



1 **The impact of lightning and radar data assimilation on the performance of very short term**
2 **rainfall forecast for two case studies in Italy**

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14 **Abstract**

15 In this paper, we study the impact of the lightning and radar reflectivity factor data assimilation on
16 the precipitation VSF (Very Short-term Forecast, 3 hours in this study) for two relevant case
17 studies occurred in Italy. The first case refers to a moderate localised rainfall over Central Italy
18 happened on 16 September 2017. The second case, occurred on 9 and 10 September 2017, was
19 very intense causing damages in several geographical areas, especially in Livorno (Tuscany) where
20 9 people lost their life.

21 The first case study was missed by several operational forecasts (from both public and private
22 sectors), including that performed by the model used in this paper, while the Livorno case was
23 partially predicted by operational models.

24 We use the RAMS@ISAC model (Regional Atmospheric Modelling System at Institute for
25 Atmospheric Sciences and Climate of the Italian National Research Council), whose 3D-Var
26 extension to the assimilation of RADAR reflectivity factor is shown in this paper.

27 Results for the two cases show that the assimilation of lightning and radar reflectivity factor,
28 especially when used together, have a significant and positive impact on the precipitation
29 forecast. The improvement compared to the control model, not assimilating lightning and radar
30 reflectivity factor, is systematic because occurs for all the Very Short-term Forecast (VSF, 3h) of
31 the events considered.

32 For specific time intervals, the data assimilation is of practical importance for civil protection
33 purposes because it transforms a missed forecast of intense precipitation (> 40 mm/3h) in a
34 correct forecast.

35 While there is an improvement of the rainfall VSF thanks to the lightning and radar reflectivity
36 factor data assimilation, its usefulness is partially reduced by the increase of the false alarms in the
37 forecast assimilating both types of data.

38

39



40 **1. Introduction**

41 Initial conditions of numerical weather prediction (NWP) models are a key point for a good
42 forecast (Stensrud and Fritsch, 1994; Alexander et al., 1999). Nowadays limited area models are
43 operational at the resolution of few kilometres (< 5 km) and data assimilation of asynoptic local
44 observations is crucial to correctly represent the state of the atmosphere at local scale (Weisman
45 et al., 1997; Weygandt et al., 2008). This is especially important over the sea, where the absence
46 of local observations and model deficiencies can misrepresent convection.

47 The assimilation of the radar reflectivity factor is important to improve the weather forecast
48 because, being the reflectivity factor related to both the hydrometeors types and size, it can add
49 information and eventually change the weather forecast. This is particularly important considering
50 the high repetition rate (asynoptic data) and the high spatial resolution (local scale) of the radar
51 data.

52 The first attempts to assimilate the radar reflectivity factor are reported in Sun and Crook (1997,
53 1998), who expanded VDRAS (Variational Doppler Radar Analysis System) to include microphysical
54 retrieval. Following these studies several systems to assimilate radar observations, both Doppler
55 velocity and reflectivity factor, were developed (Xue et al., 2003, Zhao et al., 2006; Xu et al., 2010).
56 All these studies showed the stability and robustness of assimilating radar observations as well as
57 an improvement to the weather forecast.

58 Radar data are also assimilated in WRF (Weather Research and Forecasting, Skamarock et al.,
59 2008; Barker et al., 2012) model both using 3DVar (Xiao et al., 2005, 2007; Barker et al., 2004) and
60 4DVar approaches (Wang et al., 2013; Sun and Wang, 2012). The capability to assimilate radar
61 data into WRF was recently applied to a heavy rainfall event over Central Italy by Maiello et al.
62 (2014). They showed a notable and positive impact of the radar data assimilation on the
63 precipitation forecast, also when radar data are assimilated together with conventional data.

64 In addition to those methods, which assimilate the radar reflectivity factor directly perturbing the
65 hydrometeor contents predicted by the forecast models, there are indirect methods that aim at
66 modifying other variables. In particular, the method proposed by Caumont et al. (2010) acts on the
67 relative humidity field. It consists of two different steps: a 1D retrieval of relative humidity
68 (pseudo-profile), which depends on the radar reflectivity factor observations, followed by 3D-Var
69 assimilation of the pseudo-profile. This method, though less direct than perturbing hydrometeors,
70 has the advantage to reduce the computational cost at the kilometeric scale, and to avoid
71 questionable assumptions of the direct methods.



72 The choice of updating the moisture field directly is motivated by its greater impact on analyses
73 and forecasts in comparison to that of hydrometeor-related quantities (e.g., Fabry and Sun, 2010).
74 Caumont et al. (2010) showed that the method was able to improve the weather prediction for a
75 case of heavy precipitation in southern France and for eight-day long assimilation cycle.
76 The method was applied in other studies (Wattrelot et al., 2014; Ridal and Dalbom, 2016; Muller
77 et al., 2017), or modified using 4D-Var in place of 3D-Var (Ikuta and Honda, 2011) showing in all
78 cases its capability to improve the weather forecast. The method is also used in the operational
79 context (Wattrelot et al., 2014).

80 Lightning are another important source of asynoptic data due to their ability to locate precisely
81 the convection with few temporal gaps as well as, availability in real time thanks to the low
82 bandwidth required for data transfer (Mansell et al., 2007). For these reasons, in the last two
83 decades, there have been attempts to assimilate lightning into meteorological models both at low
84 horizontal resolution, which need a cumulus parameterization scheme to simulate convection, and
85 at convection permitting scales.

86 The first attempts to assimilate lightning in numerical weather prediction models (NWP) were
87 based on relationships between lightning and rainfall rate estimated by microwave sensors on
88 board polar satellites (Alexander et al., 1999; Chang et al., 2001; Jones and Macpherson, 1997). In
89 this approach, the rainfall rate was computed as a function of lightning observations and then
90 transformed into latent heat, which was assimilated. The results of these studies showed a
91 positive impact of the lightning data assimilation on the forecast up to 24h also for fields at the
92 large scale, as sea-level pressure, encouraging further researches.

93 Mansell et al. (2007) modified the Kain-Fritsch (Kain and Fritsch, 1993) cumulus convective scheme
94 to force convection when/where flashes are observed while the convective scheme was not
95 activated in the model simulation, demonstrating the potential of lightning to correctly locate the
96 convection. A similar approach was introduced by Giannaros et al. (2016) into the WRF model
97 showing the positive impact of the lightning data assimilation on the precipitation forecast up to
98 24h for eight convective events occurred over Greece.

99 Fierro et al. (2012) introduced a methodology to assimilate lightning by modifying the water
100 vapour mixing ratio simulated by the model according to a function depending on the flash-rate
101 and on the simulated graupel mixing ratio. The water vapour could be assimilated by nudging
102 (Fierro et al., 2012) or 3D-Var (Fierro et al., 2016).



103 Qie et al. (2014) extended the methodology of Fierro et al. (2012) to assimilate ice crystals,
104 graupel and snow, showing promising results for deep convective events in China.

105 Federico et al. (2017a) implemented the methodology of Fierro et al. (2012) in RAMS@ISAC
106 model, obtaining the systematic and significant improvement of the precipitation forecast at the
107 very short range (3h) for twenty case studies occurred over Italy; the impact of lightning data
108 assimilation for longer time ranges (6h-24h; Federico et al., 2017b) showed a considerable impact
109 on the 6h precipitation forecast, with smaller (negligible) effects at 12 h (24 h).

110 In this paper we study the impact of the radar reflectivity factor and lightning observations data
111 assimilation on the very short term (3h) rainfall prediction of two case studies over Italy. We use
112 the method of Federico et al. (2017a) to assimilate lightning and the method of Caumont et al.
113 (2010) to assimilate the radar reflectivity factor. The case studies occurred on September 2017.
114 The first case, named Serano case, occurred on 16 September, was characterized by moderate-
115 intense and localized rainfall. The second case, named Livorno case, occurred on 09-10 September
116 was characterized by deep convection and very intense precipitation in several parts of Italy. Even
117 if the Livorno case occurred before the Serano case, we will reverse the chronological occurrence
118 in the discussion, ordering the event from the less intense to the most intense.

119 The Serano case was missed by the control forecast, not assimilating radar reflectivity factor and
120 lightning. The Livorno event was partially predicted by the control forecast, which missed the
121 abundant precipitation over Central Italy (see Section 4), and predicted the intense precipitation
122 over Livorno delayed compared to the observations.

123 With respect to previous works, this study investigates the benefits brought by the combined use
124 of radar and lightning observations into RAMS@ISAC, paving the way to systematic improvements
125 of weather forecasts.

126 This paper is organized as follows: Section 2 gives details on the synoptic environment of the case
127 studies showing daily precipitation, lightning and, for specific times, radar observations; Section 3
128 gives details on the meteorological model, lightning and radar data assimilation; Section 4 shows
129 the results for five very short-term forecast (VSF), two for Serano and three for Livorno; Discussion
130 and conclusions are given in Section 5.

131

132 **2. The case studies**

133 *2.1 The 16 September 2017 (Serano) case study*



134 During the 16 September 2017 the whole Italian country was under the influence of a cyclone that
135 developed on the lee of the Alps. The storm crossed Italy from NW to SE leaving light precipitation
136 over most of the peninsula with moderate rainfall over Central Italy. Figure 1 shows the
137 precipitation recorded by the Italian raingauge network on 16 September 2017. A light
138 precipitation (below 5 mm/day) is reported by 1018 raingauges out of the 1666 stations
139 measuring precipitation (≥ 0.2 mm/day) on this day. Fourteen stations over Central Italy recorded
140 more than 50 mm/day and 6 stations more than 60 mm/day. The maximum precipitation on this
141 day was 90 mm/day in Città di Castello (Umbria Region). Because the meteorological radar closest
142 to the maximum precipitation is over Serano mountain, hereafter this event will be referred as
143 Serano.

144 The synoptic condition during the event is shown in Figure 2. At 500 hPa (Figure 2a) a trough,
145 elongated in the SW-NE direction, extends over Western Europe and air masses are advected from
146 the SW towards the western Alps. The interaction between the airflow and the Alps generates a
147 low pressure, at low levels, on the lee of the Alps over Northern Italy.

148 The situation at the surface (Figure 2b) shows the meteorological front represented by the
149 equivalent potential temperature gradient between air masses advected over the Mediterranean
150 Sea from NW and air masses advected from the South over the Tyrrhenian Sea, as a consequence
151 of the pressure pattern that forms over the area. It is also notable the feeding of warm unstable
152 air masses towards Central Italy.

153 Infrared satellite images (Figure 3), from 00 UTC on 16 September to 00 UTC on 17 September
154 2017, show the cold front structure moving slowly from NW towards SE. Interestingly, at 00 UTC
155 on 16 September, it is apparent a well-defined cloud system over Central Italy (red circle of Figure
156 3a), which produced most of the daily precipitation observed between 43.50 and 45.0 N in the six-
157 hours between 00 UTC and 06 UTC on 16 September 2017.

158 The well-defined cloud system over Central Italy is also clear in the radar Constant Altitude Plan
159 Position Indicator (CAPPI) at 3 km above sea level at 02 UTC on 16 September (Figure 4). This
160 CAPPI is formed by interpolating all the available data from the federated Italian radar network
161 coordinated by the Department of Civil Protection (twenty-two radars, see Section 3.3 for their
162 positions) and it is also referred as the national radar composite (hereafter also mosaic). Several
163 convective cells exceeding 35 dBz can be noted on on central-northern Italy. Importantly, the
164 cloud system over Central Italy shown by the satellite infrared channel at 00 UTC (Figure 3a) and



165 that of the CAPPI at 02 UTC have a similar position, and the cloud system insisted for several hours
166 over Central Italy (00-06 UTC).

167 Figure 5 shows the lightning recorded by the LINET network (Betz et al., 2009) on 16 September
168 2017. More than 60.000 flashes were recorded for the whole day; most of them occurred during
169 the afternoon and evening (the peak of more than 8000 flashes in one hour was at 22 UTC), but a
170 secondary maximum occurred during the night on 16 September, from 00 UTC to 06 UTC. In this
171 phase more than 2000 flashes were observed in Central Italy (see the green-blue dots in Figure 5).
172 From lightning observations, it follows that the storm had two main phases over Central Italy: the
173 first one occurred during the night (00-06 UTC) and was characterised by the most intense rainfall;
174 the second started after 18 UTC. In Section 4 one VSF for each phase will be considered.

175

176 *2.2 The 09-10 September 2017 (Livorno) case study*

177 During the days 09 and 10 September 2017, Italy was hit by a severe storm characterised by
178 intense and widespread rainfall over the country. Damages to property were reported in several
179 parts of Italy, while nine people died around Livorno, in Tuscany for causes related to the storm.

180 Figure 6a shows the precipitation on 09 September recorded by the Italian raingauge network.
181 Rainfall was more intense over the Alps, where the maximum daily precipitation was observed
182 (193 mm/day) and over Liguria, with precipitation of the order of 30-50 mm/day. One station over
183 Tuscany reported 90 mm/day, showing that intense precipitation already started over the Region.
184 The intensity of the storm on 09 September was high because 20 raingauges reported more than
185 100 mm/day and 70 raingauges more than 60 mm/day, and, in most cases, this precipitation
186 occurred in few hours. For example, the precipitation over Tuscany fell in the last 6 h of the day.

187 The following day (see Figure 6b) had higher rainfall. Precipitation occurred mainly over Central
188 Italy, especially over Lazio, and over Northern Italy, in particular the North-East. In Tuscany, the
189 two stations close to the sea, in the Livorno area, recorded about 150 mm/day mostly fallen in the
190 hours between 00 and 06 UTC. The rainfall on 10 September was abundant: 256 stations out of
191 2065 stations reported more than 60 mm/day, 60 of which recorded more than 100 mm/day.

192 Synoptic conditions leading to this storm are represented by the situation at 00 UTC on 10
193 September, shown in Figure 7, when the storm was already producing precipitation over Northern
194 Italy. At 500 hPa (Figure 7a) a trough extends from Northern Europe towards the Mediterranean.
195 The interaction between air-masses and Western Alps generates a depression on the lee of the



196 Alps, over Northern Italy, which, in the following hours, crossed the whole peninsula from NW to
197 SE. It is also noted the divergent flow over Central and Northern Italy favouring upward motions.
198 At the surface, Figure 7b, it is apparent the equivalent temperature gradient over the western
199 Mediterranean caused by the contrast between air masses pre-existing over the sea and air
200 masses advected from France towards the Mediterranean Sea. The cyclonic circulation over the
201 Ligurian Sea is forced by the low-pressure over the Northern Italy. The pressure field at the surface
202 advects air masses from the South over the Tyrrhenian Sea. These air masses are unstable, i.e.
203 humid and warm, and feed the cyclone during its development.
204 From the synoptic point of view, this storm and the Serano case are similar and represent two
205 cyclones developing on the lee of the Alps (Buzzi and Tibaldi, 1978). However, the Livorno case is
206 more intense than Serano as shown by the larger rainfall, as well as by the more unstable air
207 masses over the Tyrrhenian Sea that characterise the Livorno case.
208 The notable intensity of the Livorno case is also confirmed by the lightning distribution (Figure 8).
209 During the evening on 9 September (after 18 UTC) about 38.000 flashes were associated with the
210 propagation of the storm from NW to SE. On 10 September about 170.000 flashes were recorded
211 along Italy, following the movement of the storm propagating from NW to SE. So, more than
212 200.000 flashes were recorded from 18 UTC on 09 September to 00 UTC on 11 September, which
213 are more than twice those recorded for Serano.
214 Satellite images (thermal infrared channel, 10.8 micron; Figure 9) show the extension of the cloud
215 coverage every 12 hours. It is well evident the cloud system associated with the cold front that
216 extends over Europe and moves from north-west to south-east. More specifically, the satellite
217 image at 00 UTC shows the cloud system over Livorno area (red circle in Figure 9b), before the
218 main precipitation event over Tuscany (00-06 UTC), while Figure 9c shows the cloud system over
219 Central Italy (orange circle), at the end of the period of intense precipitation over Lazio (06-12
220 UTC).
221 We conclude the synoptic analysis of the case study with two CAPPI at 3 km observed by the radar
222 network of the Department of Civil Protection. The CAPPI of Figure 10a, at 00 UTC on 10
223 September, shows the cloud system over Tuscany with reflectivity factor up to 40 dBz. Other
224 clouds are producing rainfall over northern Italy. The cloud system remained stationary over
225 Tuscany for the period 00-06 UTC, with new cells developing over the sea and moving towards the
226 land for six hours, causing the flood in Livorno. The CAPPI of Figure 10a is the last assimilated by
227 the VSF of 00-03 UTC on 10 September shown in Section 4.



228 Figure 10b shows the CAPPI of the national radar mosaic at 3 km above the sea level and at 06
229 UTC. The cloud system is moving towards Central Italy with reflectivity up to 45 dBz. Other cloud
230 systems are apparent over northern Italy. Figures 10a-10b well represents the movement of the
231 storm towards SE and Figure 10b shows the last CAPPI assimilated by the 06-09 UTC VSF shown in
232 Section 4.

233

234 **3.Data and Methods**

235 *3.1 RAMS@ISAC and simulations set-up*

236 The RAMS@ISAC is used for the numerical experiments of this work. The model is based on the
237 RAMS 6.0 model (Cotton et al., 2003) with the addition of four main features, as well as a number
238 of minor improvements. First, it implements additional single moment microphysical schemes,
239 whose performance is shown in Federico (2016): among them, the WSM6 (Hong and Lim, 2006) is
240 used in this paper. Second, it predicts the occurrence of lightning following the methodology of
241 Dahl et al. (2012), and the implementation and performance of the scheme is discussed in
242 Federico et al. (2014). Third, the model can assimilate lightning through nudging (Fierro et al.,
243 2012; Federico et al., 2017a). Forth, the model implements a 3D-Var data assimilation system
244 (Federico, 2013, hereafter also RAMS-3DVar), whose extension to the radar reflectivity factor is
245 shown in this paper (Section 3.3).

246 The list of the main physical parameterisation schemes used in the simulations of the RAMS@ISAC
247 discussed in this paper is shown in Table 1.

248 Considering the domains and the configuration of the grids (Figure 11 and Table 2), two different
249 set-ups are used for Serano and Livorno. For the first case, we use the domains D1 and D2, while
250 for Livorno we use also the third domain D3. The first domain covers a large part of Europe and
251 extends over the North Africa. For this domain, the horizontal resolution of the grid is 10 km (R10).
252 The second domain extends over the whole Italy and part of Europe and the grid has 4 km
253 horizontal resolution (R4). The third domain covers the Tuscany Region, has 4/3 km horizontal
254 resolution (R1), and it is used for Livorno to represent with more detail the precipitation field.

255 The resolutions and the extensions of the grids in the vertical direction are the same for the three
256 domains and cover the troposphere and the lower stratosphere.

257 The nesting between the first and second domains is one-way, while the nesting between the
258 second and the third domains is two-ways.



259 The VSF is implemented as shown in Figure 12. First a run using the R10 configuration is
260 performed using the GFS analysis/forecast cycle issued at 12 UTC as initial and boundary
261 conditions. This run, which starts at 12 UTC on 16 September for Serano and at 12 UTC on 09
262 September for Livorno, lasts 36 h and doesn't assimilate radar reflectivity factor or lightning.
263 Starting from 12 UTC, ten VSF are performed using R4 (for Serano) or both R4 and R1 (for Livorno).
264 The VSF lasts 9h and uses R10 simulation as initial and boundary conditions (one-way nesting). The
265 9h forecast is divided into two parts: the first six hours are the assimilation stage when the
266 assimilated source of observations are continuously used to constrain the VSF to the observations
267 whereas the last three hours are dedicated to the forecast stage when the VSF freely evolve
268 without external constrains. During the assimilation stage, flashes are assimilated with the
269 nudging technique (Section 3.2), while radar reflectivity is assimilated every one-hour by the
270 Caumont et al. (2010) method (Section 3.3).
271 It is noted that data assimilation is performed in the domain D2 (R4) only, and the innovations are
272 transferred to the domain D3 (R1), for the Livorno case, by the two way-nesting.
273 The verification of the VSF for precipitation is done by visual comparison of the model output with
274 the raingauge network of the Department of Civil Protection, which has more than 3000
275 raingauges all over Italy (Davolio et al., 2015).
276 In addition we consider the FBIAS (Frequency Bias; range $[0, +\infty)$), where 1 is the perfect score,
277 i.e. when no misses and false alarms occur), POD (Probability of Detection; range $[0, 1]$, where 1 is
278 the perfect score and 0 the worst value), ETS (Equitable Threat Score; range $[-1/3, 1]$, where 1 is
279 the perfect score and 0 is a useless forecast) and HR (Hit Rate or correct proportion; range $[0, 1]$,
280 where 1 is the perfect score and 0 the worst value) computed from 2x2 dichotomous contingency
281 tables (Wilks, 2006) for different rainfall thresholds (0.2 mm/3h, 1mm/3h and from 2mm/3h to
282 the maximum thresholds, i.e. 40 mm/3h for Serano and 60 mm/3h for Livorno, every 2 mm/3h). In
283 particular, defining the hits (a , a hit occurs when both the precipitation forecast and the
284 corresponding raingauge observation are above or equal to a rainfall threshold), false alarms (b , a
285 false alarm occurs when the precipitation forecast is above or equal to a rainfall threshold, while
286 the corresponding raingauge observation is below the threshold); misses (c , a missing occurs when
287 the forecast precipitation is below a rainfall threshold, while the corresponding raingauge
288 observation is above or equal to the threshold); (d , a correct no forecast occurs when both the
289 precipitation forecast and the corresponding observation are below a rainfall threshold), we have:



$$\begin{aligned}
 FBIAS &= \frac{a+b}{a+c} \\
 POD &= \frac{a}{a+c} \\
 ETS &= \frac{a-a_r}{a+b+c-a_r}; \quad a_r = \frac{(a+b)(a+c)}{a+b+c+d} \\
 HR &= \frac{a+d}{a+b+c+d}
 \end{aligned} \tag{1}$$

290
 291 where a_r is the probability to have a correct forecast by chance (Wilks, 2006). The hits, false
 292 alarms, misses and correct no forecast are computed comparing the precipitation forecast at four
 293 RAMS@ISAC grid points surrounding a raingauge and taking among them the closest value to the
 294 raingauge measurement (nearest-neighbour). In this way, we tolerate a spatial error of $D*(2)^{1/2}$ for
 295 the rainfall forecast, where D is the model grid spacing (4 km or 4/3 km depending by the case
 296 considered). Because the scores are computed for the second and third RAMS@ISAC domains, we
 297 tolerate spatial errors of 5.7 km and 1.9 km, respectively.

299
 300 *3.2 Lightning data assimilation*

301 The lightning data assimilation scheme, introduced in previous papers (Federico et al., 2017a;
 302 2017b), is shown here for completeness.

303 The method starts by computing the water vapour mixing ratio q_v :

$$q_v = Aq_s + Bq_g \tanh(CX)(1 - \tanh(Dq_s^\alpha)) \tag{2}$$

304
 305 Where coefficients are set to $A=0.86$, $B=0.15$, $C=0.30$, $D=0.25$, $\alpha=2.2$, q_s is the saturation mixing
 306 ratio at the model atmospheric temperature, and q_g is the graupel mixing ratio (g kg^{-1}). X is the
 307 number of flashes falling in a grid box of domain D2 (R4) in the past five minutes. The mixing ratio
 308 q_v of Eq. (2) is computed only for grid points where flashes are recorded, i.e. X is greater than zero.

309 More specifically, for each grid point we consider the number of flashes falling in a grid box
 310 centred at the grid point in the last five minutes. The mixing ratio of Eqn. (2) is compared with that
 311 predicted by the model. If the mixing ratio of Eqn. (2) is larger than the simulated one, the latter is
 312 changed with the value given by Eqn. (2), otherwise the modelled mixing ratio is left unchanged.

313 This method can only add water vapour to the forecast.

314 The check and eventual substitution of the water vapor is performed every five minutes and it is
 315 made only in the charging zone (0°C , -25°C).

316 Lightning data are provided by the LINET network, which has more than 500 sensors over
 317 worldwide with the greatest density over Europe.



318

319 *3.3 Radar data assimilation*

320 The method assimilates radar CAPPI that are operationally provided by the Italian Department of
 321 Civil Protection (DPC). Radar data are provided over a regular Cartesian grid with 1 km horizontal
 322 resolution and for three vertical levels (2, 3, 5 km above the sea level) and radar observations can
 323 be considered as vertical profiles. These CAPPIs at the three different altitudes of 2, 3, and 5km
 324 can be considered as under-sampled vertical profiles. CAPPIs are composed starting from the 22
 325 radars of the Italian Radar Network (Figure 13) 19 operating at the C-band (i.e., 5.6 GHz) and 3 at
 326 X-band (i.e., 9.37 GHz). Data quality control and CAPPI composition is performed by DPC and no
 327 additional quality control is applied in this paper. Before entering the data assimilation, the
 328 Cartesian grid is reduced to 5 by 5 km by choosing one point every five of the Cartesian grid
 329 provided by DPC in order to reduce the dimensionality of the problem and to account, at least in
 330 part, for the correlation error of the observations.

331 The methodology to assimilate radar reflectivity factor is that of Caumont et al. (2010), named
 332 1D+3DVar, which is a two-step process: first, using a Bayesian approach inspired to GPROF (Olson
 333 et al., 1996; Kummerow et al., 2001), 1D pseudo-profiles of model variables are computed, then
 334 those pseudo-profiles are assimilated by 3DVar. Both steps are discussed shortly.

335 The first step computes a pseudo-profile of relative humidity weighting the model profiles of
 336 relative humidity around the radar profile (Bayesian approach). In particular:

$$337 \quad \mathbf{z}_o^p = \frac{\sum_i RH_i W_i}{\sum_j W_j} \quad (3)$$

338 Where RH_i is the RAMS@ISAC vertical profile of relative humidity at a grid point inside a square of
 339 $50 \times 50 \text{ km}^2$ centred at the radar vertical profile, W_i is the weight of each profile and \mathbf{z}_o^p is the
 340 relative humidity pseudo-profile. The summation is taken over all the grid points inside a square of
 341 $50 \times 50 \text{ km}^2$ around the observed profile and the denominator is a normalisation factor. The
 342 weights are determined considering the agreement between the simulated and observed
 343 reflectivity factor:

$$344 \quad W_i = \exp \left\{ -\frac{1}{2} [\mathbf{z}_o - h_z(x_i)]^T \mathbf{R}_z^{-1} [\mathbf{z}_o - h_z(x_i)] \right\} \quad (4)$$

345 Where h_z is the forward observation operator, transforming the background column \mathbf{x}_i into the
 346 observed reflectivity factor. The forward observation operator is specific for the WSM6



347 microphysics scheme and is available in WRF release 3.8. It assumes Marshall-Palmer
348 hydrometeors size-distribution, Rayleigh scattering, and depends on the mixing ratios of rain,
349 graupel and snow.

350 The observation error matrix \mathbf{R}_z in Eqn. (4) is assumed diagonal, i.e. observation errors are
351 uncorrelated, and its value is $n\sigma^2$, where σ is 1 dBz and n is the number of available observations
352 in the vertical profile (from 1 to 3).

353 It is important to note that the method is not able to force convection when the model has no
354 rain, snow and graupel in a square around ($50 \times 50 \text{ km}^2$) a specific radar profile with reflectivity
355 factor greater than zero. In this case, the pseudo profile of relative humidity is assumed saturated
356 above the condensation level and with no data below to force convection into the model.

357 It is also noted that the method is able to dry the model when the reflectivity factor is simulated
358 but not observed, by giving more weight to the drier relative humidity profiles simulated by
359 RAMS@ISAC in Eqn. (3).

360 The pseudo-profiles computed with the procedure introduced above, are then used as
361 observations in the RAMS-3DVar data assimilation (Federico, 2013), minimising the cost-function:

$$362 \quad J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y}_o - h(\mathbf{x}))^T \mathbf{B}^{-1}(\mathbf{y}_o - h(\mathbf{x})) \quad (5)$$

363 Where \mathbf{x} is the state vector giving the analysis when J is minimized, \mathbf{x}_b is the background, \mathbf{B} and \mathbf{R}
364 are the background and observations error matrices, \mathbf{y}_o is the observation vector and h is the
365 forward observation operator transforming the state vector into observations. The cost function in
366 RAMS-3DVar is implemented in incremental form (Courtier et al., 1994; see Federico 2013 for the
367 details).

368 The background error matrix is computed using the NMC method (Parrish and Derber, 1992;
369 Barker et al. 2004) applied to the HyMeX-SOP1 (Hydrological cycle in the Mediterranean
370 Experiment – First Special Observing Period occurred in the period 6 September-6 November
371 2012; Ducroq et al., 2014), which has been chosen because it contains several heavy precipitation
372 events over Italy (Ferretti et al., 2014).

373 In the RAMS-3DVar, the background error matrix is divided in three components along the three
374 spatial directions (x , y , z). The \mathbf{B}_x and \mathbf{B}_y matrices take into account for the spatial correlation of
375 the background error. They are assumed Gaussian with length-scales between 20 and 30 km,
376 depending on the vertical level. Again, these distances are computed using the NMC methods
377 (Barker et al., 2012).



378 The B_z matrix contains the error for the water vapor mixing ratio, which is the control variable
379 used in RAMS-3DVar. This error is about 2 g/kg at the surface and decreases with height. In
380 particular, it is larger than 0.5 g/kg below 4 km, and less than 0.2 g/kg above 5 km.

381 It is noted that cross correlations among variables are neglected in this study and the applications
382 of the RAMS-3DVar affects the water vapor mixing ratio only.

383 Because the lightning data assimilation perturbs the water vapor mixing ratio, it follows that the
384 data assimilation presented in this study changes only this parameter.

385

386 **4. Results**

387 *4.1 Serano*

388 In this section we analyse two VSF forecasts of the Serano case. The first period (03-06 UTC) is the
389 most intense, while the second period (18-21 UTC) corresponds to a rejuvenating phase of the
390 storm.

391

392 *4.1.1 Serano: 03-06 UTC 16 November 2017*

393 In this period, an intense and localised storm hit the central Italy, while light precipitation occurred
394 over northern Italy (Figure 14a). Considering the storm over central Italy, 10 raingauges observed
395 more than 30 mm/3h, 6 more than 40 mm/3h, 3 more than 50 mm/3h and 1 more than 60
396 mm/3h, the maximum observed value being 63 mm/3h.

397 The CTRL forecast, Figure 14b, misses the storm over central Italy and underestimates
398 considerably the precipitation over Northern Italy, giving unsatisfactory results.

399 The assimilation of the radar reflectivity factor improves the forecast, as shown by Figure 14c. In
400 particular, RAD forecast shows localized precipitation (30-35 mm/3h) close to the area where the
401 most abundant precipitation was observed. However, the maximum precipitation is
402 underestimated. Another interesting improvement of the RAD forecast compared to CTRL is the
403 precipitation over northern Italy, whose area is much more in agreement with observations
404 compared to CTRL.

405 The precipitation forecast given by LIGHT, Figure 14d, shows some improvements compared to
406 CTRL because the precipitation over central Italy has a maximum of 25-30 mm/3h, close to the
407 area where the maximum precipitation was observed. LIGHT, however, has a worse performance
408 compared to RAD because it misses the light precipitation over northern Italy. Also, similarly to
409 RAD, LIGHT underestimates the maximum precipitation.



410 RADLI forecast, Figure 14e, shows the best performance. The precipitation over central Italy is
411 better represented because the maximum rainfall (40-45 mm/3h) is in reasonable agreement with
412 observations, and also because the area with intense precipitation (> 25 mm/3h) is elongated in
413 the SW-NE direction in agreement with raingauge measurements, giving a much better idea of the
414 real storm intensity compared to RAD and LIGHT, as well as CTRL. The light precipitation over
415 northern Italy is well represented by RADLI.

416 Figure 14f shows the POD, computed for the domain of Figure 14a, for the time period considered.
417 CTRL and LIGHT show a poor forecast compared to RAD and RADLI, underlining the importance of
418 the assimilation of reflectivity factor observations for this phase of the storm. The POD of RADLI is
419 0.33 for the 30 mm/3h threshold (3 stations out of 10 where correctly predicted). This represents
420 a good performance considering that the intense precipitation is localized and we used the
421 nearest neighbour methodology to compute the score, which, for the specific grid resolution,
422 limits to 5.7 km the displacement error.

423 Figure 14f also shows the significant improvement of RAD and RADLI for the light rainfall forecast
424 because the POD for the 0.2 mm/3h threshold increases from 0.5 of CTRL (0.55 for LIGHT) to
425 about 0.85 for both RAD and RADLI.

426 The ETS score shows again the positive impact of the data assimilation, especially radar reflectivity
427 factor, on the rainfall forecast for this phase of the storm, the best performance given by RADLI.

428 The proportion of correct forecast, Figure 14h, is larger than 84% for all configurations. HR,
429 however, is lower for RAD and RADLI compared to other configurations because of the larger
430 number of false alarms given by the assimilation of radar reflectivity factor.

431 It is finally remarked that lightning and reflectivity factor data assimilation acted synergistically
432 because the simulation assimilating both data performs much better than the simulations
433 assimilating only one kind of observation, either radar reflectivity factor or lightning.

434

435 *4.1.2 Serano: 18-21 UTC 16 September 2017*

436 In this phase, rainfall occurred mainly over central Italy with moderate-heavy amounts. In
437 particular, 51 raingauges measured more than 10 mm/3h, 13 more than 20 mm/3h, 3 more than
438 30 mm/3h and 2 between 40 mm/3h and 50 mm/3h (Figure 15a). Rainfall was also observed over
439 north-western Italy with 12 raingauges observing more than 10 mm/3h, 7 more than 20 mm/3h, 4
440 more than 30 mm/3h, and 3 between 40 mm/3h and 50 mm/3h.



441 The CTRL forecast, Figure 15b, shows little precipitation over central Italy, giving an unsatisfactory
442 forecast, while the forecast over north-western Italy is well represented even if displaced few tens
443 of kilometres to the North of the real occurrence.

444 The RAD forecast, Figure 15c, is better than CTRL. Firstly, the rainfall pattern over central Italy is
445 well predicted but the maximum values are underestimated; secondly, the rainfall over north-
446 western Italy is simulated more to the South compared to CTRL, more in agreement with
447 observations.

448 The LIGHT forecast, Figure 15d, improves considerably the rainfall prediction over central Italy and
449 the maximum values forecast by LIGHT are more in agreement with observations compared to
450 RAD. The rainfall over north-western Italy is shifted to the North compared to raingauges
451 measurements, similarly to CTRL.

452 RADLI forecast, Figure 15e, shares features with both RAD and LIGHT. For example, the maximum
453 values over central Italy are similar to LIGHT, while the rainfall over north-western Italy is similar
454 to RAD.

455 It is also noted that the precipitation over central Italy for thresholds higher than 20 mm/3h covers
456 a wider area compared to LIGHT, extending towards the SW, giving a better representation of the
457 observed precipitation.

458 RADLI has the best POD among the simulations, ranging from 0.8 (0.2 mm/3h) to 0.2 (38 mm/3h),
459 followed by LIGHT and RAD. The improvement given by data assimilation to the CTRL forecast is
460 notable for all experiments assimilating data (radar reflectivity factor and/or lightning). The ETS
461 score shows that RADLI and LIGHT forecasts are useful up to about 40 mm/3h, while RAD forecast
462 has a lower performance. Again, ETS shows a significant improvement of the simulations with data
463 assimilation compared to CTRL. Despite the higher POD, RADLI has a lower ETS than LIGHT. This
464 behaviour is found also for other VSF and is caused by the larger number of false alarms in the
465 RADLI forecast, especially when compared to LIGHT.

466 The proportion of correct forecast (Figure 15h) is larger than 75% for all thresholds. The larger
467 number of false alarms given by RADLI and RAD compared to LIGHT is notable in the lower values
468 of HR for the configurations assimilating radar reflectivity factor.

469 Despite the bigger number of false alarms, the forecast given by RADLI is the best among all
470 forecasts, because it clearly shows the moderate precipitation occurring over central and north-
471 western Italy.

472



473 *4.2 Livorno*

474 The Livorno case lasted for several hours starting at 18 UTC on 9 September 2017 and ending
475 more than a day later. The most intense phase in Livorno and its surroundings was observed
476 during the night between 9 and 10 September. In the following, we will show three representative
477 VSF (3h), including the most intense phase in Livorno.

478

479 *4.2.1 Livorno: 18-21 UTC 9 September 2017*

480 During this period, the precipitation started to hit intensely Livorno and its surroundings (point A
481 in the Figure 16a). Figure 16a shows the rainfall observed between 18 and 21 UTC on 9
482 September. Over Tuscany there are three stations around Livorno (the yellow-red raingauges of
483 Figure 16a close to label A) reporting more than 30 mm/3h: 31 mm/3h, 37 mm/3h, and 55
484 mm/3h, respectively. The precipitation is spread over Liguria, Tuscany and Emilia Romagna, with
485 130 raingauges, of the 517 raingauges available in this time interval, measuring more than 10 mm
486 in 3h and 25 raingauges measuring more than 20 mm in 3h.

487 CTRL forecast for this period is shown in Figure 16b. It is apparent that CTRL misses the
488 precipitation over coastal Tuscany, while that over the Apennines (label B in Figure 16a) is
489 underestimated. CTRL predicts the precipitation over Liguria but the amount is overestimated
490 being the forecast amount over 75 mm/3h for some stations, while observations have maximum
491 values between 25 mm/3h and 30 mm/3h.

492 Figure 16c shows the RAD precipitation forecast. The impact of the reflectivity factor data
493 assimilation is notable. Compared to CTRL, the precipitation covers a larger area and reaches the
494 coastal part of Tuscany. The precipitation over Livorno is not well predicted, the amount being 10-
495 15 mm/3h.

496 The precipitation over Liguria is still overestimated by RAD but to a lower extent compared to
497 CTRL. The assimilation of radar reflectivity factor can increase or decrease the water vapour
498 content of the simulations, depending on the reflectivity factor observed and simulated, and the
499 lower precipitation over Liguria for RAD compared to CTRL is an effect of the reduction of water
500 vapor caused by the data assimilation of radar reflectivity factor.

501 Figure 16d shows the precipitation simulated by LIGHT. The rainfall reaches the Tuscany coast and
502 extends more to the East in the northern part of the domain compared to both CTRL and RAD,
503 being LIGHT more in agreement with observations.



504 The precipitation forecast around Livorno is 25-30 mm/3h, however the precipitation over the sea,
505 30 km far from the location of the most intense precipitation observed in the 3h, reaches 45 mm
506 in 3h, giving the hint of a potentially intense storm.

507 The rainfall over Liguria is overestimated by LIGHT, but to a less extent compared to both RAD and
508 CTRL. The LIGHT simulation moves the storm southeastward faster than other configurations,
509 leaving less rain over Liguria compared to RAD and CTRL.

510 Figure 16e shows the rainfall of RADLI forecast. The precipitation field shares some characteristics
511 with the LIGHT simulation and some others with RAD simulation. For example, the precipitation in
512 the northern part of the domain, similarly to LIGHT, extends more to the East compared to RAD.
513 The precipitation swath over Tuscany coast is similar to that of RAD, but shifted southward. The
514 maximum precipitation in the Livorno area is 20-25 mm /3h, underestimating the observed
515 maximum precipitation, but being closer to the observed position compared to RAD. The
516 precipitation over Liguria is overestimated by RADLI.

517 POD score, Figure 16f, shows that CTRL performance is improved by data assimilation. LIGHT
518 performs better than RAD up to 16 mm/3h, while RAD performs better for larger thresholds,
519 thanks to a better simulation of the precipitation over the Apennines (label B of Figure 16a).
520 Interestingly, the POD of RADLI follows LIGHT up to 16 mm/3h and RAD for larger thresholds,
521 having, overall, the best score.

522 ETS score, Figure 16g, confirms the results of POD. CTRL forecast is useful (ETS > 0) up to
523 14mm/3h, LIGHT up to 18 mm/3h, RAD and RADLI up to 22 mm/3h.

524 HR is lower for CTRL up to 22 mm/3h because it has a lower number of hits. For larger thresholds
525 RADLI has the lowest HR because of its higher number of false alarms compared to other
526 configurations.

527 In summary, for the period of the onset of high precipitation over Livorno, the assimilation of
528 lighting or radar reflectivity factor or both data improves the precipitation forecast giving hint of
529 intense precipitation in the Livorno area for both LIGHT and RADLI simulations. However, the
530 maximum precipitation in Livorno is underestimated by the VSF forecast even with data
531 assimilation, while the precipitation over Liguria is overestimated.

532

533 *4.2.2 Livorno: 00-03 UTC 10 September 2017*

534 This period represents the most intense phase of the storm in Livorno. In particular, the raingauge
535 close to the label A (Figure 17a) reported 151 mm/3h (Collesalveti), while the one close to the



536 label B measured 82 mm/3h. Among the 518 raingauges reporting valid data, 75 observed more
537 than 10 mm/3h, 31 more than 20 mm/3h, 17 more than 30 mm/3h, 9 more than 40 mm/3h, and 6
538 more than 50 (also 60) mm/3h.

539 The CTRL precipitation forecast is shown in Figure 17b. The forecast is poor because it misses the
540 precipitation swath from the coast towards NE. Indeed, a precipitation swath is forecast about 50
541 km to the North of the real occurrence, but it is less wide compared to the observations.

542 The forecast of RAD, Figure 17c, shows that the assimilation of radar reflectivity factor gives a
543 clear improvement to the forecast. The largest precipitation in the coastal part of the swath (we
544 searched the maximum value in the area with longitudes between 10.20E and 10.70E and
545 latitudes between 43.10N and 43.60N) is 94 mm/3h, clearly showing the occurrence of a heavy
546 precipitation event. Another local maximum is shown in the southern part of the domain (label B).
547 The maximum location is well represented, but the forecast value is 55 mm/3h while the observed
548 maximum is 82 mm/3h.

549 An improvement, compared to both CTRL and RAD, is given by the assimilation of lightning (Figure
550 17d). Also for this simulation there is a precipitation swath from coastal Tuscany to the Apennines,
551 but the shape of the swath better resembles that observed compared to RAD. The maximum value
552 close to Livorno, i.e. in the coastal part of the swath, is 158 mm/3h, clearly showing the
553 occurrence of a severe storm.

554 The LIGHT simulation also shows the local maximum in the southern part of the domain (about 50
555 mm/3h), but the amount is underestimated.

556 Figure 17e shows the rainfall forecast by RADLI. The precipitation swath from coastal Tuscany
557 towards NE is more apparent compared to LIGHT and RAD. The maximum rain accumulated close
558 to Livorno is 186 mm/3h. Also, the second precipitation maximum in the southern part of the
559 domain reaches 70 mm/3h in good agreement with observations (82 mm/3h). Also, RADLI is the
560 only run producing a satisfactory precipitation field over the south-eastern Emilia Romagna
561 (north-eastern part of the domain), on the lee of the Apennines.

562 It is also noted that the main precipitation swath forecast by RADLI is too broad in the direction
563 crossing the swath compared to the observations. This is confirmed by the FBIAS of RADLI (not
564 shown), which is more than 3 for thresholds larger than 42 mm/3h.

565 Considering the POD, Figure 17f, we note the considerable improvement given to the score by
566 data assimilation (lightning and/or radar reflectivity factor). POD is larger than 0.5 for RADLI and
567 LIGHT up to the 52 mm/3h thresholds, clearly showing that those two configurations are able to



568 catch the position and timing of the very intense precipitation, especially considering that the
569 maximum displacement error for the precipitation field is 1.9 km.

570 RAD has a lower capability to correctly forecast the precipitation inland compared to FLASH and
571 RADLI, however: a) it qualitatively reveals the heavy precipitation occurring in the Livorno area; b)
572 the POD score is considerably improved compared to CTRL.

573 The ETS score, Figure 17g, underlines the good performance of RAD, LIGHT and RADLI compared
574 to CTRL. RAD has a useful forecast ($ETS > 0$) up to 42 mm/3h, while LIGHT and RADLI show useful
575 forecast up to 60 mm/3h. The lower ETS of RADLI compared to LIGHT for thresholds larger than 42
576 mm/3h is caused by the greater number of false alarms occurring in RADLI. The large variations of
577 the scores for thresholds above 40 mm/3h is caused by the low number of raingauges observing
578 those rainfall amounts.

579 CTRL has the lowest HR, Figure 17h, up to 16 mm/3h because of the lower number of hits
580 compared to other configurations. For thresholds larger than 32 mm/3h RADLI has the lowest HR
581 due to the comparatively higher number of false alarms.

582 In summary, for the most intense precipitation period over Livorno, the data assimilation of
583 lightning and radar reflectivity factor plays a key role for the correct representation of the storm
584 intensity, timing and position, giving an improvement of paramount practical importance.

585

586 *4.2.3 Livorno: 06-09 UTC 10 September 2017*

587 In this period, the most intense phase of the precipitation occurred over Central Italy, over the
588 coastal part of Lazio (Figure 18a). More in detail, among the 2695 raingauges reporting valid data
589 over the domain of Figure 18a, 307 reported more than 10 mm/3h, 132 more than 20 mm/3h, 86
590 more than 30 mm/3h, 66 more than 40 mm/3h, 49 more than 50 mm/3h and 35 more than 60
591 mm/3h. Among the 35 raingauges measuring more than 60 mm/3h, 33 were over the Lazio,
592 showing the heavy rainfall occurred over the Region.

593 Some precipitation persisted over Tuscany but the rainfall is much lower compared to previous 6h
594 (the rainfall over Tuscany between 03 and 06 UTC was very intense, not shown). Other notable
595 precipitation areas are over the NE of Italy (moderate to low amounts), over Central Alps
596 (moderate values) and over the whole Sardinia (small amounts).

597 Figure 18b shows the rainfall simulated by CTRL. The forecast is unsatisfactory, mainly for the
598 following two reasons: a) heavy precipitation is simulated over Tuscany (> 75 mm/3h), also close
599 to the Livorno area; b) very small precipitation is forecast over Central Italy. The rainfall over NE



600 Italy is well represented in space, but overestimated because the forecast is higher than 50
601 mm/3h in correspondence of some raingauges, while observed values are 20-25 mm/3h. The small
602 precipitation over Sardinia is not forecast by CTRL.

603 Considering the evolution of CTRL rainfall forecast for the different phases of the storm, we
604 conclude that CTRL was able to predict abundant rain over Livorno, but this was delayed
605 compared to the real event.

606 The rainfall simulated by RAD (Figure 18c) clearly improves the forecast compared to CTRL. First,
607 the precipitation over Lazio is very well predicted and the rainfall values are higher than 40 mm/3h
608 (up to 65 mm/3h), so the RAD forecast well represents the main precipitation spot over Italy for
609 this period of time. Second, the precipitation over Tuscany is lowered compared to CTRL, showing
610 the ability of radar reflectivity factor data assimilation to dry the model when it predicts rain that
611 is not observed. Third, the precipitation over Central Alps is represented, even if located about 30
612 km to the East.

613 There are also aspects of the rainfall forecast that are less satisfactory: the small precipitation over
614 Sardinia is not represented by RAD; the precipitation over NE Italy is well represented in space but
615 overestimated.

616 LIGHT forecast, Figure 18d, shows a worse performance compared to RAD for this time period. The
617 precipitation forecast is mainly over Tuscany, where it is overestimated, with a small precipitation
618 spot over Lazio. There are, however, three improvements compared to CTRL and RAD: a) the small
619 precipitation over Sardinia is well represented in LIGHT; b) the precipitation over Central Alps is
620 well predicted; c) the rainfall forecast over NE Italy is overestimated by LIGHT but to a less extent
621 compared to RAD.

622 The precipitation forecast of RADLI, Figure 18e, represents very well the precipitation over Lazio,
623 and the rainfall amount is better predicted compared to RAD. The precipitation over Sardinia is
624 well represented by RADLI as well as the precipitation over Central Alps, giving the best results
625 among all forecasts.

626 The POD score (Figure 18f) confirms the above analysis. All the experiments with data assimilation
627 outperform the CTRL forecast, and, for this time period, RAD performs better than LIGHT. RADLI
628 shows the best POD among all configurations because it represents better the amount of rainfall
629 over Lazio.

630 Similar considerations apply to ETS (Figure 18g); it is worth of note the high value of ETS for
631 thresholds larger than 50 mm/3h, which represent heavy rainfall. Again, a forecast that was



632 missed by CTRL is correctly represented by the assimilation of both radar reflectivity factor and
633 lightning.

634 The HR score (Figure 18h) shows that CTRL has the lowest score for thresholds below 14 mm/3h
635 because it has a lower number of hits. For higher thresholds (> 32 mm/3h), the impact of the false
636 alarms become important and RADLI has the lowest HR.

637

638 **5. Discussion and Conclusions**

639 In this paper we have shown the impact of the lightning and radar reflectivity factor data
640 assimilation on the very short term forecast (3h) of precipitation for two cases occurred in Italy.
641 We use the RAMS@ISAC model, whose 3DVar extension to the assimilation of radar reflectivity
642 factor is shown in this paper.

643 The first case study occurred on 16 September 2017 and it is a moderate case with localised
644 rainfall over Central Italy. It was chosen because the control forecast, i.e. without radar reflectivity
645 factor or lightning data assimilation, missed the event. The second event, occurred on 9-10
646 September 2017, was characterised by exceptional rainfall over several parts of Italy. This event
647 was partially represented by the control forecast. In particular, the forecast of the event was
648 incorrect because: a) the control forecast was delayed compared to the observations; b) the
649 control forecast missed the rainfall over central Italy (Lazio Region).

650 It is important to recall that the impact of the lightning data assimilation on the precipitation
651 forecast of RAMS@ISAC was already studied for the HyMeX-SOP1 period (Federico et al., 2017a,
652 2017b), and a robust statistic is already available. The results of this study confirm the important
653 role of the lightning data assimilation on the rainfall forecast for two case studies. However,
654 considering the assimilation of radar reflectivity factor, and its combination with lightning data
655 assimilation in RAMS@ISAC, the results of this paper are new.

656 Because we analysed only two case studies, no definitive conclusions can be derived on the
657 performance of RAMS@ISAC for radar reflectivity factor data assimilation. There are, however,
658 few points worth of mention.

659 The VSF performance of RAMS@ISAC is systematically improved by the assimilation of radar
660 reflectivity factor. This improvement is of paramount importance for some specific VSF (for
661 example for the 00-03 UTC for Livorno), when the control forecast missed the event while it was
662 correctly predicted by radar reflectivity factor data assimilation. Sometimes the improvement of
663 reflectivity factor data assimilation has a lower impact on the precipitation forecast, as for the



664 period 18-21 UTC on 9 September 2017 (Livorno) or for the second stage (18-21 UTC) of the
665 Serano case; however, also for these cases the assimilation of reflectivity improves the
666 precipitation forecast.

667 Lightning and radar observations are different and both add value to the VSF. In particular, flashes
668 are recorded when deep convection develops, while radar reflectivity factor is observed also for
669 light stratiform rain. Flashes are available for the open sea, while radar reflectivity factor is
670 confined to the range of the coastal radars in the network. Lightning have a seasonal dependence
671 over Italy, with the maximum in summer and fall, while radar reflectivity factor is available in all
672 seasons.

673 For the above reasons, the impact of the two kinds of data on the rainfall VSF is expected
674 different. Some examples have been shown: the light precipitation over Northern Italy for Serano
675 case is well forecast assimilating radar reflectivity factor, while it is not simulated assimilating
676 flashes because they are too few in this area to force convection into the model; lightning data
677 assimilation is able to better represent the deep convection occurring during the intense phase of
678 the Livorno case (00-03 UTC), especially because it is able to force convection where it occurs,
679 reducing false alarms. The last characteristic has been found in some others VSF of the case
680 studies considered, and it is shown by the fact that the ETS score for LIGHT is sometimes the best
681 among all simulations.

682 The model configuration assimilating both radar reflectivity factor and lightning (RADLI) is able to
683 retain important features of both data assimilation. For example, the simulation of the Livorno
684 case in the phase 06-09 UTC was able to simulate the heavy precipitation over Lazio thanks to the
685 radar reflectivity factor data assimilation and the precipitation over Sardinia, as well as the
686 moderate precipitation over Central Alps, thanks to the lightning data assimilation.

687 Another example of synergistic interaction between the two types of data assimilation was found
688 for the most intense phase of the Serano case (03-06 UTC on 16 September 2017). In this period,
689 the light precipitation over the Alps was forecast by RADLI because of the assimilation of radar
690 reflectivity factor, while the localised precipitation maximum over Central Italy was better forecast
691 thanks to the synergistic action of lightning and reflectivity factor data assimilation.

692 The property of RADLI to retain the precipitation forecast features of both data is shown by the
693 POD score, which is the best, for most cases and thresholds, for RADLI.



694 Another interesting feature is the considerable improvement of the POD of RADLI compared to
695 CTRL for the lowest threshold, showing the better ability of RADLI to predict the area where
696 precipitation will occur at the short term.

697 It is also underlined that the data assimilated, both lightning and radar reflectivity factor, are
698 produced operationally and available in real time and could be used for an operational
699 implementation of the model.

700 All the above features are promising and deserve future studies to better understand the role of
701 radar reflectivity factor and its interaction with lightning data assimilation to improve the
702 precipitation forecast; there are, however, less satisfactory aspects of assimilating both radar
703 reflectivity factor and lightning data. The RADLI forecast has more false alarms compared to RAD
704 and LIGHT and this penalises the usefulness of RADLI forecast. This is shown by the lower ETS and
705 HR score of RADLI, especially compared to LIGHT, for some thresholds and VSF, despite the larger
706 values of the POD of RADLI.

707 The RADLI forecast can miss intense precipitation: this is shown, for example, by the VSF of 18-21
708 UTC on 9 September 2017 (Livorno), when RADLI underestimated the most intense phase of the
709 storm in Livorno.

710 In addition to the acquisition of more case studies, there are two directions of future development
711 of this work. The lightning data assimilation can be formulated by 3DVar, using a strategy similar
712 to the radar reflectivity factor in which pseudo-profiles of relative humidity are first generated
713 where flashes are recorded, and then those profiles are assimilated by 3DVar. This methodology
714 was already reported in Fierro et al. (2016). The assimilation of both radar reflectivity factor and
715 lightning using 3DVar will be explored in future studies.

716 Another important point to study is how long the innovations introduced by data assimilation lasts
717 in the model forecast. While in this study we explored the VSF at 3h, future studies must explore
718 longer time ranges. A similar study was performed for lightning data assimilation (Federico et al.,
719 2017b), using a model set-up very similar to that used in this paper. Results showed that the
720 lightning data assimilation gave a small and positive contribution to the precipitation forecast up
721 to 24 h. However, the impact of data assimilation decreased rapidly, and the improvement of the
722 rainfall forecast was significant after 6h, small after 12h and negligible after 24 h. A study
723 considering both radar reflectivity factor and lightning should be performed to understand the
724 resilience of the innovations introduced by data assimilation.

725



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734

735

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895 **TABLES**

896 Table 1: List of physical parameterisations used for RAMS@ISAC in this paper.

Physical parameterization	Selected scheme
Parametrized cumulus convection	Modified Kuo scheme to account for updraft and downdraft (Molinari and Corsetti, 1985). The scheme is applied to R10 only.
Explicit precipitation parameterization	Bulk microphysics with six hydrometeors (cloud, rain, graupel, snow, ice, water vapor). Described in Hong and Lim (2006).
Exchange between the surface, the biosphere and atmosphere.	LEAF3 (Walko et al., 2000). LEAF includes prognostic equations for soil temperature and moisture for multiple layers, vegetation temperature and surface water, and temperature and water vapor mixing ratio of canopy air.
Sub-grid mixing	The turbulent mixing in the horizontal directions is parameterised following Smagorinsky (1963), vertical diffusion is parameterised according to the Mellor and Yamada (1982) scheme, which employs a prognostic turbulent kinetic energy.
Radiation scheme	Chen-Cotton (Chen and Cotton, 1983). The scheme accounts for condensate in the atmosphere.

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919 Table 2: Basic parameters of the RAMS@ISAC grids (R10, R4 and R1, corresponding, respectively, to the domains D1,
 920 D2 and D3). NNXP is the number of grid points in the WE direction, NNYP is the number of grid-points in the NS
 921 direction, NNZP is the number of vertical levels, DX is the size of the grid spacing in the WE direction, DY is the grid
 922 spacing in the SN direction. Lx, Ly, and Lz are the domain extensions in the NS, WE, and vertical directions. CENTLON
 923 and CENTLAT are the coordinates of the grid centres.

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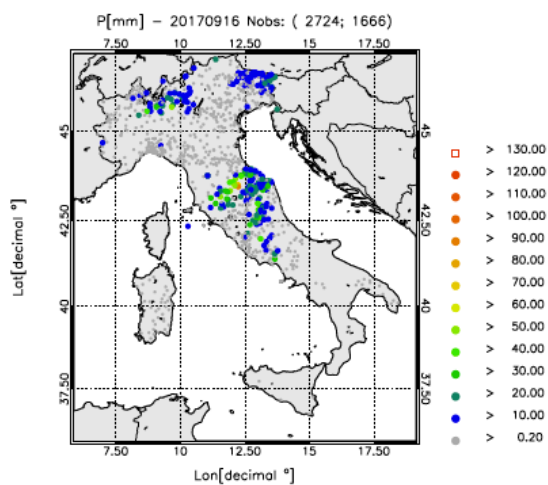
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	R10, D1	R4, D2	R1, D3
NNXP	301	401	203
NNYP	301	401	203
NNZP	36	36	36
Lx	3000 km	1600 km	~270 km
Ly	3000 km	1600 km	~270 km
Lz	~22400 m	~22400 m	~22400 m
DX	10 km	4 km	4/3 km
DY	10 km	4 km	4/3 km
CENTLAT (°)	43.0 N	43.0 N	43.7 N
CENTLON (°)	12.5 E	12.5 E	11.0 E



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FIGURES

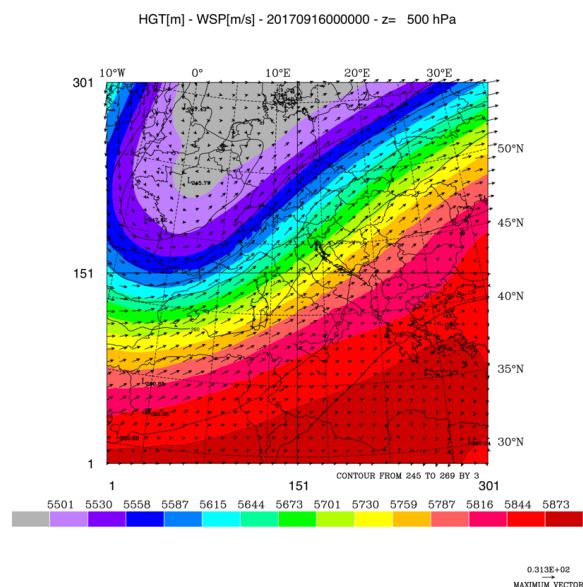


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Figure 1: Daily precipitation (P) [mm] over Italy from the network of the Department of Civil Protection on 16 September 2017. Only raingauges observing at least 0.2 mm/day are shown. The first number in the figure title within brackets represents the available raingauges, while the second number represents raingauges observing at least 0.2 mm/3h. The lowest precipitation class is represented by smaller dots, the largest by a red square.



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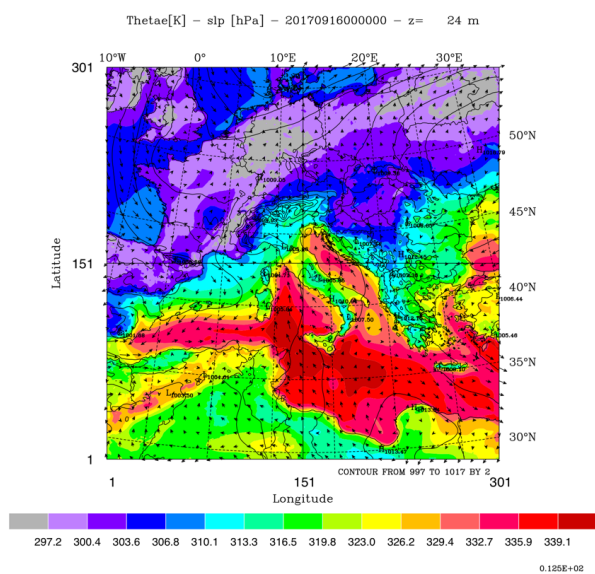
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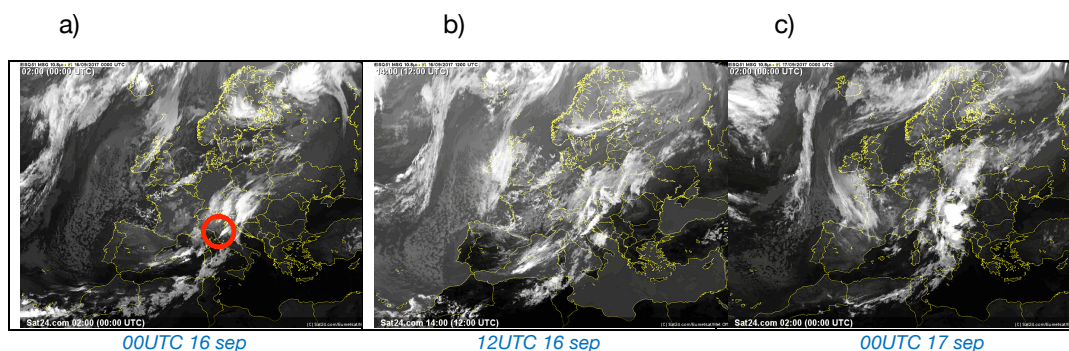


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983 Figure 2: a) Geopotential height (filled contours), temperature (contours) and wind vectors at 500 hPa at 00 UTC on 16
984 September 2017. Maximum velocity is 31 m/s; b) equivalent potential temperature (filled contours), sea-level
985 pressure (contours) and wind vectors at 24 m above the surface (first vertical level, maximum value 13 m/s). A low-
986 pressure pattern is forming over northern Italy, with a front in the western Mediterranean.



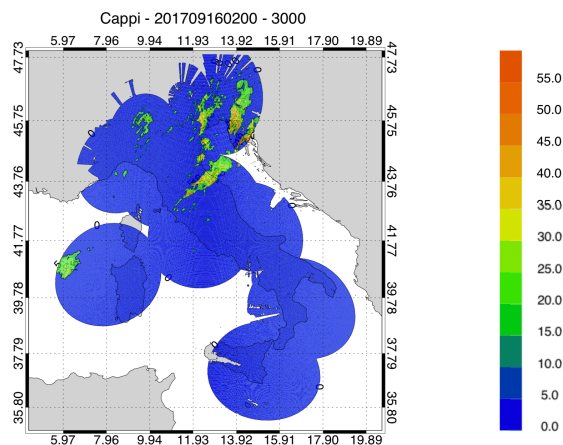
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991 Figure 3: a) Satellite images (METEOSAT second generation) of the infrared channel, 10.8 micron, for 00 UTC and 12
992 UTC on 16 September, and for 00 UTC on 17 September 2017. A well-defined cloud system is apparent inside the red
993 circle of the image at 00 UTC on 16 September 2017.

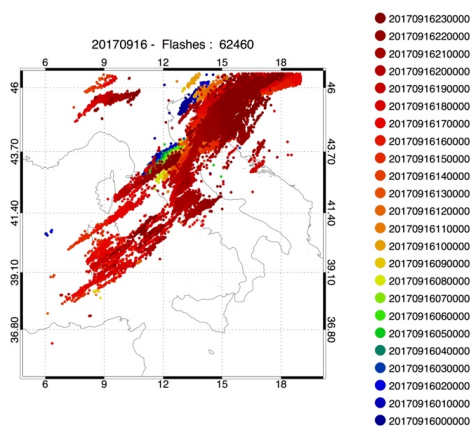
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Figure 4: National radar mosaic at 3 km above the sea level observed at 02 UTC on 16 September 2017.

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998 Figure 5: Lightning recorded on 16 September 2017. The total number of flashes recorded is shown in the title.
 999 Different colours represent the time (UTC) of occurrence of the lightning.

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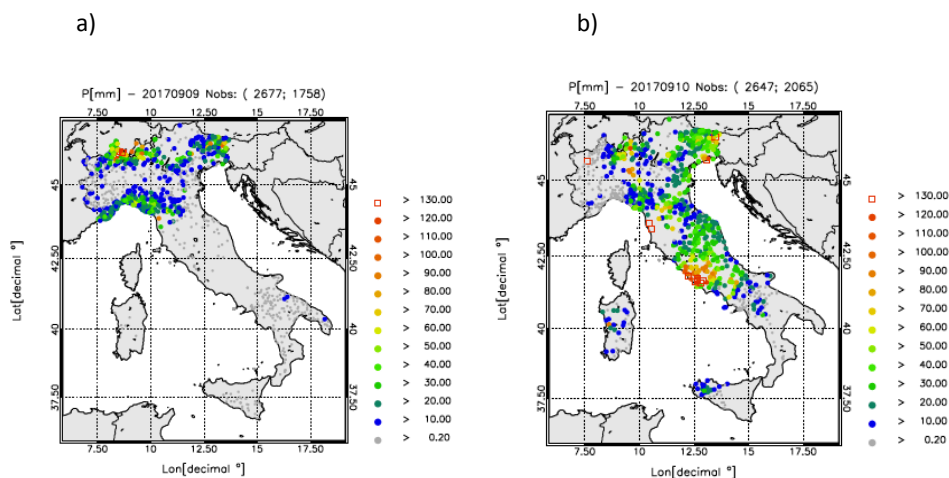
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1010 Figure 6: a) As in Figure 1 but for a) 9 September 2017 and b) 10 September 2017.

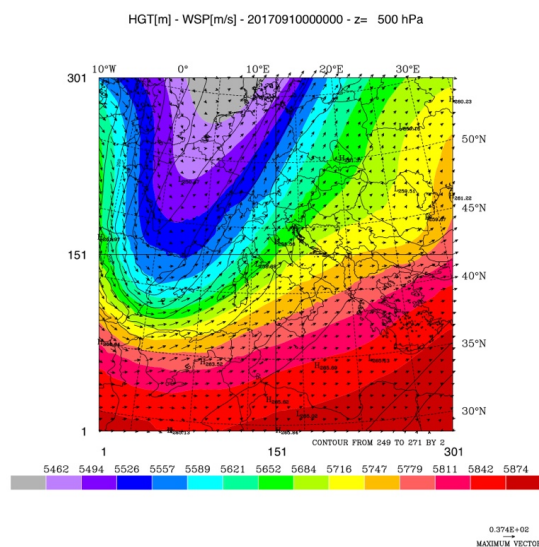
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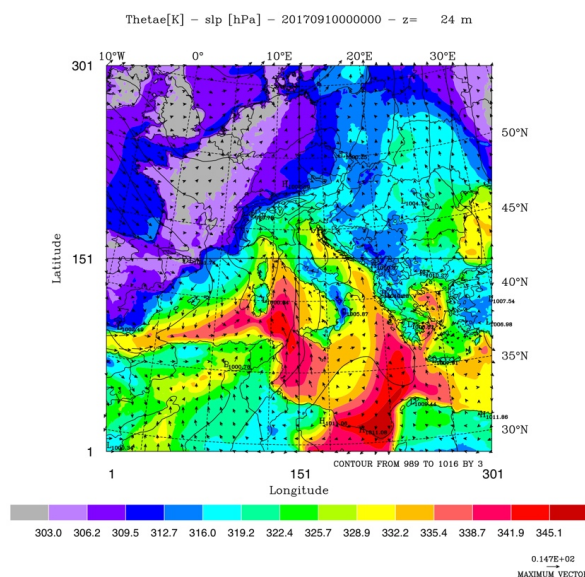
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1018 Figure 7: a) Geopotential height (filled contours), temperature (contours) and wind vectors at 500 hPa at 00 UTC on 10
 1019 September 2017. Maximum velocity is 37 m/s; b) equivalent potential temperature (filled contours), sea-level
 1020 pressure (contours) and wind vectors at 24 m above the surface (first vertical level, maximum value 15 m/s).
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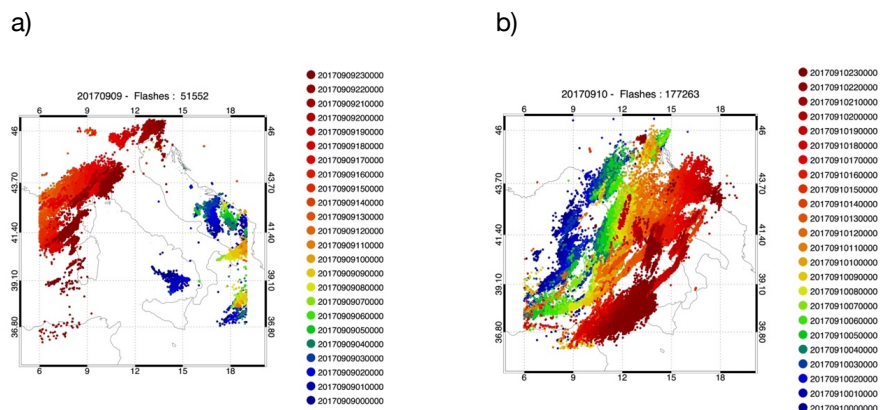
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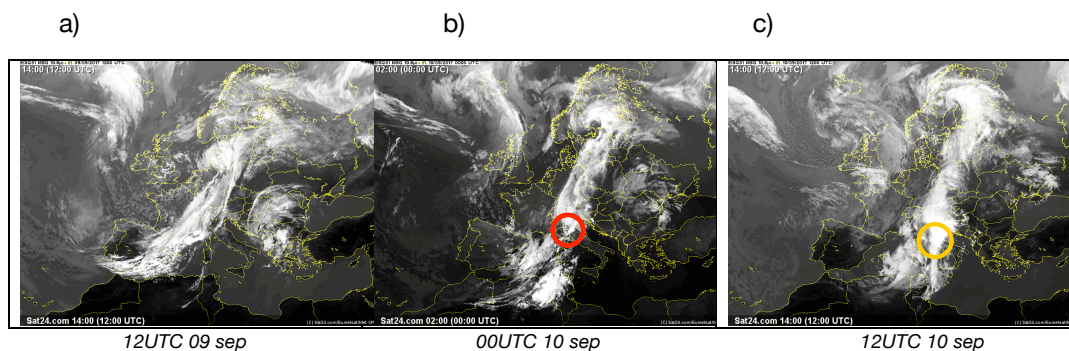


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1027 Figure 8: a) Lightning recorded on 09 September 2017; b) Lightning recorded on 10 September 2017. The number of
 1028 flashes recorded on each day is shown in the title. Different colours represent the time of occurrence of the lightning.

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1032 Figure 9: a) Satellite images (METEOSAT second generation) of the infrared channel, 10.8 micron, for 12 UTC on 9
 1033 September 2017, 00 UTC and 12 UTC on 10 September 2017.

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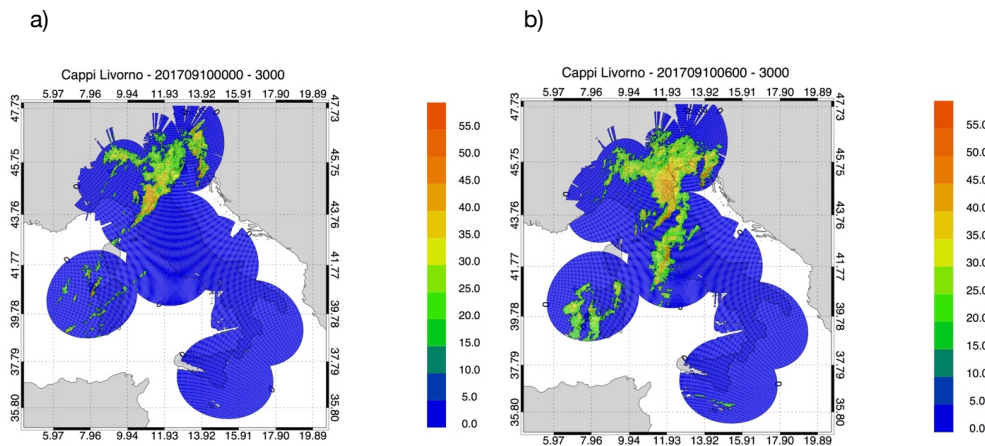
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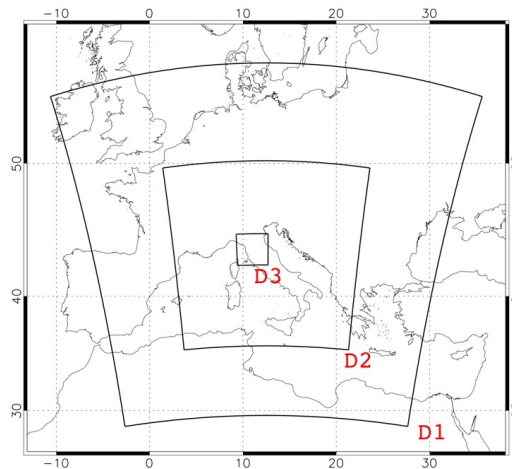
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Figure 10: a) National radar mosaic at 3 km above the sea level observed at 00 UTC on 10 September 2017; b) as in a) for the 06 UTC.

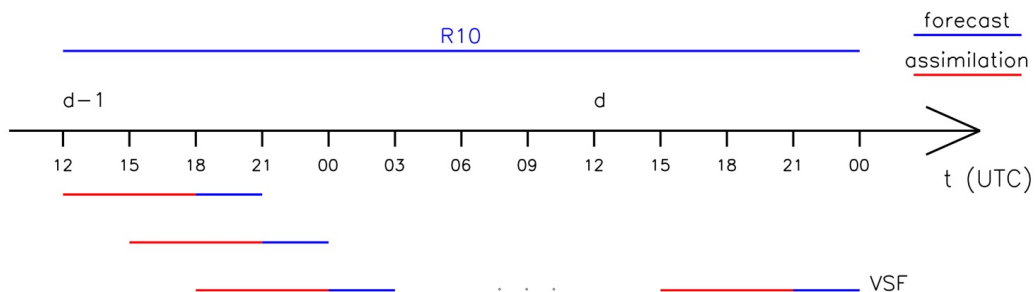
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Figure 11: The three domains used in RAMS@ISAC.



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Figure 12: The time implementation of the RAMS@ISAC very short-term forecast.



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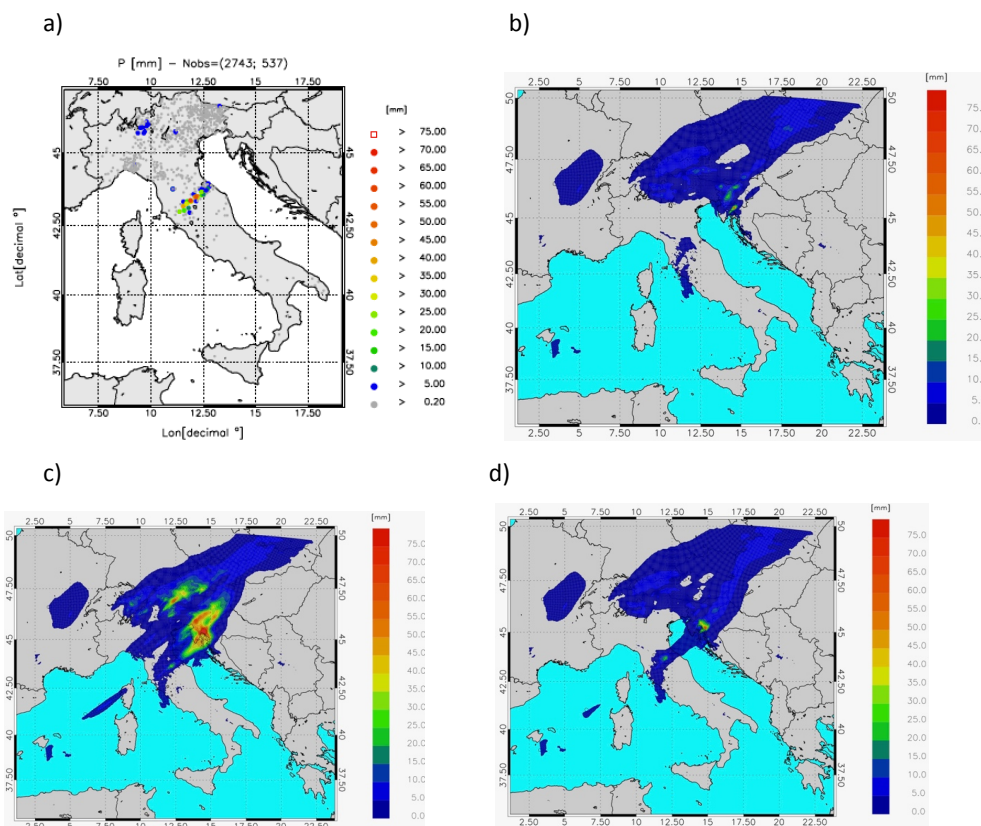
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Figure 13: The radar network of the Department of Civil Protection. Green radars operate with dual-polarisation, blue radars have single polarisation.

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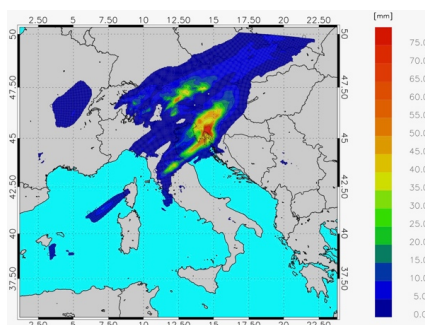
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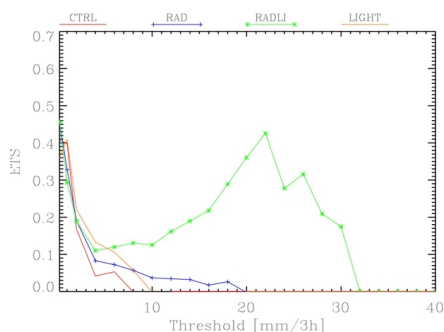


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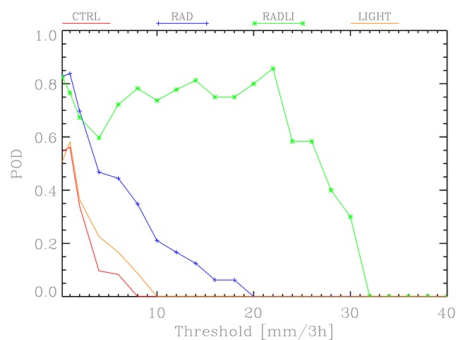
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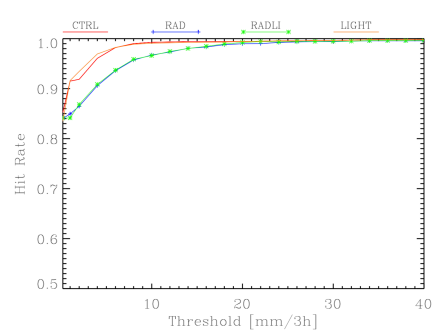
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1071 Figure 14: a) rainfall reported by raingauges between 03 and 06 UTC on 16 September 2017. Only raingauges
 1072 observing at least 0.2 mm/day are shown. The first number in the title within brackets represents the available
 1073 raingauges, while the second number represents those observing at least 0.2 mm/3h; b) as in a) for the CTRL forecast;
 1074 c) as in a) for the RAD forecast; d) as in a) for the LIGHT forecast; e) as in a) for the RADLI forecast; f) POD score for the
 1075 period 03-06 UTC on 16 September 2017; g) as in f) for the ETS score. POD and ETS scores are computed over the
 1076 domain of Figure 14a.
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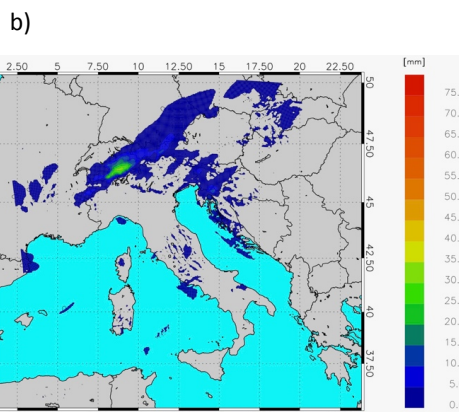
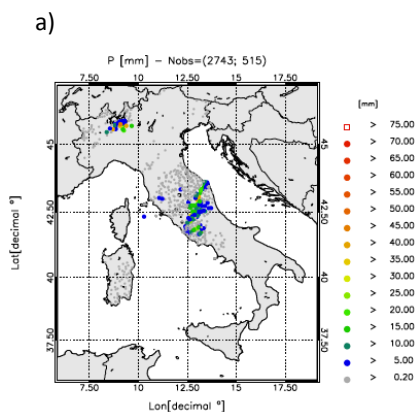
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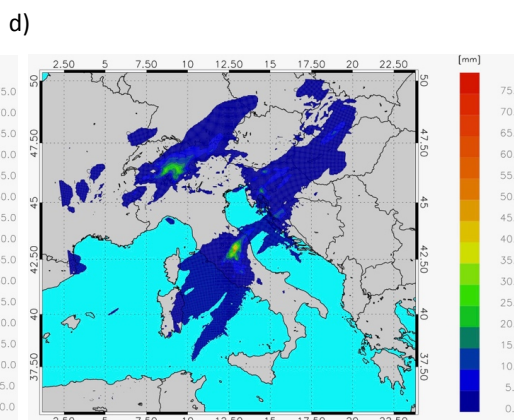
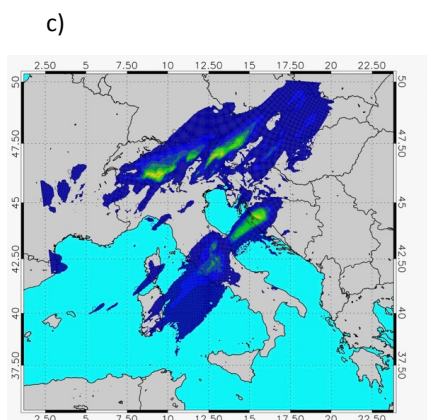
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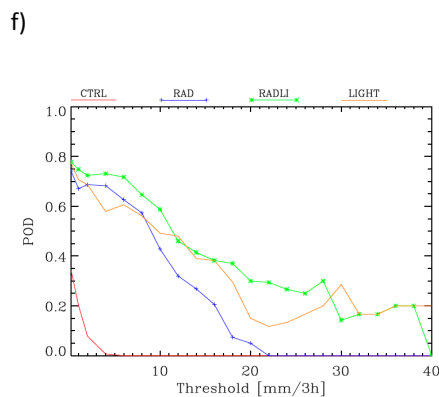
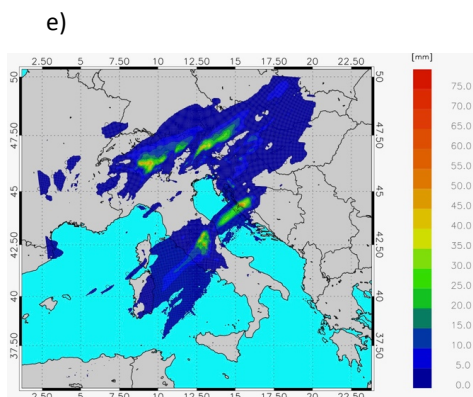
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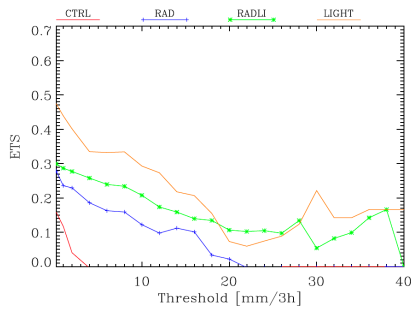


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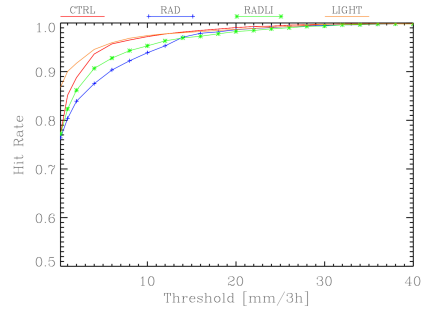


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g)



h)



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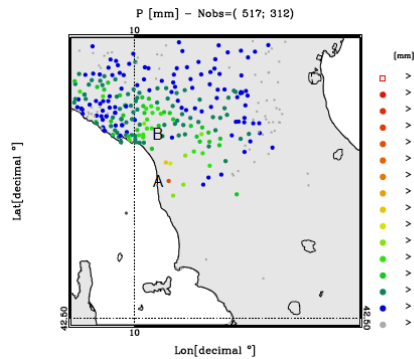
1101 Figure 15: a) rainfall reported by raingauges between 18 and 21 UTC on 16 September 2017. Only raingauges
 1102 measuring at least 0.2 mm/3h are shown. The first number in the title within brackets represents the available
 1103 raingauges, while the second number represents those observing at least 0.2 mm/3h; b) as in a) for the CTRL forecast;
 1104 c) as in a) for the RAD forecast; d) as in a) for the LIGHT forecast; e) as in a) for the RADLI forecast; f) POD score for the
 1105 period 18-21 UTC on 16 September 2017; g) as in f) for the ETS score. POD and ETS scores are computed over the
 1106 domain of Figure 15a.

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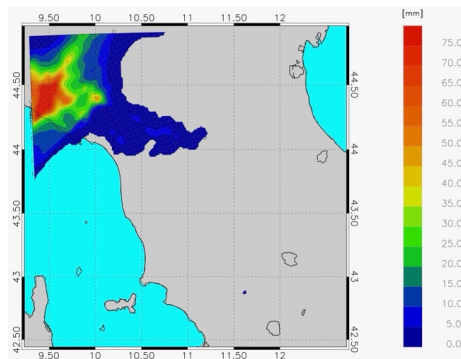
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a)



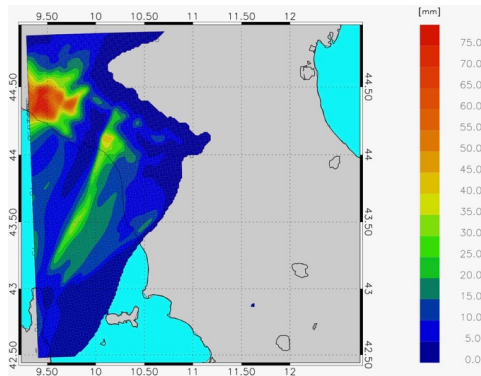
b)



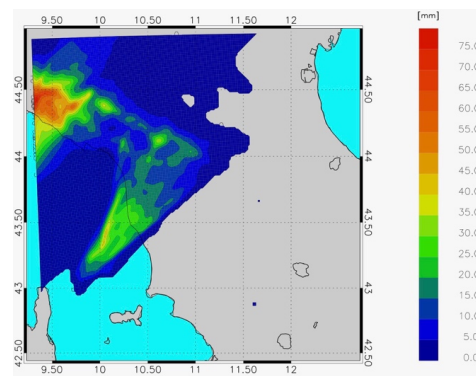
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c)



d)



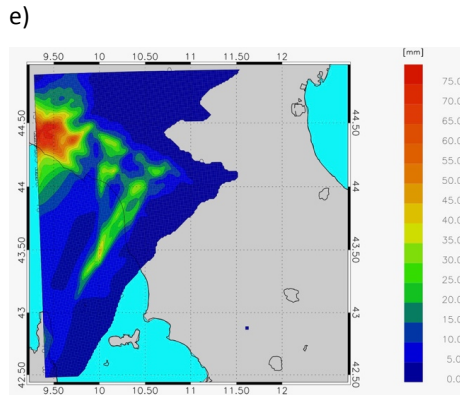
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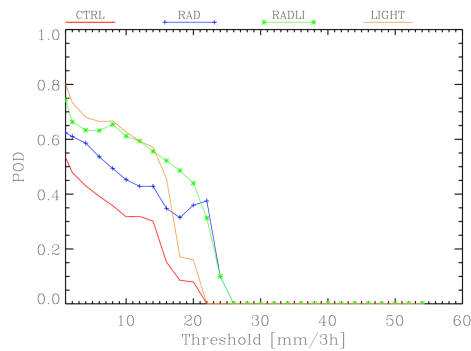
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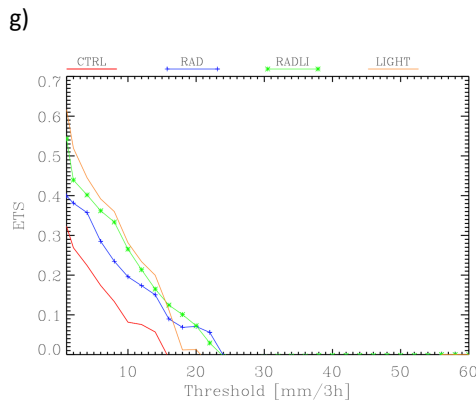
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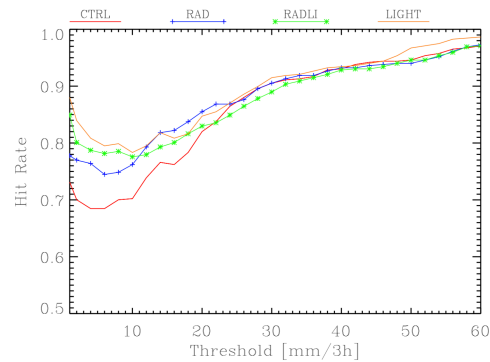
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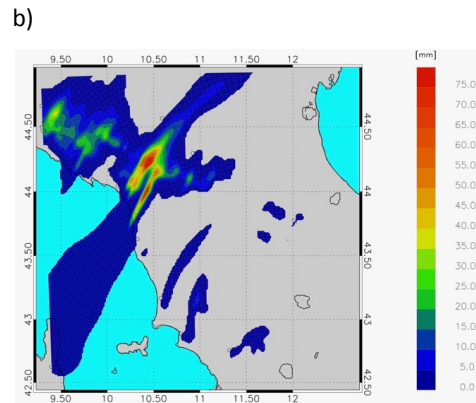
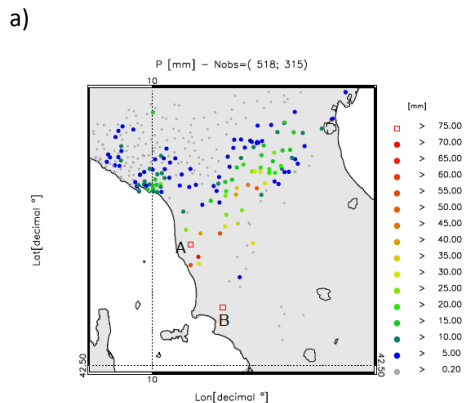
h)



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1120 Figure 16: a) rainfall reported by raingauges between 18 and 21 UTC on 9 September 2017. Only raingauges observing
 1121 at least 0.2 mm/3h are shown. The first number in the title within brackets represents the available raingauges, while
 1122 the second number represents those observing at least 0.2 mm/3h; b) as in a) for the CTRL forecast; c) as in a) for the
 1123 RAD forecast; d) as in a) for the LIGHT forecast; e) as in a) for the RADLI forecast; f) POD score for the period 18-21
 1124 UTC on 9 September 2017; g) as in f) for the ETS score. Label A in Figure 16a shown the position of Livorno. POD and
 1125 ETS scores are computed over the domain of Figure 16a.
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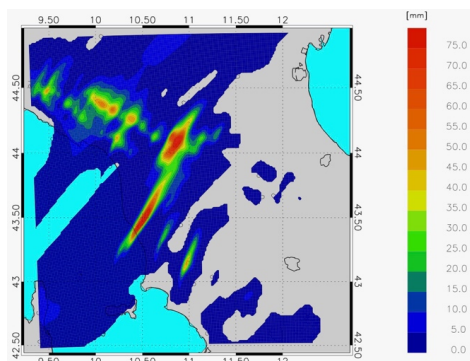
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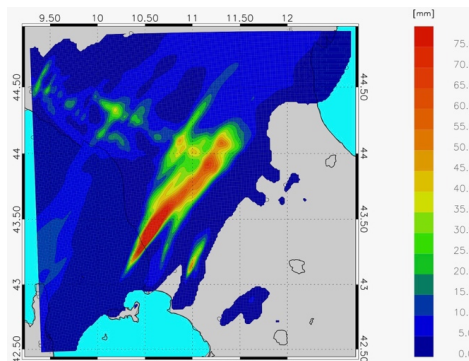


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c)



d)



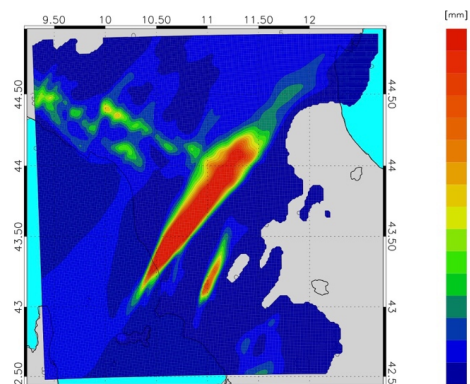
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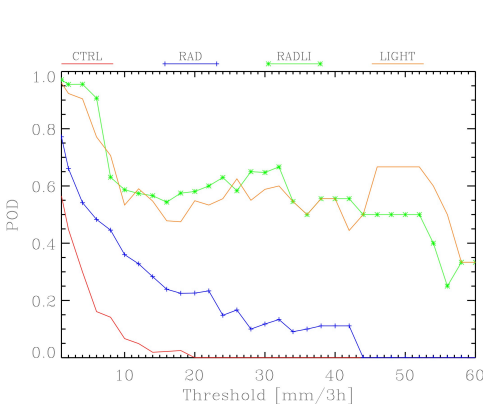
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e)



f)



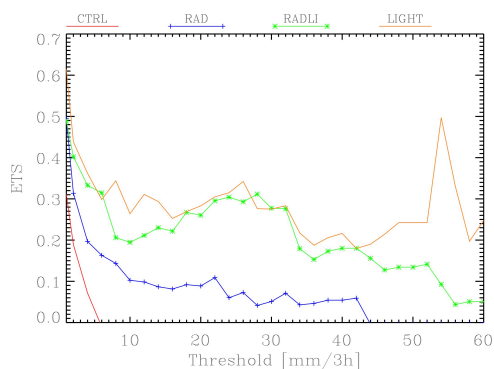
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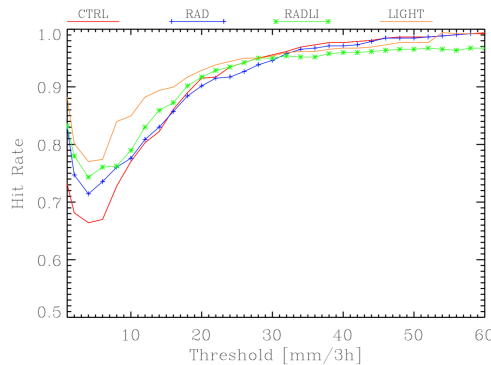
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g)



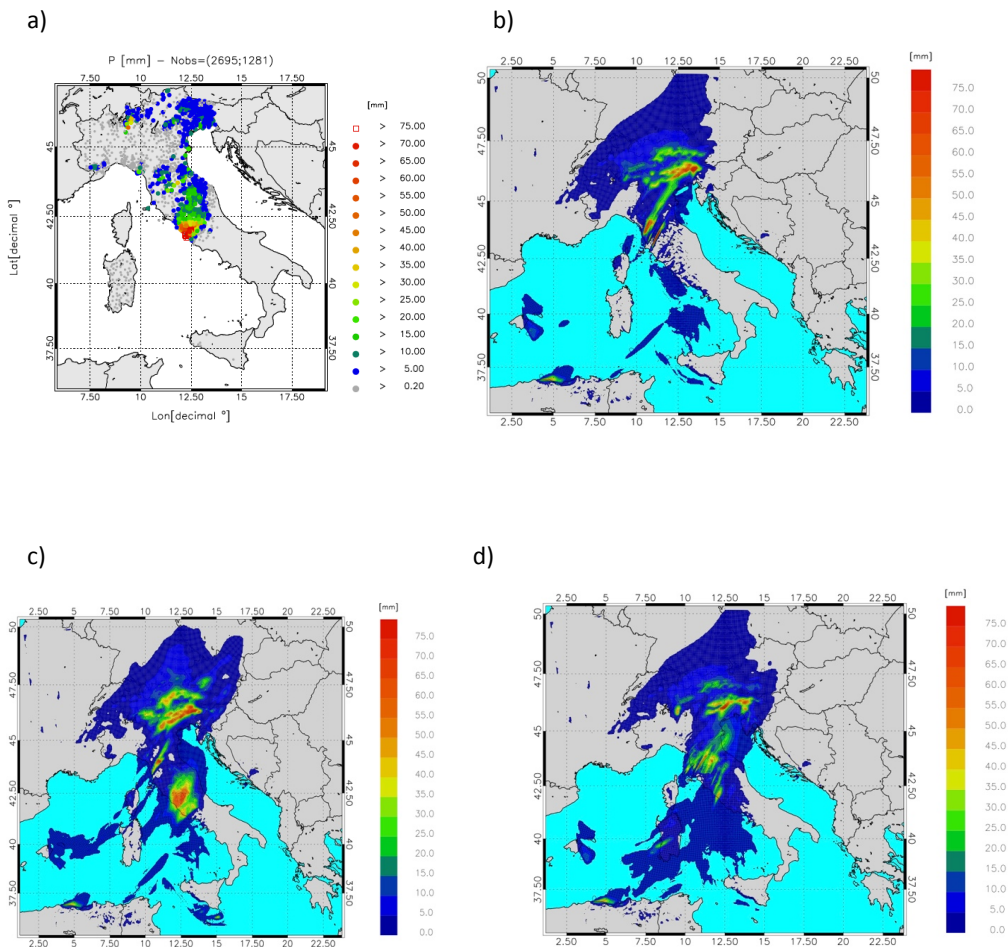
h)



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1140 Figure 17: a) rainfall reported by raingauges between 00 and 03 UTC on 10 September 2017. Only stations reporting at
1141 least 0.2 mm/3h are shown. The first number in the title within brackets represents the number of raingauges
1142 available over the domain, while the second number shows those observing at least 0. 2 mm/3h; b) as in a) for the
1143 CTRL forecast; c) as in a) for the RAD forecast; d) as in a) for the LIGHT forecast; e) as in a) for the RADLI forecast; f)
1144 POD score for the period 00-03 UTC on 10 September; g) as in f) for the ETS score. POD and ETS scores are computed
1145 over the domain of Figure 17a.
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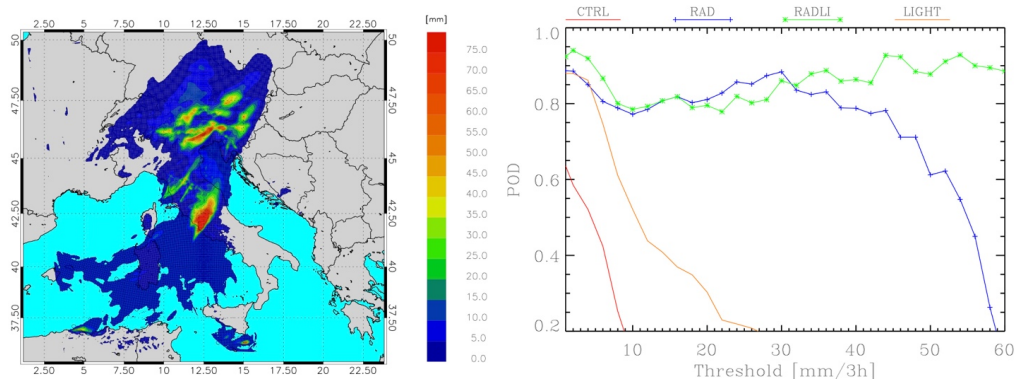
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e) f)

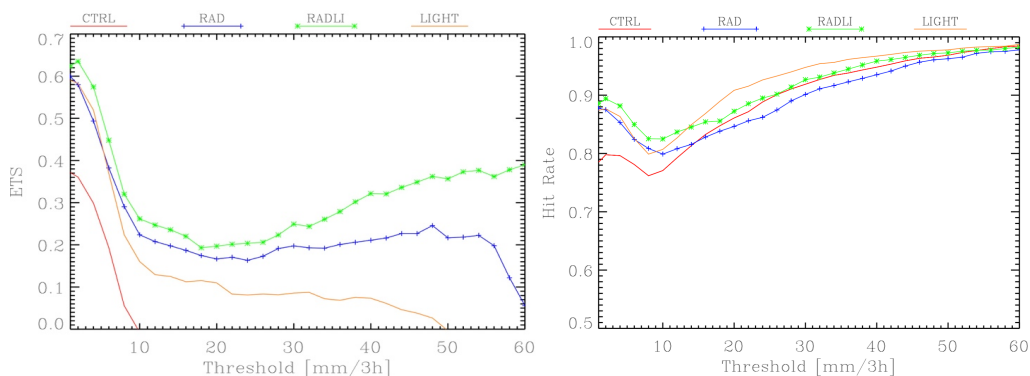


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1169 g)

h)



1171

1172 Figure 18: a) rainfall reported by raingauges between 06 - 09 UTC on 10 September 2017. For this time period 2695
 1173 raingauges reported valid observations in the domain, however only stations reporting at least 0.2 mm/3h are shown
 1174 The first number in the title within brackets represents the number of raingauges available over the domain, while the
 1175 second number shows those observing at least 0.2 mm/3h; b) as in a) for the CTRL forecast; c) as in a) for the RAD
 1176 forecast; d) as in a) for the LIGHT forecast; g) as in a) for the RADLI forecast; f) POD score for the period 06-09 UTC on
 1177 10 September; e) as in f) for the ETS score. POD and ETS scores are computed over the domain of Figure 18a.
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