





analytical and empirical models (Federal Emergency Management Agency (FEMA), 2005). However, the calculation of analytical and semi-analytical models are based on building damage data, which are not suitable for rapid estimation (Li, et al. 2015; Weng, et al. 2009). During recent years, the empirical model has been widely used in rapid estimation, which depends on statistical analysis using historical loss data. The empirical model provides an important opportunity to quickly and approximately assess the earthquake loss. Regarding the study of the empirical model, Japanese researchers did so relatively early. Kawasumi (1951) proposed a measure to estimate the danger and expectation of the maximum intensity of destructive earthquakes in Japan. Similarly, Ohta et al. (1983) developed an empirical relationship for estimating the number of casualties within the number of completely destroyed houses. A more recent attempt was based on an analysis of strong global earthquakes during the twentieth century, which obtained a log-linear relationship for fatalities as a function of magnitude and population density (Samardjieva. 2002). On the basis of Samardjieva's study, Badal et al. (2005) put forward a quantitative earthquake fatality estimation model that considered the mortality rate. Similarly, Nichols and Beavers (2003) studied the earthquake loss catalog of the twentieth century and established a bounding function with the fatality count and magnitude. Chen et al. (2005) analyzed earthquake cases on mainland China and developed an empirical equation based on the standard of population density and the relationship between the seismic fatalities and the magnitude. Jaiswal et al. (2009) established a mortality model based on population distribution according to rebuilt earthquake case scenes and studied regional earthquake cases (Jaiswal et al. 2010). Generally speaking, the current empirical model for fatality estimation is derived from available historical data and relies on parameter regression analysis. Therefore, there are two problems with the empirical model. First, it will ignore extreme events when there is lack of historical data. Second, most models consider fewer factors and do not consider the influence between known factors and possible unknown factors. It is quite essential to establish a new rapid estimation model of earthquake fatalities that can avoid these problems.

The data or processes used in the empirical model contain considerable uncertainty, and the uncertainty in these components is the source of inaccuracy or error in the estimation results (Gardi et al. 2011; Gall et al. 2009; Wirtz et al. 2014). During recent years, the study of uncertainty in the estimation of earthquake fatalities has mainly regarded the qualitative

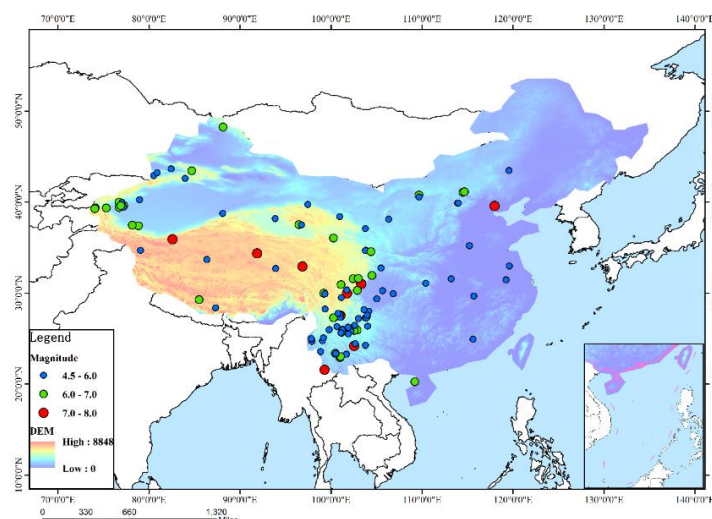


60 description (Romão, 2016), and there is a relative lack of quantitative research. Qualitative  
61 description is the most widely used method to describe the uncertainty in disaster estimation (Van  
62 Asselt 2000). There are many linguistic uncertainties when describing the uncertainty in terms  
63 of vagueness and context, which can result in an inaccurate qualitative description. The numerical  
64 quantification of uncertainty is possible for emergency decision making when the information is  
65 partial or not quantifiable during the process of estimation. It is imperative to construct a suitable  
66 model to quantify the uncertainty in the estimation of earthquake fatalities.

67 In this paper, we present a new approach to estimate earthquake fatality expectations and  
68 quantify the uncertainty in the estimation, which is expressed as a function of the mortality rate  
69 and victims. The basic scenarios are constructed using the magnitude, the initial time and the  
70 relationship between the epicentral intensity and the epicentral fortification intensity, and these  
71 scenarios consider combinations of parameters. This study not only breaks the traditional  
72 empirical model form but also quantifies the uncertainty in the estimation results.

## 73 **2 Earthquake fatalities in mainland China**

74 In general, historical earthquake fatality and exposure data provide a useful basis for future  
75 earthquake fatality estimation. We collected destructive earthquake data from earthquakes that  
76 occurred on mainland China from 1970 to 2017 as samples. The datasets mainly contain the  
77 earthquake parameters (e.g., magnitude, epicentral intensity, epicentral fortification intensity and  
78 initial time) and the disaster information (e.g., the number of fatalities and the number of victims);  
79 the distribution of the samples is shown in Figure 1. The disaster information was derived from  
80 EM-DAT (<http://www.emdat.be/>), and the earthquake parameters were obtained from PAGER  
81 (<https://www.pager.com/>).



**Figure 1. Distribution of historical earthquakes on mainland China from 1970 to 2017**

### 3 Basic earthquake emergency scenarios

Scholars have discussed the factors that affect earthquake fatalities, which include magnitude, intensity, initial time, population exposure, housing fragility, and individual factors (Oike, 1991; Nichols, 2003). Moreover, scholars have considered as many factors as they can when modelling. However, some errors remain in each model; thus, the relational expression between the parameters and the number of fatalities is not suitable, or there are still some temporarily non-measurable factors. Therefore, we hoped to identify the main influencing factors via the analysis of historical data. Basic earthquake emergency scenarios were constructed based on a combination of the main factors. A basic scenario combination can better express the relationship between the parameters and earthquake fatalities. Then, information diffusion theory was used to diffuse the sample data based on the basic scenarios considering the temporarily non-measurable factors and the extreme event under each scenario.

We collected data on 219 destructive earthquakes that caused casualties in China from 1970 to 2017. Via qualitative analysis using the collected data, the main factors affecting earthquake fatalities were acquired. There is an approximately linear relationship between the magnitude and the number of fatalities (Figure 2). As the magnitude increases, the number of fatalities increases. The relationship between the epicentral intensity and the number of fatalities is shown in Figure 3; the epicentral intensity is mapped to the number of fatalities. The relationship



102 between the number of fatalities and the initial time is relatively vague, as shown in Figure 4.  
 103 However, it is evident that the maximum number of fatalities occurred during the period 21:00-  
 104 06:00. The initial time of the earthquake will influence the in-building ratio, the population  
 105 exposure and the speed of the escape reaction of indoor personnel (Chen 1993; Yang et al. 2007).  
 106 After analysis, it was found that there was no ideal correspondence between the collapse area  
 107 and the number of fatalities, as shown in Figure 5.

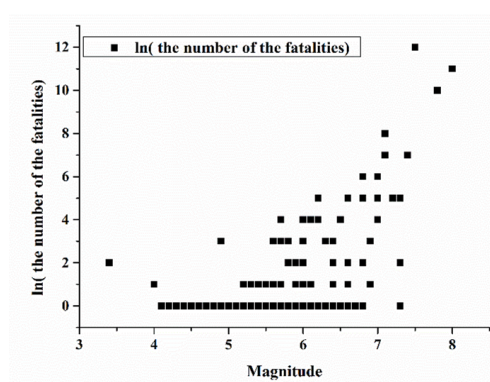


Figure 2. Relationship between the magnitude and the number of fatalities

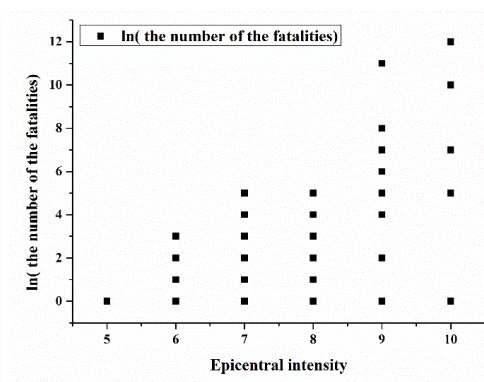


Figure 3. Relationship between the epicentral intensity and the number of fatalities

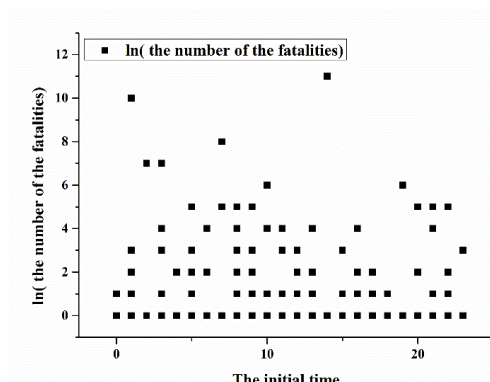


Figure 4. Relationship between the initial time and the number of fatalities

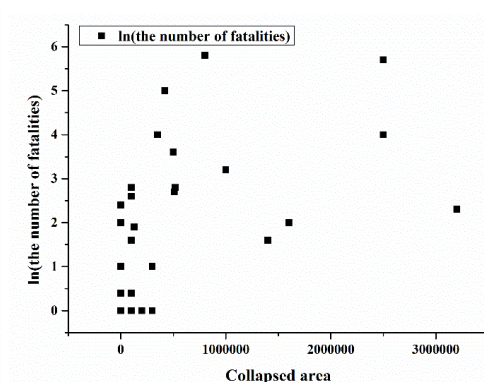
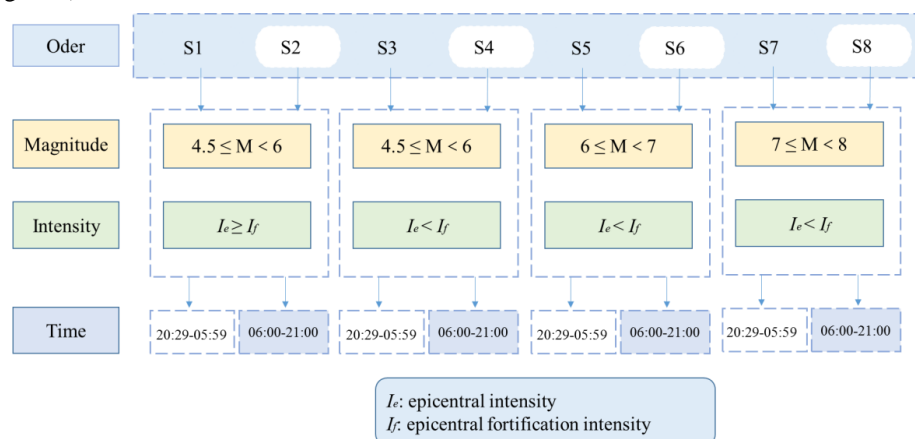


Figure 5. Relationship between the collapsed area and the number of fatalities

108 Based on the aforementioned analysis, the magnitude, epicentral intensity and initial time  
 109 were selected as the main parameters used to establish the basic earthquake emergency scenarios.  
 110 Magnitude can be expected to be the most essential factor in determining earthquake fatalities.  
 111 The magnitude was divided into three levels ( $4.5 \leq M < 6$ ,  $6 \leq M < 7$  and  $7 \leq M \leq 8$  ( $M$  means



112 magnitude)) according to the principle of magnitude division in the earthquake emergency  
 113 programming of China (The National Earthquake Emergency Plan, 2012). On the basis of the  
 114 magnitude division, the relationship between the empirical intensity and the fortification intensity  
 115 was used to indirectly express the building damage information. The relationship between  
 116 magnitude ( $M$ ) and epicentral intensity ( $I_0$ ) is as follows :  $M = 0.58I_0 + 1.5$  (GB/T17742). As  
 117 the fomula shows, when the magnitude is greater than 6, the empirical intensity is greater than  
 118 7.75. However, there are fewer historical earthquakes with a regional fortification intensity  
 119 greater than 8 in China. Therefore, the basic earthquake emergency scenarios do not consider the  
 120 scenario with an epicentral intensity less than the epicentral fortification intensity when the  
 121 magnitude is greater than 6. In addition, the initial time of the earthquake is an important factor  
 122 affecting staff reaction. During early morning or night, most of the population is sleeping in  
 123 residential buildings; thus, they cannot take protective measures. In contrast, during the day, most  
 124 of the population is at work. Thus, the initial time was divided into two periods: day (06:00-  
 125 20:59) and night (21:00-05:59). Finally, the basic earthquake emergency scenarios were  
 126 constructed based on a combination of the magnitude, intensity, and initial time of the earthquake  
 127 (Figure 6).



**Figure 6. Framework of basic earthquake emergency scenarios**

128  
 129  
 130 The objective of the rapid estimation model of earthquake fatalities based on scenario  
 131 analysis is to estimate the fatality expectations and the uncertainty in the fatality interval. The  
 132 sample data were classified into each scenario based on the framework of the basic earthquake  
 133 emergency scenarios. Then, the classified samples were divided into two sets (Table 1). One set





consisted of 80% of sample data, which were selected randomly selected from each scenario for model construction. Another set was composed of the remaining 20% of the samples, which was used to verify the accuracy of the model.

**Table 1. Data sample size and data usage**

| Sample size  | Data usage         |
|--|--------------------|
| 175 (random selection of 80% of the samples under each scenario) | Model construction |
| 44 (random selection of 20% of the samples under each senario)   | Verification       |

## 4 Methodology

We needed a functional form describing the fatalities with the victim and mortality rate. After the earthquake, the China Earthquake Administration will rapidly publish information on the earthquake, including the magnitude, the geographic coordinates of the epicentre, and the source mechanism solution (Wang, et al. 2013). The intensity distribution is acquired by the earthquake parameter information and the seismic intensity elliptical attenuation model (Wang, et al. 2000; Wu, et al. 2010). The number of victims is calculated with the area of each intensity and the population density. To derive an earthquake fatality rapid estimation function, one needs to compile the mortality rate statistical analysis under each scenario using observations from past earthquakes. The outline of the approach is as follows:

$$D = E(S_t) \times \sum_{I=5}^{I_{max}} k_I A_I P_I \quad (1)$$

where  $D$  is the number of fatalities;  $E(S_t)$  is the mortality rate expectation of scenario  $S_t$ ;  $A_I$  is the affected area of the intensity  $I$ ;  $I_{max}$  is the maximum intensity for an earthquake;  $P_I$  is the population density of the intensity  $I$ , and parameter  $k_I$  is the ratio of the population affected by the earthquake, as determined from the damage degree table provided by the National Disaster Reduction Center (Fan et al., 2008).

To obtain the mortality rate function beyond the framework of the basic earthquake emergency scenarios, we needed to use the observed data of historical earthquakes to compile a mortality rate expectation under each scenario. However, when dividing the samples into each



scenario, the sample size will be small, and it is difficult to obtain the relation equation using traditional mathematical statistics. Therefore, the indirect approach of this study consisted of information diffusion theory to obtain the mortality rate. First, the actual observed values for the mortality rate under one scenario were set as matrix  $X = \{x_1, x_2, \dots, x_m\}$ , where  $x_i$  is the actual observed values of an earthquake, and  $m$  is the total number of earthquake events. At the same time, the actual recorded mortality rate and historical extreme event (the earthquake event with an extreme mortality rate) under one scenario were considered to build the domain  $U = \{u_1, u_2, u_3, \dots, u_n\}$ . Here,  $u_j$  is the arbitrary discrete real value in the interval  $[u_1, u_n]$ , and  $n$  is the total number of discrete points. Then, the sample value  $x_i$  was diffused to the domain  $U$  according to normal information diffusion. The normal information diffusion expression is as shown in Equation (2):

$$f(x) = \frac{1}{h\sqrt{2\pi}} \exp \left[ -\frac{(x_i - u_j)^2}{2h^2} \right] \quad i = 1, 2, \dots, m; j = 1, 2, \dots, n \quad (2)$$

where  $h$  is the information diffusion coefficient, and different values are taken according to the size of the sample ( $h = 0.8146 \times (b - a), m = 5; h = 0.5690 \times (b - a), m = 6; h = 0.4560 \times (b - a), m = 7; h = 0.3860 \times (b - a), m = 8; h = 0.3362 \times (b - a), m = 9; h = 0.2986 \times (b - a), m = 10; h = 2.68516 \times (b - a), m \geq 11$ ). (Huang, 2012).

The domain  $U$  obtains the information from the mortality rate sample matrix  $X$  with the normal diffusion. After this, the sample information is normalized via the process of normal information diffusion. We acquired the discretization information of each domain point  $u_j$ . Therefore, the mortality rate expectation  $E(S_t)$  can be denoted as follows:

$$E(S_t) = \frac{\sum_{i=1}^m f_i(u_j) \times (\sum_{j=1}^n f_i(u_j))^{-1}}{\sum_{j=1}^n \sum_{i=1}^m f_i(u_j) \times (\sum_{j=1}^n f_i(u_j))^{-1}} \times u_j \quad (3)$$

$$i = 1, 2, \dots, m; j = 1, 2, \dots, n; t = 1, 2, \dots, 8$$

where  $u_j$  is the point of the domain,  $S_t$  is the order of the basic earthquake emergency scenario, and the number of scenarios is 8.

The discretized domain under each scenario is averagely divided into six levels according to the classification of the type of disaster (emergency situation, crisis situation, minor disaster, moderate disaster, major disaster, catastrophe (Eshghi and Larson, 2008)). Hence, the uncertainty of the mortality rate can be expressed as the possibility of each level of the mortality rate. The





181 probability of each level can be denoted as follows:

$$P(u_\alpha < u \leq u_\beta) = \sum_{j=\alpha}^{\beta} \frac{\sum_{i=1}^m f_i(u_j) \times \left(\sum_{j=\alpha}^{\beta} f_i(u_j)\right)^{-1}}{\sum_{j=\alpha}^{\beta} \sum_{i=1}^m f_i(u_j) \times \left(\sum_{j=\alpha}^{\beta} f_i(u_j)\right)^{-1}} \quad 1 < \alpha < \beta < n \quad (4)$$

182 where P is the probability of the level (the interval with  $u$  is less than  $u_\alpha$  and is equal or  
 183 greater than  $u_\beta$ ),  $\alpha$  is the minimum value of the discrete level point, and  $\beta$  is the maximum  
 184 value of the discrete level point.

## 185 5 Mortality rate in each scenario

186 The collected historical destructive earthquake sample belongs to scenario S<sub>1</sub> (Table 2),  
 187 which constitutes the mortality rate matrix  $X = \{2.459 \times 10^{-4}, 2.758 \times 10^{-4}, 0.757 \times 10^{-4}, 0, 0.001 \times 10^{-4},$   
 188  $1.886 \times 10^{-4}, 0.141 \times 10^{-4}, 0.023 \times 10^{-4}, 0, 0\}$ . According to the maximum value and minimum  
 189 value of the mortality rate in the matrix and the precision requirements, we selected  $0.000 \times 10^{-4}$   
 190 as the minimum value,  $2.950 \times 10^{-4}$  as the maximum value, and  $0.050 \times 10^{-4}$  as the interval value.  
 191 Therefore, the domain  $U = \{0, 0.050 \times 10^{-4}, 0.100 \times 10^{-4}, 0.150 \times 10^{-4}, \dots, 2.950 \times 10^{-4}\}$ .

192 **Table 2. Historical earthquakes on mainland China under scenario S1**

| Time           |                 | Epicentral location | Magnitude | Number<br>of fatalities | Number<br>of victims | Mortality rate         |
|----------------|-----------------|---------------------|-----------|-------------------------|----------------------|------------------------|
| Year-month-day | Hour-min-second |                     |           |                         |                      |                        |
| 1983-11-07     | 05:09:45        | Shandong Heze       | 5.9       | 46                      | 187000               | $2.459 \times 10^{-4}$ |
| 1989-10-18     | 03:10:40        | Shanxi Datong       | 5.8       | 29                      | 105140               | $2.758 \times 10^{-4}$ |
| 1989-11-20     | 03:18:42        | Chongqing Jiangbei  | 5.2       | 4                       | 52800                | $0.757 \times 10^{-4}$ |
| 1992-11-30     | 01:38:00        | Sichuan Shiqu       | 5.4       | 0                       | 27000                | 0                      |
| 1996-09-25     | 03:24:00        | Yunnan Lijiang      | 5.7       | 1                       | 7690000              | $0.001 \times 10^{-4}$ |
| 2001-05-24     | 21:10:43        | Yunnan Ninglang     | 5.8       | 2                       | 10605                | $1.886 \times 10^{-4}$ |
| 2008-08-20     | 05:35:00        | Yunnan Yingjiang    | 5.0       | 5                       | 355395               | $0.141 \times 10^{-4}$ |
| 2010-01-31     | 05:36:00        | Sichuan Suining     | 5         | 1                       | 437000               | $0.023 \times 10^{-4}$ |
| 2011-11-01     | 00:21:28        | Xinjiang Yining     | 5.6       | 0                       | 143000               | 0                      |
| 2012-12-07     | 22:08:00        | Xinjiang Ruoqiang   | 5.1       | 0                       | 29751                | 0                      |

193 According to the normal information diffusion (Equation (1)), the information carried by  
 194 the mortality rate sample matrix X is spread to the domain U. Thereafter, the sample information



195 is normalized, and we can acquire the discretization information of each sample. Based on  
 196 Equation (2), calculating the probability of each domain by weighting the information points and  
 197 the mortality rate expectation, the mortality rate expectation under scenario S1 is 0.839. The  
 198 mortality rate expectation of all the scenarios can be acquired using the same process. The sample  
 199 size and the mortality rate expectation of each scenario are shown in Table 3.

200 **Table 3. Sample size and mortality rate expectation in each scenario**

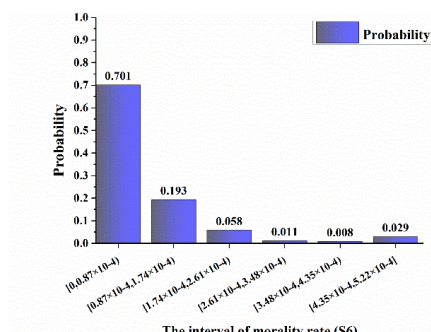
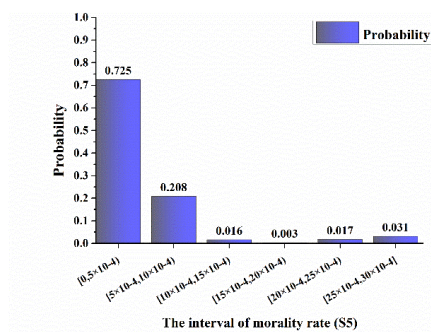
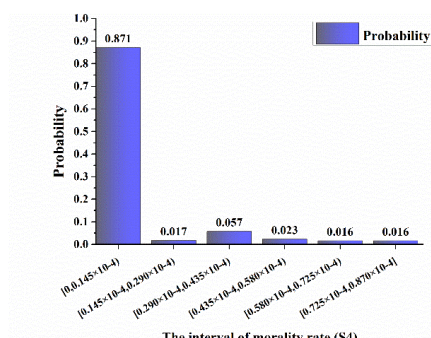
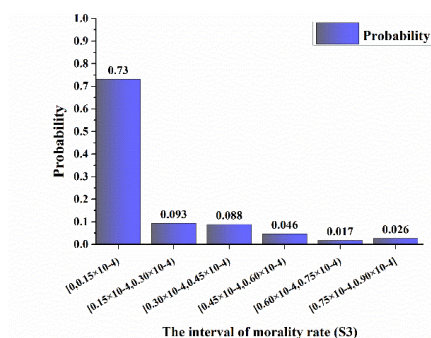
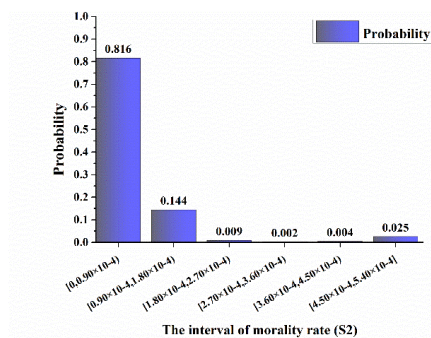
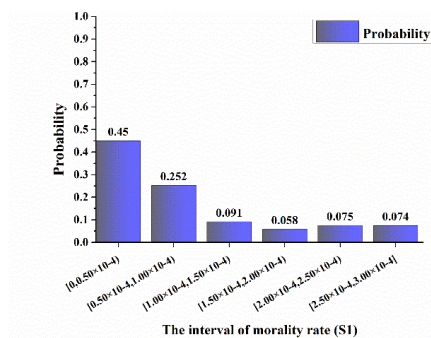
| Scenario S                    | S1                   | S2                    | S3                    | S4                     | S5                    | S6                    | S7                   | S8                   |
|-------------------------------|----------------------|-----------------------|-----------------------|------------------------|-----------------------|-----------------------|----------------------|----------------------|
| Sample size                   | 10                   | 32                    | 33                    | 50                     | 19                    | 27                    | 5                    | 7                    |
| Mortality rate<br>expectation | $8.4 \times 10^{-5}$ | $6.06 \times 10^{-5}$ | $1.44 \times 10^{-5}$ | $0.914 \times 10^{-5}$ | $43.2 \times 10^{-5}$ | $7.95 \times 10^{-5}$ | $300 \times 10^{-5}$ | $100 \times 10^{-5}$ |

## 201 **6 Quantification of uncertainty in mortality rate estimation**

202 The rapid estimation of earthquake fatalities is vital for emergency response during the early  
 203 hours following the event. We can know both the actual record for the historical earthquakes as  
 204 well as the empirical model-estimated fatalities for the historical events. There is a small  
 205 difference among the different empirical models as long as the empirical model can answer  
 206 critical questions, such as whether a particular earthquake requires a response, and if so, at what  
 207 level (level 1, level 2, level 3, level 4). With the addition of a rapid estimation model based on  
 208 scenario analysis, we have also proposed a fatality-based alert scale that provides an estimation  
 209 of the likelihood of a range of fatalities caused by an earthquake. The overall dispersion is  
 210 associated with the model's prediction for the past earthquakes in that country or region, and then  
 211 one uses such a measure for determining the uncertainty associated with the model's future  
 212 estimates. The estimation for the probability of each mortality rate range is shown in Figure 7.

213

214



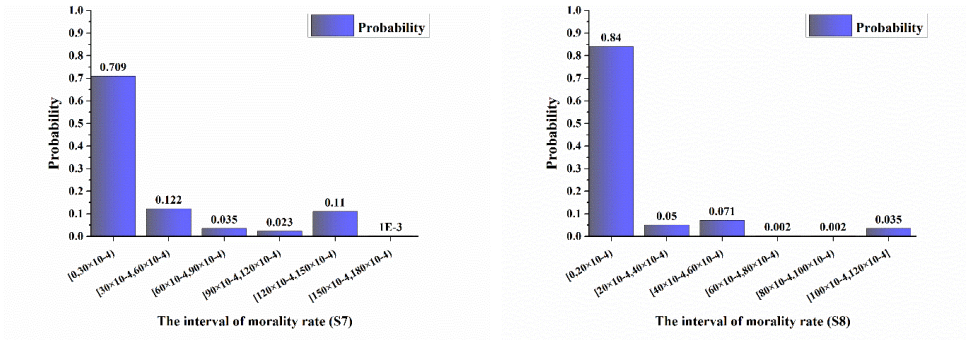


Figure 7. Probability of the mortality rate under each scenario

## 7 Verification

The empirical model has been verified using historical earthquakes. Out of a total of 219 earthquakes for which data was collected in this study, 44 (20% of the samples under each scenario were randomly selected) were estimated using the rapid estimation model, and the results are shown in Table 4. Incidentally, we assessed the accuracy of the model via a comparison between the recorded fatalities and estimated fatalities. Among the outliers, the model predicted fewer fatalities for an earthquake (M 6, 9 July 1979) in China, i.e., Jiangsu Liyang, that killed 41 people. At the same time, there were some overestimated fatalities, such as for the earthquake in Hebei Zhangbei (M 6.2, 10 January 1998) and the earthquake in Sichuan Wenchuan (M 8, 12 May 2008). Among the remaining events, the preliminary estimates were within an order of magnitude of the recorded deaths. The number of fatalities calculated using the model was the same order of magnitude as the actual recorded number for more than 95% of the events. The same order of magnitude will not influence the level of the emergency decision, which is very important for rapid post-earthquake rescue.

Table 4. Verification of historical cases

| Scenario | Time       | Epicentral location | Magnitude | Actual record | Model calculation |
|----------|------------|---------------------|-----------|---------------|-------------------|
| S1       | 2001-05-23 | Yunnan Ninglang     | 5.5       | 2             | 1                 |
|          | 2004-05-04 | Qinghai Delingha    | 5.5       | 0             | 0                 |
|          | 2012-12-07 | Xinjiang Ruoqiang   | 5.1       | 0             | 3                 |
| S2       | 2012-06-24 | Yunnan Ninglang     | 5.7       | 4             | 5                 |
|          | 1993-08-07 | Sichuan Muchuan     | 5         | 0             | 1                 |



|    |            |                    |     |    |     |
|----|------------|--------------------|-----|----|-----|
|    | 2003-10-25 | Gansu Shandan      | 5.8 | 9  | 11  |
|    | 2007-07-20 | Xinjiang Tekesi    | 5.7 | 0  | 5   |
|    | 2011-03-10 | Yunnan Yingjiang   | 5.8 | 25 | 12  |
|    | 2013-04-17 | Yunnan Eryuan      | 5   | 0  | 7   |
|    | 2013-08-31 | Yunnan Xianggelila | 5.9 | 3  | 5   |
| S3 | 2006-08-25 | Yunnan Zhaotong    | 5   | 1  | 1   |
|    | 2008-12-26 | Yunnan Ruili       | 4.9 | 0  | 4   |
|    | 2008-04-21 | Gansu Sunan        | 4.2 | 0  | 0   |
|    | 2003-11-13 | Gansu Dingxi       | 5.1 | 1  | 0   |
|    | 2001-02-23 | Sichuan Yajiang    | 5.6 | 3  | 10  |
|    | 2005-08-02 | Yunnan Huize       | 5.3 | 0  | 10  |
|    | 1995-03-19 | Xinjiang Heshuo    | 5.1 | 0  | 3   |
| S4 | 1995-04-26 | Sichuan Muchuan    | 5.1 | 0  | 0   |
|    | 1996-01-09 | Xinjiang Shawan    | 5.6 | 0  | 0   |
|    | 2001-04-12 | Yunnan Shidian     | 5.6 | 2  | 1   |
|    | 1996-01-16 | Sichuan Rongchang  | 4.3 | 0  | 0   |
|    | 2013-03-29 | Xinjiang Jichang   | 5.6 | 0  | 0   |
|    | 1999-11-01 | Shanxi Datong      | 5.3 | 0  | 20  |
|    | 2011-08-11 | Xinjiang Jiashi    | 5.6 | 0  | 0   |
|    | 2012-01-08 | Xinjiang Heshuo    | 5   | 0  | 0   |
|    | 1997-01-25 | Yunnan Mengla      | 5.1 | 0  | 0   |
|    | 1997-05-31 | Fujian Liancheng   | 5.2 | 0  | 2   |
|    | 1995-02-18 | Yunnan Cangyuan    | 5.1 | 0  | 0   |
|    | 2013-12-01 | Xinjiang Keping    | 5.3 | 0  | 0   |
|    | 2003-05-04 | Xinjiang Jiashi    | 5.8 | 1  | 1   |
| S5 | 1998-01-10 | Hebei Zhangbei     | 6.2 | 49 | 116 |
|    | 1989-09-22 | Sichuan Xiaojin    | 6.6 | 1  | 23  |
|    | 2005-04-08 | Xizang Zhongba     | 6.5 | 0  | 2   |
|    | 2015-07-03 | Xinjiang Pishan    | 6.4 | 3  | 17  |



|    |            |                   |     |        |        |
|----|------------|-------------------|-----|--------|--------|
|    | 2008-10-05 | Xinjiang Wuqia    | 6.8 | 0      | 6      |
|    | 1979-07-09 | Jiangsu Liyang    | 6   | 41     | 15     |
|    | 1989-04-15 | Sichuan Liangshan | 6.4 | 8      | 3      |
| S6 | 1991-02-25 | Xinjiang Keping   | 6   | 0      | 3      |
|    | 2003-08-16 | Neimenggu Chifeng | 6.1 | 4      | 33     |
|    | 2012-08-12 | Xinjiang Yutian   | 6.2 | 0      | 2      |
|    | 1995-10-24 | Yunnan Wuding     | 6.5 | 58     | 75     |
| S7 | 1976-07-27 | Hebei Tangshan    | 7.5 | 242769 | 262540 |
|    | 2008-05-12 | Sichuan Wenchuan  | 8   | 69227  | 122200 |
| S8 | 2013-04-20 | Sichuan Lushan    | 7   | 196    | 254    |

231 The main purpose of the verification for the uncertainty was to optimize the estimation result.  
 232 Furthermore, the possible fatality interval was necessary to provide the basis for emergency  
 233 decisions when needing to consider indeterminate factors during the process, particularly when  
 234 the main factors for assessment were difficult to acquire. To verify the accuracy of the quantified  
 235 results, we used the random selection of 20% of the samples under each scenario. The results  
 236 show (Table 5) that under the same scenario, the frequency of events with a small mortality rate  
 237 was higher, and the frequency of catastrophic events was lower. There is an advantage of the  
 238 model in that the mortality rate distribution can cover all possible historical scenarios. To a certain  
 239 extent, this compensates for the lack of extreme events during the fitting of the historical data.  
 240 The results were obtained in the form of interval probability statistics, which provide the basis  
 241 for the subsequent emergency optimization.

242 **Table 5. Verification of the probability of the mortality rate interval**

| Scenario | Interval I | Interval II | Interval III | Interval IV | Interval V | Interval VI |
|----------|------------|-------------|--------------|-------------|------------|-------------|
| S1       | 100%       | 0%          | 0%           | 0%          | 0%         | 0%          |
| S2       | 100%       | 0%          | 0%           | 0%          | 0%         | 0%          |
| S3       | 84%        | 5%          | 11%          | 0%          | 0%         | 0%          |
| S4       | 94%        | 0%          | 3%           | 0%          | 3%         | 0%          |
| S5       | 100%       | 0%          | 0%           | 0%          | 0%         | 0%          |



|    |      |     |    |    |     |    |
|----|------|-----|----|----|-----|----|
| S6 | 71%  | 29% | 0% | 0% | 0%  | 0% |
| S7 | 100% | 0%  | 0% | 0% | 0%  | 0% |
| S8 | 80%  | 0%  | 0% | 0% | 20% | 0% |

## 8 Estimation for recent earthquakes

With socio-economic changes, the previous analysis based on historical data may be inconsistent with recent data. Therefore, it is necessary to conduct further verification for the applicability and accuracy of the model using destructive earthquakes that have occurred during recent years. The results of the model calculation were compared to the recorded results. The result and error of the victim estimation is shown in Figure 8. The number of victims calculated via the model is of the same order of magnitude as the recorded number, and the error of the estimation results is less than 30%, which is in line with the requirements of the National Disaster Reduction Committee and the Ministry of Civil Affairs Disaster Reduction Center for the rapid estimation of a disaster.

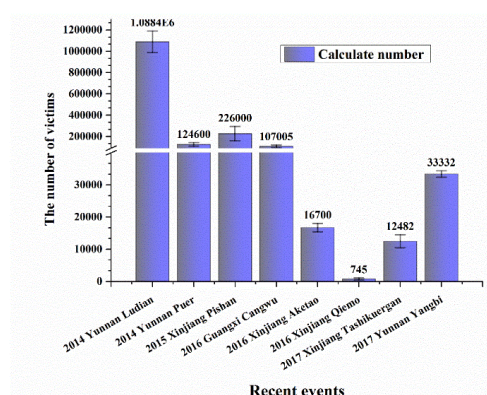


Figure 8. Estimation of the earthquake victims in recent years

The number of fatalities during each earthquake was estimated based on the estimation result for the victims. In addition, two models were chosen for comparison, and the selection of the model here considered that the impacts of the empirical models have regionally varied. Thus, we selected two empirical models with Chinese samples, but with different sample numbers and different forms; the comparison results are shown in Table 6. The first method was proposed by Liu et al (2012), which set the epicentral intensity as the main parameter, and the magnitude and average population density were auxiliary parameters in the model. There is a large deviation in





the estimation result of Yunnan Puer (2014). The reason for this may be that the auxiliary parameter is the average population density in the affected area rather than the unit statistics, which did not consider the population distribution. The second method was proposed by Xiao (1991). The overall evaluation result of this estimation model was good. However, there was a poor result for Yunnan Ludian (2014). The reason for this was that the sample age chosen by the model was rather old. The accuracy rate is defined as the total number of events divided by the number of events for which the estimation results are the same grade as the actual records. The rapid estimation model based on scenario analysis has a higher accuracy and is more suitable for rapid estimation via the comparison.

**Table 6. Estimation results of each method**

| Earthquake events            | Victims |             | Fatalities |             |              |               |
|------------------------------|---------|-------------|------------|-------------|--------------|---------------|
|                              | Actual  | Model       | Actual     | Model       | First method | Second method |
|                              | record  | calculation | record     | calculation | calculation  | calculation   |
| Xinjiang Taerkushigan (2017) | 12482   | 14485       | 8          | 1           | 5            | 0             |
| Yunnan Yangbi (2017)         | 33332   | 27000       | 0          | 2           | 2            | 0             |
| Guangxi Cangwu (2016)        | 107005  | 101778      | 0          | 6           | 14           | 0             |
| Xinjiang Qiemo (2016)        | 745     | 1100        | 0          | 0           | 5            | 64            |
| Xinjiang Aketao (2016)       | 16700   | 18000       | 0          | 1           | 31           | 0             |
| Xinjiang Pishan (2015)       | 226000  | 156094      | 3          | 12          | 47           | 1             |
| Yunnan Ludian (2014)         | 1088400 | 986439      | 617        | 427         | 1017         | 18            |
| Yunnan Puer (2014)           | 124600  | 123000      | 1          | 53          | 471          | 4             |
| Accuracy rate                | -       | 100%        | -          | 87.5%       | 50%          | 75%           |

The estimation results of the Yunnan Ludian earthquake (2014) and the Xinjiang Tashikuergan earthquake (2017) were not the same order of magnitude of the actual records. These two scenarios should be considered as the extreme events because of their mortality rates. The fatality interval of Yunnan Ludian (2014) was estimated by the model as [582,680], and the probability was 0.071. For the Xinjiang Tashikuergan earthquake, the fatality interval was [8,10], and the probability was 0.026. The interval estimation of the fatalities in the model can consider the extreme events with larger mortality rates but small probability.



279

**Table 7. Validation of the model interval**

| Earthquake events |                       | Fatalities    |                   |                               |             |
|-------------------|-----------------------|---------------|-------------------|-------------------------------|-------------|
| Year              | Location              | Actual record | Model calculation | The interval<br>of fatalities | Probability |
| 2014              | Yunnan Ludian         | 617           | 427               | [0,88)                        | 0.817       |
| 2014              | Yunnan Puer           | 1             | 53                | [0,61)                        | 0.725       |
| 2015              | Xinjian Pishan        | 3             | 12                | [0,14)                        | 0.817       |
| 2016              | Guangxi Cangwu        | 0             | 6                 | [0,2)                         | 0.871       |
| 2016              | Xinjiang Aketao       | 0             | 1                 | [0,9)                         | 0.725       |
| 2016              | Xinjiang Qiemo        | 0             | 0                 | [0,1)                         | 0.871       |
| 2017              | Xinjiang Taerkushigan | 8             | 1                 | [0,1)                         | 0.730       |
| 2017              | Yunnan Yangbi         | 0             | 2                 | [0,1)                         | 0.871       |

## 280 9 Conclusion and discussion

281 Based on the study of earthquake data from mainland China (1970-2017), we proposed a  
 282 new approach for rapidly estimating earthquake fatalities and quantifying the uncertainty. The  
 283 main factors of the basic earthquake emergency scenarios were magnitude, intensity (the  
 284 relationship between the epicentral intensity and the epicentral fortification intensity) and initial  
 285 time, which were used to express the possible earthquake scenarios. For verification of the model,  
 286 we not only verified using the recorded number but also presented a comparison to the actual  
 287 recorded fatalities of historical earthquakes. The fatality estimation results were mostly of the  
 288 same magnitude as the actual record, and the accuracy of the results were higher than that of the  
 289 compared empirical model. In addition, the mortality rate interval in the model can effectively  
 290 cover the high probability of mortality as well as extreme events. Based on the current study, the  
 291 following aspects were mainly improved:

- 292 1. During the actual emergency process, the information on on-site earthquakes will be acquired  
 293 as time progresses. Therefore, how to update the results with the updated information is in need  
 294 of further study.
- 295 2. With the development of remote sensing and unmanned aerial vehicle (UAV) technology,  
 296 images can be used after the earthquake for damage estimation. The real-time evaluation results



297 of regional earthquake damage can be acquired. We can obtain relatively accurate information  
 298 for local regions. Thus, how to extrapolate the local information to estimate the global demand  
 299 may need further study.

300

301 Xiaoxue Zhang analyzed and historical data and also guided focus model design and  
 302 implementation. Hanping Zhao, Fangping Wang, Zezheng Yan, Sida Cai, Han Wang  
 303 & Xiaowen Mei guided focus model design and implementation.

304

305 Competing interests. The authors declare they have no conflicts of interest

306

307 *Acknowledgements.* This project was supported by the National Natural Science Foundation of  
 308 China (NSFC: 41471424) and the National Key Research and Development Program of China  
 309 (2017YFB0504102).

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