

1 **Hydrological control of large hurricane-induced lahars: evidences from rainfall-**
2 **runoff modelling, seismic and video monitoring.**

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12

13 **Abstract**

14 The Volcán de Colima, one of the most active volcanoes in Mexico, is commonly affected
15 by tropical rains related to hurricanes that form over the Pacific Ocean. In 2011, 2013 and
16 2015 hurricanes Jova, Manuel and Patricia, respectively triggered tropical storms that
17 deposited up to 400 mm of rain in 36 hrs, with maximum intensities of 50 mm/hr. Effects
18 were devastating, with the formation of multiple lahars along La Lumbre and Montegrande
19 ravines, which are the most active channels in sediment delivery on the S-SW flank of the
20 volcano. Deep erosion along the river channels and several marginal landslides were
21 observed, and the arrival of block-rich flow fronts resulted in damages to bridges and paved
22 roads in the distal reaches of the ravines. The temporal sequence of these flow events is
23 reconstructed and analyzed using monitoring data (including video images, seismic records
24 and rainfall data) with respect to the rainfall characteristics and the hydrologic response of

25 the watersheds based on rainfall-runoff numerical simulation. For the studied events, lahars
26 occurred 5-6 hours after the onset of rainfall, lasted several hours and were characterized by
27 several pulses with block-rich fronts and a maximum flow discharge of 900 m³/s. Rainfall-
28 runoff simulations were performed using the SCS-Curve Number and the Green-Ampt
29 infiltration models, providing similar results in detecting simulated maximum watershed
30 peak discharge. Results show a different behavior for the arrival times of the first lahar
31 pulses that correlate with the simulated catchment's peak discharge for La Lumbre ravine
32 and with the peaks in rainfall intensity for Montegrande ravine. This different behavior is
33 related to the area and shape of the two watersheds. Nevertheless, in all analyzed cases, the
34 largest lahar pulse always corresponds with the last one and correlates with the simulated
35 maximum peak discharge of these catchments. Data presented here show that flow pulses
36 within a lahar are not randomly distributed in time, and they can be correlated with rainfall
37 peak intensity and/or watershed discharge, depending on the watershed area and shape.
38 This outcome has important implications for hazard assessment during extreme hydro-
39 meteorological events since it could help in providing real-time alerts. A theoretical rainfall
40 distribution curve was designed for Volcán de Colima based on the rainfall/time
41 distribution of hurricanes Manuel and Patricia. This can be used to run simulations using
42 weather forecasts prior to the actual event, in order to estimate the arrival time of main
43 lahar pulses, usually characterized by block-rich fronts, which are responsible for most of
44 damage to infrastructures and loss of goods and lives.

45

46 **Keywords:** *lahar, hurricane, rainfall/runoff modeling, Volcán de Colima, Mexico.*

47

48 **1. Introduction**

49 In recent years hurricanes have had catastrophic effects on volcanoes in the tropics
50 troughs the triggering of lahars (sediment-water gravity-driven flows on volcanoes). One of
51 the most recent episodes is represented by the Hurricane Ida in El Salvador in 2009 that
52 caused several landslides and debris flows from the Chichontepec volcano, killing 124
53 people. In 1998 Hurricane Mitch triggered the collapse of a small portion of the inactive
54 Casita volcano (Nicaragua), originating a landslide that suddenly transformed into a lahar
55 that devastated several towns and killed 2000 people (Van Wyk Vries et al., 2000; Scott et
56 al., 2005). A similar event was observed in 2005 when tropical storm Stan triggered
57 landslides and debris flows from the Toliman Volcano (Guatemala), causing more than 400
58 fatalities at Panabaj community (Sheridan et al., 2007). Other examples can be found at
59 Pinatubo (Philippines), Merapi and Semeru (Indonesia), Soufrière Hills (Montserrat) and
60 Tungurahua (Ecuador) volcanoes where tropical storms and heavy rainfall seasons have
61 triggered high-frequency lahar events (Umbal and Rodolfo, 1996; Lavigne et al., 2000;
62 Lavigne and Thouret, 2002; Barclay et al., 2007; Dumaisnil et al., 2010; Doyle et al., 2010,
63 de Bélizal et al., 2013 Jones et al., 2015).

64 Volcán de Colima ($19^{\circ}31'N$, $103^{\circ}37' W$, 3860 m a.s.l., Fig. 1), one of the most
65 active volcanoes in Mexico, is periodically exposed to intense seasonal rainfalls that are
66 responsible for the occurrence of lahars from June to late October (Davila et al., 2007;
67 Capra et al., 2010; Vázquez et al., 2016a). Lahars usually affect areas as much as 15 km
68 from the summit of the volcano, with resulting damage to bridges and electric power towers
69 (Capra et al., 2010), and are more frequent just after eruptive episodes such as dome
70 collapses that emplace block-and-ash flow deposits (Davila et al., 2007; Vázquez et al.,

71 2016b). Several hurricanes commonly hit the Pacific Coast each year and proceed inland as
72 tropical rainstorms reaching the Volcán de Colima area. In particular in 2011, 2013 and
73 2015 Hurricanes Jova, Manuel and Patricia respectively triggered long-lasting lahars along
74 main ravines draining the edifice, causing severe damages to roads and bridges, and leaving
75 communities in a radius of 15 km from the volcano cut off for several days

76 Previous work (Davila et al., 2007; Capra et al., 2010) analyzed lahar frequency at
77 Volcán de Colima in relation to eruptive activity and rainfall characteristics. Lahars are
78 more frequent at the beginning of the rainy season, during short (< 1 hour) no-stationary
79 rainfall events, with variable rainfall intensities and with only 10 mm of accumulated
80 rainfall. This behavior has been attributed to a hydrophobic effect of soils on the volcano
81 slope (Capra et al., 2010). In contrast, in the late rainy season, when tropical rainstorms are
82 common, lahars are triggered depending on the 3-day antecedent rainfall and with
83 intensities that increase as the total rainfall amount increases (Capra et al., 2010). The lahar
84 catalog used for these previous studies was based only on seismic data. Since 2011 a visual
85 monitoring system has been installed on the Montegrande and La Lumbre ravines (Figure
86 1), based on which a quantitative characterization of some events (i.e type of flow, velocity,
87 flow discharge, flow fluctuation) have been possible (i.e. Vázquez et al., 2016a; Coviello et
88 al., under revision). The aim of the present paper is to better understand the initiation
89 processes of large lahars and their dynamic behavior, especially during hurricane events,
90 when more damage has been observed on inhabited areas. In particular, the arrival time of
91 the main lahar's front/surge at the monitoring stations is analyzed with respect to rainfall
92 characteristics (rain accumulation and intensity) and in relation to the watershed's
93 hydrological response based on a rainfall/runoff numerical simulation.

94 The occurrence of discrete surges within debris flows and lahars has been attributed to
95 spatially and temporally distributed sediment sources, temporary damming, progressive
96 entrainment of bed material or change in slope angle (Iverson 1997; Marchi et al. 2002;
97 Takahashi 2007; Zanuttigh and Lamberti 2007; Doyle et al., 2010; Kean et al., 2013).
98 Without excluding previous models, data from large lahars triggered by Hurricanes Jova,
99 Manuel and Patricia show that main pulses within a lahar are not randomly distributed in
100 time, and they can be correlated with rainfall peak intensity and/or watershed discharge,
101 depending on: 1) watershed shape, and 2) hydrophobic behavior subject to the antecedent
102 soil moisture. These lahars are also compared with a flow triggered by an extraordinary
103 hydrometeorological event that occurred at the begin of the rain season (11 June, 2013) to
104 better show the drastic change on lahar initiation due to the hydrophobic effect of soils at
105 Volcán de Colima. Based on rainfall distribution over time for the analyzed events, a
106 theoretical rainfall distribution curve is here designed, which can be used to run simulations
107 prior to an event to have an estimation of the time arrivals of main pulses when weather
108 forecast is available. Results here presented have important implication for hazard
109 assessment during extreme hydrometeorological events and can be used as a
110 complementary tool to develop an Early Warning System (EWS) for lahars on tropical
111 volcanoes.

112

113 **2. Methods and data**

114 **2.1. La Lumbre and Montegrande watersheds**

115 The source area of rain-triggered lahars at Volcán de Colima corresponds to the uppermost
116 unvegetated portion of the cone (Fig. 1 and 2a), with slopes between 35° and 20°, that also
117 corresponds with an area of high connectivity, being prone to rill formation and erosive
118 processes (Ortiz-Rodriguez et al., 2017). The channels along main ravines have slopes that
119 vary from 15° proximally up to a maximum of 4° in the more distal reaches. They are
120 flanked by densely vegetated terraces, up to 15 m high average, that consist of debris
121 avalanche and pyroclastic deposits from past eruptions (Figs. 2b and c) (Cortes et al., 2010;
122 Roverato et al., 2011). Seven major watersheds feed the main ravines draining from the
123 volcano on the southern side (Fig. 1). La Lumbre is the largest watershed, with a total area
124 of 14 km², and Montegrande is representative of the other catchments with an area of 2 km²
125 (Fig. 1). Beside the difference in total area, the Montegrande and La Lumbre watersheds
126 are quite different in geometry. Montegrande catchment is elongated, with a maximum
127 width of 800 m (300 m average). In contrast, the proximal portion of La Lumbre catchment
128 includes the entire NW slope of the cone, before elongating towards the SW, being up to
129 1500 m in width. These differences in area and shape can be correlated with a different
130 water discharge response during a rainfall event. In circular drainages, i.e. the proximal
131 portion of La Lumbre watershed, all points are equidistant from the main channel so all the
132 precipitation reaches the river at the same time, concentrating a large volume of water. In
133 contrast, in a more elongate basin, lateral drainages quickly drain water into the main
134 channel at different points resulting in a lower total discharge. The Gravelius's index K_g
135 (Gravelius, 1914; Bendjoudi and Hubert 2002), which is defined as the relation between the
136 perimeter of the watershed (P) and that of a circle having a surface equal to that of a
137 watershed (A):

$$Kg = \frac{P}{2\sqrt{\pi A}}$$

138 is here estimated for Montegrande watershed and for the upper, circular portion of La
139 Lumbre watershed, obtaining values of 1.7 and 1.1 respectively. The lower the value, the
140 more regular the basin's perimeter and the more prone it is to present high runoff peaks.
141 Based on these considerations, at La Lumbre watershed a larger volume of water
142 concentrates along the main channel because of its larger surface and circular shape, but
143 after a larger period of time relative to the Montegrande ravine, where a minor volume of
144 water quickly reaches the main drainage.

145

146 **2.2 Lahar Monitoring at Volcán de Colima**

147 In 2007, a monitoring program was implemented at Volcán de Colima. Initially, two rain
148 gauges were installed to study lahar initiation (AR and PH sites, Figure 1) and lahar
149 propagation was detected using the broadband seismic stations of RESCO, the
150 seismological network of Colima University (Davila et al., 2007; Zobin et al., 2009; Capra
151 et al., 2010). Two monitoring stations specifically designed for studying lahar activity were
152 installed later, in 2011 at the Montegrande ravine and in 2013 at La Lumbre ravine (MSMg
153 and MSL respectively, Figure 1). Both stations consist of a 12 m-high tower with a
154 directional antenna transmitting data in real time to RESCO facilities, a camcorder
155 recording images each 2-4 secs with a 704 x 480 pixel in resolution, a rain gauge coupled
156 with a soil moisture sensor, and a 10 Hz geophone (Vázquez et al., 2016a; Coviello et al.,
157 under revision). The rain gauge (HOBO RG3) records rain accumulation at one-minute
158 intervals. At Montegrande ravine seismic data are also obtained from a 3 component Guralp

159 CMG-6TD broadband seismometer installed 500 m upstream from the monitoring site,
160 sampling at 100 Hz (BB-RESCO, Figure 1).

161 The Montegrande station detected lahars during the 2011 Jova and 2013 Manuel events,
162 while lahars triggered during Hurricane Patricia in 2015 were only recorded by La Lumbre
163 station (Table 1). In 2011, only the MSMg site was operational (as the BB-RESCO station),
164 and recorded the seismic signal of lahars associated with Jova and Manuel events. No
165 images are available since both events occurred during the night. The MSL station began to
166 operate at the end of 2013 and was able to record lahars associated with Hurricane Patricia
167 along the La Lumbre ravine (images and geophone data). In contrast, in 2015 the MSMg
168 site was destroyed by pyroclastic flows during the 10-11 July explosive activity, and in
169 October 2015 the new station (MSMg_2015) was still under construction. Only a few
170 pictures were acquired and they are of low quality because of the abundant steam generated
171 by hot lahars since they originated from the remobilization of fresh pyroclastic flow
172 deposits (Capra et al., 2016). The 11 June 2013 event was perfectly captured by the camera
173 installed at the MSMg site and the BB-RESCO recorded its seismic signal.

174 The seismic signal is here analyzed to detect the arrival of main flow fronts and to estimate
175 the discharge variation. For this, only the amplitude of the signal is considered, which can
176 be correlated with the variation in the maximum peak flow discharge (Doyle et al., 2010;
177 Vázquez et al., 2016a). In particular, for lahars at Volcán de Colima a correlation between
178 the maximum peaks in amplitude and the maximum peak in flow discharge was found (Fig.
179 5 in Vázquez et al., 2016a). Fluctuation in seismic energy along the vertical component
180 reflects variation in flow discharge.

181 The seismic record is here compared with the available images to identify the main changes
182 in lahar dynamics. All the lahars here analyzed correspond to multi-pulses events as
183 classified by Vázquez et al. (2016a); they consist of long lasting lahars presenting several
184 pulses, each characterized by a block-rich front followed by the main body and dilute tail
185 showing continuous changes in flow discharges. A detailed seismic description of lahar
186 types at Volcán de Colima is available in Vázquez et al. (2016a); here we focus on the
187 number of main flow peaks and their arrival times.

188

189 **2.3. The hydrometeorological events**

190 Hurricane Jova formed over the Pacific Ocean, hit the Pacific coast on October 12, 2011, as
191 a category 2 event, and traveled inland toward Volcán de Colima. The hurricane arrived as
192 a tropical storm at the town of Coquimatlán, just 10 km SW of the city of Colima with
193 winds of up to 140 km/hr, and 240 mm of rain falling over 24 hrs (Fig. 3a). Severe damage
194 was registered in inhabited areas, including the city of Colima where floods damaged roads,
195 bridges and buildings.

196 Hurricane Manuel (category 1), hit the Pacific coast on 15 September 2013 causing several
197 damage to mountainous region in Guerrero state, triggering several landslides that caused
198 up to 96 deaths and left several villages cut of, while thousands of tourists were trapped at
199 Acapulco and Ixtapa international airports. At Volcán de Colima rain started on September
200 15 and lasted for more than 30 hrs with more than 300 mm of falling (Fig. 3a).

201 Hurricane Patricia on 2015 was considered as the strongest hurricane on record to affect
202 Mexico. The system began to develop on 18 October over the Pacific Ocean, strengthened

203 into a hurricane shortly after 00:00 GMT on 22 October and early on 23 October it reached
204 its maximum category of 5, before losing strength as it moved onto the Sierra Madre
205 Occidental range. Landfalls occurred around 23:00 GMT on 22 October along the coast of
206 the Mexican state of Jalisco near Playa Cuixmala, about 60 km west-northwest of
207 Manzanillo. On the morning of the 23 October, 2015 it continued to rapidly weaken. At
208 Colima town, up to 400 mm of rains fall on 30 hours after the morning of 23 October (Fig.
209 3a). Lahars along the Montegrando ravine were hot since they originated from the erosion
210 of pyroclastic flow deposits emplaced during the 10-11 July 2015 eruption. Severe damages
211 affected Colima town and areas surrounding the volcano. A bridge along the interstate was
212 destroyed cutting of La Becerrera village and interrupting traffic between Colima and
213 Jalisco states.

214 2.3.1 Rainfall during hurricanes

215 Rainfall data were obtained from different rain gauge stations (Table 1 and Fig. 1). In
216 particular, for the events studied at Montegrando ravine, rainfall data came from the rain
217 gauge installed at SMMg while for the Patricia event, the more proximal available rain
218 station is located at the top of the Nevado de Colima volcano (NS, Fig 1). It is worth
219 mentioning that at Volcán de Colima, during stationary rainfall events associated to
220 hurricane, no important differences in rainfall duration and intensity are detected at regional
221 scale. For instance, the measured rainfall associated to Hurricane Jova was alike at two rain
222 gauges located at more than 7 km of distance (MSMg and MSL) and during Hurricane
223 Patricia same duration and intensity values were recorded by station NS and a station
224 located in the Colima town, 30 km S from the volcano summit (Fig. 3b).

225 Patricia and Manuel rainfalls show similar behavior, with progressive rain accumulation
226 over 28-30 hrs; in contrast, during Hurricane Jova, 200 mm of rain fell in less than 15 hrs,
227 with only another 40 mm falling during the following 13 hrs (Fig. 3a). These differences
228 are more evident plotting the 10-min accumulated value normalized over the total
229 accumulated rainfall (Fig. 3c). Average rainfall intensities calculated over a 10-min interval
230 range from 32 mm/hr to 37 mm/hr for Manuel and Patricia events respectively and up to 43
231 mm /hr for the Hurricane Jova (Table 1). Finally rainfall values were calculated at selected
232 time intervals (0.25, 0.5, 0.75, 1, 3, 6, 12, 18, 24, 27 hrs) to design possible storm rainfall
233 distributions based on tropical rains associated with hurricanes recorded historically at
234 Volcán de Colima (Table 2). Considering the similar behavior of the Manuel and Patricia
235 rainfalls, a theoretical rainfall distribution curve can be designed considering their average
236 values (Fig. 3d) (i.e. NRCS, 2008), based on which a forecast analysis can be performed, as
237 will be discussed below.

238

239 **2.4. Rainfall-runoff modelling**

240 To better understand the lahar behavior and duration during extreme hydrometeorological
241 events at Volcán de Colima, rainfall-runoff simulations were performed with Flo-2D code
242 (O'Brien et al., 1993). The Flo-2D code routes the overland flow as discretized shallow
243 sheet flow using the Green-Ampt or the SCS Curve number (or combined) infiltration
244 models. For the present work the SCS Curve Number (SCS-CN, i.e. Mishra and Singh,
245 2003) was selected for the analysis and a comparison between both infiltration models is
246 presented below. The rainfall is applied to the entire watershed, without spatial variability

247 because we are dealing with large-scale, long duration hurricane-induced rainfall. This
248 rainfall is discretized as a cumulative percent of the total precipitation each 10 minutes.
249 With the SCS-CN model, the volume of water runoff produced for the simulated
250 precipitation is estimated through a single parameter, i.e. the Curve Number (CN). This
251 parameter summarizes the influence of both the superficial aspects and deep soil features,
252 including the saturated hydraulic conductivity, type of land use, and humidity before the
253 precipitation event (for an accurate description of the origin of the method see Rallison,
254 1980; Ponce and Hawkins, 1996). A similar approach was previously used for modeling
255 debris flow initiation mechanisms (i.e. Gentile et al., 2006; Llanes et al., 2015). To apply
256 the SCS-CN model, it is necessary to classify the soil in one of four groups, each
257 identifying a different potential runoff generation (A, B, C, D; USDA-NRCS 2007). The
258 watershed of La Lumbre and Montegrande ravines were subdivided into two main zones: 1)
259 the unvegetated upper cone and the main channel that consists of unconsolidated
260 pyroclastic material with large boulders embedded in a sandy to silty matrix, and 2) the
261 vegetated lateral terraces. Lateral terraces consist of old pyroclastic sequences with
262 incipient soils and are vegetated with pine trees and sparse bushes. Based on these
263 observations, soils were classified between group A and B (Bartolini and Borselli, 2009).
264 CN values for the vegetated terraces and for the nude soils were estimated at 75 and 80
265 respectively (in wet season, Hawkins et al., 1985; Ferrer-Julia et al. 2003). To perform a
266 simulation with the FLO-2D code, two polygons were traced to delimit the un-vegetated
267 portion of the cone from the vegetated area of the watershed, and at each polygon the
268 relative CN value was assigned. At the apex of each watershed a barrier of outflow points
269 were defined to obtain the values of the simulated watershed discharge computed at each
270 0.1 hr. The simulation was performed with a 20-m digital elevation model. One of the

271 limitations of the SCS method is that it does not consider the effect of the rainfall intensity
272 on the infiltration. In addition, since no measurements of water discharge are available at
273 both La Lumbre and Montegrande basins, it is difficult to calibrate the simulations here
274 presented. To investigate the SCS-CN model uncertainties, the Green-Ampt (1911) model
275 (G-A), sensitive to the rainfall intensity, was also applied and the results were compared
276 with the outcome of the SCS-CN model. For the G-A method, the main input parameters
277 are the saturated hydraulic conductivity (Ks), the soil suction and volumetric moisture
278 deficiency. The Ks is a key factor in the estimation of infiltration rates and exerts a notable
279 influence on runoff calculations, therefore requiring great care in its measurement
280 (Grimaldi et al., 2013). The input values can be extrapolated from tables or directly
281 measured with field experiments. Based on the textural characteristics of soils and type of
282 vegetation at Volcán de Colima, input parameters were selected based on available tables in
283 the Flo-2d PRO reference manual (Table 3). In particular, with a Ks value of 20 mm/hr the
284 simulated watershed discharge best fits with the precursory shallow-water flow observed in
285 the images, as it will be showed below (Figure 4). The Ks value of 20 mm/hr is equivalent
286 to the CN value used for the SCS-NC simulations. In fact an empirical relation between Ks
287 and CN has been proposed by Chong and Teng (1986):

$$S = 3.579K_S^{1.208}$$

288 where S is the potential retention related to the CN as follow (Mockus, 1972):

$$CN = \frac{2540}{S + 25.4}$$

289 Based on these equations a value of Ks equal to 20 mm/hr corresponds to a CN of 75.5 in
290 the range of values here used for the SCS-NC infiltration model.

291 The G-A infiltration model was tested in La Lumbre ravine, using the Patricia event and
292 comparing the simulated watershed discharge curve with the available video images. Figure
293 4 shows the discharge curve that best fits the data gathered from the images, based on
294 which the two methods were qualitatively calibrated. The G-A infiltration method nicely
295 reproduce the initial scouring of a muddy water corresponding with the first increase in the
296 simulated watershed discharge. The SCS-CN infiltration model is not able to reproduce this
297 first water runoff. This can be explained considering that the initial abstraction due to the
298 interception, infiltration and surface storage, is automatically computed in the SCS-NC
299 method as $0.2S$, being probably too high for the studied area. In contrast, with the G-A
300 method, the initial abstraction can be modified and best results were obtained with a value
301 of 6 mm corresponding to a surface typical of a vegetated mountain region. However, both
302 infiltration models give similar results for the main peaks of the simulated maximum
303 watershed discharge that correspond to the arrival of the main lahar pulses observed in the
304 images (Fig. 4). These results show that the G-A model is much more reliable to detect
305 precursory slurry flows, while both models are equally able to catch the main surges of a
306 lahar. One important point is that the simulations are here used to set up an EWS to forecast
307 the lag time of the main lahar surges. The first slurry flows were important to calibrate the
308 G-A simulation but they do not represent an essential data for the EWS. In addition, input
309 data for the G-A method often are difficult to set, requiring great care in its measurement;
310 in contrast, the output of the SCS-CN method only depend from the CN value. The SCS-
311 CN method has been largely used in rainfall-runoff modeling, and we consider that it is a
312 valuable method for the objective of the present work, as we are not seeking a quantitative
313 estimation of the watershed discharge but the arrival times of the main lahar pulses.

314 A sensitive analysis of the G-A input parameters presented in previous works (i.e. Chen et
315 al., 2015) shows that the saturated hydraulic conductivity K_s is a key factor in the
316 estimation of infiltration rates and exerts a notable influence on runoff calculations (i.e.
317 Chen et al., 2015). With respect to the SCS-CN model, the only input parameter is the CN,
318 thus we present a simple comparison for the Patricia event at La Lumbre ravine. Results
319 obtained with the 80/75 CN values for channel and vegetated area respectively, are
320 compared to two other simulations performed using global values of 75 and 80 (Table 4).
321 This exercise shows that the uncertainty in simulated maximum peak discharge is in the
322 range of 0.1 hr, pointing that a global CN value could also be used for the Volcán de
323 Colima.

324

325 **3. Results**

326 During the Hurricane Jova, lahars started at around 07:20 GMT (all times here after
327 reported as GMT) in the Montegrande ravine after c. 40 % of the total rain (240 mm) had
328 fallen (Fig. 5a). The event lasted more than 4 hours, and three main peaks in amplitude can
329 be detected in the seismic signal (Fig. 5a). The first two peaks are similar in amplitude
330 (0.015 cm/s) and separated by more than 2 hours of signal fluctuation. Less than one hour
331 after from the second peak, a single, discrete pulse can be recognized (0.05 cm/s in
332 amplitude), followed by a “train” of low-amplitude seismic peaks that lasted for more than
333 an hour.

334 Along the same ravine, an extreme event was recorded on 11 June 2013 and is here
335 introduced to better discuss the hydrological response of the Montegrande ravine. It

336 represents an unusual event at the beginning of the rainy season, with 120 mm of rain
337 falling in less than 3 hrs (Table 2), and a maximum peak intensity of 140 mm/hr (Fig. 5b).
338 Based on the seismic record and still images of the event, this lahar was previously
339 characterized as a multi-pulse flow, with three main block-rich fronts (I, II and IV, Fig. 5c),
340 with similar amplitudes (0.015-0.025 cm/s), followed by a main flow body consisting of a
341 homogenous mixture of water and sediments (with a sediment concentration at the
342 transition between a debris flow and an hyperconcentrated flow) (III, Fig. 5c) (Vázquez et
343 al. 2016a). The last, more energetic pulse (0.042 cm/s) was accompanied by a water-rich
344 frontal surge that was able to reach the lens of the camera (IV, Fig. 5c). For both Jova and
345 11 June 2013 events, the largest pulse corresponds with the last one. Flow discharge was
346 estimated for the 11 June 2013 event, with a maximum value of 120 m³/s for the largest
347 pulse (IV, Figure 5b) (Vázquez et al., 2016a). For the Jova event, the only visual data
348 available are images of the channel the day before and the day after the event, where deep
349 erosion is visible (Fig. 6). Comparing its seismic signal with the 11 June 2013 lahar, and
350 based on the classification criterion established for lahars at Volcán de Colima (Vázquez et
351 al., 2016a) each main peak is inferred to correspond to the arrival of a flow surge or block-
352 rich front followed by the body of the flow.

353 The lahar recorded during Hurricane Manuel along the Montegrando ravine shows a similar
354 behavior to that described for the Jova event (Fig. 7). As it occurred during the night no
355 images are available. Based on the seismic record from the BB-RESCO, lahars started at c.
356 03:00 and lasted for seven hours. The event was characterized by five main pulses, whose
357 amplitude increases with time (0.012-0.025 cm/s), with the last being the largest in
358 magnitude (0.04 cm/s). Based on the amplitude values, the first two peaks correspond to

359 precursory dilute flow waves followed by the three main pulses with block-rich fronts (I, II
360 and III, Fig 7).

361 In the case of Hurricane Patricia, seismic data (from the geophone) and still images were
362 recorded at the La Lumbre monitoring station. Based on these data, at c. 16:25 a slurry flow
363 starts on the main channel (Fig. 4). The initial water flow rapidly evolves in a
364 hyperconcentrated flow (Coviello et al., under revision) and several front waves were
365 observed during flooding (I and II, Fig. 8b) for which an average flow discharge of 80-100
366 m³/sec was estimated, and two main pulses arrived at 23:30 and 00:00 (24 October), with 6
367 m-depth block-rich fronts and maximum flow discharges of 900 m³/sec (III, IV, V and VI,
368 Fig. 8b). At around 00:40 the seismic record detected the arrival of a third pulse. Although
369 no images were available, the amplitude of the last pulse (0.07 cm/s) suggests it was larger
370 than those previously described. As observed for the three previous events recorded at
371 Montegrande ravine, the largest pulse again corresponded to the last one.

372 The results of the rainfall-runoff simulation are plotted as a normalized curve of the total
373 runoff hydrograph (watershed discharge), along with the normalized accumulated rainfall
374 and its intensity (calculated over a 10-min interval) (Fig. 9). In the same plot, the arrival
375 time of the main lahar pulses here analyzed is also indicated (red triangles, Fig. 9). By
376 comparing the simulated watershed discharge with rainfall intensity, a general correlation
377 can be observed for the Montegrande basin during hurricanes Jova and Manuel (Fig. 9a and
378 b), contrasting with the 11 June 2013 event (Fig. 9c), where the simulation is not able to
379 reproduce watershed discharge during the first minutes of the event when most of rainfall is
380 accumulated and maximum rainfall intensities are detected.

381 If the arrival times of the main lahar pulses are considered, the events associated to the
382 hurricanes Jova and Manuel along the Montegrande ravine show a similar behavior. In both
383 cases, early slurry flows are detected after ~40% of the total rain is accumulated. The main
384 flow pulses better correlate with the highest rain intensity values, which also correspond
385 with maximum peaks in simulated watershed discharge; the last, largest pulse corresponds
386 with the maximum simulated peak discharge of the watershed. Finally, analyzing the
387 simulation in the Montegrande ravine for the 11 June 2013 event, it is possible to observe a
388 different behavior. The lahar starts as less than the 10% of rain is accumulated, the main
389 lahar pulses perfectly correlate with the peak rainfall intensities, and only the last largest
390 pulse correlates with the watershed peak discharge. For La Lumbre watershed in 2015 a
391 clear correlation between peak rainfall intensities and simulated watershed discharge is not
392 clear. . For the Patricia event, along the La Lumbre ravine, first slurry flows (pulse I, fig.
393 7b) also starts after 40% of total rainfall, but main lahar pulses fit better with the simulated
394 peaks watershed discharge Fig. 9d).

395

396 **4. Discussion**

397 Various attempts have been made to define lahar initiation rainfall thresholds at different
398 volcanoes (i.e. Lavigne et al., 2000; van Westen and Daag, 2005 Barclay et al., 2007; Jones
399 et al., 2015; Jones et al., 2017), including Volcán de Colima (Capra et al., 2010). This study
400 focused on better prediction of lahar evolution during extraordinary hydrometeorological
401 events such as hurricanes, a common long-duration and large-scale rainfall phenomenon in
402 tropical latitudes. In particular, we are interested in predicting the arrival of block-rich flow

403 fronts that have caused severe damage during past events. Based on the seismic and visual
404 data gathered from the events analyzed here, it is possible to identify the key factors in
405 controlling the arrival timing of main lahar fronts. For the Jova, Manuel and Patricia events,
406 lahars started after the 40% of total rain had accumulated (corresponding to c. 100, 120 and
407 160 mm of rain respectively), and apparently the timing for the main pulses correlates well
408 with the peaks of the rainfall intensity for the Montegrande ravine, while for La Lumbre
409 ravine they better match with the peaks of the simulated watershed discharge. The observed
410 differences between Montegrande and La Lumbre ravines can be correlated with the
411 different areas and shapes of the two catchments. In fact, due to its elongated shape ($K_G =$
412 1.7) and small area (2 km^2), the Montegrande watershed shows a quicker response between
413 rainfall and discharge, with a rapid water concentration at different point along the main
414 channel (Fig. 1b). This behavior is much clearer for the 11 June 2013 event, which occurred
415 at the beginning of the rain season when soils on the lateral terraces of the ravines show
416 hydrophobic behavior (Capra et al., 2010). The simulation was not able to reproduce any
417 watershed discharge at the beginning of the event, because the hydrophobic behavior of the
418 soils inhibits the infiltration and the water runoff quickly promotes lahar initiation. During
419 this event, the first lahar pulses perfectly match with the rainfall peak intensities (except for
420 the last major pulse), starting from the very beginning of the rainfall event. In contrast, La
421 Lumbre ravine has a wider, rounded upper watershed ($K_G = 1.1$; $A = 14 \text{ km}^2$) that is able to
422 concentrate a larger volume of water entering the main channel where lateral contributions
423 still increase water discharge further. Even if rain during hurricanes Manuel and Patricia
424 showed a similar behavior (Fig. 3), the catchment response of La Lumbre is clearly
425 different with a pulsating behavior of lahars mainly controlled by the watershed discharge.
426 Nevertheless, for all the events here analyzed, the largest pulse corresponds with the last

427 one recorded and it correlates with the maximum simulated watershed discharge, pointing
428 to a strong control of the catchments recharge in generating the largest and more destructive
429 pulses. Previous works correlated the occurrence of surges within a lahar to multiple
430 sources, such as lateral tributaries along the main channel (i.e. Doyle et al., 2010) or due to
431 the failure of temporary dams of large clasts triggered by of an increase in rainfall intensity
432 (Kean et al., 2013). Lateral tributaries are absent in both the Montegrande and La Lumbre
433 channels and, even if an accumulation of clasts were possible, no significant discontinuities
434 of the channel bed can be observed upstream of the monitoring sites. Based on data
435 presented here, formation of pulses within a lahar is mostly controlled by the watershed
436 shape that regulates the timing of the arrival of main pulses, depending on the rainfall
437 behavior. Nevertheless, the last pulse is always the largest in volume.

438 This model is strictly related to long-duration and large-scale rainfall events hitting tropical
439 volcanoes such as the Volcán de Colima. In contrast, during mesoscale non-stationary
440 rainfalls, typical at the beginning of the rainy season, lahars are usually triggered at low
441 accumulated rainfall values and controlled by rainfall intensity due to the hydrophobic
442 behavior of soils, and they usually consist of single-pulse events with one block-rich front
443 that last less than one hour (i.e. Vázquez et al., 2016b). In perspective, the results presented
444 here can be used to design an EWS for hurricane-induced lahars, i.e. event triggered by
445 long-duration and large-scale rainfalls. Most common pre-event or advance-EWSs for
446 debris flows are based on empirical correlations between rainfall and debris flow
447 occurrence (e.g., Keefer et al., 1987; Aleotti, 2004; Baum and Godt, 2009; Jones et al.,
448 2017; Wei et al., this volume; Greco and Pagano, this volume). The instruments adopted for
449 debris-flow advance warning are those normally used for hydrometeorological monitoring

450 and consist of telemetry networks of rain gauges and/or weather radar. The typical way to
451 represent these relations is identifying critical rainfall thresholds for debris flow occurrence.
452 The availability of both a large catalogue of events and a reliable precipitation forecast that
453 could give the predicted amount of rainfall some hours in advance would allow the issue of
454 an effective warning, at least in predicting the likely arrival time of the main lahar pulses.
455 In addition, instrumental monitoring of in-channel processes can be used to validate a
456 preliminary warning-condition triggered by weather forecast and/or rainfall measurements.

457

458 **5. Conclusions**

459 Monitoring data from long-lasting lahars triggered by Hurricane Jova, Maueni and Patricia
460 at Volcán de Colima demonstrated that watershed discharge is the key factor in controlling
461 the arrival time of main block-rich fronts, and that the largest destructive pulses will arrive
462 after the initial surges. In particular, for the 2015 Hurricane Patricia the weather forecast
463 predicted a value of total rainfall, and also the approximate time of its landfall the day
464 before the event. Based on the design storm obtained with the rainfall/time distribution of
465 the event analyzed here, it would have been possible to anticipate when lahars started along
466 the La Lumbre ravine, and the arrival time of main pulses. This first rough prediction of the
467 arrival times of main lahar pulses could have been validated and updated based on real time
468 data acquisition and rainfall-runoff simulations that do not take more than 30 minutes to
469 provide results. This information coupled with the real time monitoring can be a valuable
470 tool to employ for hazard assessment and risk mitigation. These findings can be used to

471 implement an advance-EWS- based on the monitoring of a hydrometeorological process to
472 issue a warning before a possible lahar is triggered.

473

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478 the Centro de Prevención de Desastres (CENAPRED), who set up the instrumentation on
479 the Montegrande monitoring site.

480

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620 Volcanology and Geothermal Research 179: 157-167.
621

622 **Figure captions**

623 Figure 1. a) Aster image (4, 5 and 7 bands in RGB combination) where main watersheds at
624 Volcán de Colima are represented. The locations of the monitoring stations are indicated.
625 The inset shows the location of the rain gauge of the Meteorological National Service at the
626 summit of the Nevado de Colima Volcano. b) Sketch map of the Trans Mexican Volcanic
627 Belt (TMVB) and the Volcán de Colima location. Black triangles denote the main active
628 volcanoes in México

629 Figure 2. a) Panoramic view of the Volcán de Colima showing the unvegetated main cone
630 mostly composed by loose volcanic fragments. b) Montegrande and c) La Lumbre ravines
631 in the middle reach where it is possible to observe the main channel flanked by 10-15 m-
632 high terraces mainly constituted by debris avalanche deposits.

633 Figure 3. a) Cumulative values of rainfall of hurricanes Jova, Manuel and Patricia
634 calculated at 10 min-intervals; b) Normalized rainfall curves for the Jova and Patricia
635 events as gathered from two different stations, pointing to a quasi-stationary rainfall
636 behavior; c) normalized values of cumulative rainfall curves. d) Normalized curve of total
637 rainfalls cumulated at 15, 30, 60 minutes and 1, 3, 6, 12, 18, 24, 27 hrs. Dotted line
638 represents the average value between Manuel and Patricia hurricanes.

639 Figure 4. Comparison of simulated watershed discharge curves based on SCS-NC and G-A
640 infiltration models. Qualitative calibration is here proposed based on the flow discharge as
641 observed in the video images captured at the MSL site.

642 Figure 5. a) Seismic record of the lahar triggered during the Hurricane Jova, on 12 October,
643 2011. b) Seismic record of the lahar triggered during the 11 June, 2013 events. Main pulses

644 are indicated with roman letters. c) Images captures by the camera corresponding to the
645 main lahar pulses as indicated in figure b.

646 Figure 6. Images showing the morphology of the channel at the monitoring site of the
647 Montegrande ravine, a) the day before and b) the day after the Hurricane Jova.

648 Figure 7. Seismic record of the lahar triggered during the Hurricane Manuel, on 15
649 September, 2013, recorded along the Montegrande ravine.

650 Figure 8. a) Seismic record of the lahar triggered during the Hurricane Patricia, on 26
651 October, 2015, recorded along the La Lumbre ravine. Main lahar pulses are indicated with
652 roman letters. b) Images captured by the camera corresponding to the main pulses as
653 indicated in figure a.

654 Figure 9. Diagrams showing the main lahar pulses (red triangles) as detected from the
655 seismic signal of the analyzed events in relation with the accumulated rainfall (dark line),
656 rainfall intensity (10 m/hr) (gray line) and simulated watershed discharge (blue line) for the
657 following hidrometeorological events a) Jova; b) Manuel; c) 13 June, 2013; and d) Patricia.

658 Table 1. Data collected for the events here studied.
659

660 Table 2. Normalized accumulated rains at progressive time steps.

661 Table 3. Parameters used in the G-A simulations
662

663 Table 4. Arrival times of peak III and IV using different CN values.

664

665 Table 1. Data collected for the events here studied.

Event	ravine	Seismic record	Image record	Rain gauge	Total rain (mm)	Max. rain intensity (mm/hr)
Jova, 12/10/2011	Monte grande	X		MSMg	240	43
Manuel 15/09/2013	Monte grande	X		MSMg	300	32
Patricia 23/10/2015	Lumbre	X	X	NS	400	37
11 June 2013	Monte grande	X	X	MSMg	120	140

666

667

668 Table 2. Normalized accumulated rains at progressive time steps.

Event/time (hrs)	0.25	0.5	1	2	3	6	12	24	27
Jova	0.0011	0.0016	0.0035	0.0172	0.0329	0.1411	0.7073	0.968	0.9943
Manuel	0.0023	0.0035	0.0042	0.0072	0.0151	0.0341	0.1548	0.735	0.9181
Patricia	0.0002	0.0004	0.0009	0.0062	0.0174	0.0556	0.2544	0.829	0.9782
Average	0.00125	0.00195	0.00255	0.0067	0.01625	0.04485	0.2046	0.782	0.9481

669 The average values refer to hurricanes Manuel and Patricia.

670

671 Table 3. Parameters used in the G-A simulations

<i>Abstraction</i>	6 mm
<i>Ks</i>	20 mm/hr
<i>soil-suction</i>	100 mm
<i>initial saturation</i>	0.1
<i>final saturation</i>	0.35

672

673

674

675 Table 4. Arrival times of peak III and IV using defferent CN values.

Surges observed in the images	peak III (23.5 hr)	peak IV (24 hr)
CN	<i>Arrival times (hr) in the simulated watershed discharge curves</i>	
75 global	23.4	24.1
80/75 (channel/vegetated)	23.5	24.1
80 global	23.5	24.2

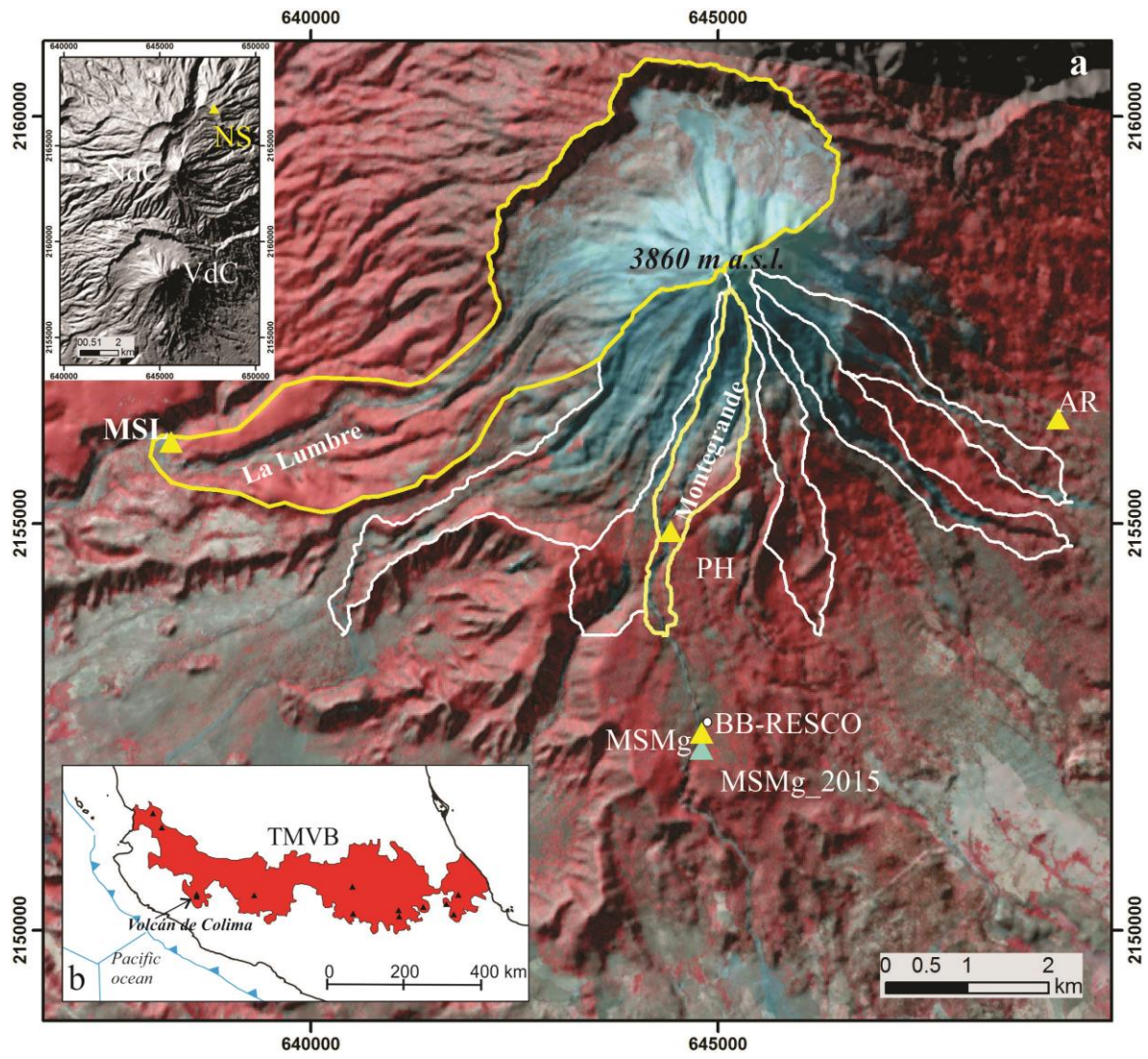
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680 Fig. 01



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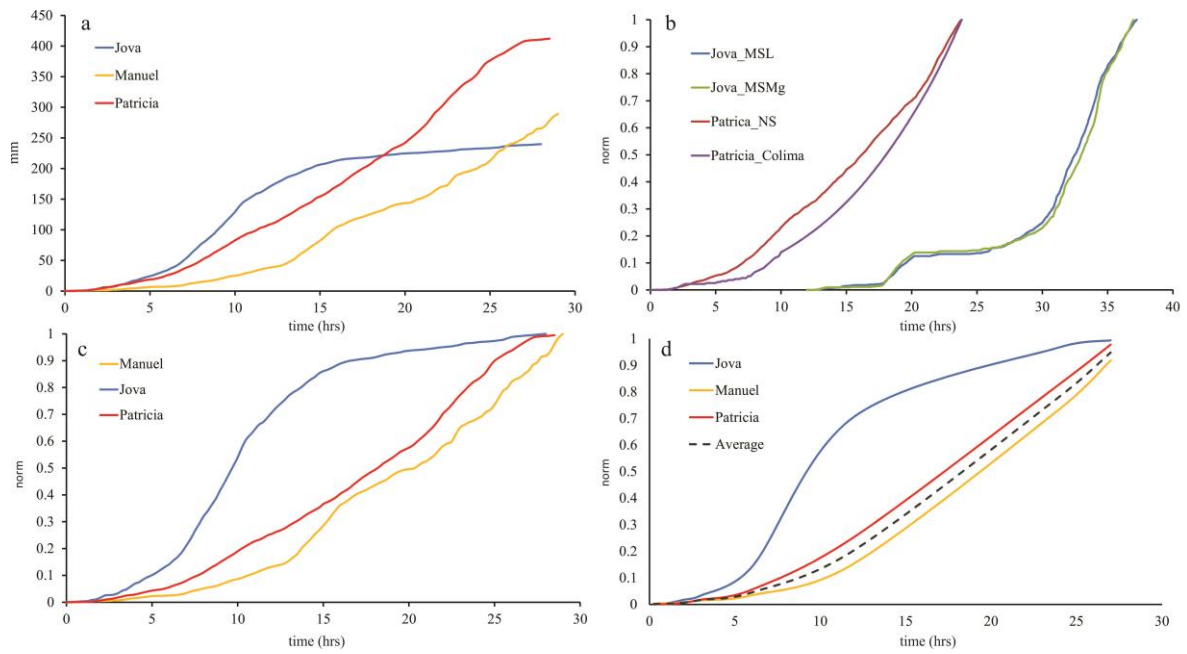
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686 Fig-03

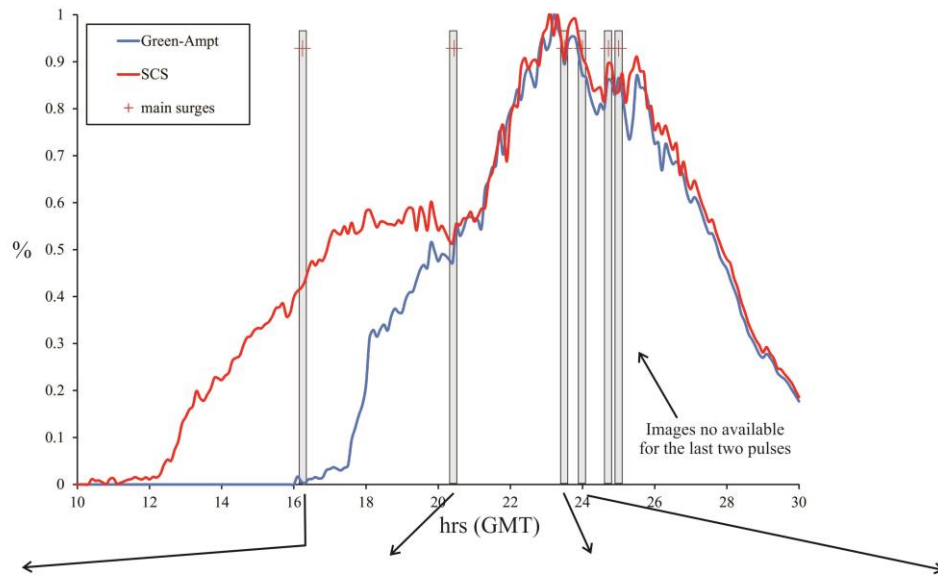


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690 Fig. 04



Initial water runoff

First hyperconcentrated flow detected in the seismic record

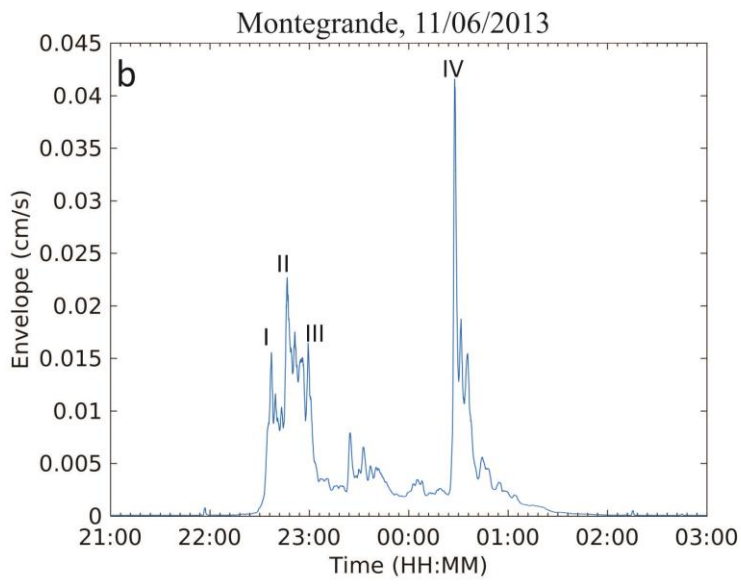
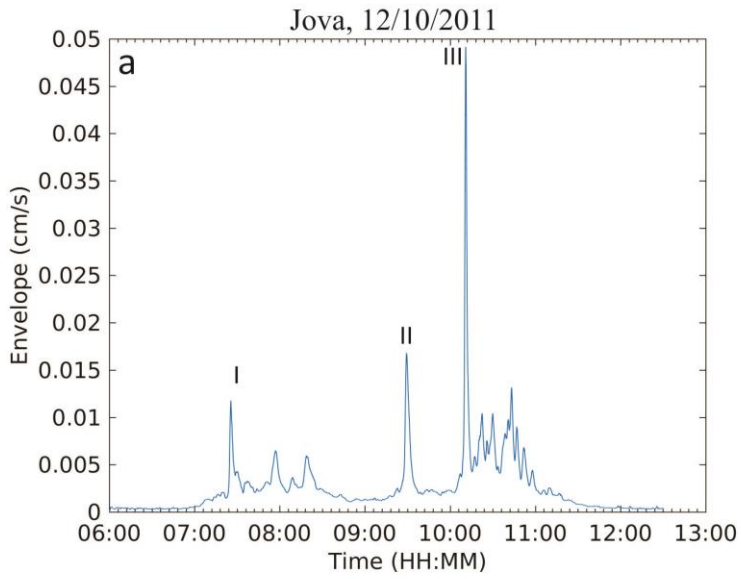
First main pulse

Second main pulse

691

692

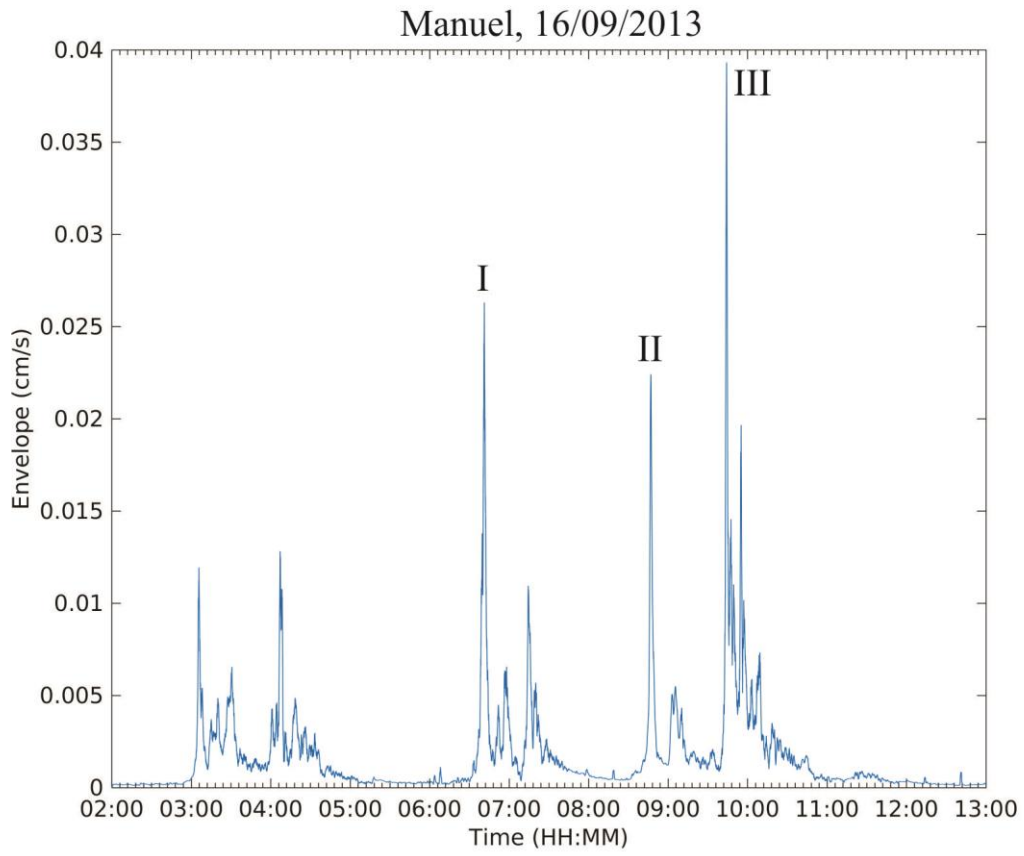
693 Fig. 05

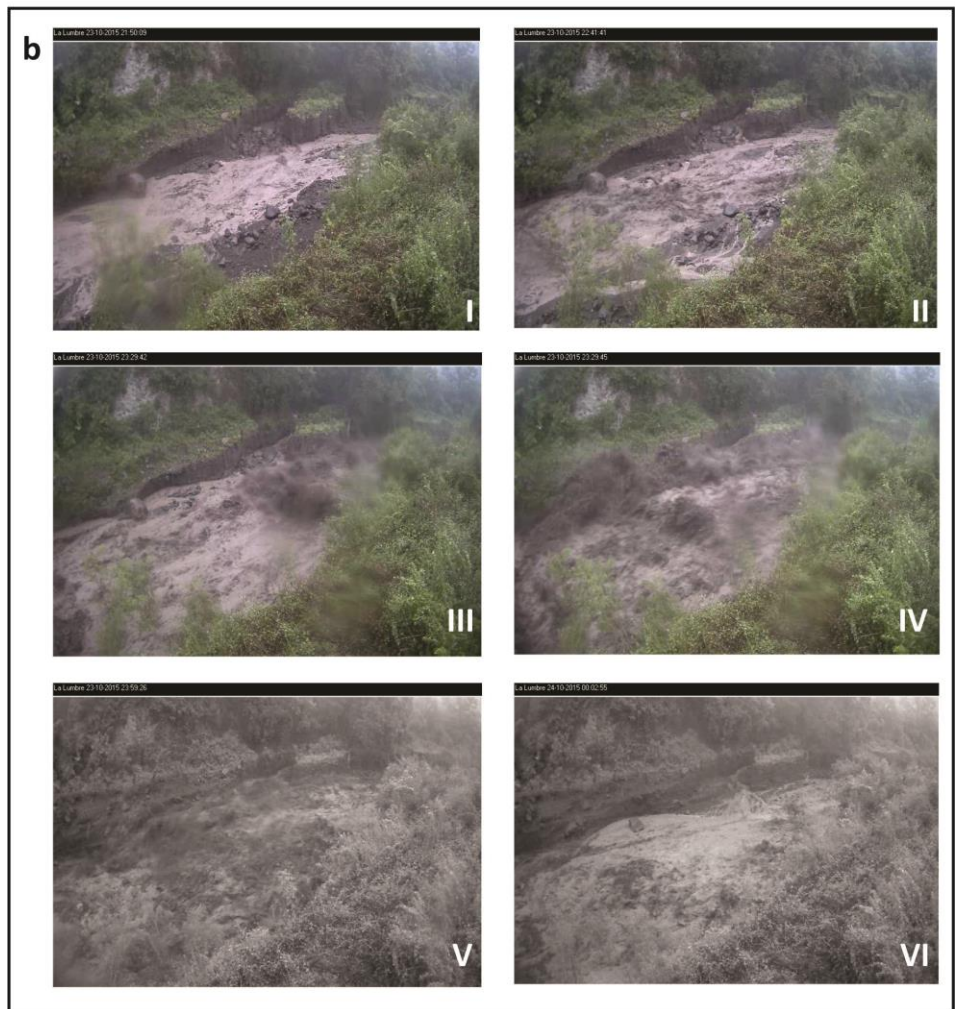
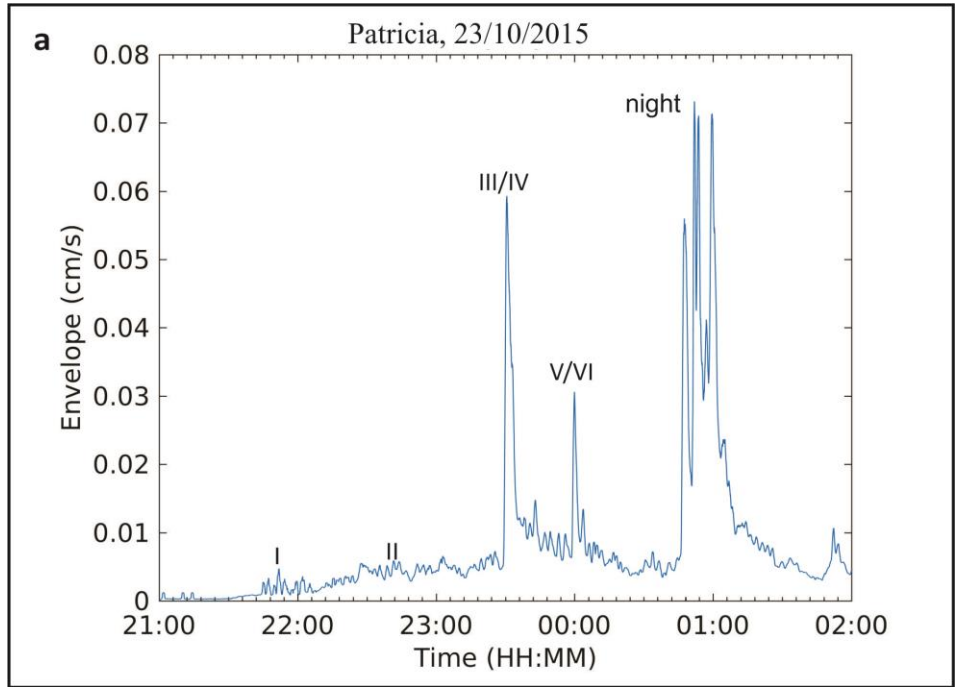


694

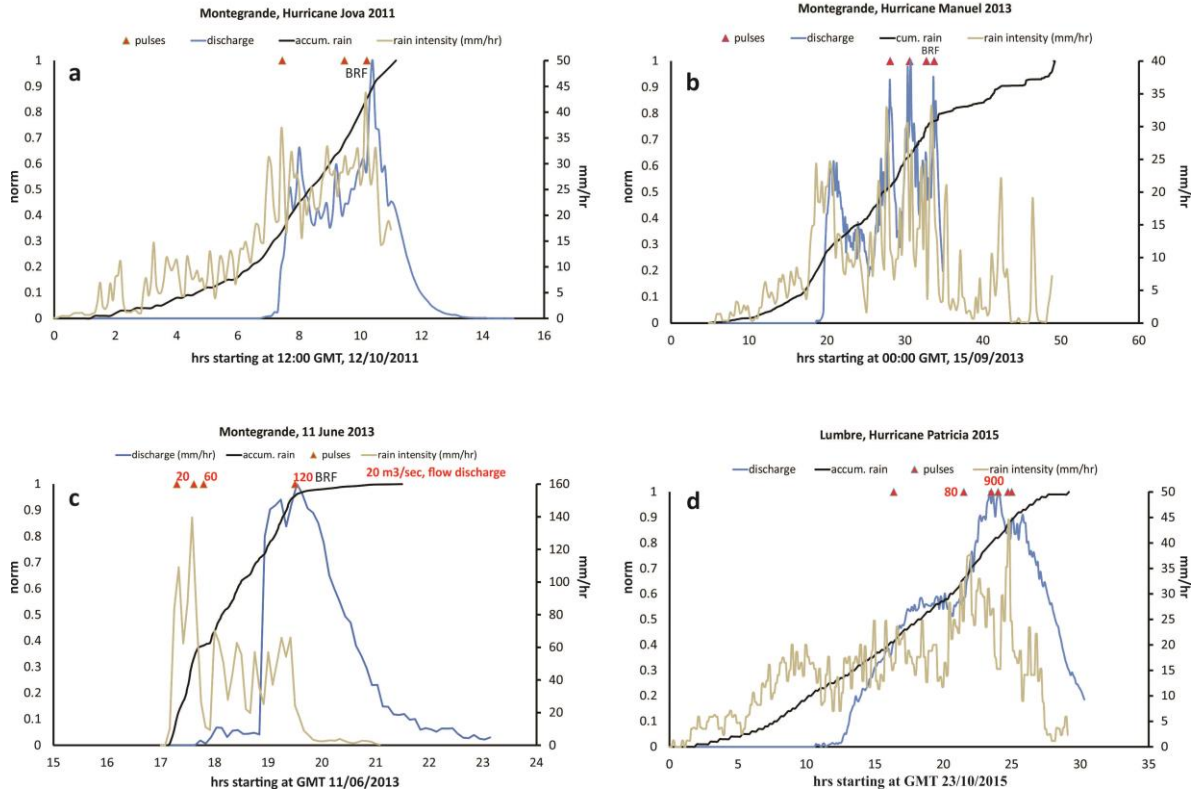
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701 Fig. 09



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