards and interactions that cut across the determined boundaries.
Temporal Extent	Interview evidence suggested that regional interaction frameworks be developed for both preparation (before a primary event) and response (immediate aftermath of a primary event). Not all of the natural hazards and interactions will be relevant at any given time. The temporal relevance of interactions may change given a changing set of anthropogenic processes relevant to this region. The temporal relevance of interactions may also change in response to natural and human driven climate change. The frameworks should be viewed as being dynamic, and regularly reviewed and updated to remain relevant.
Likelihood-Magnitude Relationships	Interview evidence suggested a desire for additional information on likelihood-magnitude relationships of interactions. This could be done through an expert elicitation method once a completed interaction framework is prepared. Interaction matrices published in this paper can be taken and additional layers of complexity added, according to user requirements. This could include information on likelihood-magnitude relationships or other parameters of interest (e.g., mitigation approaches).
Selection and Classification of Hazards	Interview evidence suggested an expanded natural hazards classification would improve understanding and communication of potential hazard interactions. We therefore develop an expanded classification of natural hazards in **Section 3.2**. The review of a broad range of evidence types allows the identification of multiple relevant hazards, seeking to be as comprehensive as possible rather than focusing on specific natural hazard groups. 17 of 21 interview participants (**Section 2.5**) noted anthropogenic processes to be important for consideration, and we discuss these in **Section 3.5**.
Identifying Relevant Hazard Interactions	Workshop evidence indicated different stakeholder opinions on the relevance of specific hazard interactions in Guatemala. The use of multiple evidence types can help to populate regional interaction frameworks in a systematic manner.
Visualisation Style and User Communities	Interview evidence suggested that a matrix visualisation format would be suitable for hazard and civil protection professionals, our indented user group. We prepare frameworks in English, but these can subsequently be translated into Spanish. Explanations of vocabulary can accompany interaction visualisations.

5

Table 4. Detailed classification of six hazard groups, 19 natural hazard types, and 37 hazard sub-types relevant to Guatemala. An outline of a possible hazard classification scheme relevant to Guatemala. Evidence (from **Section 2**) is used to justify the inclusion of each hazard sub-type, and noted in the table, with references from international literature.

Hazard Group	**Hazard Type**	**Hazard Sub-Type**	**Evidence** A = International Literature B = Civil Protection Bulletins C = Field Observations D = Stakeholder Interviews E = Workshop *(≥50% people)*	**References** *(International Literature)*
Geophysical	Earthquake (**EQ**)	Ground Shaking/Rupture	A C D E	Lindholm *et al.* (2007)
		Liquefaction	A D	Seed *et al.* (1981); Porfido *et al.* (2014)
	Tsunami (**TS**)	Marine Tsunami	A D E	Fernández and Ortiz (2007)
		Freshwater Tsunami	A C D E	Siebert *et al.* (2006); Luna (2007)
	Volcanic Activity/ Eruption (**VO**)	Subterranean Magma Movement	A D E	
		Volcanic Explosions (Vertical/Lateral)	A B C D E	
		Volcanic Gas/Aerosol Emission	A E	Alvarado *et al.* (2007); Global Volcanism Program (2013); Brown *et al.* (2015)
		Volcanic Ash/Tephra Ejection	A B C D E	
		Pyroclastic Density Currents	A B C D E	
		Lava Flows	A C D E	
	Landslide (**LA**)	Submarine Landslide	A	Von Huene *et al.* (2004); Tappin (2010)
		Subaerial Rockfall	A B C D E	Rodríguez (2007)
		Subaerial Rotational/Translational Landslide	A B C D E	Bommer and Rodríguez (2002); Rodríguez (2007)
		Subaerial Debris Flow	A B C D E	Bucknam *et al.* (2001); Rodríguez (2007); Luna (2007)
		Subaerial Lahar	A B C D E	Bucknam *et al.* (2001); Harris *et al.* (2006)
Hydrological	Flood (**FL**)	Pluvial Flood	A B D E	Claxton (1986); Stewart and Cangialosi (2012)
		Fluvial Flood	A B D E	Schuster *et al.* (2001); Harris *et al.* (2006); Soto *et al.* (2015)
		Coastal Flood	A D E	Cahoon and Hensel (2002)
		Lakeside Flood	A C D E	Luna (2007)
	Drought (**DR**)	Drought	A D E	Claxton (1986); Hodell *et al.* (2001); Moreno (2006)
Shallow Earth Processes (adapted from Hunt, 2005)	Regional Subsidence (**RS**)	Tectonic Subsidence	D	
	Ground Collapse (**GC**)	Karst/Evaporite Collapse	A D	Cooper and Calow (1998); Kueny and Day (2002)
		Piping Collapse	A B D E	Stewart (2011); Satarugsa (2011); Hermosilla (2012)
	Soil (Local) Subsidence (**SS**)	Soil Shrinkage	A D E	MAGA/PEDN (2002a)
		Consolidation/Settlement	A E	Ebmeier *et al.* (2012); Porfido *et al.* (2015)
	Ground Heave (**GH**)	Volcanic Inflation/Uplift	A C D	Johnson *et al.* (2008); Johnson and Lees (2010)
		Soil Expansion (Swelling)	A D E	MAGA/PEDN (2002a)
Atmospheric	Storm (**ST**)	Heavy Rain	A B D E	MAGA/PEDN (2002b); World Bank (2016)
		Tropical Storm/Hurricane	A B D E	Pielke Jr *et al.* (2003); Stewart and Cangialosi (2012)

Hazard Group	Hazard Type	Hazard Sub-Type	Evidence A = International Literature B = Civil Protection Bulletins C = Field Observations D = Stakeholder Interviews E = Workshop *(≥50% people)*	References *(International Literature)*
	Tornado (**TO**)	Tornado	A D	DesInventar (2016)
	Hailstorm (**HA**)	Hailstorm	A D	DesInventar (2016)
	Lightning (**LN**)	Lightning	A B D E	NASA (2006); DesInventar (2016)
	Extreme Temperature (Heat) (**ET (H)**)	Heatwave	A D E	LAHT (2014)
	Extreme Temperature (Cold) (**ET (C)**)	Coldwave/Frost	A D	MAGA (2002); DesInventar (2016)
Biophysical	Wildfire (**WF**)	Wildfire	A C D E	Charvériat (2000); IFFN (2002); DesInventar (2016)
Space	Geomagnetic Storms (**GS**)	Geomagnetic Storms	*No location specific evidence, however these are globally relevant natural hazards, and therefore may affect Guatemala.*	
	Impact Events (**IM**)	Impact Events		

Table 5. Spatial distribution of 37 natural hazard sub-types in Guatemala. A synthesis table to characterise which regions in Guatemala are susceptible to each of the 37 natural hazard sub-types. Selected regions are (1) low relief northern plateaus, (2) Central Highlands, with deep valleys, (3) Southern Highlands, and (4) Pacific coastal plains.

Hazard Group	**Hazard Type**	**Hazard Sub-Type**	**Spatial Regions** [1,2,3,4]	**Evidence** A = International Literature B = Civil Protection Bulletins C = Field Observations D = Stakeholder Interviews E = Workshop *(≥50% people)*				
Geophysical	Earthquake (**EQ**)	Ground Shaking/Rupture	1, 2, 3, 4	A		C	D	E
		Liquefaction	1, 2, 3, 4	A			D	
	Tsunami (**TS**)	Marine Tsunami	2, 4	A			D	E
		Freshwater Tsunami	1, 2, 3	A		C	D	E
	Volcanic Activity/ Eruption (**VO**)	Subterranean Magma Movement	3	A			D	E
		Volcanic Explosions (Vertical/Lateral)	3	A	B	C	D	E
		Volcanic Gas/Aerosol Emission	3	A				E
		Volcanic Ash/Tephra Ejection	1, 2, 3, 4	A	B	C	D	E
		Pyroclastic Density Currents	3	A	B	C	D	E
		Lava Flows	3	A		C	D	E
	Landslide (**LA**)	Submarine Landslide	2, 4	A				
		Subaerial Rockfall	1, 2, 3, 4	A	B	C	D	E
		Subaerial Rotational and Translational Landslide	1, 2, 3, 4	A	B	C	D	E
		Subaerial Debris Flow	1, 2, 3, 4	A	B	C	D	E
		Subaerial Lahar	3	A	B	C	D	E
Hydrological	Flood (**FL**)	Pluvial Flood	1, 2, 3, 4	A	B		D	E
		Fluvial Flood	1, 2, 3, 4	A	B	C	D	E
		Coastal Flood	2, 4	A			D	E
		Lakeside Flood	1, 2, 3	A		C	D	E
	Drought (**DR**)	Drought	1, 2, 3, 4	A			D	E
Shallow Earth Processes (adapted from Hunt, 2005)	Regional Subsidence (**RS**)	Tectonic Subsidence	1, 2, 3, 4				D	
	Ground Collapse (**GC**)	Karst/Evaporite Collapse	1	A			D	
		Piping Collapse	3	A	B		D	E
	Soil (Local) Subsidence (**SS**)	Soil Shrinkage	1, 4	A			D	E
		Consolidation/ Settlement	1, 2, 3, 4	A				E
	Ground Heave (**GH**)	Volcanic Inflation/Uplift	3	A		C	D	
		Soil Expansion (Swelling)	1, 2, 3, 4	A			D	E
Atmospheric	Storm (**ST**)	Heavy Rain	1, 2, 3, 4	A		B	D	E
		Tropical Storm/Hurricane	1, 2, 3, 4	A	B		D	E
	Tornado (**TO**)	Tornado	1, 2, 3, 4	A			D	
	Hailstorm (**HA**)	Hailstorm	1, 2, 3, 4	A			D	
	Lightning (**LN**)	Lightning	1, 2, 3, 4	A	B		D	E
	Extreme Temperature (Heat) (**ET (H)**)	Heatwave	1, 2, 3, 4	A			D	E
	Extreme Temperature (Cold) (**ET (C)**)	Coldwave/Frost	1, 2, 3, 4	A			D	
Biophysical	Wildfire (**WF**)	Wildfire	1, 2, 3, 4	A		C	D	E
Space	Geomagnetic Storms (**GS**)	Geomagnetic Storms	1, 2, 3, 4					
	Impact Events (**IM**)	Impact Events	1, 2, 3, 4					

Table 6. Four examples of multi-hazard interaction networks, extracted from the CONRED civil protection bulletins. Each example (1–4) is characterised by bulletin number, date, location, and event descriptions.

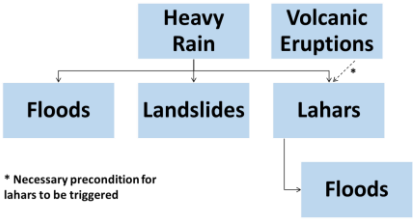
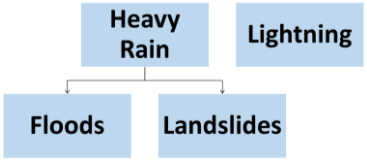
Example	Bulletin #	Bulletin Date	Location	Event Description	Visual Summary
1. Mixco, Zone 6, Guatemala City	1062	23-Aug-10	Mixco (Zone 6), Guatemala City	Rain triggered a landslide. This landslide entered a river, which subsequently needed dredging. Landslide therefore either blocked the river and caused flooding or increased the likelihood of flooding.	
2. Quetzaltenango Department	1126 1129	09-Sep-10	Quetzaltenango, Chimaltenango, Alta Verapaz San Sebastian, Retalhuleu, Santiaguito	Heavy rain in Quetzaltenango and other Departments triggered floods, landslides and lahars. Lahars (requiring ash/tephra deposition) associated with Santiaguito volcano caused flooding of the Samalá river, causing damage to bridges.	
3. Storm Matthew	1174 1175 1183 1184 1185 1186 1199	23-Sep-10 24-Sep-10 25-Sep-10	*General* Nicaragua, Honduras *General* Motagua River, Morales, Izabal *General* *General* Centre and South Guatemala	A warning was issued that Storm Matthew could trigger damage, and was associated with flash floods, landslides and mudslides in Nicaragua and Honduras. On 25 September 2015, Tropical Storm Matthew impacted Guatemala directly, causing river levels to rise and saturate soils, with a warning that flooding may occur. The next bulletins reported flooding, an increased likelihood of landslides, and lightning.	 (Replicated in Nicaragua, Honduras and Guatemala)

Table 7. Calculation of Matthews' Correlation Coefficient (*MCC*) to assess agreement between the collective knowledge of 16 workshop participants (Figure 2) and national interaction framework (Figure 3). Three different thresholds, each relating to the number of workshop participants (out of 16) identifying a particular interaction, are used to determine collective knowledge of hazard interactions. The number of 'agreements' and 'disagreements' between the workshop participants' response and national interaction framework (see column headers for descriptions) is shown. For each row, the sum of True Positives (TP) and False Negatives (FN) is 50, and the sum of True Negatives (TN) and False Positives (FP) is 392. *MCC* values are determined using **Eq. 1**. An *MCC* = +1.0 means complete agreement; an *MCC* = −1.0 means complete disagreement.

Workshop Participants Identifying an Interaction (*n* = 16)	**# Interactions Identified by ≥ x participants (TP + FP)**	**AGREEMENT** *[Participants' Collective Framework and National Interaction Framework Agree]*		**DISAGREEMENT** *[Participants' Collective Framework and National Interaction Framework Do Not Agree]*		**Matthews' Correlation Coefficient** ***(Eq. 1)***
		Interaction Occurs in **Both Frameworks**	**Interaction Does Not Occur** in **Either Framework**	**Interaction Occurs** in **National Framework** but **not Participants' Collective Framework**	**Interaction Occurs** in **Participants' Collective Framework** but **not National Framework**	
		True Positives (TP)	*True Negatives (TN)*	*False Negatives (FN)*	*False Positives (FP)*	
≥ 1	86	25	330	25	61	0.28
≥ 3	32	22	381	28	10	0.51
≥ 5	19	16	388	34	3	0.49