



# 1 Variations in return value estimate of ocean surface waves - a 2 study based on measured buoy data

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7 **Abstract.** An assessment of extreme wave characteristics during the design of marine facilities not only helps to  
8 ensure its safety but also the economic aspects. In this study, return levels for different periods are estimated  
9 using Generalized Extreme Value (GEV) and Generalized Pareto Distribution (GPD) based on the waverider  
10 buoy data spanning for eight years. The analysis is carried out for wind-sea, swell and total significant wave  
11 heights separately. Seasonality of prevailing wave climate is also considered in the analysis to provide return  
12 levels for short-term activities in the location. The study shows that Initial Distribution Method (IDM)  
13 underestimates return levels compared to that of GPD. Maximum return levels estimated by GPD corresponding  
14 to 100 years is 5.83 m for monsoon season (JJAS), and corresponding pre-monsoon (FMAM) and post-monsoon  
15 (ONDJ) values are 2.66 m and 4.28 m respectively. Intercomparison of return levels by block maxima and r-  
16 largest method for GEV theory shows that maximum return level for 100 years is 7.24 m by r-largest series  
17 followed by monthly maxima (6.18 m) and annual maxima (5.78 m) series. The analysis is also carried out to  
18 understand the sensitivity of the number of observation for GEV annual maxima estimates. It indicates that the  
19 variations in the standard deviation of the series caused by changes in the number of observation are positively  
20 correlated with the return level estimates.

21 **Keywords:** surface waves, return period, Extreme value distribution, design wave height, north Indian Ocean

## 22 1. Introduction

23 Coastal zones are relatively dynamic than rest of the regions due to numerous natural as well as anthropogenic  
24 activities. Events such as extreme waves, storm surges, and coastal flooding make large catastrophes in the  
25 coastal region. Various marine activities like the design of coastal and offshore facilities, planning of harbor  
26 operations, and ship design require detailed assessment of wave characteristics with certain return periods  
27 (Caires et al., 2005; Menéndez et al., 2009; Goda et al., 2010). Generally, Extreme Value Theory (EVT) is used  
28 for determination of return levels by adopting statistical analysis of historic time series of wave heights obtained  
29 from various sources such as in-situ buoy measurements (eg.: Soares and Scotto, 2004; Mendez et al., 2008;  
30 Viselli et al., 2015), satellite data (eg.: Alves et al., 2003; Izaguirre et al., 2010), and hindcasted or reanalysis  
31 data by numerical models (eg.: Goda et al., 1993; Caires and Sterl, 2005; Teena et al., 2012; Jonathan et al.,  
32 2014). EVT consists of two type of distributions viz. Generalized Extreme Value (GEV) family which includes  
33 Gumbel, Frechet, and Weibull distributions (Gumbel, 1958; Katz et al., 2002) and Generalized Pareto  
34 Distribution (GPD) which incorporates Peak Over Threshold (POT) approach (Pickands, 1975; Coles, 2001).

35 GEV distribution by annual maxima (AM) observations (Goda et al., 1992) is one of the widely used methods in  
36 the Extreme Value Analysis (EVA). The main difficulty with using this method is that the unavailability of a  
37 reliable observation at a location of interest. To overcome the data scarcity, different alternatives has been used



38 by various authors such as Initial Distribution Method (IDM) in which the distribution of data as such is used  
39 (Alves and Young, 2003), r-largest approach (Smith, 1986), where a number of largest observation from a block  
40 of period are considered rather than one observation used in AM method. POT method (Abild et al., 1992) gives  
41 a good number of observations available for the analysis. GPD are another class of distribution introduced by  
42 Pickands (1975) and is used by several authors like Caires and Sterl (2005) and Thevasiyani et al. (2014). Teena  
43 et al. (2012) and Samayam et al. (2017) have carried out the EVA of ocean surface waves in the northern Indian  
44 Ocean based on wave hindcast data and ERA-Interim reanalysis data.

45 Most reliable source of ocean wave data is buoy measurements, and it can be used for EVA (Panchang et al.,  
46 1999). In this paper, data from a directional waverider buoy located in the central western shelf of India is used.  
47 Seasonality is one of the important aspects of climate data and therefore, it should be incorporated in the EVA  
48 of waves especially in a region like the Arabian Sea. Seasonal analysis of the extremes helps for the planning of  
49 short-term marine activities like offshore explorations, maintenance of coastal facilities, etc. In the present  
50 paper, the EVA is carried out by following both the GEV and GPD methods considering wind-sea, swell and  
51 total significant wave height (Hs) separately. The IDM and POT methods are used for total wave height  
52 analysis, and block maxima (annual and monthly maxima) and r-largest method are used in wind-sea and swell  
53 height analysis.

54 The paper is organized as follows. Section 2 deals with data and methodology used in the analysis. It also  
55 presents the threshold selection adopted in the study and Sect. 3 explains the results obtained in the analysis  
56 categorized into seasons using total Hs data and comparison of return level estimation by different GEV  
57 approaches using wind-sea and swell height data. A case study is also included in the section for realizing the  
58 uncertainty related to observations in AM approach when limited number of observations are available. Section  
59 4 provides the concluding remarks.

## 60 2. Data and Methodology

61 Data used in the analysis is obtained from Datawell directional waverider buoy deployed off Honnavar  
62 (14.304°N, 74.391°E) at a water depth of 9m. The half hourly sampled data covers the period from March 2008  
63 to February 2016. The waves at the location show strong intra-annual variations due to the prevailing wind  
64 system during monsoon and non-monsoon seasons (Sanil Kumar et al., 2014). To understand the local and  
65 remote influences on the design wave characteristics, we did analysis on Hs of wind-sea, swell and total waves  
66 separately. The season wise study is also carried out since it will provide insight to the design wave heights for  
67 short-term coastal activities.

68 EVA is carried out by following GEV Distribution model and POT method in which exceedance over a reliable  
69 threshold wave height can be fit into GPD. In POT method, distribution of excess,  $x$ , over a threshold  $u$  is  
70 defined as:

$$71 F_u(y) = \Pr\{x - u \leq x | x > u\} = \frac{F(x) - F(u)}{1 - F(u)} \quad (1)$$

72 Where  $y=x-u$ . Pickands (1975) shows that distribution function of excess,  $F_u(y)$ , for a sufficiently high  
73 threshold  $u$  converges to GPD having CDF as:



$$74 \quad G(x; k, \alpha, \beta) = \left\{ 1 - \left( 1 - k \frac{x-\beta}{\alpha} \right)^{1/k} \right\} \quad k \neq 0 \quad (2)$$

$$75 \quad = 1 - e^{-(x-\beta)/\alpha} \quad k = 0$$

76 GEV has cumulative distribution function (CDF) as:

$$77 \quad F(X) = \exp \left\{ - \left( 1 - k \left( \frac{x-\beta}{\alpha} \right)^{1/k} \right) \right\} \quad k \neq 0 \quad (3)$$

$$78 \quad = \exp \left\{ - \exp \left( - \frac{x-\beta}{\alpha} \right) \right\} \quad k = 0$$

79 Where  $\alpha$  is scale parameter in the range of  $\alpha > 0$ ,  $\beta$  is the location parameter with possible values of  $-\infty < \beta < \infty$   
80 and  $k$  is the shape parameter in the range of  $-\infty < k < \infty$ . GPD can be further categorized into three distributions  
81 based on its tail features. When  $k=0$ , GPD corresponds to an exponential distribution (medium-tailed or Pareto I  
82 type) with mean  $\alpha$ ; when  $k>0$ , GPD is short-tailed also known as Pareto II type; when  $k<0$ , distribution takes the  
83 form of ordinary Pareto distribution having long-tailed distribution (also known as Pareto III type). Parameter  
84 estimation and statistical distribution fitting are carried out by using WAFO (Brodtkorb et al., 2000) developed  
85 by Lund University, Sweden.

86 The analysis is carried out by using the wind-sea, swell and total Hs data covering ~ 8 years (2008-2016). GPD  
87 method is used for seasonal analysis of different time period data series. GEV method is used for inter-  
88 comparison of return level estimation among wind-sea, swell and resultant data sets by extracting different  
89 block maxima series viz. seasonal maxima which contain highest observations from each season; monthly  
90 maxima contain one highest observation from each month, and annual maxima. The parameters are estimated  
91 using PWM method since the data set duration are very limited, and PWM method holds good results compared  
92 to other methods such as Maximum Likelihood (ML) method (Hosking et al., 1985).

93 To study the uncertainties related to the length of the observation, we extracted 3, 6, 12 and 24 h data series  
94 from the half hourly original data and carried out EVA. Since the wave climate in the study location strongly  
95 characterized by the prevailing seasonal behavior of wind system, we took further consideration of uncertainties  
96 related to a seasonal aspect of wave climate by extracting three seasonal data, viz., pre-monsoon (FMAM),  
97 monsoon (JJAS) and post-monsoon (ONDJ) seasons.

## 98 2.1 Threshold selection

99 The major drawback of EVA using block maxima method, especially the annual maxima (AM), is that it do not  
100 consider the significant amount of observations which are closely related to storm features of the data set. Those  
101 omissions of observation would make variations in the final results of EVA to a great extent especially in the  
102 case when EVA is done for a very limited data set. EVT is based on one of the hypothesis that the observations  
103 under consideration are independent and identically distributed (Coles, 2001). In the case of ocean wave  
104 observations, we can expect its identical status for a large extent. Since POT approach re-samples the data over  
105 a threshold value, establishing identical and independence among the re-sampled observation is a tedious task. A  
106 suitable combination of threshold and minimum separation time between the re-sampled observations must be  
107 taken into account to establish independence among the observations.



108 The average duration of tropical storms in the Arabian Sea is 2-3 days (Shaji et al., 2014). So, in the present  
109 analysis, we fixed minimum 48 hours of separation time in between two consecutive storm peaks to ensure the  
110 independence of data points for the analysis. Then selected a tentative threshold value in such a way that there  
111 must be at least 15 peak values per year on average. That resulted at least 120 data points in each sub data sets  
112 used for the seasonal analysis. The resulting data series are used in further POT analysis. Further adjustment of  
113 the threshold is carried by Sample Mean Excess (SME) plots and Parameter Stability plots (PS plot). From these  
114 plots, we selected probable four thresholds and fitted corresponding GPD. A final threshold value is chosen by  
115 analyzing results obtained in different Goodness of Fit (GOF) tests such as Kolmogorov-Smirnov (KS) test,  
116 Anderson-Darling (AD) test and Cramer-von Mises (CM) test (Stephens, 1974; Choulakian et al., 2001).

117 The distributions used in the analysis is validated using graphical tools like Quantile-Quantile (Q-Q) plots and  
118 CDF plots. In addition to above graphical tools, we checked the reliability of chosen thresholds for POT method  
119 by using different GOF tests such as KS, AD and CM tests (Table 1).  $p\text{-value} > 0.05$  indicates the selected  
120 distribution does not show a significant difference from the original data within 5 % significance interval.

## 121 3. Results and Discussion

### 122 3.1 Long-term statistical analysis of total Hs

123 The mean wave climate at the study location is characterized by annual mean Hs of 1.04 m. Maximum Hs of the  
124 data is 4.75 m and the highest wind-sea, and swell Hs are 4.29 m and 4.28 m respectively. Statistical analysis of  
125 Hs was carried out by considering the seasonal characteristics of the wave climate. In order to study the seasonal  
126 aspects of the return level estimation, the data is grouped into three different seasonal series, viz. FMAM, JJAS  
127 and ONDJ seasons in addition to full-year data. Since the study location is located off the central west coast of  
128 India, the wave climate shows distinct variability throughout a year. Previous studies like Anoop et al. (2015)  
129 reported that average Hs attains its peak around 3 m during JJAS and FMAM season is relatively calm (0.5-1.5  
130 m) compared to that (1.5-2 m) in ONDJ. The seasonal analysis is carried out using Hs data following both the  
131 GEV and GPD methods. Here, Initial Distribution Method (IDM) is considered in GEV method rather than  
132 block maxima (Mathiesen et al., 1994). One of the challenging tasks for GPD modeling is the selection of a  
133 suitable threshold value. The threshold should be high enough for observations to be independent and data after  
134 POT must have enough number of observations left in order to converge POT into GPD. SME plots and PS plots  
135 are used to select a range of initial thresholds. On analyzing the resultant GPD fit for those thresholds, final  
136 thresholds are chosen by the help of GOF tests which are presented in Table 1. Figure 1 and Table 2 shows the  
137 estimated parameters using PWM method for both GEV and GPD. It is clear that shape parameters in both cases  
138 are negative indicating the models are Type III distribution for GPD and Weibull distribution for GEV  
139 respectively. Table 2 also shows the RMSE in the chosen model for each data series with estimated CDF. It is  
140 evident that JJAS season has lesser RMSE (~0.07 m on average) when considering GPD model. While in the  
141 case of GEV model, full year data series has lesser RMSE (~0.02 m on average). ONDJ season shows a higher  
142 discrepancy in both cases resulting an average RMSE of 0.31 m and 0.54 m for GPD and GEV respectively.  
143 Figure 2 shows a typical SME and PS plots used for choosing a range of thresholds before fixing final threshold  
144 for POT analysis on each series. In this particular case (6 h data series of FMAM season) a range of thresholds



145 from 1.10 m to 1.32 m were selected, and the final threshold of 1.19 m was fixed on analyzing the GOF test  
146 results (Table 1).

### 147 3.1.1 Full year

148 Here, we considered full year data series without dealing with seasonality and both the GEV and GPD are used  
149 in the analysis. Initially, a range of thresholds from 2.5 to 3.4 m was selected, and further adjustment of  
150 threshold is carried out by analyzing the GOF test results. Table 1 shows the selected thresholds and  
151 corresponding GOF test results for each series in the full year data analysis. It is clear that the selected  
152 thresholds are in good agreement with GOF test results. Both KS test and CM test gives p-value  $> 0.45$ .  
153 Moreover, both CDF plots and Q-Q plots (see Figure 3: first and second rows, respectively) show selected GPD  
154 models made a good performance for the particular POT series. After acquiring best fit model, return levels  
155 (Table 3) were estimated for 10, 50 and 100 years. The GPD model estimates 10-year return level smaller than  
156 that of maximum measured total Hs value by an extent of 5 to 15 %. Underestimation of 10 to 25 % from the  
157 maximum measured value was reported by Samayam et al. (2017) compared to the 36-years and 30-years return  
158 levels based on ERA-Interim reanalysis data for deep waters around Indian mainland. The initial distribution  
159 approach clearly underestimates the return levels such a way that even 100 years return level does not cross the  
160 highest observation (4.75 m) in the data and the largest 100 years return level is reported as 4.38 m when  
161 dealing with half-hourly data series. The large number of observations having very low Hs in the data series  
162 used in the analysis lead to the underestimation in the initial distribution method. Whereas, GPD model  
163 estimated 5.38 m and 5.83 m as 50 and 100 years return levels respectively which is comparable with Teena et  
164 al. (2012) estimation at a location in the eastern Arabian Sea. When considering different time interval data,  
165 both 6 and 12 h data series estimates lower return levels compared to other series. It is evident that there are  
166 uncertainties related to the sampling interval adopted for the return value estimation. The standard deviation for  
167 GPD estimation when considering different time intervals is 0.57 m which is highest among the other seasonal  
168 data. GEV estimation reports even lesser spreading of return levels with 0.16 m standard deviation.

### 169 3.1.2 Pre-monsoon season

170 The data of February to May constitute the pre-monsoon data set. This is the calmest season in the study  
171 location with maximum and average Hs of around 1.94 m and 0.73 m. Using SME and PS plots, a range of  
172 thresholds from 1.1 m to 1.35 m are selected for each time series and fitted corresponding GPD by using  
173 resultant POT. The final threshold selected by the help of GOF tests is presented in Table 1. KS and CM tests  
174 gives p-value more than 0.43 and 0.45 respectively on an average (Table 1). Since the p-values are more than  
175 0.05, the chosen POT is not significantly different from the time series data. CDF plots and Q-Q plots (Fig. 4)  
176 for the different data series of the season illustrate the reliability of chosen model. Return levels for different  
177 return periods using a particular GPD are presented in Table 3. GEV estimation exhibit same characteristics of  
178 underestimation as shown in the full year analysis. Average 100 years return levels estimation using different  
179 time interval data attained only 1.77 m which is less than the highest observed data point in the season, whereas,  
180 GPD reports 100 years return level of 2.49 m. Time interval analysis for the season exhibits least discrepancies  
181 among the return level estimations compared to other seasons. Standard deviations of 0.11 m and 0.08 m for  
182 GPD and GEV estimations respectively were observed for 100 year return levels considering different time  
183 series data.



### 184 3.1.3 Monsoon season

185 Monsoon season data set covers observations from June to September and this season is characterized by rough  
186 wave climate at the study location. Hs of 4.75 m and 1.77 m are recorded as maximum and average during the  
187 season. A range of thresholds (2.7 to 3.6 m) are selected for preliminary GPD fitting as a result of interpreting  
188 SME and PS plots of each data series, and corresponding final thresholds were selected after clarifying with  
189 GOF test results (Table 1). Both KS and CM tests report p-value  $> 0.75$  indicating that the resulting POT for  
190 selected threshold converges into GPD. CDF and Q-Q plots in Fig.5 shows the credibility of adopted threshold  
191 value. Return levels for distinct return period were estimated using resultant POT. Table 3 provides 10, 50 and  
192 100 years return period values estimated using GPD and GEV models. For half hourly data, GPD projects 5.65  
193 m as 100-year return level, whereas GEV underestimates to 4.39 m. While considering different time interval  
194 data, GPD model shows 0.36 m standard deviation among the return levels for different time interval data. Both  
195 the 6 and 12 h series gave lower return levels compared to other series.

### 196 3.1.4 Post-monsoon season

197 Post-monsoon season constitutes data from October to January months of the year and the observed maximum  
198 Hs in this season is 2.41 m. The majority of observations during this season lies below the average value of Hs.  
199 Only 32 % of the observations lies above 1.13 m and 8 % of the data are above 1.5 m. Hence, selecting the best  
200 threshold for the season was more difficult. GPD was fitted for a range of thresholds (0.7 to 1.3 m) selected  
201 from SME and PS plots corresponding to each series. Most suitable thresholds were selected after checking the  
202 goodness of GPD (Table 1). The GOF test results show that the ONDJ series holds maximum uncertainties on  
203 threshold selection due to lower p-values for KS test ranges from 0.13 to 0.48 and 0.19 to 0.45 for CM test  
204 respectively. Figure 6 shows the CDF and Q-Q plots. GEV and GPD estimation for post-monsoon season show  
205 very large difference among return levels (Table 3). The average percentage difference between 100 years return  
206 values obtained from GEV and GPD estimations is more than 60%. It shows that GEV model clearly  
207 underperforms during ONDJ season when initial distribution methods were adopted. Highest return level  
208 reported by GPD model is 4.28 m, whereas GEV estimated about 2.3 m for the season. ONDJ accounts standard  
209 deviation of 0.30 m and 0.13 m for GPD and GEV estimation, respectively, while using different sampling  
210 intervals.

## 211 3.2 Long-term statistical analysis of wind-seas and swells

212 In this section, we relayed on GEV method based on block maxima. For that purpose, we extracted total, wind-  
213 sea and swell Hs data into different block maxima viz. monthly, seasonal and annual maxima series. Two  
214 seasonal maxima series is considered in such a way that one includes highest two observations in a season and  
215 another one consist of highest observation from each season. So monthly maxima series includes 96 data points.  
216 Both seasonal maxima series (seasonal maxima 1 and 2) consist of 24 and 48 data points respectively. Annual  
217 maxima series covers 8 data points. Table 4 shows the estimated return levels corresponding to various return  
218 periods. It is clear that both seasonal maxima series provides highest return levels for total Hs (6.61 m and 7.24  
219 m) and swell Hs (5.95 m and 6.35 m), whereas wind-sea Hs is 6.19 m when annual maxima series is considered.  
220 But the annual maxima series provides an abnormal result for 100 years return level estimation (Figure 7). The  
221 GEV-AM model also shows underestimation of 10-year return level compared to the maximum measured data.



222 We did a separate analysis of the annual maxima series to get insight into the abnormal results observed for  
223 wind-sea data series. Here, we considered four unique series of different length by taking annual maxima  
224 observations from 2008 to 2016. That is, first series (S1) consist of 5 data points (2008-2012) and second series  
225 (S2) consist of 6 data points (2008-2013) and so on. The density plots showing the probability for different wave  
226 height class is presented in Fig. 8 along with the corresponding GPD fit. We calculated the standard deviation  
227 for each series and the percentage difference between each series with the parent series (S0). The result shows  
228 that return levels are positively correlated with standard deviation (Table 5). In the case of total Hs, the  
229 correlation between the changes in standard deviation and the corresponding changes in 100-year return levels  
230 are 0.997, whereas for wind-sea and swell, it is 0.964 and 0.647 respectively. Annual maxima of wind-sea (4.29  
231 m) for the year 2015 made an abrupt change in the standard deviation of the series by about 0.46 m which is  
232 more than 17 % of the average of the series excluding 2015. So, the 100 years return level for wind-sea  
233 overshoot for about 6.16 m making 66 % difference from return value obtained for S3 series. In this case study,  
234 the length of the special series under consideration does not influence on the estimated return levels. That is, in  
235 the case of total Hs series, 100 years return levels for S1 series is greater than both S2 and S3 series. Same  
236 characteristics can be seen in the case of swell Hs also. Therefore, return levels for annual maxima by GEV  
237 model have greater influence over how a single data point, i.e. the annual maxima, alter the standard deviation  
238 of the series rather than the changes in the length of the series.

## 239 4. Conclusion

240 Long-term statistical analysis of extreme waves is carried out based on GEV and GPD models using measured  
241 buoy data from March 2008 to February 2016. Return levels are calculated for resultant, wind-sea and swell Hs  
242 separately. The analysis is also conducted for data under three different seasons. The parent data are resampled  
243 into 3, 6, 12 and 24 hourly series and estimated the discrepancy in return level estimation. Selection of  
244 appropriate thresholds for POT method is justified using different GOF tests results. Analysis of the total Hs  
245 shows that IDM approach underestimates return levels for different seasons compared to corresponding GPD.  
246 The 100 years return level estimated by IDM are almost comparable with corresponding GPD estimation for ten  
247 years period, but there is a significant difference in the return level estimates when considering different  
248 sampling intervals. Maximum return levels are obtained while considering half hourly series for different  
249 seasons except pre-monsoon season where 12 hourly data estimated highest return level. IDM estimates largely  
250 underestimates return levels for the post-monsoon season since majority of the observation in this season lies  
251 away from its tail of the distribution.

252 Long-term statistics of wind-sea and swell data are calculated by GEV model following block maxima and r-  
253 largest methods. Annual maxima and monthly maxima are considered for block maxima series, and two  
254 seasonal maxima series are considered for the r-largest method. It is shown that these methods give higher  
255 return levels than GPD models. The r-largest method provides 7.24 m as 100-year return level when compared  
256 to 6.03 m of GPD model. The sensitivity analysis of GEV-AM model shows that change in the standard  
257 deviation of data series under consideration makes discrepancies in the return level estimates rather than a  
258 change in the length of the series. Both GEV and GPD models underestimates 10-year return levels compared to  
259 maximum measured data.





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328 **Figure captions**

329 Figure 1: Estimated shape parameters for different seasonal data with different sampling intervals used in a)  
330 GEV and b) GPD model.

331 Figure 2: A Typical (a) SME and (b) PS plots used for selecting a range of thresholds required for POT analysis.  
332 In this particular case, a range of 1.1 m to 1.32 m was selected.

333 Figure 3: Figure corresponding to full year analysis. (a) to (e) is CDF plots for ½ hourly to 24 hourly data  
334 respectively, sub-figures, (f) to (g) are corresponding Q-Q plots and (k) to (o) are corresponding return levels  
335 estimated using GPD model.

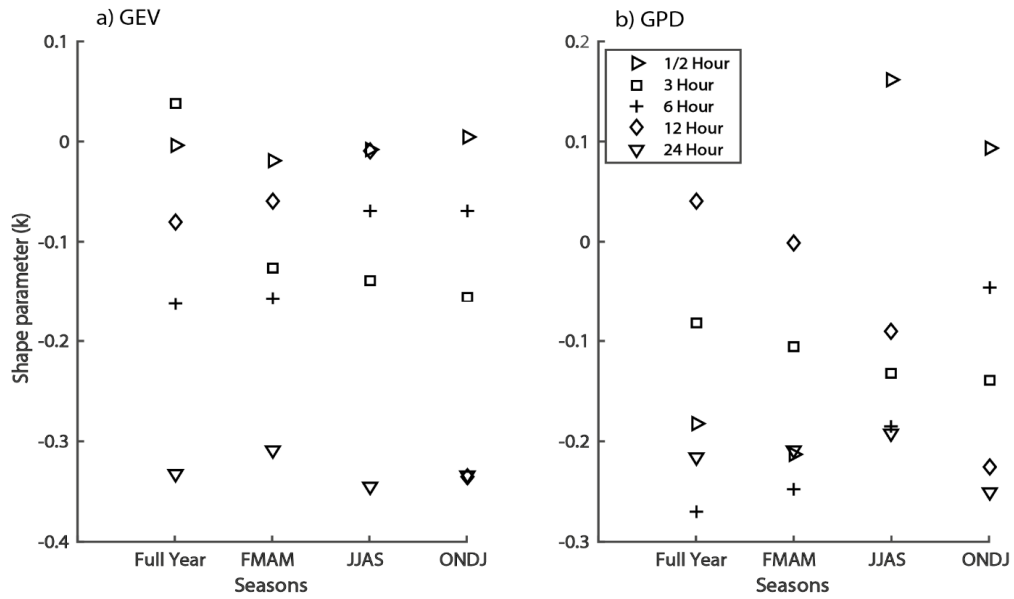
336 Figure 4: Same as in Figure 3 but corresponding to pre-monsoon season.

337 Figure 5: Same as in Figure 3 but corresponding to monsoon season

338 Figure 6: Same as in Figure 3 but corresponding to the post-monsoon season.

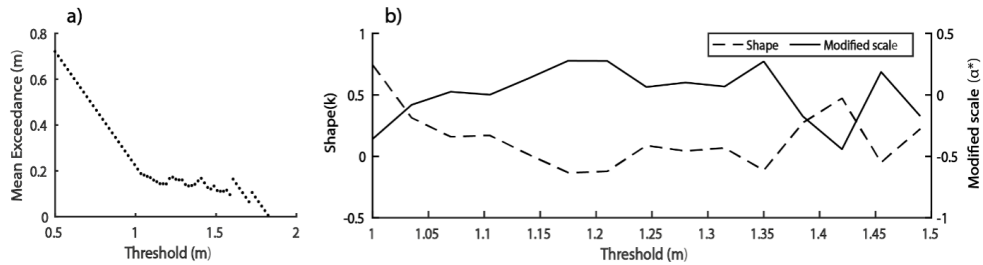
339 Figure 7: Return levels estimated by GEV model using annual maxima series.

340 Figure 8: Density plots showing the probability for different wave height class. Total, wind-sea and swell Hs are  
341 presented in rows wise. Columns correspond to selected number of data points (5 to 8 years). The solid curve is  
342 the corresponding GPD fit.



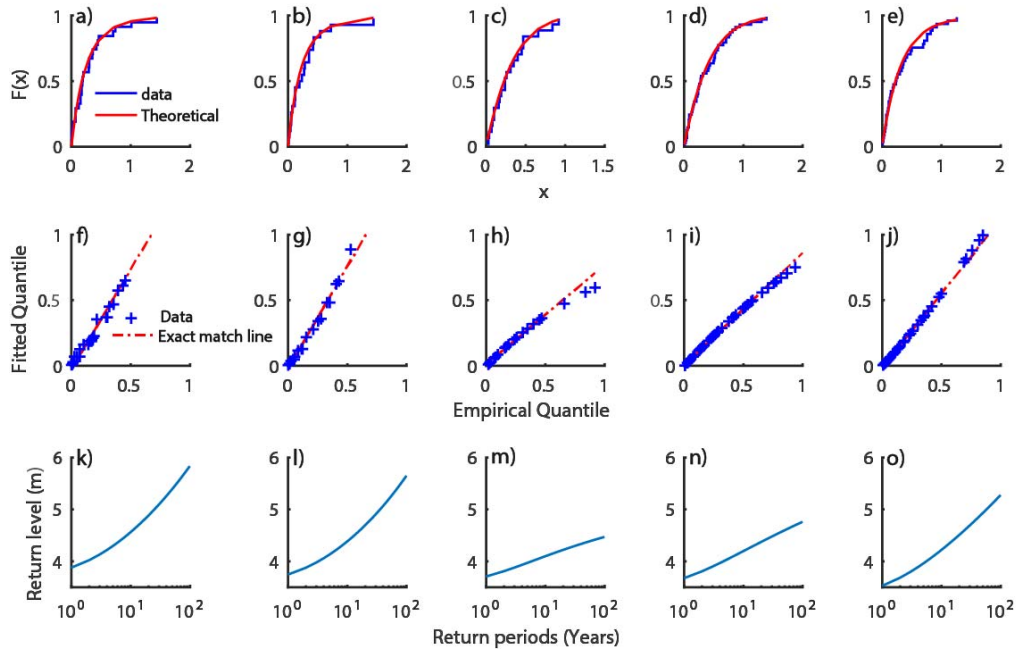
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344 Figure 1: Estimated shape parameters for different seasonal data with different sampling intervals used in a)  
 345 GEV and b) GPD model



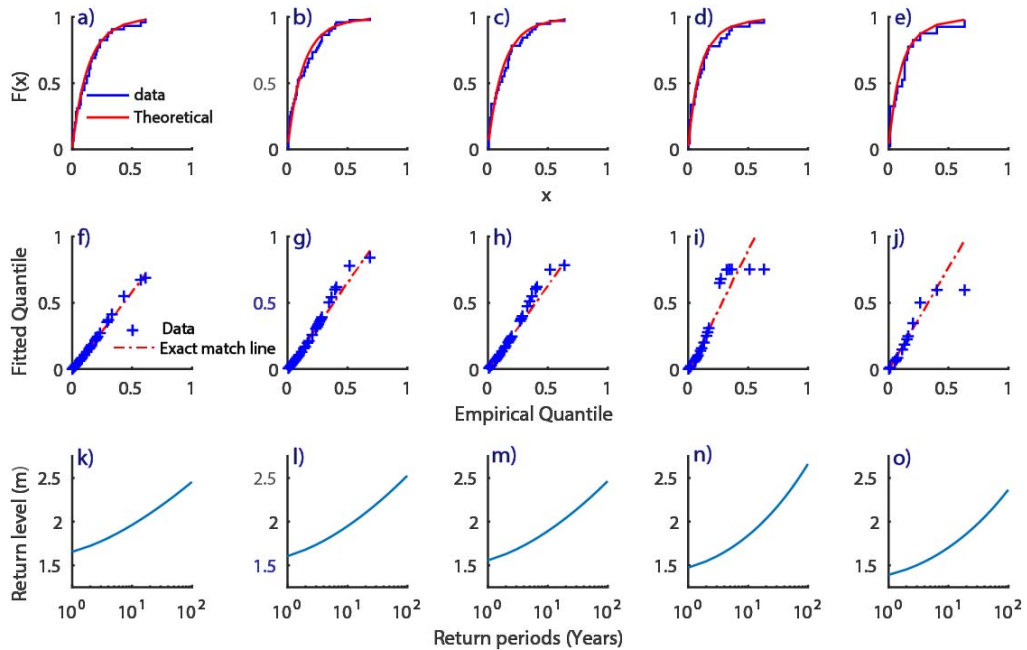
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 348 In this particular case, a range of 1.1 m to 1.32 m was selected



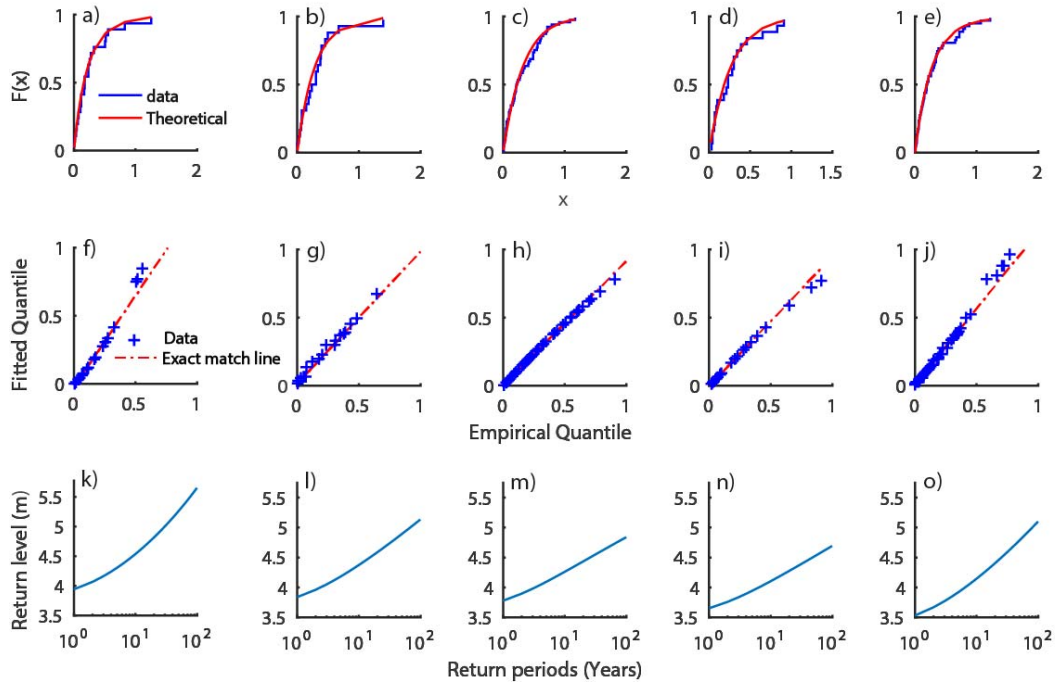
349

350 Figure 3: Figure corresponding to full year analysis. (a) to (e) is CDF plots for 1/2 hourly to 24 hourly data  
 351 respectively, sub-figures, (f) to (j) are corresponding Q-Q plots and (k) to (o) are corresponding return levels  
 352 estimated using GPD model



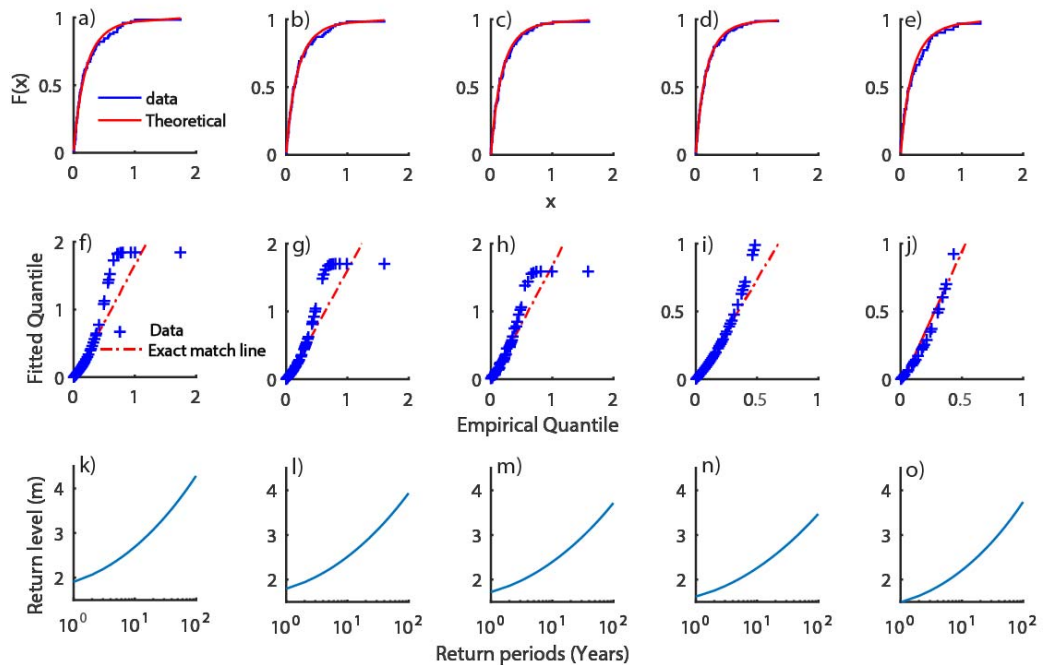
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354 Figure 4: Same as in Figure 3 but corresponding to pre-monsoon season



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357 Figure 5: Same as in Figure 3 but corresponding to monsoon season

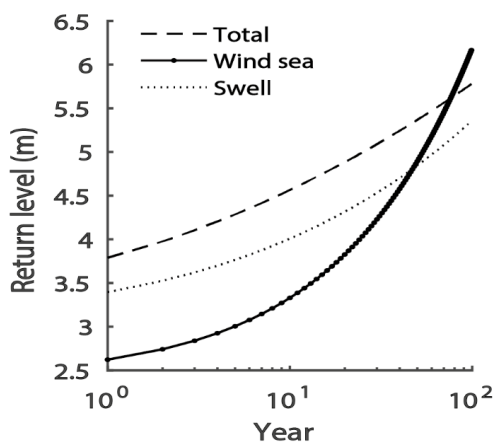


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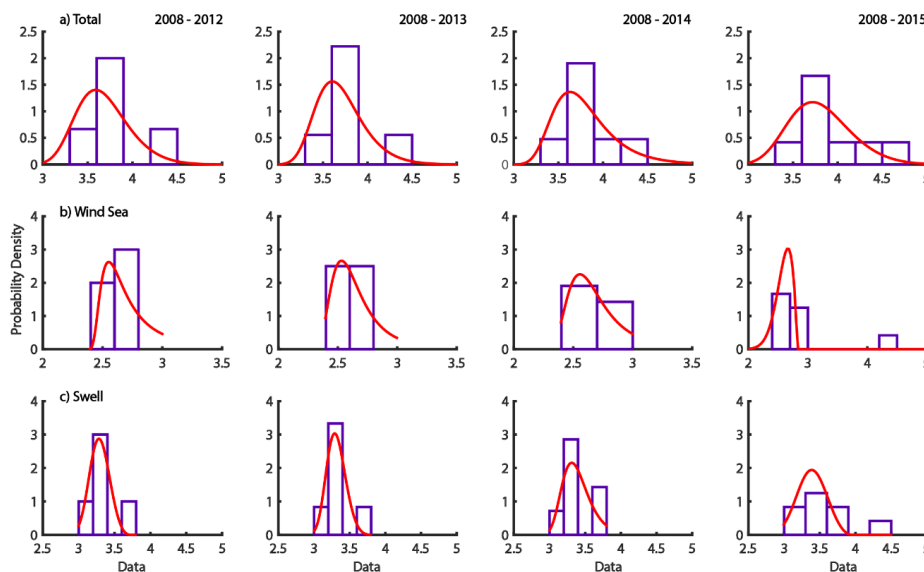
359 Figure 6: Same as in Figure 3 but corresponding to the post-monsoon season



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372 Figure 7: Return levels estimated by GEV model using annual maxima series



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374 Figure 8: Density plots showing the probability for different wave height class. Total, wind-sea and swell Hs are  
 375 presented in rows wise. Columns correspond to selected number of data points (5 to 8 years). The solid curve is  
 376 the corresponding GPD fit



377 Table 1: Different goodness of fits used for selecting threshold values of POT analysis. H =0 indicates the  
 378 test does not reject hypothesis at 5 % significance level (i.e., p-value > 0.05 or test statistics is less than critical  
 379 value) and H=1 indicates hypothesis is rejected

Seasons	Time Interval	H <sub>Smax</sub> (m)	Threshold (m)	KS test				CM test			
				p-value	Test statistics	Critical value	H	p-value	Test statistics	Critical value	H
Full Year	½ h	4.75	3.31	0.545	0.143	0.246	0	0.425	0.141	0.459	0
	3 h	4.75	3.31	0.549	0.167	0.287	0	0.477	0.126	0.458	0
	6 h	4.11	3.19	0.402	0.183	0.281	0	0.490	0.122	0.458	0
	12 h	4.11	2.72	0.745	0.092	0.187	0	0.595	0.098	0.460	0
	24 h	4.00	2.74	0.525	0.126	0.213	0	0.739	0.072	0.459	0
FMAM	½ h	1.94	1.32	0.952	0.081	0.218	0	0.985	0.027	0.459	0
	3 h	1.88	1.19	0.258	0.126	0.170	0	0.222	0.226	0.460	0
	6 h	1.83	1.19	0.203	0.151	0.192	0	0.210	0.234	0.460	0
	12 h	1.83	1.19	0.447	0.143	0.227	0	0.446	0.134	0.459	0
	24 h	1.83	1.19	0.296	0.210	0.294	0	0.423	0.142	0.458	0
JJAS	½ h	4.75	3.49	0.772	0.132	0.275	0	0.901	0.047	0.458	0
	3 h	4.75	3.36	0.864	0.124	0.287	0	0.794	0.064	0.458	0
	6 h	4.11	2.94	0.766	0.084	0.174	0	0.758	0.069	0.460	0
	12 h	4.11	3.20	0.890	0.117	0.281	0	0.906	0.046	0.458	0
	24 h	4.00	2.78	0.961	0.070	0.194	0	0.990	0.024	0.460	0
ONDJ	½ h	2.81	1.06	0.131	0.123	0.144	0	0.193	0.247	0.460	0
	3 h	2.61	1.00	0.247	0.106	0.142	0	0.307	0.183	0.460	0
	6 h	2.59	0.98	0.488	0.092	0.151	0	0.451	0.133	0.460	0
	12 h	2.18	0.84	0.197	0.102	0.129	0	0.350	0.166	0.461	0
	24 h	2.18	0.87	0.195	0.155	0.196	0	0.207	0.237	0.460	0

380





381 Table 2: Table showing different parameters and corresponding RMSE of data and estimated CDF used during  
 382 each data series analysis

Seasons	Data	GPD			GEV			
		k (m)	$\alpha$ (m)	RMSE (m)	k (m)	$\alpha$ (m)	$\beta$ (m)	RMSE (m)
Full Year	½ h	-0.182	0.239	0.162	-0.004	0.414	2.459	0.015
	3 h	-0.213	0.219	0.159	-0.019	0.381	2.437	0.019
	6 h	0.161	0.346	0.110	-0.008	0.418	2.223	0.004
	12 h	0.094	0.420	0.102	0.005	0.416	2.206	0.020
	24 h	-0.082	0.314	0.071	0.037	0.458	2.015	0.060
FMAM	½ h	-0.105	0.130	0.037	-0.126	0.115	1.134	0.090
	3 h	-0.132	0.125	0.078	-0.139	0.104	1.143	0.098
	6 h	-0.139	0.123	0.077	-0.155	0.099	1.147	0.100
	12 h	-0.271	0.095	0.167	-0.162	0.108	0.998	0.125
	24 h	-0.247	0.099	0.082	-0.157	0.114	0.872	0.142
JJAS	½ h	-0.184	0.216	0.124	-0.069	0.298	2.782	0.088
	3 h	-0.046	0.280	0.068	-0.069	0.274	2.786	0.074
	6 h	0.041	0.328	0.051	-0.081	0.288	2.583	0.118
	12 h	-0.002	0.265	0.042	-0.060	0.281	2.598	0.065
	24 h	-0.090	0.267	0.083	-0.009	0.312	2.423	0.007
ONDJ	½ h	-0.225	0.189	0.393	-0.335	0.117	1.023	0.631
	3 h	-0.215	0.178	0.333	-0.332	0.116	1.025	0.533
	6 h	-0.208	0.177	0.284	-0.309	0.114	0.912	0.525
	12 h	-0.192	0.167	0.267	-0.345	0.104	0.911	0.523
	24 h	-0.251	0.183	0.315	-0.334	0.111	0.780	0.498

383



384 Table 3: Estimated return values corresponding to different seasons using total wave height (Hs) following GEV  
 385 and GPD methods. Here GEV method follows initial distribution approach

Seasons	DATA	GPD			GEV		
		10 Years	50 Years	100 Years	10 Years	50 Years	100 Years
		(m)	(m)	(m)	(m)	(m)	(m)
Full Year	½ h	4.52	5.38	5.83	3.40	4.09	4.38
	3 h	4.34	5.18	5.65	3.31	3.98	4.27
	6 h	4.08	4.37	4.47	3.17	3.88	4.18
	12 h	4.17	4.59	4.76	3.14	3.81	4.10
	24 h	4.18	4.92	5.27	3.00	3.68	3.95
FMAM	½ h	1.94	2.29	2.45	1.43	1.71	1.85
	3 h	1.93	2.33	2.52	1.42	1.68	1.81
	6 h	1.87	2.26	2.46	1.41	1.68	1.81
	12 h	1.82	2.35	2.66	1.29	1.59	1.74
	24 h	1.68	2.12	2.36	1.18	1.48	1.64
JJAS	½ h	4.50	5.25	5.65	3.51	4.11	4.39
	3 h	4.34	4.89	5.13	3.45	4.02	4.27
	6 h	4.24	4.66	4.84	3.29	3.90	4.19
	12 h	4.08	4.51	4.69	3.27	3.83	4.09
	24 h	4.11	4.78	5.10	3.13	3.66	3.89
ONDJ	½ h	2.64	3.69	4.28	1.41	1.96	2.30
	3 h	2.46	3.41	3.93	1.41	1.95	2.28
	6 h	2.35	3.23	3.71	1.28	1.77	2.07
	12 h	2.22	3.03	3.47	1.27	1.77	2.09
	24 h	2.16	3.16	3.74	1.15	1.67	2.00

386



387 Table 4: Return levels estimated by GEV model using total, wind-sea and swell data for different block maxima  
 388 series.

DATA	Total Hs (m)			wind-sea Hs (m)			swell Hs (m)		
	10 years	50 Years	100 Years	10 years	50 Years	100 Years	10 years	50 Years	100 Years
Monthly Maxima	3.22	5.16	6.18	2.45	3.43	3.88	2.92	4.77	5.72
Seasonal Maxima 1	3.68	5.62	6.61	2.68	3.78	4.29	3.31	5.07	5.95
Seasonal Maxima 2	3.85	6.07	7.24	2.91	4.32	5.06	3.51	5.40	6.35
Annual Maxima	4.52	5.36	5.78	3.27	4.86	6.16	3.97	4.83	5.35

389



390 Table 5: Table showing the result of the case study. Standard deviation (STD) of each data series considered are  
 391 provided, and percentage difference among the STD of each series with parent series (S0) are given in the  
 392 brackets. Percentage difference in the corresponding return level estimation also shown in the brackets of  
 393 respective return periods.

Dataset	Series (Years)	Maximum observed (m)	Standard deviation (% difference)	Return levels		
				10 Years (m)	50 Years (m)	100 Years (m)
Total	S1 (2008-2012)	4.32	0.36 (21.75)	4.24 (6.31)	4.89 (9.12)	5.20 (10.42)
	S2 (2008-2013)	4.32	0.32 (32.68)	4.17 (8.07)	4.67 (13.66)	4.90 (16.34)
	S3 (2008-2014)	4.32	0.32 (34.52)	4.23 (6.62)	4.65 (14.09)	4.83 (17.96)
	S0	4.75	0.45	4.52	5.36	5.78
	Wind-sea	S1 (2008-2012)	2.80	0.13 (128.90)	2.82 (14.81)	2.88 (51.29)
Wind-sea	S2 (2008-2013)	2.80	0.14 (125.06)	2.81 (15.00)	2.95 (48.96)	3.00 (69.16)
	S3 (2008-2014)	2.89	0.16 (114.08)	2.89 (12.35)	3.05 (45.80)	3.11 (66.00)
Swell	S0	4.29	0.60	3.27	4.86	6.16
	S1 (2008-2012)	3.47	0.23 (48.17)	3.65 (8.23)	4.16 (14.93)	4.45 (18.36)
	S2 (2008-2013)	3.47	0.20 (58.53)	3.62 (9.18)	4.01 (18.53)	4.22 (23.56)
	S3 (2008-2014)	3.47	0.22 (50.80)	3.71 (6.62)	4.05 (17.53)	4.21 (23.97)
	S0	4.28	0.37	3.97	4.83	5.35

394