



1 Spatial and temporal analysis of fatal off-piste and
2 backcountry avalanche accidents in Austria with a
3 comparison of results in Switzerland, France, Italy and
4 the United States.

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19

Abstract

20 In this article we analyzed spatial and temporal patterns of fa-
21 tal Austrian avalanche accidents caused by backcountry and off-piste
22 skiers and snowboarders within the winter periods 1967/68–2010/11.
23 The data were based on reports of the Austrian Board for Alpine
24 Safety and reports of the information services of the federal states.

25 Using the date and the location of the recorded avalanche accidents
26 we were able to carry out spatial and temporal analyses applying
27 generalized additive models and Markov random field models.

28 As the result of the trend analysis we noticed an increasing trend of
29 avalanche fatalities within the winter periods from 1967/68 to 2010/11,
30 which is in contradiction to the widespread opinion that the number
31 of fatalities is constant over time. Additionally, we compared Austrian
32 results with results of Switzerland, France, Italy and the United States
33 based on data from the International Commission of Alpine Rescue
34 (ICAR). As the result of the spatial analysis we noticed two hotspots
35 of avalanche fatalities (‘Arlberg-Silvretta’ and ‘Sölden’).

36 Because of the increasing trend and the rather ‘narrow’ regional
37 distribution of the fatalities consequences on prevention of avalanche
38 accidents were highly recommended.

39 **Keywords:** Snow, Avalanches, Accidents



40 1 Introduction

41 In the Alps, backcountry skiing has become very popular in the last 50 years.
42 Unfortunately, there are a lot of fatal accidents due to snow avalanches caused
43 by skiers and/or snowboarders. They are of special public interest for various
44 reasons.

45 In Austria, about 25–30 fatalities caused by snow avalanches are expected
46 every year (Neuhold, 2012; Höller, 2009). Furthermore, it is reported that
47 in Alpine countries (such as Austria) the number of fatalities is more or less
48 constant over the time (Brugger et al., 2001) and that there is some sort
49 of seasonality in the data in terms of higher frequencies of accidents within
50 a distance of 5 or 6 years (Höller, 2009; Tschirky et al., 2000). Harvey
51 and Zweifel (2008) even denote that fatalities are decreasing over time in
52 Switzerland, see also (Ammann, 2001).

53 Usually trend information for Austrian avalanche fatalities is given in
54 the annual reports of the Austrian Board for Alpine Safety (Kuratorium
55 für alpine Sicherheit, 2011). Considering these profiles, we notice higher
56 frequencies of fatalities in the 1980s. However, the highest frequency in winter
57 1998/99 is due to avalanche fatalities in villages (Galtür, Ischgl), also affecting
58 buildings. This is because the statistics in the reports do not distinguish
59 between fatal avalanches in buildings, on roads, outdoors without skiing,
60 fatalities due to skiing on slopes and backcountry skiing.

61 In this paper our focus is on accidents caused by out-of-bounds skiers
62 or snowboarders which we define as those ‘leaving the ski resort in order to
63 travel in areas that were not controlled for avalanches’ (Silverton et al., 2009).
64 Within this group we distinguish between backcountry (using no ascent sup-



65 port) and off-piste (using e.g. a skilift or a cable car) skiers/snowboarders.
66 Until now there has not been an investigation for this special group of
67 avalanche incidents in Austria keeping in mind that accidents due to off-
68 piste and backcountry skiing are by far the most common way to be involved
69 in avalanche accidents.

70 Our task in this paper is to carry out a spatial and temporal analysis,
71 identifying (potentially nonlinear) trends over time and regional patterns.
72 In the case of trend analysis, we compare Austrian results with results of
73 Switzerland, France, Italy and the United States.

74 **2 Materials and methods**

75 **2.1 Data**

76 For our study we built a data base of fatal avalanche accidents recording the

- 77 1. date,
- 78 2. municipal area where the accident took place,
- 79 3. federal state of the municipality,
- 80 4. number of persons involved,
- 81 5. number of fatalities,
- 82 6. type of activity (on/off-piste, backcountry skiing, etc.)

83 of fatal accident events in Austria within the winter periods
84 1980/81–2010/11, which are available from the annual reports of the



85 Austrian Board for Alpine Safety (Kuratorium für alpine Sicherheit, 2011)
86 and the annual reports of the information services of the federal states
87 (Amt der Tiroler Landesregierung, 2011). In order to check the reliability of
88 the accident data, we made a cross-check between those reported in the two
89 sources. Looking at winter 1986/87 we figured out that the reports were
90 incomplete. However, we were able to fill this gap using records of the
91 BFW (Austrian Research Centre for Forests, Institute for Natural Hazards,
92 Innsbruck), e.g. see (Schaffhauser et al., 1988).

93 For the period 1967/68–1979/80 we used aggregated information pub-
94 lished in the annual reports of the Austrian Board for Alpine Safety (Ku-
95 ratorium für alpine Sicherheit, 2011). Starting from 1977/78 we were able
96 to distinguish between backcountry and off-piste fatalities. Finally, further
97 annual reports of the BFW were helpful in order to resolve classification
98 problems of avalanche events.

99 Keeping in mind aspects of data quality, it seems to be that avalanche
100 information back to the period 1967/68 is reliable for our purposes. In general
101 information relating to fatal avalanches seems to be much more reliable than
102 information relating only to avalanches with injured or uninjured persons.
103 Most notably, in the case of fatal avalanches we do not expect that there are
104 records missing.

105 In order to compare Austrian results with international results we use
106 data from the International Commission of Alpine Rescue (ICAR) which
107 was kindly made available for us by the ICAR. The data are annual count
108 data of fatal avalanche events ('Statistique d'accidents d'avalanche') based
109 on 21 countries within the periods 1983/84–2010/11 which are categorized by



110 the type of fatalities (backcountry skiing or snowboarding, off-piste, on-piste,
111 alpinist without ski/snowboard, on road, buildings, snowmobile, other).

112 For looking at the regional distribution we built small area maps based
113 on Austrian municipalities. For this purpose we use polygon boundaries of
114 the small-scaled areas provided by the ‘Bundesamt für Eich- und Vermes-
115 sungswesen’ in a shapefile.

116 2.2 Statistical methods

117 After aggregating the spatio-temporal data in terms of location (resulting
118 in disaggregated data in terms of time) we propose the following model for
119 capturing the trend over time:

$$\log(\mu_t) = f(t) + x_t \quad (2.1)$$

120 where μ_t denotes the expectation of the Poisson distributed number of an-
121 nual avalanche fatalities over time t . The logarithms of these values are
122 modelled as the sum of potentially nonlinear trend function $f(t)$ and a sta-
123 tionary remainder x_t . We use the Aikake information criterion (AIC) and
124 the Bayesian information criterion (BIC) in order to compare the constant,
125 linear and nonlinear model (which is in our opinion the better choice than re-
126 porting p-values for potentially nonparametric trend functions). To account
127 for potential serial correlation and periodic variation in the remainder, we
128 consider autoregressive moving-average (ARMA) effects.

129 After aggregating the spatio-temporal data in terms of time (resulting
130 in disaggregated data in terms of location) we propose a Markov random
131 field approach modelling the expected number of avalanche fatalities μ_s (s ,



132 $s \in \{1, \dots, S\}$, denoting the region) as follows:

$$\log(\mu_s) = Z\beta_s \quad (2.2)$$

133 where the $S \times S$ design matrix Z depends on the specific form of the spatial
134 layout. The coefficients β_s are conditionally Gaussian distributed (Markov
135 random fields) according to:

$$\beta_s | \beta_{-s} \sim N\left\{\frac{1}{n_s} \sum_{r \sim s} \beta_r, \frac{\tau^2}{n_s}\right\} \quad (2.3)$$

136 where β_{-s} denotes the vector of parameters without its s th component, n_s is
137 equal to the number of neighboring regions with reference to region s , $s \sim r$
138 indexes all units adjacent to region s and τ^2 denotes a (unknown) variance
139 parameter.

140 For fitting these models we use the R package `mgcv` (R Development Core
141 Team, 2012; Wood, 2006) which applies the smoothing spline approach for
142 fitting generalized additive models (GAM).

143 Further on, for looking at the regional distribution of avalanche fatali-
144 ties we build small area maps based on Austrian municipalities using the
145 geographic information system (GIS) `ArcMap`. We, of course, use Markov
146 random field estimates as described above which helps us to identify regional
147 hot spots of avalanche fatalities.

148 **3 Results**

149 **3.1 Temporal results**

150 In the following, we give the plots of temporal estimated functions of
151 avalanche fatalities at first plotting the function for Austria in total within



152 the winter periods 1967/68–2010/11 (see Figure 1). Additionally, we plot
153 the trend function of exclusively off-piste fatalities starting from the winter
154 season 1977/78 (see Figure 2). Further on, we calculate 90% confidence
155 bands of the estimated functions in both cases as shown in the plots.

156 For reasons of comparison Table 1 gives the frequencies of backcountry,
157 off-piste and total fatalities of Austria and the Austrian neighboring countries
158 Italy and Switzerland within the winter periods 1983/84–2010/11. Addition-
159 ally the off-piste percentages are reported. Furthermore, we report the results
160 of fatalities in France, which turns out to be the country with the highest
161 counts of fatalities in Europe, and the results of the United States, which is
162 probably the most important country outside of Europe in terms of avalanche
163 fatalities. For this purpose, however, we use ICAR data as described above.

164 For further international comparison we consider estimated functions of
165 off-piste and backcountry avalanche fatalities (and off-piste fatalities de-
166 tached) of Switzerland, France, Italy and the United States in Figures 3–6.

167 Finally, the Aikake information criterion (AIC) and the Bayesian infor-
168 mation criterion (BIC) of the constant (no trend effect), linear and nonlinear
169 models are reported for model comparison – see Table 2. Lower AIC- and
170 BIC-values, however, indicate significantly better fits when comparing the
171 different models.

172 **3.2 Regional results**

173 Figures 7 and 8 show the regional distribution of fatal avalanche events (Fig-
174 ure 7 in total and Figure 8 off-piste only) using colored maps based on small
175 areas, which are the Austrian municipalities in our case. The coloring, how-



176 ever, is based on Markov random field estimates of avalanche fatalities as
177 described in the previous Section; the number corresponding with each spa-
178 tial unit in the plot is equal to the original count.

179 4 Discussion

180 4.1 Temporal analysis with an international overview

181 If we look at the trend function of Austria in total (see Figure 1) we notice
182 an increasing trend (1969/70: approx. 12, 2009/10 approx. 22). Considering
183 ARMA effects, we did not find any substantial serial correlation or any sort
184 of periodicity in the remainder x_t . Further on, we notice that there is a lot
185 of variation of the observed counts around estimated function(s).

186 Additionally we take notice of a peak in the 1980s ranging between
187 1981/82 and 1987/88. But keeping in mind that increased snowfall has an es-
188 sential effect on the number of accidents (Harvey, 2008; Harvey et al., 2012;
189 Höller, 2012), increased solid precipitation in the 1980s during wintertime
190 (Laternser & Schneebeli, 2003; Abegg, 1996) could give some evidence for
191 this pattern. Looking at the off-piste trend function (see Figure 2), we no-
192 tice an increasing (linear) trend without any peak in the 1980s. The actual
193 decrease of the avalanche trend in Austria is due to the very small number
194 of fatalities in 2010/11.

195 Lower AIC- and BIC-values (see Table 2) indicate that the nonlinear
196 model is preferable to the constant or linear model – in case of ‘Austria
197 off-piste’ the linear model seems to be the better one.

198 Comparing Austrian fatal backcountry and off-piste counts within



199 1983/84 – 2010/11 with results of counts in Switzerland, France, Italy and
200 the United States (see Table 1) we notice, led by France (677, 24.18 fatalities
201 per year), the second largest number of total avalanche fatalities (589,
202 21.04) in Austria. Having a focus on backcountry fatalities only, Austria is
203 leading (405, 14.46) followed by France (355,12.68) and Switzerland (335,
204 11.96). In Austria a share of 31.24% of total fatalities are due to off-piste
205 accidents (largest value France: 47.56%; smallest: Italy 27.47%).

206 Comparisons with total fatality profiles of France, Switzerland and Italy
207 result in:

- 208 1. high frequencies in the 1980s,
- 209 2. low counts in the 1990s,
- 210 3. increasing trend beginning in 2000,

211 which in turn is rather similar to the results of Austria.

212 However, if we consider the results of the United States in Figure 6 (250
213 total fatalities, 32% off-piste) we note a positive almost linear trend without
214 any peaks in the 1980s. The AIC- and BIC-values indicate that, with the
215 exception of the United States (linear model), nonlinear models are preferable
216 (whereas the BIC-values of France almost indicate that there is no effect at
217 all in case of France).

218 If we compare the off-piste trends of the countries we notice quite different
219 shapes to those of Austria (positive trend without peak in the 1980s):

- 220 1. Italy: similar to shape as seen in case of total counts.
- 221 2. Switzerland: difference to total trend function, peak of off-piste trend
222 around year 2000.



223 3. France: decrease of off-piste counts in recent years.

224 4. United States: almost no increase; because of the lowest AIC-value,
225 the constant model turns out to be the best one.

226 Such as in the ‘total’ case above, lower AIC- and BIC-values indicate that,
227 with the exception of the United States (constant model), nonlinear models
228 are best-performing. Usually trend information is given as a linear function
229 in the literature for avalanche data, see e.g. (Tschirky et al., 2000; Harvey &
230 Zweifel, 2008; Spencer & Ashley, 2011; Page et al., 1999). Our investigations
231 - see AIC- and BIC-values in Table 2 - showed that (with the exception of
232 the US-data) linear models are not appropriate.

233 4.2 Regional analysis

234 In Figure 7 we explore the regional or spatial distribution of avalanche fatal-
235 ities in Austria within the years 1981–2010. Here the total area of Austria
236 is divided into small areas, equal to the areas of the Austrian municipal-
237 ities (194 municipalities with at least one reported fatality). Around the
238 municipalities ‘St. Anton a. Arlberg’ and ‘Sölden’ in the western part of the
239 Austrian federal state Tyrol we observe 2 clusters or hot spots of increased
240 fatalities:

241 The first cluster, centered around the regions Arlberg and Silvretta, is
242 including the municipalities St. Anton a. Arlberg (number of avalanche fa-
243 talities: 29), Kaisers (7), Klösterle (8), Lech (20) in Arlberg, and the muni-
244 cipalities St. Gallenkirch (6), Gaschurn (8), Galtür (21), Ischgl (9) in Silvretta.

245 The second cluster, located in the southern part of Ötztal, Kühtai and



246 Stubai, is including the municipalities Sölden (43), St. Leonhard i. Pitztal
247 (17), Längenfeld (9) in the Ötztal Alps, and the municipalities St. Sigmund
248 i. Sellrain (8), Silz (13), Sellrain (5), Neustift i. Stubaital (10) in Kühtai-
249 Stubai.

250 Further on, we observe some smaller spots in the federal states:

251 – Tyrol (Tuxer Alpen): Navis (8), Schmirn (5), Tux (9)

252 – Salzburg (Saalbach): Saalbach-Hinterglemm (10), Niedernsill (13)

253 – Styria (Triebener Tauern – Seckauer Tauern): Gaal (5), Wald am
254 Schoberpaß (6), Hohentauern (6).

255 Figure 8 plots the distribution of the off-piste fatalities (without back-
256 country fatalities; 70 municipalities with at least one reported off-piste fa-
257 tality). As a conclusion we notice 2 hot spots of off-piste fatalities which
258 are: ‘St. Anton a. Arlberg’ - ‘Lech’ - ‘Ischgl’ (Arlberg, Ischgl) and ‘Sölden’
259 (southern part of Ötztal).

260 Some single areas with increased frequencies, e.g. Werfenweng (15) and
261 Niedernsill (13), are due to disastrous single avalanche events (Höllner, 2012).

262 We are not able to compare our regional results with other countries in
263 Table 1 because of lack of information. However, we recommend to do this
264 regional analysis in other countries in a similar way.

265 **5 Conclusion**

266 As the result of the trend analysis we notice an increasing trend of off-piste
267 and backcountry avalanche fatalities within the winter periods from 1967/68
268 to 2010/11. This clearly contradicts the widespread opinion that the number



269 of fatalities is constant over time.

270 Comparing results of off-piste and backcountry avalanche fatalities in
271 Austria with other relevant countries we notice the second highest number
272 of off-piste and backcountry fatalities in Austria and the largest number of
273 backcountry fatalities in Austria. We notice similar estimated functions if
274 we compare Austrian results with results of the relevant European countries.
275 However, the off-piste trend function of Austria is quite different to those
276 of the other relevant European countries (but similar to those of the United
277 States).

278 As the result of the regional analysis we notice two hot spots of avalanche
279 fatalities in Figure 7: ‘St. Anton a. Arlberg (29)’ (Arlberg-Silvretta) and
280 ‘Sölden (43)’ (southern part of Ötztal, Stubai-Kühtai).

281 Because of the increasing trend and the rather ‘narrow’ regional distribu-
282 tion of the fatalities, consequences on prevention of avalanche accidents are
283 highly recommended, e.g. starting a ‘campaign against avalanche accidents’
284 in the centers of the clusters St. Anton and Sölden. This should especially
285 be done in order to prevent the large number of off-piste (freerider) fatalities
286 in St. Anton-Lech-Ischgl and Sölden.

287 Unfortunately, we are not able to verify the influence of increased num-
288 ber of backcountry and off-piste skiers over time because there is no valid
289 information about frequencies of backcountry and off-piste skiers in general.
290 However, there is no doubt of the increasing number of backcountry and
291 off-piste skiers; e.g Techel et al. (2014) states: ‘From lodging statistics of
292 the mountain huts of the Swiss Alpine Club (SAC) we found a prominent
293 increase of winter overnight stays since the late 1970s, which is also an ev-

294 idence for increasing backcountry activity'. Finally, we do not hesitate to
295 mention that further research is needed, e.g. to explore the influence of new
296 fallen snow, temperature, etc. on the number of fatalities. For this purpose,
297 further and more precise data are necessary.

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299 Disclosures: None

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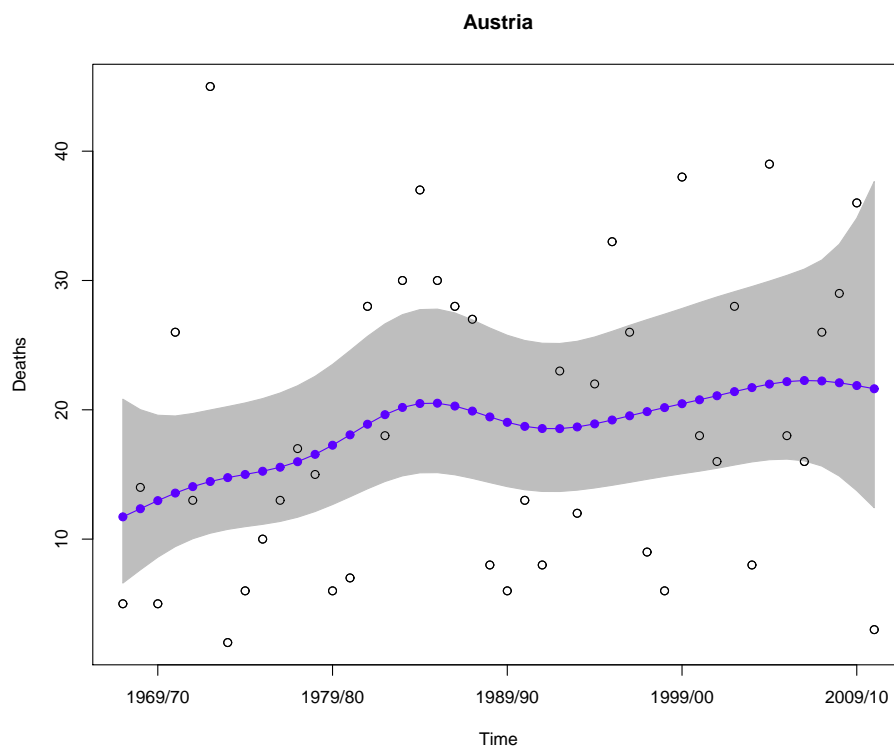


Figure 1: Observed (o) and estimated (•) annual total avalanche fatalities (off-piste and backcountry) in Austria within 1967/68–2010/11.

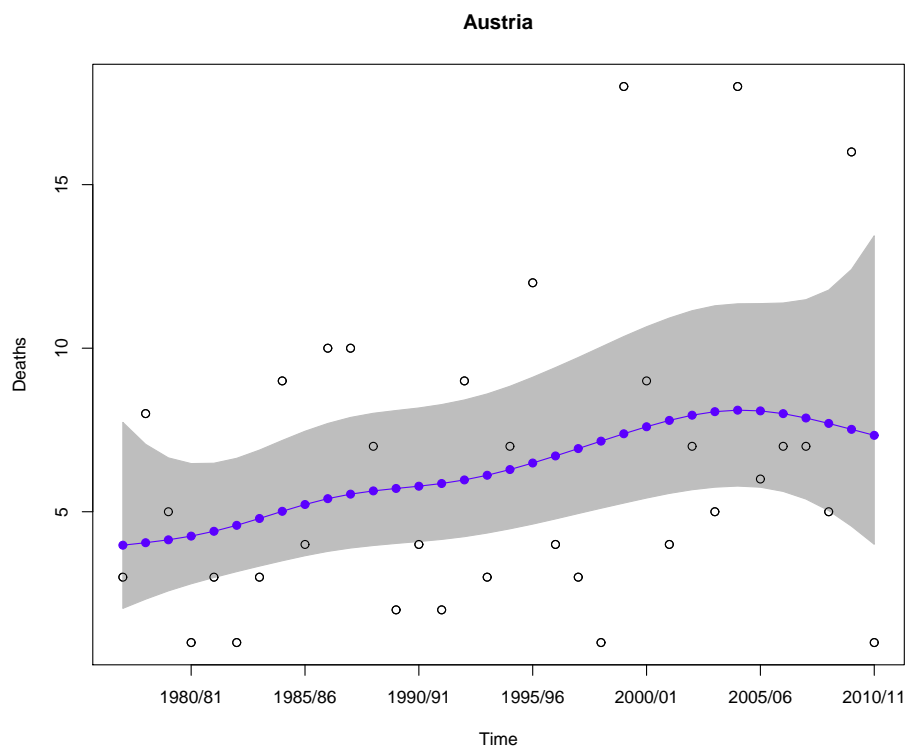


Figure 2: Observed (o) and estimated (●) annual off-piste avalanche fatalities in Austria within 1977/78–2010/11.

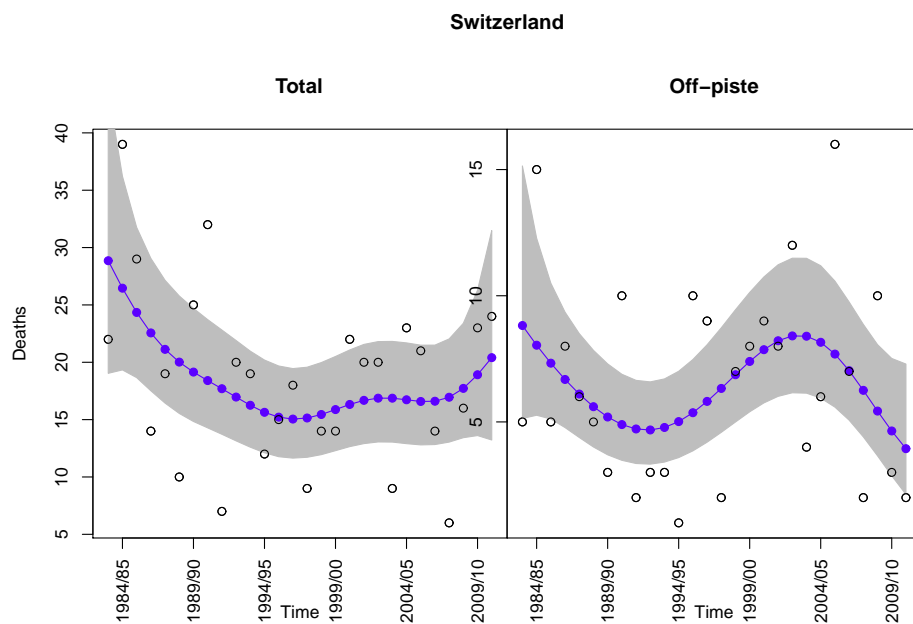


Figure 3: Observed (○) and estimated (●) annual avalanche fatalities (off-piste and backcountry, i.e. total, on the left and off-piste on the right) in Switzerland within 1983/84–2010/11.

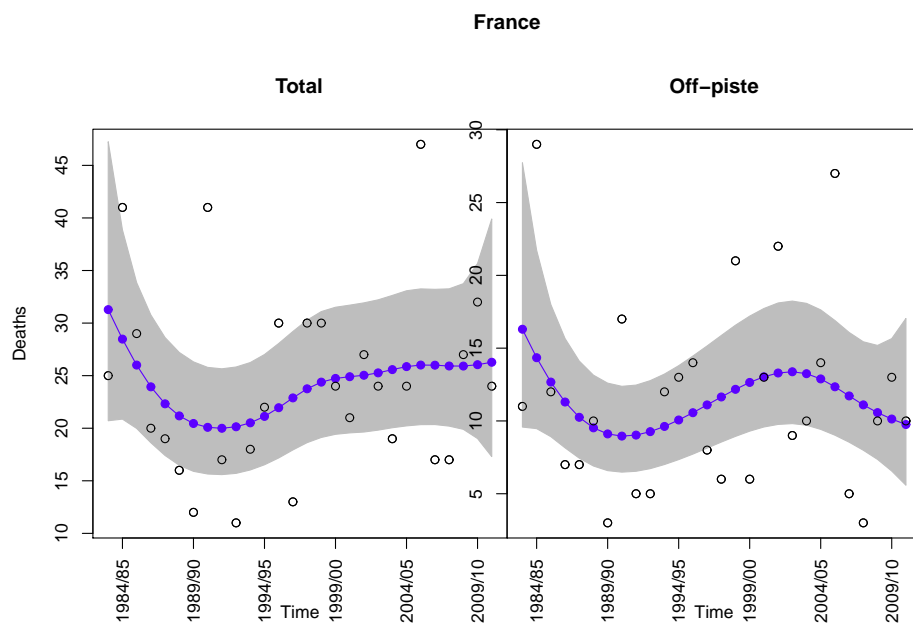


Figure 4: Observed (○) and estimated (●) annual avalanche fatalities (off-piste and backcountry, i.e. total, on the left and off-piste on the right) in France within 1983/84–2010/11.

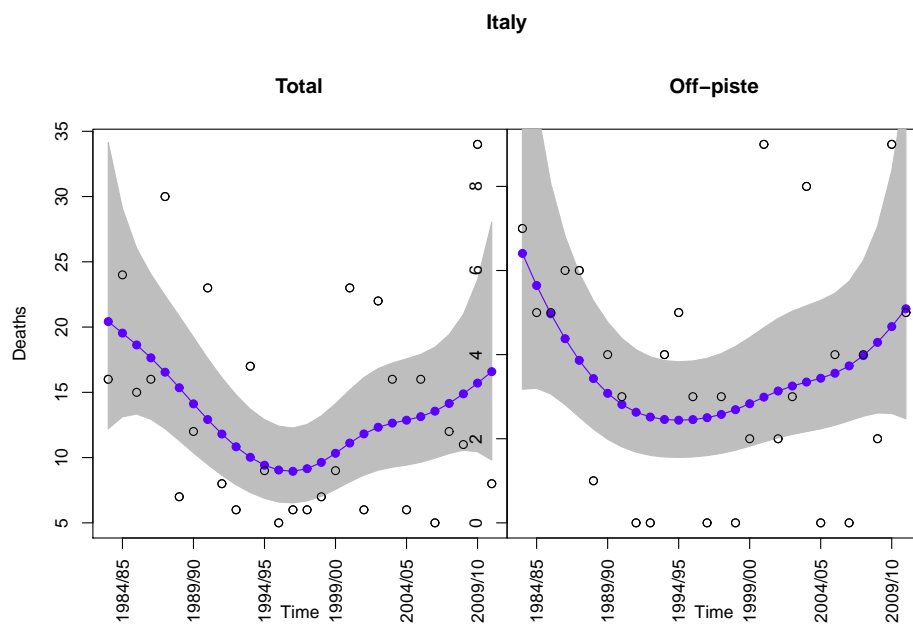


Figure 5: Observed (○) and estimated (●) annual avalanche fatalities (off-piste and backcountry, i.e. total, on the left and off-piste on the right) in Italy within 1983/84–2010/11.

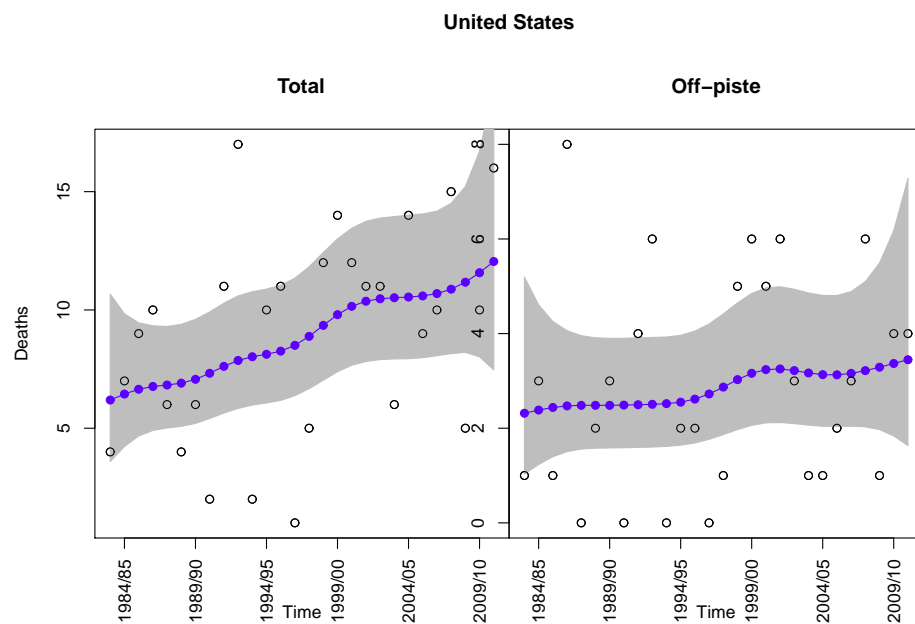


Figure 6: Observed (○) and estimated (●) annual avalanche fatalities (off-piste and backcountry, i.e. total, on the left and off-piste on the right) in the United States (USA) within 1983/84–2010/11.

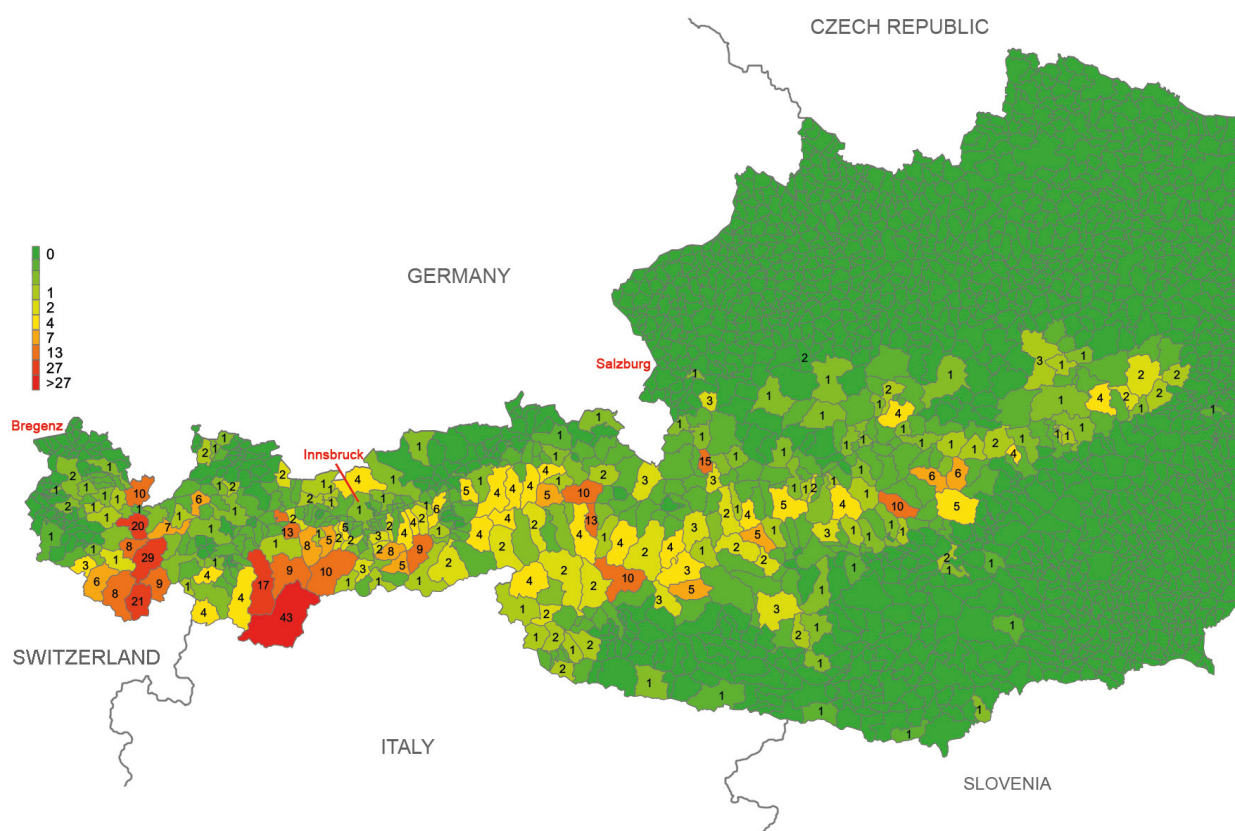


Figure 7: Regional distribution of avalanche fatalities (off-piste and backcountry) in Austria within 1980/81–2009/10.

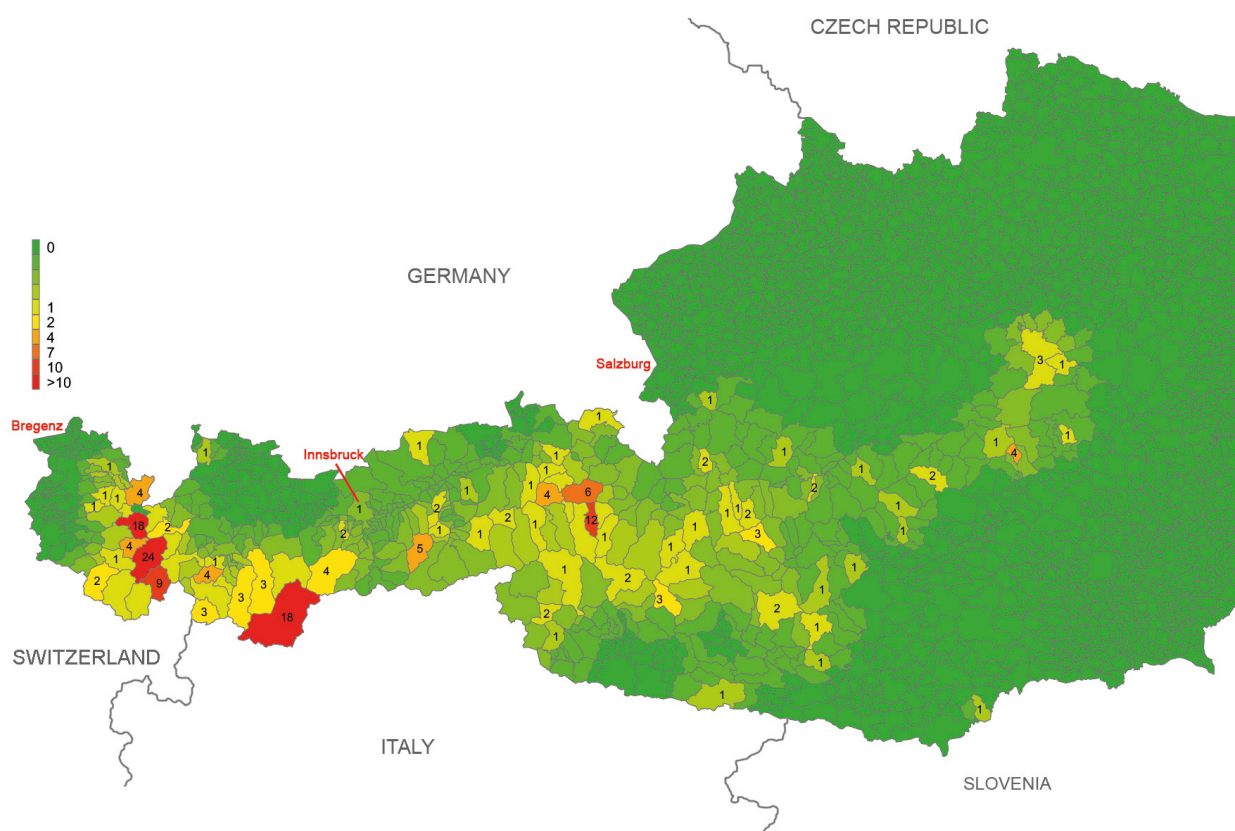


Figure 8: Regional distribution of avalanche fatalities (off-piste) in Austria within 1980/81–2009/10.



Country	Backcountry		Off-piste		Total		% off-piste
	number	per year	number	per year	number	per year	
Austria	405	14.46	184	6.57	589	21.04	31.24%
Switzerland	335	11.96	181	6.46	516	18.43	35.08%
France	355	12.68	322	11.50	677	24.18	47.56%
Italy	264	9.43	100	3.57	364	13.00	27.47%
USA	170	6.07	80	2.86	250	8.93	32.00%
sum	1529	54.61	867	30.96	2396	85.57	36.19%

Table 1: Number of avalanche fatalities and annual average (off-piste, back-country and total) of 5 countries within the winter periods 1983/84–2010/11.



		total			off-piste		
		const	linear	nonlin.	const	linear	nonlin.
Austria	AIC	513.02	498.80	488.36	221.23	213.92	215.61
	BIC	514.80	502.37	497.02	222.76	216.97	221.66
Switzerland	AIC	216.83	212.97	205.34	166.36	168.36	159.93
	BIC	218.16	215.63	211.84	167.69	171.02	165.26
France	AIC	223.70	225.22	220.83	214.46	216.44	208.39
	BIC	225.03	227.88	227.67	215.79	219.10	214.34
Italy	AIC	241.18	241.18	223.08	145.41	147.28	140.37
	BIC	242.51	243.84	229.20	146.74	149.94	145.20
United States	AIC	175.46	167.91	171.34	125.97	126.77	128.80
	BIC	176.79	170.57	177.00	127.30	129.44	133.42

Table 2: AIC and BIC of the constant, linear and nonlinear trend model considering data of Austria total and off-piste (Figure 1, Figure 2), Switzerland total and off-piste (Figure 3), France total and off-piste (Figure 4), Italy total and off-piste (Figure 5) and United States total and off-piste (Figure 6).