- 1 Response file on "Debris flow modeling at Meretschibach and Bondasca catchments,
- 2 Switzerland: sensitivity testing of field data-based erosion model" by F. Frank, B.W. McArdell,
- 3 N. Oggier, P. Baer, M. Christen and A. Vieli
- 4 <u>florian.frank@wsl.ch</u>
- 5 **Reviewer 1:** Z. Han
- 6 **Reviewer 2:** Anonymous
- 7 Editor: M. Keiler
- 8 (A) Comments from referees/public
- 9 (B) Authors response
- 10 (C) Authors changes

11 General comment by the authors

- 12 In addition to the comments from the Editor and Reviewers, a few additional minor changes to the
- 13 text have also been made for clarity. These changes are also visible in the document highlighting
- 14 the changes made to the manuscript. A few formal errors (e.g. typos, etc.) were also fixed.
- 15 **PLEASE NOTE:** The page/line(s) references provided by (A) reviewers and editor and (B)
- 16 **Response** still refer to first submission manuscript.
- 17 But <u>all page/line(s)</u> references given by the authors ("(C) Changes") in this document refer to the
- 18 new resubmission manuscript (which highlights track changes in RED and text moved in GREEN).

19 General comments by Reviewer 1

(A) Reviewer 1: This paper aims to simulate debris-flow process by considering bed erosion along the path. As erosion is a complex natural process and plays a very crucial role both in debris-flow dynamics, transportation, run out and deposition process, it is a very important research topic. To do so, this paper attempts to combine an empirical entrainment model which has been previously introduced by authors into the RAMMS model. The sensitivity of the developed model is tested by applying the model to two debris-flow events in Switzerland. The results show some interesting erosion and flow patterns.

- (B) Response: We are grateful for the thorough reading of the manuscript and the helpful review,
 which we think will substantially improve the manuscript. We address both the general and specific
 comments below.
- 30 (C) Changes: Please see further general comments of Reviewer 1 below and "Specific comments".

31 (A) Reviewer 1: Generally, this is a straight-forward development of the RAMMS model for the 32 simulating bed erosion in debris flows. The paper is well illustrative and authoritative. The authors 33 build upon their previous work and extend the RAMMS model to the erosion simulation. It is a 34 major contribution and is sound. However, in my opinion, the major limitation of this paper is that 35 the described debris-flow entrainment model is rather sensitive to the empirical coefficients, and 36 these coefficients are not well illustrated in the paper. Indeed, the authors show us a sensitivity analysis of erosion volume to the initial volume, erosion rate and calibrated parameters and in 37 38 Fig.7. As they mention, the value of these parameters are suggested by the previous study in the 39 same region, e.g., the erosion rate dz/dt = 2.5 cm/s. However, the rational range of these parameters 40 may be different in other regions, there is a need to explain how to determine these parameters. The 41 paper could be improved and made more accessible by further exploring these empirical 42 parameters. I recommend this paper for publication after major revisions.

43 (B) Response: Yes, we agree that the results of the model are quite sensitive to the empirical 44 coefficients and the initial conditions, which is the main focus of the manuscript. The uncertainties 45 in the field data (e.g. initial flow volume, volume of eroded sediment, magnitude of erosion) are generally fairly large. It may be by chance that the same coefficients deliver plausible results at 46 47 three different debris-flow sites in the Swiss Alps when in fact it may be possible to refine the 48 coefficients in cases where more precise field data are available. For this reason, all of the 49 coefficients can be adjusted when more or better results become available. We intend to edit the 50 manuscript to make this point more clear. To further explore the influence of the parameter 51 combinations, we also intend to highlight the inherent feedback in the model, whereby a rapid 52 erosion rate results in an increase in flow depth leading to larger shear stresses and then to even 53 larger potential erosion depths. This potentially explains the very rapid growth of debris flows, 54 which is has been observed in some natural field cases and also in laboratory experiments 55 involving realistic debris-flow sediments (e.g. Logan & Iverson, 2007, Video documentation of 56 experiments at the USGS Debris-flow flume 1992-2006, U.S. Geological Survey Open-File Report 57 2007-1315).

(C) Changes: Editing of the manuscript was processed in sections 4.2. (page 12) and 5.
(discussion, starting at page 14) to make the points mentioned above more clear. The reference list
has been updated accordingly.

61 General comments by Reviewer 2

62 (A) Reviewer 2: The paper deals with bed entrainment for debris flows in Switzerland using

numerical modelling. The topic is of interest for the Journal and the specific issues of this paper are

64 relevant to scientists and practitioners. Some (mandatory) changes are required to improve the

65 paper before acceptance. The list of specific comments and suggestions is given in the attached file.

- 66 (B) Response: We are grateful for the helpful specific comments, especially literature citations
- 67 which were not cited in the last version of the manuscript. These comments should substantially
- 68 improve the manuscript. Please see our responses to the specific suggestions below.
- 69 (C) Changes: Please see "Specific comments".

70 Specific comments by Reviewer 1

(A) **Reviewer 1: Page 6, 195-196.** The authors mention that the slope angle φ in the deceleration term S_f is similar to the internal friction angle of the material. Does it mean that S_f will be the same when at a steep slope and a gentle slope? Please check it.

(B) **Response:** Yes, it is true that the slope angle is similar to the angle of internal friction at this slope. However we do not imply that the value of S_f in the Voellmy friction relation is the same as the value of internal friction in general. We intend to remove this comment to avoid confusion for readers, because the Voellmy friction angle is typically selected based on other criteria.

78 (C) Changes: The comment in parenthesis "(approximately similar to the internal friction angle of

the material)" was removed (page 8, lines 208-209).

(A) **Reviewer 1: Page 7, 221-222.** The critical shear stress τ_c determines the maximum potential erosion depth e_m , the erosion will not be existed at the area where $\tau < \tau_c$. For this reason, the critical shear stress is a key parameter for controlling the shape of erosion area and erosion depth. But the authors superficially use an empirical value 1 kPa in the paper, and no sensitivity analysis is made. It seems that they could simply test and provide results on how sensitive the simulation is to the choice of the critical shear stress τ_c .

86 (B) Response: Yes, we did not include results for the sensitivity analysis regarding the value of the 87 critical shear stress, because the influence is generally much smaller and the range of critical shear 88 stress values is small. We disagree that our choice and use of 1 kPa is superficial, because this 89 value based on indirect observations by Schürch et al. (2011, cited in the original manuscript) and it 90 serves a general purpose of describing that torrent channel beds are typically not eroded by small 91 debris flows. We do not know the precise value in the field at any field site however a value near 1 92 gives a good fit to the data set we used for that analysis. Using a Shields's criteria for critical shear 93 stress from river engineering, we find a critical shear stress which is smaller than 1, depending on 94 the grain size on the channel bed. We discussed the issue of different critical shear stresses in our 95 first erosion model application at the Spreitgraben catchment (Frank et al., 2015). Therein, we 96 described smaller debris flood events which produced about 4-5 kPa of shear stress but did not 97 show any significant erosion in the channel bed, i.e. suggesting that the critical shear stress τ_c may 98 be somewhat larger in the Spreitgraben than in the Illgraben channel.

We propose inserting a paragraph discussing this issue in more detail, especially noting that the value is close to zero, or could be set to zero if field evidence indicates that erosion is always expected. However we will gladly include such a plot as an additional figure if this is desired by the editorial staff.

(C) Changes: We performed additional model runs to assess the sensitivity of the model results to
 the critical shear stress value. We also changed the comparison from the *bulking factor* to *volume growth* (Hungr et al., 2005). For this assessment, we selected the Meretschibach catchment because

106 it has a simple single-channel morphology channel geometry and therefore serves as a clear case 107 for illustration. The results are presented in the new Figure 8. The former Figure 8 is now Figure 9 108 - which we also updated to show results as volume growth instead of using the bulking factor BF. 109 The values for BF have all been recalculated as VG values and the manuscript has been updated 110 accordingly. We added a short description of the results in section 4.3 (pages 13/14, lines 402-111 411)., introduced the VG equation (Eq. 8, moved to section 4.3, page 13, line 407), and now we 112 discuss the results as presented in Fig. 8 in a new paragraph in the middle of the discussion section 113 (page 16).

114 **(A) Reviewer 1: Page 11, 360.** The total erosion volume remains approximately constant when the 115 initial volume exceeds a certain value. How to explain this phenomenon? Is this because the 116 maximum erosion depth e_m is reached as controlled by the critical shear stress $\tau_c = 1$ kPa? As such, 117 it seems that the choice of τ_c as a model parameter should be discussed to a greater degree, 118 especially if you want your method to be used more widely on debris flows of varying properties.

(B) **Response:** In fact, the volume continues to increase (the y-axis is a logarithmic scale). The maximum erosion depth e_m may be limiting – however e_m also increases due to increasing maximum flow heights (see also Fig. 3 in Frank et al., 2015) when systematically enlarging the initial release volumes in the sensitivity analysis. Again, the model is insensitive to the value of critical shear stress once that value is exceeded (please refer to our comment above).

124 (C) Changes: See changes as described in the comment above. We added the new Figure 8 125 (sensitivity analysis for τ_c). Changes were made in section 4.3 to describe the data presented in the 126 new Figure 8 which we discuss in a new paragraph in the middle of our discussion (page 16).

127 **(A) Reviewer 1: Page 12, 396-397.** As I see in Fig.3, there is no significant difference of runout 128 distance in B2 (μ =0.6) and B3 (μ =0.7). Please check the sentence " μ controls the runout distance".

129 (B) **Response:** The sentence " μ controls the runout distance" is consistent with the Voellmy 130 friction relation as used in runout models such as RAMMS. It is not a result from this present 131 study. We will provide a suitable literature citation for that statement and adjust the wording to 132 ensure that readers do not see this as a result of this project. Perhaps the problem lies in the 133 illustration of the modeling results for three μ values. The calculation domain, where the software 134 was used, was limited in spatial extent to the area where we have differential DTM data for 135 comparison (e.g. the blue polygon in Fig. 3A), so we actually do not show the final runout distance. 136 In the process of answering this comment, we noticed that the blue polygon is drawn inconsistently 137 in figure 3B1-B3, but it is drawn correctly in Fig. 3A. We will also correct this error in the final 138 manuscript.

139 (C) Changes: We now provide a literature citation "(Bartelt et al., 2013)" for the statement " μ 140 controls the runout distance (page 14, line 429). We've also adjusted the wording in this paragraph 141 of the discussion chapter to ensure that readers do not see this as a result of this project (page 14, lines 431-435). We also adapted the inconsistently drawn blue polygons in figure 3B1-B3 to beconsistent with the correctly drawn blue polygon in Fig. 3A.

(A) Reviewer 1: Page 27, 675. The total erosion volume in both cases show an abrupt decrease,
and then a significant increase with the initial release volume, i.e., 1-2 m³ in Meretschi and 10-20 m³ in Bondasca. Is there any rational explanation on it?

147 (B) Response: When comparing erosion depths as modeled using 10 vs. 20 m³ as the initial volume in the Bondasca case e.g., we observed that the model run using 20 m^3 is large enough that 148 149 part of the flow enters a secondary channel. The volume of the flow, then divided among two 150 channels, causes a reduction in flow depth and a consequent decrease in shear stress, resulting in 151 smaller erosion depths and therefore smaller erosion volumes. When using an initial volume of 10 152 m^3 then the flow fully stays in the main channel. We propose adding a small discussion paragraph 153 explaining this issue. 154 (C) Changes: We added a brief discussion paragraph explaining this issue (page 17, lines 517-

155 525).

156

157 Specific comments by Reviewer 2

158 (A) Reviewer 2: Page 1, lines 23-24.

159 why this choice. Basal friction and bed entrainment are interplaying in natural processes. Why 160 separate calibration?

(B) **Response:** We decided to first calibrate the runout of the model based on the total volume of the event and the runout distance, and then work with smaller initial volumes, then including the erosion algorithm, to refine the results. Our goal was to avoid a time-intensive iterative procedure, especially for the benefit of practitioners who generally do not have time to go through a long calibration process. However the model could also be calibrated by starting with small landslide volumes, so this is just a statement of how we performed the calibration.

- 167 (C) Changes: We added a few words at this location in the abstract section to further clarify this
- 168 (page 1, lines 24-27).

169 (A) Reviewer 2: Page 2, line 34.

170 you mean rheology?

(B) Response: This sentence would be better stated as follows: "Sediment erosion caused by debris
flows causes flow bulking (in our case an increase in flow mass; Iverson 1997) which strongly
influences the runout behavior of debris flows." We suggest to change it to clarify this.

- 174 (C) Changes: We changed the first sentence of the "Introduction" chapter as suggested (page 3,
- 175 lines 39-41).

176 (A) Reviewer 2: Page 2, line 38.

- 177 quote also works of:
- 178 Cascini et al. (2106) Eng Geol
- 179 Cuomo et al. (2016) Eng Geol
- 180 Cuomo et al., (2014) Canadian Geotechnical Journal

181 where bed entrainment is discussed as far as its spatial-temporal variation, and its interplay with

182 rheology

(B) Response: Thank you for pointing out this additional literature, which we did not initially consider for this manuscript. However our focus in not on the rheology of the flow or changes in the rheology as a consequence of entrainment. As stated in the manuscript, we use the Voellmy friction relation and we do not adjust the Voellmy friction coefficients as a function of flow properties. However we propose including this as a discussion point, where we will be able to cite some of these publications.

(C) Changes: We added this as a short discussion point and cite some of the publications in the
 paragraph which already described how morphological effects influence the erosional behavior of
 the model (page 15, lines 462-466). The reference list has been updated accordingly.

(A) Reviewer 2: Page 2, line 45. what is this? bed entrainment? you may also call erosion. But
bulking process is hard to understand and not common in international literature.

194 (B) Response: The term "bulking" is commonly used in the literature to describe the increase in 195 mass of a debris flow along the flow path, e.g. see Iverson, R. M.: The Physics of Debris Flows, 196 Reviews of Geophysics, 35, 245-296, 1997. doi: 10.1029/97RG00426, 1997, for a clear 197 explanation in a paper which is very widely cited by debris-flow and landslide researchers 198 throughout the world. A quick search on an academic search engine also indicates that "bulking" is 199 commonly used in the debris-flow literature by authors from many countries outside of 200 Switzerland, so we respectfully disagree with Reviewer 2 on this point. We realize that it may have 201 other meanings in other academic disciplines, so we propose that we clarify the terms like this in 202 the next version of the manuscript.

(C) Changes: Further clarification of the term *bulking* is made by "(in our case an increase in flow
mass, e.g. Iverson, 1997)" in the first sentence of the Introduction section (page 3, lines 39-41).
Additional definitions of the terms *erosion*, *entrainment* and *bulking* are given in the following
sentences (page 4, lines 41-45). Throughout the rest of the manuscript, we made sure that we use
those three defined terms consistently.

208 (A) Reviewer 2: Page 2, line 55.

is there any difference?

(B) Response: Erosion removes sediment from the channel bed, bulking describes the increase in
size (mass) of the flow, so the two terms are closely related but not interchangeable. As stated
above, we will, in the next version, provide definitions of the terms.

(C) Changes: These changes have been addressed in our response on line number 202 of thisdocument.

215 (A) Reviewer 2: Page 2, lines 57-61.

there are cases where neglecting erosion one may obtain unsafe future scenarios, as bed

- 217 entrainment change the propagation pattern, and thus influence the global behaviour of the
- 218 landslide. This is especially true for debris avalanches (not channelised). However, also for debris
- 219 flows, including the entrainment helps obtaining better model estimates. See, for instance Cascini
- et al. 2014 Geomorphology
- (B) Response: Thank you for pointing out this paper, which we will consider citing for the next
 version of the paper. We agree that including entrainment may help users to obtain more accurate
 predictions.
- (C) Changes: We cited Cascini et al. (2014) in the introduction section (page 4, line 77) and thereference list has been updated accordingly.

- 226 (A) Reviewer 2: Page 2, lines 73-74.
- add models by Pastor et al.. You may find applications in previous works of Cuomo et al.
- (B) Response: Thank you for pointing out these additional papers, which we will cite, ifappropriate, in for the next version of the manuscript.
- 230 (C) Changes: We cited Pastor et al. (2009) there (page 4, line 75) and the reference list has been
- 231 updated accordingly.

(A) Reviewer 2: Page 2, lines 76-79. Also line 165 (which does not have a comment, just a

- 233 highlight).
- are you using erosion, entrainment and bulking with the same content?

235 (B) Response: It is not clear to us if this comment is about the terminology or the differences in the 236 bulk properties of the flow vs. the channel bed, so we will address both comments: 237 (1). In our case, the bulking (increase in mass of the flow) produced by entrainment (the process 238 described in the model which specifies how fast and where the additional sediment enters the 239 debris flow) should be clear (also see our comments above regarding terminology). Net 240 entrainment of sediment (erosion – deposition) results in net erosion of the channel bed (a decrease 241 in the elevation of the channel bed), which can then be characterized in a spatial sense with a 242 description of a pattern.

- (2). Although it is possible to specify a different mass density for the sediment that is entrained from the channel bed, to a first approximation the mass densities of the two are similar, at least in torrents which experience frequent debris flows. In more detail, the degrees of sorting and ranges of grain sizes in both the flow deposits and the channel bed are fairly similar. However the model accounts for differing densities, if such values are available.
- (C) Changes: These changes have been addressed in our response on line number 202 of thisdocument.
- 250 (A) Reviewer 2: Page 6, line 192.

turbolent factor. And, it is does not depend on v^2. rephrase the whole sentence.

- (B) Response: Thank you for pointing out that this is not clear to you, we propose that we re-writethe sentence in question.
- (C) Changes: By revisiting the referenced RAMMS papers (Christen et al., 2010; Christen et al.,
- 255 2012; Bartelt et al., 2013), we re-wrote the entire sentence (page 7, line 202-206).
- 256 (A) Reviewer 2: Page 7, line 220. ??
- (B) Response: Thank you for pointing out the error in the reference number of the equation, we
- will fix that in the next version of the manuscript (it should be Eq. 6).
- 259 (C) Changes: Error fixed at page 8, line 234.

260 (A) Reviewer 2: Page 7, line 221.

261 from where this value? / from where?

(B) Response: These values were described by Frank et al. (2015), however upon re-reading the paragraph above Equation 6, we realize that we should add more details in the next version of the manuscript. Additionally, we propose adding "Frank et al. (2015)" at the end of the sentence to make the origin more clear to the reader.

266 (C) Changes: We added the reference to our first entrainment model study "(Frank et al., 2015)"

267 (where all details can be found) at the end of the two sentences to make the origin of the values and

factors of the entrainment model more clear to the reader (page 8, line 232 and line 236). We also

- added a few words in this paragraph to give more details (page 8, line 232-236).
- 270 (A) Reviewer 2: Page 7, lines 229-230.
- check numbering of eqs
- (B) Response: Thank you for pointing out the error in the reference to the equation, we will correct
- and verify all equation numbers when preparing the next version of the manuscript.
- (C) Changes: Error fixed at page 9, lines 243 and 244.

275 (A) Reviewer 2: Page 9, line 281. -2.5?

- 276 **(B) Response:** We agree with your suggestion we will also change the value to SI units, so -0.025 277 m/s, also for other occurrences of $\frac{dz}{dt}$ values in the manuscript.
- 278 (C) Changes: We changed the erosion rate values to SI units, so -0.025 m/s, for all other 279 occurrences of $\frac{dz}{dt}$ values in the manuscript.

280 (A) Reviewer 2: Page 10, line 314.

how this was fixed?

(B) **Response:** The parameter ξ was determined by varying it within the range proposed by the developers of the RAMMS model ($\xi = 100, 200, 400$) and inspecting the results. The only realistic velocities (in the steep ($\approx 60\%$) study reach of the Meretschibach channel) are obtained using $\xi =$ 200 when combined with the variation of parameter μ (= 0.5, 0.6, 0.7). This is explained in the manuscript on page 10, lines 312-316. However to ensure that this is clear, we propose adding a sentence to clarify this procedure.

- 288 (C) Changes: We added a sentence (page 11, lines 331-333) to clarify the calibration and model
- 290 to eliminate an inconsistency in how the model was actually applied in this case (e.g. it was

set up procedure. We also updated the previous sentence in the manuscript (page 11, lines 327-329)

- correctly described in section 3.3.2. (page 10, lines 288-292) and in the caption of Fig. 6 on page
- 251 concertly described in section 5.5.2. (page 10, miles 200-252) and in the capiton of Fig. 0 on page
- 292 30, lines 794-797).

289

293 (A) Reviewer 2: Page 13, line 432.

Alternative, but related definition is that of Hungr, i.e. landslide growth rate = Vfinal / Vinitial

(B) Response: Thank you for pointing out Hungr's definition. We will verify which metric are
used in the other papers which we reference, and for the next version of the manuscript we will
choose the most suitable metric (as well as cite Hungr's landslide growth factor).

- 298 (C) Changes: We followed your suggestion for an alternative definition of the metrics and we now
- use Hungr's volume growth (VG) instead of the bulking factor (BF) (pages 13-14, lines 402-411
- 300 and new Figure 8). The VG values in the text were adjusted accordingly to Figure 9 (formerly
- 301 Figure 8). However this change has not changed the interpretation of the results or the discussion
- 302 thereof (pages 16-17).

303 Comments by the Editor (referencing comments from Reviewer 1 and 2)

304 (A) Editor: Reviewer 1, general comment: additionally, provide also a short review on
 305 theoretical concepts explaining this behavior and how it is related to your model

306 (B) Response and (C) Changes: As mentioned above in the response to the general comments by 307 reviewer 1, we edited sections 4.2. (calibration, on pages 11 and 12) and 5. (discussion, starting at 308 page 14) for clarity. In section 5 we also added a discussion about the inherent feedback of in our 309 entrainment model and its relation to the similar observations in laboratory and field studies (page 310 17, lines 535-541). We added several new comments and references to related discussions in our 311 previous manuscript where the model was introduced (Frank et al., 2015). Recent entrainment 312 modeling papers (e.g. Cuomo et al., 2016) are now referenced (page 15, line 463) and discussed in 313 relation to our empirical approach (these references were suggested in some specific comments by 314 reviewer 2).

315 (A) Editor: Reviewer 1: Page 6, 195-196.: I think just deleting the sentence is not a good solution.
316 You have to explain your choice for the parameter of internal friction, It seems that you did not
317 used the typical criteria for Voellmy friction

(B) **Response and (C) Changes:** We have not measured angles of internal friction and we cannot back-up this statement with observations, so we think that it is appropriate to remove this sentence from the manuscript because it may be confusing to readers. We edited the manuscript to clarify that in fact our calibration procedure for the selection of μ does not differ significantly from that proposed in the standard RAMMS model (e.g. the typical criteria for Voellmy friction). To make this clear, we added a citation to Bartelt et al. (2013) and a clear statement on the calibration of runout using the RAMMS model with entrainment (pages 14-15, lines 431-450).

325 (A) Editor: Reviewer 1: Page 7, 221-222.: please add the suggested figure

(B) Response and (C) Changes: We added the suggested figure showing the model sensitivity to
critical shear stress. It's now presented as the new Figure 8. The former Figure 8 is now Figure 9.
The (new) Figure 9 was also adapted to show the *volume growth* instead of a *bulking factor*, as
suggested by Reviewer 2. Please also see the more specific comments on the changes made (shown
above in "(C) Changes" at page 4, lines 103 ff. in this document).

331 (A) Editor: Reviewer 2: Page 1, lines 23-24.: provide a better reasoning for your calibration
 332 procedure and highlighting also the pro and cons of the chosen procedure

(B) Response and (C) Changes: We added some additional explanation in the text (also
summarized in the abstract, page 1, lines 24-27) to clarify our calibration procedure, e.g. in section
4.1 (page 11, lines 331-333). Also, we discuss the benefits and limiting factors in the discussion

- 336 (section 5, page 15, lines 442-450). In the text we also refer to an extensive discussion of exactly
- this topic (page 14, lines 440-441), which was extensively described by Frank et al., (2015).

1 Debris flow modeling at Meretschibach and Bondasca catchment,

2 Switzerland: sensitivity testing of field data-based erosion entrainment
 3 model

- Florian Frank¹, Brian W. McArdell¹, Nicole Oggier², Patrick Baer³, Marc Christen⁴ and Andreas
 Vieli³
- ¹ Swiss Federal Institute for Forest, Snow and Landscape Research, Birmensdorf, 8903,
 7 Switzerland
- 8 ² wasser/schnee/lawinen, Ingenieurbüro André Burkard AG, Brig-Glis, 3900, Switzerland
- 9 ³ Glaciology, Geomorphodynamics & Geochronology, Department of Geography, University of
- 10 Zurich, Zurich, 8057, Switzerland
- ⁴ WSL Institute for Snow and Avalanche Research SLF, Davos Dorf, 7260, Switzerland
- 12 Correspondence to: Florian Frank (florian.frank@wsl.ch)

13 Abstract

Debris flow volumes can increase due to the incorporation of sediment into the flow as a 14 15 consequence of channel-bed erosion along the flow path. This study describes a sensitivity analysis of the recently-introduced RAMMS debris flow entrainment algorithm model which is intended to 16 17 help solve problems related to predicting the runout of debris flows. The entrainment algorithm 18 predicts the depth and rate of erosion as a function of basal shear stress based on an analysis of 19 erosion measurements at the Illgraben catchment, Switzerland (Frank et al., 2015). Starting with a 20 landslide-type initiation in the RAMMS model, the volume of entrained sediment was calculated 21 for recent well-documented debris-flow events at the Bondasca and the Meretschibach catchments, 22 Switzerland.- The sensitivity to the initial landslide volume was investigated by systematically 23 varying the initial landslide volume and comparing the resulting debris-flow volume with estimates from the field sites. In both cases, the friction coefficients in the RAMMS runout model were 24 calibrated using the model where the entrainment module was (1) inactivated to find plausible 25 26 values for general flow properties by adjusting both coefficients (ξ and μ) and then (2) activated to 27 further refine coefficient μ which controls erosion (patterns). In both cases, the friction coefficients in the RAMMS runout model were calibrated using the model where the entrainment module was 28 inactivated. The results indicate that the entrainment-model predicts plausible erosion volumes in 29 30 comparison with field data. By including bulking due to entrainment in runout models, more 31 realistic runout patterns are predicted in comparison to starting the model with the entire debris-32 flow volume (initial landslide plus entrained sediment). In particular, lateral bank overflow - not 33 observed during this these events – is prevented when using the sediment entrainment model, even 34 in very steep ($\approx 60-65$ %) and narrow (4-6 m) torrent channels. Predicted sediment entrainment 35 volumes are sensitive to the initial landslide volume, suggesting that the model may be useful for 36 both reconstruction of historical events as well as the modeling of scenarios as part of a hazard37 analysis.

38 1. Introduction

39 Sediment erosion caused by debris flows causes flow bulking (in our case an increase in flow mass, e.g. Iverson 1997) which strongly influences the runout strongly influences the bulking behavior of 40 41 debris_-flows-(Iverson, 1997). The term erosion can be defined as the process of removing sediment from the channel bed while sediment *entrainment* describes the procedure of 42 43 incorporating the eroded sediment into the debris flow. The entrainment of eroded sediment along 44 the channel has been observed to considerably increase the volume of debris flows (i.e. *bulking* 45 process) at many different locations (e.g. Hungr et al., 2005; Scheuner et al., 2009; Iverson et al., 2010; Berger et al., 2010a; Berger et al., 2011; Schürch et al., 2011; Iverson et al., 2011, McCoy et 46 47 al., 2012; Tobler et al., 2014; Frank et al., 2015). Two recent extreme examples from the central 48 Swiss Alps in the last decade showed significant bulking along the flow path. In the Spreitgraben catchment (2009-2011), the overall multi-surge event volumes increased to about 90'000 to 49 130'000 m³ – mainly due to erosion entrainment along the active channel on the fan (Tobler et al., 50 51 2014; Frank et al., 2015). At the Rotlauigraben catchment (2005), about 2/3 of the total volume of 550'000 m³ was eroded from the debris-flow fan during a multiple-surge debris-flow event 52 initiated by the failure of a glacier moraine during an intense rainfall event (Scheuner et al., 2009). 53 Therefore, the debris-flow erosion entrainment and bulking process should be included in debris-54 flow runout models to increase the accuracy of runout predictions including the overall runout 55 56 distance, location and amplitude of lateral bank overflow but also - importantly for hazard assessment - the flow and depositional pattern on the fan (Gamma, 2000; Scheuner et al., 2009; 57 Hussin et al, 2012; Han et al., 2015; Frank et al., 2015). 58 59 However, models which include bulking by debris flows are relatively new and their performance 60 for practical applications has not yet been systematically investigated. Most entrainment modeling 61 studies focused on the field site where the erosion data for the underlying entrainment modeling 62 concept was collected and/or exclusively dealt with a single model application field site to test their concept for entrainment modeling (e.g. Han et al., 2015; Frank et al., 2015). Herein we describe the 63 64 systematic application of the new RAMMS entrainment/bulking model (Frank et al., 2015) for 65 several recent events in the Swiss Alps. 66 Computational debris-flow runout models, which usually neglect erosionentrainment, are often 67 used to assess runout distance and pattern (Crosta et al., 2003; D'Ambrosio et al., 2003; Medina et 68 al., 2008; Hungr and McDougall, 2009; Christen et al., 2012) and are therefore useful for hazard

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- analysis where predictions of flow intensity (e.g. the spatial distribution of flow depth and velocity)
- are required (e.g. Scheuner et al., 2011). Because the debris flow process often was observed to
- 71 cause significant entrainment of sediment which can strongly influence the flow (e.g. Dietrich and
- 72 Dunne, 1978; Suwa and Okuda, 1980; Gallino and Pierson, 1984; Hungr et al., 1984; Benda, 1990;
- 73 Pierson et al., 1990; Meyer and Wells, 1997; Vallance and Scott, 1997; Berti et al., 1999; Cannon
- and Reneau, 2000; Fannin and Wise, 2001; May, 2002; Wang et al., 2003; Revellino et al., 2004;

Scott et al., 2005; Godt and Coe, 2007; Breien et al., 2008; Gartner et al., 2008; Pastor et al., 2009; 75 Guthrie et al., 2010; Procter et al., 2010; Berger et al., 2010; Berger et al., 2011; Schürch et al., 76 77 2011; Iverson et al., 2011; McCoy et al., 2012; Cascini et al., 2014; Tobler et al., 2014; Frank et al., 78 2015), the importance of including entrainment and bulking debris flow runout modeling would be 79 appropriate. Processed-based entrainment rates using algorithms which consider the material 80 properties of the debris flow bulk (Crosta et al., 2003; D'Ambrosio et al., 2003; Medina et al., 81 2008; Deubelbeiss and McArdell, 2012) as well as pre-specified entrainment rates which pre-define 82 the absolute volume of eroded material (Beguería et al., 2009; Hungr and McDougall, 2009; Hussin 83 et al., 2012) have been introduced in numerical runout models. Recently, we introduced an erosion entrainment algorithm in the RAMMS debris flow runout 84

model for the assessment of debris flow erosion-entrainment and bulking (Frank et al., 2015). The 85 erosion entrainment algorithm uses a relation between basal shear stress and erosion depth based on 86 87 an analysis of data from the Illgraben catchment, Switzerland (Frank et al., 2015; Berger et al., 88 2011; Schürch et al., 2011). The entrainment model was used to predict the overall erosion pattern 89 and erosion volume at the first site where it was tested, the Spreitgraben, Switzerland. However, 90 secondary erosion processes such as bank collapse and small torrential flood events between the 91 debris-debris-flow events increased the uncertainty in the evaluation of the model. As a 92 consequence, additional sensitivity tests were not made. In this study we therefore focus on testing 93 the sensitivity of the RAMMS debris flow and entrainment model by assessing the sensitivity of 94 total event volume (initial landslide volume plus volume of eroded sediment) to initial flow volume. This is especially important in hazard analysis where landslide scenarios are considered to 95 96 trigger debris flows. For this sensitivity analysis, we evaluated two Alpine catchments with diverse 97 topography and recent well-documented debris flows with volumes up to a few 10,000 m³: the 98 Bondasca catchment in Southeastern Switzerland and the Meretschibach catchment in Southern 99 Switzerland.

100

2. Erosion Entrainment modeling study sites and available data

2.1. Meretschibach catchment, Switzerland 101

102 The Meretschibach catchment is located in Southern Switzerland, adjacent to and east of the Illgraben catchment (Figure 1). The catchment area is about 9.2 km² and ranges from the summit of 103 104 the Bella Tola mountain (3,025 m a.s.l.) to the confluence with a drainage channel (619 m a.s.l.) following into the Rhone River. Debris flows in the Meretschibach currently originate mainly in 105 106 the Bochtür subcatchment (1.42 km² area) which is covered mostly by steep debris slopes with hillslope angles on the talus deposits of up to 60%. Patches of forest are present below the treeline 107 108 (2,200 m a.s.l.) and at the margins of the catchment, and largely contiguous forest is found along 109 both sides of the channel below an elevation of 1,600 m. The Bochtür subcatchment is underlain by

- 110 Triassic sericitized quartzite and white quartzites of the Bruneggjoch formation (Gabus et al. 2008).
- 111 The surface has several terrace-like structures have been mapped as sacking-type features (Gabus

the et al., 2008) and are likely sources of landslides and rockfall.

113 Sediment deposits are abundant on the steep slopes of the catchment, originating from a variety of 114 mass wasting processes. Field observations of rockfall, the presence of damaged trees, and 115 unpublished records in the community forestry archives records indicate that rockfall is a dominant 116 process for generating sediment. Observations in the source area also indicate that dry ravel of 117 gravel and sand is also common in the summer months when the hillslopes are relatively dry. 118 According to the event inventory debris flows occur mainly between April and October (Szymczak 119 et al. 2010). Small debris flows start and deposit in the upper catchment, often depositing at an area 120 of lower slope located an elevation of approximately 2,000 m a.s.l. Convective storms or long 121 duration rainfall events have been observed to mobilize these sediment deposits and initiate debris 122 flows.

Georadar profiles on the west side of the unforested part of the Bochtür subcatchment as well a airborne georadar measurements indicate that the sediment deposits are up to 5 m thick (Fankhauser et al., 2015Lucas et al., 2017), although independent observations of the spatial distribution of sediment thickness are not available. However extrapolation of that value to other parts of the catchment must be made with caution because the profiles were made on a talus deposit, which may be interpreted as a depositional area on the hillslope, that exhibits little geomorphic evidence of debris-flow activity.

130 In the years 2013 and 2014 several instruments and devices were installed in the catchment. In 131 October 2013, a meteorological station was installed above the initiation zone to measure 132 precipitation, temperature and snow height. Inexpensive wildlife-observation cameras recorded 133 images every 15 minutes during daylight were positioned along the most active western channel to document the changes along the active channel. A debris flow monitoring station was installed on 134 135 23 July 2014 (Oggier et al. 2015a). It consisted of three geophones and a radar to measure the flow 136 stage. The radar is triggered by the geophones or the meteorological station and provides detailed 137 recordings of the debris flow hydrograph at a resolution of 1 Hz.

- During summer 2014, three debris flows occurred. Because the monitoring station was installed after the first event (20 July 2014), no hydrograph data are available for this event. Precipitation and hydrograph data for the <u>debris-debris-flow</u> events on 28 and 29 July 2014 indicate that the <u>debris-debris-flow</u> event on 28 July was triggered due to convective storms with large rainfall intensity (up to 3.3 mm / 10 min) while the event 29 July 2014 initiated after a few hours of steady
- rainfall with moderate intensity (up to 1.5 mm / 10 min). The pictures from camera 4 (see Fig. 1 for
 the location) clearly showed that the initiation of the event on July 28 took place between 19:45
- and 20:15 (UTC +2), corresponding with the hydrograph measured at the observation station.

146 To obtain additional information about the initial volume and the spatial distribution of erosion, the

147 height models from 15 July and 28 October were compared. The digital elevation model of 17 July

148 was the result of a photogrammetry flight by swisstopo. The second digital elevation model (28

149 October) – which is a surface model (including vegetation) – was taken with a drone (Oggier et al.

150 2015b). The results indicate that the volume of the events eroded at the open debris slopes of

Bochtür was between 800 and 1,200 m³. Due to additional erosion downslope of the Bochtür
subcatchment, the total volume of the debris-debris-flow events was between 8,000 and 10,000 m³.

153 2.2. Bondasca catchment, Switzerland

The Bondasca catchment in south-eastern Switzerland is a tributary to the Bergell valley (Figure 2). The catchment area covers about 20.9 km². The geology is dominated by the Tertiary intrusion of the Bergell granite. Originating from within the North wall of Pizzo Cengalo, a rock avalanche on 27 December 2011 deposited about $1.5 \ 10^6 \ m^3$ of sediments in the upper catchment with a runout of up to two kilometers from the rock wall. The deposits are up to 17 m thick and cover an area of about 0.760 km² while the hydrological sub-catchment is about 1.18 km² defined by the point where the channel leaves the rock avalanche deposits at the lower end of the deposit.

161 The sudden sediment input from the rock avalanche was followed by several debris flows in the 162 summer of 2012 (5 and 14 July, 25 August, 24 September) whereof the two events in July 163 evacuated about 90'000 m³ of sediments from the rock avalanche deposit. The debris flows 164 originated mainly just below a flat shapedplanar rock face. Some of the debris flow surges are 165 thought to have been triggered due to water accumulation at the toe of the wall causing firehose-166 type debris flow initiation (Figure 3B and 5B) e.g. as described by Godt and Coe (2007). The slope 167 of the channel on the rock avalanche deposit varies between approx. $32^{\circ} (\approx 71 \text{ \%})$ below the flatshaped rock face and regularly decreases to 15° (≈ 33 %) at the lower end of the rock avalanche 168 169 deposit.

170 3. Debris-flow entrainment modeling

171 The goal of this study is to evaluate the erosion entrainment algorithm implemented in the 172 RAMMS debris flow model (version 1.6.25) which has been previously described by Frank et al. 173 (2015). In particular, the sensitivity of the predicted erosion to the input parameters will be 174 investigated, and the data sets described above provide a new basis for evaluating the model. The 175 previous study (Frank et al., 2015) focused on demonstrating that more realistic runout results can 176 be achieved when including sediment entrainment and bulking into the runout model. However that study also left many unanswered questions regarding the sensitivity of the model to input 177 parameters, especially the initial landslide volume, which was not possible to assess in the previous 178

study. Herein we focus on describing the sensitivity of the model to the initial landslide volume,
using the two well-documented events described earlier in the paperpreviously.

181 Although the RAMMS <u>debris</u> model and the <u>erosion-entrainment</u> algorithm have been published
182 elsewhere, they will be briefly <u>described below to provide the necessary background information</u>
183 for <u>understanding the model</u>. The underlying numerical formulas of shallow water equation and
184 the Voellmy friction approach used in the RAMMS debris flow model are presented in detail in
185 Christen et al. (2010); the <u>field data based empirical</u> entrainment model is described in Frank et al.
(2015).

187 3.1. Computational debris-flow model RAMMS

The RAMMS debris-flow model is based on 2D depth-averaged shallow water equations for
granular flows in three dimensions given by the coordinates of the topographic surface of the
digital elevation model in a Cartesian coordinate system (x, y, z) and at time (t) (Bartelt et al.,
1999; Christen et al., 2010). The mass balance equation incorporates the field variables flow height
H (x, y, t) and flow velocity U (x, y, t) and is given by

193
$$\dot{Q}(x, y, t) = \partial_t H + \partial_x (HU_x) + \partial_y (HU_y).$$
 (1)

where \dot{Q} (x, y, t) describes the mass production source term and U_x and U_y represent the depthaveraged velocities in horizontal directions x and y (Christen et al., 2010). The depth-averaged momentum balance equations account for the conservation of momentum in two directions x and y:

197
$$S_{g_x} - S_{f_x} = \partial_t (HU_x) + \partial_x \left(c_x HU_x^2 + g_z k_{a/p} \frac{H^2}{2} \right) + \partial_y (HU_x U_y), \qquad (2)$$

198
$$S_{g_y} - S_{f_y} = \partial_t (HU_y) + \partial_x (HU_x U_y) + \partial_y (c_y HU^2_y + g_z k_{a/p} \frac{H^2}{2}).$$
(3)

199 where the earth pressure coefficient $k_{a/p}$ is normally set to 1 when running the standard Voellmy-200 Salm friction approach, c_x and c_y represent topographical coefficients determined from the digital 201 elevation model, S_g is the effective gravitational acceleration, and S_f the frictional deceleration in 202 directions x and y (Christen et al., 2010). The frictional deceleration S_f of the flow is determined using the Voellmy friction relation (Salm et al., 1990, and Salm, 1993) and specifies the dry-203 204 Coulomb term (friction coefficient μ -) scaling with the normal stress and the <u>viscous or turbulent</u> friction (coefficient ξ) depending on the flow velocity Usquared (Christen et al., 2010; Christen et 205 206 al., 2012; Bartelt et al., 2013):

207
$$S_f = \mu \cdot \rho \cdot \operatorname{Hgcos}(\phi) + \frac{\rho g U^2}{\xi}$$
(4)

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where ρ is the mass density, g is the gravitational acceleration, φ is the slope angle (approximately similar to the internal friction angle of the material), and Hgcos(φ) is the normal stress on the overflowed surface. The tangent of the effective internal friction angle of the flow material can be
defined for the resistance of the solid phase (the term containing μ) which extensively controls deceleration behavior of a slower moving flow. On the other hand, tThe resistance of the *viscous* or *turbulent* fluid phase (the term including ξ) prevails for a quicker moving flow (Bartelt et al., 2013).

215 **3.2. Debris-flow entrainment model**

216 The entrainment model was constructed using field data from the Illgraben catchment in 217 Switzerland (Frank et al., 2015). The entrainment model describes the maximum erosion depth as a 218 function of channel-bed shear stress and the vertical erosion rate of channel-bed sediment erosion. 219 In detail, the model is based on the analysis of differential elevation models from pre- and post-220 event DTMs by Schürch et al. (2011b). This provides the depth of net erosion in a cell as a function 221 of the local shear stress acting on the channel bed at the base of the flow. Similarly, the rate of 222 erosion is constrained to be at the rate reported by Berger et al., 2011, using in situ erosion sensors, 223 also at the Illgraben channel. In the analysis of Schürch et al (2011b), flow heights were determined 224 using values interpolated between lateral levees after each event and the shear stress τ is 225 approximated using the depth-slope product:

226
$$\tau = \rho ghS$$

227 where ρ is the bulk mass density of the flow, h is flow height, and S is the channel slope. An approximation of the typical potential erosion depth at the Illgraben follows the 50% percentile line 228 229 fit to the distribution of elevation change for four debris-debris-flow events (Fig. 3a in Schürch et al., 2011b). The erosion entrainment algorithm implemented in the RAMMS entrainment debris 230 <u>flow</u> model is defined by the maximum potential erosion depth e_m and a specific erosion rate $\frac{dz}{dt}$ 231 232 (Frank et al., 2015). The relationship between the shear stress estimated (based on flow heights 233 obserserved in the field) and the measured erosion depth (Schürch et al., 2011b) is described as a linear function of shear stress using a proportionality factor $\frac{dz}{d\tau}$ (Eq. 26). The maximum potential 234 erosion depth e_m (for each grid cell) is calculated using a critical shear stress τ_c (= 1 kPa) and the 235 proportionality factor $\frac{dz}{d\tau}$ (= 0.1 m kPa⁻¹) as a function of basal shear stress τ (Frank et al., 2015): 236

237
$$e_m = \begin{cases} 0 \text{ for } \tau < \tau_c \\ \frac{dz}{d\tau} (\tau - \tau_c) \text{ for } \tau \ge \tau_c \end{cases}$$
(6)

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(5)

The average rate of erosion recorded at the erosion sensor site during the Illgraben debrisflow event of 1 July 2008 (Berger et al., 2011) is used to define a specific erosion rate $\frac{dz}{dt}$.

240
$$\frac{dz}{dt} = -0.025 \text{ for } e_t \le e_m$$
 (7)

241 When the critical shear stress τ_c is exceeded, sediment can be entrained from the channel. 242 Entrainment stops when the actual erosion depth e_t reaches the maximum potential erosion depth 243 e_m (Eq. 26). Normally, the specific erosion rate is implemented using the default value $\frac{dz}{dt} =$ 244 -0.025 ms^{-1} (Eq. 37) as presented in Frank et al. (2015). However, the model also allows to 245 account for larger or smaller erosion entrainment scenarios by either doubling the rate or cutting it 246 in half. In this study, we will use these variable erosion rates for testing the sensitivity of the model.

247 3.3. Erosion Entrainment model setup

248 3.3.1. Topographic resolution

249 This study focuses on the evaluation of the sensitivity of the predicted (modeled) channel-bed 250 erosion in relation to the initial volume (e.g. initial landslide size) and the comparison of the model 251 results and the erosion pattern observed in the field. The ability to reproduce the observed erosion 252 patterns highly depends on a realistic representation of the channel morphology where the channel 253 is clearly visible in the DTM (Deubelbeiss et al., 2010 and 2011; Scheuner et al., 2011; Hohermuth 254 and Graf, 2014) and the channel dimensions (e.g. cross-sectional area) in the DTM have to be similar to what is observed in the field (e.g. Frank et al., 2015). In this study, the initial topographic 255 256 data available for the Meretschibach catchment (described above) are on a square grid of 0.5 m for 257 a channel with a width of 2 to 4 m. At the Bondasca catchment data are available on a 2 m square 258 grid for channel varying in width from about 5 to 20 m. Although a channel width to DTM grid 259 spacing ratio of more than 5 to 10 would probably produce more accurate results, such data are generally unavailable and the increase in the time for a simulation would be impractical. 260

261 3.3.2. Erosion Entrainment model starting condition: block release and input

262 hydrograph

The type of initial release mechanism, block release (e.g. landslide) or input hydrograph, can be determined based on field observations, potential model constraints and previous modeling experience using the RAMMS debris flow model (Bartelt et al., 2013). Recent debris flow modeling studies (Deubelbeiss et al., 2010; Deubelbeiss et al., 2011; Han et al., 2015) summarized that debris flows in steep channels are mostly triggered by the sudden destabilization of material originating from lateral bank collapses or dam-type deposits located within the channel itself. Han et al. (2015) concluded that a hypothetical scenario such as the breaking of a dam – which they used to start their erosion entrainment model simulations – provides a stable and consistent release
method. Deubelbeiss et al. (2010 and 2011), for a case study in the Swiss Alps, suggested that the
block release method is most appropriate method for small to moderate initial volumes ranging
from 1 m³ up to 100 m³ using the RAMMS debris flow model. The alternative release method
using a discharge hydrograph seems to be more suitable for larger initial volumes (Deubelbeiss et
al., 2010 and 2011) (> 100 m³) which <u>in general</u> might be plausible for the larger channel of the
Bondasca catchment.

277 The main problem with the block release is that the initial flow depth, width, or length of the initial 278 landslide can be unrealistically large in comparison to field observations. Users have to resort to such using unrealistically large initial landslide volumes because most models do not allow for 279 280 erosion-entrainment along the channel path. The total debris flow volume, typically measured in the deposition zone, is often used as the initial landslide volume, thereby implicitly ignoring the 281 282 possibility that channel-bed erosion and flow bulking occur (Frank et al., 2015). The input 283 hydrograph starting condition in RAMMS was intended to help circumvent this problem by 284 allowing users to specify an influx of debris as a function of time at a point lower in the watershed 285 (e.g. just above the fan apex).

The block release volume is calculated by defining a specific block release height (with a precision of 1 cm in this study) based on a pre-defined release area. The model assumes an instantaneous failure of the landslide. The initial landslide surface elevation is then set to the initial elevation of the land surface using an automatic procedure in RAMMS (the *subtract release from DTM* option in RAMMS introduced in version 1.6.45). The main advantage of this procedure is that it prevents unrealistic lateral spreading of the initial landslide mass in comparison with a landslide

292 "block" situated on top of the land surface.

293 3.3.3. Specified erosion rates

294 As a basis for comparison of the sensitivity of the erosion entrainment algorithm, we hold constant 295 the default entrainment erosion model coefficients (critical shear stress τ_c , potential erosion depth as a function of basal shear stress $\frac{dz}{d\tau}$, erosion rate $\frac{dz}{dt}$) described above. In the previous study (Frank 296 et al., 2015) we demonstrated that an erosion rate of $\frac{dz}{dt} = -0.025 \text{ ms}^{-1} \frac{dz}{dt} = 2.5 \text{ cm s}^{-1}$ -based on 297 298 field data from the Illgraben catchment, Switzerland (Berger et al., 2011) produces plausible results 299 for the much steeper Spreitgraben catchment. The catchments described in this paper are different 300 in size and slope, so one might expect some variation in erosion rate. However, the entrainment erosion-algorithm in RAMMS allows for erosion rates up to $\frac{dz}{dt} = -0.05 \text{ ms}^{-1} \frac{dz}{dt} = 5.0 \text{ cm s}^{-1}$ 301 with an option to include a shape file describing where erosion may occur e.g. to account for 302 engineering structures such as check dams or sills, or natural features such as bedrock, where 303

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significant erosion is not expected during one debris-flow event. For comparison we also used a rate of $\frac{dz}{dt} = -0.0125 \text{ ms}^{-1} \frac{dz}{dt} = 1.25 \text{ cm s}^{-1}$ based on a lower rate from Berger et al. (2011).

306 4. Erosion and entrainment: observations and modeling results

307 4.1. Erosion patterns and entrainment model calibration

The observed erosion patterns are the basis for calibrating the RAMMS model coefficients, in particular the friction coefficients ξ and μ are systematically adjusted in successive model runs, until a satisfactory model result is achieved. The erosion pattern is derived by assessing the difference between the digital elevation models. In both study areas, a measured erosion pattern caused by one single <u>debris-debris-</u>flow event is not available. We therefore focus on the spatial distribution of erosion and deposition, instead of attempting to exactly predict the spatial change due to the debris flow process.

In the Meretschibach, the change in the DTM includes the erosion due to three debris-debris-flow 315 316 events which appear to have originated on an open-slope talus deposit (Figure 3A). The location of 317 the release area at the Meretschibach corresponds to the upper most visible erosion scar visible in the DTM analysis and as described above includes the erosion due to three debris-flow 318 319 events between July 17 to October 28, 2014 (Fig. 3A). Therefore, the release area was placed 320 within the channel, where up to 2.5 meters of erosion was observed (upper end of the blue polygon 321 at about 1750 m a.s.l. in Fig. 3A.). The location is just below a bedrock step intersecting the main 322 channel at about 1800 m a.s.l. Further monitoring at the upper Bochtür subcatchment using interval 323 cameras and conducting field observations on the site itself confirmed that at least some of the 324 debris flows most likely initiated at this location.

325 We calibrated the RAMMS model using an initial block release volume of 10 m³ which 326 corresponds to the channel depth of 1-2 m and a width of 2-4 m at this location. To keep the initial 327 volume within the channel and prevent unrealistic lateral outflow, no flux boundaries were created 328 at the lateral sides of the method of subtracting the initial landslide block from the elevation model 329 was applied. Within the middle and lower channel sections (Fig. 3A, blue polygon), the observed runout and relative erosion patterns can be best reproduced using Voellmy friction parameters $\xi =$ 330 200 ms⁻² and $\mu = 0.6$ (Fig. 3B2). The parameter ξ was determined by varying it within the range 331 proposed by the developers of the RAMMS model ($\xi = 100, 200, 400$) and inspecting the results 332 (Bartelt et al., 2013). The modeled velocities of 6-9 ms⁻¹ using $\xi = 200$ are plausible, although 333 independent field data are not available for comparison. The parameter combination $\xi = 200 \text{ ms}^{-2}$ 334 and $\mu = 0.7$ results in overbank flow along both sides of the middle channel, which was not 335 observed in the field (Fig. 3C2). There were neither deposits outside of the channel nor were-levees 336 deposited accumulated outside of the channel along this entire channel reach (Fig. 3A, blue 337

338 polygon). In contrast, the erosion pattern using $\xi = 200 \text{ ms}^{-2}$ and $\mu = 0.5$ resulted in an even 339 distribution of erosion along the entire channel length, which is inconsistent with the field results 340 which showed locations of deeper erosion depths (Fig. 3A). Within the normal range of the ξ 341 parameter (Bartelt et al., 2013) the differences in flow and erosion patterns were small in 342 comparison to those resulting from variations in μ , and are therefore not described herein. Hence, 343 the further model runs were conducted using the best-fit parameters $\xi = 200 \text{ ms}^{-2}$ and $\mu = 0.6$ in the 344 sensitivity analyses described in subsequent sections.

345 In the Bondasca catchment, the differential elevation model includes both the rock avalanche deposit (27 December 2011) and the erosion due to one debris-flow event (5 July 5, 2012) (Fig. 5). 346 The upper end of channel erosion is located just below a planar outcrop of bedrock (Fig. 4B) 347 348 corresponding to the likely location debris flow initiation zone (Fig. 5C). The surface runoff 349 channels along the west side of the wall and runoff across the wall surface (Fig. 4B) converge on 350 the sediments at the bottom of the rock wall (see pictures from 2014 in Fig. 5). This scenario 351 suggests a firehose-type debris-flow initiation (e.g. Godt and Coe, 2007). Hence, this location was 352 used as the initiation area for the runout modeling.

- The observed erosion along the main debris flow channel (Fig. 5C) resulting from the two debris debris-flow events in July 2012 – were-was used to calibrate the RAMMS model within the upper two thirds of the study reach (Figure 4B, brown polygon) by varying the model parameters ξ and μ . The best fit was found with the parameter combination $\xi = 400 \text{ ms}^{-2}$ and $\mu = 0.3$. However, the observed elevation change also includes secondary processes such as lateral bank collapse and the deposits of debris-flow snouts and levees within the channel. Channel sections where the events
- 359 | eroded into the deposits present can also be identified by the stratigraphy in the field.

360 4.2. Entrainment modeling and runout patterns

361 The runout of a (landslide-type) block release of 10 m³, neglecting erosion (Fig. 6A) results in 362 maximum flow heights smaller than 0.5 m and the flow stops in the channel upstream of the 363 deposition zone. By contrast, including debris-flow erosion (Fig. 6B) leads to a more realistic flow 364 pattern consisting of flow within the channel reaching the deposition zone without any lateral outflow. For comparison, if the total event volume ($\approx 1,555 \text{ m}^3$) is released as a landslide and the 365 366 debris-flow is not allowed to erode the channel (Fig. 6C), the runout shows overbank flow along 367 the upper channel reaches below the initiation area. The last scenario is a typical example of how 368 debris flow runout models are used when the total event volume is knownillustrates again the 369 problems associated with starting a runout model with the entire event volume assigned to the 370 initial volume. These results illustrate the ability of the runout model to better predict the erosion

371 pattern <u>and runout</u> if the channel-bed erosion and bulking process is included in the model.

372 4.3. Erosion model sensitivity testing

373 The results show that the total volume of eroded sediment, at both field sites, depends strongly on 374 the initial landslide volume. At both the Meretschibach and the Bondasca catchments, there is a 375 strong increase in the amount of sediment entrained and consequent increase in debris-flow volume 376 (Fig. 7) for relatively small increases of the initial landslide volume. At the Meretschibach catchment, the <u>erosion entrainment</u> model – using the default maximum erosion rate $\frac{dz}{dt}$ = 377 $-0.025 \text{ ms}^{-1} \frac{dz}{dt} = 2.5 \text{ cm s}^{-1}$ shows the highest sensitivity to the total erosion volume between 2 378 and 3 m³ of initial block release (e.g. initial landslide volume). Above 4-5 m³ of initial block 379 380 volume the increase of the total erosion volume within the erosion domain remains approximately 381 constant. The cause for the rapid increase is related to the critical shear stress in the entrainment algorithm. -Small initial landslides do not generate enough shear stress to initiate erosion, whereas 382 383 larger landslides can cause erosion over the entire computational domain.

384 If we double the erosion rate to $\frac{dz}{dt} = -0.05 \text{ ms}^{-1} \frac{dz}{dt} = 5.0 \text{ cm s}^{-1}$ -based on field estimates reported 385 by Frank et al., 2015 for the Spreitgraben catchment, a similar pattern is observed in the 386 relationship between total erosion volume as a function of initial release volume. However the 387 erosion volumes are 3 to 5 times larger than the ones resulting from the default erosion rate at the 388 same initial release volume. In contrast, implementing only half the default maximum erosion rate 389 $\left[(\frac{dz}{dt} = -0.0125 \text{ ms}^{-1}) - (\frac{dz}{dt} = 1.25 \text{ cm s}^{-1}) \right]$ for low erosion entrainment scenarios decreases the 390 sensitivity to initial volume in an analogous manner.

Similar trends in total erosion volume as a function of initial block release (landslide) volume are 391 392 observed at the Bondasca catchment. However, the model only starts to predict significant erosion volumes for block releases exceeding 20 m³, and the progressive increase in total erosion volume 393 394 as a function of initial block release volume is somewhat less steep. For the default erosion rate $\frac{dz}{dt} = -0.025 \text{ ms}^{-1} \frac{dz}{dt} = 2.5 \text{ cm} \text{ s}^{-1}$ -(Frank et al., 2015), total erosion volumes increase most 395 strongly between initial volumes of 20 to 100 m³. The topography at the Bondasca catchment is 396 397 somewhat less steep and more variable, which may help explain these differences. Doubling the 398 default erosion rate at the Bondasca catchment results in the onset of erosion for initial volumes 399 between 20 and 30 m³. When reducing the default erosion rate to half of the default value, the erosion model depicts a somewhat less sensitive reaction of the erosion entrainment model than 400 401 using the default rate.

Further assessment of the relation of the total erosion volumes depending on the initial volumes can
be made by calculating a bulking factorgrowth rate (Hungr et al., 2005). We call it volume growth
(VG) because we address an overall ratio for a specific channel section instead of a classic "yield
rate" per running meter (Hungr et al., 2005): <u>The bulking factor BF is the ratio between the total</u>
erosion volume V_{erp} to the initial volume V_{inf}=i

407 $\frac{\text{BFVG} = V_{final}/V_{ini} = (V_{ini} + V_{ero})/V_{ini=}$

(8)

- 408 The volume growth (VG) is the ratio between the final debris flow volume V_{final} (consisting of the 409 initial volume V_{ini} and the erosion volume V_{ero}) to the initial volume V_{ini} . We analysed the
- 410 development of the volume growth (VG) to assess the sensitivity to various model parameters such
- 411 as critical shear stress τ_c (Fig. 8) as well as erosion rate $\frac{dz}{dt}$ and initial volumes V_{ini} (Fig. 9).

412 5. Discussion

The total erosion volumes observed in the sensitivity tests (Fig. 7) indicate a strong sensitivity to 413 414 block release volume (initial landslide volume) over a relatively narrow range of block release 415 volumes. This result is based on the assumption that the entire landslide fails instantaneously and 416 not progressively as a sequence of smaller landslides over a longer period of time. Information on 417 the style of initial landslide failure are not available for either field site, therefore we focus the 418 discussion on other factors related to the runout modeling. One striking difference between the two 419 field sites is that the size of the block release necessary to cause significant erosion is an order of 420 magnitude larger at the Bondasca site. The channel cross-sectional area where the flow travels and therefore where the erosion entrainment model is active is different at the two field sites. The 421 422 Meretschibach is substantially steeper (50 to 65% vs. 15 to 35%). This results in larger shear 423 stresses at the Meretschibach for the same initial landslide thickness, because the shear-stress varies 424 as the product of initial release thickness, flow density, and channel slope. Other factors such as 425 differences in channel-bed roughness may also be important, however the Voellmy friction relation 426 within RAMMS does not explicitly consider channel-bed roughness.

427 In the RAMMS debris flow model, the development of the flow properties is controlled by the 428 Voellmy friction parameters ξ and μ (described in section 3.1) where ξ is the dominant control over 429 the flow velocities when the flow is moving rapidly and μ controls the runout distance (Bartelt et 430 <u>al., 2013</u>). The ξ parameter was found in this study to have a relatively small influence over the 431 flow behavior in comparison with the Coulomb friction term μ . However, a calibration of the 432 parameter ξ using an approximate discharge (block release volume or hydrograph implementation) 433 and as observed at a particular channel section can help determine the most plausible ξ value 434 within the ranges proposed by the developers of the RAMMS model ($\xi = 100, 200, 400$) (Bartelt et 435 al., 2013). The RAMMS manual (Bartelt et al., 2013) suggests using the tangent of the fan slope as 436 first estimate to determine μ . As described in the calibration procedure (section 3.24.1), this 437 corresponds to relative erosion patterns measured-determined by differential DTM analysis. Hence, we conclude that the tangent of the channel slope can be used as a first approach to define 438 439 parameter µ also for the erosion entrainment model when applying to channel sections which exhibit a roughly constant channel slope., which This was also found to be useful by Frank et al. 440 (2015) in the first application of the model. 441

442 For some field studies, applying this two-stage calibration method (inactive vs. active entrainment 443 model) will benefit model users who previously conducted RAMMS runout modeling studies 444 without entrainment. They can enhance their exisiting calibration procedure of parameter ξ and μ 445 by mainly refining on parameter µ to reflect a documented, relative erosion pattern when activating 446 the entrainment model. In that sense, this method might be primarily limited by the potential lack 447 of field data (flow heights, discharge, erosion patterns) which were available in this study. 448 However, more case studies are needed before we are able to draw any general conclusions 449 regarding potential benefits and limits of this enhanced methodology for the RAMMS entrainment 450 model application. 451 Morphological In general, morphological effects influence the erosional behavior of the field data 452 based erosion entrainment model. The Bondasca channel is more variable in width and planform

453 direction compared to the comparably uniform and straight Meretschibach channel. This difference 454 will cause larger spatial variability in shear stress at Bondasca channel and therefore the channel 455 will have a more variable onset of debris flow erosion along the length of the channel. In the 456 Bondasca catchment, the channel where erosion takes place is significantly wider (4-10 m) than in 457 the Meretschibach (1-3 m). On the one hand, the flow can laterally spread more often in Bondasca 458 than in the Meretschibach, thereby locally reducing flow height, shear stresses and maximum 459 potential erosion depth. On the other hand, once the critical shear stress is exceeded, the potential 460 erosion depth tends to increase more rapidly in a narrow channel such as in the Meretschibach 461 channel.

462 Other studies have adressed the spatio-temporal variation of bed entrainment interplaying with
463 debris flow rheology (Cuomo et al., 2014; Cuomo et al., 2016). In RAMMS, we do not adjust the
464 Voellmy friction coefficients as a function of flow properties because data to support the
465 implementation of bed entrainment-flow properties interplay is not available for the catchments
466 addressed herein (Meretschibach and Bondasca).

Another difference between the Meretschibach and the Bondasca channels is that the Bondasca 467 468 channel bed has a rougher surface with more scours holes, and larger blocks within the channel 469 which are similar in size to the nominal width of the channel. The model does not consider local variations in erodibility due to the presence of large blocks, so local scour patterns in the field 470 471 around the large blocks are not present in the model results. Prancevic and Lamb, (2015a) 472 suggested that in rough mountain channels the large particles can be interlocked and hence more 473 stable. In contrast, local concentration of the flow between such large blocks may cause locally 474 very large shear stresses and corresponding large erosion rates. However, we do not have enough 475 information on the mobility of the large blocks, so this question cannot be addressed in more detail 476 herein.

477 The current version of the RAMMS debris flow model with erosion entrainment (version 1.6.45)
478 does not adjust the elevation of the bed when erosion occurs. The erosion can be subtracted from

the initial DTM as a post-processing step within the user interface, e.g. for modeling subsequent
surges. This issue was discussed at length by Frank et al (2015), and it can potentially complicate
the interpretation of erosion patterns resulting from multiple debris flows. Insufficient field data are
available to help constrain the events described herein.

483 Further assessment of the relation of the total erosion volumes depending on the initial volumes can

484 be made by calculating a bulking factor. The bulking factor BF is the ratio between the total

485 erosion volume V_{ero} to the initial volume

486 $BF = V_{ero}/V$

(8)

487 For the sensitivity assessment of volume growth (VG) to the critical shear stress τ_c , we selected the Meretschibach catchment because it has a simple single-channel morphology and therefore serves 488 489 as a clear case for illustration (Fig. 8). Because the erosive channel reach addressed in our study 490 shows steep slopes reaching 50 to 65 %, the resulting shear stresses are very high – even for very low flow heights and small initial volumes (1-10 m³). This leads to a high model susceptibility to 491 492 erosion (volumes) and volume growth when $\tau_c = 0$ kPa which results in scenarios of a few cubic 493 meters of initial volumes eroding some 1,000 to more than 10,000 cubic meters (Fig. 8). However 494 the initial landslides observed at the Meretschibach were larger in volume, suggesting that a critical 495 shear stress is appropriate. Small debris flows do not necessarily erode the channel bed, which has 496 been observed in the field e.g. at the Illgraben (Schürch et al., 2011; Berger et al., 2010). The 497 presence of a critical shear stress in steep channels is also supported by investigations of 498 entrainment in torrential sediment transport (Lamb et al., 2008), although we are not aware of any 499 systematic investigations of the critical shear stress for entrainment by landslides or debris flows. The results show that when exceeding $\tau_c = 0.5$ kPa, the volume growth remains steady within a 500 501 value range of 20 to 60 for middle to larger initial volumes (\geq 10-50 m³). Smaller initial volumes (\leq 502 5-10 m³) show much more variation, i.e. are more sensitive to the critical shear stress. We conclude 503 that a value of $\tau_c = 1$ kPa produces plausible results and we use that value for the other sensitivity 504 tests in this study. However it may be possible to constrain this value at other field sites if small non-erosive debris flows can be identified and used to better constrain τ_c . The critical shear stress 505 506 of $\tau_c = 1$ kPa used herein will be applied for further sensitivity analysis. 507 The sensitivity to initial landslide volume is apparent at the Meretschibach. Using the default 508 erosion rate and an initial volume of 3 m³, a volume growth of ≈ 200 is reached. A maximum of VG = 300 is observed for an initial release volume of 4 m^3 . At the Meretschibach channel, the 509 bulking factor is when the erosion model using the default erosion rate and an initial volume of 3 510 m^3 (Fig. 8). The BF reaches a peak BF_n ≈ 300 at a release volume of 4 m^3 . It then drops to a BF-VG 511 512 \approx 30 for an initial volume of 100 m³. The model simulations using the doubled default erosion rate show a <u>volume growth bulking factor</u> peak $VG_{p}BF_{p} \approx 1,800$ for an initial release volume of 2 m³; 513

half the default erosion rate shifts this peak to 50 m³ for the initial volume but the corresponding **peak bulking factor**volume growth peak drops significantly down to $VG_p \approx 14$.

516 The behavior of the bulking factoryolume growth for the default erosion rate at the Bondasca 517 catchment is relatively smooth when compared to that at the Meretschibach. But comparing erosion patterns as modeled using 10 vs. 20 m³ as the initial volume in the Bondasca case e.g., we observed 518 519 that the model run using 20 m³ is large enough that part of the flow enters a secondary channel. The 520 volume of the flow, then divided among two channels, causes a reduction in flow depth and a consequent decrease in shear stress, resulting in smaller erosion depths and therefore smaller 521 522 erosion volumes – leading to lower volume growth approaching a value of 1 (VG \approx 1.2) for V_{ini} = <u>20 m³ compared to VG \approx 3 for V_{ini} = 10 m³. When using an initial volume of 10 m³ then the flow</u> 523 remains entirely in the main channel. This may provide an explanation for the dip in the Bondasca 524 525 volume growth between $V_{ini} = 10 \text{ m}^3$ and $V_{ini} = 20 \text{ m}^3$.

526 A <u>volume growth peak bulking factor</u> can be identified somewhere between 200 and 500 m³ but the 527 value is lower in comparison ($\approx 1110-12.5$) for the default erosion rate. The doubled rate leads to a 528 <u>volume growth peak peak bulking factor VGpBF</u> of \approx 700 at a release volume of 30 m³. That is 529 still-large compared to examples in the literature (VGBF from 10 to 50 reported by Berti et al., 530 1999 and Vandine and Bovis, 2002)₂-

531 Nevertheless, a several hundred fold increase of the debris flow volume due to bulking is plausible 532 for extreme erosion entrainment cases. Larger erosion rates might be expected for pyeroclastic 533 deposits (not present in the catchments described herein) or due to the presence of very recent rock avalanche deposits which may contain firn-ice-debris mixtures (e.g. Spreitgraben, Tobler et al., 534 535 2014; Frank et al., 2015). Such highly erosive events represent an inherent feedback in the 536 entrainment process whereby a rapid (e.g. double) erosion rate results in a more rapid increase in 537 flow depth leading to larger shear stresses and then to even larger potential erosion depths. This can potentially explain the very rapid growth of debris flows, which is has been observed in some 538 natural field cases (e.g. Spreitgraben, Tobler et al., 2014; Frank et al., 2015) and also in laboratory 539 540 experiments involving realistic debris-flow sediments (e.g. video documentation of experiments at 541 the USGS Debris-flow flume 1992-2006, Logan & Iverson, 2007).

542 In addition, IL-arge erodibilities may be expected at the Bondasca catchment because the rock 543 avalanche event occurred during winter and may have contained significant amount of snow. 544 However, dD-ue to the very long (≈ 4 km) and flat ($\approx 15\%$) channel section in the middle segment 545 of the Bondasca catchment, the estimated deposition volumes ($\approx 40,000$ m³) above the inlet of the 546 Bondasca river in the central valley are highly influenced by further erosional and depositional 547 processes along the channel.

548 6. Conclusion

549 Debris-flow runout predictions can be improved when considering the increase in flow volume along the flow path. Using a recently-introduced empirical erosion-entrainment algorithm within 550 551 the RAMMS 2D runout model (Frank et al., 2015) we illustrate that runout patterns at the 552 Meretschibach and Bondasca catchments, in Switzerland, can be accurately modeled. When 553 calibrated with field data, the model produces more realistic runout patterns compared to 554 simulations which do not consider entrainment and bulking. In particular, we could show that even 555 in very steep ($\approx 60-65$ %) and narrow (4–6 m) torrent channels, lateral overflow – not observed in 556 the field case – is prevented when applying the entrainment model. However the model results can 557 be quite sensitive to the volume of the initial block release in the model which corresponds to the initial landslide volume. The predicted erosion volumes are sensitive to the initial debris flow 558 volume, with bulking factors volume growth values approaching 2000 predicted by the model, 559 depending on the scenario considered. However, the results are also sensitive to slope angle and 560 561 channel morphology. The two field sites differ substantially: the Meretschibach catchment is very 562 steep with a straight and narrow channel, whereas the Bondasca channel is less steep but-and 563 morphologically more complex, yet the calibration procedure is the same as for the standard 564 RAMMS model which does not include the entrainment process. The overall method presented 565 herein is useful for case studies where sufficient data are available to constrain the model results. However, more case studies have to be conducted to develop a more comprehensive 566 567 recommendation for modeling the runout of erosive debris flows in natural terrain.

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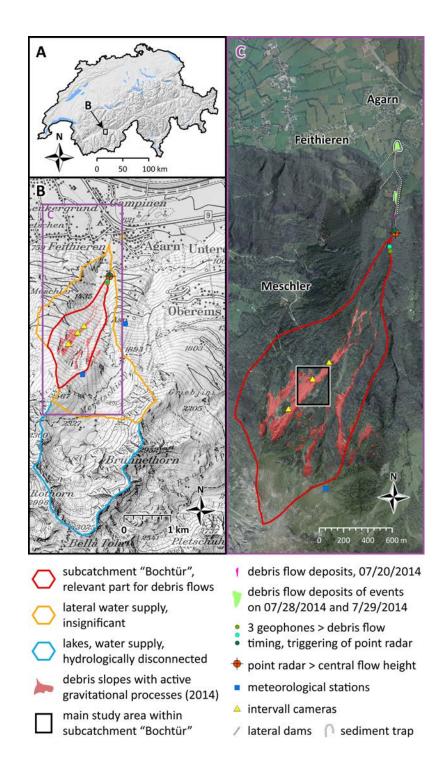
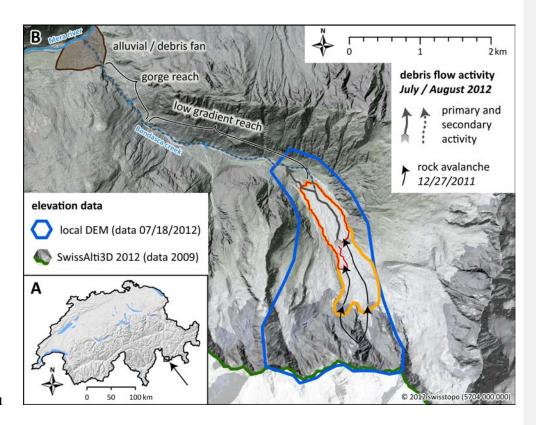


Figure 1. A. Location of the Meretschibach catchment in Southern Switzerland. B. Subcatchments
of the Meretschibach and locations of the instrumentation site and data available for the erosion
model analyses C. Initiation zone of the July 2014 events and camera positions. The main study

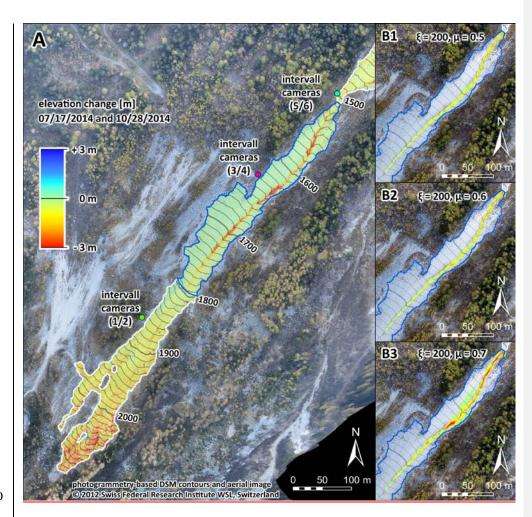
channel reach for the model testing is located in the middle part of "Bochtür" (black-white
retangle), swissimage©2014, swisstopo (5704 000 000) (2014).



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Figure 2. A. Location of the Bondasca catchment in south-eastern Switzerland close to the border
to Italy. B. Perimeter of the 27 December 2011 rock avalanche deposit, including the main
deposition area (yellow polygon) and the deposits lower-elevation deposits which have been
partially exposed to erosion by debris flows in 2012 (red polygon). The 2012 post-event digital
elevation model (lidar, blue polygon) is from 18 July 2012 (data courtesy of the Amt für Wald,
Canton Graubünden). Pre-event digital elevation model (lidar) for 2009 is from the SwissAlti3D
(version 2012) data set from swisstopo, ©2012, swisstopo (5704 000 000). The grey solid arrow

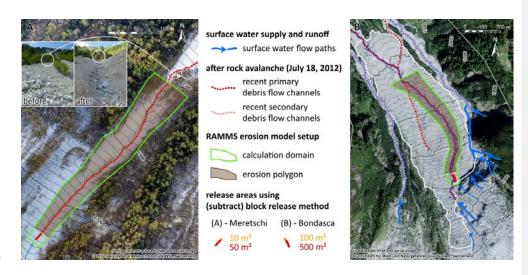
indicates the main debris-flow channel formed in 2012.



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781 Figure 3. Calibration of modelled erosion patterns (B1 to B3) to the observed erosion depths (A) in

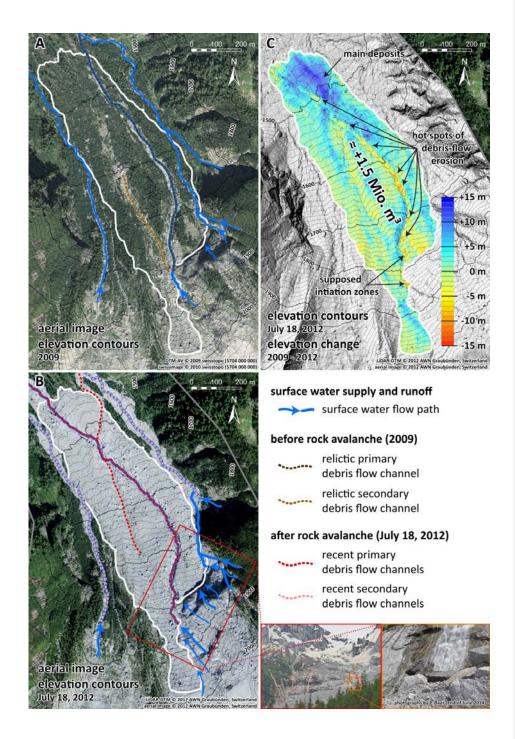
- the upper open debris slopes of the "Bochtür" catchment (Meretschibach) by varying values for the
- 783 friction parameter μ . The blue polygon demarks the area where a differential DTM is available.



784

785 Figure 4. Erosion model configuration for the model simulations showing the initial block release

- 786 areas in the Meretschibach catchment (A) and the Bondasca catchment, Switzerland (B). The
- 787 hillslope is erodible within the brown shaded polygon.



788

Figure 5. Overview of rock avalanche deposits, subsequently formed debris flow channels, and the resulting overall elevation change in the Bondasca catchment (A, B). The elevation change map 2009 to 2012 (C) includes both the rock avalanche (≈ 1.5 Mio m³ on 27 Dec. 2011) and the first two debris debris-flow events (5 and 14 July 2012).

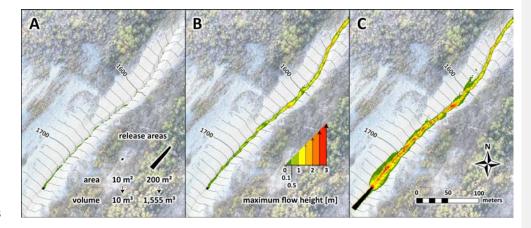
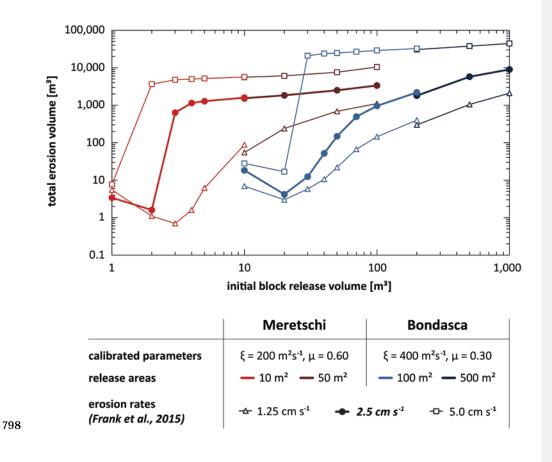
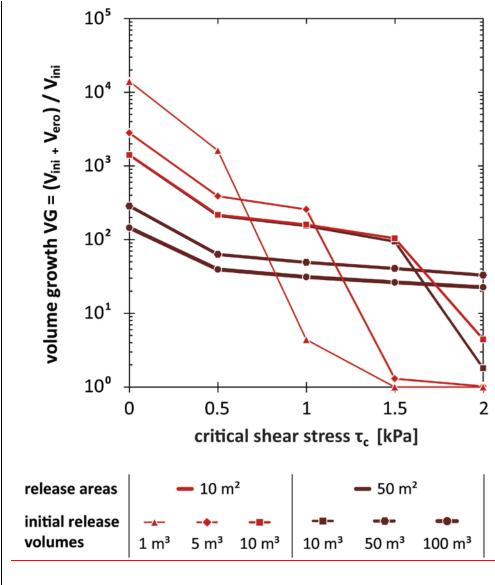


Figure 6. Comparison of runout patterns at "Bochtür" in the Meretschti catchment. The debris flow
modeling is conducted using a (subtract) block release volume of (A) 10 m³ and no-entrainment
modeling, of (B) 10 m³ and entrainment modeling as well as a total (subtract) block release volume
of (C) 1,555 m³ (sum of release and eroded volume from (B)) and no-entrainment modeling.





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801

802 **Figure 8.** Sensitivity of the volume growth VG = $(V_{ini} + V_{ero}) / V_{ini}$ to the critical shear stress τ_c 803 depending on 5 different initial (block release) volumes V_{ini} as set up based on two release areas in 804 the Meretschibach catchment,

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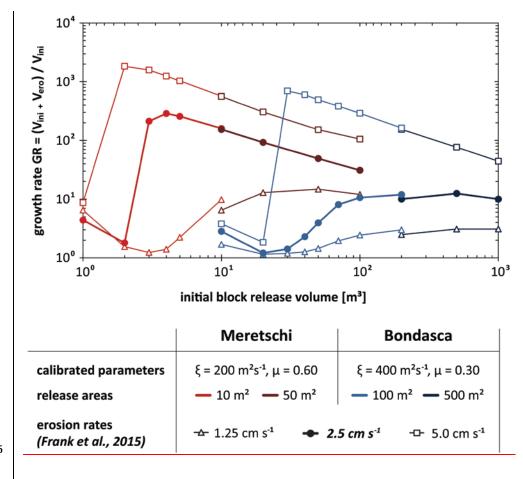


Figure 89. The bulking factor BFvolume growth VG = $(V_{ini} + V_{ero} / V_{ini} consisting)$ of the modeled sum of total the erosion volume V_{ero} [m³] to and initial block release volume V_{ini} [m³] per initial block release volume V_{ini} [m³] and addressing three different erosion rates in for the Meretschibach and Bondasca catchments.