

Analysis of the risk associated to coastal flooding hazards: A new historical extreme storm surges dataset for Dunkirk, France

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Abstract

This paper aims to demonstrate the technical feasibility of a historical study devoted to French Nuclear Power Plants (NPPs) which can be prone to extreme coastal flooding events. It has been shown in the literature that the use of Historical Information (HI) can significantly improve the probabilistic and statistical modeling of extreme events. There is a significant lack of historical data on coastal flooding (storms and storm surges) compared to river flooding events. To address this data scarcity and to improve the estimation of the risk associated with coastal flooding hazards, a dataset of historical storms and storm surges that hit the Nord-Pas-de-Calais region during the past five centuries was created from archival sources, examined and used in a frequency analysis (FA) in order to assess its impact on frequency estimations. This work on the Dunkirk site (representative of the Gravelines NPP) is a continuation of previous work performed on the La Rochelle site in France. Indeed, the frequency model (FM) used in the present paper had some success in the field of coastal hazards and it has been applied in previous studies to surge datasets to prevent coastal flooding in the La Rochelle region in France.

In a first step, only information collected from the literature (published reports, journal papers and PhD theses) is considered. Although this first historical dataset has extended the gauged record back in time to 1897, serious questions related to the exhaustiveness of the information and about the validity of the developed FM have remained unanswered. Additional qualitative and quantitative HI was extracted in a second step from many older archival sources. This work has led to the construction of storms and coastal flooding sheets summarizing key data on each identified event. The quality control and the cross-validation of the collected information, which have been carried out systematically, indicate that it is valid and complete as regards extreme storms and storm surges. Most of the HI collected is in good agreement with other archival sources and documentary climate reconstructions. The probabilistic and statistical analysis of a dataset containing an exceptional observation considered as an outlier (i.e. the 1953 storm surge) is significantly improved when the additional HI collected in both literature and archives is used. As the historical data tend to be extreme, the right tail of the distribution has been reinforced and the 1953 "exceptional" event does not appear as an outlier any more. This new dataset provides a valuable source of information on storm surges for future characterization of coastal hazards.

Key-words: Coastal storms; Storm surges; Coastal flooding; Historical information; Frequency analysis;

1 Introduction

As the coastal zone of the Nord-Pas-de-Calais region in Northern France is densely populated, coastal flooding represents a natural hazard threatening the coastal populations and facilities in several areas along the shore. The Gravelines Nuclear Power Plant (NPP) is one of those coastal facilities. It is located near the community of Gravelines in Northern France, approximately 20 km from Dunkirk and Calais. The Gravelines NPP is the sixth largest nuclear power station in the world, the second largest in Europe and the largest in Western Europe.

Extreme weather conditions could induce strong surges that could cause coastal flooding. The 1953 North Sea flood was a major flood caused by a heavy storm that occurred on the night of Saturday, 31 January and morning of Sunday, 1 February. The floods struck many European countries and France had not been the exception. This was particularly the case along the northern coast of France, from Dunkirk to the Belgium border. Indeed, it has been shown in an unpublished study that Dunkirk is fairly representative of the Gravelines NPP in terms of extreme sea levels. In addition, the harbor of Dunkirk is an important military base containing a lot of archives. The site of Dunkirk has therefore been selected as site of interest in the present paper (Fig. 1). An old map of Dunkirk city is presented in the right panel of Fig. 1 (we shall return to this map at a later stage in this paper). It is a common belief today that the Dunkirk region is vulnerable and subject to several climate risks (e.g. Maspataud et al. 2013). More severe coastal flooding events such as

59 the November 2007 North Sea and the March 2008 Atlantic storms could have had much more severe
60 consequences especially if they had occurred at high tide (Maspataud et al. 2013; Idier et al. 2012). It is
61 important for us to take into account the return periods of such events (especially in the current context of
62 global change and projected sea-level rise) in order to manage and reduce coastal hazards, implement risk
63 prevention policies and enhance and strengthen coastal defence against coastal flooding.

64 The storm surge frequency analysis (FA) represents a key step in the evaluation of the risk associated
65 with coastal hazards. The frequency estimation of extreme events (induced by natural hazards) using
66 probability functions has been extensively studied for more than a century (e.g. Gumbel, 1935; Chow, 1953;
67 Dalrymple, 1960; Hosking and Wallis, 1986, 1993, 1997, Hamdi et al. 2014, 2015). We generally need to
68 estimate the risk associated with an extreme event in a given return period. Most extreme value models are
69 based on available at-site recorded observations only. A common problem in FA and estimation of the risk
70 associated with extreme events is the estimation from a relatively short gauged record of the flood
71 corresponding to 100-1000 year return periods. The problem is even more complicated when this short
72 record contains an outlier (an observation much higher than any others in the dataset). This is the case with
73 several sea-level time series in France and characterizes the Dunkirk surge time series as well.

74 The 1953 storm surge was considered as an outlier in our previous work (Hamdi et al, 2014) and in
75 previous research (e.g. Bardet et al, 2011). Indeed, although the Gravelines NPP is designed to sustain very
76 low probabilities of failure and despite the fact that no damage was reported at the French NPPs, the 1953
77 coastal flooding had shown that the extreme sea levels estimated with the current statistical approaches
78 could be underestimated. It seems that the local FA is not really suitable for a relatively short dataset
79 containing an outlier.

80 Indeed, a poor estimation of the distribution parameters may be related to the presence of an outlier in
81 the sample (Hamdi et al, 2015), and must be properly addressed in the FA. One would expect that one or
82 more additional extreme events in a long period (500 years for instance) would, if properly included in the
83 frequency model (FM), improve the estimation of a quantile at the given high-return period. The use of other
84 sources of information with more appropriate FMs is required in the frequency estimation of extremes. Worth
85 noting is that this recommendation is not new and dates back several years. The value of using other
86 sources of data in the FA of extreme events has been recognized by several authors (e.g. Hosking and
87 Wallis, 1986 and Stedinger and Cohn, 1986). By other sources of information we refer here to events that
88 occurred not only before the systematic period (gauging period) but also during gaps of the recorded time
89 series. Water marks left by extreme floods, damage reports and newspapers are reliable sources of
90 Historical Information (HI). It can also be found in the literature, archives, unpublished written records, etc. It
91 may also arise from verbal communications from the general public. Paleoflood and dendrohydrology
92 records (the analysis and application of tree-ring records) can be useful as well. A literature review on the
93 use of HI in flood FAs with an inventory of methods for its modeling has been published by Ouarda et al,
94 (1998). Attempts to evaluate the usefulness of HI for the frequency estimation of extreme events are
95 numerous in the literature (e.g. Guo and Cunnane, 1991; Ouarda et al, 1998; Gaal et al, 2010; Payrastre et
96 al, 2011; Hamdi, 2011; Hamdi et al, 2015). Hosking and Wallis (1986) have assessed the value of HI using
97 simulated flood series and historical events generated from an extreme value distribution and quantiles are
98 estimated by the maximum likelihood method with and without the historical event. The accuracy of the
99 quantile estimates was then assessed and it was concluded that HI is of great value provided either that the
100 flood frequency distribution has at least three unknown parameters or that gauged records are short. It was
101 also stated that the inclusion of HI is unlikely to be useful in practice when a large number of sites are used
102 in a regional context. Data reconstructed using HI are often imprecise, and we should consider their inaccuracy in the
103 analysis (by using thresholds of perception, range and lower bound data, etc). However, As it was shown in the literature,
104 even with important uncertainty, the use of HI is a viable mean to decrease the influence of outliers by increasing their
105 representativeness in the sample (Hosking and Wallis, 1986 - Wang, 1990 - Salas et al., 1994 - Payrastre et al., 2011).
106 A frequency estimation of extreme storm surges based on the use of HI has rarely been studied explicitly in
107 the literature (Bulteau et al, 2014, Hamdi et al, 2015, 2016) despite its significant impact on social and
108 economic activities and on NPPs' safety. Bulteau et al. (2014) have estimated extreme sea-levels by
109 applying a Bayesian model to the La Rochelle site in France. This same site was used as a case study by
110 Hamdi et al, (2015) to characterize the coastal flooding hazard. The use of a skew surge series containing an
111 outlier in local frequency estimation is limited in the literature as well. For convenience, we would like to
112 recall here the definition of a skew surge: It is the difference between the maximum observed water level and
113 the maximum predicted tidal level regardless of their timing during the tidal cycle (a tidal cycle contains one
114 skew surge).

115 It is often possible to augment the storm surges record with those that occurred before and after gauging
116 began. Before embarking on a thorough and exhaustive research of any HI related to coastal flooding that hit
117 the area of interest, potential sources of historical coastal flooding data for the French coast (Atlantic and
118 English Channel) and more specifically for the Charente-Maritime region were identified in the literature (e.g.
119 Garnier and Surville, 2010). The HI collected has been very helpful in the estimation of extreme surges at La
120 Rochelle, which was heavily affected by the storm Xynthia in 2010 that generated a water level considered

121 so far as an outlier (Hamdi et al, 2015). Indeed, these results for the La Rochelle site have encouraged us to
122 build a more complete historical database covering all the extreme coastal flooding that occurred over the
123 past five centuries on the entire French coast (Atlantic and English Channel). This database has been
124 completed and is currently the subject of a working group involving several French organizations for
125 maintenance. However, only the historical storm surges that hit the Nord-Pas-de-Calais region during this
126 period are presented herein.

127 The main objective of the present work is the collection of HI on storms and storm surges that occurred in
128 the last five centuries and to examine its impact on the frequency estimation of extreme storm surges. The
129 paper is organized as follows: HI collected in the literature and its impact on the FA results is presented in
130 sections 2 and 3. The fourth section presents the HI recovered from archival sources, the quality control
131 thereof, and validation. In section 5, the FM is applied using both literature and archival sources. The results
132 are discussed in the same section before concluding and presenting some perspectives in section 6.

133 **2 Use of HI to improve the frequency estimation of extreme storm surges**

134 The systematic storm surge series is obtained from the corrected observations and predicted tide levels. The
135 tide gauge data is managed by the French Oceanographic Service (SHOM - Service Hydrographique et
136 Océanographique de la Marine) and measurements are available since 1956. The R package
137 TideHarmonics (Stephenson, 2015) is used to calculate the tidal predictions. In order to remove the effect of
138 sea level rise, the initial mean sea level (obtained by tidal analysis) is corrected for each year by using an
139 annual linear regression, before calculating the predictions. The regression is obtained by calculating daily
140 means using a Demerliac Filter (Simon 2007). Monthly and annual means are calculated with respect to the
141 Permanent Service for Mean Sea Level (PSMSL) criteria (Holgate, et al, 2013). This method is inspired by
142 the method used by SHOM for its analysis of high water levels during extreme events (SHOM, 2015). The
143 available systematic surge dataset was obtained for the period from 1956 to 2015.

144 The effective design of coastal defense is dependent on how high a design quantile (1000-year storm
145 surge for instance) will be. But this is always estimated with uncertainty and not precisely known. Indeed, any
146 frequency estimation is given with a confidence interval (CI) of which the width depends mainly on the size of
147 the sample used in the estimation. Some other sources of uncertainties (such as the use of trends related to
148 climate change) can be considered in the frequency estimation (Katz et al, 2002). As mentioned in the
149 introductory section, samples are often short and characterized by the presence of outliers. The CIs are
150 rather large and in some cases more than 2 or 3 times (and even more) the value of the quantile. Using the
151 upper limit of this CI would likely lead to a more expensive design of the defense structure. One could just
152 use the most likely estimate and neglect the CI but it is more interesting to consider the uncertainty as often
153 estimated in frequency analyses. The width of the CI (i.e. inversely related to the sample size) can be
154 reduced by increasing the sample size. In the present work, we focus on increasing the number of
155 observations by adding information about storm surges induced by historical events. Additional storm surges
156 can be subdivided into two groups:

- 157 1. HI during gaps in systematic records;
- 158 2. HI before the gauging period (can be found in the literature and/or collected by historians in archives).

159 **3 HI during and before the gauging period**

160 A historical research devoted to the French NPPs located on the Atlantic and English Channel coast was
161 a genuine scientific challenge due to the time factor and the geographic dispersion of the nuclear sites. To
162 be considered in the FA, a historical storm surge must be well documented; its date must be known and
163 some information on its magnitude must be available. Mostly, available information concerns the impact and
164 the societal disruption caused at the time of the event (Baart, 2011).

165 **3.1 HI collected in the literature**

166 As mentioned above, a common issue in frequency estimations is the presence of gaps within the datasets.
167 Failure of the measuring devices and damage, mainly caused by natural hazards (storms, for instance), are
168 often the origin of these gaps. Human errors, strikes, wars, etc., can also give rise to these gaps.
169 Nevertheless, these gaps are themselves considered as dependent events. It is therefore necessary to
170 ensure that the occurrence of the gaps and the observed variable are independent. Whatever the origin and
171 characteristics of the missing period, the use of the full set of extreme storm surges that occurred during the
172 gaps is strongly recommended to ensure the exhaustiveness of the information. This will make the estimates
173 more robust and reduce associated uncertainties. Indeed, by delving into the literature and the web, one can
174 obtain more information about this kind of events. Maspataud (2011) was able to collect sea-level
175 measurements that were taken by regional maritime services during a storm event in the beginning of 1995,
176 a time where the Dunkirk tide gauge was not working. This allowed the calculation of the skew surge, which
177 was estimated by the author at 1,15m on January 2nd, 1995. This storm surge is high enough to be

178 considered as an extreme event. In fact, it was exceeded only twice during the systematic period (January
179 5th, 2012 and December 6th, 2013).

180 For the relatively short-term pre-gauging period, a literature review was conducted in order to get an
181 overview of the storm events and associated surges that hit the Nord-Pas-de-Calais region in France during
182 the last two centuries. Some documents and storm databases on local, regional or national scales are
183 available:

- 184 • the "Plan de Prévention de Risques Littoraux (PPRL)": refers to documents made by the French state
185 on a communal scale, describing the risks a coastal zone is subject to, e.g. coastal flooding and
186 erosion, and preventive measures in case of a hazard happening. To highlight the vulnerability of a
187 zone, an inventory of storms and coastal inundation within the considered area is attached to this
188 document;
- 189 • Deboudt (1997) and Maspataud (2011) describe the impact of storms on coastal areas for the study
190 region;
- 191 • the VIMERS Project: gives information on the evolutions of the Atlantic depressions that hit Brittany
192 (DREAL Bretagne 2015);
- 193 • NIVEXT Project: presents historical tide gauge data and the corresponding extreme water and surge
194 levels for storm events (SHOM, 2015);
- 195 • Lamb 1991: provides synoptic reconstructions of the major storms that hit the British Isles from the 16th
196 century up till today.

197 According to the literature, the storm of January 31st to February 1st, 1953 caused the greatest surge and
198 was the most damaging within the study area. This event has been well analyzed and documented (Sneyers,
199 1953, Rossiter 1954, Gerritsen, 2005, Wolf and Flather 2005): A depression formed over the Northern
200 Atlantic Ocean close to Iceland moving eastward over Scotland and then changing its direction to south-
201 eastwards over the North Sea, accompanied by strong northerly winds. An important surge was generated
202 by this storm that, in conjunction with a high springtide, resulted in particularly high sea levels. Around the
203 southern parts of the Northern Sea the maximum surges exceeded 2.25m, reaching 3.90m at Harlingen,
204 Netherlands. Large areas were flooded in Great Britain, northern parts of France, Belgium, the Netherlands
205 and the German Bight, causing the death of more than 2,000 people. Le Gorgeu and Guitonneau (1954)
206 indicate that during this event, the water level exceeded the predicted water level at the Eastern Dyke of
207 Dunkirk by more than 2.40m (Table 1). Bardet et al. (2011) included a storm surge equal to 2.13m in their
208 regional frequency analysis. Both authors indicate the same observed water level, i.e. 7.90m, but the
209 predicted water level differs: While in 1954 the predicted water level was estimated at 5.50m, the predictions
210 were reevaluated to 5.77m by the SHOM using the harmonic method. A storm surge of 2.13m is therefore
211 used in the present study. Nevertheless, as also shown in Table 1, some other storms (1897, 1949 and
212 1995) inducing important storm surges and coastal floods occurred within the area of interest. Appendix 1
213 presents a description of these events which are quite well documented in the literature. In the appendix, the
214 description of some other historical events (of which the information provided did not allow the estimation of
215 a storm surge value) is included as well.

216 **3.2 HI collected in the archives**

217 For the longer term, the HI collection process involves the exploration and consultation, in a context of a
218 permanent multi-scalar approach, of HI which can be seen as a real documentary puzzle with a large
219 number of historical sources and archives. Indeed, NPPs are generally located, for obvious safety reasons,
220 in sparsely populated and isolated areas which is why these sites were subject to little anthropogenic
221 influence in the past. However, this difficulty does not forfeit a historical perspective due to the rich
222 documentary resources for studying an extreme event on different scales ranging from the site itself to that of
223 the Region (Garnier, 2015 and 2017 a). In addition, this may be an opportunity for researchers and a part of
224 the solution because it also allows a risk assessment at ungauged sites.

225 First, it is important to distinguish between "direct data" (also referred to as "direct evidence") and "indirect
226 data" (also referred to as "proxy data"). The first refers to all information from the archives that describes an
227 extreme event (a storm surge event for instance) that occurred at a known date. If their content is mostly
228 instrumental, such as meteorological records presented in certain ordinary books or by the Paris Observatory
229 (since the 17th century), sometimes accurate descriptions of extreme climatic events are likewise found. The
230 "proxy data" rather indicate the influence of certain storm initiators and triggers such as wind and pressure.
231 Concretely, they provide information indirectly on coastal flooding for example.

232 Private documents or "ego-documents" (accounts and ordinary books, private diaries, etc.) are used in
233 many ways during 16th to 19th centuries. Authors recorded local facts, short news and latest events, and
234 amongst them, weather incidents. These misidentified historical objects may contain many valuable
235 meteorological data. These private documents most often take the form of a register or a journal in which the
236 authors record various events (economic, social and political) as well as weather information. Other authors
237 use a more integrated approach to describe a weather event by combining observations of extreme events,

instrumental information, phenology (impact on harvests), prices in local markets and possibly its social expression (scarcity, emotions, riots, etc.). All these misidentified sources are another opportunity for risk and climate historians to better understand the natural and coastal hazards (coastal flooding, earthquakes, tsunamis, landslides, etc.) of the past. Some of these private documents may be limited to weather tables completely disconnected from their socio-economic and climatic contexts. Most of the consulted documents and archives describe the history of coastal flooding in the area of interest. Indeed, the historical inventory identifies and describes damaging coastal flooding that occurred on the northern coast of France (Nord-Pas-de-Calais and Dunkirk) over the past five centuries. It presents a selection of remarkable coastal floods that occurred in this area and integrates not only old events but also those occurring after the gauging period began. The information is structured around storms and coastal flooding summary sheets. Accompanied and supported by a historian, several research and field missions were carried out and a large number of archival sources explored and, whenever possible, exploited. The historical analysis began with the consultation of the documentary information stored in the rich library of the communal archive of Dunkirk, Gravelines, Calais and Saint-Omer. The most consulted documents were obtained directly from the Municipal archives because the Municipal Acts guarantee a chronological continuity at least from the end of the 16th century up to the French Revolution (1789). Very useful for spotting extreme events, they unfortunately provide poor instrumental information. We therefore also considered data from local chronicles of annals of the city of Dunkirk, as well as reports written by scientists or naturalists to describe tides at Calais, Gravelines, Dunkirk, Nieuport and Oostende. Most of them contain old maps, technical reports, sketches or plans of dykes, sluices and docks designed by engineers of the 18th to 20th centuries and from which it may be possible to estimate water levels reached during extreme events. Bibliographical documents are mostly chronicles, annals and memoirs written after the disaster. Finally, for the more recent period, available local newspapers were consulted.

Multiplying the sources and trying to crosscheck events allowed us to constitute a database of 73 events. We focused the research on the period between 1500 and 1950, since most of the time tide gauge observations are available after 1950. The first event took place in 1507 and the last in 1995. Depending on how it is mentioned in the archive and as shown in the left panel of Fig. 2, the collated events were split in two groups. Storm surge events are events where there is a clear mention of flooding within the sources. Are considered as storms, events where only information about strong wind and gales are available. Except for the 19th century, we have much more storm surge events than storms events. All the collected events are summarized in Table 2.

3.3 Data quality control

First of all, it is appropriate to remember that the storm surge is the variable of interest in our historical research. It should, however be stressed here that the total sea level, as it is a more operational information, is likely to be available most often. The conversion to the storm surge is performed afterwards by subtracting the predicted levels (which are calculated using the tide coefficients).

As mentioned earlier, archival documents are of different nature and qualities. We therefore decided to classify them by their degree of reliability according to a scale ranging between 1 and 4:

- The degree 1: not very reliable historical source (it is impossible to indicate the exact documentary origin). It is particularly the case for HI found in the web.
- The degree 2: information found in scientific books talking about storms without clearly mentioning the sources.
- The degree 3: books, newspapers, reports and eyewitness statements citing historical events and clearly specifying its archival sources.
- The degree 4: is the highest level of reliability. Information is taken in a primary source (e.g., an original archival report talking about a storm written by an engineer in the days following the event).

Although the information classified as a category 1 document is not very reliable, it still gives the information that something happened at a date and is therefore not definitely ignored. Typically this type of document needs to be crosschecked with other documents. As shown in Fig. 2 (to the right), the classification of the data reveals a good reliability of collected information as there are no sources classified in category 1 and less than 10% of the sources are in category 2. It is worth noting that paradoxically, the older the information, the more reliable the archival document is.

Some other data quality related issues must be dealt with especially when using old data and when merge it with recent ones in a same inference: how to deal with old data uncertainties? How to deal with the evolution of some physiographic parameters around the site of interest (bathymetry, topography, land cover, etc.)? To what extent can we be sure that events which occurred hundreds of years ago are representative of the actual risk level?

All types of data require indeed quality control and need to be corrected and homogenized if necessary to ensure that they are reflecting real and natural variations of the studied phenomena rather than the influence

297 of other factors. This is particularly the case for historical data that have been taken in different site
298 conditions and have not been taken using modern standards and techniques (Brázdil et al., 2010). And
299 finally, as mentioned in the introductory section, the use of old data improves significantly the frequency
300 estimation of extreme events even they are inaccurate. The objective of the present paper is then to collect
301 the information and to quantify it in order to obtain approximate values of the variable of interest, without
302 seeking accurate reconstructions.

303 **3.4 The historical surge dataset**

304 The concern is that it is not always possible to estimate a storm surge or a sea level from the information
305 collected for each event. We focus herein on the reconstruction of some events of the 18th century (1720-
306 1767) where certain HI makes it possible to estimate water levels. As depicted in Fig. 2 (to the left), out of the
307 73 events, 40 are identified as events causing coastal floods, but not all the sources contain quantitative data
308 or at least some information about water level reached. We selected herein the events with the most
309 information about some characteristics of the event (the water level reached, wind speed and direction and in
310 some cases measured information). Table 3 shows a synthesis of the six events which we will analyze in
311 more detail, showing the tide coefficient (obtained from the SHOM website), some wind characteristics and
312 water levels reached in Dunkirk and other cities. The tide coefficient is a ratio of the semi-diurnal amplitude
313 by the mean spring neap tide amplitude introduced by Laplace in the 19th century and commonly used in
314 France since then. Today, the coefficient 100 is attributed by definition to the semi-diurnal amplitude of
315 equinox springtides of Brest. Therefore the range of the coefficient lies between 20 and 120, i.e. the lowest
316 and highest astronomical tides. Calculated for each tide at Brest harbor, it is applied to the complete French
317 metropolitan Atlantic and Channel coastal zone (Simon, 2007). As with the short-term HI, a description of
318 these events which are quite well documented in the literature is presented in Appendix 2 with a description
319 of some other historical events (of which the available information did not allow an estimate of a storm surge
320 value). Some other HI about other extreme storms, occurring in the period 1767-1897, were collected in the
321 archives and identified as events causing coastal floods. A description of these events is also presented in
322 Appendix 2. To be able to reduce the CI of the high RLs (the 1000-year one for instance), it is insufficient to
323 have the time window (the historical period), as the observations or estimates of high surges are unknown. A
324 fixed time window and magnitudes of the available high storm surges are required to improve the estimates
325 of probabilities of failure. The exhaustiveness assumption of the HI on this time window will therefore be too
326 crude and will make no sense. The historical period 1770-1897 was therefore eliminated from inference.
327 Fortunately, these discontinuities in the historical period can be managed in the FM (Hamdi et al, 2015). Two
328 non-successive time windows, 1720-1770 and 1897-2015, will therefore be used as historical periods in the
329 inference.

330 The extreme storm surges that occurred during the 1720–1767 time-window are then analyzed and the
331 development of a methodology to estimate the surges induced by the events from the last part of the 18th
332 and the 19th century is undergoing. Table 3 shows estimated water levels (for Dunkirk, Gravelines, Calais,
333 Oostende and Nieuport) compared to the associated Mean High-Water Springs (MHWS) which is the highest
334 level reached by springtides (on the average over a period of time often equal to 19 years). De Fourcroy D-
335 R. (1780) presented the water levels in royal foot of Paris, where 1 foot corresponds to 0.325 m and is
336 divided into 12 inches (1 inch = 0.027m) except for the Oostende levels that are given in Flemish Austrian
337 Foot (corresponding to 0.272m and divided in 11 inches). As a first approach the height of the surge above
338 the MHWS level was estimated, which has the advantage that the local reference level does not need to be
339 transposed into the French leveling system and as the historic sea level is considered, there is no need to
340 assess sea level rise which due to climate change can be discarded. De Fourcroy D-R. (1780) gave water
341 levels for the five cities in their respective leveling system: In Calais, zero corresponds to a fixed point on the
342 Citadelle sluice, in Gravelines, zero corresponds to a fixed point on the sluice of the river Aa. For Dunkirk,
343 the “likely low tide of mean springtides” is considered as a zero point and marked on the docks of the
344 Bergues Sluice; we will subsequently refer to this zero as Bergues Zero. The location of the measure point of
345 the Bergues Sluice is presented in Fig. 1 (to the right) on an old map of Dunkirk city. The difference between
346 the observed water levels and the MHWS is the surge above MHWS. The three levels are about the same
347 height, ranging from 1.46m to 1.62m. We calculated the surge above MHWS for Calais, Gravelines, Nieuport
348 and Oostende; they are shown in the second-to-last column of Table 3. It is interesting to note that, for the
349 1763 and 1767 events, the highest levels were reconstructed in Oostende and the lowest levels in Calais.

350 For the sake of convenience and for more precision, we needed to transform the surges above MHWS
351 presented in the second-to-last column of Table 3 into skew surges. This refinement required the
352 development of a tide coefficient-based methodology. Indeed, the tide coefficient for each storm event
353 indicates whether surge above MHWS is over- or underrated or approximately right. As this coefficient is
354 calculated for the Brest site and applied to the whole coastal zone, a table showing expected mean levels in
355 Dunkirk for each tide coefficient was established. One tide coefficient estimated at Brest can have different
356 high water levels at Dunkirk. For this study, it was assumed that the historic MHWS corresponds to the tide

357 coefficient 95. In the developed methodology, all the 2016 high tides for each tide coefficient are used and
358 the water levels for each tide coefficient are averaged. The difference Δ_{wz} between this averaged level and
359 the water level corresponding to the tide coefficient 95 (the actual MHWS) is then calculated and added (or
360 subtracted) to the historic surge above MHWS. Where we have two surges, the mean of the two values is
361 considered. Results for the Dunkirk surges are shown in the last column of Table 4.

362 In addition to the water levels reached during events and in specific years, other types of HI (lower
363 bounds and ranges) can be collected. For instance, De Fourcroy D-R. (1780) stated that the highest water
364 level measured during the period 1720-1767 was the one induced by the 1767 extraordinary storm.
365 Paradoxical though it may seem at first sight, the skew surge caused by the 1763 storm is greater than the
366 1767 one. A plausible explanation is that the 1767 event occurred when the tide was higher than that of
367 1763. Fig. 3 shows two examples of HI collected in the archives.

368 For the Dunkirk series, it is interesting to see that it is easier to estimate storm surges induced by events
369 from the 18th century, as the water levels were either measured or reconstructed only a few years after the
370 events took place. During research for his thesis, N. Pouvreau (2008) started an inventory of existing tide
371 gauge data available in different archive services in France. According to him, the first observations of the
372 sea level in Dunkirk were made in the years 1701 and 1702, where time and height were reported.
373 Observations were also made in 1802 and another observation campaign was held during 1835. The first
374 longer series dates from 1865 to 1875. For the 20th century, only sparse data is available for the first half of
375 the century. Pouvreau (2008) only listed the data found in the archives of the National Geographic Institute
376 (Institut Géographique National IGN), the Marine Hydrographic and Oceanographic Service (Service
377 Hydrographique et Océanographique de la Marine SHOM) and the Historical Service of Defense (Service
378 Historique de la Défense SHD). During the present study we found evidence that sea levels were measured
379 at the Bergues sluice during the 18th century and that various hydrographic campaigns were carried out
380 during the 19th century (De Fourcroy D-R., 1780). This research and first analysis of historical data shows
381 the potential of the data collected, as we were able to quantify some historical skew surges, but it also shows
382 how difficult and time-consuming the transformation of descriptive information into skew surge values is, and
383 that more detailed analysis will be necessary to estimate the other historical surges. It was concluded that all
384 historic surges appear to be almost at least as high as the highest systematic surge. In response to the
385 specific question: what could impact the variable of interest throughout the whole historical period? old and
386 recent data and some physiographic conditions were then compared. For example, the reconstructed skew
387 surges were compared to the systematic ones. The reconstructed skew surge heights obtained from the tide
388 gauge data, the quantified surges from the literature and the reconstructed values from this study were also
389 compared, as the hypothesis is made, that water levels measured at the tide gauge and the different
390 locations of Dunkirk harbor are comparable. At this point we're not able to conclude on the evolution of the
391 tides throughout the centuries. Historic tide gauge data from cities in the north of France is currently being
392 digitized and reconstructed at the French Oceanographic Service (SHOM - Service Hydrographique et
393 Océanographique de la Marine) and University of Cote d'Opale (Latapy et al., 2017). Further, it is worth
394 noting that the current tide gauge is situated at the entrance of the harbor. The predicted water levels may
395 differ within the inner harbor area, where the reconstructed surges were estimated. Hydrodynamic modelling
396 could help estimate the difference between water levels at the entrance of the harbor area (Bulteau et al.,
397 2015).

398 **4 Frequency estimation of extreme storm surges using HI**

399 In this work, we suggest a method of incorporating the HI developed by Hamdi et al. (2015). The proposed
400 FM (POTH) is based on the Peaks-Over-Threshold with HI. The POTH method uses two types of HI: Over-
401 Threshold Supplementary (OTS) and Historical Maxima (HMax) data which are structured in historical
402 periods. Both kinds of historical data can only be complementary to the main systematic sample. The POTH
403 FM was applied to the Dunkirk site to assess the value of historical data in characterizing the coastal flooding
404 hazard and more particularly in improving the frequency estimation of extreme storm surges.

405 **4.1 Settings of the POT frequency model**

406 To prepare the systematic POT sample and in order to exploit all available data separated by gaps, the
407 surges recorded since 1956 were concatenated to form one systematic series. However, it makes for
408 subjectivity in what should be taken as a reasonable threshold for the POT frequency model. Indeed, the use
409 of a too-low threshold can introduce a bias in the estimation by using observations which may not be
410 extreme data, which violates the principle of the extreme value theory. On the other hand, the use of a too-
411 high threshold will reduce the sample of extreme data. Coles (2001) has shown that stability plots constitute
412 a graphical tool for selecting the optimal value of the threshold. The stability plots are the estimates of the
413 GPD parameters and the mean residual life-plot as a function of the threshold when using the POT
414 approach. It was concluded that a POT threshold equal to 0.75m (corresponding to a rate of events equal to
415 1,4 events/year) is an adequate choice. The POT sample with an effective duration w_s of 46,5 years (from

416 1956 to 2015) is represented by the grey bars in the left panel of Fig. 4 (a, b and c). As homogeneity,
 417 stationarity and randomness of time series are prerequisites in a FA (Rao & Hamed, 2001), non-parametric
 418 tests such as the Wilcoxon test for homogeneity (Wilcoxon, 1945), the Kendall test for stationarity (Mann,
 419 1945), and the Wald-Wolfowitz test for randomness (Wald & Wolfowitz, 1943) are applied. These tests were
 420 passed by the Dunkirk station at the 5% level of significance.

421 **4.2 The POTH frequency model**

422 The HI is used in the present paper as HMax data. A HMax data period corresponds to a time interval of
 423 known duration w_{HMax} during which historical n_k -largest values are available. Periods are assumed to be
 424 potentially disjoint from the systematic period. The distribution of the HMax exceedances is assumed to be a
 425 Generalized Pareto one (GPD). The observed distribution function of HMax and systematic data are
 426 constructed in the same way with the Weibull rule. To estimate the distribution parameters by using the
 427 maximum likelihood technique in the POTH model, let us assume a set of POT systematic observations $X_{sys,i}$
 428 with a set of historical HMax surges $X_{HMax,i}$ and assume that the systematic and historical storm surges are
 429 available with a density function $f_X(\cdot)$. Under the assumption that the surges are iid, the global likelihood
 430 function of the whole data sample is any function $L(G|\underline{\theta})$ proportional to the joint probability density function
 431 $f_X(\cdot)$ evaluated at the observed sample and it is the product of the likelihood functions of the particular types
 432 of events and information. The global log-likelihood can be expressed as

$$433 \quad \ell(G|\underline{\theta}) = \overbrace{\ell(X_{sys,i}|\theta)}^{\text{systematic data}} + \overbrace{\ell(X_{HMax,i}|\theta)}^{\text{HMax data}} \quad (1)$$

434 Let us assume a set of n POT systematic observations X_i and a selected threshold u_s and consider w_s the
 435 total duration. For a Homogeneous Poisson Process with rate λ , the log-likelihood $\ell(X_{sys,i}|\theta)$ is

$$436 \quad \ell(X_{sys,i}|\theta) = n \log(\lambda w_s) - \log(n!) - \lambda w_s + \sum_{i=1}^n \log f(X_{sys,i}, \theta) \quad (2)$$

437 For the HMax data, it takes the form

$$438 \quad \ell(X_{HMax,i}|\theta) = n_k \log(\lambda w_{HMax}) - \lambda w_{HMax} [1 - F(X_k, \theta)] + \sum_{i=1}^{n_k} \log f(X_{HMax,i}, \theta) \quad (3)$$

439 The reader is referred to Hamdi et al. (2015) for more details about each term of these expressions.

440 **4.3 Settings of the frequency model with HI (POTH)**

441 An important question arises with regard to the exhaustiveness of the HI collected in a well-defined time
 442 window (called herein the historical period). In order to properly perform the FA, this criterion must be
 443 fulfilled. Indeed, we have good evidence to believe that other than the 1995 storm surge, the surges induced
 444 by the 1897, 1949 and 1953 storms are the biggest for the period 1897-2015. The POTH FM was first
 445 applied with a single historical datum which is that of 1953 represented by the red bar in Fig. 4-a. It not
 446 complicated to demonstrate that this event is undoubtedly an outlier. Indeed, in order to detect outliers, the
 447 Grubbs-Beck test was used (Grubbs and Beck, 1972). As mentioned in the previous section, some historical
 448 extreme events experienced by Dunkirk city are available in the literature. Only this information (including the
 449 1953 event) is considered in this first part of the case study.

450 Otherwise, HI is most often considered in the FA models for pre-gauging data. Less or no attention has
 451 been given to non-recorded extreme events that occurred during the systematic missing periods. As
 452 mentioned earlier in this paper, the sea level measurement induced by the 1995 storm was missed and a
 453 value of the skew surge (1.15m) was reconstructed from information found in the literature (Maspataud,
 454 2011). As this event is of ordinary intensity and has taken place very recently, it is considered as systematic
 455 data even if this type of data can be managed by the POTH FM by considering it as HI (Hamdi et al, 2015).
 456 The HI collected from both literature and archives with some model settings are summarized in Table 5 and
 457 the POTH sample with a historical period of 72.51 years is presented in Fig. 4-b. Parameters characterizing
 458 datasets including both systematic and HI were introduced in Hamdi et al, (2015). The HI is used herein as
 459 HMax data that complements the systematic record (with an effective duration D_{eff} equal to w_s) on one
 460 historical period (1897-2015) with a known duration $w_h = w_{HMax} = 2015 - 1897 + 1 - D_{eff}$ ($w_h = 72,51 \text{ years}$) and
 461 three historical data ($n_k = 3$). Other features of the POTH FM have been used. A parametric method (based
 462 on the Maximum Likelihood) for estimating the Generalized Pareto Distribution (GPD) parameters
 463 considering both systematic and historical data have been developed and used. The maximum likelihood
 464 method was selected for its statistical features especially for large series and for the ease with which any

465 additional information (i.e. the HI) is incorporated in it. On the other hand, the plotting positions exceedance
466 formula based on both systematic observations and HI (Hirsch, 1987; Hirsch and Stedinger, 1987; Guo,
467 1990) is proposed to calculate the observed probabilities and has been incorporated into the POTH FM
468 considered herein. For systematic data, there are several formulas that can be used to calculate the
469 observed probabilities. Based on several studies (e.g. Alam et al., 2005, Makkonen, 2006) the Weibull
470 plotting position rule was used herein ($p_{emp} = i/(n+1)$). The reader is referred to Hamdi et al. (2015) for
471 more theoretical details on the POTH model and on the Renext package used to perform all the estimations
472 and fits.

473 5 Results and discussion

474 We report herein the results of the FA applied to the Dunkirk tide gauge. As with any sensitive facility, high
475 Return Levels (RLs) (100, 500 and 1000-year extreme surges, for instance) are needed for the safety of
476 NPPs. The results are presented in the form of probability plots in the right panel of Fig. 4 (d, e and f). The
477 theoretical distribution function is represented by the solid line in this figure, while the dashed lines represent
478 the limits of the 70% CIs. The HI is depicted by the empty red circles, while the black full ones represent the
479 systematic sample. The results (estimates of the desired RLs and uncertainty parameters) are also
480 summarized in Table 6. Fitting the GPD to the sample of extreme POTH storm surges yields the relative
481 widths $\Delta CI/S_T$ of the 70% CIs (the variance of the RL estimates are calculated with the delta method).

482 The FA was firstly performed considering systematic surges and the 1953 storm surge as historical data.
483 It can be seen that the fit of the POTH sample including the 1953 historical event (with w_h equal to 16.5
484 years) presented in Fig. 4-d (called hereafter the initial fitting), is poor at the right tail and more specifically, at
485 the largest storm surge (the historical data of 2.13 m occurred in 1953) which have a much lower observed
486 return period than its estimated one. The estimates of the RLs of interest and uncertainty parameters (the
487 relative width $\Delta CI/S_T$ of the 70% CIs) are presented in columns 2-3 of Table 6. These initial findings are an
488 important benchmark as we follow the evolution of the results to evaluate the impact of additional HI. 100-,
489 500- and 1000-year quantiles given by the POTH FM with the 1897, 1949 and 1953 historical storm surges
490 included are about 3-6% higher than those obtained by the initial POTH FM. This result was expected as the
491 additional historical surges are higher than all the systematic ones. The relative widths of the CIs are about
492 20-25% narrower.

493 Unlike the 1897 historical event, the 1949 and 1953 ones have a lower observed return period than their
494 estimated one. A plausible explanation for this result is that the body of the distribution is better fitted than
495 the right tail one and this is a shortcoming directly related to the exhaustiveness assumption used in the
496 POTH FM. Indeed, as stated in Hamdi et al. (2015) and as mentioned above, a major limitation of the
497 developed FM arises when the assumption related to the exhaustiveness of the information is not satisfied.
498 This is obviously worrying for us because the POTH FM is based on this assumption. Overall, using
499 additional data in the local FM has improved the variances associated with the estimation of the GPD
500 parameters but did not conduct to robust estimates with a better fitting (particularly at the right tail, the high
501 RLs being very sensitive to the historical values) if the assumption of exhaustiveness is still strong. This first
502 conclusion is likewise graphically backed by the CIs plots shown in Fig. 4-e. Nevertheless, as the impact of
503 historical data becomes more significant, there is an urgent need to carry out a deeper investigation of all the
504 historical events that occurred in the region of interest (Nord-Pas-de-Calais) over the longest historical
505 period. In order to have robust estimates and reduced uncertainties, it is absolutely necessary that the
506 collected information be as complete as possible.

507 The robustness of the POTH FM is one of the more significant issues we must deal with. The main focus of
508 this discussion is the assessment of the impact of the additional HI (collected from the archives) on the
509 frequency estimates for high RLs. The same FM was performed but with the long-term additional HI
510 (collected in the archives) and different settings (Table 5). The results of the POTH FM using HI from both
511 literature and archives (called hereafter the full FM) are likewise summarized in the last two columns of Table
512 6. The results are also presented in the form of a probability plot (Fig. 4-f). Fig. 7 consists of two subplots
513 related to the FA of the Dunkirk extreme surges. The left side (Fig. 4-c) shows collected data: the systematic
514 surges are represented by the grey bars, the historical surges extracted from the literature by red bars and
515 those extracted from the archives (estimated and corrected with regards to the tide coefficients) are
516 represented by the green ones. We can also see the two time windows (the blue background areas in the
517 graph) 1720-1770 and 1897-2015 used in the POTH FM as historical periods. The right side shows the
518 results of the full FM. As mentioned earlier in this paper, to consider the full POTH FM, six historical storm
519 surges distributed equally ($n_k = 3$) over two not-successive time windows: 1720-1770 ($w_{HM_{max1}} = 50$ years) and
520 1897-2015 ($w_{HM_{max2}} = 72.5$ years, knowing that $w_s = 46.5$ years) are used as historical data. In the plotting
521 positions, the archival historical surges are represented by green squares, while those found in the literature
522 are depicted by red circles. The fitting presented in Fig. 4-f shows a good adequacy between the plotting

523 positions and theoretical distribution function (calculated probabilities of failure). Indeed, all the points of the
524 observed distribution are not only inside the CI, but even better, they are almost on the theoretical
525 distribution curve. The results of Table 6 show that:

526 - The RLs of interest had increased by only 10 to 20 cm. This is an important element of robustness. Indeed,
527 adding or removing one or more extreme values from the dataset does not significantly affect the desired
528 RLs. In other words, it is important that the developed model is not very sensitive (in terms of RLs used as
529 design bases) to a modification in the data regarding very few events. As a matter of fact, the model owes
530 this robustness to the exhaustiveness of the available information.

531 - The relative widths of CIs with no archival HI included are 1.5 times larger than those given by the full
532 model. This means that the user of the developed model is more confident in the estimations when using
533 the additional HI collected in the archives.

534 After collecting HI about the most extreme storm surge events in the 18th and 20th centuries, it was first
535 found that the 1953 event is still the most important one in terms of magnitude. The developed POTH FM
536 attributed a 200-year return period to this event. The value of the surge induced by the 1953 storm is
537 between 1.75m and 2.50m. That said, it is interesting to note that this CI includes the value of 2.40m
538 estimated by Le Gorgeu and Guitonneau (1954). This may be a reason to think that the continuation of our
539 work on the quantification of the skew surges that occurred in the 19th century will perhaps reveal extreme
540 surges similar to that induced by the 1953 storm.

541 6 Conclusion & perspectives

542 To improve the estimation of risk associated with exceptional high surges, HI about storms and coastal
543 flooding events for the Nord-Pas-de-Calais was collected by historians for the 1500-1950 period. Qualitative
544 and quantitative information about all the extreme storms that hit the region of interest were extracted from a
545 large number of archival sources. In this paper, we presented the case study of Dunkirk in which the
546 exceptional surge induced by the 1953 violent storm appears as an outlier. In a second step, the information
547 collected (in both literature and archives) was examined. Quality control and cross validation of the collected
548 information indicate that our list of historic storms is complete as regards extreme storms. Only events that
549 occurred in the periods 1720-1770 and 1897-2015 were estimated and used in the POTH FM as historical
550 data. To illustrate challenges and opportunities for using this additional data and analyzing extremes over a
551 longer period than was previously possible, the results of the FA of extreme surges was presented and
552 analyzed. The assessment of the impact of additional HI is carried out by comparing theoretical quantiles
553 and associated confidence intervals, with and with no archival historical data, and constitutes the main result
554 of this paper.

555 The conclusions drawn in previous studies were examined in greater depth in the present paper. Indeed,
556 on the basis of the results obtained previously (Hamdi et al, 2015) and in the present paper, the following
557 conclusions are reached:

558 - The use of additional HI over longer periods than the gauging one, can significantly improve the
559 probabilistic and statistical treatment of a dataset containing an exceptional observation considered as an
560 outlier (i.e. the 1953 storm surge).

561 - As the HI collected in both literature and archives tend to be extreme, the right-tail distribution has been
562 reinforced and the 1953 "exceptional" event does not appear as an outlier any more.

563 - As this additional information is exhaustive (relatively to the corresponding historical periods), the RLs of
564 interest increased very slightly and the confidence intervals were reduced significantly.

565 An in-depth study could help to thoroughly improve the quantification method of the historical surges and
566 apply the developed model on other sites of interest. Finally, an attempt is undergoing to carry out the
567 estimation of the surges induced by the events from 1767 to the end of the 19th century is undergoing.

568 *Appendix 1: HI collected in the literature*

569 01/03/1949: A violent storm with mean hourly wind speeds reaching almost $30\text{m}\cdot\text{s}^{-1}$ and gusts of up to
570 $38.5\text{m}\cdot\text{s}^{-1}$ (Volker, 1953) was the cause of a storm surge that reached the coast of Northern France and
571 Belgium in the beginning of March 1949. The tide gauge of Antwerp in the Escaut estuary measured a water
572 level higher than 7m TAW (a reference level used in Belgium for water levels) which classifies this event as a
573 "*buitengewone stormvloed*", an extraordinary storm surge (Codde and De Keyser 1967). For the Dunkirk
574 area two sources reporting water levels were found: the first saying that 7.30m was reached as a maximum
575 water level at the eastern Dike in Dunkirk, exceeding the predicted high tide, i.e. 5.70m, with 1.60m (Le
576 Gorgeu and Guitonneau 1954). A second document relates that the maximum water level reached was about
577 7.55m at Malo-les-Bains, which would mean a surge of 1.85m (DREAL Nord-Pas-de-Calais). It is worth
578 noting that the use of proxy data (i.e. the descriptions of events in the historical sources summarized in Table
579 1) to extract sea-level values and to create storm-surge databases is seriously limited. For the 1791 and
580 1808 storms, there is sufficient evidence that extreme surge events took place (extreme water level on
581 Walcheren Island) but the sources are not informative enough to estimate water levels reached in Dunkirk. A

582 surge of 1.25m is given for the storm of 1921. The problem is that the type of surge (instantaneous or skew),
583 the exact location at which it was recorded and the hydro-meteorological parameters are not reported. For
584 the skew surge of 1949, two different values at two locations are given. There are predicted and observed
585 water levels for the storms of 1905 and 1953 in Calais, which indicate that the difference is a skew surge, but
586 likewise neither the exact location nor the information about the reference level are furnished. The need for
587 tracing back to “direct data” describing a storm and its consequences becomes clear, as well as performing a
588 cross-check of the data on a spatial and factual level, as Brázdil (2000) also suggests.

589 28/11/1897: What was felt as stormy winds in Ireland on November 27th, 1897 became an eastward-moving
590 storm with gale-force winds over Great Britain, Denmark and Norway (Lamb, 1991). This storm caused
591 interruption of telephone communications between the cities of Calais, Dunkirk and Lille and great damage to
592 the coastal areas (Le Stéphanois, November 30th, 1897). At Malo-les-Bains, a small town close to Dunkirk,
593 the highest water level reached 7.36m although the high tide was predicted at 5.50m, resulting in a skew
594 surge of 1.86m that caused huge damage to the port infrastructures (DREAL Nord-Pas-de-Calais).

595 14/01/1808: During the night from January 14th to 15th, 1808, “a terrible storm, similar to a storm that hit the
596 region less than one year before on February 18, 1807” hit the coasts of the most northern parts of France
597 up to the Netherlands. This storm caused severe flooding as well in the Dunkirk area as in the Zeeland area
598 in the south western parts of the Netherlands where the water rose up to 25 feet on the isle of Walcheren
599 (i.e. 7.62m). The journal also reports more than 200 deaths. For the Dunkirk area, the last time the water
600 levels rose as high as in January 1808 was February 2nd, 1791. Unfortunately, this source does not provide
601 any information that we can quantify or any information on the meteorological and weather conditions that we
602 can use to reconstruct the storm surge value.

603 *Appendix 2: HI collected in the archives*

604 1720-1767: In essays written by a mathematician of the royal academy of science, De Froucroy D-R, who
605 describes the tide phenomenon on the Flemish coast, some extreme water levels observed within the study
606 area are reported and described. The author refers to five events that occurred during the period 1720 to
607 1767. The same information is confirmed by a Flemish scientist, Dom Mann (1777, 1780). De Froucroy D-R
608 witnessed the water levels induced by the 1763 and 1767 storms and reconstructed the level induced by the
609 1720 event in Dunkirk. Water levels at that time are given for the cities of Dunkirk, Gravelines and Calais in
610 the “pied du roi” unit (“foot of the king” was a French measuring unit, corresponding to 0.325m) above local
611 mean low-water springs. The French water levels are completed by measurements made in ancient Flemish
612 feet above the highest astronomical tides for the cities of Oostende and Nieuport (De Fourcroy D-R., 1780;
613 Mann, 1777, 1780). The upper panel of Fig. 3 shows an example of HI as presented in the archives (De
614 Fourcroy D-R., 1780).

615 The 1720 event is a memorable event for the city of Dunkirk, as the water level during springtide was
616 increased by the strong gales blowing from north-western direction which destroyed the cofferdam built by
617 the British in the year 1714, cutting the old harbor off from sea access and prohibiting any maritime trade,
618 thus slowly causing the ruin of the city. The socio-cultural impact of the natural destruction of the cofferdam
619 was huge, as it restarted trading in the city (Chambre de Commerce de Dunkerque 1895, Plocq, 1873,
620 Belidor, 1788). In 1736, the only sea level available is given for Gravelines harbor, but extreme water levels
621 are confirmed in the sources as they mention at least 4 feet of water in a district of Calais, and water levels
622 that overtopped the docks of the harbor in Dunkirk (Municipal Archive of Dunkirk DK291, Demotier, 1856). As
623 mentioned above, communal and municipal archives contain plans of dykes, docks and sluices in Dunkirk
624 harbor designed by engineers with the means available at that time, and such sketches were recovered. A
625 1740 sketch showing a profile of the Dunkirk harbor dock is presented in the lower panel of Fig. 3 for
626 illustrative purposes only. The use of these plans and sketches in the estimation of some historical storm
627 surges is ongoing. The lower-lying streets of Gravelines were accidentally flooded by the high water levels in
628 March 1750. The fact that an extreme water level was also reported in Oostende for the same day confirms
629 the regional aspect of the event. The surge of 1763 occurred in a period with mean tidal range, but water
630 level exceeded the level of mean spring high tide in Dunkirk, Calais and Oostende. Unfortunately no more
631 information about the flooded area is available. Strong west-north-westerly winds caused by a quick drop in
632 pressure produced high water levels from Calais up to the Flemish cities. It is, at least for the period from
633 1720 to 1767, the highest water level ever seen and known. The 1720 and 1767 events show good evidence
634 of the wind direction and wind intensity, while in various sources, except for the water levels reported, the
635 events from 1736, 1750 and 1763 are always cited together and described as “*extraordinary sea-levels that*
636 *are accompanied or caused by strong winds blowing from South-West to North*” (De la Lande, 1781, De
637 Fourcroy D-R., 1780, Mann, 1777, 1780). As with the 1897-2015 historical/systematic periods, the same
638 question related to the exhaustiveness of the HI collected in the 1720-1770 historical period arises. As our
639 historical research on extreme storm surges occurred in this time window was very thorough, we have good
640 reasons to believe that the surges induced by the 1720, 1763 and 1767 storms are the biggest for that
641 historical period.

642 1767–1897: For the 1778, 1791, 1808 and 1825 events, the sources report strong that winds were blowing
643 from north-westerly directions and that in Dunkirk the quays and docks of the harbor were overtopped as the
644 highest water levels were reached. We know that, after the event of February 1825, at least 19 storm events
645 occurred and we have good evidence to believe that some of them induced extreme surges, but either the
646 information available is not sufficient to draw an approximate value of the water level, or the quantification of
647 the storm surges induced by these events is complicated and time-consuming.

648 1936: The 1936 event can be considered as a lower bound, as the document from the archive testifies that
649 the “water level was at least 1m higher than the predicted tide” during the storm that occurred on the night of
650 December 1st, 1936 (Municipal Archives of Dunkirk 4S 881). The 1936 event, which can be described as a
651 moderately extreme storm, is the only one collected on the 50-year time window (1897-1949). As the surge
652 lower bound value induced by this event is too small (i.e. exceeded more than 10 times during the systematic
653 period), it could be exceeded several times during the 1897-1949 period. Its involvement in the statistical
654 inference will have the opposite effect and will not only increase the width of the CI but will also degrade the
655 quality of the fit. The 1936 historical event was therefore eliminated from inference.

656

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Table 1 Date, localization, water and surge levels (m) of collected storms within Nord-Pas-de-Calais area.

Date	Location	Predicted WL	Observed WL	Surge	Source
28/11/1897	Malo-les Bains Dunkirk	5.50 ¹	7.36 ¹	1.86 ¹	DREAL Nord – Pas de Calais
01/03/1949	Dunkirk	5.70 NGF	7.30 NGF ²	1.60	Le Gorgeu & Guitonnau, 1954
			7.55 NGF ²	1.85	DREAL Nord–Pas de Calais
01/02/1953	Antwerpen (BE)	- - -	> 7 TAW ³	- - -	Codde and De Keyser 1967
	Sangatte, Calais	6.70	8.20	1.50	Deboudt, 1997
	Dunkirk	5.50	7.90	2.40	Le Gorgeu & Guitonnau, 1954
	Dunkirk	5.77	7.90	2.13	Bardet et al., 2011

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¹ no reference leveling given; ² NGF : the French Ordnance Datum (Nivellement Général Français); ³ TAW = Tweede Algemeene Waterpassing(a reference level used in Belgium for water levels); ⁴ no indication which feet (royal french feet / flemish austrian feet); ⁵ Newspapers: Journal Politique de Mannheim 26, 30 Janvier 1808 ;

Table 2 Details of 1500-2015 Nord-Pas-de-Calais historical storms and storm surges sources.

Year/Date	Data Type	Quality Index	Source Name	Observer occupation	Year/Date	Data Type	Quality Index	Source Name	Observer occupation
1507	Surge	3	L'Abbé Harrau (1901)	Historian	1807	Surge	3	Victor Derode (1852)	Historian
01/11/1570	Surge	3	Pierre Faulconnier (1730)	Mayor of Dunkirk	18/02/1807	Storm	3	Mannheim, 26/01/1808	Newspaper
1605	Surge	3	Victor Derode (1852)	Historian	02/12/1807	Storm	3	Augstin Lemaire (1857)	Regent
12/01/1613	Surge	4	MAS-O (XVIII th century) - Jean Hendricq	Bourgeois and merchant of the city	14/01/1808	Surge	4	MAC, « floods » sheets	Archivists (Dunkirk)
01/11/1621	Surge	4	bourgeois	the city	14/11/1810	Storm	2	Christian Gonsseume (1988)	Historian
03/11/1641	Surge	3	Céléstin Landrin (1888)	Archivist (Calais)	03/01/1825	Surge	2	MAC, « storms » sheets	Archivists (Dunkirk)
1644	Surge	4	M. Lefebvre (1766)	Priest	04/02/1825	Surge	4	MAD, ref. 5O6	Harbor Engineer
1663	Surge	3	Victor Derode (1852)	Historian	19/10/1825	Storm	4	MAC, « storms » sheets	Archivists (Dunkirk)
12/1663	Surge	3	Baron C. de Warengien (1924)	Historian	29/11/1836	Storm	3	Union Faulconnier(1936)	Mayor of Dunkirk
1665	Surge	3			02/01/1846	Surge	3	Victor Derode (1852)	Historian
1671	Surge	3	Victor Derode (1852)	Historian	02/10/1846	Surge	3		
1675	Surge	3			26/09/1853	Storm	3		
16/02/1699	Surge	3	L'abbé Harrau (1903)	Historian	26/10/1859	Storm	3	Dr. Zandyck (1861)	Military Surgeon & Physician
1715	Surge	3	Victor Derode (1852)	Historian	02/11/1859	Storm	3		
1720	Surge	3			16/01/1867	Storm	2	Gilles Peltier «Amis du Vieux Calais»	Unknown
31/12/1720	Surge	4	De La Lande (1781)	Astronomer	02/12/1867	Storm	2	Bernard Barron (2007)	Journalist
25/12/1730	Storm	3	Charles Demotier (1856)	Local Historian	30/01/1877	Storm	4	MAC, « storms » sheets	Archivists (Dunkirk)
1734	Surge	4	MAD (AncDK15)	Unknown	21/12/1892	Storm	3	Céléstin Landrin (1888)	Archivist (Calais)
19/01/1735	Storm	4			10/01/1893	Storm	4	MAD, reference 5 S 1	Harbor Engineer
27/02/1736	Surge	4	MAD, (AncDK291)/C. Demotier (1856)	Historian	18/11/1893	Storm	2	Gilles Peltier «Amis du Vieux Calais»	Unknown
01/10/1744	Storm	3	Jean Louis le Tellier (1927)	Local of Dunkirk	11/10/1896	Storm	2	Christian Gonsseume (1988)	Historian
11/03/1750	Surge	3	De La Lande (1781)	Astronomer	27/01/1897	Storm	2	Christian Gonsseume (1988)	Historian
06/07/1760	Storm	3	Almanach de Calais (1845)	Unknown	29/11/1897	Surge	4	MAD, reference 4 S 874	Architect Gontier
02/12/1763	Surge	3	De La Lande (1781)	Astronomer	02/03/1898	Storm	4	Le Gravelinois, (19/03/1989)	Unknown
28/09/1764	Surge	2	J. Goutier «Amis du Vieux Calais»	Unknown	13/01/1899	Storm	4	Le Nord Maritime, (January, 1899)	Unknown
02/01/1767	Surge	3	M.A. Bossaut (1898)	Librarian	10/12/1902	Storm	2	Christian Gonsseume (1988)	Historian
05/1774	Surge	4	MAD, ref. 2 Fi 169	Unknown	11/09/1904	Storm	3	Emile Bouchet (1911)	Man of Letters
01/01/1777	Surge	3	Raymond de Bertrand (1855)	Writer	08/01/1928	Storm	2	Christian Gonsseume (1988)	Historian
01/01/1778	Storm	3	Leon Moreel (1931)	Lawyer	07/12/1929	Storm	2	Christian Gonsseume (1988)	Historian
31/12/1778	Surge	4	Pigault de Lespinoy, 19 th cent. - a	Mayor of Calais	28/11/1932	Storm	4	MAD, ref. 4 S 881	City council of Dunkirk
02/02/1791	Surge	4	Pigault de Lespinoy, 19 th cent. - b		01/12/1936	Surge	4		
17/11/1791	Surge	2	Bernard Barron (2007)	Journalist	01/03/1949	Surge	4	La Voix du Nord, 2-4/03/1949	Unknown
04/09/1793	Surge	3	L'abbé Harrau (1898)	Historian	01/02/1953	Surge	4	La Voix du Nord, 4-6/02/1953	Unknown
30/10/1795	Storm	3	Céléstin Landrin (1888)	Archivist (Calais)	16/09/1966	Surge	4	La Voix du Nord, 17/09/1966	Unknown
13/11/1795	Storm	3	Charles Demotier (1856)	Historian	02/01/1995	Surge	3	Maspataud A., (2011)	PhD student
09/11/1800	Storm	4	MAD, ref. 2Q9	Unknown					
29/03/1802	Storm	3	Augstin Lemaire (1857)	Regent					
03/11/1804	Storm	3	Augstin Lemaire (1857)	Regent					

MAS-O : Saint-Omer Municipal Archives - Historical collection of Jean Hendricq bourgeois of Saint Omer; MAD : Municipal Archives Dunkirk; MAC : Municipal Archives Calais – thematic sheets

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Table 3 HI about water levels in Dunkirk and other cities (unless otherwise stated, Heights are given in French royal foot which corresponds to 0.325m).

Date & N°	Tide Coefficient ¹	The event characteristic	Wind direction	City	Water level (ft)	Surges above MHWS (m)	Source name
31/12/1720							
1	104-104	Violent storm	NW	Dunkirk	22 ft 3 in**	---	· De Fourcroy D-R. (1780); · Plocq (1873).
27/02/1736							
2	110-114	Accompanied by strong winds	SW to N	Gravelines Calais	13 ft 2 in** > 1767	1.38 1.06	· De La Lande, (1781) ; · De Fourcroy D-R. (1780).
11/03/1750							
3	115-111	Generally accompanied by strong winds	SW to N	Gravelines Oostende	12 ft 2 in 13 ft 6 in	1.05 ---	· De La Lande, (1781) ; · De Fourcroy D-R. (1780); · Mann, D. (1777,1780).
02/12/1763							
4	78-81	Generally accompanied by strong winds	SW to N	Dunkirk Calais Gravelines Oostende Nieuport	22 ft 17 ft 2 in 14 ft 2 in 14 ft 14 ft	--- 0.57 0.97 1.10 0.97	· De La Lande, (1781) ; · De Fourcroy D-R. (1780); · Mann, D. (1777, 1780)
02/01/1767							
5	93-96	Horrible storm	WNW- NNW	Dunkirk Calais Gravelines Oostende Nieuport	22 ft 6 in 18 ft 8 in 15 ft 10 in 16 ft 17 ft 1 in	--- 1.06 1.51 1.60 1.94	· Histoire de l'Académie Royale des Sciences (1767) ; · De Fourcroy D-R. (1780); · Mann, D. (1777, 1780)
01/12/1936							
6	99-96	Violent storm		Dunkirk	1 m>pred	---	· MAD 4S 881

¹ Source: SHOM; ** reconstructed water levels; * foot of Brussels (1 ft = 0.273m).

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814 **Table 4** Historical skew surges induced by the 1720-1767 events. Heights are given in m.

Date	Tide Coef	Surge above MHWS	Δ_{WL}	Skew surge
1720	104	1.54	-0.17	1.37
1763	78/81	1.46	0.29/0.24	1.75/1.7
1767	93	1.62	0.01	1.63

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818 **Table 5** The HI dataset (from literature and archives). Surges are given in m and w_{HMax} and w_s in years.

Year	1720	1763	1767	Events exist ($n_k \neq 0$) but cannot be estimated	1897	1949	1953
Surge (m)	1.37	1.75	1.63		1.86	1.60	2.13
	<ul style="list-style-type: none"> • HI from archives, $n_k = 3$ • 1720-1770 time-window • $w_{HMax1} = 50$ 	<ul style="list-style-type: none"> • HI from archives, $n_k \neq 0$ • 1770-1897 time-window • Not used in the inference 	<ul style="list-style-type: none"> • HI from literature, $n_k = 3$ • 1897-2015 time-window • $w_{HMax2} = 72,5$; $w_s = 46,5$ 				

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Table 6 The T-year quantiles & relative widths of their 70% CI (all the duration are given in years)

<i>T</i> (years)	+1953 event		+ literature HI		+ literature & archives HI	
	$w_{HMax1} = 16,5$		$w_{HMax} = 72,5$		$w_{HMax1} = 50 ; w_{HMax2} = 72,5$	
	S_T	$\Delta CI/S_T$	S_T	$\Delta CI/S_T$	S_T	$\Delta CI/S_T$
100	1.76	40%	1.82	32%	1.84	26%
500	2.46	71%	2.59	56%	2.61	48%
1000	2.86	86%	3.03	69%	3.05	59%

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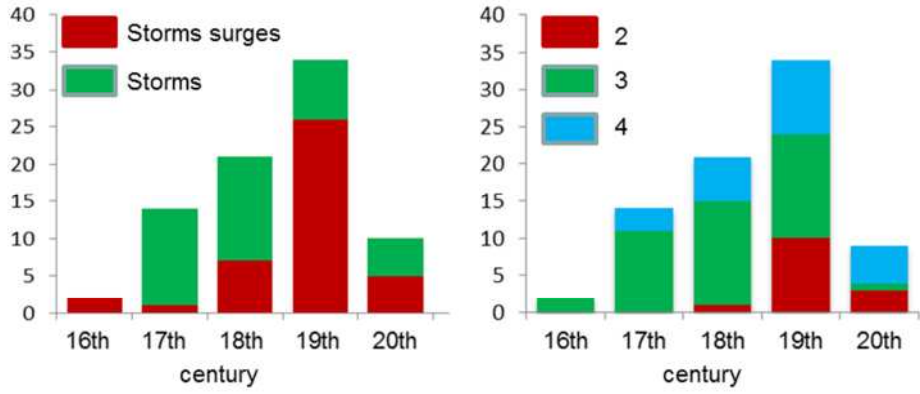
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Fig. 1. Map of the location (to the left) and an old plan of the Dunkirk city with the measure point of Bergues Sluice (to the right)

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Fig. 2. Distribution in time of the type of the events in the data base (left); Quality of the data (right).

OBSERVATIONS SUR LES MARÉES, A LA CÔTE DE FLANDRE,

OU

*RECHERCHES sur la hauteur convenable aux
Digues, Quais, Écluses, Batardeaux, & autres
Ouvrages contre la Mer.*

Par M. DE FOURCROY DE RAMECOURT,
Brigadier des Armées du Roi, Ingénieur en Chef en Calais.

LA MARÉE extraordinairement haute, du 2 Janvier de cette année, dont j'ai envoyé, à M. Duhamel du Monceau, pour l'ACADÉMIE, l'Observation faite à la Côte de Flandre, m'a donné occasion de mettre en ordre plusieurs Notes, que j'avois recueillies, sur les mouvemens ordinaires & extraordinaires de la Mer, le long de cette Côte, & de les comparer à la surface du Pays. Ces Remarques sont en elles-mêmes de peu d'importance; cependant il m'a paru que l'on pouvoit en tirer quelques conséquences utiles à la petite Province où elles ont été faites.

I. Des points ordinaires où s'élève la pleine-Mer, à Calais, à Gravelines, à Dunkerque & à Ostende.

1. On a observé, depuis long-temps, les points où parvient la hauteur du flot, dans nos Ports de Flandre: il est fait
Tomé VIII,

Rapport des points avec le niveau réduit de la Mer.		Rapport des points avec les divers points de l'Échelle de Dunkerque.		Points déterminés à Dunkerque, & aux environs.
Pieds. Pous.	Pieds. Pous.	Pieds. Pous.	Pieds. Pous.	
24	10	30	8	Repaire, marqué sur le socle, dans le Portail de la Paroisse, au Sud * (n.º 4).
22	1	27	11	Niveau du milieu de la Place d'Armes.
20	4	16	2	Niveau réduit des Rues.
19	2	25	*	Sommet convenable aux Digues, dans la Plaine.
17	11	13	9	Sommet convenable aux Digues, sous Dunkerque.
16	8	12	6	Pleine-Mer du 2 Janvier 1767.
16	5	12	3	Pleine-Mer probable du 31 Décembre 1720.
16	2	12	*	Pleine-Mer du 2 Décembre 1761, en □.
14	6	10	4	Pleine-Mer, la plus haute des ○.
11	8	17	6	Pleine-Mer moyenne des ○.
11	2	17	*	Niveau des plus hautes Terres, vers Dunkerque.
9	11	15	9	Pleine-Mer, la moins haute des ○, & la plus haute des □.
8	7	14	5	Pleine-Mer moyenne des □.
7	3	13	1	Pleine-Mer, la moins haute des □.
6	2	12	*	Niveau des Terres autour de Furnes.
5	5	11	3	Niveau des Terres autour de Bergues.
4	8	10	6	Niveau des Terres autour d'Uxem.
			5	Niveau réduit de la Mer.
1	7	4	3	Niveau des Terres des Moères, desséchées par M. d'Herouville.
1	10	*	*	Point fixe de l'échelle de Dunkerque, à l'Écluse nommée de Bergues.
				Niveau probable de la basse-Mer moyenne des ○.

* Ce point est le dessus même du socle, ou jambage droit du portail, en entrant.

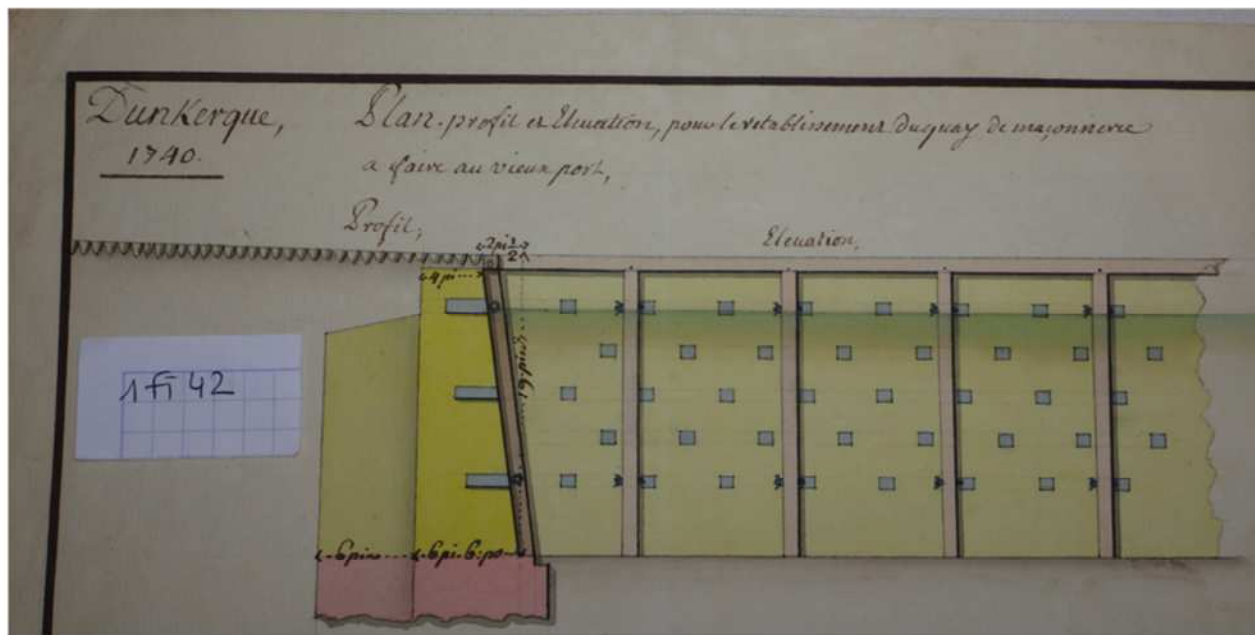
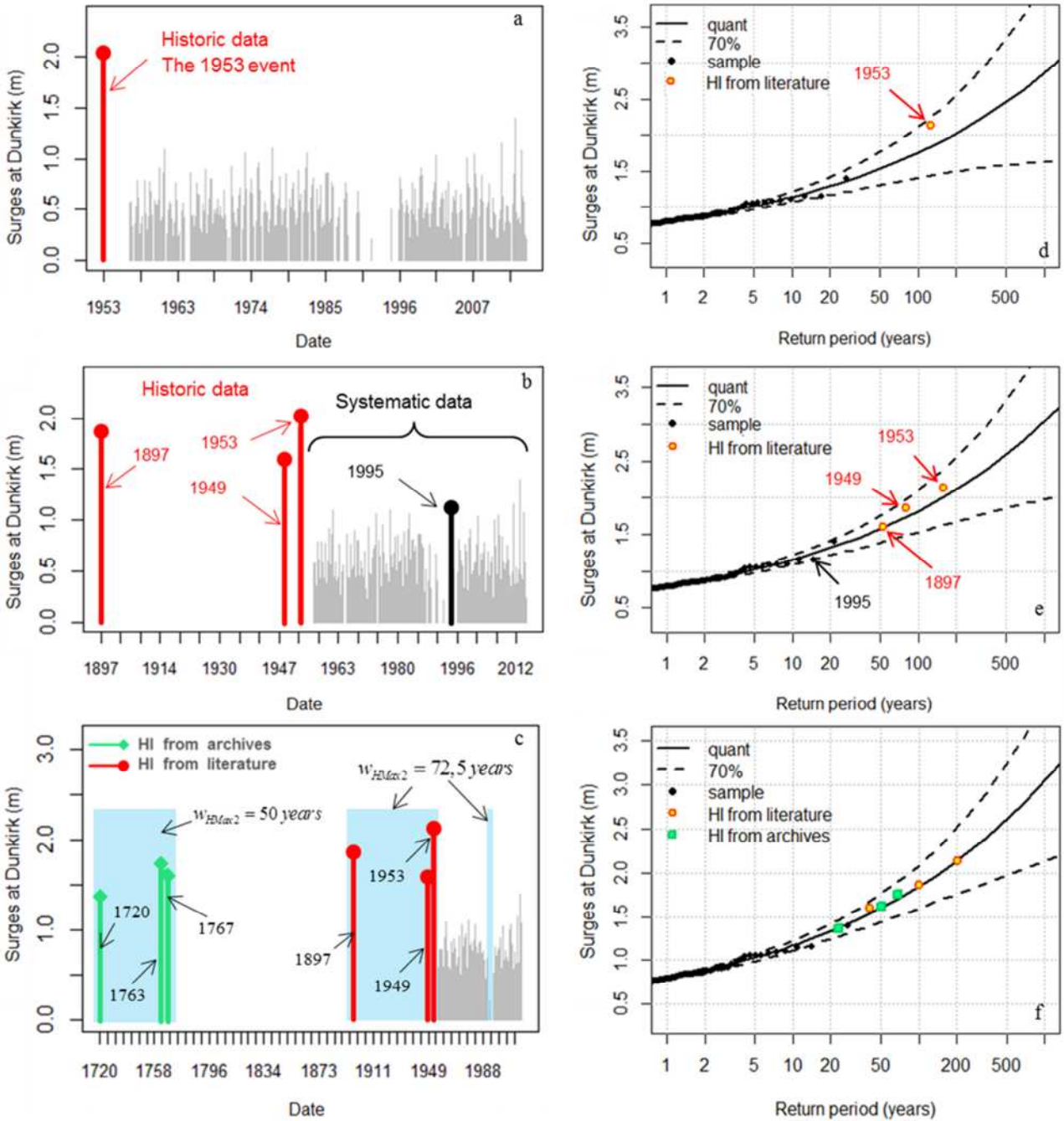


Fig. 3. Two examples of HI as presented in the archives. (Top :) the 1767 extreme storm surge event in Dunkirk (De Fourcroy D-R., 1780); (Bottom :) a profile of the Dunkirk harbor dock from the municipal archives of Dunkirk (ref. 1Fi42, 1740).



841 **Fig. 4.** The GPD fitted to the POTH surges in Dunkirk: (Top :) with the 1953 event as a historical data;
 842 (Middle :) with historical data from literature and (Bottom :) with historical data from literature and archives.
 843 The 1995 event is considered as systematic.
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