

Answers to the reviewers

Previous title:

“Slope stability and rock fall hazard assessment of volcanic tuffs using RPAS with 2D FEM slope modelling”.

Modified title:

“Slope stability and rock fall assessment of volcanic tuffs using RPAS with 2D FEM slope modelling”.

Major changes in the manuscript:

- Text was rewritten and afterwards checked by a native speaker
- One new table (Table 1 – with image processing data) and 2 new Figures (Fig. 6 a DTM model, and Fig. 7. Differences between RPAS and TLS point clouds obtained by CloudCompare) were added according to the suggestions of the referee
- On Fig. 3 and on the new Fig. 7 the zone of potential rockfall is now marked (it was requested by the referee)
- Additional references were added describing the RPAS as a tool in obtaining images and the SfM - DSM generation and the use of such terrain models in slope stability assessment

Answer to the reviewer #1:

Thank you very much for your very constructive comments and suggestions. We have considered all of your comments and modified the manuscript accordingly. Please find **your comments in black** and our **answers to your comments in red** below.

REVIEW #1

The paper investigate the benefits to generate a DTM using a RPAS for engineering geology application. In particular, to use the DTM for slope stability analysis. In my opinion, the innovative contribute of this paper is quite limited in particular on RPAS point of view. DTM generation using RPSA is already a consolidated techniques, in particular nowadays is quite frequently used the use of oblique images for environmental application. This aspect could be an innovative contribute, but authors not have mentioned it.

In order to be considered for publication, it is mandatory to strongly modify several parts in the paper.

Comments:

Page 1: line9: “Low strength rhyolite tuff forms steep, hardly accessible cliffs in NE Hungary. The slope is affected by rock falls.” What is the sense of these sentences? They are very generic. Moreover, the RPAS is not used to generate the DTM!!! RPAS is used to collect images, point clouds (with ALS), that can be used to generate a DTM or DSM (with vegetation). RPAS is only a tool!

That part of the abstract was corrected. We agree that RPAS is a tool and it collects images that need to be processed afterwards. The revised text takes into account this and has been modified according to the referee suggestions.

Page 1: line15: “The paper demonstrates that without RPAS...” This sentence is a little bit wrong, because it is possible to generate a digital model also with alternative method, as demonstrated in the last part of the paper.

We have reformulated the sentence, as RPAS based technology is capable to obtain reliable terrain information and useful input for geologic analyses.

Tachymetry is an old instrument, without EODM. Nowadays total station is used!! Please, replace tachymetry with total station at least!

We agree that tachymetry is a traditional measurement tool and nowadays total stations are used. Tachymetry was erased from the abstract, since the manuscript does not focus on it. The text was corrected accordingly and “total station” was used throughout in the main text of the manuscript.

Page 1 line 37-38...: this sentence is not clear.

The sentence was corrected.

Page 2, line 8-10: RPAS is not used to generate the hard surface, but it could help to acquire the images or point clouds. It is important to focus the attention about the planning and the data processing. To be improved.

The data processing of RPAS was described in detail in the revised text and a comparison with TLS was also provided. Uncovered rock surfaces were studied in this paper, where the RPAS captured images provide appropriate data for DSM. A new figure (Fig. 6) shows the DSM.

It is necessary to define the extension of the case study: how many hectares?

The study area is marked on Fig. 3, and now the zone where rock falls occur are also marked in the revised figs (red dot-and-dash lines).

Page 3: fig 2: it could be better to include the in the part a) the single index (b), c),..) close to the single box, c) is not clearly defined.

Fig. 2 was reshaped according to the comment.

Page4: fig3 : In the paper is many times mentioned the “risky zone for tourist”, but it is not clearly where is this zone. Please, define this zone in the map. In this figure, north direction has to be included. Include a graphical scale.

On the revised Fig. 3, the zone that is affected by rock fall is marked (as well as on the new DTM on the new Fig. 6.). The direction of North is also marked on revised Fig. 3.

Caption fig3 is wrong: it is mentioned fig4, but fig.5 is the correct one. In figure 5 models are described and not the area. In the caption is said “the areas shown” but fig 5 has the model.

The Fig numbering was checked and it was corrected throughout the paper.

Page 4 line 5: it is not clear the “engineering geology” aspect. In fig 4 is not mentioned, but only geology. What is the difference between geology and Eng. Geology? To be clarified.

Engineering geology is a subtopic within the broader field of geology. It is a form of applied geology. We explain its role in slope stability assessment.

It is mentioned that flowchart are explained, but it is still very “poor” . to be better explained.

The flow chart is explained in more details.

Figure 4: some parts in the flowchart are not clear: connection between point cloud and cross section, or DEM and slope stability analysis.

Additional parts were added in the text explaining the flow chart and the flow chart was revised – the links between the parts of flow chart were also corrected.

Page 5 line4: it is not clear how the vegetation is removed. The authors declare that there are some part covered by vegetation. How the vegetation was considered? Alternatively, you have a DSM...Using TLS, it is possible to have directly a DTM.

Vegetation removal was done manually. At the studied area, mostly bare rock faces were found. However, some corrections were made. A new Fig (Fig. 6) shows the obtained DSM.

Page 5 from line 8: “The Remotely...” is not clear. Maybe the flight was done on 21st ... It is important to describe better the flight planning, the % of overlapping, etc. In other words, it is necessary to include a table with all information about the flight. One question: how is possible to guarantee the correct overlapping with a manual flight, with a limited vision of the UAV? What is the GSD? What is the relative height? How the camera calibration has been realized? Go pro is a very hard camera to be calibrated.

We have already indicated in the text that there was no prior flight planning. The weather was not exactly fine for the RPAS-flight, because it was somewhat windy. The skilled pilot could control the drone with the help of the FPV option, so the required image overlap could be ensured. The weather conditions explain the variety of the flying height (60-100 m relative flying height). The average GSD was therefore 3-5 cm on the ground. The applied software (Pix4D) has also a camera calibration option, thus no prior camera calibration was planned and executed. We agree with the reviewer that the calibration of GoPro camera is hard, but TLS was used for the validation. A comparative analysis of point clouds of RPAS and TLS is given on the new Fig. 7. On the other new figure, on Fig. 6, the ground control points that were used for referencing are also shown.

Remarks: why have you not considered to collect oblique images?

http://www.itc.nl/library/papers_2016/pres/nex_obl_ppt.pdf

Thank you for the comments and suggestion as well as for the suggested references on oblique images. We used that in the paper and cited some of those references. The field data was collected by using RPAS and the data was validated and cross checked by TLS. We believe that the obtained data quality proved that with the use of manual controlled RPAS it is also possible to obtain high quality data.

The number of points in the cloud is drastically limited with respect TLS, why? You have 3 flight...In my opinion 12 million of points is very poor for your area.

We fully agree that TLS has much more captured points than required. One reason is that the region of interest is smaller than the scanned area. The next reason is that the geological analyses do not require such a high resolution data set, thus we have decided to resample the data set. The amount of the RPAS points was mentioned wrong; since nearly 19 million points were derived by the photogrammetric data evaluation. The typo has been corrected in the text.

Page 6 line 7: where do you have placed the GCP? Include a map.

GCPs are illustrated in the newly inserted Figure 6 (DSM).

Page 6 line 13: typos.

Typos have been corrected.

Page 6 line 14: a point cloud comparison is mentioned by cloud compare, but it is not shown and described. Figure 4 mentioned is related to another part. TO BE INTEGRATED. IT IS VERY IMPORTANT TO COMPARE TLS SOLUTION WITH SFM SOLUTION.

CloudCompare is a well-known and widely used software tool to compare point clouds and the derived models. The original text has been extended by new text and new citations as well as a new comparative Figure (new Fig. 7) has been inserted showing the differences between RPAS and TLS point clouds. It marks clearly that the analyzed point clouds are very similar; as the highest difference is less than 0.1 m. Figure citation was corrected.

Pag7: line 2: Figure 7. In figure 7 is not shown the model. To be correct or include a new figure

It was corrected in the text.

Pag7: line 3: Figure57. In figure5 is not shown the CAD model, as mentioned here.

Referencing to Fig. 7. has been removed.

Page 7 line 8: you mention some details in figure 4, but they are not described. (as morphological index). It is necessary to define and to describe how you calculate these indexes. Equations are required

The DSM/DEM based analyses are built-in functions in GIS software SAGA. Some of these features are documented. The morphological index functionality is further referenced with the revised paper. Unfortunately these indices can not be described by using an equation, because most of them are coming from a sophisticated algorithm. These are usually too complex for a single equation, but the method was referenced in the text.

Page 7 line 12: where do you mention the engineering geology? Not clear.

Engineering geology is an applied geology that also deals with slope stability. It is a widely used expression, and an Engineering Geology journal also exists.

Page 7 line 29: you have to define this area in the figure 3!

The zones where rock falls occur are marked in the revised Fig. 3 and also on the new fig showing DTM (Fig. 6).

Page 7 line 35: ..."from RPAS (DTM model figure 4)". In figure 4 is not shown a DTM...to be clarified.

It was explained more clearly in the revised manuscript and a new figure was also inserted in the text that shows DSM (Fig. 6).

Page7 line 2: " DTM and morphological..."where? It is not defined in the paper.

We guess that the reviewer refers to Page 9. The text was corrected and rewritten.

Caption figure 7: "...from DEM analysis (fig 4)...where?? How?...not defined in the paper.. RPAS dataset has not sense!

The figure caption of Fig. 7 (in the revised paper this figure is Fig. 9) was corrected.

Page 10 line 5: figure 4 is mentioned but it is a mistake.

The mistake was corrected. The correct reference is: Fig. 3.

Page 10 line 14-15: sentence very poor. To be clarified. It is not clear the DTM analysis.

That part of the paper was rewritten.

Page 11: it is not clear what is the site where the analysis is carried out.

The kinematic analyses were made for rock joints: planar and wedge failure was calculated. 6 major sets of rock joints were identified (see text and Fig. 11 and Fig. 12.). These joints are located in the area, which are marked on Fig. 3 as a zone that is affected by rock fall.

DISCUSSION SECTION has to be deeply reviewed.

Page 12 line 7-11: no comparison between TLS and SFM solution is made. It is not possible to verify the conclusion here reported.

A new figure is inserted in the text which provides information on the point clouds of RPAS and TLS (new Fig. 7). It is better explained now in the revised text, too.

Page 12 line 15-18: to be defined better this declaration.

Fig. 7 proves that the differences between RPAS based and TLS based terrain models are minor, and thus RPAS is an excellent tool to solve slope stability problems.

Page 12 line 19-26: to be defined how to realize that. SFM is a technique quite influenced by the shadow, in contrary to TLS system. Not very clear what you want to demonstrate. It is not very ease to reconstruct a correct DTM with SFM, if the data acquisition is not correctly made.

The flight was executed in leaf-free season; otherwise the most interesting areas were not covered by vegetation. If some vegetation was captured, they were filtered out from the results. The minor effect of vegetation is clearly seen on Fig. 6, but fortunately the zones that were interesting for slope stability analyses were bare cliffs and were not covered by vegetation.

Page 13: this part is very critical. You have to better motivate how you built this table and why you have defined this index, with a literature support! The content is partially in opposite with the table 3. To be completely reviewed.

The table was omitted and the differences were explained in more detail in the Discussion part of the revised text.

Page 13 line 21: typos tachmietry

Thank you for your remark, the typo has been corrected.

Conclusion. This part needs a very hard review. IT could be interesting to have a comparison between the analysis made using SFM solution and using TLS dataset, just to compare the difference, accuracy and more.

The conclusions were rewritten.

The use of RPAS for photogrammetry is a consolidated technique, even in geology than in order to be published, it is necessary to give a more innovative definition.

New data sets are added in the paper with new figures and corrected figures. The text was also rewritten at parts focusing on the applicability of RPAS in cliff stability analysis and the appropriate resolution of RPAS validated by TLS.

Review #2:

Answer to the reviewer #2:

We really appreciate the comments and suggested corrections. We have considered your suggestions and modified the manuscript accordingly. Please find **your comments in black** and our **answers to your comments in red** below.

Dear Editor, dear Authors:

General comment: The manuscript has improved from the first version, but still has lingering issues that need to be addressed and does not contain enough details to make the analysis fully understandable.

Specific comments:

1) Several issues with sentence structure and grammar still persist. I would recommend a further English edit to improve sentence structure and terminology.

The terminology was updated and a native speaker corrected the English text.

2) The authors use (in the title and at some points in the text, e.g. page 3, line 9) the term hazard assessment to describe their analyses. Since the rock fall hazard assessment requires specific data (e.g. frequency and magnitude) and further analyses (e.g. trajectory modeling) that are not presented in the text, I would suggest remove it from the title and the text. Please be specific and use the appropriate terms in the description of the analysis.

This term 'hazard' has been removed from the title and also from the text.

3) It is not clear from the text how the model obtained with RPAS based photogrammetry was validated using TLS data and which is its accuracy. Please explain the method adding specific details.

The RPAS based photogrammetry was validated by using TLS. A detailed explanation is given in the revised text and a new figure (Fig. 7) was added showing the differences between the point clouds obtained by RPAS and TLS.

4) The authors state that "the rock mass failure was analyzed with the RocFall FEM software of the Rocscience (RS2)". For what I know the RocFall software is a trajectory simulation software and does not allow to analyze the stability of a slope. Please verify and in case modify the text.

In the revised paper we have explained more how the RocScience software was used and SRF factor is explained with a modified Fig (now Fig. 9, in the previous manuscript it was Fig. 8). No trajectories were modelled.

5) It is not clear from the text how discontinuity measurements were extracted from the RPAS based photogrammetric model. This is a key aspect to underline the potential of using the RPAS based photogrammetry in rock slope stability analysis and kinematic analysis of discontinuity sets. Please clarify.

The set of discontinuities are now described better, and on Fig. 11 and Fig. 12, the main joint sets are marked. A new figure Fig. 6 shows the DSM model and the text explains how the orientation of discontinuities was obtained by using the catchment area diagram (Fig. 9). It was also validated by field measurements and 6 main joint sets were identified (see text and Fig. 11 and Fig. 12).

6) At line 7 of page 8 (and in the flow chart of figure 4) the authors state that "Risk assessment was based on slope stability calculation" but no risk analysis is presented. Please modify the text considering the real

contribution of the analysis.

The risk assessment was not performed but slope stability analyses were made. Accordingly, in agreement with the referee's comment, Fig. 4 was modified and the term 'risk assessment' was not used in the text.

7) The authors state that "six main joint sets (...) were identified with prevailing NE-SW direction" and refer the text to figure 7. From the text, it is not clear what criterion is used to show joint measurements (e.g. dip/strike or dip/dip direction); it seems that measurements in the text are indicated as dip/dip direction and in the rose diagram of figure 7 as dip/strike (it is not obvious for the reader). Moreover, for what I can understand looking at figure 7, it shows only 5 joint sets and two of that have a very similar strike (I suppose strike 312 and 299). Please clarify this aspect adding specific details in the text and in the caption of figure 7.

The text was rewritten. The rose diagram shows the orientation of major discontinuities (see Fig. 9). The set of discontinuities are now described better and on Fig. 11 and Fig. 12 (previously Fig. 9 and Fig. 10); the 6 main joint sets are marked. The orientation of the 6 main joint sets is also listed in the text and it was added that dip angle/dip directions are given.

8) In the cross sections of figure 8, the authors indicate the total displacement (see caption) with color ranging from blue to red and do not show any color scale to relate displacement values to colors. In this way, it is not clear what the reader should conclude looking at the analysis, also considering that despite the safety factor is above 1 the slope is subject to deformation. Please clarify this aspect and show the result of the stability analysis for all of the selected cross sections.

The total displacements are now given on the new Fig. 10 (previously Fig. 8) and the text was reshaped accordingly.

9) In the conclusions the authors state that "According to 2D FEM modeling the intercalating low strength layer is one where potential slip surface can develop causing larger scale mass movements, but at present it has low probability". Even if this interpretation might be consistent with a modeled spatial distribution of the safety factor (that is not shown in the specific figure), in my opinion the result of the global stability analysis is not suitable to estimate the probability of occurrence of a landslide. Please modify the sentence or remove.

The sentence was corrected and the conclusions were rewritten.

With the above corrections, I feel the manuscript may be reconsidered for publication.

Slope stability and rock fall ~~hazard~~ assessment of volcanic tuffs using RPAS with 2D FEM slope modelling

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Abstract. ~~Low strength rhyolite tuff forms steep~~ Steep, hardly accessible cliffs of rhyolite tuff in NE Hungary. ~~The slope is affected by~~ are prone to rock falls ~~endangering visitors of a castle. Remote sensing techniques were employed to obtain data on terrain morphology and to provide slope geometry for assessing the stability of these rock walls.~~ RPAS (Remotely Piloted Aircraft System) was used to collect images which were processed by Pix4D mapper (Structure-from-Motion technology) to generate a point cloud and mesh. The georeferencing was made by Global Navigation Satellite System (GNSS) with the use of 7 ground control points. The obtained digital terrain surface model (DSM) was processed (vegetation removal) and the derived Digital Terrain Model (DTM) for slope stability analysis and rock fall hazard assessment. Cross ~~allowed to draw~~ cross sections and to detect joint system ~~data was obtained from DTM.~~ Joint and discontinuity system was also verified by field measurements. On site ~~and as well as~~ and as well as laboratory tests provided additional engineering geological data for slope modelling. Stability of cliffs ~~and rock fall hazard were~~ was assessed by 2D FEM (Finite Element Method). Global analyses of cross-sections show that weak intercalating tuff layers may serve as potential slip surfaces, however, at present, the highest hazard is related to planar failure along ENE-WSW joints and to wedge failure. The paper demonstrates that ~~without~~ RPAS ~~is a rapid and useful tool of generating~~ is a rapid and useful tool of generating reliable terrain model ~~could be made and it of hardly accessible cliff faces. It~~ also emphasizes the efficiency of RPAS in rock fall hazard assessment in comparison with other remote sensing techniques such as terrestrial laser scanning (TLS) ~~and tachymetry.~~

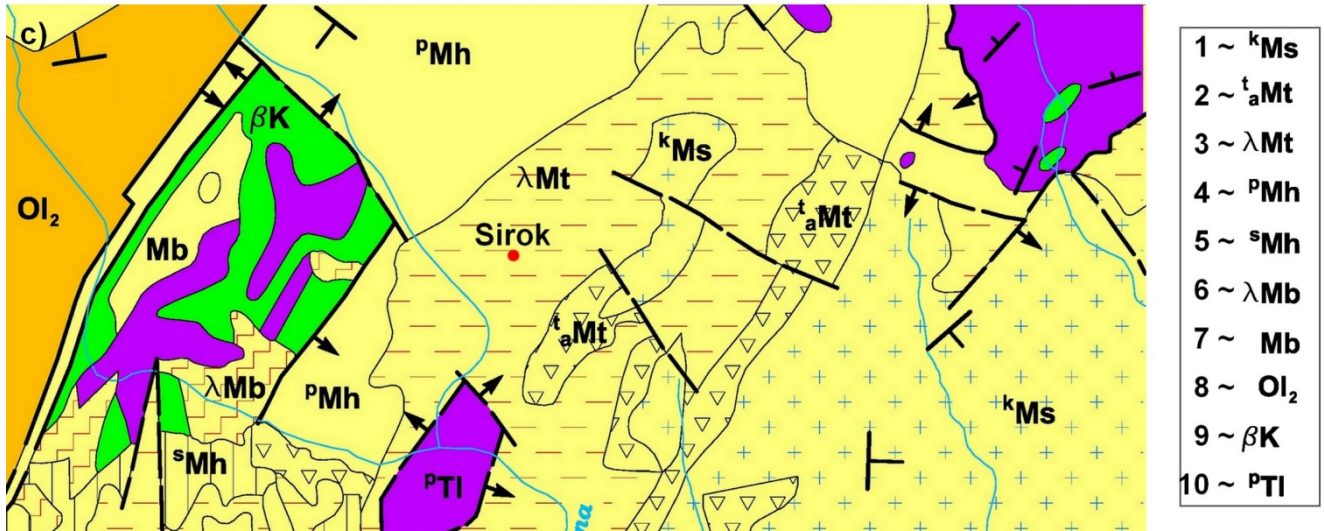
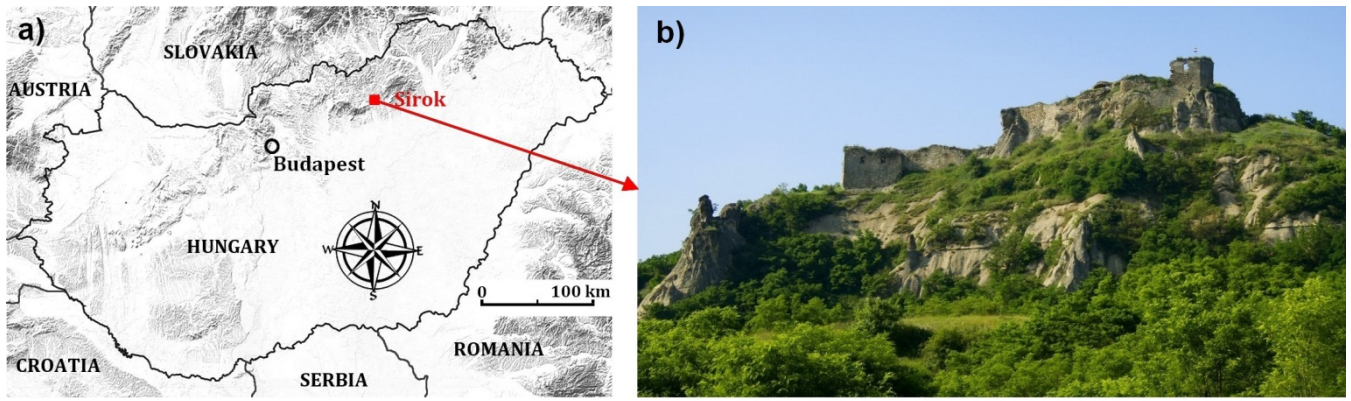
1 Introduction

In the past years, technological development of RPAS revolutionized the data gathering of landslide affected areas (Rau et al. 2011), recultivated mines (Haas et al. 2016) ~~;) and the~~ and the monitoring of coastal processes (Casella et al. 2016), and levee breaches (Brauneck et al. 2016) or road cuts (Mateos et al. 2016). ~~RPAS has been increasingly used in engineering geology;~~ in historical landslide mapping (Jovančević et al. 2016) and in slope stability analyses (Niethammer et al. 2012, Fraštia et al. 2014) as well as in other natural disasters such as earthquakes (Gerke & Kerle 2011, Nex et al. 2014) or floods (Feng et al. 2015). ~~RPAS can~~ RPAS can also be combined with terrestrial laser scanning (TLS) since both remote sensing tools provide high precision terrain measurement (Fanti et al. 2013, Assali et al. 2014, Francioni et al. 2014, ~~Neugirg~~ Neugirg et al. 2016, Manconi & Giordan 2015). These tools can be used to validate height information derived by other technologies. Rock falls represent special landslide hazards since their rapid movements and various trajectories make it difficult to predict their hazard potential (Crosta & Agliardi 2003, Manconi & Giordan 2014). Several methods have been suggested to assess cliff stability ranging from physical prediction rock fall hazard index (Crosta & Agliardi 2003) via Rockfall Hazard Rating System (Budetta 2004) ~~and to the~~ to the modelling of their trajectories (Crosta & Agliardi 2002, Abbruzzese et al. 2009, Copons et al. 2009, Samodra et al. 2016). These methods rely on understanding failure mechanisms and on predicting displacement of rock masses (Pappalardo et al. 2014, Stead & Wolter 2015, Mateos et al. 2016) ~~), or at~~ in some cases, on predicting displacement of individual rock blocks (Martino & Mazzanti 2014). To gather data on the rock fall hazard of existing cliff

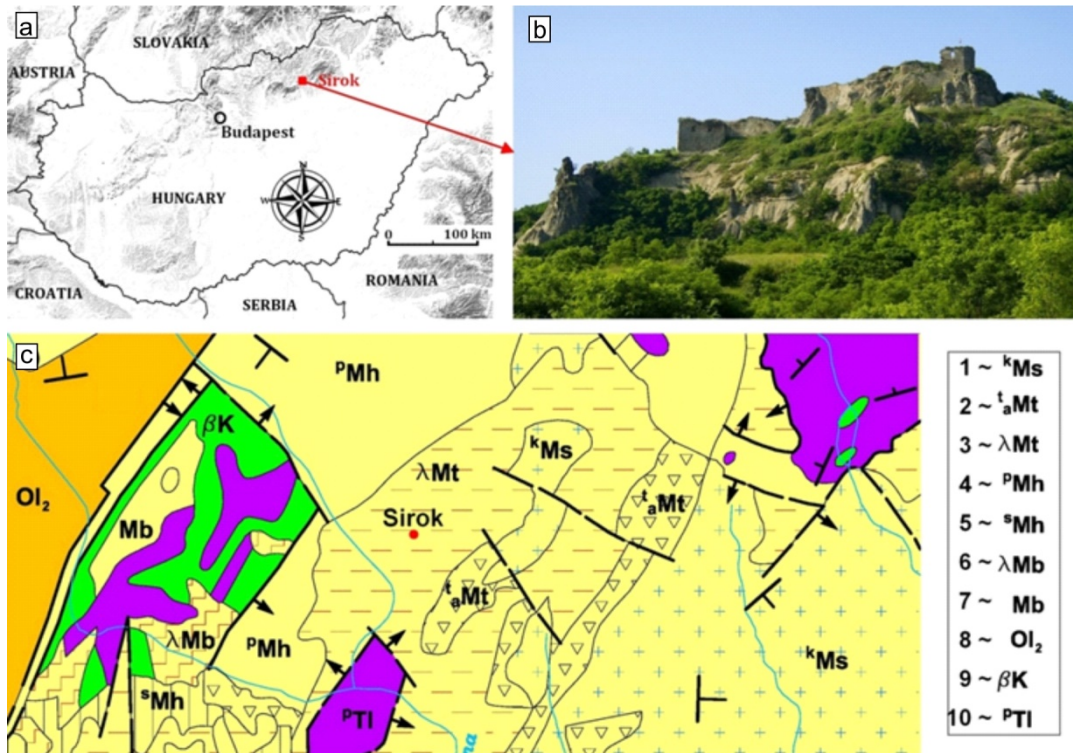
40 | faces, a number of crucial data is needed: slope profiles, material properties, block size (De Biagi et al. 2017)) and possible
41 | discontinuity surfaces that can contribute to slope instability. Slope profiles can be obtained from point clouds, while
42 | material properties have to be measured on site (e.g. Uniaxial Compressive ~~Strenght~~Strength by Schmidt hammer) or under
43 | laboratory conditions (Margottini et al. 2015). ~~Detection~~For the detection and mapping of joints ~~require fieldwork (on-site~~
44 | ~~measuring by compass), or at hardly accessible locations and fractures~~ it is possible ~~by applying to apply~~ remote sensing
45 | techniques (Fanti et al. 2013), ~~or both,~~ Tannant 2015, Salvini et al. 2017).
46 | Most ~~of~~ rock fall hazard publications deal with hard, well cemented rocks, such as limestone (Samodra et al. 2016)) or
47 | various other types of sedimentary rocks (Michoud et al. 2012);) ~~such as~~ igneous or metamorphic rocks. In contrast, very few
48 | previous studies deal with cliff face stability and rock fall hazard of low strength rock such as volcanic tuffs (Fanti et al.
49 | 2013, Margottini et al. 2015). Volcanic tuffs are very porous rocks and are prone to weathering (Arikan et al. 2007). While
50 | the current paper deals with a low strength pyroclastic rock, it has a slightly different approach of cliff stability analysis,
51 | ~~since.~~ In this study, slope stability is assessed by using a combination of remote sensing techniques, field measurements, and
52 | laboratory testing of tuffs with 2D FEM (Finite Element Analysis) analyses of slopes. ~~Compared~~In contrast to other case
53 | studies, this study operates on a smaller scale and studies the possibilities of wedge and planar failures. ~~The~~More
54 | specifically, in our context, the cliff face is unstable as it is evidenced by falling blocks. Due to rock fall hazard, the small
55 | touristic pathway was closed to avoid casualties. The current paper analyses the cliff faces by condition assessment and
56 | stability calculations. Thus, this research provides an assessment of how RPAS-based images and photogrammetric
57 | processing can be used to ~~create~~derive a surface model at ~~hardly accessible sites~~ that are difficult to access. The paper also
58 | demonstrates the combined use of photogrammetric, surveying, and engineering geological methods at difficult ground
59 | conditions in assessing rock slope stability.

60 | 2 Study area

61 | The study area is located at mid-mountain range in NE-Hungary. ~~A,~~ where a hardly accessible jointed rhyolite tuff cliff face
62 | was studied. On the top of the cliff, a touristic point, the Sirok Castle is located (Fig. 1). ~~The,~~ with the steep rhyolite tuff hill
63 | with an elevation of 298 m ~~and found~~ at the transition area of two mountain ranges, Mátra and Bükk Mountains. The tuff is
64 | very porous and prone to weathering (Vásárhelyi 2002, Kleb & Vásárhelyi 2003, Török et al. 2007).
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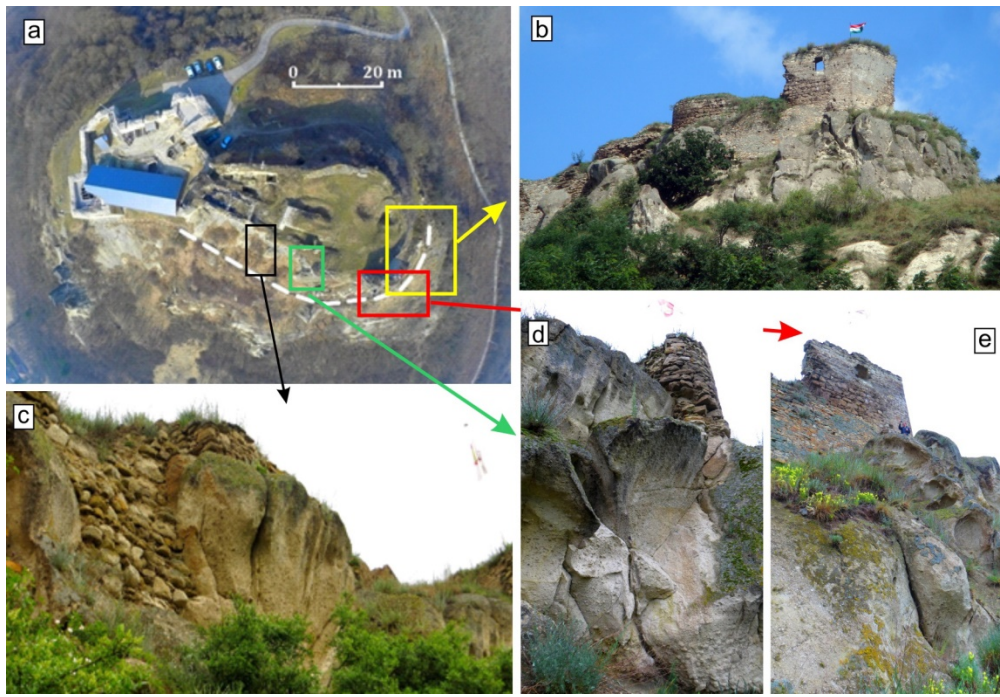
69 Fig.1. Location of studied cliff faces and an image of the rocky slope at Sirok Castle, NE Hungary (top) and the geological map of
 70 the area (redrawn after Balogh 1964) (bottom). Legend for geological map: Miocene (1-7), Oligocene (8), Cretaceous (9), Triassic
 71 (10): 1: gravel and conglomerate; 2: clay; 3:rhyolite tuff; 4: sand and sandstone; 5: siltstone; 6: rhyodacite tuff; 7: fine sand; 8:
 72 clay; 9: basalt; 10: radiolarite.

73

74 Although the first castle was already constructed in the 13th century AD, due to war damages and reconstructions, the
75 current structure encompasses wall sections representing different construction periods. In these days, the partially ruined
76 walls have been restored, and the castle is open to tourists, but southern slopes are closed due to rock fall hazard.
77 The hill represents a rhyolite tuff that was formed during the Miocene volcanism (Badenian-Lower Pannonian period). The
78 cliff face was formed during to the late Miocene volcanic activity. ~~It~~, and is a part of the Inner Carpathian volcanic chain.
79 The geological map of the closer area clearly reflects the dominance of pyroclastic rocks, with isolated occurrences of
80 Oligocene and Triassic rocks (Fig. 1). The cliffs are steep and display several joints and discontinuity surfaces. The present
81 study focuses on the southern hillslope of the castle hill, where major rock falls occurred in the near past (Fig. 2). The study
82 area is divided into smaller units, where RPAS and rock fall hazard assessment analyses were carried out (Fig 3).
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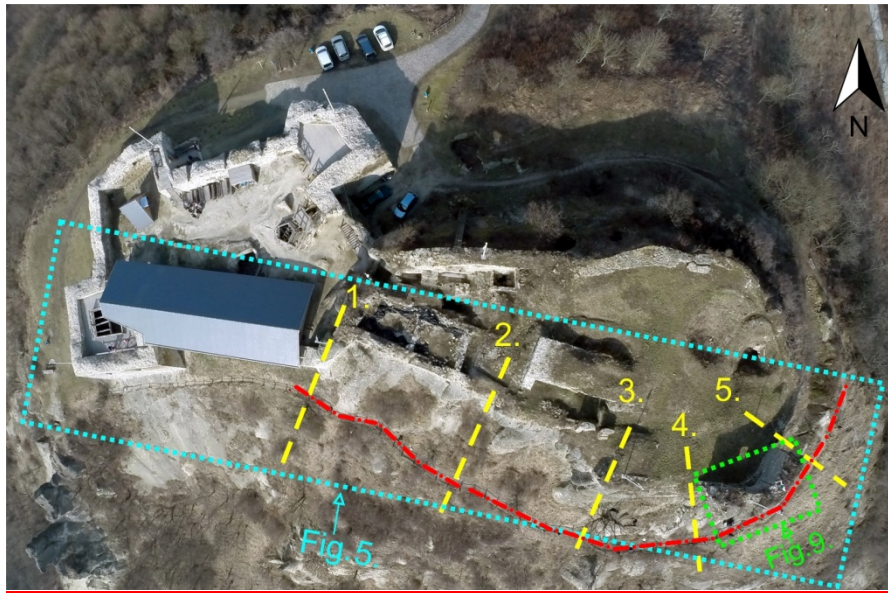
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86 Fig.2. Studied southern cliff faces (clockwise): a) image of the castle obtained by RPAS with marked details; b) distant view of the
 87 eastern part of the cliff section; c) ~~weathered rounded cliff with larger taffoni~~ steep cliffs dissected by joints; d) vertical to sub-
 88 vertical cliff face with steep joints and traces of rock fall; and e) steep cliffs dissected by joints weathered rounded cliff with larger
 89 taffoni.

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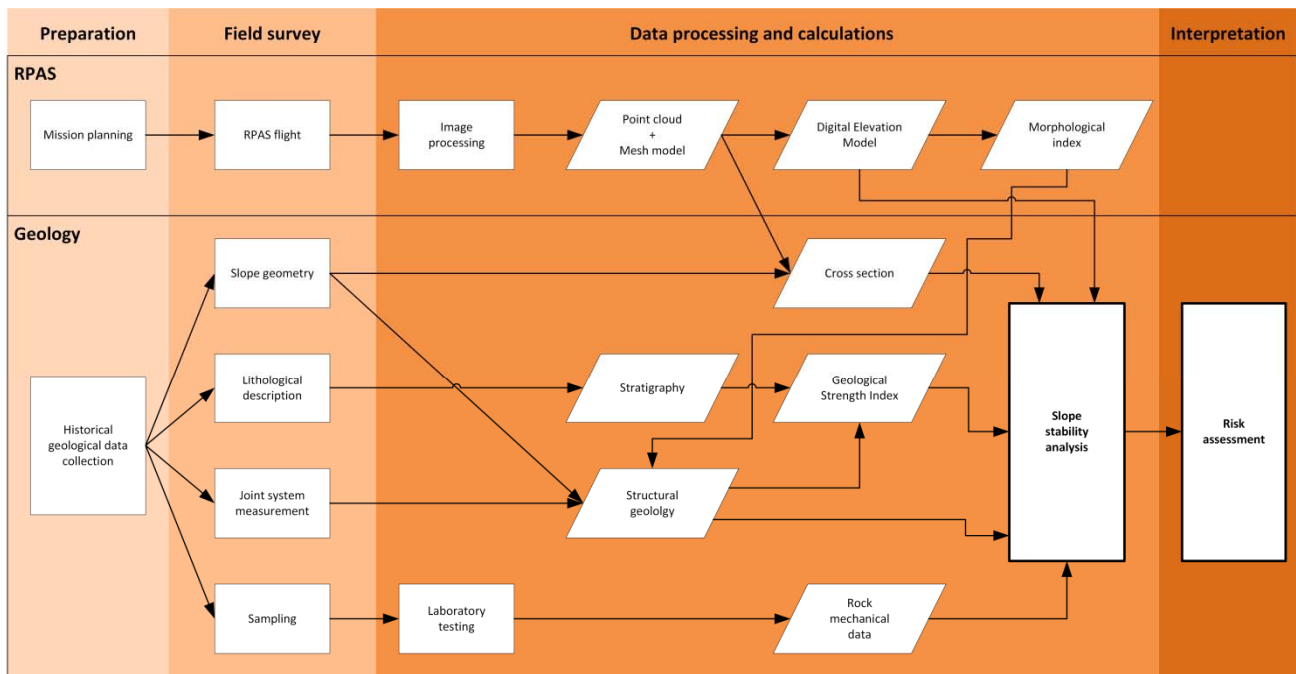
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93 Fig.3. Location of the illustrations in the paper and the ~~sections (1 to 5 marked~~rock fall affected area. Red dot-and-dash line
 94 represents zones affected by ~~yellow~~rock fall. Yellow dashed lines) 1 to 5 mark the sections where slope stability was calculated by
 95 using 2D FEM model (Fig.8). Dotted lines indicate the areas shown on Fig. 4~~5~~ and Fig. 7~~9~~.

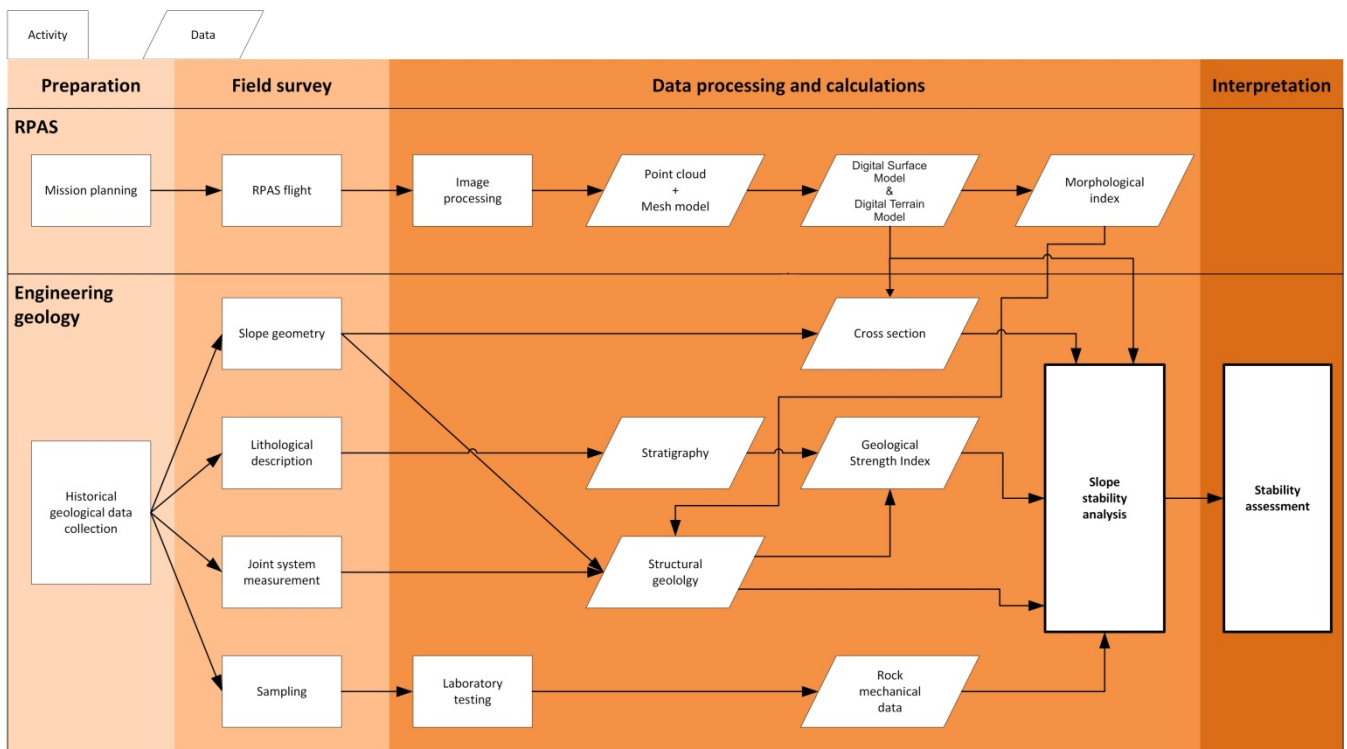
96 **3 Materials and Methods**

97 The research contains two major methods: i) RPAS and ii) engineering geology. The applied methods are summarized in a
 98 flow diagram displaying the combination and links between the two methods (Fig. 4). The flow chart has four major realms
 99 that have both RPAS and engineering geological units: i) preparation; ii) field survey; iii) data processing and calculation
 100 and finally interpretation. The RPAS line is described in details in the next part of the paper, but also linked to previous
 101 publications providing overview of image acquisition, image processing and interpretation (Civera et al. 2012, Westoby et al.
 102 2012, Remondino et al. 2014).(Fig. 4). ~~The explanation of these research methods are given below in more details.~~
 103 Engineering geological part of the flow chart is also explained below, but also has strong links to publications describing the
 104 application of RPAS to landslide characterization, and rock slope stability assessment (Niethammer et al. 2012, Tannant
 105 2015).

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110 **Fig.4. Flow chart showing the methods and obtained data set of this paper indicating the interrelationship between RPAS,**
 111 **geological analyses and risk slope stability assessment (see details in the text)**

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114 **3.1 RPAS data acquisition and terrain modelling**

115 ~~For cliff stability analysis a digital terrain model was required. It assumes the accurate 3D modelling of the highly dissected~~
 116 ~~rock faces. Since major parts of the site consist of hardly accessible steep slopes that are partly covered by vegetation,~~
 117 ~~traditional surveying was not possible. As a consequence, RPAS technology was applied (Fig. 4). By the use of RPAS, high~~

118 ~~amount of images was captured, and then a 3D point cloud was generated to enable surface modelling. The point cloud was~~
119 ~~validated by terrestrial laser scanning, which is a mature, widely used technology in creating detailed, accurate surface~~
120 ~~models.~~

121 The Remotely Piloted Aerial System (RPAS) was deployed on 21st February 2015, when vegetation cover was limited. The
122 remaining vegetation was manually removed; luckily, the areas with the highest hazard were barely covered. The system is a
123 modified commercial DJI Phantom 2 drone (DJI, ~~2016~~2015), where the flying vehicle has been equipped with a synchronous
124 image transfer (First-Person Viewer – FPV) option that also forwards the current flying parameters (e.g. height, speed, tilt,
125 power reserve). Due to the complexity of the survey area, the flight was controlled manually; the required overlap between
126 images was ensured by the operator considering capture frequency. The necessary overlap between images was controlled by
127 the FPV option. For safety reasons, the crew consisted of two persons: one for controlling the aircraft, and the other one for
128 continuously monitoring the transferred video stream. The camera control ~~is~~was done by a tablet.

129 A GoPro Hero 3+ (GoPro, 2017) action camera was mounted onto a 2-DoF gimbal of the unmanned aerial vehicle (UAV).
130 The camera has a fixed 2.77 mm focal length objective that is capable of capturing 4000 × 3000 pixel sized JPG images. The
131 images were captured with a sensitivity of ISO 100 and ~~sRGB color~~RGB colour space. The lens was used with a fixed
132 aperture of 2.8 and the camera was able to adjust the adequate shutter speed. Generally, the exposure time was set to 1/1400
133 s and the images were compressed at a rate of 4.5 bits/pixel. There were three imaging flights; two around noon and one at
134 about 5 in the afternoon. The flying times were 13, 12 and 13 minutes, respectively, where 390, 365 and 419 images were
135 captured. All 1174 images were involved in the photogrammetric object reconstruction (Fig. 5). The photogrammetric
136 reconstruction has been done by Pix4Dmapper (Pix4D, 2017), which is based on Structure-from-Motion (SfM) technology
137 (Lowe 2004, Westoby et al. 2012, Danzi et al. 2013, ~~Lowe 2004~~.). SfM automatically identifies tie points considering
138 initial requirements (e.g. preliminary image centre positions, time stamps) (Table 1). Camera calibration was executed
139 during post-processing, and no prior calibration was needed. (Pix4D, 2017). After the image alignment, the image projection
140 centres and attitudes can be observed ~~in~~-(Fig. 4). ~~125~~. 19.3 million points were obtained by the photogrammetric
141 reconstruction, which was appropriate for the engineering geological application, however the technology allows to obtain
142 higher resolution, but it was not necessary. The average point density is about 670 points/m³, but there are areas, where
143 double point density.

144

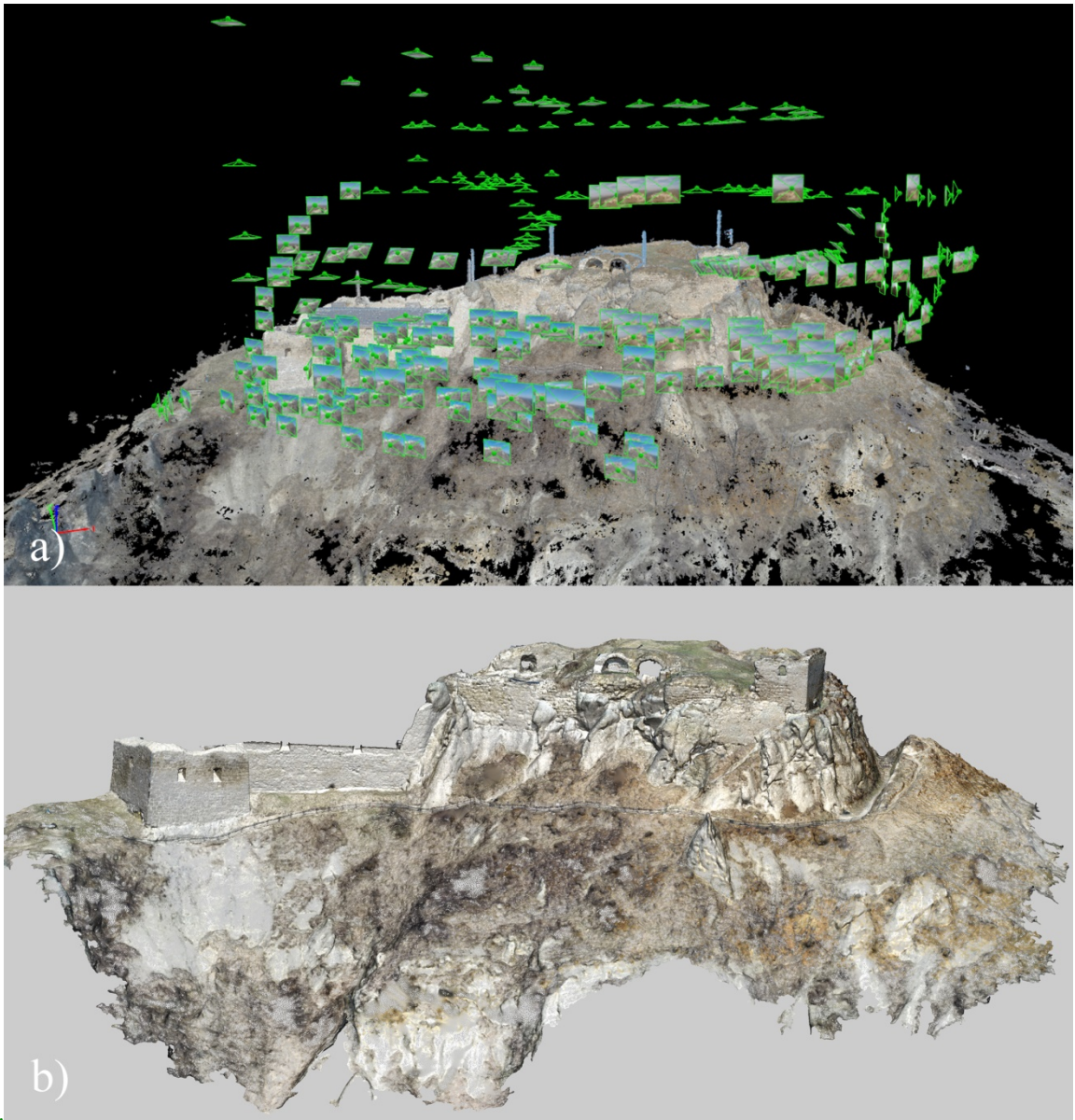


Table 1.

145

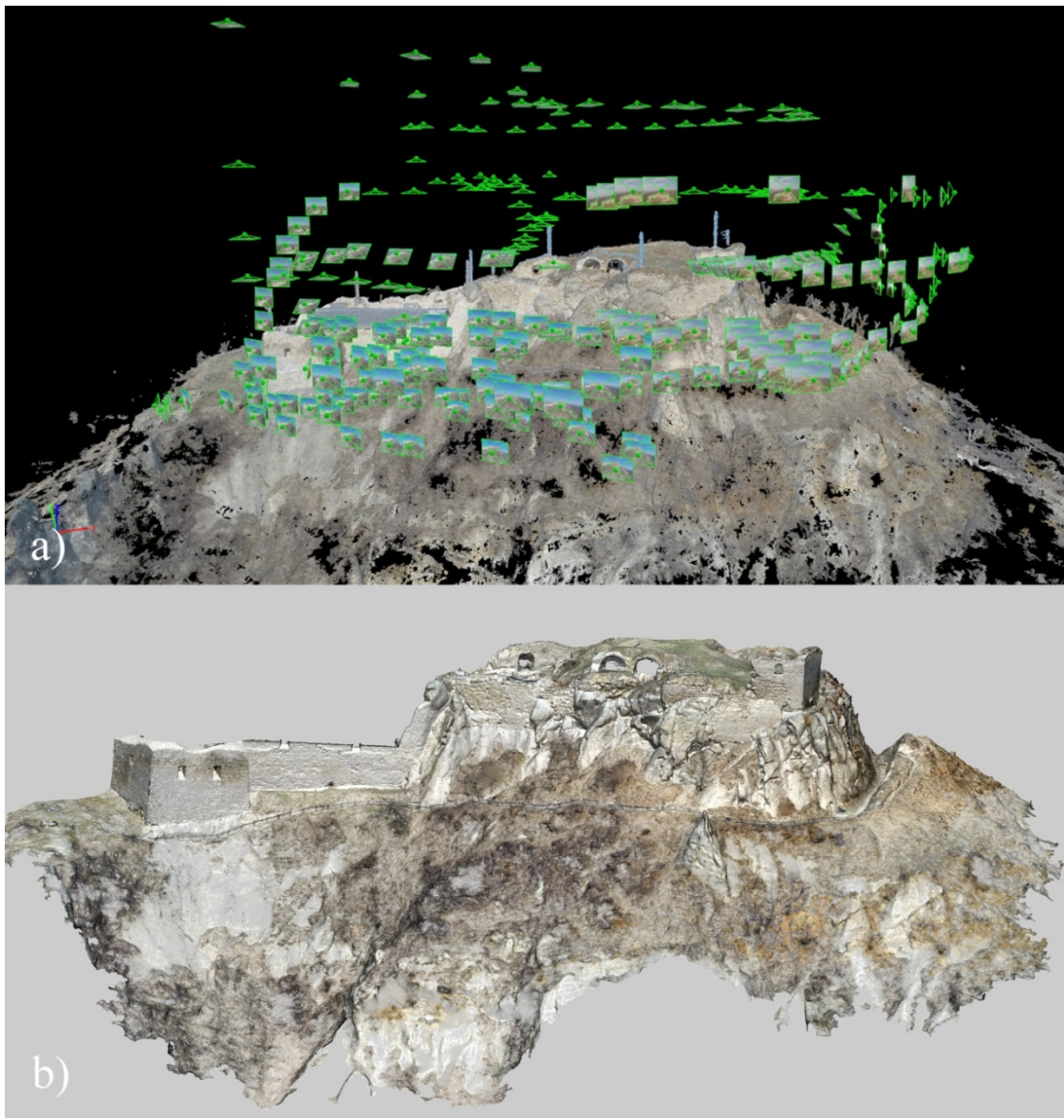
146

147

Image processing data

<u>Mean number of keypoints per image</u>	<u>22676</u>
<u>Mean number of matched keypoints per image</u>	<u>9546</u>
<u>Mean reprojection error [pixel]</u>	<u>0.176</u>
<u>Time for SfM processing</u>	<u>40m:20s</u>
<u>Time for densification (point cloud)</u>	<u>05h:30m:24s</u>

148



149

150 **Fig.5. The captured image positions around the reconstructed castle hill (top) and the point clouds obtained by RPAS technology**
 151 **(bottom) (see top view on Fig. 3.)**

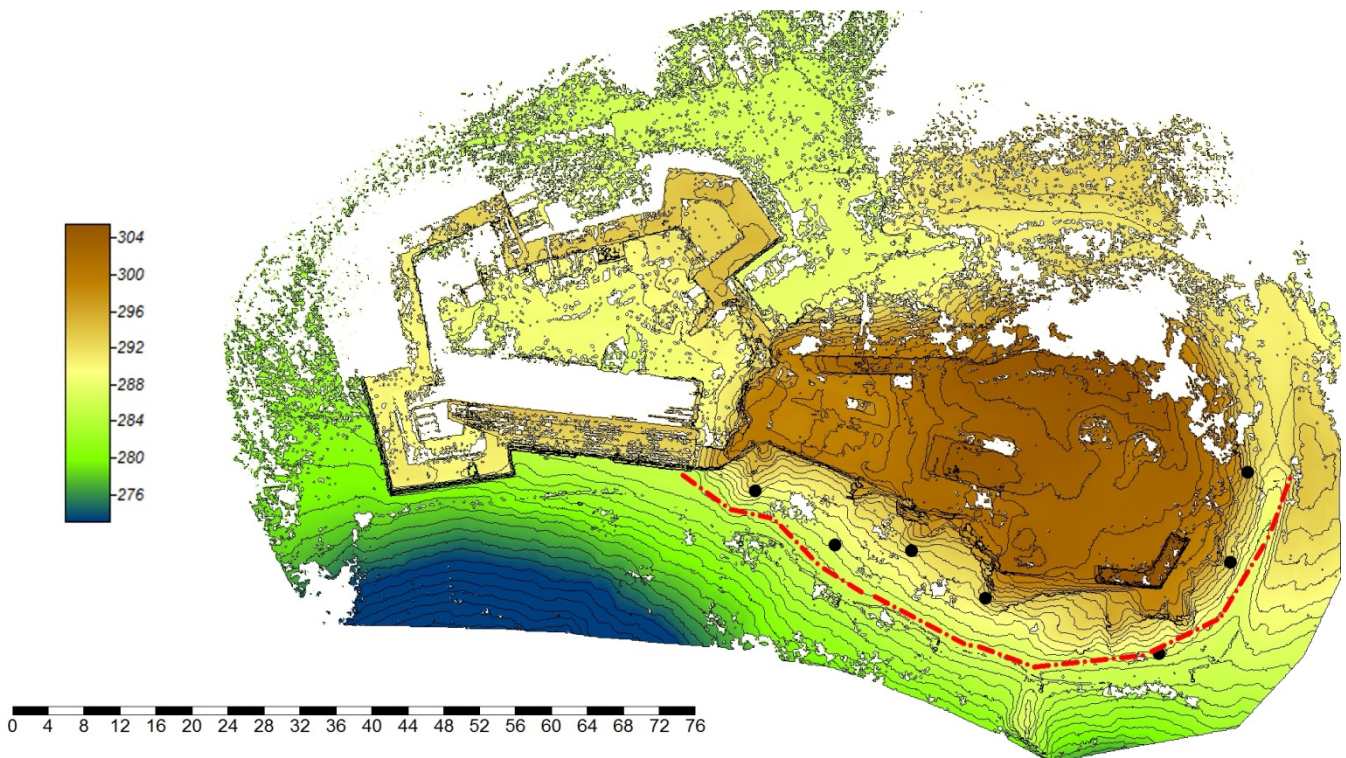
152

153 ~~Due to~~For georeferencing, particular tie objects ~~had to be~~ measured ~~also~~ by the Global Navigation Satellite System
 154 (GNSS). The used GNSS receiver was a Leica CS10 with a Gs08plus antenna (GS08, 2014, CS10, 2014). The measurement
 155 was done in RTK mode supported by the Hungarian RTK network (RTKnet, 2013). There were 7 measured ground control
 156 points (Fig. 6)(GCPs); the mean 3D measurement accuracy was 4.9 cm (minimal value was 2 cm, maximal value 9 cm). The
 157 RPAS technology has produced a considerable amount of data points (observations). Since this point cloud is difficult to
 158 manage due to its size, and heterogeneous point spacing, the latter processing requires a sophisticated resampling step, which
 159 was done by CloudCompare where the spatial resolution of the point cloud was set to 1 cm.

160 The RPAS data collection was validated by the use of terrestrial laser scanning. The necessary data were captured by two
 161 scanners: a Faro Focus S 120 3D (Faro, 2016) and a Z+F Imager 5010C (Z+F 2014). The terrestrial laser scanning was
 162 executed on the same day as the RPAS mission. The raw point cloud measured by Faro scanner contained 1.9 billion points,
 163 whilst the Z+F point cloud had 0.8 billion points. Both point clouds have included X, Y, Z coordinates, intensity and RGB
 164 ~~color~~ colour values. RPAS and TLS based point clouds were compared by CloudCompare software (CloudCompare, 2014)
 165 (Fig. 7).

166 ~~RPAS and TLS based point clouds could be compared by~~As one can notice in Fig. 7, the software ~~CloudCompare~~
 167 ~~(CloudCompare, 2014) (Fig. 4).~~
 168 ~~The RPAS technology has produced considerable amount of points. Since this point cloud is difficult to be managed due to~~
 169 ~~its size, and heterogeneous point spacing, highest difference between~~ the later processing requires a sophisticated resampling
 170 ~~step, which was done by CloudCompare, where two sources is almost less than 10 cm and the spatial resolution majority~~
 171 ~~of the point cloud was set to~~differences is about 1 cm.
 172 The point cloud was then imported into Geomagic Studio 2013 (GeomagicStudio, 2013) and meshed, where the triangle
 173 side length was 5-7 cm ~~(Fig. 7).~~. To support the engineering geological survey, several horizontal and vertical sections were
 174 derived in Geomagic DesignX 2016 (GeomagicDesignX, 2016); these profiles were exported in CAD-format ~~(Fig. 5).~~.
 175 The next step was to make cut-offs focusing only on the cliffs; it was done by CloudCompare, followed by the points being
 176 exported in LAS-format (LAS, 2012). The exported points could then be imported into SAGA GIS 2.1.2 (Conrad et al.
 177 2015), where the necessary DEMsDTMs were created by inverse distance weighting (IDW) algorithm (IDW, 2013). The
 178 derived DEMDSM-grids have 5 cm spatial resolution, which is adequate for morphologic analyses (Fig. 4-6) and suits to
 179 slope stability analysis. The morphology analysis has concentrated on Catchment Area (CA) (Costa-Cabral and Burges 1994,
 180 Haas et al. 2016) (Fig 4), although several other morphological indices (e.g. Topographic Wetness Index, Stream Power
 181 Index) were derived ~~(Fig. 4).~~. These indices express the potential relationship between surface geometry and geological
 182 parameters.

183



184

185

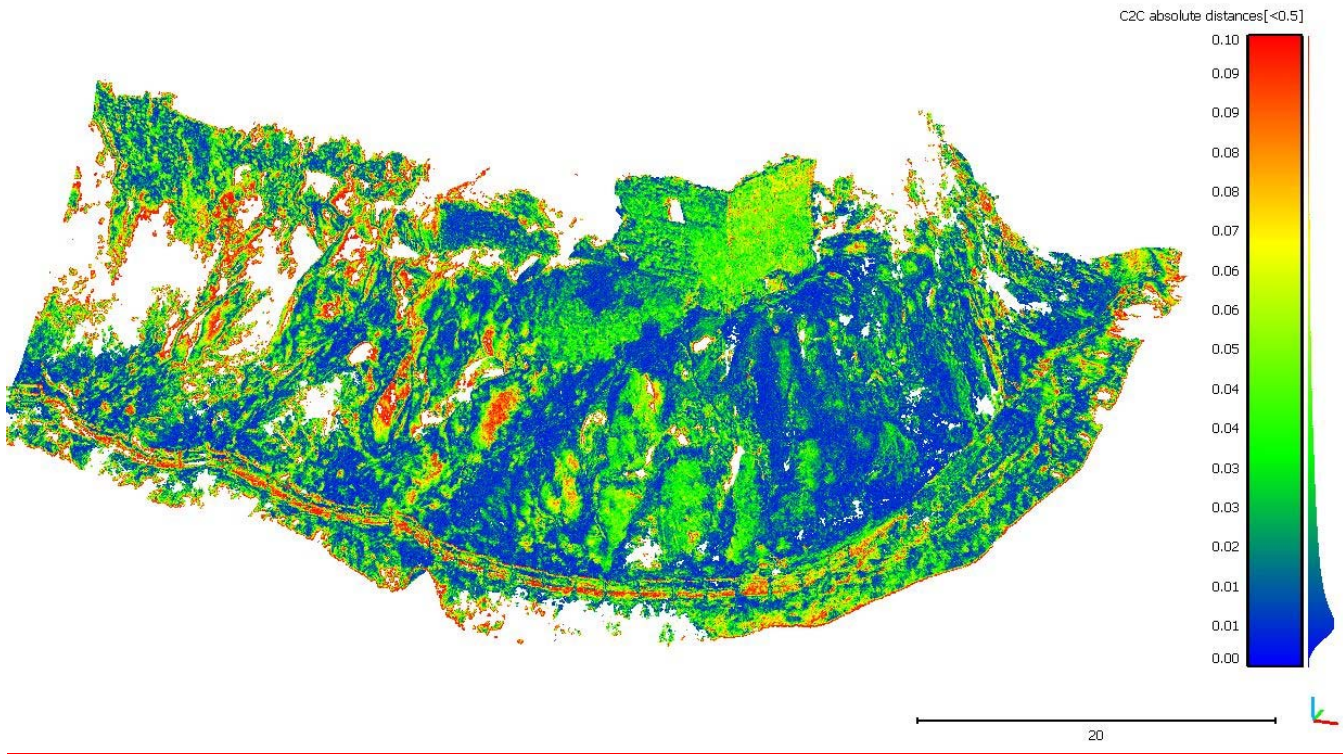
186 Fig.6. Colour Digital Surface Model with 1 m contour line interval of the study area. The solid black dots show the ground control
 187 points, while red dot-and-dash line represent zones affected by rock fall.

188

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192
 193 **Fig. 7. Differences between RPAS and TLS point clouds by CloudCompare shown in metres (modus of differences is at about 0.01**
 194 **m)**
 195

196 **3.2 Engineering geology and slope stability analysis**

197 Geological data and written resources (Balogh 1964, Haas 2013, Lukács et al. 2015) provided background information for
 198 the planning of engineering geological field survey (Fig. 4). Major lithotypes were identified and described and geological
 199 profiles were recorded during the engineering geological field surveys (Fig. 4). Rock joints, discontinuity surfaces, and fault
 200 systems were measured by using compass and structural geological software applied in mobile phone. The structural
 201 geological data was analysed by Dips software. Strength parameters were assessed on-site by using a Schmidt hammer. 10
 202 rebound values were measured on each surface and mean values and standard deviations were also calculated. This method
 203 has been ~~also~~ used previously to gather rapid data on rock strength of cliff faces (Margottini et al. 2015). The data-set was
 204 compared to rock mechanical laboratory tests.

205 Samples for laboratory analyses were collected on site (Fig. 4). Major rock mechanical parameters were measured under
 206 laboratory conditions on cylindrical specimens. These were drilled from blocks and cut into by appropriate size using cutting
 207 disc. The sizes of tested specimen were made according to EN on air dry and on water saturated samples. The specimens
 208 were grouped according to the bulk density and the propagation speed of the ultrasonic pulse wave. Strength parameters such
 209 as uniaxial compressive strength, ~~an~~ indirect tensile strength (Brazilian), ~~was~~ ~~were~~ measured according to relevant EN
 210 standards and ISRM suggested methods ~~and modulus~~. Modulus of elasticity was also calculated (Table 42). The generalized
 211 Hoek-Brown failure criterion (Hoek et al. 2002) was used to determine strength parameters of the rock mass. Altogether, 53
 212 cylindrical test specimens were used for the tests.

213
 214 **Table 1. Rock mechanical tests and relevant standards.**

<u>Rock mechanical parameter</u>	<u>Number of specimens</u>	<u>Relevant standard</u>
<u>Bulk density</u>	<u>53</u>	<u>EN 1936:2000</u>

<u>Water absorption</u>	<u>18</u>	<u>EN 13755:2008</u>
<u>Propagation speed of the ultrasonic wave</u>	<u>53</u>	<u>EN 14579:2005</u>
<u>Uniaxial compressive strength</u>	<u>31</u>	<u>ISRM 2015</u>
<u>Modulus of elasticity</u>	<u>31</u>	<u>ISRM 2015</u>
<u>Tensile strength (Brazilian)</u>	<u>23</u>	<u>ISRM 2015</u>

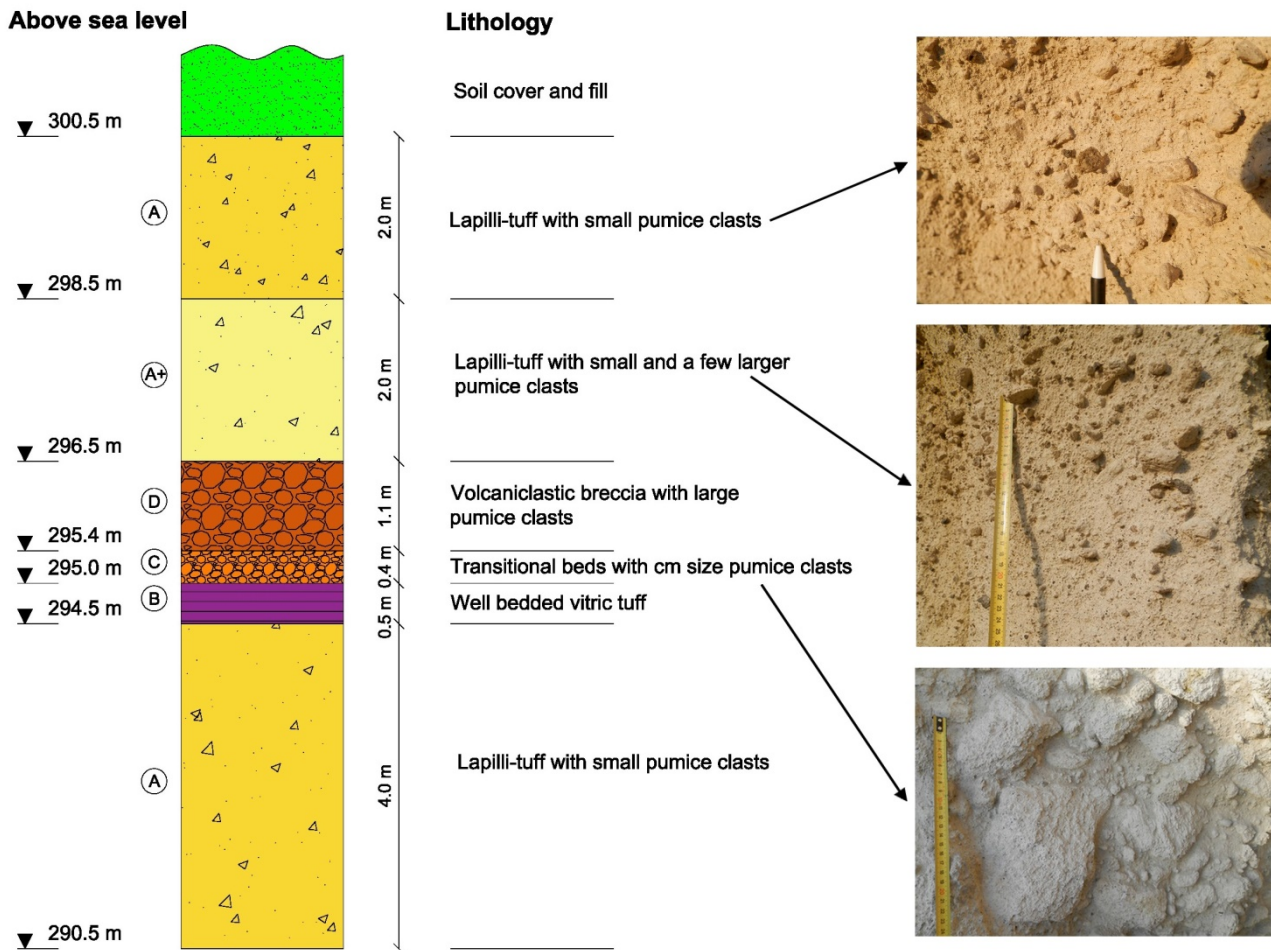
The falling blocks can endanger the touristic footpath below the castle on the southern slopes; therefore, the stability analysis of the rocky slopes was focused on this part of the cliff (Fig. 3). First, the rock mass failure was analysed with by the RocFall FEM software of the Rocscience (RS2). The steepest sections were determined from ~~to~~ the terrain model obtained from RPAS data. The GSI values of the rock masses were determined according to Marinis et al. (2005). The global stability of the hillslope of selected sections was calculated with RS2 software. Since the rhyolite tuff is a weak rock with few joints, the rock mass failure and the failure along discontinuities were also analysed. This kinematic analysis had been done with a stereographic tool. The orientations of main joint sets were obtained from ~~RPAS (DTMDSM model, based~~ morphological analyses with the use of Catchment Area tool. It assumes that major flow paths are related to joints, i.e. fracture system controls the drainage pattern (Costa-Cabral et al. 1994). At Fig. 4) and at accessible areas joints and fractures were also measured on site on the southern and south-eastern parts of the hillslope. Additional control field measurements were also made in the underground cellar system of the castle, where the tuff is also exposed. The Dips software was used for the kinematic analysis. The direction of the hillslopes and the direction of the discontinuities were compared to determine the location of the potential hazardous failure zones on the hillside. Stereographic plots were generated showing the possible failure planes for all slope directions and the safety factor of the possible planar failure was calculated by ~~Roeplane~~Rockplane software. Wedge failure was modelled by Swedge software. Toppling failure due to geological and geomorphological conditions cannot occur. ~~Risk assessment was based on slope~~Slope stability calculations and stability assessment formed the last part of the engineering geological analyses (Fig. 4).

Table 2. Rock mechanical tests and relevant standards.

<u>Rock mechanical parameter</u>	<u>Number of specimens</u>	<u>Relevant standard</u>
<u>Bulk density</u>	<u>53</u>	<u>EN 1936:2000</u>
<u>Water absorption</u>	<u>18</u>	<u>EN 13755:2008</u>
<u>Propagation speed of the ultrasonic wave</u>	<u>53</u>	<u>EN 14579:2005</u>
<u>Uniaxial compressive strength</u>	<u>31</u>	<u>ISRM 2015</u>
<u>Modulus of elasticity</u>	<u>31</u>	<u>ISRM 2015</u>
<u>Tensile strength (Brazilian)</u>	<u>23</u>	<u>ISRM 2015</u>

4. Results

The rhyolite tuff faces consist of moderately bedded ignimbritic horizons, and also brecciated lapilli tuffs and tuffs according to our field observations (Fig. 6). The topmost 10 metres of the cliff face, which was modelled from slope stability, comprises 3 main horizons and can be modelled as “sandwich structure”. The lower and the upper ~~part~~parts are formed by thick pumice containing lapilli tuffs. These beds enclose nearly 2 metres of well-bedded less-welded fine tuff and brecciated horizons (Fig. 6~~8~~).



243

244

245 | **Fig.68.** Lithologic column of Sirok Várhegy showing the modelled topmost 10 metres section of the hill (letters refer to lithologic

246

247

248 | Combining and comparing all measured data of discontinuities and joints, using DTMDSM and its derivative of (Fig 6.) and

249 | morphological index (Fig. 49) the joint orientation was outlined. The filed survey validated the obtained structural geological

250 | conditions were clarified and six main joint sets (with dip angle/dip directions of: 85/156, 88/312, 79/110, 81/089, 82/064,

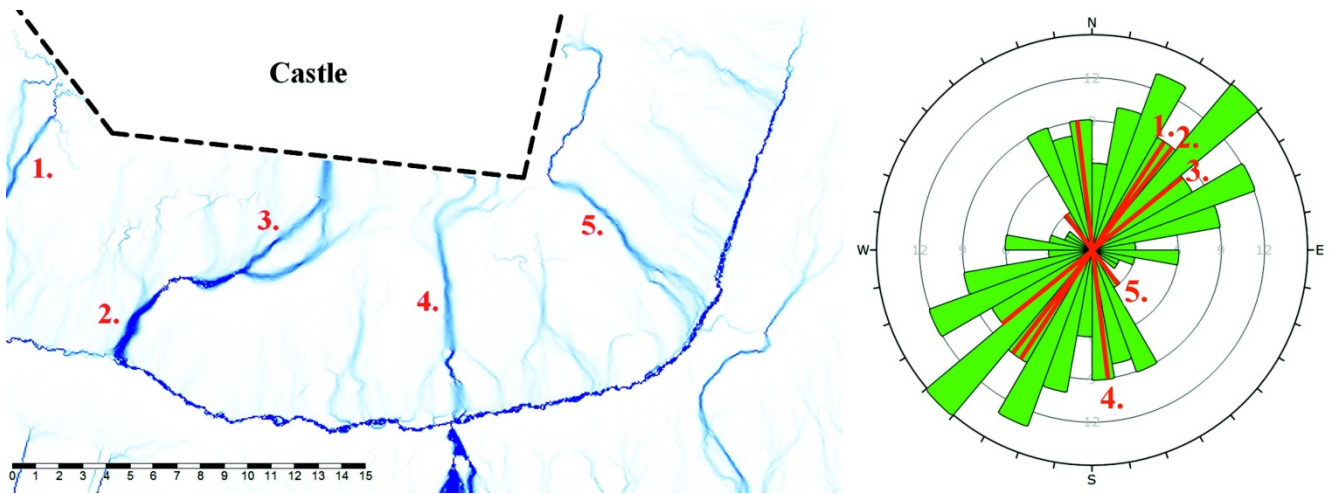
251 | 61/299) were identified with prevailing NE – SW direction (Fig-7).

252

253



254



255

256 Fig.79. Top view of the cliff (see the location on Fig. 3) obtained with RPAS and the catchment area diagram obtained from
 257 **DEMDSM** analysis (Fig. 4) that by using **Catchment Area** module (Costa-Cabral et al. 1994). The latter one was used for joint
 258 pattern recognition. Numbers refer to major joint systems marked on **DEMcatchment area** map and on rose diagram of the field
 259 measurements and **RPASDSM** data set.

260

261 The laboratory tests of tuffs provided the input data for stability analysis for the two main lithologies: upper and lower unit
 262 of lapilli tuff and middle unit of less welded tuff (Table 23). In the model calculations GSI=50 value was used.

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269 Table 23. Rock mechanical parameters of tuff used in the model: lapilli tuff refers to upper and lower 4 metres, less welded tuff
 270 refers to middle stratigraphic unit

Mechanical property	Upper and Lower unit (marked by A on Fig. 10) (Lapilli tuff)	Middle unit (marked by B-D on Fig. 10) (Less welded tuff)
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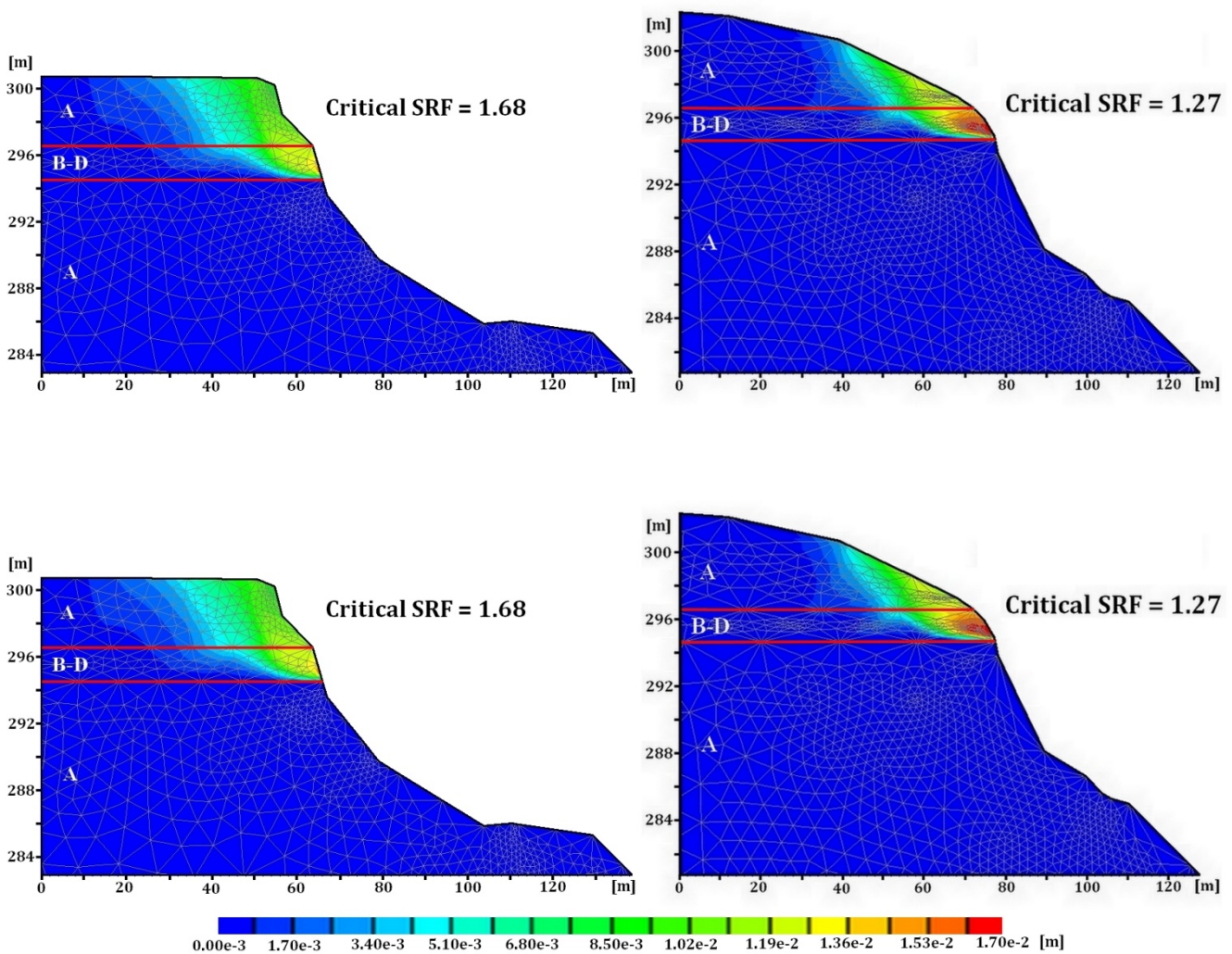
Bulk density(ρ)	[kg/m ³]	1815	1635
Uniaxial compressive strength(σ_c)	[MPa]	8.02	0.35
Tensile strength (σ_t)	[MPa]	0.83	0.04
Modulus of elasticity(E)	[GPa]	0.97	0.05

271

272 The results of RS2 FEM analyses suggest that the global factor of safety is SRF=1.27-1.71 in the studied sections (see some
 273 of the selected sections are shown on Fig 4-3). The aim of the analysis is to determine the critical strength reduction factor
 274 (SRF) that can be considered the safety factor of the slope (Fig. 10). The SRF factor is influenced by the weak tuff layer
 275 (marked by B-D on Fig. 6-8), which has very low shear strength compared to the lapilli tuff. Colours on Fig. 10. represent
 276 the total displacements as a result of the shear strength reduction analysis (Rocscience, 2017). Thus these are not real
 277 displacements of the hillslope. The figure demonstrates only how the failure of the slope would occur with reduced shear
 278 strength parameters. Our failure analyses have demonstrated that the bottom of the slip surface would be in this
 279 weaker layer (marked by B-D on Fig. 8) and could lead to a larger mass movement.

280

281



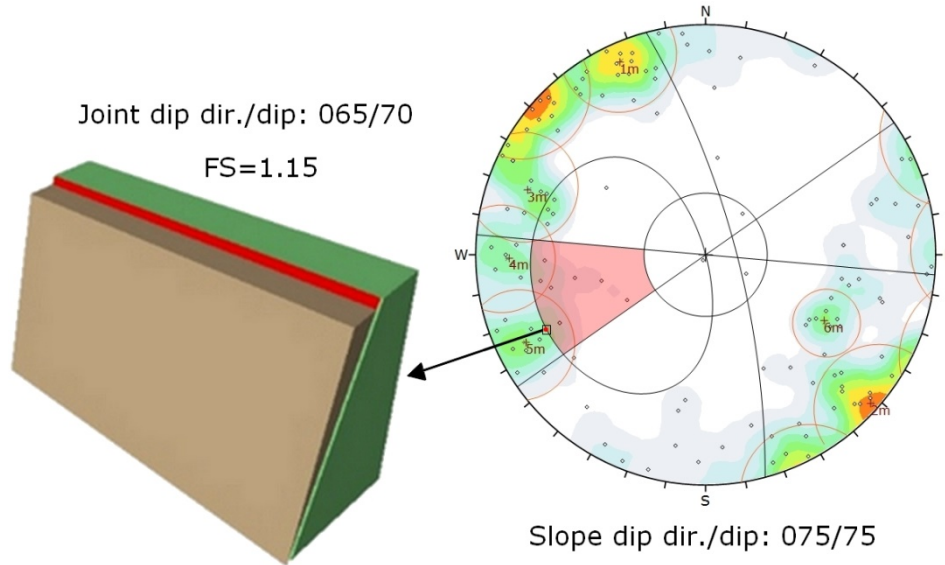
282

283 **Fig. 810.** The results of the global stability analysis of the slopes (sections 3 and 4 on Fig. 3), total displacements are marked in blue
 284 to red (lithology is indicated by letters A-D, note the weak zone marked by B-D, description of lithologies is given on Fig.68.)

285

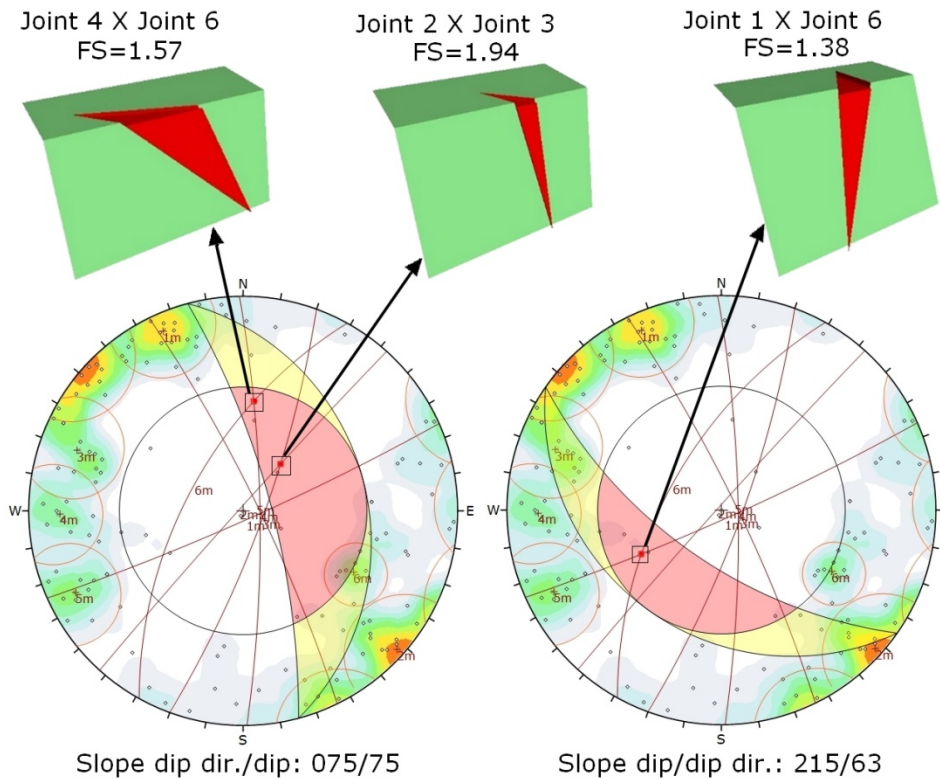
286 Other failure modes that were studied are planar failure and wedge failure, which are often controlled by joints and
 287 discontinuities. According to DTM joint analyses (Fig. 7) data obtained from remote sensing and according to field
 288 recordings measurements there was no regular uniform spacing of the discontinuities. Stereographic plots with possible failure

289 | planes for all slope directions (Fig. 911) indicate that the most hazardous part of the slope is the one where the plane
 290 | orientation is 75/75. The calculated factor of safety (FS= 1.15) implies high probability of planar failure.
 291 |



292 |
 293 | **Fig.911.** Kinematic analysis of planar failure by Rockplane (main joint sets are marked by red circles 1m-6m)
 294 |

295 | Three possible wedge failure modes were identified as being the most hazardous, according to our calculations by Swedge
 296 | software (Fig. 1012). In these three cases the factor of safety was in the range of 1.38-1.94 representing the hazards of rock
 297 | falls along wedges delineated by different joint systems.
 298 |
 299 |



300 |
 301 | **Fig.1012.** Examples for the kinematic analysis of wedge failures (main joint sets are marked by red circles 1m-6m)
 302 |

303 5. Discussion

304 There are three critical sets of input data in the modelling of rocky slopes: i) terrain model and slope geometry, ii) joints
305 system and iii) strength of rock mass.

306 To obtain the first, the slope geometry, ~~RPAS-based surveying technique was used, because even the hardly accessible cliffs~~
307 ~~could be surveyed with this method (Giordan et al. -based surveying technique was used similarly to previous studies~~
308 ~~(Giordan et al. 2015). In many previous studies RPAS mission was performed following a flight plan (Eisenbeiss 2008,~~
309 ~~Lindner et al. 2016). In our case no flight plan was made prior to mission, and the windy conditions were also not favourable~~
310 ~~for pre-programmed flight route. The flight was manually controlled and the skilled personnel with a First-Person Viewer~~
311 ~~tool controlled the image acquisition. The crucial points were the necessary overlaps of images and the matching. The~~
312 ~~overlaps were ensured by three consequent flights around the study area that provided a dense network of image acquisition~~
313 ~~locations (Fig. 5). The obtained 1174 images covered the entire study area with appropriate overlaps. The number of images~~
314 ~~is reasonable, since in previous studies 400 images were taken for a smaller translational rockslide by a GoPro Hero 3 Black~~
315 ~~camera (Tannant et al. 2017) or app. 400-900 images with a higher resolution camera (18MP) for a landslide area that is~~
316 ~~approximately five times larger than this one (Lindner et al. 2016). The Pix4Dmapper software (SfM) was used to identify~~
317 ~~keypoints. Nearly 10,000 keypoints were found on each image (Table 1), which is considered a sufficient amount to provide~~
318 ~~appropriate matching (Remondino et al. 2014). Camera self-calibration tool and rolling shutter effect correction were the~~
319 ~~other key features of this software that allowed the processing the images of GoPro Hero 3+ camera. The obliquity of images~~
320 ~~(Rupnik et al. 2014) and the density of obtained data (Remondino et al. 2014, Rupnik et al. 2015) are crucial in the~~
321 ~~applicability and accuracy of these images. These were also managed by Pix4Dmapper. The GNNS system and the ground~~
322 ~~control points (Fig. 6) allowed to georeferencing of the rocky slope. Our results show that the mean 3D accuracy was 4.9 cm.~~
323 ~~It is comparable with the ground resolution of 1-3.5 cm/pixel of Italian rockslide survey (Tannant et al. 2017), or 3.3-4.1 cm~~
324 ~~(Neugirg et al. 2016). This resolution was appropriate to create a reliable Digital Surface Model.~~
325 ~~RPAS-based data was also validated with TLS measurements. The co-use of these remote sensing tools has been previously~~
326 ~~well-documented for other applications such as soil roughness (Milenkovich et al. 2016) in erosion detection (Neugirg et al.~~
327 ~~2016) or in cultural heritage (Eisenbeiss & Zhang 2006). The RPAS obtained data validation was performed by comparing~~
328 ~~the two point clouds obtained by RPAS and TLS. The surfaces were resampled~~2015). ~~RPAS based data had to be validated.~~
329 ~~TLS measurements were used for this, in a way that the two point clouds covering the surface were resampled,~~ in order to
330 homogenize the spatial resolution. The point densities have been tested in CloudCompare, as a unit sphere of volume of 1 m³
331 was defined where the points can be counted and then the sphere can be moved along the whole surface. The differences in
332 point clouds are less than 10 cm (Fig 7), which is considered a reasonably good match in terrain modelling (Neugirg et al.
333 2016). This computation proved that the average point density in both point clouds are practically the same, although the
334 RPAS densities are more homogeneous, while the TLS has denser point clouds close to the scanning stations-, as it was
335 expected on the basis of previous works (Naumann et al. 2013)

336 Another aspect causing some differences between the two data sets is that the image based reconstruction is performed by
337 interest operators, very usually SIFT (Scale-invariant Feature Transform) or similar computer vision operators (Lowe 2004).
338 These operators are generally sensitive to intensity jumps, points, or corners, and textural changes in the input images. If the
339 image resolution is not adequate or the object is locally “smooth”, these operators do not return with surface points and the
340 output of the reconstruction has some “filtered” effect. Fortunately, the surface reconstruction quality in RPAS processing
341 resulted minor, ignorable smoothing ~~effeteffects~~. Comparing the two data sets, it is clearly proven that the geometric
342 resolution of the RPAS-based digital ~~elevations~~surface model corresponds to the TLS one, offering ~~the same~~very similar
343 quality ~~level as~~data (Fig.7). It is necessary to note that vegetation can hamper TLS measurements (Prokop & Panholzer
344 2009, Scaioni et al. 2014, Tannant 2015) and thus limit the comparison of RPAS and TLS obtained data (Milenkovic et al.

345 ~~2016). In our case most of the terrestrial laser scanning study area was bare and if vegetation occurred manual removal was~~
346 ~~made.~~

347 The documentation of joint system and ~~discontinuity surfaces based on field discontinuities are crucial for rock wall stability~~
348 ~~assessment (Tannant et al. 2017). Field survey provided can only provide reliable data on joint orientation; of accessible areas~~
349 ~~(Margottini et al. 2015);~~ however, the joint system that was found on inaccessible cliffs was not detectable. To overcome this
350 problem, RPAS generated images were used; the frequency of joints was observed based on these images like in previous
351 studies by Assali et al. (2014), Martino & Mazzanti (2014) and Margottini et al. (2015). The required resolution for joint
352 frequency is in the order of 10 cm, rarely 1 cm. ~~(Tannant 2015, Tannant et al. 2017).~~ The RPAS technique allows ~~having for~~
353 ~~plane surface geometries, however, many joints are not plane surfaces and there are hardly visible sets in shadows, that are~~
354 ~~difficult to visualize.~~ Thus, RPAS can be used to outline the strike of major joints, but it might ~~causes problem cause~~
355 ~~problems~~ when it comes to the determination of dip and the displacement along the fault planes (e.g. slickensides).
356 ~~For the determination of the strength of rock masses, we first used Schmidt hammer on site, which is a common practice~~
357 ~~(Margottini et al. 2015).~~ Our field tests indicate that the application of Schmidt hammer in rock strength analysis is limited
358 when it comes to the analysis of low strength rocks, such as volcanic tuff (Aydan & Ulusay 2003). As a consequence,
359 laboratory analyses of samples were also required to obtain reliable strength parameters. To measure the strength and to
360 understand the weathering characteristics, samples were taken, representing different stratigraphic positions. Our lab test
361 data (Table 23) clearly indicates that a low strength unit is found in the studied sections (unit marked by 'B-D' on Fig 8).
362 Whether the low strength of this zone is related to differential weathering (Török et al. 2007), or it is associated with
363 inherited weakness (micro-fabric), is not clear. ~~This layer is a potential failure zone as it was shown by slope stability~~
364 ~~calculations. Similar intercalation of pumice-rich layered deposits was modelled by Damiano et al. (2017). They found that a~~
365 ~~pumice-rich weak zone is prone to rainfall induced landslides.~~ Our results are in good correlations with ~~the previous these~~
366 findings since ~~this the studied rhyolitic~~ volcanic tuff was ~~also~~ proved to be very prone to weathering. A loss in tensile
367 strength of 60% was measured under simulated laboratory conditions (Stück et al. 2008). Weathering processes have been
368 long known to induce landslides and cause slope stability problems in various lithologies and especially pyroclastic rocks
369 (Chigra 2002, Fanti et al. 2013). At the studied rhyolite tuff cliff face, it was shown that joint system is responsible for slope
370 instabilities: planar and wedge failures were found (Fig. 9., Fig. 10). ~~It is in agreement in several previous works, where the~~
371 ~~structural geological control of slope stability of highly dissected cliffs was pointed out (Agliardi et al. 2013, Fanti et al.~~
372 ~~2013, Feng et al. 11., Fig. 12). These failure modes are common in hard jointed cliff faces (porphyry Agliardi et al. 2013,~~
373 ~~mica-schist Tannant et al. 2017, limestone Feng et al. 2014). Our study demonstrates that joint system has an important~~
374 ~~control on slope stability not only in hard rock lithologies but also in weak tuffs. It is in the line with Fanti et al. 2013 and~~
375 ~~Margottini 2015, since in Italy and in Georgia rock walls of volcanic tuffs suffered landslides. The kinematic analysis of tuff~~
376 ~~rock walls of Tuscany (Fanti et al. 2013) also demonstrated that wedge failure and planar failure are the most common~~
377 ~~failure mechanisms of tuff cliff faces. 2014).~~

378

379 ~~Comparison of surveying techniques obtaining of slope geometry and terrain model data for slope stability analysis helps to~~
380 ~~choose the appropriate technique (Table 3).~~

381 ~~The professional routine is mostly required for classical tachymetry, where the surveyors need to have geological knowledge~~
382 ~~and surveying expertise to collect the most relevant points. This technology operates usually by the lowest number of~~
383 ~~measured points, so their quality and distribution is therefore very crucial. The TLS of nowadays are in counterpart very fast~~
384 ~~instruments (Assali et al. 2014, Neugrig et al. 2016) capturing high amount of terrain points with the ease like using smart~~
385 ~~phones. Image capture by RPAS is fairly easy; time dependent automatic image capture (e.g. shoot in every second) allows~~
386 ~~to obtain reliable data. These cameras are mostly equipped by automatic exposure control, which are similar to pocket~~
387 ~~camera use. One of the drawbacks is that flying requires expertise in flight planning and/or manual operator control. Thanks~~

388 to onboard electronics, the fastest data capturing method is the RPAS based. This feature is advantageous if the object
 389 availability is limited or the objects are moving/changing. Surveying is the slowest solution to acquire the points, but the data
 390 processing is similarly fast (Table 3). TLS and RPAS collected data oblige more processing time—sometimes it is
 391 computational hardware dependent. The most homogenous data is obtained by the RPAS methodology; tachymetry can be
 392 applied also in this manner, although the efficiency is then very poor (Table 3). TLS has a typical circular pattern having
 393 decreasing point density. By corresponding resampling and/or interpolation this drawback can be eliminated. The highest
 394 spatial resolution can be achieved by TLS (Table 3). The spatial resolution of RPAS depends on flying time and object
 395 distance. Tachymetry is generally a low resolution data collection way. Because tachymeters and laser scanners must stand
 396 on the terrain, these technologies are highly dependent from terrain accessibility (slope steepness, vegetation), which is very
 397 advantageous for RPAS based technology (Table 3). RPAS is similarly very robust on shadowing effects, caused by
 398 vegetation or other objects. The cost estimations for the given technologies were compiled by the experience taken from the
 399 literature. Excellent comparisons and evaluations can be found in Eisenbeiss & Zhang 2006, Koma et al. 2014, Milenkovic et
 400 al 2016, Naumann et al. 2013, Rothmund et al. 2013. The data set suggests that on average the costs of RPAS survey are
 401 lower than that of TLS and tachymetry (Table 3).

402

403 **Table 3. Comparison of the applicability of RPAS-based technology with terrestrial laser scanning (TLS) and tachymetry for rock**
 404 **slope stability assessment. Time frames and applicability is marked by '+' while costs are shown by '\$'**

405

	RPAS	TLS	Tachymetry
Required expertise	++	+	+++
Data capturing speed on site (number of obtained data points)	+++	++	+
Required data processing time	++	++	+
Homogeneity of collected data	+++	++	+ / +++ / +++
Resolution	++	+++	+
Difficulty caused by slope accessibility	+	++	++
Digital terrain modelling	+++	+++	+
Slope geometry	+++	+++	++
Joint system detection	+++	+++ / ++	+
Costs of surveying	\$	\$\$	\$\$\$
Costs of data processing	\$\$	\$\$	\$

406 ———+ low, ++ medium, +++ high, \$ low, \$\$ medium, \$\$\$ high

407

408

409 6. Conclusions

- 410 - The use manually controlled flights of RPAS technique can overcome the bottleneck of detecting the geometries provided
 411 excellent information on slope geometry of highly dissected and inaccessible slopes. RPAS equipped
- 412 - The necessary overlap between images was ensured by three flights over the small area, by the skilled personnel using
 413 First-Person Viewer system with camera provides relevant amount and quality of imagery a synchronous image transfer.
- 414 - The obtained data on steep cliff faces, were managed by Pix4Dmapper (SfM) software allowing the identification of nearly
 415 10.000 keypoints per image.

416 ~~–Comparing with TLS and tachymetry RPAS technique is much safer, cheaper, faster and provides excellent terrain model~~
417 ~~after data processing.~~
418 ~~–Documentation of joints is essential for cliff face stability analysis. RPAS- The TLS based point clouds proved to be good~~
419 ~~tools to validate the accuracy of images and data sets of manually controlled RPAS. In our study the maximum difference~~
420 ~~between the two point clouds were less than 10 cm, but mostly around 1 cm.~~
421 ~~- RPAS collected images and the point cloud based Digital Surface Model and especially Catchment Area method~~ allows the
422 detection of joint system (mainly strikes and partly dips but not slickensides) but field validation and field measurements of
423 accessible joints and faults are recommended to justify joint orientation ~~obtained from RPAS data based terrain models.~~
424 ~~- The obtained digital surface model was accurate enough to allow complying cross-sections for rock wall stability~~
425 ~~calculations.~~
426 - The lithology and physical parameters of the studied steep cliffs are not uniform and intercalations of weak layers of vitric
427 tuff and volcanoclastic breccia were found.
428 - According to 2D FEM modelling the intercalating low strength layer is the one where potential slip surface can develop
429 causing larger scale mass movements, but at present it has low probability.
430 - Joint system has a crucial role in the stability of the studied rhyolite tuff cliff faces. The highest hazard is related to planar
431 failure along ENE-WSW joints and to wedge failure.

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