

1 Dear Samuele Segoni,

2  
3 Thank you again for the chance that you kindly gave us about our manuscript entitled  
4 **“Rainfall threshold calculation for debris flow early warning in areas with scar-**  
5 **city of data”** (No. NHESS-2017-333). We truly appreciate all of the thoughtful com-  
6 ments from you and Referees, and we have now revised our manuscript accordingly  
7 with a list of changes detailed below.

8  
9  
10  
11 **Response to Anonymous Referee #1:**

12  
13 *1) General comments:*

14 *...The main is surely the choice of proxies regulating the occurrence of landslide*  
15 *phenomena: the coupled adoption of 1h and 20 days appears worthy of deep ex-*  
16 *planation and proper sensitivity analysis; indeed, the “triggering” rainfall event*  
17 *is typical of very steep, shallow, coarse grained layers that should not be affected*  
18 *by cumulative values over three weeks.*

19 Indeed, this study is mainly focus on the rainfall threshold for debris flow initiation,  
20 and not for the landslide. The selection of 1-h and the significance role of the ante-  
21 cedent rainfall have been further clarified in corresponding parts of the manuscript,  
22 not only in “2.4 data collection and the characteristics of rainfall” (Line 253-258),  
23 “4.2.1 the calculation of the antecedent precipitation index (API)” (Line 433-441;  
24 Line 458-466), but also in the discussion section (Line 515-528).

25  
26 *2) Abstract: it should be more concise avoiding some details, for example, about*  
27 *slope of the curve.*

28 The abstract has been rewritten according to your advice.

29  
30 *3) L16: please amend “earthquake”; also in this version, several typos are rec-*  
31 *ognizable*

32 We checked the whole paper thoroughly and amended the type mistakes.

33  
34 *4) L41-55: it represents a deepening about the case study that could be moved*  
35 *after completing the introduction of general features. The two main topics cov-*  
36 *ered by Introduction should not be mixed: 1) general features about debris flow;*  
37 *2) variation of critical rainfalls after earthquake; in this perspective, the text*  
38 *should be reorganized.*

39 This part has been reorganized as you suggested. The general influence of rainfall has  
40 been added to the introduction first, then the variation characteristics after earthquake  
41 has been discussed (Line 41-61).

42  
43 *5) L225-226: please report the reference time over which annual average of*  
44 *maximum 10-min rainfall is computed. The paragraph “2.2” should report de-*  
45 *tails about grain size distribution of involved soils or, hopefully, on hydraulic*  
46 *properties: only, through these data, we can evaluate if duration for rainfall*  
47 *thresholds are reliable*

48 The reference time over which annual average of maximum 10-min rainfall, 1-h rain-  
49 fall as well as the 24-h rainfall are computed is from 1940- 1975 by read the Sichuan  
50 Hydrology Record Handbook carefully (Line 234-248).

51  
52 *6) L237-245: according the paragraph, 1 hour could be not the trivial option:*  
53 *only an occurrence is registered beyond the threshold; please comment it*

54 Actually, the 10-min rainfall intensity is the most appropriate index for early warning  
55 of debris flow, which is most representative and has minor error. However, it is diffi-  
56 cult to obtain such short-duration rainfall data in actual debris flow gullies because  
57 long-term rainfall monitoring system do not exist in most debris flow basins especial-  
58 ly in areas with scarcity of data. We further illustrate this in the manuscript (Line  
59 253-258).

60  
61 *7) L248-249: “to analyze the rainfall characteristics of the watershed by the*  
62 *field monitoring as well as record data there is any” on my view, the sentence*  
63 *remains not so clear; please try an attempt to make it more readable*

64 This has been amended yet.

65  
66 *8) L260-263: the three factors are not strictly comparable: the first two ones*  
67 *concern geomorphological features and then landslide susceptibility while the*  
68 *third one is related to occurrence of these phenomena and then associated haz-*  
69 *ard*

70 The main influence factors for the formation of debris flow include steep longitudinal  
71 slope of the gully, source condition and water source condition are well accepted by  
72 researchers. However, we rewrote the sentence to make it much more clear(Line

73 277-280).

74

75 9) L272-273: *“the maximum storage capacity of watershed can represent the*  
76 *characteristic of the hydraulic conductivity of solid material”*: *it should be clari-*  
77 *fied why a storage capacity could represent a proxy for hydraulic conductivity*

78 This part has been rewritten yet (Line 290-292).

79

80 10) L285-298: *the description should be clarified: what do you intend for low*  
81 *hydraulic conductivity? Indeed, for low hydraulic conductivity different dynam-*  
82 *ics arise and cumulative values on very long time spans have to be accounted for.*  
83 *The single event is not an effective proxy for these soils.*

84 Indeed, this part is mainly focus on the rainfall patterns. The introduction about the  
85 hydraulic conductivity is just served as a background which has no influences on the  
86 equations or the method proposed in this study (Line 304-317).

87

88 11) L317: *does the value refer to investigated soils? Is it referred to soil grain*  
89 *matrix?*

90 Yes, the value of the volume concentration refers to investigated soils in Guojuanyan  
91 gully, and it is referred to soil grain matrix.

92

93 12) L322-324: *it could be interesting to report the entire grain size distribution*  
94 *of investigated soils at this point (after, Figure 11)*

95 Actually, this is the methodology part, and mainly introduce the research thinking and  
96 the formula maybe used in this research. That is to say it is a general introduction here.  
97 Therefor, we don't think it is necessary to report a specific grain size in this part. In-  
98 deed, for the study site, Guojuanyan gully, the grain size distribution of the soils is  
99 shown in Figure 11.

100

101 13) L340-346: *the paragraph should be improved; different terms should be in-*  
102 *troduced as they refer to specific literature field; the entire dynamics regulating*  
103 *“stored-full runoff”*

104 We have introduced the “stored-full runoff” carefully, which is common used in the  
105 humid and semi humid areas in China to analyze the runoff yield mechanism (Line

106 359-369).  
107

108 *14) L368-369: it represents the crucial point of the research; the assumption is*  
109 *not trivial and should properly justified; why soils affected by 1 hour heavy*  
110 *rainfall should be influenced in terms of slope stability by antecedent precipita-*  
111 *tions?*

112 The initiation of debris flow is influenced both by the antecedent precipitation and  
113 triggering precipitation. The significance role of the antecedent rainfall has been fur-  
114 ther clarified in corresponding parts of the manuscript, not only in “2.4 data collection  
115 and the characteristics of rainfall” (Line 253-258), “4.2.1 the calculation of the ante-  
116 cedent precipitation index (API)” (Line 433-441; Line 458-466), but also in the dis-  
117 ussion section (Line 515-528).  
118

119 *15) Table 2: it is usual reporting the angle and not directly their tangent; please,*  
120 *if possible, correct it*

121 This has been amended, we have added a new column and filled wit the angle of the  
122 longitudinal slope.  
123

124 *16) L409-410: please introduce and explain the main terms reported in the text*  
125 *(e.g. the differences between indirect and direct antecedent precipitation)*

126 This has been explained in the manuscript (Line 429-432).  
127

128 *17) L433-434: the period of 20 days could result quite arbitrary*

129 This has been illustrated in the manuscript (Line 459-467; Line 482-485).  
130

131 *18) Figure 13: in the graphs cumulative values and not intensity are reported;*  
132 *for 1 hour the values are comparable but in this case you should report as meas-*  
133 *urements unity: mm/h. Moreover, you could report only time on the x-axis and*  
134 *indications about days as graph label.*

135 Indeed, the x-axis is the duration time of the rainfall, while the left-axis is the 1-h  
136 rainfall while the right-axis is the cumulative rainfall during the whole rainfall pro-

137 cess.  
138

139 *19) Figure 14: the threshold is located quite below the rainfall histories inducing*  
140 *the event; in this perspective, it includes two false alarms that could be probably*  
141 *deleted defining an updated curve; for example, are you sure that 20 days are the*  
142 *proper time span for such events or is the K-coefficient adequate? A sensitivity*  
143 *analysis could be produced.*

144 We have rewritten the conclusion part and added a special part “5.1 about the two  
145 above points that did not trigger debris flows” to fully illustrate this problem (Line  
146 502-509).

147  
148 *20) L484: please use “suitable” for “suit”*

149 *This has been amended.*

150  
151 *21) the variable “time” should be cited; that is, can you retrieve a decreasing*  
152 *number of occurrences since the year of the earthquake going forward in time?*  
153 *On the other hand, do the slopes experience a behavior at increasing resilience*  
154 *since the earthquake occurrence? In few words, soon after the event much mate-*  
155 *rial prone to sloping is retrievable and then the mass movement can be triggered*  
156 *by less heavy precipitations while, subsequently higher values could be required.*

157 Yes, you are right. Actually, the rainfall threshold in the earthquake-hit areas would  
158 increase after a certain time since the year of the earthquake. We have added a special  
159 part to discuss this problem (Line 532-542).

160

161  
162 **Response to Anonymous Referee #2:**  
163

164 *1) General comments:*

165  
166 *...In fact, the research developed by Zhuang et al. (2015) confirmed the decrease*  
167 *of the rainfall influence with the increase of time interval, and established an*  
168 *upper limit of 15 days. However, they found out that antecedent precipitation did*  
169 *not significantly affect the soil water content, thus, its influence for the triggering*  
170 *of debris flow was negligible. In fact, as several studies worldwide have demon-*

171 *strated, long-term antecedent precipitation is more likely to be related with*  
172 *deep-seated landslides. In this sense, I would suggest a further discussion about*  
173 *this issue as well as the uncertainties of the applied method.*

174 According to the previous studies, debris flow initiated is the result of the short dura-  
175 tion rainfall (10-min rainfall, 1-h rainfall for example) and the effective antecedent  
176 precipitations (Cui et al., 2007; Zhao, 2011; Guo, 2013; Zhuang, 2015). The precipi-  
177 tation intensity is a measure of the peak precipitation. At the same time, the duration  
178 of the peak precipitation is generally brief, lasting only up to tens of minutes. There-  
179 fore, 10-min precipitation intensity (maximum precipitation over a 10-minute period  
180 during the rainfall event) is selected as the stimulating rainfall for debris flow, which  
181 is appropriate and most representative. However, it is difficult to obtain such  
182 short-duration rainfall data in areas with scarcity of data which is just our research  
183 range. Therefore, this study considered the effective antecedent precipitation of 20  
184 days plus 1-h rainfall for the triggering of debris flow event. The significance role of  
185 the antecedent rainfall has been further clarified in corresponding parts of the manu-  
186 script, not only in “2.4 data collection and the characteristics of rainfall” (Line  
187 253-258), “4.2.1 the calculation of the antecedent precipitation index (API)” (Line  
188 433-441; Line 458-466), but also in the discussion section (Line 515-528).  
189

190 *2) Finally, I suggest a rereading of the text to find some minor mistakes. Only as*  
191 *an example: Page 4, line 98: assumptting; Page 24, line 481: methodological*

192 We have checked the whole manuscript thoroughly and amended the spelling errors.  
193  
194  
195  
196  
197

198 We wish that with the above revisions made, our manuscript can now be accepted for  
199 publication on *Nature Hazards and Earth System Sciences* soon. Please do not hesi-  
200 tate to contact me if you have any additional questions or comments.

201  
202 Looking forward to hearing from you.

203  
204 Regards

205  
206 JIANG Yuanjun  
207

208 **Rainfall threshold calculation for debris flow early**  
209 **warning in areas with scarcity of data**

210 **Hua-li Pan**<sup>1, 2</sup>, **Yuan-jun Jiang**<sup>1, 2, ✉</sup>, **Jun Wang**<sup>3</sup>, **Guo-qiang Ou**<sup>1, 2</sup>

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216 **Abstract:** Debris flows are one of the natural disasters that frequently occur in mountain ar-  
217 eas, usually accompanied by serious loss of lives and properties. One of the most used ap-  
218 proaches to mitigate the risk associated to debris flows is the implementation of early warning  
219 systems based on well calibrated rainfall thresholds. However, many mountainous areas have  
220 little data regarding rainfall and hazards, especially in debris flow forming regions. Therefore,  
221 the traditional statistical analysis method that determines the empirical relationship between  
222 rainstorm and debris flow events cannot be effectively used to calculate reliable rainfall  
223 threshold in these areas. After the severe Wenchuan earthquake, there were plenty of materi-  
224 als deposited in the gullies which resulted in lots of debris flow events subsequently. The trig-  
225 gering rainfall threshold has decreased obviously. To get a reliable and accurate rainfall  
226 threshold and improve the accuracy of debris flow early warning, this paper developed a  
227 quantitative method, which is suit for debris flow triggering mechanism in meizoseismal areas,  
228 to identify rainfall threshold for debris flow early warning in areas with scarcity of data based  
229 on the initiation mechanism of hydraulic-driven debris flow. First, we studied the characteris-  
230 tics of the study area, including meteorology, hydrology, topography and physical characteris-  
231 tics of the loose solid materials. Then, the rainfall threshold was calculated by the initiation  
232 mechanism of the hydraulic debris flow. The results show that the proposed rainfall threshold  
233 curve is a function of the antecedent precipitation index and 1-h rainfall. To test the proposed

234 method, we selected the Guojuanyan gully, a typical debris flow valley that during the  
235 2008-2013 period experienced several debris flow events and that is located in the meizo-  
236 seismic areas of Wenchuan earthquake, as a case study. We compared the calculated thresh-  
237 old with observation data, showing that the accuracy of the method is satisfying and thus can  
238 be used for debris flow early warning in areas with scarcity of data.

239 **Keywords:** Debris flow; rainfall threshold curve; rainfall threshold; areas with scarcity of  
240 data

## 241 **1 Introduction**

242 Debris flow is rapid, gravity-induced mass movement consisting of a mixture of water,  
243 sediment, wood and anthropogenic debris that propagate along channels incised on mountain  
244 slopes and onto debris fans (Gregoretti et al., 2016). It has been reported in over 70 countries  
245 in the world and often causes severe economic losses and human casualties, seriously  
246 retarding social and economic development (Imaizumi et al., 2006; Tecca and Genevois, 2009;  
247 Dahal et al., 2009; Liu et al., 2010; Cui et al., 2011; McCoy et al., 2012; Degetto et al., 2015;  
248 Tiranti and Deangeli, 2015; Hu et al., 2016). **Rainfall is an important component of debris  
249 flows and is the most active factor when debris flows occur, which also determines the  
250 temporal and spatial distribution characteristics of the hazards. As one of the important and  
251 effective means of non-engineering disaster mitigation, much attention has been paid to  
252 debris flow early warning by researchers (Pan et al., 2013; Guo et al., 2013; Zhou et al., 2014;  
253 Wei et al., 2017). For rainstorm debris flows, the precipitation and intensity of rainfall are the  
254 decisive factors of debris flow initiation, and a reasonable rainfall threshold target is essential  
255 to ensuring the accuracy of debris flow early warning. However, if there are some extreme  
256 events occurred, such as an earthquake, the rainfall threshold of debris flow may change a lot.  
257 Take the main earthquake-hit areas affected by the Wenchuan earthquake for example. In the  
258 several years since the earthquake, intensive rainfall events have triggered massive debris  
259 flows resulting in serious casualties and property loss, even in some of the gullies which have  
260 never had debris flow before. For example, the Guojuanyang gully, a small gully located in the  
261 meizoseismic areas of the big earthquake, has no debris flows under the annual average  
262 rainfall before 2008, but it became a debris flow gully after the earthquake under the same**



263 conditions, even the rainfall was smaller than the annual average rainfall. This indicates that  
264 earthquakes have a big influence on debris flow occurrence. The earthquake triggered many  
265 unstable slopes, collapses, and landslides, which have served as the source material for  
266 debris flow and shallow landslide in the years after the earthquake (Tang et al. 2009, 2012; Xu  
267 et al. 2012; Hu et al. 2014). Therefore, the rainfall threshold of debris flow post-earthquake  
268 is an important and urgent issue to study for debris flow early warning and mitigation.

269 As an important and effective means of disaster mitigation, debris flow early warning  
270 have received much attention from researchers. The rainfall threshold is the core of the debris  
271 flow early warning, on which have a great deal of researches yet (Cannon et al., 2008; Chen  
272 and Huang 2010; Baum and Godt, 2010; Staley et al., 2013; Winter et al., 2013; Zhou and Tang,  
273 2014; Segoni et al., 2015; Rosi et al 2015). Although the formation mechanism of debris flow  
274 has been extensively studied, it is difficult to perform distributed physically based modeling  
275 over large areas, mainly because the spatial variability of geotechnical parameters is very  
276 difficult to assess (Tofani et al., 2017). Therefore, many researchers (Wilson and Joyko, 1997;  
277 Campbell, 1975; Cheng et al., 1998) have had to determine the empirical relationship between  
278 rainfall and debris flow events and to determine the rainfall threshold depending on the  
279 combinations of rainfall parameters, such as antecedent rainfall, rainfall intensity, cumulative  
280 rainfall, et al.. Takahashi (1978), Iverson (1989) and Cui (1991) predicted the formation of  
281 debris flow based on studies of slope stability, hydrodynamic action and the influence of pore  
282 water pressure on the formation process of debris flow. Caine (1980) first statistically  
283 analyzed the empirical relationship between rainfall intensity and the duration of debris flows  
284 and shallow landslides and proposed an exponential expression ( $I = 14.82D^{-0.39}$ ). Afterwards,  
285 other researchers, such as Wieczorek (1987), Jison (1989), Hong et al. (2005), Dahal and  
286 Hasegawa (2008), Guzzetti et al. (2008) and Saito et al. (2010), carried out further research  
287 on the empirical relationship between rainfall intensity and the duration of debris flows,  
288 established the empirical expression of rainfall intensity - duration ( $I = D$ ) and proposed  
289 debris flow prediction models. Shied and Chen (1995) established the critical condition of  
290 debris flow based on the relationship between cumulative rainfall and rainfall intensity. Zhang  
291 (2014) developed a model for debris flow forecasting based on the water-soil coupling  
292 mechanism at the watershed scale. Tang et al. (2012) analyzed the critical rainfall of Beichuan

293 city and found that the cumulative rainfall triggering debris flow decreased by 14.8%-22.1%  
294 when compared with the pre-earthquake period, and the critical hour rainfall decreased by  
295 25.4%-31.6%. Chen et al. (2013) analyzed the pre- and post-earthquake critical rainfall for  
296 debris flow of Xiaogangjian gully and found that the critical rainfall for debris flow in 2011 was  
297 approximately 23% lower than the value during the pre-earthquake period. Other researches,  
298 such as Chen et al. (2008) and Shied et al. (2009) has reached similar conclusions that the  
299 post-earthquake critical rainfall for debris flow is markedly lower than that of the  
300 pre-earthquake period. Zhenlei Wei et al. (2017) investigated a rainfall threshold method for  
301 predicting the initiation of channelized debris flows in a small catchment, using field  
302 measurements of rainfall and runoff data.

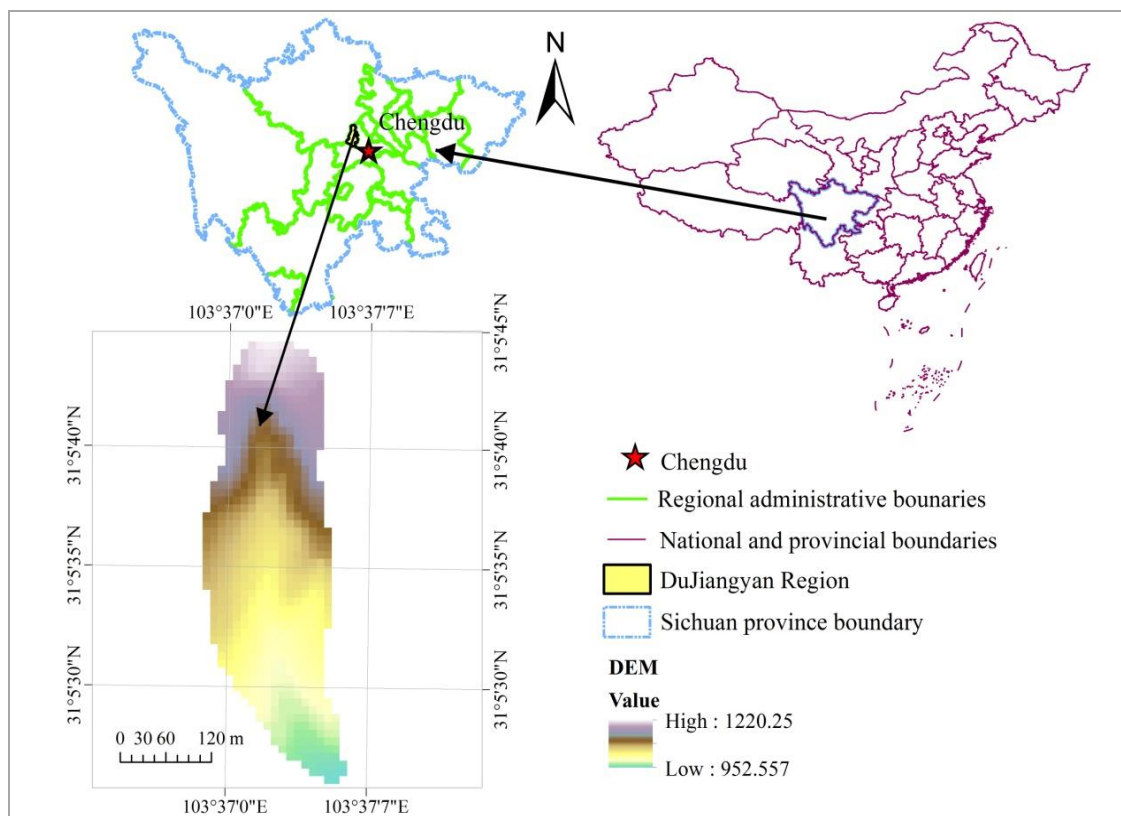
303 Overall, the studies on the rainfall threshold of debris flow can be summarized as two  
304 methods: the demonstration method and the frequency calculated method. The  
305 demonstration method employs statistical analysis of rainfall and debris flow data to study the  
306 relationship between rainfall and debris flow events and to obtain the rainfall threshold curve  
307 (Bai et al., 2008; Tian et al., 2008; Zhuang, et al., 2009). The I-D approaches would be this  
308 kind of method. This method is relatively accurate, but it needs very rich, long-term rainfall  
309 sequence data and disaster information; therefore, it can be applied only to areas with a  
310 history of long-term observations, such as Jiangjiagou, Yunnan, China, and Yakedake, Japan.  
311 The frequency calculated method, assuming that debris flow and torrential rain have the same  
312 frequency, and thus, debris flow rainfall threshold can be calculated based on the rainstorm  
313 frequency in the mountain towns where have abundant rainfall data but lack of disaster data  
314 (Yao, 1988; Liang and Yao, 2008). Researchers have also analyzed the relationship between  
315 debris flow occurrences and precipitation and soil moisture content based on initial debris  
316 flow conditions (Hu and Wang, 2003). However, this approach is rarely applied to the  
317 determination of debris flow rainfall thresholds because it needs series of rainfall data. Pan et  
318 al. (2013) calculated the threshold rainfall for debris flow pre-warning by calculating the  
319 critical depth of debrisflow initiation combined with the amount and regulating factors of  
320 runoff generation.

321 Most mountainous areas have little data regarding rainfall and hazards, especially in  
322 Western China. When a debris flow outbreak occurs, it often causes serious harm to villages,

323 farmland, transport centers and water conservation facilities in the downstream area. Neither  
324 the traditional demonstration method nor frequency calculated method can satisfy the debris  
325 flow early warning requirements in these areas. Therefore, how to calculate the rainfall  
326 threshold in these data-poor areas has become one of the most important challenges for the  
327 debris flow early warning systems. To solve this problem, this paper developed a quantitative  
328 method of calculating rainfall threshold for debris flow early warning in areas with scarcity of  
329 data based on the initiation mechanism of hydraulic-driven debris flows.

## 330 2 Study site

### 331 2.1 Location and gully characteristics of the study area



332

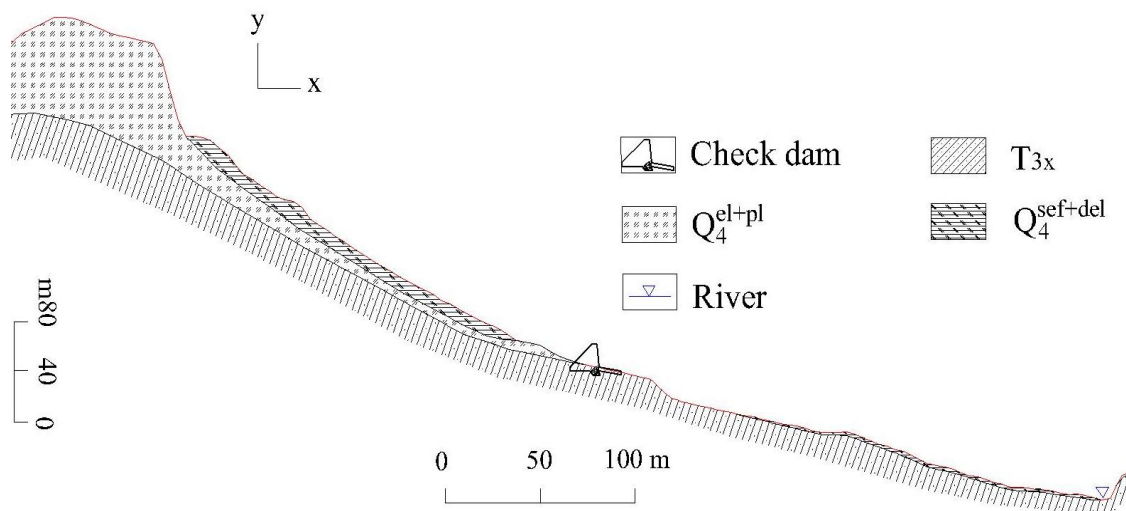
333

**Figure 1.** The location of the Guojuanyan gully

334 The Guojuanyan gully in Du Jiangyan city, located in the meizoseismal areas of the  
335 Wenchuan earthquake, China, was selected as the study area (Fig. 1). It is located at the  
336 Baisha River, which is the first tributary of the Minjiang River. The seismic intensity of the  
337 study area was XI, which was the maximum seismic intensity of the Wenchuan earthquake.

338 The Shenxi Gully Earthquake Site Park is at the right side of this gully. The area extends from  
 339  $31^{\circ}05'27''$  N to  $31^{\circ}05'46''$  N latitude and  $103^{\circ}36'58''$  E to  $103^{\circ}37'09''$  E longitude, covering an  
 340 area of 0.15 km<sup>2</sup> with a population of 20 inhabitants. The elevation range is from 943 m to  
 341 1222 m, the average gradient of the main channel is 270‰ (the average slope angle is 15.1°),  
 342 and the length of the main channel is approximately 580m.

343 Geologically, the Guojuanyan gully is composed of bedrock and Quaternary strata. The  
 344 bedrock is upper Triassic Xujiahe petrofabric ( $T_{3x}$ ) whose lithology is mainly sandstone;  
 345 mudstone; carbonaceous shale belonging to layered, massive structures; and semi solid-solid  
 346 petrofabric. The Quaternary strata are alluvium ( $Q_4^{el+pl}$ ), alluvial materials ( $Q_4^{pl+dl}$ ), landslide  
 347 accumulations and debris flow deposits ( $Q_4^{sef+del}$ ). The thickness of the Quaternary strata  
 348 ranges from 1 m to 20 m and varies greatly. The strata profile of the Guojuanyan gully is  
 349 shown in Fig. 2.



350  
 351 **Figure 2.** The strata profile of the Guojuanyan gully (Jun Wang et al, 2017)

352 Geomorphologically, the study area belongs to the Longmenshan Mountains. The famous  
 353 Longmenshan tectonic belt has a significant effect on this region, especially the Hongkou-  
 354 Yinxiu fault. The study area has strong tectonic movement and strong erosion, and the main  
 355 channel is “V”-shaped. The area is characterized by a rugged topography, and the main slope  
 356 gradient interval of the gully is 20° to 40°, accounting for 52.38% of the entire study area.

357 Climatically, this area has a subtropical and humid climate, with an average annual  
 358 temperature of 15.2°C and an average annual rainfall of 1200 mm (Wang et al., 2014).

359 **2.2 Materials and debris flow characteristics of the study area**

360 The Wenchuan earthquake generated a landslide in the Guojuanyan gully, leading to an  
 361 abundance of loose deposits that have served as the source materials for debris flows. A com-  
 362 parison of the Guojuanyan gully before and after the Wenchuan earthquake is shown in Fig. 3.  
 363 According to the field investigation and field tests, the landslide 3D characteristics induced by  
 364 the earthquake and the infiltration characteristics of the loose materials are shown in Table 1  
 365 and Table 2 (Wang et al., 2016). They indicate that the volume of materials is more than  $20 \times$   
 366  $10^4 \text{ m}^3$ , and the infiltration capable of the earth surface have much increased. Therefore, the  
 367 trigger rainfall for debris flow has decreased greatly. The Guojuanyan gully had no debris  
 368 flows before the earthquake because of the lack of loose solid materials before the earthquake;  
 369 however, it became a debris flow gully after the earthquake, and debris flows occurred in the  
 370 following years (Table 3). The specific conditions of these debris flow events were collected  
 371 through field investigations and interviews. The field investigations and experiments deter-  
 372 mined that the density of the debris flow was between 1.8 and 2.1 g/cm<sup>3</sup>. Unfortunately, there  
 373 were no rainfall data before 2011, when we started field surveys in the Guojuanyan gully.



374 (a) 14 September, 2006 (b) 28 June, 2008

375 **Figure 3.** The Guojuanyan gully before (a) and after the Wenchuan earthquake (b) (from Google Earth)

376 **Table 1.** The landslide 3D characteristics induced by the earthquake in the study area

Average length /m	Average width /m	Average Height /m	Average depth /m	Slope /°	Volume / $\times 10^4 \text{ m}^3$
160	80	180	15	$\geq 30$	20

378

379 **Table 2.** The infiltration characteristics of solid materials in the study area

Infiltration curve	Infiltration rate	
	Initial infiltration /cm/min	Stable infiltration /cm/min
$f = 0.6529 \cdot \exp(-0.057 \cdot t)$	3.52	0.34

380 **Table 3.** The specific conditions of debris flow events in the Guojuanyan gully after the earthquake

Time	Volume (10 <sup>4</sup> m <sup>3</sup> )	Surges	Rainfall data record
24 September, 2008	0.6	1	No
17 July, 2009	0.8	1	No
13 August, 2010	4.0	3	No
17 August, 2010	0.4	1	No
1 July, 2011	0.8	1	Yes
17 August, 2012	0.7	1	Yes
9 July, 2013	0.4	1	Yes
26 July, 2013	2.0	2	Yes
18 July, 2014	1.5	1	Yes

381

### 382 **2.3 Debris flow monitoring and streambed survey of the study area**

383 After the Wenchuan earthquake, continuous field surveillance was undertaken in the  
 384 study area. A debris flow monitoring system was also established in the study area. To identify  
 385 the debris flow events, this monitoring system recorded stream water depth, precipitation and  
 386 real-time video of the gully (Fig. 4). The water depth was measured using an ultrasonic level  
 387 meter, and precipitation was recorded by a self-registering rain gauge. The real-time video  
 388 was recorded onto a data logger and transmitted to the monitoring center, located in the In-  
 389 stitute of Mountain Hazards and Environment, Chinese Academy of Sciences. When a rain-  
 390 storm or a debris flow event occurs, the realtime data, including rainfall data, video record,  
 391 and water depth data, can be observed and queried directly in the remote client computer in  
 392 the monitoring center. Fig. 5 shows images taken from the recorded video. These data can be  
 393 used to analyze the rainfall or other characteristics, such as the 10-min, 1- and 24-h critical  
 394 rainfall. The recorded video is usually used to analyse the whole inundated process of debris  
 395 flow events and to identify debris flow events as well as the data from rainfall, flow depth, and  
 396 field investigation.





(a) Real-time camera and rain gauge (b) Ultrasonic level meters

**Figure 4.** Debris flow monitoring system in the study area

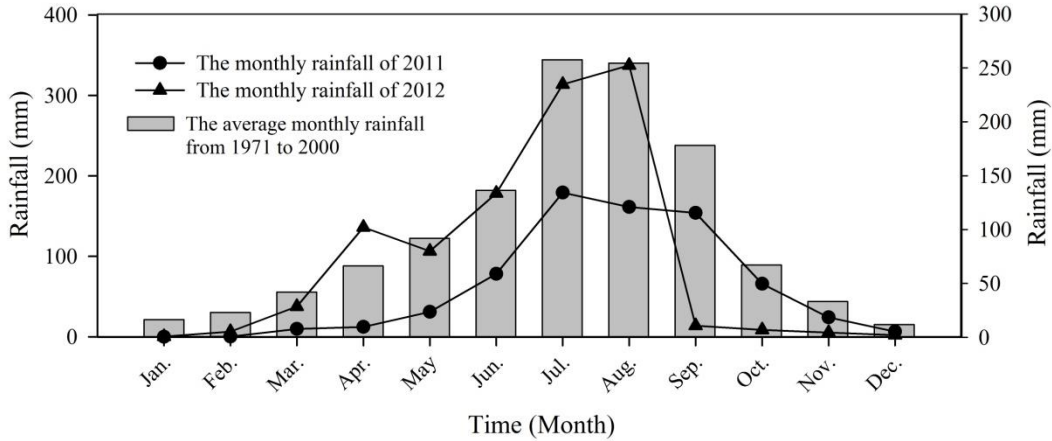


**Figure 5.** Real-time images from video taken during the debris flow movement

## 2.4 Data collection and the characteristics of rainfall

The Wenchuan earthquake occurred in the Longmenshan tectonic belt, located on the eastern edge of the Tibetan plateau, China, which is one of three rainstorm areas of Sichuan Province (Longmen mountain rainstorm area, Qingyi river rainstorm area and Daba mountain rainstorm area). Heavy rainstorms and extreme rainfall events occur frequently. Because there were few data in the mountain areas, we collected the rainfall data from 1971- 2000 and 2011-2012 (from our own on-site monitoring); the characteristics of the rainfalls are as following:

(1) Abundant precipitation: The average annual precipitation was 1177.3 mm from 1971 to 2000, and the average monthly precipitation is shown in Fig. 6. From 1971 to 2000, the minimum annual precipitation of 713.5 mm occurred in 1974, and the maximum annual precipitation of 1605.4 mm occurred in 1978. The total precipitation in 2012 is 1148mm, in the trend range of the historical data.



415

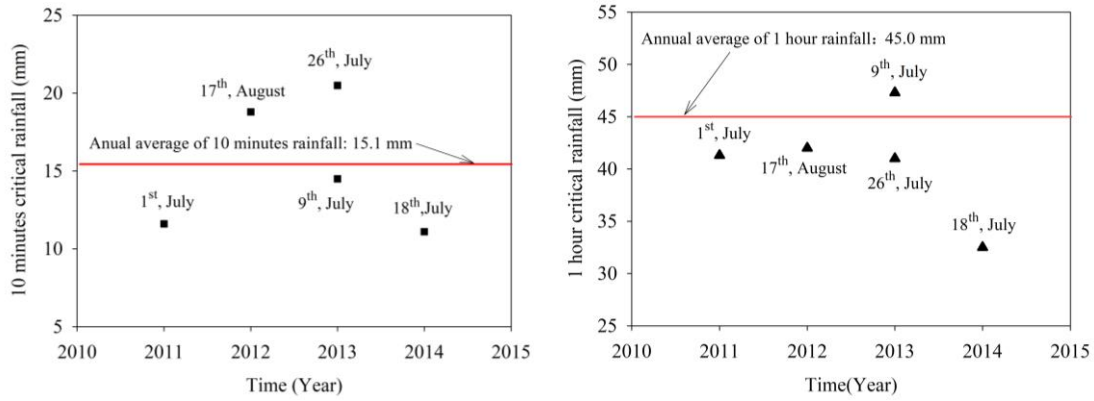
416 **Figure 6.** The average monthly precipitation of the Guojuanyan gully from 1971 to 2000 and the  
 417 monthly rainfall of 2011 and 2012

418 (2) Severely inhomogeneous distribution of precipitation in time: from Fig. 6 we can ob-  
 419 serve that rainfall is seasonal, with approximately 80% of the total rainfall occurring during  
 420 the monsoon season (from June to September) and the other 20% in other seasons. And the  
 421 laws of monthly rainfall in 2011 and 2012 coincide to the historical data. For instance, in 2012,  
 422 the total annual rainfall in this area was approximately 1148 mm, and rainfall in the monsoon  
 423 season from June to September was 961 mm, accounting for 83.7% of the annual total.

424 (3) Due to the impact of the atmospheric environment, the regional and annual distribu-  
 425 tion of rainfall is seriously inhomogeneous; moreover, the rainfall intensity has great differ-  
 426 ences. From 1971 to 2000, the maximum monthly rainfall was 592.9 mm, the daily maximum  
 427 rainfall was 233.8 mm, the hourly maximum rainfall was 83.9 mm, the 10-min maximum  
 428 rainfall was 28.3 mm, and the longest continuous rainfall time was 28 days.

429 Debris flow field monitoring data and on-site investigation data were used to identify the  
 430 debris flow events and to analyze the characteristics of the rainfall pattern and the critical  
 431 rainfall characteristics. Analysing the typical rainfall process curves (Fig. 13), we can find that  
 432 the hourly rainfall pattern of the Guojuanyan gully is the peak pattern, displaying the single  
 433 peak and multiplex, a characteristic of short-duration rainstorms. Through the statistical  
 434 analysis of the 10-min, 1-, and 24-h critical rainfall of debris flow events after the earthquake,  
 435 their characteristics can be obtained, as shown in Fig. 7.

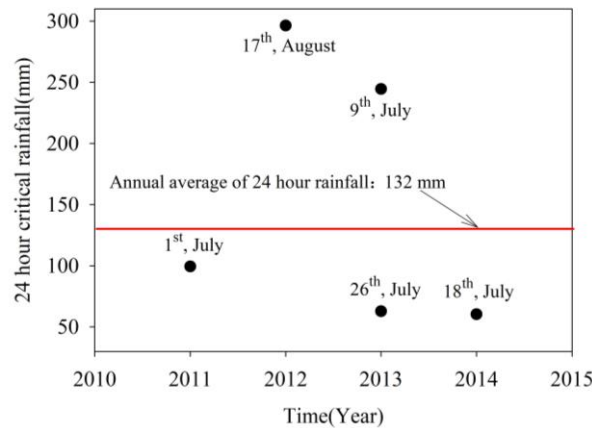




436

437

(a) The 10-min critical rainfall (b) The 1-h critical rainfall



438

439

(c) The 24-h critical rainfall

440

**Figure 7.** The critical rainfall of debris flows in the Guojuanyan gully

441

Fig. 7a shows that the observed 10-min critical rainfall is between 11.1 mm and 21.5 mm. According to the Sichuan Hydrology Record Handbook (Sichuan Water and Power Department 1984), the annual average of maximum 10-min rainfall of the study area is approximately 15.1 mm (from 1940-1975). According to the observation, 60% of debris flow events occurred below the annual average 10-min rainfall. In addition, the 1-h critical rainfall varied between 34.5 mm and 47.3 mm in the study area (Fig. 7b). And the annual average of maximum 1-h rainfall is 45.0 mm (from 1940-1975) based on the Sichuan Hydrology Record Handbook (Sichuan Water and Power Department 1984). Figure 10b shows that 80% debris flow events occurred below the annual average 1-h rainfall, except for the debris flow event occurred on July 9, 2013. At last, the minimum value of 24-h critical rainfall is 60.4 mm and the maximum value is 296.4 mm in the study area. According to the Sichuan Hydrology Record Handbook (Sichuan Water and Power Department 1984), the annual average of maxi-

452

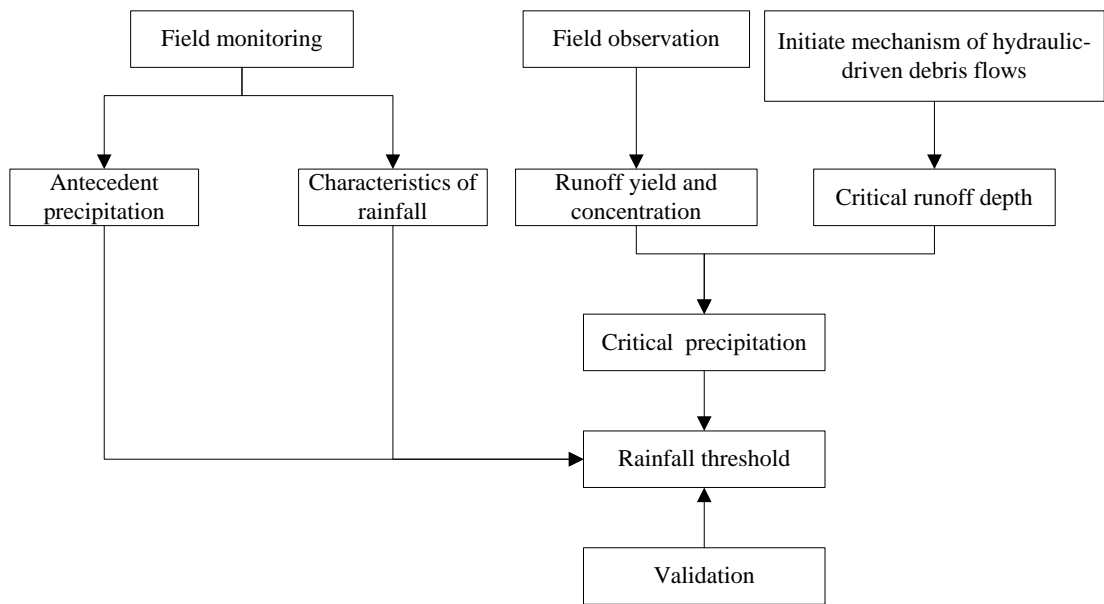
453 mum 24-h rainfall is 132 mm (from 1940-1975). From Fig. 7c, we can see that 24-h critical  
454 rainfall for different debris flow events vary widely and 60% debris flow events occurred be-  
455 low the annual average 24-h rainfall.

456 From the above study, we can find that the 10-min and the 1-h critical rainfalls of  
457 different debris flow events have minor differences; however, the 24-h critical rainfalls vary  
458 widely. The reason is that debris flow is usually triggered by short-duration rainstorms.  
459 Therefore, the short-durations of 10-min and 1-h rainfall have higher correlation with debris  
460 flow occurrence and have the minor differences. Actually, the 10-min rainfall intensity  
461 (maximum precipitation over a 10-min period during the rainfall event) is the most  
462 appropriate index for early warning of debris flow, which is most representative and has  
463 minor error. However, it is difficult to obtain such short-duration rainfall data in actual debris  
464 flow gullies because long-term rainfall monitoring system do not exist in most debris flow  
465 basins especially in areas with scarcity of data. Further analyzing the 10-min and 1-h critical  
466 rainfalls, we can find that they vary with the antecedent precipitation index ( *API* ). They are  
467 variable rather than constant. In this paper, the antecedent precipitation index ( *API* ) and the  
468 1-h rainfall (  $I_{60}$  ) were used to calculate the rainfall threshold curve of debris flows in the  
469 Guojuanyan gully.

### 470 **3 Materials and methods**

471 This study makes an attempt to analyze the trigger rainfall threshold for debris flow by  
472 using the initiation mechanism of debris flow. Firstly, to analyze the rainfall characteristics of  
473 the watershed by using the field monitoring data; then to calculate the runoff yield and con-  
474 centration progress based on field observation. Additionally, the critical runoff depth to initi-  
475 ate debris flow was calculated by the initiation mechanism with the underlying surface condi-  
476 tion (materials, longitudinal slope, etc.) of the gully. Then, the corresponding rainfall for the  
477 initiation of debris was back-calculated based on the stored- full runoff generation. At last,  
478 these factors were combined to build the rainfall threshold model. This method can be applied  
479 to the early warning system in the areas with scarcity of rainfall data.

480 The flow chart of the research is shown in Fig. 8.



481  
482

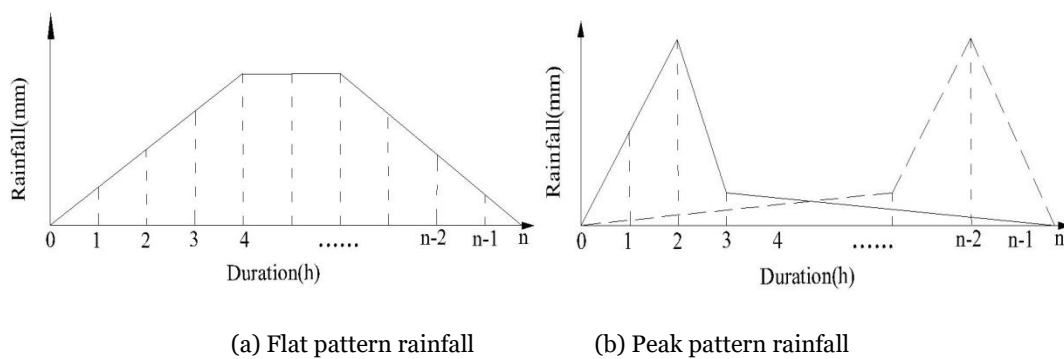
483 **Figure 8.** The flow chart of the research

484 The main influence factors for the formation of debris flow event include three parts: a  
 485 steep longitudinal slope of the gully (served as potential energy condition), abundant solid  
 486 materials (source condition) and water source condition (usually is rainfall condition for  
 487 rainstorm debris flow). For rainstorm debris flow events, the precipitation and intensity of  
 488 rainfall are the decisive factors of debris flow initiation. Where if there is no earthquakes or  
 489 other extreme events, the topography of the gully can be considered relatively stable. In  
 490 contrast, rainfall conditions and the distribution of solid materials that determine the  
 491 occurrence of debris flows can display temporal and spatial variation within the same  
 492 watershed. Therefore, it is common to provide warning of debris flows based rainfall data  
 493 after assessing the supply and distribution of loose solid materials. In Takahashi's model, the  
 494 characteristics of soil, such as the porosity and the hydraulic conductivity of soils, are not  
 495 considered, and considered the characteristic particle size and the volume concentration of  
 496 sediment; while the characteristics of topography is mainly represented by the longitudinal  
 497 slope of the gully. Furthermore, in the stored-full runoff model, the maximum storage  
 498 capacity of watershed, which mainly decided by the porosity and permeability of the soil, may  
 499 represent the characteristic of the hydraulic conductivity of solid material to a certain extent.  
 500 Therefore, this study wouldn't consider the hydraulic conductivity any more.

501 **3.1 Rainfall pattern and the spatial-temporal distribution characteristics**

502 Mountain hazards such as debris flows are closely related to rainfall duration, rainfall  
 503 amount and rainfall pattern (Liu et al., 2009). Rainfall pattern not only affects the formation  
 504 of surface runoff but also affects the formation and development of debris flows. Different  
 505 rainfall patterns result in different soil water contents; thus, the internal structure of the soil,  
 506 stress conditions, shear resistance, slip resistance and removable thickness can vary. The ini-  
 507 tiation of a debris flow is the result of both short-duration heavy rains and the antecedent  
 508 rainfall (Cui et al., 2007; Guo et al., 2013). Many previous observational data have shown that  
 509 the initiation of a debris flow often appears at a certain time that has a high correlation with  
 510 the rainfall pattern (Rianna et al., 2014; Mohamad Ayob Mohamadi, 2015).

511 The precipitation characteristics not only affect the formation of runoff, also affect the  
 512 formation and development of the debris flow. Different rainfalls result in different soil water  
 513 contents, and thus the internal structure of the soil, stress conditions, corrosion resistance  
 514 and slip resistance can vary (Pan et al., 2013). Based on the rainfall characteristics, rainfall  
 515 patterns can be roughly divided into two kinds, the flat pattern and the peak pattern, as shown  
 516 in Fig. 9. If the rainfall intensity has little variation, there is no obvious peak in the whole  
 517 rainfall process; such rainfall can be described as flat pattern rainfall. If the soils characterized  
 518 by low hydraulic conductivity, this kind of rainfall no longer time spans are relevant for mass  
 519 movements. And the debris flows, if occur, are mainly caused by the great amount of effective  
 520 antecedent precipitation. While if the rainfall intensity increases suddenly during a certain  
 521 period of time, the rainfall process will have an obvious peak and is termed peak pattern rain-  
 522 fall. If the hydraulic conductivity is high enough, the rainfall can totally enter the soil and  
 523 mass can move easily. These debris flows are mainly controlled by the short-duration heavy  
 524 rains. Peak pattern rainfall may have one peak or multi-peak (Pan, et al., 2013).



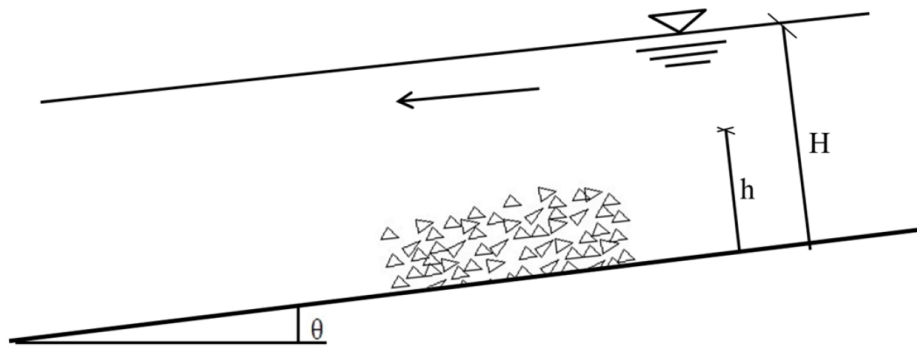
527 **Figure 9.** The diagram of rainfall patterns

528 Through analyzing the rainfall data of the Guojuanyan gully, the rainfall pattern and the  
 529 spatial-temporal distribution characteristics can be obtained.

### 530 3.2 The rainfall threshold curve of debris flows

#### 531 3.2.1 The initiation mechanism of hydraulic-driven debris flows

532 When the watershed hydrodynamics, which include the runoff, soil moisture content and  
 533 the discharge, reach to a certain level, the loose deposits in the channel bed will initiate  
 534 movement and the sediment concentration of the flow will increase, leading the sediment  
 535 laden flow to transform into a debris flow. The formation of this kind of debris flow is a com-  
 536 pletely hydrodynamic process. Therefore, it can be regarded as the initiation problem of de-  
 537bris flow under hydrodynamic force. The forming process of hydraulic-driven debris flows is  
 538 shown in Fig. 10.



539

540 **Figure 10.** The typical debris flow initiate model

541 According to Takahashi's model, the critical depth for hydraulic-driven debris flows is:

$$542 \quad h_0 = \left[ \frac{C_* (\sigma - \rho) \tan \phi}{\rho \tan \theta} - \frac{C_* (\sigma - \rho)}{\rho} - 1 \right] d_m \quad (1)$$

543 where  $C_*$  is the volume concentration obtained by experiments(0.812);  $\sigma$  is the unit weight of  
 544 loose deposits (usually is 2.65 g/cm<sup>3</sup>);  $\rho$  is the unit weight of water,1.0 g/cm<sup>3</sup>;  $\theta$  is the longi-  
 545 tudinal slope of the channel (°);  $\phi$  is the internal friction angle (°) and can be measured by  
 546 shear tests ; And  $d_m$  is the average grain diameter (mm), which can be expressed as:

$$547 \quad d_m = \frac{d_{16} + d_{50} + d_{84}}{3} \quad (2)$$

548 where  $d_{16}$ ,  $d_{50}$  and  $d_{84}$  are characteristic particle sizes of the loose deposits (mm), whose  
549 weight percentage are 16%, 50% and 84% separately.

550 Takahashi's model became one of the most common for the initiation of debris flow after  
551 it was presented. A great deal of related studies was published based on Takahashi's model  
552 later. Some discussed the laws of debris flow according to the geomorphology and the water  
553 content while others examined the critical conditions of debris flow with mechanical stability  
554 analysis. However, Takahashi's relation was determined for debris flow propagating over a  
555 rigid bed, hence, with a minor effect of quasi-static actions near the bed. Lanzoni et al. (2017)  
556 slightly modified the Takahashi's formulation of the bulk concentration, which considered the  
557 long-lasting grain interactions at the boundary between the upper, grain inertial layer and the  
558 underlying static sediment bed, and validated the proposed formulation with a wide dataset of  
559 experimental data (Takahashi, 1978, Tsubaki et al., 1983, Lanzoni, 1993, Armanini et al.,  
560 2005). The effects of flow rheology on the basis of velocity profiles are analyzed with attention  
561 to the role of different stress-generating mechanisms.

562 This study aims to the initiation of loose solid materials in the gully under surface runoff;  
563 the interactions on the boundary are not involved. Therefore, Takahashi's model can be used  
564 in this study.

### 565 **3.2.2 Calculation of watershed runoff yield and concentration**

566 The stored-full runoff, one of the modes of runoff production, is also called as the super  
567 storage runoff. The reason of the runoff yield is that the aeration zone and the saturation zone  
568 of the soil are saturated by rainfall. In the humid and semi humid areas where rainfall is  
569 plentiful, because of the high groundwater level and soil moisture content, the loss of precipi-  
570 tation is no longer increased with the rains continue, after meet plant interception and infil-  
571 tration, which produces a wide range of surface runoff. The Guojuanyan gully is located in Du  
572 Jiangyan city, which is in a humid area. Therefore, stored-full runoff is the main pattern run-  
573 off producing mechanism in this gully, and this runoff yield pattern is used to calculate the  
574 watershed runoff. That is, it is supposed that the water storage can reach the maximum stor-  
575 age capacity of the watershed after each heavy rain. It is common used in the humid and semi  
576 humid areas in China to analyze the runoff yield mechanism. Therefore, the rainfall loss in

577 each time  $I$  is the difference between the maximum water storage capacity  $I_m$  and the soil  
 578 moisture content before the rain  $P_a$ . Hence, the water balance equation of stored-full runoff is  
 579 expressed as follows (Ye, et al., 1992):

$$580 \quad R = P - I = P - (I_m - P_a) \quad (3)$$

581 where  $R$  is the runoff depth (mm);  $P$  is the precipitation of one rainfall (mm);  $I$  is the rain-  
 582 fall loss (mm);  $I_m$  is the watershed maximum storage capacity (mm) for a certain watershed,  
 583 it is a constant for a certain watershed that can be calculated by the infiltration curve or infil-  
 584 tration experiment data. In this study,  $I_m$  has been picked up from Handbook of rainstorm  
 585 and flood in Sichuan (Sichuan Water and Power Department 1984); and  $P_a$  is the antecedent  
 586 precipitation index, referring to the total rainfall prior to the 1-hour peak rainfall leading to  
 587 debris flow initiation.

588 Eq. 5 can be expressed as follows:

$$589 \quad P + P_a = R + I_m \quad (4)$$

590 The precipitation intensity is a measure of the peak precipitation. At the same time, the  
 591 duration of the peak precipitation is generally brief, lasting only up to tens of minutes. There-  
 592 fore, 10-minute precipitation intensity (maximum precipitation over a 10-minute period dur-  
 593 ing the rainfall event) is selected as the stimulating rainfall for debris flow, which is appropri-  
 594 ate and most representative. However, it is difficult to obtain such short-duration rainfall data  
 595 in areas with scarcity of data. Therefore, in this study,  $P$  and  $P_a$  are replaced by  $I_{60}$  (1 hour  
 596 rainfall) and  $API$  (the antecedent precipitation index), respectively; thus, Eq. 6 is expressed  
 597 as:

$$598 \quad I_{60} + API = R + I_m \quad (5)$$

599 In the hydrological study, the runoff depth  $R$  is:

$$600 \quad R = \frac{W}{1000F} = \frac{3.6 \sum Q \cdot \Delta t}{F} = \frac{3.6Q}{F} \quad (6)$$

601 where  $R$  is the runoff depth (m);  $W$  is the total volume of runoff (m<sup>3</sup>);  $F$  is the watershed area  
 602 (km<sup>2</sup>);  $\Delta t$  is the duration time, in this study it is 1 hour; and  $Q$  is the average flow of the water-  
 603 shed (m<sup>3</sup>/s), which can be expressed as follows:

$$604 \quad Q = BVh_0 \quad (7)$$

605 where  $B$  is the width of the channel (m),  $V$  is the average velocity (m/s) and  $h_0$  is the critical  
 606 depth (m).

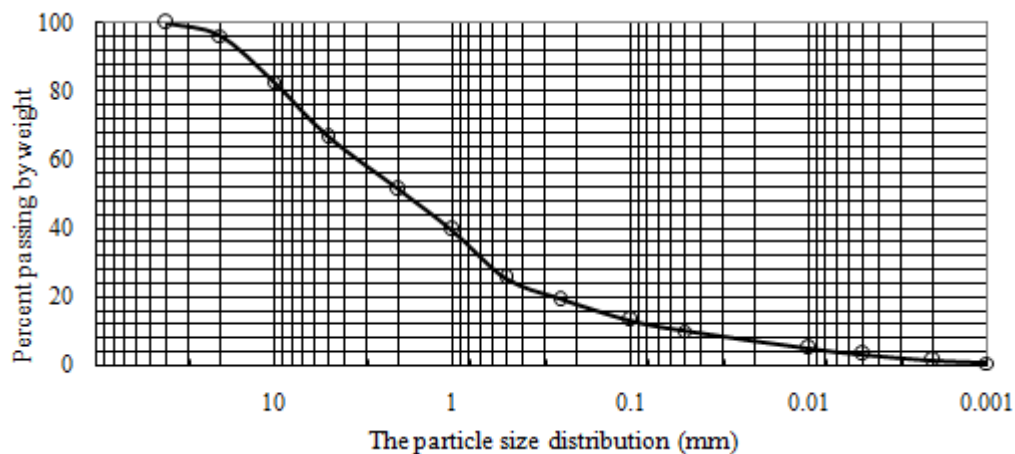
607 Eq. 5 is the expression of the rainfall threshold curve for a watershed, which can be used  
 608 for debris flow early warning. This proposed rainfall threshold curve is a function of the ante-  
 609 cedent precipitation index ( $API$ ) and 1-hour rainfall ( $I_{60}$ ), which is a line and a negative  
 610 slope.

## 611 4 Results

### 612 4.1 The rainfall threshold curve of debris flow

#### 613 4.1.1 The critical depth of the Guojuanyan gully

614 The grain grading graph (Fig. 11) is obtained by laboratory grain size analysis experi-  
 615 ments for the loose deposits of the Guojuanyan gully. Figure 11 shows that the characteristic  
 616 particle sizes  $d_{16}$ ,  $d_{50}$ ,  $d_{84}$  and  $d_m$  are 0.18 mm, 1.9 mm, and 10.2 mm, 4.1 mm, respective-  
 617 ly. According to Eq. (1), the critical depth ( $h_0$ ) of the Guojuanyan gully is 7.04 mm.



618  
 619 **Figure 11.** The grain grading graph of the Guojuanyan gully

620 **Table 4.** Critical water depth of debris flow triggering in Guojuanyan gully

$C_*$	$\sigma$ (g/cm <sup>3</sup> )	$\rho$ (g/cm <sup>3</sup> )	$\theta$ (°)	$\tan \theta$	$d_{16}$ (mm)	$d_{50}$ (mm)	$d_{84}$ (mm)	$d_m$ (mm)	$\phi$ (°)	$\tan \phi$	$h_0$ (mm)
0.812	2.67	1.0	18.42	0.333	0.18	1.9	10.2	4.1	21.21	0.388	7.04

#### 621 4.1.2 The rainfall threshold curve of debris flow



622 Taking the cross-section at the outlet of the debris flow formation region as the computa-  
 623 tion object, based on the field investigations and measurements, the width of the cross-section  
 624 is 20 m, and the average velocity of debris flows which is calculated by the several debris flow  
 625 events, is 1.5m/s. Based on the Handbook of rainstorm and flood in Sichuan (Sichuan Water  
 626 and Power Department 1984), the watershed maximum storage capacity ( $I_m$ ) of the  
 627 Guojuanyan gully is 100mm. According to Eq. (5) - Eq. (7), the calculated rainfall threshold  
 628 curve of debris flow in the Guojuanyan gully is shown in Table 5.

629 **Table 5.** The calculated process of the rainfall threshold

Watershed	$h_0$ (mm)	$B$ (m)	$V$ (m/s)	$Q$ (m <sup>3</sup> /s)	$\Delta t$ (h)	$F$ (km <sup>2</sup> )	$R$ (mm)	$I_m$ (mm)	$R + I_m$ (mm)
Guojuanyan	7.04	20.0	1.5	0.197	1	0.11	6.9	100	106.9

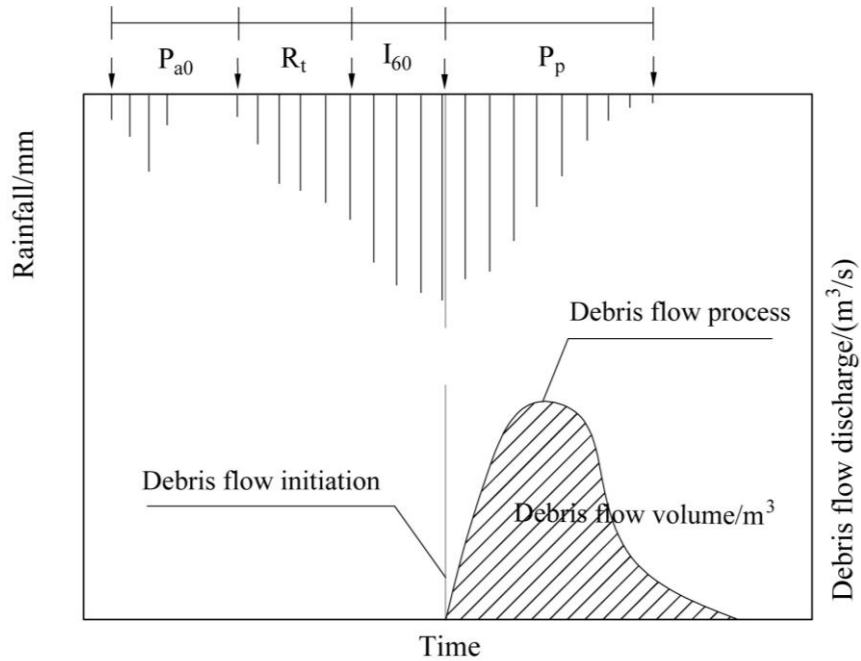
630 From the calculated results, we can conclude the rainfall threshold of the debris flow is  
 631  $I_{60} + API = R + I_m = 106.9 \approx 107$  mm; that is, when the sum of the antecedent precipitation in-  
 632 dex ( $API$ ) and the 1 hour rainfall ( $I_{60}$ ) reaches 107 mm (early warning area), the gully may  
 633 trigger debris flow.

## 634 4.2 Validation of the results

### 635 4.2.1 The calculation of the antecedent precipitation index ( $API$ )

636 The rainfall factor influencing debris flows consists of three parts: indirect antecedent  
 637 precipitation (IAP) (it is  $P_{a0}$  in this paper), direct antecedent precipitation (DAP) (it is  $R_t$  in this  
 638 paper), and triggering precipitation (TP) (it is  $I_{60}$  in this paper). The relationships among them  
 639 are shown in Figure 12. Obviously, IAP increases soil moisture and decreases the soil stability,  
 640 and DAP saturates soils and thus decrease the critical condition of debris flow occurrence.  
 641 Although TP is believed to initiate debris flows directly, its contribution amounts to only 37%  
 642 of total water (Cui et al. 2007). Guo et al (2013) analyzed the rainstorms and debris flow  
 643 events during June and September in 2006 and 2008, there were 208 days with antecedent  
 644 rainfall more than 10mm, approximately 57% days of the rain season. Among them, there  
 645 were 66 days with antecedent rainfall between 10-15mm, and 1 debris flow event happened;

646 53 days between 15-20 mm and 4 debris flow events happened; 28 days between 20-25 mm  
 647 and 4 debris flow events happened; 30 days between 25-33 mm and 5 debris flow happened;  
 648 and 35 days more than 33mm and 9 debris flow events happened. So, this group of data can  
 649 specifically illustrate the importance of the antecedent rainfall to the debris flow events.



650  
 651

Figure 12. Rainfall index classifications

652 As Fig. 12 shows, take 1-h rainfall ( $I_{60}$ ) that obtained from the observed data of the  
 653 Guojuanyan gully for the TP. The antecedent precipitation index ( $API$ ) includes IAP and  
 654 DAP, calculated as the following expression (Zhao, 2011; Guo, 2013; Zhuang, 2015):

$$655 \quad API = P_{a0} + R_t \quad (8)$$

656 where  $P_{a0}$  is the effective antecedent precipitation (mm) and  $R_t$  is the direct antecedent precip-  
 657 itation (mm), which is the precipitation from the beginning of the rainfall that trigger debris  
 658 flow to the 1 hour before the debris flow.

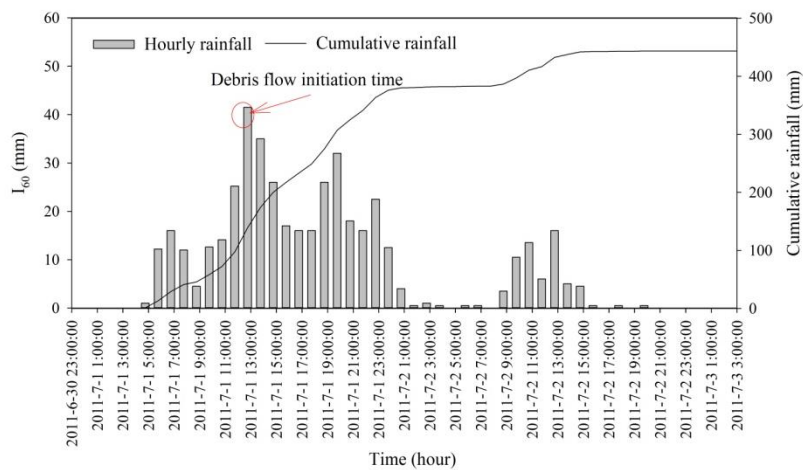
659 It's difficult to study the influence of antecedent rainfall to debris flow as it mainly relies  
 660 on the heterogeneity of soils (strength and permeability properties), which makes it hard to  
 661 measure the moisture. Usually, the frequently used method for calculating antecedent daily  
 662 rainfall is the weighted sum equation as below (Crozier and Eyles 1980; Glade et al. 2000):

$$663 \quad P_{a0} = \sum_1^n P_i \cdot K_i \quad (9)$$

664 Where  $P_i$  is the daily precipitation in the  $i$ -th day proceeding to the debris flow event

665  $(1 \leq i \leq n)$  and  $K_i$  is a decay coefficient due to evaporation and geomorphological condi-  
666 tions of the soil. The value of the  $K$  can be determined by the test of soil moisture content  
667 based on Eq.9 in the watershed. The effect of a rainfall event usually diminishes with the time  
668 going forward. Different patterns of storm debris flow gullies require different numbers of  
669 previous indirect rainfall days, which can be determined by the relationship between the  
670 stimulating rainfall and the antecedent rainfall of a debris flow (Pan, et al., 2013). If the rain-  
671 fall is sharp and heavy, the initiation of debris flow would mainly be determined by DAP and  
672 TP, while the influence of the antecedent precipitation would be decreased, and vice versa.  
673 Generally, a typical rainstorm debris flow gully requires no more than 20 days of antecedent  
674 rainfall.

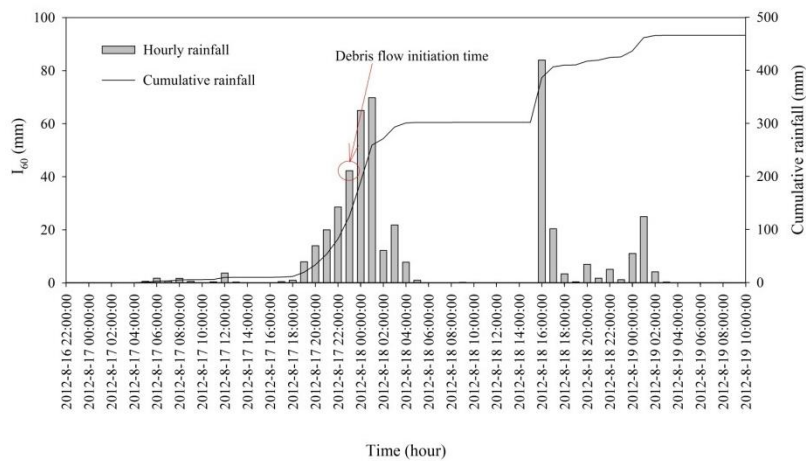
675 **4.2.2 The rainstorm and debris flow events in the Guojuanyan gully during**  
676 **2010-2014**



677

(a)

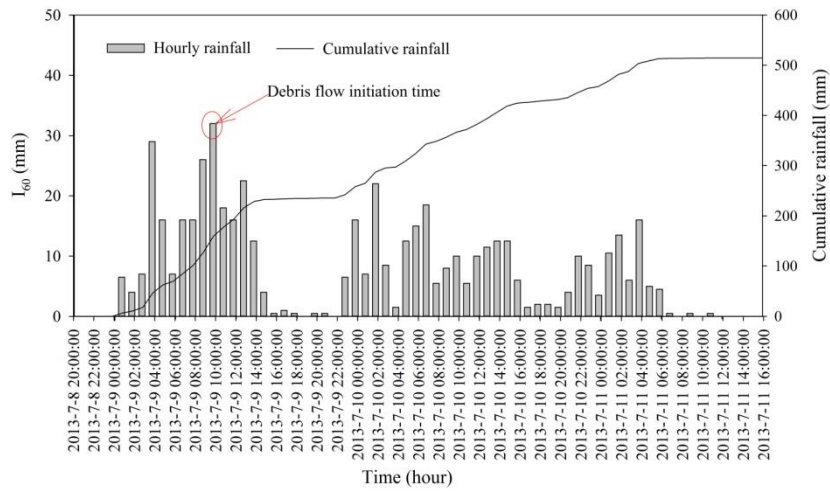
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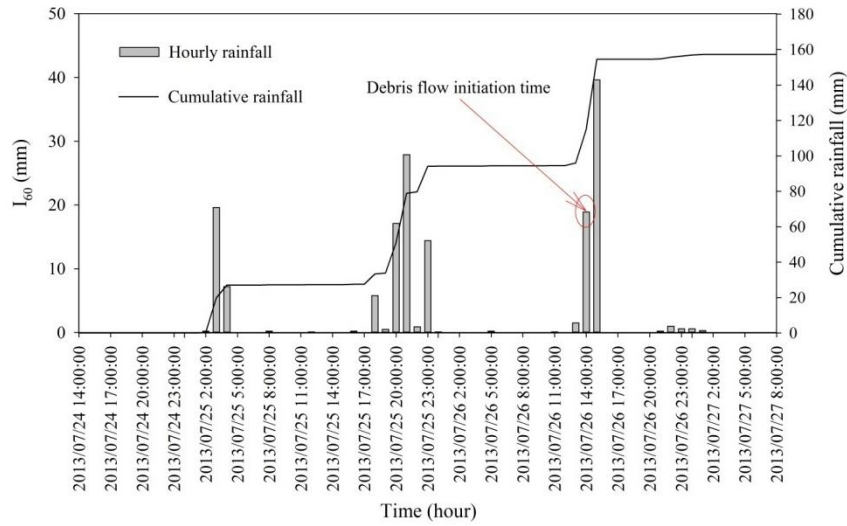
(b)



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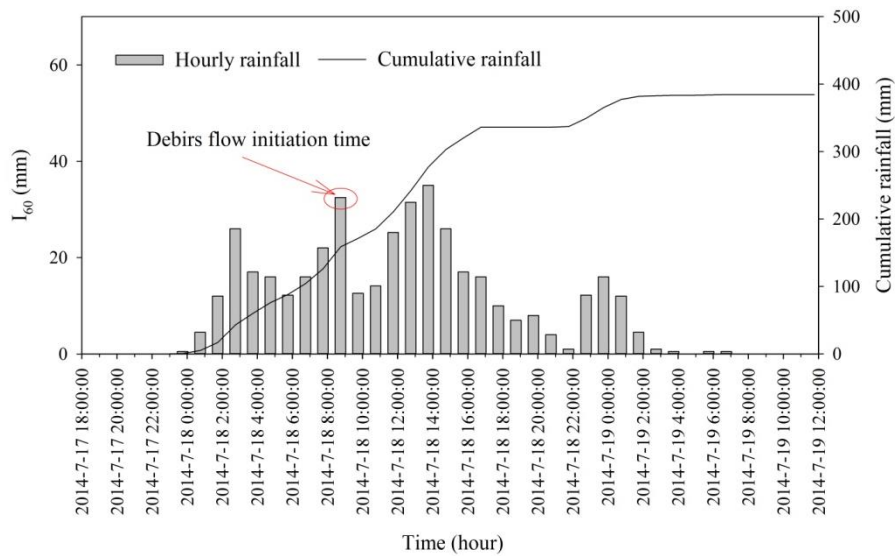
(c)



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684

(d)



685

686

(e)

687 **Figure 13.** The rainfall process of debris flow vents in the Guojuanyan gully from 2011 to 2014 (a, July  
688 1, 2011; b, August 17, 2012; c, July 9, 2013; d, July 26, 2013; e, July 18, 2014)

689 Table 3 shows that debris flows occurred almost every year after the earthquake. Based  
690 on the field tests and experience, the value of  $K$  and  $n$  in Eq.9 are identified as 0.8 and 20  
691 days separately (Cui et al. 2007). Thus, the duration and intensity of the 1-h triggering rain-  
692 fall and cumulative rainfall for the typical rainstorms are shown in Table 6.

693 In addition to the rainfall process of the 5 debris flow events (Fig. 13), some typical rain-  
694 falls whose daily rainfall were greater than 50 mm but did not trigger a debris flow were also  
695 calculated; the greatest 1-h rainfall is considered as  $I_{60}$  (Table 6).

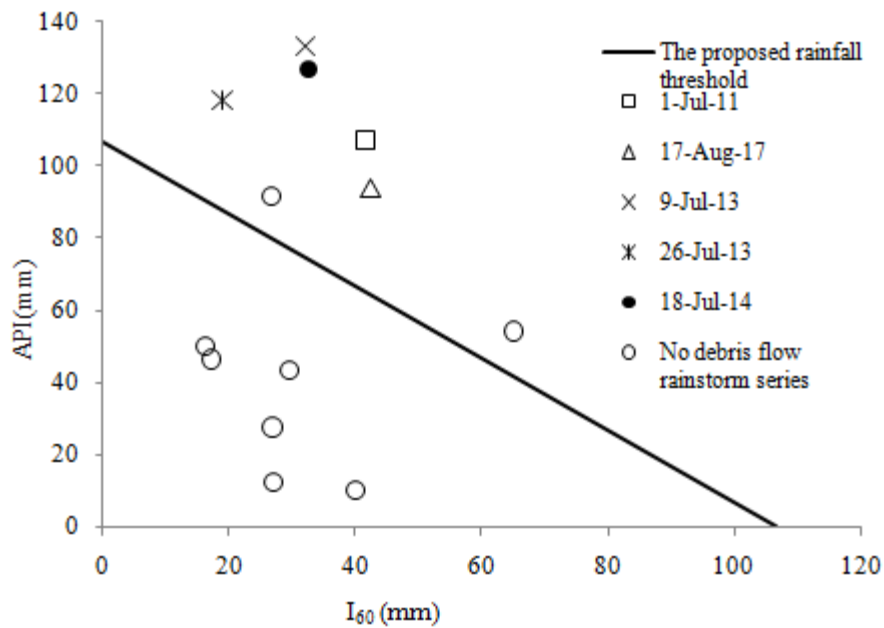
696 **Table 6.** The data of typical rainfall in the Guojuanyan gully after the earthquake

Time	Daily rainfall (mm)	$Pa_0$ (mm)	$R_t$ (mm)	$API$ (mm)	$I_{60}$ (mm)	$API+I_{60}$ (mm)	Location to the threshold line	Triggered debris flow
1 July, 2011		9.7	97.6	107.3	41.5	148.8	Above	Yes
17 August , 2012		12.1	81.9	94.0	42.3	136.3	Above	Yes
9 July , 2013		5.7	127.5	133.2	32	165.2	Above	Yes
26 July , 2013		22.4	96.0	118.4	18.9	137.3	Above	Yes
18 July, 2014		10.7	116.2	126.9	32.5	159.4	Above	Yes
20 August , 2011	82.8	8.5	19.0	27.5	26.8	54.3	Below	No
5 September , 2011	52.1	48.7	1.2	49.9	16.2	66.1	Below	No
16 June , 2012	55.8	5.6	6.6	12.2	27.0	39.2	Below	No
3 August , 2012	148.3	7.5	84.3	91.8	26.7	118.5	Above	No
18 August , 2012	125.7	54.3	0	54.3	65.0	119.3	Above	No
18 June , 2013	50.6	6.2	3.8	10.0	40.0	50.0	Below	No
28 July , 2013	59.4	13.4	30.0	43.4	29.4	72.8	Below	No
6 August , 2013	56.1	12.4	34.0	46.4	17.1	63.5	Below	No

697

698 The proposed rainfall threshold curve is a function of the antecedent precipitation index  
699 ( $API$ ) and 1-h rainfall ( $I_{60}$ ), which is a line and a negative slope. Fig. 14 shows that the calcu-  
700 lated values  $I_{60} + API$  of debris flow events in the Guojuanyan gully are all above the rainfall  
701 threshold curve, while most of the rainstorms that did not trigger debris flow are lay below the  
702 curve. That is, the proposed rainfall threshold curve is reasonable through the validation by  
703 rainfall and hazards data of the Guojuanyan gully.

704



705  
706 **Figure 14.** The proposed rainfall threshold curve of debris flow in the Guojuanyan gully

707 **5 Discussions**

708 **5.1 About the two above points that did not trigger debris flows**

709 The proposed rainfall threshold curve is a function of the antecedent precipitation index  
710 ( $API$ ) and the 1-h rainfall ( $I_{60}$ ), which has been validated by rainfall and hazards data and  
711 can be applied to debris flow early warning and mitigation. However, in Figure 14, there are  
712 two points above the curve that did not trigger debris flow at all. Although we have highlight-  
713 ed the significance and interconnect of antecedent rainfall, critical rainfall, 1 h triggering  
714 rainfall, as well as their accurate determination before the hour of debris flow triggering, it  
715 should be noticed that the rainfall is only the triggering factor of debris flows. A comprehen-  
716 sive warning system must contain more environmental factors, such as the geologic and geo-  
717 morphologic factors, the distribution of source areas. The special and complex formative en-  
718 vironment of debris flow after earthquake caused the rainfall threshold is much more complex  
719 and uncertain. The rainfall threshold of debris flow varies with the antecedent precipitation  
720 index ( $API$ ), rainfall characteristics, amount of loose deposits, channel and slope characteris-  
721 tics, and so on. Therefore, we should further study the characteristics of the movable solid  
722 materials, the shape of gully, and so on to modify the rainfall threshold curve.

723 On the other hand, restricted by the limited rainfall data, this study was validated by only

724 5 debris flow events. Furthermore, as the initiation depth in distinct watershed is different  
725 from each other because of the different topography and loose solid materials, hence the rain-  
726 fall threshold is independent for each watershed. While most of debris flow gullies in Wen-  
727 chuan earthquake affected areas with scarcity of rainfall data and disaster data, therefore, the  
728 approach proposed in this study hasn't been validated by other gullies except the Guojuanyan  
729 gully so far. Figure 13 and Figure 14 indicated that the only 5 debris flow events all triggered  
730 by the rainfalls with high-intensity and short-duration. As mentioned before, the influence of  
731 the antecedent rainfall in this kind of debris flow is relatively less. However, it still can't ignore  
732 the significance role of the antecedent precipitation. Due to safety concerns, in the universali-  
733 ty calculation of rainfall threshold for debris flow, it must fully consider the antecedent pre-  
734 cipitation. Therefore, the days count for antecedent rainfall in this study is selected as 20. Of  
735 course, the value of the curve should be further validated and continuously corrected with  
736 more rainfall and disaster data in later years.

## 737 **5.2 Further studies about the debris flow early warning in earthquake-hit** 738 **areas**

739 It should be noted that the methodological proposal of this study is based on the physical  
740 process of debris flow initiation and involves modeling with physical characteristics of the  
741 loose solid materials which served by the landslides triggered by earthquake; therefore, it's  
742 suitable for the areas with scarcity of data especially the earthquake affected areas.

743 Actually, the times of debris flow events happened in the earthquake-hit areas were de-  
744 creasing from 2014 on; there was even no debris flow event at all in Guojuanyan gully. Mainly  
745 because of the unstable slopes as well as the materials are decreasing with the times go by.  
746 Therefore, the rainfall threshold would increase accordingly. However, it may need a long  
747 time, perhaps 15-20 years, according to the experiences in other earthquake-hit areas, such as  
748 Chi-Chi earthquake, to recover to the normal value. Hence, the rainfall threshold is not a con-  
749 stant value but changing with time.

## 750 **6 Conclusions**

751 (1) In the Wenchuan earthquake-stricken areas, loose deposits are widely distributed,

752 causing dramatic changes on the environmental development for the occurrence of debris  
753 flow; thus, the debris flow occurrence increased dramatically in the subsequent years. The  
754 characteristics of the 10-min, 1-h and 24-h critical rainfalls were represented based on a com-  
755 prehensive analysis of limited rainfall and hazards data. The statistical results show that the  
756 10-min and 1-h critical rainfalls of different debris flow events have minor differences; how-  
757 ever, the 24 hour critical rainfalls vary widely. The 10-min and 1-h critical rainfalls have a no-  
758 tably higher correlation with debris flow occurrences than to the 24-h critical rainfalls.

759 (2) The rainfall pattern of the Guojuanyan gully is the peak pattern, both single peak and  
760 multi-peak. The antecedent precipitation index (*API*) was fully explored by the antecedent  
761 effective rainfall and stimulating rainfall.

762 (3) As an important and effective means of debris flow early warning and mitigation, the  
763 rainfall threshold of debris flow was determined in this paper, and a new method to calculate  
764 the rainfall threshold is put forward. Firstly, the rainfall characteristics, hydrological charac-  
765 teristics, and some other topography conditions were analysed. Then, the critical water depth  
766 for the initiation of debris flows is calculated according to the topography conditions and  
767 physical characteristics of the loose solid materials. Finally, according to the initiation mecha-  
768 nism of hydraulic-driven debris flow, combined with the runoff yield and concentration laws  
769 of the watershed, this study promoted a new method to calculate the debris flow rainfall  
770 threshold. At last, the hydrological condition for the initiation of a debris flow is the result of  
771 both short-duration heavy rains ( $I_{60}$ ) and the antecedent precipitation index (*API*). The  
772 proposed approach resolves the problem of debris flow early warning in areas with scarcity  
773 data, can be used to establish warning systems of debris flows for similar catchments in areas  
774 with scarcity data although it still need further modification. This study provides a new  
775 thinking for the debris flow early warning in the mountain areas.

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