

Response to the comments by Reviewer #1

Thank Reviewer #1 for very valuable comments on my manuscript. The revisions are marked with red. My answers to your comments are given below:

1. Among the physical models to approach earthquake faults, the single spring-slider model, which can represent a single fault, is actually the simplest one. However, based on this simple model in the presence of thermal-pressurized friction and viscosity we can obtain good simulations of earthquake recurrences along a single fault. Results can exhibit the frictional and viscous effects on earthquake recurrence.
2. The statements “Because ... “ in Line 239 will be re-written to be “Figures show that the maximum values of both V and U decrease from case (a) to case (d) in each figure. Hence, the maximum velocity and maximum displacement, which are denoted by V_{max} and U_{max} , respectively, for case (a) can be taken as the scaled factor to normalize the waveforms from case (a) to case (d). This makes us easily to compare the waveforms of the four cases in each figure.”
3. The statements to show the weak points of the single spring-slider model and the improvement on English writing for the manuscript will be made after I receive the comments by other reviewers.

Response to the Comments by Reviewer #2

Review of the paper “A Study of Earthquake Recurrence based on a One-body Spring-slider Model in the Presence of Thermal-pressurized Slip-weakening Friction and Viscosity” by Jeen-Hwa Wang

This paper studied earthquake recurrence by numerical simulations of a one-degree-of-freedom spring-slider model with thermal-pressurized slip-weakening friction. The paper investigated the effects of the viscosity and the wear process on the recurrence time, slip amount for each event, slip velocity, and so on.

The many parts of the main results stated in the manuscript would not be obtained or read from the simulation results shown in Figs. 4-12. The main reasons of this were the assumption of the constant U_c in the simulations for the examination of the wear effect (Figs. 8-12) and the way of drawing Figs. 4-12.

Regarding the following specific comments [1]-[6] at least, the numerical simulations should be conducted appropriately and the manuscript and figures should be modified before the publication.

[Answer] I would like to express my thanks to you for carefully reading my manuscript and giving me many valuable comments and suggestions to improve the manuscript. The revisions are marked with red.

Major comments

[1] L.23-28 (Abstract), L.385, etc.: The Author stated that the effect of the wear process increases with C . However, the dependency of C on T_R or D is not obtained from the simulation results shown in Figs. 8-12. This is because U_c was assumed to be constant and the same in (a)-(d) for each figure, as stated in L.266-269 and captions of Figs. 8-12, which means that the other parameters (at least one among ρ_f , C_v , μ_f , Λ , and D_0) varied with C in (a)-(d) for each figure. In order to investigate the effect of C solely, the other parameters (ρ_f , C_v , μ_f , Λ , and D_0) should be constant and the same in (a)-(d), and thus U_c should change in (a)-(d) and vary with $h(t)$ (i.e., the cumulated slip). It is better to calculate U_c using $h=CS(t)$ for every time step in the simulations.

[Answer] Actually, U_c is not constant and varies with h in panels (a)–(d) of Figs. 8–12. The value of U_c written in each figure caption is the initial value, U_{co} , in the relationship: $U_c=U_{co}+C\Sigma U$ assumed by me. This point has been explained in the revised manuscript. The re-written statements are “Simulation results for four values of C are shown in Figs. 8–12: (a) for $C=0.0001$; (b) for $C=0.001$; (c) for $C=0.01$; and (d) for $C=0.05$ when $\eta=0$ in Figs. 8–10 and when $\eta=1$ in Figs. 11–12. The initial values of U_c are 0.1 for Fig. 8, 0.5 for Fig. 9, 0.9 for Fig. 10, 0.1 for Fig. 11, and 0.5 for Fig. 12.” Meanwhile, the value of U_c shown in each figure caption has been replaced by “ U_{co} .”

[2] • L.285-286: “The left-handed-side panels in Figs. 5–7 show that V_m and D decrease when ... U_c ... increases”

• L.290-293

• L.309-310: “The phase portraits shown in the right-handed-side panels of Figs. 5–7 exhibit that ... the size associated with D decrease with increasing η .”

The values of V_m , D , and the slope at the two fixed points cannot be compared among Figs.

5-7 because V and U would be normalized by different values of V_{\max} and U_{\max} among the figures. I guessed that V_{\max} and U_{\max} correspond to the maximum values of V and U in (a) for each figure and that the maximum values decrease with increasing U_c when $\eta \neq 0$, similar to the cases with $\eta = 0$ (Fig. 4). I suggest that V and U should be normalized by V_p and $V_p \tau_{\max}$, respectively, where τ_{\max} is the maximum value of the horizontal axis (1300) in Figs. 4-12.

[Answer] It is very sorry that the notations were not well explained in the original manuscript. The values of V_m and D, respectively, represent the peak value of velocity and final slip of an event in each figure and have been displayed in Fig. 1 which has been re-drawn and different from the original one. The quantities V_{\max} and U_{\max} are the maximum values of V and U, respectively, in the first panel marked by “a” of a figure with four panels. The normalization scales in the left-handed-side panels of Figs. 4–12 are V_{\max} for the velocities and the final value of $\Sigma U/U_{\max}$ for the cumulative displacements in the computational time. Hence, the upper bound scales are “1” for both the velocity and the displacement. Hence, only the patterns of temporal variations of velocity and cumulative slip are concerned in these figures. The above-mentioned explanations have been added to the revised manuscript

[3] • L.17-18: “ T_R increases when U_c decreases or η increases”

• L.286-287: “ T_R increases when either η increases or U_c decreases”

T_R increases when η increases for $U_c = 0.8$ (Fig. 7), while T_R decreases when η increases for smaller U_c (Figs. 5 and 6). The behaviors of stick-slips should be investigated more carefully.

[Answer] The related description has been improved.

[4] • L.276-277: “The value of τ_D increases with U_c ”

• L.286: “while τ_D increases with η and U_c ”

The τ_D values are unclear in the left panels of Figs. 4-6. Please add the enlarged figures for only one event.

[Answer] Figure 1 has been re-drawn to include the temporal variation in particle velocity to meet your request.

[5] L. 278-282, L.288-292, L.405-407: I cannot understand what “the slope values at the two fixed points” means

$(V/V_{\max})/(U/U_{\max})$? Or $\frac{U_{\max}}{V_{\max}} \frac{dV}{dU}$?

[Answer] The slope means $d(V/V_{\max})/d(U/U_{\max})=(U_{\max}/V_{\max})(dV/dU)$. This statement has been added to the revised manuscript. By the way, the term “the slope value” has been replaced by “the absolute value of slope” in the revised manuscript.

[6] Some characters in the numerical formulas are very confusing.

- About slip and cumulative slip
- u and U in the friction law (equation 2, the second term of the right side of equations 3 and 4, Figure 3, etc.) would represent the time-varying slip amount for one event.
- u and U in $u-u_0$ and $U-V_p\tau$ (the first term of the right side of equations 1, 3, and 4, Figure 1, etc.) show the time-varying cumulative slip.
- Also ΣU in Figs.4-12 correspond to the time-varying cumulative slip.
- The (maybe time-varying) cumulative slip used in the wear effect is $S(t)$. Is $S(t)$ the same as ΣU in Figs.4-12?
- Is $D(t)$ in $S(t)=\Sigma D(t)$ different from D (final slip of each event, defined at L.16)?

[Answer] The u and U only represent the time-varying slip and time-varying normalized slip, respectively, for one event and they do not denote the time-varying cumulative slip. The parameter ΣU represents the time-varying cumulative slip. $D(t)$, which represents the final slip of an event, could be constant in the time history as displayed in Figs. 4–7 when all model parameters do not change with time; while it could vary with time as shown in Figs. 8–12 when one of the model parameters does change with time. Hence, $D(t)$ in $S(t)=\Sigma D(t)$ is merely D . This point has been explained in the revised manuscript.

- About friction, is f in L.155 the same as μ_f (L.156 etc.)?

[Answer] The “ f ” in L.155 has been replaced by “ μ_f ”.

Minor comments

[7] The topic on the wear process starts abruptly at L.20 in Abstract and the last paragraph of Section 4 (Simulation Results, p.11). To clarify the subjects of this paper, it would be better to add the statement that this paper investigated the wear process to the first sentence in Abstract and to the Introduction. In addition, the statements on the wear process in p.11 should be moved to somewhere before Section 4.

[Answer] The statement “the wear process” has been moved to the places you suggested in the revised manuscript

[8] L.53: ‘the Nankaido trough’ → ‘the Nankaido segment of the Nankai Trough’?

[Answer] The statement has been re-written in the revised manuscript.

[9] “ $T_R=\Delta\sigma^{2/3}M_o^{1/3}/1.8I\mu v_l$ ”: The assumption of constant $\Delta\sigma$ and v_l is not needed to derive this relation. If $\Delta\sigma$ or v_l varies with time, also T_R varies with time.

[Answer] The related statement has been deleted in the revised manuscript.

[10]L.71-72: I cannot understand the meaning of ‘the distribution of T_R ’. The probability density distribution of T_R ?

[Answer] Yes, you are right. It is the probability density distribution of T_R . The related statement has been added in the revised manuscript

[11]L.87: ‘the Nankaido trough’ → ‘the Nankai trough’? Or ‘the Nankaido segment of the Nankai Trough’?

[Answer] The statement “the Nankaido trough” has been replaced by “the Nankai trough” in the revised manuscript.

[12]L.152-153: “The latter is not appropriate in this study because of the request of constant velocity.” The equations of SOP model for variable velocity are shown in Rice (2006), which can be solved numerically. It should be noted that I agree to adopt AUD model in this study in order to examine the wear effect.

[Answer] The statement has been re-written in the revised manuscript.

[13]L.163 (equation 2): How did the Author treat equation 2 for the stable sliding (e.g. cases shown in Figs. 11d and 12d)? $u=0$?

[Answer] The value of $F(u)$ at $u=0$ is F_0 , i.e., the static friction force. The statement has been re-written in the revised manuscript.

[14] • L.167-168: “The force drop is lower for larger u_c than for smaller u_c .”

• L.399: “larger U_c yields a lower ΔF than smaller U_c ”

The final friction drop is 1, regardless of u_c and U_c (Fig. 3). Did the Author mean “the force drop for a certain displacement”?

[Answer] You are right. The statement “for the same final slip” has been added to the revised manuscript.

[15]p.8: v decreases with increasing T and η is proportional to v . However, η was assumed to be constant in this study. I wonder if the simulations with η depending on T are possible. The Author does not have to conduct such simulations in this study, but the comments on this may be interesting.

[Answer] The statements “Since v decreases with increasing T , η decreases with increasing T . Hence, η can vary with time during faulting. This point has been studied by Wang (2017b) for the generation of nuclear phase before an earthquake ruptures. In this study, constant η is considered for each case” have been added to the revised manuscript.

[16]L.222: “ V_p must be much smaller than 1”: The value of V_p depends on $D_0\omega_0$. How large is $D_0\omega_0$?

[Answer] In this study, it is considered to be about 1 m/s.

[17]L.223: “ V_p is taken to be 10^{-2} ” Do the results change if V_p is another value?

[Answer] The statements “Since the value of V_p can only influence the recurrence time, T_R , between two events and cannot influence the pattern of time variations in velocities and displacements of events. In order to study earthquake recurrence, there must be numerous modelled events with clear and visualized time functions of displacements and velocities for an event in the computational time period. If $V_p=10^{-10}$ is considered, T_R is very long and thus τ_D is much shorter than T_R . This makes the time function of an event displayed in the

variation in slip looks like a step function for the displacements and an impulse for the velocities. Hence, $V_p=10^{-2}$ is taken in this study.”

[18]Section 4 (Simulation Results): The results of the numerical simulations stated in pp.12-13 and L.381-414 should be moved to Section 4.

[Answer] The related statements shown in the section of “Discussion” have been moved to the section of “Simulation Results”.

[19]L.252-253: The references are needed.

[Answer] The related references have been added to the revised manuscript.

[20]L.264-265, L.377-378 etc.: “ U_c is proportional to C”: This phrase seems to be strange for me because the variable is $S(t)$ and C is the proportion coefficient.

[Answer] The statements have been re-written in the revised manuscript.

[21]L.265: “This”: What does the word “this” show? The sentence just before this word? The fact “the more mature the fault is, the thicker its slip zone is” comes only from $h(t)=CS(t)$.

[Answer] The sentence has been re-written as “Based on $h(t)=CS(t)$, the more mature the fault is, the thicker its slip zone is.” in the revised manuscript.

[22]L.274-276: “the force drop, ΔF , decreases with increasing U_c , thus indicating that larger ΔF yields higher V_m and larger D” I cannot understand the logic of this sentence. The Author’s intention may be “ ΔF decreases with increasing U_c for a certain finite displacement” because the friction drop reaches 1 when displacement is ∞ regardless of U_c (Fig.3). If so, however, this phrase have no relation to “larger ΔF yields larger D”.

[Answer] The statement “” has been behind the sentence in the revised manuscript.

[23]L.292-293: The U_c values are different from those in L.248 and figure captions.

[Answer] The values of U_c shown in L.292-293 are wrong and must the same as those in L.248. The original sentence has been re-written to be “Clearly, like Fig. 4 the final slip decreases with increasing U_c .”

[24]L.301-305: In a one-degree-of-freedom spring-slider model with constant friction parameters, the system reaches limiting cycles even in the previous studies listed in L.304-305, although I have not checked the results by Kostić et al. (2013a) and Franović et al. (2016). The Author may consider the initial transient phase, but the phase depends on the assumed initial state before the spring starts to be pull with the driving velocity of V_p . The behaviors of the limiting cycle reflect the parameters of the friction and of the system properly. It should be noted that the very small transient phase was also observed in Rice and Tse (1986) (the reference in L.298).

[Answer] Your viewpoint is correct. In this study, I mainly focus on the effect on recurrence. The phase portrait is just used to express the possible change of fixed points due to either a use of different values of or a use of time-varying values of model parameters. Nonlinear behavior, including very small transient phase which was not observed in this study, of the system will be my next study.

[25]L.309-310: I cannot understand that the right panels show T_R .

[Answer] The related statements have been deleted in the revised manuscript.

[26]L.314: I cannot understand why larger η generates chaos.

[Answer] The word “chaos” has been re-written as “an attractor” in the revised manuscript.

[27]L.318: The slope values at $V=0$ and $U=0$ decrease with increasing η more drastically for the larger U_c . As pointed out in my comment [2], the slope values should not be compared among the figures because U_{\max} and V_{\max} values are different among the figures.

[Answer] As mentioned in my answer of your comment [2], for a certain figure we can the absolute values of slope in the four right-handed-sides panels because their values of V_{\max} and U_{\max} are the same. Of course, it is not good to compare the values in different figures due to different values of V_{\max} and U_{\max} in use.

[28]L.319: The references are needed.

[Answer] “The previous study” means the simulation results of this study. Hence, the words “The previous study” have been re-written as “The simulation results as mentioned previously”.

[29]L.321: “the effects” The effects of temporal variations of η and U_c ?

[Answer] The following statement “the effects of time-dependent η and U_c ” has been added to the revised manuscript.

[30]L.329-330: “ $\Lambda=(\lambda_f-\lambda_n)/(\beta_f+\beta_n)$ ”

It would be better to move this to p.7, adding the definition of λ_f , λ_n , β_f , and β_n .

[Answer] The statements have been re-written and added in the revised manuscript.

[31]L.338: “ μ_f ” \rightarrow “ μ_f ”

[Answer] “ μ_f ” is replaced by “ μ_f ” in the revised manuscript.

[32]L.347: “ ρ_f ” and “ n ” \rightarrow “ ρ_f ” and “ n ”

[Answer] “ ρ_f ” and “ n ” are replaced, respectively, by “ ρ_f ” and “ n ” in the revised manuscript.

[33]L.362-364: The Author used the words “time-varying”. However, “the increase in permeability can result in the increase in pore pressure due to slip” would be better because “This” in the sentence “This can reduce the frictional resistance” obviously means an increase in the pore pressure.

[Answer] The statement “The time-varying permeability can result in the time-varying pore pressure, p_f ” has been re-written as “An increase in permeability can result in an increase in pore pressure, p_f ”.

[34]L. 410: “ \underline{C} ” \rightarrow “ C ”?

[Answer] “ \underline{C} ” is replaced by “ C ” in the revised manuscript.

[35]L.411: “approaches unity” The slope values seem to become smaller than unity in Figs. 9-12. Plotting the slope values (with time or slip) may clarify this point.

Why does the unity important? The slope values depend on the V_{\max} and U_{\max} values.

[Answer] Actually, the slope values become smaller than unity in Figs. 9-12. Hence, the statement “approach to unity” has been deleted in the revised manuscript.

[36]Bizzarri (2010) showed the effects of the wear process on the stick-slip behaviors, assuming the friction law with thermal pressurization, and thus the results on the wear processes in this study are not new. I suggest that the statements on the results of the simulations including both the wear processes and the viscous effects (Figs. 11 and 12) are added.

[Answer] I agree with you. Related information has been added to the revised manuscript.

[37]Are the η and C values used in the simulations consistent with those estimated by observations or laboratory experiments in previous studies (e.g., Boneh et al., 2014, pageoph)?

[Answer] This study is merely my first step to theoretically explore the earthquake recurrences caused by time-varying model parameters through numerical simulations. In this study, I just want to theoretical explore the possible effects on earthquake recurrences caused by time-varying of fault width. Hence, only the assumed values have been taken into account. I have not yet compared my values with those obtained by others. I will approach the problem for real faults in near future, and thus it is necessary to take the values of model parameters obtained from field observations and laboratory experiments into account.

[38]Vertical axes in Figs. 4-12: Please add the scales of the $\Sigma U/U_{\max}$ axes. The maximum of $\Sigma U/U_{\max}$ must be larger than 1 because U/U_{\max} reaches 1 or larger in the right panels of (a).

[Answer] In Figs. 8–12, the velocity waveforms and displacements are normalized by the maximum values of each figure. Hence, the upper bound value of the vertical axis is 1. The statements have been added to the revised manuscript.

[39]Fig.8-12: Why do the behaviors of the stick-slips (e.g., T_R , D , and V_m) vary with time in spite of the constant U_c ?

[Answer] The values of U_c are not constant and vary with time in Figs. 8–12. The values of U_c shown in the text and figure captions of have been re-written to be the initial values of U_c .

[40]Figs.11(a) and 12(a): Why $V_m/V_{\max} \neq 1$? I guessed that V_{\max} was defined as V_m in (a) for each figure in Figs. 4-10. Why is the maximum of U/U_{\max} larger than 1? I guessed that U_{\max} was defined as the maximum of U in each figure in Figs. 4-10.

[Answer] It is very sorry that the numerical computation cannot work when C is larger than a certain value which depends on U_c . The normalization of original Fig. 11 and Fig 12 was made based on the maximum values of panel (d), which are wrong. The re-computed results are displayed in the revised manuscript.

[41]Figs. 11(d) and 12(d): Why are there two thin solid lines? Why is $\Sigma U/U_{\max}$ constant (thick solid line)?

[Answer] It is very sorry that the numerical computation cannot work when C is

larger than a certain value. Originally, I kept the bad simulation results for $C=0.05$ in Figs. 11–12 with $\eta=1$, because I wanted to retain the same four values of C for Figs. 8–12. This idea sounds not good. Hence, I have re-done the numerical computations to find out the upper bound value of C for a certain U_c with $\eta=1$. The re-computed results are displayed in Figs. 11–12 of the revised manuscript.

1 **A Study of Earthquake Recurrence based on a One-body**
2 **Spring-slider Model in the Presence of Thermal-pressurized**
3 **Slip-weakening Friction and Viscosity**

4

5 Jeen-Hwa Wang

6 Institute of Earth Sciences, Academia Sinica, P.O. Box 1-55, Nangang, Taipei,

7 TAIWAN (e-mail: jhwang@earth.sinica.edu.tw)

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10

11 **Abstract** Earthquake recurrence is studied from the temporal variation in slip
12 through numerical simulations based on the normalized form of equation of motion of
13 a one-body spring-slider model with thermal-pressurized slip-weakening friction and
14 viscosity. **The wear process, whose effect is included in the friction law, is also taken**
15 **into account in this study.** The main parameters are the normalized characteristic
16 displacement, U_c , of the friction law and the normalized damping coefficient (to
17 represent viscosity), η . Define T_R , D , and τ_D to be the recurrence time of events, the
18 final slip of an event, and the duration time of an event, respectively. Simulation
19 results show that T_R increases when U_c decreases or η increases; D and τ_D decrease
20 with increasing η ; and τ_D increases with U_c . The time- and slip-predictable model can
21 describe the temporal variation in cumulative slip. When the wear process is
22 **considered**, the thickness of slip zone, h which depends on the cumulated slip,
23 $S(t)=\sum D(t)$, i.e., $h(t)=CS(t)$ (C =a dimensionless **increasing rate of h with S**) is an
24 important parameter **influencing** T_R and D . U_c is a function of h and thus depends on

25 cumulated slip, ΣU , with an increasing rate of C . In the computational time period,
26 the wear process influences the recurrence of events and such an effect increases with
27 C when $C > 0.0001$. When viscosity is present, the effect due to wear process becomes
28 stronger. Both T_R and D decrease when the fault becomes more mature, thus
29 suggesting that it is more difficult to produce large earthquakes along a fault when it
30 becomes more mature. Neither the time-predictable model nor the slip-predictable one
31 can describe the temporal variation in cumulative slip of earthquakes under the wear
32 process with large C .

33

34 **Key Words:** Recurrence of earthquakes, final slip, rise time, one-body spring-slider
35 model, thermal-pressurized slip-weakening friction, characteristic displacement,
36 viscosity, wear process

37

38 1 Introduction

39 Earthquake recurrence that is relevant to the physics of faulting is an important
40 factor in seismic hazard assessment. It is related to the seismic cycle, which represents
41 the occurrence of several earthquakes in the same segment of a fault during a time
42 period. Fig. 1 exhibits the general pattern of time variation in slip and particle velocity
43 during a seismic cycle. In the figure, T_R is the recurrence (also denoted by repeat or
44 inter-event) time of two events in a seismic cycle, τ_D is the duration time of slip of an
45 event, D is the final slip of an event, and V_m is the peak value of particle velocity of
46 an event. The four parameters could be constants in the time history when all model
47 parameters do not vary with time and could also vary with time, represented by $T_R(t)$,
48 $\tau_D(t)$, $D(t)$, and $V_m(t)$, when one of model parameters does vary with time. Sykes and
49 Quittmeyer (1981) pointed out that the major factors in controlling T_R are the plate

50 moving speed and the geometry of the rupture zone. Based on Reid's elastic rebound
51 theory (Reid, 1910), Schwartz and Coppersmith (1984) assumed that an earthquake
52 occurs when the tectonic shear stress on a fault is higher than a critical level, which is
53 dependent on the physical conditions of the fault and the loading by regional tectonics.
54 Since in their work a fault has a homogeneous distribution of physical properties
55 under constant tectonic loading, earthquakes could happen regularly.

56 Some observations exhibit periodicity for different size earthquakes. Bakun and
57 McEvilly (1979) obtained $T_R \approx 23 \pm 9$ years for $M \approx 6$ earthquakes at the Parkfield
58 segment of the San Andreas fault, USA since 1857. Sykes and Menke (2006)
59 estimated $T_R \approx 100$ years for $M \geq 8$ earthquakes in the **Nankaido segments of the Nankai**
60 **trough, Japan.** Okada et al. (2003) gained $T_R = 5.5 \pm 0.7$ years for earthquakes with
61 $M = 4.8 \pm 0.1$ off Kamaishi, Japan, since 1957. Nadeau and Johnson (1998) inferred an
62 empirical relation between T_R and seismic moment, M_o : $T_R \propto M_o^{1/6}$. To make this
63 relation valid, the stress drop, $\Delta\sigma$, or the long-term slip velocity of a fault, v_l , must be
64 in terms of M_o . Based on three data set from eastern Taiwan, Parkfield, USA, and
65 northeastern Japan, Chen et al. (2007) inferred $T_R \sim M_o^{0.61}$. Beeler et al. (2001)
66 proposed a theoretical relation: $T_R = \Delta\sigma^{2/3} M_o^{1/3} / 1.81 \mu v_l$, where μ is the rigidity of the
67 **fault-zone materials.**

68 However, the main factors in influencing earthquake occurrences commonly are
69 spatially heterogeneous and also vary with time. Thus, the recurrence times of
70 earthquakes, especially large events, are not constant inferred either from observations
71 (Ando, 1975; Sieh, 1981; Kanamori and Allen, 1986; Wang and Kuo, 1998; Wang,
72 2005; Sieh et al., 2008) or from modeling (Wang, 1995, 1996; Ward, 1996, 2000;
73 Wang and Hwang, 2001). Kanamori and Allen (1986) observed that faults with longer
74 T_R are stronger than those with shorter T_R . Davies et al. (1989) proposed that the

75 longer it has been since the last earthquake, the longer the expected time till the next.
76 Wang and Kuo (1998) observed that for $M \geq 7$ earthquakes in Taiwan T_R strongly
77 follows the Poissonian processes. Enescu et al. (2008) found that the **probability**
78 **density** distribution of T_R can be described by an exponential function. From the
79 estimated values of T_R of earthquakes happened on the Chelungpu fault in central
80 Taiwan from trenching data, Wang (2005) found that the earthquakes occurred
81 non-periodically.

82 In order to interpret earthquake recurrences, Shimazaki and Nakata (1980)
83 proposed three simple phenomenological models. Each model has a constantly
84 increasing tectonic stress that is controlled by a critical stress level, σ_c , for failure and
85 a base stress level, σ_b . The three models are: (1) the perfectly periodic model (with
86 constant σ_c , σ_b , and $\Delta\sigma$); (2) the time-predictable model (with constant σ_c , variable
87 σ_b , and variable $\Delta\sigma$); and (3) the slip-predictable model (with variable σ_c , constant σ_b ,
88 and variable $\Delta\sigma$). For the first model, both T_R and D of next earthquake can be
89 predicted from the values of T_R or D of previous ones. For the second model, T_R of
90 next earthquake can be predicted from the values of D of previous ones. For the third
91 model, D of next earthquake can be predicted from the values of T_R of previous ones.
92 However, debates about the three models have been made for a long time. Some
93 examples are given below. Ando (1975) suggested that the second model worked for
94 post-1707 events, yet not for pre-1707 ones in the **Nankai** trough, Japan. Wang (2005)
95 assumed that the second model could describe the earthquakes occurred on the
96 Chelungpu fault, Taiwan in the past 1900 years. For the Parkfield earthquake
97 sequence, Bakun and McEvilly (1984) took different models; while Murray and
98 Segall (2002) considered the failure of the second model. From laboratory results,
99 Rubinstein et al. (2012) assumed the failure of the time- and slip-predictable models

100 for earthquakes.

101 Some models, for instance the crack model and dynamical spring-slider model,
102 have been developed for fault dynamics, even though the seismologists have not a
103 comprehensive model. There are several factors in controlling fault dynamics and
104 earthquake ruptures (see Bizzarri, 2009; Wang, 2017b). Among the factors, friction
105 (Nur, 1978; Belardinelli and Belardinelli, 1996) and viscosity (Jeffreys, 1942; Spray,
106 1993; Wang, 2007) are two significant ones.

107 Modeling earthquake recurrence based on different models has been long made and
108 is reviewed by Bizzarri (2012a,b) and Franović et al. (2016). Among the models, the
109 spring-slider model has been used to study fault dynamics and earthquake physics
110 (see Wang 2008). Burridge and Knopoff (1967) proposed the one-dimensional
111 N-body model (abbreviated as the 1-D BK model henceforth). Wang (2000, 2012)
112 extended the 1-D model to 2-D one. The one-, two-, three-, and few-body models with
113 various friction laws have also been applied to approach fault dynamics (see Turcotte,
114 1992). The studies for various friction laws based on spring-slider models are briefly
115 described below: (1) for rate- and state-dependent friction (e.g., Rice and Tse, 1986;
116 Ryabov and Ito, 2001; Erickson et al., 2008, 2011; He et al., 2003; Mitsui and
117 Hirahara, 2009; Bizzarri, 2012a; Abe and Kato, 2013; Kostić et al., 2013a; Bizzarri
118 and Crupi, 2014; Franović et al., 2016); (2) for velocity-weakening friction (e.g.,
119 Carlson and Langer, 1989; Huang and Turcotte, 1992; Brun and Gomez, 1994; Wang
120 and Hwang, 2001; Wang, 2003; Kostić et al., 2013b); (3) for simple static/dynamic
121 friction (e.g., Abaimov et al., 2007; Hasumi, 2007).

122 Some results concerning earthquake recurrence are simply explained below.
123 Erickson et al. (2008) suggested that aperiodicity in earthquake dynamics is due to
124 either the nonlinear friction law (Huang and Turcotte, 1990) or the heterogeneous

125 stress distribution (Lapusta and Rice, 2003). Wang and Hwang (2001) emphasized the
126 importance of heterogeneous frictional strengths. Mitsui and Hirahara (2009) pointed
127 out the effect of thermal pressurization. Dragoni and Piombo (2011) found that
128 variable strain rate causes aperiodicity of earthquakes. Bizzarri and Crupi (2014)
129 found that T_R is dependent on the loading rate, effective normal stress, and
130 characteristic distance of the rate- and state-dependent friction law.

131 As mentioned previously, numerous studies have been made for exploring the
132 frictional effect on earthquake recurrence. But, the study concerning the viscous effect
133 on earthquake recurrence is rare. In the followings, we will investigate the effects of
134 slip-weakening friction due to thermal-pressurization and viscosity on earthquake
135 recurrence based on the one-body spring-slider model.

136

137 **2 One-body Model**

138 Fig. 2 displays the one-body spring-slider model. In the model, m , K , N , F , η , u , v
139 ($=du/dt$), v_p , and $u_o=v_p t$ denote, respectively, the mass of the slider, the stiffness (or
140 spring constant) of the leaf spring, the normal force, the frictional force between the
141 slider and the moving plate, the damping coefficient (to represent viscosity as
142 explained below), the displacement of the slider, the velocity of the slider, the plate
143 moving speed, and the equilibrium location of the slider. The frictional force F (with
144 the static value of F_o) is usually a function of u or v . Viscosity results in the viscous
145 force, Φ , between the slider and the moving plate, and Φ is in terms of v . A driving
146 force, $Kv_p t$, caused by the moving plate through the leaf spring pulls the slider to
147 move. The equation of motion of the model is:

148

$$149 \quad md^2u/dt^2 = -K(u-u_o) - F(u,v) - \Phi(v). \quad (1)$$

150

151 When $Kv_p t \geq F_o$, F changes from static frictional force to dynamic one and thus makes
152 the slider move. Among the physical models to approach earthquake faults, the single
153 spring-slider model, which can represent a single fault, is actually the simplest one.
154 However, based on this simple model in the presence of thermal-pressurized friction
155 and viscosity we can obtain good simulations of earthquake recurrences along a single
156 fault. Results can exhibit the frictional and viscous effects on earthquake recurrence.

157 The frictional force $F(u,v)$ is controlled by several factors (see Wang, 2016; and
158 cited references therein). An effect combined from temperature and fluids in a fault
159 zone can result in thermal pressurization (abbreviated as TP below) which would yield
160 a shear stress (resistance) on the fault plane (Sibson, 1973; Lachenbruch, 1980; Rice,
161 2006; Wang, 2009, 2011, 2016, 2017a,b,c; Bizzarri, 2009). Rice (2006) proposed two
162 end-member models of TP, i.e., the adiabatic-undrained-deformation (AUD) model
163 and slip-on-a-plane (SOP) model. Since the characteristic distance of the SOP model
164 cannot be associated with the wear process, the SOP model is not used in this study.

165 The AUD model is related to a homogeneous simple strain ϵ at a constant normal
166 stress σ_n on a spatial scale of the sheared layer. Its shear stress-slip function, $\tau(u)$, is:
167 $\tau(u) = \mu_f (\sigma_n - p_o) \exp(-u/u_c)$ (Rice, 2006), which decreases exponentially with increasing
168 u . The characteristic displacement is $u_c = \rho_f C_v h / \mu_f A$, where ρ_f , C_v , h , μ_f , and A are,
169 respectively, the fluid density, heat capacity (in J/°C/kg), the thickness, frictional
170 strength, and the undrained pressurization factor of the fault zone. The parameter A is
171 $(\lambda_f - \lambda_n) / (\beta_f + \beta_n)$ where β_f =isothermal compressibility of the pore fluid, β_n =isothermal
172 compressibility of the pore space, λ_f =isobaric, volumetric thermal expansion
173 coefficient for the pore fluid, and λ_n =isobaric, volumetric thermal expansion
174 coefficient for the pore space.

175 Based on the AUD model, Wang (2009, 2016, 2017a,b,c) took a simplified slip-
176 weakening friction law (denoted by the TP law hereafter):

177

$$178 \quad F(u) = F_o \exp(-u/u_c). \quad (2)$$

179

180 The value of $F(u)$ at $u=0$ is F_o , i.e., the static friction force. An example of the plot of
181 $F(u)$ versus u for five values of u_c , i.e., 0.1, 0.3, 0.5, 0.7, and 0.9 m when $F_o=1 \text{ N/m}^2$,
182 which are taken from Wang (2016), is displayed in Fig. 3. $F(u)$ decreases with
183 increasing u and its decreasing rate, γ , decreases with increasing u_c . The force drop is
184 lower for larger u_c than for smaller u_c for the same final slip. When $u \ll u_c$,
185 $\exp(-u/u_c) \approx 1 - u/u_c$, thus indicating that u_c^{-1} is almost γ at small u . This TP law is used
186 in this study.

187 A detailed description about viscosity and the viscous force $\Phi(\nu)$ can be found in
188 Wang (2016), and only a brief explanation is given below. Jeffreys (1942) first and
189 then numerous authors (Byerlee, 1968; Turcotte and Schubert, 1982; Scholz, 1990;
190 Rice et al., 2001; Wang, 2016) emphasized the viscous effect on faulting due to
191 frictional melts. The viscosity coefficient, ν , of rocks is influenced by T (see Turcotte
192 and Schubert, 1982; Wang, 2011). Spray (2005; and cited references therein) observed
193 a decrease in ν with increasing T . He also stressed that frictional melts with low ν
194 could produce a large volume of melting, thus reducing the effective normal stress.
195 This behaves like fault lubricants during seismic slip.

196 The physical models of viscosity can be found in several articles (e.g., Cohen, 1979;
197 Hudson, 1980). The stress-strain relationship is $\sigma = E\varepsilon$ where σ and E are, respectively,
198 the stress and the elastic modulus for an elastic body and $\sigma = \nu(d\varepsilon/dt)$, where ν is the
199 viscosity coefficient, for a viscous body. Two simple models with a viscous damper

200 and an elastic spring are often used to describe the viscous materials. A viscous
201 damper and an elastic spring are connected in series leading to the Maxwell model
202 and in parallel resulting in the Kelvin-Voigt model (or the Voigt model). According to
203 Hudson (1980), Wang (2016) proposed that the latter is more suitable than the former
204 for seismological problems and thus the Kelvin-Voigt model, whose constitution law
205 is $\sigma(t)=E\varepsilon(t)+\nu d\varepsilon(t)/dt$, is taken here and displayed in Fig. 2. The viscous stress is νv .

206 In order to investigate the viscous effect in a dynamical system, Wang (2016)
207 defined the damping coefficient, η , based on the phenomenon that an oscillating body
208 damps in viscous fluids. According to Stokes' law, $\eta=6\pi R\nu$ for a sphere of radius R in
209 a viscous fluid of ν (see Kittel et al., 1968). Hence, the viscous force in Equation 1 is
210 represented by $\Phi=\eta v$. Note that the unit of η is $\text{N}(\text{m/s})^{-1}$. Since ν decreases with
211 increasing T , η decreases with increasing T . Hence, η can vary with time during
212 faulting. This point has been studied by Wang (2017b) for the generation of nuclear
213 phase before an earthquake ruptures. In this study, constant η is considered for each
214 case.

215 Some authors (Knopoff et al., 1973; Cohen, 1979; Rice, 1993; Xu and Knopoff,
216 1994; Knopoff and Ni, 2001; Bizzarri, 2012a; Dragoni and Santini, 2015) considered
217 that viscosity plays a role on causing seismic radiation to release strain energy during
218 faulting.

219

220 **3 Normalization of Equation of Motion**

221 Putting Eq. 2 and $\Phi=\eta v$ into Eq. 1 leads to

222

$$223 \quad m d^2 u / dt^2 = -K(u - v_p t) - F_0 \exp(-u/u_c) - \eta v. \quad (3)$$

224

225 Eq. 3 is normalized for easy numerical computations based on the normalization
 226 parameters, which is dimensionless: $D_o=F_o/K$, $\omega_o=(K/m)^{1/2}$, $\tau=\omega_o t$, $U=u/D_o$, and
 227 $U_c=u_c/D_o$. The normalized velocity, acceleration, and driving velocity are $V=dU/d\tau=$
 228 $[F_o/(mK)^{1/2}]^{-1}du/dt$, $A=d^2U/d\tau^2=(F_o/m)^{-1}d^2u/dt^2$, and $V_p=v_p/(D_o\omega_o)$, respectively.
 229 Define $\Omega=\omega/\omega_o$ to be the dimensionless angular frequency, and thus the phase ωt
 230 becomes $\Omega\tau$. For the purpose of simplification, $\eta/(mK)^{1/2}$ is denoted by η below.
 231 Substituting all normalization parameters into Eq. 3 leads to

232

$$233 \quad d^2U/d\tau^2=-U-\eta dU/d\tau-\exp(-U/U_c)+V_p\tau. \quad (4)$$

234

235 In order to numerically solve Eq. (4), we define two new parameters, i.e., $y_1=U$ and
 236 $y_2=dU/d\tau$. Eq. 4 can be re-written as two first-order differential equations:

237

$$238 \quad dy_1/d\tau=y_2 \quad (5a)$$

239

$$240 \quad dy_2/d\tau=-y_1-\eta y_2-\exp(-y_1/U_c)+V_p\tau. \quad (5b)$$

241

242 We can numerically solve Eq. 5 by using the fourth-order Runge-Kutta method (Press
 243 et al., 1986). In general, the values of D_o are several meters and ω_o are in the range of
 244 0.1 Hz to few Hz (see Wang, 2016). This leads to that $D_o\omega_o$ has an order of
 245 magnitude of 1 m/s. The value of V_p must be much smaller than 1 because of $v_p\approx 10^{-10}$
 246 m/s. Since the value of V_p mainly influences the recurrence time, T_R , between two
 247 events and can only make a very small influence on the pattern of time variations in
 248 velocities and displacements of events. In order to study long-term earthquake
 249 recurrence, there must be numerous modeled events with clear and visualized time

250 functions of displacements and velocities for an event in the computational time
251 period. If $V_p=10^{-10}$ is considered, T_R is very long and thus τ_D is much shorter than T_R .
252 This makes the time function of an event displayed in the long-term temporal
253 variation in slip looks like just a step function for the displacements and an impulse
254 for the velocities. Hence, in order to get fine visualization a larger value of V_p is
255 necessary. The value of $V_p\tau$ is usually very small during an event and cannot
256 influence the rupture because of a very tiny value of V_p . Numerical test shows that
257 when $V_p>10^{-2}$, the value of $V_p\tau$ is not small during an event and can influence the
258 rupture. Hence, $V_p=10^{-2}$ is taken in this study. The backward slip is not allowed in the
259 simulations, because of common behavior of forward earthquake ruptures.

260 A phase portrait, which is a plot of a physical quantity, y , versus another, x , i.e.,
261 $y=f(x)$, is commonly used to represent nonlinear behavior of a dynamical system
262 (Thompson and Stewart, 1986). The intersection point between $f(x)$ and the bisection
263 line of $y=x$, is defined as the fixed point, that is, $f(x)=x$. If $f(x)$ is continuously
264 differentiable in an open domain near a fixed point x_f and $|f'(x_f)|<1$, attraction can
265 appear at the fixed point. Chaos can also be generated at some attractors. The details
266 can be seen in Thompson and Stewart (1986). In this study, the phase portrait is the
267 plot of V/V_{max} versus U/U_{max} .

268

269 **4 Simulation Results**

270 Numerical simulations lead to the temporal variations in particle velocities and
271 displacements as displayed in Fig. 1. The values of V_m and D , respectively, represent
272 the peak value of velocity and final slip for each event. Since four cases related to our
273 values of a particular model parameter, there are four values of V_m and D in a figure.

274 In order to plot the temporal variations in both normalized displacements and
275 velocities, the maximum values of V_m and D of the modelled events, i.e., V_{max} and
276 U_{max} , respectively, are taken into account. The values of V_m and D usually appear in
277 the panel marked by “a” of a figure. Simulation results are shown in Figs. 4–12. The
278 temporal variations in V/V_{max} (displayed by thin solid lines) and cumulative slip
279 $\Sigma U/U_{max}$ (displayed by solid lines) are displayed in the left-handed-side panels. The
280 normalization scales to plot the temporal variations in slip and velocity are V_{max} for
281 the velocities and the final value of $\Sigma U/U_{max}$ for the displacements in the
282 computational time. Hence, the upper bound scale is “1” for the two temporal
283 variations. Hence, only the patterns of temporal variations of velocity and cumulative
284 slip are concerned in these figures.

285 Simulation results displayed in these figures show that the maximum values of both
286 V and U decrease from case (a) to case (d) in each figure. Hence, the maximum
287 velocity and maximum displacement, which are denoted by V_{max} and U_{max} ,
288 respectively, for case (a) can be taken as the scaled factor to normalize the waveforms
289 from case (a) to case (d). This makes us easily to compare the waveforms of the four
290 cases in each figure.

291 The cases excluding the viscous effect, i.e., $\eta=0$, are first simulated and results are
292 shown in Fig. 4 for four values of U_c : (a) for $U_c=0.2$; (b) for $U_c=0.4$; (c) for $U_c=0.8$;
293 and (d) for $U_c=1.0$. The results of the cases including viscosity, i.e., $\eta \neq 0$, are
294 displayed in Figs. 5–7 for four values of η : (a) for $\eta=0.20$; (b) for $\eta=0.40$; (c) for
295 $\eta=0.6$; and (d) for $\eta=0.8$. The values of U_c are 0.2 in Fig. 5, 0.5 in Fig. 6, and 0.8 in
296 Fig. 7.

297 The left-handed-side panels in Fig. 4 with $\eta=0$ show that the peak velocity of an
298 event, V_m , and final slip, D , with the respective maximum values in case (a) as

299 mentioned above, for all simulated events decrease with increasing U_c . From Fig. 3,
 300 the force drop, ΔF , decreases with increasing U_c for a certain final slip, thus
 301 indicating that larger ΔF yields higher V_m and larger D . This interprets the negative
 302 dependence of V_m and D on U_c . When the viscous effect is absent, i.e., $\eta=0$, the value
 303 of τ_D increases with U_c ; while T_R decreases with increasing U_c . When $U_c=1$, V_m and
 304 D are both very small and the system behaves like creeping of a fault. In the
 305 right-handed-side panels, there are two fixed points for each case: one is called the
 306 non-zero fixed point at larger V and larger U and the other the zero fixed point at $V=0$
 307 and $U=0$. The slope at a fixed point is defined to be $d(V/V_{\max})/d(U/U_{\max})=$
 308 $(U_{\max}/V_{\max})(dV/dU)$. The absolute values of slope at the two fixed points decrease
 309 with increasing U_c , thus suggesting that the fixed point is not an attractor for small U_c
 310 and could be an attractor for larger U_c . The phase portrait for $U_c=1$ is very tiny,
 311 because the final slip for $U_c=1.0$ is much smaller than those for $U_c=0.2, 0.4,$ and 0.8 .
 312 Hence, $U_c=1$ will not be taken into account in the following simulations.

313 The left-handed-side panels in Figs. 5–7 show that V_m and D decrease when either
 314 U_c or η increases; while τ_D increases with η and U_c . Meanwhile, T_R increases when
 315 either η increases or U_c decreases. The right-handed-side panel exhibits that the
 316 phase portraits are coincided for all simulated events for a certain η . The absolute
 317 values of slope at the two fixed points decrease when either U_c or η increases. This
 318 suggests that the fixed point is not an attractor for small U_c and low η , and can be an
 319 attractor for large U_c and high η . Like Fig. 4, the final slip decreases with increasing
 320 U_c .

321 From Figs. 5–7, we can see that the temporal variation in cumulative slip can be
 322 described by the perfectly periodic model as mentioned above. Hence, when U_c and η
 323 do not change with time, the rate of cumulative slip retains a constant in the

324 computational time period. This is similar to the simulation results with the periodical
325 earthquake occurrences obtained by some authors (e.g., Rice and Tse, 1986; Ryabov
326 and Ito, 2001; Erickson et al., 2008; Mitsui and Hirahara, 2009) based on the
327 one-body model with rate- and state-dependent friction or velocity- weakening
328 friction. But, the present result is inconsistent with the simulation results, from which
329 either the time-predictable model or the slip-predictable model cannot interpret the
330 temporal variation in cumulative slip, based on the same model obtained by others
331 (e.g., He et al., 2003; Bazzarri 2012b; Bizzarri and Crupi, 2014; Kostić et al., 2013a,b;
332 Franović et al., 2016). The differences between the two groups of researchers might
333 be due to distinct additional constrains in respective studies. Although the detailed
334 discussion of such differences is important and significant, it is out of the scope of this
335 study and ignored here.

336 The phase portraits in Figs. 5–7 exhibit **two kinds of fixed points as mentioned**
337 **above. The absolute values of slope at the non-zero fixed point** are higher than 1 and
338 decreases with increasing η . This means that larger η is easier to generate **an attractor**
339 than small η . However, the reducing rate of **absolute value of slope** decreases with
340 increasing U_c . The **absolute values of slope** of the **zero fixed point** are higher than 1
341 and decrease with increasing η . This suggests that the **zero fixed points can** be an
342 attractor. This behavior becomes weaker when U_c increases.

343 Figs. 4–7 show that when U_c and η are constants during the computational time
344 periods, the general patterns of temporal variations in cumulated slip do not change.
345 Some of the previous studies (e.g., Bizzarri, 2012a,b; and Franović et al., 2016)
346 suggest that the patterns of temporal variations in cumulated slip can change with
347 time. The changes of U_c and η with time should play the main roles. From
348 $u_c = \rho_f C_v h / \mu_f \Lambda$ of the TP model (see Rice 2006), the width of the slipping zone, h ,

349 where the maximum deformation is concentrated (Bizzarri, 2009), is a significant
 350 parameter in this study. From geological surveys, Rathbun and Marone (2010)
 351 observed that h is not spatially uniform even within a single fault. Hull (1988) and
 352 Marrett and Allmendinger (1990) found that the wear processes occurring during
 353 faulting could widen h , and thus h could vary with time. According to the results
 354 gained by several authors (e.g., Power et al., 1988; Robertson, 1983; and Bizzarri,
 355 2010), Bizzarri (2012b) proposed a linear dependence of h on the cumulated slip,
 356 $S(t)=\sum D(t)$, i.e., $h(t)=CS(t)$ where C is a dimensionless increasing rate of h with S and
 357 is considered to be a constant in each case. Based on $h(t)=CS(t)$, the more mature the
 358 fault is, the thicker its slip zone is. Since u_c is proportional to h and $U_c=u_c/D_o$, U_c is
 359 related to C . Here, we assume that U_c varies with cumulative slip in the following
 360 way: $U_c=U_{co}+C\sum U(t)$ where U_{co} is the initial value of U_c . Simulation results for four
 361 values of C are shown in Figs. 8–12: (a) for $C=0.0001$; (b) for $C=0.001$; (c) for
 362 $C=0.01$; and (d) for $C=0.05$ when $\eta=0$ in Figs. 8–10; (a) for $C=0.0001$; (b) for
 363 $C=0.001$; (c) for $C=0.01$; and (d) for $C=0.038$ when $\eta=1$ in Fig. 11; and (a) for
 364 $C=0.0001$; (b) for $C=0.001$; (c) for $C=0.01$; and (d) for $C=0.0136$ when $\eta=1$ in Fig. 12.
 365 The initial values of U_c are: 0.1 for Fig. 8, 0.5 for Fig. 9, 0.9 for Fig. 10, 0.1 for Fig.
 366 11, and 0.5 for Fig. 12. Note that the value U_c varies with time due to time-varying h .

367 The left-handed-side panels of Figs. 8–12 show that V_m , D , τ_D , and T_R are all
 368 similar when $C\leq 0.001$. However, in general V_m and D decrease with increasing C ; T_R
 369 slightly decreases with increasing C ; and τ_D slightly increases with C . A decrease in D
 370 is particularly remarkable when $C\geq 0.01$. When h is wider than a critical value with
 371 $C=0.05$ for $\eta=0$, normal earthquakes cannot occur and only creeping may happen.
 372 The critical value of h decreases when the viscous effect is present with $\eta=1$ in this
 373 study. This decrease is also influenced by U_c : $C=0.038$ when the initial value of U_c is

374 0.1 and $C=0.0136$ when the initial value of U_c is 0.5. Obviously, T_R decreases with
375 increasing C , thus leading to a decrease in T_R with increasing h . This is similar to the
376 result obtained by Bizzarri (2010; 2012b). But, the viscous effect was not included in
377 his studies.

378 The right-handed-side panels of Figs. 8–12 exhibit that the phase portraits for
379 $C=0.001$ are slightly different from those for $C=0.0001$ even though the patterns of
380 their variations in V and U are similar; while the phase portraits for $C>0.001$ are
381 different from those for $C\leq 0.001$. An increase in h due to an increase in C with
382 cumulative slip enlarges U_c . This can be explained from Fig. 3 which shows that
383 larger U_c yields a lower ΔF than smaller U_c for the same final slip. Hence, an increase
384 in U_c produces a decrease in ΔF , thus resulting in low V_m and small D . In addition,
385 An increase in U_c makes $\exp(-U/U_c)$ approach unity, especially for small U , thus
386 reducing the nonlinear effect caused by TP friction.

387 Unlike Figs. 4–7, the size of phase portraits in the right-handed-side panels of Figs.
388 8–12 decreases with increasing C . This reflects a decrease in both T_R and D of events
389 with increasing C as mentioned previously. The absolute values of slope at the
390 non-zero fixed point are higher than 1 and only slightly decrease with time when
391 $C<0.01$; while the values remarkably decrease with time when $C\geq 0.01$. The absolute
392 values of slope at the zero fixed point are higher than 1 and only slightly decrease
393 with time when $C<0.01$; while those decrease with time when $C\geq 0.01$. Results
394 suggest that the non-zero fixed points for all cases in study are not an attractor; and
395 those at the zero fixed points can evolve to an attractor with time when $C\geq 0.01$. The
396 phenomenon is particularly remarkable for $C=0.05$ in Figs. 8–10, $C=0.0380$ in Fig. 11,
397 and $C=0.0136$ in Fig. 12, and the evolution is faster for large U_c than for small U_c .

398

399 5 Discussion

400 The simulation results as mentioned previously demonstrate that when U_c and η are
401 constants during the computational time periods, the general patterns of temporal
402 variations in cumulated slip cannot change. In order to investigate the effect of
403 time-varying η and U_c on the patterns of temporal variations in cumulated slip, we
404 must consider changes of U_c and η with time. The viscosity coefficient can actually
405 vary immediately before and after the occurrence of an earthquake (see Spray, 1883,
406 2005; Wang, 2017b,c). But, a lack of long-term variation in η does not allow us to
407 explore its possible effect on the change of general patterns of temporal variations in
408 cumulated slip. Here, only the possible effect due to time-varying U_c .

409 As mentioned above, the equality $U_c = u_c / D_o$ leads to $U_c = \rho_f C_v h / \mu_f D_o \Lambda$. Obviously,
410 U_c is controlled by six factors, i.e., ρ_f , C_v , h , μ_f , D_o , and Λ . Since the tectonics of a
411 region is generally stable during a long time, the value of $D_o = F_o / K$ could not change
412 too much and thus would not influence U_c . The Debye law (cf. Reif, 1965) gives
413 $C_v \sim (T + 273.16)^3$, where 273.16 is the value to convert temperature from Celsius to
414 Kelvin, at low T and $C_v \approx \text{constant}$ at high T . The threshold temperature, from which C_v
415 begins to approach a constant, is 200–300 °K. In this study, C_v is almost a constant
416 because of $T > 250$ °C = 523.16 °K, which is the average ambient temperature of fault
417 zone with depths ranging from 0 to 20 km. Hence, C_v is almost constant during a long
418 time and thus cannot influence U_c .

419 The frictional strength, μ_f , is influenced by several factors including humidity,
420 temperature, sliding velocity, strain rate, normal stress, thermally activated rheology
421 etc (Marone, 1998; Rice, 2006), and thus can change with time (Sibson, 1992; Rice,
422 2006). Hirose and Bystricky (2007) observed that serpentine dehydration and

423 subsequent fluid pressurization due to co-seismic frictional heating may reduce μ_f and
424 thus promote further weakening in a fault zone. The pore fluid pressure exists in wet
425 rocks, yet not in dry rocks. Clearly, the time variation in μ_f can affect the earthquake
426 recurrences. However, a lack of long-term observations of μ_f during a seismic cycle
427 makes the studies of its effect on earthquake recurrence be impossible.

428 The fluid density ρ_f and the porosity n depend on T and p . Although there are
429 numerous studies on such dependence (Lachenbruch, 1980; Bizzarri, 2012b; and cited
430 references therein), observed data and theoretical analyses about the values of ρ_f and n
431 during a seismic cycle are rare.

432 The porosity is associated with the permeability, κ . Bizzarri (2012c) pointed out
433 that the time-varying permeability, $\kappa(t)$, and porosity of a fault zone (cf. Mitsui and
434 Cocco, 2010; Bizzarri, 2012b) can reduce T_R . One of the Kozeny–Carman's (KC)
435 relations (Costa, 2006; and references cited therein) is: $\kappa(t) = \kappa_C \phi^2(t) d^3(t) / [1 - \phi(t)]^2$,
436 where κ_C is a dimensionless constant depending on the material in consideration; ϕ is
437 V_{voids} / V_{tot} where V_{voids} and V_{tot} are, respectively, the pore volume and the total volume
438 of the porous materials; and d is the (average) diameter of the grains, ranging between
439 4×10^{-5} m and 1×10^{-4} m (Niemeijer et al., 2010). Usually, κ , ϕ , and d can vary in the
440 fault zone (Segall and Rice, 1995). After faulting κ and ϕ would change and d
441 becomes smaller because of refining of the grains. According to this relation, Bizzarri
442 (2012b) found that $\kappa(t)$ could significantly reduce T_R in comparison with the base
443 model with constant κ . The reason is explained below. **An increase in** permeability
444 can result in **an increase in** pore pressure, p_f . This can reduce the frictional resistance
445 from $\tau = \mu(\sigma_n - p_f)$ and thus could trigger earthquakes earlier. Hence, the time-varying
446 permeability can change T_R . Nevertheless, we cannot investigate its influence on

447 earthquake recurrence here because there is a lack of a long-term observation of
448 hydraulic parameters during a seismic cycle.

449 It is significant to explore the factors that can yield a non-perfectly periodic seismic
450 cycle. The width of the slipping zone, h , can be a candidate as pointed out by some
451 authors (e.g., Bizzarri, 2009; Rathbun and Marone, 2010). Since the displacement
452 along a fault is controlled by the fault rheology, h should depend on the rheology on
453 the sliding interface. The wear processes occurring during faulting could widen h
454 (Hull, 1988; Marrett and Allmendinger, 1990). According to the results gained by
455 several authors (e.g., Power et al., 1988; Robertson, 1983; and Bizzarri, 2010),
456 Simulation results for various values of C and the results are shown in Figs. 8–10 with
457 $\eta=0$ and in Figs. 11–12 with $\eta=1$. Results exhibit that when $C>0.0001$, the wear
458 process affects the recurrence of slip and the effect increases with C and when C is
459 larger than an upper-bound value, larger-sized events cannot occur and the earthquake
460 recurrence does not exist. Both T_R and D decrease when the fault becomes more
461 mature due to a thicker slip zone. Meanwhile, the viscous effect can also play a
462 secondary role on the earthquake recurrence because it makes upper-bound value
463 become smaller. Although either the time- or slip-predictable model can describe the
464 temporal variations of cumulative slip of events occurring in the earlier time period,
465 they cannot interpret those of events in the later parts. This might suggest that it is
466 more difficult to produce large earthquakes along a fault when it becomes more
467 mature, especially for the cases with viscosity. This implicates that seismic hazard is
468 higher for a young fault than a mature one. Hence, it is significant and important to
469 identify the width of slip zone of an earthquake fault for seismic hazard estimates.

470

471 **6 Conclusions**

472 To study the frictional and viscous effects on earthquake recurrence, numerical
473 simulations of the temporal variations in cumulative slip have been conducted based
474 on the normalized equation of a one-body model in the presence of thermal-
475 pressurized slip-weakening friction and viscosity. **The wear process, which is included**
476 **in the friction law, is also taken into account.** The model parameters of friction and
477 viscosity are represented, respectively, by U_c and η , where $U_c = u_c/D_o$ is the normalized
478 characteristic distance and η is the normalized damping coefficient. Numerical
479 simulation of the time variations in V/V_{max} and cumulative slip $\Sigma U/U_{max}$, and the phase
480 portrait of V/V_{max} versus U/U_{max} are made for various values of U_c and η .

481 Results exhibit that both friction and viscosity remarkably affect earthquake
482 recurrences. The recurrence time, T_R , increase when η increases or U_c decreases. The
483 final slip, D , and the duration time of slip, τ_D , of an event slightly decrease when η or
484 τ_D increases and slightly increases with U_c . Considering the effect due to wear process,
485 the thickness of slip zone, h that depends on the cumulated slip, $S(t) = \Sigma D(t)$, i.e.,
486 $h(t) = CS(t)$ (C =a dimensionless constant), is an important factor in influencing
487 earthquake recurrences. **U_c increases with ΣU with an increasing rate of C .** When
488 $C > 0.0001$, the wear process influences the recurrence of slip and the effect increases
489 with C . **When C is larger than an upper-bound value, larger-sized events cannot occur**
490 **and the earthquake recurrence does not exist.** If the slip zone becomes thicker, the
491 fault is more mature. **This makes T_R and D become shorter.** This might suggest that it
492 is more difficult to produce large earthquakes along a fault when it becomes more
493 mature. **This phenomenon becomes remarkable when the viscous effect exists because**
494 **the upper-bound value becomes smaller.** The temporal variation in slip cannot be
495 interpreted by the time-predictable or slip-predictable model when the fault is affected
496 by wear process with large C . In addition, the size of phase portrait of V/V_{max} versus

497 U/U_{max} decreases with increasing C . This again reflects decreases in both T_R and D of
498 events with increasing C as inferred from the temporal variations in cumulative slip.

499

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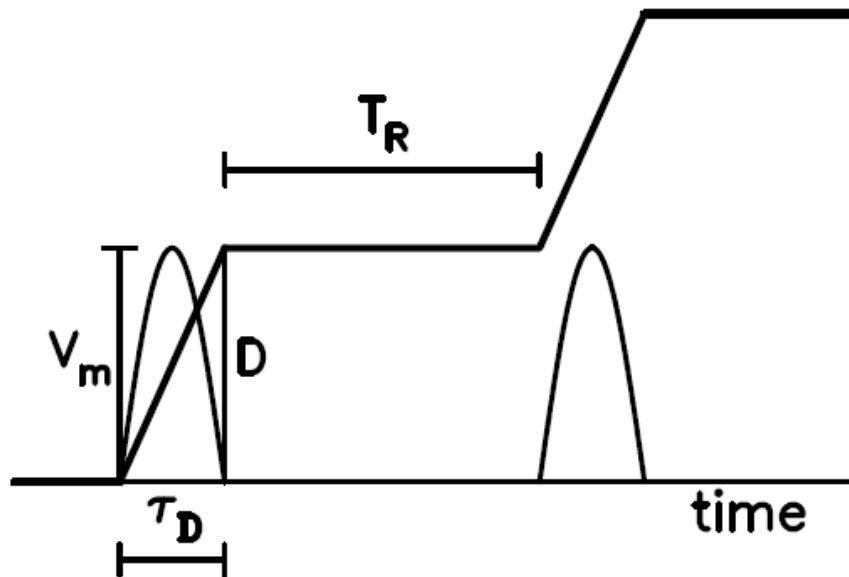
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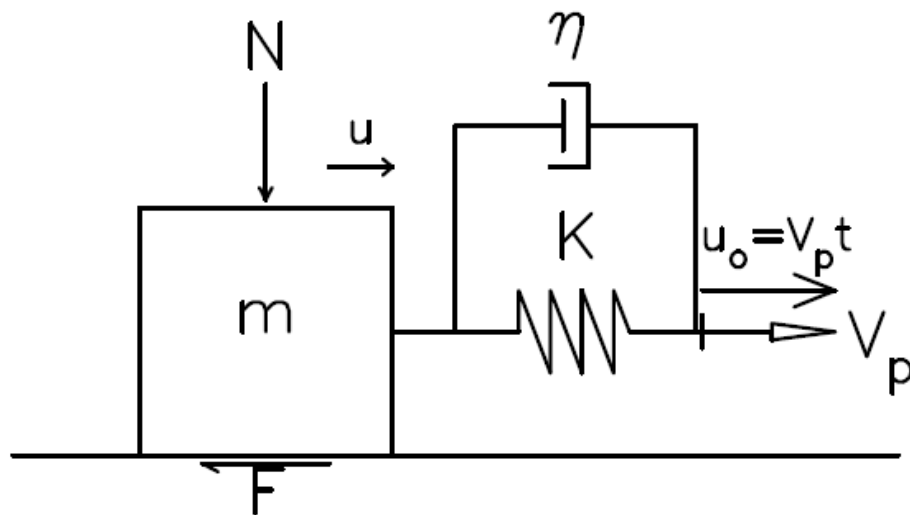
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Figure 1. A general pattern of time variations in slip (thick solid line) and particle velocity (thin solid curve) during a seismic cycle: T_R =the recurrence time or the inter-event time of two events in a seismic cycle; τ_D =the duration time of slip of an event; D =the final slip of an event; and V_m =the peak particle velocity of an event.

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767 Figure 2. One-body spring-slider model. In the figure, t , m , K , η , V_p , N , F , u , and u_o
768 denote, respectively, the time, the mass of the slider, the spring constant, the
769 damping coefficient, the driving velocity, the normal force, the frictional force,
770 displacement of the slider, and the equilibrium location of the slider. (after Wang,
771 2016)
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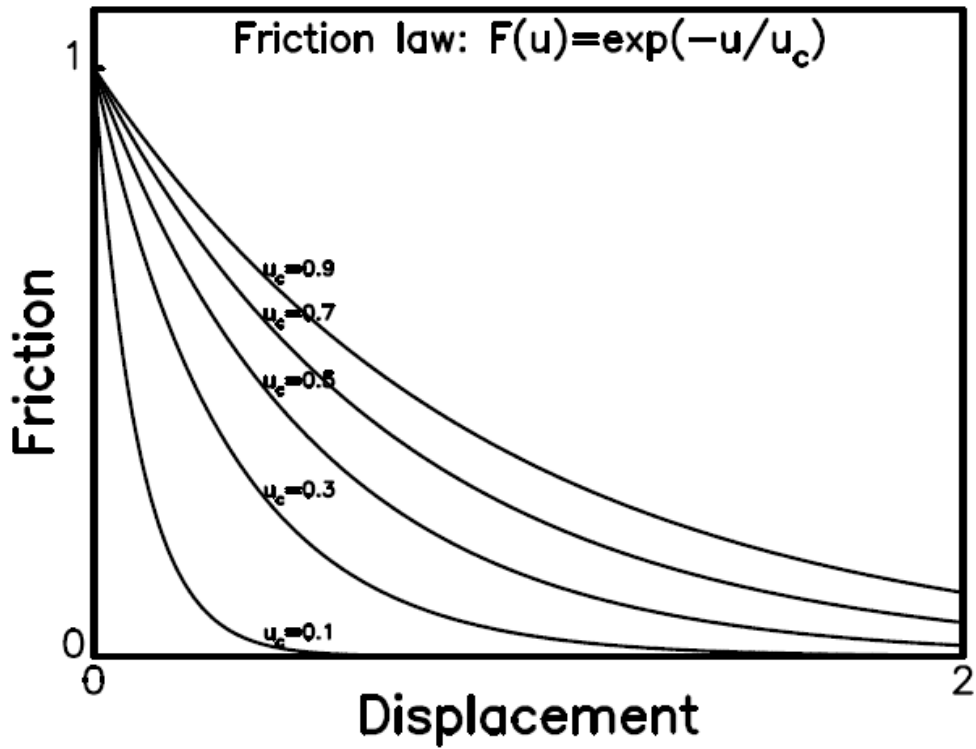
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780 Figure 3. The plots of $F(u)=F_o \exp(-u/u_c)$ versus u when $u_c=0.1, 0.3, 0.5, 0.7,$ and 0.9
781 m when $F_o=1 \text{ Nt/m}^2$. (after Wang, 2016)

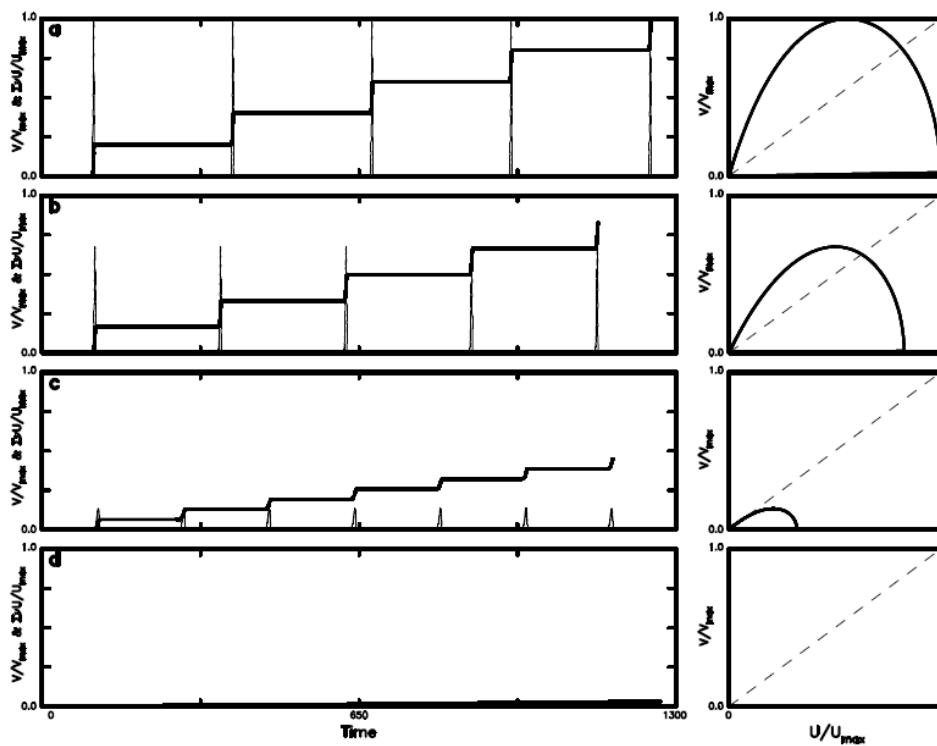
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788 Figure 4. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$

789 (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four

790 values of U_c : (a) for $U_c=0.2$; (b) for $U_c=0.4$; (c) for $U_c=0.8$; and (d) for $U_c=1.0$

791 when $\eta=0.0$.

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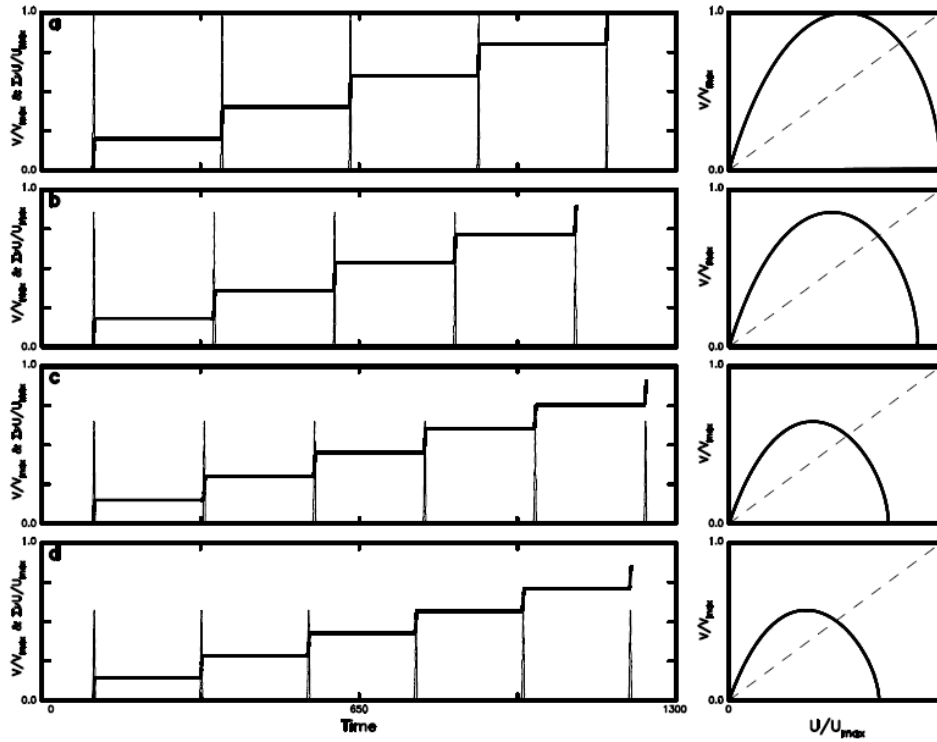
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802 Figure 5. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$

803 (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four

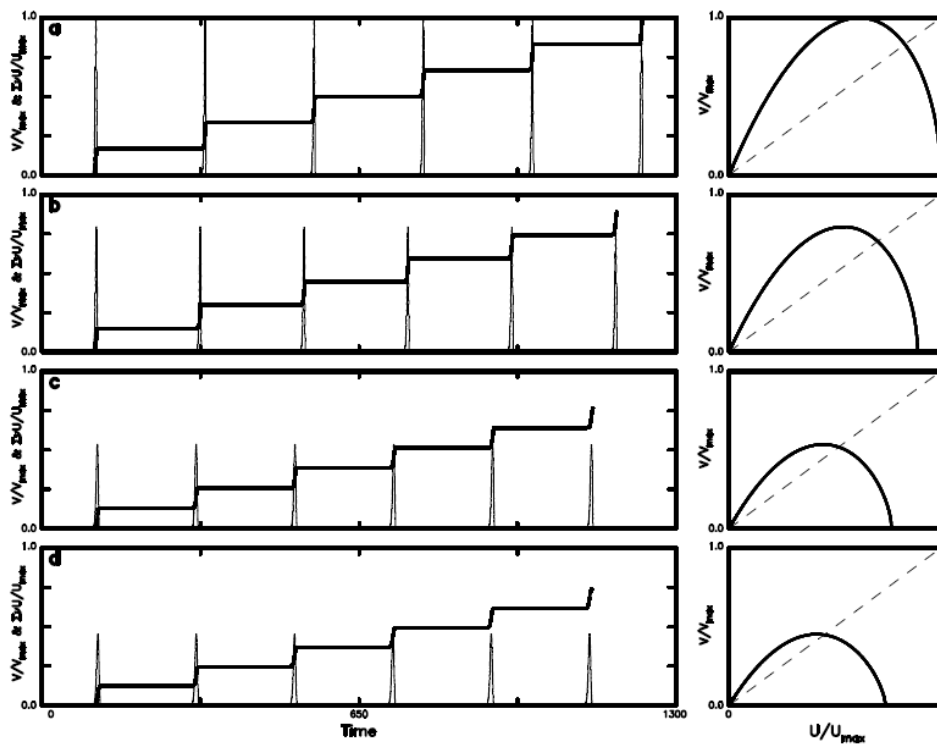
804 values of η : (a) for $\eta=0.2$; (b) for $\eta=0.4$; (c) for $\eta=0.8$; and (d) for $\eta=1.0$ when

805 $U_c=0.2$.

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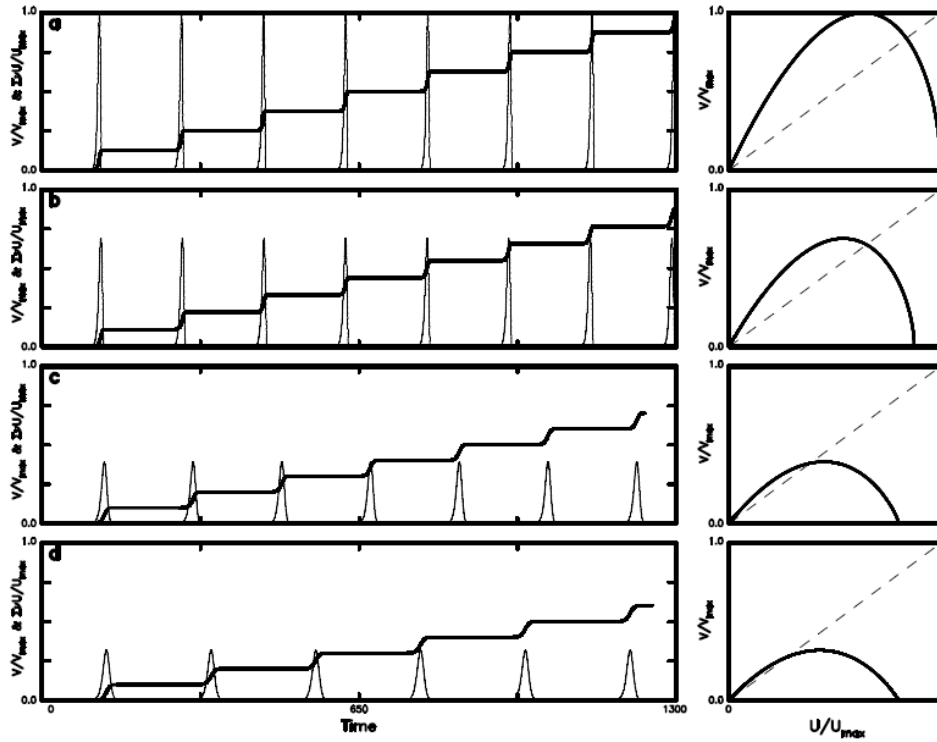
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Figure 6. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$ (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four values of η : (a) for $\eta=0.2$; (b) for $\eta=0.4$; (c) for $\eta=0.8$; and (d) for $\eta=1.0$ when $U_c=0.5$.

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821 Figure 7. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$

822 (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four

823 values of η : (a) for $\eta=0.2$; (b) for $\eta=0.4$; (c) for $\eta=0.8$; and (d) for $\eta=1.0$ when

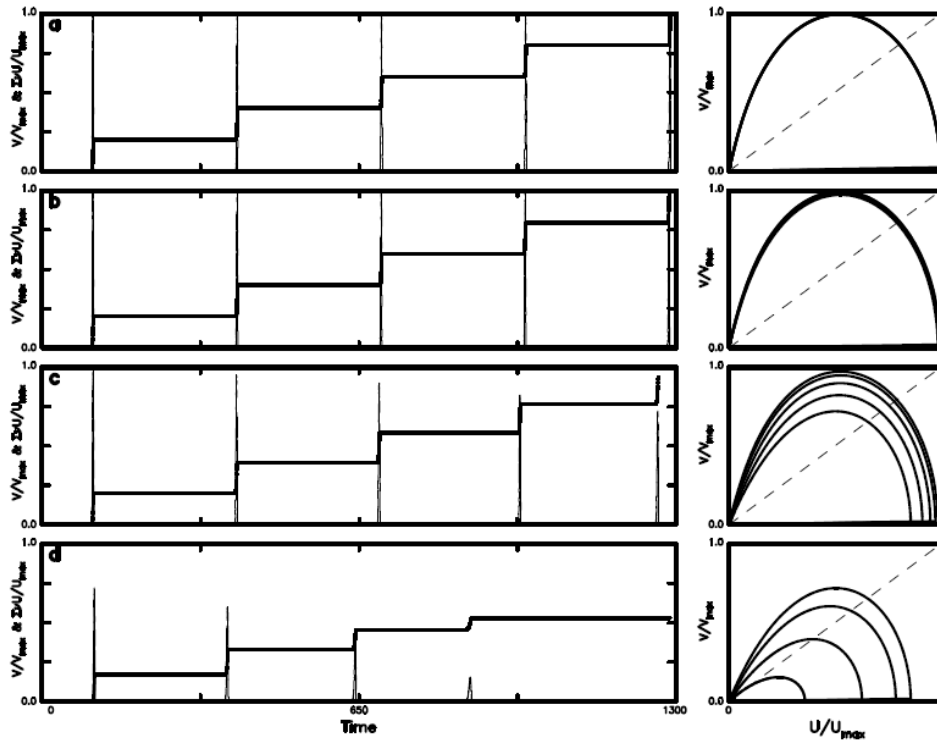
824 $U_c=0.8$.

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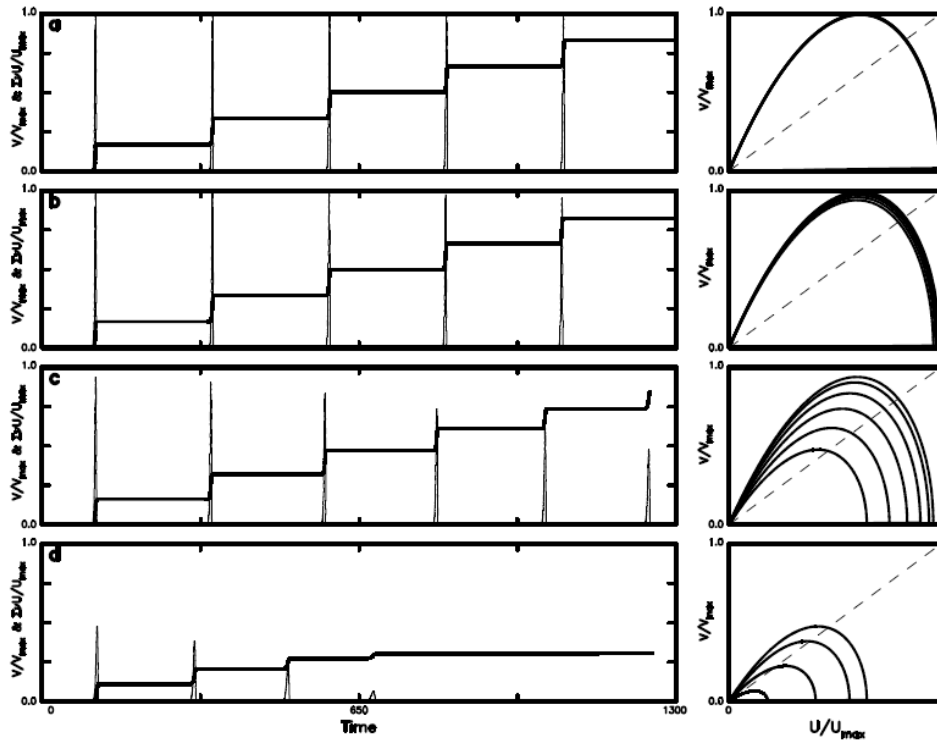
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Figure 8. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$ (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four values of C : (a) for $C=0.0001$; (b) for $C=0.001$; (c) for $C=0.01$; and (d) for $C=0.05$ when $U_{co}=0.1$ and $\eta=0$.

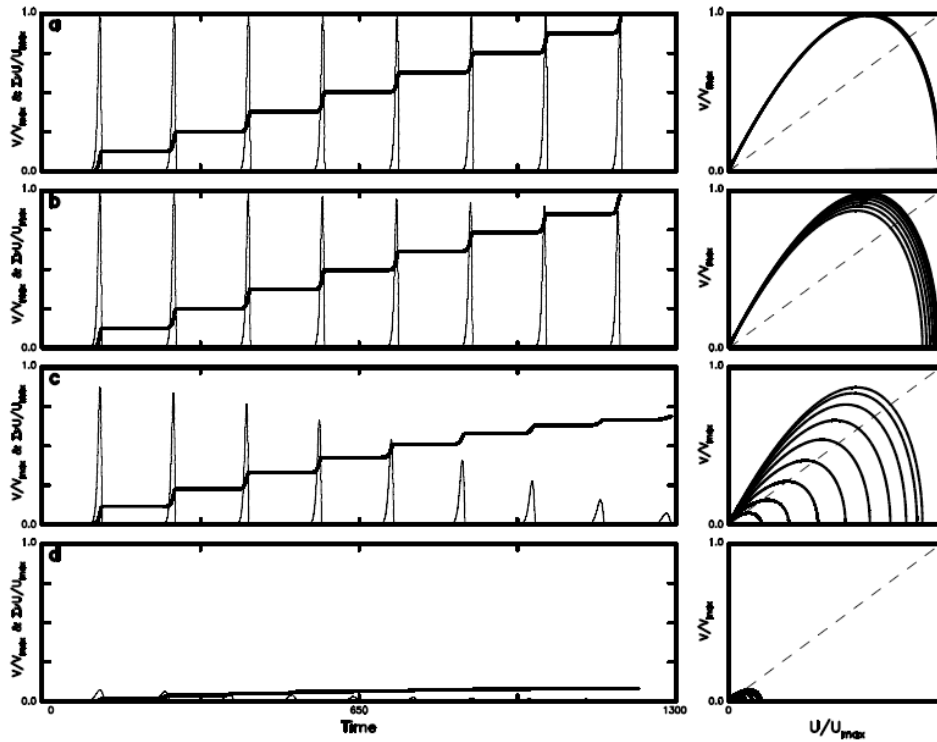
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Figure 9. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$ (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four values of C : (a) for $C=0.0001$; (b) for $C=0.001$; (c) for $C=0.01$; and (d) for $C=0.05$ when $U_{co}=0.5$ and $\eta=0$.

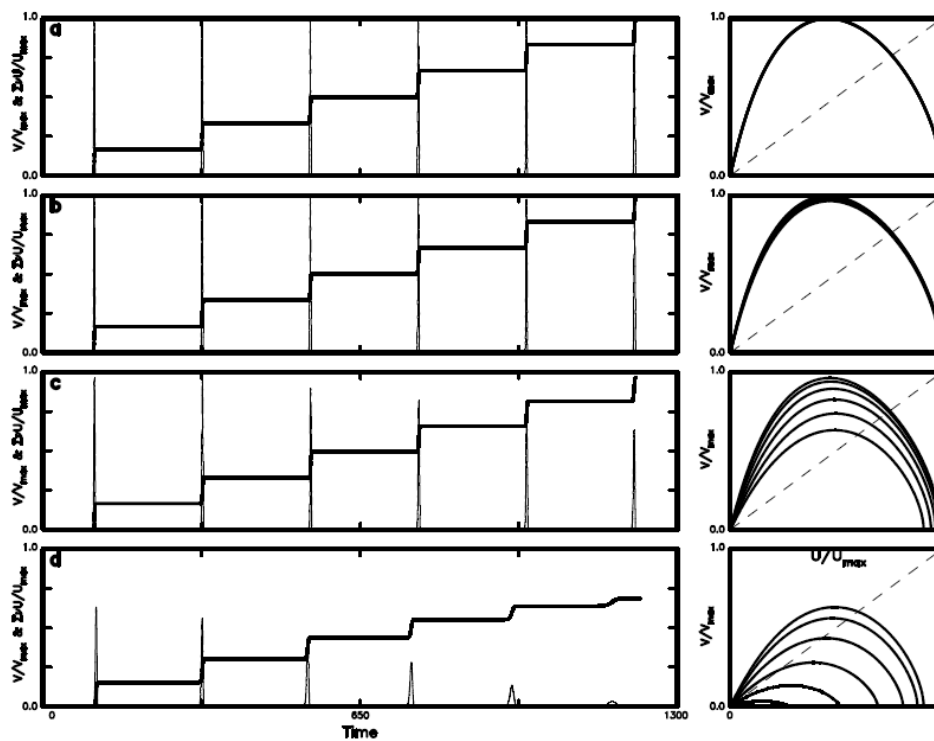
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Figure 10. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$ (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four values of C : (a) for $C=0.0001$; (b) for $C=0.001$; (c) for $C=0.01$; and (d) for $C=0.05$ when $U_{co}=0.9$ and $\eta=0$.

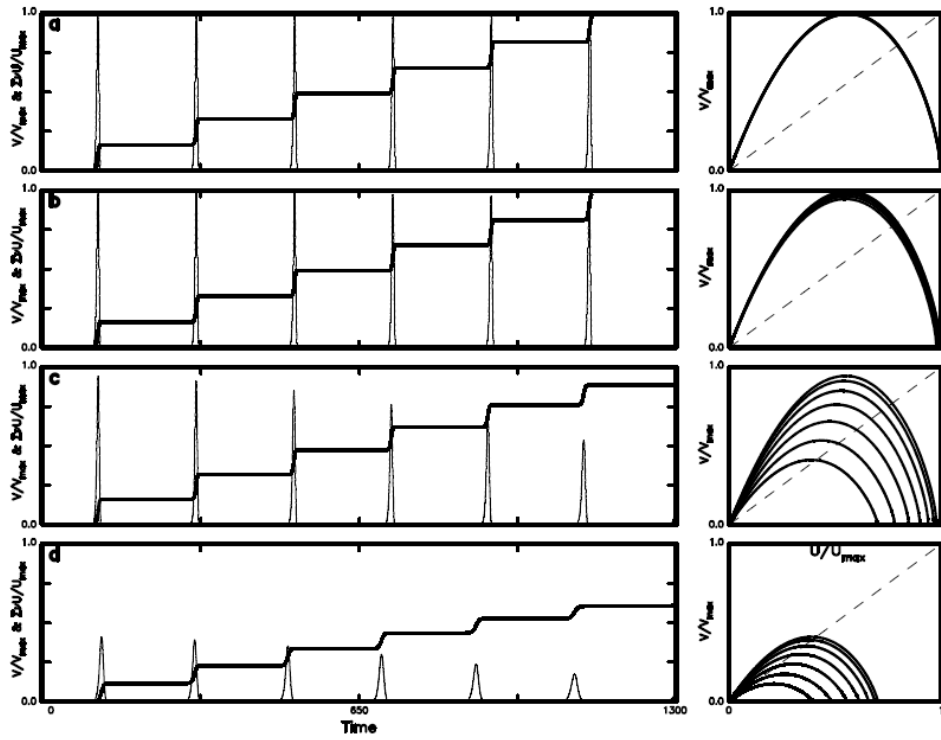
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Figure 11. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$ (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four values of C : (a) for $C=0.0001$; (b) for $C=0.0010$; (c) for $C=0.0100$; and (d) for $C=0.0380$ when $U_{co}=0.1$ and $\eta=1$.

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Figure 12. The time variations in V/V_{max} (thin solid line) and cumulative slip $\Sigma U/U_{max}$ (solid line) and the phase portrait of V/V_{max} versus U/U_{max} (solid line) for four values of C : (a) for $C=0.0001$; (b) for $C=0.0010$; (c) for $C=0.0100$; and (d) for $C=0.0136$ when $U_{co}=0.5$ and $\eta=1$.