

A spatial multicriteria prioritizing approach for geo-hydrological risk mitigation planning in small and densely urbanized Mediterranean basins

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Author's response to the referee

We wish to thank the referee as their comments helped us to improve the manuscript, give a we hope more clear explanation of our approach and to correct mistakes.

We think that the paper fits the aim of the journal, trying to address with a quantitative methodology the process of risk reduction strategies and then giving a decision support tools that could help to underline the worst catchment condition. The tool could be used even to monitor the progress of actions during the long time needed to realize in particular the structural interventions.

Finally, we think that, opportunely adapted, the method could be used in different context with diverse active processes and situations and even for a comparison of sub-catchments.

The paper has been reviewed by a native English speaker.

Reviewer 1

Comment from the referee

Abstract:

The abstract needs to be improved, both from the content and the redaction (i.e. “giving a **support** tool for decision makers, **supporting** a strong scheduling”. I would recommend including more specific information about the region of study, the database and methodology as well as results. On the contrary, the first introductory paragraph (Lines 17-33) could be shortened and the last one (Lines 32-35) should be modified because it does not transmit a clear message. Why do you say “obtaining the optimization of economic resources”? I have not seen any economic analysis, neither the relationship of this analysis with the three set of parameters.

Response

We agree with the request.

Changes in the manuscript

The Abstract has been fully revised and rewritten: more details have been included and the philosophy of catchments comparison is more clearly declared.

Comment from the referee

Introduction

Please, make a deep review of the Introduction. For instance, you say three times practically the same: “due to particular characteristics of geology, geomorphology and climate that can induce a high geo-hydrological hazard” (Page1, lines 40-42); “the general climatic context, with the interface between cold air masses and the sea, a steep territory and a complex geomorphologic and geologic context are the main natural factors” (Page 2, lines 53-55); “the general climatic context, with the interface between cold air masses and the sea, a steep territory and a complex geomorphologic and geologic context are the main natural factors” (Page 2, lines 59-61).

On the other hand Mediterranean region is the interface between cold air masses from the North (Atlantic or Continental) and warm subtropical and tropical air masses. The role developed by the sea varies along the year, but the most important is the strong potential instability at low levels that characterize the Mediterranean air mass, as well the high water vapour content.

The paragraphs included from line 40 to line 93 show a general introduction about the Mediterranean region, and flood and landslides hazards. This is not bad; however, some references to other scientific works performed with spatial multicriteria analysis or dealing with support tools to plan long-term interventions at catchment scale, should be included in the Introduction, in order to know the state of the art.

Response

We agree with the request.

Changes in the manuscript

The introduction has been deeply reviewed and rewritten according to the reviewer's comments and requests.

References for the spatial multicriteria analysis has been integrated with more recent works, giving a wider state of the art view.

Comment from the referee

Data and methodology:

Some aspects of the methodology deserve clearer and more elaborate explanation:

1. Which is the meaning of the acronyms "IFFI, AVI, CTR, DSGDS,..."? Which is the source of the flood hazard map? Add the source of all the information used in the paper.
2. Which period do you use for the "Flood data from the AVI archive"? (write "flood data", not "floods data")
3. Where are included social data (population density, economy data,...)?
4. How do you characterize the risk level? It does not appear in the paper. Please, explain it.
5. Why you have selected these indicators? Have you published a previous work with them? Is there any literature about it?
6. The most important contribution for the scientific community would be the parameters selection and the multicriteria methodology. However, the only information that appears about them is the list of parameters and that "the S-MCA has been performed through the geo-UmbriaSUIT plugin available in Quantum GIS software, and the software performs a TOPSIS (Technique for Order of Preference by Similarity to Ideal Solution) multicriteria method (Huang and Yoong, 1981)". But, which is the philosophy of this methodology? How do you justify the classification showed in Table 7? Is this software free for all the public? The reference is old; do you have any more recent reference about this methodology? How do you rank the priorities?
7. Which kind of survey have you made? Which was the target people?

Response

We agree with the request.

Changes in the manuscript

1. All the acronyms in the paper have been displayed; the source of all the data, comprising flood hazard maps, is the regional authority – Regione Liguria, as was written before the list of the data (line 272) but it is now more explicit.
2. The period, as it comes from the two databases, is 1900-1990 and 2005-2016.
3. / 4. We have explained not clearly and maybe misusing the term: social data is used in S-MCA when applied to project comparison; in our application of the methodology we used for it the exposed elements (areal and punctual) that the Regional authority has used in the application of the EU flood directive: buildings, residential areas, hospitals, schools, cultural heritages area considered when present in the flood hazard zones. As we explain in the corrected text, exposure at risk is defined considering the elements that may be threatened by floods as they have been adopted by the local authority- Regione Liguria - after the hydraulic modeling, that is the hazard assessment, and the evaluation of the potential damages, then vulnerability. The official data define areas and punctual elements exposed to 4 increasing risk levels from R1 to R4.
- 4.
5. The indicators have been selected during the field survey basing on the evaluation of the geomorphic active processes in the area related to geo-hydrological hazard and on the effects caused by previous intense rain events. Then we used previous papers (Civasco et al., 2017; Giordan et al. 2017; Faccini et

al, 2018) and the effects of the recent events in 2010, 2011, 2014 and partially 2015. As we have written in the text the indicators are used to represents the situation but in others context they may change depending on the different peculiarities. Where abandoned terraces are not present, that indicator would not be necessary but others may substitute it.

6. We give further information about TOPSIS methodology with more recent references; both the plugin and Quantum Gis software are free. The explanation of the method and of the approach we used, is more clearly explained and is based on the comparison of heterogeneous features of elements, in order to realize a ranking that, in our case, is representative of the degree of attention that should be used in planning the risk reduction activities. The comparison, and then the ranking, is between catchments, trying to underline the necessity of acting at catchment scale and not only considering some few interventions as the only and final ones.
7. A field survey was performed on the studied catchments, evaluating slope stability, possible sources of debris/mud flows, hydrographical network conditions, comprising the artificially modified ones, the extension and typology of the areas and elements present in the flood hazard zones.

Comment from the referee

Discussion

As I have proposed in the General Comments it would be interesting to select some catchments as example to show the methodology and to discuss the potential solutions (structural and non- structural) that could be adopted for each one.

Which mitigation works would be proposed depending of the scale of priorities showed in Table 7? It would be interesting to introduce a figure or a table showing the classification of priorities, the indicators or set of indicators that each priority considers and the potential solutions that could be applied. Discussion could consider if they are urban catchments or not, economic and ecological limitations, or the potential acceptation of the population.

Response

We agree with the request.

Changes in the manuscript

We have included in the text what kind of prevention activities should be adopted to reduce the high risk in the area. All the catchments are urban, as described in the paragraph 2.1: as emerge from the fig.1, all the catchments present a more or less extended natural zone in the higher parts and a strongly urbanized one in the lower parts. The parameter k, soil consumption and l, culvert last km, were used to describe that variability.

Comment from the referee

Minor changes:

1. Page 1, line 19: Authors say “The high hazard is often associated to intense urbanization...” but urbanization also affects vulnerability and exposure. Please, substitute the term “hazard” by “risk”.
2. Page 4, line 154. Add a parenthesis to “fig. 3)”
3. Page 4, line 156. I think that “present both the lithology” should be “present both lithologies”.
4. Table 6: The caption of the table says that “using parameters k, 1 674 and m (ref. Tab. 2);”, but they do not appear in Table 2. The same with “parameters a through j”.
5. Page 5, lines 192-194: You say “due to the Mediterranean cyclones that periodically spring and intensify from south of the Alps over the Gulf of Genoa in the Ligurian Sea”. In spite that this phenomenon is correct, usually and due to the orography of the region, there are a great part of the events that comes from the Mediterranean (with or without a cyclone in surface). The main cyclogenesis is over the sea on the Gulf of Genoa.
6. Page 5, line 205. Please, add a reference to justify these values.
7. Page 6, line 220. Please, substitute “their” by “its in the text: “because of their contributing effect to risk”.

Response

We agree with the request.

Changes in the manuscript

1. The sentence has been re-written.
2. It has been corrected.
3. It has been corrected.
4. There was an error in the caption: the right reference is to table 4.
5. We have corrected the sentence coherently to the referee request.
6. References have been added.
7. It has been corrected.

Reviewer 2

Comment from the referee

Introduction.

In general, flood risk in the context of natural hazards is a broad term, which covers different dimensions from physical to social approaches. In this line, it is important from the authors to give a clear framework of the concept used in this study. Try to explain better or make more explicit the links what you deal with. In this part and to avoid confusion, I would suggest the authors to clearly indicate the flood processes in the area, to better define the problem and to explain better why used the described approach. To make the paper more relevant for the readers of this journal, I would suggest making a more explicit link to ongoing research in the natural hazard community.

Response

We agree with the request.

Changes in the manuscript

Introduction has been completely re-written according even to the comments of the reviewer 1; we think we have more clearly described flash flood processes in the area and the concurrent shallow landslides that are activated during intense rain events.

Comment from the referee

Materials and Methods part.

The study area is well described. I would suggest the authors to reduce the information (parts: Geomorphological and geological settings and Climate and Meteorological context) by focusing only on important info for this study. The methodological outline is good described, and the method sounds scientifically correct (I am not an expert on statistics).

In page 7/line 273 where the data is described, the authors used a DEM realized in 2007 and a land use dataset realized in 2015. I would suggest them to use a newer elevation model and if it possible a DTM rather a DEM to reduce uncertainty on their simulations. Moreover, I would suggest them to add units of the formulae parameters used on Table 2 and Table 3 to avoid confusion, to explain some abbreviations used and to describe more the survey performed. Additionally, and as authors used the International System of Units (SI) I would suggest them to check if the formulas used are in this system. On Table 3 (NRCS-SCS Line) the formula presented is in inches and they are dealing with millimeters. Moreover, it is not entire clear to me, how do they calculate the areas exposed to risk level R1-R4.

Response

We partially agree with the request.

Changes in the manuscript

Some information related to the study area have been reduced.

The DEM acronym was a typing error: we used a DTM from the regional authority, the more recent one acquired in 2007.

We added all the units in the formulae and included the number of streams of order u in tab. 2 (nu) whose lack could generate ambiguities. The NRCS-SCS formula we used, was in SI units, as the imperial system one is the following:

$$t_c = 0.0526 * \frac{L_m^{0.8} * (X + 1)^{0.7}}{y^{1/2}}$$

Where: $[t_c]$ = hours, $[L_m]$ = km and $[y]$ = %

As we explain in the corrected text, exposure at risk is defined considering the elements that may be threatened by floods as they have been adopted by the local authority- Regione Liguria - after the hydraulic modeling, that is the hazard assessment, and the evaluation of the potential damages, then vulnerability. The official data define areas and punctual elements exposed to 4 increasing risk levels from R1 to R4 and comprises residential areas, schools, cultural heritages, hospitals.

Comment from the referee**Results/Discussion.**

In general, I would suggest the authors to merge these parts and to discuss their findings based on the methodology used and/or findings from other similar studies. What is missing in my opinion is a connection or a comparison of their findings with the international literature and/or with findings from other case studies (In the discussion part is only one reference on other studies).

At the end, the conclusions presented are too general and do not reflect what exactly shown in this study. Conclusions based on the findings of the analysis presented would be more effective.

Response

We partially agree with the request.

Changes in the manuscript

Trying to address both the request of reviewer 1 and 2 we left the division between Result and discussion but we integrated them with a more detailed discussion and eliminating specific comments on the various catchments in the result.

We integrated references on other studies and detailed the interventions that could be done to solve the problems that emerged as result from the performed analysis.

A spatial multicriteria prioritizing approach for geo-hydrological risk mitigation planning in small and densely urbanized Mediterranean basins

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Abstract

35 Landslides and floods, particularly flash floods, occurred currently in many Mediterranean catchments as a consequence of heavy rainfall events, causing damage and sometimes casualties. The high hazard is often associated with high vulnerability deriving from an intense urbanization in particular along the coastline where streams are habitually culverted. The necessary risk mitigation strategies should be applied at catchment scale with a holistic approach, avoiding spot interventions.

40 In the present work a high-risk area, hit in the past by several floods and concurrent superficial landslides due to extremely localized and intense rain events, has been studied. 21 small catchments have been identified: only some of them have been hit by extremely damaging past events, but all lies in the intense rain high hazard area and are strongly urbanized in the lower coastal zone. The question is what would happen if an intense rain event should stroke one of the not previously hit catchment; some situations

45 could be worse or not, so the attention has been focused on the comparison between catchments. The aim of the research has been identifying a priority scale between catchments, pointing out the more critical ones and giving a quantitative comparison tool for decision makers to support a strong scheduling of long-time planning interventions at catchment scale. The past events effects and the geomorphic processes analysis together with the field survey allowed to select three sets of parameters: one describing the

50 morphometric-morphological features related to flood and landslide hazard, another describing the degree of urbanization and of anthropogenic modifications at catchment scale and the last related to the elements

that are exposed to risk. The realized geodatabase allowed to apply the spatial multicriteria analysis technique (S-MCA) to the descriptive parameters and to get to a priority scale between the analyzed catchments. The scale can be used to plan risk mitigation interventions starting from the more critical catchments, then focusing economic resources primarily on them and obtaining an effective prevention strategy. The methodology could be useful even to check how the priority scale is modified during the progress of the mitigation works realization.

Besides, this approach could be applied in similar context, even between sub-catchments, after identifying a suitable set of descriptive parameters depending on the active geomorphological processes and the kind of anthropogenic modification. The prioritization would allow to invest economic resources in risk mitigation interventions priory in the more critical catchments.

Landslides and floods, particularly flash floods, occurred currently in many Mediterranean catchments as a consequence of heavy rainfall events, causing damages and sometimes casualties. The high hazard is often associated to intense urbanization in particular along the coastline where streams are habitually culverted. The necessary risk mitigation strategies should be applied at catchment scale, considering the concurrent landslides and flood events and would need to be accurately planned in order to optimize the available economic resources.

In the present work 21 small catchments in a high hazard area have been assessed and compared through three sets of parameters: one describing the morphometric morphological features related to flood and landslide hazard, another describing the degree of urbanization and of anthropogenic modifications at catchment scale and the last related to the elements that are exposed to risk. The aim of the research is to constitute a priority scale among the small catchments, applying the multicriteria analysis technique to the descriptive parameters and giving a support tool for decision makers, supporting a strong scheduling of long time planning interventions at catchment scale.

This approach could be applied in similar context, even at sub-catchment scale, after identifying a suitable set of descriptive parameters depending on the active geomorphological processes and the kind of anthropogenic modification, obtaining the optimization of economic resources.

1 Introduction

Floods and landslides are very common in many areas of the Mediterranean basin inducing a high geo-hydrological hazard (Canuti et al., 2001; Guzzetti and Tonelli, 2004; Luino, 2005; Luino and Turconi, 2017) and causing many casualties and significant damages every year. The 2017 periodic CNR-IRPI report (CNR, 2018; Brunetti et al, 2015) on Italian population landslides and floods threat evidences 1789^[L1] casualties and 317^[L2] 526 homeless in the period 1967-2016, with all the regions affected. Liguria, despite its small surface, is between the most affected region scoring the third place in the mortality index calculated on both landslide and flood events.

Among the geo-hydrologic processes, flash floods are the most hazardous for the short development time that often do not allow the population to protect itself. They occur following very intense and localized rainfall events and their ground effects have been underlined by many authors (Roth et al., 1996; Massacand et al., 1998; Delrieu et al., 2006; Amengual et al, 2007; Gaume et al., 2009; Marchi et al., 2009; Barthlott and Kirshbaum, 2013; Faccini et al, 2018). Spread shallow landslides and debris/mud flow often occur and their effects are superimposed and may locally magnify flooding, in particular in urban/suburban areas (Borga et al., 2014). Small catchments have a quick response to those events, reacting with large discharge of water and debris to the usually densely urbanized floodplain (Pasche et al., 2008; Gaume et al., 2009). Many coastal Mediterranean areas are particularly liable to this kind of hazard: the general climatic context, with the interface between cold air masses and the sea, a steep territory and a complex geologic and geomorphologic context are the main natural factors. In such hazardous context the high vulnerability that characterizes most of the urbanization determines the elevate risk, while the intense anthropogenic modification of large portion of catchments and of hydrographical networks tends to amplify the effects (Tropeano and Turconi, 2003; Nirupama et al., 2006; Audisio and Turconi, 2011; Petrea et al, 2011; Llasat et al., 2014; Faccini et al, 2018; Acquaotta et al, 2018b); impervious surfaces, induced by soil consumption and urban sprawl, increase the surface run-off and decrease the time of concentration (Shuster et al., 2007), while strictly constrained and often culverted riverbeds have frequently inadequate discharge capacity (Moramarco et al., 2005; Faccini et al, 2015; Faccini et al., 2016;).

105 Furthermore, the modifications are often interesting even the hinterland: besides urban sprawl and fragmentation caused by infrastructures, in some areas the ancient man-made terraces realized for agricultural practice and actually largely abandoned, constitute an increasing factor of geomorphological hazard (Brancucci and Paliaga, 2006; Tarolli et al., 2014; Paliaga, 2016). In recent years many evidences have been arising in Italy: large areas of Liguria (Brandolini et al., 2017; Civasco et al., 2017) and

110 Toscana (Bazzoffi and Gardin, 2011) are interested by terraces instability that may turn into source of geomorphologic hazard. In the Mediterranean region many areas present similar occurrence of terraces with analogous problems: the French Côte d'Azur, the Mediterranean and insular Spain and Greece (Tarolli et al., 2014) are some example. In the recent years some disastrous events involved terraced slopes: in 2011, during the Cinque Terre flood (Liguria, northern Italy) (Brandolini et al., 2017; Luino and Turconi, 2017), many terraces collapsed and the subsequent debris filled villages at a height of about

115 3 m, and in 2014, in the Leivi village during the Chiavari flood (Liguria) a terraced slope collapsed destroying a house and causing 2 fatalities (Faccini et al., 2017; Luino and Turconi, 2017). Within this framework risk mitigation strategies are more and more urgent but largely disregarded, unapplied or only partially pursued: few resources are allocated and, commonly, are used only for

120 emergency actions while a long-term planning and scheduling should be crucial to obtain significant results (Prenger-Berninghoff et al., 2014). In the recent years, in Italy, some large structural works have been started to mitigate the worst flooding risk situations, but without following a broad approach at catchment scale. The most important is the floodway channel for the Bisagno stream in Genoa (Liguria), but similar project or culvert adjusting are ongoing in smaller neighboring streams. This approach allows

125 to reduce just a part of the risk, ignoring slope instability processes and related contribution to solid transport into hydrographical network.

Liguria, and especially the Genoa metropolitan area, are paradigmatic of the mixing of high hazard, with heavy rainfall that appear to be increasing in intensity (Faccini et al., 2015; Aquaotta et al., 2018a), elevate exposure at risk and lack of long-time planning mitigation strategies at catchment scale.

130 Apart the structural interventions in the larger Bisagno catchment, even the smaller ones in the Genoa metropolitan area are considered at high risk from the local environmental agency (ARPAL, Agenzia

Regionale per la Protezione dell'Ambiente Ligure – Ligurian Environment Protection Agency) and would request mitigation works to be planned and scheduled.

The aim of the research is to propose a quantitative support tool to decision makers in order to plan and

135 schedule long-term interventions, identifying a priority scale between small catchments: their number and

the different features that characterizes them request a comparison tool in order to evaluate the ones that

are more critical. A group of 21 small catchments in the middle of the zone more liable to heavy rainfall

(Cassola et al., 2016) have been analyzed, comparing three sets of descriptive parameters. The comparison

has been performed with spatial multicriteria analysis (S-MCA) using a total of 19 parameters and

140 obtaining a priority scale between the 21 catchments. Spatial multicriteria approach has been applied by

many authors in flood risk and in natural hazard management (Gamper et al., 2006; de Brito et al., 2006),

mostly to identify flood prone areas and flood risk assessment (Fernández and Lutz, 2010; Wang et al.,

2011), landslides susceptibility assessment (Feizizadeh and Blaschke, 2013; Nsengiyumva et al, 2018) or

to compare catchments through morphometric parameters (Benzougagh et al., 2017). S-MCA techniques

145 are widely applied as decision support system in planning and environmental sustainability decision

making to compare different design choices or site selection (Jacek, 2006; Bagli, 2011). In the present

work the Authors applied S-MCA techniques considering a broad set of parameters and trying to address

the peculiarity of highly modified small urban catchments in a mountainous territory where comparing

different sets of parameters describing different and inhomogeneous features appears crucial. The rank

150 obtained with the methodology could be used to evaluate the catchments that need more urgent actions

in order to mitigate future eventual damage and casualties, considering that past extreme rainfall events

hit bordering ones but, in the future, could replicate their effects. Then the necessary long-time planning

could focus economic resources mainly on the more critical catchments, while the analysis of the

descriptive parameters would be a support for pointing out the specific criticalities and then to design the

155 interventions.

Floods and landslides are very common in many areas of the Mediterranean basin due to particular

characteristics of geology, geomorphology and climate that can induce a high geo-hydrological hazard

(Canuti et al., 2001; Guzzetti and Tonelli, 2004; Luino, 2005; Luino and Tureoni, 2017).

160 Among these processes, flash floods are the most dangerous for the short development time that often do not allow the population to protect itself. They occur following very intense and localized rainfall events and their ground effects have been underlined by many authors (Roth et al., 1996; Massacand et al., 1998; Delrieu et al., 2006; Amengual et al., 2007; Gaume et al., 2008; Marchi et al., 2009; Barthlott and Kirshbaum, 2013). Spread shallow landslides and debris flow often occurs and their effects are superimposed and may locally magnify flooding, in particular in urban/suburban areas (Borga et al., 2014). Small catchments have a fast response to those events, reacting with large discharge of water and debris to the usually densely urbanized floodplain (Pasche et al., 2008). Many coastal Mediterranean areas are particularly liable to this kind of hazard: the general climatic context, with the interface between cold air masses and the sea, a steep territory and a complex geomorphologic and geologic context are the main natural factors. Small catchments have a fast response to those events, reacting with large discharge of water and debris to the usually densely urbanized floodplain (Pasche et al., 2008). Many coastal Mediterranean areas are particularly liable to this kind of hazard (Gaume et al., 2008): the general climatic context, with the interface between cold air masses and the sea, a steep territory and a complex geomorphologic and geologic context are the main natural factors.

170 In such hazardous context the high vulnerability that characterizes most of the urbanization determines the elevate risk, while the intense anthropogenic modification of large portion of catchments and hydrographical networks tends to amplify the effects (Llasat et al., 2014; Nirupama et al., 2006): impervious surfaces increase the surface run-off and decrease the time of concentration (Shuster et al., 2007), while strictly constrained and often culverted streambeds, frequently have inadequate discharge capacity (Moramarco et al., 2005; Faccini et al., 2016).

180 Anthropogenic modifications are interesting most of the coastal floodplain with a strong soil consumption and with the widespread narrowing of the streambed that has favored most of the flood prone areas (Faccini et al. 2015, Faccini et al. 2016). Furthermore, the modifications are often interesting even the hinterland: besides the urban sprawl and the fragmentation caused by infrastructures, in some areas the ancient man made terraces realized for agricultural practice and actually largely abandoned, constitute an increasing factor of geomorphological hazard (Brancucci and Paliaga, 2006; Tarolli et al., 2014; Paliaga, 2016). In the recent years many evidences have been arising in Italy: large areas of Liguria (Brandolini

et al., 2017; Cevaseo et al., 2017) and Toscana (Bazzoffi and Gardin, 2011) are interested by terraces instability that may turn in source of geomorphologic hazard. In the Mediterranean region many areas present similar occurrence of terraces with analogous problems: the French Côte d'Azur, the 190 Mediterranean and insular Spain and Greece (Tarolli et al., 2014) are some example. In the recent years some disastrous events involved terraced slopes: in 2011, during the Cinque Terre flood (Liguria, northern Italy) (Brandolini et al., 2017; Luino and Turconi, 2017), many terraces collapsed and the subsequent debris filled villages at a height of about 3 m, and in 2014, in the Leivi village during the Chiavari flood (Liguria) a terraced slope collapsed destroying a house and causing 2 fatalities (Faccini et al., 2017; Luino 195 and Turconi, 2017).

Every year in Italy many casualties and significant damages, both to private and public sectors, are caused by floods, landslides and debris flow: the 2017 periodic CNR-IRPI report (CNR, 2018) on Italian population landslides and floods threat, evidences 1789 casualties and 317.526 homeless in the period 1967-2016, with all the regions affected. Liguria, despite its small surface, is between the most affected 200 region scoring the third place in the mortality index calculated on both landslide and flood events.

Risk mitigation strategies are more and more urgent but largely disregarded, unapplied or only partially pursued: few resources are allocated and, commonly, are used only for emergency actions while a long-term planning and scheduling should be crucial to obtain significant results (Prenger-Berninghoff et al., 2014). In the recent years, in Italy, some large structural works have been started to mitigate the worst 205 flooding risk situations, but without following a broad approach at catchment scale. The most important is the floodway channel for the Bisagno stream in Genoa (Liguria), but similar project or culvert adjusting are ongoing in smaller neighboring streams. This approach allows to mitigate just a part of the risk, ignoring slope instability processes and related contribution to solid transport into hydrographical network.

In Liguria, and especially in the Genoa metropolitan area, the high geo-hydrological risk is related to 210 intense urbanization, to the geomorphological asset and to heavy rainfall that is generally intense in autumn (Silvestro et al. 2012) and that appears to be increasing in intensity (Faccini et al., 2015; Aquaotta et al., 2017). Apart the structural interventions in the larger Bisagno catchment, even the smaller ones in

the Genoa metropolitan area are considered at high risk from the local environmental agency (ARPAL) and request mitigation works to be planned and scheduled.

The aim of the research is to propose a support tool to decision makers in order to plan and schedule long term interventions at catchment scale. A group of 21 small catchments in the middle of the zone more liable to heavy rainfall (Cassola et al., 2016) have been analyzed, comparing three sets of descriptive parameters. The comparison has been performed with spatial multicriteria analysis using a total of 19 parameters and obtaining a priority scale between the 21 catchments. Spatial multicriteria approach has been applied by many authors in flood risk and in natural hazard management (Gamper et al., 2006; de Brito et al., 2006), mostly to identify specific areas prone to instability or to compare catchments through morphometric parameters (Benzougagh et al., 2017). In the present work the Authors applied those techniques considering a broader set of parameters, trying to address the peculiarity of small urban catchments in a mountainous territory. The rank obtained with the method application could be used to evaluate the catchments that need more urgent actions in order to mitigate future eventual damages and casualties, considering that past extreme rainfall events hit bordering ones but, in the future, could replicate their effects.

2 Material and method

2.1 Geomorphological and geological settings

The studied area is one of the most critical in terms of geo-hydrological risk in Italy and in the Mediterranean basin, due to the morphometric features and to the high urbanization. It is located in the central part of Liguria region, northern Italy (fig.1): 21 catchments with a surface area comprised between 1.3 and 27.5 km² have been analyzed. Five of them, numbered 11, 13, 14 and 15 in fig. 1, are sub-catchments of the two major ones that cross Genoa city: the Bisagno and Polcevera catchments. The confluence of n° 13 with Polcevera is just upward the already collapsed Morandi bridge. All the others flow directly into the Ligurian sea.

The area is densely populated, 2,429 inhab/km² in the whole Genoa administration unit (ISTAT, 2012) and has been strongly urbanized starting from the beginning of the 20th century (Faccini et al., 2016).

240 Land use (fig. 1) clearly shows the strong dualism between the urban area, mainly concentrated in the lower catchments close to the sea, and the middle and upper mountainous catchments that preserve natural features with meadows and woods. Some catchments have been strongly modified by urbanization: in particular n° 8, 9, 10, 12, 15 and 16. In the upper part of catchments 11,12 and 13 the natural features and the presence of cultural heritages is testified by a highly frequented urban park. (Sacchini et al, 2018).
245 ~~In the upper portion of n° 10 is present, since more than 50 years, the city dump and, in the central portion, some limestone quarries.~~

Neotectonics activity has deeply influenced the structural asset, catchments' morphometry and hydrographical network features (Paliaga, 2015). The catchments are mainly elongated and oriented orthogonally to the coast line and reach maximum altitudes comprised between 491 and 1189 m a.s.l.
250 (tab. 1). Only n° 1, 3 and 4 present a less elongated feature. The strong steepness of the slopes and a substantial lack of coastal floodplain is a distinctive feature of all the area: slope gradient is high in all the catchments and particularly in n° 3 and 21 (fig. 2). The only relatively extended floodplains are present in catchments n° 8, 9, 10, 14 and 16.

The catchments present substantial homogeneous lithological features if considered in three groups (fig. 255 3): the western one (from n° 1 to 7) are prevalently ophiolitic and metamorphic; the eastern (from n° 11 to 21) are essentially sedimentary, while the central ones (from n° 8 to 10) present both the lithologies.
This structure corresponds to the limit between, respectively, Alpine and Apennine structural units, with the Sestri Voltaggio unit limit.

Hydrographical networks are generally well developed (tab. 1), but present a higher density in the western 260 catchments, due to the more impervious substrate. Main streams are generally short, coherently with the small dimensions of the catchments. Almost all the final stretches of the main streams have been culverted due to the dense urbanization: the only exceptions are n° 3, 11 and 19. In fig. 1 culvert in the final 1 km stretches are shown. Data of the floods that hit the catchments in the period 1950-2016 (Guzzetti et al., 1994; Luino and Turconi, 2017) are reported in fig. 4 and demonstrate the high geo-hydrological risk in 265 the area. Some recent events resulted particularly dramatic: 1 casualty in n° 10 in 2010 and 6 casualties in n° 15 in 2011.

Landslides are widespread along most of the catchments (fig. 5): ~~and particularly in n° 3, 4, 5, 6, 7, 14 and 21. M~~ost of the processes are superficial shallow and, despite the small dimension, sometimes they may produce high local damages, interacting with infrastructures and urban area. In occasion of flash 270 floods that hit the area (i.e. in 2010, 2011, 2014 and 2015) high solid transport, supplied by superficial landslides, occluded partially or totally some culverts, contributing significantly to the streams overflow. In the area are present even some large DSGSD (Deep Seated Gravitational Slope Deformation) -and an ancient landslide dam in n° 14.

Anthropogenic modification has interested even the not urbanized area: in the past, due to the high 275 gradient and to the need of subsistence agricultural practices, slopes were widely modified by man-made terraces (fig. 6). The structures are largely abandoned and affected by instability and erosion, increasing the -geo-hydrological hazard (Brancucci and Paliaga, 2006; Tarolli et al., 2014; Paliaga, 2016). Recent events in the Cinque Terre (2011) and in Leivi (Genoa metropolitan area, 2014) show the dramatic effects related to the presence of terraces and of their partial or total abandon (Cevasco et al., 2017; Giordan et 280 al. 2017): widespread damages in the first, and 2 casualties in the latter. ~~Terraces are widespread prevalently in n° 3, 4, 6, 11, 14, 15, 16, 17 and 18 where gradient and aspect are more favorable.~~

2.2 Climate and Meteorological context

Climate is humid-mild with a short dry summer season (Sacchini et al., 2012; Acquaotta et al., 2017~~2018a~~), with annual mean rainfall between 1,100 and 1,300 mm and 14-16 °C annual mean 285 temperature, registered in the 1945-2015 period. ~~Despite these mean values, t~~The impact of intense extreme events characterizeds the area, mostly due to the cyclogenesis over the Ligurian Sea Mediterranean cyclones that periodically spring and intensify from south of the Alps over the Gulf of Genoa in the Ligurian Sea (Saéz de Càmara et al., 2011). This phenomenon is enhanced by the interaction between the general air mass circulation and the orography, characterized by high gradient slopes and the 290 short distance of the mountains from the sea: the severe thermodynamic contrast between hot humid Mediterranean and colder continental air masses generates this configuration in the autumn-winter and spring periods (Anagnostopoulou et al., 2006), producing heavy rainfall (Sacchini et al., 2012).

At the end of the summer and autumn, when the thermodynamic contrast is higher, when thunderstorm convective systems and sometimes super-cells are triggered (Silvestro et al., 2012, 2016). and 295 pPerturbations are canalized through the valley, facilitated by their orientation causing very localized phenomena. Precipitations are then accentuated by the orographic effect. During recent heavy rainfall events the maximum intensity registered was 180 mm/h in 2011 (Acquaotta et al., 2018b) and 140 mm/h 300 (Faccini et al. 2016), respectively close and into catchment n° 15. During the 1970 flood event that hit Genoa area causing damages and 44 casualties, were registered intensities over 200 mm/6h and over 500 mm/24h were registered (Faccini et al. 2016).

2.3 Research methodology

In order to support the decision process in planning mitigation-reduction strategies of -geo-hydrological risk, a comparison tool has been developed. The problem of relating heterogeneous physical quantities has been faced using the spatial multicriteria analysis techniques (S-MCA), commonly used as a support 305 in decision making procedures, but applied even in natural hazard management (Gamper et al., 2006). The basic idea is to use a tool developed to compare heterogeneous physical quantities in order to obtain a sustainability scale between different alternatives and using it to perform a priority scale of attention for the small catchments in term of -geo-hydrological risk. The methodology considers parameters as gain or cost, depending on the influence they have in terms of sustainability: in the present study gain is intended 310 as increasing hazard, while cost to lowering it. The selected all the parameters, due to their respective nature, have been considered as gain, except for one, the concentration time, because of their contributing effect to risk as its higher value determines a lower hazard factor. Then the obtained rank puts at the higher level the catchments that have the higher gain, that is the ones to be considered more critical from comparing all the selected parameters.

315 Considering the peculiarity of the studied area three sets of describing parameters at catchment scale have been selected: the first related to the natural features connected to -geo-hydrological conditions, the second to the human alteration anthropogenic modification connected to hazard and the third to the exposure at to risk, according to the flood directive 2007/60/EC.

The parameters selection has been performed considering both previous studies (Cevasco et al., 2017;

320 Giordan et al. 2017; Faccini et al. 2018) and the active geomorphic processes in the catchments as they arise from the direct field survey dedicated mainly to point out instability processes active on the slopes and the possible sources of shallow landslides, the effects of intense rain events phenomena occurred in the recent past (2011, 2014, and 2015 events) and the diffuse inadequate size of culverts in the riverbeds.

Morphometric parameters defining the potential susceptibility of generating debris/mud flow and the ones

325 related to flood potential have been selected from the related bibliography according to the field survey. The level of anthropogenic modification has been defined through parameters that involve surface imperviousness, riverbed culvert and the presence of terraces, which are prevalently abandoned; in particular the culverting of the final stretch of the riverbeds often shows inadequacy in case of heavy rains when the water flow, solid and floating transport reach their maximum transport capacity.

330 Exposure to risk is defined considering the elements that may be threatened by floods as they have been adopted by the local authority- Regione Liguria - after the hydraulic modeling, that is the hazard assessment, and the evaluation of the potential damage, then vulnerability. The official data define areas and punctual elements exposed to 4 increasing risk levels from R1 to R4.

The flow chart of the prioritizing process is shown in fig. 7 and the selected parameters are as follows:

335 • Set 1 (environmental factors-natural evolution – tab.2):

- Drainage density: it is related to the flood potential (Patton and Baker, 1976).
- Mean slope: it is related to the time of concentration in the catchment.
- Melton ratio: it has been used as a potential indicator of susceptibility to generate debris flow (Aversa et al., 2016).
- Ruggedness number: is related to flash flood potential and high erosion rate (Patton and Baker, 1976).
- Hypsometric integral: it is correlated to the stage of geomorphic development of the catchment, is an indicator of the erosional stage and is related to several geometric and hydrological properties such as flood plain area and potential surface storage (Rogelis and Werner, 2014).

- Landslides: total surface in percentage respect to considering the catchment surface, excluding DSGSD.
- Mean bifurcation ratio, obtained as the average value of the Rb for all stream orders: high values are correlated to flash flooding potential (Howard, 1990; Rakesh et al., 2000).
- Times of concentration: the calculation has been performed with Pasini, Ventura, Pezzoli, Kirpich and NRCS-SCS formulae (tab.3); the mean value has been chosen. For NRCS-SCS application a prior CN evaluation has been assessed through land use data.
- Floodable hazard zone surface (200 years return period estimation) as the surface in percentage respect to the total catchment surface.

350 • Set 2 (environmental factors-anthropogenic impact):

- Soil consumption in percentage of the total catchment surface
- Culvert: percentage of the last km of the main stream.
- Terraces total surface in percentage respect to the catchment surface.

355 • Set 3 (social factors elements to risk):

- Percentage of the area exposed to risk level R1.
- Percentage of the area exposed to risk level R2.
- Percentage of the area exposed to risk level R3.
- Percentage of the area exposed to risk level R1R4.
- Number of punctual elements exposed to risk level R2.
- Number of punctual elements exposed to risk level R4.

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Considering the percentage onf the catchment surface for the flood hazard zoneable area (set 1) and for the area exposed to risk level R1-R4 (set 3) is similar to weighting with the catchment extension. Surface

370 area, then, is implicitly part of the process of computation.

No punctual elements in the classes R1 and R3 are present in the studied catchments.

The descriptive parameters have been collected in a geodatabase related to catchments geometry in order to allow the application of S-MCA, performed through the geoGeo-UmbriaSUIT plugin (Massei et al.,

375 2016) available in Quantum GIS [software free and open source software](#). The software performs a TOPSIS
(Technique for Order of Preference by Similarity to Ideal Solution) multicriteria [method process](#)

(Triantaphyllou, 2000; Opricovic and Gwo-Hshiung, 2004; Huang and Yoong, 1981); the method has been
chosen among several ones for the good integration with the GIS environment. It has been originally
elaborated to perform the ranking of different alternatives described by factors, aiming to the better one.

380 In this study it has been applied to point out the catchments with the worst condition in terms of the
selected parameters. Conceptually the application of the method does not change, even if the classification

is done with the worst element at the top: a set of factors describing heterogeneous features is used to
compare the described elements, that are the catchments. Then factors, defined as gain or cost depending
on the positive or negative effect they have, and choices in the TOPSIS model become respectively
parameters and catchments. The application is made considering factors that determine the worst

385 conditions in terms of criticality of the catchments and the opposite significance between better and worst
is only related to the values of the parameters: if they are related to an improving (gain) or worsening
(cost) condition. Higher values in the chosen parameters, apart the time of concentration value, implies a
worsening situation, then the ranking will classify at the first level the catchments in the worst situation.

To perform [the computation calculation](#) of the parameters for the 21 catchments [in the study area](#) the
390 following [vector and raster](#) data, realized by [the regional Regione Liguria authority](#), that is [the regional
authority](#), have been used:

- 5 m [DEM-DTM \(Digital Terrain Model\) model](#), realized in 2007.
- Land use in scale 1:10000, realized in 2015.
- Landslides inventory from IFFI project [\(Inventario dei Fenomeni Fransosi in Italia - Italian
landslides inventory\)](#), updated in 2017, [scale 1:10000](#).
- Hydrographical network and culvert data from CTR [\(Carta Tecnica Regionale, Technical
Regional Map\)](#) 1:5000, 2007.
- Floods data from the AVI [\(Aree Vulnerate Italiane da frane ed inondazioni – Floods and
Landslides Damaged Italian Areas\)](#) archive (Guzzetti et al., 1994) [for the period 1900-1990](#) [GP3]
400 and from the database of [recent](#) events in the period 2005-2016 (Luino and Turconi, 2017).
- Aerial [photographies](#) [photography](#), shoted in 2014.

During the field A survey of the whole area has been performed in order to control the real and current conditions of the catchments and to evaluate the ongoing mitigation risk reduction works that actually 405 regards catchments n° 9, 10 and 16 with the stabilization of landslides, and n° 10 and 15 with structural works to the streambedriverbed final stretch, respectively with the improvement of the culvert capacity and the realization of an overflow channel, have been evaluated. The overflow channel realization is part of the larger project for the Bisagno stream. A project for structural works in the streams are actually at the design stage for catchment n° 9.

410 3 Results

The geodatabase, collected through the calculation of the 19 parameters and shown in table 4 and 5, evidences a certain variability of values. In table 6 the time of concentration values obtained with the different formulae are shown: for the S-MCA calculation the mean value has been chosen.

415 The results of the parameters computation give a descriptive scheme of the small catchments; some have similar characteristics, and some have specific peculiarities. All the catchments share high slope and hypsometric index values. Time of concentration is always short while landslide surface (%) shows a large variability as the value of the Melton ratio and drainage density.

Flood events interested 15 on 21 catchments and some of them have been repeatedly hit. Flood hazard zones are quite extended in some cases and always involve densely populated areas.

420 Regarding catchments anthropogenic modifications, soil consumption is variable but always concentrated in the lowest part where are present even important infrastructures running along the coastline; in some cases, the value is particularly high. The highest quota slopes are usually in semi-natural conditions and in some catchments, man-made terraces are widespread and mostly abandoned. The final km culverted percentage for the main stream assumes often high values, in some cases 100%. This modification 425 represents one of the most critical as transport capacity is always inadequate in case of intense rain events, causing flooding in the surrounding urban area. Besides buildings have been built close or, more frequently, over the cover.

The parameters describing the elements exposed to risk give an idea of the impact that a flood event may have on the urban area: both the percentage of the risk area, mainly residential, industrial and hospital, 430 and the number of punctual elements, including schools and cultural heritages, are variously present but reach the highest values in catchment n° 9. For the set 1 parameters, drainage density, being affected by the bedrock and permeability, evidences higher values for the catchments from n° 1 to 8, while mean slope is always high apart, relatively, for the smaller catchments n° 9, 17 and 18. Melton ratio is particularly high for catchments n° 19 and 20; ruggedness number presents high values for n° 3 and 7. Hypsometric 435 index is always high due to the similar morphological asset of the catchments. Landslides surface, in percentage of the catchment surface, shows a large variability with the maximum values in catchments n° 3, 4, 5 and 6. Mean bifurcation ratio is low only for catchment n° 9. Time of concentration values are always short, due to the small dimensions of catchments and morphometric features; n° 5, 9, 17, 18, 19 and 20 have values shorter than 30 min. The higher number of flood events, more than 17, interested 440 catchments n° 4, 10 and 16, while floodable areas shows a significant high value for catchment n° 9. For set 2 parameters, soil consumption is always high and superior to 20%, at catchment scale, in n° 4, 5, 8, 9, 10, 12, 17 and 18; n° 9 reaches a value of about 50%. The final km culverted percentage for the main stream is over 30%, that means a 300 m long culvert, for n° 8, 9, 12, 15 and 21 with 100% for n° 12 and 21. Terraces are spread on more than the 20% of the surface in catchments n° 4, 6, 14, 15, 17 and 18. 445 Set 3 parameters describe the elements exposed at risk: catchments n° 8, 9 and 16 appears as the ones in the worst condition.

The analysis of data in the geodatabase evidences how catchment n° 9 often emerges for critical values, followed by n° 6, 8 and 17. Particular attention must be paid even on n° 11, a Polcevera's sub-catchment, and on 13, 14 and that are Bisagno's sub-catchments: in all these cases downward of the confluence with 450 the main stream the urbanization degree is at the highest level with elevated population density and soil consumption. Recent flash flood events in 2011 and 2014 interested n° 13, 14 and 15 propagating the effects to Bisagno catchment. Other peculiarities are present in the n° 12: the largest of the small catchments that constitute the ancient Genoa amphitheater with the old harbor and the historical center. Finally, the western catchments show a lower soil consumption degree but larger widespread shallow 455 areas of instability that during the recent intense rain events in 2011 and 2014 were activated.

But by leaving a qualitative approach for the quantitative one that is obtained by the application of the S-MCA techniques to compare the catchments' conditions, some more meaningful results may be obtained. The first application of the method has been performed without assuming different weights at *priori* to the describing parameters; even the same relative importance has been assumed for environmental factors 460 (set 1 and 2) and for the social-elements to risk ones (set 3). The values obtained by the calculation have been ordered in 5 classes, being the number 1 the more-most critical, or the one that requests a higher level of attention for the risk mitigation-reduction strategies. Results are shown in figure 8, while table 7 provides the score values obtained using all the parameters (priority scale A), only the anthropogenic origin ones (priority scale B) and only the natural origin ones (priority scale C) for the environmental 465 factors. A further calculation has been performed assuming proportional weights to the social-elements to risk factors, that is giving a major importance to the higher risk level respect to the lower ones. The results are collected in fig. 9 and in table 7 and constitute the priority scale D.

4 Discussion

470 The results of the application of the S-MCA technique to the 21 small catchments represent an attempt to give a decision support tool to plan and manage investments for works aimed at mitigating geo-hydrological risk in an area hardly hit by floods, flash floods and landslides in the past, as addressed by many authors (De Brito et al., 2016). Ranking alternatives in flood and risk mitigation-reduction strategies have been largely implemented and addressed to decision makers, using different S-MCA techniques 475 (Andersson-Sköld et al., 2015; de Brito and Evers, 2016). The need of for optimizing economic resources and to mitigate-reduce risk is essential in critical situation with high inhabitants' density, strong anthropogenic modifications and characterized by a high hazard. Besides, flash flood events are strongly localized and in the recent years they hit prevalently some catchments (tab. 4): n° 4, 10 and 16 present the higher-highest numbers, even if the more-most critical events happened in n° 8, 9, 10 and 15. 480 Considering that all the studied area is characterized by high hazard for the possible hit of super-cell systems and presents high hazard even for the peculiar geomorphological features, the question is what weould happen if a localized and intense event should hit every catchment. For this reason, and for the

highly inadequate actual situation, it seems necessary to assess a priority scale ~~that take into account considering~~ both natural features of the catchments and the anthropogenic modifications that enhanced the risk level in order to obtain a priority scale on a quantitative base.

The priority scale A obtained evidences the critical situation of catchment n° 9 that emerged even at a qualitative analysis level, with n° 3 and 8 in the second rank and n° 1 in the third that were more difficult to recognize. These results suggest that, possibly, the ~~higher highest~~ attention in planning resources for risk ~~mitigation reduction~~ works at catchments scale should be paid to these higher-level ranks catchments.

A detail study for the punctual activities would be essential, ~~considering the activities at catchment scale, considering the necessity of eventual enlargement of embankments and culverts, for example, but even acting along the slopes with stabilization of landslides and reduction of diffuse erosion with an integrated approach.~~

Priority scale B and C have been obtained considering, respectively, only the anthropogenic parameters and only the natural ones, in order to evidence the different eventual influence of the two sets. Considering the scale C the natural tendency of catchments to geo-hydrological risk emerges a bit differently and, examining the scale A, a possible influence of anthropogenic modifications arises more clearly. Effectively catchments n° 8 and 9 have been particularly interested by human activities: the soil consumption is high, as high is the percentage of the final km culverted ~~streambed riverbed~~. We can deduce that human interventions enhanced the most critical situations, while in other context the effect has been lower, even if always in the increasing direction.

The situation changes a little assuming a different weight to the ~~social elements to risk~~ parameters, that is considering of proportional major importance the ~~higher highest~~ exposition to risk: the priority scale always sees catchments n° 3, 8 and 9 at the ~~higher highest~~ ranks, giving a further confirmation of how critical their situation is. At the opposite side of the priority scale, catchments n° 12, 18, 19, 20 and 21 are always stable in the ~~lower lowest~~ rank, meaning a possible lower level of attention, in respect to the other ones. For example, the Fereggianno catchment (n° 15) critical situation is well known even at international level: the heavy rainfall in 2011 caused 6 casualties and ~~many much~~ damages. Despite that it ranks at the 4th level in the priority scale. It does not mean that its risk level is not high, but that it has

been hit by a heavy rainfall that caused a devastating consequence. If such an event would hit one of the other studied ~~catchment~~catchments, like n° 9 for example, the effect ~~cou~~ would be, probably, similar or even ~~worst~~worse. At the same time the D scale shows that catchments in the lower rank position are almost ~~the~~a half in respect to the ones at the same position in scale A.

515 Considering the high-risk level of the whole area the rank in the scale must be considered as an additional information: it does not mean that no ~~mitigation~~reduction work should be performed in catchments at the ~~lower~~lowest rank position, but only that the other ones should be considered more urgent.

Another consideration regards limitations in the approach related to peculiar situations that ~~do~~s not emerge from the comparison: in Geirato catchment (n° 14) is present a large landslide dam that is a

520 potential source of high hazard, not limited to the catchment itself but possibly to the main Bisagno one. This limitation could be overcome by adding a parameter for punctual peculiar situations, but it has not been considered in the present work.

The prevention activity should include interventions on both streams and slopes, structural and non-structural: the inadequate transport capacity of culverted streams is always seen as the only problem to

525 be solved but considering the high solid transport and debris/mud that often add their effect during the intense rain events and that act locally, interrupting roads or impacting buildings, and causing problems in the urbanized lower parts of the catchments, solutions should be studied holistically. The debate between using structural or non-structural interventions for risk reduction has been faced by many authors

(Kundzewicz, 2002; Yazdi and Neyshabouri, 2012; Meyer et al, 2012) but in conditions like the studied

530 one only the mutual concurrence of them may insure an acceptable result. A strong and continuous monitoring (Collins, 2008) and maintenance of the slopes, due to their straight closeness and relation with the urban area is crucial: from structural intervention on landslides stabilization to soil bioengineering techniques to reduce erosion and shallow landslides susceptibility and the recovery of abandoned terraces

(Morgan and Rickson, 2003). The basic philosophy should be to act preventively on instability with even

535 small and not invasive interventions widespread on the territory (Lateltin et al, 2005). These activities should be focused to reduce the potential debris and sediments that contribute substantially to saturate culverts during intense rain events. Considering that the critical situation deriving from the soil consumption cannot be modified, as re-naturalization is not an option considered acceptable both from

540 decision makers and probably from large parts of the population, other interventions may be addressed to reduce the negative effects of the anthropogenic modifications. Only in very limited situations the eventual culvert elimination would be possible without knocking down buildings that is an option with a low acceptance level. In the other cases the possible solutions are structural hydraulic interventions that may guarantee the reduction of the extension of flood hazard zones and then even of the elements to risk areas. This include enlargement of embankments, restructuring of culverts and realization of diversion

545 overflow channels. In the cases where these high cost interventions are crucial, like for catchments n° 8, 9, 10, 11, 12, 15 and 21, the reduction of solid transport in the streams, that is mainly reduction of erosion, shallow landslides ad stabilization of abandoned terraces, would contribute significantly to the risk mitigation. Cost of structural hydraulic interventions is usually high and of the order of millions of euro, while spread small interventions on the slopes are usually at less an order of magnitude lower, but the

550 integration of the two is essential in many situations. For example, in catchments n° 9, 10, 14 and 15 where landslides, abandoned terraces and high gradient slopes are close and coupled with densely populated areas and intensely modified riverbed with inadequate capacity culverts. On the other hand, catchments n° 3, 4, 5 and 6 are mostly interested by slope instability processes and present a lower level of soil consumption and, more in general, of anthropogenic modifications.

555 The applicable mitigation measures present a good level of ecological compatibility, in particular the bioengineering ones along the slopes, for their low environmental impact, while the structural hydraulic interventions would be done in urban areas producing only temporarily impacts on population, due to the construction site set-up. Regarding the potential acceptation of the population, the interventions along the slopes should not be problematic for their usually modest dimensions, while the structural hydraulic

560 interventions higher impact, even if limited in time, and elevate cost could be a little more problematic. Actually, some important works are ongoing along the Bisagno stream, with traffic disturbance and influences on economic activities lasting for some years, but the population risk awareness has risen after the last devastating flash flood in 2011 and 2014.

565 Finally, mitigation-risk reduction works would have a direct influence in the priority scale method: besides the stabilization of landslides, the structural interventions on streams would have the effect of modifying

and reducing the extension of flood hazard zones~~able areas~~ and then even of the areas exposed at risk. In this way the methodology could be used even to simulate the effects of some structural important and expensive works on the overall rank in the priority scale. This information could be included in the
570 cost/benefit analysis of the planned structural interventions.

5 Conclusion

Mitigation strategies for geo-hydrological risk request a catchment scale approach that results particularly crucial in a composite context where hazard related to natural features concur together with high anthropogenic modification of the territory and high vulnerability (Pasche et al., 2008). More in general
575 prevention of geo-hydrological risk requests a decision-making process that is complex, affected by uncertainty (Akter and Simonovic, 2005; Kenyon, 2007) and often with limited economic resources at disposition.

Besides, an area characterized by many small urban catchments is complex to manage and a strong programming and planning is essential. The proposed method for prioritize planning for risk mitigation
580 works at~~between~~ catchments ~~scale~~ could be used as a support tool to quantitatively address economic resources that usually are limited and request a strong optimization (Gamper et al., 2006). The approach could be even used in different context at sub-catchment scale to point out the more critical sub-catchment situations and basing the comparison on different sets of parameters depending on the active processes in the area. The procedure may be adapted and modified with weighting of selected parameters in order to
585 give major importance to the ones considered more erucial~~important~~. Another adjustment of the method is possible considering the relative importance to the environmental set of parameters in respect to the social elements to risk ones: depending on the value that we would assign to the different aspects of the evaluation, different weight may be assumed.

The application of the methodology in a high-risk area allowed to obtain a priority scale that is actually
590 partially confirmed by the structural intervention that local authority is operating: some are in design phase and some are in construction. The critical situation of catchment n° 9 is actually being approached and the solution has been found in some important design for the adjustment of the culvert and of stream embankments; besides an overflow channel is going to be realized in the Bisagno catchment, involving

even the Fereggiano one (n° 15). These works are largely expensive but are now essential to reduce risk
595 in a situation where the anthropogenic modification almost saturated all the available spaces in the
floodplain, like-as it happened in all the small urban catchments examined in the present study. The risk
mitigation-reduction would require a holistic approach at catchment scale, considering all the processes
acting, their mutual relationships and trying to address all the problems, considering that what happens
along the slopes influences even the lower-lowest portion of the catchment itself (Samuels et al., 2006;
600 Blöschl et al., 2013; Samuels et al., 2006). Moreover, the cost of interventions along the slopes is usually
significantly less economically impacting than the structural works are.

The cost of interventions has not been considered in the present study as the aim of the work was to
compare the small catchments and realize a priority scale of attention to address planning on risk basis
but could be included in the methodology and perhaps developed in a subsequent phase. Its role would
605 be at the same level of environmental and social-elements to risk factors and a weight could be assigned
to find a balance between-among the three. Such evaluation could be done after a preliminary assessment
of the interventions in all the comparing catchments; the application of the method in such a case could
address more precisely the investment of economic resources.

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845 **Figure 1:** Land use of the studied catchments (ref. to table 1). A: urban area; B: meadows; C: cultivations; D: woods; E: rocks and areas hit by fire.

Figure 2: Gradient in the studied catchments.

850 **Figure 3:** Simplified lithology of the studied catchments.

Figure 4: The hydrographical network with main streams culverted last stretch of the studied catchments; the light blue circles are proportional to the number of floods in the catchments in the period 1900-2016 (Guzzetti, 1994; Luino and Turconi, 2017).

855 **Figure 5:** Landslides in the studied catchments discriminated by activity status (IFFI database, 2017 update).

Figure 6: Man made terraces in the studied catchments.

860 **Figure 7:** The flow chart for the prioritizing method: the spatial multicriteria analysis allows to compare 3 sets of un-homogeneous parameters to realize a classification of the catchments that can be used as a decision support system in risk mitigation planning.

Figure 8: The priority scale obtained using all the parameters, excluding DSGSD for the calculation of landslides.

865 **Figure 9:** The priority scale obtained using all the parameters, excluding DSGSD for the calculation of landslides and weighting the social-elements to risk factors.

Table 1: The main morphometric features of the studied catchments.

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Stream name	Catchment number	Area (km ²)	Hydrographical network length (m)	Main stream length (m)	Mean altitude (m)	Minimum altitude (m)	Maximum altitude (m)
T. LERONE	1	21.1	79150	8274	510	0	1189
T. CANTARENA	2	4.5	22573	4289	444	0	922
T. CERUSA	3	23.1	142921	7946	506	0	1177
T. LEIRA	4	27.5	144486	6249	410	0	1001
T. BRANEGA	5	4.7	26733	3339	290	0	859
T. FOCE	6	3.5	18629	3354	191	0	598
T. VARENNA	7	22.3	140566	10393	461	0	995
R. MOLINASSI	8	1.8	9246	3707	222	0	545
R. CANTARENA	9	1.9	5621	2443	131	0	435
R. CHIARAVAGNA	10	10.7	60531	6838	272	0	658
T. TORBELLA	11	5.0	21644	3946	232	14	635
R. LAGACCIO	12	3.4	7866	2773	199	0	493
T. VELINO	13	3.2	12439	3034	236	18	543
T. GEIRATO	14	7.8	27863	4368	296	47	779
T. <u>MOLINETTOFERE GGIANO</u>	15		17197 4.7	4239	216	10	564
T. STURLA	16	13.3	54024	6995	316	0	845
R. PRIARUGGIA	17	1.5	3745	2680	145	0	491
R. CASTAGNA	18	1.4	5672	2652	165	0	540
R. BAGNARA	19	1.6	6816	2645	293	0	823
R. S. PIETRO	20	1.3	5940	2597	279	0	724
T. NERVI	21	9.0	51201	6166	391	0	846

Table 2: The morphometric parameters formulae used.

Morphometric parameter	Formulae
Drainage density <u>(km⁻¹)</u>	$D_d = \frac{\sum L}{S}$
Melton ratio	$Mi = (H_M - H_{Mm})/(S)^{1/2}$
Ruggedness number	$Rn = D_d * (H_M - H_m)$
Hypsometric integral	$Hi = \frac{(H - H_m)}{(H_M - H_m)}$
Bifurcation ratio	$Rb = \frac{N_u}{N_{u+1}}$
Catchment surface <u>(km²)</u>	S
Stream length <u>(km)</u>	L
Strahler order	u
<u>Number of streams of order u</u>	N_u
Main stream length <u>(km)</u>	L_m
Main stream gradient <u>(km/km)</u>	i
Mean elevation <u>(km)</u>	H
Main stream difference in height <u>(km)</u>	d
Maximum elevation <u>(km)</u>	H_M
Minimum elevation <u>(km)</u>	H_n
Medium elevation <u>(km)</u>	H
Mean gradient of the slopes <u>(%)</u>	y

Table 3: Time of concentration formulae used.

Time of concentration (h)	Formulae
Pasini	$t_c = 0.108 * \frac{(AS * L_m)^{1/3}}{i^{1/2}}$
Ventura	$t_c = 0.127 * (S/i)^{1/2}$
Pezzoli	$t_c = 0.055 * \frac{L_m}{i^{1/2}}$
Kirpich	$t_c = 0.095 * \frac{L_m^{1.155}}{d^{0.385}}$
NRCS-SCS	$t_c = 0.57 * \frac{L_m^{0.8} * (X + 1)^{0.7}}{y^{1/2}}$ $X = \frac{1000}{CN} - 10$ $CN = \text{curve number}$

Table 4: The geodatabase with the chosen criteria related to geo-hydrological hazard. The a through j parameters are related to natural features, while the k through m are determined by anthropogenic modifications.

Catchment number	a	b	c	d	e	f	g	h	i	j	k	l	m
	D _d (km ⁻¹)	Mean gradient (%)	Mi	Rn	Hi	Landslide (%)	Rb mean	Time of concentration (')	Floods number	Floodable Flood hazard zone area 200 y (%)	Soil consumption (%)	Culvert last km (%)	Terraces (%)
1	3 _± 75	56 _± 5	0 _± 26	4 _± 45	0 _± 43	0 _± 2	0 _± 28	82 _± 64	2	0 _± 3	10 _± 9	5 _± 1	12 _± 1
2	4 _± 96	55 _± 9	0 _± 43	4 _± 58	0 _± 48	0 _± 4	0 _± 25	36 _± 15	0	0 _± 6	17 _± 1	25 _± 0	14 _± 1
3	6 _± 19	60 _± 4	0 _± 25	7 _± 29	0 _± 43	6 _± 6	0 _± 31	81 _± 34	1	0 _± 7	7 _± 4	7 _± 4	19 _± 2
4	5 _± 25	62 _± 1	0 _± 19	5 _± 26	0 _± 41	4 _± 3	0 _± 32	73 _± 37	20	0 _± 2	20 _± 7	10 _± 5	20 _± 1
5	5 _± 71	46 _± 1	0 _± 40	4 _± 90	0 _± 34	6 _± 5	0 _± 24	29 _± 53	3	0 _± 6	27 _± 8	9 _± 0	9 _± 9
6	5 _± 34	45 _± 4	0 _± 32	3 _± 19	0 _± 32	4 _± 9	0 _± 26	35 _± 29	4	0 _± 5	9 _± 5	22 _± 2	40 _± 3
7	6 _± 30	56 _± 0	0 _± 21	6 _± 27	0 _± 46	0 _± 6	0 _± 30	110 _± 08	6	0 _± 3	16 _± 9	11 _± 4	9 _± 6
8	5 _± 06	47 _± 2	0 _± 40	2 _± 76	0 _± 41	0 _± 0	0 _± 21	33 _± 80	2	3 _± 4	20 _± 4	45 _± 9	18 _± 8
9	3 _± 01	31 _± 8	0 _± 32	1 _± 31	0 _± 30	0 _± 0	0 _± 07	27 _± 16	4	10 _± 6	49 _± 4	34 _± 4	6 _± 6
10	5 _± 65	49 _± 4	0 _± 20	3 _± 72	0 _± 41	0 _± 1	0 _± 29	77 _± 65	17	2 _± 7	23 _± 4	17 _± 6	5 _± 3
11	4 _± 33	46 _± 3	0 _± 28	2 _± 69	0 _± 35	0 _± 8	0 _± 29	39 _± 58	1	1 _± 9	13 _± 6	0 _± 0	18 _± 0
12	2 _± 33	45 _± 1	0 _± 27	1 _± 15	0 _± 40	0 _± 0	0 _± 31	34 _± 43	0	0 _± 1	36 _± 3	100 _± 0	0 _± 0
13	3 _± 84	55 _± 0	0 _± 29	2 _± 02	0 _± 42	2 _± 8	0 _± 31	35 _± 75	1	1 _± 8	7 _± 3	35 _± 7	5 _± 6
14	3 _± 58	49 _± 9	0 _± 26	2 _± 62	0 _± 34	0 _± 2	0 _± 37	50 _± 12	2	0 _± 6	7 _± 7	11 _± 8	29 _± 2
15	3 _± 68	48 _± 2	0 _± 26	2 _± 04	0 _± 37	0 _± 0	0 _± 30	55 _± 11	4	3 _± 4	19 _± 0	80 _± 4	26 _± 8
16	4 _± 05	50 _± 6	0 _± 23	3 _± 42	0 _± 37	0 _± 0	0 _± 32	85 _± 44	10	2 _± 0	13 _± 8	9 _± 8	16 _± 7
17	2 _± 58	32 _± 6	0 _± 41	1 _± 27	0 _± 30	0 _± 0	0 _± 13	26 _± 56	0	0 _± 5	34 _± 0	17 _± 2	32 _± 3
18	4 _± 04	38 _± 6	0 _± 46	2 _± 18	0 _± 31	0 _± 0	0 _± 39	26 _± 06	0	0 _± 0	22 _± 3	3 _± 6	32 _± 3
19	4 _± 35	50 _± 8	0 _± 66	3 _± 58	0 _± 36	1 _± 3	0 _± 22	19 _± 56	0	0 _± 1	15 _± 1	10 _± 7	14 _± 6
20	4 _± 47	55 _± 3	0 _± 63	3 _± 23	0 _± 39	0 _± 0	0 _± 32	20 _± 38	0	0 _± 0	8 _± 6	18 _± 2	12 _± 8
21	5 _± 66	65 _± 8	0 _± 28	4 _± 79	0 _± 46	0 _± 7	0 _± 29	65 _± 20	7	0 _± 4	3 _± 3	100 _± 0	11 _± 5

Table 5: The geodatabase with the evaluation of the surfaces (%) and punctual elements at-risk in the studied catchments, according to the EU Flood Directive 2007/60/CE.

Catchment number	R1 risk area (%)	R2 risk area (%)	R3 risk area (%)	R4 risk area (%)	R2 risk elements	R4 risk elements
1	0.19	0.02	0.00	0.16	0	0
2	0.14	0.61	0.02	0.48	1	0
3	0.16	0.18	0.04	0.53	6	0
4	0.08	0.18	0.00	0.14	2	1
5	0.04	0.41	0.01	0.38	1	1
6	0.07	1.03	0.00	0.45	0	0
7	0.13	0.37	0.00	0.17	1	0
8	0.20	2.30	0.00	3.21	0	2
9	0.02	0.24	0.00	10.54	0	13
10	0.07	0.57	0.04	2.61	0	3
11	0.08	0.70	0.08	1.73	0	0
12	0.00	0.00	0.00	0.14	0	0
13	0.01	0.60	0.73	1.05	0	0
14	0.08	0.97	0.02	0.52	1	1
15	0.00	0.28	0.05	3.30	0	2
16	0.27	0.64	0.06	1.70	0	0
17	0.11	0.15	0.02	0.50	0	0
18	0.00	0.00	0.00	0.00	0	0
19	0.00	0.05	0.00	0.11	0	0
20	0.00	0.01	0.00	0.02	0	0
21	0.02	0.09	0.01	0.35	0	0

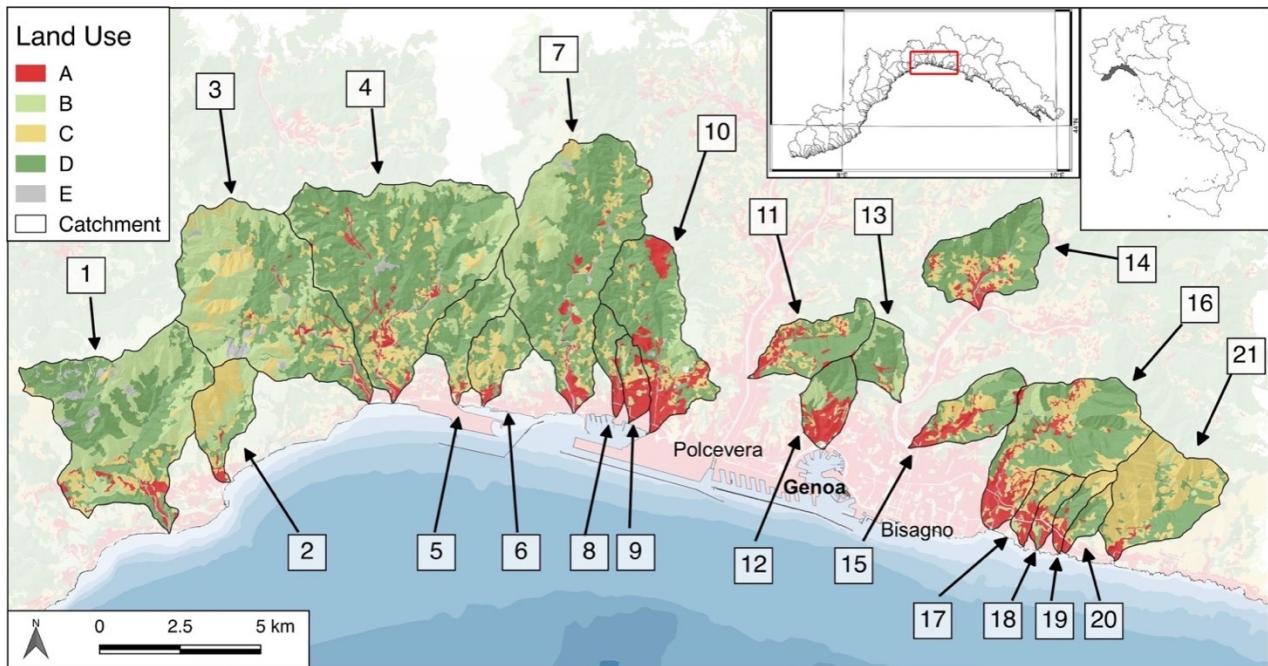
Table 6: Time of concentration for the studied catchments: 5 methodologies have been used and the mean value has been chosen as representative in table 4.

Catchment number	Pasini (m)	Ventura (m)	Pezzoli (m)	Kirpich (m)	NRCS-SCS (m)	Mean value (m)
1	109 ₋₅ 0	105 ₋₅ 5	82 ₋₁ 1	47 ₋₂ 0	69 ₋₂ 6	82 ₋₆
2	43 ₋₈ 8	40 ₋₂ 9	35 ₋₂ 5	24 ₋₂ 7	35 ₋₂ 8	36 ₋₁
3	108 ₋₅ 8	108 ₋₅ 3	77 ₋₂ 5	45 ₋₂ 0	67 ₋₂ 1	81 ₋₃
4	103 ₋₅ 6	115 ₋₂ 1	59 ₋₂ 3	36 ₋₂ 6	52 ₋₂ 3	73 ₋₄
5	34 ₋₂ 7	35 ₋₂ 3	23 ₋₂ 6	18 ₋₂ 0	36 ₋₂ 1	29 ₋₅
6	43 ₋₂ 7	42 ₋₂ 4	32 ₋₂ 9	23 ₋₂ 3	34 ₋₂ 2	35 ₋₃
7	145 ₋₂ 3	131 ₋₂ 6	125 ₋₂ 2	65 ₋₂ 1	83 ₋₂ 2	110 ₋₂ 1
8	38 ₋₂ 2	32 ₋₂ 2	38 ₋₂ 2	26 ₋₂ 1	34 ₋₂ 3	33 ₋₂ 8
9	33 ₋₂ 9	32 ₋₂ 9	25 ₋₂ 5	19 ₋₂ 1	24 ₋₂ 4	27 ₋₂ 2
10	102 ₋₂ 4	94 ₋₂ 3	85 ₋₂ 2	48 ₋₂ 4	58 ₋₂ 0	77 ₋₆
11	49 ₋₂ 9	48 ₋₂ 7	37 ₋₂ 1	25 ₋₂ 5	36 ₋₂ 6	39 ₋₆
12	46 ₋₂ 9	48 ₋₂ 2	31 ₋₂ 4	22 ₋₂ 5	23 ₋₂ 2	34 ₋₄
13	46 ₋₂ 1	45 ₋₂ 6	33 ₋₂ 3	23 ₋₂ 5	30 ₋₂ 3	35 ₋₈
14	66 ₋₂ 1	67 ₋₂ 1	45 ₋₂ 4	29 ₋₂ 8	42 ₋₂ 2	50 ₋₂ 1
15	75 ₋₂ 1	70 ₋₂ 6	59 ₋₂ 9	36 ₋₂ 9	33 ₋₂ 0	55 ₋₂ 1
16	117 ₋₂ 2	111 ₋₂ 1	92 ₋₂ 0	51 ₋₂ 4	55 ₋₂ 5	85 ₋₄
17	32 ₋₂ 1	29 ₋₂ 0	27 ₋₂ 9	20 ₋₂ 5	23 ₋₂ 4	26 ₋₆
18	30 ₋₂ 5	27 ₋₂ 5	26 ₋₂ 6	19 ₋₂ 8	25 ₋₂ 9	26 ₋₁
19	21 ₋₂ 2	19 ₋₂ 4	17 ₋₂ 7	14 ₋₂ 5	25 ₋₂ 0	19 ₋₆
20	22 ₋₂ 0	19 ₋₂ 8	19 ₋₂ 3	15 ₋₂ 4	25 ₋₂ 4	20 ₋₄
21	84 ₋₂ 5	78 ₋₂ 3	69 ₋₂ 4	41 ₋₂ 3	52 ₋₂ 5	65 ₋₂

Table 7: The priority scales - A: using all the parameters; B: using parameters k_{r_1} , l and m (ref. Tab. 24); C: using parameters a through j (Tab. 2); D: using all the parameters and weighting the [social-elements to risk](#) ones (tab 3).

Catchment number	Priority scale A	Priority scale B	Priority scale C	Priority scale D	Priority scale
1	5	5	4	4	1
2	4	4	4	3	2
3	2	3	1	1	3
4	4	4	3	3	4
5	4	5	3	3	5
6	4	4	3	3	4
7	5	5	4	4	3
8	2	2	2	1	2
9	1	1	2	2	1
10	4	4	4	4	4
11	5	4	4	4	3
12	5	4	5	5	2
13	3	3	2	3	1
14	4	4	4	4	4
15	4	3	4	4	3
16	4	4	4	3	2
17	5	4	5	4	1
18	5	5	5	5	5
19	5	5	4	5	4
20	5	5	5	5	3
21	5	4	5	5	2

FIGURES



05 Figure 1:

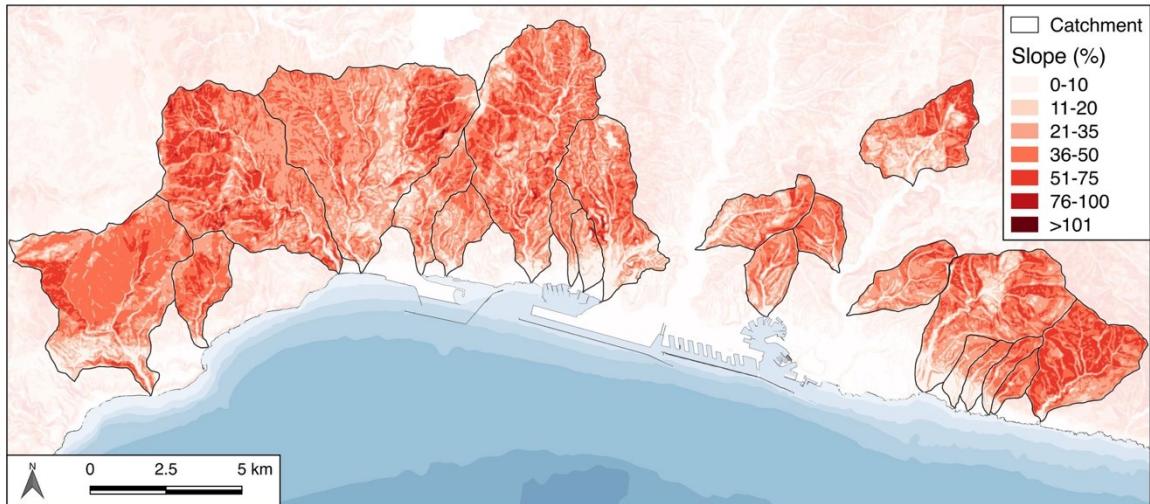


Figure 2:

910

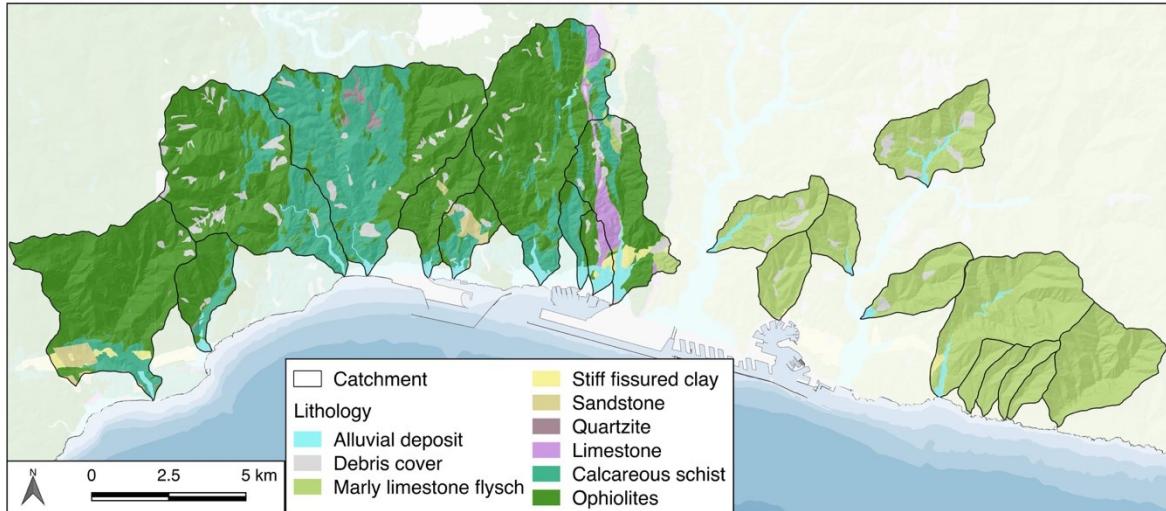
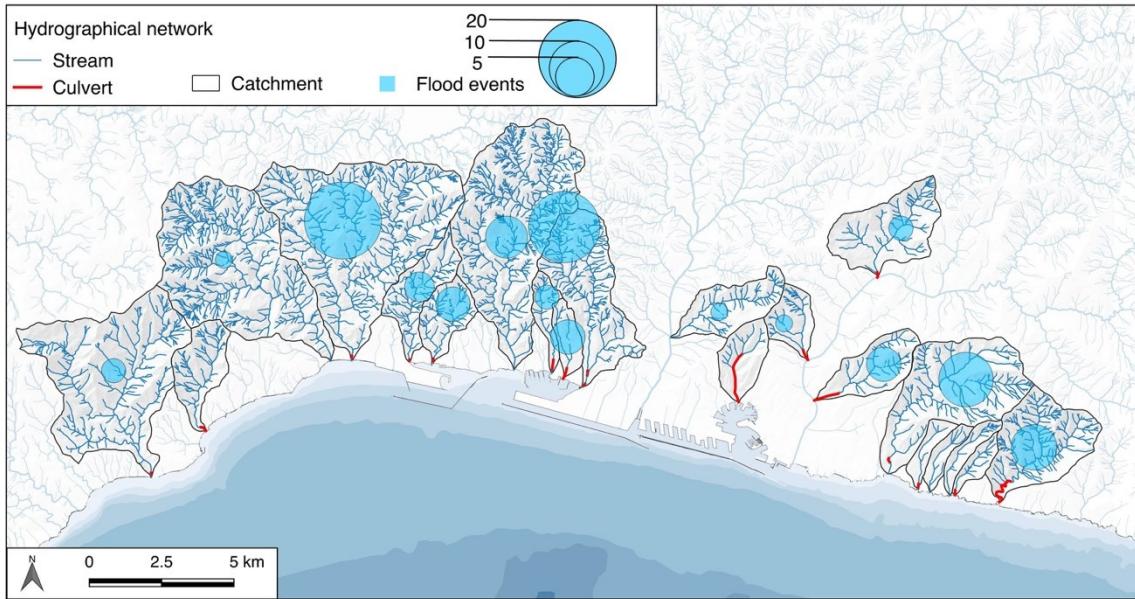


Figure 3:



915

Figure 4:

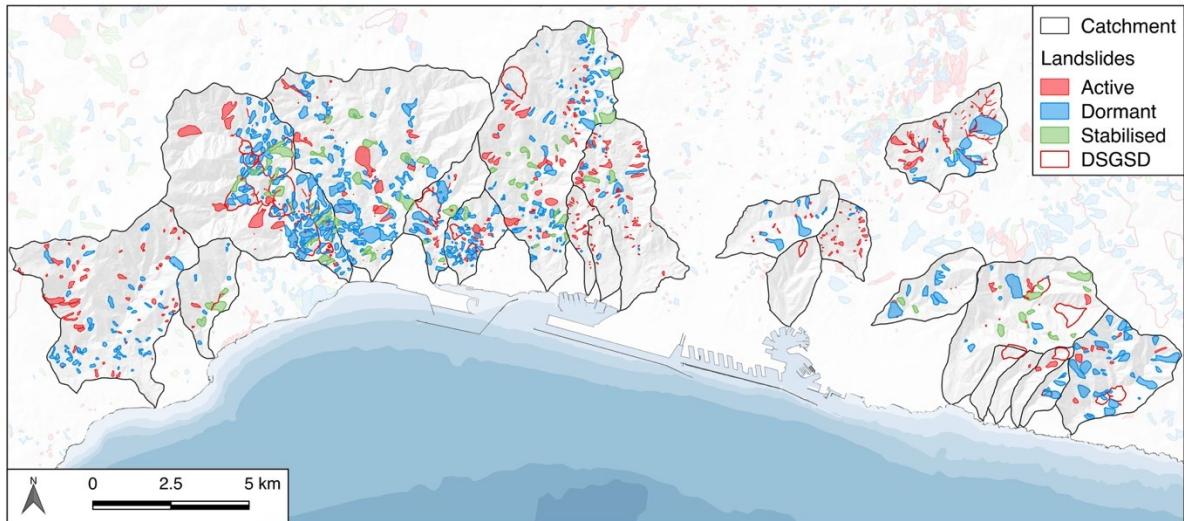


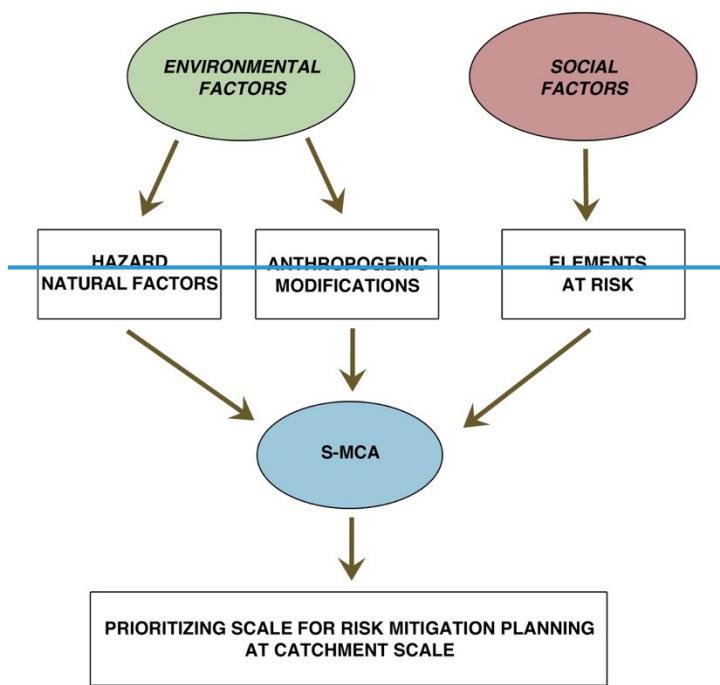
Figure 5:

920



Figure 6:

PRIORITY SCALE FOR GEO-HYDROLOGICAL RISK MITIGATION
PLANNING IN SMALL AND HIGHLY URBANIZED CATCHMENTS



PRIORITY SCALE FOR GEO-HYDROLOGICAL RISK MITIGATION
PLANNING IN SMALL AND HIGHLY URBANIZED CATCHMENTS

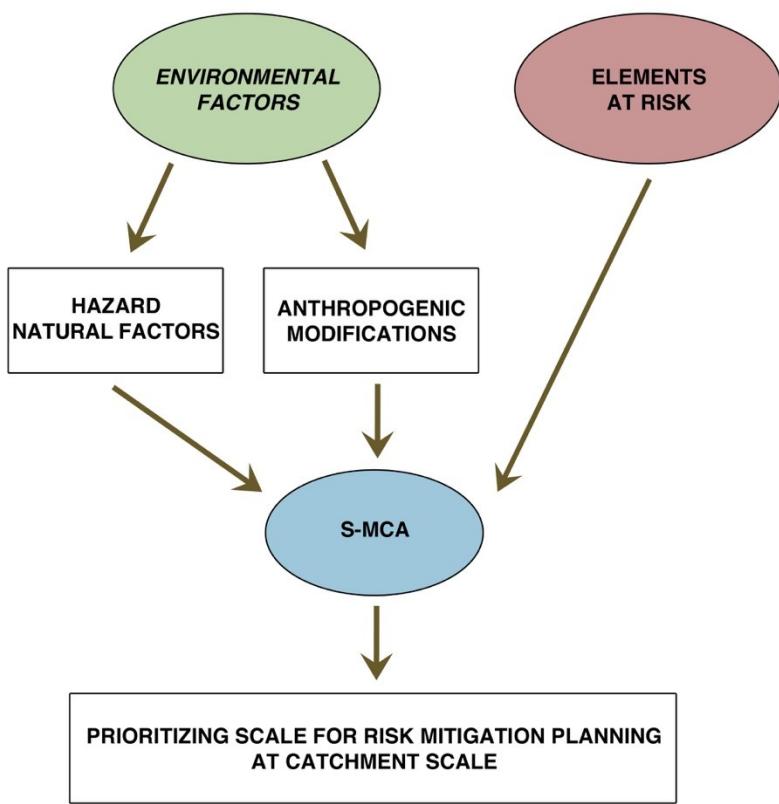
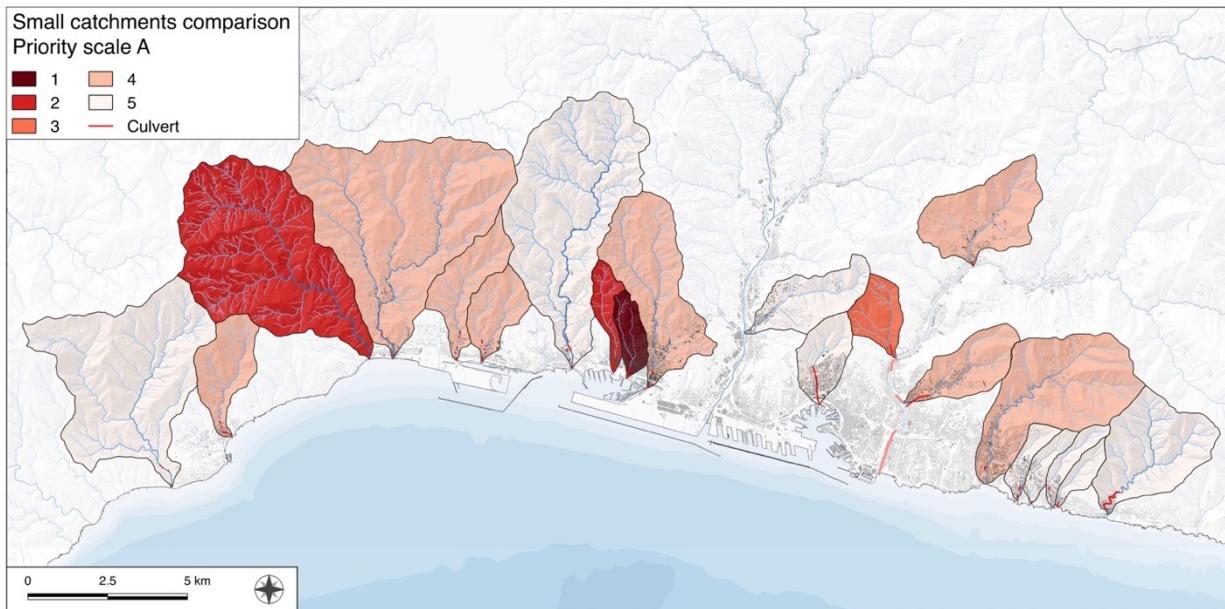


Figure 7:



930 Figure 8:

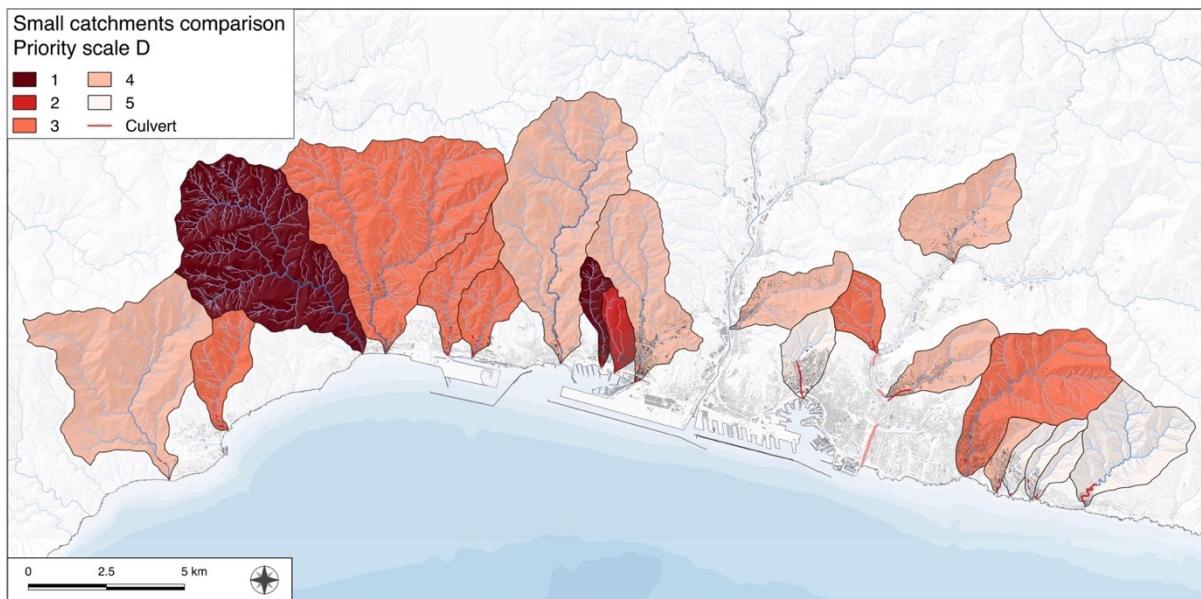


Figure 9: