



1 Risk Zoning of Typhoon Disasters in Zhejiang Province, China

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9 **Abstract** We analyze the characteristics of typhoon disasters and the factors causing them using
10 precipitation and wind data at the county level in Zhejiang Province from 2004 to 2012. Using
11 canonical correlation analysis, we develop an intensity index for the factors causing typhoon disasters
12 and calculate a population vulnerability index for Zhejiang by principal components analysis.
13 Combining these two indexes, a comprehensive risk index for typhoon disasters is obtained and used to
14 zone areas of risk in Zhejiang Province. Southeastern Zhejiang Province is the area most affected by
15 typhoon disasters. The frequency ratio of daily rainfall >50 mm decreases from the southeast coast to
16 inland areas and is at a maximum in the boundary region between Fujian and Zhejiang, which has the
17 highest risk of rainstorms. Southeastern Zhejiang and the boundary region between Zhejiang and
18 Fujian and the Hangzhou Bay area are most frequently affected by extreme winds and have the highest
19 risk of wind damage. The population of southwestern Zhejiang Province is the most vulnerable to
20 typhoons as a result of the relatively undeveloped economy in this region, the mountainous terrain and
21 the high risk of geological disasters. Vulnerability is lower in the cities and coastal areas due to better
22 disaster prevention and reduction strategies and a more highly educated population. The southeast
23 coastal areas face the highest risk, especially in the boundary region between Taizhou and Ningbo cities.
24 Although the inland mountainous areas are not directly affected by the typhoons, they are in the
25 medium-risk category for vulnerability.

26 **Keywords:** typhoon disasters, disaster-causing factors, vulnerability, comprehensive risk index, risk
27 zoning

28 1 Introduction

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29 Typhoons cause some of the most serious natural disasters in China, with an average annual direct
30 economic loss of about \$9 billion. The arrival of a typhoon is often accompanied by heavy rain, high
31 winds and storm surges, with the main impacts in southern coastal areas of China (Zhang, 2009).
32 Zhejiang Province is seriously affected by typhoons—for example, in 2006, the super-typhoon Sang
33 Mei caused 153 deaths in Cangnan county of Wenzhou city, with 11.25 billion yuan of direct economic
34 losses. Therefore it would be of practical significance to develop a system for the risk assessment of
35 typhoon disasters in Zhejiang Province.

36 Major risk assessment models include the disaster risk index system of the United Nations
37 Development Program (global scale, focusing on human vulnerability), the European multiple risk
38 assessment (with an emphasis on the factors causing disasters and vulnerability) and the American
39 HAZUS-MH hurricane module and disaster risk management system. Vickery et al. (2009) and Fang et
40 al. (2012, 2013) have reviewed the factors causing typhoon disasters. Rain and wind are direct causes
41 of typhoon disasters (Mille, 1958; Emanuel, 1987, 1988, 1995; Holland, 1997; Kunreuther, 1998);
42 stronger typhoons produce heavier rain and stronger winds, resulting in a greater number of casualties
43 and higher economic losses. Much of the research on the causes of typhoon disasters uses a grade index
44 and the probability of occurrence (Yang, 2010; Chen, 2011; Su, 2008; Ding, 2002; Chen, 2007).

45 In terms of vulnerability, Pielke (1998, 2008) combined the characteristics of typhoons and
46 socioeconomic factors, suggesting that both the vulnerability of the population and economic factors
47 were important in estimating disaster losses. The vulnerability of a population is a pre-existing
48 condition that influences its ability to face typhoon disasters. Among the most widely used index is the
49 Social Vulnerability Index (SoVI) (Cutter, 2003; Chen, 2014). Other researches have focused on the
50 vulnerability of buildings, obtaining a fragility curve by combining historical loss with the
51 characteristics of buildings and the typhoon (Hendrick, 1966; Howard, 1972; Friedman, 1984; Kafali,
52 2009; Pita, 2014). Studies in China have assessed vulnerabilities to typhoon disasters (Yin, 2010; Niu,
53 2011). An evaluation index for the assessment of disaster losses was established based on the number
54 of deaths, direct economic losses, the area of crops affected and the number of collapsed houses. This
55 index was used to construct different disaster assessment models (Liang, 1999; Lei, 2009; Wang,
56 2010).

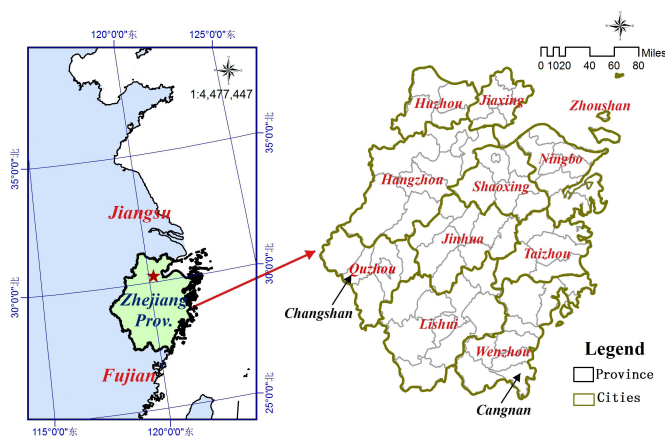
57 Previous studies have concentrated on semi-quantitative, large-scale research, with less emphasis



58 on quantitative research at the county level based on large amounts of accurate data. In addition,
59 assessments of typhoon disaster in China have paid more attention to disaster losses and few studies
60 have focused on a comprehensive risk assessment of typhoon disasters coupled with the factors
61 contributing to the disaster and the vulnerability of populations and infrastructure. We analyzed the
62 characteristics of typhoon disasters, established a comprehensive risk index for typhoon disasters in
63 Zhejiang Province and developed risk zoning for typhoon disasters in this region, which may give
64 some reference for future disaster prevention.

65 2 Study Area

66 This study was carried out in Zhejiang Province (Figure 1) and included 11 cities along the Yangtze
67 River Delta. Zhejiang Province is in the eastern part of the East China Sea and south of Fujian Province,
68 which is one of the most economically powerful provinces in China.



69
70 Figure 1. Maps of Zhejiang Province, China showing location and major cities.

71 3 Data and Methods

72 3.1 Data

73 3.1.1 Typhoon, Precipitation and Wind Data

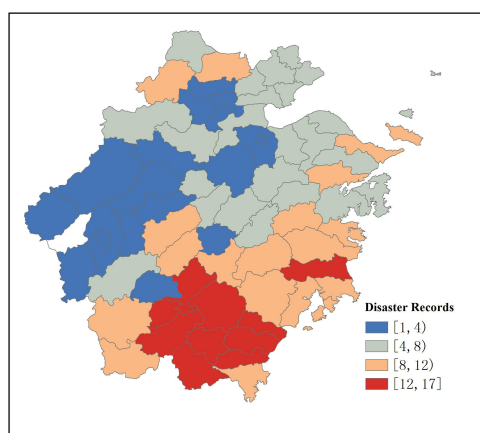
74 The typhoon data used in this study were the best-track tropical cyclone datasets from the Shanghai
75 Typhoon Institute for the time period 1960–2014. Daily precipitation data for 2479 stations during the
76 time period 1960–2013 were obtained from the National Meteorological Information Center. The



77 statistics showed a rapid increase in the number of automated wind measurement stations from 1980.
78 Therefore, to ensure continuity of the data, the wind data used were the daily maximum wind speed at
79 2419 stations provided by the National Meteorological Information Center from 1980 to 2014. The
80 maximum wind speed is given as the maximum of the 10-minute mean.

81 3.1.2 Disaster and Social Data

82 Disaster data for each typhoon that affected Zhejiang Province from 2004 to 2012 were obtained from
83 the National Climate Center and the number of records for each county is shown in Figure 2. Of the 11
84 cities in Zhejiang Province, Wenzhou and Taizhou recorded the most typhoon disasters, with a
85 maximum of 17. Fewer typhoon disasters were recorded in the central and western regions of Zhejiang
86 Province, particularly in Changshan and Quzhou, which may be because the strength of typhoons
87 weakens after landfall. The population data for 2010 were obtained from the sixth national population
88 census of the Population Census Office of the National Bureau of Statistics of China and the 2010
89 statistical yearbooks of each city in Zhejiang Province published by the cities' statistical bureaus. Basic
90 geographical data were obtained from the National Geomatics Center of China.



91
92 Figure 2. Number of records of typhoon disasters by county in Zhejiang Province from 2004 to 2012.

93 3.2 Methods

94 3.2.1 Objective Synoptic Analysis Technique

95 The widely used objective synoptic analysis technique (OSAT) proposed by Ren (2001, 2011) was used



96 to distinguish the precipitation due to typhoons. This method has a high recognition ability. Lu (2016)
97 improved the OSAT method and applied it to identify typhoon winds. We used the OSAT method to
98 distinguish typhoon precipitation and winds from 2004 to 2012.

99 **3.3.2 Canonical Correlation Analysis**

100 We used the canonical correlation analysis method proposed by Hotelling (1992) to determine the
101 relationship between the affected population, the rate of economic damage, and typhoon precipitation
102 and winds.

103 **3.2.3 Data Standardization**

104 We adopted two methods: Z-score standardization and MIN-MAX standardization. The Z-score
105 standardized method is based on the mean and standard deviation of the raw data. The MIN-MAX
106 standardization is a linear transformation of the original data so that the original value maps the interval
107 [0, 1].

108 **3.2.4 Vulnerability Assessment**

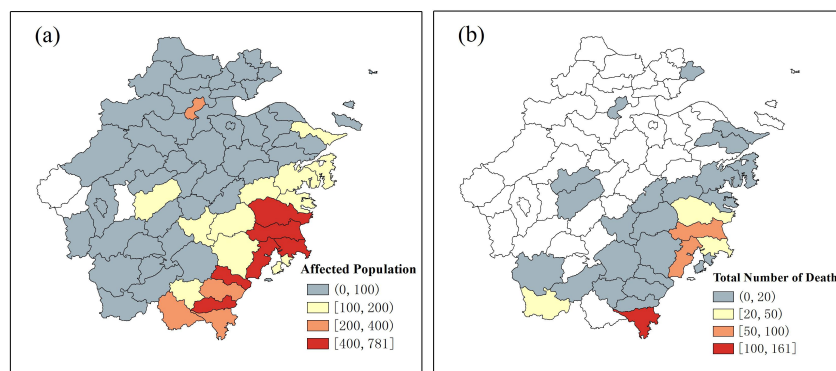
109 Based on the SoVI designed for disaster social vulnerability in America, Chen (2014) collected 29
110 variables as proxies to build a set of vulnerability indexes for the social and economic environment in
111 China. We used this method to calculate the population vulnerability index for Zhejiang Province.

112 **4 Typhoon Disaster Losses and Causation Factors in Zhejiang Province**

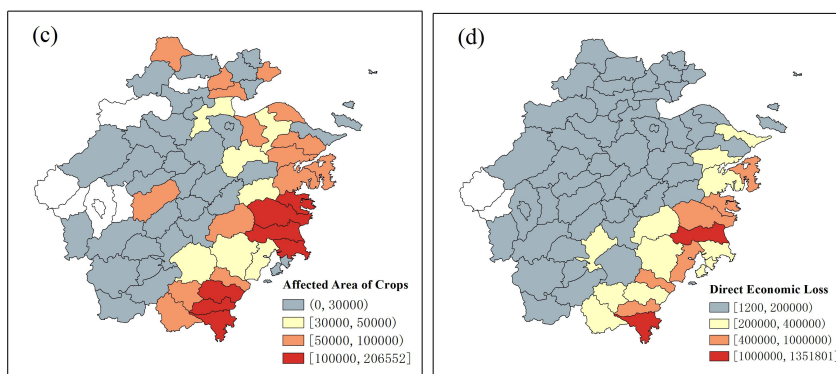
113 Based on the distribution of typhoon disaster losses in Zhejiang Province from 2004 to 2012 (Figure 3),
114 the affected areas were mainly located in the southeast corner of the province. The centers with the
115 largest affected population (Fig. 3a), the largest area of affected crops (Fig. 3c) and the highest direct
116 economic losses (Fig. 3d) were in Wenzhou and Taizhou cities, although the losses in Ningbo City were
117 also relatively high. Only part of the plain area was affected by serious agricultural disasters; the other
118 losses were far lower than in the southeast of Zhejiang Province. Cangnan in Wenzhou City was the
119 most severely affected, with the highest cumulative death toll (Fig. 3b). The losses in the affected
120 counties were associated with the frequency and intensity of the typhoons. We therefore analyzed the
121 risk of typhoon precipitation and winds in every county in Zhejiang Province to provide a reference
122 dataset for the factors responsible for typhoon disasters.



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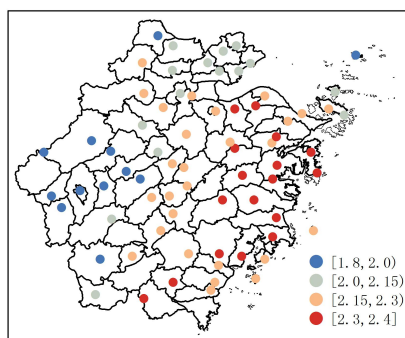
125 Figure 3. Distribution of typhoon disaster losses in Zhejiang Province from 2004 to 2012. (a) Affected
126 population (unit: millions); (b) total number of deaths (unit: person); (c) area of affected crops (unit:
127 hectares); and (d) direct economic losses (unit: millions yuan).

128 4.1 Risk of Typhoon Rainstorms

129 The main hazard of typhoon precipitation is concentrated precipitation, so the average number of days
130 of typhoon precipitation at each site in Zhejiang Province was counted from 1960 to 2013 (Figure 4).
131 The duration of typhoon rainfall was less in inland areas, especially in Quzhou City. Persistent
132 precipitation was concentrated in Wenzhou, Taizhou and Ningbo cities, where there may have been a
133 higher risk of typhoon disasters. Based on the probability of typhoon rainstorms occurring in each
134 county in Zhejiang Province (Figure 5), we found that the annual probability of the occurrence of
135 typhoon rainstorms was highest over the southeast coast of Zhejiang Province from 1960 to 2013,
136 especially in Taizhou City, where the annual probability was 17%. The annual probability of typhoon
137 rainstorms with precipitation >100 mm was lower, but the distribution of probability was consistent

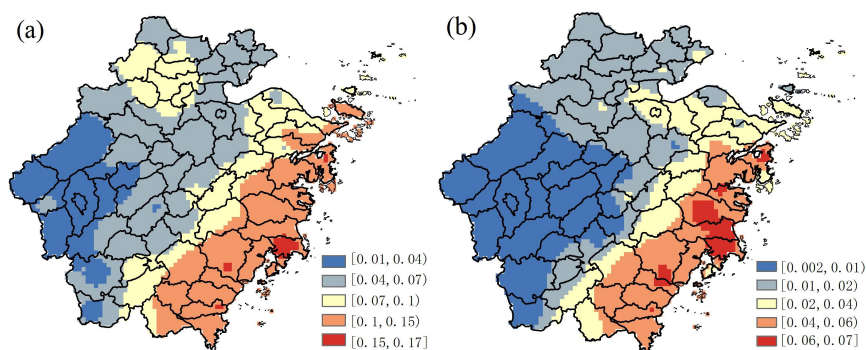


138 with the rainstorms with lower precipitation. The probability of torrential rainstorms decreased rapidly
139 in the western and central regions of Zhejiang Province, although the range increased. There were three
140 centers of high risk: Taizhou, Wenzhou and Ningbo cities.



141

142 Figure 4. Average number of days with typhoon precipitation at each site in Zhejiang Province from
143 1960 to 2013.



144

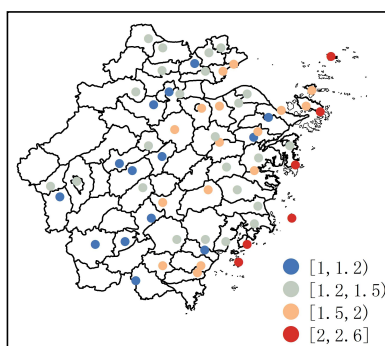
145 Figure 5. Probability of typhoon rainstorms in Zhejiang Province: (a) rainstorms with >50 mm of
146 precipitation; and (b) torrential rainstorms with >100 mm of precipitation.

147 4.2 Risk of Typhoon Winds

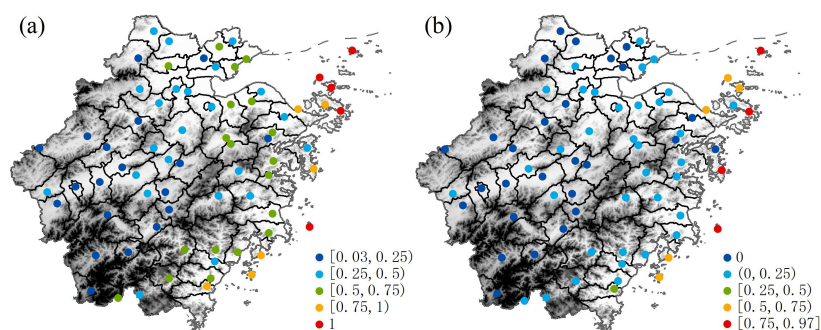
148 The average duration of typhoon winds was calculated over six sites in Zhejiang Province (Figure 6).
149 The duration of typhoon winds was relatively short in the central and western regions and the typhoon
150 winds were concentrated in the coastal areas of Wenzhou, Taizhou and Ningbo cities. The longest
151 duration of typhoon winds occurred over the offshore islands.



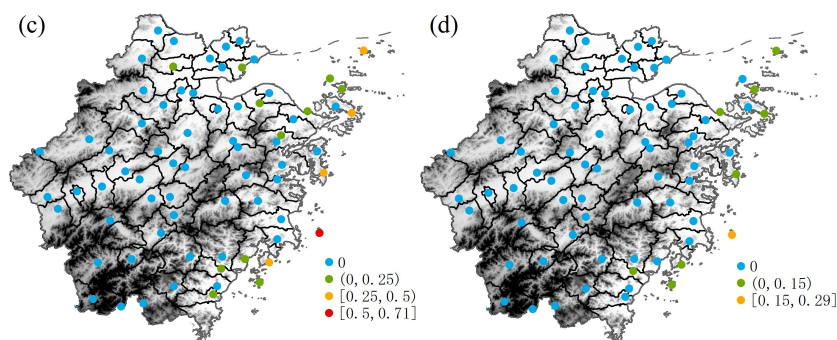
152 The main hazard from typhoon winds is manifested in the destructive force of strong winds and
 153 therefore we estimated the probability of the annual occurrence of typhoon winds at or above grades 6
 154 and 12 at each monitoring station from 1980 to 2014 (Figure 7). Typhoon winds at or above grade 6
 155 mainly occurred along the coastal areas of Zhejiang Province, but were rare in the mountainous areas.
 156 The probability of typhoon winds at or above grade 8 was lower along the coast of Zhejiang Province,
 157 although still much higher than in the interior, with a probability of up to 75% in Hangzhou Bay and
 158 over some islands. Typhoons with wind speeds of grade 10 or 12 were much less likely and were only
 159 seen in the coastal areas and islands, with a rapidly decreasing probability of occurrence from 71
 160 29%. The areas at high risk of typhoon winds were consistent with those with high typhoon rainfall, i.e.
 161 Wenzhou, Taizhou and Ningbo cities. The risk of extreme winds associated with typhoons is much
 162 higher in coastal areas than inland.



163
 164 Figure 6. Average duration (days) of typhoon winds at each site in Zhejiang Province from 1960 to
 165 2013.



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Figure 7. Probability of typhoon winds in Zhejiang Province at (a) grade 6 or above, (b) grade 8 or above, (c) grade 10 or above and (d) grade 12 or above.

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5 Risk Assessment and Regionalization of Typhoon Disasters in Zhejiang

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Province

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5.1 Intensity Index for Factors Causing Typhoon Disasters

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The main factors causing typhoon disasters are rainstorms, winds and storm surges. The level and

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intensity of a single causative factor cannot fully represent and describe the impact. Therefore we

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established a comprehensive intensity index that included a number of different factors involved in

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typhoon disasters. Taking the county as a unit, we selected all the typhoons that affected the population

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of Zhejiang Province from 2004 to 2012. The total precipitation and daily maximum wind speed during

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typhoons measured in each county were used to describe the factors causing typhoon disasters. Using

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canonical correlation analysis, we determined the impact of typhoon precipitation and winds on the

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population. We then carried out canonical correlation analysis for all the typhoons that caused direct

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economic losses in Zhejiang Province from 2004 to 2012 (Table 1). The effect of typhoon precipitation

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on both the population and direct economic losses was always greater than that of typhoon winds. By

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averaging typical coefficients for both precipitation and wind, weighted coefficients of 0.85 and 0.65

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were obtained within the intensity index for precipitation and winds, respectively.

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Table 1. Canonical correlation analysis of factors causing typhoon disasters.

Disastes	Canonical correlation coefficient	Canonical variable coefficient	
		Typhoon	Typhoon wind



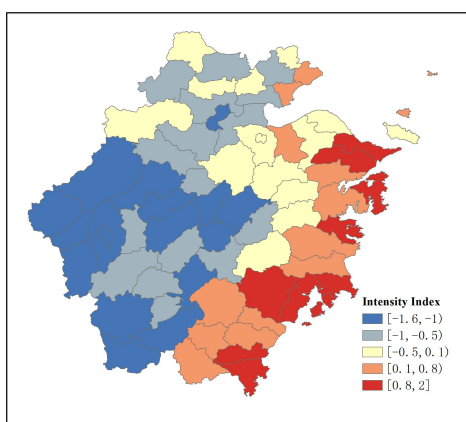
	precipitation		
Affected population	0.45	0.84	0.651
Direct economic losses	0.477	0.863	0.655

187

188 Based on the weight coefficients in Table 1, an intensity index of factors causing typhoon
 189 disasters was established:

190
$$I = Ax + By \tag{1}$$

191 where I is the intensity index of factors causing typhoon disasters, X is the standard typhoon
 192 precipitation and Y is the maximum wind speed of the typhoon. A and B are the weighted coefficients
 193 for typhoon precipitation and typhoon winds, respectively. Using Equation (1), we calculated the
 194 intensity indexes of typhoons at each station (Figure 8). Based on the distribution of these average
 195 intensity indexes, we found three high value centers, namely Wenzhou, Taizhou and Ningbo cities,
 196 consistent with the results of Chen et al. (2011).



197

198 Figure 8. Intensity indexes of factors causing typhoon disasters at each station in Zhejiang Province.

199 **5.2 Population Vulnerability Index in Zhejiang Province**

200 Natural disasters are social constructions and the basic causes of losses are the attributes of human
 201 beings and their social system (Jiang 2014). We used the index system of Chen et al. (2011) to evaluate
 202 the vulnerability of Zhejiang Province. Based on the extracted population information, 29 variables



203 were identified that may affect social vulnerability (Table 2).

204 Table 2. The 29 variables affecting social vulnerability in Zhejiang Province.

	Variable
1	Per capita disposable income of urban residents (yuan)
2	Percentage of women
3	Percentage of minority ethnic groups
4	Median age
5	Unemployment rate (calculated – unemployed population/(unemployed + total population))
6	Population density
7	Percentage of urban population
8	Percentage of non-agricultural household population
9	Percentage of households living in rented houses
10	Percentage of employees working in primary industries and mining
11	Percentage of employees working in secondary industries
12	Percentage of employees working in tertiary industries
13	Household size (no. of people/household)
14	Percentage of population with college degree (≥ 25 years old)
15	Percentage of population with high school degree (≥ 20 years)
16	Percentage of illiterate people (≥ 15 years)
17	Population growth rate (2000–2010)
18	Average number of rooms per household
19	Per capita housing construction area (m^2/person)



20	Percentage of premises without tap water
21	Percentage of premises without a kitchen
22	Percentage of premises without a toilet
23	Percentage of premises without a bath
24	Number of beds per 1000 people in health care institutions
25	Number of medical personnel per 1000 resident population
26	Percentage of population <5 years
27	Percentage of population ≥65 years
28	Population dependency ratio (%)
29	Percentage of population covered by subsistence allowances

205 After performing factor analysis of the 29 variables, seven components with an eigenvalue >1
206 were extracted (Table 3). The first component, which reflects the income of the population and the
207 employment situation, contributed 30.1% of the total variance. This factor is positive because the more
208 property there is in an area, the higher the vulnerability to damage. The second component, which
209 reflects the level of education of the population, contributes 15.6% of the total variance. This factor is
210 negative because if the level of education is higher, then the population's awareness of disaster
211 prevention and reduction is greater and their vulnerability will be lower. The third component, which
212 reflects the number of dilapidated houses, contributes 8.7% of the total variance. This factor plays a
213 positive part in vulnerability. The fourth component, which reflects the amount of illiteracy and the
214 number of young people, is positive and represents 8.4% of the total variance. The fifth component,
215 which reflects the household size and the percentage of women, explains 7.7% of the total variance and
216 is positive. The sixth component, which reflects the number of people from ethnic minorities, explains
217 6.1% of the total variance and is positive. The seventh component, which represents 5.3% of the total
218 variance, reflects the unemployment rate and the housing area and is positive.

219 The total variance explained by these seven components is up to 81.9%, which can be used to
220 represent the vulnerability of the population of Zhejiang Province. The distributions of the first
221 (positive) component and the second (negative) component are shown in Figure 9. Areas with a low



222 employment rate have a high vulnerability, but the vulnerability is low in urban areas with higher levels
223 of education. The seven components thus represent the real situation of the vulnerability of the
224 population in Zhejiang Province to the effect of typhoons. The vulnerability of the population in
225 Zhejiang Province (SoVI) was calculated as:

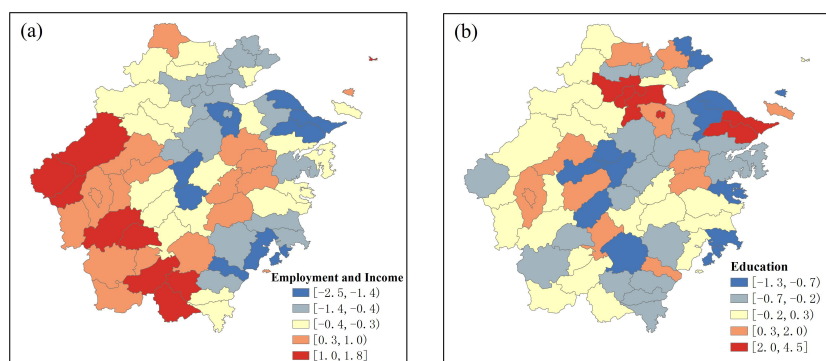
$$226 \quad \text{SoVI} = \text{factor 1} - \text{factor 2} + \text{factor 3} + \text{factor 4} + \text{factor 5} + \text{factor 6} + \text{factor 7} \quad (2)$$

227 By calculating the vulnerability indexes of each county, we obtained the distribution of
228 population vulnerability in Zhejiang Province (Figure 10). The areas with high vulnerabilities are
229 mountainous regions where the economy is relatively undeveloped, whereas the vulnerability is low in
230 coastal areas, such as Hangzhou and Huzhou cities, where there is a greater awareness of disaster
231 prevention and reduction and houses are of high quality.

232 Table 3. The seven components extracted by principal component analysis.

Component	No. of drivers	Name	Sign
1	14	Employment and poverty	(+)
2	6	Education	(-)
3	3	Number of dilapidated houses	(+)
4	4	Illiteracy and juvenile population	(+)
5	4	Household size and ratio of women	(+)
6	2	Ethnic minority	(+)
7	2	Unemployment and housing size	(+)

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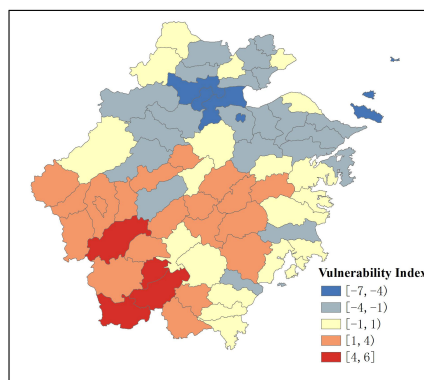


234

235 Figure 9. Distribution of population vulnerability index of (a) factor 1 (employment and income)

236

and (b) factor 2 (education).



237

238 Figure 10. Distribution of population vulnerability index of counties in Zhejiang Province.

239 5.3 Comprehensive Risk Index for Typhoon Disasters and Zoning of Zhejiang Province

240 The typhoon disaster risk assessment system considers the factors causing disasters, the vulnerability of
 241 the population and the environment. The comprehensive risk index for typhoon disasters is obtained by
 242 combining the factors causing disasters and vulnerability, but does not take the sensitivity of the
 243 environment into account. After standardizing the intensity index of the factors causing typhoon
 244 disasters and the population vulnerability index, the typhoon disaster comprehensive risk index (R) in
 245 Zhejiang Province was obtained as follows:

$$246 \quad R = \text{intensity index of factors causing typhoon disasters } (I) \times \text{vulnerability index (SoVI)} \quad (3)$$

247 Based on the comprehensive risk index, we defined five risk zones for typhoon disasters in

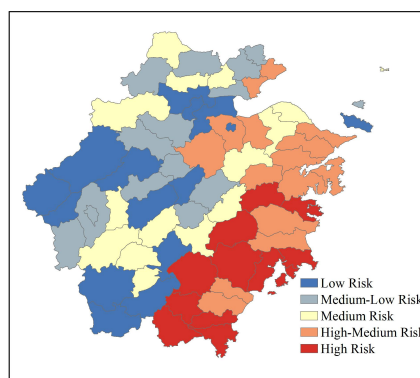


248 Zhejiang Province (Table 4).

249 Table 4. Disaster risk index and grade.

Risk grade:	High	High–medium	Medium	Medium–low	Low
Risk index:	≥ 0.3	0.18–0.3	0.13–0.18	0.07–0.13	≤ 0.07

250 The index gave a good reflection of the distribution of typhoon disasters in Zhejiang Province
 251 (Figure 3), especially in the southeastern coastal areas. The risk zoning of typhoon disasters in Zhejiang
 252 Province is shown in Figure 11. The southeast coastal areas face the highest risk, especially in the
 253 boundary regions between Zhejiang and Fujian, and Taizhou and Ningbo cities. Overall, the risk of
 254 typhoon disasters decreases from the coast to inland areas. Cities are at medium to low risk as a result
 255 of their developed economy, high-quality houses and better educated population. The inland
 256 mountainous areas have a high vulnerability and, although they are not directly affected by typhoons,
 257 they are still in the middle risk areas as a result of their poorly developed economy.



258

259 Fig. 11. Risk zoning of typhoon disaster areas in Zhejiang Province.

260 6 Discussion and Conclusions

261 (1) The intensity indexes of factors causing typhoon disasters are highest in Wenzhou, Taizhou
 262 and Ningbo cities, consistent with the risk analysis. A comparison with the distribution of actual
 263 typhoon disasters in Zhejiang Province from 2004 to 2012 shows that the index is a good reflection of
 264 the possibility of typhoon disasters in each county.

265 (2) Seven components were extracted after performing factor analysis of 29 variables affecting



266 social vulnerability. These seven factors represent 81.9% of the total variance and are a good reflection
267 of the index of population vulnerability in Zhejiang Province. Southwestern Zhejiang is the most
268 vulnerable as it has a relatively undeveloped economy, more mountainous areas and a higher risk of
269 geological disasters. Vulnerabilities are lower in cities and coastal areas as a result of better disaster
270 prevention and reduction measures and a better educated population.

271 (3) A comprehensive risk index for typhoon disasters was obtained by combining the factors
272 causing typhoons disasters and vulnerability. Based on the comprehensive risk index, we developed
273 risk zones of typhoon disasters in Zhejiang Province. The southeast coastal areas are at high risk,
274 especially the boundary regions between Zhejiang and Fujian, and Taizhou and Ningbo cities. The risk
275 of typhoon disasters decreases from coastal areas to inland regions. Cities are at medium to low risk
276 because of their developed economy, high-quality houses and better educated population.

277 Although some interesting results have been obtained in this study, there are still some problems that
278 require further study. As a result of the limited data on typhoon disasters in Zhejiang Province, it is
279 currently impossible to give a long time trend for high-resolution typhoon disaster analysis. It is also
280 unclear whether this methodology can be applied to other regions.

281 **Acknowledgments**

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