1 Dear Editor and the reviewers	,
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- 2 We do appreciate your constructive, thoughtful, careful, and helpful comments and suggestions.
- 3 After careful discussions, calculations, and analyses, we finished the preparation of responses to
- 4 you. There are totally three parts: "Response to Reviewer 1", "Response to Reviewer 3", and a
- 5 marked-up version of the manuscript "Risk Zoning of Typhoon Disasters in Zhejiang Province, China".
- 6
- 7 If there are any new comments or suggestions, please let us know.
- 8 Best Regards
- 9 Yi Lu and the coauthors
- 10 11

# **Response to Reviewer 1**

- 13 1. What is typhoon disaster? Many losses along the coastal countries are caused by storm surges 14 and waves. So, how representative do rainfall and wind are?
- 15 We do appreciate the comment.
- 16 (1) For the first question, the typhoon disasters in this paper refer to affected population or direct 17 economic losses caused by typhoons in Zhejiang province. In order to clarify the criteria for typhoon disaster, we have added following definition in the beginning of the abstract. 18
- 19 "a study on risk zoning of typhoon disasters (typhoon disasters in this paper refer to affected
- 20 population or direct economic losses caused by typhoons in Zhejiang province) is carried out."
- 21 (2) For the second question, we explain this from following three aspects.
- 22 A. In this paper, we agree that typhoon disasters usually cause three disasters; heavy rain, high 23 winds and storm surges, which has been pointed out at the beginning of "Introduction".
- 24 B. The reasons for choosing typhoon rain and typhoon wind are mainly based on following two 25 considerations: 1) the typhoon storm surge disaster in Zhejiang province is relatively small 26 compared to the other two disasters; 2) considering the consistency of coastal and inland areas in 27 the study, we finally choose considering the effects of typhoon rain and typhoon wind. Therefore,
- 28 the typhoon rain and typhoon wind in this paper can basically represent the factors causing 29 typhoon disasters.
- 30 C. This is the limitation of the study, and we will explore the role of storm surges in future work.
- 31 (3) To explain this clearly in this paper, we have added the following explanation at the end of 32 section 1:
- 33 "This paper does not consider the impact of storm surges. The factors causing typhoon disasters 34 are represented by typhoon rain and typhoon wind."
- 35 And the following discussions have been added at the end of section 5 "Discussion and 36 Conclusions".
- 37 "This paper mainly considers the effects of typhoon rain and typhoon wind, without considering
- 38 the impact of storm surge. This is the limitation of the study, and we will explore the role of storm
- 39 surges in future work."
- 40

43 Thanks so much for the suggestion. According to the suggestion, "Risk of Typhoon Rainstorms"

<sup>2. &</sup>quot;Risk of Typhoon Rainstorms" shall be "Probability of Typhoon Rainstorms". So does "Risk of 41 42 Typhoon Winds".

all have been changed into "Probability of Typhoon Rainstorms". So does "Risk of TyphoonWinds".

46

3. Risk Assessment (by using hazards, i.e., rainfall, wind and social vulnerability) results shall be
validated with historical losses. How good/valid/bad/invalid is the assessment?

Thanks for your suggestion. In this paper, typhoon disaster comprehensive risk index is obtainedby combining the factors causing typhoon disasters and vulnerability, which is a dimensionless

51 result. Based on the comprehensive risk index, five risk grades for typhoon disasters are defined.

To illustrate the quality of the assessment, the distribution of typhoon disaster losses in Zhejiangprovince from 2004 to 2012 are given at the beginning of section 3.

54 Comparing the high and low value areas of Figure 3 and Figure 11, we find that the index in 55 Figure 11 presents a good reflection of the distribution of typhoon disasters in Zhejiang province 56 (Figure 3), especially in the southeastern coastal areas. The southeast coastal areas face the highest

57 risk, especially in the boundary regions between Zhejiang and Fujian province, and Taizhou and

58 Wenzhou cities. Overall, the risk of typhoon disasters decreases from the coast to inland areas.

59 We have given a conclusion at the beginning of the last paragraph in Section 4.3 to clarify that the60 evaluation results are good.

61

4. Social vulnerability has always been changing along time. The authors developed the index
based on methods of past studies. The question is, how representative of the data (of one year, of
several years) could be? Explanation shall be given. (btw, simplified social vulnerability as an
index can be useful, but it could also be highly misleading for many risk management scenarios.)

66 Thanks for your thoughtful suggestion.

67 The population data used in this paper is obtained from the sixth national population census of the 68 Population Census Office of the National Bureau of Statistics of China and the 2010 statistical 69 yearbooks of each city in Zhejiang province published by the cities' statistical bureaus. The census 70 data is updated every six years, and the 2010 census results are exactly during 2004-2012 which is 71 the research period. Therefore, the population data for 2010 in this paper can basically represent 72 the population vulnerability of this period. In addition, there exist many missing and abnormal 73 records in the original data, which take a long time to be processed. This article focuses on 74 typhoon disaster risk zoning in Zhejiang province, so we didn't discuss the difference of 75 population vulnerability between 2010 to early year. The variation of population vulnerability is 76 an interesting topic. Maybe we can discuss it in future work.

To clarify the representative of the population data used in this study, we have added followingsentences in section 2.1.2 "Disaster and Social Data".

79 "The census data is updated every six years, and the 2010 census results are exactly during80 2004-2012 which is the research period. Therefore, the population data for 2010 in this paper can

81 basically represent the population vulnerability of this period.".

82

# 5. In this paper, there are quite some mis-used/well-defined terms (risk).

84 Thanks very much for the suggestion.

85 According to the suggestion, "Risk of Typhoon Rainstorms" all have been changed into

- 86 "Probability of Typhoon Rainstorms". So does "Risk of Typhoon Winds", and the corresponding
- 87 conclusions and abstracts have been revised. The specific amendments are as follows.

89 Rainstorms". 90 "3.2 Risk of Typhoon Winds" have been changed into "3.2 Probability of Typhoon Winds". The last sentence of section 3.1 have been modified as "There are three centers of high probability: 91 92 Taizhou, Wenzhou and Ningbo cities.". 93 The last two sentences of section 3.2 have been modified as "The areas at high probability of 94 typhoon winds are consistent with those with a high probability of typhoon rain, i.e. Wenzhou, 95 Taizhou and Ningbo cities. The probability of typhoon extreme winds is much higher in coastal 96 areas than inland.". 97 98 99 **Response to Reviewer 3** 100 General Comments: 101 1. Manuscript required a lot of editing for proper English language usage. Some of this reviewer's 102 recommended changes may have changed the intent of the authors. If that is the case, then the 103 sentence or paragraph should be rewritten. 104 We do appreciate the comment. Based on your suggestions, we have rewritten or revised the paper 105 one by one. Details are shown in answers to "Specific Comments". 106 107 2. The study mentions storm surge as one of the three factors affecting "typhoon" disasters, yet 108 there is no assessment of the effects of storm surge. Storm surge kills many more people than wind. 109 If wind intensity is used as a proxy for storm surge, that should be indicated. Tropical cyclone size 110 is an important consideration for storm surge and is sometimes more important than intensity. This 111 should be mentioned as a limitation of the study. 112 Thanks very much for the comments and suggestions. We explain this from following three 113 aspects. 114 A. In this paper, we agree that typhoon disasters usually cause three disasters: heavy rain, high winds and storm surges, which has been pointed out at the beginning of "Introduction". 115 116 B. The reasons for choosing typhoon rain and typhoon wind are mainly based on following two 117 considerations: 1) the typhoon storm surge disaster in Zhejiang province is relatively small 118 compared to the other two disasters; 2) considering the consistency of coastal and inland areas in 119 the study, we finally choose considering the effects of typhoon rain and typhoon wind. Therefore, 120 the typhoon rain and typhoon wind in this paper can basically represent the factors causing 121 typhoon disasters. 122 C. This is the limitation of the study, and we will explore the role of storm surges in future work. 123 In addition, To explain this clearly in this paper, we have added the following explanation in 124 original section 1: "This paper does not consider the impact of storm surges. The factors causing typhoon disasters 125 are represented by typhoon rain and typhoon wind." 126 127 And the following discussions have been added at the end of section 5 "Discussion and 128 Conclusions". 129 "This paper mainly considers the effects of typhoon rain and typhoon wind, without considering 130 the impact of storm surge. This is the limitation of the study, and we will explore the role of storm 3

"3.1 Risk of Typhoon Rainstorms" have been changed into "3.1 Probability of Typhoon

- 131 surges in future work."
- 132

3. The first line of the Introduction equates "typhoon" to "tropical cyclone". The term "tropical
cyclone" generally includes tropical depressions, tropical storms, and typhoons. This should be
clarified at the beginning.

136 Thanks for your comment. According to your suggestion, we have clarified "typhoon" at the 137 beginning of "Abstract". Following sentence has been added.

- 138 "In this paper, typhoon simply means tropical cyclone.".
- 139

140 4. How do you define a disaster? What are the criteria?

141 Thanks for your question. The typhoon disasters in this paper refer to affected population or direct 142 economic losses caused by typhoons in Zhejiang province. In order to clarify the criteria for 143 typhoon disaster, we have added following definition in the first sentence of the abstract.

- 144 "a study on risk zoning of typhoon disasters (typhoon disasters in this paper refer to affected145 population or direct economic losses caused by typhoons in Zhejiang province) is carried out."
- 146

147 5. For consistency, use either "indices" or "indexes".

- 148 Thanks for your comment. According to your suggestion, we use "indexes" uniformly in this149 paper.
- 150
- 151 Specific Comments:
- 152 1. Abstract:

a. Lines 463-464: Doesn't make sense: Do you mean "Assuming that risk signifies probability of

- hazard events and that future probability is the same as historical probability for a specific period,
- 155 we can understand risk by learning from past events." If not, please rewrite the sentence.
- b. Line 465: "...over mainland China during 1980-2014 and disaster and ..."
- 157 c. Line 470: "The above analyses..."
- 158 d. Line 474: Locate Hangzhou Bay on your map.
- e. Lines 475-477: Move this sentence to the end of the paragraph (after line 482).
- 160 Reply:
- 161 a. Your understanding is what we means. Supposing future probability is the same as historical
- 162 probability for a specific period, we can understand risk by learning from past events.
- 163 Suggestion b and c have been applied in this paper.
- 164 d. We have located Hangzhou Bay on the map according to the suggestion.
- 165 e. Considering that we have analyzed population vulnerability before discussing typhoon disaster
- 166 comprehensive risk of Zhejiang province, we tend not to move this sentence to the end of the
- 167 paragraph.
- 168
- 169 2. Introduction:
- 170 a. Lines 486-487: See Iten 3 under General Comments.
- 171 b. Line 490: Delete "the".
- 172 c. Line 497: Delete "had"
- 173 d. Line 504: I believe that this reference is for R. A. Pielke, Jr. (not his father Sr.). Check this out
- 174 here and at lines 857-860 in the reference section.

- 175 e. Lines 521: Consider making two separate sentences: "...disaster loses. Few studies..."
- 176 Reply:
- 177 a. According to your suggestion, we have clarified "typhoon" at the beginning of "Abstract".
- 178 Amendments have been made according to the suggestion b c and e.
- d. Line 504: we have checked this out here and revised Lines 857-860 in the reference section asfollows.
- "Pielke, R. A. J. and Landsea, C. W.: Normalized hurricane damages in the United States: 1925-95,
  Weather & Forecasting, 13(3), 621--631, 1998.
- 183 Pielke, R. A. J., Gratz, J., and Landsea, C. W.: Normalized hurricane damage in the United States:
- 184 1900–2005, Natural Hazards Review, 9(1), 29-42, 2008.".
- 185
- 186 3. Data and Methods:
- a. Line 531: Do you mean "north of" instead of "south of"?
- b. Line 534 and Figure 1: Identify Hangzhou Bay on the map and add to Legend. The legend is
- 189 not as clear as stating "(in red)" after 'major cities" and add "in provinces (in black)". Also, does 190 the scale need to be in kilometers as well as in miles?
- 191 c. Line 540: "...from the National..."
- d. Line 544: ";;;from 2004 to 2012. So when calculating the intensity index of factors
- 193 causing typhoon disasters, the time..."
- e. Lines 547-549: Do you mean: "...(see details in section 3.1 and 3.2), we assume that futureprobability is the same..."? Otherwise, please rewrite.
- 196 f. Line 551: "...period for both. In addition, the Objective Synoptic Analysis Technique (OSAT)
  197 method..."
- 198 g. Line 552: "...details..."
- h. Line 559: "...being 17 at XXXX" ; add either Wenzhou or Taizhou. Also, se General comments
- 200 Item 4.
- 201 i. Where is "Quzhou"; indicate on the map.
- j. Figure 2, line 566: See comment in General Comments Line 4.
- 203 k. Line 572: "...structural analysis of a precipitation...
- 204 l. Line 584: "...combinations..."
- 205 m. Line 595: "...calculating the intensity..."
- n. Line 597: "...calculating the typhoon..."
- 207 o. Lines 592-599: Reference "MIN-MAX standardization" and "Z-score standardization"
- 208 techniques' Explain rationale for selecting the Z-score technique as you did for the MAXMIN 209 technique.
- 210 p. Lines 607-608: Consider: "Based on various SoVIs derived for disaster social vulnerability in
- 211 America, Chen et al. (2014) selected 29 variables as proxies..."
- q. Line 609: Consider: ".... We then use these vulnerability indexes to calculate the
- 213 population..."
- 214 Reply:
- a. Yes, it should be "north", we have modified it.
- b. According to the suggestions, we have redrawn Figure 1.
- 217 Suggestion c d f g h k l m n j p and q have been applied in this paper.
- e. Yes, your understanding is what we mean.

- h. To clarify the criteria for typhoon disaster, we have added following definition in the firstsentence of the abstract.
- 221 "a study on risk zoning of typhoon disasters (typhoon disasters in this paper refer to affected
- 222 population or direct economic losses caused by typhoons in Zhejiang province) is carried out."
- i. "Quzhou" have been indicated on the original map, please check.
- o. To explain rationale for selecting the Z-score technique, we have rewrote sentence "The Z-score
- standardized method is based on the mean and standard deviation of the raw data.".
- "The Z-score standardized method is based on the mean and standard deviation of the raw data,which is prepared for CCA method.".
- 228
- 229 4. Typhoon Disaster Losses and Factors:
- a. Page 19: Discuss Figure 3c and 3d in the text. Do not need the term "unit" in the caption.
- b. Line 630: Locate "Quzhou city" on the map.
- c. Line 632: "...typhoon disasters, "typhoon rainstorm" means...and "torrential rainstorm"
   means..."
- d. Lines 652-661: I assume that "grades" pertains to some kind of typhoon wind damage scale. Is
- that correct. In any event, you need to define wind values for Grades 6, 8, 10, and 12.
- 236 e. Line 661: "...and are only..."
- f. Line 663: Do you mean: "...with those with a high risk of typhoon..."
- g. Line 667: Rewrite: "...typhoon winds of (a) grade 6 or above, (b) grade 8 or above, (c) grade 10
  or above, and (d) grade 12 or above."
- 259 of above, and (u) grade 12 o
- 240 Reply:
- a. According to the suggestions, we have added following discuss of Figure 3c and 3d in the text.
- 242 "According to the statistical yearbooks of each city in Zhejiang province, Jiaxing, Shaoxing,
  243 Hangzhou in the northeast, and Wenzhou, Jinhua and Taizhou in the southwest are the regions
  244 with the largest agricultural planting area, with more agricultural population in the southwest.
  245 Only parts of the plain area were affected by serious agricultural disasters in the northeast. The
  246 agricultural disaster areas in the southwest are wider. (Fig. 3c). According to the main indicators of
- 247 Zhejiang's national economy (total GDP and per capita GDP), the central cities such as Hangzhou
- in the northeast had the most developed economy, and the urban economies of Wenzhou and
- 249 Taizhou in the southwest were also relatively good. However, the economic losses in southwestern
- 250 Zhejiang are severe, much higher than in the northeastern cities. (Fig. 3d).".
- b. "Quzhou" have been indicated on the original map, please check.
- c. To clarify the criteria for typhoon disaster, we have added a definition in the first sentence of theabstract.
- d. and g. According to national standards of China for tropical cyclone ratings (GBT 19201-2006),
- tropical cyclones are classified into six grades according to the maximum wind speed on the ground near the center, including Grade 6-7, Grade 8-9, Grade10-11, Grade 12-13, Grade 14-15
- and Grade 16 or above. In this paper, we give 4 grades to describe typhoon winds in Zhejiang province. To clarify these grads, we have added definitions in Figure 7 as follows.
- 259 "Figure 7. Probability of the occurrence of typhoon winds in Zhejiang province at (a) grade 6 or
- above ( $\geq 10.8$  m/s), 672 (b) grade 8 or above ( $\geq 24.5$  m/s), (c) grade 10 or above ( $\geq 32.7$  m/s) and
- 261 (d) grade 12 or above ( $\geq 41.5 \text{ m/s}$ ) ".
- 262 Suggestion e and f have been applied in this paper.

- 263
- 264 5. Risk Assessment and Regionalization of Typhoon Disasters
- a. Line 676: Are factors and hazards used interchangeably? Storm surge is mentioned again as a
  "main factor", yet there is not storm surge losses or assessments in then study.
- 267 b. Line 680: "...includes..."
- 268 c. Table 1, line 690, first row of Table: Spelling/typo: "Disasters"
- d. Lines 699-700: "...and Ningbo cities are identified, which is consistent with the nresults ofChen et al. (2011)...."
- e. Line 708, Table 2: Define how "primary", "secondary' and "tertiary" industries differ.
- f. Lines 744 and 766, Figures 10 and 11: Why is the island northeast of Ningbo city of "low risk",while Ningbo is medium to high risk?
- g. Lines 777-778: "...and a better educated population."
- 275 h. Line 787: "...further study...."
- 276 Reply:
- a. Yes, factors and hazards here can used interchangeably. However, we use "factors" uniformly in
  this part, which represent typhoon precipitation and typhoon wind causing disasters.
- For the second question, this paper mainly considers the effects of typhoon precipitation and
  typhoon wind, without considering the impact of storm surge, which have been answered in
  General Comments 2. To make it clear, we modified this sentence as follows.
- 282 "The main factors causing typhoon disasters, which are considered in this study, are rainstorms283 and winds.".
- 284 Suggestion b c d and g h have been applied in this paper.
- e. The definitions of "primary", "secondary' and "tertiary" industries are formulated in accordance
  with the National Economic Industry Classification (GB/T4754-2002). This is a consensus, so it is
  not specified in the Table 2. The primary industry refers to agriculture, forestry, animal husbandry
  and fishery. The secondary industry refers to the mining, manufacturing, electricity, gas and water
  production and supply industries, and the construction industry. The tertiary industry refers to
  other industries except the primary and secondary industries.
- f. The island northeast of Ningbo city is Zhoushan city. Typhoon disaster comprehensive risk index is obtained by combining the factors causing typhoon disasters and population vulnerability, and these two indexes in Ningbo city are higher than in Zhoushan. According to the statistical yearbooks of each city in Zhejiang province, the population density of Ningbo City is much larger than that of Zhoushan, where population was more vulnerable. In addition, Ningbo city was more affected by typhoon and precipitation and typhoon wind in 2004-2012. So Zhoushan city is low risk, while Ningbo is medium to high risk.
- 298
- 299 6 References:
- 300 a. Lines 798, 846, and 888: Capitalize: "China".
- 301 b. Line 859: Capitalize "United States"
- 302 c. Lines 857-860 Check Pielke Jr vice Pielke (the father).
- 303 Reply:
- 304 Suggestions have been applied in this paper.
- 305
- 306

# **Risk Zoning of Typhoon Disasters in Zhejiang Province, China**

308

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   313 Science & Technology, Nanjing 210044, China
- 314

Abstract In this paper, typhoon simply means tropical cyclone. As risk is future probability of hazard 315 316 events, when suppose future probability is the same as historical probability for a specific period, we 317 can understand risk by learning from past events. Based on precipitation and wind data over the 318 mainland of China during 1980 - 2014 and , disaster and social data at the county level in Zhejiang 319 province from 2004 to 2012, a study on risk zoning of typhoon disasters – (typhoon disasters in this 320 paper refer to affected population or direct economic losses caused by typhoons in Zhejiang province) 321 is carried out. Firstly, characteristics of typhoon disasters and factors causing typhoon disasters are 322 analyzed. Secondly, an intensity index of factors causing typhoon disasters and a population 323 vulnerability index are developed. Thirdly, combining the two indicesindexes, a comprehensive risk 324 index for typhoon disasters is obtained and used to zone areas of risk. The aAbove analyses show that, 325 southeastern Zhejiang is the area most affected by typhoon disasters. The annual probability of the 326 occurrence of typhoon rainstorms >50 mm decreases from the southeast coast to inland areas, with a 327 maximum in the boundary region between Fujian and Zhejiang, which has the highest risk of 328 rainstorms. Southeastern Zhejiang and the boundary region between Zhejiang and Fujian province and 329 the Hangzhou Bay area are most frequently affected by typhoon extreme winds and have the highest 330 risk of wind damage. The population of southwestern Zhejiang is the most vulnerable to typhoons as a 331 result of the relatively undeveloped economy, mountainous terrain and the high risk of geological 332 disasters in this region. Vulnerability is lower in the cities due to better disaster prevention and 333 reduction strategies and a more highly educated population. The southeast coastal areas face the highest 334 risk of typhoon disasters, especially in the boundary region between Taizhou and Wenzhou cities. 335 Although the inland mountainous areas are not directly affected by typhoons, they are in the

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336 medium-risk category for vulnerability.

337 Keywords: typhoon disasters, factors causing typhoon disasters, vulnerability, comprehensive risk
338 index, risk zoning

#### 339 **1 Introduction**

Typhoon, which means tropical cyclone in this paper, often causes some of the most serious natural disasters in China, with an average annual direct economic loss of about \$9 billion. The arrival of typhoon is often accompanied by heavy rain, high winds and storm surges, with the main impacts in southern coastal areas of China (Zhang et al., 2009). Zhejiang province is seriously affected by typhoons—for example, in 2006, the super-typhoon Sang Mei caused 153 deaths in Cangnan county of Wenzhou city, with 11.25 billion yuan of direct economic losses. Therefore it would be of practical significance to develop a system for the risk assessment of typhoon disasters in Zhejiang province.

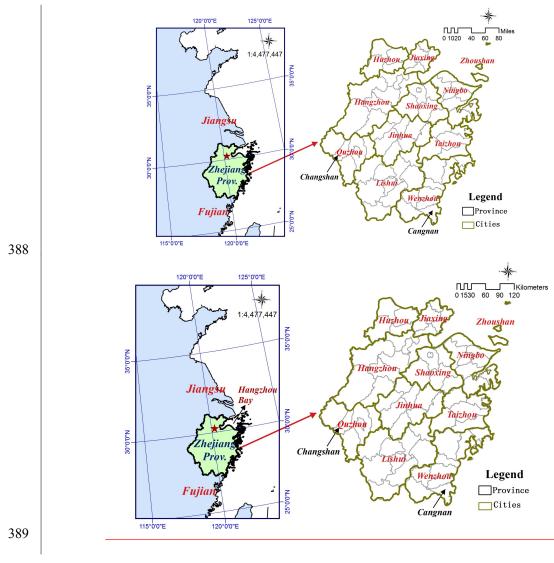
347 Major risk assessment models include the disaster risk index system of the United Nations 348 Development Program (global scale, focusing on human vulnerability), the European multiple risk 349 assessment (with emphasis on factors causing disasters and vulnerability) and the American 350 HAZUS-MH hurricane module and disaster risk management system. Vickery et al. (2009) and Fang et 351 al. (2012, 2013) had-reviewed the factors causing typhoon disasters. Rain and wind are direct causes of 352 typhoon disasters (Emanuel, 1988, 1992, 1995; Holland, 1997; Kunreuther and Roth, 1998); stronger 353 typhoons produce heavier rain and stronger winds, resulting in a greater number of casualties and 354 higher economic losses. Many of the researches on the factors causing typhoon disasters used a grade 355 index and the probability of occurrence (Chen et al., 2011; Su et al., 2008; Ding et al., 2002; Chen, 356 2007). Recently, some research built quantitative assessment in some provinces and carried out 357 preliminary studies on pre-evaluating typhoon disasters (Huang and Wang, 2015; Yin and Li, 2017).

In terms of vulnerability, Pielke et al. (1998, 2008) combined the characteristics of typhoons and socioeconomic factors, suggesting that both the vulnerability of the population and economic factors were important in estimating disaster losses. The vulnerability of a population is a pre-existing condition that influences its ability to face typhoon disasters. Among the most widely used indicesindexes is the Social Vulnerability Index (SoVI) (Cutter et al., 2003; Chen et al., 2011). Other researches have focused on the vulnerability of buildings, obtaining a fragility curve by combining 364 historical loss with the characteristics of buildings and typhoons (Hendrick and Friedman, 1966; 365 Howard et al., 1972; Friedman, 1984; Kafali and Jain, 2009; Pita et al., 2014). Studies in China have assessed vulnerabilities to typhoon disasters (Yin et al., 2010; Niu et al., 2011). Evaluation indexes for 366 367 the assessment of disaster losses were established based on the number of deaths, direct economic 368 losses, the area of crops affected and the number of collapsed houses. These indexes were used to 369 construct different disaster assessment models (Liang and Fan, 1999; Lei et al., 2009; Wang et al., 370 2010). Xu et al. (2015) comprehensively assessed the impact of typhoons across China using the 371 geographical information system. The future direction of tropical cyclone risk management is 372 quantitative risk models (Chen et al., 2017).

373 Previous studies have concentrated on semi-quantitative, large-scale research, with less emphasis 374 on quantitative research at county level based on large amounts of accurate data. In addition, the studies 375 have paid more attention to disaster losses. F-and few studies have focused on a comprehensive risk 376 assessment of typhoon disasters coupled with factors causing typhoon disasters and population 377 vulnerability. In this study, Zhejiang province, which is frequently affected by the strongest landfall 378 typhoons (Ren et al., 2008) and experiences most serious typhoon disasters (Liu and Gu, 2002) in the 379 mainland of China, is selected as the study area. This paper does not consider the impact of storm 380 surges. The factors causing typhoon disasters are represented by typhoon rain and typhoon wind. 381 Section 2 introduces the data and methods used in this study. Section 3 provides analyses on typhoon 382 disaster losses and causing factors. Section 4 presents risk assessment and regionalization of typhoon 383 disasters. Summary and discussions are given in the final section.

#### 384 **2 Data and Methods**

This study is carried out in Zhejiang province (Figure 1) including 11 cities along the Yangtze River Delta. Zhejiang province is in the eastern part of the East China Sea and <u>north</u> to Fujian province, which is one of the most economically powerful provinces in China.



390

Figure 1. Maps of Zhejiang province, China showing location and major cities.

## **391 2.1 Data**

## 392 2.1.1 Typhoon, Precipitation and Wind Data

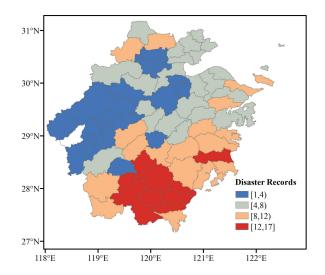
The typhoon data used in this study are the best-track tropical cyclone datasets from Shanghai Typhoon Institute for the time period 1960 - 2014 (Eunjeong and Ying, 2009; Li and Hong, 2015). Daily precipitation data for 2479 stations and daily wind data for 2419 stations during the time period 1960 -2014 over the mainland of China are obtained from the National Meteorological Information Center. The maximum wind speed is given as the maximum of 10-minute mean. In this paper, two time periods of precipitation and wind data are used.

Because of limited access to county-level typhoon disaster data, we have only obtained data during 2004 to 2012. So when calculating intensity index of factors causing typhoon disasters, <u>the</u> time 401 period of typhoon precipitation and typhoon wind are the same as typhoon disasters, which is 2004 402 2012.

403 For risk analyses of typhoon precipitation and typhoon wind (please see detail in sections 3.1 and 404 3.2), suppose future probability is the same as historical probability, we then select the period of 1980 -405 2014. As Lu et al. (2016) mentioned, considering the homogeneity of wind data, we use the period of 406 1980 - 2014 for wind analysis. To ensure the consistency between wind and precipitation data, 1980 -407 2014 is selected as the period. In addition, the Objective Synoptic Analysis Technique (OSAT) method 408 need to identify typhoon wind and precipitation from a wider range than Zhejiang province (please see 409 details in section 2.2.1), so 2419 stations of precipitation data and 2479 stations of wind data over the 410 mainland of China are used, which all contained 71 stations corresponding to counties in Zhejiang 411 province.

#### 412 2.1.2 Disaster and Social Data

413 Disaster data for each typhoon that affected Zhejiang province from 2004 to 2012 are obtained from the 414 National Climate Center and the number of records for each county is shown in Figure 2. Of the 11 415 cities in Zhejiang province, Wenzhou and Taizhou record the most typhoon disasters, with a maximum 416 being 17 at Wenzhou. Fewer typhoon disasters are recorded in the central and western regions of 417 Zhejiang province, particularly in Changshan and Quzhou, which may be because the strength of 418 typhoons weakened after landfall. The population data in 2010 are obtained from the sixth national 419 population census (Population Census Office of the National Bureau of Statistics of China), and the 420 2010 statistical yearbooks of each city in Zhejiang province published by the cities' statistical bureaus. 421 The census data is updated every six years, and the 2010 census results are exactly during 2004-2012 422 which is the research period. Therefore, the population data for 2010 in this paper can basically 423 represent the population vulnerability of this period. Basic geographical data are obtained from the 424 National Geomatics Center of China.



426 Figure 2. Number of records of typhoon disasters in Zhejiang province from 2004 to 2012.

# 427 **2.2 Methods**

425

#### 428 2.2.1 Objective Synoptic Analysis Technique

429 The widely used objective synoptic analysis technique (OSAT) proposed by Ren et al. (2001, 2007, 430 2011) is used to identify precipitation due to typhoons in this study. The OSAT method is a numerical 431 technique to separate tropical cyclone induced precipitation from adjacent precipitation areas. Based on 432 the structural analysis of precipitation field, it can be divided into different rain belts. Then, according 433 to the distances between a TC center and these rain belts, typhoon center and each station, typhoon 434 precipitation is distinguished. Lu et al. (2016) improved the OSAT method and applied it to identify 435 typhoon winds. With the application of the OSAT method, daily precipitation and wind data over the 436 mainland of China during 1980 to 2014 are used for identifying typhoon precipitation and wind data.

#### 437 2.2.2 Canonical Correlation Analysis (CCA)

We use the canonical correlation analysis method to determine the relationship between the affected population, the rate of economic damage, and typhoon precipitation and winds. In statistics, canonical correlation analysis (CCA) is a way of inferring information from cross-covariance matrices. If we have two vectors X = (X1, ..., Xn) and Y = (Y1, ..., Ym) of random variables, and there are correlations among the variables, then CCA can find linear combinations of the Xi and Yj which have maximum correlation with each other (Hardoon et al., 2014). The method was first introduced by Hotelling in 1936 (Hotelling, 1936). The main point of CCA is to separate linear combinations of new variables from the two sets of variables. In this case, the correlation coefficient between new variables reaches the maximum. In this paper, we chose factors causing typhoon disasters as a set of variables, and typhoon disaster as another. Under the maximum canonical correlation coefficient, the linear combination coefficients (typical variable coefficients) of factors causing typhoon disasters can be used as weight coefficients of this group of variables. Then we can determine the impact of factors causing typhoon disasters.

#### 451 2.2.3 Data Standardization

452 We adopt two methods: Z-score standardization and MIN-MAX standardization. The Z-score 453 standardized method is based on the mean and standard deviation of the raw data, which is prepared for 454 CCA method. The MIN-MAX standardization is a linear transformation of the original data so that the 455 original value maps the interval [0, 1]. Z-score standardization is used for calculating the intensity 456 index of factors causing typhoon disasters. Both typhoon precipitation and typhoon maximum wind 457 speed are standardized by this method. When calculating the typhoon disaster comprehensive risk 458 index (R), we use MIN-MAX standardization to standardize the intensity index of the factors causing 459 typhoon disasters (I) and the population vulnerability index (SoVI).

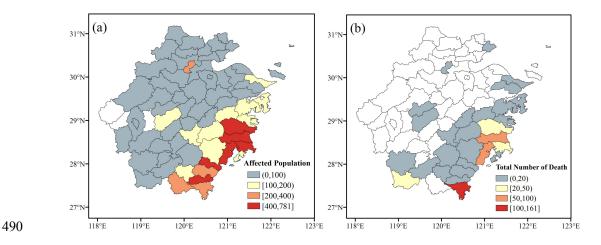
#### 460 2.2.4 Vulnerability Assessment (SoVI, PCA)

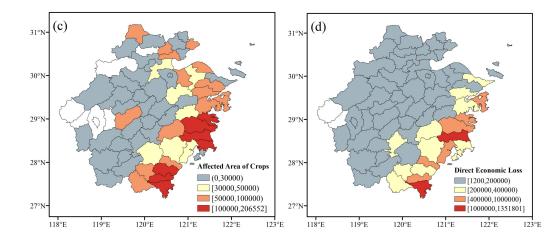
461 County-level socioeconomic and demographic data are used to construct an index of social 462 vulnerability to environmental hazards named the Social Vulnerability Index (SoVI). Principal 463 Component Analysis (PCA) is the primary statistical technique for constructing the SoVI. The PCA 464 method captures multi-dimensionality by transforming the raw dataset to a new set of independent 465 variables. Then a few components can represent the dimensional data, and underlying factors can be 466 identified easily. These new factors are placed in an additive model to compute a summary 467 score—SoVI (Cutter et al., 2003). Based on various SoVIs derived for disaster social vulnerability in 468 America, Chen et al. (2014) collects 29 variables as proxies to build a set of vulnerability indexes for 469 the social and economic environment in China. We then use these vulnerability indexes to calculate the 470 population vulnerability index for Zhejiang province.

#### 471 **3 Typhoon Disaster Losses and Factors**

472 Based on the distribution of typhoon disaster losses in Zhejiang province from 2004 to 2012 (Figure 3),

473 the affected areas are mainly locates in the southeast corner of the province. The centers with the 474 largest affected population (Fig. 3a), the largest area of affected crops (Fig. 3c) and the highest direct 475 economic losses (Fig. 3d) are in Wenzhou and Taizhou cities, although the losses in Ningbo City are 476 also relatively high. Only part of the plain area is affected by serious agricultural disasters; the other-477 losses are far lower than in the southeast of Zhejiang province. Cangnan in Wenzhou City is the most severely affected, with the highest cumulative death toll (Fig. 3b). According to the statistical 478 479 yearbooks of each city in Zhejiang province, Jiaxing, Shaoxing, Hangzhou in the northeast, and 480 Wenzhou, Jinhua and Taizhou in the southwest are the regions with the largest agricultural planting 481 area, with more agricultural population in the southwest. Only parts of the plain area were affected by 482 serious agricultural disasters in the northeast. The agricultural disaster areas in the southwest are wider. 483 (Fig. 3c). According to the main indicators of Zhejiang's national economy (total GDP and per capita 484 GDP), the central cities such as Hangzhou in the northeast had the most developed economy, and the 485 urban economies of Wenzhou and Taizhou in the southwest were also relatively good. However, the 486 economic losses in southwestern Zhejiang are severe, much higher than in the northeastern cities. (Fig. 487 3d). The losses in the affected counties are associated with the frequency and intensity of typhoons. We 488 therefore analyze the risk of typhoon precipitation and winds in every county in Zhejiang province to 489 provide a reference dataset for the factors causing typhoon disasters.



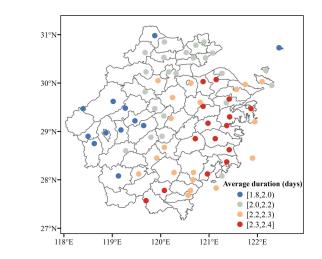


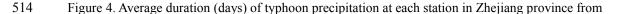
492 Figure 3. Distribution of typhoon disaster losses in Zhejiang province from 2004 to 2012. (a) Affected
493 population (unit: millions); (b) total number of deaths (unit: person); (c) area of affected crops (unit: hectares); and (d) direct economic losses (unit: millions yuan).

#### 495 3.1 Risk of Typhoon RainstormsProbability of Typhoon Rainstorms

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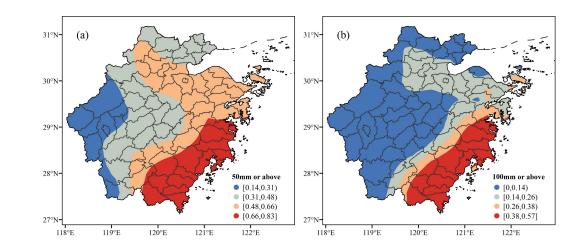
496 The main hazard of typhoon precipitation is concentrated precipitation, so the average duration (days) 497 of typhoon precipitation at each station in Zhejiang province is counted from 1980 to 2014 (Figure 4). 498 The duration of typhoon rainfall is less in inland areas, especially in Quzhou City. Persistent 499 precipitation is concentrated in Wenzhou, Taizhou and Ningbo cities, where there may have been a 500 higher risk of typhoon disasters. Typhoon rainstorm in this study means daily typhoon precipitation 501 over 50mm, and typhoon torrential rainstorm means daily typhoon precipitation over 100mm. The 502 probability is the annual possibility of the occurrence of typhoon rainstorms. The probability 503 denominator is the total number of years, and the numerator is the annual frequency of typhoon 504 precipitation. If a station experiences typhoon precipitation in one year, the numerator increases by one. 505 Based on the probability of typhoon rainstorms occurring in each county in Zhejiang province (Figure 506 5), we found that the annual probability of the occurrence of typhoon rainstorms is highest over the 507 southeast coast of Zhejiang province from 1980 to 2014, especially in Taizhou City, where the annual 508 probability is 83%. The annual probability of typhoon rainstorms with precipitation >100 mm is lower, 509 but the distribution of probability is consistent with the rainstorms with lower precipitation. The 510 probability of typhoon torrential rainstorms decreases rapidly in the western and central regions of 511 Zhejiang province, although the range increases. There are three centers of high riskprobability; 512 Taizhou, Wenzhou and Ningbo cities.











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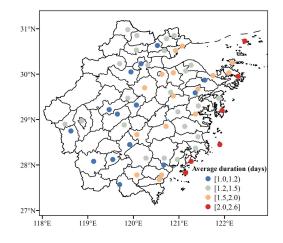
Figure 5. Probability of the occurrence of typhoon rainstorms in Zhejiang province: (a) rainstorms with
precipitation >50 mm; and (b) torrential rainstorms with precipitation >100 mm.

519

### 3.2 Probability of Typhoon Winds

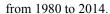
520 The average duration (days) of typhoon winds (over 6 grade) is calculated in Zhejiang province (Figure 521 6). The duration of typhoon winds is relatively short in the central and western regions and the typhoon 522 winds are concentrated in the coastal areas of Wenzhou, Taizhou and Ningbo cities. The longest 523 duration of typhoon winds occurs over the offshore islands.

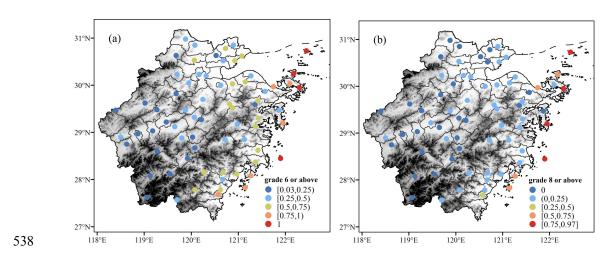
The main hazard from typhoon winds is manifested in the destructive force of strong winds. Therefore we calculate the probability of annual occurrence of typhoon winds at or above grades 6 and 12 at each station from 1980 to 2014 (Figure 7). Typhoon winds at or above grade 6 mainly occur along the coastal areas, with rare occurrence in the mountainous areas. Meanwhile, the probability of typhoon winds at or above grade 8 is generally 0.5~0.9 along the coast, and below 0.25 in the inland mountainous areas. Typhoons winds at or above grade 10 or 12 are much less likely and <u>are</u> only seen in the coastal areas and islands, with a rapidly decreasing probability from the coastal areas to the inland mountainous areas. The areas at high risk of typhoon windsprobability of typhoon winds are consistent with those with a high with typhoon rainfallprobability of typhoon rain, i.e. Wenzhou, Taizhou and Ningbo cities. The riskprobability of typhoon extreme winds is much higher in coastal areas than inland.

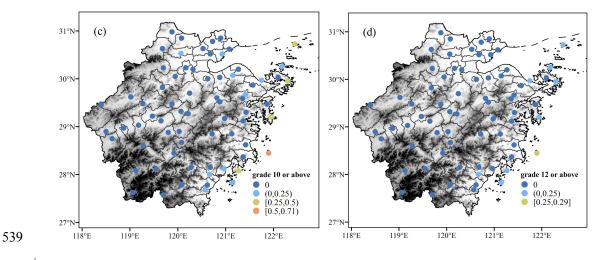


536 Figure 6. Average duration (days) of typhoon winds (over 6 grade) at each station in Zhejiang province

537







540Figure 7. Probability of the occurrence of typhoon winds in Zhejiang province at (a) grade 6 or above541 $(\geq 10.8 \text{ m/s}), 672$  (b) grade 8 or above ( $\geq 24.5 \text{ m/s}$ ), (c) grade 10 or above ( $\geq 32.7 \text{ m/s}$ ) and (d) grade 12542or above ( $\geq 41.5 \text{ m/s}$ ) (a) grade 6 or above, (b) grade 8 or above, (c) grade 10 or above and (d) grade 12543or above.

#### 545 **4 Risk Assessment and Regionalization of Typhoon Disasters**

### 546 4.1 Intensity Index of Factors Causing Typhoon Disasters

547 The main factors causing typhoon disasters are rainstorms, winds and storm surges. The main factors 548 causing typhoon disasters, which are considered in this study, are rainstorms and winds. The level and 549 intensity of a single factor cannot fully represent and describe the impact. It is necessary to determine 550 their influence through typical correlation analysis, and then typhoon wind and rain effect are 551 superimposed by the weight coefficients. Therefore we establish a comprehensive intensity index that 552 includes typhoon precipitation and winds. Taking the county as a unit, we select all the typhoons that 553 affected the population of Zhejiang province from 2004 to 2012. The total precipitation and daily 554 maximum wind speed during typhoons measured in each county are used to describe the factors 555 causing typhoon disasters. The total sample size is 322. Using CCA, we determine the impact of 556 typhoon precipitation and winds on the population. We then do CCA for all the typhoons that caused 557 direct economic losses in Zhejiang province from 2004 to 2012, and the total sample size is 404 (Table 558 1). The effect of typhoon precipitation on both the population and direct economic losses is always 559 greater than that of typhoon winds. By averaging typical coefficients for both precipitation and wind,

560 weight coefficients of 0.85 and 0.65 are obtained within the intensity index for precipitation and winds,

#### 561 respectively.

5	67
J	02

Table 1. Canonical correlation analysis of factors causing typhoon disasters.

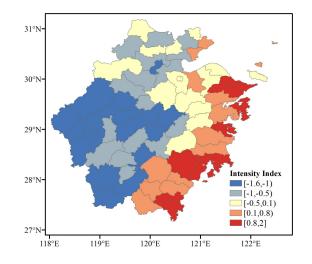
Canonical		Canonical variable coefficient		
<u>Disasters</u>	correlation coefficient	Typhoon precipitation	Typhoon wind	
Affected population	0.45	0.84	0.651	
Direct economic losses	0.477	0.863	0.655	

563

564 Based on the weight coefficients in Table 1, an intensity index of factors causing typhoon 565 disasters is established:

$$566 I = Ax + By (1)$$

where I is the intensity index of factors causing typhoon disasters, X is the standard typhoon precipitation and Y is the maximum wind speed of the typhoon. A and B are the weight coefficients for typhoon precipitation and typhoon winds, respectively. Using Equation (1), we average the intensity indexes of typhoons at each station (Figure 8). Based on the distribution of these average intensity indexes, three high value centers, namely Wenzhou, Taizhou and Ningbo cities, and Ningbo cities are identified, which is consistent with which are consistent with the results of Chen et al. (2011), can be found.



575 Figure 8. Intensity indices indexes of factors causing typhoon disasters at each station in Zhejiang province.

576

#### 577 4.2 Population Vulnerability Index

578 Natural disasters are social constructions and the basic causes of losses are the attributes of human 579 beings and their social system (Jiang 2014). The index system of Chen et al. (2011) is used to evaluate 580 the vulnerability of Zhejiang province. Based on the extracted population information, 29 variables are 581 identified that may affect vulnerability (Table 2).

582

Table 2. The 29 variables affecting vulnerability in Zhejiang province.

	variables	Name
	Per capita disposable income of urban residents	
1	(yuan)	UBINCM
2	Percentage of female (%)	QFEMALE
3	Percentage of minority (%)	QMINOR
4	Median age	MEDAGE
5	Unemployment rate (calculated - unemployed population / (unemployed + total population)	QUNEMP
6	Population density	POPDEN
7	Percentage of urban population (%)	QUBRESD
8	Percentage of non-agricultural household population (%)	QNONAGRI
9	Percentage of households that living in rented houses (%)	QRENT
10	Percentage of employees working in primary industries and mining (%)	QAGREMP
11	Percentage of employees working in secondary industries (%)	QMANFEMP

12	Percentage of employees working in tertiary	QSEVEMP	
12	industries (%)	DDINUT	
13	Household size (person / household)	PPUNIT	
14	Percentage of population with college degree (25 years old and older)	QCOLLEGE	
	Percentage of population with high school degree	QHISCH	
15	(20 years old and older)		
16	Percentage of illiterate people (15 years old and older)	QILLIT	
17	Population growth rate (2000-2010)	РОРСН	
	Average number of rooms per household (inter /	DUDOOM	
18	household)	PHROOM	
19	Per capita housing construction area (m <sup>2</sup> / person)	PPHAREA	
20	Percentage of premises without tap water (%)	QNOPIPWT	
21	Percentage of premises without a kitchen (%)	QNOKITCH	
22	Percentage of premises without a toilet (%)	QNOTOILET	
23	Percentage of premises without a bath (%)	QNOBATH	
	Number of beds per 1000 person in health care		
24	institutions	HPBED	
	Number of medical personnel per 1000 resident		
25	population	MEDPROF	
26	Percentage of people under 5	QPOPUD5	
27	Percentage of population over 65 years old	QPOPAB65	
28	Population dependency ratio (%)	QDEPEND	
	Percentage of population covered by subsistence		
29	allowances (%)	QSUBSIST	

583 After Principal Component Analysis (PCA) of the 29 variables, seven components with 584 eigenvalue >1 are extracted. Based on the variable meanings in each component, these 7 components 585 are named as table 3. The first component, which reflects the income of the population and the 586 employment situation, contribute 30.1% of the total variance. This component is positive because the 587 more property there is in an area, the higher the vulnerability to damage. The second component, which 588 reflects education level of the population, occupies 15.6% of the total variance. This component is 589 negative because if education level is higher, then the population's awareness of disaster prevention and 590 reduction is greater and their vulnerability is lower. The third component, which reflects the number of 591 dilapidated houses, takes up 8.7% of the total variance. This component plays a positive part in 592 vulnerability. The fourth component, which reflects the illiteracy and the number of young people, is 593 positive and represents 8.4% of the total variance. The fifth component, which reflects the household 594 size and the percentage of women, explains 7.7% of the total variance and is positive. The sixth

595 component, which reflects the number of ethnic minorities, contributes 6.1% of the total variance and 596 is positive. The seventh component, which represents 5.3% of the total variance, reflects the

597 unemployment rate and the housing area and is positive.

598 The total variance explained by these seven components is up to 81.9%, which can be used to 599 represent the population vulnerability of Zhejiang province. The distributions of the first (positive) 600 component and the second (negative) component are shown in Figure 9. Areas with a low employment 601 rate have high vulnerability, but the vulnerability is low in urban areas with higher levels of education. 602 The seven components thus represent the real situation of the population vulnerability in Zhejiang 603 province to the effect of typhoons. The population vulnerability index in Zhejiang province (SoVI) is 604 calculated as: 605 SoVI= component 1 - component2 + component 3 + component 4 + component 5 + component (2)

606 6 + component 7

607 By calculating the vulnerability indexes of each county, the distribution of population 608 vulnerability in Zhejiang province is obtained (Figure 10). The areas with high vulnerabilities are 609 mountainous regions where the economy is relatively undeveloped, whereas the vulnerability is low in 610 cities, such as Hangzhou and Huzhou cities, where there is a greater awareness of disaster prevention 611 and reduction and houses are of high quality.

612

#### Table 3. The seven components extracted by PCA.

Components	Contained variables	Name	(Sign)
	QMANFEMP, UBINCM, QAGREMP,		
	QRENT, POPCH, QDEPEND,	Employment and	
1	QSUBSIST, QPOPAB65, POPDEN,	1 2	(+)
	MEDAGE, QNOKITCH, QILLIT,	poverty	
	PHROOM, PPHAREA		
2	QHISCH, QCOLLEGE, QNONAGRI, QSEVEMP, HPBED, MEDTECH	Education	(-)

3	QNOBATH, QNOTOILET, PPUNIT	Number of dilapidated houses	(+)
4	QILLIT, QDEPEND, QPOPUD5, MEDAGE	Illiteracy and juvenile population	(+)
5	QFEMALE, PHROOM, PPHAREA, QSEVEMP	Household size and ratio of women	(+)
6	QMINOR	Ethnic minority	(+)
7	QUNEMP, QNOPIPWT	Unemployment and housing size	(+)

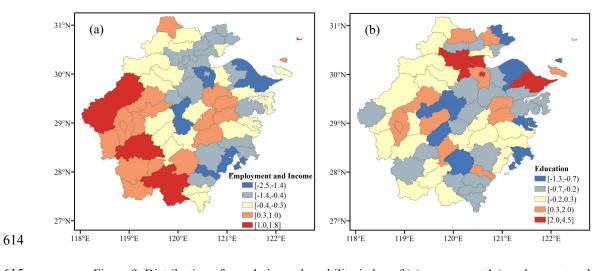


Figure 9. Distribution of population vulnerability index of (a) component 1 (employment and
income) and (b) component 2 (education).

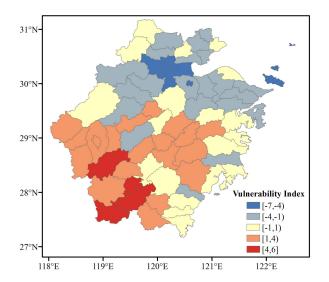


Figure 10. Distribution of population vulnerability index of counties.

#### 619 4.3 Typhoon Disaster Comprehensive Risk Index and Zoning

The typhoon disaster risk assessment system is mainly composed of the factors causing disasters, the population vulnerability and the environment. In this paper, typhoon disaster comprehensive risk index is obtained by combining the factors causing typhoon disasters and vulnerability, without taking the sensitivity of the environment into account. After standardizing the intensity index of factors causing typhoon disasters and the population vulnerability index, the typhoon disaster comprehensive risk index (*R*) is obtained as follows:

```
626 R = \text{intensity index of factors causing typhoon disasters } (I) \times \text{vulnerability index (SoVI)} (3)
```

627 Based on the comprehensive risk index, five risk grades for typhoon disasters are defined (Table

4), and risk zoning of typhoon disasters in Zhejiang province has been done as shown in Figure 11.

629 The classification of typhoon disaster risk index is based on the natural breaks method (Jenks) provided

- 630 by Arcgis.
- 631

#### Table 4. Disaster risk index and grading.

Risk grade:	High	High-medium	Medium	Medium-low	Low
Risk index:	0.3	0.18–0.3	0.13-0.18	0.07–0.13	0.07

Figure 11 shows that, the index presents a good reflection of the distribution of typhoon disasters
in Zhejiang province (Figure 3), especially in the southeastern coastal areas. The southeast coastal areas
face the highest risk, especially in the boundary regions between Zhejiang and Fujian province, and

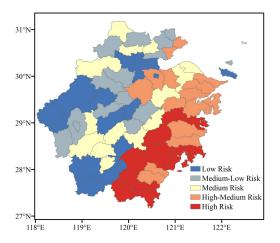
Taizhou and Wenzhou cities. Overall, the risk of typhoon disasters decreases from the coast to inland

areas. Cities are at medium to low risk as a result of their developed economy, high-quality houses and

637 better educated population. The inland mountainous areas have a high vulnerability. Although they are

not directly affected by typhoons, they are still in the middle risk areas as a result of their poorly

639 developed economy.





641

Fig. 11. Risk zoning of typhoon disasters in Zhejiang province.

# 642 **5 Discussion and Conclusions**

(1) An intensity index of factors causing typhoon disasters is developed, with highest values in Wenzhou, Taizhou and Ningbo cities. A comparison between the distributions of the intensity index and actual typhoon disasters in Zhejiang province from 2004 to 2012 shows that the index is a good reflection of the possibility of typhoon disasters.

647 (2) Seven components are extracted after PCA of 29 variables affecting vulnerability. These seven 648 factors represent 81.9% of the total variance and are a good reflection of the index of population 649 vulnerability in Zhejiang province. Southwestern Zhejiang is the most vulnerable as it has a relatively 650 undeveloped economy, more mountainous areas and a higher risk of geological disasters. 651 Vulnerabilities are lower in cities as a result of better disaster prevention and reduction measures and <u>a</u> 652 better educated population.

653 (3) Typhoon disaster comprehensive risk index is obtained by combining the factors causing

typhoon disasters and population vulnerability. Based on the comprehensive risk index, risk zoning of

typhoon disasters in Zhejiang province is achieved. The southeast coastal areas are at high risk,

especially the boundary regions between Zhejiang and Fujian province, and Taizhou and Wenzhou

657 cities. The risk of typhoon disasters decreases quickly from coastal areas to inland regions. Cities are at

medium to low risk because of their developed economy, high-quality houses and better educatedpopulation.

- Although some interesting results have been obtained in this study, there are still some problems
- that require further study. As a result of the limited data on typhoon disasters, it is currently impossible
- to give a long time trend for high-resolution typhoon disaster analysis. It is also unclear whether this
- 663 methodology can be applied to other regions. This paper mainly considers the effects of typhoon rain
- 664 and typhoon wind, without considering the impact of storm surge. This is the limitation of the study,
- and we will explore the role of storm surges in future work.
- 666 Acknowledgments
- 667 This study is supported by the Chinese Ministry of Science and Technology Project No.668 2015CB452806.
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