



1 **Quantitative assessment of rainfall-induced landslide**
2 **susceptibility in new urban area of Fengjie County, Three**
3 **Gorges area, China**

4 Haijia Wen^{1,2}, Yanyan Zhang^{1,2}, Guofan Duan³, Hongmei Fu^{1,2}, Peng Xie^{1,2}, Peng
5 Zhou⁴, Yong Yang⁴
6

7 ¹Key Laboratory of New Technology for Construction of Cities in Mountain Area(Chongqing
8 University), Ministry of Education, Chongqing 400045, China

9 ²School of Civil Engineering, Chongqing University, Chongqing 400045, China

10 ³Chongqing Yuandao Construction Planning and Design Co., LTD, Chongqing 400041, China

11 ⁴Chongqing Institute of Geology and Mineral Resources, Chongqing, 400042, China

12 *Correspondence to:* Yanyan Zhang (yyz@cqu.edu.cn)

13 **Abstract.** The objective of this study is to develop a methodology for quantifying rainfall-induced
14 landslide susceptibility in a regional scale. Based on the combination of mechanical stability analysis
15 and artificial neural network (ANN) and of Geographic Information Systems (GIS) and detailed field
16 investigation, the methodology was applied to the new urban area of Fengjie County in Northeastern
17 Chongqing, China. According to the field investigation, an analysis sample database (ASD) pertaining
18 to 6 slope stability influencing factors was built by means of uniform design method, and 30 samples
19 for slope stability analysis were grouped. Then, safety factors of the sample groups were calculated by
20 means of Geo-studio software concerning rainfall infiltration into slopes. To obtain overall slope
21 stability analyses in the study area, the ANN was employed and the safety factors of the samples were
22 utilized as training samples by ANN. Combining the trained ANN and survey data of the study area, the
23 computation of safety factors under different rainfall were integrated and mapped within the GIS. The
24 landslide susceptibility assessment indicates that slopes in more than a quarter of the study area are
25 prone to landslides under rainstorm and severe rainstorm, however, slopes in the whole area under light
26 rainfall, moderate rainfall and even heavy rainfall are relatively safer. Further, the results highlight the
27 geological settings effect on landslide susceptibility as the high susceptibility zones are mainly
28 distributed along the Yangtze River and its three branches, where the bank slopes are composed of
29 fractured stratum, weak rocks and deposits. In good accordance with the rainfall-induced landslide
30 events occurred in recent years and some findings in other literature about the study area, it is proved
31 that the methodology presented in this paper could reasonably delineate landslide susceptibility under
32 rainfall.

33
34 **Keywords:** Rainfall-induced landslide susceptibility; Three Gorges; Quantitative analysis; Geo-studio;
35 Artificial neural network (ANN)
36

37 **1 Introduction**

38 Fengjie County is located in the Three Gorges region, known as an area of frequent landslides.
39 Landslide hazards are increased in the Three Gorges area due to the construction of Three Gorges dam



1 (Bai et al., 2010). Attention has been attracted not only for landslide hazard assessment (e.g. Deng et al.,
2 2000; Wu et al., 2001; Fourniadis et al., 2007a; Wang and Li, 2012; Liu et al., 2013; Zhu et al., 2014)
3 but also for the impact of slope instability on ecosystems and socio-economic stability (Fourniadis et
4 al., 2007b).

5 In mountainous terrain, landslides are often triggered by rainfall (Dai and Lee, 2002a), which could
6 result in enormous property damage and loss of human life. In the case of landslide events, landslide
7 susceptibility assessment is the presentation of spatial distribution of existing and potential landslides
8 in an area (Guzzetti et al., 1999; Fell et al., 2008; Van Den Eeckhaut and Hervás, 2012) and it could
9 provide valuable assistance for hazards mitigation (Fall et al., 2006; Nefeslioglu et al., 2008). Studies
10 on rainfall-induced landslide have been conducted by various researchers around the world (e.g. Fourie,
11 1996; Crosta, 1998; Iverson, 2000; Dai et al., 2003; Rahardjo et al., 2005; Zhang et al., 2005; van
12 Westen et al., 2006; Crosta and Frattini, 2008; Castellanos Abella and van Westen, 2008; Wu and Chen,
13 2009; von Ruettele et al., 2011; Giannecchini et al., 2012; Springman et al., 2013; Alvioli et al., 2014),
14 rainfall thresholds identification, rainfall infiltration analysis, stability analysis and landslide risk
15 assessment were implemented. Heuristic methods, statistical approaches, probabilistic and
16 deterministic models were employed concerning spatial and temporal characteristics as well as
17 site-specific slopes, regional scales and national scales were involved.

18 Based on the methods utilized to perform the landslide susceptibility, the most common
19 classification is to divide those methods into two types: qualitative methods and quantitative methods
20 (Aleotti and Chowdhury, 1999). The qualitative risk assessment based on heuristic approaches are
21 conducted in many countries (van Westen et al., 2006), for a regional analysis it is often useful (Ayalew
22 and Yamagishi, 2005), however, the qualitative methods are relied on the experience of experts and
23 hence partly subjectivity is accompanied. The quantitative methods, namely statistical analysis,
24 deterministic analysis and probabilistic approaches (Aleotti and Chowdhury, 1999), are based on
25 numerical calculations to figure out the relationship between influencing factors and landslides, thus
26 during the process of weight assignment subjectivity and bias could be minimized (Kanungo et al.,
27 2009). The appropriate choice of what type the methods are implemented depends on the type of
28 project, the availability of data, the criteria used to judge the degree of acceptable risk, etc. (Whitman,
29 2000). In addition, a detailed knowledge and understanding of slope failure mechanism, slope
30 movement, geology, geomorphology and hydrogeology is essential to carry out a landslide
31 susceptibility (Fell et al., 2008). In recent years, some physically-based models have been conducted to
32 study the mechanism of rainfall-induced landslides and infiltration analysis for individual slopes (e.g.
33 Lee et al., 2009; Cascini et al., 2010), and models generally combining an infinite stability model and a
34 hydrological model concerning topographic, geotechnical and hydrologic parameters for regional
35 assessment have been developed (e.g. Salciarini et al., 2006; Montrasio et al., 2011; Kim et al., 2014).
36 Among the approaches, to facilitate the improvement of the landslide susceptibility the Geographic
37 Information Systems (GIS) are usually applied with its power to process spatial data (Carrara et al.,
38 1999; Dai et al., 2002b; Zhou et al., 2003; Neuhäuser et al., 2012). However, one of the drawbacks in
39 the physically-based approaches is prohibitive data requirements and therefore may be appropriate for
40 small areas (Dai et al., 2002b; Giannecchini et al., 2012).

41 As to Fengjie, existing studies revealed that rainfall is the main triggering factor for landslides, and
42 relevant researches were mainly about landslides distribution and slope failure mechanisms (e.g. Zhang
43 et al., 2004; Xu, 2005; Qi et al., 2006; Wang, 2007; Li, 2010; Yang et al., 2012), however, few landslide
44 inventory maps and landslide susceptibility maps were involved. Moreover, after the impounding of



1 Three Gorges Project in 2003, the environment in Fengjie has experienced large changes (Liu, 2005)
2 hence the landslides events in the past may not be a good indication to implement landslide assessment.
3 Thus, to carry out rainfall-induced landslide susceptibility map in Fengjie using the traditional methods
4 (e.g. Heuristic methods and statistical approaches) may not seem to be a good choice.

5 The objective of this paper is to carry out a quantitative assessment of landslide susceptibility in new
6 urban area of Fengjie county. The study develops an infinite stability model using Geo-studio software
7 concerning rainfall infiltration to obtain safety factor for individual slopes, then combining the
8 calculation results with artificial neural network (ANN) to figure out the relationship between
9 influencing factors and potential landslides, based on the trained model, using GIS, a landslide
10 susceptibility assessment map could be made.

11

12 **2 The Study area**

13 Fengjie County, passed through by the Yangtze River, lies in northeastern Chongqing Municipality. It is
14 in the Three Gorges area, which separates the Sichuan Basin and Jiangnan Basin (Li et al., 2001). The
15 new urban area of Fengjie County, also named Sanmashan urban area, at present less than 7 km², is
16 distributed mainly along the north bank of Yangtze River (Fig. 1). On the north bank there are three
17 branches of Yangtze River, Caotang river (15km), Meixi river (40km) and Zhuyi river (20km), and
18 these rivers divide the north bank into three piece areas, Kouqianpian, Lianhuachi and Baotaping from
19 west to east. To settle thousands of immigrants because of Three Gorges Project, the new urban area
20 has experienced a rapid construction since 1996 (Fig. 2).

21

22 **2.1 Geomorphological and geological settings**

23 Fengjie County belongs to eastern Sichuan Basin, is located in the joint of Sichuan syncline, fold
24 belt of Upper Yangtze platform and secondary structural belt of Daba platform, and folding
25 deformation was the dominant tectonic activity (Yang et al., 2012). The study area is situated in the
26 Three Gorges area which is characterized by continuous mountains, cliffs and deep river valleys.
27 Episodic intense tectonic movement and river incision during the quaternary are considered as the
28 primary formation reason of the Gorges (Li et al., 2001). The folds in the study area show a wide-slow
29 character and rock stratum trends in most parts of the area are in the direction E-W, approximately
30 paralleling the flow direction of Yangtze river with dip angles range from 5° to 30° (Luo et al., 2005).

31 The geological and structure features to a large extent influence the morphology. The layers cropping
32 out in the study area mainly belong to Triassic Jianglingjiang Formation and Badong Formation (Chang
33 et al., 2005). Composed of clastics and carbonate rocks, the Badong Formation almost distributes in the
34 whole area. The third number of Badong Formation (T₂b³), composed of limestone, marlstone and
35 argillaceous limestone, dominating the stratum on the north bank, making the bank slopes with average
36 angles range from 25° to 65°. In contrast, topography of the south bank is relatively slow (Luo et al.,
37 2005; Xu, 2005; Yang et al., 2012). It is considered that the process of valleys incised by the Yangtze
38 River accounts for the deformation and fracture of stratum in some parts of the area, and the
39 well-developed cutting layered joints are a major feature on the north bank (Luo et al., 2005). The
40 weathering processes have significant influences on the properties of the widespread marlstone and
41 argillaceous limestone, resulting in brittleness and fragility, thus the slopes in the area composed of
42 those rocks are prone to slide under certain triggering factors (Zhang, 2004; Chang et al., 2005).

43



1 2.2 Landslide occurrence and characteristics

2 Characterized by wet summers and autumns, with an annual mean precipitation ranges from 1126.7
3 mm to 1140.9 mm, Fengjie County is one of heavy rainfall centers in Three Gorges area. With complex
4 geologic structure, fractured stratum and well developed gullies, the study area is a place prone to
5 landslides and collapse (Ouyang et al., 2005). The landslides events in the study area are in accordance
6 with the rainfall events (Zhang et al., 2005; Ma et al., 2009), heavy rainfall-induced landslides,
7 continuing moderate-heavy rainfall-induced landslides are identified as the main types of landslides
8 (Ma et al., 2005).

9 There are two main bank slopes, viz., rocky bank slopes and deposit bank slopes, of which the
10 deformation and failure patterns were mainly classified as bending, cracking, cambered sliding,
11 sliding-falling and flowing, etc. (Chang et al., 2004). It is observed that in the area the quantity of high
12 soil bank slopes is larger than high rocky bank slopes, thus under rainfall shallow soil landslides or
13 soft-bedrock landslides are more likely to be triggered. Fig.3 shows a shallow accumulative
14 rainfall-induced landslide on the north bank in Fengjie. Loose accumulative landslides and bedrock
15 landslides were reported as two main types in the area (Chen et al., 2005; Xu, 2005) and in the whole
16 Three Gorges area the accumulative landslides took the largest proportion (Zhang and Liu, 2006).
17

18 3 Data and methods

19 In order to obtain rainfall-induced landslide susceptibility model, we developed an infinite stability
20 model using Geo-studio software concerning rainfall infiltration to obtain safety factor for individual
21 slopes, then combining the calculation results with ANNs to figure out the relationship between
22 influencing factors and potential landslides, in that way the susceptibility model was achieved.
23 Therefore, to carry out slope stability analyses is a pre-requisite. Considering the geological setting, the
24 available data and the characteristics of the study area, an limit equilibrium method, namely
25 Morgenstern-Price slice method was employed. Then, the safety factors were calculated via Geo-studio
26 software (Slope/W module and Seep/W module) concerning rainfall infiltration into slopes.

27 Even the study area is a small region less than 7km², obtaining safety factors based on physical
28 approaches for all potential slides is a huge task. In order to obtain sufficient slope stability calculation
29 results for ANN performing the susceptibility model, according to detailed field survey by Wen et al.,
30 (2006), an analysis sample database (ASD) for the slope stability analyses was build by means of
31 uniform design method, and slope stability influencing factors, e.g., rainfall, slope angle, slope height
32 and cohesion were covered. On the basis of the ASD, safety factors were computed and then were
33 utilized as training samples by ANN. In the last step, combining the trained ANN and survey data of
34 the study area, the computation of safety factors under different rainfall were integrated in the GIS, and
35 the rainfall-induced landslide susceptibility mapping in Fengjie could be made.
36

37 3.1 Data preparation

38 It is generally considered that landslides causing factors can be grouped into quasi-static factors and
39 dynamic factors (Dai and Lee, 2001; Fall et al., 2006), for regional rainfall-induced landslide
40 susceptibility assessment the quasi-static factors to be inputted are related to geomorphology, geology,
41 land-use while for specific slopes slope geometry and geotechnical parameters should be considered
42 (Lacasse and Nadim, 2011). The dynamic factors are usually rainfall and earthquake. To analyze the
43 stability of a slope, the stability influencing factors, slope geometry and geotechnical parameters are



1 taken into consideration. Previous studies revealed that slope angle and slope height are critical
2 influencing factors in the study area (Zhou et al., 2004; Wen et al., 2011). Friction angle, cohesion and
3 weight in addition were taken to implement the stability analysis. The relationships between landslide
4 and rainfall and the prediction models in Chongqing region were studied by many researchers (e.g.
5 Chen et al., 2005; Zhang et al., 2005; Ma et al., 2009; Fan et al., 2012), daily rainfall or 24-hour rainfall
6 was normally taken as prediction index, however, cumulative rainfall based models failed to conduct
7 the prediction (Chen et al., 2015). Additionally, the seasonal fluctuation of reservoir level proved to be
8 related to the displacement for the colluvial landslides in the Three Gorges area (Du et al., 2013). With
9 regard to the study area, weights of influencing factors including the reservoir level were calculated
10 with analytic hierarchy process method by Wen et al. (2011) and the study indicated it is not particular
11 critical. Notwithstanding this, there is some uncertainty about the importance of reservoir level as few
12 studies coupling rainfall and reservoir level have been involved in related literature. Hence, in this
13 study the stability influencing factors selected were slope angle, slope height, cohesion, friction, weight
14 and rainfall (24-hour rainfall).

15 Based on the detailed field survey, the variations of influencing factor values in the study are
16 described in Table 1. The intensity of rainfall may vary in different elevations and terrains of an area
17 (Segoni et al., 2014), in order to make the physical based analysis feasible to do, the rainfall value
18 adopted here is in the form of mean daily rainfall.

19 To build the ASD, one of experiment design methods, uniform design method was adopted to make
20 the samples cover those 6 factors and guarantee sufficient experiment levels. The experiment levels of
21 the factors were designed as 30, accordingly, an uniform design table $U_{30}(30^{13})$ was utilized.
22 Afterwards, the MATLAB software was employed to divide the range value of each factor into 30
23 levels uniformly, and then combine the divided levels of the factors together. In this way, the ASD was
24 built (see Table 2).

25

26 3.2 Computation of safety factors

27 With regard to rainfall-induced landslide stability analysis, conceptual infiltration models (e.g.,
28 Green-Ampt model) combining with slope stability methods, analytical solutions and numerical
29 simulations are usually adopted. The use of conceptual infiltration models have been limited because
30 they usually simplify the infiltration problems (Ng and Shi, 1998), even analytical solutions have been
31 carried out by many researchers (e.g. Iverson, 2000; Chen et al., 2001; Rahardjo et al., 2005; Tsai and
32 Yang, 2006), the problems have not been satisfactorily addressed. With the character of high
33 non-linearity, the soil hydraulic properties were studied only by making assumptions when using
34 analytical solutions. The numerical analysis in conjunction with computer programs has an advantage
35 over analytical solutions because it could incorporate more advanced and sophisticated models to
36 analyze infiltration process in slopes under rainfall conditions (Zhang et al., 2011). Among them, the
37 commercial software Seep/W and Slop/W are often used for slope stability analysis under rainfall
38 condition. In addition, to obtain the safety factor for a slope, Bishop's simplified method (e.g. Rahardjo
39 et al., 2007; Wang et al., 2010) and Morgenstern-price method (e.g. Casagli et al., 2006; Cascini et al.,
40 2010) could be adopted. Once the ASD was completed, geometric models and hydraulic-mechanical
41 properties of the materials were determined. Hence, related parameters and coefficients for numerical
42 analysis were obtained. The calculated safety factors of 30 sample groups are described in Table 2.

43

44 3.3 Data processing



1 Similar to the brain, the artificial neural networks (ANNs) have great capability to learn from a set of
2 selection data with multiple computer algorithms. The ANNs have been proved to be useful in
3 modeling non linear and complex relationships between the input data and the desired output target.
4 Different types of neural networks have different learning rules and structures. The back propagation
5 (BP) algorithms trained neural network, also known as BP neural networks are commonly used with
6 the prediction performance of robustness and simplicity (Kurup and Dudani, 2002; Jang et al., 2004).
7 The applications of ANNs have been widely expanded into a variety of domains and the relevant
8 literature is too large. With regard to earth science, the ANNs have been adopted as important modeling
9 tools to conduct landslide susceptibility (Ermini et al., 2005; Melchiorre et al., 2008; Kawabata and
10 Bandibas, 2009).

11 In this study, the BP ANN with a single hidden layer was employed (Fig. 4). As illustrated in the
12 schematic, three layers (the input layer, the hidden layer and the output layer) and their
13 interconnections constitute the neural network. In the network, each layer is a group of several neurons,
14 x_j denotes input variable to the neuron j , w_{ij} connection is the weight from neuron j in the input layer
15 to neuron i in hidden layer and o_k is the output of neuron k . Besides, ϕ in the hidden layer and ψ in the
16 output layer are activation functions respectively, θ_i and a_k stand for threshold weights of the neurons.
17 It is important to choose appropriate quantity of neurons in hidden layer, hence the trail and error
18 method was used in this paper, and the number of neurons was determined as 7. In addition to test the
19 prediction performance of the ANN, the mean squared error (MSE) is often adopted as the performance
20 index. The training algorithms, the structure, together with training samples govern the final
21 performance of the network.

22 Prior to the training process, using the software Matlab, the ASD has to be normalized on nominal
23 scales as binary numbers in case of convergence problems (see Table 3). In the training process (Fig. 5),
24 the input variables in the training sample were slope angle, slope height, cohesion, friction, weight and
25 rainfall, and the output is safety factors. As can be seen form Fig. 6 and Fig. 7, the performance error
26 gradually decreases to 1E-5, which means convergence of the training was good, moreover,
27 performance of the trained networks are satisfactory in terms of logical reasoning and internal
28 relationship. Then the trained ANN is applied to model the influencing factors derived from detailed
29 field survey, finally the outputs would be mapped within a GIS.

30

31 **3.4 Thematic data layers**

32 As mentioned above, landslide influencing factors could be divided into quasi-static factors and
33 dynamic factors. In terms of the 6 influencing factors, rainfall is regarded as dynamic factor while the
34 others are quasi-static factors, classification and category of landslide influencing factors are shown in
35 Table 4. Based on precipitation amount, in the study area the mean daily rainfall are classified in 5
36 types (Zhang et al., 2005; Fan et al., 2012).

37 According to the field survey results, 5 thematic data layers pertaining to the quasi-static factors,
38 slope angle, slope height, cohesion, friction and weight were made within a GIS. Each influencing
39 factor was first built as vector layer then converted to raster layer, and the data in each raster layer was
40 classified in order to facility the management and computation. The 5 thematic layers are listed in Fig.
41 8.

42 **4 Rainfall-induced landslide susceptibility assessment**



1 **4.1 Landslide susceptibility assessment**

2 The thematic data layers were integrated combined with the trained ANN, in this way rainfall-induced
3 landslide susceptibility assessment was achieved under 5 types of rainfall events. Then the assessment
4 results were mapped for different rainfall events within the GIS, we choose a typical value for each
5 rainfall type, and the calculations values of different rainfall are shown in Table 5. With regard to the
6 assessments, they were classified into 5 grades according to the computation values of slope instability
7 (Table 6). This classification was conducted by means of natural break points method. It is found that
8 under light rainfall, moderate rainfall and even heavy rainfall VH and H zones could hardly be
9 discerned, which means landslides in the area may not be induced by the rainfall conditions when its
10 mean daily rainfall less than 50 mm. Fig. 9 shows the landslide susceptibility assessment under
11 rainstorm and severe rainstorm in the study area. Furthermore, statistical results about the landslide
12 susceptibility zonation under rainstorm and severe rainstorm were calculated concerning these frequent
13 weathers in the study area (Table 7).

14 As can be observed from Fig. 9, It is also found that M zones, H zones and VH zones are mainly
15 distributed along the Yangtze River and its three branches, which indeed should be the case since a
16 large number of landslides have been observed in those locations. The bank slopes with fractured
17 stratum, weak rocks and deposits may provide a basis for landslide occurrence under rainstorm and
18 severe rainstorm. As shown in Table 7, it is observed that the H zones distributed in the study area
19 under rainstorm account for a small portion (4.45%) while it is not the case under severe rainstorm
20 (24.80%). The contrast of the landslide susceptibility zonation under rainstorm and severe rainstorm
21 reveals the important role played by the process of the rainstorm developing to severe rainstorm, which
22 could be verified by the landslides occurrence reported under severe rainstorms in recent years.
23 Therefore, care should be taken to the forecast of rainstorm and severe rainstorm as the total percent
24 areas of H zones and VH zones under severe rainstorm occupy more than a quarter (27.69%) in the
25 study area. Another big variation is VL zones under rainstorm (27.9 %) and severe rainstorm (11.8 %),
26 the decrease (i.e. 16.10%) of the stable area maybe account for the initial infiltration process under
27 severe rainstorm.

28 **4.2 Validation**

29 To validate the landslide susceptibility assessment, we made a thorough investigation about
30 landslides in the area from 1998 to 2014. With regard to the triggering factor, rainfall, mostly rainstorm
31 (mean daily rainfall over 100mm), accounting for the overwhelming majority. 58 rainfall
32 (rainstorm)-induced landslides are presented in Table 8 and Fig. 10, locations, occurrence time and
33 general directions of these landslides are clearly identified. All of the landslides were directly triggered
34 by rainfall, however, among these landslides, some occurred under the combination of rainfall and river
35 erosion, which needs more efforts to clarify the major cause.

36 As can be seen from Fig. 9 and Fig. 10, the actual landslides are accordance with the assessment
37 results, locations of these actual landslides mostly fall on H zones and VH zones in Fig. 9. However,
38 unexpected results are found about some landslides, namely L-10, L-13, L-19, L-20, L-26, L-37, L-43,
39 L-47 and L-53, which accounts for 15.5 % of the whole landslides.

40 Notwithstanding the apparent satisfactory results, the susceptibility assessment could not be proved
41 robust, as the database chosen for training ANNs and performing susceptibility were from the
42 investigation completed before 2006. What's more, after the impounding of Three Gorges Project in
43 2003, the environment in Fengjie has experienced large changes, thus the bank slopes would have a fair
44



1 chance to slide owing to water-level rising. As shown in Table 8, landslides experience a sharp increase
2 in 2003. It would be more convincing to use landslide data after 2006 to verify feasibility of the
3 susceptibility assessment model. Hence we choose L-1, L-2, L-3, L-4, L-5, L-6, L-10, L-11, L-13, L-24,
4 L-25, L-27 and L-45 from the whole actual landslides, and a good validation was achieved except L-10
5 and L-13.

6 **5 Discussion**

7 Generally speaking, qualitative methods are effective to carry out an rainfall-induced landslide
8 susceptibility on condition that there are enough historical data. However, the complete and unbiased
9 database of rainfall intensity and duration, landslide magnitude and volume, slope failure patterns and
10 landslide processes are not available in the study area. In this regard, a quantitative method based on
11 detailed investigation seems to be a better option. Stability analyze of rainfall-induced landslides using
12 Geo-studio software is a basis for the susceptibility model, and good performance of the model may be
13 attributed to the fact that soil landslides account for the majority of the chosen landslides as the
14 software proved to be excellent to analyze rainfall-induced soil slope failure. When it comes to rock
15 slide and more complex landslide type, stability analysis and the ASD to train ANNs should be more
16 rigorous.

17 The assessment results in this study are also in accordance with the relationship between rainfall and
18 landslides in some relevant literature (Xu, 2005; Zhang et al., 2005), where the studies were conducted
19 by statistical methods. On the whole, it is suggested that under rainstorm and severe rainstorm
20 conditions care should be taken to notice the landslide development. With regard to the susceptibility
21 assessment results, the major finding is that the high susceptibility zones are mainly distributed along
22 the Yangtze River and its three branches. That bank slopes consisting of fractured stratum, weak rocks
23 and deposits may be considered as a major reason. Owing to river erosion and rainfall infiltration, bank
24 slopes may have a higher chance to slide. A rainfall-induced landslide, Baiyian landslide (L-44, as
25 shown in Fig. 10), occurred in 22 July 2003 (Zhang et al., 2004) was a representative as the
26 conjunction result of rainfall, water-level rising and geological conditions. However, our work did not
27 take the water-level rising effect into consideration as there exist problems to quantify the effect. Hence
28 it should be more careful when applied the rainfall-induced landslide susceptibility model in the study
29 area.

30 Due to the uncertainty lies in rainfall patterns and slope properties, it is difficult to precisely predict a
31 landslide, and the slope failures may not in accordance with the predictions. In this study, the effect of
32 mitigation measures in addition were not taken into account as the mitigation and rehabilitation
33 measures have been adopted to against the landslides since the construction of the new urban area (Xu,
34 2005). Moreover, it is complicated to incorporate the uncertainties of geotechnical data. Hence, in
35 terms of the quantitative methods, the combination of reliability and physically-based analysis may be
36 promising to address the problems.

37 Although the quantitative method could reasonably delineate landslide susceptibility in the study
38 area, the knowledge about rainfall-induced landslide occurrence, influencing factors and the infiltration
39 processes are still limited.

40 **6 Conclusion**

41 In this work, a quantitative assessment of rainfall-induced landslide susceptibility in new urban area of
42 Fengjie County was carried out. The methodology presented in this paper was based on the



1 combination of mechanical stability analysis and ANN and of GIS and detailed field investigation. The
2 detailed field survey could provide valuable geomorphological, geological and geotechnical
3 information about the study area, which is a basis for landslide susceptibility assessment, in particular,
4 for the physically based landslide susceptibility assessment presented in this paper. Based on the field
5 survey and relevant literature, information about the geological and geotechnical parameters for slope
6 stability analysis via numerical simulation software Geostudio (Slope/W module and Seep/W module)
7 was concerning slope angle, slope height, cohesion, friction, weight and rainfall. Then, the safety
8 factors of site -specific slopes from the ASD were calculated. The employment of ANN was a bridge
9 between the individual slope stability analysis and the overall slope stability analyses in a regional
10 scale, and within a GIS the quantitative assessment of the landslide susceptibility was mapped.
11 Subsequently, 58 actual rainfall (rainstorm)-induced landslides occurred in the study area from 1998 to
12 2014 were used to verify the susceptibility assessment, and satisfactory results were obtained.

13 The landslide susceptibility zonation implies that slopes in more than a quarter of the study area are
14 prone to landslides under rainstorm and severe rainstorm while the overall landslide susceptibility
15 under light rainfall, moderate rainfall and even heavy rainfall are rather low. In spite of the costly
16 countermeasures, the problem of landslides still poses a threat owing to the increasing rainstorm events
17 in the area (Lin and Yang, 2014), the new urban area of Fengjie County fails to be a good place to hold
18 tens of thousands of the residents. As a result, a new place, the West District of Fengjie (in the west of
19 the study area) has been planned and constructed to be another urban area since 2010.

20 Acknowledgments

21 The field work was carried out in collaboration with the Institute of Exploration Technology, China
22 Geological Survey. The authors are grateful to Zhongsheng Xie and Shengwei Shi for their assistance.

23 References

- 24 Aleotti, P. and Chowdhury, R.: Landslide hazard assessment: summary review and new
25 perspectives, *Bulletin of Engineering Geology and Environment*, 58, 21-44, 1999.
- 26 Alvioli, M., Guzzetti, F. and Rossi, M.: Scaling properties of rainfall induced landslides predicted
27 by a physically based model, *Geomorphology*, 213, 38-47, 2014.
- 28 Ayalew, L. and Yamagishi, H.: The application of GIS-based logistic regression for landslide
29 susceptibility mapping in the Kakuda-Yahiko Mountains, Central Japan, *Geomorphology*, 65,
30 15-31, 2005.
- 31 Bai, S.-B., Wang, J., Lü, G.-N., Zhou, P.-G., Hou, S.-S. and Xu, S.-N.: GIS-based logistic
32 regression for landslide susceptibility mapping of the Zhongxian segment in the Three Gorges
33 area, China, *Geomorphology*, 115, 23-31, 2010.
- 34 Carrara, A., Guzzetti, F., Cardinali, M. and Reichenbach, P.: Use of GIS technology in the
35 prediction and monitoring of landslide hazard, *Natural Hazards*, 20, 117-135, 1999.
- 36 Casagli, N., Dapporto, S., Ibsen, M.L., Tofani, V. and Vannocci, P.: Analysis of the landslide
37 triggering mechanism during the storm of 20th-21st November 2000, in Northern Tuscany,
38 *Landslides*, 3, 13-21, 2006.
- 39 Cascini, L., Cuomo, S., Pastor, M. and Sorbino, G.: Modelling of rainfall-induced shallow
40 landslides of the the flow-type, *Journal of Geotechnical and Geoenvironmental Engineering*, 136,
41 85-98, 2010.
- 42 Castellanos Abella, E.A. and van Westen, C.J.: Qualitative landslide susceptibility assessment by



- 1 multicriteria analysis: A case study from San Antonio del Sur, Guantánamo, Cuba,
2 Geomorphology, 94, 453-466, 2008.
- 3 Chang, Z., Wu, F., Zhang, E. and Chai, J.: Deformation and failure modes and genetic mechanisms
4 of typical rocky slopes in Fengjie County, Three Gorges Reservoir region, The Chinese Journal
5 of Geological Hazard and Control, 15, 20-24, 2004. (in Chinese)
- 6 Chang, Z., Wu, F., Liu, H. and Qi, S.: Bank slope types and rock mass structure features in Fengjie
7 County, Three Gorges Reservoir region, Chinese journal of Rock Mechanics and Engineering,
8 24, 3057-3063, 2005. (in Chinese)
- 9 Chen, H., Lee, C.F. and Law, K.T.: Causative mechanisms of rainfall-induced fill slope failures,
10 Journal of Geotechnical and Geoenvironmental Engineering, 130, 593-602, 2004.
- 11 Chen, H., Dong, P., Gao, Y., Tang, H., Tang, Y., Tan, L., Wei, L., and Tang, L.: Study on the
12 statistics-infiltration coupling forecast model for rainfall-induced landslide in Chongqing,
13 Journal of Chongqing normal University (Natural Science), 32, 46-51, 2015. (in Chinese)
- 14 Chen, J.M., Tan, Y.C., and Chen, C.H.: Multidimensional infiltration with arbitrary surface fluxes,
15 Journal of Irrigation and Drainage Engineering, 127, 370-377, 2001.
- 16 Chen, J., Yang, Z. and Li, X.: Relationship between landslide probability and rainfall in Three
17 Gorges Reservoir area, Chinese journal of Rock Mechanics and Engineering 24, 3052-3056.
18 2005. (in Chinese)
- 19 Crosta, G. B. and Frattini, P.: Rainfall-induced landslides and debris flows, Hydrological processes,
20 22, 473-477, 2008.
- 21 Crosta, G.: Regionalization of rainfall thresholds: an aid to landslide hazard evaluation,
22 Environmental Geology, 35, 2-3, 1998.
- 23 Dai, F.C. and Lee, C.F.: Terrain-based mapping of landslide susceptibility using a geographical
24 information system: a case study, Canadian Geotechnical Journal, 38, 911-923, 2001.
- 25 Dai, F.C., Lee, C.F. and Wang, S.J.: Characterization of Rainfall-induced landslides, International
26 journal of remote sensing 24, 4817-4834, 2003.
- 27 Dai, F.C. and Lee, C.F.: Landslide characteristics and slope instability modeling using GIS, Lantau
28 Island, Hong Kong, Geomorphology, 42, 213-228, 2002a.
- 29 Dai, F.C., Lee, C.F. and Ngai, Y.Y.: Landslide risk assessment and management: an overview,
30 Engineering Geology, 64, 65-87, 2002b.
- 31 Deng, Q.L., Zhu, Z.Y., Cui, Z.Q. and Wang, X.P.: Mass rock creep and landsliding on the
32 Huangtupo slope in the reservoir area of the Three Gorges Project, Yangtze River, China.
33 Engineering Geology 58, 67-83, 2000.
- 34 Du, J., Yin, K. and Lacasse, S.: Displacement prediction in colluvial landslides, Three Gorges
35 Reservoir, China, Landslides, 10, 203-218, 2013.
- 36 Ermini, L., Catani, F., Casagli, N.: Artificial Neural Networks applied to landslide susceptibility
37 assessment, Geomorphology, 66, 327-343, 2005.
- 38 Fall, M., Azzam, R. and Noubactep, C.: A multi-method approach to study the stability of natural
39 slopes and landslide susceptibility mapping, Engineering Geology, 82, 241-263, 2006.
- 40 Fan, W., Chen, Z., Li, Z., Yu, D. and Wang, Q.: Joint probabilistic structure of rainfall in analysis
41 of landslides probability, Journal of Civil, Architectural & Environmental Engineering, 34,
42 57-63, 2012. (in Chinese)
- 43 Fell, R., Corominas, J., Bonnard, C., Cascini, L., Leroi, E. and Savage, W.Z.: Guidelines for
44 landslide susceptibility, hazard and risk zoning for land use planning, Engineering Geology, 102,



- 1 85-98, 2008.
- 2 Fourie, A.B.: Predicting rainfall-induced slope instability, Proceedings of the Institution of Civil
3 Engineers: Geotechnical Engineering, 119, 211-218, 1996.
- 4 Fourniadis, I.G., Liu, J.G. and Mason, P.J.: Regional assessment of landslide impact in the Three
5 Gorges area, China, using ASTER data: Wushan-Zigui, Landslides, 4, 267-278, 2007a.
- 6 Fourniadis, I.G., Liu, J.G. and Mason, P.J.: Landslide hazard assessment in the Three Gorges area,
7 China, using ASTER imagery: Wushan-Badong, Geomorphology, 84, 126-144, 2007b.
- 8 Giannecchini, R., Galanti, Y. and D' Amato Avanzi, G.: Critical rainfall thresholds for triggering
9 shallow landslides in the Serchio River Vally (Tuscany Italy), Natural Hazards and Earth
10 System Sciences, 12, 829-842, 2012.
- 11 Guzzetti, F., Carrara, A., Cardinali, M. and Reichenbach, P.: Landslide hazard evaluation a review
12 of current techniques and their application in a multi-scale study, Central Italy, Geomorphology,
13 31, 181-216, 1999.
- 14 Iverson, R.M.: Landslide triggering by rain infiltration, Water Resources Research, 36, 1897-1910.
15 2000.
- 16 Kanungo, D.P., Arora, M.K. and Gupta, R.P.: A fuzzy set based approach for integration of
17 thematic maps for landslide susceptibility zonation, Georisk, 3, 30-43, 2009.
- 18 Kawabata, D. and Bandibas, J.: Landslide susceptibility mapping using geological data, a DEM
19 from ASTER images and an Artificial Neural Network (ANN), Geomorphology, 113, 97-109.
20 2009.
- 21 Kim, J. Lee, K., Jeong, S. and Kim, G.: GIS-based prediction method of landslide susceptibility
22 using a rainfall infiltration-groundwater flow model, Engineering Geology, 182, 63-78, 2014.
- 23 Lacasse, S. and Nadim, F.: Learning to live with Geohazards: from research to practice, Geo-risk,
24 pp. 64-116, 2011.
- 25 Lee, L.M., Gofar, N., Rahardjo, H., 2009. A simple model for preliminary evaluation of
26 rainfall-induced slope instability. Engineering Geology 108, 272-285.
- 27 Li, J., Xie, S. and Kuang, M.: Geomorphic evolution of the Yangtze Gorges and the time of their
28 formation, Geomorphology, 41, 125-135, 2001.
- 29 Li, Y.: The major geological hazards in the Three Gorges Reservoir of Chongqing, The Chinese
30 Journal of Geological Hazard and Control, 21, 133-135, 2010. (in Chinese)
- 31 Lin, J., Yang, G.: Spatio-Temporal characteristics of rainstorm in China during 1981-2010,
32 Meteorological monthly, 40, 816-826, 2014. (in Chinese)
- 33 Liu, C.: On the evolution of complex slopes in the Three Gorges of Changjiang River,
34 Hydrogeology & Engineering Geology, 1, 1-6, 2005. (in Chinese)
- 35 Liu, P., Li, Z., Hoey, T., Kincal, C., Zhang, J., Zeng, Q. and Muller, J.-P.: Using advanced InSAR
36 time series techniques to monitor landslide movements in Badong of the Three Gorges region,
37 China, International Journal of Applied Earth Observation and Geoinformation, 21, 253-264.
38 2013.
- 39 Luo, Y., Wu, F. and Chang, Z.: Phenomenology research on deformation and failure modes of
40 slopes composed of argillaceous limestone of the third number of Badong group (T_2b^3) in new
41 site of Fengjie County of Three Gorges Reservoir area, Chinese journal of Rock Mechanics and
42 Engineering 24, 2029-2034, 2004. (in Chinese)
- 43 Ma, L., Miao, Q., Zhou, G., Han, F., and Chen, Y.: A probabilistic prediction model for heavy
44 rain-caused landslides in the Chongqing region, Acta Meteorologica Sinica, 23, 642-647,



- 1 2009.
- 2 Ma, Z., Zhang, Q., Zhu, R. and Jiang, Z.: The basis characters of mountain disasters and
3 relationship between landslide and rainfall in the area of Three Gorges Reservoir, *Journal of*
4 *Mountain Science*, 23, 319-326, 2005. (in Chinese)
- 5 Melchiorre, C., Matteucci, M., Azzoni, A. and Zanchi, A.: Artificial neural networks and cluster
6 analysis in landslide susceptibility zonation, *Geomorphology*, 94, 379-400, 2008.
- 7 Montrasio, L., Valentino, R. and Losi, G.L.: Towards a real-time susceptibility assessment of
8 rainfall-induced shallow landslides on a regional scale, *Natural Hazards and Earth System*
9 *Sciences*, 11, 1927-1947, 2011.
- 10 Nefeslioglu, H.A., Gokceoglu, C. and Sonmez, H.: An assessment on the use of logistic regression
11 and artificial neural networks with different sampling strategies for the preparation of landslide
12 susceptibility maps, *Engineering Geology*, 97, 171-191, 2008.
- 13 Neuhäuser, B., Damm, B. and Terhorst, B.: GIS-based assessment of landslide susceptibility on
14 the base of the Weights-of-Evidence model, *Landslides*, 9, 511-528, 2012.
- 15 Ng, C.W.W. and Shi, Q.: A numerical investigation of the stability of unsaturated soil slopes
16 subjected to transient seepage, *Computers and Geotechnics*, 22, 1-28, 1998.
- 17 Ouyang, Z.-X., Zhang, Z.-R., Ding, K., Shi, J.-S., Chen, M.-J. and Mao, X.-M.: Slope monitoring
18 system of Three Gorges area based on 3S techniques and ground deformation observation,
19 *Chinese Journal of Rock Mechanics and Engineering*, 24, 3203-3210, 2005. (in Chinese)
- 20 Qi, S.-W., Wu, F.-Q., Chang, Z.-H., Liu, H.-Y., and Si, W.-B.: Mechanism and model for
21 deformation of bank slope with slightly inclined soil layers in Fengjie County of Three Gorges,
22 *Chinese Journal of Geotechnical Engineering*, 28, 88-91, 2006. (in Chinese)
- 23 Rahardjo, H., Li, X.W., Toll, D.G. and Leong, E.C.: The effect of antecedent rainfall on slope
24 stability, *Geotechnical and Geological Engineering*, 19, 371-399, 2001.
- 25 Rahardjo, H., Lee, T.T., Leong, E.C. and Rezaur, R.B.: Response of a residual soil slope to rainfall,
26 *Canadian Geotechnical Journal*, 42, 340-351, 2005.
- 27 Rahardjo, H., Ong, T.H., Rezaur, R.B. and Leong, E.C.: Factors controlling instability of
28 homogeneous soil slopes under rainfall, *Journal of Geotechnical and Geoenvironmental*
29 *Engineering*, 133, 1532-1543, 2007.
- 30 Salciarini, D., Godt, J.W., Savage, W.Z., Conversini, P., Baum, R.L. and Michael, J.A.: Modeling
31 regional initiation of rainfall-induced shallow landslides in the eastern Umbria Region of central
32 Italy, *Landslides*, 3, 181-194, 2006.
- 33 Segoni, S., Rosi, A., Catani, F., Casagli, N.: Analysing the relationship between rainfalls and
34 landslides to define a mosaic of triggering thresholds for regional-scale warning systems,
35 *Natural Hazards and Earth System Sciences*, 14, 2637-2648, 2014.
- 36 Springman, S.M., Thielen, A., Kienzler, P. and Friedel, S.: A long-term field study for the
37 investigation of rainfall-induced landslides, *Geotechnique*, 63, 1171-1193, 2013.
- 38 Tsai, T.-L. and Yang, J.-C.: Modeling of rainfall-triggered shallow landslide, *Environmental*
39 *Geology*, 50, 525-534, 2006.
- 40 Van Den Eeckhaut and M., Hervás, J.: State of the art of national landslide databases in Europe
41 and their potential for assessing landslide susceptibility, hazard and risk, *Geomorphology*,
42 139-140, 545-558, 2012.
- 43 van Westen, C.J., van Asch, T.W.J. and Soeters, R.: Landslide hazard and risk zonation-why is it
44 still so difficult? *Landslides*, 65, 167-184, 2006.



- 1 von Ruetze, J., Papritz, A., Lehmann, P., Rickli, C. and Or, D.: Spatial statistical modeling of
2 shallow landslides-Validating predictions for different landslide inventories and rainfall events,
3 *Geomorphology*, 133, 11-22, 2011.
- 4 Wang, W. and Li, Y.: Hazard degree assessment of landslide using set pair analysis method,
5 *Natural Hazards*, 60, 367-379, 2012.
- 6 Wang, X., Zhang, Y., Zou, W. and Xiong, H.: Numerical simulation for unsaturated
7 road-embankment deformation and slope stability under rainfall infiltration, *Rock and Soil
8 Mechanics*, 31, 3640-3644, 2010. (in Chinese)
- 9 Wang, Z.: Landslide distribution and development in the towns of the Three Gorges Reservoir area,
10 *The Chinese Journal of Geological Hazard and Control*, 18, 33-38, 2007. (in Chinese)
- 11 Whitman, R.V.: Organizing and evaluating uncertainty in Geotechnical Engineering, *Journal of
12 Geotechnical and Geoenvironmental Engineering*, 126, 583-593, 2000.
- 13 Wen, H., Zhao, L., Huang, Q.: Research on fatalness regionalization of high slopes at mountain
14 town, *Chinese Journal of Underground Space and Engineering*, 7, 609-613, 2011. (in Chinese)
- 15 Wu, C.-H. and Chen, S.-C.: Determining landslide susceptibility in Central Taiwan from rainfall
16 and six site factors using the analytical hierarchy process method, *Geomorphology*, 112,
17 190-204, 2009.
- 18 Wu, S., Shi, L., Wang, R., Tan, C., Hu, D., Mei, Y. and Xu, R.: Zonation of the landslide hazards
19 in the forereservoir region of the Three Gorges project on the Yangtze River, *Engineering
20 Geology*, 59, 51-58. 2001.
- 21 Wen, H., Xie, Z. and Shi, S.: Detailed geological hazards survey of new urban area of Fengjie
22 County. W [023]-1 Report, Institute of Exploration Technology, China Geological Survey, 2006.
23 (in Chinese)
- 24 Xu, X.-N.: Characters of developing of the geohazards in Fengjie County, Three Gorges Reservoir
25 area, *Journal of Geological Hazards and Environment Preservation*, 16, 36-40, 2005. (in
26 Chinese)
- 27 Yang, L., Lu, R., Li, D., Tan, D., Xie, H. and Luo, Z.: Application of remote sensing interpretation
28 in landslide investigation of new town of Fengjie, *Resources Environment & Engineering* 26,
29 623-626, 2012. (in Chinese)
- 30 Yang, Z.-J., Qiao, J.-P., 2008. Hazard degree assessment of typical landslide based on information
31 entropy. *Journal of Sichuan University (Engineering Science Edition)* 40, 47-52. (in Chinese)
- 32 Zhang, J., 2004. Variation of Mechanical property of marlite in process of karstification and
33 weathering in Three Gorges region. *Chinese Journal of Rock Mechanics and Engineering* 23,
34 1073-1077. (in Chinese)
- 35 Zhang, J.-F., Ding, H. and Bai, J.-Z.: Analysis of mechanism of a new landslide in Fengjie,
36 Chongqing, China, *Rock and Soil Mechanics*, 25, 1841-1844, 2004. (in Chinese)
- 37 Zhang, K.-P. and Liu, X.-X.: Effects of rainfall infiltration on landslide stability of accumulation
38 horizon at Three Gorges Reservoir area, *China Safety Science Journal*, 16, 4-8, 2006. (in
39 Chinese)
- 40 Zhang, L.L., Zhang, L.M. and Tang, W.H.: Rainfall-induced slope failure considering variability
41 of soil properties, *Géotechnique*, 55, 183-188. 2005.
- 42 Zhang, L.L., Zhang, J., Zhang L.M., and Tang, W.H.: Stability analysis of rainfall-induced slope
43 failure: a review, *Proceedings of the Institution of Civil Engineers: Geotechnical Engineering*,
44 164, 299-316, 2011.



- 1 Zhang, Z., Li, S.-H. and Ma, L.: Probability analysis of relationship between landslide and rainfall
2 in Chongqing area, Chinese Journal of Rock Mechanics and Engineering, 24, 3185-3191, 2005.
3 (in Chinese)
4 Zhou, C., Lin, C., Liu, Z., Dong, L. and Zhang, X.: Spatial probability analysis of landslides based
5 on GIS technology, Chinese Journal of Rock Mechanics and Engineering, 23, 911-914, 2004.
6 (in Chinese)
7 Zhou, G., Esaki, T., Mitani, Y., Xie, M. and Mori, J.: Spatial probabilistic modeling of slope failure
8 using an integrated GIS Monte Carlo Simulation approach, Engineering Geology, 68, 373-386.
9 2003.
10 Zhu, A.-X., Wang, R., Qiao, J., Qin, C.-Z., Chen, Y., Liu, J., Du, F., Lin, Y. and Zhu, T.: An expert
11 knowledge-based approach to landslide susceptibility mapping using GIS and fuzzy logic,
12 Geomorphology, 214, 128-138, 2014.
13

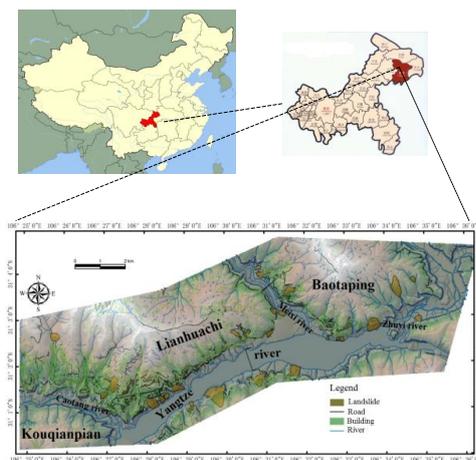


Fig. 1. Study area

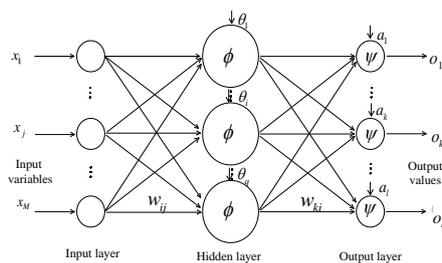


Fig. 2. Topography comparison before and after the construction in Sanmashan urban area: (a) Original topography before the construction in 1996, (b) After the construction, 2006.



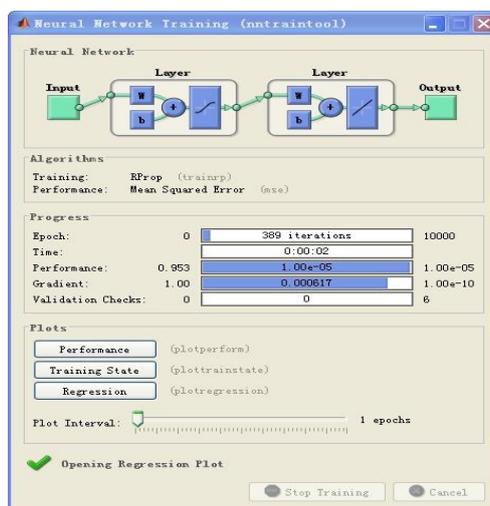
Fig. 3. A large shallow accumulative rainfall-induced landslide beside the Yangtze River.

1
 2
 3



4
 5
 6

Fig. 4. A three layered BP Artificial Neural Network



7
 8
 9

Fig. 5. The neural network training process



1
2
3

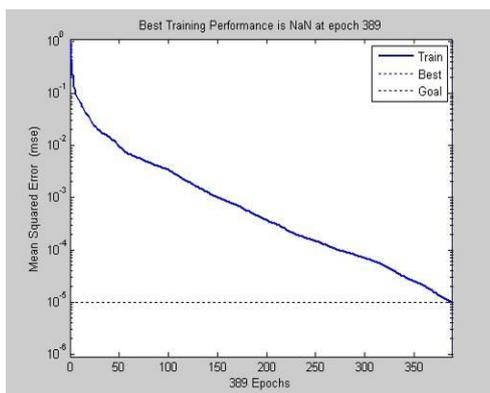


Fig. 6. The neural network training sample performance graph

4
5
6

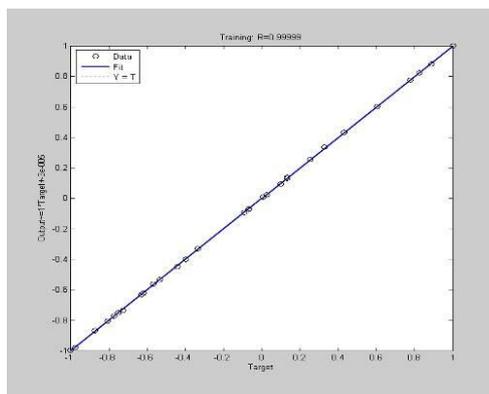
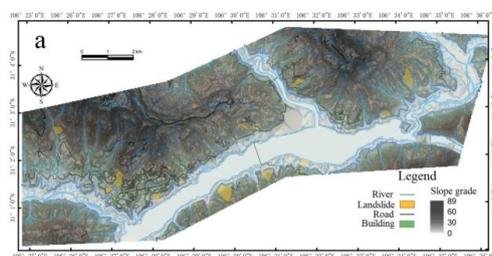


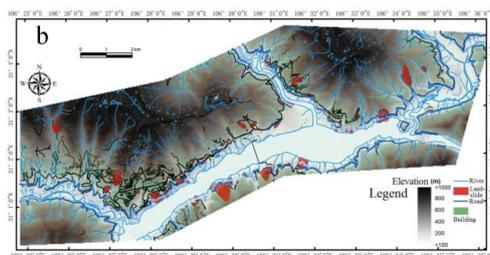
Fig. 7. The regression of Neural Networks

7
8

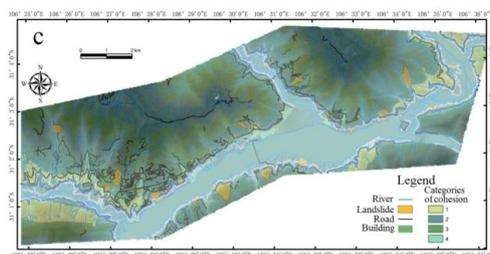




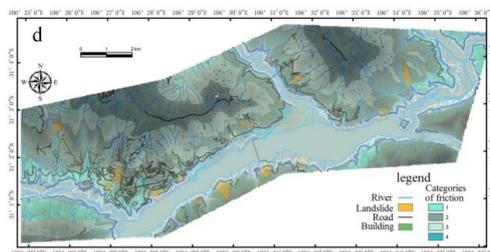
1



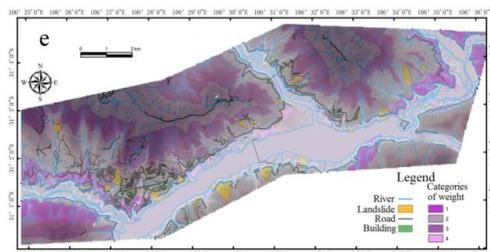
2



3



4



8

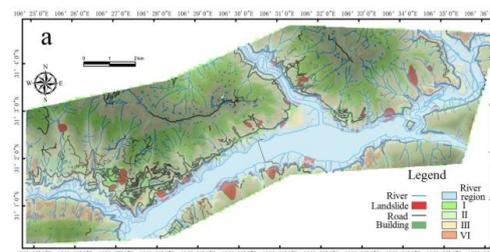
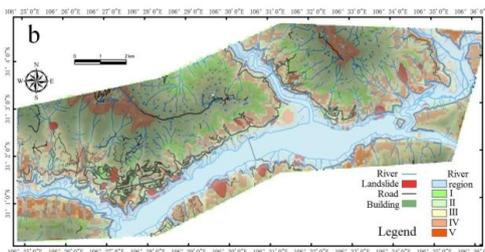


Fig. 8. Thematic layers of 5 influencing factors in new urban area of Fengjie County based on detailed field survey : (a) slope grade, (b) slope height, (c) cohesion, (d) friction, (e) weight



1
2
3

Fig. 9. Landslide susceptibility mapping in new urban area of Fengjie County under heavy rainfall events:
(a) Rainstorm, (b) Severe rainstor

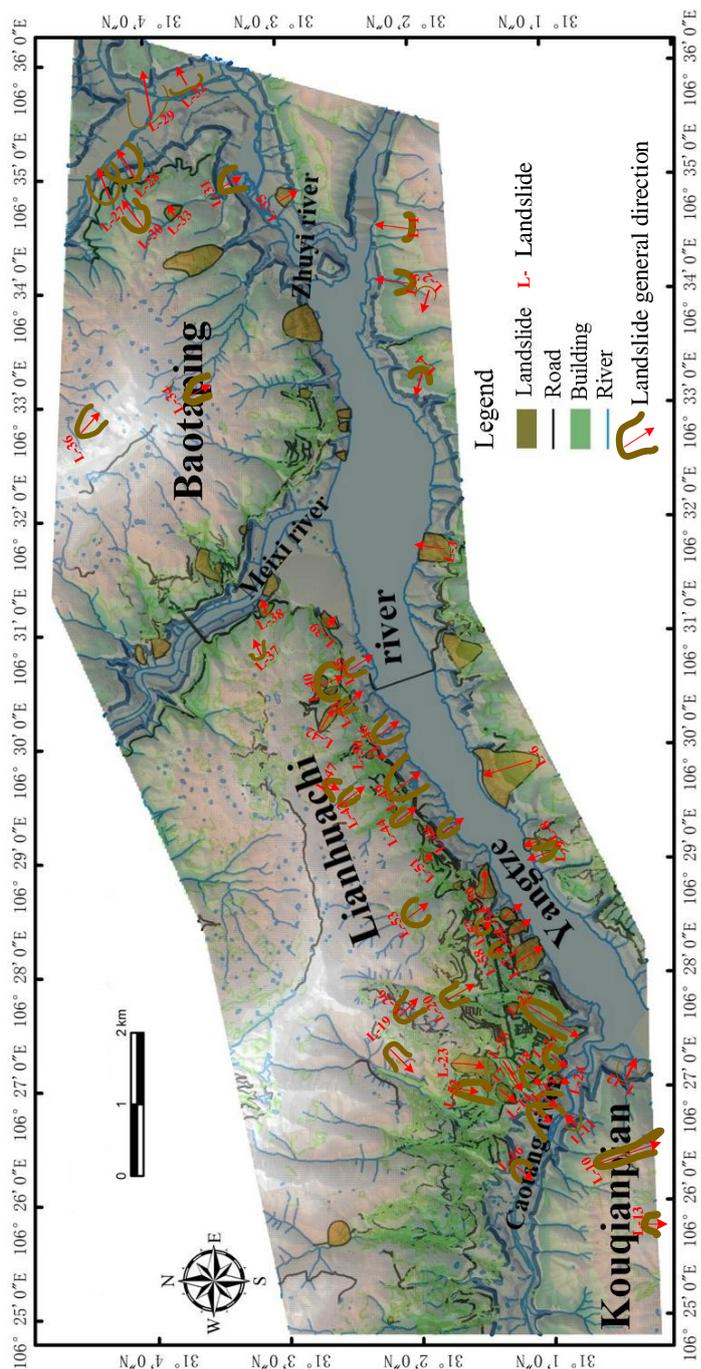


Fig. 10. Detailed investigation of rainfall-induced landslides in urban area of Fengjie County (from 1998 to 2014)



Table 1
 Variation of influencing factor values in the study area

Influencing factors	Slope angle	Slope height	Cohesion	Friction	Weight	Rainfall
Unit	α (°)	h (m)	c (MPa)	φ (°)	γ (kN/m ³)	p (mm/d)
Ranges	25~65	8~14.9	0.5~100	4~42	13.7~19.6	0~121.4

Table 2
 Analysis sample database of influencing factors and safety factors

Sample groups	Slope angle	Slope height	Cohesion	Friction	Weight	Rainfall	Safety factors
	α (°)	h (m)	c (MPa)	φ (°)	γ (kN/m ³)	p (mm/d)	
Group 1	25.00	8.71	31.38	21.03	17.16	100.47	2.67
Group 2	26.38	9.67	65.69	39.38	14.51	75.35	3.28
Group 3	27.76	10.62	100.00	17.10	18.18	50.23	4.20
Group 4	29.14	11.57	27.95	35.45	15.53	25.12	2.68
Group 5	30.52	12.52	62.26	13.17	19.19	0.00	2.27
Group 6	31.90	13.47	96.57	31.52	16.55	104.66	4.43
Group 7	33.28	14.42	24.52	9.24	13.90	79.54	1.16
Group 8	34.66	8.00	58.83	27.59	17.57	54.42	2.92
Group 9	36.03	8.95	93.14	5.31	14.92	29.30	4.07
Group 10	37.41	9.90	21.09	23.66	18.58	4.19	1.60
Group 11	38.79	10.86	55.40	42.00	15.94	108.84	3.98
Group 12	40.17	11.81	89.71	19.72	19.60	83.72	3.07
Group 13	41.55	12.76	17.66	38.07	16.96	58.61	0.84
Group 14	42.93	13.71	51.97	15.79	14.31	33.49	1.51
Group 15	44.31	14.66	86.28	34.14	17.97	8.37	0.38
Group 16	45.69	8.24	14.22	11.86	15.33	113.03	0.78
Group 17	47.07	9.19	48.53	30.21	18.99	87.91	2.61
Group 18	48.45	10.14	82.84	7.93	16.34	62.79	1.73
Group 19	49.83	11.09	10.79	26.28	13.70	37.68	1.13
Group 20	51.21	12.04	45.10	4.00	17.36	12.56	1.26
Group 21	52.59	13.00	79.41	22.34	14.72	117.21	2.42
Group 22	53.97	13.95	7.36	40.69	18.38	92.10	0.64
Group 23	55.34	14.90	41.67	18.41	15.73	66.98	0.88
Group 24	56.72	8.48	75.98	36.76	19.40	41.86	3.63
Group 25	58.10	9.43	3.93	14.48	16.75	16.74	0.43
Group 26	59.48	10.38	38.24	32.83	14.11	121.40	2.22
Group 27	60.86	11.33	72.55	10.55	17.77	96.28	2.26
Group 28	62.24	12.28	0.50	28.9	15.12	71.17	1.33
Group 29	63.62	13.23	34.81	7.36	18.79	46.05	0.94
Group 30	65.00	14.19	69.12	24.97	16.14	20.93	2.46



Table 3

The Normalized database

Sample groups	Slope angle	Slope height	Cohesion	Friction	Weight	Rainfall	Safety factors
Group 1	-1	-0.7931	-0.37931	-0.44774	0.172414	0.655172	0.131768
Group 2	-0.93103	-0.51724	0.310345	0.14701	-0.72414	0.241379	0.430408
Group 3	-0.86207	-0.24138	1	-0.57518	0.517241	-0.17241	0.886279
Group 4	-0.7931	0.034483	-0.44828	0.019564	-0.37931	-0.58621	0.133745
Group 5	-0.72414	0.310345	0.241379	-0.70263	0.862069	-1	-0.0665
Group 6	-0.65517	0.586207	0.931034	-0.10788	-0.03448	0.724138	1
Group 7	-0.58621	0.862069	-0.51724	-0.83007	-0.93103	0.310345	-0.6178
Group 8	-0.51724	-1	0.172414	-0.23533	0.310345	-0.10345	0.255871
Group 9	-0.44828	-0.72414	0.862069	-0.95752	-0.58621	-0.51724	0.823486
Group 10	-0.37931	-0.44828	-0.58621	-0.36277	0.655172	-0.93103	-0.39827
Group 11	-0.31034	-0.17241	0.103448	0.231973	-0.24138	0.793103	0.778986
Group 12	-0.24138	0.103448	0.793103	-0.49022	1	0.37931	0.329543
Group 13	-0.17241	0.37931	-0.65517	0.104528	0.103448	-0.03448	-0.77206
Group 14	-0.10345	0.655172	0.034483	-0.61766	-0.7931	-0.44828	-0.44326
Group 15	-0.03448	0.931034	0.724138	-0.02292	0.448276	-0.86207	-1
Group 16	0.034483	-0.93103	-0.72414	-0.74511	-0.44828	0.862069	-0.80519
Group 17	0.103448	-0.65517	-0.03448	-0.15036	0.793103	0.448276	0.100124
Group 18	0.172414	-0.37931	0.655172	-0.87255	-0.10345	0.034483	-0.3335
Group 19	0.241379	-0.10345	-0.7931	-0.27781	-1	-0.37931	-0.63066
Group 20	0.310345	0.172414	-0.10345	-1	0.241379	-0.7931	-0.56588
Group 21	0.37931	0.448276	0.586207	-0.40525	-0.65517	0.931034	0.005686
Group 22	0.448276	0.724138	-0.86207	0.189491	0.586207	0.517241	-0.87244
Group 23	0.517241	1	-0.17241	-0.5327	-0.31034	0.103448	-0.75179
Group 24	0.586207	-0.86207	0.517241	0.062046	0.931034	-0.31034	0.603461
Group 25	0.655172	-0.58621	-0.93103	-0.66015	0.034483	-0.72414	-0.97726
Group 26	0.724138	-0.31034	-0.24138	-0.0654	-0.86207	1	-0.09221
Group 27	0.793103	-0.03448	0.448276	-0.78759	0.37931	0.586207	-0.07145
Group 28	0.862069	0.241379	-1	1	-0.51724	0.172414	-0.53325
Group 29	0.931034	0.517241	-0.31034	-0.891	0.724138	-0.24138	-0.72658
Group 30	1	0.793103	0.37931	-0.32029	-0.17241	-0.65517	0.026452



Table 4

Classification and category of landslide influencing factors

Landslide influence factors						Landslide risk
Rainfall	Slope angle(α)	Slope height	Cohesion	Friction	Weight	
(0, 10)mm	(0, 15)/ [60, 90)	[100, ∞) m	I	I	I	Very low
[10, 25) mm	[15, 25)/[45, 60)	[50,100)m	II	II	II	Low
[25, 50) mm	—	[25, 50)m	—	—	—	Moderate
[50, 100) mm	[25, 35) mm	[15, 25)m	III	III	III	High
[100, ∞) mm	[35, 60) mm	[8, 15)m	IV	IV	IV	Very high

In the table, category of cohesion IV, III, II, I denote the value of cohesion vary from 0.5 to 20 MPa, from 20 to 50 MPa, from 50 to 80 MPa, and 80 to 100 MPa, respectively; category of friction IV, III, II, I denote the value of friction angle vary from 4 ° to 10 °; from 10 ° to 25 °, from 25 ° to 35 °, and 35 ° to 42 °, respectively; in terms of weight, the category I, II, III, IV denote the value of weight vary from 13.7 to 15.2 kN/m³, from 15.2 to 16.8 kN/m³, from 16.8 to 17.6 kN/m³, and 17.6 to 19.6 kN/m³, respectively.

Table 5

Calculations value of different rainfall

Rainfall types	Range	Calculations value
Light rainfall	(0, 10) mm	5
Moderate rainfall	[10, 25) mm	15
Heavy rainfall	[25, 50) mm	37
Rainstorm	[50, 100) mm	75
Severe rainstorm	[100, ∞) mm	121

Table 6

Classification of landslide susceptibility assessment

Classifications	Landslide susceptibility description
I	Very low (VL)
II	Low (L)
III	Moderate (M)
IV	High (H)
V	Very high (VH)

Table 7

landslide susceptibility zonation under rainstorm and severe rainstorm

Landslide zonation	susceptibility	Rainfall conditions		
		Rainstorm (Percent area %)	Severe rainstorm (percent area %)	Variation
VL Zones		27.90%	11.80%	-16.10%
L Zones		45.20%	40.19%	-5.02%
M Zones		22.45%	20.32%	-2.13%
H Zones		4.45%	24.80%	20.35%
VH Zones		0.00%	2.89%	2.89%

The area of total zones is the study area



Table 8
 Location and occurrence time of rainfall-induced landslides in urban area of Fengjie County (from 1998 to 2014)

Landslide ID	Location(name)	Time	Landslide ID	Location(name)	Time
L-1	Kuimen	2006.6	L-30	Miaowanzi	2004.9
L-2	Daoziping	2008.9	L-31	Yueliangping	2002.5
L-3	Yujiafen	2007.4	L-32	Caotanghe	2000.7
L-4	Yanmenzi	2006.6	L-33	Shanghenbao	2004.8
L-5	Kunniushi	2007.6	L-34	Ziyang-4-she	2001.3
L-6	Miaobao	2007.6	L-35	Xiaoujiabao	2004.5
L-7	Lanshiyao	2000.10	L-36	Lengjiawan	2008.4
L-8	Zicantuo	2000.8	L-37	Yangjiawuchang	2000.7
L-9	Minjiabao	2000.8	L-38	Hongyadong	1998.7
L-10	Shangbolin	2007.7	L-39	Fj-Middle school	2005.4
L-11	Xiabolin	2006.4	L-40	Chatupo	2000.6
L-12	Hualianshu	2004.7	L-41	Tudiliang	2000.8
L-13	Zhongzui	2008.5	L-42	Chenjiawan	2003.6
L-14	Shaojiabao	2000.7	L-43	Jigongliang	2003.7
L-15	Guojiabao	2001.7	L-44	Baiyian	2003.7
L-16	Kuangjiagou	2000.7	L-45	Happy-zhongxue	2007.6
L-17	Wangjiaping	1998.7	L-46	Zhoujiawan	2003.7
L-18	Dikuangju	1998.7	L-47	Wangjiawan	2003.8
L-19	Lijiagou	1998.7	L-48	Yaoping	2000.8
L-20	Gufang	2003.7	L-49	Zhuanchang	2000.8
L-21	Zhuyaozi	2003.7	L-50	Yanjiapo	2001.7
L-22	Liujiabao	1998.7	L-51	Erpingzi	2002.5
L-23	Shijialiang	1998.7	L-52	Zhangjiawuchang	2003.6
L-24	Toudaohe	2009.7	L-53	Dahegou	2000.7
L-25	Jixiegongsi	2009.7	L-54	Dengzhanwo	2003.7
L-26	Baiyangping	2005.7	L-55	Yinliping	2001.6
L-27	Chenjiawan	2014.9	L-56	Laofangzi	2001.6
L-28	Oujiabao	2005.9	L-57	Sichouchang	2002.6
L-29	Luojiawan	2003.6	L-58	Houzishi	2003.7