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# 2 Criteria for the optimal selection of remote sensing optical images 3 to map event landslides

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#### 15 Abstract

16 Landslides leave discernible signs on the land surface, most of which can be captured in remote 17 sensing images. Trained geomorphologists analyse remote sensing images and map landslides 18 through heuristic interpretation of photographic and morphological characteristics. Despite a wide 19 use of remote sensing images for landslide mapping, no attempt to evaluate how the images 20 characteristics influence landslide identification and mapping exists. This paper presents an 21 experiment to determine the effects of optical image characteristics, such as spatial resolution, 22 spectral content and image type (monoscopic or stereoscopic), on landslide mapping. We 23 considered eight maps of the same landslide in Central Italy: (i) six maps obtained through expert 24 heuristic visual interpretation of remote sensing images, (ii) one map through a reconnaissance 25 field survey, and (iii) one map obtained through a Real Time Kinematic (RTK) differential Global 26 Positioning System (dGPS) survey, which served as a benchmark. The eight maps were compared pairwise and to a benchmark. The mismatch between each maps pair was quantified by the error 27 28 index, E. Results show that the map closest to the benchmark delineation of the landslide was 29 obtained using the higher resolution image, where the landslide signature was primarily 30 photographical (in the landslide source and transport area). Conversely, where the landslide 31 signature was mainly morphological (in the landslide deposit) the best mapping result was obtained 32 using the stereoscopic images. Albeit conducted on a single landslide, the experiment results are 33 general, and provide useful information to decide on the optimal imagery for the production of 34 event, seasonal and multi-temporal landslide inventory maps.

#### 36 1 Introduction

37 Accurate detection of individual landslides has different scopes, including landslide mapping (Di Maio and Vassallo, 2011; Manconi et al., 2014; Plank et al., 2016), landslide hazard analysis and 38 39 risk assessment (Allasia et al., 2013), to support the installation of landslide monitoring systems 40 (Tarchi et al., 2003; Teza et al., 2007; Monserrat and Crosetto, 2008; Giordan et al., 2013), and for landslide geotechnical characterization and modelling (Gokceoglu, 2005; Rosi et al., 2013). 41 42 Mapping of individual landslides can be executed using the same techniques and tools commonly 43 used by geomorphologists to prepare landslide inventory maps. Such techniques and tools includes: 44 a) field survey (Santangelo et al., 2010), b) heuristic visual interpretation of monoscopic or 45 stereoscopic aerial or satellite images (Brardinoni et al., 2003; Fiorucci et al., 2011; Ardizzone et al., 2013), c) LiDAR-derived images (Ardizzone et al., 2007; Van Den Eeckhaut et al., 2007; 46 47 Haneberg et al., 2009; Giordan et al., 2013; Razak et al., 2013; Niculita et al., 2016, Petschko et al., 2016), d) ultra-resolution images acquired by Unmanned Aerial Vehicles (UAV, Niethammer 48 49 et al., 2010, Giordan et al., 2015a, 2015b; Torrero et al., 2015, Turner et al., 2015). Heuristic visual mapping of landslide features is based on the systematic analysis of photographic characteristics 50 51 such as colour, tone, mottling, texture, shape, and morphological characteristics such as size, 52 curvature, concavity and convexity (Pike, 1988). The mentioned photographic and morphological 53 characteristics encompass all the possible landslide features that can be used for the (visual) image 54 interpretation.

All these mapping techniques have inherent advantages and intrinsic limitations, which depend on the characteristics of the images, including their spatial and spectral resolutions (Fiorucci et al., 2011). The limitations affect differently the mapping, based on the size and type of the investigated landslides. As a result, an image from a single sources or a single mapping technique are "blind" to some landslides features. This inevitably results in an incomplete landslide inventory maps. Furthermore, maps can contain errors in terms of the position, size and shape of the mapped landslides (Guzzetti et al., 2000; Galli et al., 2008, Santangelo et al., 2015a).

62 A few attempts exist to evaluate the errors associated to different types of landslide inventory maps

63 (Carrara et al., 1992; Ardizzone et al., 2002, 2007; Van Den Eeckhaut et al., 2007; Fiorucci et al.,

- 64 2011; Santangelo et al., 2010; Mondini et al., 2013). Most of them compare maps prepared using
- aerial or satellite images to maps obtained through reconnaissance field mapping (Ardizzone et al.,

2007; Fiorucci et al., 2011) or GPS surveys (Santangelo et al., 2010). Conversely, only a few
authors have attempted to evaluate how the characteristics of images acquired from different
sources influence landslide detection and mapping (Carrara et al., 1992).

69 In this work, we evaluate how images of different types and characteristics influence event 70 landslide mapping. We do so by comparing the maps prepared for one rainfall-induced landslide 71 in a pairwise approach, including a benchmark map. The seven maps were obtained using different 72 techniques and images, including (i) a reconnaissance field survey, (ii) the interpretation of ultra-73 resolution images taken by an optical camera on-board of a UAV, and (iii) the visual interpretation of Very High Resolution (VHR), monoscopic and stereoscopic, multispectral images taken by the 74 75 WordView-2 satellite. These comparisons included an eighth map, obtained through dGPS survey, 76 considered as the benchmark showing the "ground truth". Based on the results of the comparison, 77 we infer the ability of different optical images, with different spectral and spatial characteristics 78 and type (monoscopic or stereoscopic), to portray the landslide features that can be exploited for 79 the visual detection and mapping of landslides. Arguably, the combination of images 80 characteristics, the prevalent landslide signature, the size of the study area, and the available resources define the criteria for the optimal selection of remote sensing images for landslide 81 82 mapping.

#### 83 2 The Assignano landslide

84 For our study, we selected the Assignano landslide, a slide-earthflow (Hutchinson, 1970) triggered 85 by intense rainfall in December 2013 in the northwest-facing slope of the Assignano village, Umbria, central Italy (Fig. 1). The landslide developed in a crop area, where a layered sequence of 86 87 sand, silt and clay deposits crop out (Santangelo et al., 2015b). The slope failure is about 340 m long, 40 m wide in the transportation area, and 60 m wide in the deposition area, and is 88 89 characterized by three distinct source areas, two located on the south-western side of the landslide 90 and a third located on the north-eastern side of the landslide. The source and transportation area 91 has an overall length of about 230 m, and a width increasing from 10 to 40 m from the top of the 92 source area to the bottom of the transportation area. Elevation in the landslide ranges from 276 m 93 along the landslide crown, to 206 m at the lowest tip of the deposit. The source and transportation area is bounded locally by sub-vertical, 2 to 4-m high escarpments. In the landslide, terrain slope 94 95 averages  $11^{\circ}$ , and is steeper ( $12^{\circ}$ ) in the source and transportation area than in the deposition area

(9°). The landslide signature (Pike, 1988) is different in the different parts of the landslide. In the 96 97 source and transportation area the signature is predominantly photographical (radiometric), whereas in the landslide deposit it is mainly morphological (topographic). The photographic 98 99 signature consists in all the landslide features that can be detected by the analysis of the 100 photographical characteristics of a given image: colour, tone, pattern and mottling of a given image 101 (Guzzetti et al., 2012). The morphological signature consists in all the landslide features that can 102 be detected by the analysis of the topography, therefore, features such as curvatures, shape, slope, 103 concavity and convexity are always taken into account (Guzzetti et al., 2012). The differences 104 within the landslide allowed to separate the source and transportation area from the deposition area.

#### 105 **3 Image acquisition**

106 On 14 April 2014, we conducted an aerial survey of the Assignano landslide using a "X" shaped 107 frame octocopter with eight motors mounted on four arms (four sets of CW and CCW props) with 108 a payload capacity of around one kilogram, and a flight autonomy of about 20 minutes. The UAV 109 was equipped with a remotely controlled gimbal hosting a <sup>©</sup>GoPro Hero 3 video camera and a 110 Canon EOS M camera. We controlled the flight of the UAV manually, relaying on the real-time 111 video stream provided by the <sup>©</sup>GoPro. The operational flight altitude of the UAV was kept in the 112 range between 70 and 100 m above the ground. This allowed the Canon EOS M camera to capture 97 digital colour images of the landslide area with a ground resolution of about 2-4 cm, with the 113 single images having an overlap of about 70% and a side-lap of about 40%. For the accurate 114 115 geocoding of the images, 13 red-and-white, four-quadrants square targets,  $20 \text{ cm} \times 20 \text{ cm}$  in size 116 were positioned outside and inside the landslide. The geographical location (latitude, longitude, 117 elevation) of the 13 target centres was obtained using a Real Time Kinematic (RTK) Differential Global Positioning System (dGPS), with a horizontal error of less than 3 cm. The 97 images were 118 119 processed using commercial, structure-from-motion software (Agisoft Photoscan<sup>©</sup>) to obtain (i) a 120 3D point cloud, (ii) a Digital Surface Model (DSM), and (iii) a digital, monoscopic, ultra-resolution 121 (ground sampling distance is  $3 \times 3$  cm) ortho-rectified image in the visible spectral range, which 122 we used for the visual mapping of the Assignano landslide (Table 1).

To map the landslide, a stereoscopic pair of WorldView-2 satellite was used. The satellite stereo pair was taken on 14 April 2014 (the same day of the UAV survey). It has a spatial resolution of 46-cm in panchromatic, and 1.84-m in multispectral, with a 11-bit dynamic range. For the satellite

126 imagery, the rational polynomial coefficients (RPCs) were available, allowing for accurate 127 photogrammetric processing of the images. The RPCs were used to generate 3D models of the 128 terrain from the stereoscopic image pair. Exploiting the characteristics of the satellite image, four 129 separate images for landslide mapping were prepared, namely, (i) a monoscopic, "true colour" (TC) 130 image, (ii) a monoscopic false-colour-composite (FCC) image obtained from the composite near 131 infrared, red and green (band 4,3,2), (iii) a TC stereoscopic pair, and (iv) a FCC stereoscopic pair. 132 A total of four maps of the Assignano landslide were prepared through the visual interpretation of 133 the four images (Table 1). Both satellite and UAV images are free from deep shadows (Fig. 2).

To compare the images obtained by the UAV and the WorldView-2 satellite, we co-registered the images, and evaluated the co-registration on seven control points (Fig. 3), obtaining a Distance Root Mean Square error, DRMS = 0.53 m, and a Circular Error Probability, CEP<sub>50%</sub> = 0.42 m, which was considered adequate for landslide mapping, and for the maps comparison.

#### 138 4 Landslide mapping

We prepared eight maps of the Assignano landslide using different approaches, images and datasets, including two maps prepared through field surveys, four maps prepared through the visual interpretation of monoscopic and stereoscopic satellite images, and two maps prepared through the visual interpretation of the orthorectified images taken by the UAV (**Table 1**).

143 The field mapping and the image interpretation were carried out by independent geomorphologists. 144 The two geomorphologists who carried out the field activities (the reconnaissance field mapping 145 and the RTK-dGPS survey) were not involved in the visual interpretation of the satellite and the 146 UAV images. Equally, the geomorphologist who interpreted visually the satellite and the UAV 147 images did not take part in the field activities. Visual interpretation of the remotely-sensed images 148 was performed by a single geomorphologist to avoid problems related to different interpretation 149 skills by different interpreters (Carrara et al., 1992). The eight maps of the Assignano landslide 150 were then compared adopting a pairwise approach to quantify and evaluate the mapping 151 differences.

152 The geomorphologist who interpreted visually the images was shown first the 1.84-m resolution, 153 monoscopic satellite image, next the 1.84-m resolution stereoscopic satellite pair, and lastly the 3-154 cm resolution UAV images. The monoscopic and the stereoscopic satellite images were first shown

in TC and then in FCC. Lastly, the interpreter was shown the draped ultra-resolution UAV image. 155 156 Selection of the sequence of the images given to the geomorphologist for the expert driven visual 157 interpretation was based on the assumption that for landslide mapping (i) the ultra-resolution 158 monoscopic images provide more information than the 1.84-m monoscopic or stereoscopic images, 159 (ii) for equal spatial resolution images, stereoscopic images provide more information than 160 monoscopic images, and (iii) for equal image type (monoscopic, stereoscopic), the FCC images 161 provide more information than the TC images. To prevent biases related to a possible previous 162 knowledge of the landslide, the interpreter was not shown the results of the reconnaissance field 163 mapping.

#### 164 **4.1 Field mapping**

165 Field mapping of the Assignano landslide consisted in two synergic activities, (i) a reconnaissance 166 field survey, and (ii) a RTK dGPS aided survey. First, the reconnaissance field survey was 167 conducted by two geomorphologists (FF and MR) who observed the landslide and took 168 photographs of the slope failure from multiple viewpoints, close to and far from the landslide. The 169 geomorphologists drew in the field a preliminary map of the landslide exploiting the most recent 170 satellite image available at the time in Google Earth<sup>TM</sup>, which was a pre-event image taken on 8 171 July 2013 (Fig. 4). The reconnaissance field mapping was then refined in the laboratory using the 172 ground photographs taken in the field. We refer to this reconnaissance representation of the 173 Assignano landslide as "Map B".

174 Next, the same two geomorphologists (FF and MR) conducted an RTK dGPS aided survey walking 175 a Leica Geosystems GPS 1200 receiver along the landslide boundary, capturing 3D geographic 176 coordinates every about 5 m, in 3D distance. For the purpose, the SmartNet ItalPoS real-time 177 network service was used to transmit the correction signal from the GPS base station to the GPS 178 roving station. The estimated accuracy obtained for each survey point measured along the landslide 179 boundary was 2 to 5 cm, measured by the root mean square error (RMSE), on the ETRF-2000 180 reference system. The cartographic representation of the Assignano landslide produced by the 181 RTK dGPS survey is referred to as "Map A", and is considered as the benchmark against which to 182 compare the other maps. Mapping a landslide by walking a GPS receiver around its boundary is an 183 error prone operation e.g., because in places the landslide boundary is not sharp, or clearly visible 184 from the ground (Santangelo et al., 2010). Nevertheless, this is the most reasonable working assumption (Santangelo et al., 2010). Furthermore, the geometrical information obtained by
walking a GPS receiver along the landslide boundary was superior to the information obtained
through the reconnaissance field mapping (Map B).

#### 188 **4.2 Mapping through image interpretation**

189 A trained geomorphologist (MS) used the three monoscopic images (the TC and FCC monoscopic 190 satellite images, and the monoscopic ultra-resolution UAV image) to perform a heuristic, visual 191 mapping of the Assignano landslide. For this purpose, the interpreter considered the photographic 192 (colour, tone, mottling, texture) and geometric (shape, size, pattern of individual terrain features, 193 or sets of features) characteristics of the images (Antonini et al., 1999). In this way, the 194 geomorphologist prepared (i) "Map C" interpreting visually the monoscopic TC satellite image, 195 (ii) "Map D" interpreting visually the monoscopic FFC satellite image, and (iii) "Map G" 196 interpreting visually the monoscopic TC UAV image (Table 1).

197 Next, the interpreter used the two stereoscopic satellite images (the TC and FCC images) to prepare "Map E" and "Map F" (Table 1). In the stereoscopic images, the photographic and morphological 198 199 information is combined, favouring the recognition of the landslide features through the joint 200 analysis of photographic (colour, tone, mottling, texture), geometrical (shape, size, pattern of 201 features), and morphological terrain features (curvature, convexity, concavity). To analyse visually 202 the stereoscopic satellite images, the interpreter used the StereoMirror<sup>™</sup> hardware technology, 203 combined with the ERDAS IMAGINE® and Leica Photogrammetry Suite (LPS) software. To 204 map the landslide features in real-world, 3D geographical coordinates, the interpreter used a 3D 205 floating cursor (Fiorucci et al., 2015).

206 To interpret visually the ultra-resolution UAV image, the interpreter overlaid ("draped") the image 207 on Google Earth<sup>TM</sup>. For the purpose, we first treated the UAV image with the gdal2tiles.py software 208 to obtain a set of image tiles compatible with Google Earth<sup>TM</sup> terrain visualization platform. To the 209 best of our knowledge, the platform is the only free 2.5D image visualisation environment that 210 allows the editing of vector (point, line, polygon) information. Other commercial (e.g., ArcScene) 211 and open source (e.g., ParaView, GRASS GIS), 2.5D visualization tools do not provide editing 212 capabilities. Google Earth<sup>™</sup> is a user-friendly solution for mapping single landslides, and for 213 preparing landslide event inventories for limited areas, with the possibility for the user to visualize 214 a landscape from virtually any viewpoint, facilitating landslide mapping. The representation of the 215 landslide obtained through the visual interpretation of the ultra-resolution UAV image is referred216 to as "Map H".

For the visual interpretation of the satellite and the UAV images, the interpreter adopted a visualization scale in the range from 1:1000 to 1:6000, depending on the image spatial resolution (Table 1). The scale of observation was selected to obtain the best readability of each landslide feature and the surroundings. Despite the maps were produced at slightly different observation scales, the differences arising from the comparison are due to actual features (e.g.., the image resolution and radiometry), and not to the different observation scales.

#### 223 **5 Results**

Using the described mapping methods, and the available satellite and UAV images (Table 1), we
prepared eight separate and independent cartographic representations of the Assignano landslide,
shown in Fig. 5 as Map A to Map H.

227 Considering the entire landslide, visual inspection of Fig. 5 reveals that the map most similar to 228 the benchmark (Map A) is Map E, prepared examining the true colour (TC) stereoscopic satellite 229 image. Conversely, the largest differences were observed for the landslide maps obtained through 230 the reconnaissance field survey (Map B), and the visual interpretation of the monoscopic satellite 231 images (Map C and Map D). Considering only the source and transportation areas (dark colours in 232 Fig. 5), interpretation of the UAV ultra-resolution images resulted in the landslide maps most 233 similar (Map G and Map H) to the benchmark (Map A). It is worth noticing the systematic lack in 234 the mapping of one of the two secondary landslide source areas located in the SW side of the 235 landslide, which was recognized only from the visual inspection of the ultra-resolution 236 orthorectified images taken by the UAV. In the field, this secondary source area was characterized 237 by small cracks along the escarpment and a limited disruption of the meadow, making it particularly 238 difficult to be detected and mapped. We argue that only the ultra-resolution images allowed for the 239 detection of the cracks. Considering only the landslide deposit (light colours in Fig. 5), the 240 landslide mapping that was more similar to the benchmark (Map A) was obtained interpreting the 241 TC, stereoscopic satellite images (Map E). We also note that in most of the maps the landslide 242 deposit was mapped larger (Map G, Map H) or much larger (Map B, Map C and Map D) than the 243 benchmark (Map A).

**Table 2** lists geometric measures of the mapped landslides, including the planimetric measurement 244 245 of length, width and area (i) of the entire landslide, (ii) of the landslide source and transportation 246 area (dark colours in Fig. 5), and (iii) of the landslide deposit (light colours in Fig. 5). The length 247 and width measurements were obtained in a GIS as the length and the width of the minimum 248 oriented rectangle encompassing (i) the entire landslide, (ii) the landslide source and transportation area, and (iii) the landslide deposit. Our benchmark (Map A) has a total area  $A_L = 1.1 \times 10^4 \text{ m}^2$ , and 249 is  $L_{LS} = 362$  m long and  $W_{LS} = 71$  m wide. Amongst the other seven maps (Map B to Map H in 250 251 Fig. 5), the largest landslide is shown in Map B, obtained through the reconnaissance field mapping, and has  $A_L = 1.91 \times 10^4 \text{ m}^2$ , 71.1% larger than the benchmark. Conversely, the smallest 252 landslide is shown in Map F, with  $A_L = 1.1 \times 10^4 \text{ m}^2$ , 4.6% smaller than the benchmark. The longest 253 and largest landslide is found in Map C, with  $L_{LS} = 405$  m (11% longer than the benchmark) and 254 255  $W_{LS} = 113 \text{ m}$  (60% wider than the benchmark).

256 Considering the source and transportation area, in Map A (the benchmark)  $A_{LS} = 5.4 \times 10^3 \text{ m}^2$ ,  $L_{LS} = 228$  m, and  $W_{LS} = 52$  m. The largest representation of the source and transportation area is 257 found in Map B (reconnaissance field mapping) with  $A_{LS} = 7.4 \times 10^3 \text{ m}^2$ , 36.9% larger than the 258 259 benchmark, and the smallest source and transportation area is found in Map G, with 260  $A_{LS} = 5.2 \times 10^3 \text{ m}^2$ , 3.6% smaller than the benchmark. The longest source and transportation area is 261 found in Map F, with  $L_{LS} = 239$  m, 5% longer than the benchmark, and the shortest source and 262 transportation area is shown in Map C, with  $L_{LS} = 206 \text{ m}$ , 9.7% shorter than the benchmark. The largest source and transportation area is shown in Map B,  $W_{LS} = 60$  m, 15.7% wider than Map A, 263 and the narrowest source and transportation area is in Map C,  $L_{LS} = 44$  m, 15.3% narrower than the 264 265 benchmark. Considering instead only the landslide deposit, our benchmark (Map A) has  $A_{LD} = 5.7 \times 10^3 \text{ m}^2$ ,  $L_{LS} = 153 \text{ m}$ , and  $W_{LS} = 61 \text{ m}$ . The largest deposit is shown in Map B 266 (reconnaissance field mapping) and has  $A_{LD} = 1.2 \times 10^4 \text{ m}^2$ , 103.4% larger than the benchmark, 267 whereas the smallest landslide deposit is shown in Map F, with  $A_{LD} = 4.6 \times 10^3 \text{ m}^2$ , 19.8% smaller 268 269 than the benchmark. Analysis of the length and width of the landslide deposit reveals that Map C 270 shows the longest deposit,  $L_{LS} = 206$  m, 35% longer than the benchmark, and Map H shows the 271 shortest deposit,  $L_{LS} = 122$  m, 20.2% shorter than the benchmark. Similarly, the largest landslide 272 deposit is shown in Map C,  $W_{LS} = 112$  m, 82.8% wider than the benchmark, and the narrowest 273 landslide deposit is portrayed in Map E,  $W_{LS} = 56$  m, 8.2% less than the benchmark.

To compare quantitatively the different landslide maps, we use the error index *E* proposed by Carrara et al. (1992), adopting the pairwise comparison approach proposed by Santangelo et al. (2015a). The index provides an estimate of the discrepancy (or similarity) between corresponding polygons in two maps, and is defined as:

$$E = \frac{(A \cup B) - (A \cap B)}{(A \cup B)}; \ 0 \le E \le 1, \tag{1}$$

where, A and B are the areas of two corresponding polygons in the compared maps, and  $\cup$  and  $\cap$ are the geographical (geometric) union and intersection of the two polygons, respectively. *E* spans the range from 0 (perfect matching) to 1 (complete mismatch).

281 We compared the eight maps of the Assignano landslide (Fig. 5) adopting a pairwise approach, 282 and considering first only the landslide source and transportation area, next only the landslide 283 deposit, and lastly the entire landslide. Fig. 6 summarizes the 84 values of the error index E, 28 for 284 the landslide source and transportation area (Fig. 6 I), 28 for the landslide deposit (Fig. 6 II), and 285 28 for the entire landslide (Fig. 6 III). On average, the source and transportation area exhibits 286 values of the error index smaller than the values found in the landslide deposit. This indicates that 287 in the source and transportation area the landslide maps are more similar than in the landslide 288 deposit. Inspection of Fig. 6 I, reveals a decrease of the error index in the source and transportation 289 area for the maps obtained interpreting the available images (from Map C to Map H), compared to 290 our benchmark obtained through the RTK dGPS survey (0.15  $\leq E \leq 0.38$ ), with Map G obtained 291 interpreting the TC, monoscopic, ultra-resolution UAV image. In the landslide deposit (Fig. 6 II), 292 the minimum difference (E = 0.21) was found comparing the benchmark to Map E, obtained 293 through the interpretation of the stereoscopic TC satellite image, and the largest difference 294 (E = 0.52) was found comparing the benchmark to Map C, prepared interpreting the TC, 295 monoscopic, satellite image.

Comparison of the maps obtained through the interpretation of the monoscopic images (Map C and Map D), and the maps obtained through the interpretation of stereoscopic (Map E and Map F) or ultra-resolution images (Map G and Map H), reveals high values of the error index, which is slightly worse in the landslide deposit. This is evident in the source and transportation area  $(0.31 \le E \le 0.44)$  (Fig. 6 I), and in the landslide deposit  $(0.43 \le E \le 0.63)$  (Fig. 6 II). Map C and Map D are very similar, with a mapping error E = 0.17. Maps obtained through the interpretation of stereoscopic satellite images (Map E and Map F, prepared using TC and FCC images, respectively), and maps prepared by interpreting the UAV images (Map G and Map H), exhibit a generally low value of *E*. In particular,  $0.14 \le E \le 0.26$  in the landslide source and transportation area, and  $0.15 \le E \le 0.38$  in the landslide deposit. The reconnaissance field mapping (Map B) exhibited the largest differences compared to all the other maps ( $0.63 \le E \le 0.45$ ) in the landslide source and transportation area, and  $0.44 \le E \le 0.73$  in the landslide deposit. The large values of *E* in the landslide deposit is probably due to lack of visibility of part of the landslide toe in the field.

#### 309 6 Discussion

In this section, the ability of the different images to resolve the landslide photographical and morphological signatures is discussed, considering separately (i) the image spatial and (ii) spectral resolutions, and the (iii) image type (monoscopic, stereoscopic, or pseudo-stereoscopic). Each of these three factors is considered separately, keeping the other two factors constant.

314 Inspection of Fig. 6 I reveals that the maps of the landslide source and transportation area obtained 315 from images characterized by the highest spatial resolution (Map G and Map H) exhibits the 316 smallest errors when compared to the benchmark. The mapping error obtained for Map C (TC, 317 monoscopic) is 2.5 times larger than the error obtained using the ultra-resolution orhtorectified 318 images taken by the UAV, whereas the error obtained from Map E (TC, stereoscopic) is smaller, 319 and about 1.5 times larger than the error obtained for Map H (TC, pseudo-stereoscopic). In the 320 landslide deposit (Fig. 6 II), the map obtained exploiting the monoscopic, TC satellite image 321 exhibits an error 1.7 times larger than the error obtained using Map G (TC, monoscopic UAV). 322 Conversely, the error is smaller in the map obtained from the 2-m spatial resolution, stereoscopic 323 TC satellite image (Map E) than from the 3-cm spatial resolution, pseudo-stereoscopic image taken 324 by the UAV (Map H). Collectively, the pairwise comparisons highlight an improvement of the 325 quality of the mapping of the landslide features that exhibits a distinct photographical signature, 326 most visible in the source and transportation area of the Assignano landslide, with an increase of 327 the image spatial resolution (Fig. 6). Use of the ultra-resolution image captured by the UAV did 328 not result in an improvement of the mapping in the deposition area of the Assignano landslide, 329 where the landslide exhibits a distinct morphological signature. Furthermore, most of the landslide 330 parts that were not identified in the maps prepared using the satellite image are covered by 331 vegetation, locally bounded by small and thin cracks with an average width smaller than the size of the  $2 \times 2$  m pixel. In the satellite image, the cracks are located in pixels containing a mix of vegetation and bare soil, making it difficult for the interpreter to recognize the cracks.

334 Next, we evaluate the effectiveness of the image spectral resolution, and for the purpose we 335 examine the mapping errors of Maps C and Map E (TC), and of Map D and Map F (FCC). The 336 mapping of the source and transportation area prepared using the false-colour-composite (FCC) 337 images (Map D and Map F) resulted in smaller errors than the mapping prepared using the 338 corresponding true-colour (TC) images (Map C and Map E), for both monoscopic and stereoscopic 339 images (Fig. 6 I). In the source and transportation area, the false-colour-composite emphasized the 340 presence or absence of the vegetation, and contributed locally to highlight the typical 341 photographical signature of the landslide. Conversely, in the landslide deposition area (Fig. 6 II) 342 use of the FCC images did not result in a systematic reduction of the mapping error, when compared 343 to the TC images. We conclude that use of the additional information contributed by the Near 344 Infrared (NIR) band in the 1.84-m resolution satellite image did not improve the quality of the 345 mapping. On the other hand, the contribution of the NIR in the 3-cm UAV image remains unknown.

346 Lastly, the influence of the image type (monoscopic, stereoscopic, pseudo-stereoscopic) on the 347 mapping error was evaluated by comparing (i) the TC images (Map C and Map E), (ii) the FCC 348 images (Map D and Map F), and (iii) the ultra-resolution UAV image (Map G and Map H). 349 Comparison of the TC, monoscopic (Map C) and stereoscopic (Map E) images revealed a mapping 350 error for the entire landslide, with the mismatch larger in the deposition area than in the source and 351 transportation area (Fig. 6). A similar result was obtained comparing the FCC, monoscopic 352 (Map D) and stereoscopic (Map F) images, with a mapping error for the entire landslide, and again 353 the mismatch is larger in the deposition area (E = 0.60) than in the source and transpiration area 354 (E = 0.36). In the deposition area, where the morphological signature of the Assignano landslide is 355 strongest, the mapping error obtained comparing the benchmark (Map A) to the landslide maps 356 prepared using the monoscopic images (Map C and Map D) is 2 times larger than the error 357 observed for the maps prepared using the corresponding stereoscopic images (Map E and Map F). 358 The differences are smaller in the source and transportation area, where the morphological 359 signature of the landslide is less distinct. Comparison of Map E (TC, stereoscopic) and Map F 360 (FCC, stereoscopic) for the entire landslide reveals a very small mapping error, indicating the 361 similarity of the two maps, which were also very similar to the benchmark (Map A).

Comparison for the entire landslide of the maps prepared using the ultra-resolution images captured 362 363 by the UAV (Map G and Map H) exhibits the smallest error of all the pairwise comparisons 364 (Fig. 6 III), indicating the large degree of matching between the two maps. The degree of matching 365 is only marginally smaller in the source and transportation area, and in the deposition area. When 366 compared to the benchmark (Map A), Map G and Map H exhibit a small error for the entire 367 landslide, which is larger in the deposition area and slightly smaller in the source and transportation area. Interestingly, the mismatch with Map A (the benchmark) is lower for the monoscopic 368 369 (Map G) than for the pseudo-stereoscopic (Map H) map. The finding highlights the lack of an 370 advantage in using a pseudo-stereoscopic (2.5D) image for mapping the landslide. We attribute 371 this result to the low resolution of the (pre-event) DEM used to drape the ultra-resolution image 372 for visualization purposes, which did not add any significant morphological information to the 373 expert visual interpretation.

374 Joint analysis of Fig. 5B and Fig. 6 reveals that, when compared to the benchmark (Map A), the 375 reconnaissance field mapping (Map B) exhibited the largest mapping error of all the performed 376 pairwise comparisons, with E = 0.45 in the source and transportation area, E = 0.67 in the landslide 377 deposit, and E = 0.55 for the entire landslide. Our results are similar to the results of tests performed 378 to compare field-based landslide maps against GPS-based surveys of single landslides (Santangelo 379 et al., 2010), the visual interpretation of very-high resolution stereoscopic satellite images 380 (Ardizzone et al., 2013), or the semi-automatic processing of monoscopic satellite images 381 (Mondini et al., 2013), and confirm the inherent difficulty in preparing accurate landslide maps in 382 the field, unless the mapping is supported by a GPS survey or a similar technology.

383 The experiment showed that the mapping of the Assignano landslide obtained exploiting the ultra-384 resolution images captured by the UAV (Map G and Map H) was comparable to the maps obtained 385 using the high resolution stereoscopic satellite image (Map E and Map F), and to the ground-based 386 RTK dGPS survey (Map A, the benchmark). The ultra-resolution images and the stereoscopic 387 satellite images are well suited to map event landslides, at least in physiographical settings similar 388 to the one of this study area, and for landslides similar to the Assignano landslide (slide-earthflow). 389 For event landslide mapping, selection between ultra-resolution pseudo-stereoscopic UAV images 390 and very-high resolution stereoscopic satellite images depends on (i) the extent of the investigated 391 area, (ii) the available resources, including time and budget, and (iii) the accessibility to the study

area. The selection is largely independent from the landslide signature, at least for landslides similar 392 393 to the Assignano landslide. From an operational perspective, modern multi-rotor UAVs allow for 394 the acquisition of ultra-resolution images over small areas in a limited time, and at very low costs. 395 UAV-based surveys are flexible in their acquisition planning, and partly independent from the local 396 lighting conditions, including the cloud cover. As a drawback, UAVs are strongly (and negatively) 397 affected by wind speed and weather conditions, they allow for a limited flight time (currently 398 approximately 20 minutes in optimal conditions), which is reduced in bad weather conditions and 399 in cold environments, and typically have limited data storage capacity. Further, it must be possible 400 for the pilot to be at the same time near to the area to be surveyed and to maintain a safe distance 401 from the UAV, a condition that may be difficult to attain in remote or in mountain areas. Collectively, the intrinsic advantages and limitations of modern UAVs make the technology 402 403 potentially well suited for the acquisition of ultra-resolution images for event, seasonal, and multi-404 temporal mapping of single landslides, of multiple landslides in a single slope, or in a relatively 405 small area (a few hectares). The use of UAV images was recently proposed by Turner et al. (2015) 406 for determining the landslide dynamics, exploiting time series of images that can be constructed 407 using UAVs. The result is achievable thanks to centimetre co-registration accuracy of the UAV 408 images. Use of UAVs becomes impracticable with the increasing extent of the study area, largely 409 due to (i) the operational difficulty of flying UAVs over large areas (more than a few square 410 kilometres), and (ii) the acquisition and image processing time and associated cost, which increase 411 rapidly with the size of the study area (Table 3). On the other hand, very-high resolution, 412 stereoscopic satellite images have also advantages and limitations for the production of event, 413 seasonal and multi-temporal landslide inventory maps (Guzzetti et al., 2012). The main advantage 414 of the satellite images is that they cover large or very large areas (tens to hundreds of square 415 kilometres) in a single frame with a sub-metre resolution well suited for landslide mapping through 416 the expert visual interpretation of the images (Ardizzone et al., 2013). On the other hand, 417 limitations remain due to distortions caused by different off-nadir angles in successive scenes, and 418 to difficulties - in places severe - to obtaining suitable (e.g., cloud-free) images at the required 419 time intervals. This is particularly problematic for the production of seasonal and multi-temporal 420 landslide maps. Information on the photographic or morphological signature of the typical, or most abundant, landslides in an area, is important to selecting the optimal characteristics of the images 421 422 best suited for the production of an event, seasonal or multi-temporal landslide inventory map. Use of images of non-optimal characteristics for a typical landslide signature in an area may condition
the quality (completeness, positional and thematic accuracy) of the landslide inventory. Where
possible, we recommend that the acquisition of images used for the production of event, seasonal
or multi-temporal landslide inventory maps is planned considering the typical landslide signature,
in addition to the purpose (event inventory, planning of monitoring systems), scale of the mapping
(regional or slope scale), and the size and complexity of the study area (Table 3).

#### 429 7 Concluding remarks

The experiment aimed at determining and measuring the effects of the image characteristics on event landslide mapping. The study was conducted on a slide-earthflow (Fig. 1) triggered by intense rainfall in December 2013 in the northwest-facing slope of the Assignano village, Umbria, central Italy. The landslide exhibited a predominant photographical (radiometric) signature in the source and transport area, and a more distinct morphological (topographic) signature in the deposition area.

436 Increasing the spatial resolution allows to reduce the error of landslide mapping where landslides 437 show mainly a photographical signature. Such a behaviour was observed in the landslide source 438 and transport area. Here, the image photographic (radiometric) characteristics (true-colour, false-439 colour-composite) and the image type (monoscopic, stereoscopic) played a minor role in 440 augmenting the quality of the landslide maps. Conversely, in the deposition area, where the 441 signature of the landslide was primarily morphological (topographical), mapping errors decreased 442 using stereoscopic satellite images that allowed detecting topographic features distinctive of the 443 landslide.

444 FCC and TC in the stereoscopic satellite images give similar values of the error. This indicates that 445 the spectral resolution of the images does not provide useful information to recognize and map the 446 landslide morphological features. On the other hand, the high spatial resolution provided by the 447 UAV images reduces the error, when compared to the monoscopic satellite imagery. However, the 448 error obtained using the UAV images remains higher than that obtained using stereoscopic satellite 449 images, despite the latter having a pixel one order of magnitude larger than the UAV images. We 450 conclude that the increase in the spatial resolution improves the ability to map morphological 451 features when using monoscopic images.

Use of the stereoscopic satellite images resulted in more accurate landslide maps (lower error index 452 453 E) than the corresponding monoscopic images in the landslide deposition area, where the signature 454 of the landslide was primarily morphological. This was expected, as the stereoscopic vision 455 allowed to better capture the 3D terrain features typical of a landslide (Pike, 1988), including 456 curvature, convexity and concavity. Conversely, visual examination of the false-colour-composite 457 images resulted in more accurate maps than the corresponding true-colour images in the landslide 458 source and transport area, where the signature of the landslide was primarily photographic. Expert 459 visual interpretation of pseudo-stereoscopic ultra-resolution image failed to provide better results 460 than the corresponding monoscopic ultra-resolution image, most probably because the DEM used 461 to drape (overlay) the image on the terrain information was of low resolution.

462 The ultra-resolution  $(3 \times 3 \text{ cm})$  image captured by the Unmanned Aerial Vehicle (UAV) proved to 463 be very effective to detect and map the landslide. The expert visual interpretation of the monoscopic 464 ultra-resolution image provided mapping results comparable to those obtained using the about 2-465 m resolution stereoscopic satellite image.

A comparative analysis of the technological constrains and the costs of acquisition and processing of ultra-resolution imagery taken by UAV, and of high, or very-high resolution imagery taken by optical satellites, revealed that the ultra-resolution images are well suited to map single event landslides, clusters of landslides in a single slope, or a few landslides in nearby slopes in a small area (up to few square kilometres, Giordan et al., 2017), and proved unsuited to cover large and very large areas where the stereoscopic satellite images provide the most effective option (Boccardo et al., 2015).

The field-based reconnaissance mapping (Map B) provided the least accurate mapping results, measured by the largest mapping error when compared to the benchmark map. Results confirm the inherent difficulty in preparing accurate landslide maps in the field through a reconnaissance mapping (Santangelo et al., 2010).

Although the study was conducted on a single landslide (Fig. 1), the findings are general, and can be useful to decide on the optimal imagery and technique to be used when planning the production of a landslide inventory map. The technique and imagery used to prepare landslide inventory maps should be selected depending on multiple factors, including (i) the typical or predominant landslide signature (photographic or morphological), (ii) the scale and size of the study area (a single slope, 482 a small catchment, a large region), and (iii) the scope of the mapping (event, seasonal, multi483 temporal, Guzzetti et al., 2012).

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494 institutions.

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#### 612

**Table 1.** Characteristics of the images used to identify and map the Assignano landslide (**Fig. 2**).

614 O: order in the sequence of images shown to the interpreter. Platform used to capture the image:

615 W, WorldView-2 satellite; U, UAV. Resolution (ground resolution). Spectral (image spectral

616 composite): TCC, True Colour Composite (Red, Green, Blue); FCC, False Colour Composite

617 (Near infrared, Red, Green). Type (image type): M, monoscopic; S, stereoscopic; P, pseudo-

618 stereoscopic. Map: Corresponding landslide map (**Fig. 5**).

619

0	Platform	Resolution (m)	Spectral	Туре	Map
1	W	1.84	TC	М	С
2	W	1.84	FCC	Μ	D
3	W	1.84	TC	S	Е
4	W	1.84	FCC	S	F
5	U	0.03	TC	Μ	G
6	U	0.03	TC	Р	Η

620

**Table 2.** Comparison of the total landslide area ( $A_L$ ), the landslide source and transportation area ( $A_{LS}$ ), the landslide deposit ( $A_{LD}$ ), the width and length of the entire landslide ( $W_L$ ,  $L_L$ ), of the source and transportation area ( $W_{LS}$ ,  $L_{LS}$ ), and of the deposit ( $W_{LD}$ ,  $L_{LD}$ ), for eight separate and independent cartographic representations of the Assignano landslide. EL, entire landslide; ST, landslide source and transport area; LD, landside deposit. See **Table 3** for the characteristics of the single maps.

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		Map A	Map B	Map C	Map D	Map E	Map F	Map G	Map H
Land	lslide a	rea (m <sup>2</sup> )							
EL	$A_L$	$1.11 \times 10^{4}$	$1.91 \times 10^{4}$	1.53×10 <sup>4</sup>	$1.52 \times 10^{4}$	$1.09 \times 10^{4}$	$1.06 \times 10^{4}$	$1.19 \times 10^{4}$	1.16×10 <sup>4</sup>
ST	$A_{LS}$	5.40×10 <sup>3</sup>	$7.40 \times 10^{3}$	3.64×10 <sup>3</sup>	$4.02 \times 10^{3}$	5.71×10 <sup>3</sup>	6.03×10 <sup>3</sup>	5.21×10 <sup>3</sup>	5.70×10 <sup>3</sup>
LD	$A_{\text{LD}}$	5.73×10 <sup>3</sup>	$1.17 \times 10^{4}$	$1.16 \times 10^{4}$	$1.12 \times 10^{4}$	5.15×10 <sup>3</sup>	$4.59 \times 10^{3}$	$6.70 \times 10^{3}$	5.87×10 <sup>3</sup>
Landslide length ( $L_L$ , m) and width ( $W_L$ , m)									
EL	$W_L$	70.7	97.8	113.4	109.9	61.4	61.25	89.9	85.3
	$L_L$	362.0	387.5	404.7	391.2	354.6	359.5	343.3	349.1
ST	W <sub>LS</sub>	51.5	59.6	43.6	49.2	51.92	54.3	49.5	50.5
	L <sub>LS</sub>	227.9	229.7	205.9	208.0	239.0	239.2	234.7	237.3
LD	$W_{LD}$	61.0	98.69	111.5	109.0	56.0	57.6	89.9	81.9
	$L_{LD}$	152.7	172.1	206.2	203.5	129.8	134.7	139	121.8

629

631**Table 3.** Comparison of the estimated cost, acquisition and pre-processing time, and storage632requirement for an area of  $4 \text{ km}^2 (2 \text{ km} \times 2 \text{ km})$  and for an area of  $100 \text{ km}^2 (10 \text{ km} \times 10 \text{ km})$ , for633monoscopic and stereoscopic satellite images, and for an area of  $15 \text{ km}^2$  for photographic images634captured by an UAV.

635

	Satellite monoscopic		Satellite stereoscopic		UAV	
	$4 \text{ km}^2$	100 km <sup>2</sup>	$4 \text{ km}^2$	100 km <sup>2</sup>	4 km <sup>2</sup>	15 km <sup>2</sup>
Acquisition cost (€)	1.500	1.500	3.500	3.500	1.000	3.000
Pre-processing cost (€)	50	50	50	50	250-300	3.000
Acquisition time (day/person)	7-60	7-60	7-60	7-60	1	4
Pre-processing time (hr/person)	1	1	1	1	5-6	20-24
Storage (GB)	0.5	0.5	1	1	12	50
Resolution (m)	2	2	2	2	0.02	0.02
Morphologic signature	no	no	yes	yes	yes	yes
Photographic signature	yes	yes	yes	yes	yes	yes

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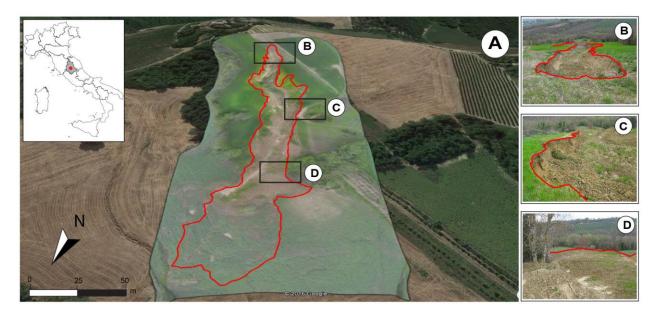
637

#### 639 **Figure captions**

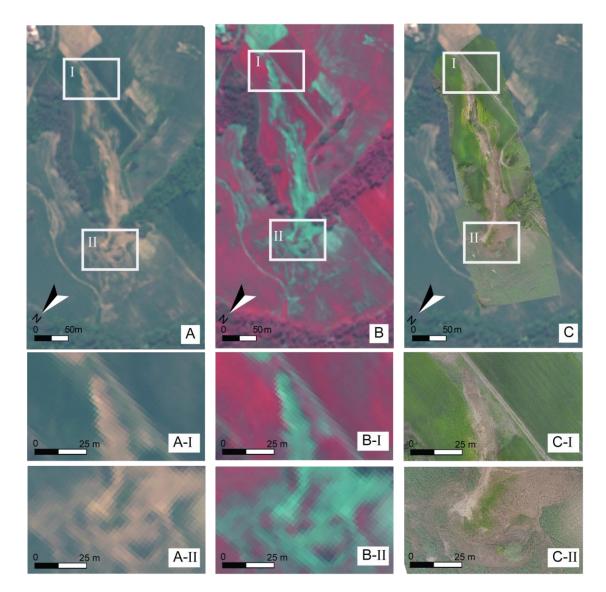
Figure 1. The Assignano landslide, located near Collazzone, Umbria, central Italy. (A) global view
of the landslide. (B) detail of the landslide source area. (C) detail of the landslide transportation
area. (D) detail of the landslide deposit. Base image obtained overlaying ("draping") the image on
Google Earth<sup>TM</sup>. Red line is the boundary of the landslide obtained using the RTK dGPS
(benchmark).

- Figure 2. Images used to map the Assignano landslide. (A) TC WordView-2 satellite image, (AI) detail of the source area and (A-II) detail of the landslide deposit. (B) WordView-2 satellite
  image in FCC, (B-I) detail of the source area and (B-II) detail of the landslide deposit. (C) UAV
- 648 monoscopic image and C-I a detail of the source area and C-II a detail of the deposition area.
- Figure 3. Position of the seven GCPs used to evaluate the co-registration of WordView-2 satellite
  image (A) and UAV image (B). Corresponding points are illustrated with the same symbol.
- 651 Differences of the coordinates of the corresponding points along X (E-W direction,  $\Delta X$ ) and along
- 652 Y (N-S direction,  $\Delta Y$ ) are provided in metres on the left of the figure.
- **Figure 4.** (A) Overview of the Assignano landslide area in Google Earth<sup>TM</sup> taken on 8 July 2013.
- Photo shooting points and photograph taken (B) close to the landslide and (C) from a viewpoint.
- The photographs taken in the field and the Google Earth<sup>™</sup> image were used to prepare the
  reconnaissance field map.
- 657 Figure 5. Eight independent cartographic representations of the Assignano landslide, "Map A" to 658 "Map H". Map A obtained through a RTK dGPS survey is considered the "benchmark", and shown 659 as a thick black line in the other maps. Map B obtained through reconnaissance field mapping. 660 Map C to Map F obtained through the expert visual interpretation of the satellite images. Map G 661 and Map H obtained through the expert visual interpretation of the orthorectified image taken by 662 the UAV. See Table 1 for image characteristics. Dark colours show the landslide source and 663 transportation area. Visual inspection of the images reveals the maps most similar to the 664 benchmark.
- **Figure 6**. The error index (*E*) proposed by Carrara et al. (1992), was used to compare quantitatively
- the different landslide maps. (I) Error index matrix for the landslide source and transportation area.
- 667 (II) Error index matrix for the landslide deposit. (III) Error matrix for the entire landslide. *E* spans

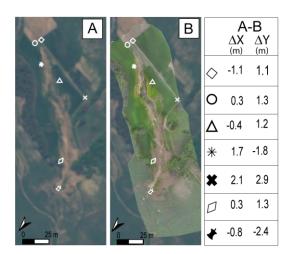
- the range from 0 (perfect matching) to 1 (complete mismatch).
- **Figure 7**. Comparison of landslide maps prepared for the Assignano landslide, Umbria, Central
- 670 Italy. (A) Landslide map obtained from a monoscopic (Map C, dark yellow line) and a stereoscopic
- 671 (Map E, light blue line), true-colour (TC) WordView-2 satellite image (base image), and a mapping
- 672 of the landslide obtained by walking a GPS receiver along the landslide boundary (Map A, black
- 673 line). (B) Landslide map obtained from a monoscopic (Map D, yellow line) and a stereoscopic
- 674 (Map F, cyan line), false-colour-composite (FCC) WordView-2 satellite image, and a mapping
- obtained by walking a GPS receiver along the landslide boundary (Map A, black line). (C)
- 676 Landslide map obtained from field survey (Map B, pink line) and from a monoscopic, TC, ultra-
- 677 resolution image captured by an UAV (Map G, purple line), and the mapping obtained by walking
- a GPS receiver along the landslide boundary (Map A, black line).

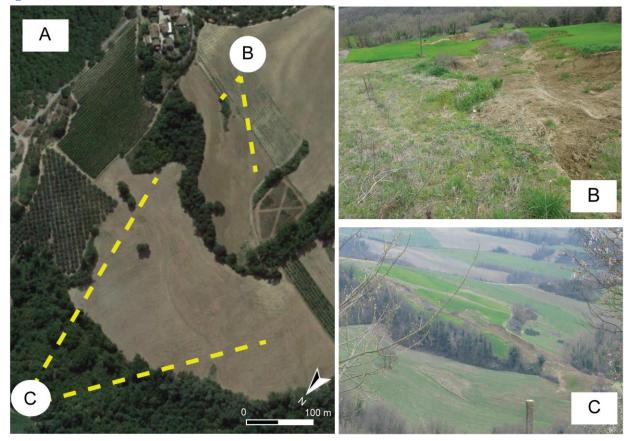


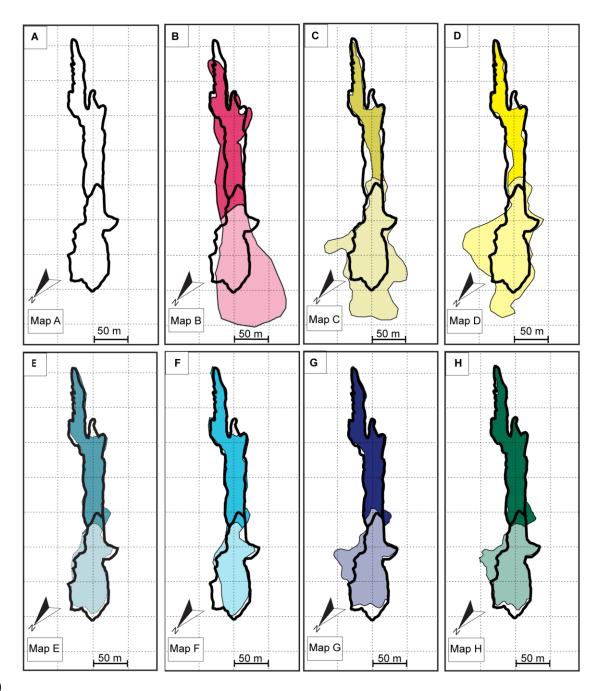
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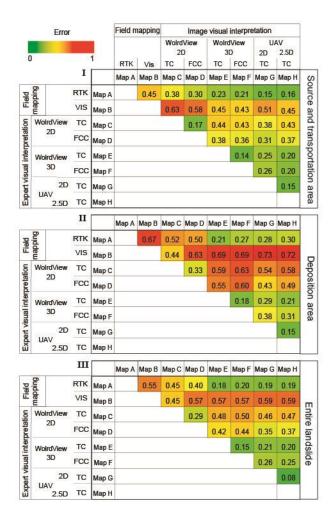


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