



41 example, over 21 GLOF disasters have been reported in Peru's Cordillera Blanca, killing
42 nearly 30, 000 people during the past 65 years (Carey, 2005, 2008; Carey et al., 2012).
43 According to past records, at least 16 GLOFs have occurred in Chinese Nyainqêntanglha
44 since 1935 (Wang and Zhang, 2013). The occurrence of recent classic outbursts at a lake of
45 Palong Zangbu (i.e. river), 2007, Cilaco Lake of Nujiang River, 2009 and at Recireco lake of
46 Yigong Zangbu, 2013 in Nyainqêntanglha shows that the threat of GLOFs requires
47 appropriate and continued attention well into the 21st century, as glacier retreat continues
48 (Wang et al., 2015). Especially, on 5 July 2013, Recireco lake (an area of about $57 \times 10^4 \text{ m}^2$)
49 outburst occurred in the eastern Nyainqêntanglha and then formed mudslides. As a result,
50 some persons were missing, numerous buildings were destroyed, and some infrastructures
51 were damaged. The total economic loss was estimated as 200 million RMB. Facts have
52 proved that: the economic losses caused by GLOF are much higher than the project costs to
53 early consolidate moraine dam and release flood waters.

54 GLOF disasters result from both natural and social factors and their interactions. GLOF risks
55 not only include the hazard of glacial lake outburst, but also involve the vulnerability and
56 adaptation capacity of exposed elements. The hazard of glacial lake outburst can be defined as
57 the product of outburst magnitude and outburst probability (Mckillop and Clague, 2007).
58 However, GLOF impacts, regional exposure, the vulnerability of exposed elements and the
59 adaptation capacity downstream have received less consideration or synthetic and quantitative
60 assessment in previous studies. In fact, glacial lake outbursts can be very difficult and
61 expensive to control, but regional exposure and the vulnerability of exposed elements
62 downstream can be reduced by improving adaptation capacity and risk management level
63 (Wang et al., 2015).

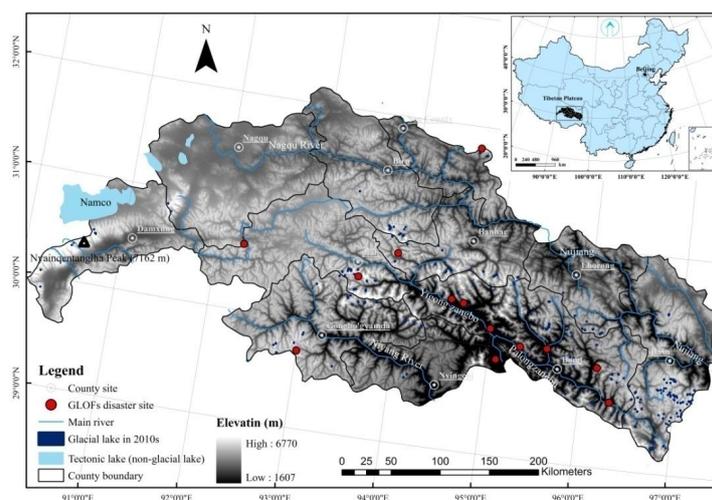
64 This study analyzed synthetically county-based spatial distribution characteristics and
65 evolution status of moraine-dammed lakes and potential dangerous glacial lakes (PDGLs),
66 identified and analyzed GLOF disaster risk, and established a risk assessment system
67 including glacial lake outburst hazard, regional exposure, the vulnerability of exposed
68 elements and the adaptation capacity downstream. Finally, the study quantified the degree of
69 risk of GLOFs in the study area using GIS technology, the analytic hierarchy process (AHP)
70 and the weighted comprehensive method (WCM). The study is not only of significance for
71 analyzing glacial lake outburst hazard and assessing the exposure and vulnerability of
72 exposed elements in Nyainqentanglha, but also has important theoretical reference to provide
73 a scientific support for prevention and mitigation planning of GLOF disaster, infrastructure
74 construction, industry distribution and village land use planning in GLOF affected areas.

75 2. Study area

76 Nyainqentanglha range, with the length of about 740 km, locates in the border belt between
77 the Indian and Eurasian plate. The highest peak located in the mostwestern part of
78 Nyainqêntanglha with the altitude of 7 162 m (Fig. 1). The study region ($90.50^\circ - 97.80^\circ \text{ E}$;
79 $29.15^\circ - 32.20^\circ \text{ N}$) covers an area of 48.82 km^2 , accounting for 39.74% of the land area of the
80 Tibet Autonomous Region. It is bordered to the south by Yarlung Zangbo (Brahmaputra
81 River), to the west by Qiongmugang peak (7 048 m) on North of Ma River, to the north by
82 Tanggula Mountain, and to the east by Hengduan Mountain (Fig. 1). Nyainqentanglha
83 develops a large number of modern glaciers and is one of largest glaciation center of the
84 middle and low latitude in the world. The second Glacier Inventory (Liu et al., 2015) shows



85 Nyainqêntanglha exists 6 860 glaciers with an area of 9 559.20 km² in 2010s and the number
86 and area of glaciers decreased by 3.11% and 10.67% respectively in nearly 40 years.
87 Nyainqentanglha is divided into eastern and western sections in the headwater of Lhasa River
88 (Wu et al., 2002). The eastern Nyainqentanglha is controlled by the Southwest Indian Ocean
89 monsoon with warm-humid climate. Because warm-humid climate is forced by the steep
90 terrain to uplift, here becomes one of most rainfall area and the wettest region in the Tibetan
91 Plateau. The precipitation can reach to 3 000 mm around in some glacier areas (Jiao et al.,
92 2005) and glacier area basically accounts for more than 90% of total glacier area in
93 Nyainqentanglha. Here, neotectonics is strong, and tectonic seismic activity is also frequent
94 and intense. The earthquake often destroyed the stability of glacier body and moraine dam
95 and made own state of glacial lake lose balance. And, it also damaged geotechnical stability,
96 made it generate adequate loose materials, which provides material source condition for
97 GLOF mudslides.



98
99 **Fig. 1 Location of the Nyainqentanglha Mountain showing the major river basins, glacial lake**
100 **distribution, recorded GLOF sites and 11 county boundaries in Southeastern Tibetan Plateau**

101 The study area is administratively divided into 11 counties: Sog, Nagqu, Damxung, Lhorong,
102 Nyingchi, Jiali, Gongbo'gyamda, Bomi, Banbar, Biru, Basu County (Fig. 1). Most
103 communities located in remote areas away from glacial lakes are often within the reach of
104 GLOFs. In 2013, the population and regional GDP were 550, 000 and RMB 3.63 billion,
105 respectively, accounting for 17.63% and 4.44% of total population and GDP of the Tibet
106 Autonomous Region. Local residents' perception of the threat from remote glacial lake is
107 relatively weak, and their ability to prevent and adapt to disaster is extremely limited, which
108 provides a disaster-affected body conditions for the formation of GLOF disaster. It can be
109 predicted that GLOF impacts will likely extend farther downstream as glaciers continue to
110 retreat in the next few decades.

111 3.Data and Processing

112 3.1. Date

113 The data for this study consist of Landsat imagery obtained on multiple dates in the 1990s and



114 2010s, topographic maps, ASTER DEMs in 2009, and statistical data concerning the
115 socio-economic system in 2014. Image data are used to analyze the spatial and temporal
116 variation of glacial lakes and to identify their potential risk, whereas regional socio-economic
117 data are used to assess exposure, vulnerability and adaptation capacity in hazard-affected
118 regions. The Nyainqentanglha, especially, the middle-eastern region, is affected by the
119 southwes monsoon with humid and heat climate, thus the region is covered with cloud during
120 most of month in a year. To avoid cloud and snow cover during the monsoon and ensure
121 minimal snow coverage, we selected as far as possible 22 satellite images as far as possible
122 with < 10% (5% is an ideal parameter) cloud cover acquired between June and October in the
123 1990s and 2010s. The study area was observed with 22 Landsat TM and ETM+ images with a
124 spatial resolution of 30 m (<http://glovis.usgs.gov>), in which some Landsat-7 image strips are
125 eliminated by using Multi-Image window fixed regression model (that is, image strip or gap
126 is filled by using multi-view remote sensing data with different time and a local regression
127 analysis method, in which the area of regression region is a fixed value). Raw data for the
128 socio-economic system were obtained from Statistical Yearbooks of all counties and the Atlas
129 of China Traffic (Starmap Publishing House, 2006). The data were quantified using different
130 units. To compare them, the original data on evaluation factors need to be rendered
131 dimensionless by transforming the range. Based on the method reported by previous studies
132 (Zhao et al., 2006), we used normalization to standardize these data from various sources.

133 3.2 Detection of moraine-dammed lakes

134 The accuracy of glacial lake delineation is mostly determined by glacier and snow around the
135 lake or within the hillshade covered area, and by cloud cover over glacial lake. In the study,
136 glacial lake boundaries, distributions and evolutions were investigated using detailed
137 delineations and measurements of satellite-derived imagery. Images, when rectified, were
138 further processed before interpretation, by comparing different band combinations. This
139 showed that the standard false-color composite (FCC) images made from combining bands 4,
140 3 and 2 were most conducive for identifying glacial lake boundaries. When lakes were frozen
141 and snow covered, a visual inspection was necessary to distinguish snow covered glacial lakes,
142 with the help of 7, 5 and 2 band composites. Lakes are assumed to be areas where the slope is
143 < 10% (Gardelle et al., 2011). Then, all images need to match with ERDAS software and
144 images from two periods (1990s and 2010s), and need geographic registration to assure
145 overlap with the ASTER GDEM of 2009 (<http://datamirror.csdb.cn>).

146 Based on the image interpretation, all glacial lakes were delineated manually from digitized
147 topographic maps of 1976 and/or FCC satellite images, pixel by pixel, in ArcGIS 9.2 with the
148 help of Google Earth imagery and field research. When the cloud cover is over 5%,
149 multi-source image and topographic map are used to correct glacial lake boundaries. Finally,
150 the vector layers of glacial lakes in 1990s and 2010s were obtained and attribute data: lake
151 type, length, area and altitude were established by eyewitness interpretation and geographic
152 calculation. The measurement accuracy of glacial lake area from spatial data is limited by
153 sensor resolution and manual digitizing. According to Wang et al. (2011) and Wang et al.
154 (2015), the uncertainty in co-registration of multitemporal images can be calculated by

$$155 U_L = \sqrt{\sum \lambda^2} + \sqrt{\sum \sigma^2} \quad (1)$$



156

$$U_A = \frac{(2U_L)}{\sqrt{\sum \lambda^2}} \times \sum \lambda^2 \times \sum \sigma^2 \quad (2)$$

157 where U_L is the linear uncertainty (m), U_A is the glacial lake's area uncertainty (m^2), λ is the
158 original pixel resolution of each individual image (m) and σ is the co-registration error of
159 each individual image to topographic maps (m). Accordingly, the maximum error in
160 coregistration (U_A) for changes in glacial lakes from the 1990s to the 2010s was calculated as
161 $\pm 0.015 \text{ km}^2$.

162 3.3 Identification of potentially dangerous glacial lakes

163 Generally, glacial lake failure comes from external (ice/snow/rock avalanches, landslides,
164 rainstorm, glacier advance, earthquake, snow and ice melting) and internal incentives (the
165 ablation of buried ice within moraine dam, the release of lake water inside ice body, piping,
166 seepage, etc). According to failure mechanisms, GLOF can be divided into five categories: 1)
167 The outburst flood is triggered by wave overtopping moraine-dam, 2) by seepage/piping; 3)
168 by flow water erosion from next valley; 4) by earthquake; 5) by various combined factors.

169 Based on above analysis and taking into account the availability of data obtained, this study
170 selected only moraine-dammed lake area ($> 0.02 \text{ km}^2$), the rate of lake area increase ($> 20\%$),
171 the distance between lake and glacier snout ($< 500 \text{ m}$) and whether or not there were
172 settlements downstream as rough evaluation criteria to determine whether a lake is dangerous
173 or not. Lake area affects the volume of stored water and the maximum outburst flood volume.
174 Rapid changes in lake area will upset the hydric balance, thereby promoting moraine-dammed
175 lake outburst. The distance between lake and glacier terminus will determine the amount of
176 snow/ice/rock avalanches collapsed into the lakes, which will result in the displacement
177 waves and further trigger an outburst flood (Awal et al., 2010; Wang et al., 2015).

178 3.4 Establishment of GLOF disaster risk assessment system

179 Based on previous findings on natural disaster risk (Catani et al., 2005; Nadim and Kjekstad,
180 2009; Carey et al., 2012), GLOF disaster risk can be defined as a combination of the
181 likelihood of a major outburst event occurring (i.e. hazard), exposure to an outburst event,
182 vulnerability (i.e. susceptibility) of exposed elements (i.e. people, property) and adaptation
183 capacity for preventing and responding to an outburst event (i.e. management ability) (Wang
184 et al., 2015)(Fig. 2). Unlike risk, a GLOF disaster is an actual occurrence, rather than a
185 potential threat (Smith, 2001), so it may simply be defined as the realization of a threatening
186 glacial lake outburst event affecting ecological, economic and social systems downstream.

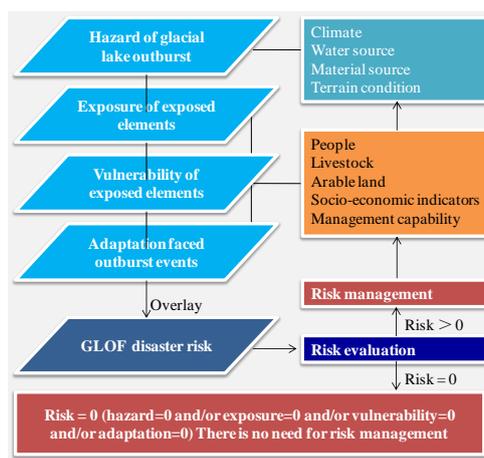


Fig. 2 The component of GLOF disaster risk and risk management process

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Based on the above analysis, a conceptual framework of GLOF disaster risk was established. The risk assessment conceptual framework contains three hierarchies: *objective layer (A)* (integrated risk), *principal layer (B)* (B_1, B_2, B_3, B_4) and *index layer (C)* (C_1-C_{15}) (Specific factor analysis are shown in the study by Wang et al., 2015) (Table 1), in which *Hazard* (B_1) reflects directly the possibility or probability of a moraine dammed lake outburst and the severity of threats and harms to downstream residents, property and ecosystems. *Exposure* (B_2) describes spatial distribution and numbers of exposed elements and expressed generally by the quantity and density of exposed elements. *Vulnerability* (B_3) reveals the susceptibility of exposed elements and the extent of damage and losses in population, property, and other elements affected by GLOFDs. *Adaptation capacity* (B_4) reflects early monitoring, warning and forecasting levels of moraine dammed lake outburst and defense capabilities to reduce lake water level, regional exposure and vulnerability facing to the threaten from lake outburst. Among all indicator, road level (C_{11}) is reflected by proportion of below provincial highways in the total mileage, while building level (C_{12}) is replaced by the net income of regional farmers and herdsmen for the lack of data.

Table 1. GLOF disaster risk assessment indicator system and weights

<i>Objective layer (A)</i>	<i>Principle layer (B)</i>	<i>Index layer (C)</i>	<i>Unit</i>	<i>Weight</i>
		PDGLs Number (C_1)	-	0.061
	Hazard (B_1)	PDGLs Area (C_2)	km ²	0.186
		Area change of PDGLs (C_3)	%	0.124
Integrated risk index (RI_{GLOFD})	Exposure (B_2)	Population density (C_4)	person km ⁻²	0.053
		Livestock density (C_5)	10 ⁴	0.036
		The cultivated area (C_6)	km ²	0.037
		Density of road network (C_7)	km km ⁻²	0.033
	Vulnerability (B_3)	Density of agricultural economy (C_8)	Yuan km ⁻²	0.040
		Proportion of rural population (C_9)	%	0.069
		Percentage of small livestock (C_{10})	%	0.038
		Road level (C_{11})	%	0.018



	Building level (C_{12})	10^4 Yuan	0.021
	Regional GDP (C_{13})	10^8 Yuan	0.115
Adaptation capacity (B_7)	Financial revenue share of GDP (C_{14})	%	0.092
	Density of fixed assets investment (C_{15})	10^4 Yuan km^{-2}	0.073

205 The study determined an index weight by the analytic hierarchy process (AHP) (Saaty, 1977).
 206 This process included five basic steps: 1) design of questionnaire, 2) calculation of a pairwise
 207 comparison matrix, 3) estimation of relative weighting values (a scale from 1 (two
 208 components contribute equally to GLOF disaster risk) to 9 (one component predominates
 209 completely over the other in causing GLOF disaster occurrence) was used to compare pairs of
 210 components), 4) examination of consistency and 5) aggregation of the weights to determine a
 211 ranking of decision alternatives (Rachel et al., 2001). The weight values used for the GLOF
 212 disaster risk are shown in Table 1. The weight values of the hazard, exposure, vulnerability
 213 and adaptation capacity factors are 0.37, 0.20, 0.15 and 0.28, respectively. The main (top six)
 214 indicators of *index layer* are arranged as follows: PDGL area, rate of PDGL area change,
 215 regional GDP, revenue share of GDP, density of fixed assets investment and proportion of
 216 rural population. The contribution degree of the top six factors was up to 66%.

217 3.5 GLOF disaster risk assessment model

218 GLOF disaster risk generally has a positive correlation with hazard, exposure and
 219 vulnerability, while it is negatively correlated with adaptation capacity. According the past
 220 study by Wang et al. (2015), we developed the following equations to determine the degree of
 221 risk of GLOF disaster by integrating the assessment method of other natural disaster risks:

$$222 \quad I_{GLOFDR} = (H \times E \times V) / A \quad (3)$$

$$223 \quad H(E, V, A) = \sum_i^m w_i x'_{ij} \quad (4)$$

$$224 \quad x'_{ij} = 10 \times x_{ij} / x_{\max} \quad (5)$$

225 where I_{GLOFDR} (index of GLOF disaster risk) indicates the degree of GLOF disaster risk
 226 (generally, the higher the value, the greater the degree of GLOF disasters risk) and H , E , V
 227 and A are the hazard, exposure, vulnerability and adaptation capability factors ($A > 0$). m is the
 228 number of indicators reflecting hazard, exposure, vulnerability and adaptation capability
 229 factors. x_{ij} , x'_{ij} refer to the unscaled and scaled values of the i th indicator of *Index layer* (C)

230 in the j th county ($0 < x'_{ij} < 10$). w_{ij} is the weight of the i th indicator of *Index layer* (C) in the
 231 j th county relative to *Objective layer* (A) ($0 < w_i < 1$) (Table 1) and is obtained by AHP.

232 x_{\max} is the maximal value of the indicators x_{ij} . A large value of x'_{ij} means that this factor
 233 has a stronger impact on GLOF disaster risk, whereas a small value of x'_{ij} suggests the
 234 impact is less.

235 Using I_{GLOFDR} , the degrees of GLOF disaster risk can be compared. From I_{GLOFDR} , it is found
 236 that when $H \times E \times V = 0$, $I_{GLOFDR} = 0$. With adaptation capability $A \neq 0$ decreases, the I_{GLOFDR} value
 237 increases. When the hazard, exposure, and vulnerability are reduced and management ability



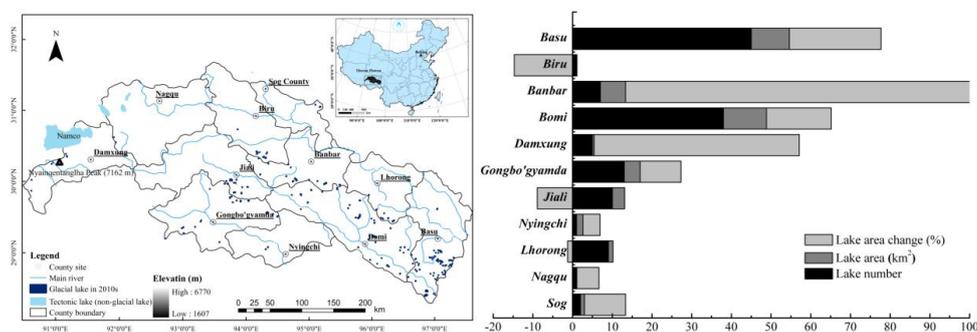
238 increases, I_{GLOFDR} decreases.

239 **4.Results and analysis**

240 **4.1. Distribution and evolution of moraine-dammed lakes**

241 In the Nyainqentanglha, 132 moraine-dammed lakes ($>0.02 \text{ km}^2$) with a total area of 38.235
 242 km^2 were detected from remotely sensed data in the 2010s. The areas of moraine-dammed
 243 lakes range from 0.02 km^2 to 4.10 km^2 , at altitudes between 3100 and 5500 m. As shown in
 244 Figure 3, 132 lakes were distributed in 11 counties in the 2010s. Of these, Basu has the most
 245 lakes (45), 34.09% of the total number in the study area, and Bomi has the largest lake area
 246 (10.85 km^2), 28.38% of the total moraine-dammed lake area. Gongbo'gyamda has 13 lakes
 247 with a total area of 3.995 km^2 . Basu and Bomi together account for 62.88% of the total
 248 number of lakes and 53.46% of the total lake area. Jiali and Banbar also have relatively large
 249 (3.119 km^2 and 6.321 km^2), yet lake area is relatively small ($< 1.60 \text{ km}^2$) in Sog, Nagqu,
 250 Lhorong, Nyingchi and Biru County.

251 In the past 20 years, the total area of moraine-dammed lakes has expanded by 22.72%, from
 252 29.55 km^2 in the 1990s to 38.24 km^2 in the 2010s. The expansion rate was higher than in the
 253 Nepal-Bhutan and Western India-Pakistan-Afghanistan Himalayas (-0.08 to $0.45 \text{ km}^2/\text{a}$)
 254 between 1990 and 2009, while lower significantly $0.57 \text{ km}^2/\text{a}$ in the central Chinese
 255 Himalayas from 1990 to 2010 (Wang and Zhang, 2013). Among the 11 counties, the
 256 magnitude of lake area increase between the 1990s and 2010s has been largest in Banbar
 257 county (387.73%), followed by Damxung (51.69%), Basu (23.06%) and Bomi (16.26%). In
 258 contrast, lake area in Biru, Jiali and Lhorong decreased significantly by 14.71%, 8.91% and
 259 1.25%, respectively. In other counties, the magnitude of lake area change was 1-11%. It is
 260 apparent that lake area variations in the study area have regional differences (Fig. 3). It is
 261 noteworthy that the area of 22 moraine-dammed lakes in the study area decreased by $> 20\%$,
 262 which means that these lakes have likely burst without detection.



263
 264 **Fig. 3 Distribution and evolution of moraine-dammed lakes in the Nyainqentanglha**

265 **4.2. Distribution and evolution of PDGLs**

266 The results show that 54 moraine-dammed lakes with a total area of 17.53 km^2 in the 2010s
 267 are identified as PDGLs (Table 2). All PDGLs with an area $\geq 0.02 \text{ km}^2$ are $< 500 \text{ m}$ from the
 268 glacier terminus and growth rates of all PDGLs have been more than 20% in the past two
 269 decades. PDGLs comprise 40.91% and 59.33% of the total number and area respectively, of
 270 moraine-dammed lakes in the study area.

271 **Table 2. Distribution and variation of PDGLs in 11 counties in the study area**



County name	PDGL number in 2010s	Lake area (km ²)		
		1990s	2010s	Change rate (%)
Sog	1	0.080	0.097	22.00
Nagqu	0	0.000	0.000	0.00
Lhorong	1	0.227	0.323	42.60
Nyingchi	0	0.0000	0.000	0.00
Jiali	5	0.2203	0.342	55.23
Gongbo'gyamda	4	0.447	1.076	140.50
Damxung	4	0.18	0.300	59.30
Bomi	12	2.519	4.175	64.90
Banbar	7	1.308	6.864	424.68
Biru	1	0.050	0.087	73.80
Basu	18	2.142	4.261	98.90
Total	54	7.17	17.53	144.31

272 From the 1990s to the 2010s, the total area of PDGLs increased significantly by 144.31%,
 273 whereas non-PDGLs decreased slightly by 4.06% in the study area in the same period.
 274 PDGLs are mainly distributed in the eastern Nyainqentanglha, and are rare in the western
 275 region (Table 3). Basu has the most PDGLs (18), followed by Bomi (12) and Banbar (8).
 276 PDGLs in these three counties account for 73.37 of the total number of PDGLs in the study
 277 area. Most PDGLs are in contact with parent glaciers and are considered large enough to
 278 cause damage downstream if they outburst (Tables 2, 3). Table 3 lists 20 PDGLs with an
 279 area > 0.20 km² and a rate of lake area increase > 20%.

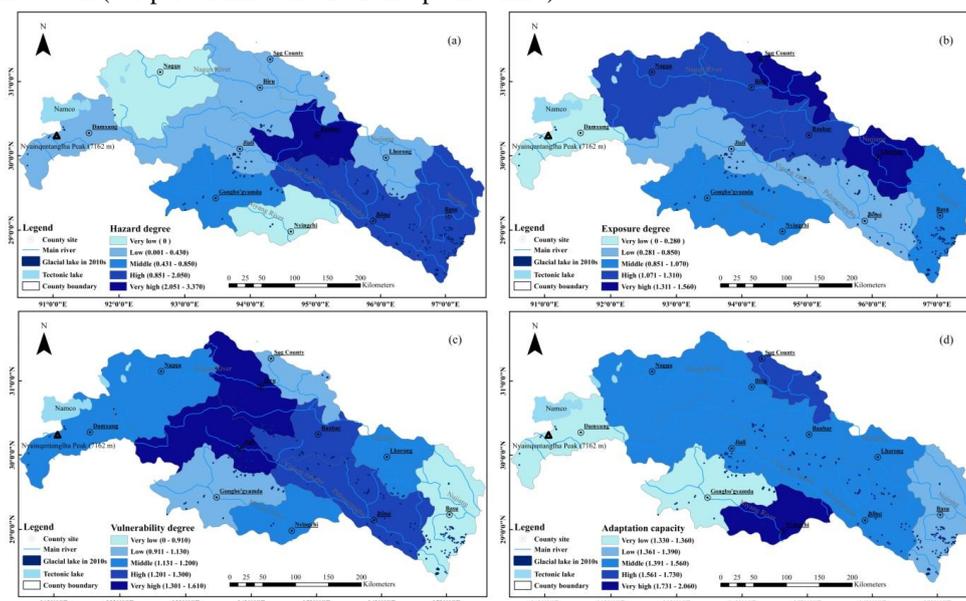
280 **Table 3. Distribution and evolution of 20 PDGLs with an area > 0.20 km² in the 2010s and area**
 281 **increase by > 20% in the Nyainqentanglha in the past 20 years**

Lake name	Location	Lon.(°)	Lat.(°)	Area (km ²)		Area change (%) 2010s to 1990s	Distance to glacier in the 2010s (m)
				1990s	2010s		
Samuco	Banbar	94.49	30.66	0.146	4.10	2709.74	0
	Basu	96.82	29.30	1.111	2.67	140.20	0
Dongguanlaco	Bomi	94.60	30.45	0.709	1.43	101.40	0
	Bomi	95.18	30.60	0.677	1.03	52.39	0
Suquco	Banbar	94.96	30.65	0.191	0.79	315.02	0
	Banbar	95.22	30.66	0.494	0.62	26.37	0
Jiongpuco	Banbar	94.44	30.63	0.209	0.54	160.24	0
Jiwenco	Jiali	93.63	30.36	0.432	0.54	24.45	0
	Gongbo'gyamda	94.27	30.10	0.199	0.53	166.62	0
Longlikunzeco	Basu	96.59	29.73	0.315	0.48	52.84	0
Boquco	Banbar	94.99	30.66	0.151	0.46	201.88	0
	Gongbo'gyamda	93.77	30.26	0.154	0.42	175.22	0
	Lhorong	96.07	30.27	0.227	0.32	42.60	300
	Bomi	94.73	30.35	0.124	0.30	138.98	0
	Bomi	95.64	29.80	0.213	0.26	22.93	50
	Basu	96.50	29.47	0.146	0.26	76.15	0
	Bomi	95.60	30.24	0.170	0.21	22.28	0
	Bomi	95.40	30.34	0.165	0.20	21.86	0



Damxung 90.70 30.47 0.132 0.20 50.79 0

282 **4.3. Assessment and regionalization of GLOF disaster risk**
 283 When analyzing GLOF disaster risks, the formation mechanism of the risks must be followed.
 284 The four main factors that form GLOF disaster risk should be analyzed separately. We
 285 calculated hazard, exposure, vulnerability risk and adaptation capability, using Eqn (4).
 286 Regionalization maps are drawn by the ArcGIS equal interval classification method (Fig. 4).
 287 The regionalization results show that hazard levels are ‘very low’ or ‘low’ in Nagqu,
 288 Damxung, Biru, Jiali and Sog, Lhorong and Nyingchi. The regions with moderate and high
 289 GLOF disaster hazard levels are located in Bomi, Basu and Gongbo'gyamda, while the
 290 highest hazard zones are concentrated in Banbar. Overall, the larger PDGL area has a higher
 291 hazard level. For example, PDGL areas in Banbar, Basu and Bomi were 6.952, 4.621 and
 292 4.045 km² respectively in the 2010s, with PDGL area increasing by over 64% everywhere
 293 (Fig. 4 (a); Table 3). In contrast, Gongbo'gyamda has the fewer lake (4) and the PDGL area
 294 (1.076 km²), but the fastest-growing lake area (140.5%). Thus, Gongbo'gyamda has the larger
 295 GLOF disaster hazard level (Fig. 4(a)).
 296 Figure 4(b) shows the spatial distribution of exposure levels across the study area. Overall, the
 297 exposure level of GLOFs increases from north to south, except for Jiali and Bomi. Sog,
 298 Lhorong, Nagqu and Banbar have high and very high degrees of exposure, in which Lhorong
 299 has the higher density of population, livestock and agricultural economy (6.11 persons km⁻²,
 300 23.92 sheep units km⁻² and 3.04 × 10⁴ RMB km⁻²). By contrast, other counties have middle,
 301 low and very low degrees of exposure, especially Gongbo'gyamda, Basu, Jiali, Bomi and
 302 Damxung. Among these counties, Damxung has the lowest density of population and
 303 livestock (< 1 person km⁻² and 2.92 sheep units km⁻²).



304 **Fig. 4 Map of hazard degree (a), exposure degree (b), vulnerability degree (c) and adaptation**
 305 **capability degree (d) for 11 counties in the Nyainqentanglha**
 306
 307 Figure 4(c) demonstrates that the GLOF disaster vulnerability level is higher in the middle

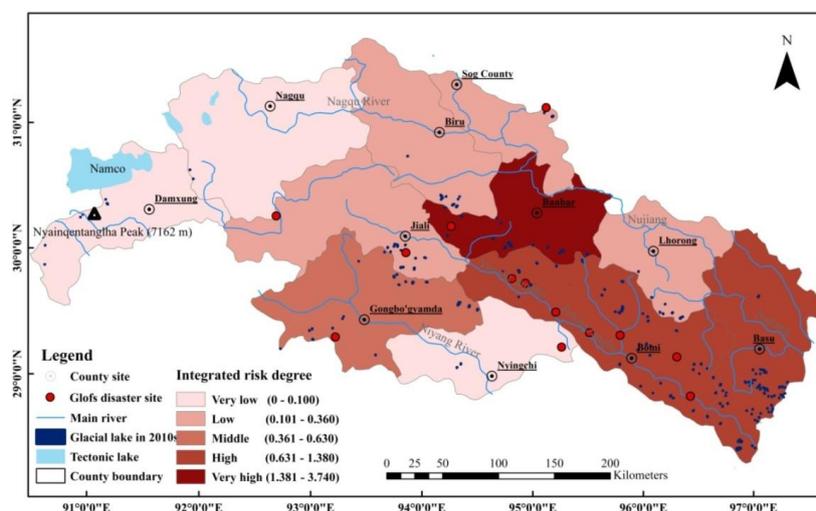


308 Nyainqentanglha than the surrounding areas with Biru and Jiali having very high, and Banbar
 309 and Bomi having high vulnerability levels. Of 11 counties, Gongbo'gyamda has the highest
 310 proportion of agricultural population (93%) and the smallest proportion of livestock (5%),
 311 while Basu and Damxung have the lowest level of roads and housing construction. A lower
 312 proportion of agricultural population and small livestock, a higher road and construction level
 313 (e.g. roads and housing) will greatly reduce the vulnerability of exposed elements and
 314 enhance their resilience.

315 Economic base determines the ability of disaster prevention and mitigation. In 2013, GDP and
 316 financial revenue of the 11 counties in the Nyainqentanglha reached 36.34 and 24.6 billion
 317 Yuan, accounting for 20.3% and 4.44% respectively, of the Tibet Autonomous Region totals.
 318 Of this, the GDP of Jiali, Sog and Basu county was < 0.20 billion RMB. Importantly, the
 319 area-density of fixed asset investment in Biru and Damxung counties is < 30, 000 RMB km⁻².
 320 Figure 4(d) indicates that adaptation capability levels are very low in Damxung and
 321 Gongbo'gyamda and low in Basu. The regions with moderate and high levels of GLOF
 322 disaster adaptation capability are located in Nagqu, Biru, Jiali, Lhorong and Bomi, whereas
 323 the very high adaptation capability zones are concentrated in the southern Nyingchi.

324 4.4. Assessment and regionalization of integrated GLOF disaster risk

325 After each of the four main factors forming GLOF disaster risk is analyzed, the degree of
 326 integrated GLOF disaster risk for the 11 counties is assessed. The spatial distribution of
 327 GLOF disaster risk degree in the study area is shown in Figure 5. The results show that the
 328 maximum, mean and minimum regional I_{GLOFDR} are 3.74, 0.63 and 0 respectively. Based on
 329 the above results, the I_{GLOFDR} values were used as the criteria for zoning the integrated GLOF
 330 disaster risk degree in the study area. According to the RI_{GLOFD} value and the historical data of
 331 GLOF disasters, risk was classified into the following five grades: very low risk: $I_{GLOFDR} <$
 332 0.100 ; low risk: $0.101 \leq I_{GLOFDR} < 0.360$; middle risk: $0.361 \leq I_{GLOFDR} < 0.630$; high risk:
 333 $0.631 \leq I_{GLOFDR} < 1.380$; and very high risk: $1.381 \leq I_{GLOFDR} \leq 3.740$.



334

335 **Fig. 5 Map of risk degree of GLOFDs in 11 counties within the Nyainqentanglha**

336 Figure 5 shows that Banbar, Bomi, Basu and Gongbo'gyamda are in the middle, high and very



337 high-risk zone, in which Banbar has the highest I_{GLOFDR} (3.74) with highest hazard degree
338 (3.37), high exposure degree (1.27) and low vulnerability degree (1.50). It is worth noting that
339 at least 14 GLOF disasters have occurred in the Nyainqentanglha since 1935, in which the
340 high and very high risk zone includes 57.14% of GLOF disasters in the study area, most of
341 these accounted for by outbursts from Bugyai (1991) in Sog, Mitui-Cho (1988) in Bomi,
342 Recireco (2013) in Jiali. On the whole, the high and very high risk zones mainly focus on the
343 central and central-eastern Nyainqentanglha, whereas low and very low-risk zones are in the
344 western Nyainqentanglha.

345 The GLOF disaster risk assessment results are consistent with the distribution of historic
346 disaster sites across the Nyainqentanglha. Accordingly, the research results can provide
347 practical guidelines and the basis for policy decisions on regional GLOF disaster prevention
348 and mitigation. Specifically, local governments should prioritize high, very high-risk zones in
349 the process of GLOF disaster prevention and mitigation, and should take medium and high
350 risk zones as key areas for monitoring moraine-dammed lake change.

351 **5. Discussion and Conclusions**

352 Nyainqentanglha is another high-frequency and severely affected area of GLOF disasters
353 besides Peruvian Andes and the Himalayas in the world. However, risk management
354 measures is extremely limited in this area, the potential damage from GLOF disasters is
355 worrisome. It is very difficult or expensive to control glacial lake outburst (hazard) and it is
356 also difficult to remove its danger, but the exposure of the region and vulnerability of exposed
357 elements in the downstream can be reduced by improving adaptation capacity and risk
358 management level (that is, early warning and forecasting, disaster reserve, disaster prevention
359 engineering, medical conditions, emergency management, disaster insurance). Mainstream in
360 the past emphasized the natural properties of disasters mechanism, but the current risk
361 identification of disaster, risk control, disaster prevention and mitigation have become the
362 focus of attention, this proactive risk assessment and management will certainly help to avoid
363 and mitigate the potential impacts or threat from GLOF disasters. Of course, the reduction of
364 exposure and the improvement of vulnerability through early warning and risk management
365 would be more realistic given the limited local budget available to respond to GLOF disaster
366 except for engineering measures. Risk management should adjust measures to differing local
367 conditions, emphasize and focus on high-risk areas. Government managers should distinguish
368 the degrees of gravity and urgency of outburst damage, and then put forward risk prevention
369 and control programs batch by batch and stage by stage to PDGLs with high-risk. Especially,
370 during planning of mountainous village and town, infrastructure construction, major
371 engineering decisions, government managers or operating unit must complete disaster risk
372 assessment planning before construction, all buildings, roads and infrastructure should try to
373 keep away from the PDGLs in order to avoid unnecessary damage to person and property
374 downstream.

375 Reasonable engineering measures by reducing lake capacity and reinforcing moraine dam are
376 most efficient or most direct ways to relieve or control risk, and these measures depend
377 mainly on the condition of moraine dam, outburst risk, engineering difficulties and so on.

378 For lakes with relatively stable dam, outburst will not occur in short-term, while outburst has
379 great potential harm, lake water level should be mainly reduced by using pumping and siphon
380 drainage. For lakes that moraine dam has a weak stability, outburst will not exist in



381 medium-long term, and its outburst is greatly harmful to the downstream, we must take most
 382 effective ways, such as strengthen dam body, build channels, culverts, tunnels for preventing
 383 surge overtopping, eroding drain port and reducing storage capacity. For lakes whose moraine
 384 dams are relatively weakness, outburst will occur in a short time, its outburst risk has greatly
 385 harmful effects to the downstream, and the engineering measures and construction is
 386 relatively difficult to the moraine dam and investment of the engineering measures is too large,
 387 they should be advised to forcibly relocate the residents of the disaster-affected area.
 388 Especially, prevention and mitigation of GLOF disasters is a systems engineering with
 389 multi-lateral linkage, consultation, participation and collaboration. Governments at all levels,
 390 experts, enterprises, NGOs, community residents etc. should participate in the whole process
 391 of prevention and reduction of disasters, and then form a multi-sided linkage mechanism of
 392 disaster prevention and reduction with information sharing, resource sharing, coordination
 393 and distribution of responsibilities. Therefore, the reduction of exposure and the improvement
 394 of vulnerability through early warning and risk management would be more realistic given the
 395 limited local budget available to respond to GLOF disaster except for engineering measures.

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