

1 **What Does Nature Have to Do with It?**
2 **Reconsidering Distinctions in International Disaster Response Frameworks in the Danube**
3 **Basin**

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12
13 **Abstract**

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15 This article examines the policy and institutional frameworks for response to natural and man-
16 made disasters occurring in the Danube basin and the Tisza sub-basin. Response to these types of
17 incidents has historically been managed separately, as has the monitoring of these types of
18 incidents. We discuss whether the policy distinctions in response to natural and man-made
19 disasters remain functional given recent international trends toward holistic response to both
20 kinds of disasters. We suggest that these distinctions are counterproductive, outdated, and
21 ultimately flawed, a conclude by reflecting on the lessons learned and by proposing an integrated
22 framework for disaster response in the Danube basin and Tisza sub-basin.

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24 **Keywords:** International Disaster Response Frameworks; Natural Disasters; Man-made
25 Accidents; Industrial Accidents; Natech Accidents; Danube River basin; Tisza River Sub-basin

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40 **1 Introduction**

41 What are the benefits of maintaining the distinction between natural and man-made
42 disasters? What are the consequences of eliminating this distinction? When a disaster occurs,
43 local and national capacities for disaster response can be overwhelmed, often triggering a request
44 for external, international assistance. The actors engaged in disaster response¹ have historically
45 been determined by the nature of the disaster (i.e., natural disaster, industrial accidents, nuclear
46 accidents, marine oil spills) and legal frameworks typically divide response between natural and
47 man-made disasters. However, there is growing recognition that anthropogenic climate change
48 and other human activities such as land use change are driving more extreme and sometimes
49 cascading events. Cascading events refer to cases in which a primary threat is followed by a
50 sequence of secondary or additional hazards that require complex and often overlapping types of
51 response (Pescaroli and Alexander, 2015). Thus, the question of eliminating the natural/man-
52 made dichotomy is brought to the forefront.

53 In Europe, natural and man-made disasters combined caused total losses of US\$ 13
54 billion in 2015 of which only US\$ 6 billion were insured; the predominant losses came from
55 flood events (Swiss Re, 2016). Flooding and pollution are considered to be the primary
56 transboundary pressures of the Danube River basin; however, a number of other man-made
57 accidents occurred in the region (ICPDR, 2015a). Specifically, in 2000, the Baia Mare and Baia
58 Borsa mine-tailing pond failures mobilized approximately 100,000 m³ of metal-contaminated
59 water into the Tisza River, eventually polluting the Danube River and Black Sea. Since the

¹ While disaster response is considered part of the disaster management cycle, disaster management includes the application of policies and actions regarding disaster risk (i.e., prevention, preparedness and mitigation, response, and recovery). Each have their own set of policy frameworks, actors and mechanisms for implementation. This paper focuses on the disaster response phase specifically, and on the policy frameworks and actors related to requesting and receiving assistance immediately following a disaster, and the legal mechanisms by which responders are deployed.

60 industrial accidents occurred originally as a result of significant rainfall and flooding, these
61 events are an example of what are commonly referred to as natech accidents, technological
62 accidents triggered by natural disasters. In 2010, an industrial accident occurred in the Hungarian
63 portion of the Danube River when a dam containing alkaline red sludge collapsed, releasing 1.5
64 million m³ of sludge into the surrounding land (approximately 4000 hectares) and waterways
65 (including Kolontár, Torna Creek, and the Danube River), killing 10 people and injuring several
66 hundred more (ICPDR, 2010). In 2014, following Cyclone Tamara, over 1,000 landslide events
67 occurred in Serbia as well as significant flooding, resulting in damage to properties and
68 infrastructure and the inundation of agricultural land. Due to concern over possible breaches in
69 infrastructure to mine tailing dams in the surrounding area, and the harmful effects to human
70 health, technical experts investigated mining sites and provided recommendations for local
71 evacuations (NERC, 2014). In all three disasters, the need for disaster response exceeded the
72 capacity of national actors; therefore, international response involved the United Nations, the
73 European Commission, and various other international organizations.

74 While international humanitarian law is generally well defined, the law of international
75 disaster response is still incomplete (Fisher, 2008). Historically, a distinction has been drawn
76 between the scope of response to natural disasters and man-made disasters; however, this
77 distinction is absent from the 2015 Sendai Framework for Disaster Risk Reduction, which adopts
78 a multi-hazard risk approach providing management tools for disasters that are both natural and
79 man-made (UNISDR, 2015). The European Union's disaster response framework is also holistic
80 and includes natural and man-made disasters, and some multilateral sub-regional agreements are
81 also taking similar approaches, such as those adopted by the Association of South East Asian
82 Nations (ASEAN) and the Baltic Sea Economic Cooperation (BSEC). Adopting a multi-hazard,

83 or all-hazards, approach to disaster response allows for recognition of all conditions, natural or
84 man-made, that have the potential to cause injury, illness or death; damage to or loss of
85 infrastructure and property; or social, economic and environmental functional degradation
86 (Kappes, Keiler, von Elverfeldt and Glade, 2012).

87 With international policies starting to shift toward more holistic frameworks of response
88 that incorporate both natural and man-made disasters, this article explores what this trend will
89 mean for regional institutions in the Danube basin and Tisza sub-basin, whose policy
90 frameworks for monitoring and response continue to distinguish between types of disasters, and
91 resultantly have separate policy response options depending on the type of disaster.

92 This article begins with an overview of the study area and a description of the methodology.
93 Next is a discussion of the distinctions between natural disasters and industrial accidents – how
94 and why they have been treated differently and how recent developments in international law and
95 practice are raising questions about the merits of these distinctions. It is followed by an
96 examination of the international frameworks governing disaster response in the Danube basin
97 and Tisza sub-basin. Subsequently, the differences in how natural disasters and industrial
98 accidents are monitored, and how they are responded to, are explored. The article discusses the
99 transition of international policies toward more holistic frameworks for response, and concludes
100 with a reflection of how this might affect the Danube basin and Tisza sub-basin.

101 **2 Overview of study area and methodology**

102 The Danube River basin covers more than 800,000 km² – over 10 percent of continental
103 Europe – and flows through the territories of 19 countries with nearly 80 million people residing
104 within the basin. Today, 14 of the 19 countries, plus the EU, have committed to transboundary
105 cooperation in protecting the Danube via the Danube River Protection Convention (DRPC), and

106 work jointly toward the sustainable management of the Danube basin and the implementation of
107 both the European Union’s Water Framework Directive (WFD) and Floods Directive (EU FD)
108 (ICPDR 2015a).

109 Among the tributaries of the Danube River, the Tisza sub-basin has the largest catchment
110 area, and covers approximately 160,000 km² (20 percent of the Danube basin’s area), with
111 approximately 14 million people (Fig. 1). There exists a distinct socio-economic contrast in the
112 basin between western and former socialist countries, and since the end of communism in the
113 late 1980s, the central and lower Danube has experienced a rapid shift to free market democracy
114 within the context of increased globalization, privatization, and deregulation. This has led to
115 rural decline as well as increased poverty, unemployment, and depopulation (WWF, 2003).



116 **Fig. 1** Map of Danube River basin and Tisza River sub-basin.

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118 International measures regulating the Danube were first undertaken in 1882 for flood
119 protection and navigation. Dams were constructed within the upper basin for flood mitigation,
120 hydroelectric power generation, and regulation of river levels for navigation. The operation of
121 these dams has been attributed with altering the flow regime of this segment of river and
122 consequently varying the ecological disturbance regime within the river and on the floodplain
123 resulting in substantial changes in the riverine ecosystem (ICPDR, 2009a). The flow regulation
124 provided by the dams and the construction of levees has allowed for the conversion of
125 floodplains and riverine wetlands into areas suitable for agricultural and urban development.
126 Today only 12 small reaches (<1 km in length) of the Upper Danube remain relatively
127 untransformed (Schneider, 2010). In the Middle and Lower Danube, the river bed has been
128 dredged repeatedly to maintain a navigable river channel. Along these segments of the Danube
129 River, levees and dams mitigate or prevent inundation of over 72 percent of the floodplain. The
130 substantial reduction in Danube's connection with its floodplain combined with wastewater
131 discharge from agricultural and industrial sources, and increasing levels of pollutants along these
132 river segments have substantially altered or damaged riverine ecosystems and reduced resiliency
133 of urban and rural communities to large floods which exceed the protection level of their flood
134 mitigation measures (Schneider, 2010; UNECE, 2011). The degree of industrial development
135 and amount of pollution created by the industrial sector varies among Danube countries. In
136 general, pulp and paper industries represent the largest contributors of pollution, followed by
137 chemical, textile, and food industries (ICPDR 2009a).

138 The Tisza headwaters are located in the Carpathian Mountains in Ukraine. From these
139 headwaters the Tisza River flows southwest across central portions of the great Hungarian Plain

140 into the Danube River in Serbia (Fig. 1; ICPDR, 2008a). Intense, concentrated rainfall and the
141 steep terrain coupled with deforestation and channelization of many streams result in some of the
142 most sudden and high-energy flooding in Europe (Nagy et al., 2010). The sudden water level
143 rises coupled with the high energy of the flows often threaten human lives and result in
144 substantial damage to infrastructure and croplands (ICPDR, 2008a).

145 While industrial production has dropped drastically in the Tisza since the 1990s, there
146 remain a variety of industries that contribute to the economy of the region, and the legacy of
147 heavily concentrated industrial activities continues to threaten the surrounding ecosystems. The
148 main industrial regions of the Tisza are located in Romania and Hungary, where the potential for
149 flood damage and losses is also greatest. Chemical and petrochemical industries (including oil
150 refinery, storage and transport) are important for both Hungary and Ukraine, and the cellulose
151 and paper, textile, and furniture industries are also present predominantly in the upper portion of
152 the Tisza in Slovakia, Romania, and Ukraine (ICPDR, 2011).

153 Mining activities, and the accidental spills of chemical substances, have affected the
154 aquatic environment and water quality within the Tisza sub-basin since the 2000 Baia Mare and
155 Baia Borsa natech accidents (JEU, 2000). Natech accidents present significant challenges, as
156 natural events can trigger multiple and simultaneous accidents in one installation, or depending
157 on the impact of the natural hazard, in several hazardous facilities at the same time (Krausmann
158 and Baranzini, 2012). Furthermore, natechs present additional difficulties as they remain absent
159 from disaster response frameworks (Krausmann, Cruz, and Salzano, 2017). A 2009 assessment
160 identified more than 92 potential sources for industrial and waste deposits; however, the list does
161 not include abandoned mine sites and their mine tailing dams – only those from currently

162 operational mines (ICPDR, 2015a). Therefore, the potential risk of accidental pollution could be
 163 substantially higher (ICPDR, 2015a).

164 **Methodology**

165 The examination of policy and institutional frameworks for monitoring and responding to
 166 natural disasters and man-made accidents in the Danube and Tisza occurred through a
 167 combination of primary and secondary data collection and analysis. The primary data consisted
 168 of semi-structured interviews, while the secondary data included analysis of the legally binding
 169 mechanisms in the region, including conventions and directives (Table 1), of bilateral
 170 agreements (Table 2), and a literature review of peer-reviewed publications and white papers,
 171 providing for an analysis of international laws, policies, and institutions within the Danube basin
 172 and Tisza sub-basin regarding the provision of disaster response. Semi-structured interviews
 173 were conducted over an eight-month period from January to August 2013. This format of
 174 interviews was chosen so that the pre-determined set of interview questions could be expanded
 175 through the natural course of conversation and allow for a more thorough understanding of what
 176 was initially queried – in particular, each expert interviewed was provided with the freedom to
 177 express their personal views in their own terms.

178 **Table 1.** List of legally binding mechanisms for Danube basin and Tisza sub-basin.
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Governing Body	Convention	Type of Instrument	Description of Instrument
UN Economic Commission for Europe	Industrial Accidents Convention	Legally binding for parties to convention.	Determines actions of request for assistance and response for industrial accidents specifically.
European Commission	Water Framework Directive	Legally binding for EU member states, and though	Sets basin-level management of water quality and

		Danube Convention.	quantity.
European Commission	Floods Directive	Legally binding for EU member states, and though Danube Convention.	Requires action regarding flood mapping at the basin level.
European Commission	Seveso Directives	Legally binding for EU member states.	Requires corporations to list possible risk of industrial accident, and develop preparedness plans.
European Commission	Civil Protection Mechanism Directive	Legally binding for EU member states,	First EU-wide law to include multiple-hazards in disaster risk strategies.
International Commission for the Protection of the Danube River (ICPDR)	Danube River Protection Convention	Legally binding for Danube member states.	Provides integrated framework for all Danube countries to participate in basin-level management, regardless of EU affiliation.

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181 **Table 2.** List of bilateral agreements within countries in the Danube basin and Tisza sub-basin.

Countries	Transboundary Watercourses	Disasters / Emergencies
Austria – Czech Republic	1967*	1994 (Floods Only)
Austria – Germany	1987	1991 (Floods Only)
Austria – Hungary	1956	1959 (Floods Only)
Austria – Slovakia	1967*	1994 (Floods Only)
Austria – Slovenia	1956***	1956* (Floods Only)
Bosnia and Herzegovina – Croatia	1996	1996 (Natural/Manmade Disasters)
Bosnia and Herzegovina – Serbia and Montenegro**	-	2011 (Flood EWS)
Bulgaria – Romania	2004	2004 (Floods Only)

Bulgaria – Serbia	Draft	Draft (Floods Only)
Croatia – Hungary	1994	1994 (Floods Only)
Croatia – Serbia	-	-
Croatia – Slovenia	No Date	1977*** (Coastal Pollution)
Czech Republic – Slovakia	1999	-
Hungary – Romania	1986	2003 (Floods Only)
Hungary – Slovakia	1956*	2014 (Floods Only)
Hungary – Slovenia	1994	1994 (Floods Only)
Hungary – Ukraine	1997	1998 (Floods Only)
Moldova – Romania	2010	2010 (Floods Only)
Moldova – Ukraine	1994	-
Serbia and Montenegro – Hungary	1955**	1955*
Serbia and Montenegro – Romania	1955**	Under Discussion
Ukraine – Romania	1997	1952*** (Floods Only)
Ukraine – Slovakia	1995	2000 (Floods Only)

182 * Agreement formed with Czechoslovak Socialist Republic
183 ** Agreement formed with Yugoslavia
184 *** Agreement formed with Union of Soviet Socialist Republics
185 - No Information Available
186

187 Seventy-one interviews were conducted in various locations throughout Europe. The
188 interviews took place with experts in the International Commission for the Protection of the
189 Danube River, the expert groups of the International Commission for the Protection of the
190 Danube River (i.e., Tisza group, river basin management, flood protection, and accident
191 prevention and control), with respondents working at the national ministries, water management
192 directorates, and non-governmental organizations in the Tisza and Danube countries, as well as
193 with experts in the European Commission and the United Nations. Those interviewed were
194 chosen based on their knowledge of and work within the Danube River basin and Tisza sub-
195 basin. Given public roles, the interviews are intentionally left anonymous to ensure candidness in
196 the responses. Thus, only the kind of organization the experts work for is identified - the

197 numbers appearing in brackets in the table below refer to the interview citations in text; multiple
 198 interviews were conducted within each level of governance indicated (Table 3). The questions
 199 focused on how Danube basin and Tisza sub-basin policies and laws were implemented in
 200 practice, as well as the perceptions of the experts regarding the frameworks and implementation
 201 of disaster monitoring and response throughout the Danube basin and Tisza sub-basin.²

202 **Table 3.** Organizations from which experts were drawn for interviews.

		203
International	United Nations, United Nations Economic Commission for	204
	Europe, and United Nations Environment Programme	205
	(UNEP)/UN Office for the Coordination of Humanitarian	206
	Affairs (OCHA) Joint Environment Unit [1]	207
Regional	European Commission [2]	208
	International Commission for the Protection of the Danube	209
	River (ICPDR) and Expert Groups (Tisza Group, River Basin	210
	Management, Flood Protection, and Accident Prevention	211
National	and Control) [3]	212
	National Ministries of Environment, Rural Development,	213
	Interior, Environment Agency [4]	214
Non-State Actors	Water Directorates [5]	215
	NGOs [6]	216
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218 * Numbers in brackets refer to interview citations in text.

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220 **3 Distinctions between natural disasters and man-made accidents in policy frameworks**

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222 The approaches used for describing, limiting, and categorizing disasters fundamentally

223 shape the methods for monitoring and responding to disasters. They determine the solutions

224 utilized, the resources allocated, and the governance frameworks selected by categorizing the

225 types of disaster into that which is natural or man-made. It is therefore important to recognize the

226 etiology of disaster in order to understand why the distinctions among the various types of

227 disasters still remain. These are discussed below.

² Questions relevant to international frameworks for disaster response included: (1) What are the respective roles in multilevel governance in regard to response for natural and man-made disasters? (2) To what extent are natural and man-made disasters included in policy frameworks for response; in what context and at what level, and what is the language being used? (3) What gaps exist between policies and practice in regard to response for natural and man-made disasters? (4) What constraints or opportunities exist in including policies for response to natural and man-made disasters; which type would be most effective and at what level?

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3.1 Rationale for different treatment between natural and man-made disasters

Natural hazards are naturally occurring physical phenomena, which can include earthquakes, landslides, tsunamis, volcanoes, and floods. Disasters disrupt individuals and communities at various scales due to hazardous events interacting with conditions of exposure, vulnerability, and risk – leading to human, material, economic and environmental losses and impacts.³ Natural disasters have historically been characterized either (1) as a direct form of punishment from God for the sins of humanity, or (2) in more recent history as an “act of God” that removed humans from culpability (Rozario, 2007). The consequences of natural disasters become a function of where people reside and their overall vulnerability, including aging infrastructure and a function of their ability to monitor and prepare for these events (Peel and Fisher, 2016). Vulnerability within and between populations can vary, and occurs for multiple reasons – social inequalities, community demographics (e.g., age and poverty), lack of access to health care, and limited access to jobs or to lifelines (e.g., emergency response, goods, services) (Cutter and Emrich, 2006). While building in disaster-prone areas is not the sole responsibility of individuals, they do share responsibility for investing in the risk involved.

Industrial accidents and other man-made accidents are traditionally governed and responded to separately from natural disasters. The role of human agency features even more prominently in these events, due to potential moral or legal obligations to mitigate risk (e.g., preparedness, insurance, disaster aid). Man-made disasters suggest potential moral and legal obligations to both aid the victims of the disaster in a response capacity in the period

³ Exposure is understood as people, infrastructure and housing, production capacities and other human assets located in hazard-prone areas. Vulnerability is defined as a set of physical, social, economic and environmental factors or processes that increase the susceptibility of an individual, a community, assets or systems to the impacts of hazards. Disaster risk is the potential loss of life, injury, or damaged assets occurring to an individual or community as a function of hazard, exposure and vulnerability (UNISDR, 2015).

250 immediately following the disaster, as well as to compensate those who are harmed during their
251 long-term recovery (Verchick, 2012). The liability is only effective if a polluter can be identified
252 or liability can be assigned. As disasters continue to multiply, cascade, and their costs mount,
253 responsibility for the disaster also becomes more complex. For example, in assigning liability to
254 the 2010 red sludge spill in Hungary, early reports from the Hungarian Prime Minister Victor
255 Orbán indicated that the breach was likely due to human error, and that “there was no sign the
256 disaster was caused by natural causes, therefore it must be caused by people” (Dunai, 2010). In
257 ongoing efforts to determine human negligence, it was determined that flooding and subsidence
258 led to structural breaches in the reservoir containing the alumina, yet it remained difficult to
259 prove whether officials at the MAL alumina facility knew of the weakened infrastructure
260 (NDGDM, 2010).

261 The degree of uncertainty related to the amount of damage and probability of occurrence
262 is very high with disasters, particularly those influenced by climate change (Greiving et al.,
263 2012; Munich Re, 2016). Liability can be more difficult to calculate and assign in these cases, in
264 part because disaster loss agencies (i.e., Munich Re, Swiss Re), are often accounting for specific
265 losses from flooding and sudden-onset disasters that are more easily quantified, whereas the
266 impact of slow-onset, or “silent”, disasters can be more difficult to quantify (IFRC, 2013).
267 Therefore, due to numerous anthropogenic influences on these events, it is misleading to
268 continue the differentiation in terminology between “natural” versus “man-made” disasters, and
269 the frameworks that govern mechanisms for disaster response.

270 **3.2 Dimensions for different treatment**

271 Increased frequency of major disasters, legal barriers to disaster response, and the
272 absence of unified response have led to increased attention at a variety of levels for more
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274 integrated international frameworks (IFRC, 2007). The fragmented nature of disaster response
275 has emerged from the need to address specific types of disasters, in specific regions, or response
276 modalities. Furthermore, while natural disasters and industrial and nuclear accidents have
277 established frameworks for response, natech accidents are often missing from chemical accident
278 response programs (OECD, 2015). Natech accidents can lead to the release of toxic substances,
279 fires, or explosions and result in injuries and fatalities; therefore, the lack of consideration for
280 natech response mechanisms, planning tools or response programs can be an external risk source
281 for chemical and nuclear facilities (Krausmann and Baranzini, 2012). Some international
282 instruments, such as the Convention on Assistance in the Case of a Nuclear Accident or
283 Radiological Emergency and the Convention on Early Notification of a Nuclear Accident apply
284 only to specific types of disaster. While the Nuclear Accidents Conventions were adopted almost
285 immediately following the Chernobyl nuclear accident, there still remains no similar overarching
286 global framework for notification or assistance in response to industrial accidents, or for
287 environmental emergencies more broadly (Bruch et al., 2016). Other disaster frameworks, like
288 the Tampere Convention, apply only to a single sector or area of relief. Conversely, the ability to
289 provide disaster response for natural disasters is quite broad and is included in a number of
290 international frameworks. A question of applicability of agreements arises, however, when a
291 complex disaster occurs and multiple institutions have a mandate for response, but it is unclear
292 which institution should take the lead in responding or coordinating response efforts (Bruch et
293 al., 2016).

294 An additional challenge with fragmented disaster response frameworks lies in the types
295 of international actors engaged in natural disasters and man-made accident response. Generally,
296 there is a failure to include non-state actors, the private sector, or individuals in response efforts

297 to disasters (IFRC, 2007). The Tampere Convention and the sub-regional Black Sea Economic
298 Cooperation (BSEC) and Association of South East Asian Nations (ASEAN) agreements are
299 exceptions. With the Tampere Convention, for example, the decision to offer assistance, the type
300 of assistance provided, and the terms of assistance are up to the discretion of the non-state actors
301 offering assistance (Bruch et al., 2016). Given the increasing role of private funds in disaster
302 response and relief operations, including these actors in disaster frameworks can be beneficial.

303 **4 Disaster frameworks in the Danube basin and Tisza sub-basin**

304 Response to natural and man-made disasters, including natech accidents, is governed by a
305 range of global, regional and national laws, policies, and soft-law instruments. In the Danube
306 basin and Tisza sub-basin this includes the Industrial Accidents Convention and the Seveso
307 Directive, the Water Framework Directive and the Floods Directive, as well as treaties and
308 policies developed at the level of the Danube and Tisza. As such, natural and man-made disasters
309 continue to be treated as distinct and separate issues, where monitoring and response are
310 managed independently.

311 In 1994, the Danube countries developed the Danube River Protection Convention
312 (DRPC), a legally binding instrument that ensures sustainable management of the Danube River.
313 Through the International Commission for the Protection of the Danube River (ICPDR), the
314 DRPC requested the ICPDR to coordinate the activities of the EU Water Framework Directive
315 (WFD) and EU Floods Directive among the Danube member states. The WFD and Floods
316 Directive are legally binding to members of the European Union, but through the DRPC become
317 legally binding to all Danube member states, regardless of EU member status.. The WFD
318 combines the monitoring and assessment of water quality in the basin, and the Floods Directive
319 instructs national authorities to establish flood risk management plans by 2015, linking the

320 objectives of the WFD and the risk to these objectives from flooding or coastal erosion through
 321 the Floods Directive, and integrating them into basin level activities via the ICPDR. However,
 322 because not all countries of the Danube are EU member states, not all measures and outcomes of
 323 the WFD and Floods Directive are implemented equally among the basin countries.

324 The Danube and the Tisza have experienced numerous natural and man-made disasters,
 325 including natech accidents (e.g., Baia Mare Cyanide Spill, Hungarian Chemical Accident, and
 326 recent Serbian landslides) (European Commission, 2016). These are tallied in Table 4. However,
 327 the frameworks for disaster response at the levels of the United Nations, the European Union,
 328 and those utilized by the ICPDR are restricted to particular types of disaster – monitoring and
 329 response to flooding is the most advanced throughout the basin, while pollution is monitored, but
 330 does not have the same frameworks for response. Additionally, there remain a variety of natural
 331 and man-made disasters that that are not integrated into any type of basin monitoring or response
 332 framework, including fire, and drought.

333 **Table 4.** Natural and man-made disasters in the Danube basin, reported by country, 2000-2012
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Year	Type of Event	Country
2000	Mine tailing failure/cyanide and heavy metal pollution (natech)	Romania, Hungary, Bulgaria, Macedonia
	Landslide/avalanche	Austria, Slovenia
	Extreme temp./drought	Bulgaria, Croatia, Slovenia
	Flooding	Croatia, Hungary, Romania, Slovenia
	Severe ice storms	Moldova, Ukraine
	Wildfires	Croatia, Slovakia
	Factory fire	Slovenia
2001	Mining accident (natech)	Slovenia
	Flooding	Croatia, Hungary, Romania, Slovakia, Ukraine
2002	Industrial fire at waste dump	Slovenia
2003	Mining accident (natech)	Slovenia
	Extreme temp./drought	Austria, Croatia, Germany, Slovenia, Bosnia and Herzegovina

2004	Flash floods/severe storms	Hungary
	Wildfires	Slovenia
	Drinking water pollution (natech)	Hungary
	Dam failure	Romania
	Earthquake	Slovenia
2005	Flooding/severe storms	Hungary, Slovakia
	Drought	Bosnia and Herzegovina
	Landslides	Slovenia
	Flooding/Severe Storms	All Danube Countries, except Ukraine
2006	Avian (H5N1) flu pandemic	Hungary, Romania, Slovenia
	Aircraft accident	Hungary
	Earthquake	Hungary
	Extreme Temp.	Bulgaria
2007	Wildfires	Slovenia
	Wildfires/forest fires	Bulgaria, Croatia
	Hurricane	Germany
	Extreme temp./drought	Austria, Bulgaria, Croatia, Hungary, Romania, Slovakia, Bosnia and Herzegovina, Montenegro, Serbia, Moldova
	Flash floods/severe storms	Bulgaria, Germany, Hungary, Romania, Slovenia, Montenegro, Serbia, Ukraine
2008	Transportation accident	Croatia
	Extreme temp.	Bulgaria
	Forest fires	Bulgaria
	Flash floods/severe storms	Hungary
	Flooding	Romania, Slovakia, Slovenia, Serbia, Moldova, Ukraine
2009	Swine (H1N1) flu pandemic	All Danube Countries
	Ice storms/blizzard	Croatia, Romania, Bosnia and Herzegovina, Ukraine
2010	Chemical accident (natech)	Hungary
	Earthquake	Serbia
2012	Ice storms/blizzards	Bulgaria, Hungary, Romania, Montenegro, Serbia, Moldova, Ukraine
	Extreme temp./drought	Moldova

335 -Note that economic losses, deaths and displacements are not reported to either European Commission or ICPDR.
336 - Where indicated, natech accidents occurred because of initial flood event that led to subsidiary release of chemicals/pollutants.
337 -Adapted from European Commission, 2016.

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339 4.1 How disasters are treated differently within response frameworks

340 Numerous frameworks for response to natural disasters exist (Table 1). Apart from
 341 natural disasters, the United Nations Economic Commission for Europe’s (UNECE) Industrial
 342 Accident Convention applies to land-based, non-military, and non-radiological industrial
 343 accidents (UNECE, 2009). Through the convention, response for industrial accidents is provided
 344 through bilateral or multilateral arrangements. If no prior agreements exist, an affected country
 345 can request assistance from other parties through mutual assistance agreements. However, in
 346 these situations, it is the responsibility of the requesting country to cover all costs, unless
 347 otherwise agreed upon among the responding countries (UNECE, 2009).

348 Flooding in the Danube in 2013 and 2014 caused approximately €15 billion in damage
 349 (Table 5), and while the economic cost from industrial and other man-made accidents are not
 350 monitored or reported in the same manner (Table 4), such accidents have occurred quite
 351 frequently and make apparent the need for improved agreements on bilateral or multilateral relief
 352 (ICPDR 2015b).

353 **Table 5.** Estimated human and economic loss in Danube per flood event, 2002-2014
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Flood Year	# Deaths or # Displaced	Economic Losses €
2002	N/A	N/A
2006	N/A	> €6 billion
2010	35 deaths	€2 billion
2013	9 deaths	€2.4 billion
2014	79 deaths; 137,000 displaced	€4 billion

355 *N/A – Data not available
 356 -Adapted from ICPDR, 2008b and ICPDR, 2015b
 357

358 The facilitation of international disaster response can be inadequate if mobilization is
 359 untimely, or fails to include sufficient financial support. Response frameworks may neglect or
 360 place disproportionate attention on certain types of disasters, which could become more
 361 problematic with growing concerns over climate change and increased urbanization.

362 Diverse systems of response are implemented among the Danube basin countries due to
363 the variety of disasters experienced. Some utilize a single Civil Protection Mechanism, while
364 others rely on multiple parties among Ministries of the Interior, Ministries of Rural
365 Development, Water Directorates, and a variety of additional local protection committees [4, 5].
366 Interviews indicated that not all responders/parties are sufficiently trained, and many lack
367 managerial or technical capacity to manage specific disasters appropriately [4]. There is also
368 large compartmentalization of tasks at lower levels – both regional and local – where integration
369 among the various types of disaster, as well as increased cooperation is needed [2, 3]. Other than
370 the fact that these diverse actors are providing certain types of disaster assistance, there is
371 nothing uniting them – no international or regional disaster response system. Given the increased
372 frequency of natural and man-made disasters and the growing number of actors involved in
373 disaster response efforts, ensuring effectiveness of aid should not detract from response and
374 assistance (IFRC, 2007).

375 Besides the diverse ensemble of international organizations with a mandate and capacity
376 for responding to natural disasters and/or specific types of technological or industrial accidents,
377 there are also agencies experienced in particular types of international disasters, but which may
378 not necessarily have the mandate or capacity for response. In 1994, the United Nations
379 Environment Programme (UNEP) and the UN Department of Humanitarian Affairs (DHA, the
380 predecessor of OCHA), developed an administrative arrangement through an exchange of letters
381 (Bruch et al., 2016). The arrangement relies on the environmental mandates of UNEP and the
382 humanitarian mandates of the DHA. Through UNEP’s Governing Council Decision
383 UNEP/GC.26/15 on “Strengthening International Cooperation on the Environmental Aspects of
384 Emergency Response and Preparedness”, the Joint UNEP/UN OCHA Environment Unit (JEU)

385 plays a leading role in facilitating coordination among international organizations in the event of
386 natural and man-made disasters, including natech accidents, which are more broadly termed
387 environmental emergencies (UNEP, 2011). The JEU has a number of existing agreements and
388 interface procedures in place with these organizations, in order to facilitate response. For
389 example, the JEU facilitated international agreements and interface procedures to aid with
390 response between UN Disaster Assessment and Coordination (UNDAC) and the EU Civil
391 Protection Mechanism to the 2014 Serbian landslides following Cyclone Tamara (NERC, 2014).
392 During the 2000 Baia Mare natech accident in the Tisza River sub-basin, sixteen experts from
393 seven countries deployed for response to the natech accident, and the JEU assisted to coordinate
394 response efforts among UNDAC, the European Commission, the Military Civil Defence Unit,
395 the World Health Organization, and a variety of other actors (JEU, 2000).

396 At the regional level, the European Union's Civil Protection Mechanism (EU CPM) is an
397 instrument for disaster response that protects people, the environment, property, and cultural
398 heritage in the event of natural or man-made disasters, occurring within or outside of the
399 European Community (European Commission, 2016). Disasters are monitored internationally
400 through the Emergency Response Coordination Centre (ERCC) in cooperation with the JEU and
401 with participating states.

402 The European Union's Seveso Directives (I enacted in 1982, II enacted in 1996, and III
403 enacted in 2012) are some of the earliest pieces of legislation to address disaster risk (European
404 Community, 1982; European Community, 1996; European Community, 2012). The various
405 iterations of the Directive govern the establishments where dangerous substances are present,
406 and require the establishments to classify and report the amounts, types, and locations of
407 dangerous substances present. The majority of the Directives' focus is on notification

408 requirements and accident prevention (European Union, 2012). The responsibility for response
409 under the Directives falls on the industries for developing preparedness response measures in
410 advance of an accident, and notifying the competent authority in case of a major accident
411 (European Union, 2012). However, a 2012 study by the European Commission indicated that
412 industry in nearly half of the EU countries is believed to insufficiently consider natech risks in
413 their preparedness response measures (Krausmann and Baranzini, 2012).

414 The EU Floods Directive provides a framework for addressing risk from natural disasters,
415 specifically floods. While inspired not only by the damaging effects of floods, but also by
416 increasing flood risks as a result of climate change, the main objective of the Directive is to
417 require member states to assess and manage risks of flooding and to develop flood risk
418 management plans. Though the plans are restricted to areas considered at high risk of floods,
419 these are not integrated into other types of plans and maps available – such as the Inventory of
420 Potential Accidental Risk Spots in the Danube⁴ – nor are they used for developing preparedness
421 response measures in advance of an accident or natural disaster, such as in the case of the Seveso
422 Directive. Though the Flood Directive was expected to reduce flood risk, interviewees voiced
423 disappointment regarding the limitations of integrating disaster risk more broadly, particularly in
424 relation to water quality and accidental pollution [3]. These present as policy limitations to the
425 Water Framework Directive and Flood Directive, as neither of the two directives require the
426 integration of disaster risk of both floods and accidental pollution.

427 The European Union also developed a set of macro-regional strategies for the Adriatic
428 and Ionian, Alpine, Baltic Sea, and Danube regions (European Commission, 2010). While the

⁴ Pursuant to the 2001 Baia Mare natech accident in Romania, the ICPDR conducted a qualitative evaluation of the hazardous locations in the Danube catchment area, with reference to location of possible water pollution. The report of Inventory of Potential Accidental Risk Spots was released in 2001, and has not been updated since (ICPDR, 2001; ICPDR, 2015a).

429 intent was to not provide new EU funding, these integrated frameworks are supported by EU
430 Structural and Investment Funds in order to address common challenges faced in each defined
431 area. In the Danube Strategy, risks from floods and industrial accidents are reflected as having
432 substantially negative transnational impacts, and are listed as requiring preventive and disaster
433 management measures that are implemented jointly, with the understanding that work
434 undertaken in isolation in one place (e.g., to build levees) displaces the problem and places
435 neighboring regions at greater risk of flooding (European Commission, 2010). Other man-made
436 disasters are integrated in the discussion of risks, as well as the need to account for climate
437 change by taking a regional focus at the basin level (European Commission, 2010, p. 8). In a
438 2015 European Commission Communication report, several limitations were highlighted,
439 including: the need to improve efforts to reduce the Danube region’s risk of exposure to major
440 floods and accidental hazardous material releases; limited political commitment, funding, and
441 capacity among countries and institutions in the Danube; lack of staff, funding, and expertise
442 impeding participation, particularly in lesser-developed areas of Danube – the report also
443 acknowledged that these challenges are more acute in non-EU countries (EPRS, 2015). The
444 limitations in funding, technical expertise, and capacity were confirmed in interviews with
445 experts at various levels, who also noted how this leads to uneven implementation of EU
446 Directives within the basin that can create pockets of vulnerability to both flood risk and risks
447 from industrial accidents [2, 3, 4].

448 While the Danube Strategy does not provide a framework for response to natural and
449 man-made disasters, it does highlight the EU’s continued support for managing multi-hazard
450 response at multiple levels, particularly through Priority Area 5 “To Manage Environmental
451 Risks”. Specifically, it requests that the countries “strengthen operational cooperation among

452 emergency response authorities in the Danube countries and improve the interoperability for
453 risks that are common to an important number of countries in the region (i.e., floods and risks of
454 other natural and man-made disasters)”, and advises that each country’s civil protection
455 mechanism have an understanding of neighboring country’s systems so that response teams can
456 function smoothly in case of emergencies (EUSDR, 2015). Experts also expressed the need for
457 formal agreements with specific language on integrated mapping of complex disasters, as well as
458 provisions addressing response to both natural and man-made disasters, particularly if additional
459 grants could be given from the EU to support these activities [2, 3, 4, 5]. Some interviewees
460 reflected that the regional Strategy depended on stronger countries helping the weaker ones, but
461 limitations with funding and capacity are difficult to overcome [2]. In the 2015 Annual Report on
462 implementation of the Danube Strategy produced by the Danube countries, all projects focused
463 on implementation of the Floods Directive. The only mention of industrial accidents was to
464 reflect the failure to include an updated Inventory of Potential Accidental Risk Spots along the
465 Danube, which is also discussed in the 2015 Danube River Basin Management Plan (DRBMP)
466 (EUSDR, 2015; ICPDR, 2015b). Given past issues with mine tailing collapses and other
467 pollution disasters associated with flooding, the 2015 DRBMP acknowledged the need to update
468 the Inventory of Potential Accidental Risk Spots promptly (ICPDR, 2015b). Unfortunately, this
469 recommendation from the 2015 DRBMP, and initially expressed in first Danube River Basin
470 Management Plan of 2009, has yet to be realized.

471 Through the 1994 Danube River Protection Convention, Article 17 provides for mutual
472 assistance “where a critical situation of riverine conditions should arise”. While “critical
473 situation” is not defined, Article 17 indicates that the ICPDR will elaborate procedures for
474 mutual assistance including the facilities and services to be rendered by the contracting party, the

475 facilitation of border-crossing formalities, arrangements for compensation, and methods of
476 reimbursement (ICPDR, 1994). These elaborations have not occurred through the ICPDR, but
477 rather in the form of bilateral agreements regarding transboundary flood measures among
478 Danube countries; however virtually no bilateral agreements exist regarding response to man-
479 made disasters in the basin (Table 2).

480 To bridge the gap regarding man-made accidents, some Danube countries have engaged
481 in such agreements. Bulgaria, Moldova, Romania, Serbia, and Ukraine are parties to the DRPC,
482 but have separately engaged in the BSEC Agreement on Response to Natural and Man-made
483 disasters (Bruch et al., 2016). Furthermore, the Danube Delta countries (Moldova, Romania, and
484 Ukraine) are working together with the UNECE Industrial Accidents Convention due to the large
485 concentration of oil-related industries in the area in order to improve hazard management,
486 increase transboundary cooperation, and strengthen operational response [1].

487 At the Danube basin level, the countries have engaged in a series of non-binding
488 Memoranda of Understanding (MOU) referred to as the Danube Declarations, first in 2004,
489 revised in 2010, and updated in 2016. The Declarations reinforce the language of the 1996
490 Danube River Protection Convention to sustainably manage the waters of the Danube, and
491 reinforce the countries' commitment to continue the work of the WFD and Floods Directive. The
492 2016 Declaration recognizes the need for increased investment and improved warning systems
493 for flood protection and contamination, as well as improving the exchange of information
494 throughout the Danube (ICPDR, 2016). The Danube River basin countries engage currently in
495 two separate systems for flood monitoring and monitoring pollution from man-made accidents –
496 the Emergency Flood Alert System and the Principal International Alert Centres (PIACs) of the
497 Danube Accident Emergency Warning System (Danube AEWS), respectively. The Emergency

498 Flood Alert System has been functioning since 2003 at the Joint Research Centre, a Directorate
499 General of the European Commission, and works in collaboration with the national authorities of
500 the member states and with a variety of meteorological services. The Emergency Flood Alert
501 System provides two medium-range flood forecasts each day, with 3-10 day advance warning for
502 flooding in the main stem of the Danube. An MOU has been signed with several, but not all of
503 the Danube countries (Austria, Bulgaria, Czech Republic, Germany, Hungary, Moldova, Serbia,
504 Slovakia, Slovenia, and Romania, and negotiations are underway with Bosnia and Herzegovina
505 and Croatia), and information is available 24 hours a day through an online service managed by
506 the Joint Research Centre (ICPDR, 2010). The Emergency Flood Alert System gives national
507 authorities the ability to prepare response measures, including opening temporary flood retention
508 areas, building temporary flood protection structures such as sandbag walls, and adopting civil
509 protection measures such as closing down water supply systems (ICPDR, 2009b). These
510 responses reduce further threat of flooding downstream, and prevent loss of lives and
511 infrastructure. The MOU does not include tributaries draining areas less than 4,000 km²,
512 therefore the Emergency Flood Alert System does not address flood risks in the Tisza, nor in
513 certain basin countries where significant flood concerns arise, such as Ukraine [1].

514 Transboundary floods typically affect larger areas, can be more severe, result in a higher number
515 of deaths, and cause increased economic loss than non-transboundary rivers (Baaker, 2009).
516 Therefore, the repeated occurrence of such large, costly flood events (Table 5) highlights the
517 ongoing need for improved strategies for flood preparedness and response, particularly in the
518 absence of coordinated, multi-hazard bilateral and multilateral agreements among basin
519 countries.

520 The Principal International Alert Centres (PIACs) of the Danube Accident Emergency
521 Warning System monitor accidental water pollution incidents in the Danube River basin. Unlike
522 the Emergency Flood Alert System, which is linked to monitoring conducted by the European
523 Commission and is transmitted to national authorities (without involving the ICPDR in the
524 monitoring process); the Danube AEWS system is managed by the ICPDR, but does not involve
525 the European Commission. While all contracting parties of the DRPC cooperate with the Danube
526 AEWS, they also are expected to have national policies regarding response to accidental
527 pollution in the Danube that connects to the Principal International Alert Centres. The PIACs are
528 expected to operate on a 24-hour basis within each country, and are in charge of all international
529 communications. When a message regarding potentially serious accidental pollution occurs, the
530 PIAC is responsible for communicating the accident to the ICPDR, and decides whether it is
531 necessary to notify downstream countries, engages experts to assess the impacts of the pollution,
532 and decides what response activities need to be taken at the national level (ICPDR, 2014).
533 Challenges to the Danube AEWS monitoring include territorial gaps (several areas along the
534 Danube and Tisza are not monitored) [3, 4, 5], a limited number of bilateral agreements for
535 response in case the accident exceeds national capacity (Table 2), and even though a variety of
536 natural and man-made accidents occur (Table 4), not all types of man-made accidents are
537 monitored. Increasing pressures are felt by downstream countries from the failure to monitor
538 pollution events in a consistent and effective manner [4]. Furthermore, in order to keep the
539 AEWS operational, there is increasing reliance on citizen reporting of pollution events in some
540 countries [4, 5]. This is particularly problematic in the Tisza countries where the lack of
541 monitoring of both flood and accidental pollution events, combined with limited bilateral
542 agreements raise concern among several countries [4, 5].

543 In the most recent Tisza River sub-basin MOU (from 2011), the Tisza countries agreed,
544 among other things, to “take coordinated steps to prevent accidental risks, and develop
545 harmonized mitigation and response measures, with the aim to present an updated Inventory of
546 Potential Accidental Risk Spots by the end of 2012” (ICPDR, 2011). This complements the 2009
547 request in the Danube basin (but as reflected above, has yet to be updated) (ICPDR, 2015b). To
548 date, this has not occurred for the Tisza, but the language in the MOU does reflect an interest at
549 the sub-basin level to prioritize not only the mapping and development of an Inventory of
550 Potential Accidental Risk Spots, but also the development of harmonized response measures
551 among floods and man-made hazards.

552 **5 Questioning the distinction**

553
554 While “natural” disasters may be a commonly used term, no disaster can be regarded as
555 entirely natural if people have the capacity to avoid, mitigate, or reduce the risk from it (Picard,
556 2016). Generally, the vulnerability to lives and livelihoods can be reduced with disaster
557 preparedness and response, such as the proper placement, function, and use of early warning
558 systems, and mitigation works such as levees and controlled flood outlets and properly timed
559 dam releases.

560 There is an additional shift in what is considered truly a natural disaster as well – not only
561 from the perspective of mitigation or vulnerability, but in acknowledgement of the anthropogenic
562 influences on natural disasters. Climate change is one aspect, but there are also induced
563 earthquakes occurring as a result of slipping faults from fluid injection in hydraulic fracturing
564 (Legere, 2016), landslides from subsidence and increased land use activities including
565 urbanization (Smith, 2013), and pandemics from deforestation and habitat conversion (Greger,
566 2007), to name a few.

567 Human, economic, and environmental losses can be worse in highly populated, urbanized
568 areas; with increased urbanization and climate change, they are placed at increased risk to natural
569 and man-made hazards (Bruch and Goldman, 2012; Huppert and Sparks, 2006). For this reason,
570 natech accidents and other cascading disasters are particularly problematic types of disasters.
571 Simultaneous response efforts are required to attend to both the industrial, chemical, or
572 technological accident as well as the triggering natural disaster. Therefore, expanded definitions
573 of that reflect multiple types of disaster, as well as improved frameworks for response to multiple
574 types of disaster, are needed in order to recognize that many disasters can arise from multiple,
575 potentially co-located hazards—and to take the necessary measures to reduce the risks of those
576 hazards.

577 The 2011 Fukushima nuclear disaster in Japan, triggered by the Great East Japan
578 Earthquake and resultant tsunami, illustrated the complex relationship of natural hazards and the
579 built environment and human factors, resulting in natech vulnerabilities. In part as a response to
580 the earthquake, tsunami, and nuclear accident at Fukushima and as a more general approach to
581 providing a comprehensive, multidimensional and multi-sectoral approach to reducing disaster
582 risk, the United Nations member states adopted the Sendai Framework for Disaster Risk
583 Reduction in 2015. To some experts, the preceding 2005 Hyogo Framework for Action focused
584 too much on disaster risk reduction from natural disasters, and ignored industrial accidents and
585 complex accidents like natech accidents [6]. In fact, in a 2011 study by the European
586 Commission, out of 14 EU countries that experienced natech accidents, more than half of the
587 accidents resulted in the release of toxic substances, fires, or explosions (Krausmann and
588 Baranzini, 2012).

589 The Sendai Framework places unprecedented emphasis on the interaction between
590 hazards (natural and man-made), exposure levels, and pre-existing vulnerability (Aitsi-Selmi and
591 Murray, 2016). It calls to action for improving decision making through a stronger science-
592 policy-practice interface, with four priority areas for action –including strengthening disaster
593 governance with regard to shared resources and at the basin level (UNISDR, 2015).

594 The Organization for Economic Cooperation and Development (OECD) also provides
595 guidance for the planning and operation of facilities where hazardous substances are located
596 through the use of their 2003 Guiding Principles for Chemical Accident Prevention,
597 Preparedness, and Response. Recognizing the gaps in natech risk management and
598 methodologies, the OECD developed an addendum in 2015 to the Guiding Principles that
599 include 1) an investigation of the prevention of chemical accidents, as well as preparedness for
600 and response to chemical accidents resulting from natural hazards that are not a part of national
601 chemical accident programs; and 2) recommendations for best practices with respect to
602 prevention of, preparedness for, and response to natech accidents (OECD, 2015).

603 Regional frameworks for response to natural and man-made disasters have been
604 developed by member states of the Black Sea Economic Cooperation (BSEC) and the
605 Association of South East Asian Nations (ASEAN). These regional agreements have also
606 progressed to include national efforts, such as the coordination of technical assistance and
607 resource mobilization during response to natural and man-made disasters (ASEAN, 2010; BSEC,
608 1998).

609 **6 Building holistic approaches for integrating multilevel disaster response**

610 The transition toward a multi-hazard approach for response to natural and man-made
611 disasters, and the acknowledgement of the risks of natech accidents is occurring at many levels.

612 It is present in the work of the United Nations and the multilevel response frameworks of the EU
613 Civil Protection Mechanism; some regional agencies are also adopting similar agreements (i.e.,
614 ASEAN, BSEC). However, there remains a disparity in managing natural and man-made
615 disasters in a holistic manner at the national level, as well as in the monitoring of these types of
616 events at the Danube basin and Tisza sub-basin levels. The challenges are not insurmountable;
617 this section proposes two sets of options for reducing and eventually eliminating the historic
618 dichotomy among approaches to disaster response and monitoring.

619 **6.1 Multi-hazard approaches**

620 The process of building holistic approaches to planning, preparedness, and response can
621 strengthen systems for responding to natural and man-made disasters in a more integrated
622 manner (i.e., adopting a multi-hazard approach). These processes may be done at the global
623 (e.g., Sendai), regional (e.g., BSEC), bilateral, and national levels. By adopting a multi-hazard
624 framework for disaster response, the expertise and practices of responders can be enhanced to
625 include improved modeling and assessment approaches, response methodologies and tools, and
626 heightened measures to prevent or mitigate the consequences from natech accidents (Krausmann,
627 Cruz, and Salzano, 2017).

628 The review of legal and policy frameworks and interviews reflected that while some
629 planning and preparedness activities take place regarding flood hazard, this generally is not the
630 case for accidental pollution (at least in the Danube and Tisza context), and natech accidents are
631 largely removed or ignored [2, 3, 4, 5, 6] (European Commission, 2010; ICPDR, 2015a). Gaps in
632 monitoring were cited along the length of both the Danube and the Tisza in regard to both
633 flooding and accidental pollution, which should also be improved in future planning efforts. The
634 Tisza sub-basin and smaller water bodies are beyond the scope of the WFD, consequently, no

635 holistic monitoring or response measures are in place; regional agreements at the basin or sub-
636 basin level could aid in developing improved response frameworks [2, 3] (McClain et al., 2016).

637 Improving the mapping of hazards to reflect not only flood hazard, but also risks from
638 man-made disasters and natech events – and integrating these risks into a holistic map of
639 vulnerability to disaster – would provide a foundation for more holistic policies and
640 programming to manage disaster risks. It would also aid in improving measures for preparedness
641 at the national and local levels. Multi-hazard response frameworks provide the opportunity to
642 intervene and mitigate the size of future disasters. Interviews indicate that harmonized
643 approaches to natural and man-made disasters offer additional opportunities to strengthen
644 capacity among transboundary actors [1, 4].

645 **6.2 Multi-hazard response modalities**

646 In order to avoid fragmentation among response to natural and man-made disasters, and
647 empower, guide, and facilitate the institutional arrangements and mandates necessary to improve
648 these activities, the legal and policy frameworks need to provide the necessary mandates and
649 procedures – this is accomplished by incorporating an integrated, multi-hazard approach to
650 disaster response. In regard to the Danube basin, this could be done in a variety of ways. The
651 Danube River Protection Convention has not been updated or amended since it was originally
652 drafted in 1994, but it unites all countries of the Danube basin and its tributaries under a formal,
653 legal agreement. Cooperation among Danube countries was generally reported as good [3];
654 therefore, continuing the use of the ICPDR and its expert groups as a mechanism to gain
655 cooperation among the countries on a regional framework for improving monitoring and
656 response could be considered [3, 4, 5]. Another possibility would be to expand the numerous
657 bilateral agreements among the Danube and Tisza countries regarding flooding to also include

658 man-made disasters and natech events. Working on agreements at a regional level improves
659 communication, breaks down barriers (particularly in transboundary situations), and aids in the
660 development of a common legal language among participating parties [1, 2].

661 Updating conventions and other hard law can be difficult; countries often find soft law to
662 be more flexible, they are sometimes unwilling to adopt binding obligations, particularly in the
663 face of uncertainty (e.g., climate change), or when they feel there might be a need to act quickly
664 to changing circumstances. In this regard, updating the Danube Declaration and the
665 corresponding Tisza MOUs can provide particularly viable options. Through the Declarations
666 and MOUs, the Danube or Tisza countries could decide whether to engage in a particular action
667 through a separate strategy, or pilot project, or whether to incorporate the issue into the broader
668 basin or sub-basin management plan (e.g., improvement of accidental pollution and flood
669 monitoring, integrated accidental pollution and flood maps). Improved vertical and horizontal
670 cooperation was a request of several interviewees, particularly in regard to the risks posed from
671 man-made accidents and how to respond to these accidents [4, 5].

672 **7 Conclusions**

673 The historic distinction between natural and man-made disasters is outdated,
674 counterproductive, and ultimately flawed. Natural disasters have the potential to trigger
675 simultaneous technological or chemical accidents from one or multiple sources. With
676 anthropogenic climate change influencing the frequency and intensity of disasters, the
677 distinctions in monitoring and responding to disasters from either natural or man-made sources
678 are further called into question. Moreover, increased urbanization and shifting populations are
679 placing more people at greater risk in times of disaster (whether natural or man-made). As a
680 result, it is increasingly clear that there are no purely natural disasters.
681

682 Recognizing that the historic distinctions between natural and man-made disasters are no
683 longer relevant, there is increasing recognition of the need to address disasters holistically,
684 regardless of the contributing causes and aggravating factors. This trend is noted in the Sendai
685 Framework, which adopts a multi-hazard risk approach and provides tools for responding to
686 disasters that are both natural and man-made (UNISDR, 2015). While the current policy
687 frameworks in the Danube basin and Tisza sub-basin do not address monitoring and response
688 holistically across types of disasters, the basin countries have several options for more integrated
689 response. A key opportunity is the development or amendment of agreements governing
690 response to natural and man-made disasters. This could be negotiated through updates to the
691 Danube Convention or through bilateral treaties between the basin countries. Improving planning
692 and preparedness through more integrated monitoring and mapping of natural and man-made
693 disasters, such as combining the flood risk areas with the Inventory of Potential Accidental Risk
694 Spots, could be elaborated upon in Declarations and MOUs at the basin and sub-basin levels.

695 A coordinated approach to natural and man-made disasters, including natech accidents, is
696 currently taken through the European Union Civil Protection Mechanism and BSEC. This is not
697 unique to Europe alone, and other similar regional approaches exist from which to draw lessons
698 (including the ASEAN agreement). The Danube and Tisza countries are well versed in the
699 transboundary impacts from natural and man-made disasters, and natech accidents; climate
700 change is likely to increase the frequency and severity of these events in the foreseeable future.
701 Nevertheless, while approaches for integrating holistic frameworks for disaster response are
702 recognized at multiple levels, implementation within the Danube basin and Tisza sub-basin
703 remains distinct and fragmented.

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