1 Tangjiaxi Landslide and Impulse Wave Analysis in Zhexi Reservoir of

2

China by Granular Flow Coupling Model

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9 Abstract: Rocky granular flow usually forms after rocky bank slopes are failed and rushes into rivers at a high velocity, causing impulse wave disasters. Currently, the granular mass/water 10 11 coupling study is an important trend in the field of landslide-induced impulse wave. In the paper, a 12 full coupling numerical model for landslide-induced impulse wave is built based on non-coherent 13 granular flow equation. In this model, Mih equation for continuous non-coherent granular flow controls the movement of sliding mass, two-phase flow equation regulates the interaction between 14 15 sliding mass and water, Re-Normalisation Group (RNG) turbulence model governs the movement 16 of water body. Taking Tangjiaxi landslide as an example, which is located at Zhexi Reservoir in Hunan Province, China, the motion characteristics of Tangjiaxi landslide and the following 17 impulse wave process were analyzed by the coupling model, and the validity of this model was 18 19 checked. On July 16, 2014, rocky blocks debris flow was formed after the failure of Tangjiaxi landslide, damming Tangjiaxi stream and thus causing an impulse wave disaster with which left 20 21 three persons dead and nine persons missing. The full coupling numerical analysis showed that 22 after the failure of Tangjiaxi rockslide, rocky granular flows impacted the water at the maximum velocity of about 22.5 m/s, with waves propagating at the maximum celerity of up to 12 m/s. The 23 24 deposited topographic modeled is similar to that accumulated in the actual situation. The 25 maximum run-up calculated is 21.8 m, close to the value of 22.7 m obtained in the field survey. A 26 series of run-up values in the field survey matches well with the calculated values. Therefore, the 27 full coupling numerical model built in this study can be used to simulate impulse waves generated 28 by rocky granular flows.

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30 Key words: granular flow; coupling model; Tangjiaxi landslide; impulse wave; landslide dam

31

32 1. Introduction

Impulse waves are usually generated in reservoirs, rivers, lakes and seas as rock/soil masses 33 34 impact water, resulting in huge economic losses and casualties (Wang et al. 1986; Fritz 2001; 35 Scheffers and Kelletat 2003; Alvarez-Cedrón et al. 2009; Silvia et al. 2011; Huang et al. 2012). This fact urges people to pay attention to landslide-induced impulse wave which is an 36 interdisciplinary study related to rock/soil mechanics and fluid mechanics. A large number of 37 researches have been done on landslide-induced impulse wave with formulae, physical experiment 38 39 method and numerical analysis method. The formulae derive from extensive sources, such as 40 experiment and empirical formulae, with its application scope closely related to sources (Kamphuis et al. 1970; Ataie-Ashtiani et al. 2008; Wieland et al. 1999; Ursell et al. 1960; Fritz et 41 42 al. 2002; Huber and Hager 1997; Heller 2007; Yin and Wang 2008). Due to relatively simple

results after calculation by the formulae, it is hard to have an overall grasp of the 1 2 landslide-induced impulse wave disaster (Heller et al. 2009). The scaled physical experiment 3 method can well reproduce or preview the process of how landslide induces impulse waves (Ball 1970; Davidson and Whalin 1974; Muller and Schurter 1993), but it need large data, occupy big 4 5 space, spend much money, and take a long time (Huang et al.2014). However, the numerical 6 analysis method can help us have a relatively comprehensive analysis of the landslide-induced impulse wave disaster; it has the advantage of precise, economic and reasonable, as well as highly 7 8 visible results (Heller et al. 2009). Therefore, the numerical analysis method is an important tool 9 in the study of landslide-induced impulse wave (Yuvari-Ramshe and Ataie-Ashtiani, 2016).

10 In the field of granular mass/water body coupled numerical analysis, three main numerical 11 simulation methods are now used to analyze the landslide-induced impulse wave disaster, i.e. a) single model for landslide-induced impulse wave, b) simplified model for landslide-induced 12 13 impulse wave, and c) full coupling model for landslide-induced impulse wave (Yuvari-Ramshe and Ataie-Ashtiani, 2016). Their numerical calculation is constructed by the mesh-based methods 14 15 (finite difference method (FDM), finite element method (FEM), finite volume method (FVM), boundary element methods (BEM), et al.), meshless-based methods (smoothed particle 16 17 hydrodynamic (SPH), material particle method (MPM), et al.), and particle-based discrete element 18 method (Yuvari-Ramshe and Ataie-Ashtiani, 2016).

19 In the single simulation method for landslide-induced impulse wave, the phase of landslide movement and granular mass/water body interaction are regarded as the formation of initial 20 21 impulse wave, and generally the motion of the sliding mass is considered to the motion of a point. 22 Therefore, various kinematic formulas, such as Newton's laws of motion, are applied to calculate 23 the motion of the sliding mass (Heller 2009; Huang et al. 2012, 2016). Then various empirical or 24 experimental formulas of landslide-induced impulse waves are adopted to calculate initial impulse wave caused by the landslide (Walder et al. 2003; Tappin et al. 2008; Watts et al 2003; 25 26 Ataie-Ashtiani and Malek Mohammadi 2007). With the initial impulse wave as the initial input or 27 boundary condition, the numerical simulation singularly aims at calculating the spread and run-up 28 of impulse waves. This type of numerical simulation models includes TUNAMI, MOST, 29 FUNWAVE, COULWAVE, etc. (Joseph et al. 2003; Rahiman et al. 2007; Tinti et al. 1999; Tappin 30 et al. 2008; Eric 2009). Their accuracy and application scope largely depend on the source models 31 for initial impulse wave. Many scholars (Watts et al. 2003; Ataie-Ashtiani and Malek-Mohammadi 32 2008; Di Risio et al. 2011; Yin et al. 2015) have studied initial impulse wave models in different 33 range of application and come up with a large number of source models.

34 The simplified simulation for landslide-induced impulse wave means to simplify landslide 35 motion in calculation. Some landslides are simplified as rigid bodies whose motion is mainly 36 described with Newton's law of motion under gravity, friction, coupled water resistance, etc. (Das et al., 2009; Basu et al., 2009; Huang et al., 2013). For example, Yin et al.(2014) simulated the 37 motion of Qianjiangping landslide as a rigid rotator and coupling calculated the impulse waves. 38 Harbitz et al. (2014) simulated a rockslide with the volume of 5×10^7 m³ at western Norway 39 40 Åkerneset fjord as a rigid sliding block. Such simplified methods can reveal the rules of how 41 various dynamic models of a rigid body affect impulse waves (Yin et al., 2015). For some 42 flow-liked slides or debris flow, simple fluids or grains are used to simulate large deformation in 43 the process of the motion of landslide. For instance, Ren et al. (2006) simulated the motion of 44 Xintan landslide by regarding it as some large grains which complies with Newton's laws of

motion and the law of conservation of energy. Gabl et al. (2015) used fluid to simulate landslide occurred at hillsides and the following impulse waves. Abadie et al. (2010) adopted the multi-phase flow model to simulate landslide-induced impulse waves, as a Newtonian fluid simulating the landslide. In these researches, simple fluids or grains are used for simplified simulation and thus the effects of landslide deformation on landslide-induced impulse waves could be taken into consideration at least partly in calculation.

7 The full coupling model for landslide-induced impulse wave, is a currently emerging method, 8 which is booming recently, can have a relatively accurate description of the motion of sliding mass, 9 interaction with water, and consequent generation, propagation and run-up of impulse waves. As a 10 simple mathematical motion model has much difficulties in achieving real description of the 11 motion of landslide, the model mostly used is the complicated rheological model or discrete 12 element model. In researches so far, models that describe flow-liked landslide or debris flow in 13 continuous rheological models are Coulomb model, Herschel-Bulkley model, Bagnlod model and 14 Bingham model (Shakeri Majd and Sanders 2014; Cremonesi et al. 2011; Yuvari-Ramshe and 15 Ataie-Ashtiani, 2016; Xing et al., 2016). Those that describe avalanche, landslide or debris flow motions in discontinuous medium models are mainly FEM-DEM model (Morris et al. 2006; 16 17 Munjiza 2004; Li et al., 2015) and DEM model (Smilauer et al. 2010; Brennen 2005; Utili et al. 18 2014). For generation, propagation and run-up of impulse waves, technologies that can finely 19 depict large deformation free surface, such as VOF or non-hydrostatic models (Yuvari-Ramshe 20 and Ataie-Ashtiani, 2016) are adopted. Crosta et al. (2013) used an ALE-FEM approach for a 2D/3D simulation of landslide and impulse wave. Glimsdal et al. (2013) developed a model for 21 22 submarine landslide and tsunami, the landslide motion was simulated as a deformable viscoplastic 23 Bingham fluid. Zhao et al. (2015) used 3D DEM-CFD coupling method to simulate the motion of 24 vajont landslide and the resulting impulse waves. By combined landslide dynamic model and tsunami model, Sassa (2016) presented an integrated numerical model simulating the complete 25 evolution of a landslide-induced tsunami, and this model was applied to the 1792 26 27 Unzen-Mayuyama mega slide and tsunami disaster analysis.

28 In the paper, a full coupling model for landslide-induced impulse wave based on non-coherent 29 granular flow equation is built and then the continuous granular flow Mih (1999) model is 30 introduced to simulate the process of rocky granular motion after rockslide, and the two-phase 31 flow model is adopted for interaction coupled calculation. Taking Tangjiaxi rockslide and the 32 resulting impulse wave as a case, a numerical analysis for the whole process is done to study the 33 motion of the granular flow, its accumulation process and consequent formation, propagation and 34 run-up of impulse waves. Meanwhile, the validity of the full coupling model for landslide-induced 35 impulse is checked.

36 2. Theory and Methodology

37 Rockslides can be characterized by a rapid evolution, up to a possible transition into a rock avalanche, which can be associated with an almost instantaneous collapse and spreading (Utili et 38 39 al. 2014). After rocky slopes fail, high concentration and non-coherent rocky granular motion 40 follow. A large amount of non-coherent coarse solid grains as well as relatively few fine grains are 41 densely distributed in the granular flows. They flow, deposit or erode along their motion routes, 42 which spread very long in distance generally (Crosta et al. 2001). Such flowing characteristics of 43 motion can be described through both the continuous rheological model and the discontinuous model. The discontinuous model features natural intuitive similarity when used to study the 44

motion of non-coherent granular flows. For the discontinuous method, grains are generally 1 2 simplified to be sphere. These grains can interact with each other through well-defined 3 microscopic contact models (Hertz 1882; Zhang and Whiten 1996; Johnson 1985) and with the 4 fluid (e.g. water or air) by empirical correlations of fluid and solid interaction models. However, 5 the discontinuous method means a large challenge for individual researchers. That is because even 6 for a small rockslide, the simulation will require numerous cells and huge computational resources, 7 hard to be processed by personal computers (Utili and Crosta 2011). Whereas the model based on 8 continuous granular flow is free from this problem.

- 9 The continuous granular flow model is built by using viscous fluid. In this field, high 10 concentration granular flow was studied by Bagnold (1954), Savage (1978), Hanes and Inman 11 (1985), Wang and Campbell (1992), Iverson (1997) and Mih (1999).
- 12

13 2.1 Governing equations of granular flow

14 Landslide rheology describes landslide motions with shear stress (τ) or shear rate (Pudasaini 2011). 15 Shear stress of granular flow is generally far larger than the cohesive shear stress of fluids that carry a small amount of grains. Shear stress in high concentration non-cohesive granular flow (τ_{e}) 16 17 consists of: (1) Impact among solid particles (τ_i); (2) Additional viscous shear stress due to the 18 presence of solid particles $(\tau_{\rm v})$; and (3) Shear stress in the fluid $(\tau_{\rm f})$ (Mih 1999). It becomes 19 negligible in solid-gas flow when the dynamic viscosity of the gas is small. At high concentrations the principal contribution to the shear stress arises from impact forces (i.e., collision) among 20 21 grains. Secondly, in general, smaller contribution arises from the distributed solid affecting the 22 fluid. Bagnold (1954) performed shear cell experiments with different approaches and showed that 23 an equation for cohesionless materials describes the relationship between bulk intergranular 24 normal and shear stresses even in collision-dominated flows.

Extensive work, beginning with the 1954 work of Bagnold (1954) has been summarized and
further extended to a larger range of experimental conditions by Mih (1999). The equation for
shear stress of Mih (1999) granular flow is as follows:

28
$$\tau_g = \tau_v + \tau_i = 7.8\mu \frac{\lambda^2}{1+\lambda} \frac{du}{dy} + \rho_s \frac{0.015}{1+0.5\rho/\rho_g} \frac{1+e}{(1-e)^{0.5}} (\lambda D \frac{du}{dy})^2$$
(1)

Here: μ and ρ are the continuous fluid viscosity and density between granular (e.g. air or water), ρ_g is the granular density, e is the coefficient of restitution associated with grain impacts, dis the grain diameter, and d is a function of the maximum solid volume fraction. Physically, $\lambda = d/S$ where S is defined as the average distance between grain centers, du/dy is the mean velocity gradient of the granular mixture.

The equation contains fluid viscous and impact coefficients. The fluid viscous coefficient is a constant. The impact coefficient has been correlated to the properties of the solid and fluid. The equation agrees reasonably well with several sets of experiments by different investigators which cover a wide range of granular flows (Mih, 1999).

38 2.2 Granular flow/fluid interaction

The granular flow is treated as incompressible fluid when applied with the shear stress equation of Mih (1999). The coupling model of granular flow and water adopts two phase model with two

41 incompressible fluids having different densities. Supposing the water has density ρ_1 , the granular

- 1 flow has density ρ_2 . The volume fractions of the water making up a mixture is denoted by f, and
- 2 the volume fractions of the granular is denoted by 1- f. The momentum balance for the continuous
- 3 phase of water is

$$4 \qquad \frac{\partial u_1}{\partial t} + u_1 \cdot \nabla u_1 = -\frac{1}{\rho_1} \nabla P + F + \frac{K}{f\rho_1} u_r \tag{2}$$

5 While for the dispersed phase of granular flow, it is

$$6 \qquad \frac{\partial u_2}{\partial t} + u_2 \cdot \nabla u_2 = -\frac{1}{\rho_2} \nabla P + F - \frac{K}{(1-f)\rho_2} u_r \tag{3}$$

7 Where:

8 u₁ and u₂ represent the velocities of the continuous and dispersed phases, respectively; F is the
9 body force; P is the pressure; K is a drag coefficient that relates to the interaction of the two
10 phases; u_r is the relative velocity difference between the dispersed and continuous phases:

11
$$u_r = u_2 - u_1$$
 (4)

12 The volume-weighted average velocity \overline{u} of a mixture is Eq. (5).

13
$$u = fu_1 + (1 - f)u_2$$
 (5)

14 The volume-weighted average velocity momentum conservation equation is Eq. (6).

$$15 \quad \nabla \cdot \vec{u} = 0 \tag{6}$$

16 The drag per unit volume (K) is calculated by Eq. (7).

17
$$K = \frac{1}{2} A_2 \rho_1 \left(C_D U + 12 \frac{\mu_1}{\rho_1 R_2} \right)$$
 (7)

- 18 Where:
- 19 A_2 is the cross sectional area per unit volume of the dispersed phase;
- 20 ρ_1 and μ_1 are the water density and dynamic viscosity;
- 21 $C_{\rm D}$ is the user-specified drag coefficient. It is a dimensionless quantity and is 0.5 for spheres.
- 22 R_2 is the average particle size of the granular.
- 23

24 2.3 Governing equations of fluid flow

25 RNG k-ɛ model is used to calculate the fluid motion when the granular flow into the water. The 26 RNG model applies statistical methods to the derivation of the average equations for turbulence quantities, such as turbulent kinetic energy and its dissipation rate. The RNG model uses equations 27 similar to the ones for the k-ɛ model. However, equation constants are derived explicitly in the 28 29 RNG model, and it takes turbulent vortex into account. Generally, the RNG model has a wider 30 applicability than the standard k- ε model. The transport equation for K_T includes the convection 31 and diffusion of the turbulent kinetic energy, the production of turbulent kinetic energy due to shearing and buoyancy effects, diffusion, and dissipation due to viscous losses within the turbulent 32 eddies (Yakhot and Orszag 1986; Yakhot and Smith 1992). The transport equation for K_T is: 33

$$1 \qquad \frac{\partial k_T}{\partial t} + \frac{1}{V_F} \left\{ uA_x \frac{\partial k_T}{\partial x} + vA_y \frac{\partial k_T}{\partial y} + wA_z \frac{\partial k_T}{\partial z} \right\} = P_T + G_T + Diff_{k_T} - \varepsilon_T$$
(8)

2 An additional transport equation is solved for the turbulent dissipation, ε_T :

$$3 \qquad \frac{\partial \varepsilon_T}{\partial t} + \frac{1}{V_F} \left\{ uA_x \frac{\partial k_T}{\partial x} + vA_y R \frac{\partial k_T}{\partial y} + wA_z \frac{\partial k_T}{\partial z} \right\} = \frac{CDIS1 \cdot \varepsilon_T}{k_T} (P_T + CDIS3 \cdot G_T) + Diff_\varepsilon - CDIS2 \frac{\varepsilon_T^2}{k_T}$$

4 5

In the RNG turbulence transport models, the kinematic turbulent viscosity $V_{\rm T}$ is computed from

$$\mathbf{6} \qquad \mathbf{v}_T = CNU \frac{k_T^2}{\varepsilon_T}$$

(9)

7 The diffusion of dissipation, Diff_{ϵ} is:

$$8 \qquad Diff_{\varepsilon} = \frac{1}{V_F} \left\{ \frac{\partial}{\partial x} (v_{\varepsilon} A_x \frac{\partial \varepsilon_T}{\partial x}) + R \frac{\partial}{\partial y} (v_{\varepsilon} A_y R \frac{\partial \varepsilon_T}{\partial y}) + \frac{\partial}{\partial z} (v_{\varepsilon} A_z \frac{\partial \varepsilon_T}{\partial z}) + \xi \frac{v_{\varepsilon} A_x \varepsilon_T}{x} \right\}$$
(10)

9 Where k_T is the turbulent kinetic energy, V_F is the fractional volume open to flow, A_x is the 10 fractional area open to flow in the x direction, A_y and A_z are similar area fractions for the flow in 11 the y and z directions, respectively. P_T is the turbulent kinetic energy production term, G_T is the 12 buoyancy production term, ε_T is the turbulence dissipation term. In the RNG model, CDIS1, 13 CDIS3, and CNU are dimensionless user-adjustable parameters that have 1.42, 0.2 and 0.085 14 defaults. CDIS2 is computed from the turbulent kinetic energy (K_T) and turbulent production (P_T) 15 terms (Yakhot and Orszag 1986; Yakhot and Smith 1992).

In particular, the RNG model is known to describe low intensity turbulence flows and flows having strong shear regions more accurately. The RNG model selected has already been successfully used to simulate impulse wave generated by landslides (Serrano-Pacheco et al. 2009; Basu et al. 2009; Das et al. 2009; Choi et al. 2007).

20

21 3. Case Study

A full coupling numerical analysis model for landslide-induced impulse wave is built based on coupled control equations. The model can stimulate the landslide motion of non-coherent granular flow and the generation, propagation and run-up process of impulse waves. The case of Tangjiaxi landslide in Zhexi Reservoir, Hunan, China, is taken as an example, the whole process of the landslide and impulse wave induced are analyze, as well as the validity of numerical model.

27 **3.1** Overview of Tangjiaxi landslide and impulse wave

28 At 7 AM on July 16, Tangjiaxi landslide occurred on the left bank of Tangjiaxi Stream, a tributary of Zhexi Reservoir. The impulse wave induced by Tangjiaxi landslide destroyed resident living 29 30 area nearby. The landslide is 700 m far from the mainstream of Chanxi stream (tributary of Zi 31 River), and 10.6 km and 11.2 km away from Tangyanguang landslide site and Zhexi Dam along 32 the watercourse, respectively (Fig. 1). Zhexi Dam is located in midstream of Zi River in Anhua 33 County, Yiyang City, Hunan Province, China, and 15 km away from the seat of Anhua County. 34 Zhexi Hydroelectric Station, which began to impound in February 1961, is a large hydroelectric station. Tangyangguang landslide occurred on March 6, 1961. It is the first impulse wave disaster 35

1 generated by landslide since the founding of the People's Republic of China. The huge wave

2 generated by Tangyanguang landslide overtopped Zhexi Dam and killed 64 persons (Du 1988).

3 The impulse wave disaster generated by landslide happened again in this reservoir, which drew

- 4 much attention.
- 5

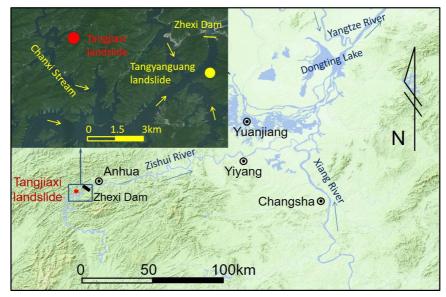


Fig. 1 The location of Tangjiaxi landslide in the Zhexi reservoir, Hunan Province, China

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7 The landform of Tangjiaxi stream valley belongs to the type of medium gorge. The elevation of the highest mountain in this valley is 650 m, while the bottom elevation is 140-170 m generally. 8 9 The overall flow direction of Tangjiaxi Stream is 245°, with a large gradient of about 1 km long. When water level elevation is 169.5 m, the stream is 2-100 m wide and 2-30 m deep. The original 10 slope at valley bottom is about $25^{\circ} \sim 30^{\circ}$, and that at altitude above 200 m was $35^{\circ} - 45^{\circ}$. Generally, 11 12 eluvial and diluvial deposit of 2-5 m thick was developed in the slope of the valley, with lush vegetable covered. 13 14 The rain continued for almost half a month from late June to early July in 2014. The daily rainfall 15 was 98.5 mm around July 4. The Zhexi Reservoir was hit by rainstorm on July 13 again. The

rainfall reached 102.5 mm on July 15 and seriously 239 mm on July 16 (Fig. 2). Rainfall increased

17 the weight of sliding mass, formed greater underground water dynamic pressure, and decreased

18 anti-sliding strength (Thomas 2003; Wang et al., 2004). Persistent rainfalls and heavy rainstorm

19 directly triggered the failure of the landslide.

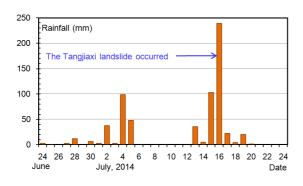




Fig. 2 Precipitation data monitored in SifangFig. 3 Photo of first slide, taken by some localvillage, 3.6 km from the landslide.villager on July 16, 7 AM.

1

2 According to the description of many local survivors, the first slide occurred around 7 AM on 3 July 16. Fig. 3 shows the scene of the first slide. Starting from the toe of the slope, the first slide 4 was shallow soil slide which destroyed one of the three houses on the sliding mass. There was a short quiet period after the first slide. About 10:20 AM, rock blocks rolled down from the top of 5 6 the slope and the global slide started. As soon as the landslide mass started to run out, rocks broke, 7 crashed and rushed rumbly down to the slope foot, and houses were buried quickly. The mass 8 impacted on Tangjiaxi stream at a high speed and induced huge waves, and the still water level 9 was 169.5 m above sea level (asl.).

As shown in Fig. 4, the morphology of landslide scar was triangular in shape. The crown elevation of the landslide was about 315 m and the elevation of the outlet was about 155 m. The height difference was 160 m. At 26 m above the water surface, the landslide was 95 m wide, and at 56 m above the water surface, the landslide width reached 80 m. Much closer to the crown, the width of the landslide was smaller. The landslide was 15 m thick on average, with a total volume of 160,000 m³, and main sliding direction was 320°.



Fig. 4 The scene of Tangjiaxi landslide, taken on July 23, 2014, when the water level was 167 m asl. The river was full of wood and debris, which were the destroyed building materials.

16

The underlying bedrock of Tangjiaxi Slope is Nantuo Formation (Z_n) and Guanyintian Formation of Sinian (Z_g) according to drilling reconnaissance and field survey. The lithology is grey-green till conglomerate and red metamorphosed quartz sandstone. The dip of schistosity of the rock mass is 300°-310° with the dip angle of 30°-40°. Two groups of faults with high dip angle are developed under the slope, which strike direction is nearly parallel to the valley. The fault belt is mylonite mainly (Fig. 5). Influenced by the fault, fissures are developed and there are mainly two groups of the structure planes: 1. fissures with a dip of 20°-30° and a dip angle of 60°-70°; 2.

- 1 fissures with a dip of 300°-320° and a dip angle of 65°-70°. Red or brown clay can be seen in
- 2 some fissures. Two groups of structural planes and schistosity intersected mutually cataclasite

3 structure rock mass were formed in Tangjiaxi slope.

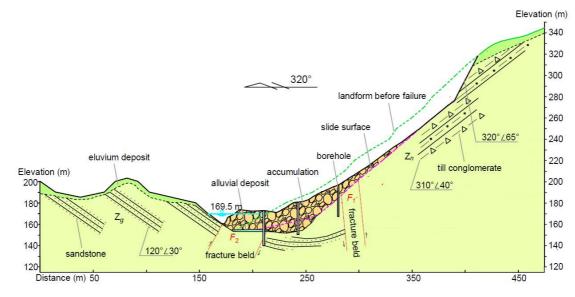


Fig. 5 Geological engineering section of Tangjiaxi landslide

4

After the landslide failed, cataclasite structure rock mass disintegrated quickly. The 5 accumulation of sliding mass was mainly composed of rock blocks of different sizes. Medium and 6 7 large rock blocks were mainly in the lower-middle part, with the maximum length of rock blocks 8 of about 2.5 m. Rock blocks in the accumulation, having the shape of sharply angular with an 9 average diameter of 30-40 cm, overhead stacking (Fig. 6). The few gravelly soils on the accumulation site were mainly distributed on the flanks of the landslide and at the front edge of 10 accumulation fan. These soils were mainly derived from weathered layer and eluvial deposit of the 11 12 original slope.

13



Fig. 6 accumulated blocks after Tangjiaxi landslide failure, taken in July 23, 2014.

14

15 Part of the sliding mass was accumulated in the watercourse and some stayed on the slope. The

16 landslide dam raised the river bed and halted part of upstream water to form a small landslide lake.

- The landslide dam was high in downstream and low in upstream, with bulge in the middle. Two terraces were formed on the vertical section. The dip angle of the deposits on the terrace was about 33°. The first slope terrace had an average elevation of about 180 m, 38 m long and 77 m wide, with a gradient of about 10°, while the second terrace had an average elevation of about 172.5 m, 75 m long and 98 m wide, with an average gradient of about 5-10°. The bulge was in the second terrace, with the top point of the elevation asl. of about 175.5 m. The river was broken by the
- 7 second terrace of the landslide, which could be seen obviously in Fig. 7.



Fig. 7 Profile photo of Tangjiaxi Landslide, taken on July 23, 2014, when the water level is 167 m asl.

8

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9 Witnesses described that it took only several seconds for the landslide to slide into the water and form the landslide dam. Calculated by 10 seconds for the sliding duration time, the landslide 10 barycenter is about 70 m above still water surface and the sliding distance is about 120 m. It is 11 estimated roughly that the biggest impact speed is about 24 m/s according to Newton's laws of 12 13 motion. Huge impulse waves were triggered by the high-speed landslide. The impulse wave attacked the opposite bank, razed 6 houses to the ground, and cut trees to the root (Fig. 8 A). And 14 15 then, the impulse wave flowed both upstream and downstream. The high-speed wave destroyed all 16 houses (Fig. 8 B&D) and trees (Fig. 8 C) it met. 9 houses were destroyed in this tsunamis event, 8 17 houses damaged and 121 persons of 17 families affected. The impulse wave caused three deaths, nine people missing, and eleven people wounded, six of which were badly hurt. Fortunately, 18 19 owners of 5 destroyed houses went out for work and did not stay in the houses. Otherwise, the 20 casualties would be more serious.

21 Though the watercourse in the landslide zone was only about 10m in average, the limited water 22 gained great energy from the rock blocks granular mass at a high speed and formed huge impulse 23 waves. As shown in the field survey, the maximum run-up was 22.7 m occurred in the opposite 24 bank of the landslide; the upstream maximum run-up was 19.5 m occurred in a gully about 100 m upstream. At the downstream, with the increase of distance from the source of impulse wave, the 25 26 run-up decayed. The maximum run-up at river mouth where Tangjiaxi stream flowed into the 27 Chanxi stream was 1.8 m (Fig.8). As the Tangjiaxi Stream flowed into the Chanxi Stream nearly 28 vertically, the water surface suddenly became very wide, impulse wave decayed rapidly and no 29 sign of impulse wave was seen on either bank of Chanxi stream.

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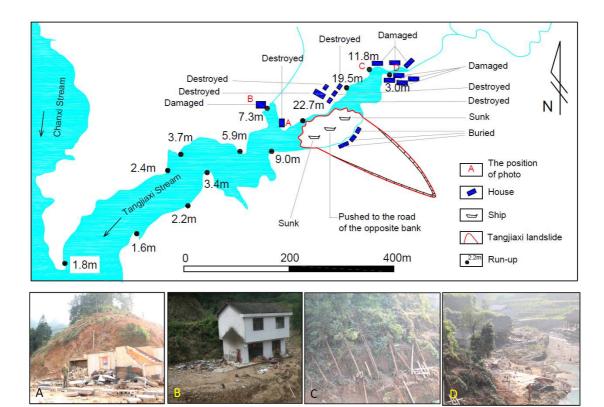


Fig. 8 The plot of run-up of the impulse wave generated by Tangjiaxi landslide, and the photos describe the scene of houses and trees damaged where marked by A, B, C and D in the upper map.

1

2 **3.2** The granular flow coupling model

3 The full coupling numerical model for Tangjiaxi landslide-induced impulse wave is built based on the landforms of the valley where Tangjiaxi landslide occurred. The model is 792 m long and 684 4 5 m wide. The model area covers the valley source of Tangjiaxi stream at the tail of Zhexi Reservoir, 6 with the lowest elevation of 140.0 m and the maximum mountain elevation of 740.2 m (Fig. 9). 7 The digital elevation model of Tangjiaxi sliding mass is plotted based on the drilling survey and 8 the topographic maps before and after the landslide, with a volume of about 158,000 m³. Tangjiaxi 9 landslide model is set to be a granular flow model. As Tangjiaxi landslide failed under the condition of persistent rainstorm, the gaps between grains were basically filled with rainwater. 10 Thus, the fluid in Tangjiaxi landslide granular flow gaps was water. During the process of 11 Tangjiaxi landslide motion, there were two distinct phases for the motion of rocky grains: start-up 12 13 and moving phase and impact-stop phase in sequence. Impact in the first phase mainly occurred among grains and that in the second phase mainly between leading grains and the opposite bank. 14 15 Therefore, two elastic restitution coefficients were adopted, and 0 was taken in the second phase when the leading granular flow impact the bank. After trial calculation, 0.2 was taken in the first 16 phase when the impact mainly occurred among grains, which makes the simulation results more 17 realistic. Parameters required for granular flow motion calculation are as shown in Table 1. The 18 19 parameters of density, average diameter and initial porosity of rock grains were determined 20 through field survey and laboratory tests. Tangjiaxi sliding mass was in stationary initially and 21 started moving under gravity. The granular flow moved and coupled with water after exposure to 22 the river water.

23

Table 1 Main Parameters for Mih Equation Calculation

Parameter	Value	Parameter	Value
Fluid density	1000	Grain restitution coefficient	0.2/0
Fluid viscosity	0.001	Average grain diameter	0.4
Grain density	2640	Global vent coefficient	0.001

1 The water surface elevation in the model is 169.5 m asl., and the still water surface is the initial 2 condition. Xmin surface is the zero flow boundary to ensure a constant water volume of Tangjiaxi 3 stream. Zmax (water surface) is zero pressure boundary or free surface. Zmin surface, Xmax 4 surface, Ymin surface and Ymax surface are all solid wall surfaces which is far away from the 5 valley, so they are also zero flow boundaries. With the finite element/volume method with Euler 6 algorithm adopted, there are 13,001,472 units in total in grid of 2 m \times 2 m \times 2 m. The simulation 7 calculation of the numerical model lasts 30 s, After 6 s, the model come into the phase II as the leading granular flow impact the bank based on trial calculation. 8

9

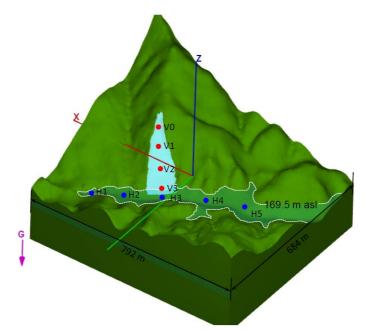


Fig. 9 Numerical model for Tangjiaxi landslide-induced impulse waves. Red points refer to the velocity monitoring points of the sliding mass motion and blue ones refer to the process monitoring points for water level.

10

11 3.3 Results

12 The coupled results were analyzed in the following aspects: the motion process of the sliding mass

and the process of impulse wave. And the model's validity was also checked through comparisonwith the field survey results.

15 3.3.1 Landslide movement process

16 Upon the start of the model analysis, the sliding mass started to move. From the depth-averaged

- 17 velocity curves at different elevation points in the sliding mass, it can be seen that the time that
- 18 different parts of the sliding mass took to reach the maximum velocity varied. Generally the parts
- 19 of sliding mass reached the maximum velocity before the sliding mass impacted the opposite
- valley (the 6th second). The maximum sliding velocity of the area at the rear edge (V0) was about
- 21 16.6 m/s; that at the middle of the sliding slope (V2) was about 30.9 m/s, possibly the maximum
- 22 motion velocity of the sliding slope. V3 point located at the riverside with an elevation of 169.5 m,

V3's velocity approximated to the speed at which the sliding mass impacted water, up to 22.5 m/s (Fig. 10). The value was equivalent to the maximum impact velocity estimated in field, which is 24 m/s. After the sliding mass impacted the opposite valley, the motion velocity of different parts of the sliding mass dropped sharply; when it went to about 10 s, the value at the middle and lower parts of the sliding mass was generally lower than 1 m/s, and that at the upper part was lower than 3 m/s. After 19 s, the velocity of the sliding mass was lower than 1 m/s in overall.

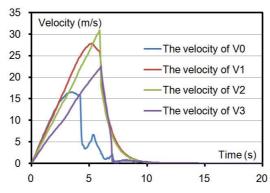
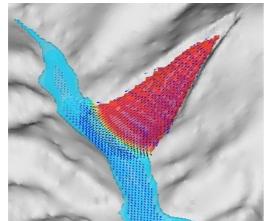


Fig. 10 Depth-averaged velocity process plot of monitoring points in the sliding mass. See Fig. 9 for positions of VO--V1.

8

Observed from the landslide configuration at different time, the motion of the sliding granular 9 flow on land is generally within the scope of the sliding mass. After t=4.0 s, the sliding mass 10 11 started to occupy the watercourse and extended to the upstream and the downstream, forming a 12 fan shape (Fig. 11). It can be seen from the comparison with the final plane shape of the watercourse that numerical simulation results show a more ideal fan-shaped accumulation 13 (Mohammed and Fritz 2005), and that the landslide dam shape formed in the numerical simulation 14 15 differed from the actual situation (Fig. 12). This was possibly attributed to the presumption in the 16 numerical model, i.e., the solid gains are ideally spherical, with a similar grain size.



N A' deposited landform after failure in reality t = 2.1 safter failure t = 6.0 safter failure t = 4.0 s after failure landform before failure A

Fig. 11 Instantaneous state of Tangjiaxi landslide and river surface at t=4.0 s. In the figure, the red area is Tangjiaxi sliding mass, the cyan one is water, and the blue arrow is the motion direction of unit mass points.

Fig. 12 Changes of plane shape after Tangjiaxi landslide failure

From the A-A' section dynamic process of the landslide in Fig. 13, we can see that as the time 1 2 went, solid grains of the sliding mass gradually moved to the valley and accumulated. At t=2.1 s, 3 substances in the sliding mass slid to the river bed. Substances with an elevation of over 200 m moved at high velocity, so sliding mass in the area started to get thinning. After 2.1 s, the sliding 4 5 mass started to occupy the river bed in a large scale. At t=4.0 s, a small accumulated platform 6 appeared in its early form in the valley, and kept moving to the opposite. At t=6.0 s, the leading of 7 the sliding mass impacted the bank slope of the valley, when the landslide formed a large sliding 8 dam in the valley and almost dammed the watercourse. At t=19.2 s, the landslide configuration 9 was similar to that at t=6.0 s, and it almost kept unchanged from then on, thus forming a landslide 10 dam with an average elevation of about 171 m. The actual average elevation of the landslide dam 11 formed was about 172.5 m. From the section landform after the landslide deposited, we can see 12 that the actual landform after landslide had an obvious two-step platform while the simulated 13 result was only large one-step landslide platform, but their surface lines were similar.

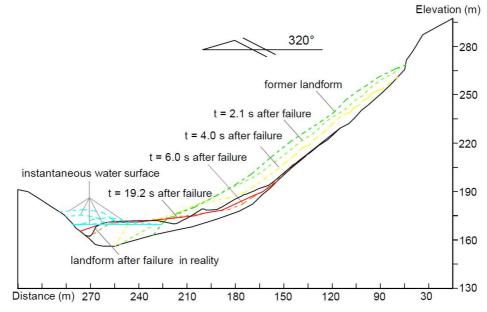


Fig. 13 A-A' Section form after Tangjiaxi landslide failure

14

15 **3.2 Process of impulse waves**

16 The motion results of Tangjiaxi landslide simulated by the granular flow model don't show 17 significant differences from that in the field survey, basically reflecting the real motion process 18 and characteristics of the landslide. Huge impulse wave was induced in stream due to the motion 19 of granular flow.
20

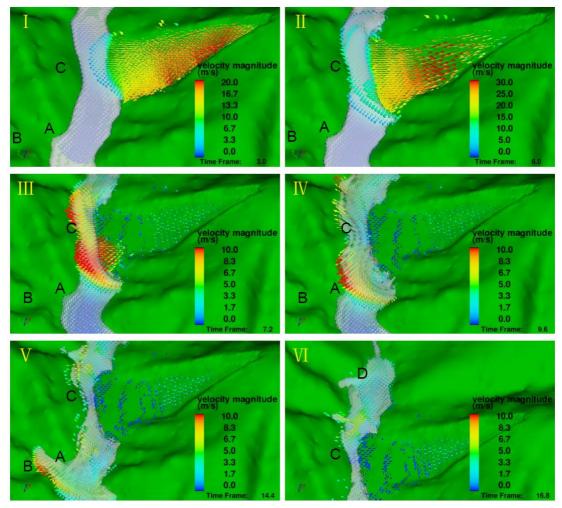


Fig. 14 Transient condition of river water and the vector diagram of mass. The arrow indicates the direction of movement, the color indicates the magnitudes shown in legend.

1

2 After the sliding mass occupied the watercourse, it pushed and supported the river water to 3 move outwards and upwards in an arc shape (Fig. 13 and I in Fig. 14), similar to the forming of 4 the impulse wave induced by Qianjiangping landslide. At t=6.0 s, an arc-shaped water wall formed 5 on the river surface, about 10 m high and with the maximum water velocity of about 12.0 m/s, impacting the opposite and the upstream and the downstream (II in Fig. 14). The residential area 6 7 in Area C was impacted firstly at the maximum impact velocity of 11.5 m/s (III in Fig. 14), 8 resulting in a maximum run-up of 16.5 m in the area. At t=9.6 s, water reached to the ridge near A, 9 with the maximum traveling velocity of 12.1 m/s (IV in Fig. 14). At t=11.1 s, water flowed over 10 the ridge and impacted to houses in A, with the maximum velocity of 11.6 m/s. At t=14.4 s, impulse waves started to impact houses in B, with the maximum velocity of about 7.0 m/s (V in 11 Fig. 14). After 16.3 s, impulse waves spreading to the upstream reached the residential area in D, 12 with the maximum water flow impact velocity dropping to 3.8 m/s (VI in Fig. 14). Based on 13 14 calculation, the duration from the time the sliding mass started to the time impulse waves attacked 15 the houses was about 20 s. The impulse waves attacked at high velocity and caused serious house 16 damages and heavy casualties in the area.

We can also see from Fig. 2 that as Tangjiaxi valley was narrow, the phases of generation, propagation and run-up of the impulse wave were hard to distinguish at the reach where the

landslide slid into water, so it was not a typical process of impulse waves. As shown in the water 1 2 level process line of various points in Tangjiaxi river surface (Fig. 15), there was only one large 3 peak for the impulse waves in the landslide, especially typical at the reach where the landslide slid into water (H3 in Fig. 15). Since the upstream of the landslide was quickly dammed after impulse 4 5 waves arrived, water reaching the upstream failed to flow smoothly and therefore formed 6 temporary upsurge in the upstream (Wang et al. 1986). The maximum upsurge in the upstream was 7 up to 172.5 m (H2 in Fig. 15) and the upstream water level remained about 171.6 m at 30 s. After 8 a relatively large impulse wave, wave amplitude fluctuation in the landslide downstream 9 watercourse attenuated (H4 in Fig. 15).

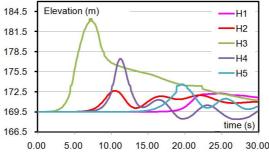


Fig. 15 Hydro Process Line of Various Points in Watercourse. See Fig. 9 for locations of H1--H5.

10

During the generation of this atypical landslide-induced impulse wave, it was hard to determine 11 12 the maximum height of the first wave in the watercourse. The maximum propagating height of the 13 wave in the peripheral watercourse of the landslide zone was about 8.0 m, located at the 14 downstream of the landslide. The maximum run-up of the landslide was calculated to be 21.8 m at 15 the opposite bank of the landslide; the run-up of this point in the field survey was 22.7 m. The slope at the opposite bank of the landslide was directly impacted by the impulse wave, with 16 relatively higher run-up. In overall, the run-up was higher in the area where the landslide slid into 17 18 water and gradually decreased in the periphery with the increase of distance. Table 2 shew the run-up at the bank surveyed in the field and corresponding calculated values. The correlation 19 20 coefficient (R^2) of these two sets of data was 0.98, with an average error of 11%, indicating that 21 calculated results had high goodness of fit with actual survey results, so the numerical model for 22 landslide-induced impulse wave is reasonable and valid.

North	Investigation	2.4	3.7	5.9	7.3	22.7	19.5	11.8
Run-up (m)	Calculation	3.3	3.6	6.5	7.0	21.8	17.3	12.1
South	Investigation	2.2	3.4	9.0	3.0			
Run-up (m)	Calculation	3.2	4.1	9.2	3.7			

Table 2 Run-up Obtained in the Field Survey and Corresponding Values Calculated

24

23

The equations of Baglad and Mih were obtained from the experiments of sphere grains, and there is non-coherence among the grains. Although some parameters are taken by back analysis in the case, the dynamic capacity of sphere grains is bigger than grains with other sharp, which make the energy transferred to water higher. Meanwhile, in the actual situation, rock mass slides into water along with disintegrated. In the dynamic process, there should considerate general coherence to reflect these forces. Therefore, the run-up values simulated are larger than investigations in generally. Consideration of coherence and sharp of grain is a main modification 1 direction for this granular flow coupling model, which might improve its realism for a wider range

2 of applications.

3

4 4. Conclusion

5 In the paper, a full coupling numerical model for landslide-induced impulse wave was built, 6 non-coherent granular flow Mih model was used to simulate the dynamic characteristic of 7 Tangjiaxi rockslide, and the two-phase flow model and RNG model were used to simulate the 8 impulse waves while the granular flow impacted water.

9 Tangjiaxi rocky granular flow slid into the watercourse and then moved to the upstream and the 10 downstream, forming a fan shape, and deposited to be a landslide dam in the valley, damming the 11 watercourse. The sliding mass impacted water at the maximum velocity of 22.5 m/s, and at the 12 moment the maximum celerity of wave was 12.1 m/s. It was an atypical impulse wave at the reach 13 where the landslide slid into water, where the phases of generation, propagation and run-up of the 14 impulse wave wave were hard to distinguish. The impulse wave induced by the landslide directly 15 attacked the opposite residential area, with the maximum run-up of 21.8 m as calculated. 16 Landslide dam formed hindered the downward flowing of water in the upstream, causing 17 temporary upsurge.

18 Landslide dam configuration and impulse wave run-up calculated were well fit with the actual 19 survey results. Therefore, the coupling model based on non-coherent Mih granular flow performed 20 well in the whole-process analysis of Tangjiaxi landslide induced impulse wave. The framework 21 of this coupling numerical model deserves more attention and further improvement.

22

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