Final response to the Referees' comments

Authors: Thank you for your interests about our paper and valuable comments to improve it. We would like to respond to the comments in point-per-point manner. According to the comments, we have made some modifications to the manuscript and added new references. Please check our response to the comments and marked-up manuscript below.

Editor's comment

Comment 1: One minor comment from the editor: concerning the comment 5-12, if not all the resultant parameters of automatic camera calibration, please provide the reprojection error values at least.

Authors: page 6, lines 20-21 The texts below have been added.

"The reprojection error was 0.455 pixel, which was no larger than that of similar SfM analysis with images taken by UAV (Pineux et al., 2016)."

Referee 1

GENERAL COMMENTS

This study gives a nice insight in the topographic changes that occurred during a levee breach / crevasse splay and its aftermath. Taking the analysis of this data set slightly further, notably through determining volume changes, would improve the paper significantly and give more insight in the events.

Authors: Thank you for commenting and suggesting for volume calculation. Section *5.3 Volumetric evaluations of the topographic changes* have been added in the revised manuscript to obtain further insights into the 2015 flood event of the Kinu River. Other than Section 5.3, abstract and Conclusion sections of the revised manuscript have had significant modification including revisions suggested in other comments. See and find each modification in our responses to the Referee Comments below.

SPECIFIC COMMENTS

Comment 1 (Title) - You mention 'disastrous flood' and 'disaster' in the paper. It would be good to give some indication of the magnitude of this event in terms of return time, wounded / casualties and costs.

Authors: The texts regarding to the magnitude of the flood have been added modified in the "3 The 2015 flood of the Kinu River" section as below.

Page 4, lines 11-13: The text below has been added.

"Statistical analysis by Yoshimura et al. (2016) suggests that the return time of the cumulative rainfall for a single day, two days and three days over the drainage area of the Kinu River during the 2015 flood is 95, 138 and 237 years,

respectively.

Page 4, lines 15–18: The text below has been added.

"Kinugawa-Mitsukaido gauge station, which is at 10 km downstream of the breached point of the Kinu River marked a peak discharge of c.a. 4000 m3 s-1, which is the maximum observed in history of 90 years, and a similar discharge (c.a. 3900 m3 s-1) occurred only once at 1949 there (Kanto Regional Development Bureau, Land, Infrastructure and Transportation Ministry of Japan, 2015)."

Page 4, lines 23–25: The text below,

"there were 6000 of the 65,000 inhabitants of Joso City had to be evacuated"

has been modified to,

"there were two deaths, 44 injured, and 6000 evacuees of the 65,000 inhabitants of Joso City"

Page 4, line 30-page 5, line 2: The text below has been added.

"The economic loss by the flood and rainfall have been estimated to be 159.2 billion yens in Ibaraki Prefecture and 294.1 billion yens for the entire disaster by the heavy rain in September 2015 (Land, Infrastructure and Transportation Ministry of Japan, 2017). For further information of 2015 flood of the Kinu River, see Nagumo et al. (2016) which have provided a detailed report of damages and social effects in the flooded areas."

Comment 2 (2-2/3): Unclear what you mean here: 'land use' and 'human-built structures'. Do you want to indicate that breaches differ when there is agriculture/roads as opposed to natural floodplain vegetation? Or do you want to indicate that lobes of sediment accumulate behind human objects such as buildings? Or otherwise?

Authors: Page 2, lines 4-7 The text,

"Present-day levee breaches along rivers under human control create landforms quite different from and, in some cases, much larger than those created by levee breaches along natural rivers, because of artificial land uses (e.g., human-built structures) along rivers (Nelson and Leclair, 2006)"

has been modified as below.

"Present-day levee breaches along rivers under human control create landforms quite different from and, in some cases, much larger than those created by levee breaches along natural rivers, because of houses and paved streets which control the distribution of sand lobes by acting as channels of flood flow (Nelson and Leclair, 2006)"

Comment 3 (2-23): I think you should make the nuance here that the topography before a flood may be available but not of sufficient detail to investigate the topographic change.

Authors: Page 2, line 27-28

"documentation of the topography before a flood event is not available"

has been modified as below

"reliable topographic data before a flood event which enables the investigation of topographic changes is not available"

Comment 4 (3-27/28): Can you also indicate peak (and average) discharge and flood return time?

Authors: See our response to Comment 1.

Comment 5: In general the text concerning the STUDY AREA and THE 2015 FLOOD OF THE KINU RIVER can be shortened and more to the point. On the other hand, relevant information on settlements, land-use and the flood impact (wounded/casualties and costs) should be mentioned here.

Authors: The texts have been revised as below. Also see our response to Comment 1.

Page 3, line 16: The text below has been added.

"and their abandoned channels are"

Page 3, lines 19-20: The text below has been removed.

"Older crevasse channels dissect the wide alluvial ridges by 1 m deep, suggesting that the Kinu River has flooded repeatedly in this region (Sadakata, 1971)"

Page 3, lines 20-23: The text below has been added.

"The alluvial ridges in this floodplain are densely inhabited and most villages are located on them. Other part of the alluvial ridges are used as agricultural lands, predominantly paddy fields. On the other hand, irrigation canals are entirely equipped in the flood basin and abandoned channels, and almost all area are used as paddy fields."

Comments 6 (5-13): Indicate the locations / distribution of GCPs in a figure.

Authors: New figure (Fig. 2) and table (Table 1) have been added in page 21 and 27.

Comments 7 (5-12) What were the results for the automatic camera calibration, did it return the known values?

Authors: Thank you for commenting. We have not conducted a camera calibration manually for the GR used in this study before, so it might be nonsense to show the results of automatic camera calibration. However, we believe that

the obtained DSM successfully show the trend of topographic changes at least in 10⁻¹ order, as explained in the manuscript. Also, as suggested by the editor, we have provided the reprojection error values (page 6, lines 20–22).

Comments 8 (5-24) If you mention this, give an indication for how many locations and how they are distributed. Did you also include houses on the top-right (figures 2a-c)?

Authors: page 7, line 4 The text below has been removed.

"This relationship was confirmed to be the same at several locations (not shown)""

Comment 9 (6-2/4) How are these points distributed, with respect to each other and the GCPs. Include these in a figure.

Authors: See our response to Comment 6.

Comment 10 (6-9/19) You can calculate a limit of detection using these numbers and apply this in the figures.

Authors: The texts and figures below have been modified.

Page 7, lines 25-28: The text below has been added.

"Then, the limit of detection (LoD) of elevation changes for each comparison pair was set to be the twice of the standard deviation of the systematic error between the DSMs, namely, 9.0 cm for the first two periods and 4.0 cm for the last two periods. The topographic changes less than this limit were neglected in the differential rasters and the volume calculation below"

Page 7, lines 28: The text below has been removed.

"topographic changes on the order of 10-1 m could be represented by these DSMs"

Pages 31–32: The old Fig. 5 has been divided into two new figures, Fig. 6 and 7, applying the limits of detection. Also, see our response to Comment 13.

Comment 11 (6-13/14) Why didn't you use the lower of the two resolutions? Using the higher resolution can lead to local scale effects.

Authors: The SfM-derived DSM have been down-sampled to 1 m, which is equal to the resolution of September 2015

DSM, and applied to the comparison and the volumetric analysis. Figure 5 explains the effect of down-sampling to remove trivial relief of the original DSM. The texts and figures below have been modified.

Page 1, lines 13-14: the text below has been added.

"and down-sampling of the SfM-derived DSM,"

Page 7, lines 12-15: The text below has been added.

"First, the resolution of the SfM-derived DSM was resampled to 1 m to avoid local scale effects that might be derived from trivial relief of the high-resolution surface model that was not represented in the DSMs with lower resolution (Fig. 5). The resolution and distribution of the pixels of the down-sampled DSM were set to the same as that of the September 2015 DSM for definite comparison of the two DSMs."

Page 7, lines 20-22: The text below has been removed.

"In the process of eliminating the systematic errors, the resolution of the SfM-derived DSM was reduced to 1/10 by computing the mean value of the original pixels with the Aggregate tool of the ArcGIS software because its original resolution was much higher than that of the other two DSMs."

Page 7, line 25: The text below,

" $20.6 \text{ cm} \pm 1.8 \text{ cm}$ "

have been modified as below according to the results of the new DSM processing method.

" $20.5 \text{ cm} \pm 2.0 \text{ cm}$ ".

Page 7, lines 31–32: The text below,

"The resolution and pixel distribution of each differential raster were the same as those of the DSM with the higher resolution of each pair"

has been modified as below.

"The pixel sizes of them were both set to the 1 m for the convenience in volume calculation."

Page 15, lines 4-5: The text below has been added.

"and the adjusting of the resolution"

Page 30: Figure 5 has been added.

Comment 12: For the applied systematic error correction you assume that the error is both linear and in the Z direction. Therefore it is important to: 1) show the distribution of the GCPs and control points (see earlier comments) and 2) show that there is no doming in the SfM DEM - this is a known problem see e.g. James and Robson (2014). James, M.

R. and Robson, S. (2014), Mitigating systematic error in topographic models derived from UAV and ground-based image networks. Earth Surf. Process. Landforms, 39: 1413–1420. doi:10.1002/esp.3609

Authors: In this study, we have used the following technics to prevent the dooming effect.

- Placed sufficient number of GCPs.
- Not used an automated camera gimbal, thus controlled vertical photos were not taken.
- The camera was flexibly held by a picavet (Inoue et al., 2014, Fig. 11), so off-nadir angles a little varied for each photo.
- Off-nadir angle was between 10–15 degrees backward.

In addition, a GNSS survey point which was not used as a GCP for SfM processing confirmed there is no dooming in the DSM. The texts below have been added. Also see our response to Comment 6.

Page 6, lines 11–13

"The camera was flexibly held by a picavet (see Inoue et al., 2014) so that off-nadir angle of each photo was a little different among 10–15 degrees backward."

Page 6, lines 22-29

"The doming effect is a fundamental problem about DSM generation by SfM analysis associated with near-parallel image sets and inaccurate correction of radial lens distortion (James and Robson, 2014). The image acquisition method used in this study included some technics that mitigated the doming of the SfM-derived DSM: a high overlap rate of the images, precisely and widely placed GCPs and varying off-nadir angles. The error of each GCP between the GNSS measurement and the derived model was less than 5 cm, or the accuracy of the GNSS measurement, except for one located at the edge of the DSM. In addition, a check point was obtained by the same GNSS measurement showed an error of 0.56 cm against the SfM-derived DSM (Fig. 2). Although the result of the camera calibration was not quantitatively tested, these numbers suggest that the doming effect of the SfM-derived DSM was sufficiently small to compare with other LiDAR DSMs."

Comment 13 (7-1) I think you should exclude inundated areas in the DEM of difference (either filter these areas out indicate them using a different color) - you could include them in a separate figure to indicate the water depth.

Authors: The text and figure have been modified as below.

Page 8, lines 1-6: The text below has been added.

"In the inundated areas of the second DSM, apparent elevation changes to the water surface were represented by the first differential raster, rather than the true topographic changes (Fig. 8). The second differential raster showed the water depth there because all inundated areas were no longer existed in December 2015. Thus, the differential rasters

were divided into two figures to separately show the topographic changes (Fig. 6) and elevation changes related to the water surface (Fig. 7). The inundated areas, which looked light brown due to the muddy water, were determined according to the aerial photograph of the second period (Fig. 3b)."

Page 31, 32: The Fig. 6 and 7 have been added.

Comment 14 (7-18) See earlier remark on limit of detection.

Authors: See our response to Comment 10. Also, the text has modified as below.

Page 9, lines 20–23: The text below has been removed because the similar information has already been provided in the method section (See our response to Comment 10).

"Areas where the differences between the two DSMs are less than 0.1 m after removal of the systematic error are transparent, showing the September 2015 orthophoto used as the background. Areas of the crevasse channel that were submerged in September 2015 show topographic changes related to the water surface, not the bottom of the crevasse channel, in the differential raster"

Comment 15 (8-8/9) Please support these comments with volume calculations.

Authors: Thank you for commenting. When the discussion paper was written, we had considered the flood sediments in the canal to be non-negligible compared to the entire volume of sediments. However, the volume calculation has revealed that is not the case. In the revised manuscript, more generic transportation of the materials was investigated (see our response to Comment 21), and the related texts have been revised as below.

Page 10, lines 21–23: The text below has been removed.

"In the case of the large canal on the east side of the research area, however, the removed sand had been transported elsewhere by December 2015."

Page 10, lines 23–26: The text below,

"Other than in those areas, the crevasse splay was left mostly undisturbed, so its features were deformed only by natural phenomena occurring during the 3 months after the flood."

has been modified as below.

"Although there were some points which experienced local aggradation or degradation due to similar restoration works as such, the crevasse splay was left mostly undisturbed, or had little modification so its features were deformed mainly by natural phenomena occurring during the 3 months after the flood, except for the reconstruction of the artificial levee and the land filling and leveling along the prefectural road near the breached levee."

Comment 16 (8-21/23): Unclear what you mean with 'important environmental component of the floodplain' and the relation with human changes. Do you mean that without human impact there would have been more vegetation in the floodplain and the crevasse splay topography might have been different (but how)?

Authors: In floodplains of natural rivers, the type and distribution of vegetation can be affected by emergence of crevasse splays by providing new deposits and creating new topography. In the 2015 flood, however, new vegetation was lost through post-disaster restoration works, although that vegetation did exist around the sandy mound of crevasse splays in December 2015. They could potentially respond and record the nature of a crevasse splay and the adjacent floodplain if they were not removed by human.

Page 11, line 6-11 The text below,

"..., thus, such new growth might be an important environmental component of the floodplain if there had been no human changes to the crevasse splay. Because each shrub is less than 1 m high, and they are distributed separately, they would be difficult to observe by LiDAR alone because of the limited resolution of the measured points. Usage of the UAV-SfM method to generate DSMs was therefore more suitable for documenting the relatively subtle changes in this case."

has been modified as below.

"This flood might provide a relationship between the topography of the crevasse splay and the location and the type of such new growth. However, such vegetation was removed during the restoration works"

Comment 17 (8-31): Is the wind velocity sufficient to pick up the (fine) sediment?

Authors: In Joso City, the maximum wind velocity in a day sometimes exceeds 10 m s⁻¹ in winter, so it is possible to think the wind is responsible for the post-deposition deformation of the crevasse splay. However, the analysis of the wind process is not the scope of this study, and we believe that this topic should not be examined in detail

Page 11, lines 15-20 The text below,

"This direction coincides with the direction of the seasonal wind in winter in Japan (Table 3). Available wind data from after the flood show that on 63 of 100 days the dominant wind direction was between northerly and westerly, which could account for the low gradient of the splay edges. The dry wintertime climate of Japan might also help the sand to be easily moved by the wind"

has been modified as below.

"The seasonal wind in winter in Japan, the direction of which coincides with the sand and occasionally exceeds 10 m s¹ in speed is possibly responsible for the change combined with the dry wintertime climate of Japan"

Page 16, lines 8-9: The text below has been removed.

"The climate data in Joso City are freely available from Japan Meteorological Agency (http://www.jma.go.jp/jma/menu/menureport.html)."

Page 25: The original Table 3 has been removed.

Comment 18 (9-7/9) What do you exactly mean with 'simpler topography'? Would it have been possible if the topography was more 'complex' at the later time?

Authors: The texts below have been removed because the comparison method have been changed in the revised manuscript and these statements became inappropriate.

Page 13, lines 18-23: The text below has been removed.

"The resolution of the LiDAR-derived DSM is much lower than the resolution of that produced by the UAV-SfM method, but it was possible to compare the topography at finer scale than the LiDAR data by fitting the pixels of the differential raster to the UAV-derived DSM, because actual topography at the earlier time was simpler than that at the later time. In particular, where the topographic relief is small and vegetation is sparse, as in the study area, even relatively low resolution topographic data is adequate for describing and archiving the effects of a disaster on the topography through comparisons with high-resolution data obtained after the event."

Page 15, lines 17-20: The text below has been removed.

"The relatively low resolution of the LiDAR-derived DSM limited detailed quantification of the topography, but subtle changes on a horizontal scale smaller than the pixel size of the LiDAR-derived DSM were detectable in the comparison with a DSM acquired later by the UAV-SfM method because the topography in the earlier DSM was relatively simple."

Comment 19 (9-21/22) Note that it may also be valuable to research historical events using archival photogrammetry, e.g. Bakker and Lane (2016). Bakker, M., and Lane, S. N. (2016), Archival photogrammetric analysis of river–floodplain systems using Structure from Motion (SfM) methods. Earth Surf. Process. Landforms, doi: 10.1002/esp.4085.

Authors: Page 14, lines 9–12 The text below has been added.

"Another approach to quantifying morphological changes is archival photogrammetry using SfM methods with past aerial photographs (Bakker and Lane, 2016). Although data sources may be limited in number and resolution, this approach can reconstructing historical events and thus extend the timeline of topography to the period before LiDAR data have been available."

Comment 20 (9-31/34) This is a very important point and I think you have a good data set to include these calculations!

Authors: The text below,

Page 14, lines 17–19

"Applications suggested by the results of this study include volume estimation of flood deposits that need to be removed and, conversely, the amount of materials necessary for the construction of embankments or for land filling. Evaluation of these possibilities, however, exceeds the scope of this paper."

has been modified as below and moved to Page 13, lines 7–11

"Nevertheless, quantitative information derived from a time-series of topographic data fairly represents 3D architecture of a crevasse splay and may enable us, in disastrous situations, to consider the amount of deposits that need to be removed and, conversely, the amount of materials necessary for the construction of embankments or for land filling, In the case of this study, volumetric contribution of sand lobes and land filling to the topography might be suit for these applications."

Comment 21 Most important remark concerning the RESULTS AND DISCUSSION is that a volumetric analysis would be very valuable (for the area as a whole and / or for certain regions), indicating the net amount of sediment that came from the river channel, the amount of erosion / sedimentation on the levee/floodplain, the amount of sediment that was redistributed / imported during post-flood works.

Authors: According to the suggestion of the reviewer, we have conducted the volumetric analysis of the flood using the DSMs. The procedure, results, and insights obtained by the analysis have been described in a newly added section, "5.3 Volumetric evaluations of the topographic changes" as below. Also, additional tables and figures have been made to explain the procedure and the results. Other parts of the manuscript have been modified to include the volumetric analysis.

Page 11, line 21-page 13, line 11: The section 5.3 have been added.

Pages 23, 24, 33, 34: Two tables (Tables 3 and 4) and two figures (Fig. 8 and 10) have been added ().

Page 1, lines 16-18: The text below has been added.

"If excluding changes other than topography, comparison of the DSMs showed that more than twice as large volume was eroded than deposited 300–500 m around the breached artificial levee where the topography was significantly affected"

Page 2, lines 2-4: The text below has been added.

 $"volume\ calculation\ was\ conducted\ using\ these\ DSMs\ to\ investigate\ the\ balance\ between\ deposition\ and\ erosion$

processes acted in the research area during the flood and the volumetric extent of the post-flood restoration works

against the breached artificial levee and intensively eroded areas"

Page 14, line 6: The text below has been added.

"and volumetric estimation of the topographic changes".

Page 14, line 26: The text below has been added.

"However, these objects might have considerable effects in case of volumetric analysis."

Page 15, lines 7-11: The text below has been added.

"The topography of the crevasse splay was characterized by the intensive erosion near the breached levee and deposition of the flood deposits, especially lobe-shaped sand mounds occupying 33.5% of the all aggradation, surrounding the erosional areas. Volumetric analysis indicated that the area of the degradation pixels was about three times narrower than the aggradation pixels but the estimated volume loss was more than twice as large as volume

gain, resulting in the volume loss of 43 239 m³ in all by the event which was suggested to be quite erosive in the

research area."

Page 15, lines 14–17: The text below has been added.

"The true erosional depths in the inundated areas could be estimated using the DSM in the third period, resulting in

improving by 8.1% of the estimation of the total volume loss. The reconstruction of the breached levee and the leveling

of intensively eroded ground near it were major causes of the topographic changes after the occurrence of the flood and

the value countervailed 25.6 % of the eroded volume by the flood."

Page 15, lines 21-26: The text below has been added.

"However, the volume estimation in this study have some issues including (i) effects other than the topography such

as buildings and agricultural crops, (ii) lack of topographic data showing the entire part of the crevasse splay: partly

the erosion depths could not be estimated due to the restoration works, and (iii) if only a single process worked at a

point, namely, erosion in the aggradational pixels and deposition in the degradational pixels were neglected. Use of

topographic models in which buildings and vegetation are removed, more frequent measurement of the topography,

which may easily be achieved by the UAV-SfM protocol, and the combination with field observation can improve the

estimation."

Page 23: Table 3 has been added.

Page 24: Table 4 has been added.

Page 33: Figure 8 has been added.

Page 35: Figure 10 has been added.

TECHNICAL CORRECTIONS

TC 1: Title - You mention 'multitemporal', but in this case (3 measurements) 'repeated' is perhaps more appropriate.

Authors: The title of our manuscript have been changed as "Application of UAV-SfM photogrammetry and aerial LiDAR to a disastrous flood: repeated topographic measurement of a newly formed crevasse splay of the Kinu River, central Japan". In other parts of the manuscript, "multitemporal" have been replaced by "repeated".

TC 2: 1-14 'by subtraction' can be removed.

Authors: 'by subtraction' has been removed.

TC 3: 1-16 'carried out by people' can be removed.

Authors: 'carried out by people' has been removed.

TC 4: 1-17 'with different resolutions and acquisition periods': I would say different spatial and temporal resolutions. (Acquisition period can be interpreted as the duration of acquisition, e.g. the flight time of the UAV).

Authors: The text was removed through the reorganization.

TC 5: 1-18 'sudden' can be removed.

Authors: 'sudden' has been removed.

TC 6: 2-4 It is questionable if you should include a reference to this unpublished paper. Both here and further in the manuscript this reference is not required / of added value. I would advise not to include it.

Authors: This unpublished paper have been removed from the reference list. Instead, a published paper, Matsumoto et al. (2016), that have documented the characteristics of the flood deposits of this event have been cited (page 13, line 5).

TC 7: 2-4 'Thus' can be removed (there is no direct link with the previous sentence).

Authors: 'Thus' has been removed.

TC 8: 3-3 Refer to the figure here.

Authors: Figure 1 has been referred.

TC 9: 4-22 Brackets can be removed here and later on when mentioning points/m2.

Authors: They have been removed.

TC 10: 4-28 Include that this was converted to raster (similar to the pre-flood lidar data).

Authors: That has been included.

TC 11: 5-8/10 Only mention the usable photos.

Authors: Page 8, lines 8-11: The text below,

"1433 photos were taken at 2-s intervals. However, these included many unusable photos that were taken when the UAV was not flying along the planned path, for example, while it was ascending or descending (5 m s-1 and 2.5 m s-1). Finally, of the 1433 photos, 597 photos with an 80% overlap both across and along the UAV paths were analyzed with the SfM technique to generate a DSM"

has been modified as below.

"597 photos were taken at 2-seconds intervals with an 80% overlap both across and along the UAV paths"

TC 12: 6-17/27 This is a (specific) description of the study area - not results.

Authors: This part have been moved to the third section and modified as below.

Page 3, lines 24-31

"The research area covered by the DSMs was on the southern part of an alluvial ridge along the Kinu River, the width of which was about 1.5 km (Fig. 1). Within the research area, the elevation of the ridge was high along the channel, especially near the breached levee, although the ground level there might have been raised for construction. The artificial levee was about 2 m higher than the top of the alluvial ridge. The alluvial ridge was divided by a shallow valley oriented north—south along the eastern margin of the study area, which Sadakata (1971) suggested was a past crevasse channel. In the present, an agricultural canal ran along the valley, and a network of smaller canals covered the research area. The part of the alluvial ridge in the study area was mainly used for cultivating agricultural crops; most of the area was not covered by pavement or buildings except close to the levee."

TC 13: 7-1 This part of the method.

Authors: It has been moved to the method section and modified as below.

page 5, lines 24–26

"When the LiDAR data in September 2015 was acquired, however, some areas (mostly erosional zones) were inundated by the flood water, which means that elevation data cloud not be obtained there. Thus, the elevation of the inundated areas was interpolated from the elevations along the margins of those areas on the DSM."

TC 14: Table 1: This table can be removed (little information and no additional information than in the text).

Authors: It has been removed.

TC 15: Table 3: What is the (estimated) velocity required to transport sediment? Mean velocity is probably not a suitable measure, perhaps the 90th percentile(?).

Authors: This table has been removed because the wind process is not the point of our study (see our response to Comment 17).

TC 16: Figure 1: Include 'Japan' in the left top figure.

Authors: 'Japan' has been included.

Referee 2

GENERAL COMMENTS

This study is very interesting, because it shows the detail of topographic changes that occurred during a levee breach / crevasse splay. And it shows the usefulness of UAV-SfM method. I judge that this study is worth for publication in this journal.

TECHNICAL CORRECTIONS

P4 20-21 Kanto Regional Development Bureau, Land, Infrastructure and Transportation Ministry of Japan P10 29-30 Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism Right indication Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism

Authors: The correction in the text and the reference list has been done.

Authors: Other than suggested revision above, there are some modifications done by the authors for more explanation,

or consistency with the suggested revision. List of the important changes are shown below.

1.

page 1, lines 14-20: The abstract has been reorganized to apply the suggestions by the reviewer and the editor. The text.

"After elimination of systematic errors among the DSMs, differential DSMs were produced by subtraction and topographic changes on the order of 10–1 m were detected. These changes were found to be consistent with previously reported ground survey data. The detected changes included not only topographic changes but also growth of vegetation, vanishing of floodwaters, and restoration and repair works carried out by people." has been modified as below.

"After elimination of systematic errors among the DSMs and down-sampling of the SfM-derived DSM, elevation changes on the order of 10^{-1} m including not only topography but also growth of vegetation, vanishing of flood waters, and restoration and repair works were detected. If excluding changes other than topography, comparison of the DSMs showed that more than twice as large volume was eroded than deposited 300–500 m around the breached artificial levee where the topography was significantly affected."

2.

page 1, lines 22-23: The text below has been removed.

"Moreover, they have the great advantage that they can be used to archive such changes that occur in residential areas and urban areas where their preservation potential is low."

3.

page 1, line 24-page 2, line 1: The text,

"...crevasse splays (sand splays), that is, discrete lobes or finger-shaped mounds of sandy sediments, and..."
has been modified as below for the consistency in the terminology.

"...crevasse splays, which are mainly composed of sand lobes, that is, discrete lobe- or finger-shaped mounds of sandy sediments, and..."

4.

page 2, lines 32: The text below has been added.

"characterized by the formation of crevasse splay"

5.

page 3, lines 4-5: The text below has been removed.

"the comparability of topographic data obtained by different acquisition methods and with different resolutions and the use of reference points was examined."

6.

page 4, line 21: The text below has been added.

"occurred at 10 September"

7.

page 6, lines 17-18: The text below has been added.

"so that local relief and objects would not affect the elevation of the pixels around the GCPs"

8.

page 7, lines 15–16: The text,

"Before the differential rasters could be calculated,"

Has been modified as below.

"Before the calculation of the differential rasters"

9.

page 8, line 8–page 9, line 8: The original section "5.1 Overview of the topography of the alluvial ridge and crevasse splay on each date" has been removed and the paragraphs within have been moved to other sections. The first, second, and third paragraphs have been moved to section 2 and new 5.1 and new 5.2, respectively.

10.

page 4, lines 11: The text below has been added.

"especially around the edge of the splay deposits"

11.

page 13, lines 13-17: The text,

"In this study, the systematic error between DSMs was assumed to be merely the average of the elevation differences at several selected points. In fact, almost all parts of the road, which were assumed to be stable throughout, were shown to experience small elevation changes (<10 cm) in both comparison pairs (Fig. 5). This result suggests that even the simple elimination method used in this study can effectively detect differences on the order of 10–1 m in topographic data acquired by different methods and at different times."

has been moved to the Conclusions section and modified as below.

page 13, line 28-page 15, line 3

"The systematic error between DSMs was assumed to be merely the average of the elevation differences at several selected points. In fact, almost all parts of the road, which were assumed to be stable throughout, were shown to experience small elevation changes (less than 10 cm) in both two comparison pairs, January 2007 versus September 2015 and September 2015 and December 2015. However, very high resolution of the UAV-SfM derived DSM might be inappropriate to compare with the LiDAR derived DSMs with relatively low resolution, due to the trivial relief of the surface model derived from wheel tracks, plants, debris and so on. Down-sampling of the former DSM to 1 m, which was equal to that of LiDAR derived DSM, removed these local fluctuation of the topography, enabling more easy comparison of the surface models acquired by LiDAR."

12.

page 14, line 3: The text below has been added.

"combined with SfM analysis"

13

page 14, lines 14–17: The text below has been moved to the head of this section (page 13, lines 24–27). "In the context of disaster management, high resolution photos and videos taken by UAVs can help in the development of strategies to take in the event of emergencies (Ezequiel et al., 2014; Erdelj and Natalizio, 2016). Another UAV 'eye', namely, topographic data obtained by SfM photogrammetry, would also be informative, particularly if acquired immediately after the occurrence of a disaster."

14.

Page 34: In Figure 9, the photos taken in June and August 2016 in the original (a) and (b) have been removed because these photos are not mentioned in the text. The caption has also been modified accordingly.

Application of UAV-SfM photogrammetry and aerial LiDAR to a disastrous flood: multitemporal repeated topographic measurement of a newly formed crevasse splay of the Kinu River, central Japan

Atsuto Izumida¹, Shoichiro Uchiyama^{1, 2}, Toshihiko Sugai¹

Department of Natural Environmental Studies, the University of Tokyo, Kashiwa, Chiba 277-8563, Japan National Research Institute for Earth Science and Disaster Prevention, Tsukuba, Ibaraki 305-0006, Japan Correspondence to: Atsuto Izumida (aizumida@s.nenv.k.u-tokyo.ac.jp)

Abstract. Geomorphic impacts of a disastrous crevasse splay that formed in September 2015 and its post-formation modifications were quantitatively documented by using multitemporal repeated, high-definition digital surface models (DSMs) of an inhabited and cultivated floodplain of the Kinu River, central Japan. The DSMs-used were based on pre-flood (resolution, 2 m) and post-flood (resolution, 1 m) aerial light detection and ranging (LiDAR) data from January 2007 and September 2015, respectively, and structure-from-motion (SfM) photogrammetry data (resolution, 3.84 cm) derived from aerial photos taken by an unmanned aerial vehicle (UAV) in December 2015. After elimination of systematic errors among the DSMs and downsampling of the SfM-derived DSM, differential DSMs were produced by subtraction and elevation topographic changes on the order of 10⁻¹ m including not only topography but also growth of vegetation, vanishing of flood waters, and restoration and repair works were detected. If excluding changes other than topography, comparison of the DSMs showed that more than twice as large volume was eroded than deposited 300-500 m around the breached artificial levee where the topography was significantly affected. These changes were found to be consistent with previously reported ground survey data. The detected changes included not only topographic changes but also growth of vegetation, vanishing of floodwaters, and restoration and repair works carried out by people. The results suggest that DSMs with different resolutions and acquisition periods acquired by using a combination of UAV-SfM and LiDAR data can be used to quantify, rapidly and in rich detail, sudden topographic changes on floodplains caused by floods. Moreover, they have the great advantage that they can be used to archive such changes that occur in residential areas and urban areas where their preservation potential is low

1 Introduction

25 Floods are becoming increasingly serious natural disasters as more and more people move into flood-prone areas along with their assets (Berz et al., 2001; Barredo, 2007; Kundzewicz et al., 2010). Floodplains have long been desirable locations for human habitation owing to their fertile soils and abundant water availability, and many of the world's great cities have been constructed on and have drastically modified the natural environment of floodplains, with the result that flooding in these areas, when it occurs, can be extremely hazardous (Wohl, 2014). When the levee of a river with a sandy bed collapses, crevasse

コメントの追加 [AI1]: Referee 1 TC 1

コメントの追加 [A2]: To apply the suggestions by the reviewer and the editor, the abstract has been reorganized.

コメントの追加 [AI3]: Referee 1 TC 1

コメントの追加 [AI4]: Referee 1 comment 11

コメントの追加 [A5]: Referee 1 TC 2

コメントの追加 [AI6]: Changed by the authors. 1

コメントの追加 [AI7]: Referee 1 comment 21

コメントの追加 [AI8]: Changed by the authors. 1

コメントの追加 [A9]: Referee 1 TC 3

コメントの追加 [AI10]: Referee 1 TC 4

Changed by the authors

コメントの追加 [A11]: Referee 1 TC 5

コメントの追加 [AI12]: Changed by the authors. 2

splays, which are mainly composed of (sand lobessplays), that is, discrete lobe-s or finger-shaped mounds of sandy sediments, and crevasse channels (ephemeral tributaries through which water and sediments are transported onto the floodplain) form, resulting in abrupt, severe topographic changes on the floodplain (Allen, 1965; O'Brien and Wells, 1986; Bristow et al., 1999; Florsheim and Mount, 2002; Day et al., 2016). Present-day levee breaches along rivers under human control create landforms quite different from and, in some cases, much larger than those created by levee breaches along natural rivers, because of houses and paved streets which control the distribution of sand lobes by acting as channels of flood flow artificial levees raises the potential energy of the flood waters (Gebica and Sokolowski, 2001; Izumida and Sugai, in review). [Thus, iIt is important to determine the volume and area of erosion or deposition that can be expected to occur and how the topographic changes are likely to be distributed on a floodplain during high-magnitude flood events, because these factors directly determine the magnitude and extent of the damages that can be expected to result from a disastrous flood event.

Recently, high-resolution topographic data acquired by innovative remote sensing methods such as aerial and terrestrial light detection and ranging (LiDAR) and structure-from-motion (SfM) photogrammetry has been widely used to evaluate the magnitude of natural hazards (Tarolli, 2014; Gomez and Purdie, 2016). In particular, the combination of SfM with aerial photographs acquired by unmanned aerial vehicles (UAVs) can be very useful in hazardous areas subject to natural disasters such as earthquakes, volcanic eruptions, and landslides, because of the low-cost and mobile operation of UAVs (see Gomez and Purdie, 2016, for a review of each application type).

However, few quantitative descriptions of topography specifically related to a flood disaster on a floodplain are based on high-resolution topographic data obtained by using such methods. Wierzbicki et al. (2013) used post-flood LiDAR data to capture a newly formed crevasse splay of the Vistula River, Poland, but they did not have any pre-flood data for a topographic comparison. The SfM-UAV_UAV-SfM method has basically not been used to examine floodplain topography in the context of a natural disaster, although Tamminga et al. (2015a) used it in a fluvial setting, applying it to the characterization of the morphology of a gravelly river. Although several studies have performed hydraulic analyses such as mapping floodwaters in urban areas (Feng et al., 2015) and estimation of a basin-scale sediment budget following a large flood (Croke et al., 2013), floodplain topography has attracted less attention from researchers. Reasons may include the low relief and urbanized or agricultural land uses of floodplains (Ninfo et al., 2016), and the low preservation potential of flood-related topography in

floodplain topography has attracted less attention from researchers. Reasons may include the low relief and urbanized or agricultural land uses of floodplains (Ninfo et al., 2016), and the low preservation potential of flood-related topography in urban areas (Nelson and Leclair, 2006). Moreover, in many cases, documentation of the topography reliable topographic data before a flood event which enables the investigation of topographic changes is not available, which prevents detailed quantification of flood-caused topographic changes (Tamminga et al., 2015b).

In this study, these difficulties were overcome and UAV and SfM photogrammetry were applied to the case of a disastrous flood that affected an inhabited and cultivated floodplain along the Kinu River, central Japan, in 2015. Three digital surface models (DSMs) of the research area characterized by the formation of crevasse splay before, 3 days after, and 3 months after the flood were generated by SfM photogrammetry or aerial LiDAR, and the features and post-formation modification of the

コメントの追加 [AI13]: Changed by the authors. 3

コメントの追加 [AI14]: Referee 1 comment 2

コメントの追加 [A15]: Referee 1 TC 6 コメントの追加 [AI16]: Referee 1 TC 7

コメントの追加 [AI17]: Referee 1 comment 3

コメントの追加 [AI18]: Added by the authors. 4

topography caused by a levee breach were quantitatively documented from the perspective of both natural and artificial changes. In addition, volume calculation was conducted using these DSMs to investigate the balance between deposition and erosion processes acted in the research area during the flood and the volumetric extent of the post-flood restoration works against the breached artificial levee and intensively eroded areas, the comparability of topographic data obtained by different acquisition methods and with different resolutions and the use of reference points was examined.

コメントの追加 [AI19]: Referee comment 21

コメントの追加 [U20]: Removed by the authors. 5

2 Study area

The Kinu River, which is 177 km long with a catchment area of 1760 km², is one of several large rivers in the Kanto Region, central Japan; it originates in the mountains north of the Kanto Region and joins the Tone River in the central Kanto Plain (Fig. 1). Volcanic Neogene and Quaternary age rocks are complexly distributed in the mountains that occupy the upper 60% of its catchment area. The remaining about 35% of the catchment is on the Kanto Plain and consists mainly of the present floodplain of the river and Pleistocene fluvial terraces. Mean annual precipitation is 1600–2100 mm in the mountains, and 1300–1500 mm on the plain.

The area affected by the 2015 flood is located about 50 km northeast from Tokyo (Fig. 1) and about 20 km upstream of the Kinu River's confluence with the Tone River. The Kinu River in this area has low sinuosity and a sandy bed with a gradient of about 1/2500, and it flows southward. The Kinu River and the Kokai River, another tributary of the Tone River, flow along the western and eastern margin, respectively, of a floodplain, and eachthese rivers and their abandoned channels are surrounded by alluvial ridges 1–2 m higher than flood basin, which occupies the center of the floodplain. The floodplain itself is 4–8 km wide, and it is bordered on both the east and west by fluvial terraces (Fig. 1). The largest alluvial ridges, which are 1.5–2 km wide, are those to the east of the Kinu River in Joso City.

20 by 1 m in depth, suggesting that the Kinu River has flooded repeatedly in this region (Sadakata, 1971). The alluvial ridges in this floodplain are densely inhabited and most villages are located on them. Other part of the alluvial ridges are used as agricultural lands, predominantly paddy fields. On the other hand, irrigation canals are entirely equipped in the flood basin and abandoned channels, and almost all area are used as paddy fields.

The research area covered by the DSMs was on the southern part of an alluvial ridge along the Kinu River, the width of which
was about 1.5 km (Fig. 1). Within the research area, the elevation of the ridge was high along the channel, especially near the
breached levee, although the ground level there might have been raised for construction. The artificial levee was about 2 m
higher than the top of the alluvial ridge. The alluvial ridge was divided by a shallow valley oriented north—south along the
eastern margin of the study area, which Sadakata (1971) suggested was a past crevasse channel. In the present, an agricultural
canal ran along the valley, and a network of smaller canals covered the research area. The part of the alluvial ridge in the study
area was mainly used for cultivating agricultural crops; most of the area was not covered by pavement or buildings except
close to the levee.

コメントの追加 [AI21]: Referee 1 TC 8

コメントの追加 [AI22]: Referee comment 5

コメントの追加 [AI23]: Referee 1 comment 5

コメントの追加 [AI24]: Referee 1 comment 5

コメントの追加 [AI25]: Referee 1 TC 12

3

In fact, repeated flooding of the Kinu and Kokai rivers around the study area during the past several hundred years is documented in the historical record, although how each flood affected the topography of the floodplain is unclear. Two floods occurred recently along the Kokai River, in 1981 and 1986. During the 1981 flood, a crevasse splay was formed at a point 4 km upstream of its confluence with the Tone River, where the Kokai River intersected an abandoned channel, creating a sandy mound more than 60 cm thick and a crevasse channel (breach scouring) with a length of 200 m and a depth of 2 m (Iseya et al., 1982).

3 The 2015 flood of the Kinu River

record heavy rain during 7–11 September, particularly during 9–10 September, driven by Typhoon Etau and the extratropical cyclone it became. The total rainfall during the period of heavy rain exceeded 600 mm along the upper reaches of the Kinu River, and 200–300 mm along the central to lower reaches (Japan Meteorological Agency JMA, 2015). Statistical analysis by Yoshimura et al. (2016) suggests that the return time of the cumulative rainfall for a single day, two days and three days over the drainage area of the Kinu River during the 2015 flood is 95, 138 and 237 years, respectively. At a gauge station located 10 km upstream from the breached levee, the peak water level, which was more than 6 m higher than the ordinary water level, was recorded on 10 September. Kinugawa-Mitsukaido gauge station, which is at 10 km downstream of the breached point of the Kinu River marked a peak discharge of c.a. 4000 m³ s⁻¹, which is the maximum observed in history of 90 years, and a similar discharge (c.a. 3900 m³ s⁻¹) occurred only once at 1949 there (Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism, 2015).

A levee breach of the Kinu River at Joso City, Ibaraki Prefecture, which occurred on 10 September 2015, was caused by a

The artificial levee on the left bank of the Kinu River collapsed on the same day and resulted in the formation of a crevasse splay on the alluvial ridge (Figs. 2 and 3).

The levee breach and other outflows from the Kinu River occurred at 10 September inundated an area of 40 km² on the floodplain between the Kinu and Kokai rivers; this area is equivalent to one-third of the area of Joso City. The flood water reached a depth of more than 2.5 m in the flood basin and the inundation depth of the alluvial ridges was generally 1 m or less near the breached levee, while the depth increased to 1.5 m or more in the south of the floodplain and decreased to 0.5 m or less, or none far from the breached levee (Nagumo et al., 2016). It took 10 days of pumping to remove the flood water from the levee-protected floodplain; there were two deaths, 44 injured, and 6000 evacuees of the 65,000 inhabitants of Joso City had to be evacuated, and the flood damaged, destroyed, or inundated 5000 buildings, in addition to causing severe interruptions of public utilities and the transportation system (Joso City, 2016). The breached levee had been temporarily repaired by two weeks after the flood, thus preventing additional flooding of the Kinu River (Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism (RDB, 2015). The economic loss by the flood and rainfall have been estimated to be 159.2 billion yens in Ibaraki Prefecture and 294.1 billion yens for the entire disaster by the heavy rain in September 2015

コメントの追加 [AI26]: Referee 1 comment 1

コメントの追加 [AI27]: Referee 1 comment 1

コメントの追加 [A28]: Referee 2 TC

コメントの追加 [AI29]: Referee 1 comment 5

コメントの追加 [AI30]: Added by the authors. 6

コメントの追加 [AI31]: Referee 1 comment 1

コメントの追加 [AI32]: Referee 2 (editor) comment コメントの追加 [AI33]: Referee 1 comment 1 (Land, Infrastructure and Transportation Ministry of Japan, 2017). For further information of 2015 flood of the Kinu River, see Nagumo et al. (2016) which have provided a detailed report of damages and social effects in the flooded areas.

Because of the rarity of levee-breaching floods on inhabited floodplains in modern Japan, the 2015 flood of the Kinu River attracted a great deal of attention and prompted many investigations, including remote surveys of the damaged floodplain. One of the topographic data sets that we used in this study was a DSM constructed by using aerial LiDAR data acquired 3 days after the flood.

4 Method

4.1 Data acquisition and DSM generation

4.1.1 LiDAR data

10 Two sets of LiDAR measurement data, acquired on 15 January 2007, before the flood, and on 13 September 2015, 3 days after the flood, were used in this study (Table 1), Land use in the study area is mainly agricultural (cultivated land), except for the area next to the levee, and it was largely bare of vegetation in winter when the first data set was acquired. Moreover, when the second data set was acquired immediately after the flood, the crops had been almost flattened by the flood waters. Thus, the data are-considered to be fairly accurate without any adjustment for surface objects such as vegetation and buildings. These data sets included georeferenced orthophotos.

LiDAR data before the flood were provided by the <u>Kanto Regional Development Bureau</u>, <u>Ministry of Land, Infrastructure</u>, <u>Transport and Tourism Kanto Regional Development Bureau</u>, <u>Land, Infrastructure and Transportation Ministry of Japan</u>. The measurement point density was 1.2 points m⁻¹ in the east—west direction, and 1.3 points m⁻¹ in the north—south direction (i.e., 0.64 points m⁻²). The pixel size of the DSM was set to 2 m so that each pixel contained two measurement points on average.

We generated a DSM from these data by using the 3D Analyst extension of ESRI ArcGIS 10.2.2 Desktop software. The Create TIN tool was first used to construct a triangular irregular network (TIN) of the data, and then the TIN was converted to a DSM by using a TIN to Raster tool.

LiDAR data were acquired soon after the flood by Aero Asahi Corp. (AAC). The measurement point density was 0.43 points m⁻¹ (i.e., 5.4 points m⁻²). This LiDAR data was converted to raster and a The DSM of these data-was generated by AAC by the

25 TIN method, and the pixel size was set to 1 m. When the LiDAR data in September 2015 was acquired, however, some areas (mostly erosional zones) were inundated by the flood water, which means that elevation data cloud not be obtained there. Thus, the elevation of the inundated areas was interpolated from the elevations along the margins of those areas on the DSM.

During the LiDAR measurement, the position of the aircraft was determined by GNSS equipment, and the inclination of the aircraft (roll, pitch, and yaw) was logged by an internal measurement unit. The on-board position information was corrected by using ground reference stations with known coordinates, established by a static GNSS survey.

コメントの追加 [AI34]: Referee comment 5

| コメントの追加 [AI35]: Referee 1 TC

{ コメントの追加 [A36]: Referee 2 TC

コメントの追加 [AI37]: Referee 1 TC 9

コメントの追加 [AI38]: Referee 1 TC 9

コメントの追加 [A39]: Referee 1 TC 10

コメントの追加 [AI40]: Referee 1 TC 13

4.1.2 UAV-SfM data

On 26 December 2015, we conducted a flight campaign with a UAV (DJI F550 six-rotor multicopter equipped with an APM 2.6 flight controller) over the alluvial ridge where significant topographic changes had been caused by the flood to obtain high-resolution measurements and to characterize the post-formation modifications of the crevasse splay. The flight was automatically piloted by an APM Mission Planner ground station; the UAV's height was maintained at 150 m above ground level and its speed was maintained 10 m s⁻¹ while the photographs were being acquired. The UAV was equipped with a Ricoh GR digital camera (focal length, 18.3 mm; resolution, 16.2 megapixels) and weighed about 2.4 kg altogether. Six flights, each 15 min long, were conducted over two hours2-h on the morning of 26 December and 5971433 photos were taken at 2-seconds intervals. However, these included many unusable photos that were taken when the UAV was not flying along the planned path, for example, while it was ascending or descending (5 m s⁻¹ and 2.5 m s⁻¹). Finally, of the 1433 photos, 597 photos-with an 80% overlap both across and along the UAV paths_were analyzed with the SfM technique to generate a DSM. The camera was flexibly held by a picavet (see Inoue et al., 2014) so that off-nadir angle of each photo was a little different among 10–15 degrees backward.

We used Agisoft Photoscan Pro 1.2.1 software for automatic camera calibration and the SfM analysis. The DSM was georeferenced by 15 ground control points (GCPs) obtained by a real-time kinematic (RTK) GNSS using a Trimble Geo 7X instrument and Zephyr Model 2 antenna with a horizontal and vertical accuracy of 5 cm (Fig. 3). Flat places with low relief such as a paved road were selected for the GCP locations so that local relief and objects would not affect the elevation of the pixels around the GCPs. The ground resolution of the resulting DSM was 3.84 cm, and it covered an area of about 0.793 km². The root mean square error (RMSE) of the DSM with 15 GCPs was 2.37 cm in the east-west direction, 2.14 cm in the northwest direction, and 1.58 cm in the elevation, and 3.56 cm overall (Table 1). The reprojection error was 0.455 pixel, which was no larger than that of similar SfM analysis with images taken by UAV (Pineux et al., 2016).

The doming effect is a fundamental problem about DSM generation by SfM analysis associated with near-parallel image sets and inconvente control of relief land objects would not affect the elevation of the pixels and inconvente control of relief land objects would not affect the elevation of the pixels and inconvente control of the pixels and pi

and inaccurate correction of radial lens distortion (James and Robson, 2014). The image acquisition method used in this study included some technics that mitigated the doming of the SfM-derived DSM: a high overlap rate of the images, precisely and widely placed GCPs and varying off-nadir angles. The error of each GCP between the GNSS measurement and the derived model was less than 5 cm, or the accuracy of the GNSS measurement, except for one located at the edge of the DSM. In addition, a check point was obtained by the same GNSS measurement showed an error of 0.56 cm against the SfM-derived DSM (Fig. 2). Although the result of the camera calibration was not quantitatively tested, these numbers suggest that the doming effect of the SfM-derived DSM was sufficiently small to compare with other LiDAR DSMs.

30 4.2 Evaluation of the horizontal accuracy of DSMs

To validate that an object was represented at the same location on all DSMs, each of which had been georeferenced independently, the gradient of each pixel was calculated for the three DSMs, and the edges of the same houses and other buildings were identified independently on the three slope rasters (Fig. 4). In Fig. 4, high slope areas are white, so the

コメントの追加 [A41]: Referee 1 TC 11

コメントの追加 [AI42]: Referee 1 comment 12

コメントの追加 [AI43]: Referee 1 comment 9

コメントの追加 [AI44]: Added to the authors. 7

コメントの追加 [AI45]: Editor's comment 1

コメントの追加 [AI46]: Referee 1 comment 12

boundaries between vertical structures such as buildings and walls or trees and the ground appear as white belts. The lines indicating building edges and walls on the December 2015 gradient map are located in the center of the high slope areas of the DSMs for the other two dates. This relationship was confirmed to be the same at several locations (not shown). Because the high slope areas are wide when the resolution of the original DSM is low, it is the resolution of the DSM, rather than its horizontal accuracy, that matters when evaluating topographic changes by raster comparison.

4.3 Calculation of differential rasters

Raster subtraction of DSMs for successive dates allows the topographic changes occurring between the two dates to be evaluated. Comparison of the DSMs for the first two dates (before and soon after the flood) shows the direct impact of the flood on the topography of the floodplain, and comparison of the DSMs for the second two dates shows post-formation modification of the crevasse splay.

First, the resolution of the SfM-derived DSM was resampled to 1 m in order to avoid local scale effects that might be derived from trivial relief of the high resolutionhigh-resolution surface model that was not represented in the DSMs with lower resolution (Fig. 5). The resolution and distribution of the pixels of the down-sampled DSM were set to the same as that of the September 2015 DSM for definite comparison of the two DSMs. Before the calculation of the differential rasters could be calculated, it was necessary to evaluate the systematic errors between pairs of DSMs. The systematic error between each comparison set was determined by selecting more than 10 points at locations that were stable between the two dates and assigning the mean elevation difference at each of those points to the systematic error (Table 2). The selected comparison points were located on flat areas where little change was observed between the two dates and which were wider than the pixel sizes of the DSMs (Fig. 2). In the process of eliminating the systematic errors, the resolution of the SfM-derived DSM was reduced to 1/10 by computing the mean value of the original pixels with the Aggregate tool of the AreGIS software because its original resolution was much higher than that of the other two DSMs.

The comparison results showed that elevations on the January 2007 DSM were higher than those on the September 2015 DSM by 37.9 cm ± 4.5 cm (mean ± standard deviation), and those on the September 2015 DSM were lower than those on the December 2015 DSM by 20.620.5 cm ± 2.01.8 cm. Then, the limit of detection (LoD) of elevation changes for each comparison pair was set to be the twice of the standard deviation of the systematic error between the DSMs, namely, 9.0 cm for the first two periods and 4.0 cm for the last two periods. The topographic changes less than this limit were neglected in the differential rasters and the volume calculation belowtopographic changes on the order of 10⁻¹ m could be represented by these DSMs. The absolute height of the topography was set to the December 2015 DSM values, the GCPs of which had been accurately measured with RTK GNSS. The DSMs were processed with the ArcGIS Raster Calculator tool to obtain two differential rasters (Figs. 6, 75). The resolution and pixel distribution of each differential raster were the same as those of the DSM with the higher resolution of each pair. The pixel sizes of them were both set to the 1 m for the convenience in volume calculation.

コメントの追加 [AI47]: Referee 1 comment 8

コメントの追加 [AI48]: Referee 1 Comment 11

(コメントの追加 [A49]: Changed by the authors. 8

コメントの追加 [AI50]: Referee 1 comment 11

コメントの追加 [AI51]: Referee 1 comment 11 コメントの追加 [AI52]: Referee 1 comment 10

コメントの追加 [AI53]: Referee 1 comment 11

コメントの追加 [AI54]: Referee 1 Comment 11

In the inundated areas of the second DSM, apparent elevation changes to the water surface were represented by the first differential raster, rather than the true topographic changes (Fig. 8). The second differential raster showed the water depth there because all inundated areas were no longer existed in December 2015. Thus, the differential rasters were divided into two figures in order to separately show the topographic changes (Fig. 6) and elevation changes related to the water surface (Fig. 7). The inundated areas, which looked light brown due to the muddy water, were determined according to the aerial photograph of the second period (Fig. 3b).

コメントの追加 [AI55]: Referee 1 comment 13

5 Results and discussion

20

30

5.1 Overview of the topography of the alluvial ridge and crevasse splay on each date

The DSMs and aerial orthophotos of the research area provide three snapshots of the study area, from before (January 2007), shortly after (September 2015), and 3 months after (December 2015) the flood (Fig. 2). The research area was on the southern part of an alluvial ridge along the Kinu River, the width of which was about 1.5 km (Fig. 1). Within the research area, the elevation of the ridge was high along the channel, especially near the breached levee, although the ground level there might have been raised for construction. The artificial levee was about 2 m higher than the top of the alluvial ridge. The alluvial ridge was divided by a shallow valley oriented north—south along the eastern margin of the study area, which Sadakata (1971) suggested was a past crevasse channel. In 2007, an agricultural canal ran along the valley, and a network of smaller canals covered the research area. The part of the alluvial ridge in the study area (Fig. 2a) was mainly used for cultivating agricultural crops; most of the area was not covered by pavement or buildings except close to the levee. It has been estimated, therefore, that the effect of human modifications to the landscape on the crevasse splay formation was quite small (Izumida and Sugai, in review).

The levee breach caused changes to the topography up to 300–500 m away from the levee; sand splays composed of well-sorted medium sand were deposited, a crevasse channel was eroded, and structures and other artificial features near the breached levee were damaged or destroyed (Fig. 2b, 3; Izumida and Sugai, in review). The crevasse channel was elongated to the east and then curved southward following the shallow valley. Breach scouring, that is, deep, distinguishable ditches, were created in the proximal part of the crevasse channel (Fig. 3c), but they and some other erosional areas were still submerged in September 2015 (Fig. 3a), so their elevations on the DSM were interpolated from the elevations along the margins of the pools. Buildings, cars, the prefectural road along the levee, and other artificial features were washed away by the flood and some of them came to rest within the crevasse channel (Fig. 3d). In the channel behind these obstacles, relatively small, elongated sandy mounds up to 150 m long were deposited. Sand splays were deposited to the north and south of the obstacles, with clear terminations on their downstream sides (Fig. 3b). The southern splays, 150–200 m long and 100 m wide, were larger than the northern ones.

コメントの追加 [AI56]: Changed by the authors. 9 The descriptions of the crevasse splay on each date were moved to the following sections and modified. Three months after the flood (December 2015), the crevasse channel was no longer submerged, and the areas of sand deposition appeared lighter in color than other, more muddy areas (Fig. 2c). Other than natural changes, some restoration and repair works by humans were apparent. The breached levee had already been repaired temporarily, the securing along prefectural road had been partly filled and leveled, and the road had been reopened. Modification of the immediate post-flood topography was less in distal areas, although some changes are visible in the DSM and orthophoto: for example, crops had grown or been cut in the agricultural lands, and sediments trapped in the canal had been removed along with the obstacles within the crevasse channel. Numerous wheel tracks on the ground indicate that many vehicles (Fig. 6b) had been driven across the study area after the flood, even where marked modification of the topography was not observed.

5.12 Comparison of DSMs between January 2007 and September 2015

30

10 The levee breach caused changes to the topography up to 300–500 m away from the levee: sand splays were deposited, crevasse channels elongated to the east and then curved southward following the shallow valley but obscured in the downstream were appeared (Figs. 9a, 9b). The crevasse channels formed especially deep, distinguishable ditches in the most upstream parts and structures and other artificial features near the breached levee were damaged or destroyed (Fig. 9c).

Buildings, cars, the prefectural road along the levee, and other artificial features were washed away by the flood and some of
them came to rest within the crevasse channel (Fig. 9d). In the channel behind these obstacles, relatively small, elongated
sandy mounds up to 150 m long were deposited. Sand splays were deposited to the north and south of the obstacles, with clear
terminations on their downstream sides (Fig. 9b). The southern splays, 150–200 m long and 100 m wide, were larger than the
northern ones.

Figure 65a and 7a shows the differential raster between the DSM before (January 2007) and that 3 days after (September 2015) the flood. Areas where the differences between the two DSMs are less than 0.1 m after removal of the systematic error are transparent, showing the September 2015 orthophoto used as the background. Areas of the crevasse channel that were submerged in September 2015 show topographic changes related to the water surface, not the bottom of the crevasse channel, in the differential raster. Near the levee, the erosional depth exceeded 1 m, decreasing to the east. In the shallow valley formed by the past crevasse channel, however, the topography showed a little aggradation, despite the apparent continuity of the surface seen in the orthophotos (Fig. 32); the aggradation is probably attributable to the presence of crops before the flood and the submergence of the land surface in September 2015. The thickness of the sand lobessplays was estimated to be up to 60 cm in the north and 80 cm in the south of the research area, except where small sandy mounds had aggraded behind obstacles on the sand splays. At the downstream end of the splays, the splay height was 30–50 cm relative to the adjacent ground, consistent with the field survey results (Izumida and Sugai, in review). Small sandy mounds behind the obstacles with heights of 20 cm or more are recognizable in the differential raster.

In addition to the obvious topographic changes, some more subtle changes were detected. For example, aggradation of 10 cm or more was widely observed beyond both the north and south sand splays, and in some locations, the deposition exceeded 50

コメントの追加 [AI57]: Changed by the authors 9

コメントの追加 [AI58]: Referee 1 Comment 14

コメントの追加 [AI59]: Added by the authors.

コメントの追加 [AI60]: Referee 1 TC

cm. However, because the systematic error between the DSMs was removed by using the elevation of the paved road, the differential raster represents not only changes caused by the 2015 flood but also changes in the status of the agricultural lands, such as the presence of crops and the soil built up over the course of more than eight years. Careful evaluation of such changes is needed for a more detailed discussion of flood-related changes.

5.23 Comparison between DSMs of September 2015 and December 2015

15

20

Three months after the flood (December 2015), the crevasse channel was no longer submerged, and the areas of sand deposition appeared lighter in color than other, more muddy areas (Fig. 3c). Other than natural changes, some restoration and repair works by humans were apparent. The breached levee had already been repaired temporarily, the scouring along prefectural road had been partly filled and leveled, and the road had been reopened. Modification of the immediate post-flood topography was less in distal areas, although some changes are visible in the DSM and orthophoto: for example, crops had grown or been cut in the agricultural lands, and sediments trapped in the canal had been removed along with the obstacles within the crevasse channel. Numerous wheel tracks on the ground indicate that many vehicles had been driven across the study area after the flood, even where marked modification of the topography was not observed. These tracks were obscured in the down-sampled SfMderived DSM and the differential raster (Fig. 5).

The differential raster of the DSMs between 3 days after (September 2015) and 3 months after (December 2015) the flood is shown in Fig. 65b and 7b. Significant topographic changes can be observed where restoration works exceeded those completed immediately after the flood. The most remarkable change is the temporary repair of the breached levee, which was raised in height by up to 5.5 m (>1 m in the figure). The area to the east of the repaired prefectural road seemed mostly flat in the December 2015 DSM and orthophoto, but in fact the amount of fill varied between 10 and 60 cm compared with the original scour topography. In contrast, up to 100 cm of flood deposits were removed to level the ground in the depositional area near the levee. Several buried canals were restored by excavation, and the sand was heaped on both sides of the canals. In the case of the large canal on the east side of the research area, however, the removed sand had been transported elsewhere by December 2015. Although there were some points which experienced local aggradation or degradation due to similar restoration works as such, Other than in those areas, the crevasse splay was left mostly undisturbed, or had little modification so its features were 25 deformed only mainly by natural phenomena occurring during the 3 months after the flood, except for the reconstruction of the artificial levee and the land filling and leveling along the prefectural road near the breached levee.

The first natural modification was the emergence of the submerged areas of the crevasse channel (Fig. 6a). The values of the differential raster along the formerly submerged crevasse channel indicate the depth of the pool, because the September 2015 DSM shows mostly the elevation of the water surface in that area. Thus, by using the two differential rasters, the water depth in the crevasse channel 3 days after the flood can be estimated as well as the total amount of erosion of the alluvial ridge. They show that the water depth was 20-60 cm, and erosion of up to 150 cm, with higher values near the levee, was caused by the flood. In the restored areas along the breached levee, however, the true erosional depth of the scouring, certainly the most

コメントの追加 [AI61]: Changed by the authors. 9

コメントの追加 [AI62]: Referee 1 comment 15

コメントの追加 [AI63]: Referee 1 comment 15

significant within the study area, could not be determined from the DSMs because the scouring was filled in before the UAV flight was conducted. This is an example of the difficulties faced in the investigation of disasters in developed regions.

In the areas that had been covered with muddy sediments, such as beyond the sand splay in the northern part of the study area (Fig. 6b), shrubs with heights up to 30 cm grew up especially around the edge of the splay deposits after the flood. In natural floodplains, crevasse splay development promotes the formation of new colonies of vegetation (Florsheim and Mount, 2002; Cahoon et al., 2011). This flood might provide a relationship between the topography of the crevasse splay and the location and the type of such new growth. However, such vegetation was removed during the restoration works thus, such new growth might be an important environmental component of the floodplain if there had been no human changes to the crevasse splay. Because each shrub is less than 1 m high, and they are distributed separately, they would be difficult to observe by LiDAR alone because of the limited resolution of the measured points. Usage of the UAV-SfM method to generate DSMs was therefore

As in previous reports of the topography of crevasse splays (O'Brien and Wells, 1986; Bristow et al., 1999), steep slopes formed at the downstream edges of the crevasse splays in the research area. Three months after their formation, however, the eastern edges of the southern splays had aggraded 10–20 cm, and the western edge of one splay had been lowered by 10 cm (Fig. 6e, d), indicating that sand composing the splay had moved eastward. The seasonal wind in winter in Japan, the direction of which coincides with the sand and occasionally exceeds 10 m s⁻¹ in speed is possibly responsible for the change combined with the dry wintertime climate of Japan. This direction coincides with the direction of the seasonal wind in winter in Japan (Table 3). Available wind data from after the flood show that on 63 of 100 days the dominant wind direction was between northerly and westerly, which could account for the low gradient of the splay edges. The dry wintertime climate of Japan might also help the sand to be easily moved by the wind.

5.3 Volumetric evaluations of the topographic changes

ore suitable for documenting the relatively subtle changes in this case.

10

In order toTo get further insights into the 2015 flood event, the volume gains and losses in the research area through the 2015 flood were calculated using the two differential rasters. The range of calculation was limited to the area where the topography was clearly affected by the flood in September 2015 (Fig. 10). Although there were some pixels in which considerable elevation changes were recognized outside the calculation range, the status of crops was mainly responsible for the changes rather than the flood deposits and the erosional scours. The areas used for the buildings were also excluded from the calculation.

Using the first differential raster, the aggradational pixels and the degradational pixels were detected within the calculation range, and the pixels that had smaller values than the LoD of the differential raster were excluded from the calculation (Fig. 10). Then the values of volume gains and losses were separately calculated with the areas and average values of the pixels.

Distinguishable sand lobes deposited within the aggradational pixels were a characteristic feature of the crevasse splay, so their volume and area within the entire aggradational pixels were additionally calculated. In some parts of degradational areas, remaining inundated water in the September 2015 DSM had hided the true erosional depths, which could lead to

コメントの追加 [AI64]: Added by the authors. 10

コメントの追加 [AI65]: Referee 1 comment 16

コメントの追加 [AI66]: Referee 1 comment 17

コメントの追加 [AI67]: Referee 1 comment 21

underestimation of the volume loss (Fig. 8). So, the water volume calculated with the differential raster of September 2015 and December 2015 (Fig. 7b), which showed the inundated depths, was added to the volume losses. However, some inundated areas were covered by plants or sand splay deposits and the pixels of the differential raster showed elevation gain, indicating that these areas were not erosive. So, pixels with elevation losses on the first differential raster were only used to calculate the water volume to be added to the volume losses (Fig. 10). Moreover, the inundated depth in the areas which were leveled for the restoration works during the research period could not be estimated with the differential raster. The depth of the scouring was larger near the breached levee, and reached more than 2 m in the maximum (Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism, 2016). Thus, the water depth of the outer edge of leveled range, which was assumed to be 0.3 m, was substitutionally used to estimate the least water volume there.

10 Calculation indicated that 78.3% of the entire calculation range marked topographic changes larger than the LoD between the first two periods: 57.4% was aggradational, and 20.9% was degradational (Table 3). The total volume gain was 37 187 m³ and the volume of the distinguishable sand lobes was estimated to be 12 467 m³ of the number, which suggests that deposition of the sand lobes was responsible for 33.5% of the aggradation in volume, while the area they occupied was 24.2% of the all aggradational areas. This gap indicates that the formation of these sand lobes might play a key role in sedimentation during this flood. The volume loss estimated using the first differential raster was 73 948 m³ and the further volume loss of 6 478 m³ represented by the inundating water volume was added to it. Thus, the total erosional volume was estimated to be 80 426 m³, which means that using another DSM in the different period contributed to an improvement by 8.1%.

The distribution of the degraded pixels was almost limited to the proximal of the breached levee while the aggradational pixels were located around the erosional areas and more distant from the breached levee. The aggradational areas were about three times larger than the degraded areas. However, the average value of topographic change in degradational pixels (1.60 m) was quite larger than that of the aggradational pixels (0.27 m) and the total volumetric changes in the calculation range was minus 43 239 m³, suggesting that the 2015 flood event was erosive within the research area.

20

By December 2015, the post-flood restoration works including reconstruction of the breached levee and leveling of intensively eroded ground near it had proceeded, exterior materials imported to the research area. The volume of those materials waswere estimated at least 20 636 m³ using the aggradational pixels within the range of these restoration works on the second differential raster (Table 4). Because other restoration activities and natural processes were small in scale of material transportation in the research area, this value represents the topographic changes in the three months after the flood for the most part. Combined with the total volumetric changes by the flood above, it is presumed that the research area experienced volume loss of 22 603

The volumetric estimation above contain some issues. First, the calculated values might be considerately affected besides topography, such as by vegetation and buildings. Actually, tThe crops on the research area elevated the DSM in September 2015 more or less, leading to overestimation of the volume gain and destroyed buildings in the erosive reaches could make the eroded volume unnecessarily larger, if not included. Second, although water depth in inundated areas could be estimated -using

additional DSM, that of leveled area in December 2015 could not be obtained. However, this drawback may be overcome by more frequent acquisition of the topographic data, which can be easily done using a UAV and SfM analysis. Third, the simple subtraction procedure to generate the differential rasters assumed non-erosional contacts between the original ground surface before the flood and the flood deposits above. However, it was reported that the boundary had a clear erosional characteristic (Matsumoto et al., 2016), thus the estimated volume gain might be underestimated. Moreover, deposition in the pixels accordingly detected as degradational was neglected, too. Field observations may correct these uncertainties, but it would be difficult to measure thicknesses of eroded beds. Nevertheless quantitative information derived from a time-series of topographic data fairly represents 3D architecture of a crevasse splay and may enable us, in disastrous situations, to consider the amount of deposits that need to be removed and, conversely, the amount of materials necessary for the construction of embankments or for land filling. In the case of this study, volumetric contribution of sand lobes and land filling to the topography might be suit for these applications.

5.4 Recording of landforms with low preservation potential by combined usage of UAV-SfM and LiDAR

10

20

25

In this study, the systematic error between DSMs was assumed to be merely the average of the elevation differences at several selected points. In fact, almost all parts of the road, which were assumed to be stable throughout, were shown to experience small elevation changes (<10 cm) in both comparison pairs (Fig. 5). This result suggests that even the simple elimination method used in this study can effectively detect differences on the order of 10⁻¹ m in topographic data acquired by different methods and at different times.

The resolution of the LiDAR-derived DSM is much lower than the resolution of that produced by the UAV-SfM method, but it was possible to compare the topography at finer scale than the LiDAR data by fitting the pixels of the differential raster to the UAV-derived DSM, because actual topography at the earlier time was simpler than that at the later time. In particular, where the topographic relief is small and vegetation is sparse, as in the study area, even relatively low resolution topographic data is adequate for describing and archiving the effects of a disaster on the topography through comparisons with high-resolution data obtained after the event.

In the context of disaster management, high-resolution photos and videos taken by UAVs can help in the development of

strategies to take in the event of emergencies (Ezequiel et al., 2014; Erdelj and Natalizio, 2016). Another UAV 'eye', namely, topographic data obtained by SfM photogrammetry, would also be informative, particularly if acquired immediately after the occurrence of a disaster. Of course, pre-flood topographic data are necessary to quantify the degree of erosion and deposition. In Japan, open-access LiDAR data with a resolution of 5 m covering the whole country except for some remote areas is available from the Geospatial Information Authority of Japan (Sato et al., 2010) and can be used for comparison with data obtained after disasters. For example, Saito et al. (2016) utilized a combination of UAV-SfM topographic data obtained after a heavy rainfall in the mountains of southeastern Japan and available pre-hazard LiDAR data to estimate sediment yields of landslides. This study and that of Saito et al. (2016) both took advantage of the availability of UAVs, which because of their

コメントの追加 [AI68]: Referee 1 comment 20

コメントの追加 [AI69]: Changed by the authors. 11 Moved to Conclusions section and modified.

コメントの追加 [AI70]: Referee 1 comment 18

コメントの追加 [A71]: Changed by the authors. 13

low cost and flexible operation, can be effectively used to obtain topographic measurements for use in combination with past LiDAR data to determine topographic differences related to sudden events.

Furthermore, UAVs combined with SfM analysis, can easily be used to obtain additional topographic data on successive dates

to produce '4D' topographic models, that is, 3D models showing the evolution of the measurement objectives over time

(Gomez and Purdie, 2016). This study achieved this at a very primitive level by using DSMs obtained at three different times to estimate inundation depth, and shrub growth, and volumetric estimation of the topographic changes. A similar multitemporal analysis of topography would be possible in other areas in Japan and anywhere else in the world where extensive topographic measurements have been made; thus, this method is expected to become applicable to more and more regions.

Another approach to quantifying morphological changes is archival photogrammetry using SfM methods with past aerial photographs (Bakker and Lane, 2016). Although data sources may be limited in number and resolution, this approach can reconstructing historical events and thus extend the timeline of topography to the period before LiDAR data have been available. The accumulation of knowledge about the nature of hazardous earth surface processes both currently occurring and

photographs (Bakker and Lane, 2016). Although data sources may be limited in number and resolution, this approach can reconstructing historical events and thus extend the timeline of topography to the period before LiDAR data have been available. The accumulation of knowledge about the nature of hazardous earth surface processes both currently occurring and in the past is expected to help us to mitigate the damage suffered by people and human society from future disasters. In the context of disaster management, high-resolution photos and videos taken by UAVs can help in the development of strategies to take in the event of emergencies (Ezequiel et al., 2014; Erdelj and Natalizio, 2016). Another UAV 'eye', namely, topographie

to take in the event of emergencies (Ezequiel et al., 2014; Erdelj and Natalizio, 2016). Another UAV 'eye', namely, topographie data obtained by SfM photogrammetry, would also be informative, particularly if acquired immediately after the occurrence of a disaster. Applications suggested by the results of this study include volume estimation of flood deposits that need to be removed and, conversely, the amount of materials necessary for the construction of embankments or for land filling. Evaluation of these possibilities, however, exceeds the scope of this paper.

20 6 Conclusions

In this study, multitemporal repeated topographic measurementdata acquired by UAV-SfM photogrammetry and LiDAR, with a resolution on the order of 10⁻² and 10⁰ m, respectively, were successfully used to quantify topographic changes on a floodplain caused by the 2015 flood of the Kinu River, including the formation of a crevasse splay and its post-formation modification by both natural and artificial causes. All three topographic data sets used in this study were surface models, but in the most of the research area, they represented the ground elevation because there were few buildings and little vegetation except for agricultural crops. However, these objects might have considerable effects in case of volumetric analysis.

The horizontal accuracy of the DSMs estimated from the positions of building edges showed that the difference in resolution of the DSMs determined the accuracy of the comparisons made by raster calculations. The systematic error between DSMs was assumed to be merely the average of the elevation differences at several selected points. In fact, almost all parts of the road, which were assumed to be stable throughout, were shown to experience small elevation changes (less than 10 cm) in both two comparison pairs, January 2007 versus September 2015 and September 2015 and December 2015. However, very

コメントの追加 [AI72]: Changed by the authors. 12

コメントの追加 [AI73]: Referee 1 comment 21

コメントの追加 [AI74]: Referee 1 comment 19

コメントの追加 [AI75]: Changed by the authors. 13

コメントの追加 [AI76]: Referee 1 comment 20

コメントの追加 [AI77]: Referee 1 TC 1

コメントの追加 [AI78]: Referee 1 comment 21

コメントの追加 [AI79]: Changed by the authors. 11

コメントの追加 [AI80]: Referee 1 comment 11

relatively low resolution, due to the trivial relief of the surface model derived from wheel tracks, plants, debris and so on. Down-sampling of the former DSM to 1 m, which was equal to that of LiDAR derived DSM, removed these local fluctuation of the topography, enabling more easy comparison of the surface models acquired by LiDAR. Elevation differences on the order of 10⁻¹ m were detectable between pairs of DSMs after the removal of the systematic error and the adjusting of the resolution. However, care is needed to account for environmental changes due the difference in the time of acquisition, such as vegetation growth and ground modification by humans. The topography of the crevasse splay was characterized by the intensive erosion near the breached levee and deposition of the $\underline{flood\ deposits, especially\ lobe-shaped\ sand\ mounds\ occupying\ 33.5\%\ of\ the\ all\ aggradation,\ surrounding\ the\ erosional\ areas.}$ Volumetric analysis indicated that the area of the degradation pixels was about three times narrower than the aggradation pixels but the estimated volume loss was more than twice as large as volume gain, resulting in the volume loss of 43 239 m³ in all by the event which was suggested to be quite erosive in the research area. Multitemporal Repeated measurement of the topography allowed the post-formation modifications of the crevasse splay to be quantified. Through the use of With-surface models, not only changes to the topography itself but also to vegetation, the inundating water, and artificial modifications for restoration and repair could be quantified. The true erosional depths in the inundated areas could be estimated using the DSM 15 in the third period, resulting in improving by 8.1% of the estimation of the total volume loss. The reconstruction of the breached levee and the leveling of intensively eroded ground near it were major causes of the topographic changes after the occurrence of the flood and the value countervailed 25.6 % of the eroded volume by the flood. The relatively low resolution of the LiDARderived DSM limited detailed quantification of the topography, but subtle changes on a horizontal scale smaller than the pixel size of the LiDAR derived DSM were detectable in the comparison with a DSM acquired later by the UAV-SfM method because the topography in the earlier DSM was relatively simple.

ーコメントの追加 [AI85]: Referee 1 comment 18

コメントの追加 [AI84]: Referee 1 comment 21

コメントの追加 [AI81]: Referee 1 comment

コメントの追加 [AI82]: Referee comment 21

コメントの追加 [AI83]: Referee 1 TC 1

and agricultural crops, (ii) lack of topographic data showing the entire part of the crevasse splay: partly the erosion depths could not be estimated due to the restoration works, and (iii) ifassuming that only a single process worked at a point, namely, erosion in the aggradational pixels and deposition in the degradational pixels were neglected. Use of topographic models in which buildings and vegetation are removed, more frequent measurement of the topography, which may easily be achieved by the UAV-SfM protocol, and the combination with field observation can improve the estimation.

However, the volume estimation in this study have some issues including (i) effects other than the topography such as buildings

Low-cost and speedy data acquisition (2 h in the field to cover 0.793 km² in this study) by UAV can be effectively used, particularly when used in combination with LiDAR data obtained beforehand, to capture topography related to natural disasters with low preservation potential because they are in inhabited regions, where the affected topography is likely to be restored soon after the disaster. Because river floods and the consequent formation of crevasse splays occur in floodplains around the world, the accumulation of similar studies will promote our understanding of the splay formation process and enable damages caused by such flooding to be mitigated. To achieve this goal, however, the preparation and maintenance of an extensive

ー コメントの追加 [AI86]: Referee 1 comment 21

LiDAR database is needed to make possible quantification of topographic changes caused by floods and other disasters by UAV-SfM photogrammetry.

7 Data availability

The aerial photos taken from the UAV and SfM-processed DSM are not open to the public for further analysis by the authors.

5 The post-flood LiDAR and orthophotos are provided to the authors with special permission of Aero Asahi Corp. The pre-flood LiDAR data and orthophotos are available with permission from Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism. The climate data in Joso City are freely available from Japan Meteorological Agency (http://www.jma.go.jp/jma/menu/menureport.html).

Author contributions A. Izumida undertook the processing and the interpretation of the data and the preparation of the manuscript with contributions from all co-authors. S. Uchiyama undertook the data acquisition with the UAV and the SfM processing. T. Sugai designed the research and gave the final approval of the article.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgement. We gratefully thank Hiroshi Kobayashi of Aero Asahi Corporation for providing the processed DSM derived from the post-flood LiDAR data and the orthophotos acquired in September 2015. This study was financially supported by JSPS KAKENHI Grant Number JP26282078.

References

20

Allen, J. R. L.: aA Review of the Origin and Characteristics of Recent Alluvial Sediments, Sedimentology, 5(2), 89–191, doi:10.1111/j.1365-3091.1965.tb01561.x, 1965.

Barredo, J. I.: Major flood disasters in Europe: 1950-2005, Nat. Hazards, 42(1), 125–148, doi:10.1007/s11069-006-9065-2, 2007.

Berz, G., Kron, W., Loster, T., Rauch, E., Schimetschek, J., Schmieder, J., Siebert, A., Smolka, A. and Wirtz, A.: World map of natural hazards - a global view of the distribution and intensity of significant exposures, Nat. Hazards, 23(2–3), 443–465, doi:10.1023/A:1011193724026, 2001.

Bristow, C. S., Skelly, R. L. and Ethridge, F. G.: Crevasse splays from the rapidly aggrading, sand-bed, braided Niobrara River,

Nebraska: Effect of base-level rise, Sedimentology, 46(6), 1029–1047, doi:10.1046/j.1365-3091.1999.00263.x, 1999.

Cahoon, D. R., White, D. A. and Lynch, J. C.: Sediment infilling and wetland formation dynamics in an active crevasse splay of the Mississippi River delta, Geomorphology, 131(3–4), 57–68, doi:10.1016/j.geomorph.2010.12.002, 2011.

コメントの追加 [AI87]: Referee 1 comment 17

コメントの追加 [A88]: New references have been included and unnecessary ones have been removed.

Croke, J., Todd, P., Thompson, C., Watson, F., Denham, R. and Khanal, G.: The use of multi temporal LiDAR to assess basin-scale erosion and deposition following the catastrophic January 2011 Lockyer flood, SE Queensland, Australia, Geomorphology, 184, 111–126, doi:10.1016/j.geomorph.2012.11.023, 2013.

Day, J. W., Cable, J. E., Lane, R. R. and Kemp, G. P.: Sediment deposition at the caernaryon crevasse during the great Mississippi flood of 1927: Implications for coastal restoration, Water (Switzerland), 8(2), doi:10.3390/w8020038, 2016.

Erdelj, M. and Natalizio, E.: UAV-Assisted Disaster Management: Applications and Open Issues, 9th Int. Work. Wirel. Sensor, Actuator Robot Networks (WiSARN 2016), 2016.

Ezequiel, C. A. F., Cua, M., Libatique, N. C., Tangonan, G. L., Alampay, R., Labuguen, R. T., Favila, C. M., Honrado, J. L. E., Canos, V., Devaney, C., Loreto, A. B., Bacusmo, J. and Palma, B.: UAV aerial imaging applications for post-disaster assessment, environmental management and infrastructure development, 2014 Int. Conf. Unmanned Aircr. Syst., 274–283, doi:10.1109/ICUAS.2014.6842266, 2014.

Feng, Q., Liu, J. and Gong, J.: Urban flood mapping based on unmanned aerial vehicle remote sensing and random forest classifier-A case of yuyao, China, Water (Switzerland), 7(4), 1437–1455, doi:10.3390/w7041437, 2015.

Florsheim, J. L. and Mount, J. F.: Restoration of floodplain topography by sand-splay complex formation in response to intentional levee breaches, Lower Cosumnes River, California, Geomorphology, 44(1–2), 67–94, doi:10.1016/S0169-555X(01)00146-5, 2002.

Gebica, P. and Sokolowski, T.: Sedimentological interpretation of crevasse splays formed during 1997 flood in the Vistula River Valley (South Poland), Ann. Soc. Geol. Pol., 71, 53–62, 2001.

Gomez, C. and Purdie, H.: UAV- based Photogrammetry and Geocomputing for Hazards and Disaster Risk Monitoring – A Review, Geoenvironmental Disasters, 3(1), 23, doi:10.1186/s40677-016-0060-y, 2016.

Inoue, H., Uchiyama, S., Suzuki, H. and Risk, D.: Multicopter Aerial Photography for Natural Disaster Research, Report of the National Research Institute for Earth Science and Disaster Prevention, 81, 61–98, 2014 (in Japanese with English abstract). Iseya, F., Ikeda, H. and Mikami, Y.: Topographic changes at levee breached area of the Kokai River in August, 1981, Bull. Environ. Res. Ctr., Univ. of Tsukuba, 6, 117, 1982 (in Japanese).

25 Izumida, A. and Sugai, T.: Formation of a crevasse splay at an artificial levee breached during the 2015 flood of the Kinu River, Central Japan: implications for fluvial geomorphology, Geomorphology, in review.

James, M. R. and Robson, S.: Mitigating systematic error in topographic models derived from UAV and ground-based image networks. Earth Surf. Process. Landforms, 39, 1413–1420. doi:10.1002/esp.3609, 2014.

Japan Meteorological Agency—(JMA): Natural phenomenon report for disasters 1, [online] Available from: 30 http://www.jma.go.jp/jma/kishou/books/saigaiji/saigaiji_2015/saigaiji_201501.pdf (Accessed <u>813 MayJanuary</u> 2017), 2015 (in Japanese). コメントの追加 [A89]: Referee 1 TC 4

Joso City: Inspection report on actions for the 2015 flood of the Kinu River in Joso City, [online] Available from: http://www.city.joso.lg.jp/ikkrwebBrowse/material/files/group/6/kensyou_houkokusyo.pdf (Accessed <u>823 MayJanuary</u> 2017), 2016 (in Japanese).

Kanto Regional Development Bureau (KRDB): Actions for the 2015 Kanto and Tohoku Heavy Rain (18th report). [online]

Available from: http://www.ktr.mlit.go.jp/ktr_content/content/000633310.pdf (Accessed 11 January 2017), 2015 (in Japanese).

Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism: Report on flood damages and restoration status for the September 2015 Kanto-Tohoku Heavy Rainfall, [online] Available from: http://www.ktr.mlit.go.jp/ktr_content/content/000633805.pdf (Accessed 8 May 2017), 2015 (in Japanese).

Kanto Regional Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism: Reconnaissance report of Kinugawa Levee Investigation Committee, [online] Available from: http://www.ktr.mlit.go.jp/ktr content/content/000643703.pdf (Accessed 8 May 2017), 2016 (in Japanese).

Kundzewicz, Z. W., Hirabayashi, Y. and Kanae, S.: River Floods in the Changing Climate-Observations and Projections, Water Resour. Manag., 24(11), 2633–2646, doi:10.1007/s11269-009-9571-6, 2010.

Matsumoto, D., Sawai, Y., Yamada, M., Namegaya, Y., Shinozaki, T., Takeda, D., Fujino, S., Tanigawa, K., Nakamura, A.

15 and Pilarczyk, J. E.: Erosion and sedimentation during the September 2015 flooding of the Kinu River, central Japan, Sci. Rep.,

Ministry of Land, Infrastructure, Transport and Tourism: Publishing the damage amount (final values) by flood disasters in 2015. [online] Available from: https://www.mlit.go.jp/common/001176247.pdf (Accessed 8 May 2017), 2017 (in Japanese).

Nagumo, N., Ohara, M., Kuribayashi, D. and Sawano, H.: The 2015 Flood Impact due to the Overflow and Dike Breach of

Kinu River in Joso City-, Japan, J. Disaster Res., 11(6), 1112–1127, doi:10.20965/jdr.2016.p1112, 2016.

Nelson, S. a. and Leclair, S. F.: Katrina's unique splay deposits in a New Orleans neighborhood, GSA Today, 16(9), 4,

Ninfo, A., Mozzi, P. and Abbà, T.: Integration of LiDAR and cropmark remote sensing for the study of fluvial and anthropogenic landforms in the Brenta-Bacchiglione alluvial plain (NE Italy), Geomorphology, 260, 64–78,

25 doi:10.1016/j.geomorph.2015.11.006, 2016.

doi:10.1130/GSAT01609A.1, 2006.

6(September 2015), 34168, doi:10.1038/srep34168, 2016.

O'Brien, P. E. and Wells, a. T.: A small, alluvial crevasse splay, J. Sediment. Res., 56(6), 876–879, doi:10.1306/212F8A71-2B24-11D7-8648000102C1865D, 1986.

Pineux, N., Lisein, J., Swerts, G., Bielders, C. L., Lejeune, P., Colinet, G. and Degré, A.: Can DEM time series produced by UAV be used to quantify diffuse erosion in an agricultural watershed?, Geomorphology, 280, 122–136, doi:10.1016/j.geomorph.2016.12.003, 2016.

Sadakata, N.: Formation of the Lower Kinu River Floodplain, Geographical Sciences (Chiri Kagaku), 18, 13–22, 1971 (in Japanese with English abstract).

- Saito, H., Uchiyama, S., Obanawa, H. and Hayakawa, Y. S.: Sediment yields triggered by heavy rainfall in July 2012 at Aso Volcano: application of high-definition topography data using unmanned aerial vehicles and structure-from-motion multi-view stereo photogrammetry, Geographical Review of Japan Series A, 89(6), 347–359, 2016 (in Japanese with English abstract). Sato, H., Iwahashi, J., Koarai, M., Kamiya, I. and Komuro, K.: Preparation of high-resolution digital elevation models by the
- 5 Geospatial Information Authority of Japan and their applications to geographic information analysis, Transactions, Transactions, Japanese Geomorphological Union, 31(4), 359–382, 2010 (in Japanese with English abstract).
 - Tamminga, A., Hugenholtz, C., Eaton, B. and Lapointe, M.: Hyperspatial remote sensing of channel reach morphology and hydraulic fish habitat using an unmanned aerial vehicle (UAV): a first assessment in the context of river research and management. River Research and Applications, River Res. Appl., 31(3), 379–391, doi:10.1002/rra.2743, 2015a.
- 10 Tamminga, A. D., Eaton, B. C. and Hugenholtz, C. H.: UAS-based remote sensing of fluvial change following an extreme flood event, Earth Surf. Process. Landforms, 40(11), 1464–1476, doi:10.1002/esp.3728, 2015b.
 - Tarolli, P.: High-resolution topography for understanding Earth surface processes: Opportunities and challenges, Geomorphology, 216, 295–312, doi:10.1016/j.geomorph.2014.03.008, 2014.
 - Wierzbicki, G., Ostrowski, P., Mazgajski, M. and Bujakowski, F.: Using VHR multispectral remote sensing and LIDAR data to determine the geomorphological effects of overbank flow on a floodplain (the Vistula River, Poland), Geomorphology, 183, 73–81, doi:10.1016/j.geomorph.2012.06.020, 2013.
 - Wohl, E.: Rivers in the Landscape: Science and Management, Wiley-Blackwell, Chichester, UK., 2014.
 - Yoshimura, K.: Statistical analysis of the heavy rain triggering the flood of the Kinu River, in: Report on disaster investigations in the Kanto region for the September 2015 Kanto-Tohoku Heavy Rainfall, Joint Research Group in the Kanto Region of Japan
- 20 Society of Civil Engineering and The Japanese Geotechnical Society for the September 2015 Kanto-Tohoku Heavy Rainfall, 18-20, [online] Available from: http://committees.jsce.or.jp/report/node/118 (Accessed 8 May 2017), 2016 (in Japanese).

Table 1. Timeline of data acquisition in relation to the levee breach and information on the DSMs used in this study.

4	コメン	トの追加	Γ Δ9 01:	Referee 1	TC 14

Date	Data acquisition method	Resolution of DSM
15 January 2007	LiDAR	2.0 m
10 September 2015	Levee breach	at Joso City
13 September 2015	LiDAR	1.0 m
26 December 2015	UAV	3.84 cm

<u>GCP</u>	X error (cm)	Y error (cm)	Z error (cm)	Total error (cm)
<u>G1</u>	-2.34	3.43	-0.20	4.15
<u>G2</u>	<u>3.14</u>	<u>-2.48</u>	<u>2.76</u>	4.86
<u>G3</u>	<u>-1.77</u>	<u>-2.47</u>	<u>-0.21</u>	3.05
<u>G4</u>	<u>-0.16</u>	<u>-2.59</u>	0.39	<u>2.62</u>
<u>G5</u>	<u>3.37</u>	<u>1.09</u>	<u>-1.13</u>	<u>3.72</u>
<u>G6</u>	<u>2.95</u>	<u>3.01</u>	<u>1.63</u>	<u>4.52</u>
<u>G7</u>	3.08	<u>-1.56</u>	<u>-2.70</u>	4.38
<u>G8</u>	0.09	<u>1.41</u>	<u>1.35</u>	<u>1.95</u>
<u>G9</u>	<u>-4.33</u>	<u>-1.53</u>	<u>-2.60</u>	<u>5.28</u>
<u>G10</u>	<u>-0.27</u>	1.02	0.64	1.23
<u>G11</u>	<u>-0.62</u>	<u>-0.81</u>	0.49	<u>1.13</u>
<u>G12</u>	<u>0.76</u>	<u>-0.76</u>	<u>-0.39</u>	<u>1.14</u>
<u>G13</u>	<u>-2.17</u>	<u>2.50</u>	1.88	<u>3.81</u>
<u>G14</u>	<u>-3.22</u>	<u>-2.51</u>	<u>-2.34</u>	<u>4.70</u>
<u>G15</u>	<u>1.32</u>	2.45	<u>0.16</u>	<u>2.78</u>
RMSE	2.37	2.14	1.58	3.56

Table 2: Points used to compare each pair of DSMs

Comparison pair	Number of	Maximum	Minimum	Mean elevation	Standard
	points	elevation	elevation	difference	deviation of the
	compared	difference	difference	between points	difference
		between points	between	(cm)	between points
		(cm)	points (cm)		(cm)
Jan 2007–Sep 2015	11	45.9	28.8	37.9	4.5
Sep 2015-Dec 2015	15	23.1	15.7	20.6	1.8

	Aggradation		<u>Degradation</u>			Total changes	in the	
			Apparent changes	Inundated area	Inundated		calculation	range
	Sand lobes	<u>Total</u>	between Jan 2007	(not leveled in	area (leveled	<u>Total</u>	between Jan	2007
			and Sep 2015	Dec 2015)	in Dec 2015)		and Sep 2015	
Area (m ²)	33334	137730	<u>50168</u>	13105	12465	50168	239747	
Area (%)	<u>13.9</u>	<u>57.4</u>	20.9	<u>5.5</u>	<u>5.2</u>	20.9	<u>100</u>	
Volume (m ³)	<u>12467</u>	<u>37187</u>	73948	<u>2739</u>	<u>3740</u>	80426	<u>-43239</u>	
Average change (m)	0.37	0.27	1.47	0.21	(0.3)*	1.60	<u>-0.18</u>	

^{*} Assumed value for calculation

	Leveled area bewteen	Total changes in the calculation
	Sep and Dec 2015	range between Sep and Dec 2015
Area (m ²)	20331	<u>239747</u>
Area (%)	<u>8.5</u>	<u>100</u>
Volume (m ³)	<u>20636</u>	<u>-22603</u>
Average	1.02	-0.09
change (m)	1.02	<u>-0.07</u>

Table 3: Dominant wind directions from 18 September to 26 December 2015 at Joso Observatory of JMA. Data are from the JMA (http://www.jma.go.jp/jma/menu/menureport.html).

コメントの追加 [A94]: Referee 1 comment 17

Dominant wind	Number of days	Mean wind velocity (m s ⁻¹)
direction		
E	7	1.46
ENE	2	1.75
NE	θ	θ
NNE	1	1.10
N	20	1.69
NNW	23	1.53
NW	10	1.09
WNW	6	2.73
₩	4	1.73
WSW	3	1.00
SW	2	1.30
SSW	4	1.00
S	2	1.65
SSE	3	1.27
SE	5	0.94
ESE	11	1.67

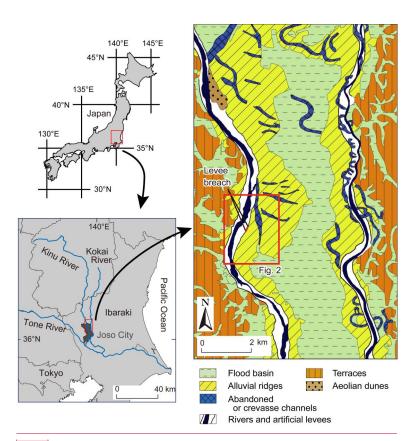


Figure 1: Location map of Joso City and the Kinu River, and geomorphic map showing the location of the levee breeched during the 2015 flood. Modified from Izumida and Sugai (in review).

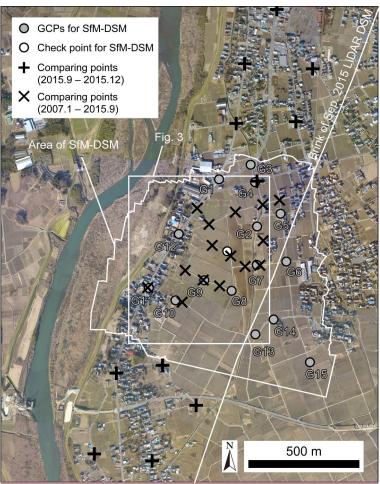


Figure 2: The distribution of the GCPs and the check point for the SfM-derived DSM and comparing points for each pair of DSM subtraction.

コメントの追加 [A96]: Referee 1 comment 6

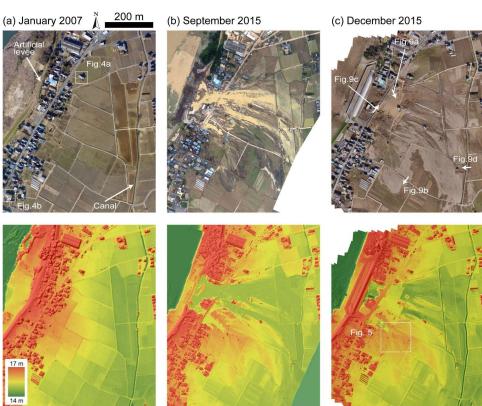


Figure 32: The three DSMs and orthophotos used in this study. See the text for the information about the acquisition of each data set. Elevations are relative to mean sea level in Tokyo Bay. Note the research area does not correspond to the extent of these DSMs.

Sep 2015

(a) Jan 2007

10 20 30 Figure 4: Gradient maps showing two buildings used to evaluate the horizontal accuracy of the DSMs. The cell sizes are the same as in the originals. Red lines indicate the edges of the buildings or walls on the December 2015 map. Note that these lines are within high slope areas on the maps for the other two dates. See Fig. 32a for the locations.

40

Dec 2015

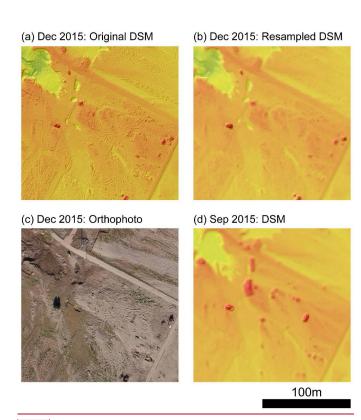


Figure 5: Enlarged views of Fig. 3 for comparison of the DSMs with different resolutions. (a) Original DSM in December 2015 (resolution: 3.84 cm). (b) Down-sampled DSM in December 2015 (resolution: 1m). (c) Orthophoto in December 2015. (d) DSM in September 2015 (resolution: 1m). Note that the trivial relief in (a) was greatly removed in the down-sampled DSM in (b).

コメントの追加 [A97]: Referee 1 comment 11

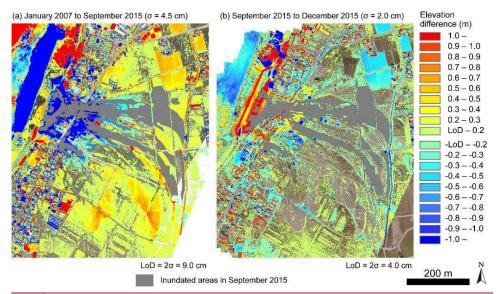


Figure 6: Differential rasters indicating the elevation differences between two successive snapshots after the removal of the systematic error and the adjustment of resolution. (a) The January 2007 DSM subtracted from the September 2015 DSM. Note that erosion near the breached levee was more than 1 m. (b) The September 2015 DSM subtracted from the December 2015 DSM. Note that inundated areas in period of the second DSM were masked (see Fig. 8 for the elevation changes in these areas).

コメントの追加 [A98]: Referee 1 comment 10, 13

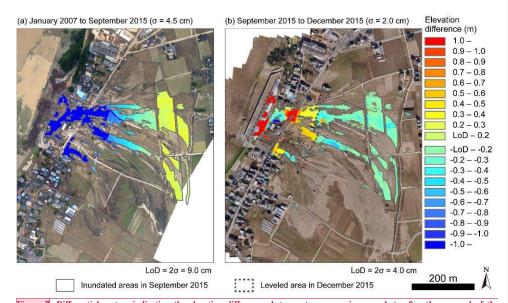


Figure 7: Differential rasters indicating the elevation differences between two successive snapshots after the removal of the systematic error the adjustment of resolution in the inundated areas in period of the second DSM. (a) The January 2007 DSM subtracted from the September 2015 DSM. Note that erosion near the breached levee was more than 1 m. (b) The September 2015 DSM subtracted from the December 2015 DSM. The elevation changes within the dashed line does not represent the water depths due to the land filling.

コメントの追加 [A99]: Referee 1 comment 10, 13

January 2007

Original ground surface

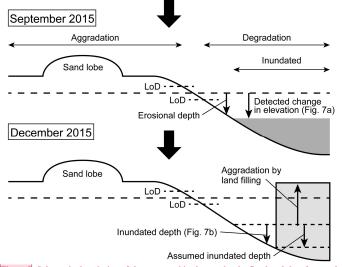


Figure 8: Schematic description of the topographic changes by the flood and the afterward disappearance of inundated water and landfilling in this study. Note that elevation changes smaller than LoD were neglected in the differential rasters and the volume calculation.

「コメントの追加 [A100]: Referee 1 comment 21









Figure 93: Photos of the study area. (a) Crevasse channel (breach scouring) near the levee-(left) and the same place after the seour was filled in (right). Note the building still standing after the flood in the center of the photos. (b) Sand splay in the southern part of the study area (left) and the same place after the sand had been removed (right). (c) Damaged prefectural road beside the breached levee. (d) Overturned and transported house. Note athe sandy mound in the downstream direction from the house. See Fig. 32c for the photo locations. Photos were taken by A. Izumida and T. Sugai.

コメントの追加 [AI101]: The photos taken in June and August 2016 in the original (a) and (b) have been removed because these photos are not mentioned in the text. The caption have also been modified accordingly.

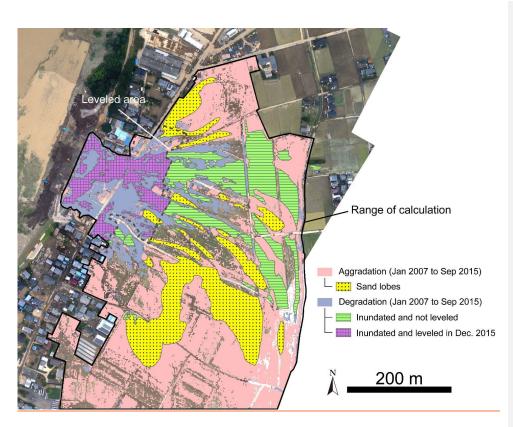


Figure 10: The calculation range of the volumetric evaluation and topography types used in the calculation. Note that the areas which were inundated but not were leveled in December 2015 (green) contain aggradational pixels, which were not used for the estimation of the water volume (but included in the volume gain).

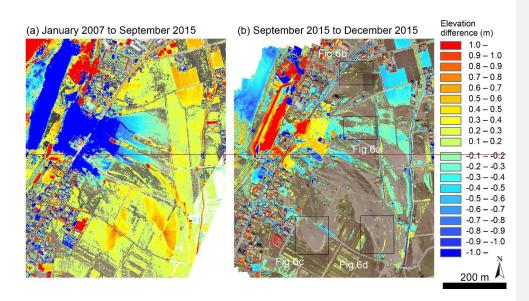


Figure 5: Differential rasters indicating the elevation differences between two successive snapshots after the removal of the systematic error. (a) The January 2007 DSM subtracted from the September 2015 DSM. Note that erosion near the breached levee was more than 1 m. (b) The September 2015 DSM subtracted from the December 2015 DSM. Enlarged views of several locations are shown in Fig. 6.

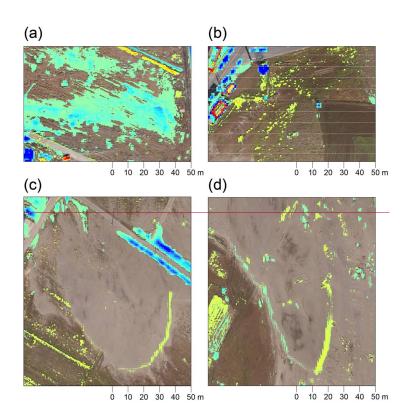


Figure 6: Enlarged views of areas of the differential raster between the September and December 2015 DSMs (Fig. 5b) showing where the topography of the crevasse splay was changed during the research period by specific processes. (a) The bottom of the crevasse channel after evaporation of the flood water. (b) Some newly grown shrubs. (c) and (d) Sand remobilized by the seasonal northwesterly winter winds and moved to the edges of sand splays.