

Estimation of path attenuation and site characteristics in the north-west Himalaya and its adjoining area within Indian Territory using generalized inversion method

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1 **Abstract.** Present work focuses on the determination of path attenuation and site characteristics of earthquake
2 recording stations, located in the north-west Himalaya and its adjoining region, within India. The work is done
3 using two-step generalized inversion technique. In the first step of inversion, non-parametric attenuation curves
4 are developed. $Q_s = (105 \pm 11)f^{(0.94 \pm 0.08)}$ as S wave quality factor within 105km, is obtained indicating that
5 the region is possibly heterogeneous as well as seismically active. In addition, presence of a kink is observed at
6 around 105km hypocentral distance while correlating path attenuation with the hypocentral distance, indicating
7 the presence of Moho discontinuity in the region. In the second step of inversion, site amplification curves are
8 developed separately from the attenuation corrected data for horizontal and vertical components of the
9 accelerograms. The amplification function and predominant frequency of each recording station based on
10 generalized inversion method is estimated and compared with that obtained from horizontal to vertical spectral
11 ratio (of S wave portion of the accelerograms) method. Path attenuation and site characteristics obtained in the
12 present study are very essential for developing regional ground motion model and for the seismic hazard
13 assessment of the above study area.

14 **Keywords:** *Seismicity, Northwest Himalaya, path attenuation, site characteristics, Generalized Inversion, HVSR*

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32 **1 Introduction**

33 The Himalayan arc extending approximately 2500km between Kashmir and Arunachal Pradesh is one among
34 the seismically most active regions across the globe. Seismic activity of this region can be understood based on
35 induced damages witnessed primarily during 4 great earthquakes (EQs) including 1897 Shillong EQ, 1905
36 Kangra EQ, 1950 Assam EQ and 1934 Bihar-Nepal EQ, occurred in the last 120 years. Based on seismic
37 activity, the entire Himalayan belt can be subdivided into three distinct segments namely the western, the central
38 and the eastern Himalayas (Philip, 2014). The region of the north-west Himalaya and its foothills, within India
39 come under seismic zone IV and V as per IS 1893: 2016, indicating regions of high to very high seismicity.

40 Intensity of ground shaking during an EQ, at a particular site is a collective effect of source, path and
41 site parameters. Source parameters include magnitude, fault mechanism, stress drop and rupture process. On the
42 other hand, path parameters include geometric attenuation and loss of seismic energy due to the anelasticity of
43 the earth and scattering of elastic waves in heterogeneous media. Similarly, site characteristics include
44 modification of amplitude, frequency content and duration of the incoming seismic wave by subsurface medium
45 as reaches the surface. Determinations of aforementioned EQ parameters are important for the development of
46 region specific ground motion models, which can further be used for region/site specific seismic hazard
47 assessment (Baro et al., 2018). Above parameters can be estimated from EQ records based on some spectral
48 modelling or inversion approach like generalized inversion method (Andrews, 1986; Castro et al., 1990; Oth et
49 al., 2009 etc.).

50 To understand the on-going seismicity of various regions within India, the Government of India has
51 installed number of EQ recording stations. EQ records from these stations since 2004 are maintained by
52 PESMOS (Program for Excellence in Strong Motion Studies), which is currently one of the most significant
53 resource of ground motion records in India. At present, PESMOS manages EQ records from 300 recording
54 stations which are distributed in the northern and northeaster parts of India as well as in the Andaman and
55 Nicobar Islands (Kumar et al. 2012). It must be highlighted here that PESMOS database is lacking in terms of
56 accurate information about subsurface for majority of recording stations (Harinarayan and Kumar, 2018). Site
57 class given by PESMOS is based on physical description of surface materials, local geology following
58 Seismotectonic Atlas of India (GIS 2000) and Geological Maps of Indian (GSI, 1998) and not based on actual
59 field investigation (Kumar et al., 2012). In the absence of accurate information of local soil, utilizing EQ records
60 from PESMOS database for seismic studies is a major challenge. Geophysical subsurface exploration studies on
61 some of the recording stations in northwest Himalaya reported by Pandey et al., (2016a; b) had highlighted the
62 flaws in site class given by PESMOS. There are recording stations classified to be on rock site but were found to
63 be on soil sites by Pandey et al., (2016a; b). Harinarayan and Kumar (2018) also reported problems in the
64 subsurface information given by PESMOS and attempted site classification of PESMOS recording stations

65 In the present study, EQ records from the region of the north-west Himalaya and its foothills within
66 India, as obtained from PESMOS database, are analysed for estimating path attenuation and site characteristics
67 separately, using a two-step generalized inversion of the S-wave Fourier spectra (hereafter referred to as GINV).
68 In the first step, attenuation curves are developed using a non-parametric inversion approach (similar to Castro
69 et al., 1990 and Oth et al., 2008). In the conventional generalized inversion method (Andrews, 1986; Hartzell,
70 1992), the second step of inversion calculates both site and source spectra, by inverting the S-wave (or Coda

71 wave) spectra, corrected for the path parameter. This method however requires one or more reference sites
72 (usually rock sites) in-order to remove the trade-off between the source and site parameters (Andrews 1986). In
73 the absence of subsoil information for majority of recording stations managed by PESMOS as highlighted
74 above, identifying reference site is not possible. For this reason, only the site parameters are evaluated in the
75 second step of inversion, using a non-reference generalized inversion approach (similar to the work by Joshi et
76 al., 2010; Harinarayan and Kumar 2017b). Further, Obtained site terms are compared with the one calculated
77 from horizontal to vertical spectral ratios (HVSR) from the same S-wave window as used in the GINV.

78 This study is one of its kind, which systematically evaluates path and site parameters using a larger and
79 regional database. Existing studies on attenuation characteristics determination for the northwest Himalaya used
80 few EQ records that too from limited recording stations. Joshi (2006) estimated frequency independent S wave
81 quality factor (Q_s) for the Garhwal Himalayas using 1991 Uttarkashi EQ and 1999 Chamoli EQ ground motion
82 records from 8 recording stations. In another study, Singh et al., (2012) estimated frequency depended Q_s for the
83 Kumaun Himalayas using 23 EQ events, from 9 recording stations by applying the extended coda-normalization
84 method. Similarly, Negi et al., (2015), Banerjee and Kumar, (2017) and Tripathi et al., (2015) estimated Q_s for
85 the Garhwal Himalayas. The aforementioned studies did not highlight the attenuation characteristics of the
86 entire north-west Himalaya region.

87 Similar to path attenuation studies, very few studies on the determination of site characteristics from
88 EQ records also exist for this region. Nath et al., (2002) computed site terms using the aftershocks of the 1999
89 Chamoli EQ, obtained from 5 recording stations located in the Uttarakhand region. Similarly, Sharma et al.,
90 (2014) estimated site parameters for the Garhwal region of Uttarakhand using EQ records in context of
91 generalized inversion and HVSR. In another work, Harinarayan and Kumar (2017) reported a comparative study
92 on site characteristics computed using EQ records from Tarai region of Uttarakhand using multiple analytical
93 approaches. In another recent work, Harinarayan and Kumar (2018) computed site parameters for recording
94 stations in the northwest Himalayas in terms of predominant frequency (f_{peak}) alone using HVSR method.

95 **2 Study Area**

96 Present study area includes states of Himachal Pradesh, Uttarakhand, Punjab, Haryana and national capital city,
97 New Delhi, covering an area between 28° N to 34° N latitude and 75.8° E to 80.5° E longitude. According to
98 2011 Census, the region has a population of 96 million. From seismicity point of view, major Seismotectonic
99 features of the present study are characterized by three north-dipping thrust systems such as the Central thrust
100 (MCT), the Main Boundary thrust (MBT) and the Himalayan frontal thrust (HFT) (Valdiya, 1981). Other
101 tectonic features includes, the Jhelum Balakot fault, the Drang thrust, the Lesser Himalayan Crystalline Nappes,
102 the Jammu thrust, the Vaikrita thrust, the Karakoram fault, the Jwala Mukhi thrust, and the Ramgarh thrust.
103 Both the MCT and the MBT lie parallel to each other within western Himalayan region and were produced
104 during the Cenozonic shortening (Malik and Nakata 2003). The HFT is the youngest active thrust separating the
105 Himalaya region and the Indo-Gangetic alluvial plain (Kumar et al. 2009). The HFT, the MBT and the MCT
106 have generated major EQs in this region (Philip et al. 2014).

107 Two of the most damage inducing EQs, in the last 120 years, in the west Himalayan regions include
108 1905 Kangra-Himachal Pradesh EQ ($M_s=7.8$) (Ambraseys and Douglas 2004) and 2005 Muzzafarbad-Kashmir
109 EQ ($M_w=7.6$) (Avouac et al. 2006). Both of these EQs caused severe loss to life and property. Recent EQs of
110 1991 Uttarkashi ($M_w=6.8$) and 1999 Chamoli ($M_w=6.6$) had occurred on the MCT zone (Harbindu et al. 2014).
111 The 1999 Chamoli EQ caused a huge landslide in Gopeshwar situated less than 2km northwest of Chamoli city
112 (Sarkar et al. 2001). This EQ also caused shaking in Chandigarh and Delhi, located far away from the epicentre
113 (Mundepi et al., 2010).

114 **3 Database**

115 Ground motion records used in this study consists of three components accelerograms obtained from PESMOS
116 database available at <http://www.pesmos.in/>. The instrumentation used for recording EQs consists of internal
117 AC-63 GeoSIG triaxial force balanced accelerometers and GSR-18 GeoSIG 18 bit digitizers with external GPS
118 (Kumar et al., 2012). Further, ground motion recordings are done in trigger mode during each EQ with a
119 sampling rate of 200 per second.

120 For the present analysis, ground motion records of EQs happened between 2004 and 2017, available on
121 PESMOS are used. For estimating site characteristics, 341 records from 86 EQs, with magnitudes ranging from
122 $M_w=2.3$ to 5.8, having focal depths ranging from 2 to 80km are used. Further, these records are corresponding
123 to 101 recording stations, located in the hypocentral distance ranging from 9 to 355km. Coordinates of each of
124 the recording station, used in this work are listed in Table 1, columns 2 and 3. Further, details of EQs used for
125 estimating site characteristics are summarized in Table 2.

126 For estimating path attenuation however, only those EQs recorded at atleast two recording stations
127 among which at least one recording station is located within hypocentral distance equal to or less than the
128 reference distance (reference distance is discussed under section 'Spectral attenuation with distance) are
129 considered. Out of 341 EQ records used for estimating site parameters, only 207 EQ records satisfies the above
130 mentioned reference distance criteria. Final database for estimating path attenuation consists of 207 records
131 from 32 EQs, recorded at 69 recording stations, with magnitude (M_w) in the range of 3.1 to 5.5, focal depths
132 from 3 to 55km and hypocentral distance from 9 to 200km. Table 3 summarizes the details of the dataset used
133 for estimating path attenuation. In addition, Figure 1 shows the source-to-recording station distance of the data
134 set used in the present study.

135 **3.1 Data processing**

136 Signal to pre-event noise (all of equal window length) ratio (SNR) for all the records are computed and records
137 with $SNR \geq 5$ (similar to Ameri et al., 2011) are considered for further analyses. All the EQ records are corrected
138 for baseline correction following a 5% cosine taper and a band-pass filtering, between the frequency range of
139 0.25Hz and 15Hz, using a Butterworth filter. Further, time windows starting about 0.5s before the onset of the S
140 wave and ending when 90% of the total seismic energy of the EQ record is reached, are separated and tapered
141 with a 5% cosine window (Ameri et al., 2011; Bindi et al., 2009). Typical lengths of the time windows for the
142 present analysis vary from 4 to 15s. Further, for some of the records, where the window lengths obtained to be

143 longer than 15s, are fixed to 15s in order to minimize coda wave energy in the analysing time window (Oth et
144 al., 2008). Later, based on the extracted windows, the Fourier amplitude spectra is calculated for each EQ
145 record, smoothed by applying the Konno and Ohmachi (1999) algorithm, with the smoothing parameter “b” =
146 20.

147 For further analyses, path and site parameters are estimated using above processed EQ records, based on two
148 separate inversion procedures as discussed separately in the following sections.

149 **4 Path attenuation**

150 In the first step of inversion, path attenuation curves are developed by eliminating the effect of site parameter,
151 thereby retaining only the source and path attenuation characteristics. All EQ records, irrespective of whether
152 located on soil or rock sites can be utilized for inversion. This way, present method is very much suitable for
153 PSMOS database where accurate subsurface information of recording stations is not available. The horizontal
154 portion of the accelerograms (obtained by the root mean square average of the east-west and north-south
155 components) is considered for developing path attenuation curves. Detailed discussions on the method can be
156 found in following sub-section.

157 **4.1 Methodology**

158 Following Castro et al., (1990), observed spectral amplitude (acceleration) $U_{ij}(f, R_{ij})$, of EQ j , at recording
159 station i , and frequency f can be modelled linearly as:

$$160 \ln U_{ij}(f, R_{ij}) = \ln M_i(f) + \ln A(f, R_{ij}) \quad (1)$$

161 Here, $M_i(f)$ is a scalar, which is governed by the magnitude of the EQ (one value for each EQ). $A(f, R_{ij})$ is the
162 attenuation function and is independent of the magnitude of EQ. Here, $A(f, R_{ij})$ incorporates both geometric
163 spreading and anelastic attenuation variation with the hypocentral distance. It has to be mentioned here that
164 $A(f, R_{ij})$ in Eq. (1) is not limited to a particular functional form, instead, is assumed to decay smoothly with
165 hypocentral distance (R_{ij}) and thus take the value of unity at a reference distance (R_0), as given in Eq. 2 (Castro
166 et al., 1990; 1996; 2003).

$$167 A(f, R_0) = 1 \quad (2)$$

168 Model given by Eq. (1) has no factor representing site effect and is contained in both $A(f, R_{ij})$
169 and $M_i(f)$. Any rapid undulations in $A(f, R_{ij})$ are due to the absorbed site effects (Oth et al., 2008). Two
170 weighing factors, w_1 and w_2 are incorporated in the Eq. (1) following Castro et al., (1990). w_2 is used to
171 smoothen the attenuation term with distance curve by suppressing the undulations and thereby removing any
172 absorbed site effects from $A(f, R_{ij})$. w_2 is used to impose $A(f, R_0) = 1$ constraint, as mentioned earlier. The
173 value of w_1 and w_2 here is chosen reasonably such that the site effects are suppressed but the change in the
174 attenuation characteristics with distance can be observed (Oth et al., 2008). [Solution to Eq. \(1\) in the matrix
175 form, after incorporating weighing factors, is obtained using singular value decomposition method, \(discussed in
176 detail in Appendix A\).](#)

177 **4.2 Spectral attenuation with distance**

178 Figure 2 shows the number of EQ records for various hypocentral distance range considered. It can be observed
 179 from Figure 2 that there are very less EQ records available beyond hypocentral distance of 115km. For this
 180 reason, EQ records with hypocentral distance up to 115km are only considered for the determination of path
 181 attenuation. The constraint $A(f, R_0) = 1$ is applied at $R_0=15$ km, irrespective of the frequency. The hypocentral
 182 distance range from 15 to 115km is divided into 10 bins, each bin having 10km width. Further, attenuation
 183 curves are computed for each of the selected 17 frequencies from 1Hz to 15Hz (see Table 4, column 1).
 184 Variation of attenuation curves with hypocentral distance, obtained in the present study, for the selected
 185 frequencies is depicted in Figure 3. Based on Figure 3, a general trend in which attenuation curves exhibit decay
 186 with hypocentral distance up to 105km can be observed. Beyond 105km a kink is observed as seen in Figure 3.
 187 This kink in the attenuation curves beyond 105km is very distinct and clear at lower frequencies (<5.5 Hz).
 188 Bindi et al., (2004) and Oth et al., (2011) reported a similar trend in the attenuation curves for the Umbria
 189 Marche and Japan regions respectively. Oth et al., (2011) attributed this behaviour to the combined effect of
 190 reflected or refracted wave arrivals from the Moho in Japan. Presence of Moho in the North-west Himalaya was
 191 reported by Saikia et al., (2016) based on Teleseismic receiver function analysis. Referring to Oth et al., (2011)
 192 work, presence of kink beyond 105km in attenuation curves, as obtained in this study may also be due reflected
 193 or refracted waves from the Moho. Further, detailed study in this direction can be done in the future and is
 194 beyond the presence scope of the work. Observing the attenuation curves at different frequencies as given in
 195 Figure 3, it can concluded that attenuation curves at higher frequencies (>5.5Hz) decay more rapidly compared
 196 to lower frequencies for the present study region. This observation is consistent with the findings by Castro et
 197 al., (2003) for Guadeloupe (France) and Oth et al., (2011) for Japan.

198 Further, for the kink observed at 105km, in case of lower frequencies, its sharpness reduces with
 199 increasing frequency, as can be observed in Figure 3. At frequencies greater than 10Hz, the kink at 105km
 200 smoothen and the attenuation curves beyond 105km for frequencies greater than 10 Hz becomes flat as observed
 201 in Figure 3. This change in the characteristics of the kink at higher frequency indicates that the arrival of waves
 202 from the Moho also get attenuated more at higher frequencies in comparison to lower frequencies.

203 4.3 Quality factor (Q_s) estimation

204 In order to estimate Q_s , inversion is repeated, however only considering records within hypocentral distance in
 205 the range 15km to 105km, where a monotonic decrease in attenuation curves with hypocentral distance is
 206 observed (see Figure 3). The attenuation curves are modelled in terms of geometric spreading [$G(f, R_{ij})$] and
 207 quality factor (Q) in accordance with Castro et al., (1996) as;

$$208 \quad A(f, R_{ij}) = G(f, R_{ij}) \left[e^{\frac{-\pi \cdot f \cdot R_{ij}}{Q \cdot \beta}} \right] \quad (3)$$

209 Where, f is the frequency and β is the mean shear wave velocity in the crustal medium taken as 3.5km/s as per
 210 Mukhopadhyay and Kayal, (2003). Further, $G(f, R_{ij})$ is considered as $1/R_{ij}$ in accordance with Banerjee and
 211 Kumar (2015) for this region. For each frequency considered in this study (see Table 4), Eq. (3) is linearized by
 212 taking logarithm and corrected for the effect of $G(f, R_{ij})$ as given in Eq. (4).

$$213 \quad \ln A(f, R_{ij}) = \ln G(f, R_{ij}) - \frac{\pi \cdot f}{Q \cdot \beta} R_{ij} \quad (4)$$

214 Ascribed to Castro et al., (2003), Eq. 4 is written in the form;

$$215 \quad a(R) = -m R \quad (5)$$

216 Where $a(R)$ and m are given as;

$$217 \quad a(R) = \ln A(f, R_{ij}) - \ln G(f, R_{ij}) \quad (6)$$

$$218 \quad m = \frac{-\pi \cdot f}{Q \cdot \beta} \quad (7)$$

219 Where, m in Eq. 5 represents the slope between $a(R)$ and R based on a linear least-square fit, obtained for each
220 of the selected frequencies. Further, the Q values are estimated for the selected frequencies by substituting the
221 value of m computed using Eq. (7). Columns 2 and 3, Table 4 list the value of m and Q with frequency (f)
222 respectively. In order to build the frequency dependent relationship ($Q_s = Q_0 f^n$), the value of Q is fitted as a
223 function of frequency using a power law. In the above expression, n is the frequency dependent coefficient,
224 which is approximately equal to 1 and varies on the basis of the heterogeneity of the medium (Aki 1980).
225 Variation of Q against frequency as illustrated in Figure 4 gives frequency dependent Q_s for the north-west
226 Himalayas as;

$$227 \quad Q_s = (105 \pm 11) f^{(0.94 \pm 0.08)} \quad (8)$$

228 Values of n and Q_0 (in the expression $Q_s = Q_0 f^n$) are attributed to the level of tectonic activity and degree of
229 heterogeneity respectively, present in the region. Aki (1980) concluded higher values of n for tectonically active
230 regions in comparison to that of stable regions. Similarly, low value of Q_0 (<200) is an indication of larger
231 degree of heterogeneities in the medium (Joshi 2006). The values of n (=0.94) and Q_0 (=105), obtained in this
232 study indicate that the present study region is tectonically active, characterized by higher degree of
233 heterogeneities, in accordance with Aki (1980) and Joshi (2006).

234 **4.4 Comparison with regional and global attenuation characteristics**

235 As discussed earlier, numerous studies exist where path attenuation of different parts of the present study area
236 were attempted in the past. Comparison of present results with those obtained by the previous researchers for the
237 northwest Himalaya and Delhi region are attempted as shown in Figure 5. It can be seen from Figure 5 that the
238 attenuation curve obtained in the present study falls in between existing attenuation curves for the different parts
239 of the north-west Himalaya as given in the literature, [Kinnaur, (Kumar et al., 2009), Kumoan (Mukhopadhyay
240 et al., 2010), Garhwal regions (Negi et al., 2015) and Delhi (Sharma et al., 2015)]. It has to be highlighted here
241 that the database for the present study also includes EQ records from Kinnaur, Kumoan, Garhwal regions of the
242 north-west Himalayas as well as from regions in and around Delhi. For this reason, the value of Q_0 and n
243 obtained in the present study reflects an average attenuation characteristics of regions encompassing north-west
244 Himalaya up to Delhi region but within Indian boundary.

245 Furthermore, the attenuation results obtained in this study is compared with some typical results
246 obtained globally in terms of attenuation characteristics and tectonic setting as shown in Figure 6. Literature
247 suggests low values of Q_s for tectonically active regions [e.g. Kato Japan region (Yoshimoto et al., 1993); East
248 central Iran (Mahood et al., 2009); Egypt (Abdel 2009); and Umbria–Marche region (Lorenzo et al., 2013)].

249 Similarly, relatively high values of Q_s were reported for tectonically stable areas [e.g. Baltic Shield (Kvamme
 250 and Havskov 1989); Central South Korea (Kim et al., 2004) and South Eastern Korea (Chung and Sato 2001)].
 251 Attenuation values obtained in the present study show good agreement with other studies having lower Q_s
 252 across the globe. Further, attenuation curves for the present region is also found closer to regions of high
 253 seismicity like Umbria–Marche and Eastern Iran as can be observed from Figure 6.

254 5 Site Effects

255 After estimation of path parameter as discussed in the previous section, site characteristics of the recording
 256 stations are determined in the second step of inversion. In addition to GINV, site components are also estimated
 257 using HVSR method. Detailed discussion on GINV and HVSR is given in the subsequent sections.

258 5.1 GINV

259 GINV was developed by Andrews (1986) by improvising spectral ratio method. Since then, various forms of
 260 this technique have been developed and used for estimating the seismic site characteristics by various
 261 researchers (Castro et al., 1990; Boatwright et al., 1991; Oth et al., 2008 etc.). The methodology used for
 262 estimating site characteristics in the present study is discussed here.

263 As per Iwata and Irikura (1988), the Fourier amplitude (acceleration) spectrum (FAS) of the i^{th} EQ
 264 recorded, at the j^{th} recording station, $U(f)_{ij}$ can be represented in the frequency domain as the product of
 265 source term ($S(f)_{ij}$), path attenuation ($A(f)_{ij}$) and site term ($G(f)_j$) as shown below;

$$266 \quad U(f)_{ij} = S(f)_{ij} A(f)_{ij} G(f)_j \quad (9)$$

267 Further, the path attenuation term can be removed from the spectral content of the record following Andrews
 268 (1986) as;

$$269 \quad U^A(f)_{ij} = \frac{U(f)_{ij}}{A(f)_{ij}} = S(f)_{ij} G(f)_j \quad (10)$$

270 The value of $A(f)_{ij}$ can be estimated using Eq. (3) and by considering Q_s as per Eq. (8), obtained in the earlier
 271 section. Further, Eq. (10) can be linearized, by taking natural logarithms on both sides as per Andrews (1986)
 272 giving;

$$273 \quad \ln U^A(f)_{ij} = \ln S(f)_i + \ln G(f)_j \quad (11)$$

274 Considering: $\ln S_i = s_i(f)$, $\ln G(f)_j = g(f)$ and $\ln U^A(f)_{ij} = d_{ij}$, Eq. (11) in the matrix form can be
 275 written in accordance with Joshi et al., (2010) and following the notations of Menke (1989) as;

$$\begin{array}{ccccccc}
 \leftarrow & & 1^{\text{st}} \text{ event} & & \rightarrow & & \leftarrow & n^{\text{th}} \text{ event} & \rightarrow & \leftarrow \text{ Site effect} \rightarrow \\
 & 1 & 2 & \dots & m & & 1 & 2 & \dots & m & & 1 & 2 & \dots & m \\
 \left| \begin{array}{cccccccc}
 1 & 0 & \dots & 0 & \dots & 0 & 0 & & 0 & 1 & 0 & \dots & 0 \\
 0 & 1 & & 0 & \dots & 0 & 0 & & 0 & 0 & 1 & \dots & 0 \\
 \vdots & & & \vdots & & \vdots & \vdots & & \vdots & \vdots & \vdots & & \vdots \\
 \vdots & & & \vdots & & \vdots & \vdots & & \vdots & \vdots & \vdots & & \vdots \\
 0 & 0 & 0 & 1 & & 0 & 0 & \dots & 0 & 0 & 0 & \dots & 1
 \end{array} \right| & \left| \begin{array}{c} s_1(f_1) \\ \vdots \\ \vdots \\ \vdots \\ s_1(f_n) \\ s_n(f_1) \end{array} \right| & = & \left| \begin{array}{c} d_1(f_1) \\ \vdots \\ \vdots \\ \vdots \\ d_1(f_m) \end{array} \right|
 \end{array}$$

For n^{th} earthquake											:			
0	0	...	0	1		...	0	1	0	...	0	$s_n(f_n)$	$d_n(f_m)$
0	0	...	0	0	1	...	0	0	1	...	0	$g(f_1)$	$d_n(f_m)$
:	:		:		:	:		:	:	:		:	$g(f_2)$:
:	:		:		:	:		:	:	:		:	:	:
0	0	...	0	...	0	0	...	1	0	0	...	1	$g(f_m)$	$d_n(f_m)$

276 (12)

277 The matrix form in Eq. (12) represents a purely under determinate system since there are $(n + 1) \times m$
278 parameters for ' $m \times n$ ' data (here m is the number of sample frequency and n is the number of EQs recorded at
279 a particular recording station). In here, Eq. (12) is solved using Moore- Penrose matrix inversion procedure
280 (minimum norm inversion) given by Penrose, (1955) to determine $g(f)_j$ at each of the recording station.

281 Based on the above discussed methodology, inversions are performed for east-west, north-south and
282 vertical components of EQ records separately to obtain the amplification curves in the frequency range of
283 0.25Hz to 15Hz, for each of the three components. For further calculation, the horizontal component is obtained
284 as the geometric mean of east-west and north-south components.

285 5.2 HVSR

286 HVSR method is an extension of Nakamura (1989) technique, which is widely to assess the subsoil
287 characteristics using recorded ambient noises. Nakamura (1989) technique is based on the assumption that the
288 soil amplification effects are retained only in the horizontal component whereas the source and the path effects
289 are maintained both in vertical as well as horizontal components of ground motion. Hence, the ratio of
290 horizontal and vertical components gives an estimate of site amplification. Lermo and Chavez-Garccia (1993)
291 extended Nakamura (1989) technique to S wave part of the accelerograms and studied the theoretical basis of
292 the technique by numerical modelling oSV waves. Later, HVSR method was applied to EQ recordings
293 worldwide (Luzi et al., 2011; Yaghmaei-Sabegh and Tsang 2011; D'Alessandro et al., 2012; Harinarayan and
294 Kumar 2017a, b, 2018 etc.) to obtain the site characteristics.

295 Comparative studies between HVSR and other methods of evaluating site parameters reported by Field and
296 Jacob (1995), Parolai et al., (2004), Shoji and Kamiyama (2002) Harinarayan and Kumar (2017b) etc. show that,
297 HVSR can provide good and reliable estimate of predominant frequency. However, the above literatures also
298 point out discrepancies in amplification levels obtained from HVSR with other methods. In order to compare the
299 site amplification functions obtained from HVSR and GINV methods, HVSR for each station is computed
300 considering the same S wave window as used in the GINV method. HVSR for each recording station is
301 determined using the following steps;

302 1. Calculate the response spectra considering 5% damping, for all the three components (north-south, east-
303 west and vertical) of ground motion records.

304 2. Obtain the geometric mean of the two horizontal response spectra components (H) using Eq. (13) given
305 below;

$$306 \quad H = (H_{EW} \times H_{NS})^{0.5} \quad (13)$$

307 3. Calculate the ratio of H to V (H/V).

308 Where, H_{EW} and H_{NS} are the response spectrum of the horizontal east-west and north-south components
309 respectively and V is the response spectrum corresponding to vertical component of ground motion. Then, the
310 HVSR at each of the recording station can be estimated as;

$$311 \quad (HVS\!R)_i = \frac{\sum_{i=1}^{N_i} \frac{H}{V}}{N_i} \quad (14)$$

312 Here, N_i is the number of events recorded at recording station “ i ” and $(HVS\!R)_i$ indicates the average HVSR
313 value for a particular recording station “ i ”. The f_{peak} is the value of frequency corresponding to a maximum
314 value of $(HVS\!R)_i$ (denoted by A_{peak}) at the recording station “ i ”.

315 5.3 Site Parameters

316 Site amplitude (SA) curves are developed using GINV for the horizontal (GINV H) and the vertical components
317 (GINV V). Figure 7 shows typical amplification curves obtained for GINV H (indicated by dashed lines) and
318 GINV V (indicated by firm lines) for typical 6 recording stations. In general, obtained amplification values for
319 GINV H is greater than GINV V for all frequencies. A typical observation (from Figure 7) for both GINV H and
320 GINV V is that the high level of amplification is observed at high frequencies. For several recording stations,
321 clear and distinct peak in the amplification curve can be observed (e.g. JAMI, BAR, GHA and SND) from
322 Figure 7. Moreover, the overall peaks of the GINV V component are usually at higher frequencies than for the
323 GINV H component. Further, in case of few recording stations, the shift in frequency is relatively close to a
324 factor $\sqrt{2}$ (eg. BAR and GHA). Next, Site amplification factor (SAF) is estimated based on the GINV results
325 (denoted by GINV H/V) as the ratio of GINV H to GINV V. The value of frequency corresponding to the
326 maximum value of SAF (denoted as A_{peak}) is f_{peak} . GINV H/V curves are compared with those estimated using
327 HVSR method for a total of 101 recording stations. Figure 8 shows the comparison of the HVSR (indicated by
328 dashed lines) and GINV H/V (indicated by firm line) for typical 9 recording stations from above analyses. A
329 general observation made from Figure 8 is that both HVSR and GINV H/V show similar SAF patterns for all
330 recoding stations in the selected frequency range. Overall value of f_{peak} obtained exhibit 1:1 matching between
331 the two methods. However, there is trend of difference in terms of A_{peak} values. A_{peak} values obtained using
332 HVSR are found higher compared to those obtained using GINV H/V curves. This observation is also reported
333 in other regions (Sharma et al., 2014; Field and Jacob, 1995). The values of f_{peak} obtained using GINV H/V and
334 HVSR are tabulated in Column 5 and 7, Table 1 respectively. Similarly, the values of A_{peak} obtained using
335 GINV H/V and HVSR are tabulated in Column 6 and 8, Table 1 respectively. The maximum value of f_{peak} of
336 15Hz is observed for the recording station GGI with a value of A_{peak} of 5.3 based on GINV H/V. The maximum
337 value of A_{peak} of 12.2, based on GINV H/V is observed for ADIB recording station at 6.3Hz. The range of A_{peak}
338 based on HVSR varies between 1.7 and 19.4, while based on GINV H/V, the range of A_{peak} varies between 1.5

339 and 12.7. Similarly, of the f_{peak} based on HVSR varies between 0.4Hz and 10Hz, while based on GINV H/V, the
340 f_{peak} varies between 0.5Hz and 15Hz.

341 Further, based on the value of f_{peak} obtained above, using GINV, the recording stations are classified as
342 either rock sites or soil sites. In general, criteria based on average shear wave velocity over 30m (V_{s30}) is used
343 for site classification. A site can also be classified based on f_{peak} values. Such an approach was used by
344 Harinarayan and Kumar (2018) to classify the recording stations in the north-west Himalaya based on f_{peak}
345 obtained using HVSR method, where stations having f_{peak} less than 6.35Hz were classified as soil sites and
346 stations having f_{peak} greater than 6.35Hz were classified as rock sites. The range of f_{peak} values reported by
347 Harinarayan and Kumar (2018) for soil and rock sites were calculated based on the range of V_{s30} based on
348 NEHRP site classification scheme (BSSC, 2003) in accordance with the Eq. (15) (Kramer, 1996), correlating
349 f_{peak} to soil depth (denoted by H and taken as 30m) and shear wave velocity (V_z).

$$350 \quad f_{peak} = \frac{V_z}{4H} \quad (15)$$

351 Based on NEHRP classification criteria (BSSC, 2003), all the recording stations in the present are classified as
352 either rock site or soil site as given in Column 9, Table 1. Out of 101 recording stations 10 recording stations are
353 classified as rock sites and the rest 91 recording stations are classified as soil sites.

354 **5.5 Relationship between GINV H/V results and V_{s30}**

355 The value of V_{s30} are available for 8 recording stations in Tarai region of Uttarakhand and 19 stations in Delhi
356 region from Pandey et al., (2016a) and Pandey et al., (2016b) respectively based on MASW test. These 27
357 recording stations coincides with recording stations where A_{peak} and f_{peak} are determined in the present study.
358 V_{s30} (obtained from Pandey et al., 2016 a, b), f_{peak} and A_{peak} (as per present work) for above 27 recording stations
359 are listed in Table 5. Based on the present findings, relationship between f_{peak} and V_{s30} for the 27 recording
360 stations is proposed as shown in Figure 9a having $R^2=0.71$ as;

$$361 \quad \log V_{s30} = (0.48)(\log f_{peak}) + (2.33) \quad (16)$$

362 Similarly, the relationship between A_{peak} and V_{s30} for above 27 recording stations, as obtained in the present
363 study (see Figure 9b) is as follows;

$$364 \quad \log V_{s30} = -(0.74)(\log A_{peak}) + (2.93) \quad (17)$$

365 A lack of correlation (correlation coefficient =0.47) between V_{s30} and A_{peak} is observed as shown in Figure 9b,
366 which is also reported in the previous studies like Dutta et al., (2001), (2003) and Hassani et al., (2011). It can
367 be concluded from the proposed correlations (Eqs. 16 and 17) that the value of f_{peak} increases with increase in
368 V_{s30} whereas the value of A_{peak} decreases with the increase in V_{s30} . It has to be highlighted here that both the
369 equations [Eqs. 16 and 17] are applicable for sites having f_{peak} in the range 1.8 to 6 Hz, and A_{peak} in the range 2
370 to 6.9.

371 **Conclusion**

372 In the light of on-going seismicity and catastrophic damages witnessed in the past, determination of path
373 attenuation as well as site characterization of EQ recording stations of PESMOS located in the north-west
374 Himalayas and adjoining area is attempted. EQ recorded between 2004 and 2017 are used in the analyses based
375 on two-step inversion. While determining path attenuation, a kink at 105km hypocentral distance is observed in
376 this work. Referring to similar observations from other regions, presence of Moho discontinuity is proposed in
377 the region. This finding can be validated based on detailed study and is beyond the scope of present work
378 objective. Further, based on attenuation curve obtained till 105km hypocentral distance and over wide range of
379 frequencies, $Q_s = (105 \pm 11) f^{(0.94 \pm 0.08)}$ is obtained for the present study area, clearly indicating that the
380 region is heterogeneous and seismically active.

381 In absence of proper geological information of PESMOS recording stations as highlighted by numerous
382 recent studies, site classification of all the recording stations considered in this study are done based on GINV
383 and HVSR. Based on this analysis, out of 101 recording stations, 10 are found to be located on rock while 91
384 stations are found to be located on soil sites. 1:1 matching for all the recording station from GINV and HVSR
385 further enhances the confidence on present findings. Based on the findings, two empirical correlations for A_{peak}
386 and f_{peak} with V_{s30} , are proposed.

387 Path attenuation and site characteristics are the key factors to be used for developing regional ground
388 motion model. Further, with EQ catalogue known, present findings can be used for detailed seismic hazard
389 assessment of the study area. In addition, identifying recording station whether considered recording stations are
390 located on rock sites or soil sites will help in utilizing ground motion records for scenario based seismic hazard
391 assessment.

392
393

394 **Authors Contribution:**

395 Harinarayan N H developed code generalized inversion, analyzed the records and all relevant literature review.
396 Kumar Abhishek (AK) highlighted the importance of site characterization for PESMOS recording stations and
397 need for the study.

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541

542 **Appendix A**

543 In the matrix form, following the notations of Menke (1989) and incorporating the weighting factors
544 w_1 and w_2 , Eq. (1) can be written in accordance with Castro et al., (1990) as:

545

$$\begin{array}{cccc|cccc|}
 & \text{(A)} & & & & \text{(X)} & & \text{(b)} \\
 \left[\begin{array}{cccc}
 1 & 0 & 0 & \dots \\
 0 & 1 & 0 & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 1 & 0 & 0 & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 w_1 & 0 & 0 & \dots \\
 -w_2/2 & w_2 & -w_2/2 & \dots \\
 0 & -w_2/2 & w_2 & -w_2/2 \\
 \cdot & \cdot & \cdot & \dots
 \end{array} \right]
 \left[\begin{array}{cccc}
 1 & 0 & 0 & \dots \\
 1 & 0 & 0 & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 0 & 1 & 0 & \dots \\
 0 & 1 & 0 & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots \\
 \cdot & \cdot & \cdot & \dots
 \end{array} \right]
 =
 \left[\begin{array}{c}
 \ln A_1 \\
 \cdot \\
 \cdot \\
 \cdot \\
 \ln A_{10} \\
 \cdot \\
 \ln M_1 \\
 \cdot \\
 \cdot \\
 \cdot \\
 \cdot \\
 \ln M_N \\
 \cdot
 \end{array} \right]
 \left[\begin{array}{c}
 \ln U_{11} \\
 \cdot \\
 \cdot \\
 \ln U_{ij} \\
 \cdot \\
 \cdot \\
 0 \\
 0 \\
 0 \\
 0 \\
 \cdot
 \end{array} \right]
 \tag{A1}$$

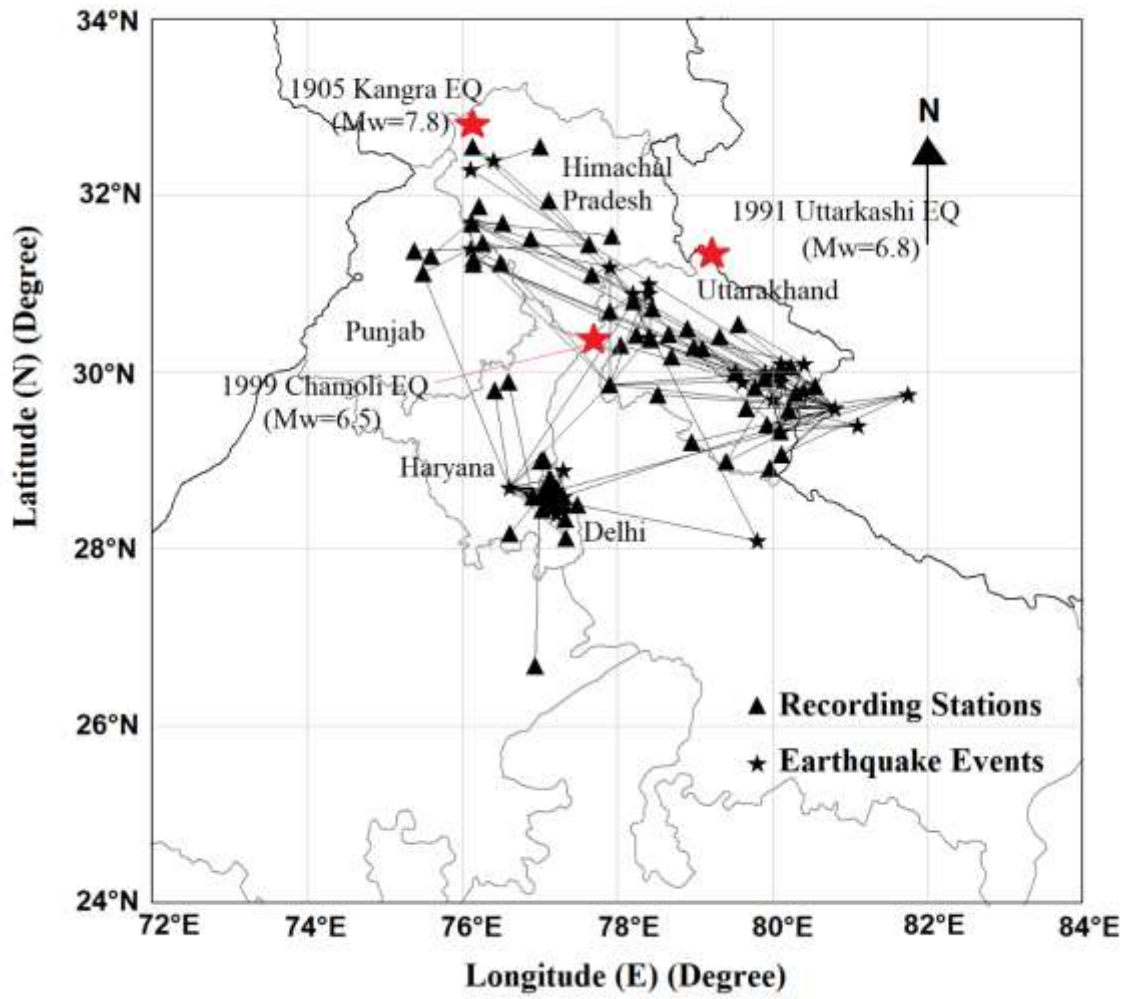
546

547 The hypocentral distances of the data set is discretized into number of bins of equal widths and the value of
548 $A(f, R_{ij})$ is computed at each bin. The width of the bins are selected such that there is almost equal number of
549 data points in every bin. Further, $\ln A(f, R_{ij})$ versus hypocentral distance curves at each of the selected
550 frequencies are computed solving Eq. (2) in a least square sense, using singular value decomposition method
551 (Menke, 1989).

552

553

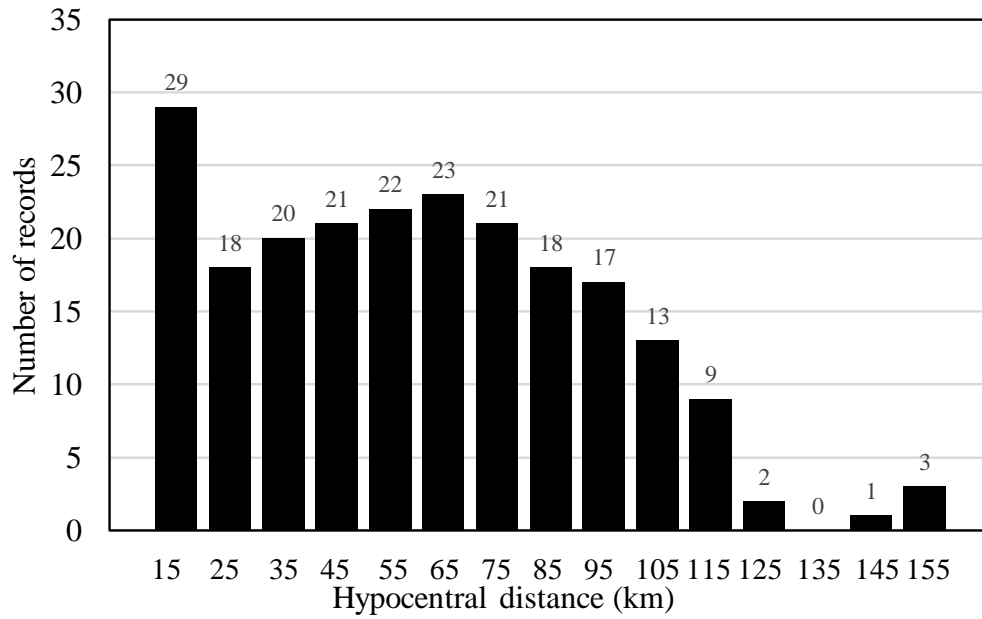
FIGURES



555

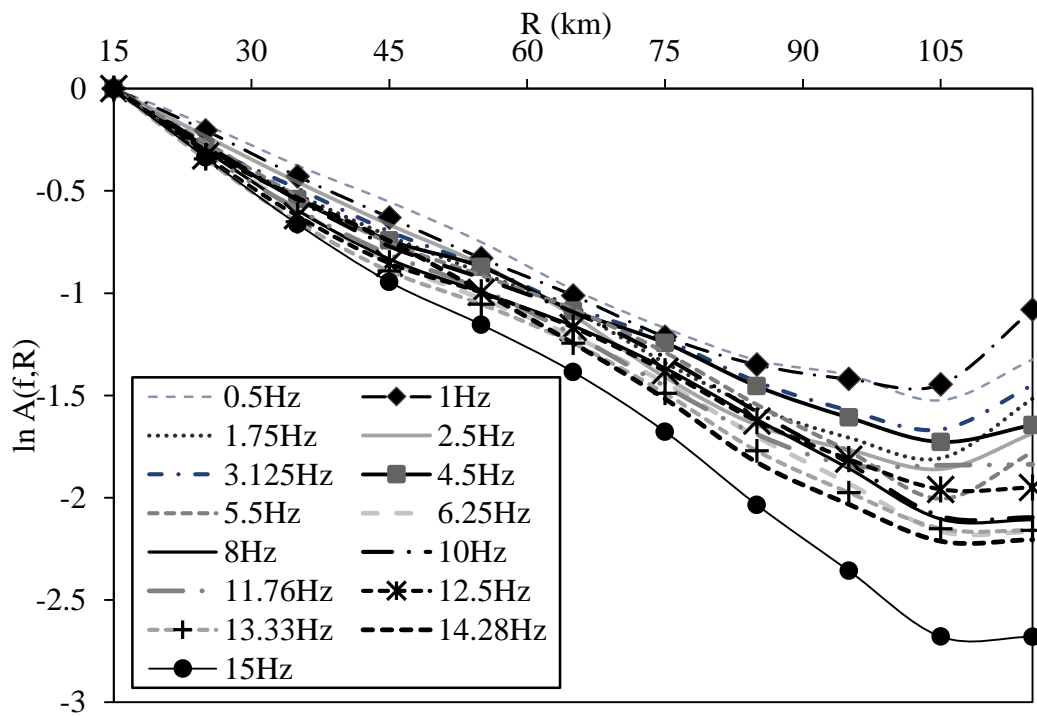
556

Figure 1: Map of the region under study with EQs (stars), recording stations (triangles), and paths (solid-lines).



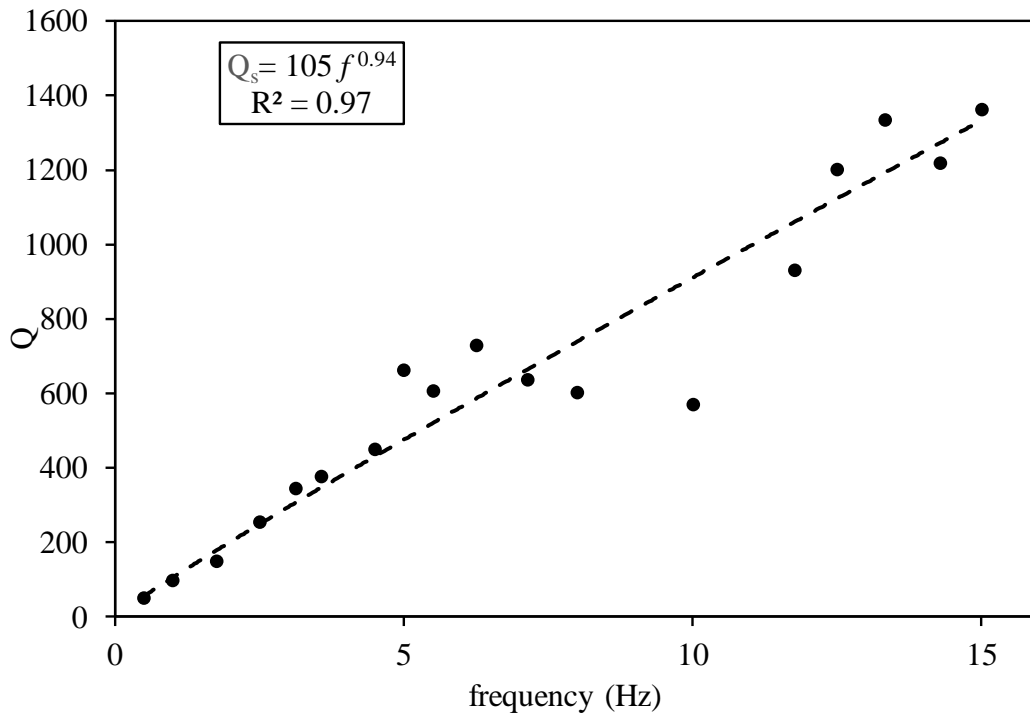
557
558

Figure 2: Distribution of hypocentral distances in the data set.



559
560

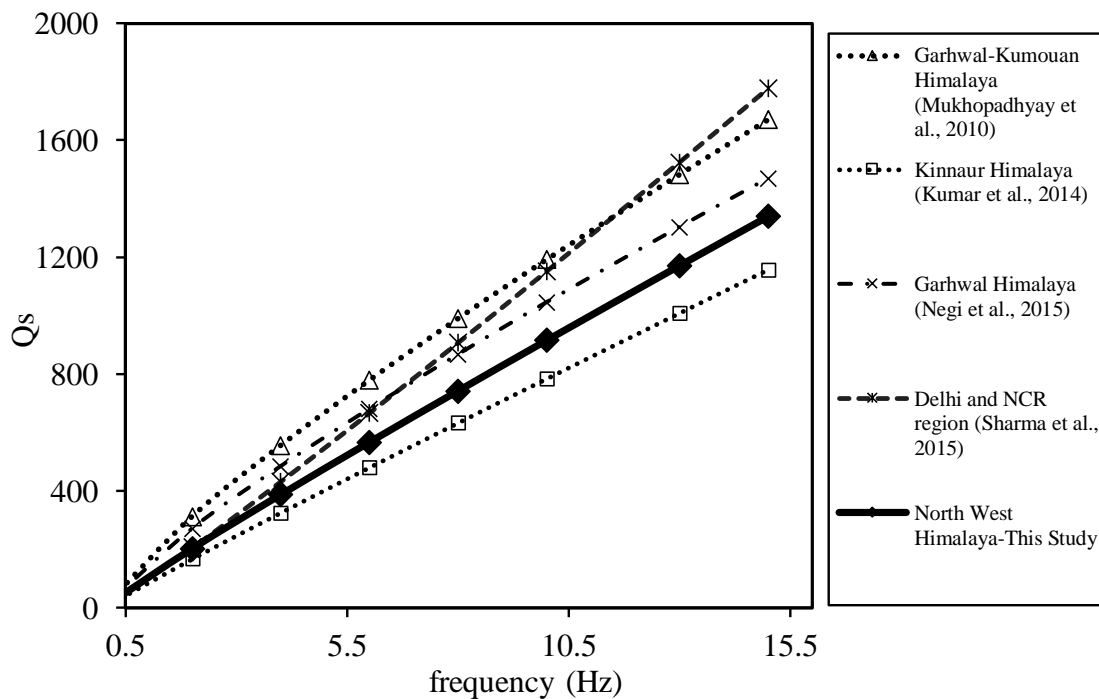
Figure 3: S wave spectral attenuation versus hypocentral distance. Note that $\ln A(f, R_0)$ at reference distance is zero.



561

562

Figure 4: Frequency dependence of the quality factor Q for hypocentral distances between 15 km to 105 km



563

564

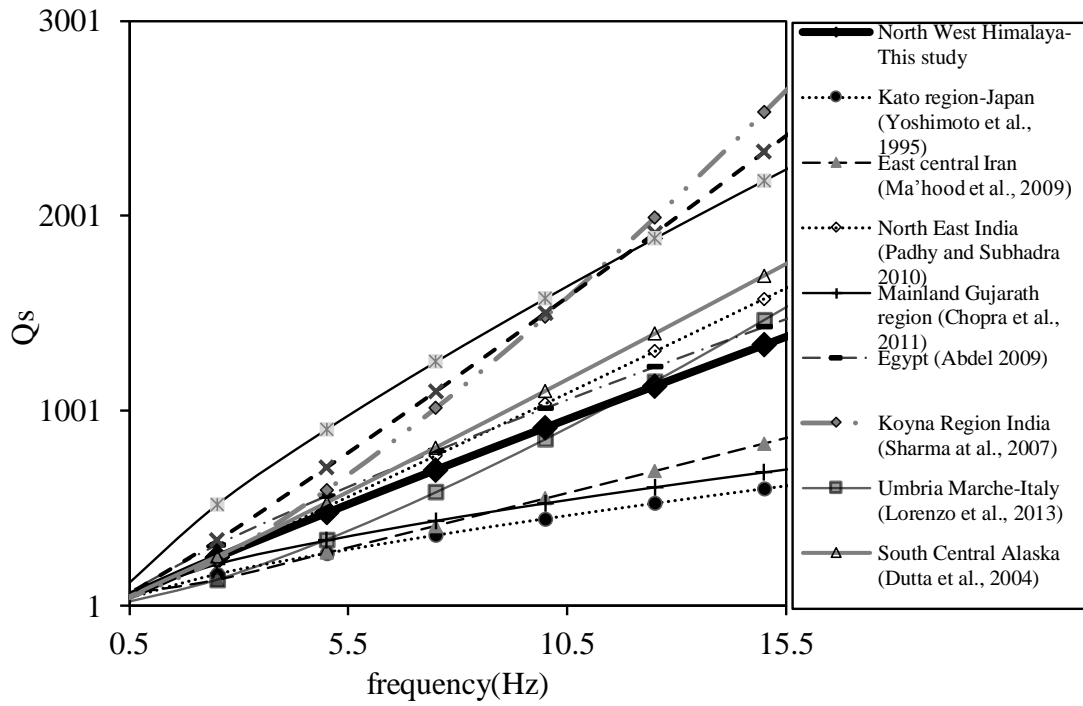
565

566

567

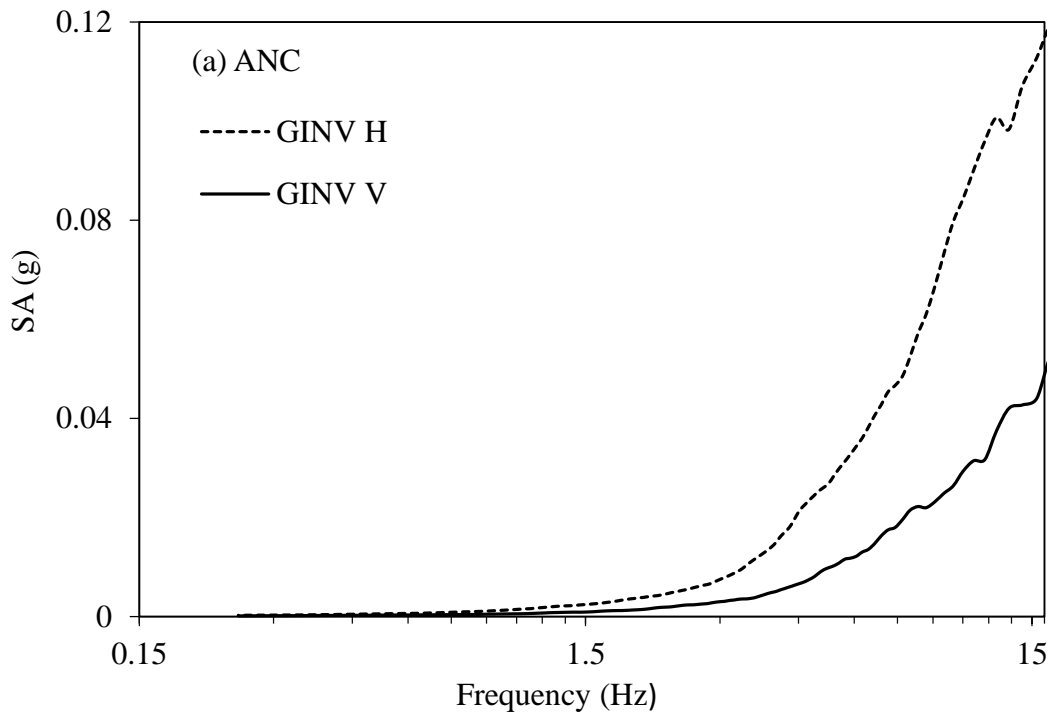
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Figure 5: Comparison of Q_s values of North West Himalaya with those obtained from parts of North West Himalaya and Delhi region. The compared relations for Q_s versus frequency are as follows: Garhwal-Kumouan Himalaya: $Q_s = 175 * f^{0.833}$ (Mukhopadhyay et al., 2010); Kinnaur Himalaya: $Q_s = 86 * f^{0.96}$ (Kumar et al., 2014); Garhwal Himalaya: $Q_s = 151 * f^{0.84}$ (Negi et al., 2015); Delhi and NCR region: $Q_s = 98 * f^{1.07}$ (Sharma et al., 2015).

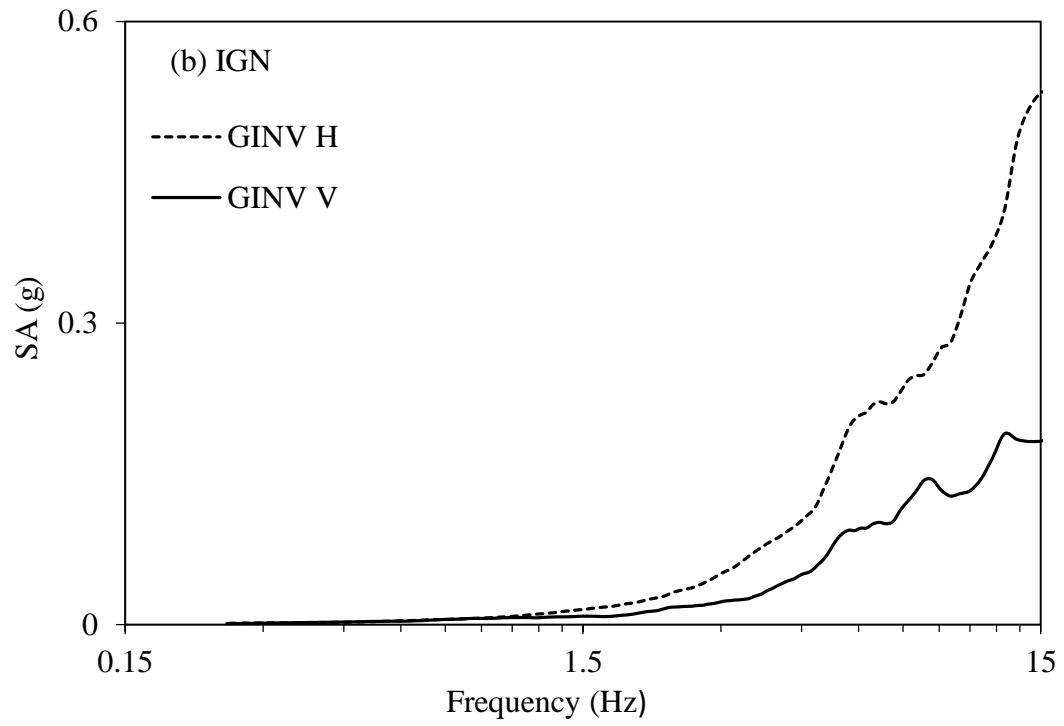


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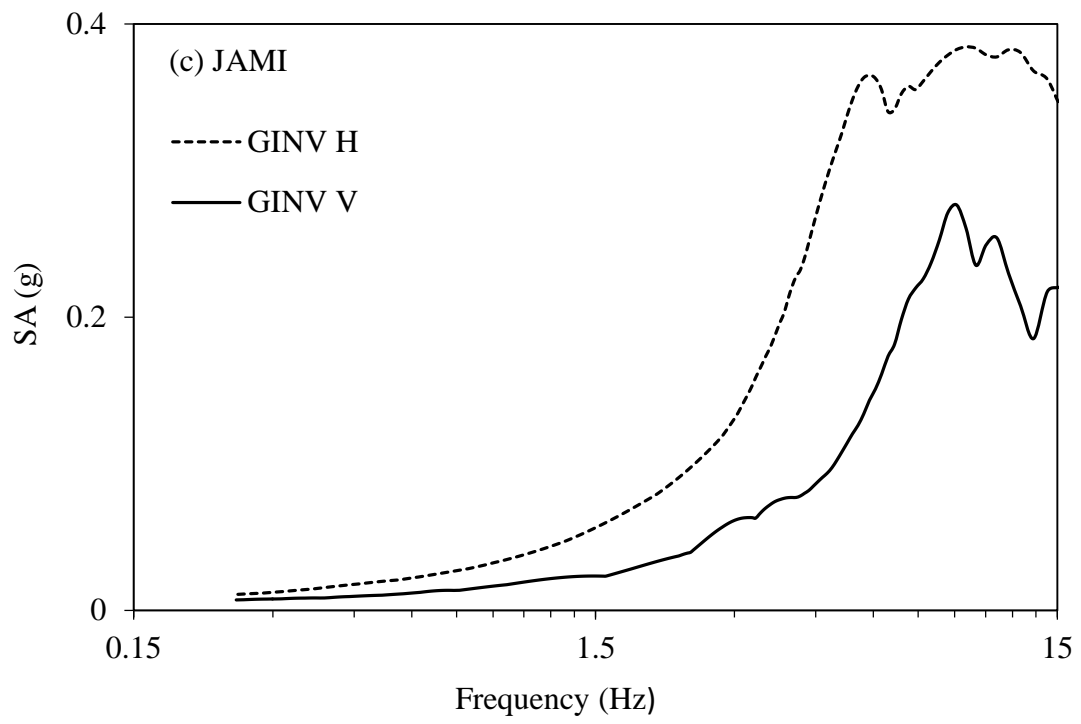
Figure 6: Comparison of Q_s values of this study with regions of different tectonic settings of the world.



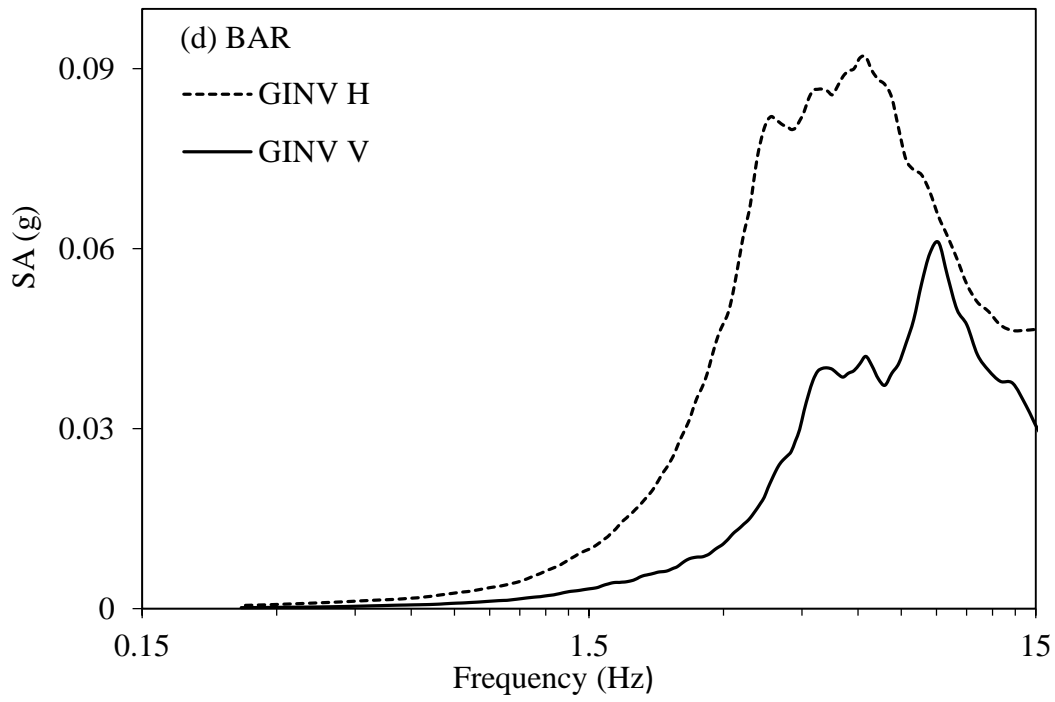
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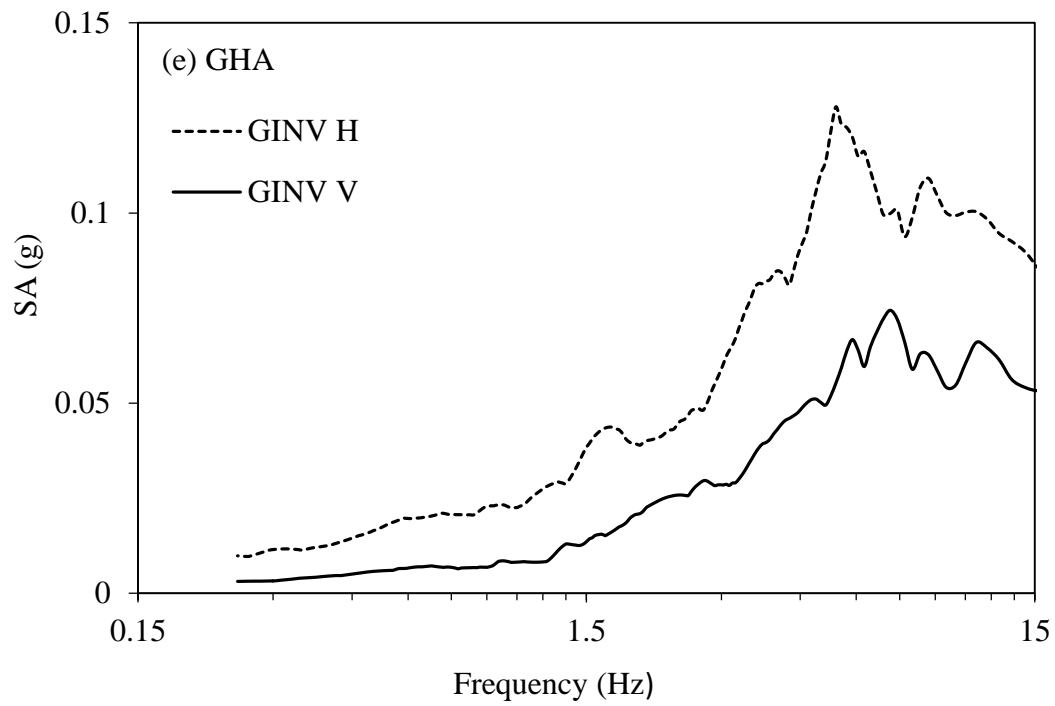
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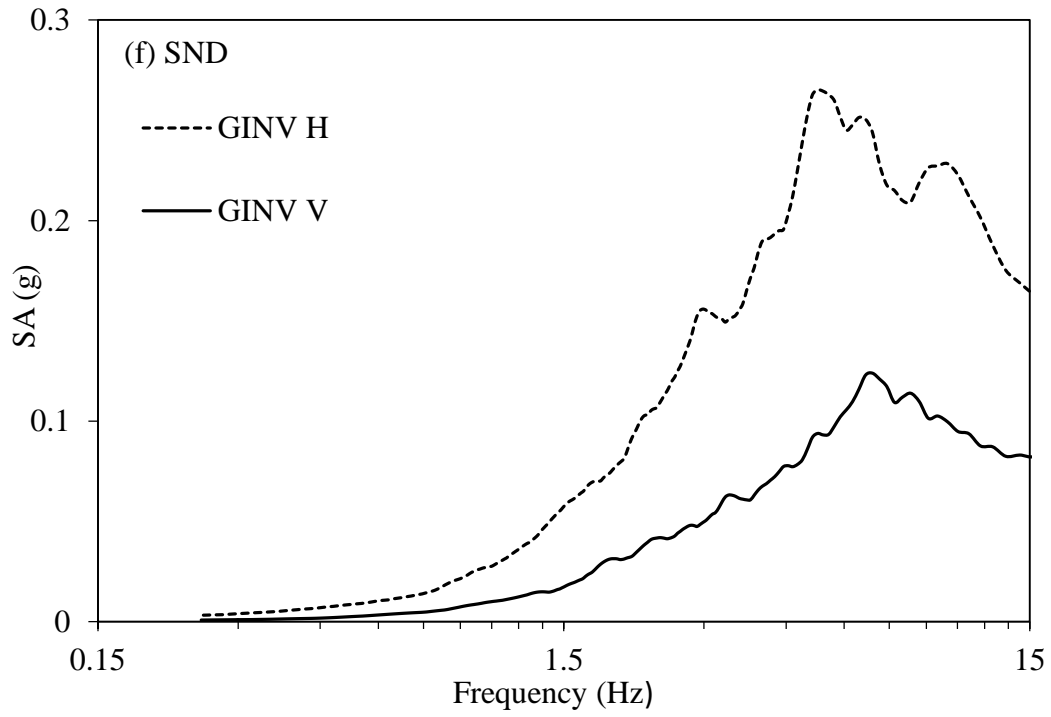
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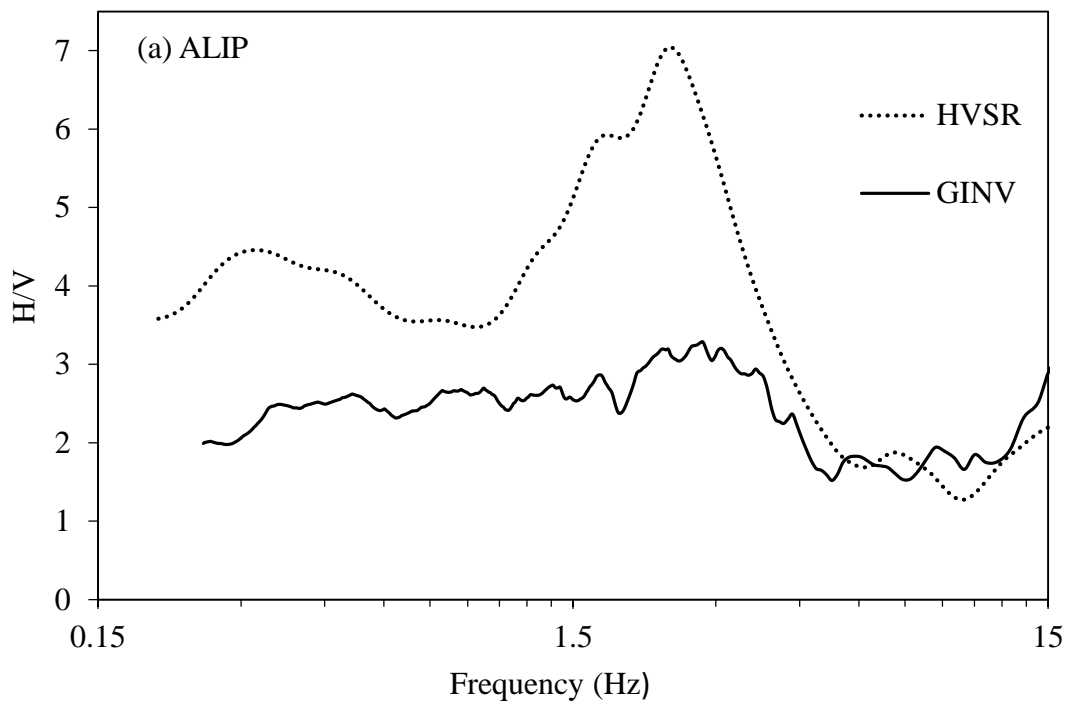
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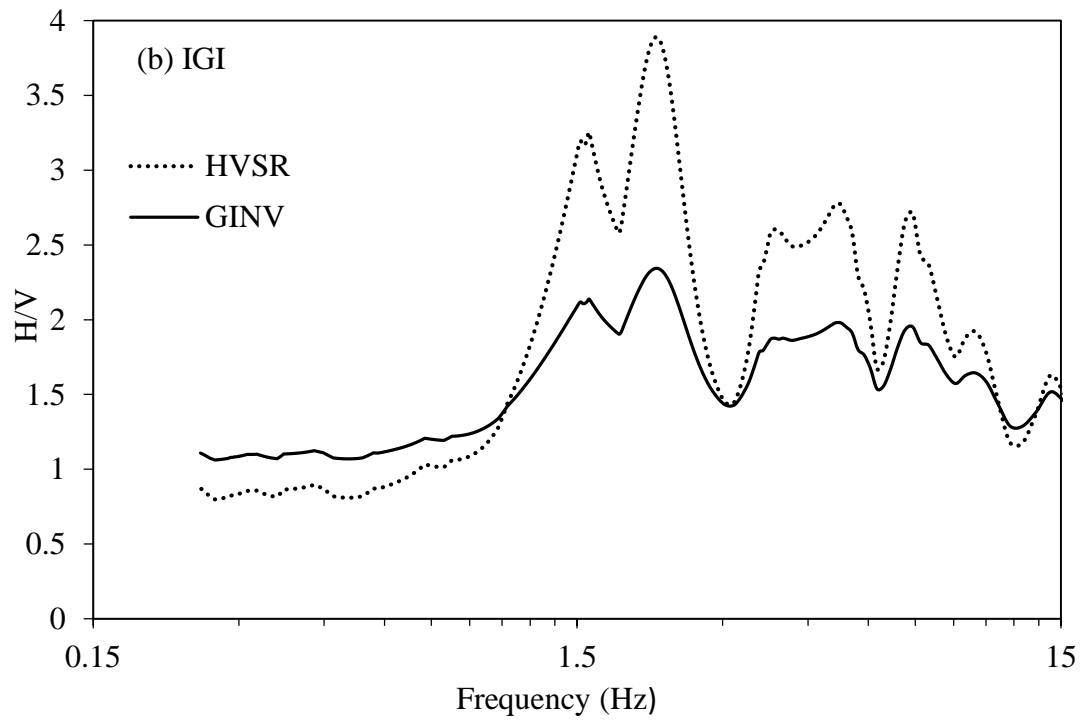
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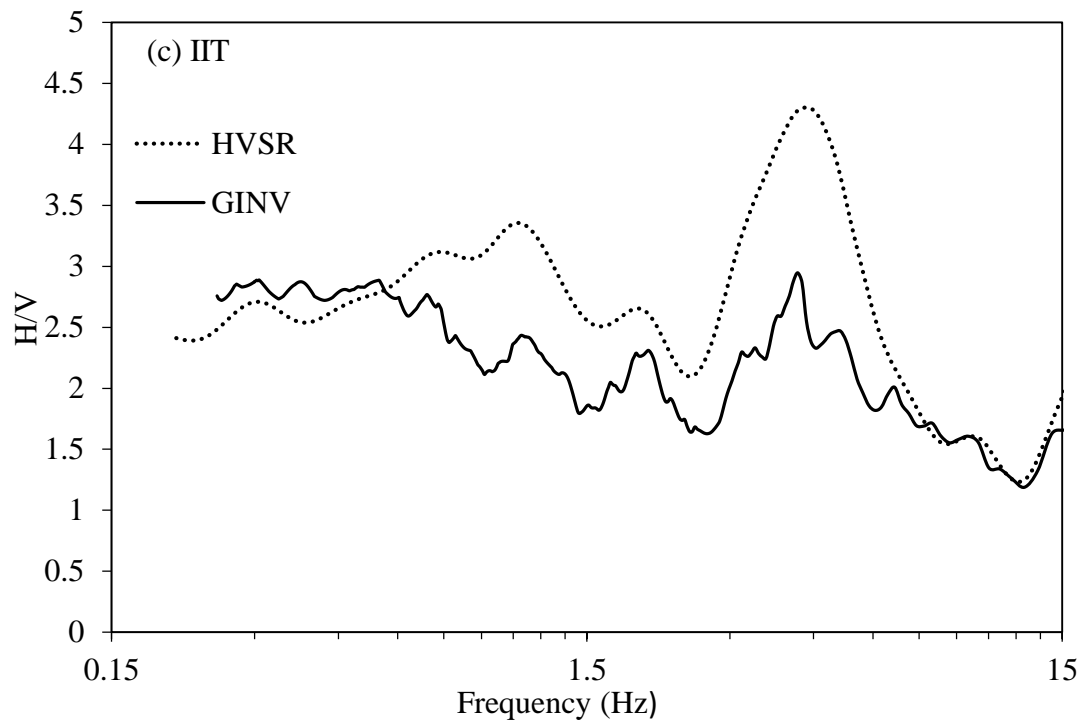
Figure 7 (a-f). Site amplitude curves obtained using GINV for horizontal component and vertical component



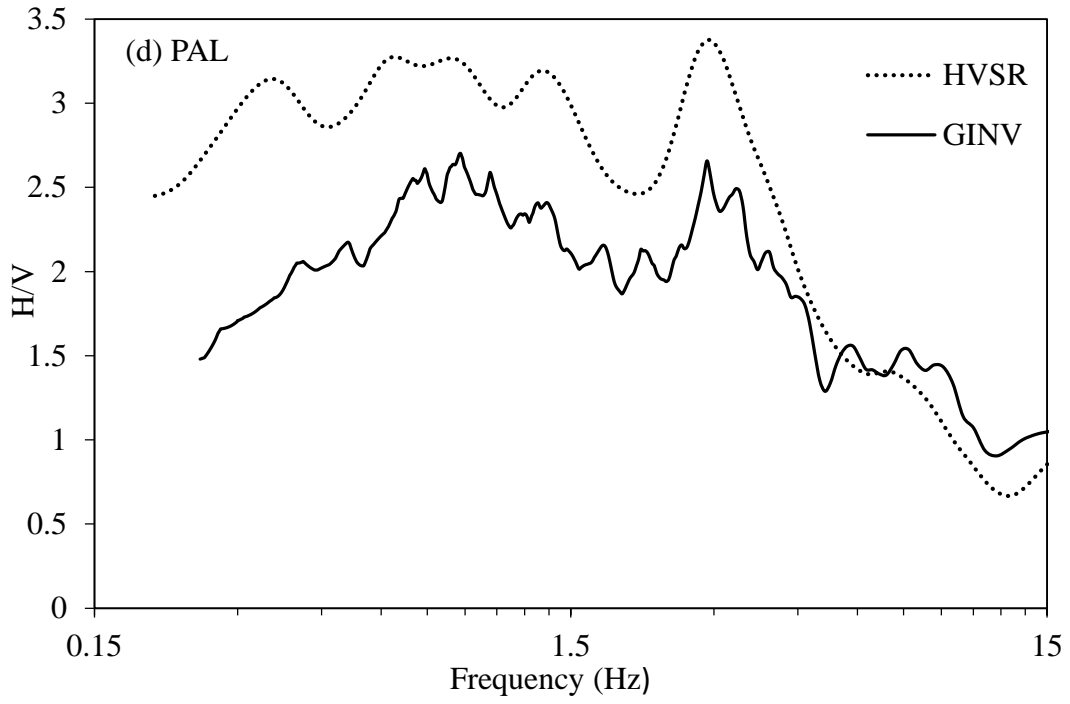
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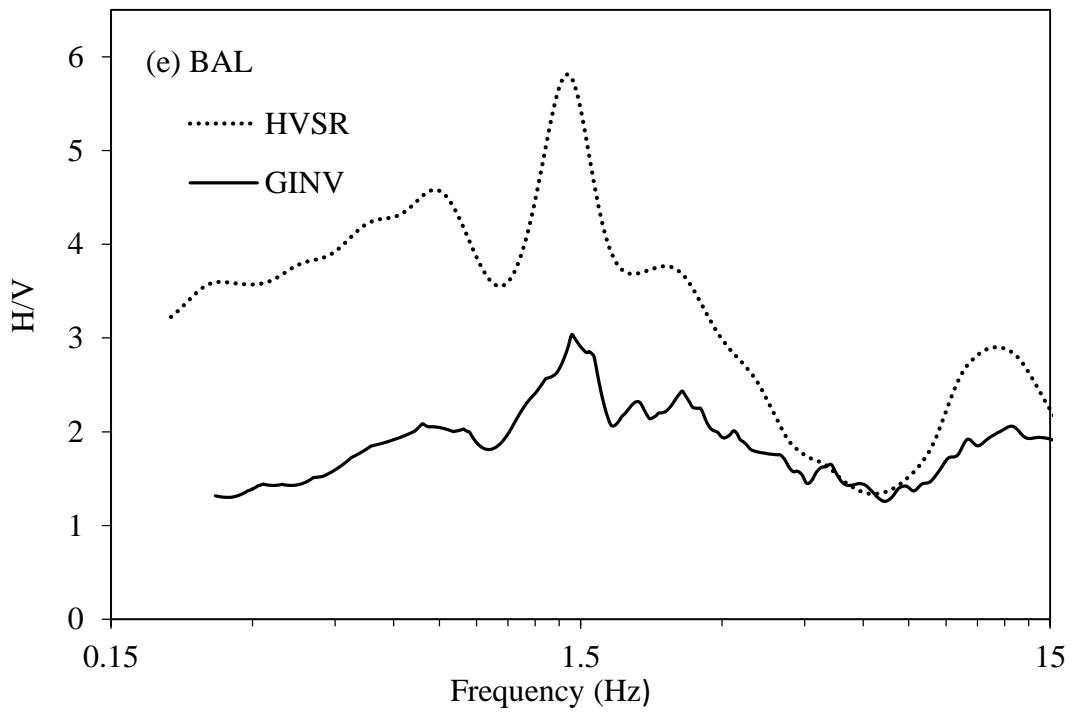
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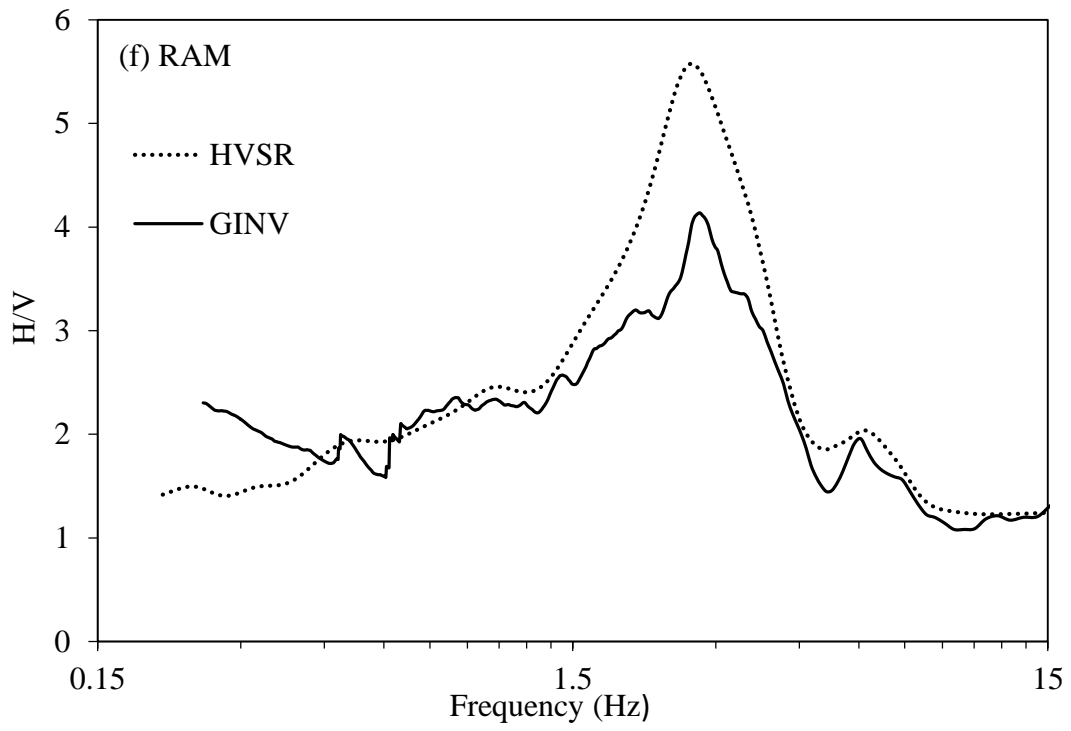
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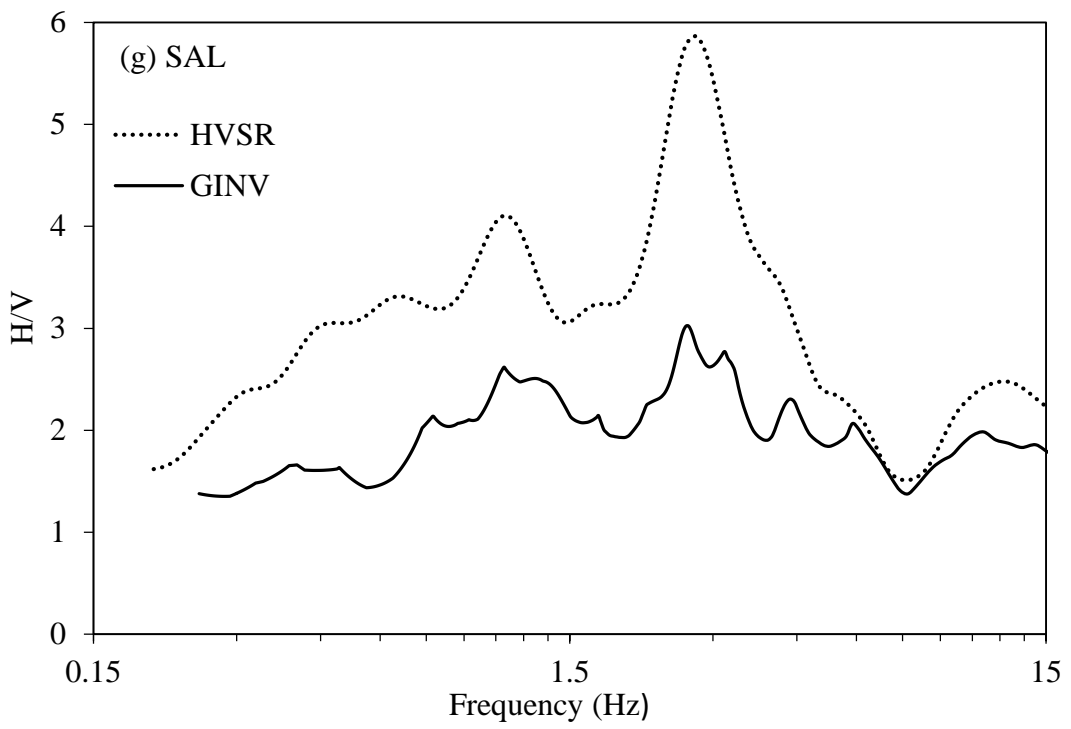
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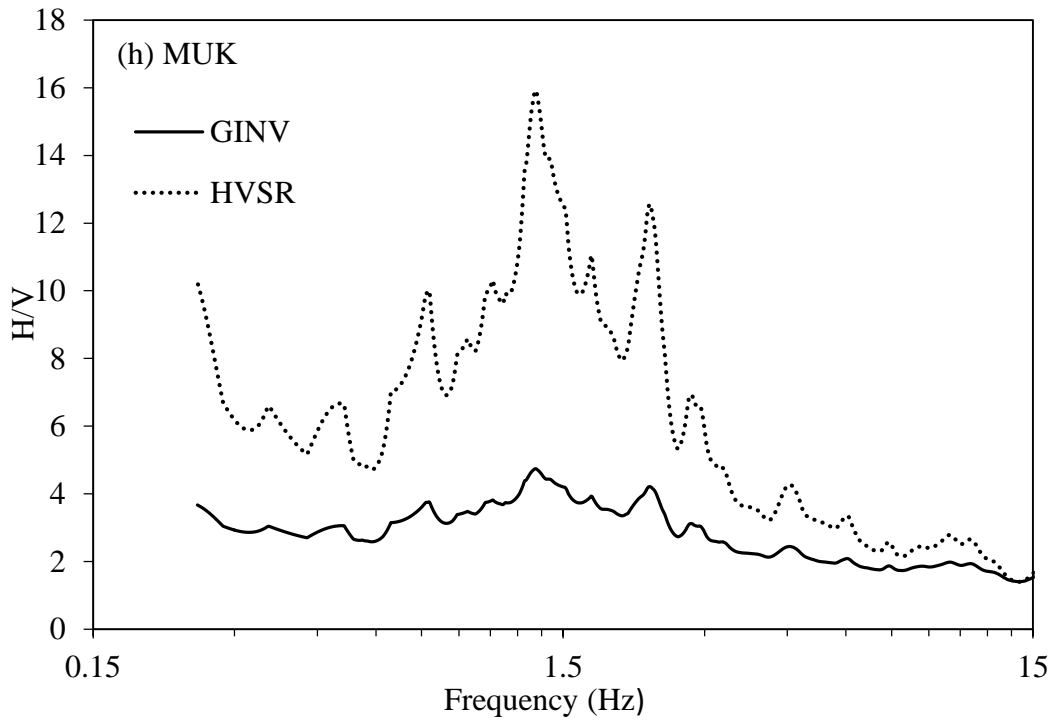
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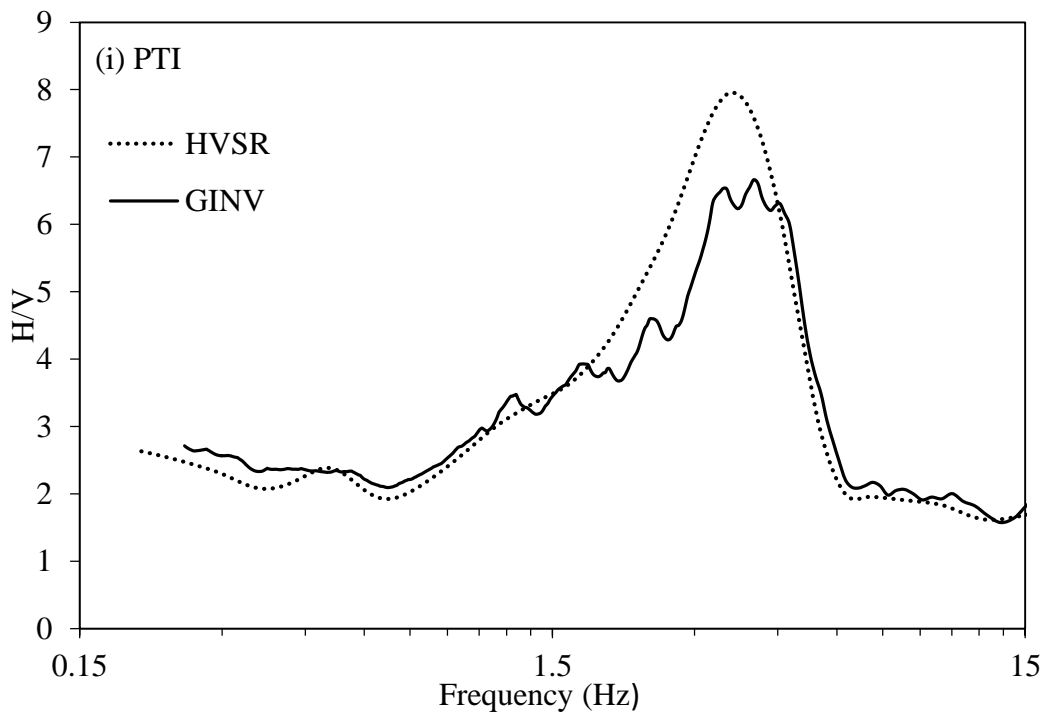
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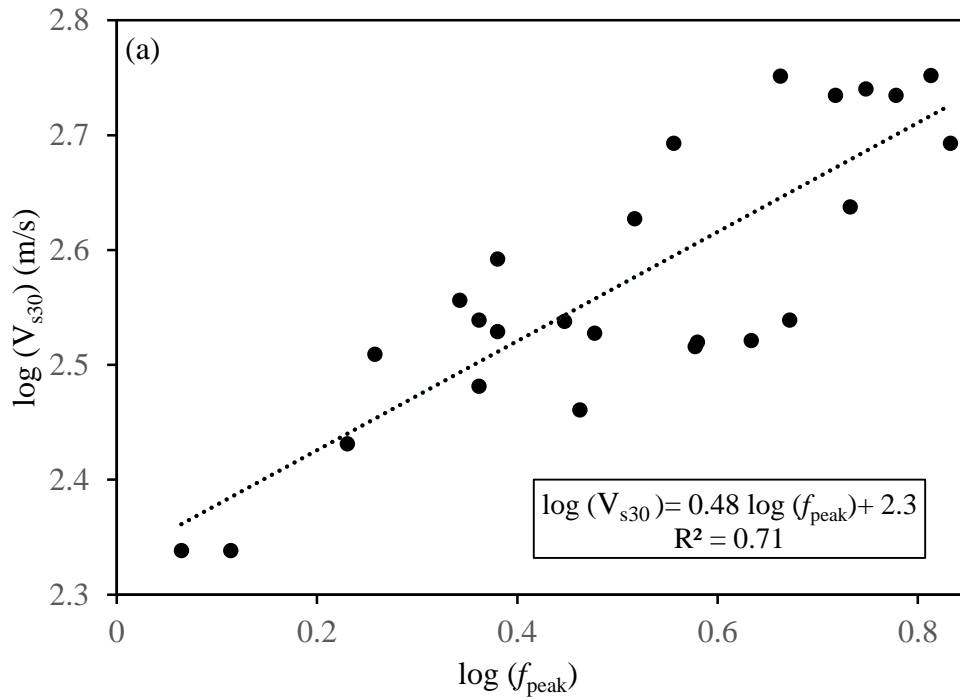
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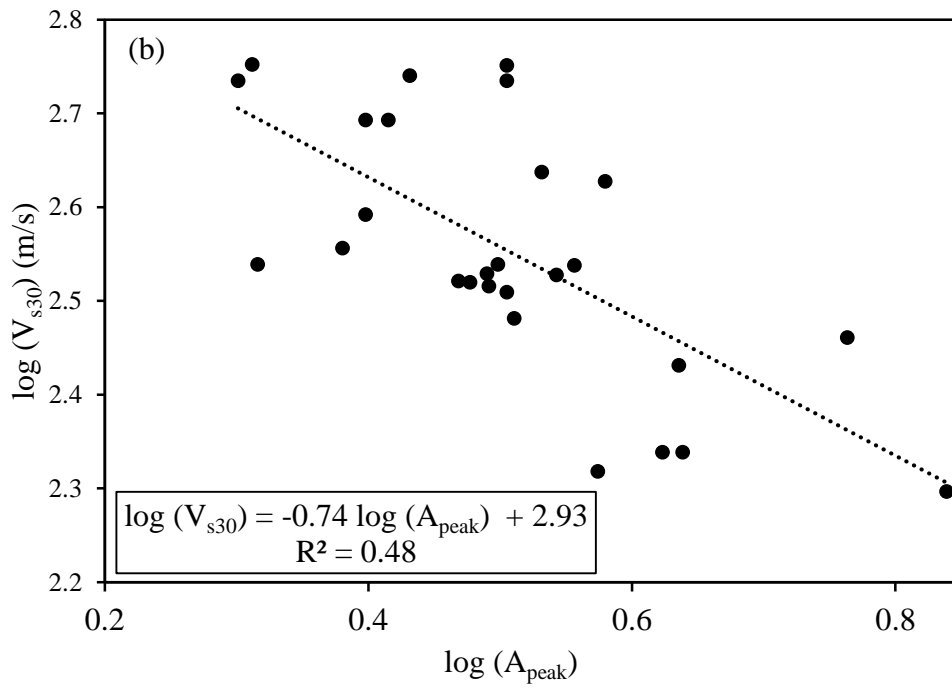
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Figure 8 (a-i): Horizontal to vertical ratio curve obtained using GINV and HVSR method.



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Figure 9: V_{s30} as a function of f_{peak} (a) and A_{peak} (b) of GINV method for recording stations at Delhi and Tarai region of Uttarakhand.

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Table 1: Detail of strong motion recording stations.

Si no	Statio Code	Lat.(°) (N)	Lon (°) (E)	GINV		HVSR		R * or S#	Si no	Station Code	Lat.(°) (N)	Lon (°) (E)	GINV		HVSR		R * or S#
				f _{peak}	A _{peak}	f _{peak}	A _{peak}						f _{peak}	A _{peak}	f _{peak}	A _{peak}	
-1	-2	-3	-4	-5	-6	-7	-8	-9	-1	-2	-3	-4	-5	-6	-7	-8	-9
Himachal Pradesh									Uttarakhand								
1	AMB	31.7	76.1	1.7	4.3	1.2	9.3	S	1	ALM	29.6	79.7	2.1	3.0	2.8	4.4	S
2	BHA	31.6	77.9	4.5	4.0	4.1	4.4	S	2	BAG	29.8	79.8	1.5	4.7	1.5	5.2	S
3	CHM	30.4	79.3	1.4	5.4	1.5	7.5	S	3	BAR	30.8	78.2	3.0	4.5	2.8	7.0	S
4	DEH	31.9	76.2	6.8	3.5	10	5.4	R	4	CHM	32.6	76.1	3.6	2.4	2.0	2.9	S
5	DHH	32.2	76.3	2.7	4.5	2.7	4.9	S	5	CHP	29.3	80.1	5.4	5.2	5.6	6.5	S
6	HAM	31.7	76.5	2.9	3.3	3.1	6.6	S	6	CKR CMB	30.7	77.9	2.1	3.8	2.0	4.5	S
7	JUB	31.1	77.7	5.8	3.3	5.6	4.9	S	7	B	30.0	79.5	8.3	2.7	8.3	6.3	R
8	KLG	32.6	77.0	8.0	1.8	8.3	2.2	R	8	DHA	29.8	80.5	3.1	3.3	2.7	5.5	S
9	KUL	32.0	77.1	3.3	2.3	3.1	3.0	S	9	DNL	30.4	78.2	2.8	3.3	2.0	7.1	S
10	MAN	31.7	76.9	2.5	3.4	2.3	5.3	S	10	DUN	30.3	78.0	2.9	5.8	3.1	7.1	S
11	RAM	31.4	77.6	2.8	4.2	2.7	5.6	S	11	GAR	30.1	79.3	2.4	3.4	2.3	4.5	S
12	SAL	32.7	76.1	2.7	3.0	2.7	5.8	S	12	GHA	30.4	78.7	5.2	2.3	4.5	5.5	S
13	SND	31.5	76.9	5.0	3.5	5.0	4.2	S	13	GLTR	30.3	79.1	2.9	3.5	2.8	6.1	S
14	SOL	30.9	77.1	0.5	2.6	0.6	4.6	S	14	JSH	30.5	79.6	1.4	2.2	1.5	3.0	S
15	UNA	31.5	76.3	1.5	3.9	1.8	6.0	S	15	KAP	29.9	79.9	3.7	6.4	3.3	9.2	S
16	KJK	30.9	76.9	0.7	6.4	0.4	12.0	S	16	KHA	28.9	80.0	1.3	4.2	2.0	8.0	S
17	PLM	32.1	76.5	1.7	1.8	1.6	2.2	S	17	KKHR	30.2	78.9	9.5	3.5	9.5	9.3	R
Punjab									18	KOT	29.7	78.5	0.7	2.4	0.7	3.3	S
1	ANS	31.2	76.5	0.9	4.9	0.9	17.0	S	19	KSK	29.2	79.0	3.1	3.8	3.2	9.9	S
2	ASR	31.6	74.9	1.0	2.9	1.0	8.0	S	20	KSL	30.9	77.0	3.0	3.9	2.1	19.4	S
3	GSK	31.2	76.1	0.8	2.3	0.8	3.8	S	21	LANG	30.3	79.3	7.7	2.8	7.9	5.7	R
4	JAL	31.3	75.6	2.9	1.5	2.9	1.7	S	22	LAN	29.8	78.7	1.4	2.8	1.4	6.1	S
5	KAT	31.4	75.4	2.0	3.1	3.0	3.4	S	23	MUN	30.1	80.2	4.3	2.8	7.0	3.6	S
6	MOG	30.8	75.2	2.2	2.3	2.2	3.9	S	24	PAU	30.2	78.8	5.9	2.1	3.1	3.7	S
7	MUK	31.9	75.6	1.4	4.7	1.4	15.9	S	25	PTH	29.6	80.2	8.0	2.7	4.6	3.1	R
8	NAW	31.1	76.1	1.4	3.6	1.4	3.5	S	26	PTI	29.4	79.9	4.0	6.6	3.6	8.0	S
9	NKD	31.1	75.5	1.2	2.2	1.3	3.4	S	27	RIS	30.1	78.3	3.8	3.0	3.4	6.4	S
10	PHG	31.2	75.8	2.7	2.7	2.7	5.2	S	28	ROO	29.9	77.9	1.2	4.4	1.3	5.2	S
11	TAR	31.4	74.9	2.6	2.7	2.7	4.6	S	29	RUD	30.3	79.0	1.3	2.8	1.5	4.2	S
Delhi									30	SMLI	30.2	79.3	9.1	3.3	8.7	6.1	R
1	ARI	26.1	77.5	2.7	3.2	2.5	7.0	S	31	TAN	29.1	80.1	5.4	3.4	5.0	6.3	S
2	IGN	28.5	77.2	3.6	2.6	4.5	3.9	S	32	THE	30.4	78.4	1.6	2.8	1.5	3.6	S
3	JNU	28.5	77.2	9.0	2.1	8.7	3.5	R	33	UDH	29.0	79.4	2.7	6.9	2.2	10.1	S
4	DJB	28.7	77.2	2.2	3.2	10	4.5	S	34	UTK	30.7	78.4	2.4	2.9	2.3	4.4	S
5	NDI	28.7	77.2	6.8	2.5	7.2	3.7	R	35	VIK	30.5	77.8	2.3	3.8	2.3	10.4	S
6	IMD	28.7	77.2	6.0	2.0	6.3	2.9	S	36	GDRI	30.2	78.7	6.0	3.4	5.1	4.8	S
7	NTPC	28.5	77.3	2.8	3.6	2.8	5.4	S	37	TLWR	30.3	79.0	1.1	2.1	1.0	4.6	S
8	ANC	28.5	77.3	4.6	3.2	4.5	4.5	S	38	UKMB	30.3	79.1	1.0	2.9	1.4	10.0	S
9	JAMI	28.6	77.3	4.7	3.2	4.5	7.3	S	39	ADIB	30.2	79.2	6.4	12.7	6.3	17.8	R

10	LDR	28.6	77.2	0.7	4.3	0.9	7.0	S	40	NUTY	30.2	79.2	4.8	2.4	4.7	4.3	S
11	VCD	28.6	77.2	4.6	2.7	4.6	3.6	S	41	KHIB	30.2	78.8	7.7	3.3	8.0	8.7	R
12	IIT	28.6	77.3	4.3	2.9	4.5	4.3	S	42	STRK	30.3	79.0	4.7	2.8	4.7	5.8	S
13	NSIT	28.6	77.0	2.4	2.5	2.3	3.9	S	43	NANP	30.3	79.3	3.9	3.5	3.8	9.1	S
14	RGD	28.7	77.1	2.3	2.1	2.9	3.8	S	Haryana								
15	GGI	28.7	77.2	15	5.3	15	8.4	R	1	PAL	28.1	77.3	2.8	2.7	2.9	3.4	S
16	DLU	28.7	77.2	1.8	3.2	1.9	3.7	S	2	JAFR	28.6	76.9	6.0	2.0	7.1	2.6	S
17	DCE	28.8	77.1	3.8	3.1	4.7	4.2	S	3	GUR	28.4	77.0	1.0	4.1	1.0	5.2	S
18	IGI	28.6	77.1	2.2	2.4	2.2	3.8	S	4	REW	28.2	76.6	2.5	2.1	2.5	3.7	S
19	ZAKI	28.6	77.2	3.9	3.5	3.9	8.4	S	5	SON	29.0	77.0	1.0	3.5	2.8	4.0	S
20	ALIP	28.8	77.1	2.3	3.2	2.5	6.9	S	6	ROH	28.6	77.2	1.4	3.1	2.0	4.6	S
21	ROI	28.6	77.2	1.4	3.1	2.0	4.6	S	7	CRRRI	29.0	77.1	4.3	3.5	4.4	9.3	S
R* Rock site									8	BAL	28.3	77.3	1.5	3.0	1.4	5.8	S
S# Soil site									9	KAI	29.8	76.4	1.2	3.0	1.2	6.5	S

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Table 2: Details of earthquake events (from PESMOS) considered for estimation of site parameters in this work.

Event No.	dd/mm/yy	Lat .	Lon g.	Depth h	Magnitude	Event No.	dd/mm/yy	Lat .	Lon g.	Depth h	Magnitude
-1	-6	-2	-3	-4	-5	-1	-6	-2	-3	-4	-5
1	14-12-2005	30.9	79.3	25.7	5.2	44	24-09-2011	30.9	78.3	10.0	3.0
2	07-05-2006	28.7	76.6	20.2	4.1	45	26-10-2011	31.5	76.8	5.0	3.5
3	29-11-2006	27.6	76.7	13.0	3.9	46	16-01-2012	29.7	78.9	10.0	3.6
4	10-12-2006	31.5	76.7	33.0	3.5	47	12-03-2012	28.9	77.3	5.0	3.5
5	22-07-2007	29.9	77.9	33.0	5.0	48	26-02-2012	29.6	80.8	10.0	4.3
6	25-11-2007	28.6	77.0	20.3	4.3	49	27-03-2012	26.1	87.8	12.0	3.5
7	04-10-2007	32.5	76.0	10.0	3.8	50	05-03-2012	28.7	76.6	14.0	4.9
8	18-10-2007	28.3	77.6	5.6	3.6	51	28-07-2012	29.7	80.7	10.0	4.5
9	19-08-2008	30.1	80.1	15.0	4.3	52	23-08-2012	28.4	82.7	5.0	5.0
10	19-10-2008	29.1	76.9	7.0	3.2	53	02-10-2012	32.4	76.4	10.0	4.9
11	21-10-2008	31.5	77.3	10.0	4.5	54	03-10-2012	32.4	76.3	10.0	3.6
12	31-01-2009	32.5	75.9	10.0	3.7	55	06-11-2012	32.3	76.2	5.0	4.1
13	09-01-2009	31.7	78.3	16.0	3.8	56	11-11-2012	29.3	80.1	5.0	5.0
14	25-02-2009	30.6	79.3	10.0	3.7	57	15-11-2012	30.2	80.1	5.0	3.0
15	18-03-2009	30.9	78.2	10.0	3.3	58	27-11-2012	30.9	78.4	12.0	4.8
16	04-09-2008	30.1	80.4	10.0	5.1	59	19-12-2012	28.6	76.8	10.0	2.9
17	01-05-2009	29.8	80.1	10.0	4.6	60	02-01-2012	29.8	81.1	10.0	4.8

	2009	9					2013	4				
18	15-05-2009	30.5	79.3	15.0	4.1	61	09-01-2013	29.8	81.7	5.0	5.0	
19	17-07-2009	32.3	76.1	39.3	3.7	62	10-01-2013	30.1	80.4	5.0	3.2	
20	27-08-2009	30.0	80.0	14.0	3.9	63	29-01-2013	30.0	81.6	7.0	4.0	
21	21-09-2009	30.9	79.1	13.0	4.7	64	11-02-2013	31.0	78.4	5.0	4.3	
22	03-10-2009	30.0	79.9	15.0	4.3	65	17-02-2013	30.9	78.4	10.0	3.2	
23	06-12-2009	35.8	77.3	60.0	5.3	66	01-05-2013	33.1	75.8	15.0	5.8	
24	11-01-2010	29.7	80.0	15.0	3.9	67	05-09-2013	30.9	78.5	11.0	3.5	
25	22-02-2010	30.0	80.1	2.0	4.7	68	11-11-2013	28.5	77.2	10.0	3.1	
26	24-02-2010	28.6	76.9	17.0	2.5	69	11-11-2013	28.4	77.2	11.0	2.8	
27	14-03-2010	31.7	76.1	29.0	4.6	70	11-11-2013	28.4	77.2	12.0	2.5	
28	03-05-2010	30.4	78.4	8.0	3.5	71	11-11-2013	28.4	77.2	13.0	3.1	
29	28-05-2010	31.2	77.9	43.0	4.8	72	16-04-2013	28.0	62.1	16.0	7.8	
30	31-05-2010	30.0	79.8	10.0	3.6	73	04-06-2013	32.7	76.7	18.0	4.8	
31	06-07-2010	29.8	80.4	10.0	5.1	74	05-06-2013	32.8	76.3	10.0	4.5	
32	10-07-2010	29.9	79.6	10.0	4.1	75	09-07-2013	32.9	78.4	10.0	5.1	
33	26-01-2011	29.0	77.2	10.0	3.2	76	13-07-2013	32.2	76.3	10.0	10.0	
34	14-03-2011	30.5	79.1	8.0	3.3	77	15-07-2013	32.6	76.7	30.0	4.4	
35	18-02-2011	28.6	77.3	5.0	2.3	78	02-08-2013	33.5	75.5	20.0	5.4	
36	09-02-2011	30.9	78.2	10.0	5.0	79	29-08-2013	31.4	76.1	10.0	4.7	
37	04-04-2011	29.6	80.8	10.0	5.7	80	20-10-2013	35.8	77.5	80.0	5.5	
38	15-06-2011	30.6	80.1	10.0	3.6	81	25-12-2013	31.2	78.3	10.0	4.0	
39	20-06-2011	30.5	79.4	12.0	4.6	82	17-06-2014	32.2	76.1	10.0	4.1	
40	23-06-2011	30.0	80.5	5.0	3.2	83	21-08-2014	32.3	76.5	10.0	5.0	
41	28-07-2011	33.3	76.0	21.0	4.4	84	29-11-2015	30.6	79.6	15.0	4.0	
42	07-09-2011	28.6	77.0	8.0	4.2	85	25-09-2016	30.0	79.5	11.0	3.7	
43	21-09-2011	30.9	78.3	10.0	3.1	86	01-12-2016	30.6	79.6	19.0	4.0	

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612 **Table 3: List of Earthquakes and the corresponding stations considered for the estimation of path**
613 **parameter.**

Earthquake Event	Stations
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25-11- 2007	HGR, NDI, CRRI, PAL, REW, NDI , CRRI, LDR, JAFR, IIT
19-08-2008	CHP, PTH, KAP, MUN
04-09- 2008	MUN, CHP, PTH, DHA , KAP, GHA, JSH
01-05-2009	MUN, BAG, KAP, GAR, CHM
17-07-2009	DHA, KLG
27-08-2009	KAP, BAG, MUN
03-08-2009	KAP, CHP, BAG
11-01-2010	PTH, CHP, DHA
22-02-2010	KAP, BAG, DHA, ROO, UDH
24-02-2010	RGD, IGN, ROH, DJB, CHP, ANC, JAMI, GGI, DLU, DCE
14-03-2010	DEH, JUB, SND, BHA, HAM, GAR, JAL, KAP, AMB, ROO
03-04-2010	THE, BAR, DNL, ROO
28-05-2010	JUB, BAR, ROO, UNA
06-06-2010	MUN, CHP
10-07-2010	BAG, KAP, GAR, ROO
18-02-2011	DJB, ANC
09-02-2011	UTK, SND, KUL, CKR
04-04-2011	JSH, CHP, PTI, PTH, ALM, DDH, BAG, DHA, GAR, MUN, RUD, THE, CHM, BAR, SND, KOT, DNL, LDR, ROO, TAN, KHA, UDH, KSH, DUD
12-03-2012	GGI, ANC, DLU, DCE
27-03-2012	ARI, ANC
05-03-2012	JAFR, JNU, DJB, IMD, PLW, GUR, NOI, NTPC, ANC, IIT, NSIT, ZAKI, ROO, RGD, GGI, DLU, DCE, ALIP, SON, BAR, KAI, NKD,
02-10-2012	CHA, RAM
11-11-2012	CHA, CHP, PTH
27-11-2012	UTK, THE, DNL, CKR
02-01-2013	CHP, PTI, PTH
09-01-2013	CHP, PTI, PTH, TAN
11-02-2013	UTK, ROO
11-11-2013 (19:11:18)	NTPC, IGN, JNU, DJB, IMD, VCD, IGI, RGD, GGI, DLU, DCE, ALIP
11-11-2013 (22,10,42)	IGN, JNU, DJB, VCD, RGD, DCE, ALIP
11-11-2013 (20:11:30)	IGN, JNU, DJB, VCD, RGD, GGI, DLU, DCE
29-08- 2013	GSK, RAM, ROO, NKD, ANS, KAT
25-09-2016	UKMB, CMBB, GDRI, DURD

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Table 4: Resulting parameters of eq. 7.

		$Q_0 = \frac{(\pi f)}{(\beta m)}$
f (Hz)	m	
(1)	(2)	(3)
0.50	0.0095	51.65
1.00	0.0101	97.15
1.75	0.0115	149.32
2.50	0.0096	255.53
3.12	0.0089	344.54
3.57	0.0093	376.67
4.50	0.0098	450.57
5.00	0.0074	663.01
5.50	0.0089	606.39
6.25	0.0084	730.09
7.14	0.011	636.92
8.00	0.013	603.84
10.00	0.0172	570.49
11.76	0.0124	930.60

12.50	0.0102	1202.51
13.33	0.0098	1334.70
14.28	0.0115	1218.46
15.00	0.0108	1362.85

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Table 5: f_{peak} , A_{peak} and V_{s30} values for 27 stations located in Terai region of Uttarakhand and Delhi region.

Station Code	GINV		
	f_{peak} (Hz)	A_{peak}	V_{s30} (m/s)
IGN	3.6	2.6	493*
JNU	6.5	2.05	565*
DJB	5.22	3.2	543*
NDI	6.8	2.5	493*
IMD	6	2	543*
NTPC	2.8	3.6	345*
ANC	4.6	3.2	564*
JAMI	4.7	3.15	346*
LDR	0.7	4.32	270*
VCD	5.6	2.7	550*
IIT	4.3	2.94	332*
NSIT	2.4	2.5	391*
RGD	2.3	2.07	346*
DLU	1.81	3.2	323*
DCE	3.78	3.1	328*
IGI	2.2	2.4	360*
ZAKI	3	3.49	337*
ROI	2.3	3.24	303*
ALIP	1.4	3.09	338*
DUN	2.9	5.8	289**
KHA	1.3	4.2	218**
KSK	3.13	3.75	208**
RIS	3.8	3	331**
ROO	1.16	4.35	218**
TAN	5.4	3.4	434**
UDH	2.74	6.9	198**
VIK	2.29	3.8	424**

**Pandey et al., 2016a; * Pandey et al., 2016b

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