Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





Landslide susceptibility mapping on global scale using method

2 of logistic regression

- 4 Le Lin^{1,2} Qigen Lin^{1,2} Ying Wang^{1,2}
- 5 ¹Key Laboratory of Environmental Change and Natural Disaster of MOE, Beijing Normal University, No.19,
- 6 XinJieKouWai St., HaiDian District, 100875, Beijing, China
- 7 2Academy of Disaster Reduction and Emergency Management, Beijing Normal University, No.19, XinJieKouWai
- 8 St., HaiDian District, 100875, Beijing, China
- 9 Correspondence to: Ying Wang (wy@bnu.edu.cn)

Abstract. This paper proposes a statistical model for mapping global landslide susceptibility based on logistic regression. After investigating explanatory factors for landslides in the existing literature, five factors were selected to model landslide susceptibility: relative relief, extreme precipitation, lithology, ground motion and soil moisture. When building model, 70% of landslide and non-landslide points were randomly selected for logistic regression, and the others were used for model validation. For evaluating the accuracy of predictive models, this paper adopts several criteria including receiver operating characteristic (ROC) curve method. Logistic regression experiments found all five factors to be significant in explaining landslide occurrence on global scale. During the modeling process, percentage correct in confusion matrix of landslide classification was approximately 80% and the area under the curve (AUC) was nearly 0.87. During the validation process, the above statistics were about 81% and 0.88, respectively. Such result indicates that the model has strong robustness and stable performance. This model found that at a global scale, soil moisture can be dominant in the occurrence of landslides and topographic factor may be secondary.

Keywords

26 global scale; landslide susceptibility mapping; explanatory factors; logistic regression

1. Introduction

Landslides are a pervasive natural hazard, causing significant casualties and economic loss around the world (Budimir et al., 2015). Major news websites and online blogs from experts (such as The Landslide Blog, a thematic blog maintained by Prof. Dave Petley at the University of East Anglia) show that landslides almost occur every day. It is important and necessary to find out where the global landslide hotspot areas are and what factors can influence the occurrence of landslides. Such information would provide crucial reference for researchers and decision makers in some industries like insurance, and project managers in some non-governmental organizations (NGO). Geographers can also find it interesting for revealing spatial pattern of landslide distribution. To answer these questions, studies of global landslide susceptibility are required. Such research will help give a global perspective on landslides, which may encourage international cooperation for disaster risk reduction.

At present, research methods for landslide susceptibility mapping can be divided into three major categories, qualitative factor overlay, statistical models and geotechnical process models (Dai and Lee, 2002). Generally, geotechnical process methods are developed from slope stability analyses and are applicable for site-specific landslides or when the ground conditions are quite uniform in the study area.

Published: 3 November 2016

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

© Author(s) 2016. CC-BY 3.0 License.





1 Also, this method requires the landslide types to be known and relatively easy for analysis (Terlien et al.,

2 1995; Wu and Sidle, 1995), and hence it is seldom used in large-scale landslide susceptibility mapping.

3 In qualitative methods, landslide experts select landslide controlling factors and combine these factors

4 into a susceptibility map, based on their knowledge and experience of landslide investigation.

5 (Anbalagan, 1992; Pachauri and Pant, 1992). In contrast, statistical methods include statistical

6 determination into combinations of explanatory factors (Carrara et al., 1991; Dhakal et al., 1999).

7 Among these three types of methodologies, the latter two are widely applied in large-scale landslide

8 susceptibility mapping. Relatively, reproducibility of results and subjectivity in landslide modelling can

9 be the apparent disadvantages of the method of qualitative factor overlay. In recent time, large volumes

10 of landslide inventories and multi-source data of landslide factors are gradually accessible to researchers

11 and that make statistical methods are frequently used in landslide susceptibility mapping.

In statistical methods, Logistic regression model has been frequently used in geological hazard research and employed to explore the factors that influences landslides and determine landslide probability (Ayalew and Yamagishi, 2005; Van Den Eeckhaut et al., 2006). Compared with other statistical approaches, Brenning (2005) found that logistic regression models have a relatively low rate of error. Logistic regression can include dichotomous dependent variables (e.g. whether a landslide occurred) and independent variables, as well as categorical or continuous variables (Chang et al., 2007; Atkinson and Massari, 1998). The fact that landslide explanatory factors can be included in the model as either categorical or continuous variables gives logistic regression models a great advantage over multiple regression models, which can only include continuous variables. Finally, logistic regression models can be used to draw susceptibility maps when combined with GIS (Lee, 2005; Bai et al., 2010).

In the existing literature, there are few studies of landslide susceptibility that were carried out on a global scale; those that exist mainly used qualitative or semi-qualitative methodologies. For example, Mora and Vahrson (1994) proposed a method for assessing landslide susceptibility in tropical earthquakeprone areas that included three fundamental factors (slope, soil moisture, and lithology) and two triggering factors (extreme precipitation and ground motion). Nadim et al. (2006) applied the research of Mora and Vahrson (1994) to assess global landslide susceptibility and risk. Hong et al. (2007) selected six influencing factors (slope, elevation, soil type, soil texture, land cover type and drainage density) in the model of weighted linear combination (WLC). To obtain optimal weights combination, they tried different combination of factor weights to make model results similar with the existing landslide susceptibility map of the USA. Finally, they drew a global landslide susceptibility map using the weights combination obtained above. Some scholars have also attempted to study global landslides with statistical methods. Farahmand and AghaKouchak (2013) used a global landslide inventory compiled by the National Aeronautics and Space Administration (NASA) to build a global landslide susceptibility model based on the method of Support Vector Machine (SVM) that includes three variables, satellite-sensed precipitation, digital elevation model (DEM) and land cover type. Compared with some numerical methods like SVM, logistic regression provides a simple method to produce global landslide susceptibility map, which would be helpful in disseminating this research and could encourage further model development for its simplicity in modeling. What's more, the result from logistic regression could illustrate the relative importance of different factors in explaining landslides, which could not be achieved by some complex numerical methods like SVM.

This paper addresses the gap in creating global landslide susceptibility maps using the widely used statistical method: logistic regression, and demonstrating the relative significance of different explanatory factors in global scale. In this paper, a global landslide inventory database is constructed and

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





used for building a stepwise logistic regression model to evaluate global landslide susceptibility. Finally, a global landslide susceptibility map that visualizes this model is produced. In the landslide susceptibility model, five factors (extreme precipitation, soil moisture, lithology, relative relief and ground motion) are included as explanatory factors in stepwise logistic regression. In total, 70% of landslide and non-landslide events are randomly selected for logistic regression and the rest are used for model validation. It is found that such model has good explanatory power and performs well in model prediction. Landslide explanatory factors and the extent to which these factors influence landslide occurrence can be derived from model results directly without expert experience, which are rare in statistical assessment of global landslide susceptibility.

2. Explanatory factors

When assessing landslide susceptibility, the selection of explanatory factors is essential and significant. Typical explanatory factors from previous work (Table 1) fall into seven general categories, including topography, geology, hydrology, soil, precipitation, land cover and ground motion. Generally speaking, explanatory factors for landslides can be divided into fundamental factors and triggering factors (Nadim et al., 2006). Fundamental factors include environmental conditions that generate the potential of landslide occurrence, such as topography, lithology and soil. Triggering factors explain direct effects that drive slope instability, such as ground motion and extreme precipitation. In existing literatures, combination of trigger and susceptibility can influence landslide hazard level (Nadim et al., 2006). However, landslide model without landslide information like time and magnitude (like size, speed, kinetic energy or momentum of mass) cannot be correctly defined as hazard models (Guzzetti et al., 1999). Hence, in this paper, both fundamental factors and triggering factors are included to evaluate landslide susceptibility.

In existing studies of landslides at a regional scale, topography is regarded as a powerful explanatory factor for the occurrence of landslides (Dai and Lee, 2002; Lee and Min, 2001), and it is also demonstrated at a global scale (Hong et al., 2007). For most studies, topography includes relief characteristics such as elevation, slope gradient and slope aspect. At a global scale, factors such as elevation and slope gradient can be replaced by topographic index or relative relief, which indicate macroscopic differences in topography. Especially for landslide data with low location precision, using factors such as elevation or slope gradient that precisely relate to landslide location will reduce the accuracy of landslide susceptibility analysis (Farahmand and AghaKouchak, 2013). Therefore, a general factor such as relative relief is more appropriate, and in this paper, relative relief is used to represent topology. Relative relief is defined as the difference between maximum and minimum elevation values within an area (Chauhan et al., 2010). Relative relief has been shown to be an important explanatory factor, and landslide occurrence is generally higher in high relative relief areas (Anbalagan, 1992).

For geology, attributes like rock age and rock type can be chosen, with data mainly coming from small regional geological surveys and field studies. Studies of global landslide susceptibility have shown that lithology is a fundamental factor (Nadim et al., 2006). Landslides are more likely to occur in some relatively later formed rocks with lower intensity and less likely in relatively earlier formed rocks with sufficient solidification and high intensity. Hence the factor of lithology is included in landslide model.

The water condition of the land surface also affects landslides. With the development of large data sharing frameworks for meteorological data, precipitation information is easily available and hence frequently used in landslide analysis (Farahmand and AghaKouchak, 2013). However, as Nadim et al. (2006) propose, soil moisture also can be proxy of water condition for it represents average moisture

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





condition of the soil. Compared with mean annual precipitation, it can avoid the interruption of extreme precipitation, which can objectively reflect the possibility of slope instability in long period and can be taken as fundamental factor of landslide occurrence. Farahmand and AghaKouchak (2013) also recommend the use of soil moisture data in study of global landslide susceptibility. Therefore, soil moisture as an explanatory factor is adopted in this paper.

Ground motion and extreme precipitation are always analyzed as triggering factors of landslides, using data from field surveys and monitoring observations. Landslides are generally triggered by earthquakes or by heavy precipitation. Strong ground motion during earthquakes cause rocks to rupture, thus inducing landslides. As for rainfalls, rain and/or meltwater that reaches the ground surface infiltrates into the ground and forms groundwater. During this process, the pressure of the water that fills the void spaces between soil particles and rock fissures rises when the amount of water infiltrating into the ground increases. A rise in pore-water pressure causes a drop in effective stress, affecting the stability of a slope, and thus is a major cause of landslides and other sediment-related disasters (Matsuura et al., 2008). Intense rainfall is believed to be a cause of shallow landslides (Caine, 1980). Current studies of landslides consider ground motion and extreme precipitation as triggering factors (Umar et al., 2014; Nowicki et al., 2014; Nadim et al., 2006). Therefore, in this paper, ground motion and monthly extreme precipitation are used as triggering factors. In summary, this paper uses relative relief, soil moisture, lithology, monthly extreme precipitation and PGA as explanatory factors for global scale landslide susceptibility. The first three are fundamental factors, and the last two are triggering factors.

19 20 21

6

7

8

9

10

11

12

13

14

15

16

17

18

3. Methodology and Data

22 3.1 Study area

23 This paper considers global continental areas from 72° N to 72° S, excluding Greenland and the Antarctic

24 continent. Because this research is specific to terrestrial landslides, oceans and areas covered by glaciers

25 or ice sheets are excluded. The scope of this paper is also limited by data coverage for explanatory factors.

As the coverage area of lithology is from 72° N to 72° S, therefore, the final susceptibility map is limited

27 to such boundary.

28 3.2 Logistic regression model

What's more, Logistic regression models are commonly fitted in a stepwise manner (Budimir et al., 2015).

30 The general form of a logistic regression model is as follows:

31
$$\operatorname{logit}(y) = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_i x_i + e$$
 (1)

In Eq. 1, y is the dependent variable that reflects landslide occurrence, x_i is the independent variable

related to explanatory factors, β_0 is a constant, β_i is the regression coefficient for the explanatory factors,

and e is the random error. The probability p of the dependent variable y can be expressed as follows in

35 Eq. 2:

36

$$p = \frac{exp(\beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_i x_i)}{1 + exp(\beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_i x_i)}$$
(2)

37 3.3 Independent variables

38 In this paper, explanatory factors are put into stepwise logistic regression model as independent variables.

39 All layer data of these explanatory factors are converted to the WGS 1984 geographical coordinate

40 system. Original resolution of factors is reserved as simple resampling cannot make real contribution to

41 the accuracy and precision of information provided in the layers.

42 Topographic data come from GTOPO30 (USGS, 2012), which is a global elevation dataset from Earth

43 Resources Observation and Science (EROS) Center. Its spatial resolution is 30 arc-seconds

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





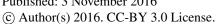
- 1 (approximately 1 kilometer), and it covers the earth surface from 90° N to 90° S and 180° E to 180° W.
- 2 After obtaining the data, relative relief is calculated by a moving window method in ArcGIS with window
- 3 size of 0.5 arc-degree. From existing literatures, there is few statement about proper classification method
- 4 of relative relief. Relative relief is hence divided into 10 types with successive ordinal values from 1 to
- 5 10, using the natural breaks method of classification (Table 2).
- 6 Lithology data come from a geological map of the world at a 1:25,000,000 scale (the third version)
- 7 published by the Commission for the Geological Map of the World (CGMW, 2010) and UNESCO. In
- 8 the Mercator projection, the north and south boundaries of this map are set as 72° N and 72° S. As a
- 9 consequence, a large extent of the Antarctic continental coastline is visible, with a better delimitation of
- 10 the Southern Ocean. The southern half of Greenland is also visible (Bouysse, 2010). The lithology data
- are rasterized with a spatial resolution of 0.01°. Following Nadim et al. (2006), global lithology data can
- are rusterized with a spatial resolution of 0.01. I onlywing radding et al. (2000), global natiology data can
- 12 be divided into 6 categories (Table 2). The spatial resolution of 0.01° was used because the primary
- 13 electronic map is vector-based. Its information can be greatly reserved by using small-scale raster when
- converted into raster map, and a small-scale raster can fit the coastline well.
- 15 In this paper, the soil moisture index is used to represent the local soil humidity level. With data
- 16 products from the Center for Climatic Research at the University of Delaware, Willmott and Feddema
- 17 (1992) proposed a new soil moisture index. In this index, soil moisture was normalized to a range from
- -1.0 to 1.0 with a spatial resolution of 0.5°. Nadim et al. (2006) classified soil moisture data into levels
- 19 from 1 to 5 (Table 2), with higher values indicating greater humidity.
- 20 Monthly extreme precipitation with a repeat period of 100 years is calculated using historical
- 21 precipitation grid data over 50 years (from 1961 to 2010) from the GPCC Full Data Reanalysis
- 22 (Schneider et al., 2011). As no typical classification method for extreme precipitation exists in literatures,
- 23 this precipitation data is divided into 10 levels (Table 2) with a spatial resolution of 0.5°, according to
- the natural breaks classification method.
- 25 For ground motion, PGA with an exceedance probability of 10% over 50 years is included (that is, a
- 26 repeat period of 475 years). Data are from the global seismic hazard map created by the Global Seismic
- 27 Hazard Assessment Program (GSHAP) of the International Lithosphere Program (ILP). The map shows
- 28 PGA with an exceedance probability of 10% over 50 years and a spatial resolution of 0.1° (Giardini et
- 29 al., 2003). Based on the methodology of Nadim et al. (2006), PGA can be divided into 10 levels (Table
- 30 2), with higher values denoting greater seismic hazard.

3.4 Dependent variables

- 32 The dependent variables that enter the model are global landslide inventory data and simulated non-
- 33 landslide data.

- 34 This paper uses global landslide inventory data from a combined database. This database stores
- 35 landslide information of two existing inventories: World Geological Hazard Inventory created by the
- 36 Academy of Disaster Reduction and Emergency Management of Beijing Normal University (ADREM,
- 37 BNU), and NASA global landslide inventory (refer to Kirschbaum et al. 2010 for details). The items in
- World Geological Hazard Inventory were collected manually from news reports (e.g. mass media in
- 39 China, Xinhua News, and Sina News) and records in books and journals (e.g. Galli and Guzzetti, 2007
- 40 and Gao, 1999). A large range of literatures, not only reviewed academic books and journals but also
- newspaper and local chronicles, was included to serve as the information sources so as to investigate those geological hazards which happened long time ago or in remote area. Such rich information sources
- can provide as more landslides as possible to reduce the uncertainty brought by limited landslide database.
- 44 Two teams were assigned to develop and maintain this inventory. One team (about 10 persons) was

Published: 3 November 2016



1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18 19

20

21

22





responsible for collecting information from literatures and the other team (about 4 persons) was expected to check and review the items collected for data quality control. Example of this inventory can be found in Table 3.

In all, the combined database stores landslide information like hazard type, occurrence time, location (including geographical coordinates and locating precision), fatalities and data sources. Currently, this database contains 2005 landslides, their location as showed in Fig. 1. This combined database includes landslides (debris slides, rotational slides, and slumps) and debris flows, following the landslide classification of Varnes (1984) and Cruden and Varnes (1996).

Non-landslide events come from generating random points. Because landslide location accuracy is approximately 0.25°, a buffer zone is created around the existing landslide points with a radius of 0.25° to represent the location range of each landslide event. The buffer zone is then removed from the global continent area and the other part on global continent forms potential non-landslide area. The quantity of non-landslide points should be carefully considered. Most studies use an equal amount of landslide points and non-landslide points (Dai and Lee, 2002; Kawabata and Bandibas, 2009; Chau and Chan, 2005; Costanzo et al., 2014; Regmi et al., 2014; Mathew et al., 2009). However, a few authors prefer an unequal amount (Van Den Eeckhaut et al., 2012; Felicisimo et al., 2013). For example, Van Den Eeckhaut et al. (2006) use 5 times as many non-landslide cells as landslide cells, and Farahmand and AghaKouchak (2013) use 10 times as many non-landslide cells as landslide cells. In order to make sensitivity test on the landslide susceptibility model in the paper and also reduce the uncertainty included by random nonlandslide, 5 non-landslide sets which each had equal number as landslides were created using random sampling without replacement. To validate the landslide model, method of splitting datasets is applied (Van Den Eeckhaut et al., 2012). For each dataset, 70% of landslide and non-landslide are randomly selected for modeling, and the remaining 30% are used for validation.

23 24 25

26

27

28

29

30

31 32

33

34

35

36

37

38

39

40

41

4. Results

Stepwise logistic regression is applied to analyze each dataset. Confusion matrix and Akaike's information criterion value (AIC) (Allison, 2001; Van Den Eeckhaut et al., 2006) are applied to assess model performance. In addition, this paper also adopts a receiver operating characteristic (ROC) curve to evaluate model effectiveness. The ROC curve helps to validate a model graphically (Swets, 1988), providing an analysis based on true-positive and false-positive rates. With higher area under this curve (AUC), such model is demonstrated to perform well in prediction (Mathew et al., 2009). The results and validation of the logistic regression models for 5 datasets are shown in Table 4.

It is found that among these 5 datasets, percentage correct in confusion matrix ranges from 78.7% to 80.4% during the modeling process and from 79.9% to 82.1% during the validation process. Generally, the logistic regression models in this study show high accuracy in confusion matrix. For the 5 datasets, their AUC values range from 0.8685 to 0.8846 when modeling (Fig. 2) and from 0.8809 to 0.8933 when validating (Fig. 3). On average, the AUC value in the logistic regression model is approximately 0.88, which indicates a relatively great performance in prediction.

By using the principle of high percentage correct in confusion matrix, high AUC value and low AIC value, the regression model from dataset 2 was selected as the global landslide susceptibility model. This model is then used to analyze the importance of the explanatory factors on landslides and employed in landslide susceptibility mapping. The formula of the best model is as follows:

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





1
$$\begin{cases} P = \frac{f}{1+f} \\ f = Exp(-5.7047 + 0.5528 * S + 0.1958 * A + 0.1245 * L + 0.3159 * R + 0.2957 * E) \end{cases}$$
3 where P stands for the probability of landslides, and S, A, L, R, and E stand for landslide explanatory

where P stands for the probability of landslides, and S, A, L, R, and E stand for landslide explanatory factors of soil moisture, PGA, lithology, relative relief and extreme precipitation, respectively.

In the model above, all variables are significant at the 1% confidence level. The coefficients of each factor show that the greatest contribution to landslide occurrence comes from soil moisture, which has a coefficient of approximately 0.6. The next most important factors are relative relief and extreme precipitation, with coefficients of approximately 0.3. The contribution of PGA and lithology is relatively low, with a coefficient of approximately 0.2 and 0.1.

A global landslide susceptibility map can be drawn using the model in Eq. 3. Based on existing susceptibility classification methods from Guzzetti et al. (2006), Van den Eeckhaut et al. (2012), this map classifies susceptibility levels according to breakpoints of 0.4, 0.6, 0.7 and 0.9. These breakpoints define a susceptibility map with 5 levels, i.e. very low, low, moderate, high, very high (Fig. 4).

The susceptibility map shows that global landslide hotspots are the Alps, the Iranian Plateau, the Pamirs, the southern Qinghai-Tibet Plateau, the mountainous region of southwestern China, the islands in the western Pacific Ocean, including Japan, the Philippines, Malaysia, Indonesia and New Zealand, northeastern North America, Central America and the Andes in South America.

5. Discussion

With the development of global DEM products, DEM with finer resolution is now available to the public. The NASA Shuttle Radar Topographic Mission (Jarvis et al., 2012) has provided digital elevation data for over 80% of the globe. This data is currently distributed free of charge. The SRTM data is available as 3 arc second (approx. 90m resolution) DEM covering the globe from 60°N to 60°S. The 1-arc-second data product was also produced and now is available for all countries. To explore the sensitivity of DEM on model result, experiments have also been performed when following all the procedures stated above, but using SRTM 90m DEM as source of topology. As showed in Table 5, landslide susceptibility model with 90m DEM had no significant difference (only an increase about 0.005 in AUC) with those models using 1km DEM (AUC in modelling, from 0.8768 to 0.8818; AUC in validation, from 0.8871 to 0.8929). When location precision of landslide is not that good, using finer DEM cannot help to increase the accuracy of landslide susceptibility analysis. DEM with coarser resolution (i.e. 1km DEM) is recommended as the topographical factor in global landslide susceptibility mapping.

For the incompleteness of landslide inventory in the global geological hazard database of this study, the landslides included in this study may represent only a subset of the total landslides around the world. Studying global landslide susceptibility in a more comprehensive and objective way requires a more complete global landslide inventory.

6. Conclusions

This paper applies stepwise logistic regression model to study landslide susceptibility on global scale. After investigating the explanatory factors for landslides in the existing literature, five explanatory factors: extreme precipitation, lithology, relative relief, ground motion and soil moisture, are selected. These factors are used to build a landslide susceptibility model through stepwise logistic regression based on landslides recorded in a combined global landslide inventory. It is found that the five explanatory factors perform well in explaining the occurrence of landslides on a global scale. Percentage correct in

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





- 1 confusion matrix of landslide classification during modeling ranges from 78.7% to 80.4%, with an AUC
- 2 value from 0.8685 to 0.8846. During validation, percentage correct in confusion matrix ranges from 79.9%
- 3 to 82.1%, with an AUC value from 0.8809 to 0.8933. The results from those datasets are similar, and the
- 4 coefficients and ranks of each explanatory factor are relatively stable, which suggests that the model is
- 5 both robust and accurate.
- 6 Existing studies of landslide susceptibility generally use topography as an explanatory factor (Budimir
- 7 et al., 2015). However, on a global scale, topography is not always the primary factor for landslide
- 8 occurrence. For example, Hong et al. (2007) gives priority to slope when building their global landslide
- 9 model, and friction has the highest regression coefficient in model for earthquake-induced landslides
- 10 (Nowicki et al., 2014). The present study shows that on global scale, soil moisture is the most important
- 11 factor, while topography (relative relief in this study) is secondary. Additionally, this study shows that
- soil moisture has significantly explanatory power for landslide occurrence on a global scale. Therefore,
- 13 it may suggest that future work of landslide susceptibility should consider the influence of soil water
- 14 condition and long-term precipitation when studying global landslide susceptibility.

15 16

Acknowledgments

- 17 This work was supported primarily by the National Natural Science Funds of China (41271544), and
- 18 National Key Technology R & D Program of the Twelfth Five-Year Plan of China (No. 2012BAK10B03).

19 20

References

- Alimohammadlou, Y., Najafi, A., and Gokceoglu, C.: Estimation of rainfall-induced landslides using ANN and fuzzy clustering methods: A case study in Saeen Slope, Azerbaijan province,
- 23 Iran, Catena, 120, 149-162, 10.1016/j.catena.2014.04.009, 2014.
- Allison, P. D.: Logistic regression using the SAS system: theory and application, Wiley Interscience,
 New York, 2001.
- Anbalagan, R.: Landslide hazard evaluation and zonation mapping in mountainous terrain, Eng. Geol., 32, 269-277, 10.1016/0013-7952(92)90053-2, 1992.
- Atkinson, P. M., and Massari, R.: Generalised linear modelling of susceptibility to landsliding in the central Apennines, Italy, Comput. Geosci., 24, 373-385, 10.1016/s0098-3004(97)00117-9,
- 30 1998
- Ayalew, L., and Yamagishi, H.: The application of GIS-based logistic regression for landslide susceptibility mapping in the Kakuda-Yahiko Mountains, Central Japan, Geomorphology, 65,
- 33 15-31, 10.1016/j.geomorph.2004.06.010, 2005.
- Ayalew, L., Yamagishi, H., and Ugawa, N.: Landslide susceptibility mapping using GIS-based weighted linear combination, the case in Tsugawa area of Agano River, Niigata Prefecture,
- 36 Japan, Landslides, 1, 73-81, 10.1007/s10346-003-0006-9, 2004.
- Bai, S. B., Wang, J., Lu, G. N., Zhou, P. G., Hou, S. S., and Xu, S. N.: GIS-based logistic regression
 for landslide susceptibility mapping of the Zhongxian segment in the Three Gorges area, China,
- 39 Geomorphology, 115, 23-31, 10.1016/j.geomorph.2009.09.025, 2010.
- Bouysse, P.: Explanatory Notes: The Geological Map of the World at 1: 50 000 000 (the third
 edition), Commission for the Geological Map of the World publishing, http://ccgm.org/img/c
 ms/Expl%20Notes%20Geol%20Map%20World.pdf, 2010.
- Brenning, A.: Spatial prediction models for landslide hazards: review, comparison and evaluation,
 Nat. Hazards Earth Syst. Sci., 5, 853-862, 2005.

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





- 1 Budimir, M. E. A., Atkinson, P. M., and Lewis, H. G.: A systematic review of landslide probability
- 2 mapping using logistic regression, Landslides, 12, 419-436, 10.1007/s10346-014-0550-5,
- 3 2015.

1991.

- 4 Caine, N.: The rainfall intensity-duration control of shallow landslides and debris flows, 5 Geografiska Annaler, 62A, 23-27, 1980.
- Carrara, A., Cardinali, M., Detti, R., Guzzetti, F., Pasqui, V., and Reichenbach, P.: GIS techniques
 and statistical models in evaluating landslide hazard, Earth. Surf. Proc. Land., 16, 427-445,
- 9 CGMW (Commission for the Geological Map of the World): Geological Map of the World at 1: 25
 10 000 000, http://ccgm.org/en/maps/93-carte-geologique-du-monde-a-125-000-00011 9782917310045.html, 2010.
- 12 Chang, K. T., Chiang, S. H., and Hsu, M. L.: Modeling typhoon- and earthquake-induced landslides 13 in a mountainous watershed using logistic regression, Geomorphology, 89, 335-347, 14 10.1016/j.geomorph.2006.12.011, 2007.
- Chau, K. T., and Chan, J. E.: Regional bias of landslide data in generating susceptibility maps using
 logistic regression: Case of Hong Kong Island, Landslides, 2, 280-290, 10.1007/s10346-005 0024-x. 2005.
- Chauhan, S., Mukta, S., and Arora, M. K.: Landslide susceptibility zonation of the Chamoli region,
 Garhwal Himalayas, using logistic regression model, Landslides, 7, 411-423, 10.1007/s10346 010-0202-3, 2010.
- Costanzo, D., Chacon, J., Conoscenti, C., Irigaray, C., and Rotigliano, E.: Forward logistic
 regression for earth-flow landslide susceptibility assessment in the Platani river basin (southern
 Sicily, Italy), Landslides, 11, 639-653, 10.1007/s10346-013-0415-3, 2014.
- Cruden, D. M., and Varnes, D. J.: Landslide types and processes. in: Turner, A. K., and Schuster, R.
 L. (eds.), Landslides investigation and mitigation, National Academy, Washington, 1996.
- Dai, F. C., and Lee, C. F.: Landslide characteristics and, slope instability modeling using GIS,
 Lantau Island, Hong Kong, Geomorphology, 42, 213-228, 10.1016/s0169-555x(01)00087-3,
 2002.
- Dhakal, A. S., Amada, T., and Aniya, M.: Landslide hazard mapping and the application of GIS in the Kulekhani watershed, Nepal, Mt. Res. Dev., 19, 3-16, 10.2307/3674109, 1999.
- Ercanoglu, M., and Gokceoglu, C.: Assessment of landslide susceptibility for a landslide-prone area (north of Yenice, NW Turkey) by fuzzy approach, Environ. Geol., 41, 720-730, 10.1007/s00254-001-0454-2, 2002.
- Erener, A., and Duzgun, H. S. B.: Improvement of statistical landslide susceptibility mapping by using spatial and global regression methods in the case of More and Romsdal (Norway), Landslides, 7, 55-68, 10.1007/s10346-009-0188-x, 2010.
- Farahmand, A., and AghaKouchak, A.: A satellite-based global landslide model, Nat. Hazards Earth Syst. Sci., 13, 1259-1267, 10.5194/nhess-13-1259-2013, 2013.
- Felicisimo, A., Cuartero, A., Remondo, J., and Quiros, E.: Mapping landslide susceptibility with logistic regression, multiple adaptive regression splines, classification and regression trees, and maximum entropy methods: a comparative study, Landslides, 10, 175-189, 10.1007/s10346-
- 42 012-0320-1, 2013.
- Galli, M., and Guzzetti, F.: Landslide vulnerability criteria: A case study from Umbria, central Italy,
 Environ. Manage., 40, 649-664, 10.1007/s00267-006-0325-4, 2007.

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





- Gao, J.: A summary of world natural disasters in 1998, Disaster reduction in China, 9, 52-58, 1999.

 (Published in Chinese)
- 3 Giardini, D., Grünthal, G., Shedlock, K. M., and Zhang, P.: The GSHAP global seismic hazard map.
- 4 in: Lee, W., Kanamori, H., Jennings, P., and Kisslinger, C. (eds.) International handbook of
- 5 earthquake and engineering seismology, International Geophysics Series 81 B, Academic Press,
- 6 Amsterdam, 1233-1239, 2003. http://www.gfz-potsdam.de/GSHAP.
- 7 Guzzetti, F., Carrara, A., Cardinali, M., and Reichenbach, P.: Landslide hazard evaluation: a review
- of current techniques and their application in a multi-scale study, Central Italy, Geomorphology, 31, 181-216, 10.1016/s0169-555x(99)00078-1, 1999.
- 10 Guzzetti, F., Reichenbach, P., Ardizzone, F., Cardinali, M., and Galli, M.: Estimating the quality of
- 11 landslide susceptibility models, Geomorphology, 81, 166-184,
- 12 10.1016/j.geomorph.2006.04.007, 2006.
- Hong, Y., Adler, R., and Huffman, G.: Use of satellite remote sensing data in the mapping of global
 landslide susceptibility, Nat. Hazards, 43, 245-256, 10.1007/s11069-006-9104-z, 2007.
- Jarvis, A., Reuter, H., Nelson, A., and Guevara, E.: Hole-filled SRTM for the globe version 4, technical report, the CGIAR-CSI SRTM 90m Database, http://srtm.csi.cgiar.org, 2012.
- 17 Kawabata, D., and Bandibas, J.: Landslide susceptibility mapping using geological data, a DEM
- from ASTER images and an Artificial Neural Network (ANN), Geomorphology, 113, 97-109, 10.1016/j.geomorph.2009.06.006, 2009.
- 20 Kirschbaum, D. B., Adler, R., Hong, Y., Hill, S., and Lerner-Lam, A.: A global landslide catalog for
- 21 hazard applications: method, results, and limitations, Nat. Hazards, 52, 561-575, 22 10.1007/s11069-009-9401-4, 2010.
- 23 Lee, S.: Application of logistic regression model and its validation for landslide susceptibility
- mapping using GIS and remote sensing data journals, Int. J. Remote Sens., 26, 1477-1491,
- 25 10.1080/01431160412331331012, 2005.
- Lee, S., and Min, K.: Statistical analysis of landslide susceptibility at Yongin, Korea, Environ. Geol.,
 40, 1095-1113, 2001.
- 28 Mathew, J., Jha, V. K., and Rawat, G. S.: Landslide susceptibility zonation mapping and its
- 29 validation in part of Garhwal Lesser Himalaya, India, using binary logistic regression analysis
- and receiver operating characteristic curve method, Landslides, 6, 17-26, 10.1007/s10346-008-
- 31 0138-z, 2009.
- 32 Matsuura, S., Asano, S., and Okamoto, T.: Relationship between rain and/or meltwater, pore-water
- pressure and displacement of a reactivated landslide, Eng. Geol., 101, 49-59,
- 34 10.1016/j.enggeo.2008.03.007, 2008.
- Mora, S., and Vahrson, W.: Macrozonation methodology for landslide hazard determination, Bull.
 Assoc. Eng. Geol., 31, 49-58, 1994.
- Nadim, F., Kjekstad, O., Peduzzi, P., Herold, C., and Jaedicke, C.: Global landslide and avalanche hotspots, Landslides, 3, 159-173, 10.1007/s10346-006-0036-1, 2006.
- 39 Nowicki, M. A., Wald, D. J., Hamburger, M. W., Hearne, M., and Thompson, E. M.: Development
- of a globally applicable model for near real-time prediction of seismically induced landslides,
- 41 Eng. Geol., 173, 54-65, 10.1016/j.enggeo.2014.02.002, 2014.
- Pachauri, A. K., and Pant, M.: Landslide hazard mapping based on geological attributes, Eng. Geol.,
 32, 81-100, 10.1016/0013-7952(92)90020-y, 1992.
- 44 Regmi, N. R., Giardino, J. R., McDonald, E. V., and Vitek, J. D.: A comparison of logistic

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





- regression-based models of susceptibility to landslides in western Colorado, USA, Landslides, 11, 247-262, 10.1007/s10346-012-0380-2, 2014.
- Schneider, U., Becker, A., Finger, P., Meyer-Christoffer, A., Rudolf, B., and Ziese, M.: GPCC full
 data reanalysis version 6.0 at 0.5°: monthly land-surface precipitation from rain-gauges built
 on GTS-based and historic data, 10.5676/DWD GPCC/FD M V6 050, 2011.
- 6 Swets, J. A.: Measuring the accuracy of diagnostic systems, Science, 240, 1285-1293, 1988.
- Terlien, M. T. J., Van Asch, T. W. J., and Van Westen, C. J.: Deterministic modelling in GIS-based
 landslide hazard assessment, in: Carrara, A., and Guzzetti, F. (eds.) Geographical information
 systems in assessing natural hazards, Kluwer Academic Publishing, The Netherlands, 57-77,
 1995.
- Umar, Z., Pradhan, B., Ahmad, A., Jebur, M. N., and Tehrany, M. S.: Earthquake induced landslide
 susceptibility mapping using an integrated ensemble frequency ratio and logistic regression
 models in West Sumatera Province, Indonesia, Catena, 118, 124-135,
 10.1016/j.catena.2014.02.005, 2014.
- USGS: GTOPO30 readme, https://lta.cr.usgs.gov/GTOPO30, 2012.
- Van Den Eeckhaut, M., Vanwalleghem, T., Poesen, J., Govers, G., Verstraeten, G., and
 Vandekerckhove, L.: Prediction of landslide susceptibility using rare events logistic regression:
 A case-study in the Flemish Ardennes (Belgium), Geomorphology, 76, 392-410,
 10.1016/j.geomorph.2005.12.003, 2006.
- Van Den Eeckhaut, M., Hervas, J., Jaedicke, C., Malet, J. P., Montanarella, L., and Nadim, F.:
 Statistical modelling of Europe-wide landslide susceptibility using limited landslide inventory
 data, Landslides, 9, 357-369, 10.1007/s10346-011-0299-z, 2012.
- 23 Varnes, D. J.: Landslide hazard zonation: a review of principles and practice, UNESCO, Paris, 1984.
- Willmott, C. J., and Feddema, J. J.: A more rational climatic moisture index, Prof. Geogr., 44, 84 88, 1992.
- Wu, W. M., and Sidle, R. C.: A distributed slope stability model for steep forested basins, Water Resour. Res., 31, 2097-2110, 10.1029/95wr01136, 1995.

© Author(s) 2016. CC-BY 3.0 License.

1 2

3





Table 1 Brief summary of explanatory factors in landslide susceptibility assessment for regional scale and global scale

	Geographic scale of study							
Factors	Regional	Global						
topography	slope gradient, slope aspect, elevation, plan curvatures, profile curvatures (1)*, slope morphology (2), standard deviation of slope gradient (6)	Median, minimum, and maximum slope values from DEM (10), topography index (11), slope angle (12), elevation (13)						
geology	lithology (1), density of geological boundaries (3), distance to geological boundaries (3), weathering depth (4), tectonic uplift (9), geological age (6)	lithology (12)						
hydrology	proximity to drainage lines (2), water conditions (4), drainage density (5), distance from river, stream power index (SPI) (7)	drainage density (13)						
soil	texture, material, soil thickness (5), topographic wetness index (TWI)(7), soil type, soil moisture (6)	material strength (10), soil wetness (10), soil moisture (12), soil type (13), soil texture (13)						
precipitation	rainfall (7), total monthly precipitation (8), annual precipitation (9)	precipitation rates from rainfall accumulations in the past (11), extreme monthly rainfall with 100 years return period (12)						
land cover	vegetation cover (2,4), age, diameter and density of timber for vegetation (5), land use/cover (7), road construction (8)	land use and land cover (11,13)						
ground motion	peak ground acceleration (7), earthquake and seismic shaking (8)	peak ground acceleration and peak ground velocity (10)						

^{*}Numbers in the table indicate related studies, they are: (1) Ayalew et al., 2004; (2)Dai and Lee, 2002; (3)Kawabata and Bandibas, 2009; (4) Ercanoglu and Gokceoglu, 2002; (5)Lee and Min, 2001; (6)Van Den Eeckhaut et al., 2012; (7)Umar et al., 2014; (8) Alimohammadlou et al., 2014; (9) Erener and Duzgun, 2010; (10) Nowicki et al., 2014; (11) Farahmand and AghaKouchak, 2013; (12) Nadim et al., 2006; (13) Hong et al., 2007.

4

© Author(s) 2016. CC-BY 3.0 License.





Table 2 Input variables used in logistic regression analysis

2

2		
Dependent variables: landslide location	Data provider	Map details
World Geological Hazard Inventory	ADREM, BNU	Point
Global landslide inventory	NASA	Point
Independent variables	Sources	Map details
Relative relief (unit: m)		
Classification method: natural breaks	GTOPO and SRTM DEM	30/3 arc-second
$(1. <= 80; 2.\ 80-264; 3.\ 264-520; 4.\ 520-844; 5.\ 844-1226; 6.\ 1226-1672;$		
7. 1672-2232; 8. 2232-2982; 9. 2982-4024; 10. >4024)		
Lithology		
Classification method: refer to Nadim et al. (2006)	Geological map of the world	Polygon
(0. Undifferentiated facies, Ophiolitic complex, Endogenous rocks,	at a 1:25,000,000 by	(rasterized into
Oceanic crust; 1. Extrusive volcanic rocks: Precambrian, Proterozoic,	Commission for the	0.01 arc-second)
Paleozoic and Archean, Endogenous rocks (plutonic and/or	Geological Map of the World	
metamorphic): Precambrian, Proterozoic, Paleozoic and Archean; 2.	(CGMW) and UNESCO	
Old sedimentary rocks: Precambrian, Archean, Proterozoic, Paleozoic,		
Extrusive volcanic rocks: Paleozoic, Mesozoic, Endogenous rocks:		
Paleozoic, Mesozoic, Triassic, Jurassic, Cretaceous; 3. Sedimentary		
rocks: Paleozoic, Mesozoic, Triassic, Jurassic, Cretaceous, Extrusive		
volcanic rocks: Mesozoic, Triassic, Jurassic, Cretaceous, Endogenous		
rocks: Meso-Cenozoic, Cenozoic; 4. Sedimentary rocks: Cenozoic,		
Quaternary, Extrusive volcanic rocks: Meso-Cenozoic; 5. Extrusive		
volcanic rocks: Cenozoic)		
Soil moisture index		
Classification method: refer to Nadim et al. (2006)	Willmott and Feddema (1992)	0.5 arc-second
$(11.0 \sim -0.6; 20.6 \sim -0.2; 30.2 \sim +0.2; 4. +0.2 \sim +0.6; 5. +0.6 \sim$		
+1.0)		
Monthly extreme rainfall with return period of 100 years (unit: mm)		
Classification method: natural breaks	calculated using historical	0.5 arc-second
(1. <=55; 2. 55-150; 3. 150-250; 4. 250-365; 5. 365-500; 6. 500-650; 7.	precipitation grid data over 50	
650-850; 8. 850-1100; 9. 1100-1650; 10. >1650)	years (from 1961 to 2010)	
	from the GPCC Full Data	
DCA 1/1 1 1 1 1/1/2 6400/ 50	Reanalysis	
PGA with an exceedance probability of 10% over 50 years		
(unit: m*s ²) Classification mathed: refer to Nedim et al. (2006)	Clabal saismis !	0.1 ara
Classification method: refer to Nadim et al. (2006)	Global seismic hazard map	0.1 arc-second
(1. 0.00-0.50; 2. 0.51-1.00; 3. 1.01-1.50; 4. 1.51-2.00; 5. 2.01-2.50; 6.	created by the Global Seismic	
2.51-3.00; 7. 3.01-3.50; 8. 3.51-4.00; 9. 4.01-4.50; 10. >4.50)	Hazard Assessment Program (GSHAP) of the International	
	Lithosphere Program (ILP)	
	Limosphere i rogram (ILF)	

3

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





 Table 3 Example of landslide inventory in World Geological Hazard Inventory created by ADREM, BNU

	2										
ID	Hazard type	Date	Country	Continent	Location	Longitude/Latitude	Death	Lost	Injured	Location precision (°)	Sources
000159	Debris	2005.6.1	U. S.	North	Laguna	33°32′32.63″N	0	0	2	0.05	Sina
	flow			America	Beach, Los	117°46′18.10″W					News
					Angeles,						
					California						
000168	Landsli	2010.11.4	Costa	South	San	9°55′37.48″N	20	12	0	0.1	Xinhua
	de		Rica	America	Antonio,	84°04′55.24″W					News
					San José						
000403	Debris	2010.8.7	China	Asia	Zhouqu,	33°47′10.56″N	1463	302	2244	0.1	Xinhua
	flow				Gansu	104°22′7.24″E					News
000465	Landsli	2008.6.29	Côte	Africa	Abidjan	5°20′10.74″N	7	0	4	0.01	Sina
	de		d'Ivoire		,	4°1′39.90″W	-	-			News
						57.70					- 10 110

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





Table 4 Model results of stepwise logistic regression for each dataset

Dataset	Intercept	Intercept Soil moisture		PGA Lithology	Relative Extreme relief precipitation	Evtrama		Modeling		Validation	
			PGA			AIC^{\dagger}	Percentage	AUC	Percentage	AUC	
						1		correct	noc	correct	noc
Set 1	-5.7898***	0.5567***	0.1196***	0.1885***	0.3583***	0.2798***	2511.2	0.801	0.8755	0.810	0.8914
Set 2	-5.7047***	0.5528***	0.1958***	0.1245**	0.3159***	0.2957***	2468.4	0.797	0.8789	0.821	0.8933
Set 3	-5.9134***	0.5980***	0.1803***	0.1583***	0.3312***	0.2924***	2421.8	0.804	0.8846	0.799	0.8812
Set 4	-5.6525***	0.5432***	0.1704***	0.1073**	0.3344***	0.2977***	2483.8	0.798	0.8766	0.804	0.8809
Set 5	-5.3490***	0.5426***	0.1663***	0.1100**	0.3022***	0.2625***	2564.5	0.787	0.8685	0.814	0.8886
Average							2489.9	0.797	0.8768	0.810	0.8871

 $^{^{\}dagger}\text{These}$ statistics of AIC are based on the model with intercept and covariates

^{**}Coefficients are significant at 1% confidential level

^{***} Coefficients are significant at 0.1% confidential level

Published: 3 November 2016

© Author(s) 2016. CC-BY 3.0 License.





 $1 \qquad \textbf{Table 5} \ \text{Results of model based on global SRTM DEM (90m)}$

Dataset In		Soil humidity	PGA	Lithology	Relative Extreme relief precipitation	Evtrama	AIC†	Modeling		Validation	
	Intercept					precipitation		Percentage	AUC	Percentage correct	AUC
-								COLLECT		COLLECT	
Set 1	-5.8362***	0.5359***	0.1173***	0.1876***	0.3585***	0.2809***	2495.9	0.802	0.8767	0.818	0.8939
Set 2	-5.7546***	0.5441***	0.1980^{***}	0.1222**	0.3151***	0.2947***	2436.6	0.799	0.8826	0.828	0.8980
Set 3	-5.9650***	0.5850***	0.1791***	0.1604***	0.3328***	0.2919***	2384.0	0.815	0.8888	0.810	0.8861
Set 4	-5.7457***	0.5503***	0.1682***	0.1060**	0.3393***	0.2925***	2437.6	0.808	0.8822	0.806	0.8856
Set 5	-5.5849***	0.5618***	0.1629***	0.1236**	0.3093***	0.2745***	2479.4	0.799	0.8785	0.815	0.9008
Average							2446.7	0.805	0.8818	0.815	0.8929

 $^{^{\}dagger}\textsc{These}$ statistics of AIC are based on the model with intercept and covariates

^{**}Coefficients are significant at 1% confidential level

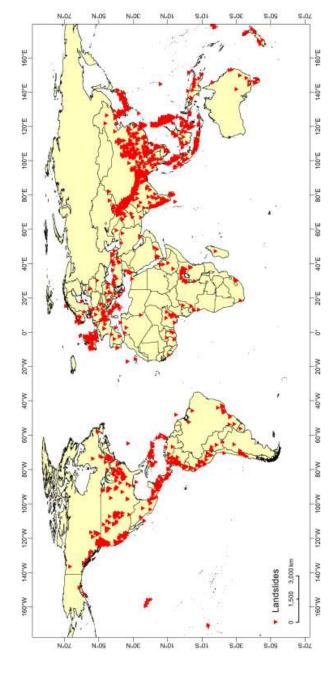
^{***} Coefficients are significant at 0.1% confidential level

© Author(s) 2016. CC-BY 3.0 License.





Fig.1 Landslide location in the combined landslide database



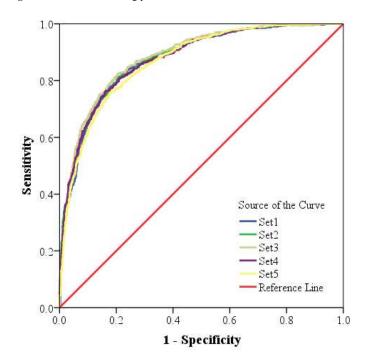
© Author(s) 2016. CC-BY 3.0 License.

234





1 Fig.2 ROC curve of modeling process



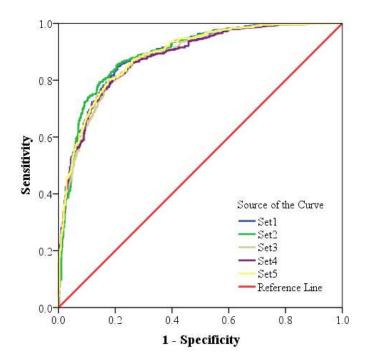
© Author(s) 2016. CC-BY 3.0 License.





1 **Fig.3** ROC curve of validation process

2



© Author(s) 2016. CC-BY 3.0 License.





Fig.4 Global-scale landslide susceptibility map

