Response to EC1 again:

Authors: Thank you for consideration of our paper. The respond to your comment is as flowing:

Dear Authors, considering the comments received from the reviewers, I ask you to revise the manuscript according to their comments. Please upload a revised version of the paper, with detailed answers to the reviewers' comments. Please try to complete the work in three weeks time.

Authors: Now, we have revised our manuscript according to the comments received from the reviewers, at the same time, a point-by-point reply to the comments and a marked-up manuscript version showing the changes had been uploaded.

Besides point-by-point revise according to the comments of reviewers, another main changes include as follows:

- We changed the title "Method and application of using unmanned aerial vehicle for emergency investigation of single geo-hazard" to "A method for using unmanned aerial vehicles for emergency investigation of single geo-hazards and sample applications of this method", may be more suitable.
- 2. We invited native English speaker conversant with photogrammetric terminology, to help us improve the English writing of the revised paper.
- 3. We checked, modified, and improved all figures and tables.

We would like to express our great appreciation to you and reviewers for comments on our paper. Looking forward to hearing from you.

Response to RC1 again:

Authors: Thank you for your interests about our paper and valuable comments to improve it. The responds to your comments are as flowing:

GENERAL COMMENTS The paper describes a drone specifically design by the authors for emergency investigations. This UAV was been applied in 3 practical cases to demonstrate its efficacy. In my opinion, there are 3 limits in this paper:

1. The paper contains no mentions of Direct Photogrammetry (DF) approach, but in a drone specifically designed for emergencies and rapid mapping, this approach has to be applied. I suggest the use of DP techniques to measure directly in field external orientation parameters and the application of a post processing BBA to refine the external orientation parameters directly measured. Could this UAV be equipped with sensors for DF? In the case of affirmative answer, I suggest to the authors to include some details of this solution (kind of IMU/GNSS sensors, real time or post processing, used software tools,

and so on);

Authors: Thank you for commenting and suggesting about the DF. Actually, although the DF is not mentioned in the paper, this approach is used, especially in the site investigation and the site fast processing. Specifically, when the GNSS signal can be used during the site investigation, the location information will be automatically wrote into the captured photos, to ensure that the use of fast SfM processing method can generate coarse-precision results with a real space coordinate system in the site fast processing step, please see lines 269-282. If there is no GNSS signal, the layout and measurement of GCPs is indispensable to support the SfM photogrammetric processing, i.e., introducing GCPs to ensure generate results with a real space coordinate system, please see lines 243-246, 299-308. According to the suggestion, the details of DP, including IMU/GNSS sensors (lines 270-282), post processing (lines 299-308), used software tools (lines 277-280, 304-306, 407-409) had been added to the revised paper.

2. Paper don't describe innovative approach to SfM survey using UAV: merely, there are some details of practical suggestions for UAV survey and some reports of applicative examples, actually known in scientific literature. To complete these descriptions, I suggest to complete the practical details including, in Paragraphs 5.1, 5.2 and 5.3, some information on number of acquired image, flight plan, time spent for the acquisition and post processing, number of points of dense point clouds, density of point cloud, obtained accuracy.

Authors: Thank you for the suggestion. These detailed information had been added in the revised paper, please see lines 424-438, 463-480, 497-506.

3. The references don't include some important papers on the use of UAV for mapping, environmental application, rapid mapping and emergency investigation. For examples, I suggest: http://link.springer.com/article/10.1007/s12518-014-0144-x http://www.mdpi.com/1424-8220/15/7/15717 http://www.mdpi.com/2072-4292/8/9/779 http://www.tandfonline.com/doi/pdf/10.1080/19475705.2016.1225229

Authors: Thank you for the suggestion. These references had been carefully read and added to the appropriate location in the revised paper, please see lines 45-57.

Detailed corrections: - Rows 110, 172, 179, 183, 220, 223, 246, 290, 301, 381, 384, 396, 411, 433, 467 Replace GPS with GNSS - Row 320 Replace facade in façade

Authors: Thank you for the corrections. These comments had been reflected in the revised paper.

In addition, three main changes include as follows:

4. We changed the title "Method and application of using unmanned aerial vehicle for emergency investigation of single geo-hazard" to "A method for using unmanned aerial vehicles for emergency investigation of single geo-hazards and sample applications of this method", may be more suitable.

5. We invited native English speaker conversant with photogrammetric terminology, to help us improve the English writing of the revised paper.

6. We checked, modified, and improved all figures and tables.

We have tried our best to revise our manuscript according to your valuable comments, and hope that the correction will meet with approval.

Response to RC2 again:

Authors: Thank you for your interests about our paper and valuable comments to improve it. The responds to your comments are as flowing:

GENERAL COMMENTS This paper aims to describe a RPAS and processing pipeline specifically developed for the management of small hazard events. Authors discuss both the platform/sensor technology and the main steps followed during the complete UAV mission workflow. Finally, performance evaluation is carried out on three test cases. Although the core concept is interesting and may represent an interesting issue for the scientific community, several main issues should be addressed by the authors.

1.General remark: the English is very poor and this may prevent a full comprehension of the paper. Photogrammetry-related terminology is vague and often incorrect (e.g. "high-definition photos", "...for the photos, the definition, scope and overlap rate...", "planar digital terrain", etc...). A proofreading by a native English speaker conversant with photogrammetric terminology is strongly required.

Authors: Thank you for the comment. After revised the contents of the paper, we had invited a native English speaker conversant with photogrammetric terminology, to help us improve the English writing of the revised paper.

2. The scientific significance and novelty of the paper should be proved. Which are the advantages of the developed platform/sensor/pipeline compared to other commercial or in-house developed systems? The literature review addresses only general concepts and does not show the novelty and advantages of the newly developed system.

Authors: Thank you for the comment about the scientific significance and novelty of the paper. In fact, The main aim of this paper is to conclude and establish a complete method of using UAV for emergency investigation of small hazard events. In the revised paper, we had strengthened the literature review about this aspect, please see lines 45-63, 70-79.

3. The application field is vague. Authors say that the RPAS is developed for emergency investigation of "single" geo-hazards. What do you mean with the term "single"? If it refers to a limited spatial extension of the natural hazard, this should be better clarify and a clear idea of the intended area size should be given.

Authors: Thank you very much for the comment and suggestion. Indeed, the "single" geo-hazard refers to a limited spatial extension of a natural hazard, so we add a better clarify and a clear idea of the intended area size in the revised paper, please see lines 61-63.

4.No accuracy figures are given. Authors generally refer to "meter-level error" or "centimeter- even millimeter- level accuracy". How did you evaluate accuracy? Did you adopt Control Points to check the accuracy of orientation results? Did you evaluate the accuracy of the final product? Although accuracy is not the main aim of rapid mapping, a metric evaluation of the methodology is necessary to confirm and support the conclusions. Authors: Thank you for the comment about the accuracy. And the accuracy is indeed an important indicator of the availability of results, in our method, the GCPs were used for accuracy assessment, simply, the root-mean-square error (RMSE) of GCPs was used as an important indicator. So, we add the accuracy results in 5. three application examples, please see lines 430-437, 472-477, 502-505.

5. Why is direct geo-referencing not dealt with?

Authors: In fact, the direct geo-referencing is used in our method, especially in the site investigation and the site fast processing. Specifically, when the GNSS signal can be used during the site investigation, the location information will be automatically wrote into the captured photos, to ensure that the use of fast SfM processing method can generate geo-referencing results. If there is no GNSS signal, the GCPs layout and measurement is indispensable to support the SfM photogrammetric processing, i.e., introducing GCPs to ensure generate geo-referencing results. Accordingly, above detailed processing method, such as SfM and so on had been added to the revised paper, please see lines 270-274, 277-282, 300-301, 304-308, 402-409.

6.The experimental part is very poor. No details are given regarding the image dataset (GSD?), the accuracy achieved, the time required. This gives limited support to the conclusion drawn by the authors.

Authors: Thank you for the comment. More practical details including the number of acquired image, time spent for the acquisition and post processing, obtained GSD and accuracy, etc., had been added in the revised paper, please see lines 424-438, 463-480, 497-506.

In addition, We changed the title "Method and application of using unmanned aerial vehicle for emergency investigation of single geo-hazard" to "A method for using unmanned aerial vehicles for emergency investigation of single geo-hazards and sample applications of this method", may be more suitable. Moreover, We checked, modified, and improved all figures and tables.

We have tried our best to revise our manuscript according to your valuable comments,

and hope that the correction will meet with approval.

<u>Method A method and application of for</u> using unmanned aerial vehicles for emergency investigation of single geo-hazards and sample applications of this method

Abstract. In recent years, the unmanned aerial vehicles (UAVs) have began to be become widely used in the emergency investigations of major natural hazards in over a large areas, but less; however, UAVs are less commonly employed to investigate for the single geo-hazards. Based on a number of successful practices investigations in the Three Gorges Reservoir AreaReservoir area, China, a complete UAV-based method for performing emergency investigation-methods of single geo-hazards is concluded described. Firstly, First, a customized UAV system consisting that consists of a multi-rotor UAV subsystem, an aerial photography subsystem, a ground control subsystem and a -ground surveillance subsystem is described in detail. Then, tThe implementation process, which includes four steps, i.e., indoor preparation, site investigation, site fast processing on-site fast processing and applying application, and indoor comprehensive processing and applying processing and application, is then elaborated, and two investigation schemes including, automatic and manual, that are used in the site investigation step are put forward. Moreover, some key techniques and methods, e.g., the layout and measurement of ground controls points (GCPs)-layout and measurement, the route planning, flight controlthe flight and shooting image collection process control, and the Structure from Motion (SfM) photogrammetry processing, are explained. Finally, three applications are given. Practice showExperience has showns that, using the UAVs for emergency surveys of single geo-hazards can not only greatly reduces the time, strength-intensity and risks of the associated with on-site work, but also and provides valuable, high-accuracy, high-definition valuable information to well that support thes emergency treatment responses.

Keywords. single geo-hazard; landslide; emergency investigation; unmanned aerial vehicle (UAV); emergency treatmentemergency response

1 Introduction

The aim of the emergency investigation of geo-hazards is to provide basic and essential information, including disaster characteristics the characteristics of disasters, damagesloss situations and environmental conditions, etc., for <u>use in</u> emergency decision-making and effective treatmentresponse; so, These investigations are it is therefore a top priority₂, and needs to emphasize the speed and efficiency of the implementation process, and the accuracy of the results <u>must be further improved</u> (Liu, 2006; Liu et al., 2010; Lu and Xu, 2014). In general, the traditional methods of emergency investigation for <u>of</u> geo-hazards is <u>are</u> used, <u>;</u> i.e., the specialists go around and inspect on the disaster bodyaffected area with cameras and simple measurement tools, then conclude report their conclusions based on the field investigation and professional knowledge. There is no doubt that these efforts in using the traditional method require more substantial manpower, longer working hours and

greater highly intense work-intensity, and; moreover, they often face difficulties <u>because</u>in the inaccessibility of humans to certain areas parts of the geo-hazards, such as high cliffs or <u>areas covered by</u> lush vegetation-covered, are inaccessible to humans. In particular, these-on-site investigators have to take the greatmust contend with the considerable risks of further associated with additional disasters that may occur during the process of the emergency investigation. In addition, the conclusions of these investigations are often inaccurate, because they are mostlprimarily local, qualitative or and speculative based, e. Even some quantitative results, such as the length, width, or area of a geo-hazard, may have a large deviation deviate strongly from the actual situation. Therefore, relying solely on the traditional ground-based emergency investigation methods would inevitably reduces the efficiency and effectiveness for of the geo hazard emergency decision-making and the treatment responses associated with geo-hazard emergencies.

Remote sensing of features in-is fast and, macroscopic, covers large areas at high resolution, and it has the irreplaceable considerable advantages in the fields of emergency investigation of major natural hazards (Joyce et al., 2009; Boccardo and Tonolo, 2015). Along with the rapid development of unmanned aerial vehicle (UAV) remote sensing technology, it has been widely used in mapping (Aicardi et al., 2015), environmental investigation (Aicardi et al., 2016) and emergency investigation (Boccardo et al., 2015), especially. Remote sensing from UAVs has been especially widely used in the emergency investigation of geo-hazards emergency investigation for some given its unique advantages, such as low cost, easy manipulation of operation, less minimal risk and efficient image acquisition, etc. (Lewis, 2007; Adams et al., 2014; Li et al., 2014; Fernandez Galarreta et al., 2015). For example, in the USA, the-UAVs were used for-to perform damage inspections after the-Hurricane Katrina (Pratt et al., 2006) and Hurricanes Wilma and Ike (Steimle et al., 2009);-). in-In Taiwan, a helicopter UAV was used to collect imagery to support post-disaster reconnaissance, disaster restoration and reconstruction assessments after the Typhoon Morakot (Chou et al., 2010); i. In addition, the UAVs have gradually become the an indispensable mean fors of disaster investigation and assessment after earthquakes, e.g. e.g., the Wenchuan earthquake in 2008 (Zhou et al., 2008), the L'Aquila earthquake in 2009 (Quaritsch et al., 2010), the Haiti earthquake in 2010 (Huber, 2010), the Japan earthquake in 2011 (Ackerman, 2011), and the Lushan earthquake in 2013 (Xu et al., 2014), etc. However, the above applications show that the UAVsUAVs are mainly used in the emergency investigations or the loss assessment of associated with major natural hazards, e.g., earthquakes or the their secondary geo-hazards, e.g., (landslides and rock collapses) in athat cover large area-caused by major natural hazardss. These UAV systems are usually large, complex and costly, and the acquisition of the final results also requires a very involved professional process with a that requires long large amounts of time. Actually Moreover, in the annual occurrence of a of the large number of geo-hazards that occur annually, the "single" disasters with limited spatial extension extents (such disasters usually with have an area that extends from a few hundred to several million square meter metres) and limited volumes (which usually extend from a few thousand to tens of millions of cubic-meter meters) accounted for the vast majority, e.g., For example, in 2015, a total of 8,224 geo-hazards (landslides accounted for the vast majority of which most were landslides) occurred in the mainland area of China, of which. Of these events, 8,180 were medium- (0.1-1.0 million m³) and small--(less than 0.1 million m³) sized, accounting. These events accounted for 99.5% of the total number of events, and the direct economic losses from these events were 200 million USD, accounting which represents for 55.8% of the total direct losses (Mlr P.R. China, 2015). It can be seen. Oon the one hand, it can be seen that the emergency investigation and treatment of single geo-hazards and the response to such events is very necessary for the prevention and mitigation of disasters prevention and mitigation, o. On the other hand, because of the potentially greater losses and huge amounts, it usually requires more efficient and effective methods are typically required; e.g., only a few days or even hours to be given are usually available to propose the treatment response measures. In this case, more a simple, flexible and small-sized UAV system, as well as a more quickrapid, efficient on-site image acquisition method and UAV-based remote sensing results processing method had to<u>must</u> be used, to ensure that in the shortest possible time to complete the whole airborne-based emergency investigation procedures can be completed in the shortest possible time, thusen providing valuable information for the subsequent works efforts, such as ground-based investigation or the design of emergency treatmentemergency response-designs. However, there is no complete, systematic and effective method of using UAVs for the investigation of single geo-hazard-emergency investigation at presently exists, and many challenges, e.g., such as the lack of customized UAV systems and, the lack of a sound on-site implementation process and methods, etc., are hampering the the use of UAVs in specific applications.

The main aim of carrying out this study is <u>expected to base on to describe</u> a number of successful <u>practices</u> <u>examples</u> of using UAVs to <u>perform</u> emergency investigation <u>of</u> geo-hazards in the Three Gorges <u>Reservoir</u> <u>AreaReservoir area of</u>, China, in recent years <u>and</u>, to <u>conclude and</u> establish a complete method of using UAVs for emergency investigation of single geo-hazards, which include the. This method includes a customized UAV, the implementation <u>process</u> of UAV-based investigation, the key techniques and methods <u>used</u> during the investigation and <u>the processing of the</u> results <u>processing</u>. <u>And</u> <u>In addition</u>, <u>finally</u>, three applications are <u>given</u> <u>provided</u> to demonstrate the applicability of the proposed method.

2 Customized UAV

Most of the single geo-hazards (which mainly refers to include landslides, rock collapses and debris flows) that need to be require emergency investigated investigation, although they are generally of medium or small size, are often located in mountainous areas with rugged topography, where only a limited range of visible area can be observed from the ground-view, and with that experience changeable meteorological conditions, e.g., uncertain wind power-speed and direction. Besides, In addition, they are often located in the traffic arteries or crowded places, such as tourist attractions, where there are usually have many buildings and a variety of public facilities, e.g., telecommunications and power towers and power lines, etc., which may revolve around surround or cross through the entire disaster body area affected by a given disaster. Therefore, in order to fully adapt to the

complex environments in which the geo-hazards may be located, the UAVs used for carryingto carry out-the emergency investigation should meet some basic requirements, e.g., small size, light weight and, quick assembling assembly and disassembling is assembly; easy taking take off and landing and, no a lack of special site requirements; simple, flexible and convenient control of flight flight control and taking photosimage collection; a stable flight control system and a reliable, perfect failure protection function; strong wind resistance and, a reliable aerial gimbal systems of for carrying the camera; a powerful ground control station and a reliable image and data transmission system; and a certain endurance that guaranteeing guarantees that a flight can cover the whole entire area of affected by the most single geo-hazards.

According to <u>the</u> above requirements, combined with the comprehensive considerations of applicability, security, stability and economy (<u>which</u> mainly refers to the low cost of initial construction and later maintenance of the UAV system), a number of on-site tests and practical applications were carried out for <u>the</u>-single landslides in the Three Gorges <u>Reservoir AreaReservoir area</u>, China, and <u>f. F</u>inally, a UAV system was customized, <u>A photograph of this system is shown in</u> Fig. 1-shows the photo, the system architecture and the main function modules are shown in Fig. 2, and the core components and main features of the customized UAV are shown in Table 1.

The customized UAV system consists of four subsystems, including <u>a multi-rotor UAV subsystem</u>, an aerial <u>photography subsystem</u>, a ground control subsystem and a ground surveillance subsystemmulti rotor UAV subsystem, aerial photography subsystem, ground control subsystem and ground surveillance subsystem, details as follows which are described in detail below.

-(1) A customized four-axis and eight-rotor carbon fiber-fibre_airframe is used. The outstanding advantages of this design include <u>its</u> high strength, <u>strong and</u> power and light weight (the whole aircraft with <u>its</u> camera and <u>the</u> aerial gimbal_<u>systems</u> is less than 5 kg). <u>And</u>. <u>In addition</u>, compared with <u>the</u>-fixed wing aircraft, it <u>has-is</u> smaller-size, <u>has</u> better <u>maneuverabilitymanoeuvrability</u> and <u>more-enhanced</u> fixed-point hovering capability, <u>i.</u> <u>In particular, no-special sites for taking off and landing are not</u> required, which is very important for <u>the use</u> of<u>using</u> UAVs to quickly investigate <u>the</u>-single geo-hazards-<u>tha</u>, <u>which</u> are usually located in complex environments. Although the <u>endurance battery life</u> is poor, <u>i.e.</u>, <u>(it provides approximately 20 minutes of flight time), e</u>, if <u>calculated at an usualan</u> average flight speed of 5 m/s <u>is considered</u>, the <u>resulting</u> flight distance of <u>about 5 approximately 5</u> km <u>can</u>-guarantees that one flight is sufficient to cover the whole area of <u>the-most single</u> geo-hazards. Even if multiple flights are required, only the battery needs to be replaced.

(2) The flight control system, which directly controls the processes of flight and shootingimage collection, is the "brain" of the UAV system, and its performance and stability directly determine the functioning and the security of the whole system. NowadaysCurrently, there are many mature commercial products of flight control systems exist; however,, but they are closed-source systems, so. Thus, in the event of failure, the only thing to do is tothe unit must be returned to the factory for repair or re-purchased, resulting in high-economic and time

<u>costs_costs in money and time</u>. Therefore, a widely used open source flight control system, i.e., <u>Pixhawk</u> 2.4.5 (Meier et al., 2012) <u>that-with-has</u> dual processors, is used, <u>and its. The</u> high robustness and powerful data processing capacity <u>of this unit</u> have been recognized. Equipped with <u>a</u> high-performance Global Navigation Satellite System (GNSS) module, data and image transmission modules, etc., thise flight control system can totallyprovides complete support some for several necessary functions, such as route planning, flight positioning, real-time data and image transmission.

(3) The aerial photography subsystem, <u>which is</u> used <u>for to collect</u> overhead or oblique <u>shooting</u> high-resolution images of <u>the</u>-geo-hazards, to serves as the core data source of the emergency investigation information. Thanks <u>for to</u> the rapid development of new digital photogrammetric technologies, especially represented by the Structure from Motion (SfM) photogrammetry (Westoby et al., 2012; Li et al., 2013), which is <u>developed</u>-based on computer vision algorithms, the requirements of early aerial photography equipment <u>have</u> <u>beenis</u> greatly reduced. Therefore, only a Sony HX200 digital camera, with 18-mega effective megapixels, and a Vario Sonnar T* 4.8-14<u>44mm mm</u> F/2.8-5.6 lens; is used to take <u>the</u> photographs. And . In addition, in order to keep <u>the</u> camera stability_stable to ensure clear shootingthe collection of clear images; or accurately adjust the lens orientation according to actual needs, a three-axis brushless aerial gimbal_systems is used to carry the camera. The camera shutter and the aerial gimbal_systems are <u>entirely all</u>-controlled by the flight control system.

(4) The ground control subsystem, mainly includes the ground control station, which is a notebook computer equipped with the UAV's ground control software. To match For compatibility with the Pixhawk flight control system, the corresponding open source ground station software, i.e., Mission Planner 1.3.37 (Team, 2016) is used. And . In addition, by interacting with the flight control system, the software can achieve some carry out several core functions, including UAV's the debugging and maintenance of the UAV, parameter settingssetting parameters, and route planning, monitoring and control, etc., i. In a word, by usingusing the ground control station and the flight control system, the whole process of flight and photos shooting image collection can be fully automated. In addition, a remote controller control provides manual control of the flight is used to control the flight by manual way in case of emergency.

(5) The ground surveillance subsystem should be established to display real-time flight images and flight state data, which. This subsystem provides timely and accurate information for that enables operators to make effectively judgmentjudgements, decisions, and manipulations, to ensure the flight safety. There is aIn the key on-screen-display (OSD) module, by which the flight state data is are superimposed on the real-time flight images. And In addition, then, all these of this information are is transmitted to the ground terminal monitor by usingusing the image transmission system (including the image delivery module on the aircraft; and the image receiving module on the ground terminal monitor).

The customized UAV system (including the Sony HX200 digital camera, but not including the computer which that is equipped with the ground control software) only costs only \$-1825, which is equal to the price of

the DJI Phantom 4 pro, a current and very popular consumer-level UAV. But-However, the image quality of the Sony HX200 digital camera is clearly better, and m. Moreover, the customized UAV has displays better power performance and wind resistance. In short, our customized UAV system has carried out more than 20 missions of emergency investigation—fors of single geo-hazards in the Three Gorges Reservoir—AreaReservoir area. Besides Sthe satisfactory photosphotographs of every geo-hazard haved been obtained, and there has not been a runaway accident, which-fully proved demonstrates that the system has a positivesubstantial applicability, safety and reliability, and is—and is_very suitable for the emergency investigation of single geo-hazards, even in the mountainous environments-just like that of the Three Gorges Reservoir AreaReservoir area.

3 Implementation process

The implementation process of using <u>a</u>_UAV to <u>perform</u> emergency <u>investigate_investigations of the</u> single geo-hazards can be divided into four steps, i.e., indoor preparation, site investigation, <u>site fast processing on-site fast _ and applyingprocessing and application</u>, and indoor comprehensive processing and applyingprocessing and <u>applyingprocessing</u> and <u>applyingprocessing</u> and <u>application</u>. Fig.3Fig. 3 shows this process in detaildetailed processes and the tasks of <u>involved in every each</u> step, <u>elaborated as followswhich are described below</u>.

3.1 Indoor preparation

Performing the necessary indoor preparation can improve the efficiency of on-site emergency investigation, and mainly includes battery charging, <u>initial inspection of the UAV system initial inspection</u>, and preliminary route planning.

3.1.1 Battery charging

At present, our system components are used lithium battery poweredies. To protect the efficiency and prolong the service life of all-lithium batteries, when they are not used in use, the voltage of every-the lithium cells should be maintained at 3.8 v or so (Broussely, 2002), that is, neither fully charged nor fully empty. Therefore, fully chargecharging ofd all of the lithium batteries of used by every components, including the UAV, the camera, the remote controller control, the notebook computer equipped with the ground control station, and the terminal monitor, etc.r, is the primary indoor worktask.

3.1.2 Initial inspection of the UAV system initial inspection

The primary purpose of the <u>initial inspection of the UAV</u> system <u>initial inspection</u> is to avoid the failure of <u>s</u> in the the core components that cannot be restored quickly during the site investigation process. And <u>. In</u> addition, the task is to detect this inspection establishes whether the main components, e.g., the flight control system, propellers, GNSS and compass, data and image transmission module, aerial gimbal <u>systems</u> and camera, ground control station, terminal monitor, and remote controller, etc., are working properly.

3.1.3 Preliminary route planning

In addition, if the location of <u>a geo-hazard can be determined</u>, it is <u>very</u>-necessary to carry out <u>the indoor</u> preliminary route planning <u>indoors</u> based on <u>the publicly available</u> satellite maps, e.g., Google Earth, Bing Maps, AutoNavi Maps, etc., in <u>the</u> Mission Planner software <u>package</u>. Typically, the preliminary flight routes are simply designed as a regular grid pattern in plane <u>view</u> that <u>can</u>-covers the whole <u>range of area affected by</u> the geo-hazard (Fig. 4). In a word, preliminary route planning can help to save <u>the time of spent on on site</u> detailed route planning <u>on-site</u>. Of course, if the location cannot be <u>knowndetermined in advance</u>, the indoor preliminary route planning <u>has to be ignoredcannot be performed</u>, but it does not affect the subsequent on-site investigation <u>by usingusing the</u> UAV.

3.2 On-site investigation

Without a doubt, the on-site investigation is the most important step. We believe that there is an important principle to be followed;, that is, the ultimate goal is fast and efficient collection of high quality photosphotographs of the geo-hazard for-the subsequent processing and applyingprocessing and application, but it must be the premisethis collection must meet safety requirements. That is, of security, i.e., ensuring the safety of all on-site personnel, buildings and public facilities must be ensured from the threat of using UAV, as well as ensuring the ownand the safety of the UAV system must be taken into consideration.

3.2.1 Environmental assessment

Before commencing <u>a</u> formal on-site investigation, the <u>an</u> environmental assessment is required to determine the UAV-based investigation scheme. Usually, <u>an</u> assessment of the <u>surrounding</u> environment <u>surrounding the</u> <u>geo-hazard and its</u>, <u>the</u> <u>characteristics</u>, <u>as well as</u> <u>geo hazard characteristics</u>, <u>and</u> the implementation conditions are needed. The former includes <u>the local</u> topography, <u>local and</u> meteorological conditions, <u>the</u> <u>distribution of</u> aerial and ground facilities-<u>distribution</u>, visual range and intervisibility, flight range and other <u>judgmentsjudgements</u>. Assessment of the geo-hazard characteristics includes <u>the</u> topography of <u>disaster</u> <u>bodythe area affected by the hazard</u>; <u>its</u> length, width, area, plane shape, <u>and</u> elevation change; and the risk <u>it</u> <u>poses</u>. <u>And</u>. In addition, assessment of the implementation conditions includes the <u>number of</u> GNSS satellites <u>numbers</u>, <u>the-signal</u> strength and stability <u>of the signal</u>, the <u>stability of the</u> electronic compass-<u>stability</u>, the layout of ground control points (GCPs); and the location of <u>used for</u> take<u>off</u> and landing, <u>ete</u>.

3.2.2 Two UAV-based investigation scheme

Based on a number of practices previous investigations, two investigation schemes, including automatic and manual investigation, are <u>concluded described</u> as follows.

(1) Automatic scheme

Automatic scheme means thatUsing the automatic scheme, the UAV system is capable of autonomous flight in accordance with the routes established during detailed planning-routes, as well as automatic photo shootingimage collection, by usingusing the UAV's own GNSS, compass and barometer-data. This scheme requires no manual intervention under normal situationconditions, and is _ and is therefore safer and more reliable than the manual waysmethods. At the same time, the acquisition of high quality photosphotographs can be better guaranteed is more likely in this mode, and which can automatically meet some requirements of the following subsequent photogrammetric processing, e.g., the frontal and side overlap ratios between photosphotographs. In view of this, as long as there are more than five stable GNSS satellite signals in the geo-hazard area, the vast majority of emergency investigations should use the automatic investigation scheme. And _ In addition, it this scheme is divided into six steps (Fig. 3), which are described below.

• GCPs-Llayout and measurement of GCPs

To improve the accuracy of <u>the</u> photogrammetric processing results, <u>the setting establishment</u> and <u>measuring</u> <u>measurement of</u> GCPs in the field is essential (Niethammer et al., 2012; Lucieer et al., 2014; Niu et al., 2014). Usually, three to five GCPs should be <u>set established</u> in or around the geo-hazard (<u>s</u> e section 4.1 for details), then <u>t.</u> <u>Rhe</u> real-time kinematic (RTK) differential Global Positioning System (DGPS) techniques-with, which have the advantage of sincluding speed, fast, high efficiency and high precision, should be used to measure the 3D coordinates of all GCPs.

• Assembly of the UAV system assembly

<u>A m</u>Modular design is used in our customized UAV system. <u>The transport of d</u>Disassembled components can not only saves the space but also are, and the components are also protected from squeezing or cruashing during the transport process. Therefore, after arriving at the disaster site, the modules need to be quickly assembled to form the complete UAV system firstly.

• Full inspection of the UAV system full inspection

After the system <u>is-has been</u> assembled, all <u>of the</u> subsystems need to be fully checked <u>in the case of with the</u> power<u>turned</u> on. The main purpose is to eliminate hidden dangers on <u>the</u> ground, then and to ensure flight safety and normal photo shootingimage collection. This step is very important and cannot be ignored.

Detailed route planning

Automatic investigations must rely on detailed route planning. If the indoor preliminary route planning has been carried out indoors (section 3.1.3), the detailed route planning should be based on this preliminary planit₂, <u>O</u>otherwise, detailed route planning should be <u>done-carried out</u> at the site. The core <u>of this step</u> is the determination of the route types according to the <u>geo hazard</u>-characteristics <u>of the geo-hazard</u>, as well as the accurate <u>establishmentsetting</u> of the waypoint positions <u>and</u>, the actions of <u>the</u> UAV, <u>the</u> aerial <u>gimbalsgimbal</u> <u>system</u>, and <u>the</u> cameras. See section 4.2 for details.

Parameters settinSetting of parametersg

Parameter setting The setting of parameters is the last-and not negligible step before flight, and it cannot be neglected. And . Several some important control parameters must be set according to the actual scene, t. Typically, the recommended flight rate is 5 to 20-meter metres per second, and the camera shooting image collection rate should not be less than 1 picture per second. It is important to remember to import all of the parameters into the flight control system onboard the UAV from the ground control station, and then t. These parameters can then take effect.

• Autonomous flight and automatic photo shootingimage collection

A relatively flat and open place should be selected as <u>the</u> take<u>off</u>-off and landing site. After taking off, the UAV should follow the planned route for autonomous flight and automatic photo shootingimage collection under normal circumstances. During the flight, the status of <u>the</u> UAV and camera should be closely monitored (see section 4.3 for details). In the event of <u>an</u> abnormal state, the UAV should be switched to <u>the</u> manual mode for emergency treatment<u>to</u> permit emergency response. After the flight is completed, <u>the</u> UAV system and the <u>quality of the</u> photosphotographs-quality should be checked immediately.

(2) Manual scheme

Manual scheme means thaIn the manual scheme,^t the entire UAV flight and the process of photo shootingimage collection process have has to be manually controlled by usingusing the remote controller. This scheme requires no route planning, which can save the time of in site investigation, but. However, it requires a superb driving skillexcellent piloting skills, and the flight safety and photo-image quality are susceptiblefrequently degraded;, accordingly, the flight process should be monitored intensively. Therefore, the use of the manual scheme should try to avoid avoided, unless, although, in some places, such as mountainous areas or, canyons, etc., with , where the GNSS signals are unstable even no GNSS signals or even absent, or the scope of the geo-hazard is extremely limited, the manual scheme may be more suitable. And In addition, it this scheme is divided into 4 steps (Fig. 3), which are briefly described below.

• Layout and measurement of GCPs layout and measurement

If there is no GNSS signal, the captured <u>photosphotographs</u> <u>do-will</u> not <u>have be associated with GPSGNSS</u> <u>datalocations</u>. In this case, the <u>layout and measurement of</u> GCPs <u>layout and measurement</u> is indispensable to <u>in</u> supporting the <u>following subsequent</u> photogrammetric processing. The setting of GCPs is the same as in the automatic scheme (<u>sSee</u> section 4.1 for details). However, the <u>use of a</u> total station <u>measurement</u> is the <u>re</u>commended <u>technique tofor</u> measuringe the GCPs <u>under the situation of nowhere</u> GNSS <u>signals are absent</u>.

• Assembly of the UAV system UAV system assembly

Same as in automatic scheme This step is the same as in the automatic scheme.

• Full inspection of the UAV systemUAV system full inspection

This step is the same as in the automatic scheme. Same as in automatic scheme.

• Manual flight and photo shootingimage collection

Compared with the automatic scheme, the system status and the quality of the photographs should be paid more attention to monitormonitored more carefully during the flight process (see section 4.3 for details), as well as ______ the quality of the photos shooting. In addition, it is more important to check the system and the photosphotographs after the flight, especially for In particular, ______ the photos, the quality, scope and overlap rate of the photographs mustare most need to be evaluated.

3.3 On-site fast processing fast _and applyingprocessing and application

After on-site UAV-based investigation is completed, the <u>low-resolution photosphotographs</u> with low resolution can be <u>subjected to</u> fast photogrammetric processing <u>by usingusing a</u> portable computer on the <u>spotin the field</u>. In general, <u>in</u> only ten to several tens of minutes, some rough results with <u>aboutapproximately</u> meter <u>metre</u>-level accuracy can be generated, <u>e.g., including the</u> digital surface models (DSMs), the digital orthophotos, and three-dimensionals model, <u>etc.,ls.</u> this also means, <u>fF</u>ast processing focuses on the <u>speed</u> with which the results generating speedare generated, not their precision. Although the accuracy is relatively poor, these emergency investigation results that can be obtained quickly in the field still <u>can wellprovide</u> important support for the rapid on-site development of <u>the</u>-preliminary <u>emergency treatmentemergency</u> response plans for the geo-hazards, <u>and which. This high speed</u> is the most prominent advantage of <u>the</u> UAV-based method for emergency investigation of single geo-hazards, compared <u>to-with</u> the traditional methods. <u>ItThis processing is divided into 4 steps</u> (Fig. 3).

• Photos pretreatmentPreprocessing of photographs

Photos pretreatmentPreprocessing of photographs includes selecting photo-albums that covers the appropriate range<u>extent</u> of the geo-hazard, removing <u>poor-quality photosphotographs</u> with bad quality, (e.g., blurred image<u>s</u>), etc., and checking the GNSS information of associated with the photosphotographs. In general, the photosphotographs that taken <u>using thein</u> manual scheme require more time for pretreatment than those collected by usingusing the automatic scheme.

• Fast SfM processing and generation of coarse-precision results generating

Compared with traditional digital photogrammetry method, the SfM photogrammetric method is recommended to be used for<u>for use in</u> the processing of UAV-based photosphotographs, because it is simpler and more efficient (Snavely, 2008; Westoby et al., 2012; James et al., 2016), e.g., for example, the camera position <u>cancould</u> be automatically calculated <u>only by usingusing only</u> the GNSS data <u>oassociated withf</u> each photosphotograph, so-and information on the attitude of thethe attitude data of aircraft, such as <u>its</u> roll, pitch, and yaw, <u>etc.,obtained</u> from the inertial measurement unit (IMU) <u>were-are</u> no longer needed (Huang et al., 2017). The fast SfM photogrammetric processing consists of reducing the <u>resolution of the</u> original

photosphotographs, -resolution, making-performing the aerial triangulation and bundle adjustment, and generating the three-dimensional point clouds. Then, bUsingased on the dense point cloud, the coarse-precision results of for the geo-hazard, including the a DSM, the a digital orthophoto and the a three-dimensional model, etc. can be further generated. The Pix4Dmapper software package (Strecha et al., 2012; Mesas-Carrascosa et al., 2015) was is used to process the photosphotographs by SfM photogrammetric methods. For To enable fast processing, the lower image scale were is set firstly, first, then and only then is the GNSS data of information associated with every each photosphotograph was used during the aerial triangulation and bundle adjustment, i.e., That is, the coordinates of the GCPs arewere not introduced during this stage to improve the absolute spatial position accuracy. Because the M8N GPS module with a nominal positioning accuracy of 2.5 m was used in our aircraft, the fast SfM processing results were generally displayed coarse precision withand a meter metre-level error.

• Coarse quantification and display of the geo-hazard

Based on the coarse-precision results <u>for theof</u> geo-hazard, <u>by usingusing</u> geographic information system (GIS) or remote sensing (RS) software, the basic characteristics of the geo-hazard can be quantified, <u>e.g.</u>. <u>These</u> <u>characteristics include</u>, length, width, area, <u>and</u> elevation change, <u>ete</u>. In addition, the three-dimensional scene of the geo-hazard and its surroundings can be vividly displayed.

• Supporting the development of the preliminary emergency treatmentemergency response plan

The quantitative characteristics and the intuitive three-dimensional scene of <u>the geo-hazard</u> provide the basis and macro<u>-level</u> information for the rapid on-site development of <u>the a</u> preliminary <u>emergency</u> <u>treatmentemergency response</u> plan. As to the results with<u>The-meter metre</u>-level error <u>of the results</u>, <u>basically</u> <u>essentially does</u> not affect the <u>feasibility-appropriateness</u> of <u>such</u> the qualitative-<u>based</u> plans.

3.4 Indoor comprehensive processing and applyingprocessing and application

<u>The dDesign of the detailed emergency treatmentemergency response</u> plans is an important <u>basis forstep in</u> the implementation of disaster prevention and mitigation <u>efforts</u>, so the basic data such as terrain representations and, orthophotos that are used in the design must be accurate and clear. The purpose of comprehensive processing is to obtain such high quality results <u>data</u>. So, the <u>Therefore</u>, the original <u>photosphotographs would beare</u> reprocessed <u>by usingusing</u> high-performance desktop computers or graphic workstations indoors. The comprehensive processing generally takes one to several hours, but all <u>of the</u> results have <u>centimeter centimetre</u>-level accuracy <u>by introducingbecause</u> the GCPs are introduced. This also means, <u>Ceomprehensive processing focus on the results precision precision of the results</u>, not the speedrather than the speed with which they are generated. It is divided into 3 steps (Fig. 3).

• Comprehensive SfM processing and generation of high-precision results-generating

The comprehensive SfM processing workflow is the same as that used in the fast processing, -t. The differences include are that the original photosphotographs with high resolution are used, and the GCPs are introduced before generating the point clouds are generated. Accordingly, the products of the comprehensive SfM processing are the same as those of the fast processing, i.e., That is, the DSMs, the digital orthophotos and the three-dimensional model, etc., are produced, but they these products are high-precision and high-definition. Likewise, Pix4Dmapper software was is used for the comprehensive SfM processing the process of aerial triangulation and bundle adjustment process, the GCPs were are introduced to improve the absolute spatial position accuracy. Because the 3D coordinates of the GCPs were are measured by using the RTK-DGPS technique with and have a nominal positioning accuracy of 2 cm, the comprehensive SfM processing results were generally high-precision with a centimetercentimetre-level error.

• Accurate quantification and display of geo-hazards

Using the high-precision and high-definition results <u>for theof</u> geo-hazard, the basic characteristics of the geo-hazard can be accurately quantified. Accordingly, the three-dimensional scene of the geo-hazard and its surroundings can be more accurately and vividly displayed.

• Supporting the design of the detailed emergency treatmentemergency response plans Based on the accurate quantitative characteristics, with the high precision and high definition DSM, orthophoto, and three dimensional scene of geo hazard, <u>a</u> large_-scale topographic map and plan can be produced, and accurate design data can be obtained, <u>from the high-precision and high-definition DSM</u>, orthophoto, and three-dimensional scene of the geo-hazard. This information provides important which can well support for the design of the detailed emergency treatment emergency response plans.

4 Key techniques and methods

4.1 GCPs layout and measurement Layout and measurement of GCPs

Due to the limited precision of <u>the</u> GNSS<u>units</u> carried by UAVs<u>(</u>,-e.g., the M8N GPS module<u>which was</u> <u>onboard-used in</u> our aircraft has<u>d</u> a nominal positioning accuracy of 2.5 m), it is necessary to set and measure GCPs in the field at the same time with<u>before</u> the UAV flight and <u>photo shootingimage collection</u>, to improve the accuracy of <u>the</u> photogrammetric processing results. In addition, the <u>GCPs</u>-layout and measurement<u>of the</u> <u>GCPs</u> should be implemented quickly and efficiently, but the results should be high-precision.

Firstly, First, within the flight rangearea covered by the flight, some obvious ground feature points, e.g., house corners, road intersections, exposed bedrock, etc., can be directly used directly as GCPs, as long as they can be clearly identified both on the ground and on photosphotographs. Otherwise, several GCP markers that

can also be identified <u>ion photosphotographs</u> need to be placed on <u>the</u> ground. Usually, for the single geo-hazards, only three to five GCPs need <u>to</u> be <u>seestablished</u>^t in or around the geo-hazard, and the distribution should be as uniform as possible, e.g., <u>networks made up of constituting the equilateral triangles</u> or quadrilateral <u>networks areis</u> appropriate. It is worth noting that, the <u>layout of the</u> GCPs <u>layout</u>-should be completed before the UAV flight and <u>photo shootingimage collection</u>, to ensure that the <u>photosphotographs</u> contain all <u>of the</u> GCPs.

As for the GCPs measurementRegarding the measurement of the GCPs, the RTK-DGPS technique_ss with the which has advantages in that it is advantage of fast,— and has high efficiency and high precision, should be used preferentially as long as there are stable GNSS signals, regardless of whether in-the automatic or manual scheme_is_used, to measure the 3D coordinates of all of the GCPs. On the other hand, While in mountainous areas, canyons, etc., with unstable_or even no GNSS signals, the total station measurement techniques would be a good choice, and sometimes even sometimes the non-prism total station measurement techniques may be the only option (Huang et al., 2017). And _ Moreover, then, the measurement can be carried out at any time during the on-site investigation process, but if it is performed at the same time as the collection of photo shooting-images by the UAV, the GCPs markers should not be covered.

4.2 Route planning

According to the characteristics of the singleindividual geo-hazards, proper route type selection and accurate motion design are key to-in_ensuringe the safety and efficiency of UAV_-based emergency investigation. Based on a number of practiceexampless, three typical route types are summarized as follows (Fig.5Fig. 5).

(1) Planar grid pattern for slightly inclined slopes (Fig. 5a). This pattern is suitable for <u>geo-hazards that</u> <u>cover-the</u> large_-areas (typically<u>y</u>, e.g., several million square-<u>meter_metres-area</u>) geo hazard-on the gentle slopes (the slope is typically less than 40 °), such as gentlye_-inclined landslide_<u>bodies</u>. The primary purpose of the emergency investigation for this kind of disaster is to obtain <u>a</u> digital terrain <u>model</u> and <u>an</u> orthophoto. Therefore, the <u>planning routeplanned route</u> consists of a regular planar grid which can coverthat covers the whole planar area of the geo-hazard. And-. In addition, the camera lens always points vertically down to the ground (i.e., the lens orientation <u>keeps-is held at</u> 0 °). It <u>is's</u> worth noting that the flying height of the route should be dynamically adjusted to meet the elevation changes of the disaster and slope, <u>i. In</u> principle, it is advisable to keep the flying height of the UAV<u>maintain the UAV's flying height</u> at a constant distance (i.e., the *h* in Fig. 5a) from the ground, and practice shows that *h* in 50 m ~ 100 m is proper. Because IL over flights requires more routeslonger routes and longer increased flight time, and the flight safety will decreased creases; conversely, higher flights will reduce the resolution of photosphotographs and the processing results.

-(2) Vertical grid pattern for steep slopes (Fig. 5b): This pattern is suitable for the-geo-hazard-whichs that

are developed on the steep slopes (the slope is typically more greater than 60 °), such as dangerous rock masses on the cliffs. The eEmergency investigation fors of this kind of disaster should aim at obtaining the facade orthophotos of the facade and 3D models, rather than digital terrain and vertically downwards orthophotos. In this case, the planning routeplanned route consists of a regular vertical grid which can cover that covers the whole facade area of the geo-hazard. And I maddition, the camera lens always points horizontally to the disaster body (i.e., lens orientation keeps is held at 90 °). The plane positions of all of the horizontal routes can overlap, but they are at different altitudes. In addition, it is advisable to keep the UAV flying at a constant distance (i.e., the *d* in Fig. 5a) from the disaster body area affected by the disaster (practice shows that, <u>a *d* ofin</u> 40 m ~ 80 m is proper).

(3) Combined grid pattern for transitional terrain (Fig. 5c): This pattern is suitable for the geo-hazard whichs that are developed-located on the transitional terrain, i.e., including and include both gentle and steep slopes, such as the combination of a dangerous rock mass on the a cliff and the corresponding collapse accumulation mass on the gentle slope below. The main purposes of emergency investigation for this kind of disaster, is not only are to obtainget the a digital terrain model and an orthophoto, but also, as well as to get the a facade orthophoto and a 3D model. Therefore, the combined grid pattern of planning route-should be adopted for the planned route. That is, i.e., using a regular planar grid is used to cover the areas with gentle slopes, and a vertical grid is used to cover the areas with steep slopes. Accordingly, the camera lens points vertically down to the ground at the within the part with theof planar grid (i.e., the lens orientation keeps remains at 0°), and gradually lifts rises from the low route-position to the high route-position inat the part with theof vertical grid (i.e., the lens orientation changes from 0° to 90°). The flying height *h* and flying distance *d* in Fig.5Fig. 5c can be set as in the planar and vertical grid patterns, respectively.

In particular applications, the <u>planning routeplanned route</u> should be selected, <u>combined or flexible</u> <u>changed</u> from<u>the-above</u> three typical route types<u>listed above</u>; <u>alternatively</u>, <u>these routes can be changed</u> <u>flexibly or combined</u>, <u>based</u> on the spatial distribution characteristics of <u>the</u> specific geo-hazard<u>being</u> <u>investigated</u>. <u>But However</u>, in any case, the <u>planning routeplanned route</u> must meet the requirements that the obtained pictures' frontal overlap ratios <u>must beare</u> at least 75%, and <u>the</u> side overlap ratios <u>must beare</u> at least 60%. <u>Otherwise_Otherwise</u>, <u>it will seriously affect</u> the scope and accuracy of <u>the</u> post-processing results<u>will</u> <u>be seriously affected</u>.

In addition, the detailed route planning in the field should also account for the following points.note:

(1)Whether a preliminary route planning has been carried out or not, it is necessary to accurately calibrate the flight route and range based on the actual location-ofs from the UAV's own GNSS data.

⁽²⁾The route coverage should be larger than the actual <u>area affected by</u>distribution scope of the geo-hazard, to ensure that the <u>photosphotographs</u> of the disaster <u>have enough overlap ratesoverlap sufficiently</u>.

⁽³⁾The starting point and route should be <u>set-established</u> near the foot of the disaster body, and the <u>ending</u> <u>end of the</u> route and <u>the ending</u> point should be set near the top, <u>so as to keep the flying of</u>. <u>Thus, the altitude</u> <u>of the</u> UAV <u>will progresswhich is</u> from low to high altitude during the emergency investigation (Fig. 5), <u>because t.</u> The UAV-<u>will is</u> <u>be</u>-more stable during <u>the</u> upward flight, which is more conducive to tak<u>inge</u> clear <u>photosphotographs</u>.

④After carefully check<u>inged</u>, the <u>planning routeplanned route</u> must be imported into the flight control system of <u>the</u> UAV to take effect.

4.3 Flight and shootingimage collection process control

It is essential to carry out the pre-flight inspection after importing the accurate planning route planned route data and setting the flight parameters. And. This inspectionit mainly includes assessments of the battery capacity, GNSS signal, propeller, aerial gimbalsgimbal system, camera, data and image transmission modules, remote controller remote control and the ground control station, etc. The Then, using UAV can then be used to take photosphotographs for the emergency investigation of single geo-hazards can be put into effect, -. Deluring the flights, it is best to have three technical staff involved in the implementation to ensure the flight safety and photo quality. TheA primary operator, in the automatic scheme, is responsible for monitoring the flight and shooting image collection state through the ground control station during the normal autonomous $process_{\overline{t}}$ or switching to manually operated manual operation of the flight and photo shooting image collection in the event of an abnormal state; in . When the manual scheme is in use, the primary operator is always responsible for manually operating performing the taking off, flight and landing of the UAV using theby remote controllerremote control. The primary supervisor, is always responsible for monitoring the real-time flight images and <u>changes to the</u> important parameters (e.g., e.g., the height, the speed, the battery capacity, and the GNSS signal), etc.) changes through the ground terminal monitor, and. The primary supervisor immediately notifies the flying states to the primary operator of changes in the UAV's flying state, regardless of whether in-the automatic or manual scheme is in use. Another Meanwhile, the deputy operatorion and monitoring personnel, in the two schemes, is responsible for real-time tracking of the posture changes of the UAV and observing the surroundings on the forward route ahead of the UAV through the a telescope spotting scope, so as toto detect the aircraft anomalies or flight obstacles as early as possible, and promptly notifies the primary operator for emergency treatmentemergency response; in the manual scheme, this staff member is also responsible for manipulating the camera lens and shootingimage collection photosusing by another remote controllerremote control.

4.4 SfM photogrammetric processing

At present, the traditional digital photogrammetry and the newly developed SfM photogrammetric method,

which is based on computer vision algorithms, can both be used for the processing of UAV images, but the latter is more simplesimpler and more efficient. Because the In contrast to traditional photogrammetry methodsphotogrammetric methods, which require not onlya single stereo pair, but also of images in addition to __the 3D locations and pose orientations of the camerass, or the 3D locations of a series of GCPs, to be known, in contrast, the SfM technique only requires only multiple, overlapping photosphotographs as input (Westoby et al., 2012). The principles and workflow of SfM can be understood fromhave been described by Snavely (2008), Snavely et al. (2008), and Westoby et al. (2012). The Pix4Dmapper software package was-is used for the SfM photogrammetric processing, which can convert a large number of images into georeferenced 2D DSMs, digital orthophotos and 3D models (Huang et al., 2017).

When the SfM photogrammetric processing method is used to process the <u>photosphotographs</u> that are captured by <u>the-UAVs</u> during the emergency investigation of <u>the</u>-single geo-hazards, it is divided into <u>on site</u> fast processingon-site fast processing and indoor comprehensive processing (Fig.3Fig. 3). In addition, <u>the</u> results of the SfM photogrammetric processing should also be targeted for to the different types or characteristics of the geo-hazard; the results of SfM photogrammetric processing should also be targeted, e.g., for the type of <u>disasterevent shown</u> in Fig.ure 5a, the main results should be <u>the-a</u> digital terrain <u>model</u> and <u>an</u> orthophoto; for <u>the type of event shown in Fig.ure</u> 5b, the core results <u>can be will likely be a</u>-the facade orthophoto and <u>a</u> 3D model; for the type of event shown in Figure Fig. 3c, the results should include not only thea digital terrain and orthophoto, but also, as well as <u>the a</u> facade orthophoto and <u>a</u> 3D model.

5 Application examples

5.1 Emergency investigation of <u>a</u> slightly inclined landslide

At the beginning of<u>In early</u> September 2014, under the influence of continuous heavy rainfall, a whole <u>mass_movement happenedoccurred_to_under the influence of continuous heavy rainfall and resulted in a</u> landslide in the Three Gorges Reservoir AreaReservoir area, which. This landslide represented a seriously threatened threat to the safety of surrounding houses, the highway traffic and the villagers' life and property of the local residents (Fig.6Fig. 6a). By eEnvironmental assessment showed that, the landslide had a gentle slope and small size, but with a large potential threats rangeit threatened a large area. In addition, the environment was rather open and the GNSS signal was stable. Therefore, the automatic investigation scheme was adopted.

Firstly, First, the route planning was performed a route was planned according to the pattern of Fig. 5a based on the position of the disaster-mass movement and its influence areaextent. At the same time, 4 GCPs were selected around the landslide (Fig. 6a), the, and RTK-DGPS was used for the measurement oto measuref the 3D coordinates of these GCPs, the. The establishment and measurement of the GCPs layout and measurement took about <u>5approximately 50</u> minutes. Then, by autonomous flight and automatic photo shootingimage collection, 66 photosphotographs wereas captured, togethe. Includingr with route planning and UAV preparation, the entire working time by usingusing the UAV only tookrequired only about 3approximately 30 minutes. Finally, by-using SfM photogrammetric processing, an orthophoto with the a ground sampling distance (GSD) of 4.25 cm (Fig. 6a), and a 3D texture model (Fig. 6b) were generated. For simplicity, the 4 GCPs were used as checkpoints for accuracy assessment, and the root-mean square error (*RMSE*) values were calculated. Resulted. The results showed that, the fast SfM processing required onlyonly took 28 minutes, but the spatial errors were 3.118 m ($X_{error} = 2.327$ m, $Y_{error} = 2.862$ m, $Z_{error} = 4.165$ m). On the contrary, In contrast, the comprehensive processing took 65 minutes, while and the spatial errors were reduced to 0.038 m ($X_{error} = 0.031$ m, $Y_{error} = 0.038$ m, $Z_{error} = 0.045$ m); because the GCPs were introduced during the SfM photogrammetric processing. In short, all of the on-site work, including the UAV-based investigation, the GCPs layout and measurement of the GCPs, and the fast photogrammetric processing, spent-required a total of 78 minutes. And In addition, then, an orthophoto and a 3D texture model with an error of about 3approximately 3 m eould be waswere generated on-site, which. These products could give theprovided basis and macro-level information about the landslide and its surroundings.

Based on the results of the above emergency investigation, combined with ground investigations, the characteristics and effects of the landslide were quickly interpreted (Fig. 6c) and evaluated, t. These products revealed the formation of he conclusions included: obvious head- and two-side- scarps formed... Moreover, the drainage ditch which that was located within the landslide was completely destroyed, and, the collapse of the the front loose soil mass <u>collapsed and blocked the gully</u>, forminged a free face, and led and leading to the emergence of a large number of tension cracks, all indications. All of these indications suggested were that the landslide had an obvious and whole sliding represented an obvious mass movement, and the that the landslide had been was in an unstable state. In addition, the landslide had been was a direct threat to the houses which were located outside adjacent to the right boundary of the landslide. Moreover, as the loose soil mass in at the front of the landslide continuously accumulated in the gully, the a debris flow disaster would be easily triggered by heavy rainfall, then seriously threatened the house and highway. Based on the above conclusions above, the following emergency treatmentemergency response measures were put forward:, including using professional monitoring techniques-including such as GNSS, extensometers, rain gauges, and ground-based inspection to continuously track the process of deformation and inductioner of the landslide; building establishing the a citizen science-based monitoring and prevention system operated by mass people, which means to arrange encourage the surrounding masses population to observe the deformation signs of watch for signs of deformation within the landslide body, e.g., the cracks, soil collapses, and houses cracks in houses, etc., especially in the event of in association with heavy or continuous rainfall; and developing the an emergency evacuation program-programme to ensure the orderly avoidance and reduction of losses before the

additional movementlandslide occurs.

This application shows that the results of UAV-based emergency investigation can provide a more macrolarge-scale perspective for use in the comprehensive evaluation of the characteristics of single geo-hazard-characteristicss and their potential impacts, which can make up for the defect that of the ground-based investigations, which focus more on partial parts of geo-hazards but ignores the whole.

5.2 Emergency investigation of a dangerous rock mass on a steep cliff

In September 2015, a dangerous rock mass was<u>found noted</u> above a provincial highway on the left bank of <u>the</u> Yangtze River in the Three Gorges area, <u>which</u>. This rock mass presented was a serious threat to the safety of the highway traffic and <u>the shipping along the</u> Yangtze River<u>shipping</u> (Fig. 7a). Only one side of the dangerous rock mass<u>was</u> attached to a <u>vertical</u> steep cliff (Fig. 7b), and <u>it was located</u> at least 100 m away from the lower highway, which <u>led-caused the site</u> to the <u>be</u> inaccessible <u>of to</u> human beings. Therefore, the use of UAVs for emergency investigation would be the prioritywas prioritized. Thanks to the<u>Because a</u> stable GNSS signal<u>existed at the study site</u>, the automatic investigation scheme was adopted.

Fig.7<u>Fig.7</u>. The results of <u>a</u> UAV-based emergency investigation for a dangerous rock mass on steep cliff. (a) Overview photo; (b) right side photo of the dangerous rock mass; (c) 3D texture model of the whole scene; (d) 3D texture model of the dangerous rock mass.

Firstly, First, the route planning was performed according to the pattern of shown in Fig. 5b. At the same time, 3 GCPs were arranged established along the highway and measured with the RTK-DGPS technique (Fig. 7c). And. In addition, it should be noted that, because the three GCPs were nearly located in nearly a straight line, for-given the limited environment, which couldn'tould not meet the processing requirements, another GCP was established which located on the top of a hill behind the cliff was introduced (Fig. 7c), and its coordinates were measured according to the pre-existing topographic map. The layout and measurement of the Three-3 GCPs-layout and measurement just took only about 2 approximately 25 minutes. Then, t The camera lens direction was then set at about 4approximately 45 ° to the steep cliff,... Throughby autonomous flight and automatic photo shooting image collection, 104 photosphotographs were captured, t. Together with route planning and UAV preparation, the entire working time by usingusing the UAV took 35 minutes. Finally, in order to obtain high-precision results for the design of the detailed emergency treatmentemergency response plan, the comprehensive SfM processing was directly used directly, which took about <u>+approximately 100 minutes</u>, and the a DSM and a 3D texture model (Fig.7Fig. 7c, d) with the a GSD of 5.47 cm were generated. Similarly, the 4 GCPs were used as checkpoints for accuracy assessment. Resultst. The <u>results</u> showed that, the spatial errors were 0.237 m ($X_{error} = 0.218$ m, $Y_{error} = 0.183$ m, and $Z_{error} = 0.310$ m). i.e., the accuracy wasa sub-meter-level-accuracy, the main reason was that primarily because the GCP on the

<u>hill</u>top of a hill-could not be accurately measured. Even so, the sub-meter level accuracy <u>had beenwas</u> able to meet the requirements of quantifying the size and generating large-scale topographic maps of the dangerous rock mass for <u>use in-its the design of</u> emergency control <u>designmeasures</u>, and would not have a substantial impact on the conclusions. Moreover, all <u>of the investigation investigative</u> work, <u>including extending</u> from <u>the</u> on-site UAV-based investigation to <u>the generation of</u> high-precision results, <u>generation</u>, just tookrequired only about <u>2</u>approximately <u>2</u> hours and 15 minutes, which was impossible for. Such a short time period could not be achieved through-manual ground-based investigation, especially for an isolated dangerous rock mass on a cliff.

In view of the DSM and the 3D model, results, the results showed:— that the dangerous rock mass wasis 24 m high, 12 m wide, and 12 m thick and had a volume of 3456 m³ in volume, and the its exact distance of which from the highway is was 110 m; t. The lower and upper part of the rock mass had fallen, so it had lost the support completely was completely unsupported. The left and right boundary cracks had beenwere completely fully connected. All of these signs indicated that the dangerous rock mass was in an unstable state. And . Therefore then, above the relevant emergency investigation results described above had been were submitted to the technical department for to support the design of a detailed emergency treatment emergency response plan-design.

In this case, the UAV worked asprovided the only alternative reasonable means of performing an emergency investigation for of this dangerous rock mass on a steep cliff, and the DSM and the 3D texture model provided both the whole full and partial the part information which that could well supported the design of the emergency treatment emergency response design.

5.3 Emergency investigation of a combined slope with on transitional terrain

In January 2016, some rock-falls occurred on an artificial high and steep artificial slope that had been controlled in the Three Gorges Reservoir AreaReservoir area, which was-presented a serious threat to the safety of the traffic on a lower-provincial highway traffic and the shipping on the Yangtze River shipping below (Fig. 8a). The slope is was located on the left bank of a tributary estuary of the Yangtze River, and three sides of it areand it was surrounded by the rivers on three sides. Geomorphologically, the slope above the highway is made up-consisted of five steep cliffs (Fig. 8b), and thewhereas the part portion of the slope below the highway has a gentle slope but is surrounded by the rivers. It could been seen that it was almost impossible to implement the a ground-based investigation to find-identify all of the potential geo-hazards, so the UAV was used and the automatic investigation scheme was adopted.

Firstly, First, the route planning was performed according tobased on the pattern of shown in Fig. 5c. At the same time, four GCPs were arranged along the winding highway and measured with the RTK-DGPS technique (Fig. 8a), the The GCPs layout and measurement of the GCPs took required about 4approximately

<u>40</u> minutes. Then, by <u>Using</u> autonomous flight and automatic photo shootingimage collection, 75 photosphotographs was were then captured, t. Together with route planning and UAV preparation, the entire working time by usingusing the UAV only took only about 5approximately 50 minutes...s. Finally, by using SfM photogrammetric processing, the <u>an</u> orthophoto, <u>a</u> DSM and <u>a</u> 3D texture model with <u>athe</u> GSD of 5.02 cm were generated. The <u>4</u> GCPs were used as checkpoints for accuracy assessment. Resultst. The results showed that, the fast SfM processing took 40 minutes <u>and</u>, the spatial errors were 3.686 m ($X_{error} = 3.173$ m, $Y_{error} = 3.401$ m, $Z_{error} = 4.485$ m). On the contrary, In contrast, the comprehensive processing took 95 minutes, while and the spatial errors were reduced to 0.061 m ($X_{error} = 0.053$ m, $Y_{error} = 0.060$ m, $Z_{error} = 0.069$ m) by introducing the GCPs. In short, all <u>of the</u> on-site work spent-required a total of 90 minutes.

-Based on all <u>of the</u> results, mainly the high-resolution 3D texture model, <u>three</u> potential geo-hazards were identified on thise whole slope (Fig. 8): <u>a</u>. Above the highway, <u>within the 4th section of the cliff</u> and the left part of the 5th section of <u>the</u> cliffs, several isolated dangerous dangerous isolated rock masses had formed because of the continuous development of the tension cracks; <u>i</u>. In the upper part of <u>the</u> 2nd section <u>of the cliff</u> and the left part of the 3rd section of <u>the</u> cliffs, a large number of broken rock masses <u>that were easily</u> droppedcould fall easily for thehad developed due to the continuous development of two sets of tension cracks; <u>Bb</u>elow the highway, there was a tension crack <u>existed</u> on the right side of the slope. In addition, the detailed characteristics of <u>every-each</u> section of <u>the</u> cliffs could be accurately measured (Fig. 8b). The relevant results from the emergency investigation results had beenwere submitted to the relevant departments for risk assessment and <u>the</u> design of control measures.

In this case, the flexibility and wide applicability of the UAV had beenwere fully provend, which. <u>UAV-based methods can-could</u> provide not only the high-definition visual 3D scenes and models, but also, as well as the accurate quantitative basic terrain data, to well supporting thus providing strong support in the evaluation or the design of single geo-hazards or the design of relevant control measures.

According to <u>above_the_application examples_described above</u>, it <u>could_can_be</u> seen that, the on site UAV-based investigations <u>could_can_be</u> completed <u>on-site</u> within 1 hour, <u>and i. If</u> needed, coarse-precision results with <u>meter_metre</u>-level error <u>could_can_also</u> be generated on-site <u>by_using</u> fast SfM processing, usually within 1 hour. That is to say, That is, <u>by usingusing UAV_based</u> emergency investigation methods and SfM photogrammetric processing technology, <u>the-macro-scale</u> and three-dimensional information <u>of on_a</u> a single geo-hazard-<u>could_can</u> be obtained within 2 hours, which <u>could_can</u> support the rapid on-site development of the preliminary <u>emergency_treatmentemergency_response</u> plans, <u>and_it_was. This rapidity is</u> the most prominent advantage of this UAV_based method_in comparison with, <u>compared to</u> the traditional methods. Moreover, by introducing <u>the_GCPs</u> into the comprehensive SfM processing, <u>the-high-precision results</u> with <u>centimeter_centimetre</u>-level error <u>could_can_also</u> be obtained, <u>which. These high-precision results</u> could_can

support the design of the detailed emergency treatmentemergency response plans, and the processing time required was generally tooktypically several hours.

6 Conclusions

This paper comprehensively expounds-describes the method of using UAVs for emergency investigation of single geo-hazards., \underline{T} the main conclusions are summarized as follows below.

(1) According to the requirements of emergency investigation, combined with the comprehensive consideration of applicability, security, stability and economy, the UAV system is used is custom-builteustomized, and i. Its core functions and modules include: a four-axis and eight-rotor carbon fiber fibre airframe; a set of stable and, reliable, and open source and matched __flight control hardware system that is compatible and with the ground control station software, which can well-provides comprehensive support for route planning, autonomous flight and automatic photo shootingimage collection; an ordinary digital camera with a relatively high number ofer pixels or a single-lens reflex (SLR) camera, which is is satisfied satisfactory for the shootingimage collection (, and a three-axis brushless aerial gimbal system is used to ensure the clear shootingcollection of clear images and the flexible adjustment of the camera lens direction); and a ground surveillance subsystem, which is used to monitor the flight of the UAV system and the collection of images shootingby this system process of UAV system.

(2) The implementation-process of using the UAV to perform emergency investigations ofe the single geo-hazards can be divided into four steps, i.e., indoor preparation, site investigation, site fast processing on-site fast _and applyingprocessing and application, and indoor comprehensive processing and applyingprocessing anot applyingprocessing and applyingprocesing and applyingprocessin

(3) Mastering the key techniques and methods contribute to <u>a</u>-better use of UAV<u>s</u> for emergency investigation of single geo-hazard<u>s</u>. The following points are worth noting<u>.</u>: Before the on-site flight and <u>shootingimage collection</u>, three to five GCPs should be <u>set-established</u> in or around the geo-hazard, and their

distribution should be as uniform as possible, e.g., i.e., constituting the they should constitute equilateral triangles or a quadrilateral network. The RTK-DGPS techniques should be used preferentially as long as there are stable GNSS signals, and the t, whereas total station measurement techniques would be a good choice in the areas with unstable or even no GNSS signals. Proper route planning is key to ensure the safety and efficiency of UAV-based emergency investigations. Three typical route types are recommended, The planar grid pattern is suitable for the large area geo-hazards that cover large areas on the gentle slopes, the vertical grid pattern is suitable for the geo-hazards that are which developed on the steep slopes, and the combined grid pattern is suitable for the geo-hazard-whichs that occur-developed on the transitional terraini.e., which, including includes both gentle and steep slopes. In particular applications, the planning planned route should be selected, combined or flexiblye changed from the above three typical route types mentioned above, based on the spatial distribution characteristics of specific individual geo-hazards. But-However, in any case, the planning route planned route must meet the requirements ensure that the obtained pictures' frontal overlap ratios of the obtained pictures are at least 75%, and the side overlap ratios are should be at least 60%. It is essential to carry out a pre-flight inspection after importing the accurate planning routeplanned route data and setting the flight parameters, and s. Moreover, during the flights, it is best to have three technical staff members involved in the implementation hand to ensure the flight safety and photo-image quality. When the SfM photogrammetric processing method is used, the results should also be targeted according to the different types or characteristics of the different geo-hazards.

<u>A number of The</u> successful <u>practices examples described in this paper</u> demonstrate that using UAVs for emergency investigation of single geo-hazards, can <u>not only</u> greatly reduce the time, <u>strength-intensity</u> and risks <u>of associated with the</u> on-site work, <u>but also and</u> provide <u>valuable</u> high-accuracy <u>and</u>, high-definition <u>valuable</u> information to <u>well</u>that supports the development of <u>emergency treatment</u>emergency responses.

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