

## Response to reviewer comments

on the manuscript no.: nhess-2017-264

### Scale and spatial distribution assessment of rainfall-induced landslides along mountain roads

revised for publication in

Natural Hazards and Earth System Sciences

by

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First of all, we wish to thank the two reviewers for their valuable comments to the manuscript and constructive suggestions that significantly improved the manuscript. In this revised version of the paper, we have tried our best to address the comments and incorporate as much of reviewers' recommendations. Our detailed reply to two reviewers' comments are reported below. Except this response, we prepared two manuscript files, one with "track changes", another is clean version.

#### **Reviewer 1**

##### **General comments**

C1: The paper proposes an analysis of landslide susceptibility in a mountain area, crossed by a road and affected by landslides triggered by typhoons. The topic could be interesting to NHESS readers, if some issues are more clearly presented, in particular, the aims, the used methods (in a right temporal sequence), and expected results.

R1: We have confirmed the reviewer's comments and the aims, the used methods and results have been reorganized in the revised manuscript. Detailed responses are listed in specific comments and technical corrections, respectively.

##### **Specific comments**

C2: Please define clearly what is the aim of scale assessment.

R2: The scale of landslide in our study is defined as landslide area. The scale assessment aims to understand the relationship between the natural environment and the spatial distribution of the landslide areas. Related descriptions are also supplemented in the fourth paragraphs of introduction, as shown in P.3, Ln.15-18 of the revised manuscript.

C3: The terminology should be checked and made uniform, with reference to the following terms: causal factors, predisposing factors, impact factors, landslide-inducing factors.

R3: Done, the term "predisposing factors" was used throughout in the revised manuscript for consistency (P.6, Ln.3, Ln.5; P.7, Ln.13, Ln.16, Ln.23; P.8, Ln.3, Ln.4, Ln.5, Ln.9; P.11, Ln.1, Ln.2, Ln.3; P.13, Ln.3, Ln.10; P.15, Ln.8, Ln.9, Ln.10, Ln.11; P.18, Ln.5, Ln.13).

C4: Reference description is not well presented, sometimes redundant, sometimes limited. Too repetition of "studies, many studies, previous studies, several studies, early research". I suggest to discuss methods and procedures available in literature, avoiding to refer to single reference with expression as, for example, X et al. used [...], Y et al. described [...], Z et al. utilized [...]. The introduction and especially the literature discussion (pages 2 and 3) about the landslide susceptibility assessment methods must be reorganized and rewritten using a clearly and well-ordered structure.

R4: Done, the literature review in the Introduction has been entirely reorganized and rewritten, we follow reviewer's suggestions to use a clearly and well-ordered structure to demonstrate related references, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C5: Aims, procedures and expected results are not clearly defined either in introduction either in methodological section. It is not clear if the study is only the highway or the whole catchment crossed by road; the study area seems to be the road according to title, but the final susceptibility map, in figure 4, is referred to the whole area. So the presence of the road is negligible at the aim of the analysis. The title does not reflect clearly the contents of the paper.

R5: We have changed the title of this paper to "Scale and spatial distribution assessment of rainfall-induced landslides in a catchment with mountain roads" to reflect the contents of the paper.

C6: Please, rephrase the paragraph 3, adding more information and details about the study area.

R6: Done, we added more information like climate, rainfall conditions and road information of study area in the revised manuscript (P.9, Ln.12-21).

C7: About methodology, does maximum likelihood method have any disadvantages? Was the error associated with this automatic image interpretation technique calculated?

R7: The maximum likelihood method may be unsatisfactory for data with a non-normal distribution (Otukei and Blaschke, 2010), and could possible cause part error of automatic image interpretation.

Otukei, J. R. and Blaschke, T.: Land cover change assessment using decision trees, support vector machines and maximum likelihood classification algorithms, International Journal of Applied Earth Observation and Geoinformation, 12(1), S27-S31, doi: 10.1016/j.jag.2009.11.002, 2010.

C8: Please rewrite the paragraph 2.2 in order to describe more clearly the MHEM method.

R8: Done, we supplemented more descriptions of the MHEM method (paragraph 2.4 in the revised manuscript), as shown in P.7, Ln.13-14, Ln.23-24 of the revised manuscript.

C9: I suggest to reconsider the title, because the analysis was not performed only along the road but in the surrounding territory and the image interpretation does not emerge from the title. It is not completely coherent with the contents of the paper.

R9: Done, we have changed the title of this paper.

C10: Please, reorganize the paper, by separating the description of methodology from the discussion of results. There are too much paragraphs that make confusing and difficult the readability and understanding of performed analyses, in particular from paragraph 5.2 onwards.

R10: Done, we moved the calculation formula of instability index and probability from section 5.2 to the methodology section 2.4, as shown in P.8, Ln.22 - P.9, Ln.6 of the revised manuscript. And the methods were rearranged in a right temporal sequence (2.1 Maximum likelihood; 2.2 Accuracy assessment; 2.3 Rainfall analysis method; 2.4 MHEM). For the results, we moved original section 6.2 "Investigation of rainfall factors and instability index" to section 5.3 for better readability.

### **Technical corrections**

C11: Page 1 line 8: please, move "Typhoons" at the end of the sentence.

R11: Done, we have moved "Typhoons" to the end of the sentence (P.1, Ln.9).

C12: Page 1 line 10: "topographic changes" or "surface changes" instead of "changes in slope surface".

R12: Done, "changes in slope surface" was replaced by "surface changes", as shown in P.1, Ln.10 of the revised manuscript.

C13: Page 1 line 10: "A multivariate statistical method" instead of "The multivariate hazard evaluation method".

R13: Done, the sentence has been modified in the revised manuscript (P.1, Ln.10).

C14: Page 1 lines 11-12: Please, rephrase the sentence. The evaluation of landslide locations and relationship between landslide and predisposing factors is preparatory for assessing and mapping landslide susceptibility.

R14: Done, the sentence was rephrased in the revised manuscript (P.1, Ln.11-12).

C15: Page 1 line 26: please, replace "occurrence distribution" with "distribution of existing landslides" and "a set of predisposing factors such as geo-environmental thematic variables" with "a set of geo-environmental predisposing factors".

R15: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C16: Page 1 line 27: "sediment disaster" is not an appropriate expression; please, replace it with landslides.

R16: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C17: Page 2 lines 1-3: Please modify the terminology used in this sentence. "predisposing factors" instead of "potential causes" and "triggering factors" instead of "impetuses".

R17: Done, we changed the term usage in the revised manuscript (P.6, Ln.3, Ln.5; P.7, Ln.13, Ln.16, Ln.23; P.8, Ln.3, Ln.4, Ln.5, Ln.9; P.11, Ln.1, Ln.2, Ln.3; P.13, Ln.3, Ln.10; P.15, Ln.8, Ln.9, Ln.10, Ln.11; P.18, Ln.5, Ln.13).

C18: Page 2 lines 7-8: This sentence is a repetition.

R18: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C19: Page 2 lines 9-10: please add references about model uncertainty evaluation, for example: Wang X, Frattini P, Crosta GB, Zhang L, Agliardi F, Lari S, Yang Z. 2014. Uncertainty assessment in quantitative rockfall risk assessment. Landslides. 11:711–722.

R19: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C20: Page 2 line 10: explain what is the meaning of scale in this study: size, intensity of landslide?

R20: The scale of landslide in our study is defined as landslide area.

C21: Page 2 line 11: This sentence is a repetition.

R21: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C22: Page 2 lines 12-13: The meaning of this sentence is unclear.

R22: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C23: Page 2 lines 14-16: This sentence is a repetition.

R23: The literature review in the introduction has been entirely reorganized and rewritten, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C24: Page 2: I suggest to add some reference about AHP method (1), multivariate statistical methods (2) and landslide susceptibility assessment along roads (3): (1) Kayastha P., Dhital M.R., De Smedt F. 2013. Application of the analytical hierarchy process (AHP) for landslide susceptibility mapping: A case study from the Tinau watershed, west Nepal. *Computers Geosciences*, 52: 398-408 (1) Zhang G., Cai Y., Zheng Z., Zhen J., Liu Y., Huang K. 2016. Integration of the Statistical Index Method and the Analytic Hierarchy Process technique for the assessment of landslide susceptibility in Huizhou, China. *CATENA*, 142: 233-244. (2) Carrara A, Crosta G, Frattini P. 2008. Comparing models of debris-flow susceptibility in the alpine environmental. *Geomorphology*. 94:353-378. (2) Pellicani R, Frattini P, Spilotro G. 2014. Landslide susceptibility assessment in Apulian Southern Apennine: heuristic vs. statistical methods. *Environ Earth Sci*. 72:1097-1108. doi: 10.1007/s12665-013-3026-3 (3) Pellicani R, Spilotro G, Van Westen CJ. 2016. Rockfall trajectory modelling combined with heuristic analysis for assessing the rockfall hazard along the Maratea SS18 coastal road (Basilicata, southern Italy). *Landslides*. 13:985-1003. (3) Pantelidis L. 2011. A critical review of highway slope instability risk assessment systems. *Bull Eng Geol Environ*. 70:395-400. (3) Devkota KC, Regmi AD, Pourghasemi HR, Yoshida K, Pradhan B. 2013. Landslide susceptibility mapping using certainty factor, index of entropy and logistic regression models in GIS and their comparison at Mugling-Narayanghat road section in Nepal Himalaya. *Nat Hazards*. 65:135-165. doi: 10.1007/s11069-012-0347-6 (3) Pellicani R., Argentiero I., Spilotro G. (2017) GIS-based predictive models for regional-scale landslide susceptibility assessment and risk mapping along road corridors. *Geomatics, Natural Hazards and Risk*, 1-22. DOI: 10.1080/19475705.2017.1292411.

R24: Done, the literature review in the introduction has been entirely rewritten and incorporated all the references suggested by reviewer, as shown in P.1, Ln.25 - P.2, Ln.30 of the revised manuscript.

C25: Page 3 line 34: It is not clear how and from where the location of landslides was extracted? Are existing or potential landslides?

R25: The location of landslides was extracted from existing landslides of satellite images and verified by some field surveys.

C26: Page 5 line 13: please replace “risk” with “susceptibility”.

R26: Done, we replaced “risk” with “susceptibility”, as shown in P.7, Ln.12 of the revised manuscript.

C27: Page 5 lines 14-15: Please avoid repetitions: variability, variance.

R27: Done, we simplified the description in the revised manuscript (P.7, Ln.12-13).

C28: Page 5 lines 18-19: Please rewrite this sentence using a correct terminology, “cell” or “pixel” instead of “grid” and “class” instead of “grade”.

R28: Done, the terminology has been replaced by reviewer's suggestions in the revised manuscript (P.7, Ln.19, Ln.20, Ln.22; P.8, Ln.4; Ln.5, Ln.6, Ln.8, Ln.12; P.11, Ln.10-11, Ln.14, Ln.15, Ln.19, Ln.26, Ln.28; P.12, Ln.2, Ln.4, Ln.8, Ln.11, Ln.14, Ln.20, Ln.21, Ln.28, Ln.29; P.13, Ln.17, Ln.18-19, Ln.20, Ln.23-24; P.14, Ln.22, Ln.23, Ln.25; P.15, Ln.10-11, Ln.13, Ln.14, Ln.15, Ln.16, Ln.17-18, Ln.19, Ln.20-21, Ln.22, Ln.26; P.16, Ln.3, Ln.6, Ln.7-8, Ln.10, Ln.11, Ln.15, Ln.16, Ln.22, Ln.23).

C29: Page 5 lines 22-23: Please rewrite this sentence, a confusing terminology has been used (causal factor, impact factor, grades).

R29: Done, the term “predisposing factors” was used throughout in the revised manuscript for the consistency and the term “grades” was replaced by “classes”.

C30: Page 6: Which is the difference between factor weight and graded score? It is not clear.

R30: For clarity, we replaced the “graded score” to “the normalized score value of classes for each factor”, as shown in P.7, Ln.23-24 of the revised manuscript. The “factor weight” represents the weight of each factor which is determined by the rank of its variance.

C31: Page 8 line 13: why 0.9?

R31: We added a citation (Chen et al., 2005) for the adoption of  $k=0.9$ , P.6, Ln.22 in the revised manuscript.

Chen, C. Y., Chen T. C., Yu F. C., Yu W. H., Tseng C. C.: Rainfall duration and debris-flow initiated studies for real-time monitoring, *Environ. Geol.*, 47, 715–724, DOI 10.1007/s00254-004-1203-0, 2005.

C32: Page 8 line 17: Is EAR expressed in mm?

R32: Yes, the unit of EAR is mm.

C33: Page 8 line 21: Is Ir expressed in mm/h?

R33: Yes, the unit of  $I_R$  is mm/h.

C34: Page 8 line 26: What is the meaning of rolling hours?

R34: In our study, the average rainfall intensity  $I_R$  is calculated in a continuous three hours interval then the calculated time interval moving one hour ahead. For example, the first  $I_{3R}$  is the average rainfall intensity for 1-3 hours, then the second  $I_{3R}$  is calculated in 2-4 hours, etc.

C35: Page 10 line 6: "thematic map of predisposing factors" instead of "map of the natural environment".

R35: Done, this sentence has been modified in the revised manuscript (P.10, Ln.26).

C36: Page 10 line 9: please make uniform the terminology, as for example causal factors, predisposing factors, impact factors, landslide-inducing factors, etc.

R36: Done, in the revised manuscript, the term "predisposing factors" was used throughout for consistency.

C37: Page 10 lines 21-22-26: please, modify "grid" and "grades".

R37: Done, the terminology has been revised throughout in the revised manuscript.

C38: Page 10 line 27: explicit the values of the six categories.

R38: Based on windward and leeward, the aspects were classified into six categories as following table:

South	$157.5^\circ \sim 202.5^\circ$
Southeast	$112.5^\circ \sim 157.5^\circ$
Southwest	$202.5^\circ \sim 247.5^\circ$
East	$67.5^\circ \sim 112.5^\circ$
West	$247.5^\circ \sim 292.5^\circ$
Northeast	$22.5^\circ \sim 67.5^\circ$
Northwest	$202.5^\circ \sim 247.5^\circ$
North	$337.5^\circ \sim 22.5^\circ$
Flat	—

C39: Page 11 line 4: what is the meaning of "geological strength"? The geological map should be classified into classes corresponding to different formations or lithological units.

R39: According to the corresponding compression strengths of the geological lithological properties, this study classified the geological features with such lithological properties by referring to the relationship between compression strength and strength level proposed by ISRM (1981) and conducted level encoding as shown in the following Table.

Geological term	Characteristics	Strength Level	Class no.
Terrace Accumulation	gravel, clay, soil, sand	extremely weak	1
Liji layer_Kenting Layer	Foreign rocks in mudstone (badlands terrain)	extremely weak	1
Alluvial Layer	Soil, sand, gravel	very weak	2
Takangkou Formation	shale, sandstone, conglomerate rock	weak	3
Lushan Layer, Sule Layer	Hard shale, slate, phyllite, hard sandstone	Medium strong	4
Bilushan Layer	Shale, quartz sandstone in phyllite	Medium strong	4
Tananao Schists	Black schist, green schist, siliceous schist	strong	5
Duran Mountain	Agglomerate, tuffaceous sandstone, limestone, convex mirror body	Very strong	6

(modified from ISRM, 1981 and Chen et al., 2009)

ISRM, Rock Characterization Testing and Monitoring-ISRM Suggested Method, Pergamon, London, 1981.

C40: Page 11 line 9: define the analysis function.

R40: Done, more clear information of the analysis function has been added in the revised manuscript (P.11, Ln.30; P.12, Ln.7).

C41: Page 11 lines 10 and 16: explicit the six classes.

R41: The terrain roughness and slope roughness were classified into six categories as shown in the following table:

Class no.	Terrain roughness	Class no.	Slope roughness
6	367-523	6	0-11
5	523-674	5	11-20
4	674-950	4	20-28
3	950-1035	3	28-34
2	1035-1231	2	34-41
1	1231-1472	1	41-56

C42: Page 11 lines 18 and 20: give more information about two factors.

R42: Done, more information was supplemented in the revised manuscript (P.12, Ln.10, Ln.13).

C43: Page 11 line 21: Land disturbance looks like a reclassified land use map. The highest score of disturbance is assigned to bare land, why not to roads and buildings? This is a qualitative attribution, it should be written somewhere.

R43: Based on the tendency to promote landslides, the index of land disturbance was developed (Chen, et al., 2009). The land disturbance in this paper can represent the changes of surface conditions including roads, buildings, crops, bare land, and vegetation. In these factors, we consider bare land has the highest tendency to promote landslide. We supplemented descriptions in the revised manuscript (P.12, Ln.16-17).

## **Reviewer 2**

### **General comments**

C1: The authors propose in this paper an assessment of landslide susceptibility in a mountain area in Taiwan. The manuscript, which can be interesting for people studying relations between landslide susceptibility and hydrology, has several problems that can be improved after a minor revision. Readers more interested with interactions between natural hazards and roads stay more on the sidelines.

R1: We have confirmed and addressed the reviewer's comments in the revised manuscript. Detailed responses are listed in specific comments and technical corrections, respectively.

### **Specific comments**

C2: The state of the art of the methods to evaluate factors influencing landslides in the Introduction is well detailed but can be better structured.

R2: The literature reviews in the Introduction especially landslide susceptibility assessment have been entirely reorganized and rewritten for better readability (P.1, Ln.25 - P.2, Ln.30 in the revised manuscript).

C3: I suggest to add more information / specifications about the study area (surface, length, meters above and under the road path, etc.) and the road (type, traffic, closure consequences, length, history, etc.).

R3: Done, more descriptions of study area and road were supplemented in the revised manuscript (P.9, Ln.12-21).

C4: The presence of the "road" term in the title does not well represent the manuscript content. It should be more focused about the road.

R4: We have modified the title of this paper to "Scale and spatial distribution assessment of rainfall-induced landslides in a catchment with mountain roads" to proper reflect the contents of the paper.

C5: Please define and describe the "landslide" term used in this paper (area, volume, depth, geology, etc.). What kind of landslides do you consider?

R5: In this paper, the term "landslide" is more focus on landslide area. Among the different types of slope failure, debris slides are the easiest and most reliably detected on satellite images in heavily vegetated terrain such as in the mountainous areas of Taiwan. This is because they effectively strip off the vegetation from the slopes, making them readily discernible. Debris slides are, therefore, the major landslides mapped in this study. In cases where vegetation of deep-seated landslides was also stripped off they were also included in the landslide inventory of this study. However, soil slips, soil slides, and debris slides that evolved into debris flows were excluded from our inventory because a clear distinction between the geometry of the source, transport, and depositional areas was not always possible.

C6: There are too much subchapters (2.3.1, 2.3.2, etc.), too much figures and tables in my opinion. I suggest to move some of them in appendices (as Table 9 for instance). Please try to reduce the number of subchapters and keep only the really relevant Figures and Tables for the comprehension of the manuscript.

R6: We removed Table 4 and Table 5, and moved Table 9 to appendices. Besides, the subchapters have been reorganized by using the Arabic numerals 1, 2...etc. instead of sections 2.3.1 and 2.3.2...etc (as shown in P.5, Ln.12, Ln.18; P.6, Ln.6; P.7, Ln.1; P.11, Ln.2; P.13, Ln.1 in the revised manuscript).

### **Technical corrections**

C7: Page 1, line 18: mm value for the annually rainfall is wrong, it should be: 2'506 mm and not 2.506 mm.

R7: Done, we corrected this mistake (P.1, Ln.20 in the revised manuscript).

C8: Page 3, line 23: Are really images consistent in quality? Clouds, shadows, etc.

R8: We modified the description (P.3, Ln.4-5 in the revised manuscript).

C9: Page 3, line 23: Studies have indicated... Which studies?

R9: We added the references (P.3, Ln.7 in the revised manuscript).

C10: Page 3, line 1: The results of this study could serve as a reference... Maybe too presumptuous.

R10: We removed this sentence in the revised manuscript (P.3, Ln.18-19).

C11: Page 4, line 18: Please define x.

R11: Actually, a few of variable "X" was mistyped as "x". We corrected this mistake in the revised manuscript (P.4, Ln.4, Ln.13).

C12: Page 5, line 5: Please define k.

R12: We have added the definition of k in the revised manuscript. (P.4, Ln.21).

C13: Page 6, line 9: Please define d.

R13: We have added the definition of d in the revised manuscript. (P.7, Ln.23-24 in the revised manuscript).

C14: Page 6, line 25: In the table: Please add the Table number.

R14: Done, we added the table number (P.5, Ln.5 in the revised manuscript).

C15: Page 7, line 5: Please give the complete name of OA.

R15: Done, we added the complete name of OA (P.5, Ln.12 in the revised manuscript).

C16: Page 7, line 15: Please give explanation of  $X_{i+}$ ,  $X_{+i}$ ,  $X_{ii}$ .

R16: Done, we added the explanation of  $X_{i+}$ ,  $X_{+i}$  and  $X_{ii}$ , respectively (P.5, Ln.16-17, Ln.24-25 in the revised manuscript).

C17: Page 7, line 23: Please give the complete name of EAR.

R17: Done, we added the complete name of EAR (P.6, Ln.6 in the revised manuscript).

C18: Page 8, line 20: Please give the complete name of  $I_R$ .

R18: Done, we added the complete name of  $I_R$  (P.7, Ln.1 in the revised manuscript).

C19: Page 8, line 25 and 26: contradiction between I (rainfall intensity) and  $I_R$  (not explained).

R19: In our study, "I" denotes hourly rainfall (60 minutes intensity), intensity of rolling rainfall " $I_R$ " represent summation of selected 60 minutes intensity (I) during m rolling hours.

C20: Page 9, line 14-15: Please reword the sentence.

R20: Done, we simplified this sentence in the revised manuscript (P.10, Ln.5-6).

C21: Page 9, 4.1, please give image info's (resolution, surface, etc.).

R21: Done, we supplemented FORMOSAT-2 image description in the revised manuscript (P.9, Ln.26 – P.10, Ln.2).

C22: Page 9, line 25: different interpretation factors: which ones?

R22: we selected areas with water, roads, buildings, crops, vegetation, river channels, and bare land within the study area as the sample area factors for interpretation training.

C23: Page 10, line 4: why 8 x 8 m (and not 10 x 10 m or 5 x 5 m)?

R23: Since the raw spatial resolution of FORMOSAT-2 (FM2) images is 8 x 8 m, we prepared 8 x 8 m thematic map of predisposing factors, as well as 8 x 8 m DEM to construct landslide susceptibility map.

C24: -Page 10, line 5: we also constructed an ... DEM: how?

R24: We downgraded from the 5 x 5 m MOI (Ministry of the Interior, Taiwan) DEM to obtain the 8 x 8 m DEM used in this study.

C25: Page 10, line 16: 1480.6 and 365.2 m: are the values after the dot really needed?

R25: We modified these two number to 1481 and 365, respectively. It would not affect the classification results (P.11, Ln.9 in the revised manuscript).

C26: Page 10, line 22: seven grades: why seven, for what reason?

R26: According to the classifications of gradient for hillslope land use limit proposed by SWCB (2017), the gradient can be classified into six grades as following left table. We modified gradient interval of grade 6 as "55-100", and added additional grade with gradient interval of ">100" as shown in the following right table used in our study.

Classifications of gradient (SWCB)	Gradient (%)
1 <sup>st</sup>	<5
2 <sup>nd</sup>	5-15
3 <sup>rd</sup>	15-30
4 <sup>th</sup>	30-40
5 <sup>th</sup>	40-55
6 <sup>th</sup>	>55

Class no.	Gradient (%)
7	<5
6	5-15
5	15-30
4	30-40
3	40-55
2	55-100
1	>100

Soil and Water Conservation Bureau (SWCB), Council of Agriculture (COA), Executive Yuan, R.O.C. (Taiwan), <https://www.swcb.gov.tw/class2/index.asp?ct=laws&m1=10&m2=55&AutoID=22>, 2017 (in Chinese).

C27: Page 10, line 23: seven grades: why six, for what reason?

R27: Based on windward and leeward, the aspects were classified into six categories as following table:

South	157.5° ~ 202.5°
Southeast	112.5° ~ 157.5°
Southwest	202.5° ~ 247.5°
East	67.5° ~ 112.5°
West	247.5° ~ 292.5°
Northeast	22.5° ~ 67.5°
Northwest	202.5° ~ 247.5°
North	337.5° ~ 22.5°
Flat	—

C28: Page 10, line 27: six categories: why six, for what reason?

R28: Same as C27 and R27.

C29: Page 11, line 5: six grades: why six, for what reason?

R29: According to the corresponding compression strengths of the geological lithological properties, this study classified the geological features with such lithological properties by referring to the relationship between compression strength and strength level proposed by ISRM (1981) and conducted level encoding as shown in the following Table.

Geological term	Characteristics	Strength Level	Class no.
Terrace Accumulation	gravel, clay, soil, sand	extremely weak	1
Liji layer_Kenting Layer	Foreign rocks in mudstone (badlands terrain)	extremely weak	1
Alluvial Layer	Soil, sand, gravel	very weak	2
Takangkou Formation	shale, sandstone, conglomerate rock	weak	3
Lushan Layer, Sule Layer	Hard shale, slate, phyllite, hard sandstone	Medium strong	4
Bilushan Layer	Shale, quartz sandstone in phyllite	Medium strong	4
Tananao Schists	Black schist, green schist, siliceous schist	strong	5
Duran Mountain	Agglomerate, tuffaceous sandstone, limestone, convex mirror body	Very strong	6

(modified from ISRM, 1981 and Chen et al., 2009)

ISRM, Rock Characterization Testing and Monitoring-ISRM Suggested Method, Pergamon, London, 1981.

C30: Page 11, line 10: six grades: why six, for what reason?

R30: The terrain roughness was classified into six categories by using cluster analysis of SPSS software as shown in the following table:

Class no.	Terrain roughness
6	367-523
5	523-674
4	674-950
3	950-1035
2	1035-1231
1	1231-1472

C31: Page 11, line 16: six grades: why six, for what reason?

R31: The slope roughness was also classified into six categories by using cluster analysis of SPSS software as shown in the following table:

Class no.	Slope roughness
6	0-11
5	11-20
4	20-28
3	28-34
2	34-41
1	41-56

C32: Page 11, line 18: seven grades: why seven, for what reason?

R32: The distance to water was classified into seven categories as shown in the following table:

Class no.	Distance to water (m)
1	<100
2	100-300
3	300-500
4	500-700
5	700-1000
6	1000-1500
7	>1500

C33: Page 11, line 20: seven grades: why seven, for what reason?

R33: The distance to road was classified into seven categories as shown in the following table:

Class no.	Distance to road (m)
1	<100
2	100-300
3	300-500
4	500-700
5	700-1000
6	1000-1500
7	>1500

C34: Page 12, line 2: six grades: why six, for what reason?

R34: The rainfalls were also classified into six categories by using cluster analysis of SPSS software as shown in the following table:

Class no.	EAR (mm)	Max $I_{3R}$ (mm/h)
6	285-302	59-61
5	302-313	61-62
4	313-321	62-64
3	321-329	64-65
2	329-340	65-67
1	340-363	67-68

C35: Page 12, line 7: SPSS : maybe add “software“ to more better describe what it is.

R35: Done, we added “software“ following SPSS (P.13, Ln.5 in the revised manuscript).

C36: Page 12, line 25: seven grades: why seven, for what reason?

R36: The elevation data was divided into seven grades at intervals of 300 m, as shown in the following table:

Class no.	Elevation (m)
7	<450
6	450-750
5	750-1050
4	1050-1350
3	1350-1650
2	1650-1950
1	>1950

C37: Page 13, lines 3 and 4: please clarify the sentence with the values in " () " : 2.02 and 9.96 =  $I_{3R}$ .

R37: For the clarity, we modified the descriptions in the revised manuscript (P.14, Ln.2-3).

C38: Page 13, line 20: four level: why this repartition and not 0-25, 25-50, 50-75 and 75-100?

R38: We divided landslide susceptibility into four levels: high (0.731–1), medium high (0.461–0.73), medium low (0.23–0.46), and low (0–0.23) are based on the mean probability of landslide occurrence to be 0.46.

C39: All figure and table captions: please verify that every caption is ended by a ".".

R39: Done, all captions were checked and corrected throughout in the revised manuscript.

C40: Page 24, caption Figure 2: which "blue line" do you mean? Please try to redo the image (for example the "t" Toayan district, is not well readable), colours are no well appropriated.

R40: Done, Figure 2 has been redrawn to strengthen visibility (P.27 in the revised manuscript). In additions, the distribution of mountain roads is represented by purple lines, the mistake was corrected.

C41: Page 35, Table 2: where are the "before" and "after" data in the error matrix (lines or columns)? Please clarify.

R41: Table 2 represents error matrix of interpretation results of satellite images "after" Typhoon Kong-rey, we corrected this mistake (P.38 in the revised manuscript)

C42: Page 38: Table 5: table not necessary / relevant for the paper

R42: Done, we removed Table 4 and Table 5 in the revised manuscript.

C43: Page 39: Table 6: please give units.

R43: Done, we added units of EAR and  $I_{3R}$  in Table 6 (P.42 in the revised manuscript).

C44: Page 44: Table 10: please define  $D_{t,min}$  and  $D_{t,max}$ .

R44: The  $D_{t,min}$  and  $D_{t,max}$  represent minimum and maximum value of instability index (Eq. (8) in the original version; Eq. (13) in the revised version), respectively.

# Scale and spatial distribution assessment of rainfall-induced landslides in a catchment with mountain roads

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**Abstract.** This study focused on landslides **in a catchment with mountain roads** that were caused by Nanmadol (2011) and Kong-rey (2013) **typhoons**. Image interpretation techniques were employed to interpret satellite images captured before and

10 after the typhoons to derive the **surface changes changes in slope surfaces**. A **The** multivariate hazard evaluation method was adopted to establish a landslide susceptibility assessment model. **The evaluation of landslide locations and relationship** between landslide and predisposing factors is preparatory for assessing and mapping landslide susceptibility. **We then mapped landslide susceptibility and locations to determine the relationship between the spatial distribution of landslide areas** and the natural environment along mountain roads. The results can serve as a reference for preventing and mitigating slope

15 disasters on mountain roads.

## 1 Introduction

Taiwan is an island of which three quarters of its land area consists of slope land that is 100 m above sea level, or is less than that but has an average gradient of 5% above (Soil and Water Conservation Bureau, 2012). Much of this sloped land has a steep gradient and fragile geological formations. Taiwan is hit by an average of 3.4 typhoons every year during years 1911 to

20 2016 (Central Weather Bureau, 2017). In additions, an **average annual rainfall reach 2,506 mm** in years 1949 to 2012 (Water Resources Agency, 2017). Typhoons usually occur between July and October, and 70%–90% of the annual rainfall is composed of heavy rain directly related to typhoons (SWCB, 2012). Concentrated rainfall causes heavy landslides and debris flows every year (Dadson et al., 2004). The threat of disaster currently influences industrial and economic development and the road networks in endangers areas; thus, establishing disaster evaluation mechanisms is imperative.

25 Landslide susceptibility can be evaluated by analysing the relationships between landslides and various factors that are responsible for the occurrence of landslides (Brabb 1984; Guzzetti et al., 1999a, Guzzetti et al., 2005). In general, the factors affect landslides including predisposing factors (e.g., geology, topography, and hydrology) and triggering factors (e.g., rainfall, earthquakes, and anthropogenic factors) (Chen et al., 2013a; Chen et al., 2013b; Chue et al., 2015). Geological factors include lithological factors, structural conditions, and soil thickness; topographical factors include slope, aspect, and

elevation; and anthropogenic factors include deforestation, road construction, land development, mining, and alterations of surface vegetation (Chen et al., 2013a; Chen et al., 2013b; Chue et al., 2015). The method used to assess landslide susceptibility can be divided into qualitative and quantitative. Qualitative methods are based completely on field observations and an expert's priori knowledge of study area (Stevenson, 1977; Anbalagan, 1992; Gupta and Anbalagan, 1997). Some qualitative approaches incorporate ranking and weighting, and become semi-quantitative (Ayalew and Yamagishi, 2005). For examples the Analytic Hierarchy Process (AHP) (Saaty, 1980; Barredo et al., 2000; Yoshimatsu and Abe, 2006; Kamp et al., 2008; Yalcin, 2008; Kayastha et al., 2013; Zhang et al., 2016) and the weighted linear combination (WLC) (Jiang and Eastman, 2000; Ayalew et al., 2005; Akgün et al., 2008). Quantitative methods apply mathematical models to assess the probability of landslide occurrence, and thus define hazard zones on a continuous scale (Guzzetti et al., 1999b). Quantitative methods developed to detect the areas prone to landslide can be divided mainly into two categories: deterministic approach and statistical approach. The deterministic approach is based on the physical laws driving landslides (Okimura and Kawatani, 1987; Hammond et al., 1992; Montgomery and Dietrich, 1994; Wu and Sidle, 1995; Gökceoglu and Aksoy, 1996; Pack et al., 1999; Iverson, 2000; Guimarães et al., 2003; Xie et al., 2004) and are generally more applicable when the underground conditions are relatively homogeneous and the landslides are mainly slope dominated. The statistical approach is based on the relationships between the affecting factors and past and present landslide distribution (Van Westen et al., 2008). Statistical methods analyze the relation between predisposing factors affecting the landslide which include bivariate statistical models (Van Westen et al., 2003; Süzen and Doyuran, 2004; Thiery et al., 2007; Bai et al., 2009; Constantin et al., 2011; Yilmaz et al., 2012), multivariate statistical approaches as discriminant analysis (Baeza and Corominas, 2001; Carrara et al., 2003; Carrara et al., 2008; Pellicani et al., 2014), and linear and logistic regression (Dai and Lee, 2002; Ohlmacher and Davis, 2003; Ayalew and Yamagishi, 2005; Yesilnacar and Topal, 2005; Greco et al., 2007; Carrara et al., 2008; Lee et al., 2008; Pellicani et al., 2014), as well as nonlinear methods such as artificial neural networks (ANN) (Lee et al., 2004; Yesilnacar and Topal, 2005; Kanungo et al., 2006; Wang and Sassa, 2006; Li et al., 2012), multivariate hazard evaluation method (MHEM) (Su et al., 1998; Lin et al., 2009). The MHEM is a nonlinear mathematical model that presents an instability index to indicate landslide susceptibility (Lin et al., 2009). In additions, some studies, landslides susceptibility analyses have focused on man-made facilities such as roads and railroads and have examined the landslide susceptibility of surrounding environments (Das et al., 2010; Pantelidis, 2011; Das et al., 2012; Devkota et al., 2013; Martinović et al., 2016; Pellicani et al., 2016; Pellicani et al., 2017). The aforementioned studies on the landslide susceptibility of areas surrounding man-made facilities have not investigated characteristics such as the location and scale (area) of landslides occurring in upper or lower slopes, and such characteristics thus constituted one of the objectives of the present study.

Technological progress has provided various advanced tools and techniques for land use monitoring. In recent years, aerial photos or satellite images have been commonly used in post disaster interpretations and assessments of landslide damage on large-area slopes (Erbek et al., 2004; Lillesand et al., 2004; Nikolakopoulos et al., 2005; Lin et al., 2005; Chen et al., 2009; Otuksi and Blaschke, 2010; Chen et al., 2013a). Satellite images offer the advantages of short data acquisition cycles, swift

understanding of surface changes, large data ranges, and being low cost, particularly for mountainous and inaccessible areas. With the assistance of computer analysis and geographic information system (GIS) platforms, researchers can quickly determine land cover conditions. Thus, satellite images are suitable for investigating large areas and monitoring temporal changes in land use (Liu et al., 2001). Satellites can capture images of the same area multiple times within a short period, ~~the images are consistent in quality and are digitized, rendering them convenient for computer applications~~.

5 Studies have indicated that land surface change detection is the process of exploring the differences between images captured at different times (Liu et al., 2001; Chadwick et al., 2005; Chen et al., 2009; Chue et al., 2015). With multispectral satellite images, land surface interpretations involve comparisons of multitemporal images that are completely geometrically aligned (Liu et al., 2001; Chadwick et al., 2005; Chen et al., 2009; Chue et al., 2015).

10 We selected part of ~~Provincial Highway No. 20~~ in the catchment area of Laonung River which include Provincial Highway No. 20 in southern Taiwan as our study area. Regarding time, we focused on periods before and after landslides that occurred in the study area as a result of Typhoon Nanmadol (2011) and Typhoon Kong-rey (2013). We applied the maximum likelihood method to interpret and categorize high-resolution satellite images, thereby determining the land surface changes and landslides in the study area before and after the rainfall events. By using a GIS platform, we constructed 15 a database of the rainfall and natural environment factors. Subsequently, we developed a landslide susceptibility assessment model by using the MHEM, the model performance then was verified by historical landslides. In additions, we extracted the locations of landslide areas to explore the relationship between the natural environment and the spatial distribution of the scale of these areas. ~~The results of this study could serve as a reference for the prevention and mitigation of slope disasters on hillsides in a catchment with mountain roads.~~

## 20 2 Methodology

### 2.1 Maximum likelihood

The maximum likelihood classifier is a supervised classification method (SCM). SCMs include three processing stages: training data sampling, classification, and output. The underlying principle of supervised classification is the use of spectral pattern recognition and actual ground surface data to determine the types of data required and subsequently select a training 25 site, which has a unique set of spectral patterns. To accurately estimate the various spectral conditions, the spectral patterns of the same type of feature are combined into a coincident spectral plot before the class of the training site is selected. Once training has been completed, the entire image is classified based on the spectral distribution characteristics of the training site by using statistical theory for automatic interpretation (Lillesand et al., 2004).

To facilitate the calculation of probability in the classification of unknown pixels, the maximum likelihood method assumes 30 a normal distribution in the various classes of data. Under this assumption, the data distribution can be expressed using covariance matrices and mean vectors, both of which are used to calculate the probability of a pixel being assigned to a land

cover class. In other words, the probability of  $X$  appearing in class  $i$  is calculated using Eq. (1), and the highest probability is used to determine the feature of each pixel (Lillesand et al., 2004).

$$p(X|C_i) = (2\pi)^{d/2} |\Sigma_i|^{-1/2} \exp\left[-\frac{1}{2}(X - \mu_i)^T \Sigma_i^{-1} (X - \mu_i)\right] \quad (1)$$

$$X = \begin{bmatrix} X_1 \\ X_2 \\ \vdots \\ X_d \end{bmatrix} \quad \mu_i = \begin{bmatrix} \mu_{1i} \\ \mu_{2i} \\ \vdots \\ \mu_{di} \end{bmatrix} \quad \Sigma_i = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1d} \\ S_{21} & S_{22} & \cdots & S_{2d} \\ \vdots & \vdots & \ddots & \vdots \\ S_{d1} & S_{d2} & \cdots & S_{dd} \end{bmatrix}$$

5 In this equation,

$d$  denotes the number of features;

$X$  denotes a sample expressed using features and has  $d$  dimensions;

$p(X|C_i)$  denotes the probability that  $X$  originates from class  $i$ ;

$\Sigma_i$  denotes the covariance matrix of class  $i$ ;

10  $\Sigma_i^{-1}$  denotes the inverse matrix of  $\Sigma_i$ ;

$|\Sigma_i|$  denotes the determinant of  $\Sigma_i$ ;

$\mu_i$  denotes the mean vector of classification  $i$ ;

$(X - \mu_i)^T$  denotes the transpose matrix of  $(X - \mu_i)$ ; and

$S_{ij}$  denotes the covariance of classes  $i$  and  $j$ .

15 During classification, the maximum value of the probability density functions of sample  $X$  in each class is used to determine which class the sample belongs to. The maximum likelihood classification decision is shown in Eq. (2).

$$X \in C_m, \quad m \subset \{1, 2, \dots, k\}$$

if

$$20 \quad p(X|C_m) = \max \{p(X|C_j), \quad j = 1, 2, \dots, k\} \quad (2)$$

in which,  $k$  denotes the number of classes. The question in classification is how to effectively separate the classes in the feature space, or in other words, how to divide the feature space. Maximum likelihood is a common approach that offers fairly good classification accuracy (Bruzzone and Prieto, 2001; Chen et al., 2004). Thus, we adopted maximum likelihood to interpret and classify the satellite images.

## 25 2.2 Accuracy assessment

This study employed the aforementioned maximum likelihood method to classify satellite images. To determine whether the accuracy of image classification was acceptable, we adopted an error matrix to test for accuracy. An error matrix is a square matrix that presents error conditions in the relationship between ground surface classification results and reference data

(Verbyla, 1995). Such a matrix contains an equal number of columns and rows, and the number is determined by the number of classes. For example, Table 1 contains four classes. The columns show the reference data, and the rows show the classification results. The various elements in the table indicate the quantity of data corresponding to each combination of classes.

5 In the Table 1,  $X_{12}$  represents the amount of data that was interpreted as Class A but actually belongs to Class B, whereas  $X_{21}$  indicates the amount of data that was interpreted as Class B but actually belongs to Class A.  $X_{11}$  and  $X_{22}$  represent the amount of data accurately classified as Class A and Class B, respectively. An error matrix is generally used to check the quality of classification results in statistics (Congalton, 1991; Verbyla, 1995). In the present study, we evaluated the accuracy of the classification results based on the overall accuracy  $OA$  and *Kappa* value (Cohen, 1960), which is the coefficient of 10 agreement derived from the relationship between the classification results and training data. These two parameters are explained as follows.

### **2.2.1 Overall Accuracy (OA)**

*OA* is the simplest method of overall description. For all classes, *OA* represents the probability that any given point in the area will be classified correctly.

15 
$$OA = \left[ \frac{1}{N} \sum_{i=1}^n X_{ii} \right] \times 100\% \quad (3)$$

In Eq. (3),  $N$  denotes the total number of classifications, ~~check points~~  $n$  denotes the total number of rows in the matrix and  $X_{ii}$  is the number of correctly classified checkpoints.

### **2.2.2 Kappa coefficient**

The *Kappa* ( $\hat{K}$ ) coefficient indicates the degree of agreement between the classification results and reference values and 20 shows the percentage reduction in the errors of a classification process compared with the errors of a completely random classification process. Generally, the *Kappa* coefficient ranges from 0 to 1, and a greater value indicates a higher degree of agreement between the two sets of results, as shown in Eq. (4):

$$\hat{K} = \frac{N \sum_{i=1}^n X_{ii} - \sum_{i=1}^n (X_{i+} \times X_{+i})}{N^2 - \sum_{i=1}^n (X_{i+} \times X_{+i})} \times 100\% \quad (4)$$

in which,  $X_{i+}$  is the total number of pixels for a given class on actual ground surface and  $X_{+i}$  is the number of pixels classified 25 to that class. As reported by Landis and Koch (1977), a *Kappa* coefficient greater than 0.8 signifies a high degree of accuracy, whereas a coefficient between 0.4 and 0.8 or less than 0.4 indicates moderate or poor accuracy, respectively.

## 2.3 Rainfall analysis method

In previous studies regarding the influence of rainfall on landslides, rainfall intensity and accumulated rainfall have been most commonly used as predisposing causal factors of landslides (Giannecchini, 2006; Chang et al., 2007; Giannecchini et al., 2012; Ali et al., 2014). Therefore, we adopted effective accumulated rainfall (*EAR*) and intensity of rolling rainfall (*H<sub>RI</sub>*) as rainfall indices and predisposing impact factors of landslides in the present study. These two indices are explained as follows.

### 2.3.1 Effective Accumulated Rainfall (*EAR*)

Generally, rainfall is considered the trigger of slope collapse, whereas previous rainfall can be regarded as a potential factor of a landslide. Previous rainfall influences the water content of the soil, which in turn affects the amount of rainfall required to trigger a landslide (Seo and Funasaki, 1973).

Figure 1 shows an illustration of rainfall events defined based on *EAR* (Seo and Funasaki, 1973). The diagram shows a concentrated rainfall event with no rainfall in the preceding or subsequent 24 hours and can thus be considered a continuous rainfall event. A continuous rainfall event that occurs simultaneously with a landslide is the main rainfall event. The beginning of the main rainfall event is defined as the time point when the rainfall first reaches 4 mm. The calculation of accumulated rainfall ends at the time when the landslide occurs. However, because the exact time of a landslide cannot be precisely determined, we regarded the hour with the maximum rainfall during the main rainfall event as the time when the landslide occurred in this study.

In accordance with previous studies, we defined *EAR* as the sum of direct and previous indirect rainfall. Previous indirect rainfall is the rainfall accumulated during the 7 days prior to the main rainfall event and can be expressed as follows (Seo and Funasaki, 1973; Crozier and Eyles, 1980):

$$20 \quad \sum_{n=1}^7 k^n P_n = P_b \quad (5)$$

where  $P_b$  denotes the previous indirect rainfall,  $P_n$  denotes the rainfall during the  $n$  days prior to the main rainfall event (mm), and  $k$  denotes a diminishing coefficient set as 0.9 in this study (Chen et al., 2005). Direct rainfall encompasses the continuous rainfall accumulated during the rainfall events, starting from the first rainfall to the time of landslide occurrence. Direct rainfall has a direct and effective impact on landslide occurrence and is thus not diminished. Therefore, *EAR* could be expressed as follows in this study:

$$EAR = P_r + P_b \quad (6)$$

where  $P_r$  (mm) represents the rainfall accumulated during the main rainfall event from the first rainfall to the time of landslide occurrence, and  $P_b$  (mm) represents the previous indirect rainfall.

### 2.3.2 Intensity of Rolling Rainfall ( $I_R$ )

Rainfall intensity refers to the amount of rainfall within a unit of time. It is considered a crucial index for evaluating disasters because greater intensity or longer durations have considerable impacts on slope stability. Furthermore, rainfall-induced landslides may be triggered by several hours of continuous rainfall. The raw rainfall data in this study was hourly precipitation; thus,  $I_R$  (mm/h) can be expressed as follows:

$$I_{mR} = \sum_{t-m+1}^m I = I_{t-m+1} + I_{t-m+2} + \dots + I_t \quad (7)$$

where  $I$  denotes rainfall intensity,  $m$  denotes the number of rolling hours of rainfall (set as 3 hours in this study),  $I_{mR}$  denotes the  $I_R$  during  $m$  hours, and  $I_t$  denotes the rainfall intensity during hour  $t$ .

## 10 2.4 MHEM

The MHEM is a diverse non-linear mathematical model. Based on relative relationships, the MHEM presents an instability index ( $D_i$ ) to indicate **susceptibility risk** in different areas. The objective is to **analyze the variability of landslide inducing factors and estimate the variance of causal predisposing factors and then to determine the weight of each factor according to the value of variance, finally to derive a suitable landslide susceptibility assessment model** (Su, et al., 1998; Lin et al., 2009; Chue, et al., 2015).

The **causal predisposing** factors in the MHEM are rated based on the frequency of landslide occurrence, which is calculated as follows:

$$R_i = \frac{r_i}{r_T} \quad (8)$$

where  $R_i$  represents the landslide **pixel grid** ratio of the various factors in class **grade**  $i$ ,  $r_i$  represents the number of landslide pixels **grid** in class **grade**  $i$ , and  $r_T$  represents the total number of **pixels grid**. Thus, landslide percentage  $X_i$  is expressed as

$$X_i = \frac{R_i}{\sum R_i} \quad (9)$$

where  $X_i$  denotes the landslide percentage of **class grade**  $i$  and  $\sum R_i$  denotes the sum of the landslide **pixel grid** ratios. Based on the landslide percentages of the various classes for each **predisposing causal** factor, the normalized score value of classes for each factor ( $d_n$ ) can be calculated using Eq. (10), and presented in relative values ranging from 1 to 10.

$$25 \quad d_n = \frac{9(X_i - X_{\min})}{(X_{\max} - X_{\min})} + 1 \quad (10)$$

In Eq. (10),  $X_i$  represents the causal rate of the sample region and  $X_{max}$  and  $X_{min}$  represent the maximum and minimum landslide percentages of the factor in the various sample regions, respectively.

To estimate the weight of influence of each predisposing causal factor, the coefficient of variation ( $V$ ) of the landslide ratios derived from the class grade of the predisposing impact factors is used to represent the sensitivity of landslide ratios in

5 different predisposing impact factor classes grades. A smaller coefficient of variation denotes higher similarity among the landslide probabilities in the various classes grades, which indicates that this factor grading method cannot determine which areas have higher or lower landslide probabilities. By contrast, a greater coefficient of variation denotes that this factor grading method can be used to describe the influence of factor classes grades on landslides. Thus, the coefficient of variation among the predisposing impact factors can indicate the factor weights. The coefficient of variation is calculated as shown in

10 Eq. (11):

$$V = \frac{\sigma}{X} \times 100\% \quad (11)$$

where  $\sigma$  is the standard deviation and  $X$  is the mean landslide percentage of the various factor classes grades.

We divided the coefficient of variation of each individual factor by the total coefficient of variation of all factors to derive the factor weight, which represented the degree of influence of the factor on landslide occurrence. The factor weight can be

15 calculated as shown in Eq. (12), where  $W$  is the factor weight and  $V$  is a coefficient of variation.

$$W_i = \frac{V_i}{V_1 + V_2 + \dots + V_n} \quad (12)$$

Finally, the weight ( $W_i$ ) of each factor is determined by the rank of its variance ( $V$ ), and each factor is assigned a different weight. Subsequently, a nonlinear mathematical model can be derived as follows:

$$D_t = d_1^{W_1} \times d_2^{W_2} \times d_3^{W_3} \times d_4^{W_4} \times d_5^{W_5} \times \dots \times d_n^{W_n} \quad (13)$$

20 where  $D_t$  is the instability index of the samples, expressed using relative values ranging from 1 to 10. A cumulative value closer to 10 indicates greater landslide potential, whereas a cumulative value closer to 1 indicates lower landslide potential.

By using the concept of log-normal distribution in statistics, we converted the levels of instability index derived using the MHEM into probabilities of landslide occurrence. The calculation formula of the log-normal distribution is shown in Eq. (14):

$$P(F) = \frac{1}{x\sigma\sqrt{2\pi}} e^{-\frac{1}{2}[(\ln x - \mu)/\sigma]^2} \quad (14)$$

where  $x$  denotes the level of the instability index and  $\mu$  and  $\sigma$  denote the mean and standard deviation of the level of the instability index, respectively. After calculating the probabilities of landslide occurrence by using the log-normal distribution, we normalized the probabilities to range from 0 to 1 for convenience. The normalization formula is shown in Eq. (15).

$$P(F)' = \frac{(X_i - X_{\min})}{(X_{\max} - X_{\min})} \quad (15)$$

5 In Eq. (15),  $X_i$  represents the factor being normalized and  $X_{\max}$  and  $X_{\min}$  represent the maximum and minimum values of the factor, respectively.

### 3 Study area

We referred to the historical data on road disasters from the NCDR (2017) and considered road sections where rainfall-induced landslides occurred frequently in southern Taiwan. We focused on the periods before and after Typhoon Nanmadol

10 (2011) and Typhoon Kong-rey (2013) hit southern Taiwan, and we selected part of Provincial Highway No. 20 in the catchment area of Laonung River in southern Taiwan as our study area (Fig. 2), which includes part of areas from three districts in Kaohsiung City (Jiashian, Liouguei, and Taoyan). The Laonung River flows SW cross over the southern of study area which originating from the Jade Mountain. The study area is located in a tropical monsoon climate zone. According to the climate statistics (1983 - 2012) recorded from the Central Weather Bureau, the average annual rainfall is approximate

15 2,758 mm. Provincial Highway No. 20 is east-west direction, the starting point of the highway is Tainan City in southern Taiwan, and the ending point is Degao Community in Guanshan Town, Taitung County, with a total length of 203.982 km.

Within the study area, Highway No. 20 starts from Liouguei District (76K + 000) in the west and goes to Taoyan Village (87K + 500) in the Taoyan District. According to the survey data from the Directorate General of Highways (2016), the road width of the Highway No. 20 passing through the study area is about 8.8 meters. The average traffic flow and the total 20 number of vehicles carried per day for both directions are 2,260 PCU (Passenger Car Unit) and 1,434, respectively. In the study area, most of the traffic vehicles are sedans, followed by trucks and buses.

### 4 Image interpretation and classification

#### 4.1 Preprocessing of satellite images

This study employed and interpreted satellite images taken by FORMOSAT-2 (FM2). FM2 images have been extensively 25 used to identify natural disasters and land use (e.g., Lin et al., 2004; Lin et al., 2006; Liu et al., 2007; Chen et al., 2009, Lin et al., 2011; Chen et al., 2013a). The FORMOSAT-2 satellite has a circular and sun synchronous orbit. With its high torque reaction wheels for all axes, the FORMOSAT-2 is able to point to a  $\pm 45^\circ$  along track and  $\pm 45^\circ$  across track, and is thus able to capture any scene each day in all of Taiwan if necessary (Liu et al., 2007). FORMOSAT-2 images are available in 2 m

resolution in panchromatic (pan) and 8 m in multispectral (ms) from visible to near-infrared with a coverage of 24 km×24 km. In the present study, prior to interpretation, the satellite images underwent spectral fusion, coordinate positioning, cropping, and cloud removal. The images taken by FM2 are multispectral with blue, green, red, and near-infrared (NIR) wavelengths (Chen et al., 2013a; Chue et al., 2015). Image fusion and coordinate positioning were conducted using the 5 import data and coordinate positioning tool of ERDAS IMAGINE (2013). Then, ~~Because clouds and shadows affect the accuracy of image interpretations,~~ we used the image analysis tool of ArcGIS to remove clouds from the images.

## 4.2 Training site selection and mapping

To map the sample areas required for image interpretation, we overlapped the high-resolution, preprocessed satellite images of the study area before and after the typhoons and mapped the training sites by using a GIS platform. Based on field 10 investigations and relevant studies (Chen et al., 2009; Chen et al., 2013a; Chue et al., 2015), we selected areas with water, roads, buildings, crops, vegetation, river channels, and bare land within the study area as the sample area factors for interpretation training.

## 4.3 Image interpretation and accuracy assessment

Image interpretation and classification were conducted using the Maximum Likelihood module in ERDAS IMAGINE. The 15 interpretation and classification results of the satellite images before and after Typhoon Nanmadol in 2011 and Typhoon Kong-rey in 2013 are shown in Fig. 3. The different colors in the images represent different interpretation factors.

To verify the accuracy of the results, we randomly extracted 25 points from the satellite images for each training factor as checkpoints and tested the accuracy by using the aforementioned error matrix approach. With the satellite images before and 20 after Typhoon Kong-rey in 2013 as an example, Table 2 shows the error matrix and accuracy assessment results of the satellite image interpretation and classification processes. Table 3 presents the *Kappa* values and *OA* results of the satellite images captured before and after the two typhoons. As mentioned, *Kappa* values ranging from 0.4 to 0.8 indicate moderate accuracy, and thus the interpretation results had moderate to high accuracy.

## 5 Landslide susceptibility assessment

To evaluate the landslide susceptibility of slopes within the study area, we constructed 8 m × 8 m grids by using the GIS 25 platform along with the interpretation results of the two typhoons. We also constructed an 8 m × 8 m digital elevation model (DEM) and input the classification results, ~~thematic map of predisposing factors~~ ~~map of the natural environment~~, and rainfall data into the pixel to aid subsequent landslide susceptibility assessments.

## 5.1 Predisposing **Impact** factor selection and factor correlation test

### 5.1.1 Predisposing **Impact** factor selection

Referring to Chen et al. (2009), we divided the predisposing **impact** factors of landslides into three categories: natural environment, land disturbance, and rainfall.

5 A. Natural environment factors

(A) Elevation

The influence of elevation varies with the climate and thus affects the distribution of vegetation on the slope and type of weathering. In addition, elevation reflects the influence of geological structure, stress, and time. The highest and lowest elevations in the study area were 1481 and 365 m, respectively. Using the GIS platform, we extracted the elevation data from the DEM of the study area to estimate the mean elevation of each grid. We divided the elevation data into seven **classes** **grades** at intervals of 300 m.

(B) Slope gradient

A slope's gradient generally exerts significant impact on slope stability. By using the DEM and gradient analysis of the GIS platform, we calculated the mean gradient of each **pixel** **grid** in the study area; subsequently, we divided the gradient values in the **pixels** **grids** within the study area into seven **classes** **grades**.

(C) Aspect

Rainfall-induced landslides are subject to the influence of seasonal changes such as those related to rainfall and wind direction. Thus, the direction of the slope must be considered. As described, we used the DEM and aspect analysis function of the GIS platform to calculate the average aspect of the **pixels** **grid** in the study area. According to their direction, we divided them into six classes from windward to flat ground.

(D) Geology

Referring to the digital file of the Geologic Map of Taiwan, Scale 1:50,000, Chihsien, which was compiled by the Central Geological Survey of the Ministry of Economic Affairs in 2000, we determined that the geology of the study area includes five types of rock: the upper part of Changshan Formation, the Tangenshan Formation, the Changchihkeng Formation from the Miocene period, and modern alluvium and terrace deposits from the Holocene period. We divided geological strength into six **classes** **grades** (Chen et al., 2009).

(E) Terrain roughness

Terrain roughness refers to the degree of change in **pixel** **grid** height. Wilson and Gallant (2000) proposed the use of the standard deviation of height within a radius to measure the degree of change in height because of its indicative meaning in relation to changes in regional height. Using the Neighborhood (Focal Statistics) of Spatial Analyst Tools in ArcGIS, we

calculated the terrain roughness of the DEM. Statistical cluster analysis was used to automatically divide terrain roughness into six **classes** **grades**.

(F) Slope roughness

Slope roughness refers to the fluctuations in slope gradient in the **pixels** **grids**. High slope roughness means that the slope gradient varies considerably (Wilson and Gallant, 2000). Slope roughness is calculated through the same method as terrain roughness, except with the original elevation values being replaced with the slope gradient values obtained using ArcGIS. Just as terrain roughness was graded, we first used **Spatial Analyst Tools** in ArcGIS to estimate the slope roughness of each **pixel** **grid**, after which we used cluster analysis to automatically divide slope roughness into six **classes** **grades**.

(G) Distance to water

Streams will cause soil erosion and riparian erosion, which directly or indirectly affect the stability of the slope. We calculated the distances to water using **Tool of Buffer** in ArcGIS and divided the distances into seven **classes** **levels**.

(H) Distance to road

The construction of the roads will also have the influence on the stability of the slope. Therefore, we also calculated the distances to road using **Tool of Buffer** in ArcGIS and divided the distances into seven **classes** **levels**.

15 B. Land disturbance factors

Land disturbance varies with space and time. Based on the tendency to promote landslides, the index of land disturbance was developed, and we made some revisions to the **qualitative** approach proposed by Chen et al. (2009, 2013b) to calculate land disturbance and selected roads, buildings, crops, bare land, and vegetation as the land disturbance factors of landslides in the study area. We extracted the disaster and ground surface data from previous satellite image interpretation and classification 20 results and input the land disturbance factors into the **pixels** **grids** by using the GIS platform. Refer to Chen et al. (2009, 2013b), the scores of the index for disturbance condition ( $I_{DC}$ ) in the **pixels** **grids** are assigned from five decreasing to one which corresponding to bare land, roads, buildings, crops and vegetation, respectively. **shown in Table 4**.

C. Rainfall factors

We collected precipitation data from weather stations of the Central Weather Bureau, including Guanshan, Biaohu, Hsiao 25 Guanshan, Gaojhong, Sinfu, Jiashian, and Xinan. **Table 5 displays the station information.** We then calculated the *EAR* and 3-hour  $I_R$  ( $I_{3R}$ ) levels observed at each station. The results from Typhoon Nanmadol in 2011 and Typhoon Kong-rey in 2013 are compiled in Table 64. By using the Inverse Distance Weighting (*IDW*) function of ArcGIS and the *EAR* and maximum  $I_{3R}$  values of the weather stations, we estimated the rainfall of each **pixel** **grid** throughout the study area and then used cluster analysis to divide the results into six **classes** **levels**.

## 5.1.2 Factor correlation test

To establish a landslide susceptibility assessment model, we selected elevation, slope gradient, aspect, geology, terrain roughness, slope roughness, distance to water, distance to road,  $I_{DC}$ , and rainfall as landslide-predisposing triggering factors. Rainfall included  $EAR$  and maximum  $I_{3R}$ .

5 We employed the Pearson correlation test tool in SPSS software (2005) to examine the correlation among these factors. The correlation coefficients ranged from -1 to +1, with +1, -1, and 0 indicating complete positive correlation, complete negative correlation, and no correlation between two variables, respectively. Factors with high correlation were then subjected to a paired sample  $t$  test conducted using SPSS to examine the significance of the correlation between them. Those with high correlation were eliminated.

10 Table 75 presents the test results regarding the correlation between the predisposing impact factors. As shown, the degree of correlation between most factors was moderate to low. A high degree of correlation was found only between elevation and terrain roughness and between slope gradient and slope roughness. Thus, we administered paired sample  $t$  tests to these two factor pairs to test the significance of the correlation. The results in Table 86 show that the significance was 0 ( $<0.05$ ) for the correlation between both pairs, indicating no correlation; thus, these factors were not eliminated.

## 15 5.2 Landslide susceptibility assessment and hazard map

To apply the MHEM to establish a landslide susceptibility assessment model, we input the natural environment, land disturbance, and rainfall factors into the pixels grids by using the GIS platform. By using the changes in bare land between the images before and after the typhoons and applying image subtraction aided by manual checking, we obtained the pixel grid data of the rainfall-induced landslide locations in the study area. With the study area after Typhoon Nanmadol in 2011

20 as an example, we considered  $EAR$  during the rainfall period and rated the classes grades by using the factor weights derived using the MHEM, as shown in Table A1 of Appendix A.

The calculation process is explained in this paper by using elevation as an example. In accordance with factor selection, the elevation factor was divided into seven classes grades. Aided by the GIS platform, we calculated the total number of pixels grids, total number of landslides, and landslide percentage within each elevation level in the study area by using Eqs. (8) and

25 (9). Based on the landslide percentages of the elevation factor and the minimum and maximum landslide percentages, we subsequently obtained the scores of the factors by using Eq. (10). We then calculated the standard deviation, coefficient of variation, and weight values by using Eqs. (11) and (12); the results are listed in Table A1 of Appendix A. The presented results show that the standard deviation ( $\sigma$ ), coefficient of variation ( $V$ ), and factor weight ( $W$ ) of landslide percentage were 0.021, 0.764, and 0.087, respectively. Finally, we calculated the instability indices by using the weight values and scores of

30 the factors through Eq. (13). Furthermore, the results in Table A1 of Appendix A indicate that the degrees of land disturbance ( $I_{DC}$ ), geology ( $G_s$ ), slope gradient ( $S_s$ ), and slope roughness had the greatest influence on landslides in the study area, followed by distance to water ( $D_s$ ),  $EAR$ , and elevation ( $E_l$ ).

We considered *EAR* and  $I_{3R}$  and used an instability index to determine the level of landslide susceptibility of slopes throughout the study area. The derived instability index intervals (Table 107) for *EAR* and  $I_{3R}$  were ranged from 2.05 to 9.59 and 2.02 to 9.96, respectively. By using Eqs. (14) and (15), the landslide probability intervals calculated based on *EAR* and  $I_{3R}$  are presented in Table 107.

5 We employed the mean probability of landslide occurrence to differentiate between high and low landslide susceptibility. Landslides were considered more likely to occur in areas where the probability of landslide occurrence was greater than the mean. By contrast, landslides were considered less likely to occur in areas where the probability of landslide occurrence was lower than the mean. With rainfall factor *EAR* as an example, we determined the mean probability of landslide occurrence to be 0.46. We further divided landslide susceptibility into four levels: high (0.731–1), medium high (0.461–0.73), medium low 10 (0.23–0.46), and low (0–0.23). The results showed that the mean probability of landslide occurrence varied little, regardless of whether it was calculated using *EAR* or  $I_{3R}$ .

By using the GIS platform, we considered the landslide susceptibility calculated using *EAR* for Typhoon Nanmadol in 2011 as an example. As illustrated in Fig. 4, we included an overlay created by the NCDR and showing the locations of historical disasters within the study area. The results revealed a total of 24 historical disasters, 17 of which were situated in areas of 15 medium high or high landslide susceptibility. Therefore, the estimation accuracy in this study was approximately 71%. Regarding Typhoon Kong-rey in 2013, 18 historical disasters occurred within areas of medium high or high landslide susceptibility, thereby yielding 75% accuracy. Table 118 presents the accuracy levels associated with using different rainfall factors to calculate landslide susceptibility for different typhoons.

### 5.3 Investigation of rainfall factors and instability index

20 To understand the relationship between the rainfall factors and the degree of instability on the slopes in the study area after typhoons, we first removed the cloud cover grids from post typhoon images and subsequently employed cluster analysis to divide the instability indices of the pixels grids into three levels: high, medium, and low. We then collected random samples based on the proportions of landslide and nonslide pixels grids in each level (50 landslide and 50 non landslide pixel grid points) and plotted their relationship. Table 129 and Fig. 5a–d present the relationships between the rainfall factors (*EAR* and 25  $I_{3R}$ ), instability index, and landslide occurrence in the pixels grids following Typhoon Nanmadol in 2011 and Typhoon Kong-rey in 2013. Figure 5a and b consider *EAR*, whereas Fig. 5c and d consider  $I_{3R}$ . The presented results indicate that the typhoon events increased the degree of slope instability ( $D_i$ ) and landslide occurrence, regardless of whether *EAR* or  $I_{3R}$  was considered. Furthermore, significantly more landslide points were situated in areas of high instability than in areas of other 30 levels of instability, and landslides rarely occurred in areas of low instability. Moreover, areas of high slope instability were prone to landslides even if their *EAR* or  $I_{3R}$  was low. By contrast, areas of low instability required more rainfall for landslides to be possible. The results (Table 129) further showed that the *EAR* and  $I_{3R}$  levels of Typhoon Kong-rey in 2013 were greater than those of Typhoon Nanmadol in 2011. Thus, in any  $D_i$  level, the proportion of landslides that occurred in the study area after Typhoon Kong-rey was higher than that after Typhoon Nanmadol. Figure 5e and f present the relationships between

$EAR \times I_{3R}$ , the instability index, and landslide occurrence;  $EAR \times I_{3R}$  is the index of rainfall-induced landslide (ILR), with a higher value indicating higher susceptibility to a landslide. The figures show that for a high instability index, even a small rainfall event could trigger a landslide (lower right corners of the figures). By contrast, for a low instability index, a larger rainfall event could not easily trigger a landslide (upper left corners of the figures).

## 5 6 Landslide location analysis

We analyzed the spatial characteristics of landslides by using landslide locations collected from before and after both of the two typhoons and the land surface interpretation results of the study area.

### 6.1 Investigation of landslide predisposing **impact** factors and landslide area

The influence of **predisposing causal** factors on landslides varies. In this study, we examined the relationships between landslide area and various **predisposing landslide** factors. By using the area of landslides (i.e., the number of landslide **pixels grids**) induced by Typhoon Nanmadol in 2011 as an example, we investigated the influences of the **predisposing causal** factors (elevation, slope gradient, aspect, geology, slope roughness, terrain roughness, distance to water, distance to road, and degree of land disturbance) on landslides. The various factor **classes levels** and corresponding numbers of landslide **pixels grids** are shown in Fig. 6a–i.

15 Figure 6a presents the relationship between different **classes levels** of elevation and the number of landslide **pixels grids** (landslide area). As shown in the figure, the number of landslide **pixels grids** in the study area peaked at elevations between 450 and 750 m and then declined as the elevation increased. Figure 6b displays the relationship between different **classes levels** of slope gradient and the number of landslide **pixels grids** (landslide area). As shown in the figure, the number of landslide **pixels grids** in the study area increased with the slope gradient and peaked between 30° and 55°. Landslides rarely 20 occurred on slopes steeper than 55°. Figure 6c illustrates the relationship between aspect and the number of landslide **pixels grids**, with aspect divided into eight categories: north, northeast, east, southeast, south, southwest, west, and northwest. As shown in the figure, the number of landslide **pixels grids** was highest on slopes facing south, followed by those on slopes facing east and southeast. We speculate that this is because rainfall during the typhoon season in Taiwan promotes poor cementation and high weathering on slopes along rivers, which consequently prompts these slopes to develop toward low- 25 lying rivers (which run from the northeast to the southwest) after rainfall events.

Figure 6d shows the relationship between geology and the number of landslide **pixels grids**. As shown in the figure, the Sanhsia Group and its stratigraphic equivalence lead to landslides more easily than does the Lushan Formation in the study area. The Sanhsia Group and its stratigraphic equivalence mainly comprise sandstone, shale, and interbedded sandstone and shale. Shale has weaker cementation, lower strength, and a greater tendency to weather and fracture. By contrast, the Lushan 30 Formation consists of argillite, slate, and interbedded argillite and sandstone, and its strength is controlled by cleaving; some areas are prone to weathering and fracturing. Thus, both rock types are more likely to collapse, but on the whole, the Sanhsia

Group and its stratigraphic equivalence collapse more easily than does the Lushan Formation. Furthermore, this result indicates that the locations of landslide areas within the study area are associated with geology. Figure 6e presents the relationship between slope roughness and the number of landslide pixels grids. The number of landslide pixels grids within a level of slope roughness first increased with the slope roughness and then began to decline once a certain level of slope 5 roughness (35–40) was reached. This result is similar to that of the influence of slope gradient on the number of landslide pixels grids. Figure 6f displays the relationship between terrain roughness and the number of landslide pixels grids. As shown in this figure, the results are similar to those regarding the influence of elevation on the number of landslide pixels grids; the number of pixels grids declined when the terrain roughness was greater than 500 and was very small low the terrain roughness was greater than 1200.

10 Figure 6g illustrates the relationship between distance to water and the number of landslide pixels grids. The presented results show a significantly greater number of landslide pixels grids within 300 m of water. The width of the river channel within the study area was determined to range from 100 to 200 m, revealing that the development of landslide areas near water in the study area is caused by rainfall significantly raising the water level in the river, which scours the slope toe, affects slope stability, and triggers landslides. Figure 6h presents the relationship between distance to road and the number of 15 landslide pixels grids. The presented results reveal that areas between 100 and 300 m from roads had the greatest number of landslide pixels grids. Further examination of the relationship between distance to road and the area and number of landslides revealed that most landslides between 0 and 100 m from roads were small collapses, whereas those between 100 and 300 m from roads were larger in area. The number of landslides 0–100 m from roads was greater than that 100–300 m from roads.

20 The degree of land disturbance can represent the changes of surface conditions including roads, buildings, crops, bare land, and vegetation. A greater degree of land disturbance likely indicates a greater degree of surface changes, which can yield a greater number of landslide pixels grids. Figure 6i shows the relationship between the degree of land disturbance and the number of landslide pixels grids. The presented results indicate that the number of landslide pixels grids increased with the degree of land disturbance.

## 25 **6.2 Landslide scale and spatial distribution**

We employed the terrain tool in ERDAS IMAGINE and the DEM to identify the ridges and valleys in the study area. Following the methods in previous studies (Meunier et al., 2008; Chue et al., 2015), we extracted the distances between the highest point of a landslide area and the nearest ridge ( $dr$ ), between the lowest point of the landslide area and the nearest stream ( $ds$ ), and between the ridge and the stream ( $dt$ ) (Fig. 7). Furthermore, in Taiwan, many slopes are visible on 30 developed, mountain roads built between ridges and streams. Therefore, we explored the spatial distribution of landslides above and below mountain roads. Similar to Fig. 7a, to explore the spatial distribution of landslides, we extracted the distances between the highest point of a landslide area on a slope above a road and the nearest ridge ( $dr$ ), between the lowest point of the landslide area and the nearest mountain road ( $dmu$ ), and between the ridge and the mountain road ( $dtu$ ) (Fig. 7b);

we also investigated this distribution by extracting the distances between the highest point of a landslide area on a slope below a road and the nearest mountain road ( $dm$ ), between the lowest point of the landslide area and the nearest stream ( $ds$ ), and between the mountain road and the stream ( $dtd$ ) (Fig. 7c).

This study examined the spatial distribution of landslides in the region along Provincial Highway No. 20 before and after 5 Typhoon Nanmadol in 2011 and Typhoon Kong-rey in 2013. Using the approach shown in Fig. 7a, we mapped the bare land in the study area, as shown in Fig. 8a–d. Of these figures, Fig. 8a and c show the conditions before the typhoons, whereas Fig. 8b and d present the conditions after the typhoons. The presence of bare locations near the  $Y$  axis ( $dr/dt \approx 0$ ) denotes that the bare land originated near the ridge. By contrast, the presence of bare locations near the  $X$  axis ( $ds/dt \approx 0$ ) denotes that the bare land progressed toward the stream. Thus, the presence of bare locations near the origin denotes that the bare land 10 originated near the ridge and progressed toward the stream.

The results in Fig. 8a–d show more bare locations in the lower right halves of the graphs, some of which are larger in area. The figures indicate fewer bare locations in the upper left halves of the graphs, and the ones that are present are smaller in area. These spatial distribution characteristics are similar to those derived by Meunier et al. (2008). We speculate that this is because the frequency of rainfall-induced landslides increases significantly because of bank erosion, which is shown in the 15 lower right half of Fig. 8 ( $dr/dt \geq 0.5$  and  $ds/dt \leq 0.5$ ). Furthermore, the bare locations before and after Typhoons Nanmadol and Kong-rey show that the bare land does not increase in number but increases significantly in area, implying that old landslides may result in more collapses or expansions of the affected area. In addition, the number of old landslides is greater than that of new landslides.

We explored the spatial distribution of landslides on slopes above (Fig. 9) and below (Fig. 10) mountain roads in the study 20 area before and after Typhoon Kong-rey in 2013. Figure 9a and Fig. 10a present the spatial distribution of bare land before the typhoon, whereas Fig. 9b and Fig. 10b present the spatial distribution of bare land after the typhoon.

As shown in Fig. 9, most landslides on the slopes above the mountain roads occurred close to the roads, most likely because 25 road construction involves cutting the slope toe and increasing the gradient. After the typhoon, the bare locations on the slopes above the roads in the study area did not increase in number significantly; thus, rainfall did not exert a substantial impact on the slopes above the roads. The results in Fig. 10 show bare locations on the slopes below the mountain roads developing from near the roads to the streams. The bare locations near the streams may also have been affected by rainfall-induced bank erosion. However, the bare land near the roads may have been a result of roads being constructed in the study area, which affects slope stability and increases the probability of landslides. Furthermore, the bare locations near the roads 30 slightly increased in number after the typhoon, likely because the roads changed the routes of surface runoff. The area of bare land near the streams also increased, possibly because the water flow scours the slope toe and causes continual bank collapses. Thus, typhoons have a significant impact on the stability of slopes below mountain roads.

## 7 Conclusions

This study applied the maximum likelihood method to interpret and classify satellite images before and after two typhoons in 2011 and 2013. We extracted landslide and land use information from the areas surrounding roads and then compiled the rainfall and DEM data from the typhoon events. By using the MHEM, we established a landslide susceptibility assessment model and examined the relationships between **predisposing causal** factors and the area and number of landslides within the study area, as well as the relationships between roads and the spatial distribution of landslides. The results show that the *Kappa* coefficients associated with the use of the maximum likelihood method to interpret and classify satellite images before and after Typhoon Nanmadol in 2011 and Typhoon Kong-rey in 2013 ranged from 0.53 to 0.66, whereas the *OA* ranged from 61% to 71%, indicating moderately high accuracy. According to the results of the instability index-based landslide susceptibility assessment model, the degree of land disturbance, geology, slope gradient, and slope roughness had the greatest impacts on landslides. A comparison of historical landslides triggered by the typhoons and the results of the hazard map revealed 71% accuracy for Typhoon Nanmadol in 2011 and 75% accuracy for Typhoon Kong-rey in 2013. Regarding the influence of the **predisposing causal** factors, an elevation of 450–750 m, a slope gradient of 30°–55°, and distances within 300 m of water or roads were associated with a larger scale of landslides. The scale of landslides also increased with the degree of land disturbance. The relationships between the ILR, instability index, and landslide occurrence indicate that for a high instability index, even a smaller rainfall event could trigger a landslide. By contrast, for a low instability index, a larger rainfall event could not easily trigger a landslide. Thus, the instability index can effectively reflect landslide susceptibility. Comparisons of the distribution of bare land before and after typhoon events showed that most landslides in the study area were caused by stream water scouring away the toes of bank slopes. Although bare locations did not significantly increase in number after the typhoon events, they increased significantly in area, implying that the number of old landslide areas holding more collapses or expansions was greater than that of new landslide areas developing. In addition, the results obtained from observing changes in slopes above and below mountain roads after the typhoon events indicate that the number of bare locations on the slopes above the roads in the study area did not increase significantly, whereas the bare locations near the roads on the slopes below the roads slightly increased in number after the typhoon events, likely because of the roads changing the routes of surface runoff. The amount of bare land near streams also increased, possibly because the water flow scours the slope toe.

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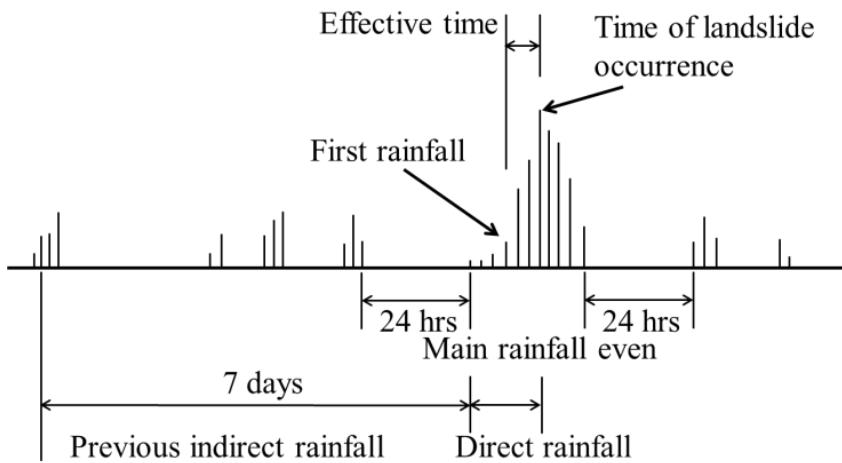


Figure 1: Definition of Rainfall Events based on Effective Accumulated Rainfall (modified from Seo and Funasaki, 1973).

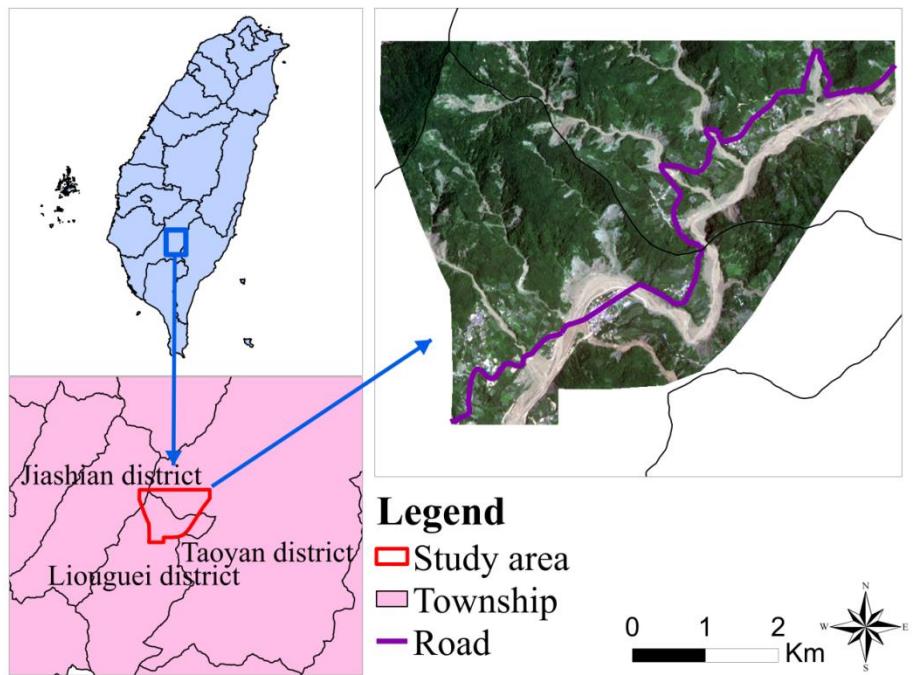
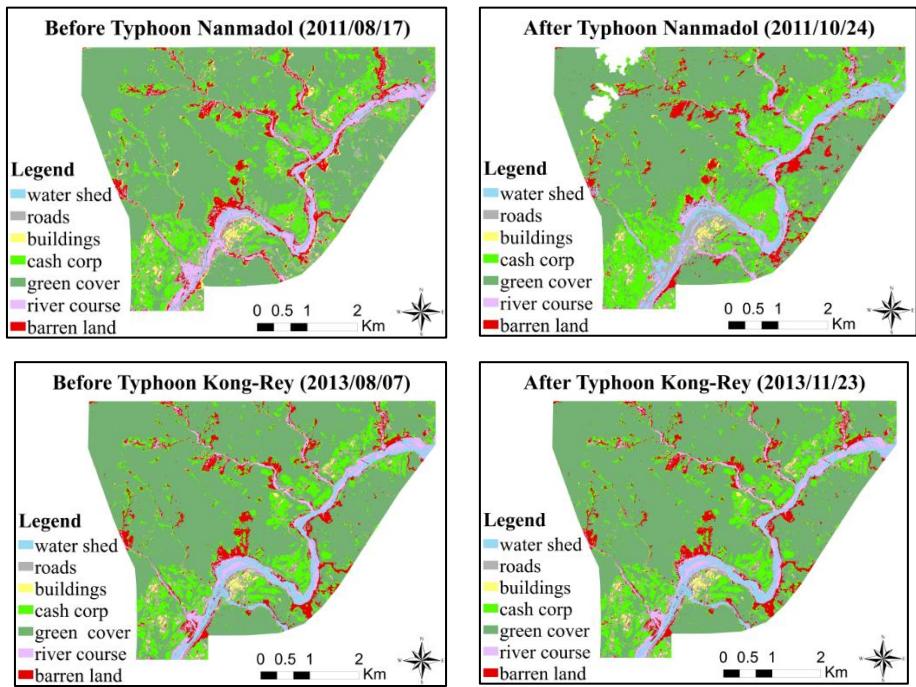


Figure 2: Study Area in the southern Taiwan, purple line depict the distribution of mountain road.



**Figure 3: Interpretation and Classification Results of Satellite Images Before (Left) and After (Right) Typhoon Nanmadol (Top) and Typhoon Kong-rey (Bottom).**

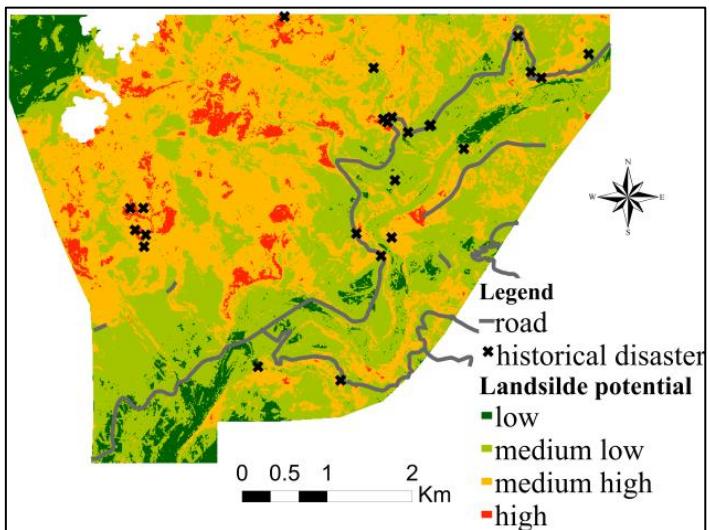
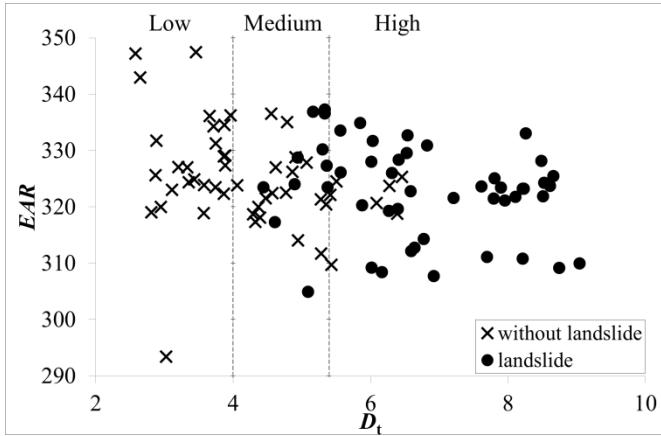
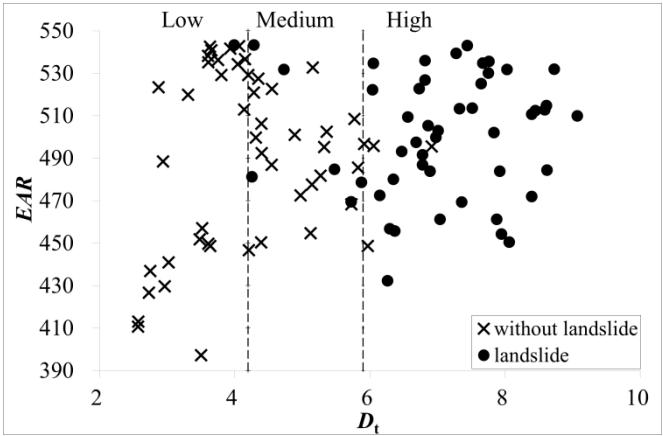


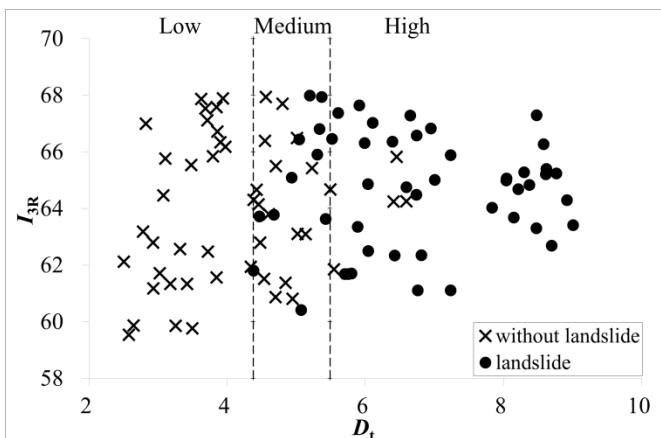
Figure 4: Landslide Susceptibility in Study Area, in which cross symbols represent the historical disasters collected from NCDR (2017).



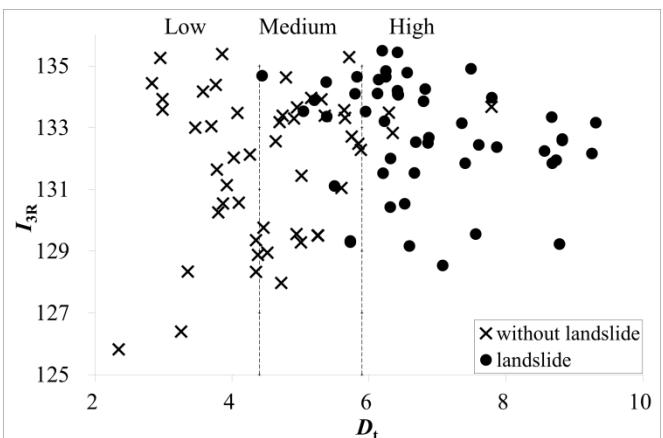
(a) Typhoon Nanmadol (2011) based on  $EAR$



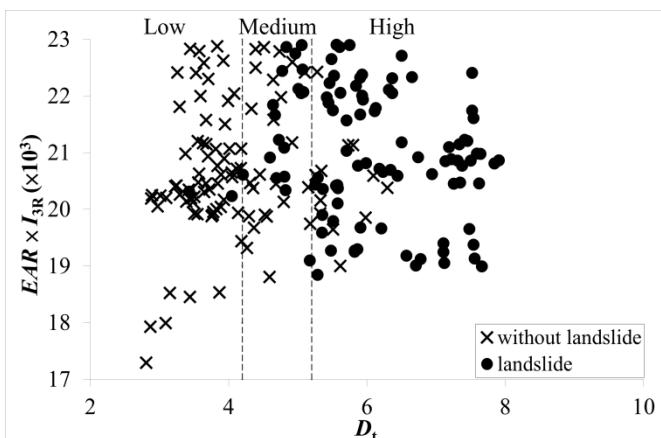
(b) Typhoon Kong-rey (2013) based on  $EAR$



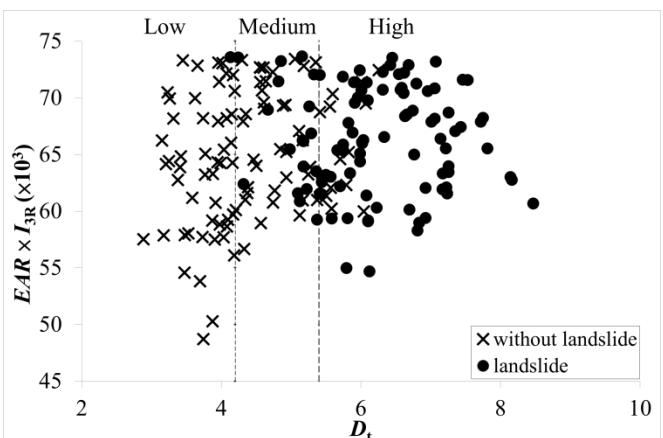
(c) Typhoon Nanmadol (2011) based on  $I_{3R}$



(d) Typhoon Kong-rey (2013) based on  $I_{3R}$

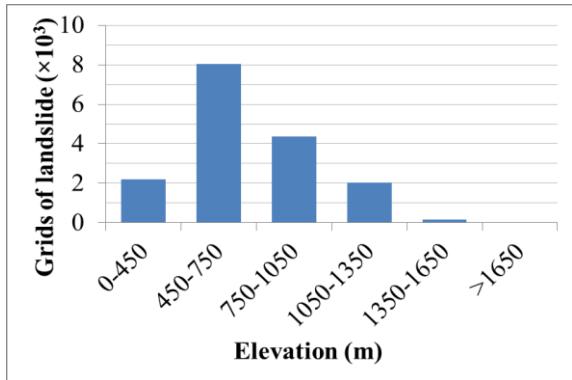


(e) Typhoon Nanmadol (2011) based on  $EAR \times I_{3R}$

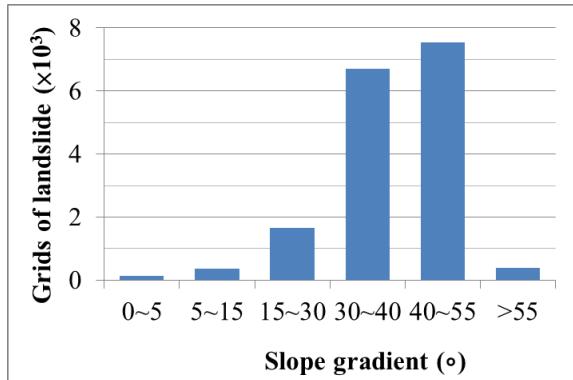


(f) Typhoon Kong-rey (2013) based on  $EAR \times I_{3R}$

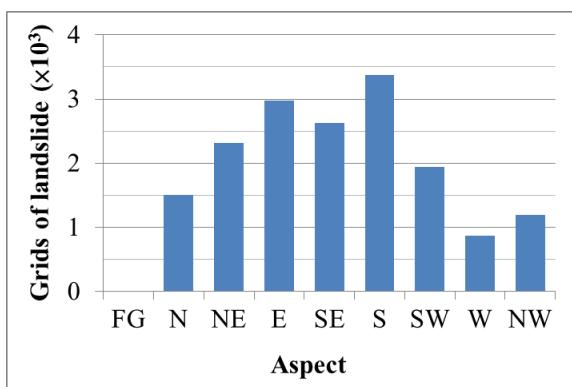
**Figure 5: Relationships among Instability Index, Effective Accumulated Rainfall, and Landslide Occurrence in Study Area after Typhoons Nanmadol (2011) and Kong-rey (2013), respectively.**



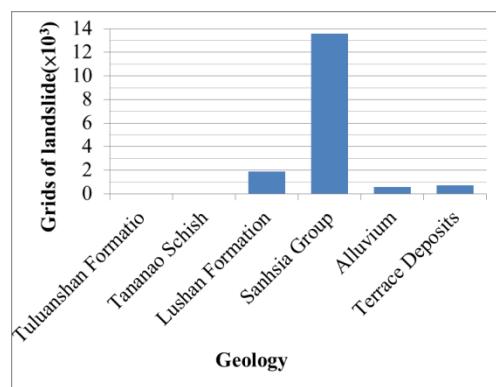
(a) Elevation



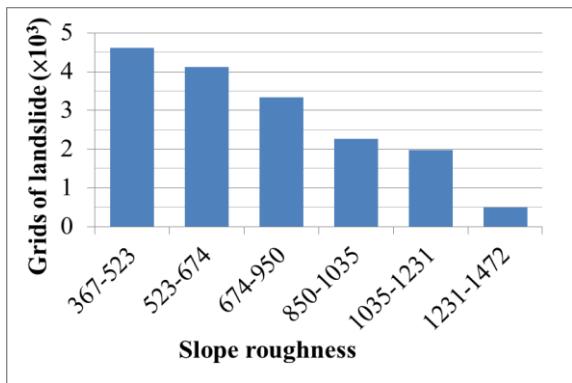
(b) Slope gradient



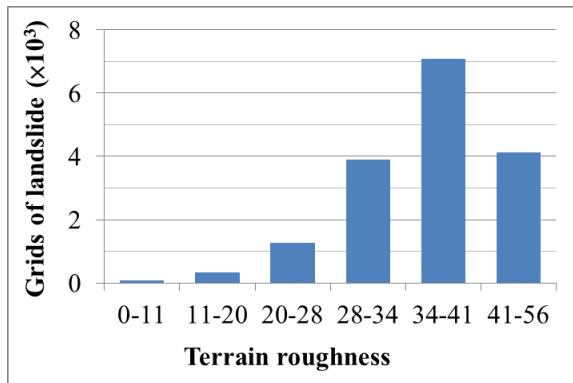
(c) Aspect



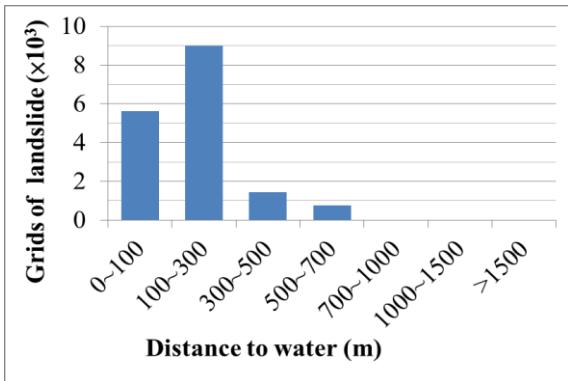
(d) Geology



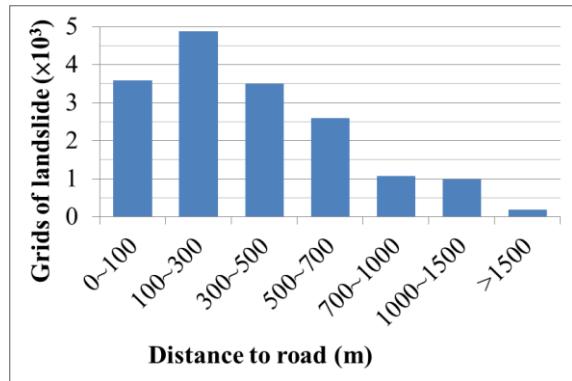
(e) Slope roughness



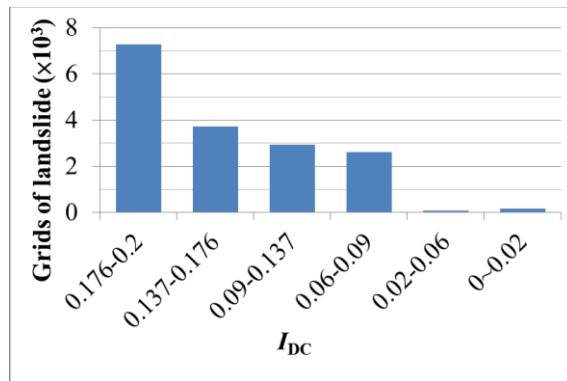
(f) Terrain roughness



(g) Distance to water

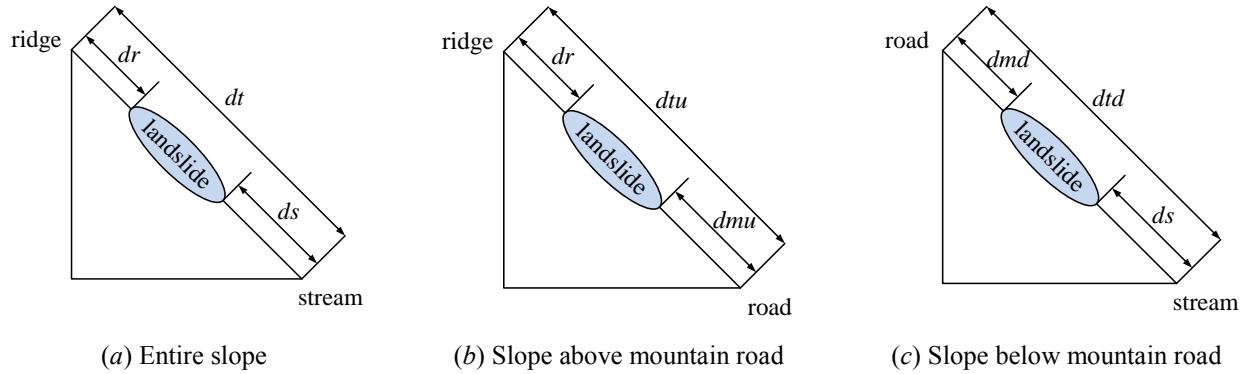


(h) Distance to road

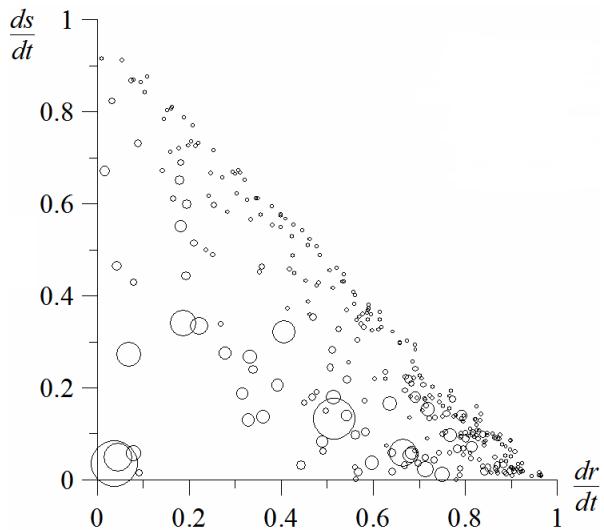


(i) Degree of land disturbance

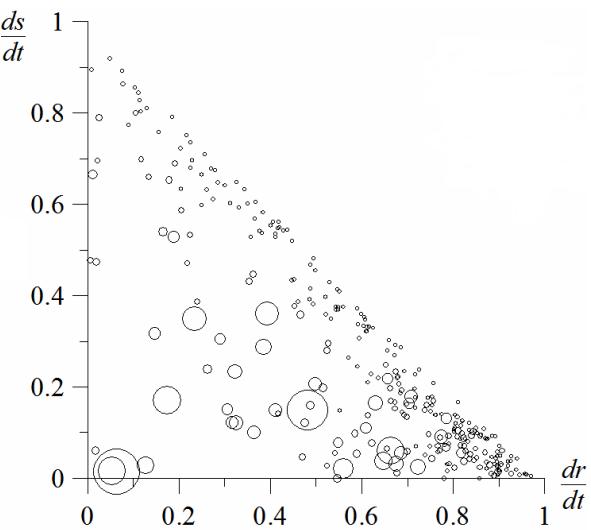
**Figure 6: Relationships between Landslide Predisposing Factors and Number of Landslide Pixels in Study Area.**



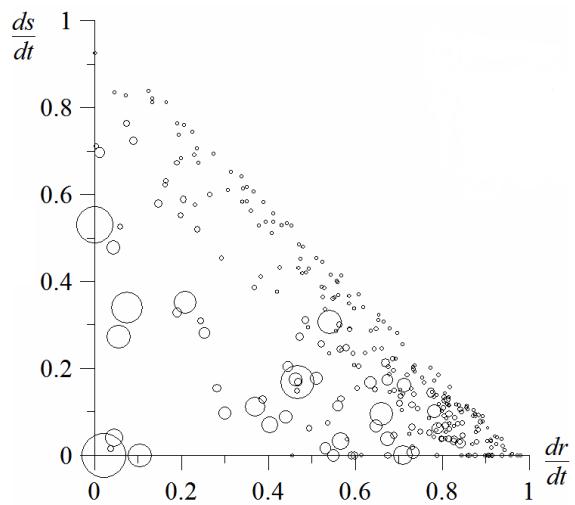
**Figure 7: Diagrams of Landslide Area on Slope**, in which  $dr$  represents the distance between the highest point of a landslide area and the nearest ridge,  $ds$  the distance between the lowest point of the landslide area and the nearest stream, and  $dt$  the distance between ridge and stream.



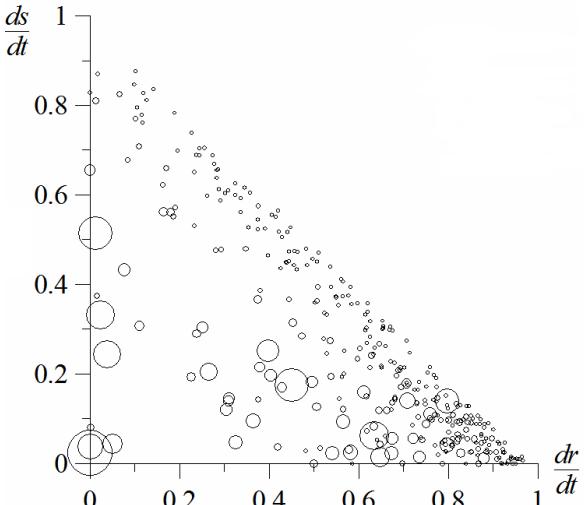
(a) Before Typhoon Nanmadol in 2011



(b) After Typhoon Nanmadol in 2011



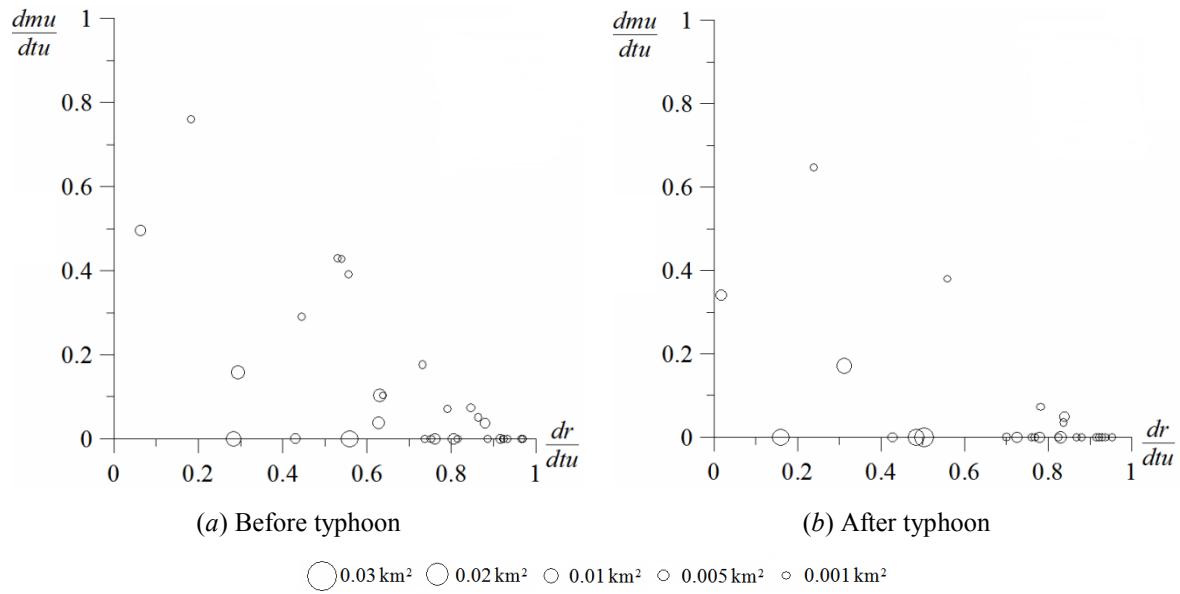
(c) Before Typhoon Kong-rey in 2013



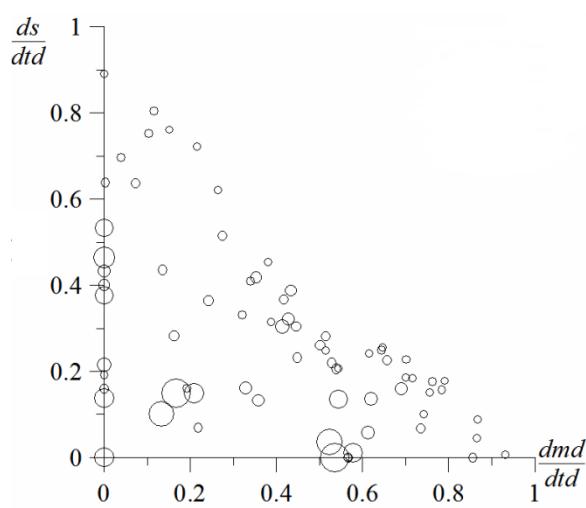
(d) After Typhoon Kong-rey in 2013

○ 0.05 km<sup>2</sup> ○ 0.03 km<sup>2</sup> ○ 0.01 km<sup>2</sup> ○ 0.005 km<sup>2</sup> ○ 0.001 km<sup>2</sup>

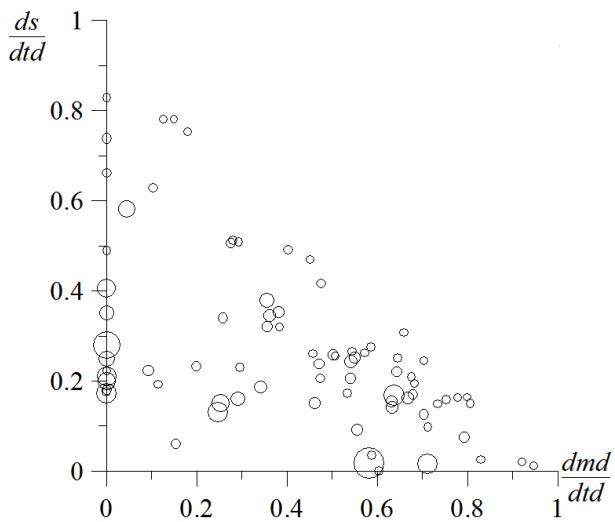
**Figure 8: Spatial Distribution of Bare Land in the Study Area before and after the Typhoons Nanmadol (Top) and Typhoon Kong-rey (Bottom), the scales of bubble reflect the area of each bare land.**



**Figure 9: Spatial Distribution of Bare Land on Slopes above Mountain Roads in the Study Area before and after Typhoon Kong-rey in 2013, the scales of bubble reflect the area of each bare land.**



(a) Before typhoon



(b) After typhoon

○ 0.03 km<sup>2</sup> ○ 0.02 km<sup>2</sup> ○ 0.01 km<sup>2</sup> ○ 0.005 km<sup>2</sup> ○ 0.001 km<sup>2</sup>

**Figure 10: Spatial Distribution of Bare Land on Slopes below Mountain Roads in the Study Area before and after Typhoon Kong-rey in 2013, the scales of bubble reflect the area of each bare land.**

**Table 1: Relationship Table of Error Matrix (Verbyla, 1995).**

		Actual ground surface		Total
		Class A	Class B	
Classification results	Class A	$X_{11}$	$X_{12}$	$X_{+i}$
	Class B	$X_{21}$	$X_{22}$	$X_{+i}$
Total		$X_{i+}$	$X_{i+}$	$X_{++}$

**Table 2: Error Matrix of Interpretation Results of Satellite Images before and after Typhoon Kong-rey in 2013.**

	Water	Roads	Buildings	Crops	Vegetation	River channels	Bare land	Subtotal	User's accuracy (%)
Water	15	0	0	0	0	0	0	15	100
Roads	1	7	2	0	0	3	0	10	70
Buildings	2	0	22	0	0	0	0	24	92
Crops	0	4	0	11	0	0	1	16	69
Vegetation	1	5	0	12	25	0	2	45	56
River channels	6	3	1	0	0	24	4	38	63
Bare land	0	6	0	2	0	1	18	27	67
Subtotal	25	25	25	25	25	25	25	175	
Producer's accuracy (%)	60	28	88	44	100	95	72		
<i>Kappa = 0.64; OA = 70%</i>									

**Table 3: Interpretation Results of Satellite Images before and after Typhoons Nanmadol and Kong-rey.**

Time of satellite image	<i>Kappa</i>	<i>OA (%)</i>
Before Typhoon Nanmadol (2011.08.17)	0.64	69
After Typhoon Nanmadol (2012.10.14)	0.53	61
Before Typhoon Kong-rey (2013.08.17)	0.66	71
After Typhoon Kong-rey (2013.11.23)	0.64	70

**Table 4: Scores of Index for Disturbance Condition (revised from Chen et al., 2009, 2013).**

Index for disturbance condition	Bare land	Roads	Buildings	Crops	Vegetation
Score	5	4	2	2	1

**Table 5: Information of Weather Stations Used in This Study (Central Weather Bureau).**

Station No.	Station name	Longitude (degrees)	Latitude (degrees)	X(TWD97 Taiwan)	Y(TWD97 Taiwan)
C10880	Guan-shan	120.5941	23.1734	208443.362	2563542.352
C0V150	Biao-hu	120.6647	23.2602	215693.732	2573135.951
C1V220	Hsiao-Guan-shan	120.8136	23.1542	230913.463	2561372.400
C1V230	Gao-jhong	120.7167	23.1349	220987.130	2559250.525
C1V240	Siu-fa	120.6601	23.0521	215169.331	2550097.980
C0V250	Ha-sian	120.5918	23.0801	208178.971	2553211.279
C1V270	Xi-nan	120.8064	23.0760	230166.772	2552711.336

**Table 64: Effective Accumulated Rainfall and Intensity of Rolling Rainfall Observed at Weather Stations during Typhoon Nanmadol and Typhoon Kong-rey.**

Weather station name	2011 Typhoon Nanmadol		2013 Typhoon Kong-rey	
	EAR (mm)	Max $I_{3R}$ (mm/h)	EAR (mm)	Max $I_{3R}$ (mm/h)
Guan-shan	74	57	376	147
Biao-hu	68	39	413	145
Hsiao Guan-shan	101	48	415	123
Gaojhong	337	69	544	136
Sinfa	504	61	288	123
Jiasian	379	46	233	101
Xi-nan	192	48	518	102

**Table 75: Correlation Test Results Between the Predisposing Factors.**

	Elevation	Slope gradient	Aspect	Slope roughness	Terrain roughness	Distance to water	Distance to road	$I_{DC}$	EAR
Elevation	1	0.39	-0.01	0.47	0.99	0.52	0.62	-0.23	-0.66
Slope gradient	-	1	-0.07	0.85	0.37	0.11	0.18	-0.09	-0.19
Aspect	-	-	1	-0.09	-0.03	0.13	0	0	-0.12
Slope roughness	-	-	-	1	0.48	0.14	0.23	-0.11	-0.25
Terrain roughness	-	-	-	-	1	0.53	0.63	-0.24	-0.67
Distance to water	-	-	-	-	-	1	0.49	-0.21	-0.47
Distance to road	-	-	-	-	-	-	1	-0.14	-0.61
$I_{DC}$	-	-	-	-	-	-	-	1	0.14
EAR	-	-	-	-	-	-	-	-	1

**Table 86: Paired Sample t Test Results Between Elevation and Terrain Roughness and Slope Gradient and Slope Roughness.**

	Paired difference					t	Degree of freedom	Significance (Two-tailed)			
	Mean	S.D.	Standard error of mean	95% confidence interval of difference							
				Upper limit	Lower limit						
Elevation-terrain roughness	-2.69	46.5	0.07	-2.83	-2.54	-36.8	407493	0			
Slope gradient-slope roughness	-0.11	7.9	0.01	-0.14	-0.08	-9.1	407493	0			

**Table 9: Weights and Scores of Predisposing Factors after Rainfall Brought by Typhoon Nannmadol in 2011.**

Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score	Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score
Elevation ( $E_r$ )	1	0	0	0	+	Slope gradient ( $S_g$ )	1	0	0	0	+
	2	0	0	0	+		2	4096	378	0.092	9.21
	3	8377	175	0.021	4.62		3	74623	7545	0.101	10
	4	45633	2043	0.045	8.75		4	119696	6704	0.056	5.99
	5	84049	4370	0.052	10		5	100477	1666	0.017	2.48
	6	209648	8023	0.038	7.62		6	61442	369	0.006	1.53
	7	59787	2182	0.036	7.32		7	47160	131	0.003	1.25
$\sigma=0.021, V=0.764, W=0.087$						$\sigma=0.044, V=1.111, W=0.127$					
Aspect ( $A_s$ )	1	72961	3381	0.046	10	$I_{DC}$	1	18462	7278	0.394	10
	2	129113	4569	0.035	7.87		2	37591	3735	0.099	3.26
	3	95534	3839	0.040	8.80		3	33686	2924	0.087	2.97
	4	75666	2505	0.046	10		4	78510	2611	0.022	1.75
	5	34220	1499	0.044	9.51		5	216535	83	0	+
	6	0	0	0	+		6	22701	162	0.007	1.15
	$\sigma=0.018, V=0.504, W=0.058$						$\sigma=0.148, V=1.431, W=0.163$				
Slope roughness ( $S_r$ )	1	32672	4136	0.127	10	Terrain roughness ( $T_r$ )	1	20809	496	0.024	+
	2	83465	7085	0.084	7.01		2	36844	1969	0.053	10
	3	104560	3903	0.037	3.6		3	47547	2257	0.047	8.18
	4	75349	1260	0.017	2.12		4	67105	3330	0.050	8.84
	5	51143	342	0.007	1.4		5	98836	4121	0.042	6.43
	6	60305	67	0.001	+		6	136353	4620	0.034	4.05
	$\sigma=0.05, V=1.098, W=0.125$						$\sigma=0.011, V=0.266, W=0.03$				
Distance to water ( $D_w$ )	1	134611	5610	0.042	8.08	Distance to road ( $D_r$ )	1	165766	3581	0.022	+
	2	169659	8983	0.053	10		2	120008	4871	0.041	3.08
	3	69076	1446	0.021	4.56		3	44993	3505	0.078	7.16
	4	19906	754	0.038	7.44		4	25015	2597	0.104	10
	5	8336	0	0	+		5	25101	1065	0.042	3.28
	6	5627	0	0	+		6	21848	986	0.045	3.58
	7	249	0	0	+		7	4763	188	0.039	2.96
$\sigma=0.023, V=1.029, W=0.117$						$\sigma=0.028, V=0.528, W=0.058$					

Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score	Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score
<b>EAR</b>	1	15768	139	0.00882	2.05196	<b>Geology (G)</b>	1	70071	738	0.011	2.56
	2	113386	3590	0.03166	4.77831		2	43675	598	0.014	3.02
	3	163395	7879	0.04822	6.75433		3	222814	13575	0.061	10
	4	73522	3191	0.0434	6.17931		4	70034	1882	0.027	4.92
	5	26439	1994	0.07542	10		5	0	0	0	1
	6	14984	0	0	1		6	0	0	0	1
$\sigma=0.028, V=0.797, W=0.091$						$\sigma=0.023, V=1.233, W=0.141$					

**Table 407: Intervals of Instability Index and Landslide Probability of Rainfall Factors.**

Rainfall factor	$D_{t,min}$	$D_{t,max}$	$P(F)_{min}$	$P(F)_{max}$
$EAR$	2.05	9.59	0.312	0.982
$I_{3R}$	2.02	9.96	0.305	0.998

**Table 4.8: Accuracy of Landslide Susceptibility Map in Considering Different Rainfall Factors and Typhoons.**

Typhoon event	Rainfall factor	Landslide susceptibility at locations of 24 historical disasters				Accuracy (%)	Mean accuracy (%)
		Low susceptibility	Medium low susceptibility	Medium high susceptibility	High susceptibility		
Typhoon Nammadol (2011)	<i>EAR</i>	2	5	11	6	71%	71%
	<i>I<sub>3R</sub></i>	3	4	13	4	71%	
Typhoon Kong-rey (2013)	<i>EAR</i>	2	4	13	5	75%	75%
	<i>I<sub>3R</sub></i>	2	4	11	7	75%	

**Table 129: Numbers of Landslide Pixels in Study are corresponding to Different  $D_t$  Levels under Different Rainfall Factors after Typhoons.**

Rainfall event	Numbers of landslide and non-landslide pixel (Proportion of landslide pixel)		Number of pixels in each level based on <i>EAR</i>			Number of pixels in each level based on $I_{3R}$		
			$D_t$ level			$D_t$ level		
			Low	Medium	High	Low	Medium	High
Typhoon Nanmadol (2011)	Whole area	Landslide	16793	211	3031	13551	216	3603
		Non-landslide	390710	168259	166289	56153	177396	166358
		(Landslide/ Non-landslide)	0.00125	0.01822	0.24132	0.00122	0.02166	0.27635
	Random sampling	Landslide	50	0	11	39	0	12
		Non-landslide	50	24	21	5	25	21
Typhoon Kong-rey (2013)	Whole area	Landslide	20771	392	4303	16076	434	4482
		Non-landslide	396175	182810	181824	31541	181079	185305
		(Landslide/ Non-landslide)	0.00214	0.02367	0.50969	0.00240	0.02419	0.53221
	Random sampling	Landslide	50	1	6	43	0	11
		Non-landslide	50	27	20	3	20	27

## Appendix A

**Table A1: Weights and Scores of Predisposing Factors after Rainfall Brought by Typhoon Nanmadol in 2011.**

Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score	Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score
Elevation ( $E_l$ )	1	0	0	0	1	Slope gradient ( $S_s$ )	1	0	0	0	1
	2	0	0	0	1		2	4096	378	0.092	9.21
	3	8377	175	0.021	4.62		3	74623	7545	0.101	10
	4	45633	2043	0.045	8.75		4	119696	6704	0.056	5.99
	5	84049	4370	0.052	10		5	100477	1666	0.017	2.48
	6	209648	8023	0.038	7.62		6	61442	369	0.006	1.53
	7	59787	2182	0.036	7.32		7	47160	131	0.003	1.25
$\sigma=0.021, V=0.764, W=0.087$						$\sigma=0.044, V=1.111, W=0.127$					
Aspect ( $A_s$ )	1	72961	3381	0.046	10	$I_{DC}$	1	18462	7278	0.394	10
	2	129113	4569	0.035	7.87		2	37591	3735	0.099	3.26
	3	95534	3839	0.040	8.80		3	33686	2924	0.087	2.97
	4	75666	3505	0.046	10		4	78519	2611	0.033	1.75
	5	34220	1499	0.044	9.51		5	216535	83	0	1
	6	0	0	0	1		6	22701	162	0.007	1.15
$\sigma=0.018, V=0.504, W=0.058$						$\sigma=0.148, V=1.431, W=0.163$					
Slope roughness ( $S_r$ )	1	32672	4136	0.127	10	Terrain roughness ( $T_r$ )	1	20809	496	0.024	1
	2	83465	7085	0.084	7.01		2	36844	1969	0.053	10
	3	104560	3903	0.037	3.6		3	47547	2257	0.047	8.18
	4	75349	1260	0.017	2.12		4	67105	3330	0.050	8.84
	5	51143	342	0.007	1.4		5	98836	4121	0.042	6.43
	6	60305	67	0.001	1		6	136353	4620	0.034	4.05
$\sigma=0.05, V=1.098, W=0.125$						$\sigma=0.011, V=0.266, W=0.03$					
Distance to water ( $D_s$ )	1	134641	5610	0.042	8.08	Distance to road ( $D_r$ )	1	165766	3581	0.022	1
	2	169659	8983	0.053	10		2	120008	4871	0.041	3.08
	3	69076	1446	0.021	4.56		3	44993	3505	0.078	7.16
	4	19906	754	0.038	7.44		4	25015	2597	0.104	10

Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score	Predisposing factor	Class No.	Number of pixel	Number of landslides	Landslide percentage	Score
	5	8336	0	0	1		5	25101	1065	0.042	3.28
	6	5627	0	0	1		6	21848	986	0.045	3.58
	7	249	0	0	1		7	4763	188	0.039	2.96
$\sigma=0.023, V=1.029, W=0.117$						$\sigma=0.028, V=0.528, W=0.058$					
EAR	1	15768	139	0.00882	2.05196	Geology ( $G_s$ )	1	70071	738	0.011	2.56
	2	113386	3590	0.03166	4.77831		2	43675	598	0.014	3.02
	3	163395	7879	0.04822	6.75433		3	222814	13575	0.061	10
	4	73522	3191	0.0434	6.17931		4	70934	1882	0.027	4.92
	5	26439	1994	0.07542	10		5	0	0	0	1
	6	14984	0	0	1		6	0	0	0	1
$\sigma=0.028, V=0.797, W=0.091$						$\sigma=0.023, V=1.233, W=0.141$					