

1 **New approaches to modelling of local seismic amplification susceptibility using direct**
2 **characteristics of influencing criteria: case study of Bam City, Iran**

3
4 Reza Hassanzadeh¹, Mehdi Honarmand², Mahdieh Hossienjani Zadeh³, Farzin Naseri⁴

5
6 Department of Ecology, Institute of Science and High Technology and Environmental
7 Sciences, Graduate University of Advanced Technology, Kerman, 7631133131, Iran.

8 *1. r.hassanzdeh@kgut.ac.ir, 2. mehonarmand167@yahoo.com, 3. mh.hosseinjani@gmail.com,*
9 *4. fnnaseri@yahoo.com*

10
11 Correspondence to: Reza Hassanzadeh (*r.hassanzdeh@kgut.ac.ir*).

12
13 **Abstract**

14 This paper proposes a new model in evaluating local seismic amplification susceptibility by considering
15 direct characteristics of influencing criteria and it deals with uncertainty of modelling through production
16 of fuzzy membership functions for each criterion. For this purpose, relevant criteria were identified by
17 reviewing previous literature. These criteria include alluvial thickness, stiffness and strength of alluvial
18 deposits, type of soil and particle size distribution of alluvial deposits, depth of groundwater, type of rock,
19 topographic irregularities, slope and type of bedrock. Two methods, Analytic Hierarchy Process (AHP)
20 and Fuzzy Logic (FL), were applied in order to define priority rank of each criterion and sub-criteria of
21 each criterion through interview data of 10 experts. The criteria and sub-criteria were combined using
22 Weighted Linear Combination method in GIS to develop a model for assessing local seismic amplification
23 susceptibility in the study area of Bam city, Iran. The model's output demonstrated high to very high
24 seismic amplification levels in central, eastern, north-eastern and northern parts of the study area. The
25 validation results based on overall accuracy and Kappa statistics showed 73.6% accuracy, 0.74 Kappa
26 indicating a good fit to the model's output. This model assists planners and decision makers to produce
27 local seismic amplification susceptibility to be incorporated in designing new development plans of urban
28 and rural areas, and to facilitate making informed decision regarding safety measures of existing buildings
29 and infrastructures.

30 **Keywords:** *Seismic Amplification Susceptibility, Site Effects, Spatial Modelling, Analytic Hierarchy*
31 *Process, Fuzzy Logic and GIS.*

۳۴

۳۵ **1. Introduction**

۳۶ This paper explores direct characteristics of influencing criteria in evaluating susceptibility of local
۳۷ seismic amplification and deals with uncertainty of modelling through production of fuzzy membership
۳۸ functions of each criterion. MERM microzonation manual (2003) sets different criteria effecting
۳۹ the amplitude and duration of ground shaking at a specific site. These include “the magnitude of
۴۰ the earthquake, focal point and depth of the earthquake, directivity of the energy released,
۴۱ distance of rupture from the site, geological condition from the site to the location of the
۴۲ earthquake, local geological settings and topographical condition of the site” (SM Working
۴۳ Group, 2015; Boore, 2003; Hassanzadeh et al., 2013). It has long been known that local
۴۴ conditions of foundation soils have a significant impact on the effects of an earthquake on
۴۵ building destruction level, as it was demonstrated in previous earthquakes such as Mexico City,
۴۶ 1985 (Beck and Hall, 1986), Kobe, 1995 (Wald, 1996), Izmit, 1999 (Tang, 2000), Umbria-
۴۷ Marche earthquake, 1997 (Moro et al., 2007) and Bam earthquake, 2003 (Ramazi and Jigheh,
۴۸ 2006) and buildings that were located on unconsolidated sediments had greater destruction levels
۴۹ (Ramazi and Jigheh, 2006).

۵۰ The aim of seismic microzonation studies is to produce ground-shaking map that can
۵۱ communicate efficient data to planners and policy makers in a geographic area to make informed
۵۲ decision regarding development policies in urban areas. Therefore, this community requires
۵۳ accurate information for developing mitigation plans and strategies. In the spite of this, there are
۵۴ uncertainties in determining local seismic amplification at a site, as this can be influenced by
۵۵ complex factors such as the earthquake source (epicenter of the earthquake), wave propagation
۵۶ and site condition. Uncertainty in these criteria results in uncertain ground-motion estimate from
۵۷ earthquakes (Wang et al., 2017; Wang et al., 2016; Petersen et al., 2016). There are different
۵۸ methods that have been used for assessing ground-motion hazards such as Probabilistic Seismic
۵۹ Hazard Analysis (PSHA), Deterministic Seismic Hazard Analysis (DSHA) and Scenario-based
۶۰ Seismic Hazard Analysis (SSHA). Probabilistic Seismic Hazard Analysis (PSHA) method
۶۱ (Cornell, 1968; Atkinson et al., 2015; Petersen et al., 2016) depends on “the length of the causative
۶۲ faults and depth of the earthquake”, which are generally unknown thus causing uncertainty in
۶۳ assessing ground-motion of earthquakes (Wang et al., 2017). In DSHA method (Campbell,
۶۴ 2003; Atkinson and Boore, 2006) lack of relevant ground-motion attenuation relationship for

70 specific geographic areas can cause uncertainty in assessing ground motions of an earthquake
71 (Wang et al., 2017). SSHA (Panza et al., 2012) applies ground-motion simulations of a scenario
72 earthquake using specified source, path and site parameters, however the parameters needs to be
73 defined in more details. By conducting many simulations, earthquake variability of different
74 sources, ground-motion propagation characteristics, and local site effects can be considered.
75 Therefore, uncertainties using SSHA are quantified explicitly (Wang et al., 2017), although this
76 method is still under development. Furthermore, Aucelli et al. (2018) proposed a method for
77 producing susceptibility index to local seismic amplification in Isernia Province, Italy based on
78 geological and geomorphological properties of studied areas. This research mostly followed an
79 evidence based approach to estimate susceptibility level of local seismic amplification in the area,
80 although they have not considered the use of multi-criteria decision-making methods (MCDM)in
81 their study. Several MCDM methods have been developed to deal with ranking and weighting of
82 criteria, such as Regime (Hinlopen et al., 1983), ELECTRE family (Figueira et al., 2005),
83 Analytical Hierarchy Process (AHP) (Saaty, 1980), and Multiple Attribute Utility approach
84 (MAUT) (Keeney and Raiffa, 1993). In this research, Analytical Hierarchal Process (AHP)
85 (Saaty, 1980) has been utilize as it is one of the most useful method in calculating criteria's
86 weights, and AHP in combination with GIS were applied to produce seismic microzonation map
87 of Bangalore (Sitharam and Anbazhagan, 2008) (2008), Dehli (Mohanty et al., 2007), Haldia,
88 Bengal Basin (India) (Mohanty and Walling, 2008), Erbaa (Turkey) (Akin et al., 2013) and Al-
89 Madinah (Moustafa et al., 2016).). According to these methods experts evaluate and choose
90 among qualitative and quantitative criteria. Since experts' judgments can be subjective and
91 imprecise, uncertainty also exists in this analysis. Such uncertainties can be dealt with based on
92 fuzzy logic principles (Zadeh, 1965) and inference systems (Klir, 2004;Zadeh, 1975).
93 Fuzzy Logic method was used for evaluation of earthquake damage to buildings (Sen, 2010), and
94 evaluation of seismic microzonation (Teramo et al., 2005; Nath and Thingbaijam, 2009; Boostan
95 et al., 2015). Although, there were a number of publications on evaluating the local seismic
96 amplification in the literature, but few researchers have considered the use of Fuzzy Logic
97 approach and direct characteristics of each criteria in evalaution of local seismic amplification
98 susceptibility. These are motivations behid conducting this research.
99 The purpose of this paper is to develop a model for evaluation of local seismic amplification
100 based on direct characteristics of relevant criteria. Firstly, selected criteria were weighted using

96 AHP method by interviewing 10 experts, next criteria were converted into fuzzy sets, then fuzzy
97 membership functions (MFs) were produced, finally WLC method and fuzzy inference rules
98 were applied to produce a level - 1 susceptibility map of local seismic amplification for a study
99 area.

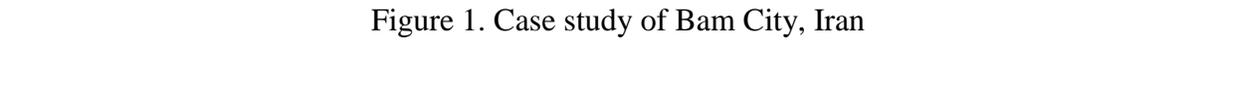
100

101 **2. Material and methods**

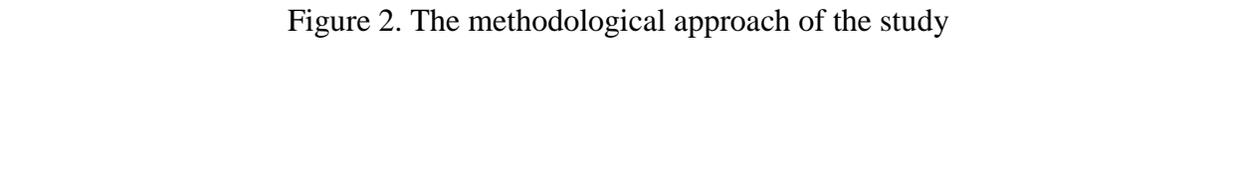
102 This study investigates the importance of influencing factors on susceptibility of local seismic
103 amplification. Firstly, these criteria have been derived by a critical analysis of previous literature.
104 Secondly, Analytic Hierarchy Process (AHP) and Fuzzy Logic (FL) Methods have been applied
105 to deal with weighting and fuzziness of criteria due to associated uncertainties in decision-making
106 process on preparing susceptibility map of local seismic amplification through interviewing experts.
107 Next, criteria and sub criteria have been combined based on WLC method to develop a model.
108 Finally, the model has been validated using Overall Accuracy (OA) and Kappa statistics methods
109 by comparing to the measured. This study has been conducted on the case study of Bam City,
110 southeast of Iran (Figure 1), and it followed four steps of investigations shown in figure 2.

111

112

113  Figure 1. Case study of Bam City, Iran

114

115  Figure 2. The methodological approach of the study

116

117

118

119 **2.1. Identification, Weighting and Fuzzification of Criteria**

120 The susceptibility level of local seismic amplification can be influenced by several criteria. These
121 criteria were identified by reviewing literature and interviewing experts through data gathering
122 process. Then, identified and selected criteria were weighted and fuzzified using AHP and FL
123 methods, as explained in the following sub-sections.

124

125

126 **2.2.1. Analytical methods**

127 **2.2.1. Analytic Hierarchy Process (AHP) method**

128 AHP is one of the most commonly used multi-criteria decision making (MCDM) tools, and
129 allows the consideration of both objective and subjective factors in ranking alternatives in a
130 hierarchical decision model (Saaty, 1980; Saaty, 1990). This method is applied to convert the
131 experts' view on the importance of each criterion and sub-criterion to a numerical value by
132 comparing each other, one pair at a time (pair-wise comparison) (Saaty, 1980).

133
134 AHP matrix (A) is developed from the pair-wise comparison of the relative importance of
135 criterion A_i to criterion A_j (α_{ij} , represents a quantified judgment on a pair of criteria) (Figure 3).
136 The values assigned to α_{ij} according to the Saaty's scale (1980) range from 1 to 9 or their
137 reciprocals. In order to calculate the priority ranking of each criterion (weight), Saaty (1990)
138 suggested the mathematical computation of eigenvector based on Eq. 1 & 2.

139
140
141 Figure 3.AHP matrix (A)
142
143

$$\lambda_{max} = \sum_{j=1}^n a_{ij} \frac{w_j}{w_i} \tag{Eq. 1}$$

144 Where: λ_{max} = the largest eigenvalue; α_{ij} = judgment; W_i & W_j = numerical weights for judgment
145 α_{ij} .

$$(A - \lambda_{max} I)X = 0 \tag{Eq. 2}$$

146
147 Where: A= AHP matrix; λ_{max} = the largest eigenvalue; I= Unique matrix; X= eigenvector.

148
149 In addition, the assignment of weights to each criterion relates to the process of the experts'
150 logical and analytical thinking, which is tested for each matrix with Consistency Ratio (CR)
151 statistics. If this statistics is less than 0.1 (CR < 0.1), the experts' answers are logical. Following
152 the testing for consistency, the weights are aggregated to determine ranking of decision
153 alternatives (the weights) for each criteria. Therefore, in this research, AHP method is applied to

104 calculate the degree of importance of each criterion influencing on the susceptibility level of local
105 seismic amplification in a region,

106

107

108

109 **2.2.2. Fuzzy Logic (FL) method**

110 Fuzzy logic is a method of “approximating modes of reasoning” (Novák et al., 2012), and it is a
111 mathematical tool that deals with uncertainty in a different way that can relate independent
112 variables to dependent variables. Zadeh (1965) introduced Fuzzy set theory indicating that the
113 boundary is not precise and the gradual change is expressed by a membership function, and it
114 changes from non-membership to membership in a fuzzy set (Eq. 3). The characteristic function
115 value range between 0 and 1. Each membership function is represented by a curve that indicates
116 the assignment of a membership degree in a fuzzy set to each value of a variable. Curves of the
117 membership functions can be linear, triangles, trapezoids, bell-shaped, or have more complicated
118 shapes (Figure 4) depending on the purpose of the subject (Demicco and Klir, 2003).

119

$$120 A_a = \{x \in X \mid \mu_A(x) \geq a\} \quad (Eq. 3)$$

121 Where A_a is called the a-cut or a-level set of A, and $\mu_A(x)$ represents membership degree of the
122 element x.

123

124 Figure 4. Fuzzy membership functions (After Mancini, 2012)

125

126 Fuzzy systems are mainly based on expert knowledge to formalize reasoning in natural language
127 mostly using sets of fuzzy inference rules or “if-then” rules (Eq. 4).

128

$$129 \text{If } x \text{ is } A \text{ then } y \text{ is } B \quad (Eq. 4)$$

130

131 As membership functions curve can easily be changed by small increments based on expert
132 knowledge, therefore, fuzzy logic can characterize and model geologic systems in an efficient
133 way (Klir, 2004;Demicco and Klir, 2003). Therefore, in this research using Fuzzy set, the
134 uncertainties in producing microzonation map of ground shaking can be managed by defining

180 fuzzy membership functions for each criterion. This happens by assigning meaningful values (0
186 to 1) to each individual (sub criteria) of each criterion. For the purpose of defuzzification, largest
187 of maximum method was applied. Based on this method the largest value of the fuzzy subset was
188 the output value (Mancini et al., 2012).

189

190 **2.3. Data gathering**

191 In order to identify influencing criteria in local seismic amplification the required data were
192 collected through a literature review and semi-structured interviews with 10 experts who were
193 involved in the geology, seismology, tectonic, structural engineering, and geomorphology fields.
194 They were asked about the criteria that can influence local seismic amplification, and then these
195 data were analyzed using AHP and FL methods as explained in the following:

196

197 **2.3.1. Determining the relevant criteria by reviewing literature**

198 The potential criteria influencing local seismic amplification susceptibility were determined
199 through a critical review of literature. By reviewing documents on earthquake engineering,
200 seismology, geology, tectonic and structural engineering, geomorphology and seismic
201 microzonation reports and guidelines (Fäh et al., 1997;Ding et al., 2004;Molina et al.,
202 2010;Mundepi et al., 2010;Marulanda et al., 2012;Hassanzadeh et al., 2013;Federal Emergency
203 Management Agency (FEMA), 2014;Fraume et al., 2014;Grelle et al., 2016;Grelle et al., 2014;SM
204 Working Group, 2015;Rehman et al., 2016;Nwe and Tun, 2016;Global Earthquake Model (GEM),
205 2017;CAPRA, 2017;Michel et al., 2017;Trifunac, 2016;Hassanzadeh and Nedovic-Budic, 2016;
206 Aucelli et al., 2018), and in total 14 influencing criteria were identified (Table 1).

207

208 Table 1.Relevant criteria that influence on local seismic amplification susceptibility

209

210

211 **2.3.2. Experts' Knowledge data**

212 *a) Interviewing disaster managers (semi-structured interviews) to determine the*
213 *important criteria*

214 The most important criteria were determined by conducting a semi-structured interview with 10
215 experts using the snowball or chain-referral sampling method (Biernacki and Waldorf, 1981). In
216 this study, all 10 interviewees were highly experienced and had been involved in seismic
217 microzonation studies. The average age of the sampled individuals was 43 years, and all of them
218 had a postgraduate degree.

219 A list of criteria that were identified by reviewing previous studies were given to the experts and
220 they were requested to add other criteria if they thought they were applicable. They were asked
221 to rank each criterion using a five-point Likert Scale (Likert, 1932), so respondents could choose
222 the option that best reflected their opinion on each criterion. When surveying many people on
223 the same criterion, the five codes could be summed up, averaged or calculate the mode, indicating
224 overall positive or negative orientation towards that criterion. This was the basis from which this
225 method was used to identify the degree of importance for each criterion in local seismic
226 amplification in a region. Therefore, in order to elicit the most relevant criteria, the significance
227 of specific factors were measured on a five-point Likert Scale where 1 represents ‘not important
228 at all’, 3 ‘of little importance’, 5 ‘of average Importance’, 7 ‘very important’, and 9 ‘extremely
229 important’ (Likert, 1932;Jamieson, 2004). The collected data were analysed and criteria with
230 mean ratings above ‘5’ (‘of average important’) were selected (Table 2). These have been then
231 considered for further analysis using the Analytic Hierarchy Process (AHP) method.

232

233

Table 2. The average importance criteria based on 5-point Likert Scale

234 ***b) Interviewing disaster managers (structured interviews) in order to collect data for***
235 ***computing the relative importance (weights) of the criteria***

236 A questionnaire based on AHP matrix (A) was developed for a pair-wise comparison of the
237 relative importance of the criteria for calculating the weights (priority ranking) of each criterion.
238 As AHP is a subjective method therefore a large sample size is not needed (Cheng and Li,
239 2002;Lam and Zhao, 1998). For this reason, data were collected by interviewing 10 experts (the
240 same experts who were interviewed in the first round) based on the structured questionnaire
241 (closed-ended questions). They were asked to compare the relative importance of each criterion
242 against all others, based on Saaty’s scale by verbal preferences (Saaty, 1980). A pair-wise

۲۴۳ comparison that was carried out with an expert is shown in Table 3. These data are used by the
۲۴۴ AHP method to compute the weight of each criterion as explain previously.

۲۴۵
۲۴۶ Table 3.The results of pair-wise comparisons of the selected criteria with each other based on
۲۴۷ the AHP matrix
۲۴۸

۲۴۹ ***c) Determining fuzzy set and fuzzification of thresholds of sub-criteria for each criterion***

۲۵۰ In the next step, since each criterion and its sub-criteria has different effect on local seismic
۲۵۱ amplification susceptibility in a region, fuzzy membership functions (MFs) for sub criteria of
۲۵۲ each criterion are defined. As, designed parameters of each membership function depends on
۲۵۳ experts knowledge, then number of memberships, the shape, the positioning, and the overlay area
۲۵۴ of memberships of each MFs for each criterion would be different. To conduct this analysis, 10
۲۵۵ experts were interviewed regarding membership degree of sub criteria of each criterion, and
۲۵۶ mode of each sub criteria was calculated and MFs for each criterion was depicted as descried in
۲۵۷ the following:

۲۵۸ - Thickness of soil and sediments: an effective factor in site effect assessment is the thickness of
۲۵۹ sediments. Rezaei et al. (2009) stated that the soil thickness demonstrated a positive relationship
۲۶۰ to damage rate observations in the Bam earthquake. This layer was produced by 245 geophysical,
۲۶۱ geotechnical, and sedimentological sampling sites across the city. The alluvial thickness varies in
۲۶۲ different parts of the city. In the northern part of the city, the sediment (marine to Quaternary
۲۶۳ deposits) thickness ranges from 0 m, where bedrock is exposed beneath Arg-e-Bam, to 90 m across
۲۶۴ most of the northern half of the study area. Toward the south and center of the study area, sediment
۲۶۵ thickness increases over a short distance, to more than 270 m. This defines a subsurface of high
۲۶۶ sediment thickness that extends across the entire study area from west to east and underlies south-
۲۶۷ central Bam. Therefore, based on a positive relationship between the damage rate and alluvial
۲۶۸ thickness (Rezaei et al., 2009;Marie Nolte, 2010), MF for this criterion is depicted in figure 5a.

۲۶۹
۲۷۰ - Consolidation and strength of soil and sediments: It has been frequently observed that earthquake
۲۷۱ damage is greater in settlements located on unconsolidated and soft soils than in those sited on

۲۷۲ stiff soils or hard rock. For example, in Bam earthquake strong amplification occurred due to the
۲۷۳ extremely soft clay layers that caused high-rise buildings to collapse (Jafari et al., 2005). Another
۲۷۴ example was the Loma Prieta earthquake that happened in 1989, where much of the damage
۲۷۵ occurred in the central San Francisco Bay area at sites underlain by thick deposits of soft clay soils
۲۷۶ (Stewart, 1997). The soil classification has been based on different thresholds for the average shear
۲۷۷ wave velocity (V_s) to a depth of 30m by the National Earthquake Hazard Reduction Program
۲۷۸ (NEHRP) to characterize sites for purposes of estimation amplification of seismic motions. This
۲۷۹ standard has applied in Unified Building Code (Dobry et al., 2000) and Eurocode8 (Sabetta and
۲۸۰ Bommer, 2002; Kanlı et al., 2006). Based on this classification in areas on unconsolidated
۲۸۱ sediments, shear wave velocity reduces, and expected amplification during earthquakes could be
۲۸۲ increased. Therefore, according to this MFs for each class have been calculated as shown in figure
۲۸۳ 5b.

۲۸۴

۲۸۵ - Type of soil and particle size distribution of sediments: It has long been recognized that the
۲۸۶ destructiveness of ground shaking during earthquakes can be significantly worsened by the type
۲۸۷ of local soil and subsurface sediment conditions. In past events, the observed variability in seismic
۲۸۸ intensity and structural damage severity has often been attributed to the variability of soil and
۲۸۹ subsurface sediment stratigraphy in a given area. Among the geotechnical properties of soil and
۲۹۰ sediments, grain size is one of the most important criteria (Assimaki et al., 2006; Phoon et al.,
۲۹۱ 2006). In the study area, Rezaei et al. (2009) identified eight sediment types: clay, silt, sand,
۲۹۲ granules, pebbles, cobbles, and boulders. They stated that the grain size in the shallow subsurface
۲۹۳ (<10 m) decreases across the city from south to north and increases with depth. Their investigation
۲۹۴ showed that fine-grained soils and sediments (clay, clayey sand, cohesive sandy mud, and cohesive
۲۹۵ muddy sand) dominated the northern part of the city at shallow depths. In the central part of the
۲۹۶ city, fine-grained sediments changed laterally to coarse-grained sediments (poorly sorted sand,
۲۹۷ well-rounded gravel, poorly sorted gravel, and muddy or sandy gravel) which dominated in the
۲۹۸ south part of the city. As a rule, it can be assumed that, the smaller the grain size of sediments, the
۲۹۹ less the shear waves velocity and therefore the greater the effect of the seismic wave on the
۳۰۰ destruction level of buildings (Rezaei et al., 2009; Assimaki et al., 2006; Phoon et al., 2006).
۳۰۱ Therefore, the MFs for each specific grain size are calculated in figure 5c.

۳۰۲

۳۰۳ - Depth of groundwater: Research on the effects of groundwater shows it can magnify an
۳۰۴ earthquake's damage. The most well known effect is liquefaction. The geologic and hydrologic
۳۰۵ factors that affect liquefaction susceptibility are the age and the type of sedimentary deposits, the
۳۰۶ looseness of cohesions and the depth to the groundwater table (Tinsley et al., 1985). The
۳۰۷ liquefaction is mostly limited to water-saturated, cohesions less sediments and granular sediments
۳۰۸ at depths less than 15m (Iguchi and Tainosho, 1998; Sitharam, 2010). Noack and Fah (2001)
۳۰۹ categorized it by the depth of the water table, which is split into three classes where the weight of
۳۱۰ the class increases while the groundwater table decreases (Fah et al., 1997). Therefore, due to the
۳۱۱ geological conditions in Bam, liquefaction is considered of minor importance because Talebian et
۳۱۲ al. (2004) and Rezaei et al. (2009) found water saturated sands in very few places, however, they
۳۱۳ reported high amplification in areas that groundwater level was very close to the ground surface
۳۱۴ by analyzing microtremore data. Accordingly, MFs for each class of groundwater depth are
۳۱۵ computed as shown in figure 5d.

۳۱۶ - Type of rock: Type of rocks can effect on local seismic amplification susceptibility in a region.
۳۱۷ Three main types of rock based on their formation process include igneous, metamorphic, and
۳۱۸ sedimentary rocks. Each type has its own sub-categories and what matter in this research is how
۳۱۹ hard or soft and how dense the specific type of rocks is in comparison with the other types.
۳۲۰ Geological Strength Index (Geological Survey of Iran (GSI)) of "rock masses depends on rock's
۳۲۱ material, the amount of joints and their relations, alteration, and presence of water" (Hoek and
۳۲۲ Brown, 1997). There are many rock types in the nature that GSI can be calculated for any of them
۳۲۳ based on their condition, and then can be fuzzified addressing their effect on seismic microzonation
۳۲۴ level of ground shaking. There are five classes of GSI including very good, good, fair, poor and
۳۲۵ very poor based on their surface quality and interlocking of rock pieces from massive, blocky, very
۳۲۶ blocky, disintegrated, and laminated/ sheered (Marinos et al., 2007). The GSI values categorized
۳۲۷ in five classes including very low, low, medium, high and very high levels. These classes shows
۳۲۸ the geological strength of rocks that the high and very high GSI demonstrate high to very high
۳۲۹ strength of rocks. Therefore, previous studies demonstrates that in massive rocks, high GSI values,
۳۳۰ seismic waves passes quickly and therefore have small influence in seismic microzonation level
۳۳۱ of ground shaking, and vice versa if GSI value gets to the lower values. Thus, in fuzzyfication
۳۳۲ process of surficial rocks, the rock with very high GIS assign 0 and the rocks with very low GSI

333 assign 1 (Figure 6a). Furthermore, the criterion of type of bedrock acts the same as surficial rock
334 type criterion as explained above. Type of bedrock rarely changed over a small extent with
335 homogenous lithology. However, it was concern of experts in determining local seismic
336 amplification susceptibility.

337 - Slope: The effects of slope angle on topographic amplification factor was investigated by Bisch
338 et al. (2012), and they classified the slope angle into three categories with different effect level
339 including: 0-15 with no effect, 15-30 degree with 1.2 (coefficient) and more than 30 degree with
340 1.4 amplification coefficient. Furthermore, Bouckovalas and Papadimitriou (2005) investigated
341 the influence of slope topography in amplifying the peak horizontal seismic ground acceleration
342 suggesting high amplifications near the crest. Grelle et al. (2016) presented formulae for
343 topographic amplification on slope surface. These studies indicated that with the increase in slope
344 angle the amplification factor would increase. This can be a basis for depicting MFs of this
345 criterion (Figure 6b).

346 - Topographic irregularities: Seismic amplification has been witnessed in several earthquakes due
347 to topographical changes (Geli et al., 1988;Paolucci, 2002). Bisch et al. (2012) classified the site
348 in two classes of “isolated cliff and ridge with crest width significantly less than base width” (CEN
349 European Committee for Standardisation, 1994, p 93). However, this seems simplistic, as it does
350 not consider the elevation differences. Furthermore, Grelle et al. (2016) presented an equation that
351 considered the local slope height, relief height, regional shear wave velocity and relief ratio. In
352 addition, several calibration constants should be calculated using 2d numerical analysis for each
353 study area to compute topographic effects on local seismic amplification. Lee et al. (2009) found
354 out that the amplification on top of elevated surfaces with small extent was much higher than
355 valleys and flat areas. Therefore, the elevation differences (dH m) between the bases of a hill to
356 the top of the hill, and the area (A m²) of the top part of the hill are the main driver in computing
357 the amplification coefficient of seismic waves that can effect on local seismic amplification
358 susceptibility level of ground. Therefore, the higher the elevation differences and the smaller the
359 area of the elevated surface, the ground in this part will be more amplified. Here, using fuzzy logic
360 and experts’ knowledge the effect of topography in terms of elevation differences in determining
361 local seismic amplification susceptibility in the study area is defined (Figure 6c).

۳۶۲ Figure 5. Membership functions (MFs) based on fuzzy logic system: Thickness of soil and
۳۶۳ sediments (a), Consolidation and strength of soil and sediments (b), Type of soil and particle
۳۶۴ size distribution of sediments (c), Depth of groundwater (d).

۳۶۵

۳۶۶ Figure 6. Membership functions (MFs) based on fuzzy logic system: Type of rock and
۳۶۷ bedrock (a), Slope (degree) (b), Topographic irregularities (c).

۳۶۸

۳۶۹ **2.3.3. Preparing thematic data**

۳۷۰ The required data were collected from relevant organizations and documents and they were
۳۷۱ converted to GIS files in that papered maps were scanned, geo-referenced and then digitized.
۳۷۲ These maps were imported into a geodatabase to validate topological rules and overlaying
۳۷۳ condition for all layers. To produce thematic maps, interpolation method such as IDW method
۳۷۴ was applied. The produced maps then were classified based on sub-criteria for each criterion,
۳۷۵ then they were reclassified and converted to raster layers enabling raster combination of all layers
۳۷۶ to each other. These thematic data included: alluvial thickness (Figure 7a), stiffness and strength
۳۷۷ of soil and sediments (Figure 7b), type of soil and particle size distribution of soil and sediments
۳۷۸ (Figure 8a and b), depth of groundwater (Figure 9a), type of rock (Figure 9b), topographic
۳۷۹ irregularities (Figure 10a), and slope (Figure 10b) layers.

۳۸۰

۳۸۱ Figure 7. Thematic Layers of Bam city: Alluvial thickness (m) (a), Stiffness and strength of soil
۳۸۲ and sediments (b).

۳۸۳ Figure 8. Thematic Layers of Bam city: Sediment type at depth of 1 meter (a) and at depth of 9
۳۸۴ meters (b).

۳۸۵ Figure 9. Thematic Layers of Bam city: Groundwater level (a), Type of rock (b).

۳۸۶ Figure 10. Thematic Layers of Bam city: Topographic irregularities (a) and Slope (b).

۳۸۷

۳۸۸ **2.3.4. Preparing control data**

۳۸۹ National Cartographic Center (2003) and Hisada et al.(2005) were collected data on the destruction
۳۹۰ level of buildings after math of the Bam earthquake (Figure 11a and b). Lashkari Pour et al. (2006)
۳۹۱ and Motamed et al. (2007) were collected data on the dominant frequency of soil (Figure 12a and
۳۹۲ b) and amplification factor by Motamed et al. (2007) (Figure 13) using microtremor measurements
۳۹۳ in Bam city. These datasets were classified to 5 classes based on equal interval classification
۳۹۴ method including very low, low, moderate, high and very high classes. Then, they were applied to
۳۹۵ validate the model's output through a comparison analysis and calculating overall accuracy and
۳۹۶ kappa coefficient.

۳۹۷
۳۹۸ Figure 11. Control data: Actual building destruction level (Hisada et al., 2005) (a), percentage of
۳۹۹ damage to buildings caused by the Bam earthquake in 2003 (National Cartographic Center
۴۰۰ (NCC), 2003) (b).

۴۰۱
۴۰۲ Figure 12. Control data: Dominant frequency by (LashkariPour et al., 2006) (a) and by Motamed
۴۰۳ et al. (2007) (b) using Microtremor field measurement.

۴۰۴
۴۰۵ Figure 13. Control data: Amplification factor by Motamed et al. (2007) using Microtremor field
۴۰۶ measurement.

۴۰۷
۴۰۸ **2.3. Spatial combination methods and overlay rules**

۴۰۹ The spatial Multi Criteria Decision Making (MCDM) approach is a decision-aid and a
۴۱۰ mathematical tool that combines and transforms spatially referenced data into a raster layer with
۴۱۱ a priority score. (Roy, 1996;Malczewski, 2006). Several combination methods have been
۴۱۲ developed, such as Boolean operations (Malczewski, 1999), weighted linear combination (WLC:
۴۱۳ combining the normalized criteria based on overlay analysis) (Voogd, 1983;Drobne and Lisec,
۴۱۴ 2009;O'Sullivan and Unwin, 2010) (Eq. 5), ordered weighted averaging (OWA) (Yager,
۴۱۵ 1988;Rinner and Malczewski, 2002), and Analytical Hierarchy Process (AHP) based on the
۴۱۶ additive weighting methods (Zhu and Dale, 2001). In this research, the AHP method (Saaty,
۴۱۷ 1980) was used to derive the weights associated with criteria and Fuzzy Logic method was
۴۱۸ applied to compute sub-criteria's membership functions (MFs) in order to produce the local

419 seismic amplification. Then, the degree of membership of each sub-criteria (calculated by Fuzzy
 420 Logic method) is assigned to the corresponding sub-criteria. Next, this is multiplied by the weight
 421 of corresponding criteria (calculated by AHP method). Finally, they are summed up in a linear
 422 manner using WLC method (Eq. 5) to develop the model (Larzesh model) for production of the
 423 local seismic amplification in the study area.

$$A_i = \sum W_j * X_{ij} \quad (Eq. 5)$$

424 Where: w_j = the calculated weight of criteria j , and X_{ij} = the degree of membership of the i th
 425 sub-criteria with respect to the j th criteria, and A_i = the local seismic amplification index in i th
 426 location.

427

428 **2.4. Validation and comparison methods**

429 In order to validate the model, as categorical variables are the main driver of model development in
 430 this research, therefore relevant measures such as Overall Accuracy and Kappa statistic will be
 431 applied to measure the performance of the model.

432 **a) Overall accuracy (OA)**

433 Accuracy assessments determine the quality of the results derived from data analysis or a model,
 434 in comparison with a reference or ground truth data (where ground truth data are assumed to be
 435 100% correct) (Congalton and Green, 2009). The accuracy assessment can be obtained by
 436 creating a contingency table of counts of observations, with calculated, estimated or predicted
 437 data values as rows and with reference data values as columns. The values in the shaded cells
 438 along the diagonal represent counts for correctly classified observations, where the reference data
 439 matches the predicted value. This contingency table is often referred to as a confusion matrix,
 440 misclassification matrix, or error matrix (Czaplewski, 1992; Congalton and Green, 2009) (Eq. 6).

$$OA = \frac{\sum_{k=1}^q n_{kk}}{n} \times 100 \quad (Eq. 6)$$

441

442 Where: OA = Overall Accuracy, n_{kk} = Values in diagonal cell of the matrix (correctly classified
 443 observations), and n = number of observations.

444 **b) Kappa analysis**

445 The kappa statistic (κ) (Sim and Wright, 2005; Congalton and Green, 2008) calculates degree of
446 agreement between classes of two independent observe measuring the same property. The degree
447 of Kappa would be 0 for a random classifies and 1 for classification. Degree of agreement of
448 Kappa interprets as follows: less than 0.4: poor agreement, 0.4 and 0.8: moderate agreement,
449 and greater than 0.80: strong agreement (Congalton and Green, 2008) (Eq. 7).

450

$$451 \quad k = \frac{P_o - P_e}{1 - P_e} \quad (\text{Eq. 7})$$

452 *Where: P_o = the relative observed agreement among raters, P_e = the hypothetical probability of chance*
453 *agreement.*

454

455 **Results and discussion**

456 In order to produce the local seismic amplification susceptibility the most important criteria were
457 identified and then were weighted using AHP pair-wise comparison method. The higher weight
458 belong to alluvial thickness (0.271), stiffness and strength of soil and sediments (0.207), type of
459 soil and particle size distribution of sediments (0.177), depth of groundwater (0.171), topographic
460 irregularities (0.054), type of rock (0.041), slope (0.040), and type of bedrock (0.040) were
461 considered. Then, based on Fuzzy Logic method sub-criteria of each criterion was fuzzified and
462 membership functions for them was defined. Next, these criteria were combined based on the
463 Weighted Linear Combination (WLC) (Drobne and Lisec, 2009) in GIS to develop the model for
464 producing the susceptibility map of local seismic amplification for the study area, as it is proposed
465 in the following (Eq. 8):

466

$$467 \quad A_j = \sum(wS_s \cdot FS_{SS}) + (wT_A \cdot FS_{TA}) + (wS_A \cdot FS_{SA}) + (wD_{GW} \cdot FS_{D_{GW}}) + (wT_R \cdot FS_{TR})$$
$$468 \quad + (wT_{Br} \cdot FS_{TBR}) + (wT_S \cdot FS_{TS}) + (wS_L \cdot FS_{SL}) \quad (\text{Eq. 8})$$

469 *Where: A_j = local seismic amplification susceptibility, weights of each criterion: wS_s = stiffness and*
470 *strength of soil and sediments , wT_A = Alluvial thickness, wS_A = Type of soil and particle size distribution*
471 *of sediments , wD_{GW} = depth of groundwater , wT_R = type of rock , wT_{Br} = type of bedrock, wT_S =*

472 *topographic irregularities, $wS_L =$ slope, and fuzzified sub-criteria of each criterion: $FS_{SS} =$ stiffness and*
473 *strength of soil and sediments, $FS_{TA} =$ Alluvial thickness, $FS_{SA} =$ Type of soil and particle size distribution*
474 *of soil and sediments, $FS_{D_{GW}} =$ depth of groundwater, $FS_{TR} =$ type of rock, $FS_{TBR} =$ type of bedrock,*
475 *$FS_{TS} =$ topographic irregularities, and $FS_{SL} =$ slope.*

476
477 Figure 14 displays the resulting microzonation map of ground shaking in Bam city. The areas
478 with high to very high susceptibility to local seismic amplification are located in the north, east
479 and northeast part of Bam city. This is due to the widespread unconsolidated sediments, low
480 groundwater level in combination with high sediment thickness.

481 In order to validate the results, OA and Kappa methods were applied comparing the output of
482 model with the measured predominant frequency (Askari et al., 2004;LashkariPour et al.,
483 2006;Motamed et al., 2007) in the study area. The results demonstrated 73.6% and 82% (Table
484 4a and b) for OA and 0.74 and 0.75 for Kappa (Table 5) indicating a good fit of the model's
485 output with the measured data. Moreover, the overlay of the building destructions caused by the
486 Bam earthquake in 2003 (Hisada et al., 2005;National Cartographic Center (NCC), 2003) shows
487 that high destruction levels happened in locations with high ground shaking which were located
488 in central, north and northeast part of the city.

489
490 **Figure 14. Susceptibility map of local seismic amplification of Bam city**

491
492 **Table 4. Comparison between the model's output with the measured predominant frequency in Bam**
493 **city by Motamed et al. (2007) (a)c and LashkariPour et al. (2006) (b).**

494
495 **Table 5. Kappa coefficient and OA**

496
497 In this study, we have focused on the site effect and local geology properties of a site that have a
498 massive influence on local seismic amplification susceptibility in the study area. To deal with
499 related uncertainties in preparing seismic microzonation, the most important criteria were selected,
500 weighted and then fuzzified. Criteria with high uncertainty degree such as distance of active fault
501 to the site, depth and magnitude of the probable earthquake were not considered because there was

no possibility to exactly find out where and how an earthquake will be triggered. Therefore, only the criteria with known location (x and y) and known characteristics were taken into consideration. Furthermore, to deal with uncertainties Fuzzy Logic is a suitable approach as we can define membership function of the effect of each criterion in the amplification of ground shaking by interviewing 10 experts and obtaining expert's knowledge. This can result in realistic output regarding the behavior of each criterion in ground shaking calculation.

The newly developed model uses AHP and Fuzzy Logic (Zadeh, 1965) to deal with complexities and uncertainties in data analyses in weighting the criteria and fuzzifying the sub-criteria of each criterion. Although, in studies for evaluating seismic microzonation in Bangalore (India) (Sitharam and Anbazhagan, 2008), Dehli (Mohanty et al., 2007), Haldia (India) (Mohanty et al., 2007), Erbaa (Turkey) (Akin et al., 2013) and Al-Madinah (Moustafa et al., 2016) only AHP method was applied to weight the criteria, and none of these studies considered weighting of sub criteria for each criterion even using other methods.

Few researchers have considered direct properties of influencing factors in assessing ground shaking amplification. Even, in evaluating seismic response developed models such as SiSeRHMap v1.0 (Grelle et al., 2016) and GIS Cubic Model (Grelle et al., 2014), the researchers have applied only lithodynamic, stratigraphic and topographic effects as influencing factors. Furthermore, Aucelli et al. (2018) suggested a method for producing susceptibility index to local seismic amplification in Isernia Province, Italy, and they have considered geological and geomorphological properties of studied areas. Although, they have not considered the use of multi-criteria decision-making methods (MCDM) in weighting and combining the influencing criteria which is the aim of current study. The current research considers direct properties of each criteria and tries to manage uncertainties in criteria and sub-criteria of each criterion via weighting and fuzzification process using experts' knowledge and the use of direct properties of criteria. These processes can be extended in more details, which are subject to more investigation in the future.

Conclusions

Larzesh model introduces a new method based on AHP and Fuzzy Logic rules that enables experts to produce local seismic amplification susceptibility using direct properties of lithological, sedimentological, geological, hydrological and topographical effects in a study area using experts'

032 knowledge in weighting and fuzzifying criteria and sub criteria that can be readily perceived and
033 consulted.

034 The application of the model was carried out in the urban area of the Bam city in Iran. The results
035 demonstrated high to very high ground shaking amplifications were located in central, east, and
036 northeast to north part of the city that was confirmed comparing with measured microtremor data
037 on predominate frequency in the study area. However, as the proposed model is a spatial
038 computational tool, the validation of output in producing local seismic amplification strictly
039 dependent on the quality and preparation of input data.

040 In conclusion, the model enable disaster managers, planners, and policy makers in producing local
041 seismic amplification susceptibility and making informed decision in urban planning and
042 designing appropriate plans for urban development, especially in areas with high seismic activities.

043

044 **Acknowledgements**

045 The authors would like to express their appreciation to Institute of Science and High Technology
046 and Environmental Sciences, Graduate University of Advanced Technology, Kerman, Iran for
047 financial support of this study under reference number of 7/C/95/2053.

048

049 **References**

- 050 Akin, M. K., Topal, T., and Kramer, S. L.: A newly developed seismic microzonation model of Erbaa
051 (Tokat, Turkey) located on seismically active eastern segment of the North Anatolian Fault Zone
052 (NAFZ), *Natural Hazards*, 65, 1411-1442, 10.1007/s11069-012-0420-1, 2013.
- 053 Askari, F., Azadi, A., M., D., and M.R. Ghayamghamian, E. H., H. Hamzehloo, M.K. Jafari, M. Kamalian,
054 M. Keshavarz, O. Ravanfar, A. Shafiee, A. Sohrabi-Bidar: Preliminary Seismic Microzonation of
055 Bam, *Journal of Seismology and Earthquake Engineering*, 5, 2004.
- 056 Assimaki, D., Steidl, J., and Liu, P.: Attenuation and Velocity Structure for Site Response Analyses via
057 Downhole Seismogram Inversion, *Pure appl. geophys.*, 163, 81-118, 10.1007/s00024-005-0009-7,
058 2006.
- 059 Atkinson, G. M., and Boore, D. M.: Earthquake ground-motion prediction equations for eastern North
060 America, *Bulletin of the Seismological Society of America*, 96, 2181-2205, 2006.
- 061 Atkinson, G. M., Ghofrani, H., and Assatourians, K.: Impact of induced seismicity on the evaluation of
062 seismic hazard: Some preliminary considerations, *Seismological Research Letters*, 86, 1009-1021,
063 2015.
- 064 Beck, J. L., and Hall, J. F.: Factors contributing to the catastrophe in Mexico City during the earthquake of
065 September 19, 1985, *Geophysical Research Letters*, 13, 593-596, 1986.
- 066 Biernacki, P., and Waldorf, D.: Snowball sampling: Problems and techniques of chain referral sampling,
067 *Sociological methods & research*, 10, 141-163, 1981.

- 068 Bisch, P., Carvalho, E., Degee, H., Fajfar, P., Fardis, M., Franchin, P., Kreslin, M., Pecker, A., Pinto, P.,
069 and Plumier, A.: Eurocode 8: seismic design of buildings worked examples, Joint Research Centre
070 European Union, Luxembourg, 2012.
- 071 Boore, D. M.: Simulation of ground motion using the stochastic method, in: Seismic Motion, Lithospheric
072 Structures, Earthquake and Volcanic Sources: The Keiiti Aki Volume, Springer, 635-676, 2003.
- 073 Boostan, E., Tahernia, N., and Shafiee, A.: Fuzzy—probabilistic seismic hazard assessment, case study:
074 Tehran region, Iran, *Natural Hazards*, 77, 525-541, 2015.
- 075 Bouckovalas, G. D., and Papadimitriou, A. G.: Numerical evaluation of slope topography effects on seismic
076 ground motion, *Soil Dynamics and Earthquake Engineering*, 25, 547-558, 2005.
- 077 Campbell, K. W.: Prediction of strong ground motion using the hybrid empirical method and its use in the
078 development of ground-motion (attenuation) relations in eastern North America, *Bulletin of the*
079 *Seismological Society of America*, 93, 1012-1033, 2003.
- 080 Probabilistic Risk Assessment (CAPRA): <https://www.ecapra.org/software>, access: 17 April 2017, 2017.
- 081 CEN European Committee for Standardisation: Eurocode 8. Design Provisions for Earthquake Resistance
082 of Structures—Part 5: Foundations, Retaining Structures and Geotechnical Aspects. ENV 1998-5,
083 Brussels, 1994.
- 084 Cheng, E. W. L., and Li, H.: Construction partnering process and associated critical success factors:
085 quantitative investigation, *Journal of Management in Engineering*, October, 194–202, 2002.
- 086 Congalton, R. G., and Green, K.: Assessing the accuracy of remotely sensed data: principles and practices,
087 CRC press, 2008.
- 088 Congalton, R. G., and Green, K.: Assessing the Accuracy of Remotely Sensed Data: Principles and
089 Practices, CRC Press, Boca Raton, FL, USA, 2009.
- 090 Cornell, C. A.: Engineering seismic risk analysis, *Bulletin of the seismological society of America*, 58,
091 1583-1606, 1968.
- 092 Czaplewski, R. L.: Misclassification bias in areal estimates, *Photogrammetric engineering and Remote*
093 *Sensing*, 58, 189–192, 1992.
- 094 Demicco, R. V., and Klir, G. J.: Fuzzy logic in geology, Academic Press, 347 pp., 2003.
- 095 Ding, Z., Chen, Y., and Panza, G.: Estimation of site effects in Beijing City, *Pure appl. geophys.*, 161, 1107-
096 1123, 2004.
- 097 Dobry, R., Borcherdt, R. D., Crouse, C. B., Idriss, I. M., Joyner, W. B., Martin, G. R., Power, M. S., Rinne,
098 E. E., and Seed, R. B.: New site coefficients and site classification system used in recent building
099 seismic code provisions, *Earthquake Spectra*, 16, 41-67, 2000.
- 100 Drobne, S., and Liseč, A.: Multi-attribute Decision Analysis in GIS: Weighted Linear Combination and
101 Ordered Weighted Averaging, *Informatica (Slovenia)*, 33, 459-474, 2009.
- 102 Fah, D., Rüttener, E., Noack, T., and Kruspan, P.: Microzonation of the city of Basel, *Journal of*
103 *Seismology*, 1, 87-102, 10.1023/a:1009774423900, 1997.
- 104 Fäh, D., Rüttener, E., Noack, T., and Kruspan, P.: Microzonation of the city of Basel, *Journal of*
105 *Seismology*, 1, 87-102, 10.1023/a:1009774423900, 1997.
- 106 Federal Emergency Management Agency (FEMA): Multi-hazard Loss Estimation Methodology,
107 Earthquake Model, HAZUS@MH MR5, User Manual, Washington, D.C., 2014.
- 108 Figueira, J., Mousseau, V., and Roy, B.: ELECTRE methods, in: Multiple criteria decision analysis: State
109 of the art surveys, Springer, 133-153, 2005.
- 110 Fraume, M., Cristina, M., Carreño Tibaduiza, M. L., Cardona Arboleda, O. D., Ordaz Schroeder, M. G.,
111 and Barbat Barbat, H. A.: Probabilistic earthquake risk assessment of Barcelona using CAPRA,
112 Second European Conference on Earthquake Engineering and Seismology, 2014, 1-3,
- 113 Geli, L., Bard, P.-Y., and Jullien, B.: The effect of topography on earthquake ground motion: a review and
114 new results, *Bulletin of the Seismological Society of America*, 78, 42-63, 1988.
- 115 Geological Survey of Iran (GSI): Geological Map of Iran, 1:100,000 Series, Sheet 7648, Bam, Iran, 1993.
- 116 Global Earthquake Model (GEM): The OpenQuake-engine User Manual. Global Earthquake Model (GEM)
117 Technical Report 2017-02., 193 2017.

- 718 Grelle, G., Bonito, L., Revellino, P., Guerriero, L., and Guadagno, F. M.: A hybrid model for mapping
719 simplified seismic response via a GIS-metamodel approach, *Natural Hazards and Earth System*
720 *Sciences*, 14, 1703, 2014.
- 721 Grelle, G., Bonito, L., Lampasi, A., Revellino, P., Guerriero, L., Sappa, G., and Guadagno, F. M.:
722 SiSeRHMap v1. 0: a simulator for mapped seismic response using a hybrid model, *Geoscientific*
723 *Model Development*, 9, 1567-1596, 2016.
- 724 Hassanzadeh, R., Nedović- Budić, Z., Alavi Razavi, A., Norouzzadeh, M., and Hodhodkian, H.: Interactive
725 approach for GIS-based earthquake scenario development and resource estimation (Karmania hazard
726 model), *The international journal of Computers & Geosciences*, 51, 324-338,
727 10.1016/j.cageo.2012.08.016, 2013.
- 728 Hassanzadeh, R., and Nedovic-Budic, Z.: Where to go first: prioritization of damaged areas for allocation
729 of Urban Search and Rescue (USAR) operations (PI-USAR model), *Geomatics, Natural Hazards and*
730 *Risk*, 7, 1337-1366, 10.1080/19475705.2015.1058861, 2016.
- 731 Hinlopen, E., Nijkamp, P., and Rietveld, P.: Qualitative discrete multiple criteria choice models in regional
732 planning, *Regional Science and Urban Economics*, 13, 77-102, 1983.
- 733 Hisada, Y., Shibayama, A., and Ghayamghamian, M. R.: Building damage and seismic intensity in Bam
734 city from the 2003 Iran, Bam, earthquake, *Bull. Earthquake Res. Inst., Univ. Tokyo*, 79 81–94, 2005.
- 735 Hoek, E., and Brown, E. T.: Practical estimates of rock mass strength, *International Journal of Rock*
736 *Mechanics and Mining Sciences*, 34, 1165-1186, 1997.
- 737 Iguchi, Y., and Tainosho, Y.: Characteristics of distribution of groundwater level in the seismic damaged
738 zone in eastern part of Kobe city due to the 1995 Hyogo-Ken Nanbu Earthquake, *Bulletin of the*
739 *Faculty of Human Development* 5, 403-415, 1998.
- 740 Jafari, M. K., Ghayamghamian, M. R., Davoodi, M., Kamalian, M., and Sohrabi-Bidar, A.: Site Effects of
741 the 2003 Bam, Iran, Earthquake, *Earthquake Spectra*, 21, 125-136, 10.1193/1.2098266, 2005.
- 742 Jamieson, S.: Likert Scales: How to (Ab)use Them, *Medical Education*, 38, 1217-1218, 2004.
- 743 Kanlı, A. I., Tildy, P., Prónay, Z., Pinar, A., and Hermann, L.: VS30 mapping and soil classification for
744 seismic site effect evaluation in Dinar region, SW Turkey, *Geophysical Journal International*, 165,
745 223-235, 10.1111/j.1365-246X.2006.02882.x, 2006.
- 746 Keeney, R. L., and Raiffa, H.: *Decisions with multiple objectives: preferences and value trade-offs*,
747 Cambridge university press, 1993.
- 748 Klir, G. J.: Chapter 2 - Fuzzy Logic: A Specialized Tutorial, in: *Fuzzy Logic in Geology*, Academic Press,
749 Burlington, 11-61, 2004.
- 750 Lam, K., and Zhao, X.: An application of quality function deployment to improve the quality of teaching,
751 *International Journal of Quality Reliability Management*, 15, 389–413, 1998.
- 752 LashkariPour, G. R., Sadegh, H., and Sevizi, Z.: Comparison of predominant frequency of Bam city using
753 seismic facies and ratio of H/V of foreshocks earthquakes., *10th Symposium of Geological Society of*
754 *Iran*, 2006.
- 755 Lee, S.-J., Komatitsch, D., Huang, B.-S., and Tromp, J.: Effects of topography on seismic-wave
756 propagation: An example from northern Taiwan, *Bulletin of the Seismological Society of America*,
757 99, 314-325, 2009.
- 758 Likert, R.: A Technique for the Measurement of Attitudes, *Archives of Psychology*, 140, 1932.
- 759 Malczewski, J.: *GIS and Multicriteria Decision Analysis*, John Wiley and Sons, New York, 1999.
- 760 Malczewski, J.: A GIS-based multicriteria decision analysis: A survey of the literature, *International Journal*
761 *of Geographical Information Science*, 20, 703-726, 2006.
- 762 Mancini, I. M., Masi, S., Caniani, D., and Lioi, D. S.: Fuzzy logic and neuro-fuzzy networks for
763 environmental hazard assessment, in: *Fuzzy Logic-Emerging Technologies and Applications*, InTech,
764 2012.
- 765 Marie Nolte, E.: Earthquake risk map development using GIS and optical satellite imagery: case study for
766 rural areas on Java, Indonesia, *Center for Disaster Management and Risk Reduction Technology*
767 *Projektbericht Hertz, Karlsruhe* 112, 2010.

- 768 Marinos, P., Marinos, V., and Hoek, E.: Geological Strength Index (GSI). A characterization tool for
769 assessing engineering properties for rock masses, Underground works under special conditions. Taylor
770 and Francis, Lisbon, 13-21, 2007.
- 771 Marulanda, M., Carreño, M., Cardona, O., and Barbat, A.: Probabilistic assessment of seismic risk of
772 Barcelona, Spain, using the CAPRA platform, Buletinul Institutului Politehnic din Iasi. Sectia
773 Constructii, Arhitectura, 58, 9, 2012.
- 774 MERM: Microzonation Manual, World Institute for Disaster Risk Management, 2003.
- 775 Michel, C., Fäh, D., Edwards, B., and Cauzzi, C.: Site amplification at the city scale in Basel (Switzerland)
776 from geophysical site characterization and spectral modelling of recorded earthquakes, Physics and
777 Chemistry of the Earth, Parts A/B/C, 98, 27-40, 2017.
- 778 Mohanty, W. K., Walling, M. Y., Nath, S. K., and Pal, I.: First Order Seismic Microzonation of Delhi, India
779 Using Geographic Information System (GIS), Natural Hazards, 40, 245-260, 10.1007/s11069-006-
780 0011-0, 2007.
- 781 Mohanty, W. K., and Walling, M. Y.: First Order Seismic Microzonation of Haldia, Bengal Basin (India)
782 Using a GIS Platform, Pure appl. geophys., 165, 1325-1350, 10.1007/s00024-008-0360-6, 2008.
- 783 Molina, S., Lang, D. H., and Lindholm, C. D.: SELENA – An open-source tool for seismic risk and loss
784 assessment using a logic tree computation procedure, Computers & Geosciences, 36, 257-269,
785 <http://dx.doi.org/10.1016/j.cageo.2009.07.006>, 2010.
- 786 Moro, M., Saroli, M., Salvi, S., Stramondo, S., and Doumaz, F.: The relationship between seismic
787 deformation and deep-seated gravitational movements during the 1997 Umbria–Marche (Central Italy)
788 earthquakes, Geomorphology, 89, 297-307, 2007.
- 789 Motamed, R., Ghalandarzadeh, A., Tawhata, I., and Tabatabaei, S. H.: Seismic Microzonation and Damage
790 Assessment of Bam City, Southeastern Iran, Journal of Earthquake Engineering, 11, 110-132,
791 10.1080/13632460601123164, 2007.
- 792 Moustafa, S. S. R., SN Al-Arifi, N., Jafri, M. K., Naeem, M., Alawadi, E. A., and A. Metwaly, M.: First
793 level seismic microzonation map of Al-Madinah province, western Saudi Arabia using the geographic
794 information system approach, Environmental Earth Sciences, 75, 251, 10.1007/s12665-015-5073-4,
795 2016.
- 796 Mundepi, A., Galiana-Merino, J., and Lindholm, C.: Soil characteristics and site effect assessment in the
797 city of Delhi (India) using H/V and f–k methods, Soil Dynamics and Earthquake Engineering, 30, 591-
798 599, 2010.
- 799 Nath, S., and Thingbaijam, K.: Seismic hazard assessment-a holistic microzonation approach, Natural
800 Hazards and Earth System Sciences, 9, 1445, 2009.
- 801 Report on the Bam earthquake: [http://www.ncc.org.ir/homepage.aspx?site=NCCPortal&tabid=1&lang=fa-
802 IR](http://www.ncc.org.ir/homepage.aspx?site=NCCPortal&tabid=1&lang=fa-IR), access: May 15, 2013, 2003.
- 803 Noack, T., and Fah, D.: Earthquake Microzonation: site effects and local geology; A case study for the
804 Kanton of Basel-Stadt, 2001.
- 805 Novák, V., Perfilieva, I., and Mockor, J.: Mathematical principles of fuzzy logic, Springer Science &
806 Business Media, 2012.
- 807 Nwe, Z. Z., and Tun, K. T.: Seismic Hazard Analysis using AHP-GIS, Int'l Journal of Research in Chemical,
808 Metallurgical and Civil Engg., 3, 1442-1450, 2016.
- 809 O'Sullivan, D., and Unwin, D. J.: Putting Maps Together—Map Overlay, in: Geographic Information
810 Analysis, John Wiley & Sons, Inc., 315-340, 2010.
- 811 Panza, G. F., La Mura, C., Peresan, A., Romanelli, F., and Vaccari, F.: Chapter three-seismic hazard
812 scenarios as preventive tools for a disaster resilient society, Advances in geophysics, 53, 93-165, 2012.
- 813 Paolucci, R.: Amplification of earthquake ground motion by steep topographic irregularities, Earthquake
814 Engineering & Structural Dynamics, 31, 1831-1853, 10.1002/eqe.192, 2002.
- 815 Petersen, M. D., Mueller, C. S., Moschetti, M. P., Hoover, S. M., Llenos, A. L., Ellsworth, W. L., Michael,
816 A. J., Rubinstein, J. L., McGarr, A. F., and Rukstales, K. S.: Seismic-Hazard Forecast for 2016
817 Including Induced and Natural Earthquakes in the Central and Eastern United States, Seismological
818 Research Letters, 87, 1327-1341, 2016.

- 719 Phoon, K., Nadim, F., Uzielli, M., and Lacasse, S.: Soil variability analysis for geotechnical practice, in:
720 Characterisation and Engineering Properties of Natural Soils, Taylor & Francis, 2006.
- 721 Ramazi, H., and Jigheh, H. S.: The Bam (Iran) Earthquake of December 26, 2003: From an engineering
722 and seismological point of view, *Journal of Asian Earth Sciences*, 27, 576-584, 2006.
- 723 Rehman, F., El-Hady, S. M., Atef, A., and Harbi, H. M.: PROBABILISTIC SEISMIC HAZARD
724 ASSESSMENT METHODOLOGY AND SITE RESPONSE ANALYSIS APPLICATION TO
725 SEISMIC MICROZONATION, *Science International*, 28, 2016.
- 726 Rezaei, K., Guest, B., Friedrich, A., Fayazi, F., Nakhaei, M., Beitollahi, A., and Fatemi Aghda, S.: Feed
727 forward neural network and interpolation function models to predict the soil and subsurface sediments
728 distribution in Bam, Iran, *Acta Geophys.*, 57, 271-293, 10.2478/s11600-008-0073-3, 2009.
- 729 Rinner, C., and Malczewski, J.: Web-enabled spatial decision analysis using ordered weighted averaging,
730 *J Geogr Syst*, 4, 385-403, 2002.
- 731 Roy, B.: Multicriteria methodology for decision aiding, Kluwer Academic Publishers, Dordrecht, 1996.
- 732 Saaty, T. L.: *The Analytic Hierarchy Process: planning, priority setting, resource allocation*, McGraw-Hill
733 International, US, 1980.
- 734 Saaty, T. L.: An Exposition of the AHP in Reply to the Paper "Remarks on the Analytic Hierarchy Process",
735 *Management Science*, 36, 259-268, 10.1287/mnsc.36.3.259, 1990.
- 736 Sabetta, F., and Bommer, J.: Modification of the spectral shapes and subsoil conditions in Eurocode 8, 12th
737 European Conference on Earthquake Engineering, London, UK, 2002.
- 738 Sen, Z.: Rapid visual earthquake hazard evaluation of existing buildings by fuzzy logic modeling, *Expert
739 Systems with Applications*, 37, 5653-5660, 2010.
- 740 Sim, J., and Wright, C. C.: The kappa statistic in reliability studies: use, interpretation, and sample size
741 requirements, *Physical therapy*, 85, 257-268, 2005.
- 742 Sitharam, T., and Anbazhagan, P.: Seismic microzonation: principles, practices and experiments, *EJGE
743 Special Volume Bouquet*, 8, 2008.
- 744 Sitharam, T. G.: Technical Documat on Geotechnical and Geophysical Investigation for Seismic
745 Microzonation Studies of Urban Centers in India, National Disaster Management Authority (NDMA),
746 Bhawan, Safdarjung Enclave, New Delhi, 123, 2010.
- 747 SM Working Group: Guidelines for Seismic Microzonation, Civil Protection Department and Conference
748 of Regions and Autonomous Provinces of Italy, 2015,
- 749 Stewart, J.: Key Geotechnical Aspects of the 1989 Loma Prieta Earthquake, National Information Service
750 for Earthquake Engineering, University of California, Berkeley, California, Berkeley, 1997.
- 751 Talebian, M., Fielding, E. J., Funning, G. J., Ghorashi, M., Jackson, J., Nazari, H., Parsons, B., Priestley,
752 K., Rosen, P. A., Walker, R., and Wright, T. J.: The 2003 Bam (Iran) earthquake: Rupture of a blind
753 strike-slip fault, *Geophysical Research Letters*, 31, n/a-n/a, 10.1029/2004gl020058, 2004.
- 754 Tang, A. K.: Izmit (Kocaeli), Turkey, Earthquake of August 17, 1999 Including Duzce Earthquake of
755 November 12, 1999: Lifeline Performance, ASCE Publications, 2000.
- 756 Teramo, A., Termini, D., Marullo, A., and Marino, A.: Fuzzy interpolators for a quick seismic
757 microzonation, *CIMSA. 2005 IEEE International Conference on Computational Intelligence for
758 Measurement Systems and Applications*, 2005., 2005, 107-108,
- 759 Tinsley, J. C., Youd, T. L., D.M., P., and Chen, A. T. F.: Evaluating liquefaction potential. In: *Evaluating
760 Earthquake Hazards in the Los Angeles Region - An Earth-Science Perspective*, US Geological
761 Survey, 263-315, 1985.
- 762 Trifunac, M. D.: Site conditions and earthquake ground motion – A review, *Soil Dynamics and Earthquake
763 Engineering*, 90, 88-100, <https://doi.org/10.1016/j.soildyn.2016.08.003>, 2016.
- 764 Voogd, H.: *Multicriteria Evaluation for Urban and Regional Planning*, Pion, Ltd, London, 1983.
- 765 Wald, D. J.: Slip history of the 1995 Kobe, Japan, earthquake determined from strong motion, teleseismic,
766 and geodetic data, *Journal of Physics of the Earth*, 44, 489-503, 1996.
- 767 Wang, Z., Carpenter, N. S., and Zhang, L.: Assessing Potential Seismic Hazards from Induced Earthquakes
768 in the Central and Eastern United States, AAPG Eastern Section Meeting, 2016,

۷۶۹ Wang, Z., Carpenter, N. S., Zhang, L., and Woolery, E. W.: Assessing Potential Ground-Motion Hazards
 ۷۷۰ from Induced Earthquakes, *Natural Hazards Review*, 18, 04017018, doi:10.1061/(ASCE)NH.1527-
 ۷۷۱ 6996.0000264, 2017.
 ۷۷۲ Yager, R. R.: On ordered weighted averaging aggregation operators in multi-criteria decision making, *IEEE*
 ۷۷۳ *Transactions on Systems, Man and Cybernetics*, B 18, 183-190, 1988.
 ۷۷۴ Zadeh, L. A.: Fuzzy sets, *Information and control*, 8, 338-353, 1965.
 ۷۷۵ Zadeh, L. A.: Fuzzy logic and approximate reasoning, *Synthese*, 30, 407-428, 1975.
 ۷۷۶ Zhu, X., and Dale, A. P.: JavaAHP: a Web-based decision analysis tool for natural resource and
 ۷۷۷ environmental management, *Environmental Modelling and Software*, 16, 251–262, 2001.

۷۷۸

۷۷۹

۷۸۰

۷۸۱

۷۸۲

۷۸۳

۷۸۴

۷۸۵

۷۸۶

۷۸۷

۷۸۸

Tables

۷۸۹

Table 1.Relevant criteria that influence on seismic microzonation

1	Alluvial thickness	9	Thickness of bedrock
2	Stiffness and strength of alluvial deposits	10	Morphology of bedrock
3	Type of soil and particle size distribution of alluvial deposits	11	Topographic irregularities of bedrock
4	Depth of groundwater	12	Age of alluvial deposits
5	Topographic irregularities	13	Age of bedrock
6	Type of rock	14	Age of rock

7	Slope		
8	Type of bedrock		

۷۹۰
۷۹۱
۷۹۲

Table 2.The average importance criteria based on 5-point Likert Scale

	Criteria for	Average
1	Alluvial thickness	8.5
2	Stiffness and strength of alluvial deposits	8
3	Type of soil and particle size distribution of alluvial deposits	7.5
4	Depth of groundwater	7.25
5	Type of rock	7
6	Topographic irregularities	5.25
7	Slope	5
8	Type of bedrock	5
9	Thickness of bedrock	4.5
10	Morphology of bedrock	4.5
11	Topographic irregularities of bedrock	4.5
12	Age of alluvial deposits	3.75
13	Age of bedrock	3.25
14	Age of rock	2.75

۷۹۳
۷۹۴
۷۹۵
۷۹۶
۷۹۷
۷۹۸

Table 3.The results of pair-wise comparisons of the selected criteria with each other based on the AHP matrix

Criteria	1	2	3	4	5	6	7	8	Weights
1- Alluvial thickness	1	1	2	2	5	5	7	4	0.271
2- Stiffness and strength of alluvial deposits		1	1	1	5	4	5	5	0.207
3-Type of soil, and particle size distribution of alluvial deposits			1	1	5	5	5	7	0.177
4-Depth of groundwater				1	5	7	3	5	0.171
5-Type of rock					1	2	1/2	1/2	0.041

6-Topographic irregularities		1	1/2	3	0.054
7-Slope			1	4	0.040
8-Type of bedrock				1	0.040
Lambda = 8.60 CI = 0.05					

۷۹۹
 ۸۰۰
 ۸۰۱
 ۸۰۲
 ۸۰۳
 ۸۰۴
 ۸۰۵
 ۸۰۶
 ۸۰۷
 ۸۰۸
 ۸۰۹
 ۸۱۰
 ۸۱۱
 ۸۱۲
 ۸۱۳
 ۸۱۴
 ۸۱۵
 ۸۱۶
 ۸۱۷
 ۸۱۸
 ۸۱۹
 ۸۲۰
 ۸۲۱
 ۸۲۲
 ۸۲۳
 ۸۲۴
 ۸۲۵
 ۸۲۶

Table 4. Coparesion between the model's output with the measured predominant frequency in Bam city by Motamed et al. (2007) (a) and LashkariPour et al. (2006) (b).

a)

Predicted	Predominant Frequency (Measured)					Total
	1	2	3	4	5	
1	1	1			1	3
2		3		3		6
3	1		6	1		8
4		1		9		10
5			2		9	11
Total	2	5	8	13	10	38
Av_Ac = 73.6 %						

b)

	Predominant Frequency (Measured)
--	-----------------------------------

۸۲۷
 ۸۲۸

Predicted	1	2	3	4	5	Total
1	1					1
2		1				1
3			3			3
4				1		1
5	1			1	2	4
Total	2	1	3	2	2	10
Av_Ac = 80 %						

۸۲۹
۸۳۰
۸۳۱

Table 5. Kappa coefficient and OA

Comparison of the model's output and measured data	Predominant frequency (Motamed et al., 2007)	Predominant frequency (LashkariPour et al., 2006)
Kappa coefficient	0.74 (0.000)	0.75 (0.000)
OA	73.6%	80%

۸۳۲
۸۳۳
۸۳۴

۸۳۵

۸۳۶

۸۳۷

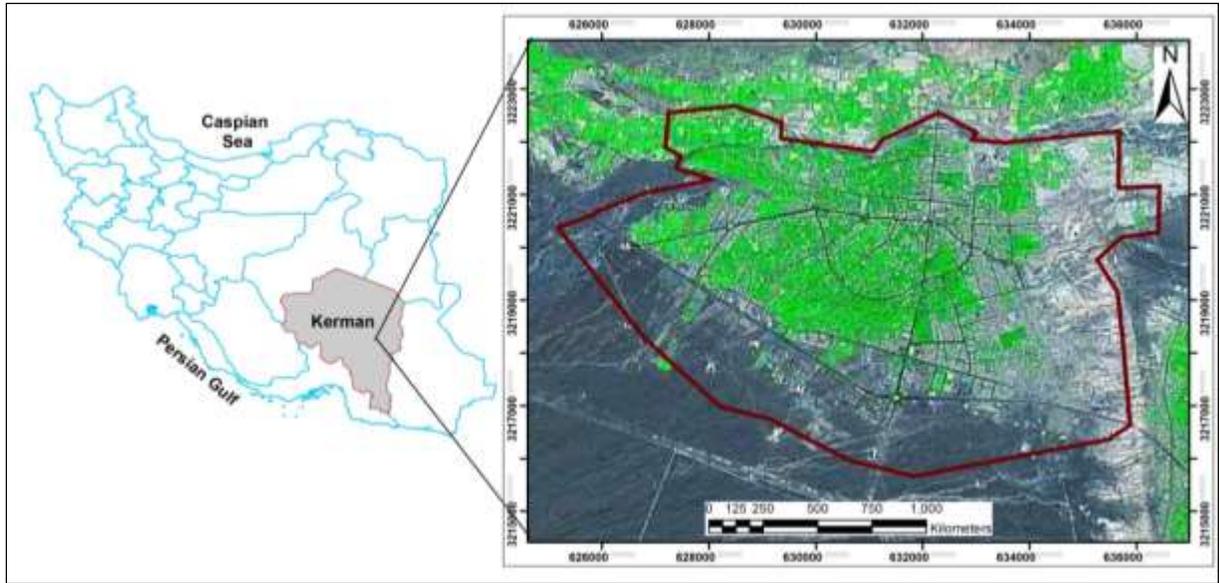
۸۳۸

۸۳۹

۸۴۰

۸۴۱

Figures



۸۴۲
۸۴۳

Figure 1. The case study area of Bam City, Iran

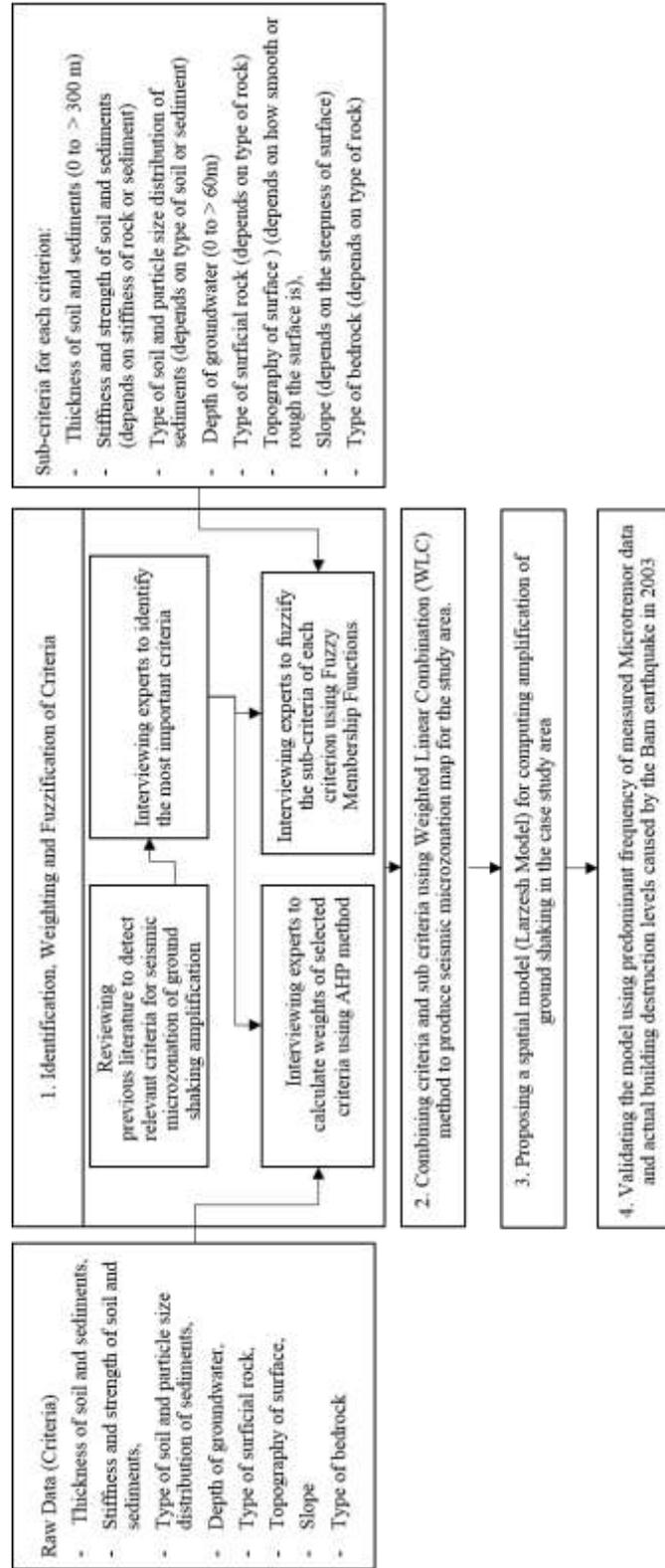


Figure 2. The methodological approach of the model

148

$$A = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix}$$

149

Where: $a_{ij} = 1$, if $i = j$, and $a_{ij} = \frac{1}{a_{ji}}$, if $i = \overline{1, n}$ and $j = \overline{1, n}$.

150

Figure 3.AHP matrix (A)

151

152

153

154

155

156

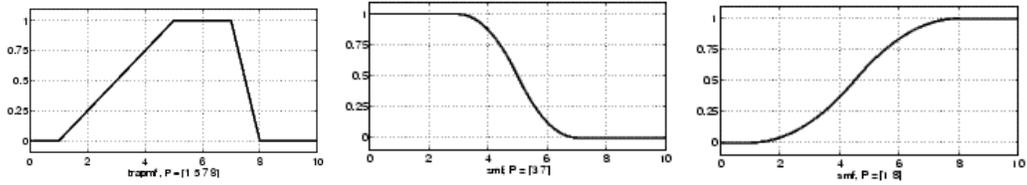
157

158

159

160

161



162

Figure 4. Fuzzy membership functions (After Mancini, 2012)

163

164

165

166

167

168

169

170

171

172

173

174

175

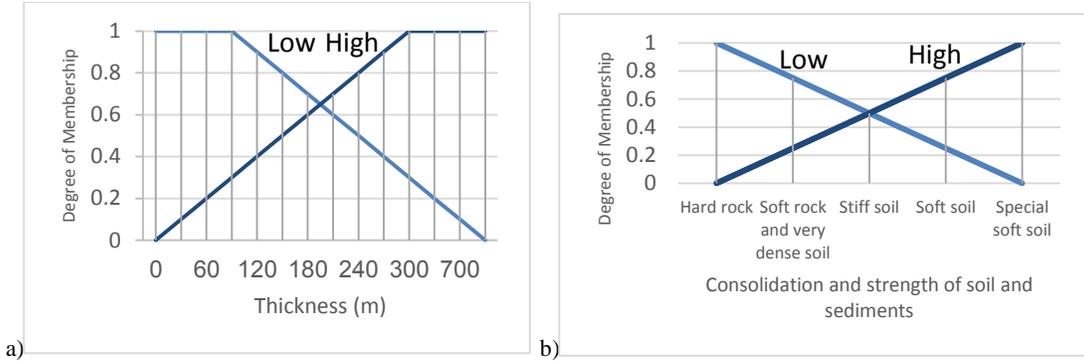
176

177

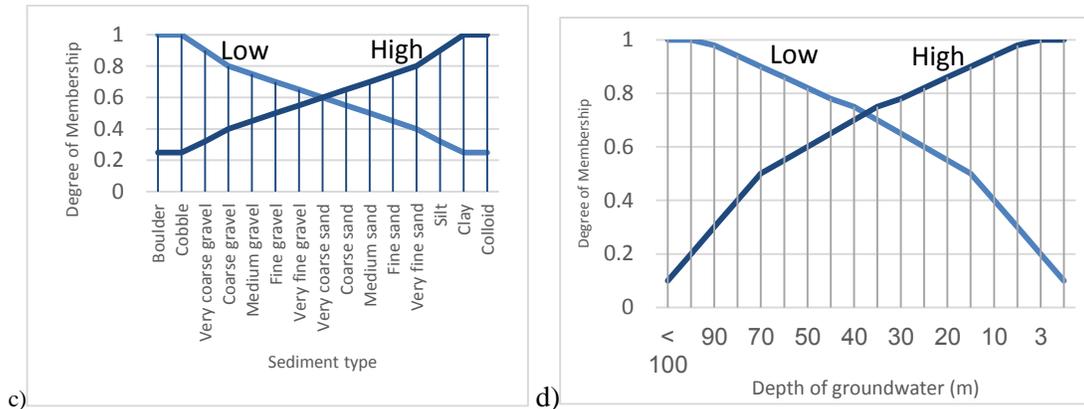
178

179

۸۸۰



۸۸۱



۸۸۲

Figure 5. Membership functions (MFs) based on fuzzy logic system: Alluvial thickness (a), Stiffness and strength of soil and sediments (b), Type of soil and particle size distribution of sediments (c), Depth of groundwater (d).

۸۸۳

۸۸۴

۸۸۵

۸۸۶

۸۸۷

۸۸۸

۸۸۹

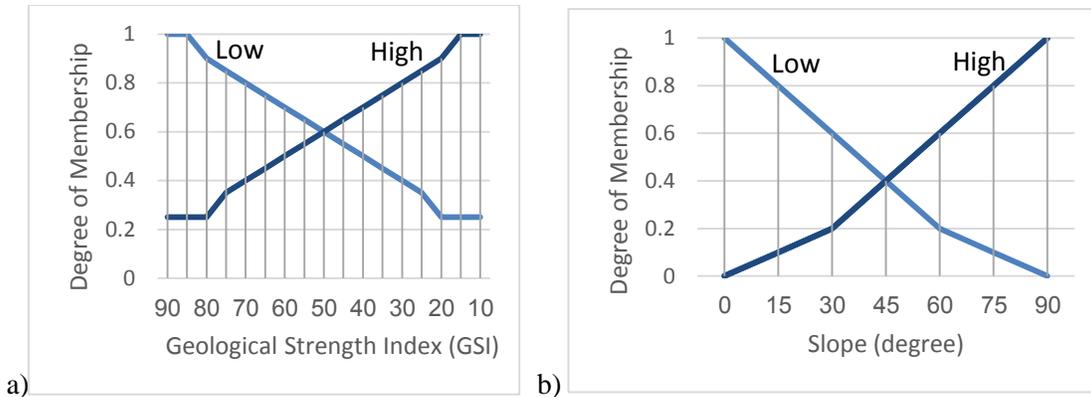
۸۹۰

۸۹۱

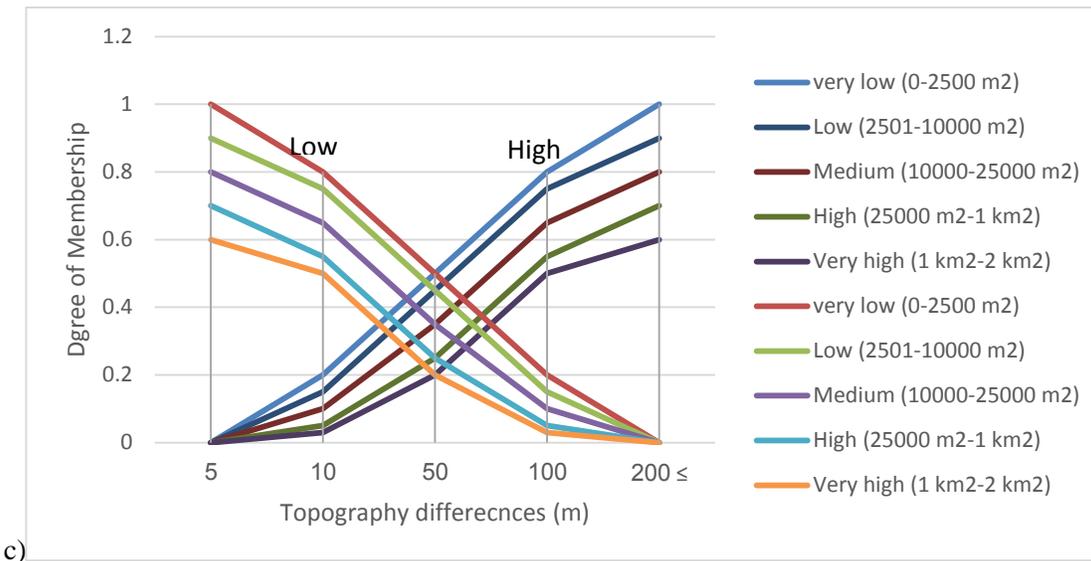
۸۹۲

۸۹۳

۸۹۴



۸۹۵

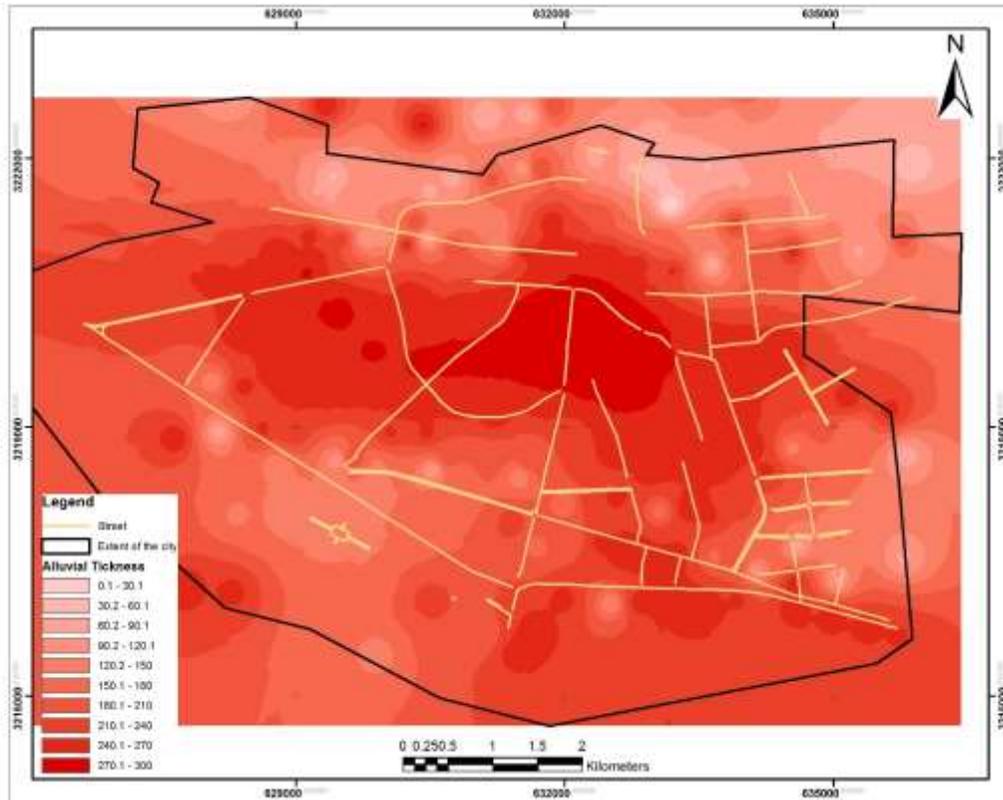


۸۹۶

۸۹۷

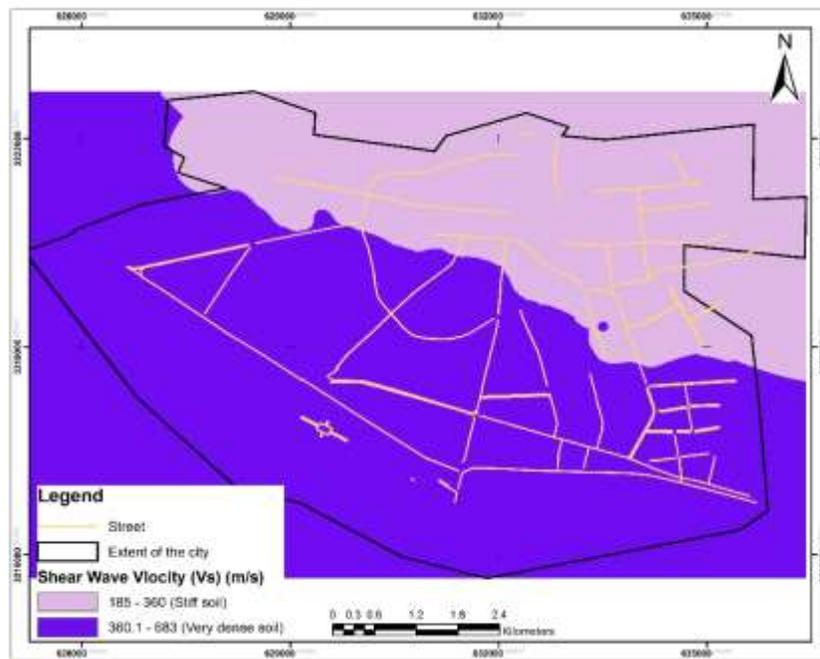
۸۹۸

Figure 6. Membership functions (MFs) based on fuzzy logic system: Type of rock and bedrock (a), Slope (degree) (b), Topographic irregularities (c).



۸۹۹

a)



۹۰۰

۹۰۱

۹۰۲

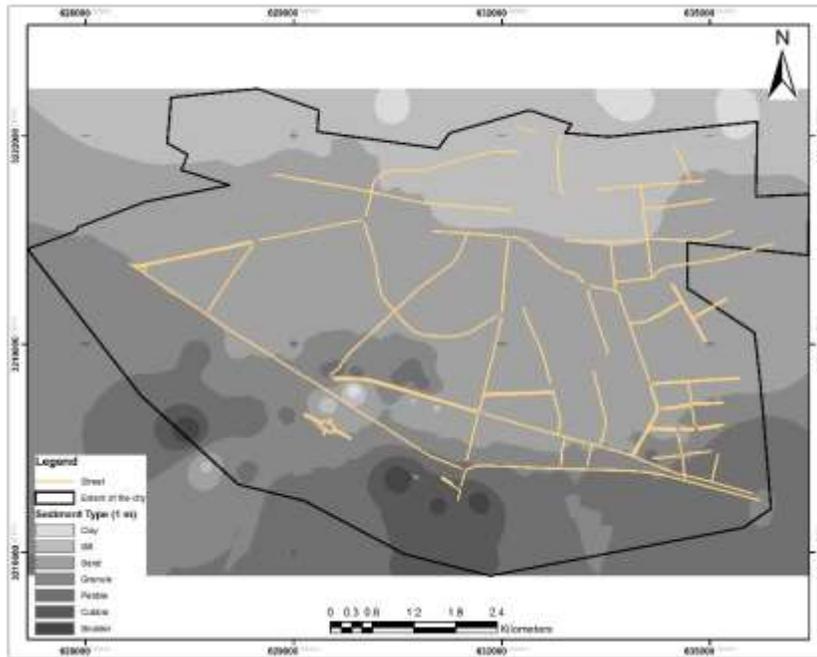
۹۰۳

b)

Figure 7. Thematic Layers of Bam city: Alluvial thickness (m) (a), Stiffness and strength of soil and sediments (b).

۹.۴

a)



۹.۵

۹.۶

۹.۷

۹.۸

b)

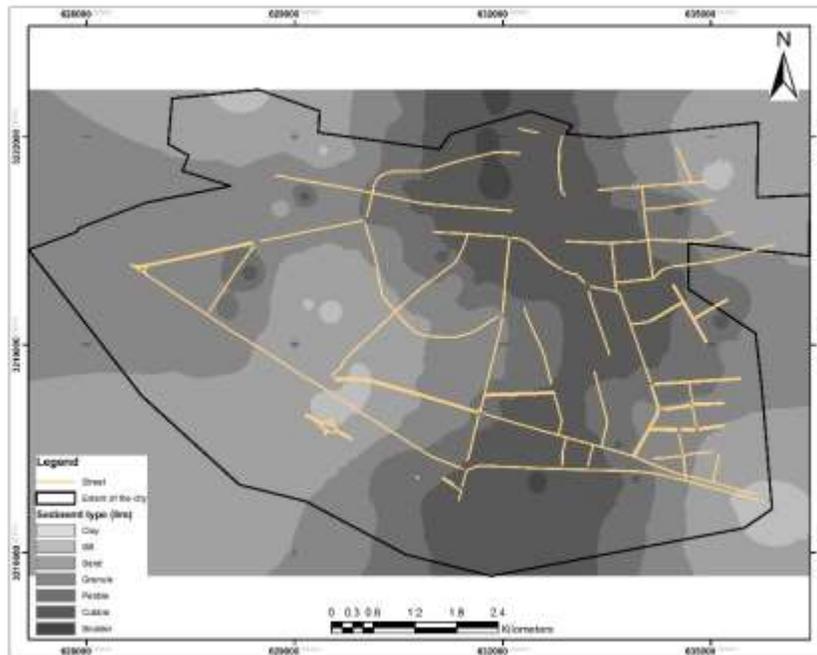
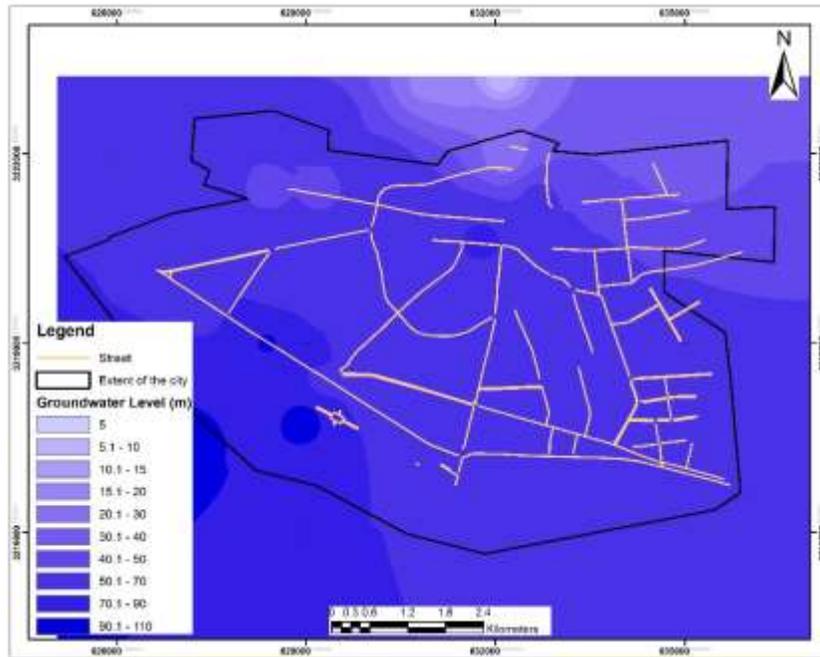


Figure 8. Thematic Layers of Bam city: Sediment type at depth of 1 meter (a) and at depth of 9 meters (b).

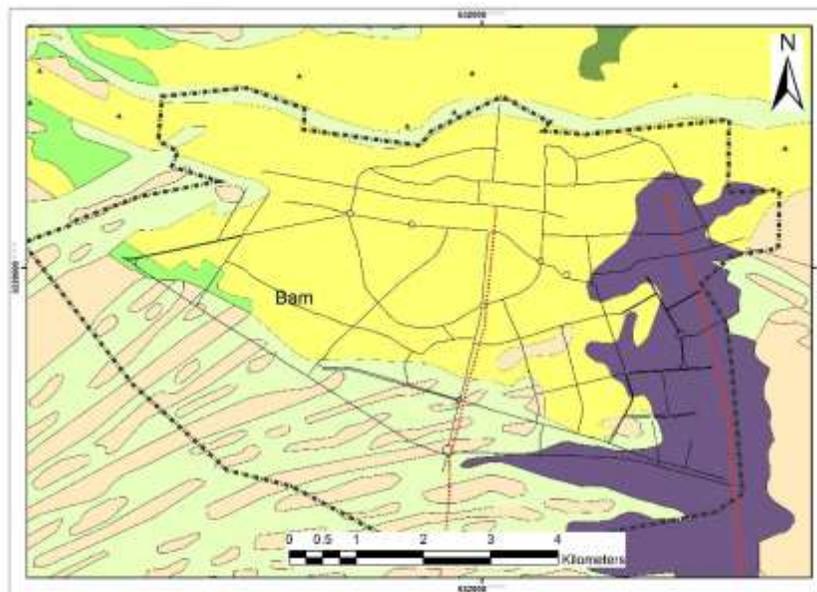
۹۰۹

a)



۹۱۰

b)



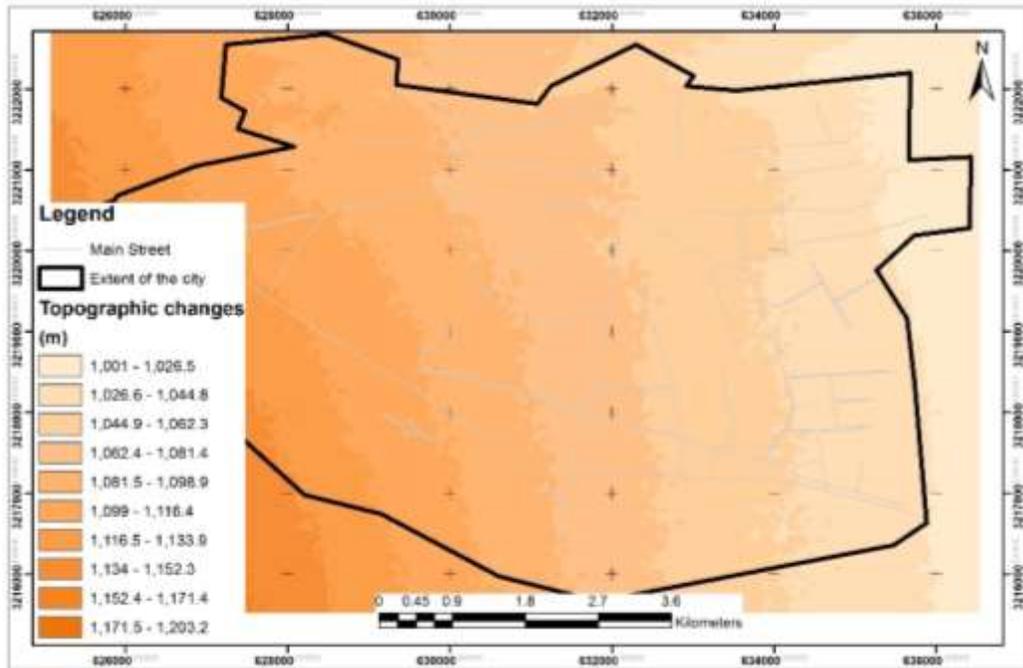
- | | |
|--------------------------------|--|
| — Main Streets | ■ Ash-flow tuffs, well bedded. |
| — Old Fault line | ■ Basaltic lavas. |
| — New Fault line | ■ Dacite; and subordinate rhyolite and andesite lavas. |
| ⋯ Extent of the city | ■ Limestone, massive and thick-layered. |
| Lithology | ■ Older gravel fans. |
| ■ Alluvium in stream channels. | ■ Silt of argil. |
| ■ Ash flow tuffs. | ■ Siltstone, sandstone. |
| | ■ Younger gravel fans. |

۹۱۱

۹۱۲

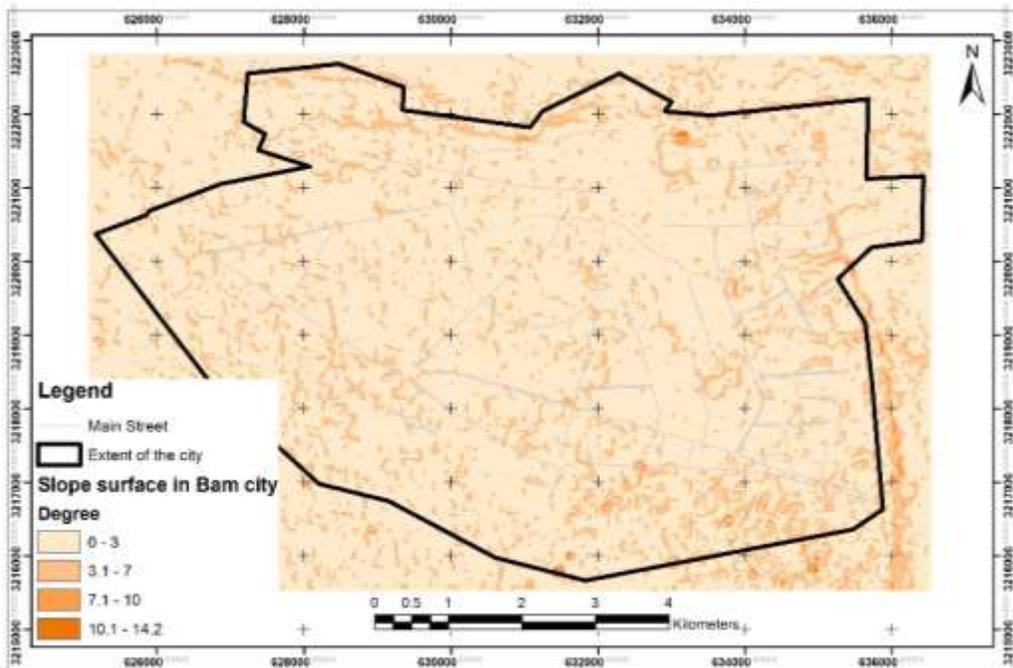
Figure 9. Thematic Layers of Bam city: Groundwater level (a), Geological map (type of rocks) (b).

913



a)

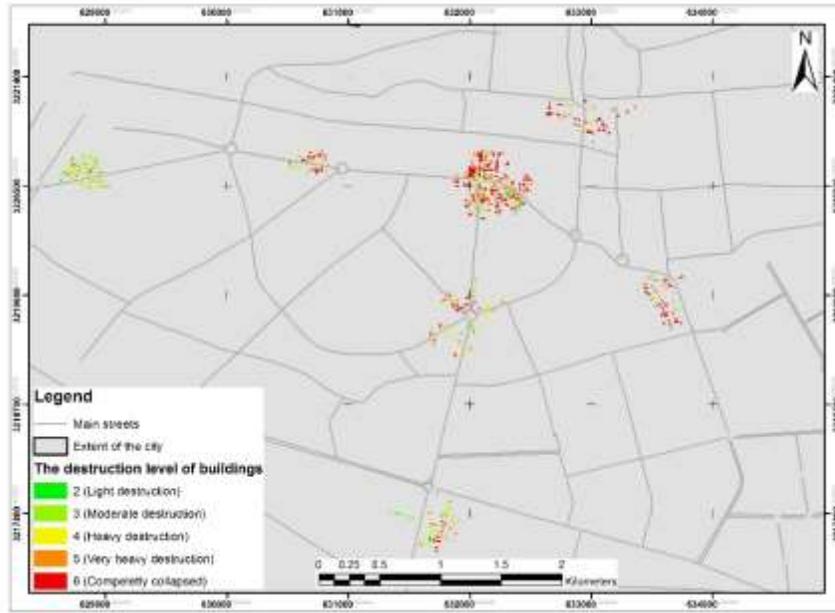
914
910



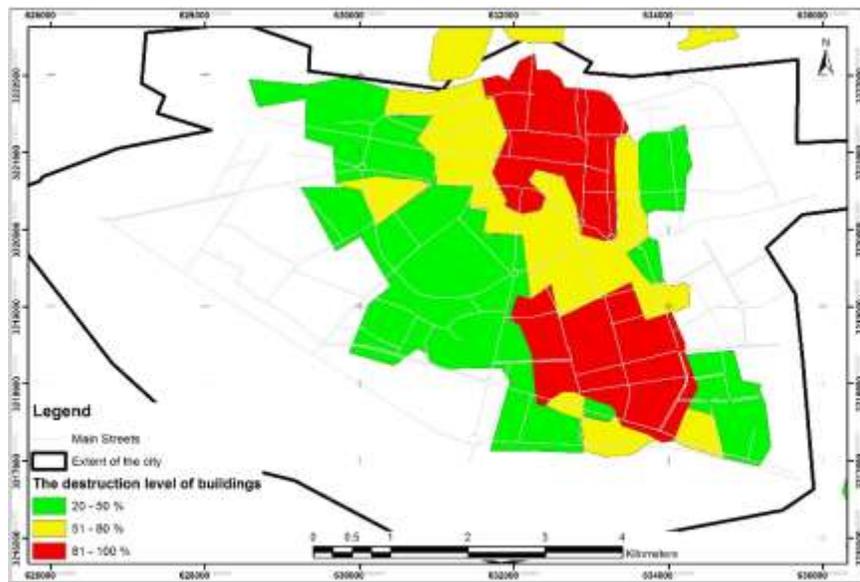
b)

916
917
918

Figure 10. Thematic Layers of Bam city: Topographic irregularities (a) and slope (b).



a)



b)

Figure 11. Control data: Actual building destruction level (Hisada et al., 2005) (a), percentage of damage to buildings caused by the Bam earthquake in 2003 (National Cartographic Center (NCC), 2003) (b).

۹۱۹

۹۲۰

۹۲۱

۹۲۲

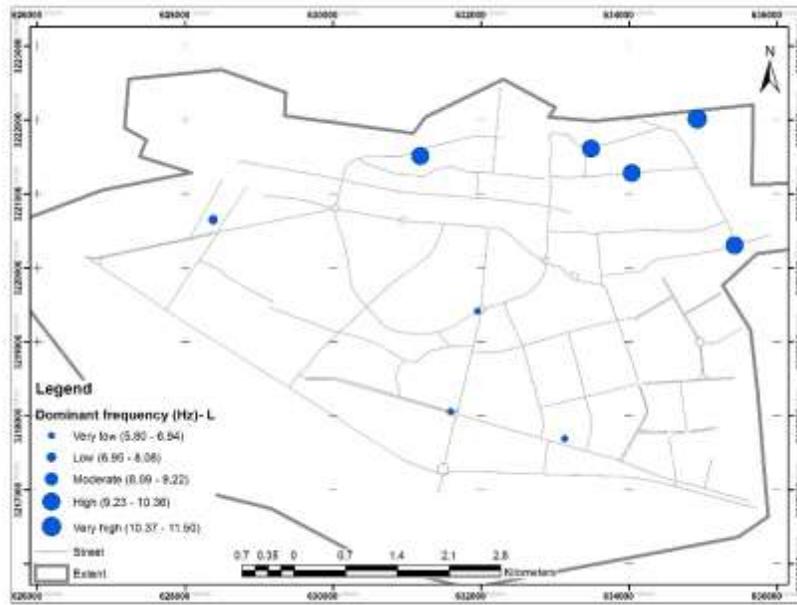
۹۲۳

۹۲۴

۹۲۵

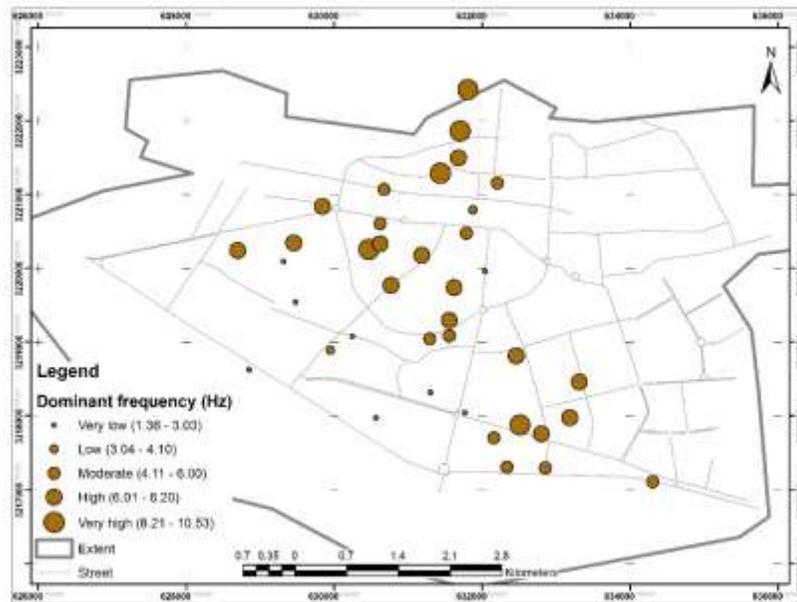
۹۲۶

a)



۹۲۷

b)



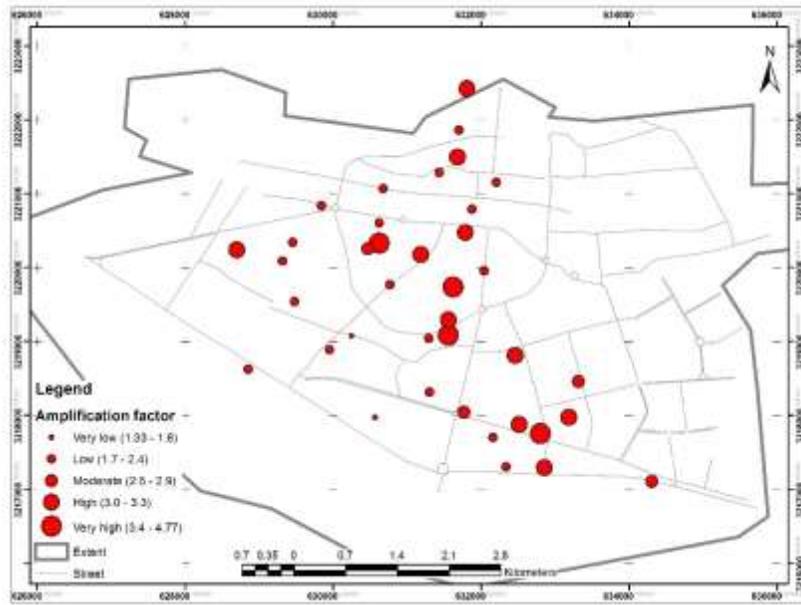
۹۲۸

۹۲۹

۹۳۰

۹۳۱

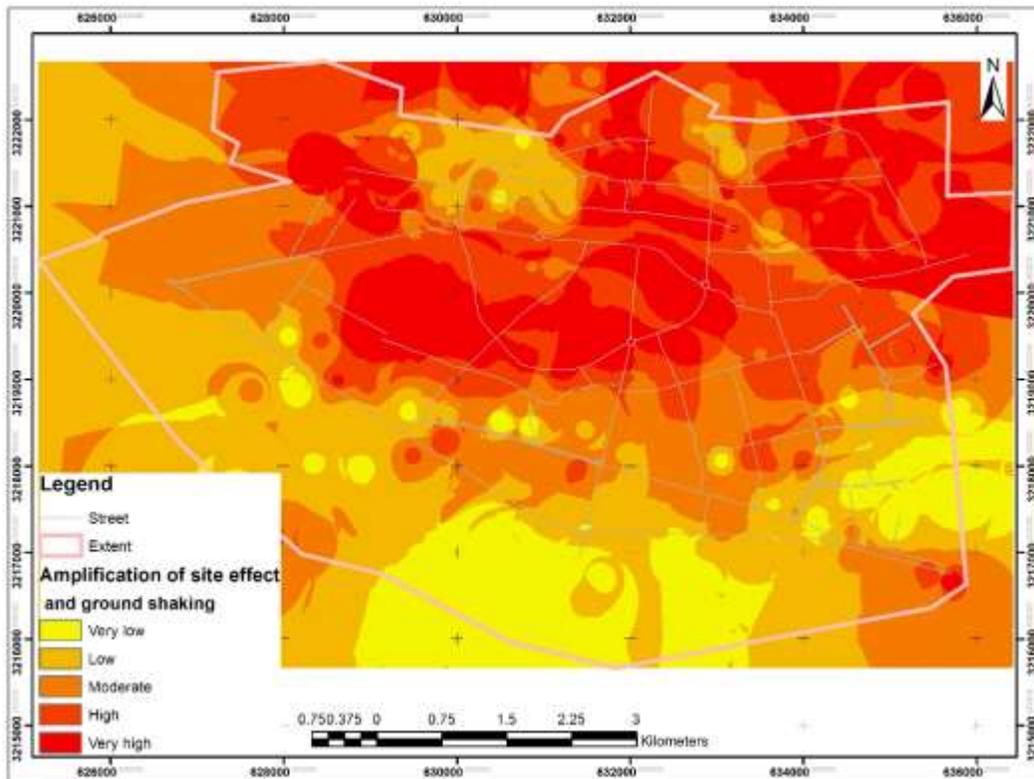
Figure 12. Control data: Dominant frequency by Lashkaripour (a) and by Motamed et al (Motamed et al., 2007) (b) using Microtremor field measurement.



۹۳۲
 ۹۳۳
 ۹۳۴
 ۹۳۵

e)

Figure 13. Control data: Amplification factor by Motamed et al. (2007) using Microtremor field measurement.



۹۳۶
 ۹۳۷

Figure 14. The susceptibility of local seismic amplification map in Bam city