



1 **New Approaches to Seismic Microzonation Modelling of Ground Shaking Using Direct**
2 **Characteristics of Influencing Criteria: Case Study of Bam City, Iran**

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12
13 **Abstract** 

14 This paper proposes a new model in evaluating seismic microzonation of ground shaking by considering
15 direct characteristics of influencing criteria and dealing with uncertainty of modelling through production
16 of fuzzy membership functions for each criterion. The relevant criteria were explored by reviewing
17 previous literature and interviewing 10 specialized experts. Analytic Hierarchy Process (AHP) and Fuzzy
18 Logic (FL) methods were applied in order to define priority rank of each criteria and to fuzzify sub-criteria
19 of each criterion by interviewing 10 experts, respectively. Applying Fuzzy Logic method to deal with
20 uncertainties of sub criteria of each criterion and using direct characteristics of each criterion are the new
21 approaches in designing a new model. The criteria and sub-criteria were combined in GIS to develop a
22 model for assessing microzonation of ground shaking in the study area of Bam city, Iran. The model's
23 output shows high to very high ground shaking levels ~~were happened in central, east, and northeast to~~
24 ~~north part of the area.~~ The validation results based on overall accuracy and Kappa statistics showed 80%
25 to 82% accuracy, 0.74 and 0.75 Kappa indicating a good fit to the model's output. This model assists
26 planners and decision makers to produce seismic microzonation of ground shaking to be incorporated in
27 designing new development plans of urban and rural areas, and to facilitate making informed decision
28 regarding safety measures of existing buildings and infrastructures.

29 **Keywords:** *Seismic Microzonation, Site Effects, Ground Shaking, Spatial Modelling, Analytic Hierarchy*
30 *Process, Fuzzy Logic and GIS.*



34 1. Introduction

35 This paper explores direct characteristics of influencing criteria and dealing with uncertainty of
36 modelling through production of fuzzy membership functions for each criterion for the assessment
37 of ground shaking amplification in a study area. MERM microzonation manual (2003) sets
38 different factors effecting on the amplitude and duration of ground shaking at a specific site.
39 These include “the magnitude of the earthquake, focal point and depth of the earthquake,
40 directivity of the energy release, distance of rapture from the site, geological condition from the
41 site to the location of the earthquake, and local geology and topographical condition of the site”
42 (SM Working Group, 2015;Boore, 2003;Hassanzadeh et al., 2013). It has long been known that
43 local conditions of foundation soils have a significant impact on the effects of an earthquake, as
44 it was demonstrated in previous earthquakes such as Mexico City, 1985 (Beck and Hall, 1986),
45 Kobe, 1995 (Wald, 1996), Izmit, 1999 (Tang, 2000) and Umbria-Marche earthquake, 1997 (Moro
46 et al., 2007). It was witnessed in the Bam earthquake, 2003 that buildings located on
47 unconsolidated sediments had greater destruction levels (Ramazi and Jigheh, 2006). The aim of
48 seismic microzonation studies is to prepare ground-shaking map that can communicate efficient
49 data to planners and policy makers in a geographic area for making informed decision regarding
50 development policies in urban areas. Therefore, this community require accurate and certain
51 information for developing mitigation plans and strategies. In the spite of this, there are
52 uncertainties in estimating seismic microzonation of ground shaking at a site, as this can be
53 influenced by complex factors such as the estimates of earthquake source, wave propagation, and
54 site condition. Uncertainty in these criteria results in uncertain ground-motion estimate from
55 earthquakes (Wang et al., 2017;Wang et al., 2016;Petersen et al., 2016).

56 Probabilistic Seismic Hazard Analysis (PSHA) (Cornell, 1968) has been used to assess ground-
57 motion hazards from earthquakes (Atkinson et al., 2015;Petersen et al., 2016). This method
58 dependent on “the length of the causative faults and depth of the earthquake”, which are generally
59 unknown that cause uncertainty in assessing ground-motion of earthquakes (Wang et al., 2017).
60 In deterministic seismic hazard analysis (DSHA) (Campbell, 2003;Atkinson and Boore, 2006)
61 absent of relevant ground-motion attenuation relationship for specific geographic areas can cause
62 uncertainty in applying DSHA for assessing ground motions of an earthquake (Wang et al.,
63 2017). Scenario-based seismic hazard analysis (SSHA) (Panza et al., 2012) applies ground-
64 motion simulations of a scenario earthquake using specified source, path and site parameters. By



65 conducting many simulations, earthquake variability of different sources, ground-motion
66 propagation characteristics, and local site effects can be considered. Therefore, uncertainties
67 using SSHA are quantified explicitly (Wang et al., 2017).

68 Accurate measurement and communication of uncertainties are critical in ground-motion hazard
69 assessment for earthquakes. Thus, ~~other~~ approach in microzonation studies is the use of multi-
70 criteria decision-making methods (MCDM). According to these methods ~~after identifying~~
71 ~~potential criteria~~, experts evaluate and choose among qualitative and quantitative criteria. Since,
72 experts' judgments can be subjective and imprecise, uncertainty also exists in ~~the~~ analysis.
73 Uncertainty stems mainly from sources such as the lack of the incomplete data availability,
74 vagueness, and linguistic expert view. Such uncertainties and vagueness can be dealt with fuzzy
75 logic principles (Zadeh, 1965) and inference systems (Klir, 2004; Zadeh, 1975). Based on fuzzy
76 logic method, the content of each sentence implies logical rules, which constitute the foundation
77 of fuzzy system modeling and inference procedures. In comprehensive decisions, an expert's
78 heuristic knowledge or empirical information is used frequently for better conclusions. For these
79 reasons, Fuzzy Logic is used for evaluating of seismic microzonation of ground shaking
80 amplification.

81 There are many MCDM tools in the literature but Analytical Hierarchal Process (AHP) (Saaty,
82 1980) is one of the most useful techniques, and plays an important role in calculating criteria's
83 weights and selecting optimized alternatives. Sitharam and Anbazhagan (2008) applied AHP and
84 GIS for seismic microzonation studies in Bangalore, India. Furthermore, AHP and GIS was
85 applied to produce seismic microzonation map of Dehli (Mohanty et al., 2007), Haldia, Bengal
86 Basin (India) (Mohanty and Walling, 2008), Erbaa (Turkey) (Akin et al., 2013) and Al-Madinah
87 (Moustafa et al., 2016). Fuzzy Logic method was used for evaluation of earthquake damage to
88 buildings (Sen, 2010), and quick seismic microzonation (Teramo et al., 2005; Nath and
89 Thingbaijam, 2009; Boostan et al., 2015). Although there were a number of publications
90 evaluating **the seismic microzonation of ground shaking amplification** in the literature, but there
91 is lack of evidence in using the Fuzzy Logic method for producing **seismic microzonation of**
92 **ground shaking amplification**. Moreover, few researchers have considered direct characteristics
93 of each criteria in local ground shaking analysis. ~~Additionally~~, in order to remove uncertainties
94 regarding source of probable earthquake, magnitude and ~~rapture~~ length, ~~therefore~~ these criteria
95 was not considered for producing seismic microzonation of ground shaking in this study.



96 The main purpose of this paper is to develop a model for evaluation of seismic microzonation of
97 ground shaking amplification using AHP, Fuzzy Logic and Weighted Linear Combination
98 (WLC) methods in GIS. At this stage, model inputs are direct characteristics of local geology,
99 hydrology, sedimentology, and topographical factors that should be taken into consideration.
100 First all selected criteria were weighted using AHP method by interviewing 10 experts, then all
101 criteria are converted into fuzzy sets and fuzzy membership functions (MFs) were produced, then
102 WLC and fuzzy inference rules are used to develop a model for producing **seismic microzonation**
103 **of ground shaking amplification** for a study area.

104

105

106 **2. Material and methods**

107 This study investigates the importance of influencing factors on **seismic microzonation of ground**
108 **shaking**. These criteria ~~are identified by reviewing previous literature.~~ Analytic Hierarchy
109 Process (AHP) and Fuzzy Logic (FL) Methods ~~are~~ applied to deal with selection, weighting and
110 fuzziness of criteria due to associated uncertainties in the decision-making process of **seismic**
111 **microzonation of ground shaking amplification** by interviewing experts. ~~Combining the criteria~~
112 ~~and sub criteria is done based on WLC method.~~ Finally, the developed model is validated using
113 Overall Accuracy (OA) and Kappa statistics methods. ~~The study has been conducted in four steps~~
114 ~~that are elaborated in Figure 1.~~

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116

117

118 Figure 1. The methodological approach of the model

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120

121 **2.1. Identification, Weighting and Fuzzification of Criteria**

122 **Seismic microzonation of ground shaking** can be influenced by several criteria. These criteria
123 need to be identified by reviewing literature and interviewing experts in data gathering step.
124 Selected criteria will be weighted and fuzzified using AHP and FL methods **as they are explained**
125 **in the following:**

126



127

128 **2.2.1. Analytical methods**

129 **2.2.1. Analytic Hierarchy Process (AHP) method**

130 Several methods have been developed to deal with ranking of criteria and solving a problem,
131 such as Regime (Hinlopen et al., 1983), ELECTRE family (Figueira et al., 2005), Analytical
132 Hierarchy Process (AHP) (Saaty, 1980), and Multiple Attribute Utility approach (MAUT)
133 (Keeney and Raiffa, 1993). AHP is one of the most commonly used multi-criteria decision
134 making (MCDM) tools, and allows the consideration of both objective and subjective factors in
135 ranking alternatives in a hierarchical decision model (Saaty, 1980; Saaty, 1990). This method is
136 applied to convert the experts' view on the importance of each criterion and sub-criterion to a
137 numerical value by comparing ~~them to one another~~, one pair at a time (pair-wise comparison)
138 (Saaty, 1980).

139

140 AHP matrix (A) is developed from the pair-wise comparison of the relative importance of
141 criterion A_i to criterion A_j (α_{ij} , represents a quantified judgment on a pair of criteria C_i, C_j) (Figure
142 2), as it was explained above. The values assigned to α_{ij} according to the Saaty's scale (1980) ~~are~~
143 ~~usually in the interval of 1~~ to 9 or their reciprocals. In order to calculate the priority ranking of
144 each criterion (weight), Saaty (1990) suggested the mathematical computation of eigenvector
145 (Eq. 1 & 2).

146

147

148 Figure 2. AHP matrix (A)

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150

$$\lambda_{max} = \sum_{j=1}^n \alpha_{ij} \frac{w_j}{w_i} \quad (Eq. 1)$$

151 Where: λ_{max} = the largest eigenvalue; α_{ij} = judgment; W_i & W_j = numerical weights for judgment
152 α_{ij} .

153

$$(A - \lambda_{max} I)X = 0 \quad (Eq. 2)$$

154 Where: A = AHP matrix; λ_{max} = the largest eigenvalue; I = Unique matrix; X = eigenvector.



155

156 In addition, the assignment of weights (~~the degree of importance~~) to each criterion relates to the
157 process of the experts' logical and analytical thinking, which is tested for each matrix with
158 Consistency Ratio (CR) statistics. ~~In case,~~ this statistics is less than 0.1 ($CR < 0.1$) the experts'
159 answers are logical. Following the testing for consistency, the weights are aggregated to
160 determine ranking of decision alternatives (the weights) for each criteria. Therefore, in this
161 research, AHP method is applied to calculate the degree of importance of each criterion
162 influencing **on seismic microzonation level of ground shaking** in a region using interview data of
163 10 specialized experts in seismology, earthquake engineering, geology, tectonics and structural
164 engineering.

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166

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168 **2.2.2. Fuzzy Logic (FL) method**

169 Fuzzy logic is a method of “approximating modes of reasoning” (Novák et al., 2012), and it is a
170 mathematical tool that deals with uncertainty in a different way that can relate independent to
171 dependent variables. Zadeh (1965) introduced Fuzzy set theory **Indicating** that the boundary is
172 not precise and the gradual change is expressed by a membership function, and it changes from
173 non-membership to membership in a fuzzy set (Eq. 3). The characteristic function ~~can be~~
174 ~~assigned a value between 0 to 1.~~ Each membership function is represented by a curve that
175 indicates the assignment of a membership degree in a fuzzy set to each value of a variable. Curves
176 of the membership functions can be linear, triangles, trapezoids, bell-shaped, or have more
177 complicated shapes (Figure 3) ~~depend~~ on the purpose of the subject (Demiccio and Klir, 2003).

178

$$179 A_a = \{x \in X \mid \mu_A(x) \geq a\} \quad (Eq. 3)$$

180 Where A_a is called the a-cut or a-level set of A, and $\mu_A(x)$ represents membership degree of the
181 element x.

182

183 Figure 3. Fuzzy membership functions (After Mancini, 2012)

184



185 Fuzzy systems are mainly based on expert knowledge to formalize reasoning in natural language
186 mostly using sets of fuzzy inference rules or “if-then” rules (Eq. 4).

187

188 *If x is A then y is B* (Eq. 4)

189

190 As membership functions curve can easily be changed by small increments based on expert
191 knowledge, therefore, fuzzy logic can characterize and model geologic systems in an efficient
192 way (Klir, 2004;Demicco and Klir, 2003). Therefore, in this research using Fuzzy set, the
193 uncertainties in producing microzonation map of ground shaking can be managed by defining
194 fuzzy membership functions for each criterion. This happens by assigning meaningful values (0
195 to 1) to each individual (sub criteria) of each criterion through interviewing 10 specialized
196 experts. For the purpose of defuzzification, largest of maximum method was used that the precise
197 value of the variable output is one of which the fuzzy subset has the maximum truth-value
198 (Mancini et al., 2012).

199

200 **2.3. Data gathering**

201 In order to identify influencing criteria in seismic microzonation of ground shaking the required
202 data were collected through a literature review, and semi-structured interviews with 10 experts
203 who were involved in the geology, seismology, tectonic and structural engineering, and
204 geomorphology fields. They were asked about the criteria that can influence seismic microzo
205 nation level of ground shaking, and then these data were analyses using AHP and FL methods as
206 explained in the following:

207

208 **2.3.1. Determining the relevant criteria by reviewing literature**

209 The potential criteria influencing seismic microzonation of ground shaking were determined
210 through reviewing previous research. By reviewing documents on earthquake engineering,
211 seismology, geology, tectonic and structural engineering, geomorphology and seismic
212 microzonation reports and guidelines (Fäh et al., 1997;Ding et al., 2004;Molina et al.,
213 2010;Mundepi et al., 2010;Marulanda et al., 2012;Hassanzadeh et al., 2013;Federal Emergency
214 Management Agency (FEMA), 2014;Fraume et al., 2014;Grelle et al., 2016;Grelle et al., 2014;SM



215 Working Group, 2015;Rehman et al., 2016;Nwe and Tun, 2016;Global Earthquake Model (GEM),
216 2017;CAPRA, 2017;Michel et al., 2017;Trifunac, 2016;Hassanzadeh and Nedovic-Budic, 2016),
217 ~~in total 14 criteria were recognized that can influence seismic microzonation levels in a study area~~
218 (Table 1).

219

220 Table 1.Relevant criteria that influence on seismic microzonation

221

222

223 2.3.2. Experts' Knowledge data

224 *a) Interviewing disaster managers (semi-structured interviews) to determine the*
225 *important criteria*

226 The most important criteria were determined by conducting a semi-structured interview with 10
227 experts using the snowball sampling or chain-referral sampling method (Biernacki and Waldorf,
228 1981). In this study, all 10 interviewees were highly experienced and had been involved in
229 seismic microzonation studies. The average age of the sampled individuals was 43 years, and all
230 of them had a postgraduate degree.

231 A list of criteria that were identified by reviewing previous studies were given to the experts and
232 they were requested to add other criteria if they thought they were applicable. They were asked
233 to rank each criterion using a five-point Likert Scale (Likert, 1932), so respondents could choose
234 the option that best reflected their opinion on each criterion. When surveying many people on
235 the same criterion, the five codes could be summed up, averaged or calculate the mode, indicating
236 overall positive or negative orientation towards that criterion. This was the basis from which this
237 method was used to identify the degree of importance for each criterion in **seismic microzonation**
238 **of ground shaking** in a region. Therefore, in order to elicit the most relevant criteria, the
239 significance of specific factors were measured on a five-point Likert Scale where, 1 represents
240 'not important at all', 3 'of little importance', 5 'of average Importance', 7 'very important', and
241 9 'extremely important' (Likert, 1932;Jamieson, 2004). The collected data ~~from experts~~
242 analysed and criteria with mean ratings above '5' ('of average important') were selected (Table
243 2). These ~~are~~ considered for further analysis using the Analytic Hierarchy Process (AHP) method.



244

245

Table 2. The average importance criteria based on 5-point Likert Scale

246

b) Interviewing disaster managers (structured interviews) in order to collect data for computing the relative importance (weights) of the criteria

247

248

A questionnaire based on AHP matrix (A) was developed for a pair-wise comparison of the relative importance of the criteria for calculating the weights (priority ranking) of each criterion.

249

250

As AHP is a subjective method therefore a large sample size is not needed (Cheng and Li, 2002; Lam and Zhao, 1998). Therefore, data were collected by interviewing 10 experts (the same

251

252

experts who were interviewed in the first round) based on the structured questionnaire (closed-ended questions). They were asked to compare the relative importance of each criterion against

253

254

all others, based on Saaty's scale by verbal preferences (Saaty, 1980). A pair-wise comparison

255

that was carried out with an expert is shown in Table 3. These data are used by the AHP method

256

to compute the weight of each criterion as explain previously.

257

258

Table 3. The results of pair-wise comparisons of the selected criteria with each other based on

259

the AHP matrix

260

261

c) Determining fuzzy set and fuzzification of thresholds of sub-criteria for each criterion

262

In the next step, since each criterion and its sub-criteria has different effect on the seismic

263

microzonation of ground shaking level in a region, fuzzy membership functions (MFs) for sub

264

criteria of each criterion are defined in that numerical analyses of their effect would be computed.

265

As, designed parameters of each membership function depends on experts knowledge, then

266

number of memberships, the shape, the positioning, and the overlay area of memberships of each

267

MFs for each criterion would be different. To conduct this analysis, 10 experts were interviewed

268

regarding membership degree of sub criteria of each criterion, and mode of each sub criteria was

269

calculated and MFs for each criterion was depicted as described in the following:

270

- Thickness of soil and sediments: an effective factor in site effect assessment is the thickness of

271

sediments. Rezaei et al. (2009) (2009) state that the soil thickness shows a direct relationship to



272 damage rate observations in the Bam earthquake. This layer was produced by 245 geophysical,
273 geotechnical, and sedimentological sample sites across the city. The alluvial thickness varies in
274 different parts of the city. In the northern part of the city, the **sediment** thickness ranges from 0 m,
275 where bedrock is exposed beneath Arg-e-Bam, to 90 m across most of the northern half of the
276 study area. Toward the south and center of the study area, sediment thickness increases over a
277 short distance, to more than 270 m. This defines a subsurface of high sediment thickness that
278 extends across the entire study area from west to east and underlies south-central Bam. Therefore,
279 based on a direct relationship between the damage rate and alluvial thickness (Rezaei et al.,
280 2009;Marie Nolte, 2010). MF for this criterion is depicted in figure 4a.

281

282 - Consolidation and strength of soil and sediments: It has been frequently observed that earthquake
283 damage is greater in settlements located on unconsolidated and soft soils than in those sited on
284 stiff soils or hard rock. For example, in Bam earthquake strong amplification occurred due to the
285 extremely soft clay layers that caused high-rise buildings to collapse (Jafari et al., 2005). Another
286 example was the Loma Prieta earthquake that happened in 1989, where much of the damage
287 occurred in the central San Francisco Bay area at sites underlain by thick deposits of soft clay soils
288 (Stewart, 1997). The soil classification has been ~~done~~ based on different thresholds for the average
289 shear wave velocity (V_s) to a depth of 30m by the National Earthquake Hazard Reduction Program
290 (NEHRP) to characterize sites for purposes of estimation amplification of seismic motions. This
291 standard has applied in Unified Building Code (Dobry et al., 2000) and Eurocode8 (Sabetta and
292 Bommer, 2002;Kanlı et al., 2006). Based on this classification in areas on unconsolidated
293 sediments, shear wave velocity reduces, and expected amplification during earthquakes can be
294 increased. Therefore, according to this MFs for each class have been calculated as shown in figure
295 4b.

296

297 - Type of soil and particle size distribution of sediments: It has long been recognized that the
298 destructiveness of ground shaking during earthquakes can be significantly worsened by the type
299 of local soil and subsurface sediment conditions. In past events, the observed variability in seismic
300 intensity and structural damage severity has often been attributed to the variability of soil and
301 subsurface sediment stratigraphy in a given area. Among the geotechnical properties of soil and
302 sediments, grain size is one of the most important criteria (Assimaki et al., 2006;Phoon et al.,



303 2006). In the study area, Rezaei et al. (2009) identified eight sediment types: clay, silt, sand,
304 granules, pebbles, cobbles, and boulders. They stated that the grain size in the shallow subsurface
305 (<10 m) decreases across the city from south to north and increases with depth. Their investigation
306 showed that fine-grained soils and sediments (clay, clayey sand, cohesive sandy mud, and cohesive
307 muddy sand) dominated the northern part of the city at shallow depths. In the central part of the
308 city, fine-grained sediments changed laterally to coarse-grained sediments (poorly sorted sand,
309 well-rounded gravel, poorly sorted gravel, and muddy or sandy gravel) which dominated in the
310 south part of the city. As a rule, it can be assumed that, the smaller the grain size of sediments, the
311 less the shear waves velocity and therefore the greater the effect of the seismic wave on the
312 destruction level of buildings (Rezaei et al., 2009;Assimaki et al., 2006;Phoon et al., 2006).
313 Therefore, the MFs for each specific grain size are calculated in **Figure 4c**.

314

315 - Depth of groundwater: Research on the effects of groundwater shows it can magnify an
316 earthquake's damage. The most well known effect is liquefaction. The geologic and hydrologic
317 factors that affect liquefaction susceptibility are the age and the type of sedimentary deposits, the
318 looseness of cohesions ~~less sediments~~ and the depth to the groundwater table (Tinsley et al., 1985).
319 The liquefaction is mostly limited to water-saturated, cohesions less sediments, and granular
320 sediments at depths less than 15m (Iguchi and Tainosho, 1998;Sitharam, 2010). Noack and Fah
321 (2001) categorized it by the depth of the water table, which is split into three classes where the
322 weight of the class increases while the groundwater table decreases (Fah et al., 1997). Therefore,
323 due to the geological conditions in Bam, liquefaction is considered of minor importance because
324 Talebian et al. (2004) and Rezaei et al. (2009) **found water saturated sands in very few places,**
325 **however, measured microtremore data demonstrated more applification in areas with high**
326 **groundwater levels**. Accordingly, MFs for each class of groundwater depth are computed as shown
327 in figure 4d.

328 - **Type of surficial rock**: Type of **surficial** rocks can effect on seismic microzonation level of ground
329 shaking in each region. Three main types of rock based on their formation process include igneous,
330 metamorphic, and sedimentary rocks. Each type has its own sub-categories and what matter in this
331 research is how hard or soft and how dense the specific type of rock is in ~~compare~~ with the other
332 types. Geological Strength Index (Geological Survey of Iran (GSI)) of "rock masses depends on



333 rock's material, the amount of joints and their relations, alteration, and presence of water" (Hoek
334 and Brown, 1997). There are many rock types in the nature that GSI can be calculated for any of
335 them based on their condition, and then can be fuzzified addressing their effect on seismic
336 microzonation level of ground shaking. There are five classes of GSI including very good, good,
337 fair, poor and very poor based on their surface quality and interlocking of rock pieces from
338 massive, blocky, very blocky, disintegrated, and laminated/ sheered (Marinos et al., 2007). The
339 GSI values categorized in five classes including very low, low, medium, high and very high levels.
340 These classes shows the geological strength of rocks that the high and very high GSI demonstrate
341 high to very high strength of rocks. Therefore, previous studies demonstrates that in massive rocks,
342 high GSI values, seismic waves passes quickly and therefore have small influence in seismic
343 microzonation level of ground shaking, and vice versa if GSI value gets to the lower values. Thus,
344 in fuzzyfication process of surficial rocks, the rock with very high GIS assign 0 and the rocks with
345 very low GSI assign 1 (Figure 4e). Furthermore, the criterion of type of bedrock acts the same as
346 surficial rock type criterion as explained above. Type of bedrock rarely changed over a small extent
347 with homogenous lithology. However, it was concern of experts in determining seismic
348 microzonation of ground shaking.

349 - ~~Slope surface~~; Bisch et al. (2012) reported that the effects of slope angle on topographic
350 amplification factor. They classified the slope angle to three categories: 0-15 with no effect, 15-30
351 degree with 1.2 and more than 30 degree with 1.4 amplification coefficients. Bouckovalas and
352 Papadimitriou (2005) investigated that the influence of slope in amplifying the peak horizontal
353 seismic ground acceleration in front and behind the crest. Grelle et al. (2016) presented formulae
354 for topographic amplification on slope surface. These studies indicated that with the increase in
355 slope angle the amplification factor would be increased. This can be a basis for depicting MFs of
356 this criterion (Figure 4f).

357 - Topography irregularities: Seismic amplification has been witnessed in several earthquakes due
358 to topographical changes (Geli et al., 1988;Paolucci, 2002). Bisch et al. (2012) classified the site
359 in two classes of "isolated cliff and ridge with crest width significantly less than base width" (CEN
360 European Committee for Standardisation, 1994, p 93). However, this seems simplistic, as it does
361 not consider the elevation differences. Furthermore, Grelle et al. (2016) presented an equation that
362 considered the local slope height, relief height, regional share wave velocity and relief ratio. In



363 addition, several calibration constants should be calculated using 2d numerical analysis for each
364 study area to compute topographic effects on seismic microzonation of ground shaking. Lee et al.
365 (2009) found out that the amplification on top of elevated surfaces with small extent was much
366 higher than valleys and flat areas. **Therefore, the elevation differences (dH m) between the bases**
367 **of a hill with the top of the hill and also the area (A m²) of the top part of the hill are the main**
368 **driver in computing the amount of amplification of seismic waves and can effect on seismic**
369 **microzonation level of ground shaking.** Therefore, the higher the elevation differences and the
370 smaller the area of the elevated surface, the ground in this part will be more amplified. Here, using
371 fuzzy logic and experts' knowledge the effect of topography in terms of elevation differences in
372 determining seismic microzonation of ground shaking in the study area is defined (Figure 4g).

373 Figure 4. Membership functions (MFs) based on fuzzy logic system: Thickness of soil and
374 sediments (a), Consolidation and strength of soil and sediments (b), Type of soil and particle
375 size distribution of sediments (c), Depth of groundwater (d), Type of surficial rock and
376 bedrock (e), Slope surface (degree) (f), Topography irregularities (g).

377 2.3.3. *Preparing thematic data*

378 The required data were collected from relevant organizations and documents and they were
379 **converted to GIS files.** These thematic data included: thickness of soil and sediments (Figure 5a),
380 consolidation and strength of soil and sediments (Figure 5b), type of soil and particle size
381 distribution of soil and sediments (Figure 5c and d), depth of groundwater (Figure 5e), type of
382 surficial rock (Figure 5f), **topography of surface** (Figure 5g), and slop surface (Figure 5h) layers.
383

384 Figure 5. Thematic Layers of Bam city: Thickness of soil and sediments (m) (a), Consolidation
385 and strength of soil and sediments, (b), Sediment type at depth of 1 meter (c) and at depth of 9
386 meters (d), Groundwater level (e), Type of surficial rock(f), Topography (g) and Slop (h) layers.

387 2.3.4. *Preparing control data*

388 National Cartographic Center (2003) and Hisada et al.(2005) were collected data on the destruction
389 level of buildings after math of the [bam](#) earthquake (Figure 6a and b). Lashkari Pour et al. (2006)



390 and Askari et al. (2004) were collected data on the dominant frequency of soil (Figure 6c and d)
391 using microtremor measurements in Bam city. These datasets were used to validate the model.

392

393 Figure 6. Control data: Actual building destruction level (Hisada et al., 2005) (a), percentage of
394 damage to buildings caused by the Bam earthquake in 2003 (National Cartographic Center
395 (NCC), 2003) (b), Dominant frequency by(LashkariPour et al., 2006) (c) and by (Askari et al.,
396 2004) (d) using Microtremor field measurement.

397

398 **2.3. Spatial combination methods and overlay rules**

399 The spatial Multi Criteria Decision Making (MCDM) approach is a decision-aid and a
400 mathematical tool that combines and transforms spatially referenced data into a raster layer with
401 a priority score. (Roy, 1996;Malczewski, 2006). Several combination methods have been
402 developed, such as Boolean operations (Malczewski, 1999), weighted linear combination (WLC:
403 combining the normalized criteria based on overlay analysis) (Voogd, 1983;Drobne and Lisec,
404 2009;O'Sullivan and Unwin, 2010) (Eq. 5), ordered weighted averaging (OWA) (Yager,
405 1988;Rinner and Malczewski, 2002), and Analytical Hierarchy Process (AHP) based on the
406 additive weighting methods (Zhu and Dale, 2001). In this research, the AHP method (Saaty,
407 1980) was used to derive the weights associated with criteria and Fuzzy Logic method was
408 applied to compute sub-criteria's membership functions (MFs) in order to produce the seismic
409 microzonation of ground shaking. Then, the degree of membership of each sub-criteria
410 (calculated by Fuzzy Logic method) is assigned to the corresponding sub-criteria. Next, this is
411 multiplied by the weight of corresponding criteria (calculated by AHP method). Finally, they are
412 summed up in a linear manner using WLC method (Eq. 5) to develop the model (Larzesh model)
413 for production of the seismic microzonation of ground shaking in the study area.

$$A_i = \sum W_j * X_{ij} \quad (Eq. 5)$$

414 Where: w_j = the calculated weight of criteria j , and X_{ij} = the degree of membership of the i th
415 sub-criteria with respect to the j th criteria, and A_i = the seismic microzonation of ground
416 shaking index in i th location.

417

418 **2.4. Validation and comparison methods**



419 In order to validate the model, as categorical variables are the main driver of model development in
420 this research, therefore relevant measures such as Overall Accuracy and Kappa statistic will be
421 applied to measure the performance of the model.

422 **a) Overall accuracy (OA)**

423 Accuracy assessments determine the quality of the results derived from data analysis or a model,
424 in comparison with a reference or ground truth data (where ground truth data are assumed to be
425 100% correct) (Congalton and Green, 2009). The accuracy assessment can be obtained by
426 creating a contingency table of counts of observations, with calculated, estimated or predicted
427 data values as rows and with reference data values as columns. The values in the shaded cells
428 along the diagonal represent counts for correctly classified observations, where the reference data
429 matches the predicted value. This contingency table is often referred to as a confusion matrix,
430 misclassification matrix, or error matrix (Czaplewski, 1992; Congalton and Green, 2009) (Eq. 6).

$$OA = \frac{\sum_{k=1}^q n_{kk}}{n} \times 100 \quad (Eq. 6)$$

431

432 Where: OA = Overall Accuracy, n_{kk} = Values in diagonal cell of the matrix (correctly classified
433 observations), and n = number of observations.

434 **b) Kappa analysis**

435 The kappa statistic (κ) (Sim and Wright, 2005; Congalton and Green, 2008) calculates degree of
436 agreement between classes of two independent observe measuring the same property. The degree
437 of Kappa would be 0 for a random classifies and 1 for classification. Degree of agreement of
438 Kappa interprets as follows: less than 0.4: poor agreement, 0.4 and 0.8: moderate agreement,
439 and greater than 0.80: strong agreement (Congalton and Green, 2008) (Eq. 7).

440

$$k = \frac{P_o - P_e}{1 - P_e} \quad (Eq. 7)$$

442 Where: P_o = the relative observed agreement among raters, P_e = the hypothetical probability of chance
443 agreement.

444



445 **Results and discussion**

446 In order to produce the seismic microzonation of ground shaking the most important criteria were
447 identified and then were weighted using AHP pair-wise comparison method. The higher weight
448 belong to thickness of soil and sediments (0.271), consolidation and strength of soil and
449 sediments (0.207), type of soil and particle size distribution of sediments (0.177), depth of
450 groundwater (0.171), topography of surface (0.054), type of surficial rock (0.041), slop surface
451 (0.040), and type of bedrock (0.040) were considered. Then, based on Fuzzy Logic method sub-
452 criteria of each criterion was fuzzified and membership functions for them was defined. Next,
453 these criteria were combined based on the Weighted Linear Combination (WLC) (Drobne and
454 Lisec, 2009) in GIS to develop the model for producing the seismic microzonation of ground
455 shaking map of the study area, as it is proposed in the following (Eq. 8):

456

$$457 \quad A_j = \sum(wS_s \cdot FS_{SS}) + (wT_A \cdot FS_{TA}) + (wS_A \cdot FS_{SA}) + (wD_{GW} \cdot FS_{D_{GW}}) + (wT_R \cdot FS_{TR})$$
$$458 \quad + (wT_{Br} \cdot FS_{TBR}) + (wT_S \cdot FS_{TS}) + (wS_L \cdot FS_{SL}) \quad (Eq. 8)$$

459 *Where: A_j = seismic microzonation of ground shaking, weights of each criterion: wS_s = consolidation*
460 *and strength of soil and sediments, wT_A = thickness of soil and sediments, wS_A = Type of soil and particle*
461 *size distribution of sediments, wD_{GW} = depth of groundwater, wT_R = type of surficial rock, wT_{Br} =*
462 *type of bedrock, wT_S = topography of surface, wS_L = slop surface, and fuzzified sub-criteria of each*
463 *criterion: FS_{SS} = consolidation and strength of soil and sediments, FS_{TA} = thickness of soil and sediments*
464 *, FS_{SA} = Type of soil and particle size distribution of soil and sediments, $FS_{D_{GW}}$ =*
465 *depth of groundwater, FS_{TR} = type of surficial rock, FS_{TBR} = type of bedrock, FS_{TS} = topography of*
466 *surface, FS_{SL} = slop surface.*

467

468 Figure 7 displays the resulting microzonation map of ground shaking in Bam city. The areas with
469 **high to very high susceptibility of amplification** are located in the north, east and northeast part
470 of Bam city. This is due to the widespread unconsolidated sediments, low groundwater level in
471 combination with high sediment thickness.

472 In order to validate the results, OA and Kappa methods were applied comparing the output of
473 model with the measured predominant frequency (Askari et al., 2004; LashkariPour et al., 2006)
474 in the study area. The results demonstrated 80% and 82% (Table 4a and b) for OA and 0.74 and



475 0.75 for Kappa (Table 5) indicating a good fit of the model's output with the measured data.
476 Moreover, overlaying the building destructions caused by the Bam earthquake in 2003 (Hisada
477 et al., 2005; National Cartographic Center (NCC), 2003) shows high destruction levels happened
478 in locations with high ground shaking which were located in central, north and northeast part of
479 the city.

480

481 Figure 7. Seismic microzonation of ground shaking map of Bam city

482

483 Table 4. Coparesion between the model's output with the measured predominant frequaney in Bam
484 city by Askari et al. (2004) (a)c and LashkariPour et al. (2006) (b).

485

486 Table 5. Kappa coefficient and OA

487

488 In this study, we have focused on the site effect and local geology properties of a site that have a
489 massive influence on seismic microzonation of ground shaking in the study area. To deal with
490 related uncertainties in preparing seismic microzonation, the most important criteria were selected,
491 weighted and the fuzzified. Criteria with high uncertainty degree such as distance of active fault
492 to the site, depth and magnitude of the probable earthquake were not considered because there was
493 no possibility to exactly find out where and how an earthquake will be triggered. Therefore, only
494 the criteria with known location (x and y) and known characteristics were taken into consideration.
495 Furthermore, to deal with uncertainties Fuzzy Logic is a suitable approach as we can define
496 membership function of the effect of each criterion in the amplification of ground shaking by
497 interviewing 10 experts and obtaining expert's knowledge. This can result in realistic output
498 regarding the behavior of each criterion in ground shaking calculation.

499 The newly developed model uses AHP and Fuzzy Logic (Zadeh, 1965) to deal with complexities
500 and uncertainties in data analyses in weighting the criteria and fuzzifying the sub-criteria of each
501 criterion. Although, in studies for evaluating seismic microzonation in Bangalore (India) (Sitharam
502 and Anbazhagan, 2008), Dehli (Mohanty et al., 2007), Haldia (India) (Mohanty et al., 2007), Erbaa
503 (Turkey) (Akin et al., 2013) and Al-Madinah (Moustafa et al., 2016) only AHP method was applied
504 to weight the criteria, and none of these studies considered weighting of sub criteria for each
505 criterion even using other methods.



506 Few researchers have considered direct properties of influencing factors in assessing ground
507 shaking amplification. Even, in evaluating seismic response developed models such as
508 SiSeRHMap v1.0 (Grelle et al., 2016) and GIS Cubic Model (Grelle et al., 2014), the researchers
509 have applied only lithodynamic, stratigraphic and topographic effects as influencing factors. The
510 current research considers direct properties of each criteria and tries to manage uncertainties in
511 criteria and sub-criteria of each criterion via weighting and fuzzification process using experts'
512 knowledge and the use of direct properties of criteria. These processes can be extended in more
513 details, which are subject to more investigation in the future.

514

515 **Conclusions**

516 Larzesh model introduces a new method based on AHP and Fuzzy Logic rules that enables experts
517 to produce seismic microzonation of ground shaking using direct properties of lithological,
518 sedimentological, geological, hydrological and topographical effects in a study area using experts'
519 knowledge in weighting and fuzzifying criteria and sub criteria that can be readily perceived and
520 consulted.

521 The application of the model was carried out in the urban area of the Bam city in Iran. The results
522 demonstrated high to very high ground shaking amplifications were located in central, east, and
523 northeast to north part of the city that was confirmed comparing with measured microtremor data
524 on predominate frequency in the study area. However, as the proposed model is a spatial
525 computational tool, the validation of output in producing seismic microzonation of ground shaking
526 strictly dependent on the quality and preparation of input data.

527 In conclusion, the model enable disaster managers, planners, and policy makers in producing
528 seismic microzonation of ground shaking and making informed decision in urban planning and
529 designing appropriate plans for urban development, especially in areas with high seismic activities.

530

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535



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Tables

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Table 1. Relevant criteria that influence on seismic microzonation

1	Thickness of soil and sediments	9	Thickness of bedrock
2	Consolidation and strength of soil and sediments	10	Morphology of bedrock
3	Type of soil and particle size distribution of sediments	11	Topography of bedrock
4	Depth of groundwater	12	Age of alluvial and sediments
5	Topography of surface	13	Age of bedrock
6	Type of surficial rock	14	Age of surficial rock
7	Slop surface		
8	Type of bedrock		

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Table 2. The average importance criteria based on 5-point Likert Scale

	Criteria for	Average degree of
1	Thickness of soil and sediments	8.5
2	Consolidation and strength of soil and sediments	8
3	Type of soil and particle size distribution of sediments	7.5
4	Depth of groundwater	7.25
5	Type of surficial rock	7
6	Topography of surface	5.25
7	Slop surface	5
8	Type of bedrock	5
9	Thickness of bedrock	4.5
10	Morphology of bedrock	4.5
11	Topography of bedrock	4.5
12	Age of alluvial and sediments	3.75
13	Age of bedrock	3.25
14	Age of surficial rock	2.75

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787 Table 3. The results of pair-wise comparisons of the selected criteria with each other based on
 788 the AHP matrix

Criteria	1	2	3	4	5	6	7	8	Weights
1-Thickness of soil and sediments	1	1	2	2	5	5	7	4	0.271
2-Consolidation and strength of soil and sediments		1	1	1	5	4	5	5	0.207
3-Type of soil, and particle size distribution of sediments			1	1	5	5	5	7	0.177
4-Depth of groundwater				1	5	7	3	5	0.171
5-Type of surficial rock					1	2	1/2	1/2	0.041
6-Topography of surface						1	1/2	3	0.054
7-Slop surface							1	4	0.040
8-Type of bedrock								1	0.040
Lambda = 8.60 CI = 0.05									

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Table 4. Coparesion between the model's output with the measured predominant frequency in Bam city by Askari et al. (2004) (a) and LashkariPour et al. (2006) (b).

a)

Predicted	Predominant Frequency (Measured)						Total
	1	2	3	4	5		
1			1				1
2		2					2
3		1	1	1	1		4
4				7			7
5					9		9
Total	1	2	2	8	10		23
Av_Ac = 82 %							

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b)

Predicted	Predominant Frequency (Measured)					Total
	1	2	3	4	5	
1	1					1
2		1				1
3			3			3



4				1		1
5	1			1	2	4
Total	2	1	3	2	2	10
Av_Ac = 80 %						

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Table 5. Kappa coefficient and OA

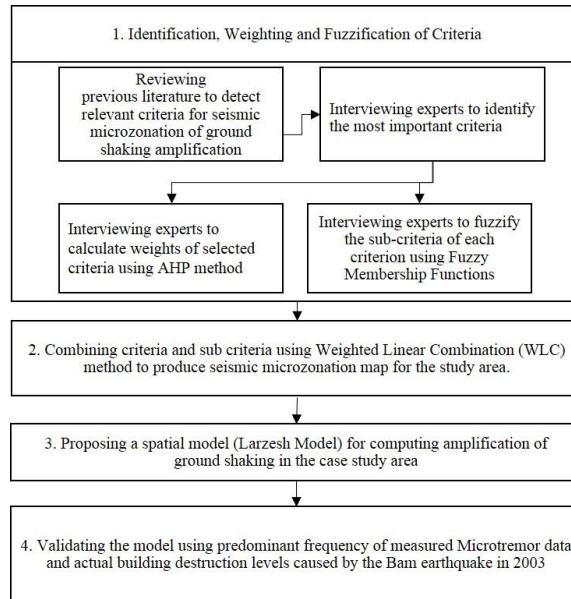
Comparison of the model's output and measured data	Predominant frequency (Askari et al., 2004)	Predominant frequency (LashkariPour et al., 2006)
Kappa coefficient	0.74 (0.000)	0.75 (0.000)
OA	82%	80%

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Figures



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Figure 1. The methodological approach of the model

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$$A = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix}$$

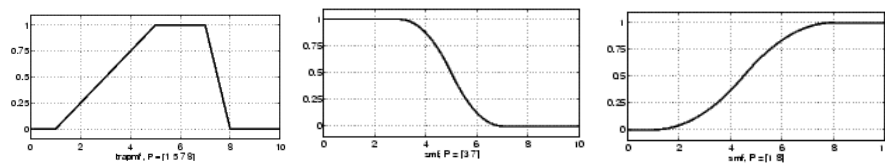
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Where: $a_{ij} = 1$, if $i = j$, and $a_{ij} = \frac{1}{a_{ji}}$, if $i = \overline{1, n}$ and $j = \overline{1, n}$.

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Figure 2.AHP matrix (A)

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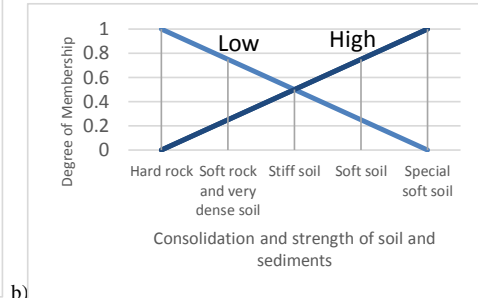
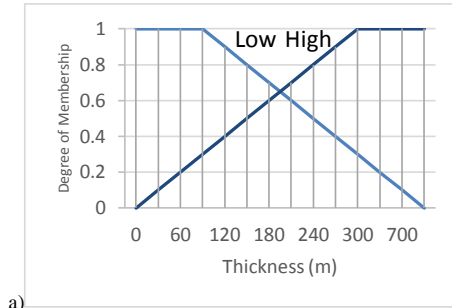
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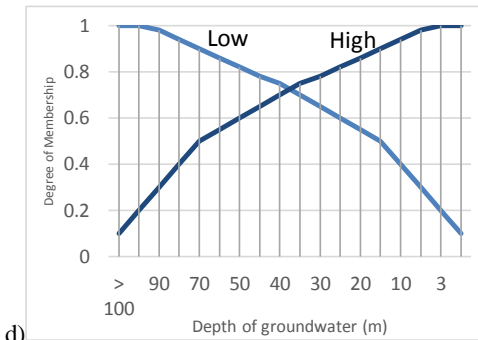
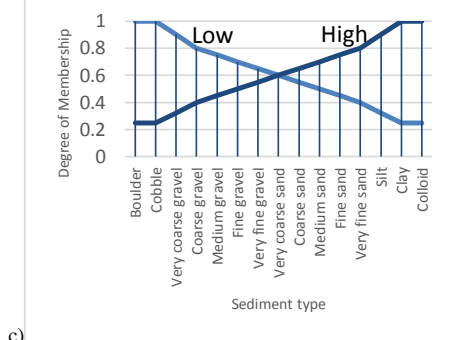
Figure 3. Fuzzy membership functions (After Mancini, 2012)



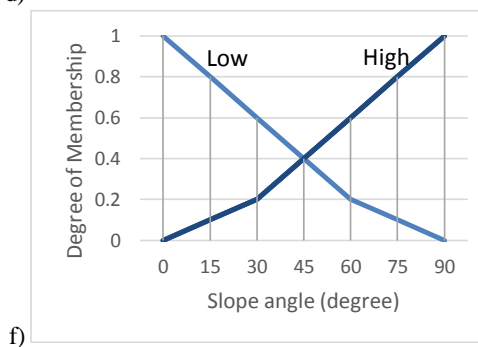
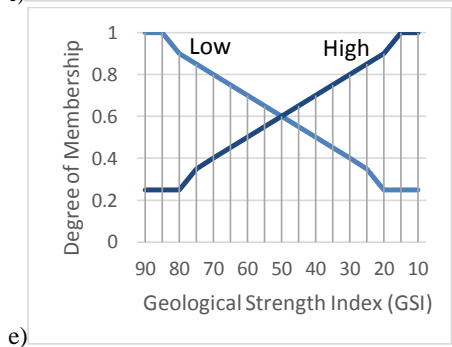
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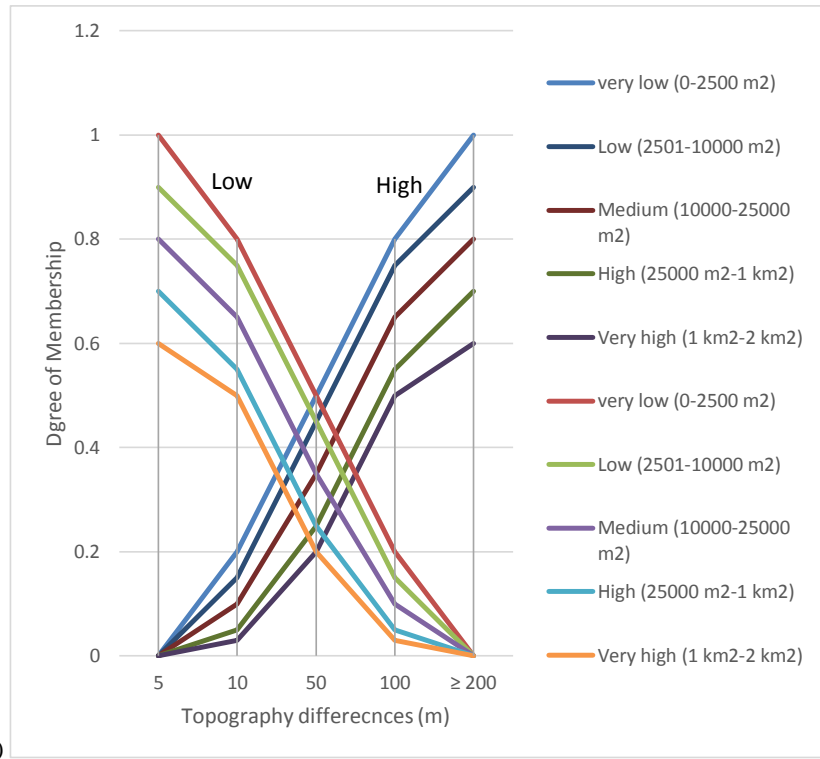


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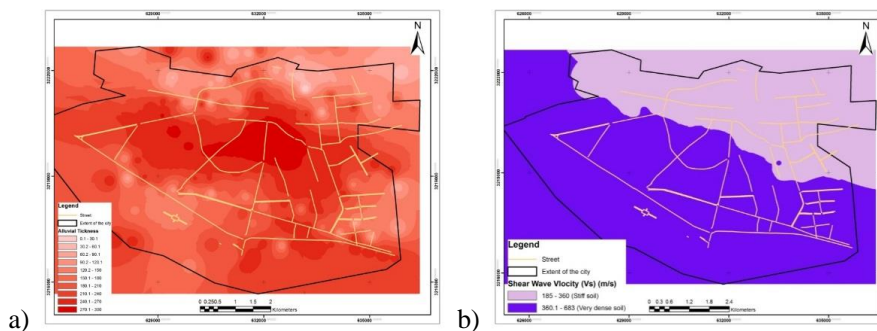
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g) **Figure 4. Membership functions (MFs) based on fuzzy logic system: Thickness of soil and sediments (a), Consolidation and strength of soil and sediments (b), Type of soil and particle size distribution of sediments (c), Depth of groundwater (d), Type of surficial rock and bedrock (e), Slope surface (degree) (f), Topography irregularities (g).**

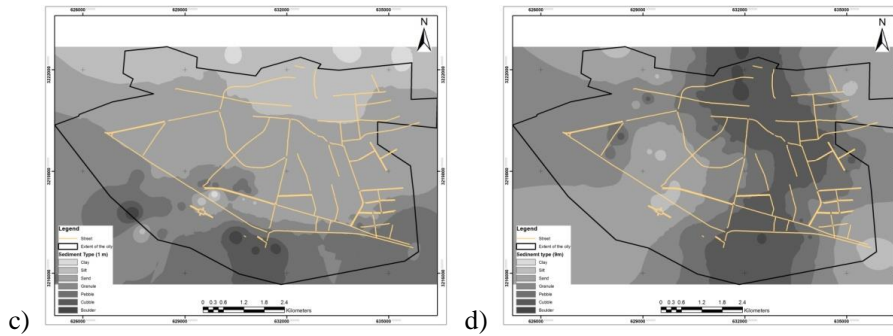
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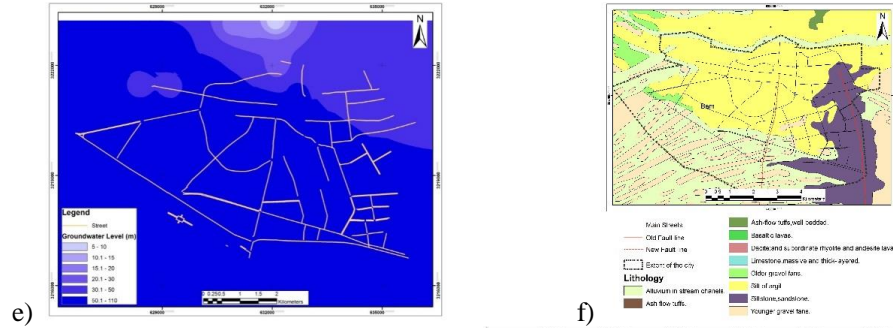




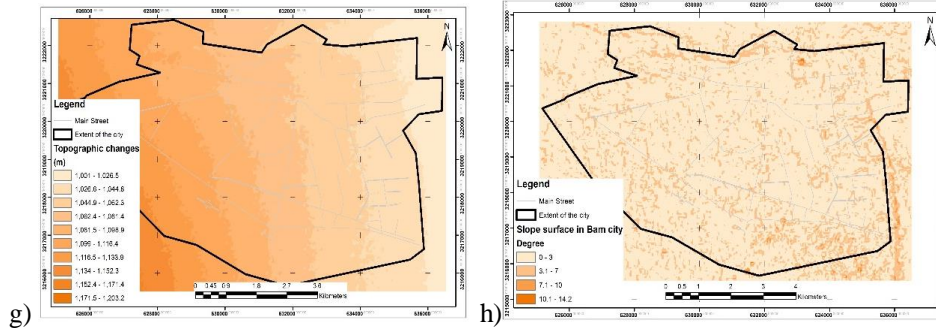
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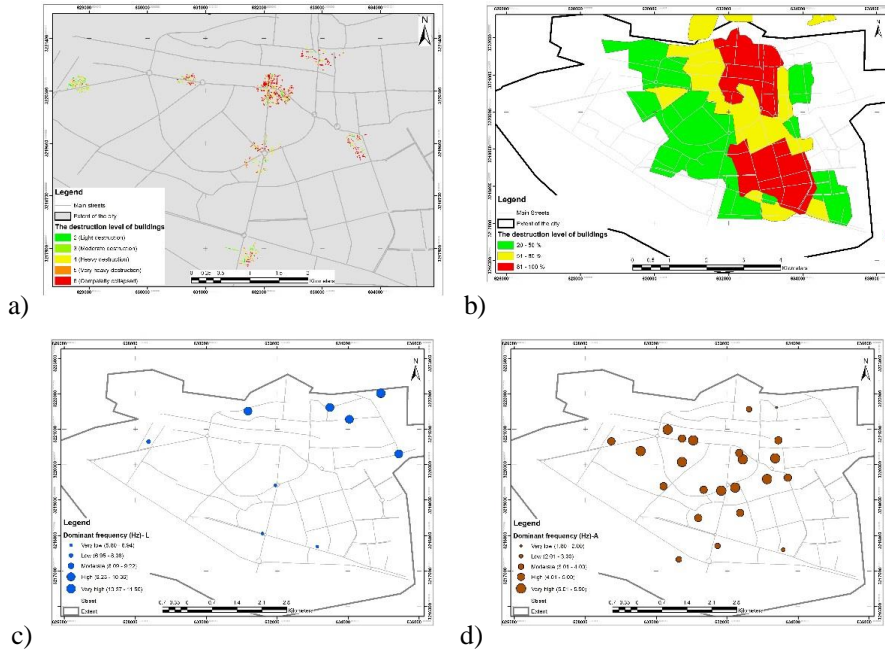


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Figure 5. Thematic Layers of Bam city: Thickness of soil and sediments (m) (a), Consolidation and strength of soil and sediments, (b), Sediment type at depth of 1 meter (c) and at depth of 9 meters (d), Groundwater level (e), Type of surficial rock(f), Topography (g) and Slop (h) layers.



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Figure 6. Control data: Actual building destruction level (Hisada et al., 2005) (a), percentage of damage to buildings caused by the Bam earthquake in 2003 (National Cartographic Center (NCC), 2003) (b), Dominant frequency by (LashkariPour et al., 2006) (c) and by (Askari et al., 2004) (d) using Microtremor field measurement.

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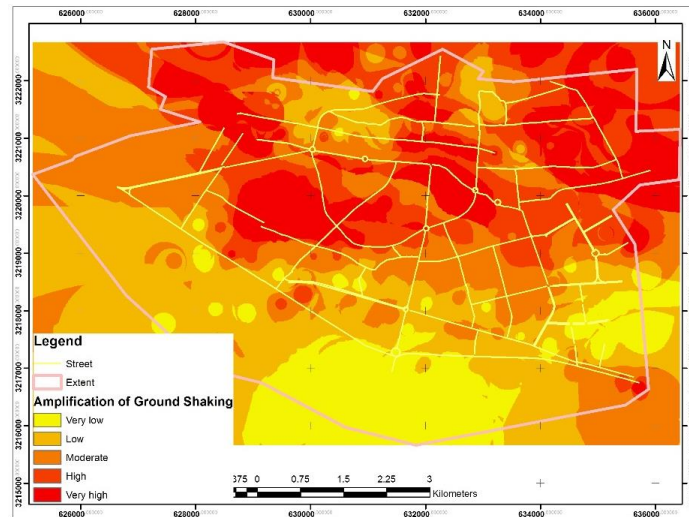


Figure 7. Seismic microzonation of ground shaking map of Bam city