

1 **The impact of lightning and radar reflectivity factor data assimilation on the very short**
2 **term rainfall forecasts of RAMS@ISAC: application to two case studies in Italy**

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14 **Abstract**

15 In this paper, we study the impact of lightning and radar reflectivity factor data assimilation on the
16 precipitation VSF (Very Short-term Forecast, 3 hours in this study) for two severe weather events
17 that occurred in Italy. The first case refers to a moderate and localised rainfall over central Italy
18 occurred on 16 September 2017. The second case, occurred on 9 and 10 September 2017, was very
19 intense and caused damages in several geographical areas, especially in Livorno (Tuscany) where
20 nine people died.

21 The first case study was missed by several operational forecasts, including that performed by the
22 model used in this paper, while the Livorno case was partially predicted by operational models.

23 We use the RAMS@ISAC model (Regional Atmospheric Modelling System at Institute for
24 Atmospheric Sciences and Climate of the Italian National Research Council), whose 3D-Var
25 extension to the assimilation of RADAR reflectivity factor is shown in this paper for the first time.

26 Results for the two cases show that the assimilation of lightning and radar reflectivity factor,
27 especially when used together, have a significant and positive impact on the precipitation forecast.
28 For specific time intervals, the data assimilation is of practical importance for civil protection
29 purposes because it changes a missed forecast of intense precipitation (≥ 40 mm/3h) in a correct
30 one.

31 While there is an improvement of the rainfall VSF thanks to the lightning and radar reflectivity factor
32 data assimilation, its usefulness is partially reduced by the increase of the false alarms, especially
33 when both dataset are assimilated.

34 **Keywords:** data assimilation, lightning, radar reflectivity factor, RAMS@ISAC.

35

36 **1. Introduction**

37 Initial conditions of numerical weather prediction (NWP) models are a key point for a good forecast
38 (Stensrud and Fritsch, 1994; Alexander et al., 1999). Nowadays limited area models are operational
39 at the kilometric scale (< 5 km) and data assimilation of observations with high spatio-temporal
40 resolution as lightning or radar reflectivity factor¹, is crucial to correctly represent the state of the
41 atmosphere at local scale (Weisman et al., 1997; Weygandt et al., 2008).

42 The assimilation of radar reflectivity factor is useful to improve the weather forecast considering
43 the high spatio-temporal resolution of radar data.

44 First attempts to assimilate radar reflectivity factor are reported in Sun and Crook (1997, 1998), who
45 expanded VDRAS (Variational Doppler Radar Analysis System) to include microphysical retrieval.
46 Following these studies, several systems to assimilate radar observations, both Doppler velocity and
47 reflectivity factor, were developed (Xue et al., 2003, Zhao et al., 2006; Xu et al., 2010). All these
48 studies showed the stability and robustness of assimilating radar observations as well as the
49 improvement of weather forecast.

50 In addition to direct methods, which assimilate the radar reflectivity factor adjusting the
51 hydrometeor contents, there are indirect methods adjusting other variables. In particular, the
52 method of Caumont et al. (2010) assimilates the relative humidity field. It consists of two different
53 steps: a 1D retrieval of relative humidity (pseudo-profile), which depends on the radar reflectivity
54 factor observations, followed by 3D-Var assimilation of the pseudo-profile. This method has the
55 advantage to reduce the computational cost at the kilometric scale.

56 The choice of updating the moisture field directly is motivated by its greater impact on analyses and
57 forecasts in comparison to that of hydrometeor-related quantities (e.g., Fabry and Sun, 2010).

58 Caumont et al. (2010) showed that the method improved the weather prediction of a heavy
59 precipitation event in southern France and of an eight-day long assimilation cycle experiment.

60 The method was applied in other studies (Wattrelot et al., 2014, using the AEROME model; Ridal
61 and Dalbom, 2017; using the HARMONIE model), or modified using 4D-Var in place of 3D-Var (Ikuta
62 and Honda, 2011; using the JNoVa model) showing its capability to improve the weather forecast.

63 The method is also used in the operational context (Wattrelot et al., 2014).

64 Lightning is another important source of synoptic data due to its ability to locate precisely the
65 convection with few temporal gaps (Mansell et al., 2007). In the last two decades, there have been

¹ Throughout the paper we use the expression radar reflectivity factor, which is the quantity provided by the radar (and expressed in mm^6m^{-3} or dBz) after conversion from the received power. The radar reflectivity factor is different from reflectivity and is obtained in the special case of Rayleigh scattering. Reflectivity is not the quantity that radars usually provide and display on their screens although most of people refer to it.

66 attempts to assimilate lightning into meteorological models both at low horizontal resolution, which
67 need a cumulus parameterization scheme to simulate convection, and at convection permitting
68 scales.

69 First attempts to assimilate lightning in NWP models were based on relationships between lightning
70 and rainfall rate estimated by microwave sensors on board polar-orbiting satellites (Alexander et
71 al., 1999; Chang et al., 2001; Jones and Macpherson, 1997; Pessi and Businger, 2009). In this
72 approach, the rainfall rate was computed as a function of the density of lightning observations and
73 then transformed into latent heat, which was assimilated. The results of these studies showed a
74 positive impact of the lightning data assimilation on the forecast up to 24h also for fields at the large
75 scale, as sea-level pressure.

76 The study of Papadopoulos et al. (2005) used lightning to locate convection and the simulated water
77 vapour profile was nudged towards vertical profiles recorded during convective events.

78 Mansell et al. (2007) modified the Kain-Fritsch (Kain and Fritsch, 1993) cumulus convective scheme
79 to force convection when/where flashes are observed while the convection scheme was not
80 activated in the model simulation, demonstrating the potential of lightning to improve the
81 convection forecast. A similar approach was introduced by Giannaros et al. (2016) into WRF showing
82 the positive impact of lightning data assimilation on the precipitation forecast up to 24h for eight
83 convective events that occurred over Greece.

84 Fierro et al. (2012) introduced a methodology to assimilate lightning at convection-resolving scales
85 by modifying the water vapour mixing ratio simulated by WRF according to a function depending on
86 the flash-rate and on the simulated graupel mixing ratio. The water vapour could be assimilated by
87 nudging (Fierro et al., 2012) or 3D-Var (Fierro et al., 2016).

88 Qie et al. (2014), using WRF, adopted the methodology of Fierro et al. (2012) to assimilate ice
89 crystals, graupel and snow, showing promising results for deep convective events in China.

90 Fierro et al. (2015) studied the performance of the Fierro et al. (2012) method for 67 days spanning
91 the 2013 warm season over the CONUS giving a statistically robust estimation of the performance
92 of the method. The computationally inexpensive lightning data assimilation method improved
93 considerably the short-term ($\leq 6h$) precipitation forecast of high impact weather.

94 Lynn et al. (2015) and Lynn (2017) also applied the method of Fierro et al. (2012) to boost the local
95 thermal buoyancy where/when lightning is observed. Results show that lightning data assimilation
96 improved lightning forecast. Importantly, Lynn et al. (2015) offer an approach to address spurious
97 convection (i.e., convection removal), which is a more challenging problem to tackle.

98 Federico et al. (2017a) implemented the methodology of Fierro et al. (2012) in RAMS@ISAC model,
99 showing the systematic and significant improvement of the precipitation forecast at the very short
100 range (3h) for twenty case studies occurred over Italy; the impact of lightning data assimilation for
101 longer forecast ranges (6h-24h; Federico et al., 2017b) showed considerable impact on the 6h
102 precipitation forecast, with smaller (negligible) effects at 12 h (24 h).

103 In this paper, we study the impact of radar reflectivity factor and lightning data assimilation on the
104 very short term (3h) rainfall prediction for two case studies in Italy. We use the method of Fierro et
105 al. (2012) to assimilate lightning and the method of Caumont et al. (2010) to assimilate the radar
106 reflectivity factor. The case studies occurred in September 2017. The first case, hereafter also
107 referred to as Serano, occurred on 16 September and was characterized by moderate-intense and
108 localized rainfall. The second case, hereafter also referred to as Livorno, occurred on 09-10
109 September, and was characterized by deep convection and very intense precipitation in several
110 parts of Italy. Even if the Livorno case occurred before the Serano case, we reverse the chronological
111 order in the discussion, ordering the event from the less to the most intense.

112 The forecast of severe events at the local scale still remains challenging because of the multitude of
113 physical processes involved over a wide range of scales (Stensrud et al., 2009). The Serano case
114 study, being localized in space, poses challenges in forecasting the exact position and timing of
115 convection initiation; the Livorno event involves the interaction between a high impact storm and
116 the complex orography of Italy, which is difficult to simulate at the local scale. For the above reasons
117 the forecast of both events was challenging, as confirmed by the poor forecast of RAMS@ISAC
118 without data assimilation. The difficulty to forecast timely and accurately the precipitation field for
119 the two case studies is the reason for choosing them as test cases.

120 This paper presents for the first time the assimilation of the total lightning (intra cloud + cloud to
121 ground) and radar reflectivity factor in RAMS@ISAC and shows how the assimilation of radar
122 reflectivity factor works together with total lightning data assimilation. Also, this paper shows that
123 the precipitation forecast using cloud scale observations over complex terrain can be accurate,
124 contributing to a number of works on the same subject.

125 The paper is organized as follows: Section 2 gives details on the synoptic environment of the case
126 studies showing daily precipitation, lightning and radar observations; Section 3 gives details on the
127 meteorological model, lightning and radar data assimilation; Section 4 shows the results for three
128 very short-term forecast (VSF), one for Serano and two for Livorno; Discussion and conclusions are
129 given in Section 5. This paper has additional material where we discuss: a) how the lightning and

130 radar reflectivity factors data assimilation impact the total water field evolution; b) the sensitivity
131 of the results to the choice of key parameters of lightning data assimilation; c) the sensitivity of the
132 results to two aspects of the radar formulation; d) the sensitivity of the results to two aspects of
133 RAMS@ISAC setting; e) the impact of lightning data assimilation for a well predicted case study.
134 Supplemental material gives also the form of the radar forward operator.

135

136 **2. The case studies**

137 *2.1 The 16 September 2017 (Serano) case study*

138 During the 16 September 2017 Italy was under the influence of a cyclone that developed to the lee
139 of the Alps. The storm crossed Italy from NW to SE leaving light precipitation over most of the
140 peninsula with moderate rainfall over Central Italy. Figure 1 shows the precipitation recorded by
141 the Italian raingauge network on 16 September 2017. Light precipitation (< 5 mm/day) is reported
142 by 1018 raingauges out of the 1666 stations measuring precipitation (≥ 0.2 mm/day) on this day.
143 Fourteen stations over Central Italy recorded more than 50 mm/day. The maximum precipitation
144 was 90 mm/day in Città di Castello (Umbria Region, Figure 1). Because the meteorological radar
145 closest to the maximum precipitation is over mount Serano (Figure 1), hereafter this event will be
146 referred to as Serano.

147 The synoptic condition during the event is shown in Figure 2. At 500 hPa (Figure 2a) a trough,
148 elongated in the SW-NE direction, extends over Western Europe and air masses are advected from
149 SW towards western Alps. The interaction between the airflow and the Alps generates a low
150 pressure to the lee of the Alps over Northern Italy.

151 The analysis at the surface (Figure 2b) shows the meteorological front represented by the equivalent
152 potential temperature gradient between air masses advected over the Mediterranean Sea from NW
153 and air masses advected from the South over the Tyrrhenian Sea. The advection of warm unstable
154 air masses towards Central Italy is notable.

155 Infrared satellite images (Figure 3), from 00 UTC on 16 September to 00 UTC on 17 September, show
156 that the cold front structure moved slowly from NW to SE. Interestingly, at 00 UTC on 16 September,
157 it is apparent the well-defined cloud system over Central Italy (red circle of Figure 3a) that caused
158 most of the daily precipitation observed between 43.50 and 45.0 N.

159 The well-defined cloud system over Central Italy is also shown in the radar Constant Altitude Plan
160 Position Indicator (CAPPI) at 3 km above sea level at 02 UTC on 16 September (Figure 4). This CAPPI
161 is formed by interpolating all the available data from the federated Italian radar network

162 coordinated by the Department of Civil Protection (twenty-two radars, see Section 3.3 for their
163 positions) and it is also referred to as the national radar composite (hereafter also mosaic). Several
164 convective cells exceeding 35 dBz can be noted over central-northern Italy. Importantly, the cloud
165 system over Central Italy shown by the satellite infrared channel at 00 UTC (Figure 3a) and that of
166 the radar at 02 UTC have similar positions, showing that the cloud system was active for several
167 hours over Central Italy.

168 Figure 5 shows the lightning recorded by the LINET network (Betz et al., 2009) on 16 September
169 2017. More than 105.000 flashes were recorded; most of them occurred in the afternoon and
170 evening, but a secondary maximum occurred in the night, from 00 UTC to 06 UTC. In this phase,
171 more than 3000 flashes were observed over Central Italy.

172

173 *2.2 The 09-10 September 2017 (Livorno) case study*

174 During the days 09 and 10 September 2017, Italy was hit by a severe storm characterised by intense
175 and widespread rainfall over the country. Figure 6a shows the precipitation on 09 September
176 recorded by the Italian raingauge network. Rainfall was intense over the Alps, where the maximum
177 daily precipitation was observed (193 mm/day), and over Liguria, with precipitation of the order of
178 30-50 mm/day. One station over Tuscany reported 90 mm/day, showing that intense precipitation
179 already started over the Region. The storm on 09 September was intense: 20 raingauges reported
180 more than 100 mm/day and 70 raingauges more than 60 mm/day. In most cases, this precipitation
181 occurred within few hours.

182 The following day (see Figure 6b) had higher rainfall. Precipitation occurred mainly over Central
183 Italy, especially over Lazio, and over Northern Italy, in particular over the North-East. In Tuscany,
184 the two stations close to the sea, in the Livorno area, recorded about 150 mm/day mostly fallen
185 between 00 and 06 UTC.

186 Synoptic conditions leading to this storm are shown in Figure 7. At 500 hPa (Figure 7a) a trough
187 extended from Northern Europe towards the Mediterranean. The interaction between the air-
188 masses and Western Alps generated a low pressure system to the lee of the Alps, which crossed the
189 whole peninsula from NW to SE. It is noted the divergent flow over Central and Northern Italy that
190 favoured upward motions.

191 At the surface, Figure 7b, the equivalent temperature gradient over the western Mediterranean is
192 caused by the contrast between air masses pre-existing over the sea and air masses advected from
193 France towards the Mediterranean. The pressure field at the surface advects air masses from the

194 South over the Tyrrhenian Sea. These warm and humid air masses feed the cyclone during its
195 development.

196 From a synoptic point of view, Livorno and Serano cases were similar and represented two cyclones
197 developing to the lee of the Alps (Buzzi and Tibaldi, 1978). However, Livorno case was more intense
198 than Serano.

199 The notable intensity of the Livorno case is confirmed by the lightning observations (Figure 8).
200 During the evening of 9 September (after 18 UTC) about 38.000 flashes were recorded by LINET. On
201 10 September about 290.000 flashes were recorded over Italy, following the movement of the storm
202 from NW to SE. There fore, more than 300.000 flashes were recorded from 18 UTC on 09 September
203 to 00 UTC on 11 September, which are more than three times those recorded for Serano.

204 Thermal infrared satellite images (channel, 10.8 micron; Figure 9) show the extension of the cloud
205 coverage every 12 hours. It is well evident that the cloud system was associated with a cold front
206 over Europe. More specifically, the satellite image at 00 UTC shows the cloud system over Livorno
207 area (red circle in Figure 9b), before the most intense precipitation period over Tuscany (00-06 UTC),
208 while Figure 9c shows the cloud system over Central Italy (orange circle), at the end of the period
209 of intense precipitation over Lazio (06-12 UTC).

210 We conclude the synoptic analysis of the case study with two CAPPI at 3 km observed by the radar
211 network of the Department of Civil Protection. The CAPPI in Figure 10a, at 00 UTC on 10 September,
212 shows the cloud system over Tuscany with reflectivity factors up to 40 dBz. Other clouds caused
213 rainfall over northern Italy. The CAPPI of Figure 10a is the last one assimilated by the 00-03 UTC VSF
214 on 10 September described in detail in Section 4.2.1.

215 Figure 10b shows the CAPPI of the national radar mosaic at 3 km above the sea level and at 06 UTC.
216 The cloud system is moving towards Central Italy with reflectivity factors up to 45 dBz. Other cloud
217 systems are apparent over northern Italy. Figures 10a-10b well represent the movement of the
218 storm towards SE and Figure 10b shows the last CAPPI assimilated by the 06-09 UTC VSF shown in
219 Section 4.2.2.

220

221 **3.Data and Methods**

222 *3.1 RAMS@ISAC and simulations set-up*

223 The RAMS@ISAC is used as NWP driver in this work. The model is based on the RAMS 6.0 model
224 (Cotton et al., 2003) with the addition of four main features, as well as a number of minor
225 improvements. First, it implements additional single moment microphysical schemes, whose

226 performance is shown in Federico (2016): among them, the WSM6 (Hong and Lim, 2006) is used in
227 this paper. Second, it predicts the occurrence of lightning following the diagnostic method of Dahl
228 et al. (2011), the implementation being discussed in Federico et al. (2014). Third, the model
229 assimilates lightning through nudging (Fierro et al., 2012, 2015; Federico et al., 2017a). Fourth, the
230 model implements a 3D-Var data assimilation system (Federico, 2013, hereafter also RAMS-3DVar),
231 whose extension to the radar reflectivity factor is presented in this paper (Section 3.3).

232 The list of the physical parameterisation schemes used in the simulations of RAMS@ISAC is shown
233 in Table 1.

234 Considering the domains and the configuration of the grids (Figure 11 and Table 2), two different
235 set-ups are used for Serano and Livorno. For the first case, we use the domains D1 and D2, while for
236 Livorno we use also the domain D3. The first domain covers a large part of Europe and extends over
237 the North Africa. Grid horizontal resolution is 10 km (R10). The second domain covers the whole
238 Italy and part of Europe and the grid has 4 km horizontal resolution (R4). The third domain covers
239 the Tuscany Region, has 4/3 km horizontal resolution (R1), and it is used for Livorno to represent
240 with higher spatial detail the precipitation field over Tuscany. The fine structures of the precipitation
241 field are smeared out over Tuscany using only domains D1 and D2. The operational implementation
242 of the RAMS@ISAC model uses the domains D1 and D2 and no refinements for specific areas of Italy
243 are used because this would require a computing power which is not currently available. Also, grid
244 refinements over Italy would require careful testing of the model performance and data assimilation
245 system, which are out of the scope of this paper.

246 All domains share the same vertical grid, which covers the troposphere and the lower stratosphere.
247 Vertical levels are more packed close to the ground. Among the 36 levels used in this paper 10 are
248 below 1 km, 14 below 2 km and 17 below 3 km. The first vertical level is at 50 m above the surface
249 in the terrain following coordinates used by RAMS@ISAC, the level 21 is at 5122 m. Above 6 km the
250 model levels are about 1000 m apart, while the maximum allowed distance between two levels is
251 1200 m. The complete list of the vertical levels is shown in the supplemental material of this paper
252 (Table S2).

253 The vertical grid is the same as the operational setting of RAMS@ISAC and is a compromise between
254 vertical resolution and computing time. The number of vertical levels will be increased to 42, starting
255 from September 2019, to better resolve the phenomena in this direction (Planetary Boundary Layer
256 processes, vertical motions, interaction between air masses and orography etc.), nevertheless the
257 current setting was successfully applied to the forecast of several heavy precipitation events over

258 Italy. A sensitivity test, using 42 vertical levels for the Livorno case, shows similar results to those
259 reported in Section 4. Details on this simulation can be found in the supplemental material of this
260 paper.

261 The nesting between the first and second domains is one-way, while the nesting between the
262 second and the third domains is two-way.

263 VSF was implemented as shown in Figure 12. First a run with R10 configuration was performed using
264 the 0.25° horizontal resolution GFS analysis/forecast cycle issued at 12 UTC as initial and boundary
265 conditions. R10 run, which started at 12 UTC on 16 September for Serano and at 12 UTC on 09
266 September for Livorno, lasted 36h and doesn't assimilate neither radar reflectivity factor nor
267 lightning. The R10 run was not updated after the acquisition of new data by the analysis system and
268 this is a limitation of the results shown in this paper. However, a sensitivity test for Livorno case
269 study showed that this limitation doesn't have a significant impact on the results presented in the
270 next section. Details on this experiment can be found in the supplemental material of this paper.

271 Starting from 12 UTC, ten VSF were performed using R4 for Serano and both R4 and R1 for Livorno.
272 The VSF lasted 9h and used R10 simulation as initial and boundary conditions (one-way nesting).
273 The 9h forecast was divided into two parts: the first six hours are the assimilation stage when
274 RAMS@ISAC simulation was adjusted by data assimilation, whereas the last three hours are the
275 forecast stage, without data assimilation. During the assimilation stage, flashes are assimilated by
276 nudging (Section 3.2), while radar reflectivity factor is assimilated every one-hour by RAMS-3DVar
277 (Section 3.3).

278 It is noted that data assimilation is performed over the domain D2 (R4) only, and the innovations
279 are transferred to the domain D3 (R1), for the Livorno case, by the two way-nesting. The domain D3
280 is used for the Livorno case to refine the resolution of the precipitation field over Tuscany and to
281 show the spatial and temporal precision of the precipitation forecast over Tuscany using data
282 assimilation. However, its usage is exceptional because, as stated above, Italy is a complex
283 orography country and grid refinements for specific areas are used only after the occurrence of the
284 event. For this reason, the domain D3 is usually not used in RAMS@ISAC and no statistics about the
285 background error are available for this grid.

286 Because lightning and radar reflectivity factor are cloud scale observations, their assimilation at
287 higher horizontal resolution by 3D-Var is foreseeable in future works.

288 The verification of the VSF for precipitation was done by visual comparison of the model output
289 with the raingauge network of the Department of Civil Protection, which has more than 3000
290 raingauges all over Italy.

291 In addition we considered the FBIAS (Frequency Bias; range $[0, +\infty)$), where 1 is the perfect score,
292 i.e. when no misses and false alarms occur), POD (Probability of Detection; range $[0, 1]$, where 1 is
293 the perfect score and 0 the worst value), ETS (Equitable Threat Score; range $[-1/3, 1]$, where 1 is the
294 perfect score and 0 is a useless forecast), TS (Threat Score; range $[0, 1]$ where 1 is the perfect score
295 and 0 the worst value). Scores were computed from 2x2 dichotomous contingency tables (Wilks,
296 2006) for different rainfall thresholds and for different neighbourhood radii. Moreover,
297 performance diagrams (Roebber, 2009) were used to summarise the scores.

298

299 *3.2 Lightning data assimilation*

300 Lightning data are provided by LINET (LIghtning detection NETwork; Betz et al., 2009;
301 www.nowcast.de) which has more than 500 sensors worldwide with the greatest density over
302 Europe (more than 200 sensors). The network has a good coverage over Central Europe and
303 Western Mediterranean (from 10 W to 35 E and from 30 N to 60 N). The area of good coverage
304 includes the region considered in this paper.

305 LINET exploits the VLF/LF electromagnetic bands and provides measurements of both intra-cloud
306 (IC) and cloud to ground (CG) discharges. IC strokes are detected as long as lightning occurs within
307 120 km from the nearest sensor thanks to an optimised hardware and advanced techniques of data
308 processing (TOA-3D, Betz et al., 2004). According to Betz et al. (2009), LINET has a location accuracy
309 of 125 m for an average distance of 200 km among the sensors verified by strikes into towers of
310 known positions.

311 The good performance of the LINET network and its ability to detect IC strokes is shown in
312 Lagouvardos et al. (2009) for a storm in southern Germany, while the good performance over Italy,
313 including both CG and IC strokes, is discussed in Petracca et al. (2014).

314 The lightning data assimilation scheme is that of Fierro et al. (2012; 2014; 2015) and uses the total
315 lightning, i.e. intra-cloud plus cloud to ground flashes.

316 The method starts by computing the water vapour mixing ratio q_v :

$$317 \quad q_v = Aq_s + Bq_s \tanh(CX)(1 - \tanh(Dq_g^\alpha)) \quad (1)$$

318 where coefficients are set to $A=0.86$, $B=0.15$, $C=0.30$, $D=0.25$, $\alpha=2.2$, q_s is the saturation mixing ratio
319 at the model atmospheric temperature, and q_g is the graupel mixing ratio (g kg^{-1}). X is the number

320 of total flashes (IC+CG) falling in a grid box of domain D2 (R4) in the past five minutes. The mixing
321 ratio q_v of Eq. (1) is computed only for grid points where flashes are recorded. More specifically, for
322 each grid point we consider the number of flashes falling in a grid box centred at the grid point in
323 the last five minutes. The mixing ratio of Eqn. (1) is compared with that predicted by the model. If
324 the mixing ratio of Eqn. (1) is larger than the simulated one, the latter is nudged towards the value
325 of Eqn. (1), otherwise the modelled mixing ratio is left unchanged. This method can only add water
326 vapour to the forecast.

327 The check and eventual substitution of the water vapour is performed every five minutes and it is
328 made within the mixed phase layer zone (0 °C, -25°C), wherein electrification processes caused by
329 the collision of ice and graupel are the most active (Takahashi 1978, Emersic and Sounders, 2010;
330 Fierro et al., 2015).

331 The scheme of Fierro et al. (2012; 2015) was adapted to RAMS@ISAC in Federico et al. (2017a). In
332 particular, the coefficient C of Eqn. (1) was rescaled from that of Fierro et al. (2012) considering the
333 different spatio-temporal resolution of gridded lightning data; then the coefficient C was tuned
334 (increased) by sensitivity tests considering two case studies of HyMeX-SOP1 (15 and 27 October
335 2012; HyMeX stands for the Hydrological cycle in the Mediterranean Experiment – First Special
336 Observing Period occurred between 6 September and 6 November 2012; Ducroq et al., 2014). The
337 C constant was adapted subjectively as a compromise of increasing the hits and minimising false
338 alarms. POD and ETS scores were considered as metrics for this purpose. Then, Eqn. (1) was applied
339 to twenty case studies of HyMeX-SOP1 giving a statistically significant (90, or 95% depending on the
340 rainfall threshold) improvement of the RAMS@ISAC precipitation VSF (3h).

341 Nevertheless, a definitive statistic on the performance of rainfall VSF to nudging formulation in
342 RAMS@ISAC is missing and further studies are needed in this direction. Also, the optimal choice of
343 the coefficients A, B, C, D and α is case dependent.

344 Fierro et al (2012) applied the method using the ENTLN network, which has a detection efficiency
345 (DE) greater than 50% for IC over Oklahoma, where the ENTLN data were used. The emphasis on IC
346 flashes in the set-up of Fierro et al. (2012) is given because observational and model studies have
347 provided evidence that IC flashes correlate better than CG flashes with various measures of
348 intensifying convection (updraft strength, volume, graupel mass flux etc.; MacGorman et al. 1989;
349 Carey and Rutledge 1998; MacGorman et al. 2005; Wiens et al. 2005; Kuhlman et al. 2006; Fierro et
350 al. 2006; Deierling and Petersen 2008; MacGorman et al. 2011). For these reasons methods using
351 both IC and CG flashes perform better than those using CG only, being CG flashes correlated with

352 the descent of reflectivity cores and the onset of the demise of the storm's updraft core
353 (MacGorman and Nielsen, 1991).

354 The analysis of the case studies shows that IC strokes are about 30% of the total number of strokes
355 reported by LINET. Also, the fraction of IC strokes to the total strokes depends on the position. For
356 example, for the Serano case, the fraction of IC strokes detected by LINET over the area hit by the
357 largest precipitation is more than 50% while over the Adriatic Sea it decreases to 10%.

358 It is also noted that the Detection Efficiency (DE) for IC strokes cannot be reliably compared between
359 LINET and ENTLN, because the area is different and the technical details about IC detection remain
360 unclear (type of signals, VLF/LF or VHF, discrimination IC-CG).

361 For all the above reasons the application of the Fierro method to RAMS@ISAC is not straightforward
362 and it is appropriate to study the dependence of the rainfall VSF to the nudging formulation. This
363 subject is studied in the supplemental material of this paper (Section S.3) and the results show that
364 the choice of the coefficient of Eqn. (1) used in this paper is reasonable.

365 It is finally noted that despite the limitations noted above, the lightning data assimilation, with the
366 setting of this paper, had a significant and positive impact on RAMS@ISAC rainfall VSF (Federico et
367 al., 2017a; 2017b).

368

369 *3.3 Radar data assimilation*

370 The method assimilates CAPPI of radar reflectivity factor operationally provided by the Italian
371 Department of Civil Protection (DPC). Radar data are provided over a regular Cartesian grid with 1
372 km horizontal resolution and for three vertical levels (2, 3, 5 km above the sea level). The CAPPIs at
373 2, 3, and 5km can be considered as under-sampled vertical profiles. CAPPIs are composed starting
374 from the 22 radars of the Italian Radar Network (Figure 13) 19 operating at the C-band (i.e., 5.6 GHz)
375 and 3 at X-band (i.e., 9.37 GHz). Data quality control and CAPPI composition is performed by DPC.
376 Data quality processing chain aims at identifying most of the uncertainty sources as clutter, partial
377 beam blocking and beam broadening. The radar observations are processed according to nine steps
378 detailed in Vulpiani et al. (2014), Petracca et al. (2018) and references therein.

379 Radial velocity is not assimilated into RAMS@ISAC because it is not operationally processed, the
380 scan strategy being optimized for QPE purposes. Furthermore, the implementation of a radial
381 velocity data assimilation scheme is under development in RAMS-3DVar and it is not currently
382 available for testing. For these reasons, we didn't consider the assimilation of this parameter.

383 Before entering data assimilation, the Cartesian grid is downscaled to 5 km by 5 km in order to
 384 reduce the numerical cost of the data assimilation and the effect of correlated observation errors
 385 (Rohn et al., 2001). Thus, the radar grid (Figure 4, for example) is a Cartesian grid with 5 km grid-
 386 spacing and three vertical levels.

387 It is important to note that pure sampling of the data could result in implementation of errors (for
 388 example reflectivity given by insects or birds) or extremes. Creating superobservations would
 389 reduce this problem, the main drawback being the missing of very localised phenomena. While the
 390 aim of this paper is to present the update of the data assimilation system of RAMS@ISAC and its
 391 application to two challenging cases, the problem of using superobservations will be considered in
 392 future studies because it impacts the results.

393 The methodology to assimilate radar reflectivity factor is that of Caumont et al. (2010), named
 394 1D+3DVar, which is a two-step process: first, using a Bayesian approach inspired to GPROF (Goddard
 395 Profiling Algorithm; Olson et al., 1996; Kummerow et al., 2001), 1D pseudo-profiles of model
 396 variables are computed, then those pseudo-profiles are assimilated by 3DVar. Both steps are
 397 discussed below.

398 The first step computes a pseudo-profile of relative humidity weighting the model profiles of relative
 399 humidity around the radar profile (Bayesian approach). The pseudo-profile is computed by:

$$400 \quad \mathbf{z}_o^p = \frac{\sum_i \mathbf{RH}_i W_i}{\sum_j W_j} \quad (2)$$

401 Where \mathbf{RH}_i is the RAMS@ISAC vertical profile of relative humidity at a grid point inside a square of
 402 $50 \times 50 \text{ km}^2$ centred at the radar vertical profile, W_i is the weight of each profile and \mathbf{z}_o^p is the relative
 403 humidity pseudo-profile. The weights are determined by the agreement between the simulated and
 404 observed reflectivity factor:

$$405 \quad W_i = \exp \left\{ -\frac{1}{2} [\mathbf{z}_o - h_z(x_i)]^T \mathbf{R}_z^{-1} [\mathbf{z}_o - h_z(x_i)] \right\} \quad (3)$$

406 Where h_z is the forward observation operator, transforming the background column \mathbf{x}_i into the
 407 observed reflectivity factor. The radar forward observation operator is taken from the RIP
 408 (Read/Interpolate/Plot) software ([https://dtcenter.org/wrf-](https://dtcenter.org/wrf-nmm/users/OnLineTutorial/NMM/RIP/index.php)
 409 [nmm/users/OnLineTutorial/NMM/RIP/index.php](https://dtcenter.org/wrf-nmm/users/OnLineTutorial/NMM/RIP/index.php), last access 03 March 2019) and is given in the
 410 supplemental material of this paper (Section S8). It assumes a Marshall-Palmer hydrometeor size-
 411 distribution, Rayleigh scattering, and depends on the mixing ratios of rain, graupel and snow.

412 The matrix \mathbf{R}_z in Eqn. (3) is diagonal and its value is $n\sigma^2$, where σ is 1 dBz and n is the number of
413 available observations in the vertical profile (from 1 to 3). In this way, we give more weight to
414 vertical profiles containing more data.

415 The error of radar data is assumed small (1dBz) for two reasons: a) reflectivity data are carefully
416 checked by the Civil Protection Department; b) the performance of the control simulation, not
417 assimilating any data, is rather poor for the case studies. This setting, however, could not be optimal
418 for cases when the control forecast performs better. A sensitivity test using $\sigma=5$ dBz for the Livorno
419 case showed small differences compared to $\sigma=1$ dBz. The results of this sensitivity test are detailed
420 in the supplemental material of this paper (Section S4).

421 It is important to point out that the 50 km length-scale of the above step doesn't represent the
422 horizontal correlation length-scale of the background error, which determines the horizontal spread
423 of the innovations in the 3D-Var data assimilation (the latter length-scale is between 14 and 25 km
424 depending on the level). The 50 km length-scale is used to set a square for computing the pseudo-
425 profile of relative humidity (Eqn. (2)). This profile is given by a weighted average whose weights are
426 determined by the agreement between the simulated and observed reflectivity factor. The larger
427 the agreement the larger the weight. This distance is appropriate because the spatial error of
428 meteorological models in simulating meteorological features, for example fronts, can be of this
429 order. The control simulation of the two events considered in this paper confirms this choice.

430 The method is not able to force convection when the model has no rain, snow or graupel in a square
431 around (50*50 km²) a radar profile with reflectivity factor greater than zero. In this case, the pseudo-
432 profile of relative humidity is assumed saturated above the lifting condensation level and with no
433 data below (Caumont et al., 2010).

434 It is also noted that the method is able to reduce spurious convection when the reflectivity factor is
435 simulated but not observed, because the pseudo-profile of relative humidity gives more weight to
436 the drier relative humidity profiles simulated by RAMS@ISAC inside the 50*50 km² square centred
437 at the radar profile. Of course, the ability to reduce spurious convection depends on the availability
438 of dry model profiles around the specific radar profile (see the example below). Finally, if the
439 observed profile is dry and the profile simulated by RAMS@ISAC is dry too, the pseudo-profile is not
440 computed.

441 In summary, pseudo-profiles are computed for each profile of the radar grid whenever reflectivity
442 is observed or simulated.

443 The pseudo-profiles computed with the procedure introduced above, are then used as observations
444 in the RAMS-3DVar data assimilation (Federico, 2013), minimising the cost-function:

$$J(\mathbf{x}) = \frac{1}{2} (\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_b) + \frac{1}{2} (\mathbf{z}_o^p - h(\mathbf{x}))^T \mathbf{R}^{-1} (\mathbf{z}_o^p - h(\mathbf{x})) \quad (4)$$

445
446 Where \mathbf{x} is the state vector giving the analysis when J is minimized, \mathbf{x}_b is the background, \mathbf{B} and \mathbf{R}
447 are the background and observations error matrices, \mathbf{z}_o^p is the pseudo vertical profile computed by
448 Eqn. (2) and h is the forward observation operator transforming the state vector (RAMS@ISAC water
449 vapour mixing ratio) into observations. The cost function in RAMS-3DVar is implemented in
450 incremental form (Courtier et al., 1994) and its minimization is performed by the conjugate-gradient
451 method (Press et al., 1992). No multi-scale approach is used.

452 The background error matrix is divided into three components along the three spatial directions (x ,
453 y , z). The \mathbf{B}_x and \mathbf{B}_y matrices account for the spatial correlation of the background error. The
454 correlations are Gaussian with length-scales between 14 and 25 km, depending on the vertical level.
455 These distances are computed using the NMC method (Barker et al., 2012) applied to the HyMeX-
456 SOP1 period. It is again stressed that the spread of the innovations along the horizontal spatial
457 directions in the 3D-Var analysis is determined by the length scales of \mathbf{B}_x and \mathbf{B}_y matrices.

458 The \mathbf{B}_z matrix contains the error for the water vapour mixing ratio, which is the control variable used
459 in RAMS-3DVar. This error is about 2 g/kg at the surface and decreases with height. In particular, it
460 is larger than 0.5 g/kg below 4 km, and less than 0.2 g/kg above 5 km. The vertical decorrelation of
461 the background error depends on the level and can be roughly estimated in 500-2000 m. The
462 observation error matrix \mathbf{R} in Eqn. (4) is diagonal and observations' errors are uncorrelated. This
463 choice is partially justified due to the sampling of radar reflectivity factor observation by choosing
464 one point every fifth grid points in both horizontal directions of the radar Cartesian grid. However,
465 correlation observations errors have significant impact on the final analysis, as shown for example
466 in Stewart et al. (2013), and different choices of the matrix \mathbf{R} will be considered in future studies.

467 The value of the elements on the diagonal of \mathbf{R} depends on the vertical level and are 1/4 of the
468 diagonal element of the \mathbf{B}_z matrix at the corresponding height. With these settings, larger weights
469 are given to the observations than to the background and analyses strongly adjust the background
470 towards observations. \mathbf{B}_z matrix is computed using the NMC method (Parrish and Derber, 1992;
471 Barker et al. 2004) applied to the HyMeX-SOP1. This choice is motivated by the fact that HyMeX-
472 SOP1 contains several heavy precipitation events over Italy and the background error matrix is
473 representative of the convective environment of the cases considered in this paper. In particular,

474 10 out of 20 declared IOP (Intense Observing Period) of HyMeX-SOP1 occurred in Italy (Ferretti et
475 al., 2014). In contrast, the period of September 2017, especially before the events selected in this
476 study was characterised by fair and stable weather conditions over Italy and the background error
477 matrix for September 2017 is less representative of the convective environment that characterise
478 the events of this paper.

479 Because it is the first time that we show the assimilation of radar reflectivity factor in RAMS@ISAC,
480 it is useful to discuss an example of analysis. We select the analysis of Livorno case study at 06 UTC.
481 The observed CAPPI at 3km above sea level is shown in Figure 10b. The corresponding CAPPI
482 simulated by the background is shown in Figure 14a. In general, the comparison between simulated
483 and observed reflectivity factor highlights the difficulty of the model to represent convection
484 properly. In particular, the model is able to represent the convection over Northern Italy but it has
485 poor performance over Sardinia, south of Sicily and over Central Italy. The difference between the
486 analysis and background relative humidity after and before the analysis is shown in Figure 14b
487 (absolute values less than 1% are suppressed in the figure for clarity). Both positive (convection
488 enhancing) and negative (convection suppressing) adjustments are found. Over Central Italy,
489 Sardinia and South of Sicily relative humidity is increased because the model doesn't simulate the
490 observed reflectivity (Figure 10b). The occurrence of this condition added most of the water vapour
491 to the RAMS@ISAC simulations for the case studies of this paper. Over northern Italy the model is
492 partially dried for two different reasons: in the northwest of Italy because RAMS@ISAC simulates
493 unobserved reflectivity, in the north and northeast of Italy because the model simulates larger
494 values of reflectivity factor compared to the observations. The RAMS-3DVar reduces the relative
495 humidity field north from Corsica island, where the RAMS@ISAC predicted unobserved reflectivity,
496 while RAMS-3DVar didn't suppress the unobserved convection west from Sardinia because the
497 pseudo profiles computed over this area weren't appreciably drier than the background.

498 Cross correlations among different variables of the data assimilation system are neglected in this
499 study and the application of the RAMS-3DVar affects the water vapour mixing ratio only. Cross
500 correlations among different variables can improve the performance of data assimilation system,
501 and an example of their impact in the RAMS-3DVar is shown in Federico (2013). Nevertheless, the
502 impact of cross correlations among different variables in the precipitation VSF will be explored in
503 future works.

504 Since lightning data assimilation also adjusts the water vapour mixing ratio, it follows that the data
505 assimilation presented in this study adjusts only this parameter.

506 Despite the fact that both radar reflectivity factor and lightning adjust the water vapour mixing ratio,
507 different impacts on the VSF can be expected *a-priori* because radar reflectivity factor and lightning
508 are different types of observations and because they are used in different ways in the data
509 assimilation system.

510 In particular, lightning is recorded when deep convection develops, while radar reflectivity factor is
511 observed also for light stratiform rain. Flashes of ground based network, as LINET, are available over
512 the open sea, even if with a reduced detection efficiency, while radar reflectivity factor is confined
513 to the range of coastal radars in the network. Lightning has a seasonal dependence over Italy, with
514 the maximum in summer and fall, while radar reflectivity factor is available in all seasons.

515 Also, differences in data assimilation of lightning and radar reflectivity factor play a role. In addition
516 to the methods used to assimilate observations, lightning saturates the layer 0°C/-25°C where/when
517 it is detected, while radar reflectivity factor can be assimilated by pseudo-profiles or by saturation
518 above the lifting condensation level where observed reflectivity is greater than zero.

519 Therefore, despite both observations adjust the same model prognostic variable, which is a
520 drawback of the methodology presented in this paper, the impacts of lightning and radar reflectivity
521 factor is expected to be different as will be evident from the results of this paper.

522 There are, however, advantages using the methodology of this paper. In addition to being simple, it
523 doesn't rely on approximate relationship between radar reflectivity factor with hydrometeors
524 mixing ratio, leaving to the model the task of evolving the water vapour added/subtracted. Also, the
525 impact of the data assimilation on model results are substantial (Fabry and Sun, 2010; Caumont et
526 al., 2010), as also shown by the results of this paper.

527 Lightning and radar data assimilation may produce sharp gradients in vertical direction caused by
528 the addition of water vapour to specific layers. In the case of lightning, the water vapour is added
529 by nudging to reduce sharp gradients. However, radar data assimilation, which accounts for the
530 largest mass of water added to RAMS@ISAC (see Section S.2 of the supplemental material), directly
531 adjusts the water vapour into the model. Our experience with RAMS@ISAC, however, shows that
532 results are reliable and the sudden addition of water vapour doesn't cause shocks to the model
533 simulation, despite the notable gradients of specific humidity.

534 It is finally noted that the data assimilation increase/decrease the water vapour into the model
535 depending on the cases. The eventual increase/decrease of the forecasted rainfall depends on the
536 physical and dynamical processes occurring into the meteorological model, without any specific
537 tuning.

538

539 **4. Results**

540 In this section, we discuss the most intense phase of the Serano case, 03-06 UTC on 16 September,
541 and two VSF forecasts, 00-03 UTC and 06-09 UTC on 10 September, for the Livorno case. The two
542 VSF for Livorno correspond to the most intense phase of the storm in Livorno and to a very intense
543 phase over Lazio region, Central Italy. The aim of the section is to show the notable improvement
544 given by lightning and radar reflectivity factor data assimilation to the VSF.

545 We consider four types of VSF (Table 3): a) CTRL, without radar reflectivity factor or lightning data
546 assimilation; b) LIGHT, assimilating lightning but not radar reflectivity factor; c) RAD, assimilating
547 radar reflectivity factor but not lightning; d) RADLI, assimilating both lightning and radar reflectivity
548 factor.

549 Several aspects of lightning and radar reflectivity factor data assimilation are considered in the
550 supplemental material of this paper: a) the relative contribution to the total water mass given by
551 lightning and radar reflectivity factor data assimilation (Section S.2); b) the sensitivity of the
552 precipitation VSF to the nudging formulation (Section S.3); c) the sensitivity of rainfall VSF to two
553 specific aspects of radar reflectivity factor data assimilation (Section S4); d) the sensitivity of rainfall
554 VSF to RAMS@ISAC setting (Section S5); e) the impact of lightning data assimilation for a case study
555 well predicted by the control forecast (Section S6); f) different plots of Figures 15-17 (Section S7)
556 and g) the radar forward operator used in RAMS-3DVar (Section S8).

557

558 *4.1 Serano: 03-06 UTC on 16 September 2017*

559 In this period, an intense and localised storm hit central Italy, while light precipitation occurred over
560 northern Italy (Figure 15a). Considering the storm over central Italy, 10 raingauges observed more
561 than 30 mm/3h, 6 more than 40 mm/3h, 3 more than 50 mm/3h and 1 more than 60 mm/3h, the
562 maximum observed value being 63 mm/3h.

563 The CTRL forecast, Figure 15b, misses the rainfall over central Italy and considerably underestimates
564 the precipitation area over Northern Italy, giving unsatisfactory results.

565 The assimilation of the radar reflectivity factor improves the forecast, as shown in Figure 15c. In
566 particular, RAD forecast shows localized precipitation (30-35 mm/3h) close to the area where the
567 most abundant precipitation was observed. Maximum precipitation is underestimated. Also, the
568 RAD forecast better represents the precipitation over Northern Italy compared to CTRL.

569 The rainfall forecast of LIGHT, Figure 15d, shows some improvements compared to CTRL because
570 the precipitation over central Italy has a maximum of 25-30 mm/3h, close to the area where the
571 maximum precipitation was observed. LIGHT, however, has a worse performance compared to RAD
572 because it underestimates the precipitation area over northern Italy. LIGHT underestimates the
573 maximum precipitation in central Italy.

574 The RADLI forecast, Figure 15e, has the best performance. The precipitation over central Italy is well
575 represented because the maximum rainfall (40-45 mm/3h) is in reasonable agreement with
576 observations, and also because the area of intense precipitation (> 25 mm/3h) is elongated in the
577 SW-NE direction in agreement with raingauge observations. The precipitation over northern Italy is
578 well represented by RADLI.

579 A performance diagram for 1 mm/3h and 30 mm/3h and for 4 km and 25 km neighbourhood radii
580 is shown in Figure 15f. Different radii are considered to account for the well-known double penalty
581 error (Mass et al., 2002; Mittermaier et al., 2013) caused by displacement errors of the detailed
582 precipitation forecast in convection allowing grids. RADLI has the best performance thanks to the
583 synergistic contribution of lightning and radar reflectivity factor data assimilation.

584

585 *4.2 Livorno*

586 The Livorno case study lasted for several hours starting at 18 UTC on 9 September 2017 and ending
587 more than a day later. The most intense phase in Livorno and its surroundings was observed during
588 the night between 9 and 10 September. In the following, we will show two representative VSF (3h),
589 including the most intense phase in Livorno.

590

591 *4.2.1 Livorno: 00-03 UTC on 10 September 2017*

592 This period represents the most intense phase of the storm in Livorno. In particular, the raingauge
593 close to the label A (Figure 16a) reported 151 mm/3h (Collesalveti), while the one close to the label
594 B measured 82 mm/3h. Among the 518 raingauges reporting valid data, 75 observed more than 10
595 mm/3h, 31 more than 20 mm/3h, 17 more than 30 mm/3h, 9 more than 40 mm/3h, and 6 more
596 than 50 mm/3h.

597 The CTRL precipitation forecast is shown in Figure 16b. The forecast is poor because it misses the
598 precipitation swath from the coast towards NE. A precipitation swath is forecasted about 50 km to
599 the North of the real occurrence, but it is less wide compared to the observations.

600 The RAD forecast, Figure 16c, shows that the assimilation of radar reflectivity factor gives a clear
601 improvement to the forecast. The largest precipitation in the coastal part of the swath (we searched
602 for the maximum in the area with longitudes between 10.20E and 10.70E and latitudes between
603 43.10N and 43.60N) is 94 mm/3h. Another local maximum is in the southern part of the domain
604 (label B of Figure 16a). The location of this maximum is well represented, but the forecasted value
605 (55 mm/3h) underestimated the observed maximum (82 mm/3h).

606 An improvement, compared to both CTRL and RAD, is given by the assimilation of lightning (Figure
607 16d). The maximum value close to Livorno, i.e. in the coastal part of the swath, is 158 mm/3h.
608 LIGHT simulation shows the local maximum in the southern part of the domain (about 50 mm/3h),
609 but the amount is underestimated.

610 Figure 16e shows the RADLI rainfall forecast. The precipitation swath from coastal Tuscany towards
611 NE is more intense compared to LIGHT and RAD. The maximum rainfall accumulated close to Livorno
612 is 186 mm/3h. Also, the second precipitation maximum in the southern part of the domain reaches
613 70 mm/3h in good agreement with observations (82 mm/3h). RADLI is the only run giving a
614 satisfactory precipitation VSF over the south-eastern Emilia Romagna (north-eastern part of the
615 domain), to the lee of the Apennines. It is also noted that the main precipitation swath forecasted
616 by RADLI is too broad in the direction crossing the swath compared to the observations. This is
617 confirmed by the FBIAS of RADLI (not shown), which is more than 3 for thresholds larger than 42
618 mm/3h.

619 The performance diagram (Figure 16f) shows that LIGHT has better scores than RAD for this VSF.

620

621 *4.2.2 Livorno: 06-09 UTC on 10 September 2017*

622 In this period, the most intense precipitation occurred over the coastal part of Lazio (Figure 17a).
623 More in detail, among the 2695 raingauges reporting valid data over the domain of Figure 17a, 307
624 reported more than 10 mm/3h, 132 more than 20 mm/3h, 86 more than 30 mm/3h, 66 more than
625 40 mm/3h, 49 more than 50 mm/3h and 35 more than 60 mm/3h. Among the 35 raingauges
626 measuring more than 60 mm/3h, 33 were over Lazio, showing the heavy rainfall that occurred over
627 the Region.

628 Some precipitation persisted over Tuscany but the rainfall is much lower compared to previous 6h
629 (the rainfall over Tuscany between 03 and 06 UTC was very intense, not shown).

630 Figure 17b shows the rainfall simulated by CTRL. The forecast is unsatisfactory, mainly for the
631 following two reasons: a) heavy precipitation is simulated over Tuscany (> 75 mm/3h), also close to

632 the Livorno area; b) precipitation is missed over central Italy. The rainfall over NE of Italy is well
633 represented in space, but overestimated.

634 Considering the evolution of CTRL forecast for the two VSF of Livorno, we conclude that it was able
635 to predict abundant rain over Livorno, but the rainfall forecast was delayed compared to the real
636 occurrence. A similar behaviour was found in Ricciardelli et al. (2018) using the WRF model, showing
637 that the results of this paper for Livorno are likely not tied to the specific model used.

638 The rainfall simulated by RAD (Figure 17c) clearly improves the forecast compared to CTRL. First,
639 the precipitation over Lazio is well predicted. Second, the precipitation over Tuscany is less than for
640 CTRL, showing the ability of radar reflectivity factor data assimilation to dry the model when it
641 predicts reflectivity that is not observed. It is noted, however, that the area of intense rainfall (>60
642 mm/3h) is overestimated by RAD, which has a wet forecast. The wet bias of the RAD forecast is
643 apparent in the representation of the rainfall VSF shown in the supplemental material of this paper
644 (Figure S12).

645 LIGHT forecast, Figure 17d, shows a worse performance compared to RAD for this time period. The
646 precipitation forecast is mainly over Tuscany, where it is overestimated, with a small precipitation
647 spot over Lazio.

648 The precipitation forecast of RADLI, Figure 17e, represents very well the precipitation over Lazio,
649 and the rainfall amount is better predicted compared to RAD. The precipitation over Sardinia is well
650 represented by RADLI as well as the precipitation over Central Alps, giving the best results among
651 all VSF.

652 Figure 17f shows the better performance of RAD compared to LIGHT for this precipitation VSF. RADLI
653 has the best performance being closer to the upper right corner of the diagram.

654 To better understand the changes of the precipitation VSF to different data assimilation set-up,
655 Figure 18 shows maps of water vapour mixing ratio averaged between 3 and 10 km at the end of
656 the assimilation phase (06 UTC on 10 September 2017). It is important to note that those maps
657 contain the effects of both data assimilation and model evolution.

658 The comparison between CTRL (Figure 18a) and RAD (Figure 18b) shows that RAD has a line of high
659 water vapour values over Central Italy, extending over the Tyrrhenian Sea and Sardinia, which is not
660 simulated by CTRL. This line results from both radar data assimilation and convection, which
661 transports water vapour from lower to upper levels. The comparison between CTRL and RAD shows
662 the substantial impact of radar reflectivity factor data assimilation on the model evolution despite

663 we are not using relationship between hydrometeors mixing ratios and radar reflectivity factor in
664 data assimilation.

665 LIGHT averaged water vapour (Figure 18c) over the Tyrrhenian Sea and west of Sicily is higher
666 compared to CTRL because of lightning data assimilation and model processes. Convection develops
667 over Tuscany, northern Lazio and NE of Italy, causing the increase of averaged water vapour in those
668 areas.

669 Because RAD and LIGHT both assimilate water vapour it is important to highlight the differences
670 between the two fields. First, LIGHT it is not able to represent a compact line of high water vapour
671 over Central Italy that, in the following hours, caused high precipitation over Lazio. Second,
672 averaged water vapour simulated by RAD is larger than for LIGHT over Central Italy, which is caused
673 by deeper convection developing in RAD than in LIGHT, as well as by the different contributions of
674 data assimilation. Finally, RADLI (Figure 18d) is similar to RAD but it shares also features with LIGHT
675 as the increase of water vapour over the Tyrrhenian Sea.

676 It is also interesting to compare vertical cross sections of relative humidity for different data
677 assimilation set-up. Figure 19 show the longitude-height cross sections of relative humidity from
678 different data assimilation configurations.

679 Comparing RAD and CTRL it is evident that the difference of the relative humidity field over the
680 Tyrrhenian Sea and western part of Italy (more specifically at longitudes between 10.5 and 12.5).

681 LIGHT shows two areas with high relative humidity: west of Corsica and over the Tyrrhenian Sea.
682 The wet area west of Corsica is caused by the assimilation of lightning (Figure 8b) and it is not
683 simulated by RAD because Corsica is not well sampled by the radar network and because of different
684 model evolutions. Lightning data assimilation also increases the humidity over the Tyrrhenian Sea
685 and over the western part of Italy, as shown by the comparison with CTRL, nevertheless its effect is
686 lower compared to radar reflectivity factor data assimilation.

687 RADLI has features of both lightning and radar reflectivity factor data assimilation.

688 So, considering the results of Figure 18 and 19 as well as the rainfall VSF, the impact of lightning and
689 radar reflectivity factor on the VSF can be very different despite they both adjust the water vapour
690 mixing ratio.

691

692 **5. Discussion and Conclusions**

693 In this paper, we showed the impact of lightning and radar reflectivity factor data assimilation on
694 the very short term precipitation forecast (3h) for two case studies occurred in Italy. We used

695 RAMS@ISAC model, whose 3DVar extension to the assimilation of radar reflectivity factor is shown
696 in this paper for the first time.

697 The first case study occurred on 16 September 2017 and it is a moderate case with localised rainfall
698 over central Italy. It was chosen because the control forecast, i.e. without radar reflectivity factor
699 or lightning data assimilation, missed the event. The second event, occurred on 9-10 September
700 2017, was characterised by exceptional rainfall over several parts of Italy. This event was partially
701 represented by the control forecast. In particular, the forecast of the event was incorrect because:
702 a) the control forecast was delayed compared to the observations; b) the control forecast missed
703 the rainfall over central Italy (Lazio Region).

704 It is important to recall that the impact of the lightning data assimilation on the precipitation
705 forecast of RAMS@ISAC was already studied for the HyMeX-SOP1 period (Federico et al., 2017a,
706 2017b), and a robust statistic is already available. The results of this study confirm the important
707 role of the lightning data assimilation on the rainfall forecast for other two case studies. However,
708 considering the assimilation of radar reflectivity factor, and its combination with lightning data
709 assimilation in RAMS@ISAC, the results of this paper are new.

710 Because we analysed only two case studies, no definitive conclusions can be derived on the
711 performance of RAMS@ISAC with radar reflectivity factor data assimilation. There are, however,
712 few points worth of mention.

713 The VSF performance of RAMS@ISAC is systematically improved by the assimilation of radar
714 reflectivity factor. This improvement is of paramount importance for some specific VSF (for example
715 for the 00-03 UTC of Livorno), when the control forecast missed the event while it was correctly
716 predicted by radar reflectivity factor data assimilation. Sometimes the improvement of reflectivity
717 factor data assimilation has less impact on the precipitation forecast, as for the period 18-21 UTC
718 on 9 September 2017 (Livorno, not shown, see the discussion paper Federico et al. (2018) for a
719 description of this VSF). This suggests that there is room for improvement for all components of the
720 VSF: observations, data assimilation, meteorological model.

721 Lightning and radar observations are different and both add value to the VSF. Some examples have
722 been shown: the light precipitation over Northern Italy for Serano is well forecasted assimilating
723 radar reflectivity factor, while it is not simulated assimilating flashes because they are too few in
724 this area to force convection; lightning data assimilation represents better the deep convection
725 occurring during the intense phase of the Livorno case (00-03 UTC), especially because it is able to
726 force convection where it occurs, reducing false alarms. The ability of lightning data assimilation to

727 reduce false alarms compared to RAD and RADLI it is shown by the fact that the ETS score for LIGHT
728 is sometimes the best among all simulations (see also the Section S2 of the supplemental material
729 of this paper). These results show also that the influence of different observations depends on the
730 meteorological situation.

731 The model configuration assimilating both radar reflectivity factor and lightning (RADLI) is able to
732 retain important features of both data assimilation. For example, the simulation of the Livorno case
733 in the phase 06-09 UTC was able to simulate the heavy precipitation over Lazio thanks to the radar
734 reflectivity factor data assimilation and the precipitation over Sardinia, as well as the moderate
735 precipitation over central Alps, thanks to lightning data assimilation.

736 The property of RADLI to retain the precipitation features of both RAD and LIGHT it is shown by the
737 POD score, which is the best, for most cases and thresholds, for RADLI.

738 Another interesting feature is the considerable improvement of the POD of RADLI compared to CTRL
739 for the lowest thresholds.

740 It is also underlined that the data assimilated, both lightning and radar reflectivity factor, are
741 available in real time and could be used for an operational implementation of the VSF.

742 It is worth noting that several sensitivity tests were conducted for the case studies, whose results
743 are shown in the supplemental material. In particular, we studied the sensitivity of the rainfall VSF
744 to: a) nudging formulation used for lightning data assimilation; b) increasing the observation error
745 of radar reflectivity factor; c) changing the shape of the searching area to compute the relative
746 humidity pseudo-profiles; d) updating IC/BC as new observations are available; e) increasing the
747 vertical resolution of RAMS@ISAC by using 42 vertical levels. All these sensitivity tests confirm the
748 findings of this paper.

749 The above results are promising and deserve future studies to better understand the role of radar
750 reflectivity factor data assimilation and its interaction with lightning data assimilation to improve
751 the precipitation forecast, especially at the very short range (0-3 h).

752 There are, however, less satisfactory aspects of assimilating both radar reflectivity factor and
753 lightning data. In particular, the wet bias of RAD and RADLI forecast is the main drawback of the
754 results of this paper. To reduce the moisture added by radar and lightning data assimilation further
755 research is needed and different approaches are possible (Fierro et al., 2016). In particular: a)
756 assimilating for a shorter time (0-6h in this paper); b) reducing the length-scales of the 3D-Var in the
757 horizontal directions to limit the spreading of the innovations, or assuming an innovation equal to
758 zero for grid points without lightning and with zero reflectivity factor; c) reducing the amount of

759 water vapour added to the model (for example reducing the values of A and B constants for lightning
760 data assimilation or relaxing the request of saturation when radar reflectivity is observed in areas
761 where the model has zero reflectivity); d) adding moisture to a shallower vertical layer.

762 It is also noted that a combination of heating and moistening could provide the same buoyancy with
763 less water vapour addition (Marchand and Fulberg, 2014) and this approach could be used in future
764 studies.

765 In addition to the acquisition of more case studies, there are two directions of future development
766 of this work. The lightning data assimilation can be formulated by 3DVar, using a strategy similar to
767 the radar reflectivity factor in which pseudo-profiles of relative humidity are first generated where
768 flashes are recorded, and then assimilated by 3DVar. This methodology was already reported in
769 Fierro et al. (2016). The assimilation of both radar reflectivity factor and lightning using RAMS-3DVar
770 will be explored in future studies.

771 Another important point to study is how long the innovations introduced by data assimilation lasts
772 in the forecast. While in this study we consider the VSF at 3h, future studies must explore longer
773 time ranges. This kind of study was performed for lightning data assimilation (Fierro et al., (2015);
774 Federico et al., 2017b; Lynn et al. (2015) among others) and for radar data assimilation (Hu et al.
775 (2006); Jones et al. (2014), among others), using a rationale similar to that used in this paper.

776 In general, the performance of the forecast and the impact of lightning and radar data assimilation
777 decrease with forecast range because boundary conditions propagate inside the domain and
778 because model errors grow and eventually become dominant. Improving the data assimilation
779 system also contributes to a longer resilience of model performance. The studies cited above
780 showed that lightning and radar data assimilation can have an impact up to 24h depending on
781 several factors (meteorological model, data assimilation, quality of the data, meteorological
782 conditions, initial and boundary conditions).

783 A study considering both radar reflectivity factor and lightning should be performed to understand
784 the resilience of the innovations introduced by data assimilation.

785

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796

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1038 **TABLES**

1039 Table 1: RAMS@ISAC physical parameterisations used in this paper.

Physical parameterization	Selected scheme
Parametrized cumulus convection	Modified Kuo scheme to account for updraft and downdraft (Molinari and Corsetti, 1985). The scheme is applied to R10 only.
Explicit precipitation parameterization	Bulk microphysics with six hydrometeors (cloud, rain, graupel, snow, ice, water vapour). Described in Hong and Lim (2006).
Exchange between the surface, the biosphere and atmosphere.	LEAF3 (Walko et al., 2000). LEAF includes prognostic equations for soil temperature and moisture for multiple layers, vegetation temperature and surface water, and temperature and water vapour mixing ratio of canopy air.
Sub-grid mixing	The turbulent mixing in the horizontal directions is parameterised following Smagorinsky (1963), vertical diffusion is parameterised according to the Mellor and Yamada (1982) scheme, which employs a prognostic turbulent kinetic energy.
Radiation scheme	Chen-Cotton (Chen and Cotton, 1983). The scheme accounts for condensate in the atmosphere.

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1041 Table 2: Basic parameters of the RAMS@ISAC grids (R10, R4 and R1, corresponding, respectively, to the domains D1, D2
 1042 and D3). NNXP is the number of grid points in the WE direction, NNYP is the number of grid-points in the NS direction,
 1043 NNZP is the number of vertical levels, DX is the size of the grid spacing in the WE direction, DY is the grid spacing in the
 1044 SN direction. Lx, Ly, and Lz are the domain extensions in the NS, WE, and vertical directions. CENTLON and CENTLAT are
 1045 the coordinates of the grid centres.

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	R10, D1	R4, D2	R1, D3
NNXP	301	401	203
NNYP	301	401	203
NNZP	36	36	36
Lx	3000 km	1600 km	~270 km

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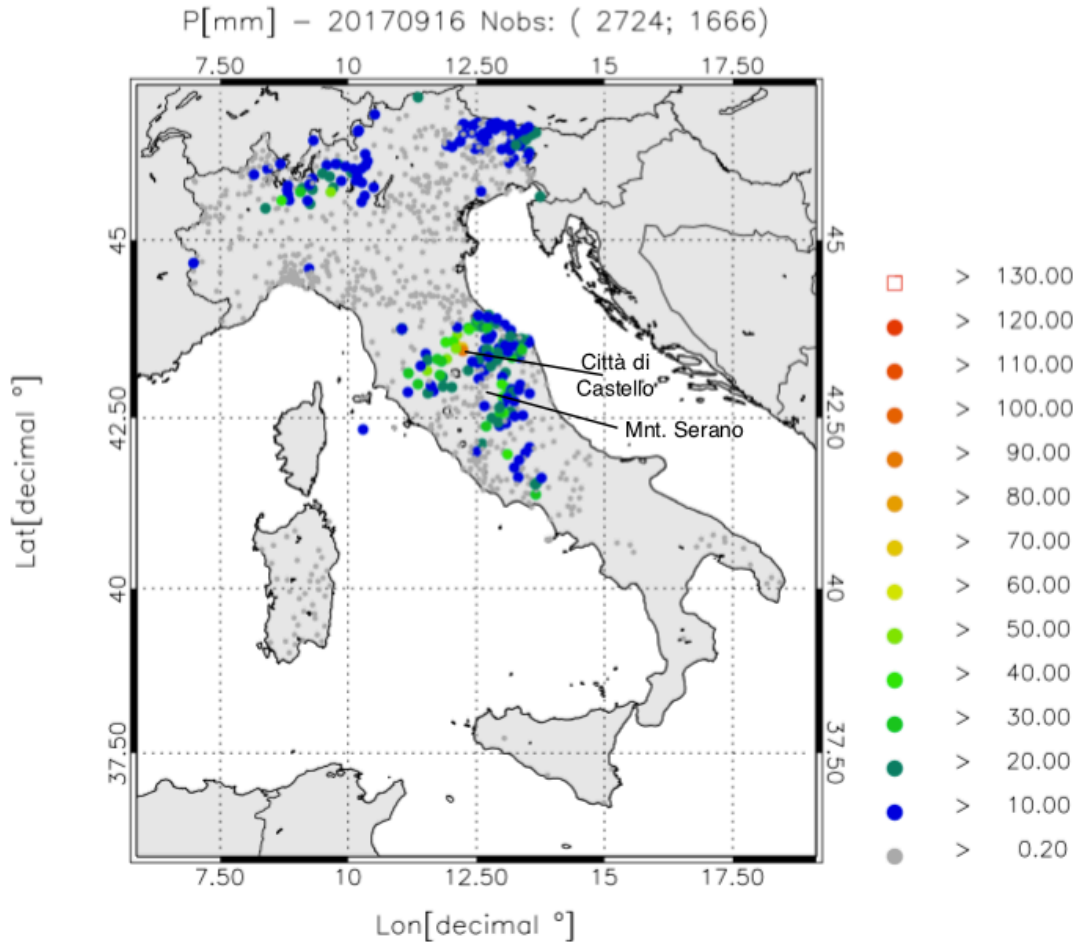
Ly	3000 km	1600 km	~270 km
Lz	~22400 m	~22400 m	~22400 m
DX	10 km	4 km	4/3 km
DY	10 km	4 km	4/3 km
CENTLAT (°)	43.0 N	43.0 N	43.7 N
CENTLON (°)	12.5 E	12.5 E	11.0 E

Table 3: Types of simulations performed.

Experiment	Description	Data assimilated	Model variable impacted
CTRL	Control run	None	None
RAD	RADAR data assimilation	Reflectivity factor CAPPI (RAMS-3DVar)	Water vapour mixing ratio
LIGHT	Lightning data assimilation (A=0.85; B=0.16 in Eqn. (1))	Lightning density (nudging)	Water vapour mixing ratio
RADLI	RADAR + lightning data assimilation (A=0.86; B=0.15 in Eqn (1))	Reflectivity factor CAPPI (RAMS-3DVar) + Lightning density (nudging)	Water vapour mixing ratio

1071 **FIGURES**

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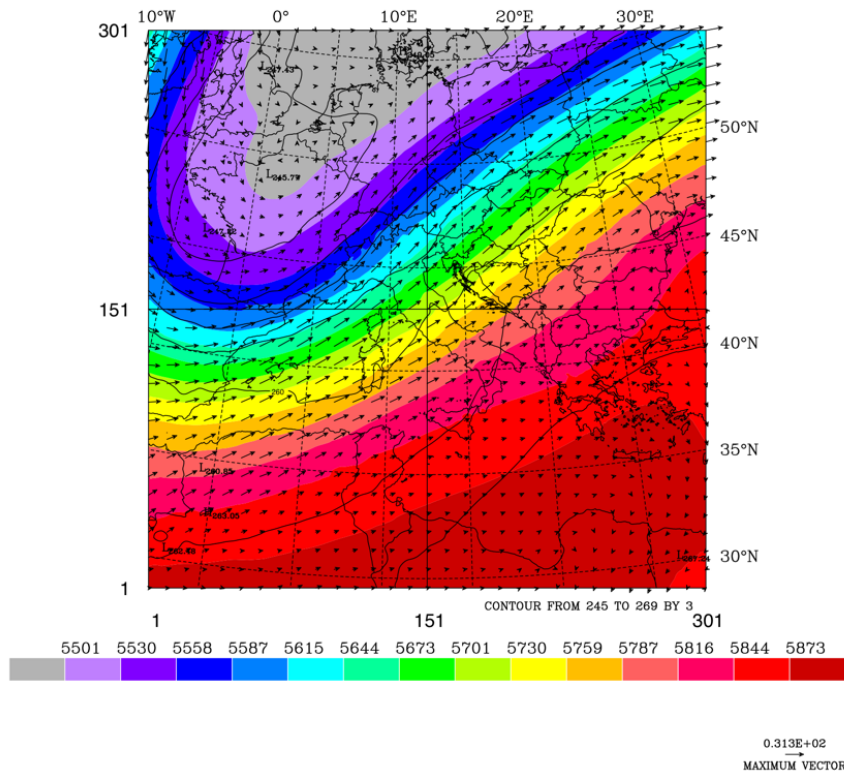
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Figure 1: Daily precipitation over Italy on 16 September 2017. Only raingauges observing at least 0.2 mm/day are shown. The first number in the figure title within brackets represents the available raingauges, while the second number represents raingauges observing at least 0.2 mm/day. The lowest precipitation class is represented by smaller dots, the largest by a red square. The locations of Città di Castello and Mount Serano are indicated.

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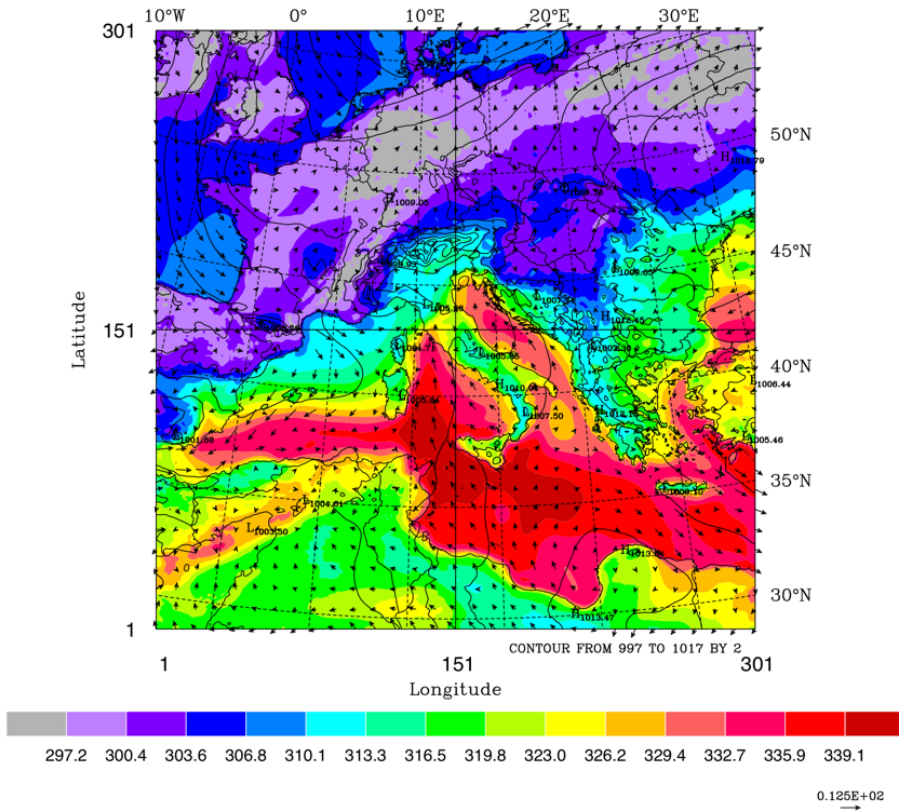
HGT[m] - WSP[m/s] - 20170916000000 - z= 500 hPa



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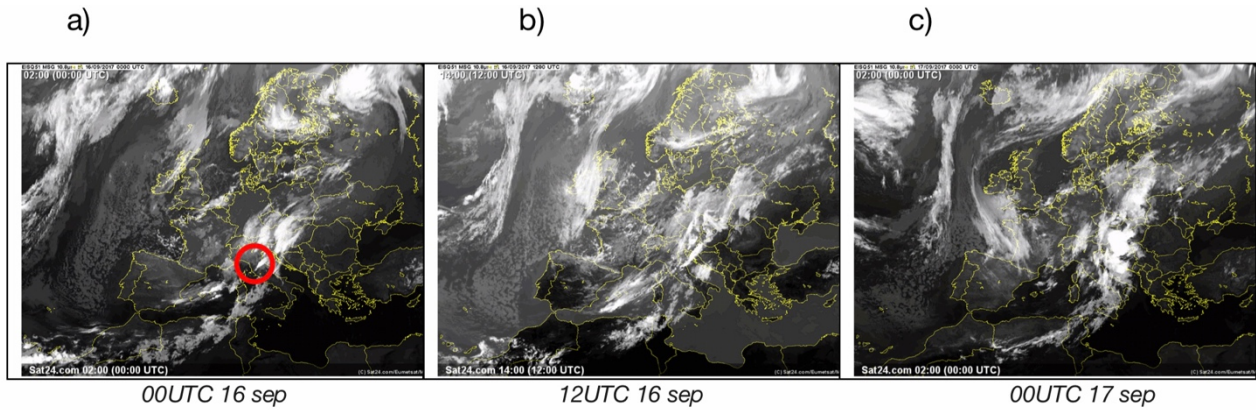
1092 b)

Thetae[K] - slp [hPa] - 20170916000000 - z= 24 m



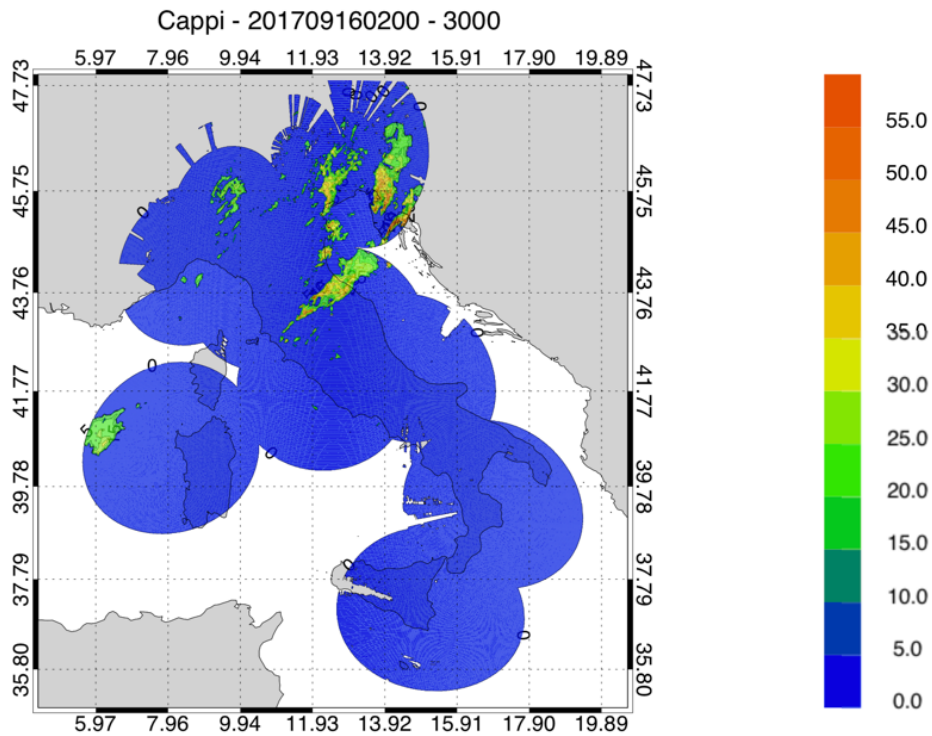
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1094 Figure 2: a) Geopotential height (filled contours), temperature (contours) and wind vectors at 500 hPa on 16 September
 1095 2017 at 00 UTC. Maximum velocity is 31 m/s; b) equivalent potential temperature (filled contours), sea-level pressure
 1096 (contours) and wind vectors at 24 m above the surface (maximum value 13 m/s). A low-pressure pattern is forming over
 1097 northern Italy, with a front in the western Mediterranean.
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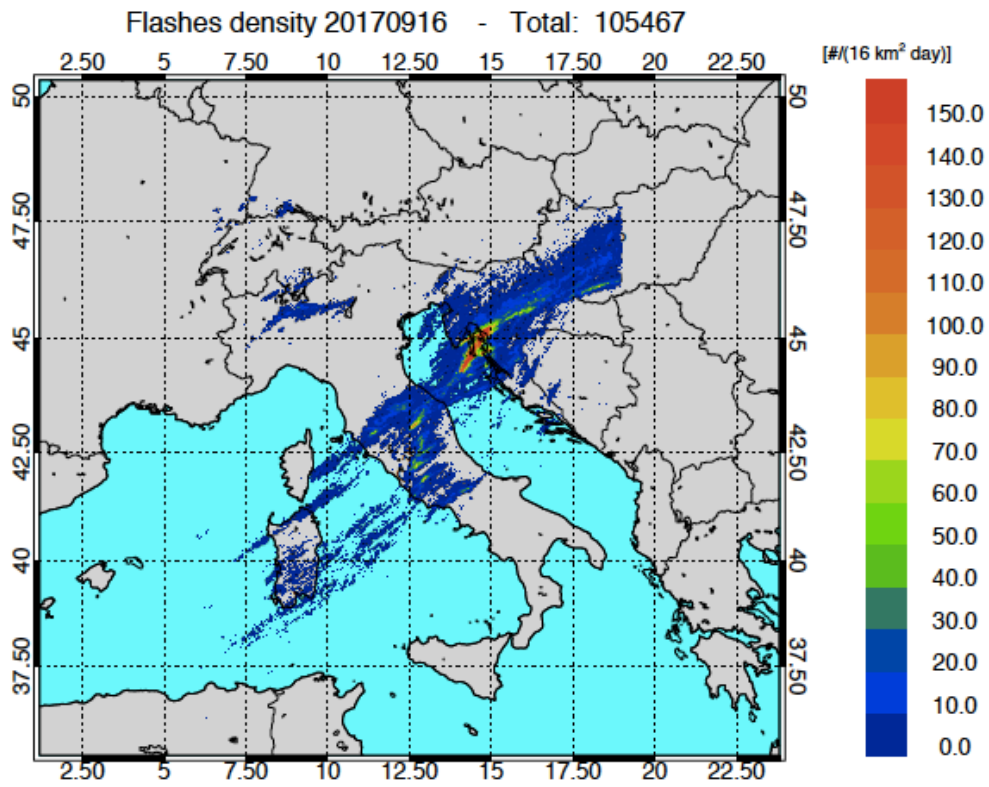


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 1101 Figure 3: a) Satellite images (METEOSAT second generation) of the infrared channel, 10.8 micron, at 00 UTC and 12 UTC
 1102 on 16 September, and at 00 UTC on 17 September 2017. A well-defined cloud system is apparent inside the red circle
 1103 of the image at 00 UTC on 16 September 2017.
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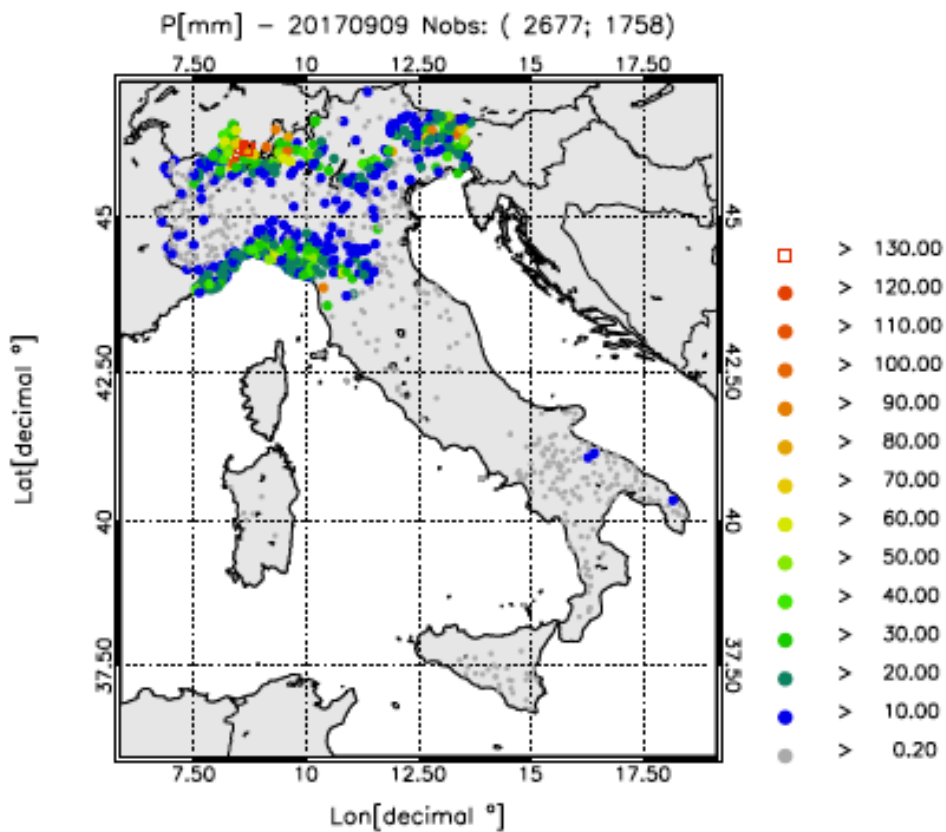
1106
 1107 Figure 4: National radar mosaic at 3 km above the sea level observed at 02 UTC on 16 September 2017.
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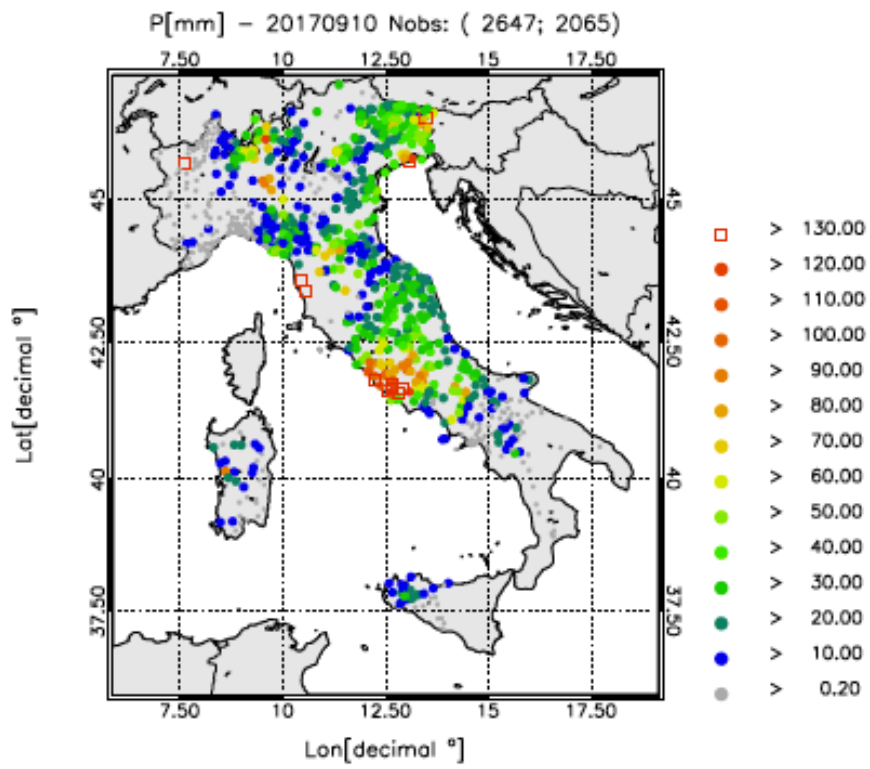
1110 Figure 5: Lightning density (number of lighting per 16 km² for the whole day) recorded on 16 September 2017. The total
 1111 number of flashes is shown in the title.

1112 a)



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1114 b)



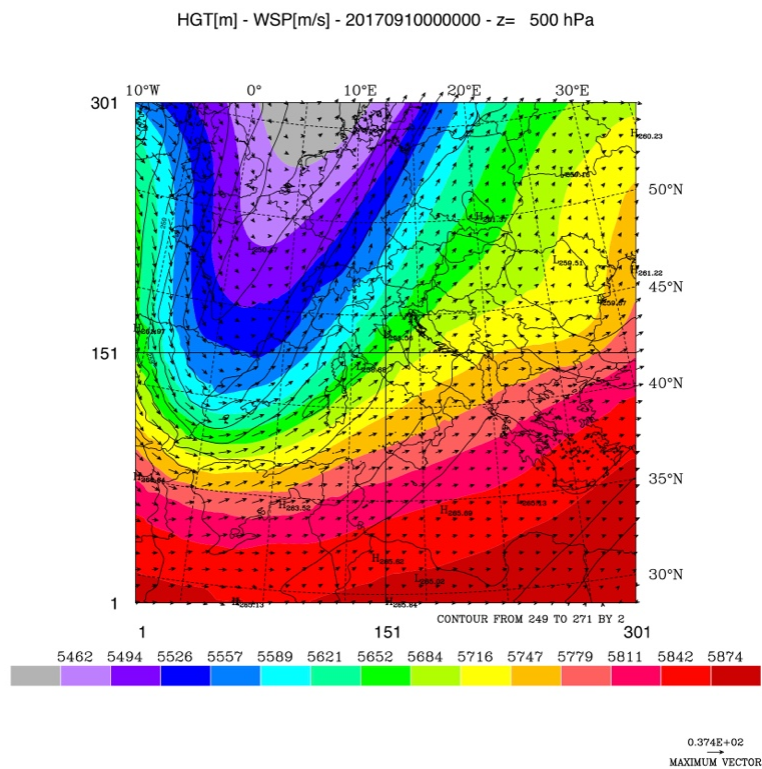
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1116 Figure 6: a) As in Figure 1 but for a) 9 September 2017 and b) 10 September 2017.

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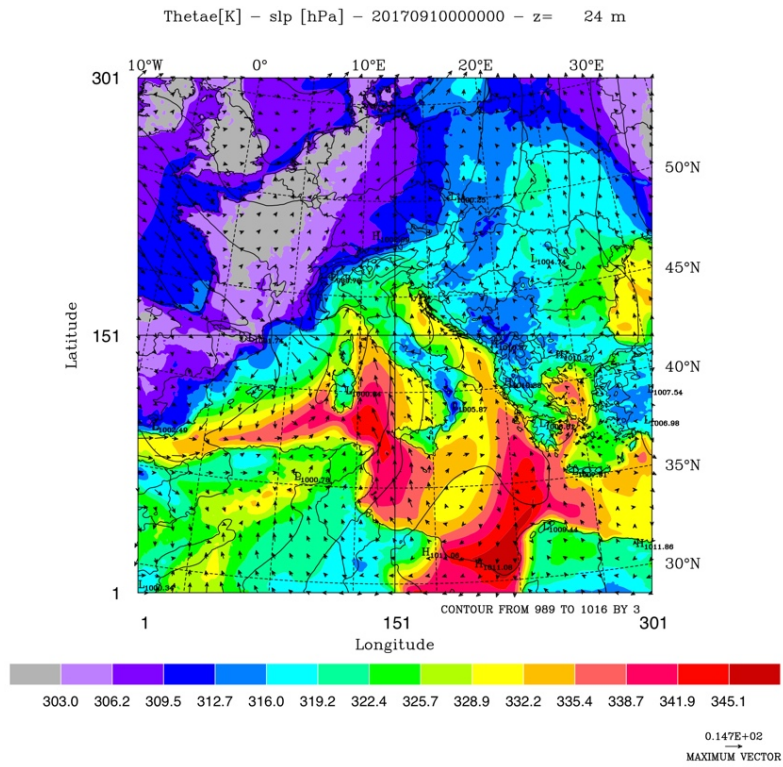
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1119 a)



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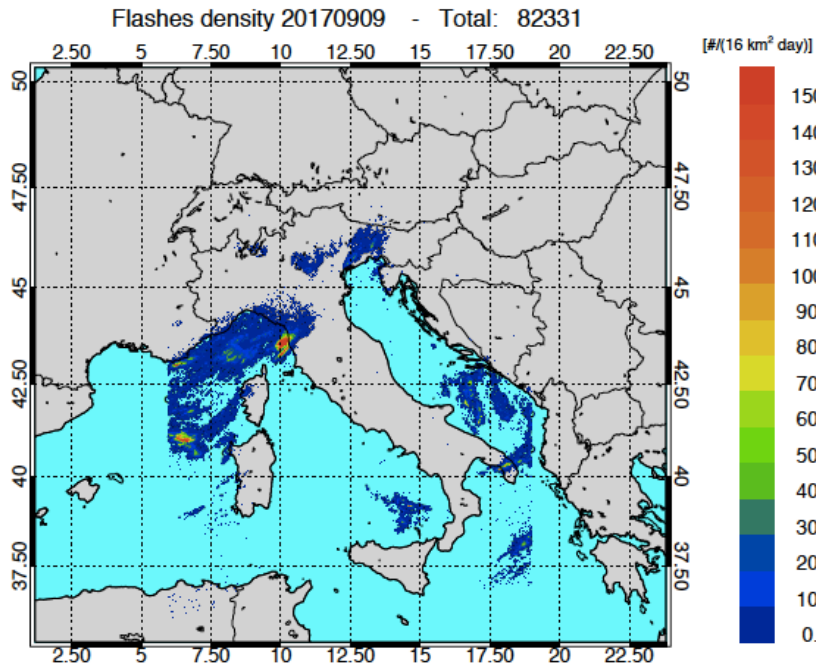
1121 b)



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1123 Figure 7: a) Geopotential height (filled contours), temperature (contours) and wind vectors at 500 hPa at 00 UTC on 10
 1124 September 2017. Maximum velocity is 37 m/s; b) equivalent potential temperature (filled contours), sea-level pressure
 1125 (contours) and wind vectors at 24 m above the surface (maximum value 15 m/s).
 1126

1127 a)

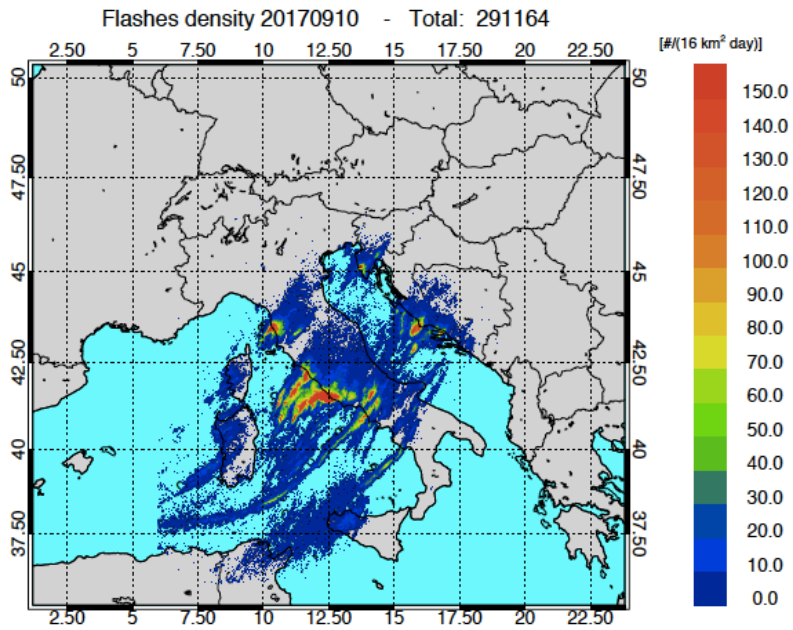


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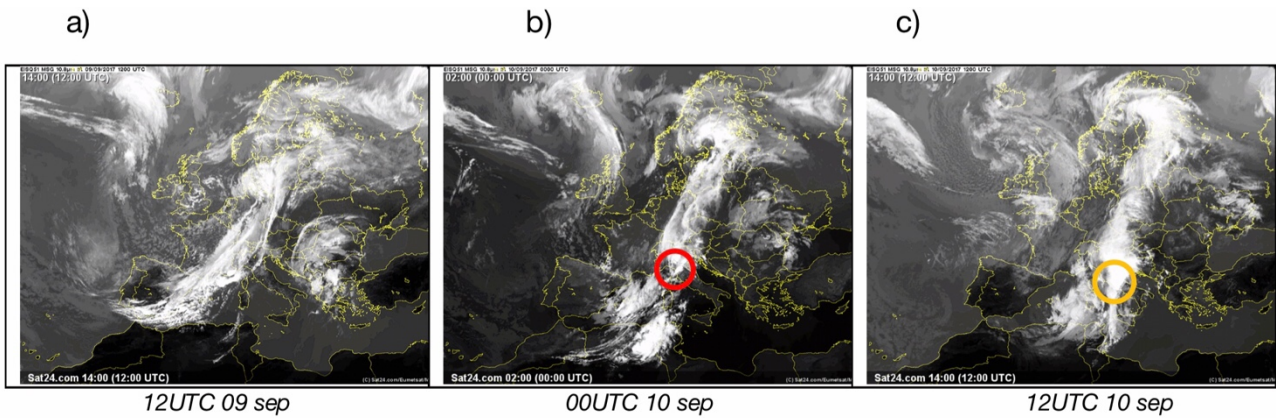
1131 b)



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1133 Figure 8: a) Lightning density (lightning number per 16 km² for the whole day) recorded on 09 September 2017; b) as in
1134 a) for 10 September 2017. The number of flashes on each day is shown in the title.

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1138 Figure 9: a) Satellite images (METEOSAT second generation) of the infrared channel, 10.8 micron, at 12 UTC on 9
1139 September 2017, at 00 UTC and 12 UTC on 10 September 2017. The red circle in Figure 9b and the orange circle in Figure
1140 9c show the Livorno and Lazio area, respectively.

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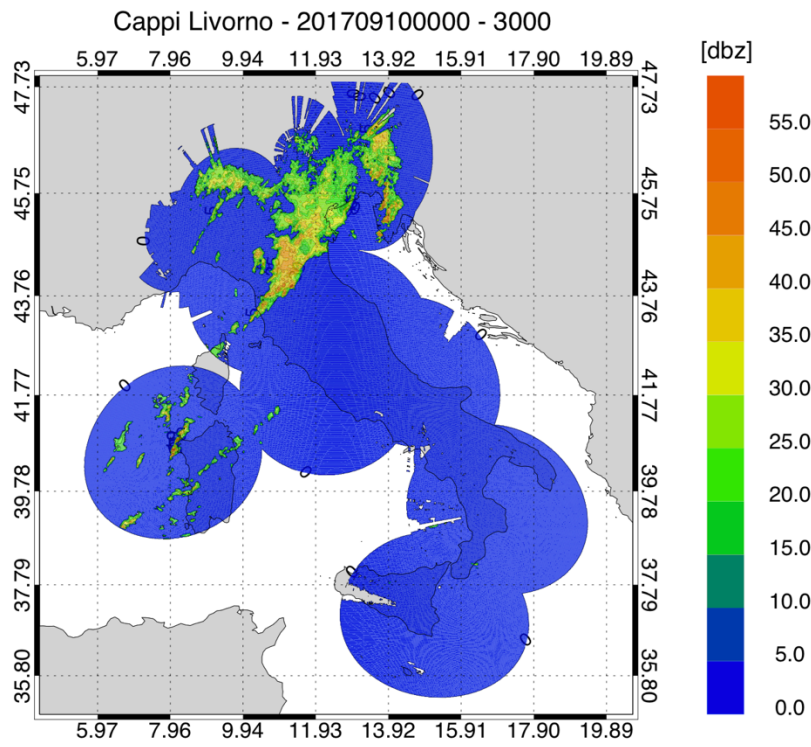
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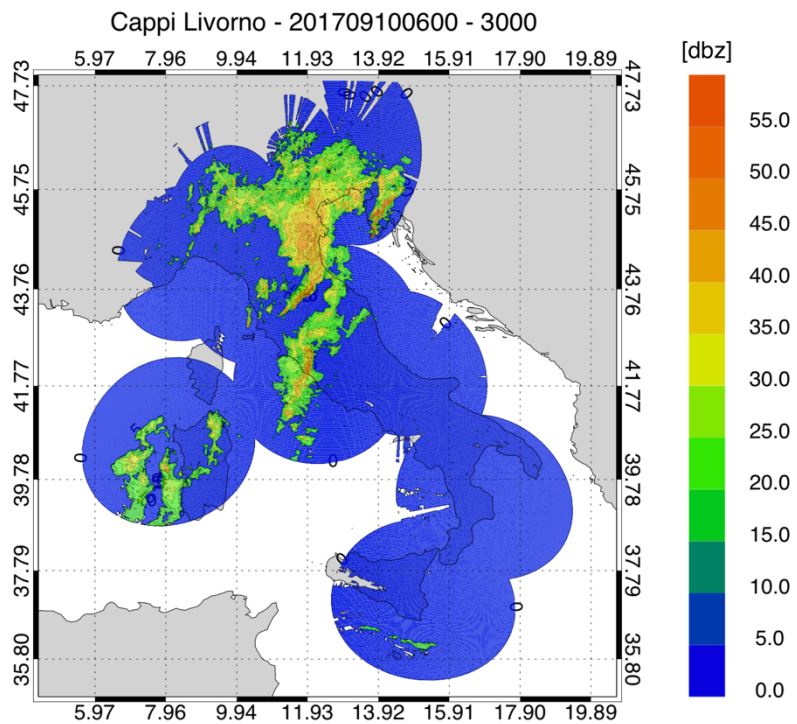
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1150 a)



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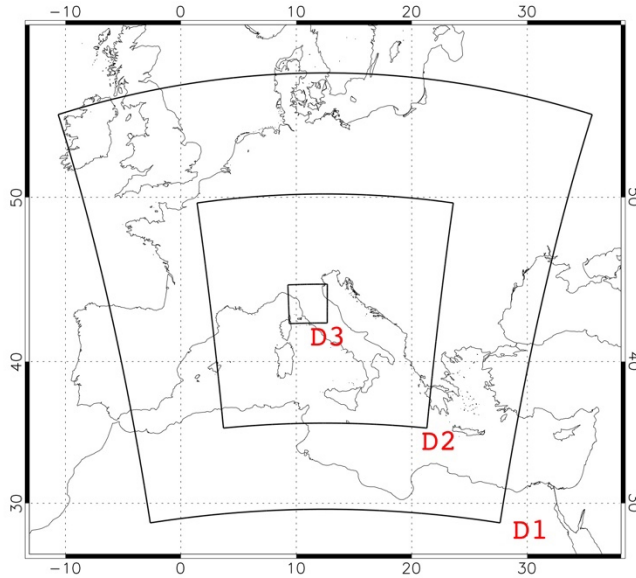
1152 b)



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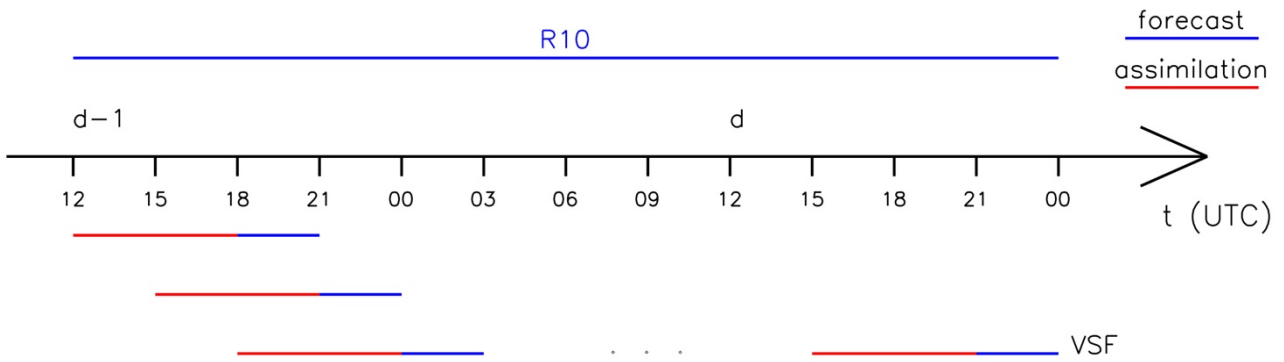
1154 Figure 10: a) National radar mosaic at 3 km above the sea level observed at 00 UTC on 10 September 2017; b) as in a)
1155 at 06 UTC.

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1158 Figure 11: The three domains used in RAMS@ISAC. The model grid over domain D1 has 301 grid points in the NS and
 1159 WE directions and has 10 km horizontal resolution, the model grid over domain D2 has 401 grid points in the NS and
 1160 WE directions and has 4 km horizontal resolution. The model grid over domain D3 has 203 grid points in the NS and WE
 1161 directions and has 4/3 km horizontal resolution. All grids have the same thirty-six vertical levels spanning the 0-22.4 km
 1162 vertical layer.



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1164 Figure 12: The implementation of RAMS@ISAC very short-term forecast.

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1167 Figure 13: The radar network of the Department of Civil Protection. Green radars operate with dual-polarisation, blue
 1168 radars have single polarisation.

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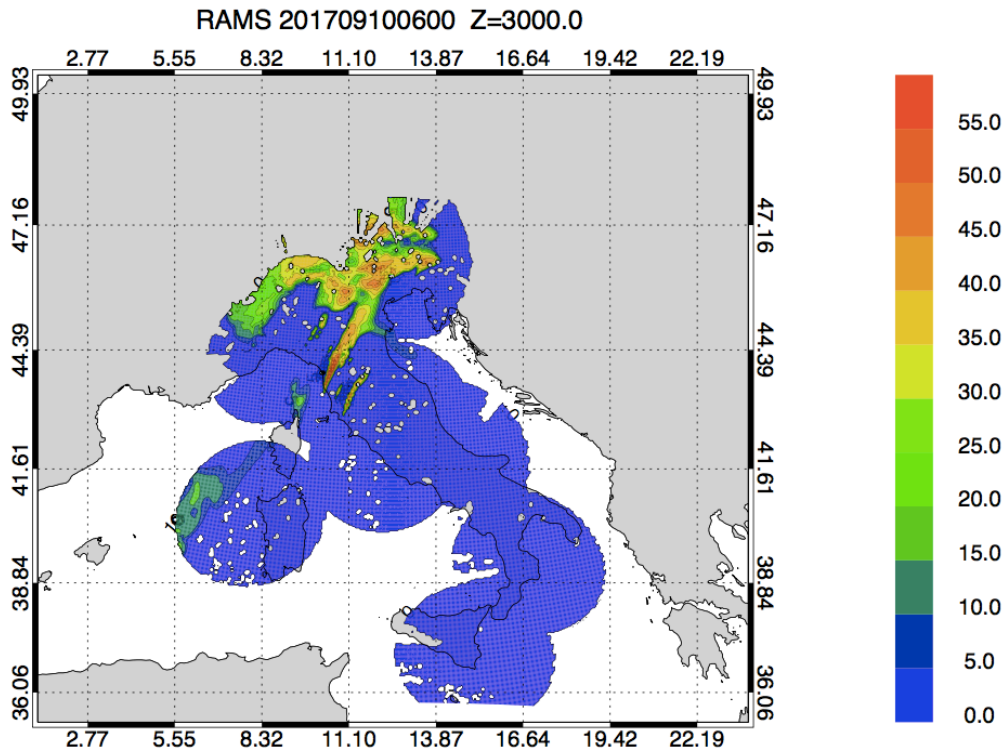
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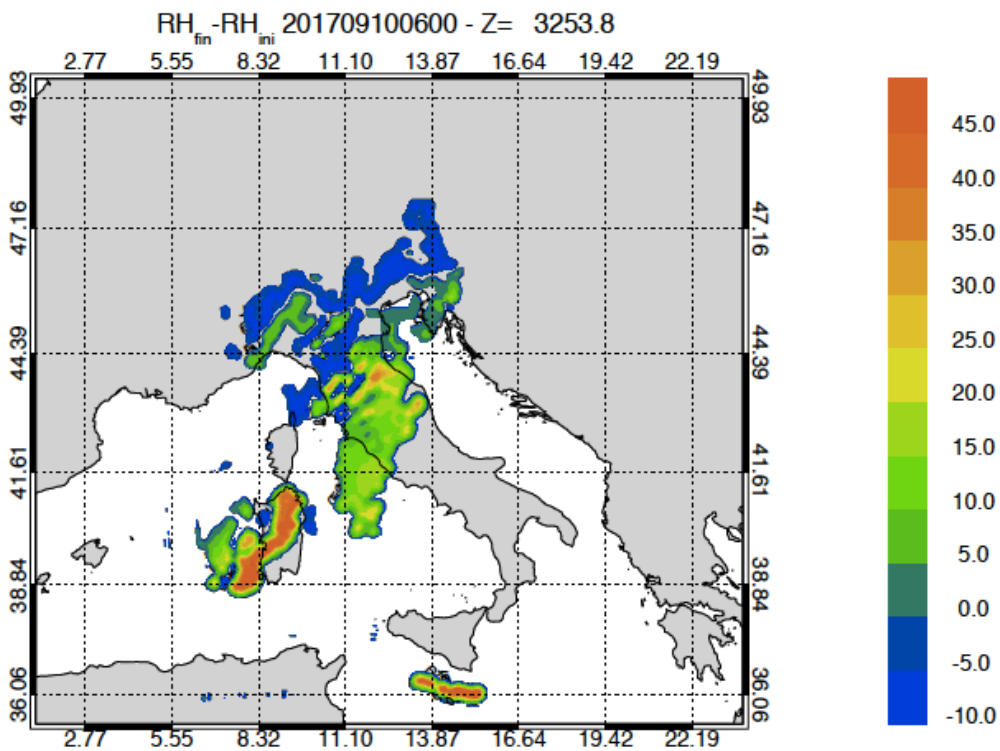
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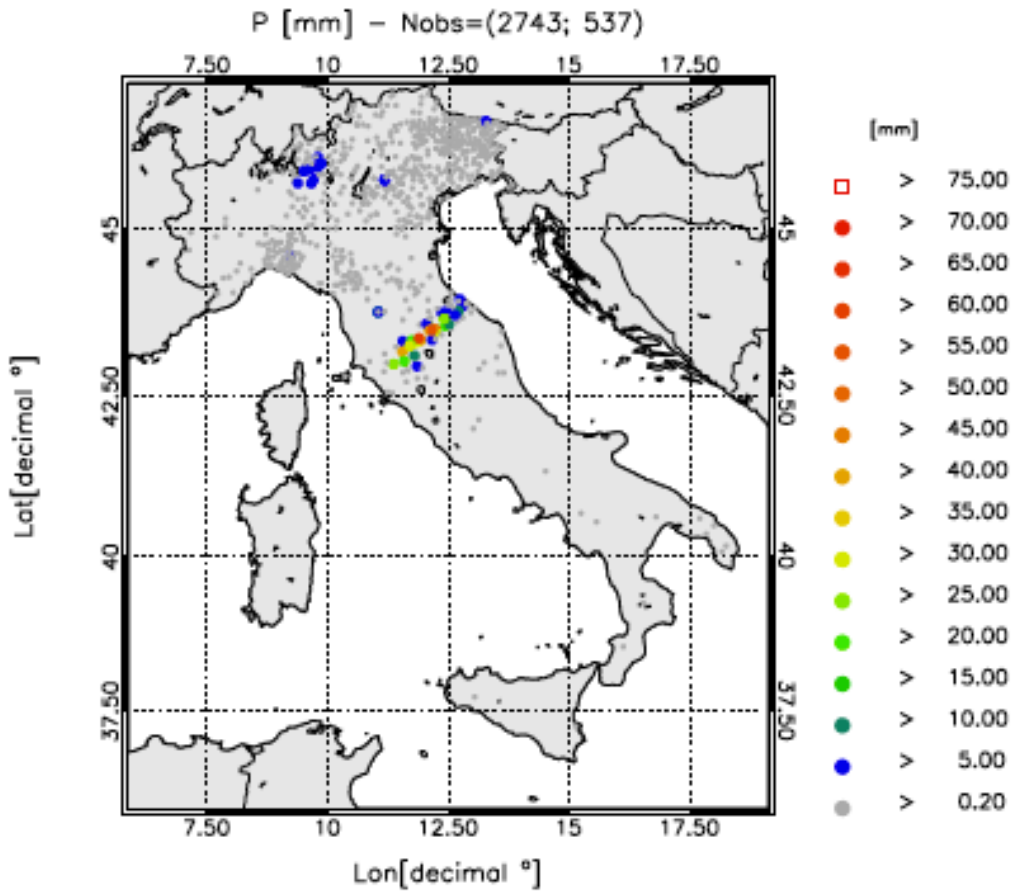
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1190 Figure 14: a) RAMS@ISAC reflectivity factor simulated 3 km above sea level at 06 UTC on 10
1191 September 2017; b) relative humidity difference between the analysis and the background at 06
1192 UTC at 3.2 km level in the terrain following vertical coordinate of RAMS@ISAC.

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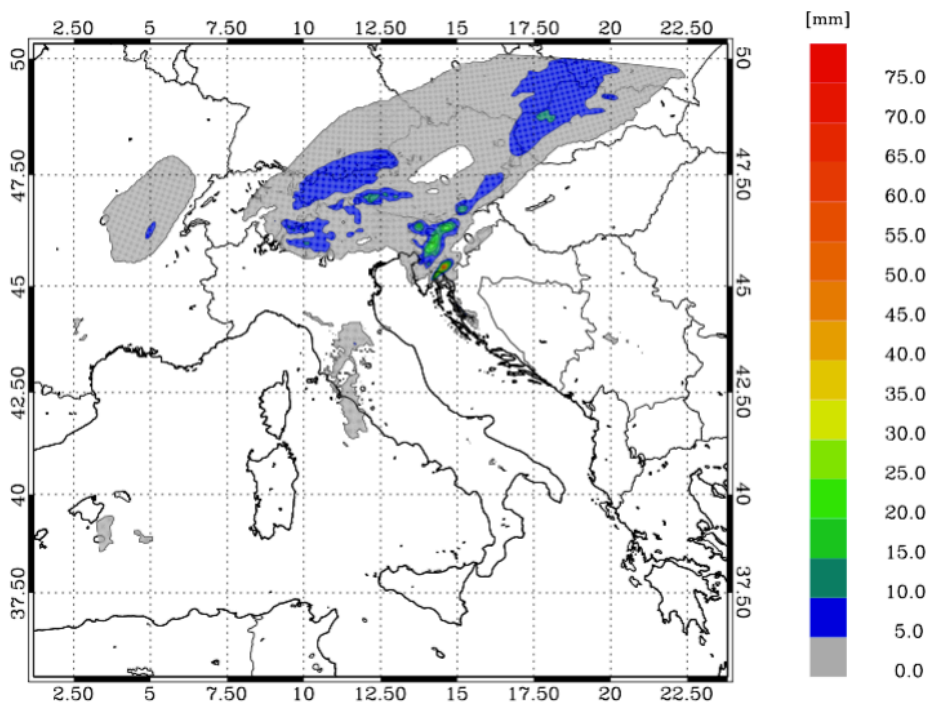
1195 a)



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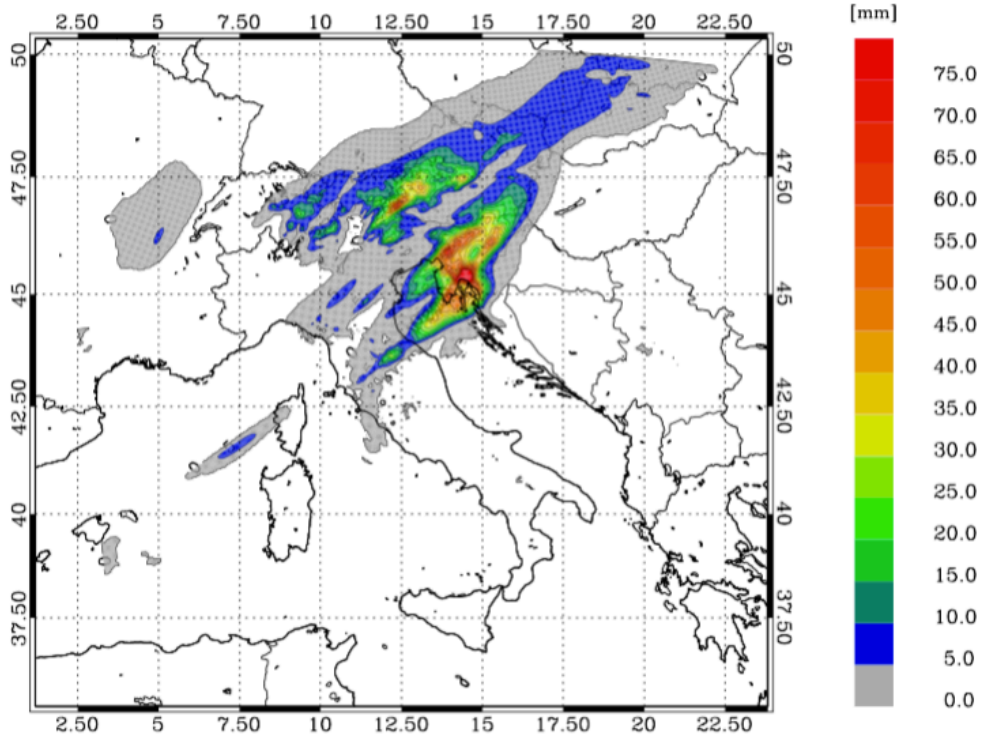


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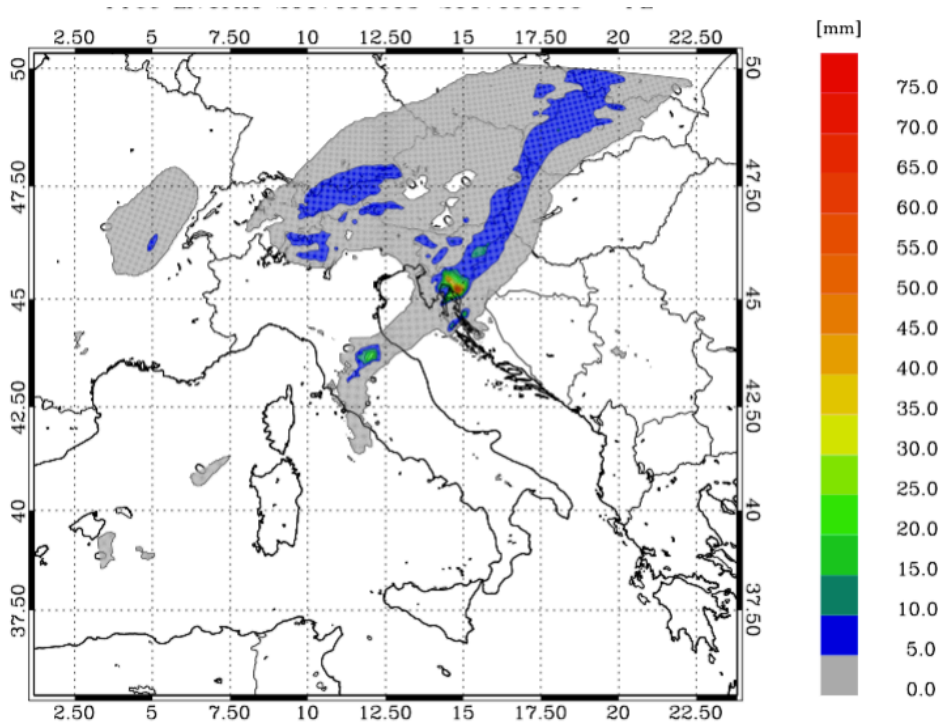
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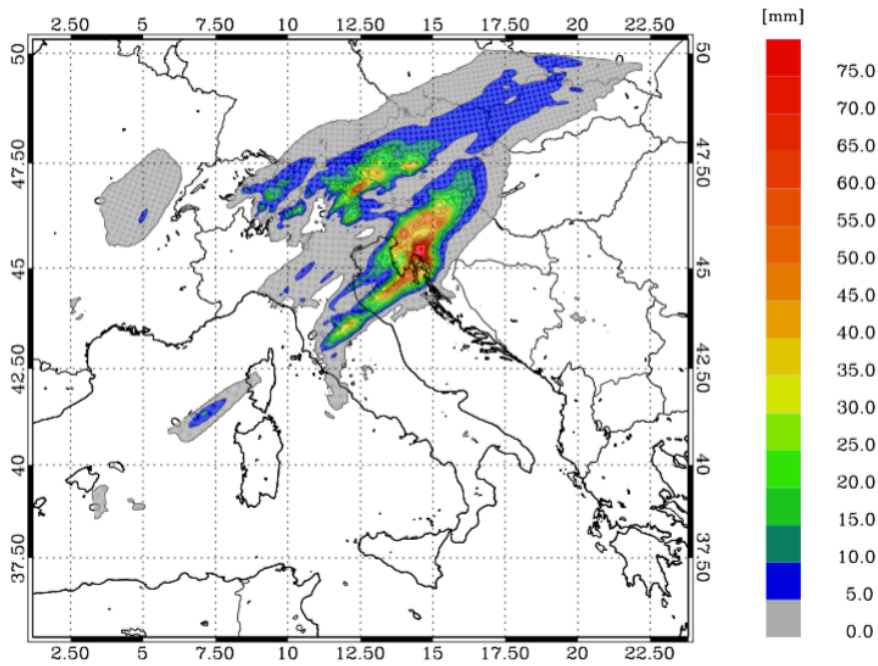
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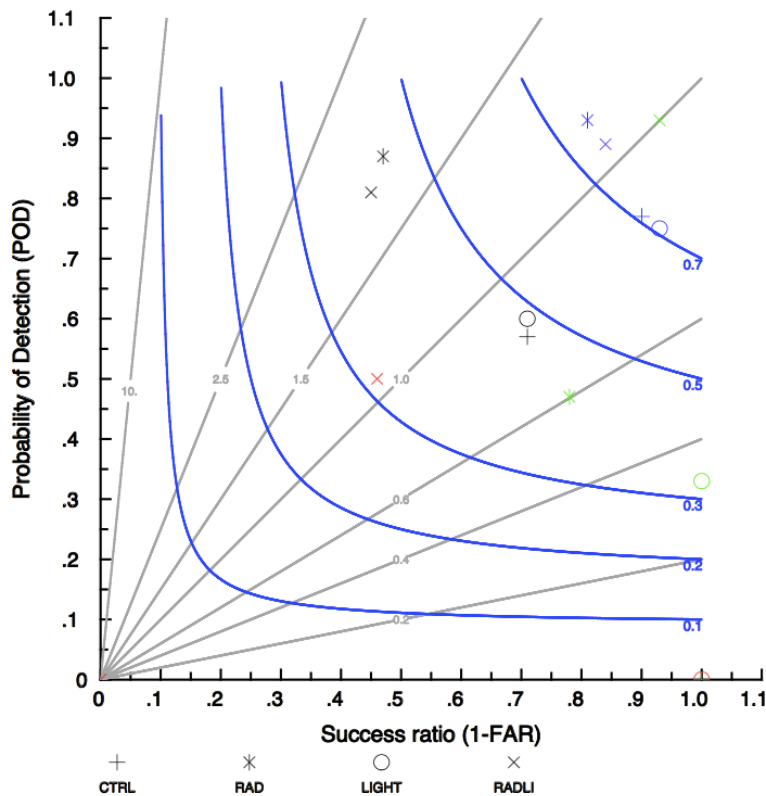
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1210 e)



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1212 f)

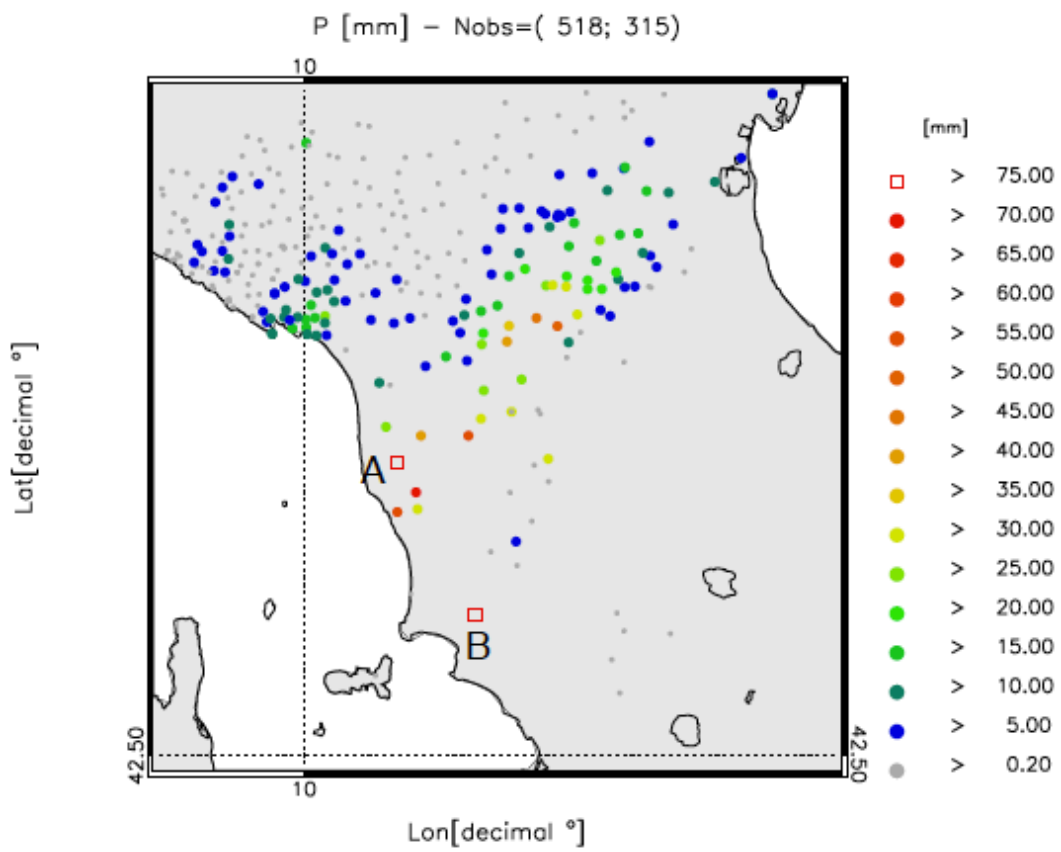


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1214 Figure 15: a) rainfall reported by raingauges between 03 and 06 UTC on 16 September 2017. Only raingauges observing
 1215 at least 0.2 mm/3h are shown. The first number in the title within brackets represents the available raingauges, while
 1216 the second number represents those observing at least 0.2 mm/3h; b) rainfall VSF of CTRL for the same time interval
 1217 as in a); c) as in b) for RAD forecast; d) as in b) for LIGHT forecast; e) as in b) for RADLI forecast; f) performance diagram:
 1218 black symbols are for the nearest neighbourhood and for 1mm/3h threshold; red symbols are for the nearest
 1219 neighbourhood and for 30 mm/3h threshold; blue symbols are for 25 km neighbourhood radii and for 1 mm/3h
 1220 threshold; green symbols are for 25 km neighbourhood radii and for 30 mm/3h threshold.

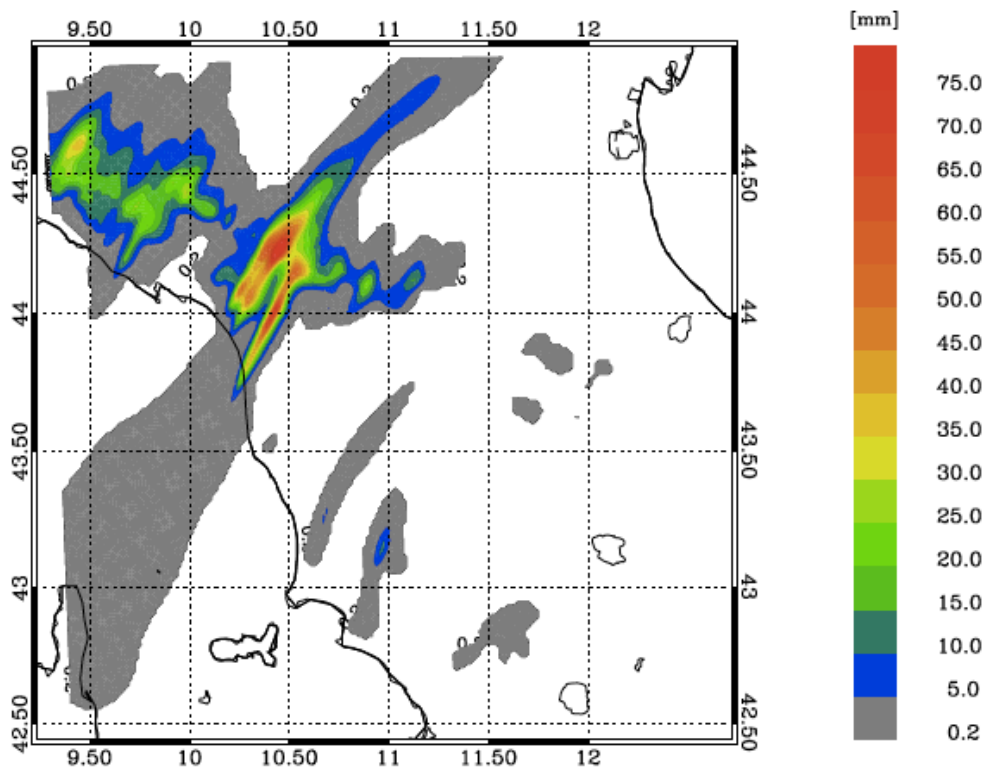
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1222 a)



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1224 b)

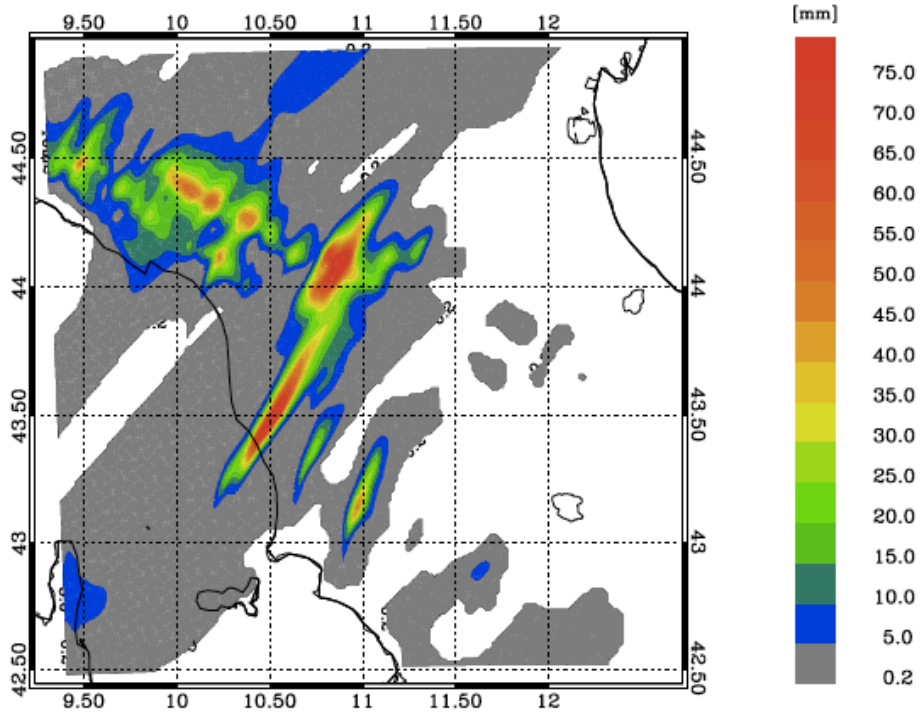


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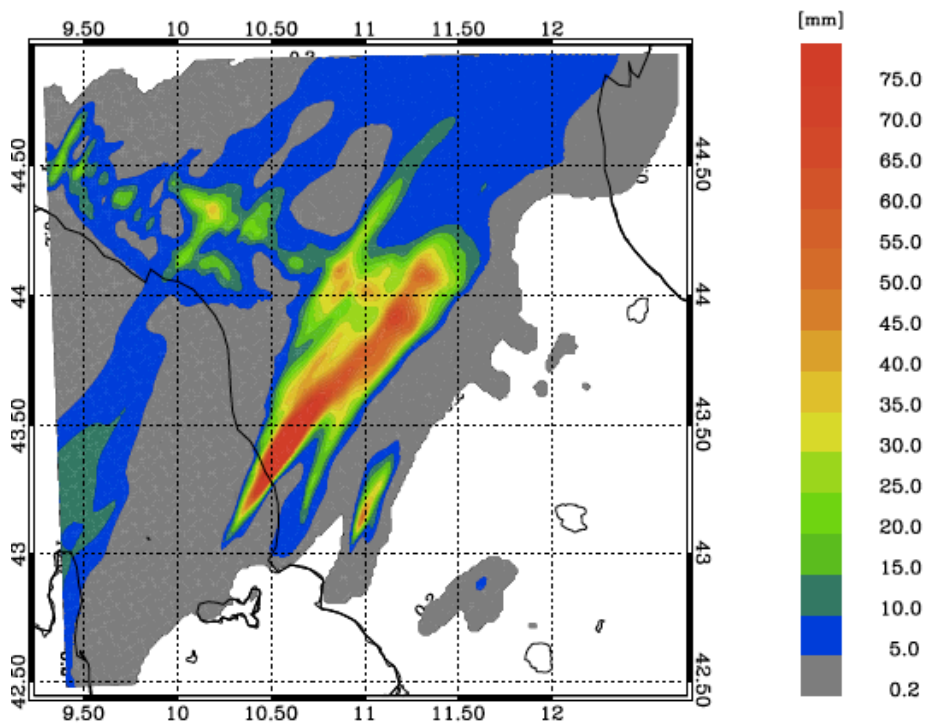
1228 c)



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1231 d)



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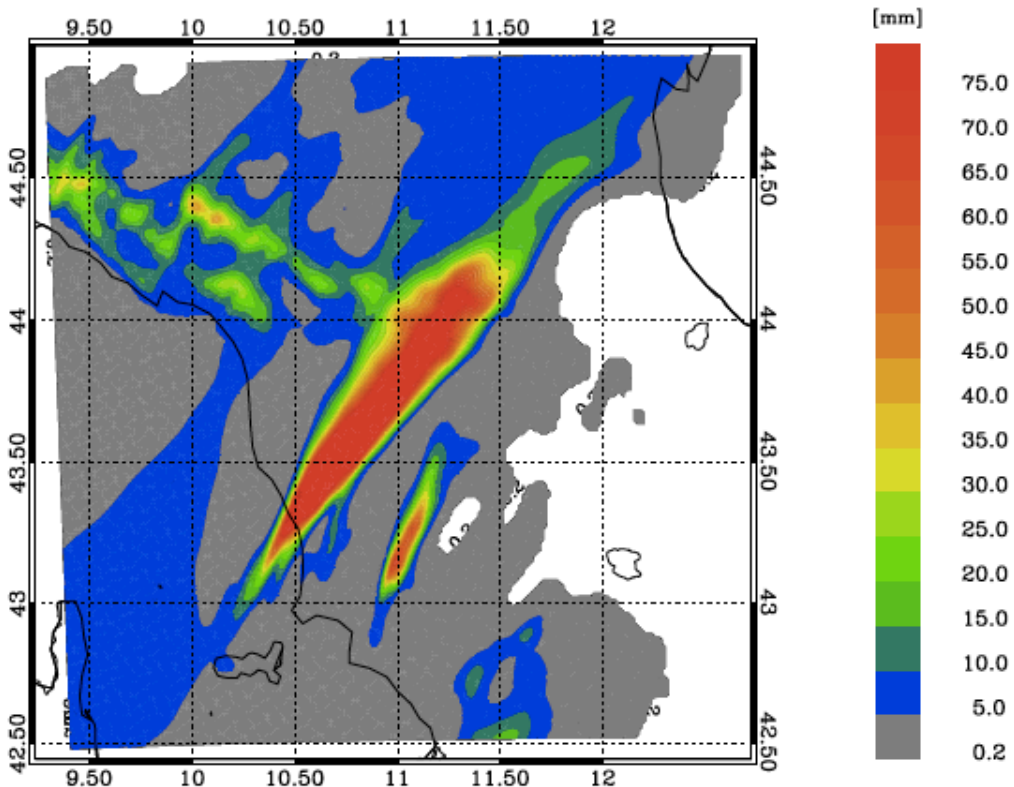
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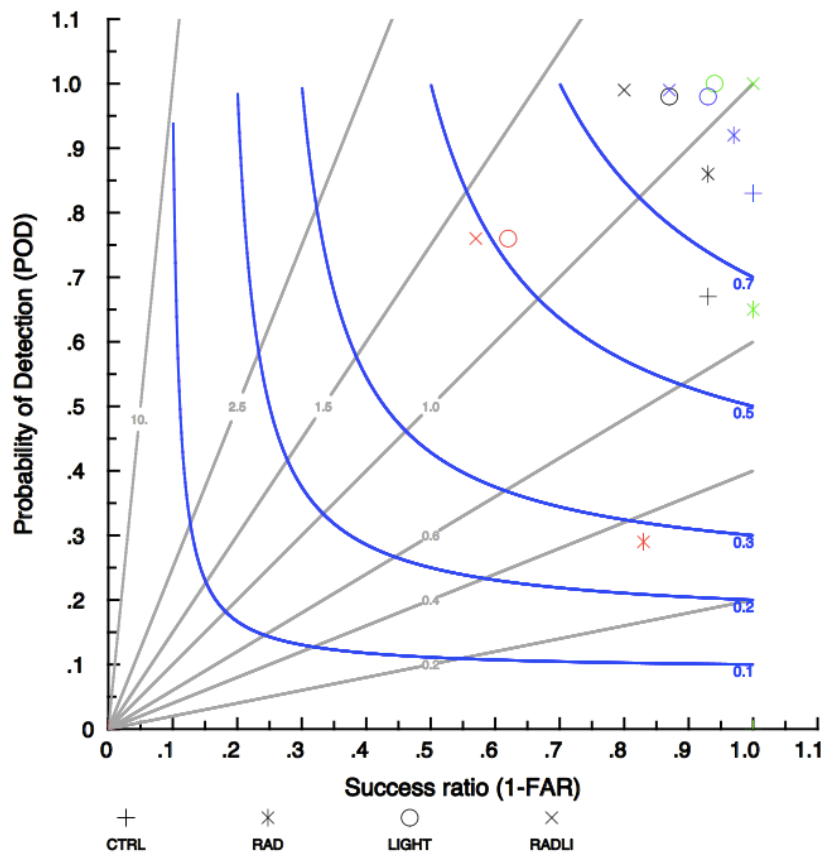
1237 e)

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1240 f)

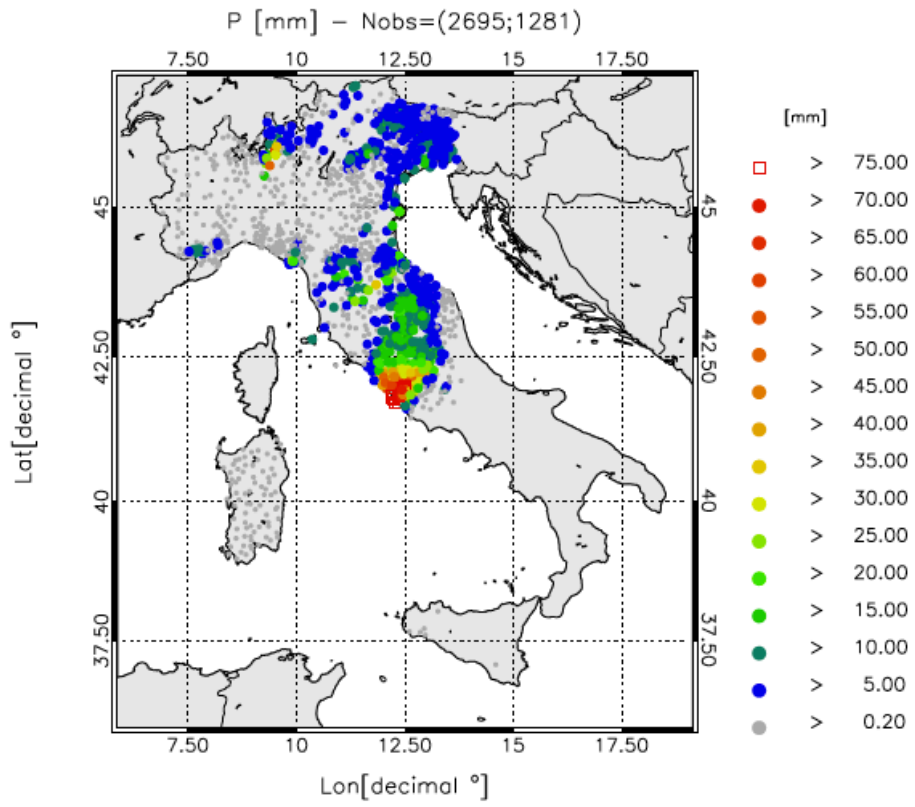


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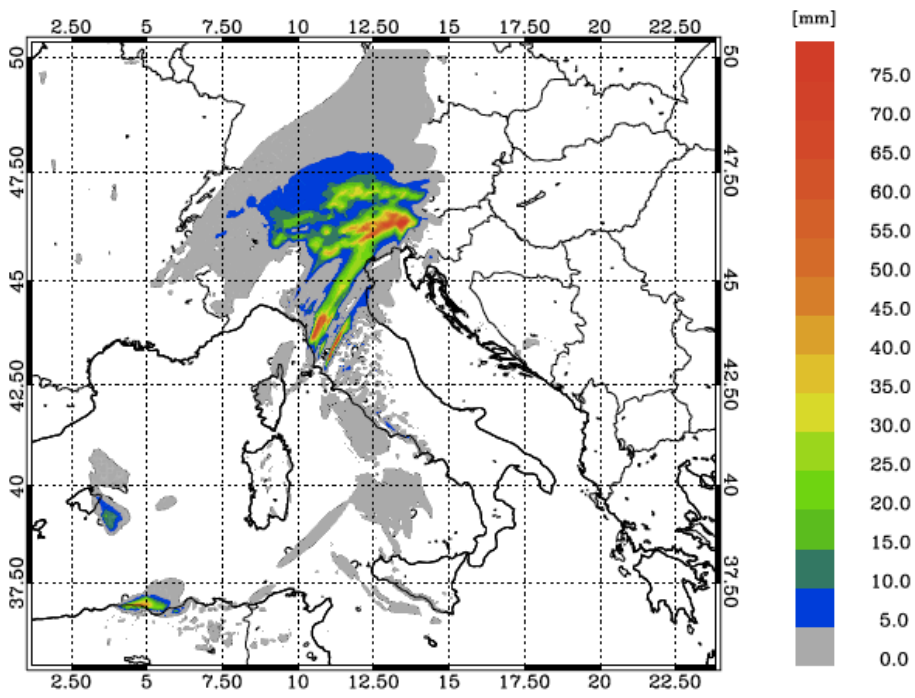
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Figure 16: a) rainfall reported by raingauges between 00 and 03 UTC on 10 September 2017. Only stations reporting at least 0.2 mm/3h are shown. The first number in the title within brackets represents the number of raingauges available over the domain, while the second number shows those observing at least 0.2 mm/3h; b) rainfall VSF of CTRL for the same time interval as in a); c) as in b) for RAD forecast; d) as in b) for LIGHT forecast; e) as in b) for RADLI forecast. Labels A and B help to identify the positions of two rainfall maxima discussed into the text; f) performance diagram: black symbols are for the nearest neighbourhood and for 1mm/3h threshold; red symbols are for the nearest neighbourhood and for 30 mm/3h threshold; blue symbols are for 25 km neighbourhood radii and for 1 mm/3h threshold; green symbols are for 25 km neighbourhood radii and for 30 mm/3h threshold.

1295 a)

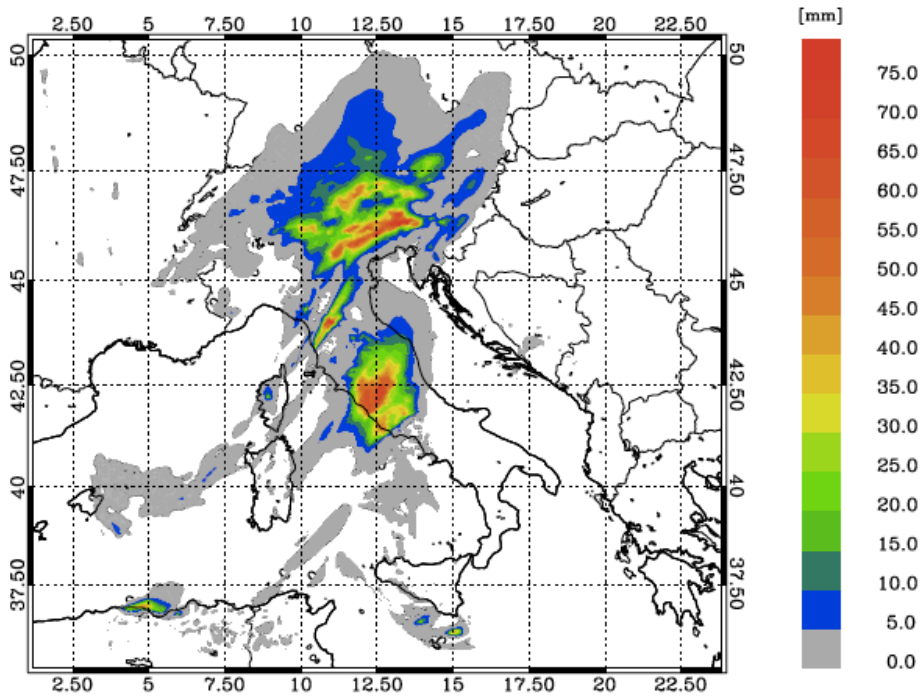


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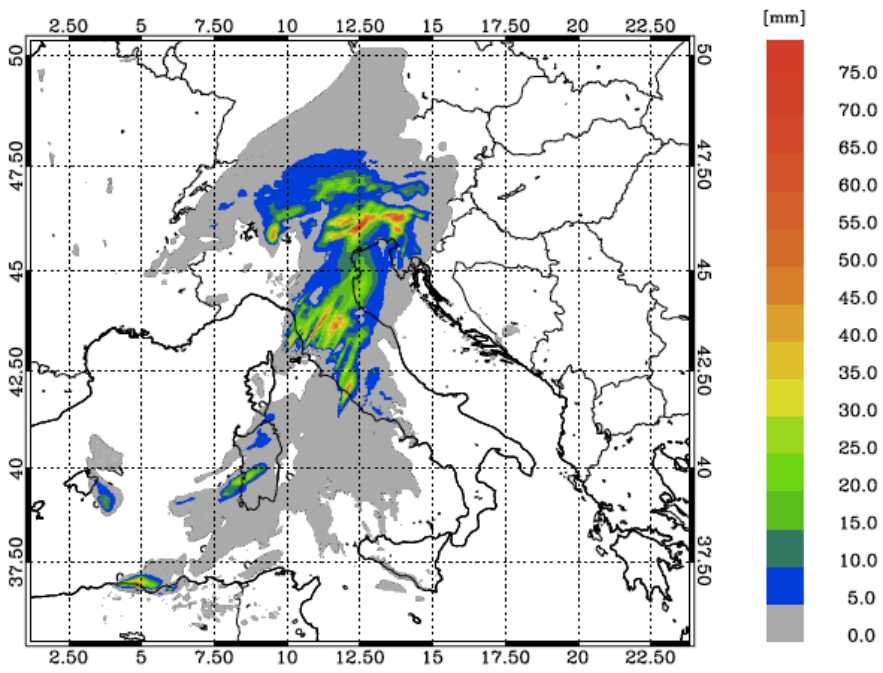
1303 c)



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1306 d)



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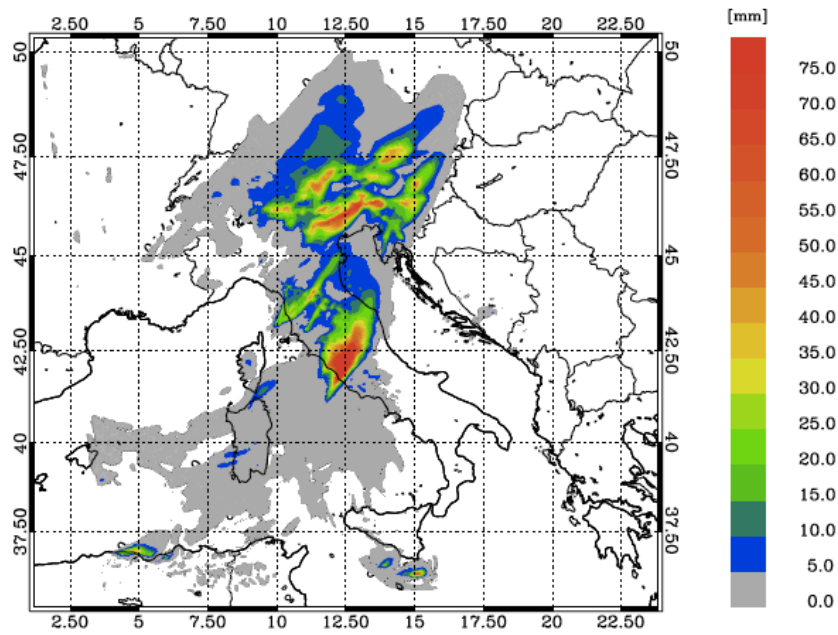
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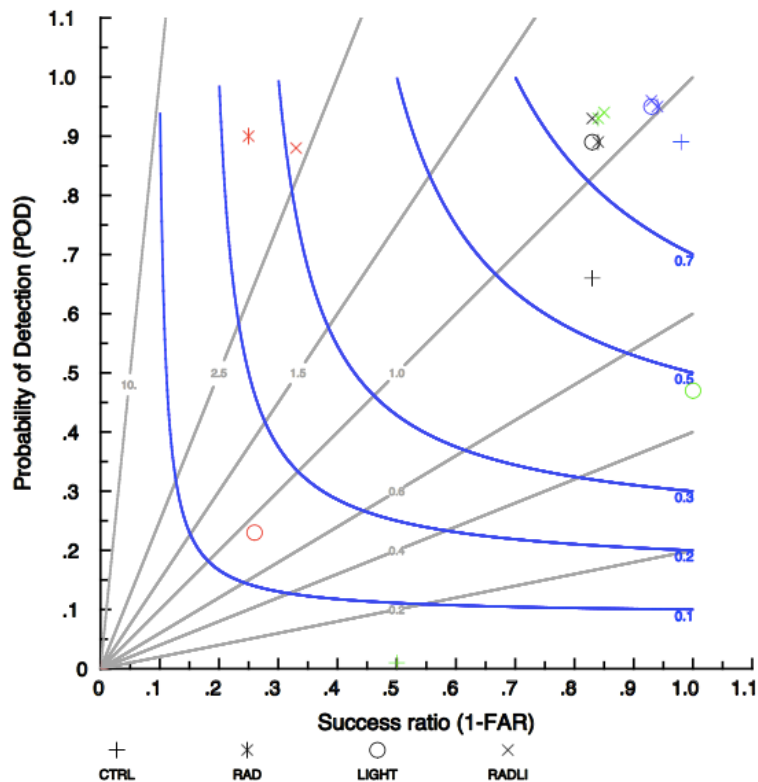
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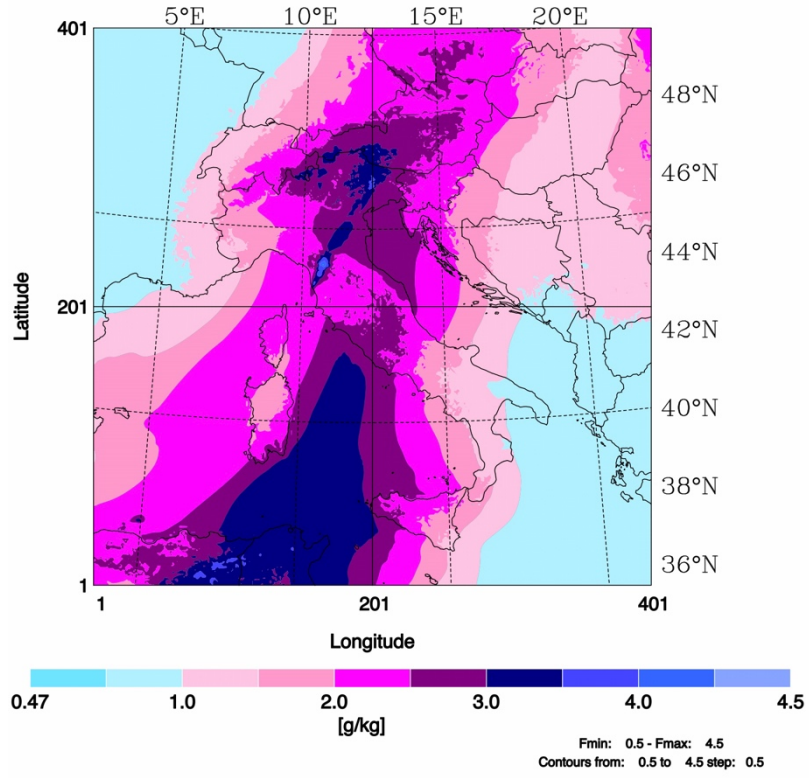
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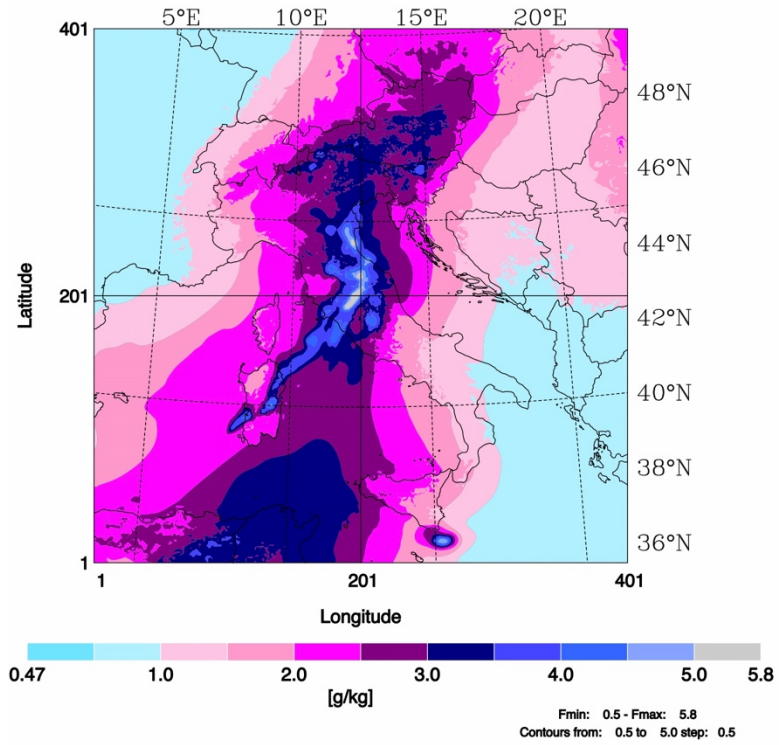
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Figure 17: a) rainfall reported by rain gauges between 06 - 09 UTC on 10 September 2017. For this time period 2695 rain gauges reported valid observations in the domain, however only stations reporting at least 0.2 mm/3h are shown. The first number in the title within brackets represents the number of rain gauges available over the domain, while the second number shows those observing at least 0.2 mm/3h; b) rainfall VSF of CTRL in the same time interval as a); c) as in b) for RAD forecast; d) as in b) for LIGHT forecast; e) as in b) for RADLI forecast; f) performance diagram: black symbols are for the nearest neighbourhood and for 1mm/3h threshold; red symbols are for the nearest neighbourhood and for 30 mm/3h threshold; blue symbols are for 25 km neighbourhood radii and for 1 mm/3h threshold; green symbols are for 25 km neighbourhood radii and for 30 mm/3h threshold.

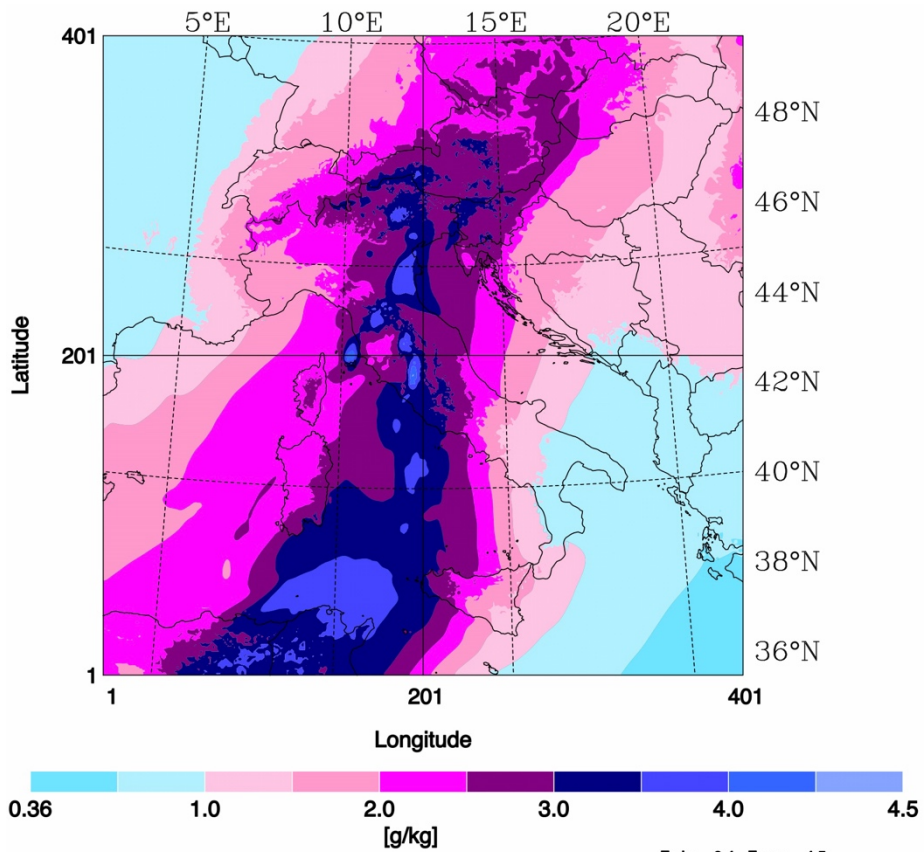
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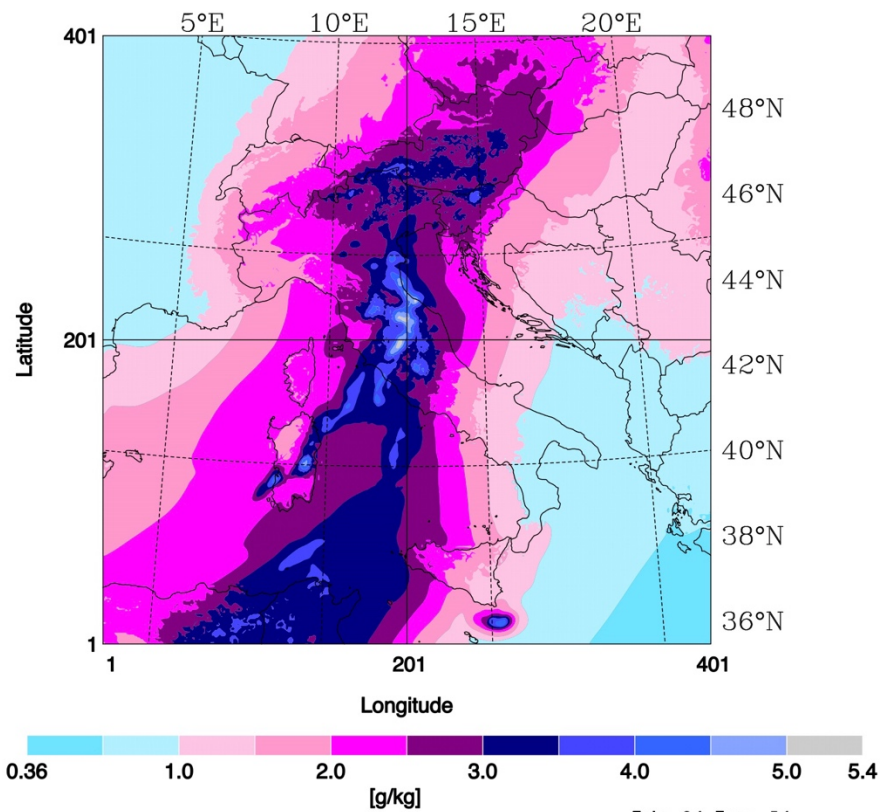
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1331 b)
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1335 c)
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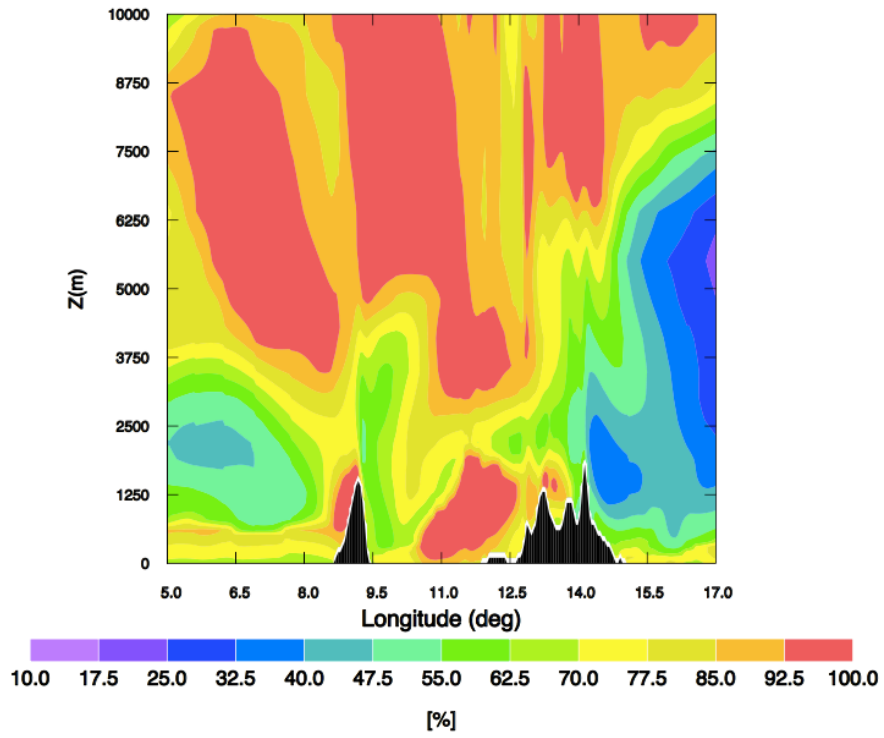
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1338 d)



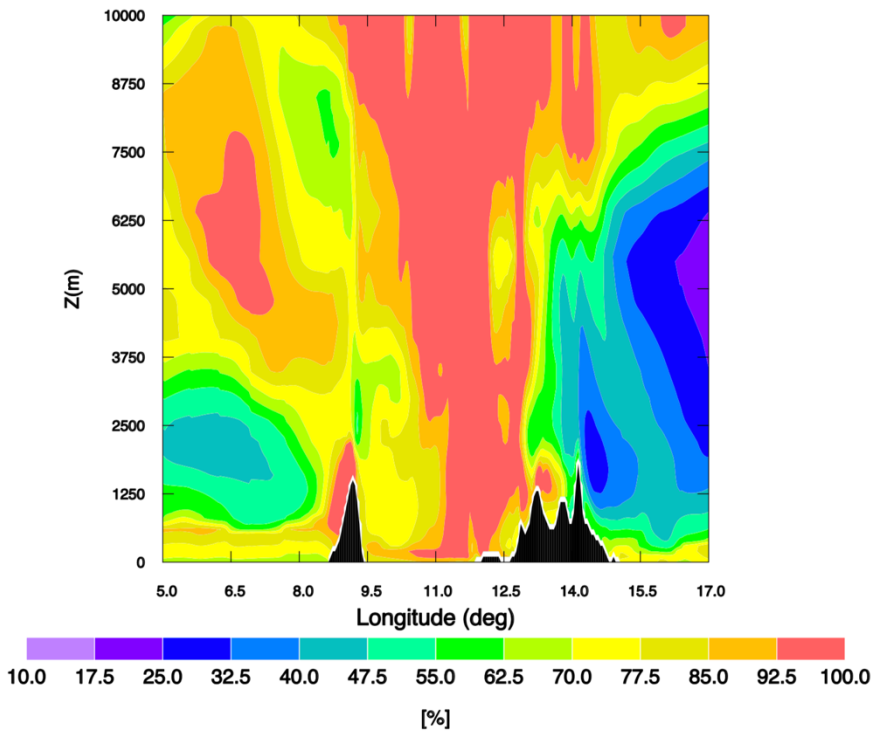
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Figure 18: Water vapour mixing ratio averaged between 3 and 10 km at 06 UTC on 10 September 2017 for: a) CTRL; b) RAD; c) LIGHT; d) RADLI.

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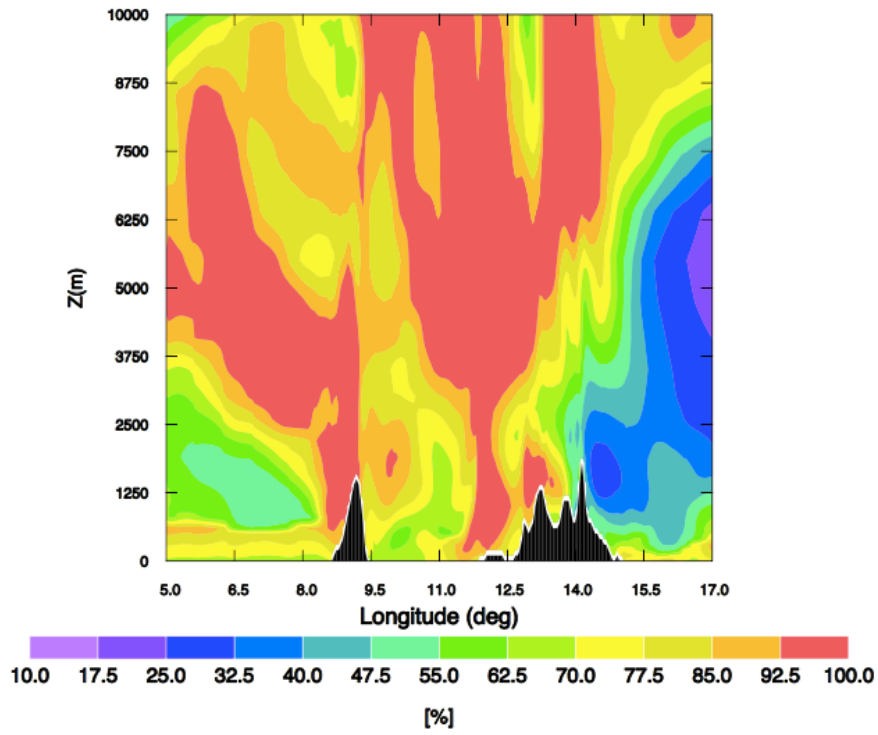


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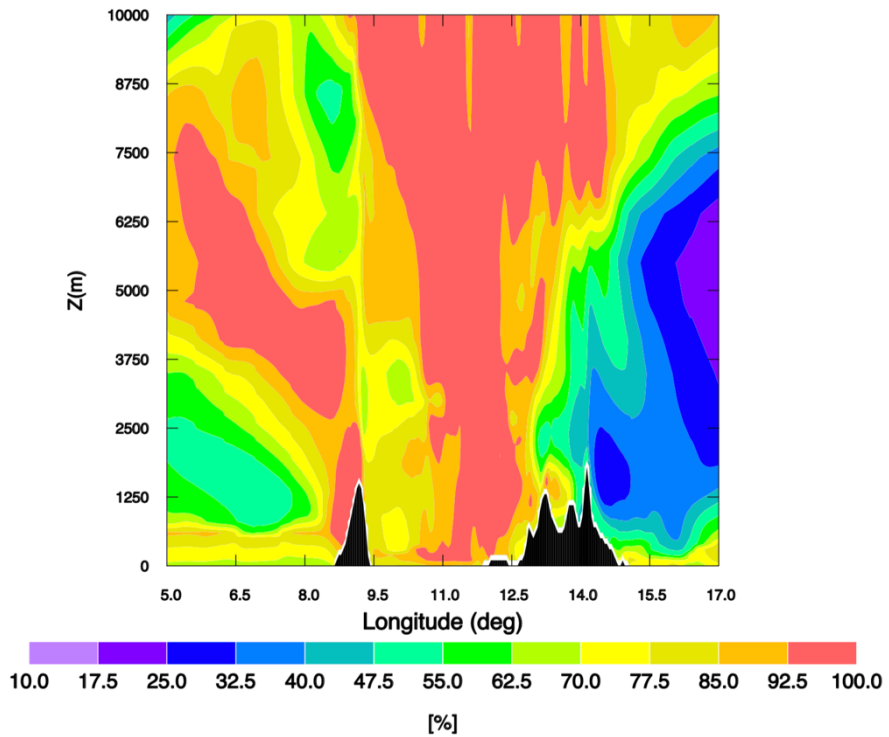


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1357 d)
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Figure 19: Relative humidity longitude-height cross-section at 42°N and at 06 UTC on 10 September 2017 for: a) CTRL; b) RAD; c) LIGHT; d) RADLI. Only the longitude range between 5 E and 17 E and the vertical range between 0 and 10 km are shown for clarity.