

1 Answer to the reviewers' comments

2

3 Dear Editor,

4 We thank both reviewers for the instructive comments, that improved the quality  
5 of the paper. We also thank the Editor for the support in managing the discussion  
6 of this paper. In the following, there are our detailed answers to the reviewers'  
7 comments. Also, we added the following two references.

8 [Manzato, A., S. Davolio, M. M. Miglietta, A. Pucillo, and M. Setvák, 2014: 12  
9 September 2012: A supercell outbreak in NE Italy?. Atmos. Res., 153, 98-118.](#)

10 [Federico, S., Petracca, M., Panegrossi, G., and Dietrich, 2016: Improvement of  
11 RAMS precipitation forecast at the short-range through lightning data  
12 assimilation. Nat. Hazards Earth Syst. Sci. Discuss., doi:10.5194/nhess-2016-291.](#)

13 The marked-up manuscript version of the paper, containing all the changes we did,  
14 follows the answer to the reviewer comments. The Italian word "Eliminato" means  
15 "Deleted".

16

17 [Reviewer #1](#)

18 The paper describes the application of a methodology for the assimilation of  
19 lightning data into RAMS in 20 case studies characterized by widespread  
20 convection and lightning activity. First, the analysis focuses on a case study of  
21 intense convection during the HyMeX SOP1 campaign, then statistical indices are  
22 derived for all the cases analyzed. Results show a clear improvement due to use  
23 of assimilation technique compared to the control run (without assimilation). The  
24 paper is well written and appropriate for NHESS, thus I recommend publication  
25 after minor revisions.

26 Line 120: why did you choose 4 km as inner grid spacing? This corresponds to the  
27 grey area for convection and it is slightly below actual standards (2-3 km). For  
28 future studies, I suggest to test your assimilation technique at higher resolution;

29 [-This point is of great interest because of the important role that the horizontal  
30 resolution plays in mesoscale models, including the impact that the horizontal  
31 resolution has on the resolved vs not resolved, i.e. convective, precipitation. The  
32 reason for choosing 4 km horizontal resolution is motivated by operational  
33 reasons. The methodology of this paper is implemented in a real-time weather](#)

34 forecasting system at ISAC-CNR and we study the performance of this specific  
35 system. A finer horizontal resolution cannot be implemented operationally with  
36 the current computing power.

37 Nevertheless, the impact of the horizontal resolution is notable. To better quantify  
38 this point we increased the horizontal resolution from 4 km (the resolution of the  
39 paper) to 2.5 km for the 15 October 2012 and 27 October case studies. The figures  
40 of the precipitation fields with or without lightning data assimilation at 4 and 2.5  
41 km horizontal resolution have been shown in the discussion on this paper with  
42 Reviewer #1. These preliminary results show that the impact of the horizontal  
43 resolution is notable because the precipitation patterns, especially for larger  
44 thresholds ( $>50$  mm/day), are less spread at 2.5 km horizontal resolution compared  
45 to 4 km forecast.

46 We wrote: “Finally, horizontal resolutions higher than that of this paper are  
47 needed to better resolve the orography and its interaction with air masses. To  
48 quantify this point preliminary, we increased the horizontal resolution of the  
49 second domain from 4 km to 2.5 km for the 15 October and 27 October case  
50 studies. Results for the two cases show that the impact of the horizontal resolution  
51 is notable because the precipitation patterns, especially for larger thresholds ( $>50$   
52 mm/day), are less spread at 2.5 km horizontal resolution compared to 4 km  
53 forecast (see the discussion of this paper for the daily precipitation maps for the  
54 two cases, Federico et al., 2016). This impact could be beneficial for the scores of  
55 the F3HA6 forecast because it has the tendency to overestimate the precipitation  
56 area at high thresholds, as shown in this paper. However, these results are  
57 preliminary, and future studies are needed to quantify the important impact of the  
58 horizontal resolution on the lightning data assimilation forecast.”

59 Also, at the end of section 2.1 we wrote: “Before concluding this section it is  
60 important to note that 4 km horizontal resolution of the finer grid corresponds to  
61 the grey area for convection and it is slightly below actual standards (2-3 km).  
62 This resolution was motivated by operational purposes: the methodology of this  
63 paper is implemented in a real-time weather forecasting system at ISAC-CNR and  
64 we study the performance of this specific system. Preliminary results of the impact  
65 of the horizontal resolution on the lightning assimilation are discussed in Section  
66 4.”

67

68 Line 181: I understand you increased the water content only in the charged zone

69 (0°C - -25°C): is there a relaxation region above and below this area, or did you  
70 just change the values only in that zone? In the latter case, did you notice whether  
71 the discontinuity in water vapor generated a perturbation affecting the lower and  
72 upper regions?

73 -We change the water vapour in the charging zone between 0°C and -25°C,  
74 without relaxing zone. The water vapour, however, is redistributed by the model  
75 advection/diffusion and it is changed also outside the charging zone.

76 An example of this behaviour has been shown in the discussion of this paper with  
77 Reviewer #1.

78 We added a sentence about this point in the Section “2.2 *Lightning data and*  
79 *assimilation procedure*”.

80 We wrote: “It is noted that we change the water vapour in the charging zone  
81 between 0°C and -25°C, without a relaxing zone. The water vapour, however, is  
82 redistributed by the model advection, diffusion and diabatic processes, and it is  
83 changed also outside the charging zone (see the discussion of this paper; Federico  
84 et al. 2016).”

85 Line 213: please write explicitly that the “previous R4 forecast” belongs to the  
86 F3HA6 set of simulations;

87 - We wrote: “The second F3HA6 simulation starts at 21 UTC of the day before the  
88 actual day using as initial conditions the previous R4 forecast, belonging to  
89 F3HA6 set of simulations, and as BC the R10 forecast.”

90 Lines 216-217: please change into “Please note the switch of the initial conditions  
91 ...”;

92 -Done

93 Lines 266-281: I suggest to remove this part from here and put in a specific  
94 Appendix, possibly explaining the resampling technique more in detail;

95 -Done. We moved this specific part to the Appendix A and we extended the  
96 discussion.

97 Line 306: please change into “From Fig. 3a, convection is apparent over the  
98 Tyrrhenian Sea and is enhanced over land because of . . .”;

99 -Done.

100 Lines 319: “for the largest threshold”: do you mean “above 90 mm/day”?

101 -We changed the sentence to be clearer: “However, the precipitation is  
102 overestimated by both CNTRL and F3HA6, especially above 30 mm/day.”

103 Line 355: delete “a” or change “spells” in singular;

104 -We deleted “a”

105 Line 385: in how many stations was the precipitation “subtracted where it did not  
106 occur”?

107 - In the revised version of the paper, this has been quantified by counting the  
108 number of stations where the precipitation is lowered by at least 1 mm/3h (110  
109 stations), 5 mm/3h (20 stations), and 10 mm/3h (7 stations) between the 03 and 06  
110 UTC of 27 October, when the lightning data assimilation is used. We wrote: ” For  
111 example, between 03 and 06 UTC there are 110 stations where the precipitation is  
112 reduced by more than 1 mm/3h, 20 stations where it is reduced by more than 5  
113 mm/3h and 7 stations for which the precipitation is reduced by more than 10  
114 mm/3h.“

115

116 Line 399: “. . . increases with the threshold from . . .”; Figure 7: since the lower  
117 threshold you consider is 1 mm/day, I believe showing also 0 mm in the x-axis is  
118 not proper;

119 The reviewer is referring to Figures 8 and 9 of the revised version of the paper.  
120 We changed these figures (8 and 9) according to this comment.

121 Lines 436-441: the assimilation increases the rainfall amount, thus the hit rate and  
122 POD are better, but there is a general overestimation (thus, the bias is higher and  
123 there is an increase of false alarms). Anyway, I agree with you that, even with  
124 these limitations, the result is overall helpful for operational purposes. I suggest  
125 you should speculate more on this point;

126 -Thank you for suggesting this point. We wrote: “The inspection of the  
127 contingency tables shows that the improvement of the FAR for those thresholds is  
128 attained by a larger number of hits but there is also an increase of the false alarms.  
129 In general, the lightning assimilation increases the precipitation, which is already  
130 overestimated for the larger thresholds by CNTRL. So, the POD and the hit rate



131 are increased by lightning data assimilation, but also the false alarms, which were  
132 already reported in CNTRL because of the general overestimation of the rainfall.  
133 Anyway, we believe that the result is overall helpful for operational purposes.”

134

135 Lines 442-462: the description of Fig. 8 is too long: you can reduce this part  
136 referring to the similarities with Fig. 7;

137 -The discussion was shortened.

138 Line 475 and elsewhere: convection without “the”;

139 -Corrected

140 Lines 474-479: are the results for the other cases similar to those for October 27?

141 -The impact of the lightning data assimilation on convection over the sea is  
142 significant and has an important role in most cases. For example, a similar  
143 behaviour to the 27 October was found for the 15 October and 12 October case  
144 studies with impacts on the Tuscany and Lazio regions, i.e. the central Western  
145 coast of the Italian peninsula. Other cases are evident in the Western coast of  
146 Southern Italy (for example the 31 October 2012 but also others). There are  
147 occasions, however, where convection over the Sea is less important. For example,  
148 the 12 September was characterized by a severe storm over Friuli Venezia Giulia  
149 (Manzato et al., 2014). In this case, the difference is confined over the land (NE of  
150 Italy), and the role of convection over the sea is less important, at least as the  
151 initiation mechanism for convection over land. However, air masses advected  
152 from the Adriatic Sea toward the storm centre play an important role in feeding the  
153 storm with latent heat. A map showing this behaviour is reported in the discussion  
154 on this paper with Reviewer #1.

155 We added a comment about this point in Section “4 Discussion and conclusions”  
156 writing: “The advection of convection from the sea to the land was important in  
157 most case studies considered in this paper, and we can conclude that it plays a  
158 fundamental role. There are cases, however, when it is less important, as for the  
159 severe and localized storm that occurred in NE Italy on 12 September 2012  
160 (Manzato et al., 2014). For this case, the storm developed and evolved over land,  
161 and the difference between the precipitation fields of the CNTRL and F3HA6 is  
162 confined inland, over NE Italy, and it is larger than 40 mm (see the discussion of  
163 this paper for the map of the precipitation difference between CNTL and F3HA6;  
164 Federico et al., 2016).”

165

166 Line 511: “. . . improvement in some statistical scores, . . .”;

167 -Corrected.

168 Line 519: please rephrase into “. . . the performance of the precipitation forecast is  
169 clearly dependent on the type of event . . .”;

170 -Rephrased.

171 Figure 3: apparently, the maximum threshold of 90 mm is too small, thus the peak  
172 in simulated rainfall cannot be clearly estimated; please, could you add the  
173 information about the maximum precipitation simulated by the model at least in  
174 the text?

175 -The Figure 3 is Figure 4 in the revised paper. We added this information in the  
176 Figure 4 caption (the maximum value is 320 mm in Southern Italy; over NE Italy  
177 the maximum simulated value is 132 mm). Also, we will add the largest value  
178 observed in the text, when commenting Figure 4b. We wrote: ”The largest  
179 precipitation recorded in NE Italy is 141 mm (13.54E, 45.85N), while more than  
180 200 mm are reported in two stations in Southern Italy (15.84E, 40.31N; 207 mm)  
181 and (15.98E, 40.16N; 220 mm).”

182

183 Reviewer #2

184 Overall evaluation: The paper presents the evaluation of a lightning data  
185 assimilation, implemented in the RAMS model. Overall, the manuscript is well  
186 written and the methodology and results are well discussed relative to the available  
187 international lit- erature. The subject is of high interest. I suggest acceptance of the  
188 manuscript, sub- ject to some minor comments and technical corrections, which  
189 are summarized in the following.

190 Minor comments

191 1. L64-76: I believe that the three paragraphs could be merged in one, as they  
192 all present briefly examples of lightning data assimilation studies.

193 - Done.

194 2. I suggest that Table 1 is removed from the manuscript. Instead of presenting  
195 this detailed information on the domain configuration of RAMS, it could be of  
196 more usefulness for the reader to add a simple plot showing the domains or stick

197 to the two figures that are already referenced for giving an overview on domains.  
198 The respective description of the domain configuration in L120-126 can remain as  
199 is.

200 -Thank you for this comment, we followed your suggestion including a new  
201 Figure 1, showing the domains. Table 1 was removed from the paper and the  
202 Figure 1 caption includes some details on the domains.

203 3. L123: It would be also proper to include model top in hPa.

204 - In the RAMS model, the model top is fixed in z, the height respect to the sea  
205 level. Pressure varies on the model top surface, so we gave an estimation of the  
206 model top in hPa (40 hPa), from the model output.

207 4. L151-152: I believe that simply referring to cloud-to- ground (CG) and intra-  
208 cloud (IC) lightning is enough, instead of giving the information currently shown  
209 in the parentheses.

210 -Changed according to the comment.

211 5. L223-227: This particular part of the manuscript presents a result of the study.  
212 Hence, it can be removed from Sec. 2, that is devoted to methodology. It can be  
213 moved to the Results section, at the appropriate place.

214 - This part has been moved in the Results section.

215 6. L259-L263: I think that this paragraph could be removed as it reports  
216 information that is most probably well known to the interested readers. I leave it  
217 up to the authors to decide whether it should be removed or stay.

218 -We agree with reviewer that this part of the paper could be removed because it  
219 presents basic definition well known to the large part of readers. Nevertheless, in  
220 other papers, we found that reviewers asked for this explanation about the scores  
221 and, in the doubt, we maintained the paragraph.

222 7. L290-293: Please rewrite this part of the manuscript in a more clear way.

223 Thank you for noting this point. We clarified: “During SOP1, several upper level  
224 troughs extended from the Northern and Central Europe toward the Mediterranean  
225 Basin or entered in the Basin as deep trough. Few of them developed a cut-off low  
226 at 500 hPa; the interaction between the upper level troughs and the orography of  
227 the Alps generated a low pressure pattern at the surface in Northern Italy, and  
228 usually the whole system moved along the Italian peninsula. The 27 October 2012  
229 case study, also referred as IOP16a, belongs to this class of events, but it  
230 eventually evolved in a cut-off at 500 hPa on 28-29 October (IOP16c).”

231

232 **Technical corrections:**

233 1. L1: "short-range"

234 -Done

235 2. L18: "set up"

236 -Done

237 3. L21, L78, L95, L190: "that occurred"

238 -Done

239 4. L21: "which were..."

240 -Done

241 5. L23, L244, L313 and throughout the entire manuscript: "rain gauges"

242 -Ok, corrected throughout the paper.

243 6. L23, L95: "target region" or "target area"

244 -"Target region".

245 7. L73: "presented" or "introduced" could be a better choice for this sentence.

246 -We used "introduced".

247 8. L75: "performed" instead of "made".

248 - Done.

249 9. L131: The correct terminology is "WRF single-moment six-class microphysics

250 scheme"

251 - Corrected.

252 10. L174, L322: "setup".

253 - Done.

254 11. L174: "trial and error analysis..."

255 -Corrected

256 12. L244: The abbreviation QPF (Quantitative Precipitation Forecast) has not been  
257 previously defined, should I have not missed it while reading the manuscript.

258 -Corrected. We apologize for the mistake.

259 13. L249: "with" instead of "being".

260 -Done.

261 14. L267, L484: "competing" instead of "competitor".

262 - Done.

263 15. L298-290: Correct the term to "troughs" (it is now written as "through").

264 - Thank you for helping with this error.

265 16. L290: "cut-off low".

266 - Done.

267 17. L495: "WRF-LTNGDA"

268 -Ok.

269 **Relevant changes to the paper**

- 270 - A new figure showing the domains of the model (Figure 1).  
271 - A new Appendix (Appendix A) showing the methodology used for the  
272 statistical test.  
273 - The text was changed according to the comments of the reviewers (see the  
274 marked-up manuscript version of the paper).

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283 **Improvement of RAMS precipitation forecast at the short range through lightning data**  
284 **assimilation**

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294

295 **Abstract**

296 This study shows the application of a total lightning data assimilation technique to the RAMS  
297 (Regional Atmospheric Modeling System) forecast. The method, which can be used at high  
298 horizontal resolution, helps to initiate convection whenever flashes are observed by adding water  
299 vapour to the model grid column. The water vapour is added as a function of the flash rate, local  
300 temperature and graupel mixing ratio. The methodology is set-up to improve the short-term (3h)  
301 precipitation forecast and can be used in real-time forecasting applications. However, results are  
302 also presented for the daily precipitation for comparison with other studies.

303 The methodology is applied to twenty cases occurred in fall 2012, which were characterized by  
304 widespread convection and lightning activity. For these cases a detailed dataset of hourly  
305 precipitation containing thousands of raingauges over Italy, which is the target of this study, is  
306 available through the HyMeX (HYdrological cycle in the Mediterranean Experiment) initiative.  
307 This dataset gives the unique opportunity to verify the precipitation forecast at the short range (3h)  
308 and over a wide area (Italy).

309 Results for the 27 October case study show how the methodology works and its positive impact on  
310 the 3h precipitation forecast. In particular, the model represents better convection over the sea using  
311 the lightning data assimilation and, when convection is advected over the land, the precipitation  
312 forecast improves over the land. It is also shown that the precise location of convection by lightning  
313 data assimilation, improves the precipitation forecast at fine scales (meso- $\beta$ ).

314 The application of the methodology to twenty cases gives a statistically robust evaluation of the  
315 impact of the total lightning data assimilation on the model performance. Results show an

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improvement of all statistical scores, with the exception of the Bias. The Probability of Detection (POD) increases by 3-5% for the 3h forecast and by more than 5% for daily precipitation, depending on the precipitation threshold considered.

Score differences between simulations with or without data assimilation are significant at 95% level for most scores and thresholds considered, showing the positive and statistically robust impact of the lightning data assimilation on the precipitation forecast.

**Key words:** total lightning data assimilation, forecast verification, convective storms, cloud resolving model.

## 1. Introduction

The inclusion of the effects of deep convection in the initial conditions of Numerical Weather Prediction (NWP) models is one of the most important problem to reduce the spin-up time and to improve initial conditions (Stensrud and Fritsch, 1994; Alexander et al., 1999). In recent years, several studies have shown the positive impact that lightning assimilation has on the weather forecast, and especially on the precipitation forecast (Alexander et al. 1999; Chang et al., 2001; Papadopoulos et al., 2005; Mansell et al., 2007; Fierro et al., 2012; Giannaros et al., 2016).

Lightning data are a proxy for identifying the occurrence of deep convection, which relates to convective precipitation (Goodman et al., 1988). In addition to their ability to locate precisely the deep convection and heavy precipitation, lightning data have several advantages: availability in real time with few gaps (reliability), compactness (a low band is required to transfer the data), long-range detection of storms over the oceans and beyond the radars (Mansell et al., 2007).

Because of these properties, several techniques have been developed, in recent years, to assimilate lightning data in NWP. In the first studies (Alexander et al. 1999; Chang et al., 2001), lightning were used in conjunction with rainfall estimates from microwave data of polar orbiting satellites to derive a relation between the cloud to ground flashes and rainfall. Then the rainfall estimated from lightning was converted to latent heat nudging, that was assimilated in NWP (Jones and Macperson, 1997). These experiments showed a positive impact of the lightning data assimilation on the 12-24 h weather forecast.

Papadopoulos et al. (2005) nudged relative humidity profiles associated with deep convection and the adjustment was proportional to the flash rate observed by the ZEUS network (Lagouvardos et al., 2009). A modification of the Kain-Fritsch (Kain and Fritsch, 1993) convective parameterization in COAMPS (Coupled Ocean-Atmosphere Mesoscale Prediction System; Hodur, 1997) was

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353 introduced by Mansell et al. (2007). They enabled lightning to control the cumulus parameterization  
354 scheme activation. Recently, Giannaros et al. (2016) implemented a similar approach in the WRF  
355 model, showing the positive and statistically robust impact of the lightning data assimilation on the  
356 24h rainfall forecast for eight convective events over Greece. Fierro et al. (2012) and Qie et al.  
357 (2014) introduced two lightning data assimilation schemes for the WRF model intervening on the  
358 mixing ratios of the hydrometeors (water vapour in the case of Fierro et al. (2012), and ice crystals,  
359 graupel and snow in Qie et al. (2014)). Both studies, which are made at cloud resolving scales,  
360 show that lightning assimilation can improve the precipitation forecast.

361 Most of the studies cited above are based on a case study approach. However, Giannaros et al.  
362 (2016) applied the methodology to eight convective cases that occurred in Greece from 2010 to  
363 2013. Considering a larger number of cases allowed them to statistically test the improvement of  
364 the precipitation forecast through lightning data assimilation. Moreover, their methodology is  
365 designed to be realistic and usable in the operational forecast.

366 In a recent study, Federico et al. (2014) introduced a scheme to simulate lightning in the RAMS  
367 (Regional Atmospheric Modeling System). Because the lightning distribution is well correlated to  
368 areas of deep precipitation, they concluded that lightning simulation can be a useful tool to evaluate  
369 the reliability of the NWP forecast in real time. In their study, however, lightning observations were  
370 used as a diagnostic tool.

371 In this paper, a total lightning data assimilation algorithm is used in the RAMS model. The  
372 assimilation scheme is similar to that of Fierro et al. (2012), with few modifications to account for  
373 different spatial and temporal resolutions of the two studies and for the different model suites. In  
374 addition, the methodology presented in this paper is designed to be used in real time NWP. This  
375 paper considers the short-term forecast (3h), even if the results for daily precipitation, accumulated  
376 from the 3h precipitation forecast, are also shown for completeness and for comparison with other  
377 studies.

378 To evaluate statistically the impact of the lightning data assimilation on the precipitation forecast,  
379 we consider twenty convective cases that occurred in the fall 2012 over Italy, which is the target of  
380 this study. Most of these events occurred during the HyMeX SOP1 (HYdrological cycle in the  
381 Mediterranean Experiment – First Special Observing Period), which was held from 5 September  
382 2012 to 6 November 2012.

383 HyMeX (Drobinski et al., 2014; Ducroq et al., 2014) is an international experimental program that  
384 aims to advance scientific knowledge of water cycle variability in the Mediterranean basin. This  
385 goal is pursued through monitoring, analysis and modeling of the regional hydrological cycle in a

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seamless approach. In HyMeX special emphasis is given to the topics of the occurrence of heavy precipitation and floods, and their societal impacts, which were the subjects of the SOP1. One of the products of the HyMeX-SOP1 is a database of hourly precipitation available for 2944 raingauges over Italy belonging to the Italian DPC (Department of Civil Protection; Davolio et al., 2015). This database extends behind the period of the HyMeX-SOP1 and contains all the events considered in this paper.

The paper is organized as follows: Section 2 shows the RAMS configuration, the methodology used to assimilate total lightning data, and the strategy used in the simulations. Section 3 gives the results: first a case study of deep convection occurred over Italy during HyMeX-SOP1 is considered to show how the lightning data assimilation works (Section 3.1); then the scores for the twenty cases are shown in Section 3.2, which also shows the statistical robustness of the difference between the precipitation forecasts of the simulations with or without total lightning data assimilation. The discussion and conclusions are given in Section 4.

## 2. Methodology

### 2.1 The RAMS model configuration

The RAMS model is used in this study. This section is a brief description of the model setup, while details on the model are given in Cotton et al. (2003).

We use two one-way nested domains at 10 km (R10) and 4 km (R4) horizontal resolutions, respectively (Figure 1). The model is configured with thirty-six terrain following vertical levels for both domains. The model top is at 22400 m (about 40 hPa). The distance of the levels is gradually increased from 50 m to 1200 m. Below 1000 m the spacing between levels is less than 200 m, above 5000 m the distance between levels is 1200 m.

The Land Ecosystem-Atmosphere Feedback model (LEAF) is used to calculate the exchange between soil, vegetation, and atmosphere (Walko et al., 2000). LEAF is a patch representation of surface features (vegetation, soil, lakes and oceans, and snow cover) and includes several terms describing their interactions as well as their exchanges with the atmosphere.

Explicitly resolved precipitation is computed by the WRF (Weather Research and Forecasting System) – single-moment-microphysics class 6 (WSM6) scheme (Hong et al., 2006). This scheme was recently implemented in RAMS (Federico, 2016) and showed the best performance among the microphysics schemes available in the model for a forecast period spanning 50 days of the HyMeX-SOP1 at 4 km horizontal resolution. The WSM6 scheme accounts for the following water variables:

**Eliminato:** Table 1, see Figure 2a for the domain at 10 km horizontal resolution and Figure 3a for the domain at 4 km horizontal resolution

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426 vapour, cloud water, cloud ice, rain, snow and graupel. The best configuration of Federico (2016) is  
427 used in this paper and is hereafter referred to as control (CNTRL).

428 Sub-grid-scale effect of clouds is parameterized following Molinari and Corsetti (1985). They  
429 proposed a form of the Kuo scheme (Kuo, 1974) accounting for updrafts and downdrafts. The  
430 convective scheme is applied to the 10 km grid only.

431 The unresolved transport is parametrized by the K-theory following Smagorinsky (1963), which  
432 relates the mixing coefficients to the fluid strain rate and includes corrections for the influence of  
433 the Brunt-Vaisala frequency and the Richardson number (Pielke, 2002).

434 The Chen and Cotton (Chen and Cotton, 1983) scheme is used to compute short and long-wave  
435 radiation. The scheme accounts for condensate in the atmosphere, but not for the specific  
436 hydrometeor type.

437 The initial and dynamic boundary conditions are introduced in section 2.3.

438 [Before concluding this section, it is important to note that 4 km horizontal resolution of the finer](#)  
439 [grid corresponds to the grey area for convection and it is slightly below actual standards \(2-3 km\).](#)  
440 [This resolution was motivated by operational purposes: the methodology of this paper is](#)  
441 [implemented in a real-time weather forecasting system at ISAC-CNR and we study the performance](#)  
442 [of this specific system. Preliminary results of the impact of the horizontal resolution on the](#)  
443 [lightning assimilation are discussed in Section 4.](#)

444

## 445 *2.2 Lightning data and assimilation procedure*

446 Lightning data used in this paper are those observed by LINET (Lightning detection NETWORK;  
447 Betz et al., 2009), which is a European lightning location network for high-precision detection of  
448 total lightning, [cloud to ground \(CG\) and intra cloud \(IC\) lightning](#), with utilization of VLF/LF  
449 techniques (in range between 1 and 200 KHz).

450 The network has more than 550 sensors in several countries worldwide, with very good coverage  
451 over central Europe and central and western Mediterranean (from 10° W to 35° E in longitude and  
452 from 30° N to 65° N in latitude). The lightning three-dimensional location is detected using the time  
453 of arrival (TOA) difference triangulation technique (Betz et al., 2009). The lightning strokes are  
454 detected with high precision (150 m for an average distance between sensors of 200 km) in both  
455 horizontal and vertical directions. The LINET “strokes” are grouped into “flashes” before

**Eliminato:** ground strokes (exchanging charges between the cloud and the ground - CG cloud-to-ground) and cloud lightning (not making ground contact - IC intra cloud)

459 assimilation in the model. In particular, all events recorded by LINET that occur within 1 s and in  
460 an area with a radius of 10 km are binned into a single flash (Federico et al., 2014).

461 Observed flashes are mapped onto the RAMS grid for assimilation in space and time. In particular,  
462 the assimilation procedure computes the number of flashes occurring in each RAMS grid cell in the  
463 past five minutes ( $X$ ). Then the water vapour mixing ratio is computed as:

464 
$$q_v = Aq_s + B * q_s * \tanh(CX) * (1 - \tanh(DQ_g^\alpha)) \quad (1)$$

465 Where  $A=0.86$ ,  $B=0.15$ ,  $C=0.30$ ,  $D=0.25$ ,  $\alpha=2.2$ ,  $q_s$  is the saturation mixing ratio at the model  
466 atmospheric temperature, and  $Q_g$  is the graupel mixing ratio ( $\text{g kg}^{-1}$ ). The water vapour mixing ratio  
467 derived from Eqn. (1) is similar to Fierro et al. (2012). There are two changes: first the  $C$  coefficient  
468 is larger in this study (in Fierro et al. (2012),  $C=0.01$ ), which partially accounts for the different  
469 horizontal resolutions of the remapped observed flashes (9 km in Fierro et al., (2012); 4 km in our  
470 case, corresponding to the RAMS inner grid horizontal resolution) and for the different grouping  
471 time interval (10 minutes in Fierro et al. (2012), and 5 minutes here). Second, the coefficient  $A$  ( $B$ )  
472 is larger (smaller) in this study compared to Fierro et al. (2012;  $A=0.81$  and  $B=0.20$ ) because we  
473 find a better performance with this set-up. The set-up of Eqn. (1) was found by trials and errors  
474 [analysis](#) for two case studies (15 and 27 October 2012) by considering two opposite needs: to  
475 increase the precipitation hits and to reduce (or not increase considerably) the false alarms. It is  
476 noted that Fierro et al. (2012) found little sensitivity of the results by varying  $A$  by 5%.

477 The water vapour derived from Eqn. (1) is substituted to the simulated value at a grid point where  
478 electric activity is observed and RH is below 86%. By this choice we only add water vapour to the  
479 simulated field, leaving it unchanged if the simulated water vapour is larger than that of Eqn. (1).  
480 Moreover, the water vapour is substituted only in the charging zone (from 0 to  $-25^\circ\text{C}$ ), which is the  
481 mixed-phase graupel-rich zone associated with electrification and lightning activity (MacGorman  
482 and Rust, 1998). The increase of  $q_v$ , Eqn. (1), is inversely proportional to the simulated graupel  
483 mixing ratio. When  $Q_g$  is 3 g/kg the [second term of the right hand side](#) of Eqn. (1) is ineffective (see  
484 Figure 7 of Fierro et al. (2012) for the dependency Eqn. (1) on the graupel mixing ratio). For a  
485 given value of  $Q_g$  between 0 and 3 g/kg, the water vapour of Eqn. (1) increases as a function of the  
486 gridded flash rate  $X$ .

487 [It is noted that we change the water vapour in the charging zone between  \$0^\circ\text{C}\$  and  \$-25^\circ\text{C}\$ , without a](#)  
488 [relaxing zone. The water vapour, however, is redistributed by the model advection, diffusion and](#)  
489 [diabatic processes, and is considerably changed outside the charging zone \(see the discussion of this](#)  
490 [paper; Federico et al. 2016\).](#)

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492 2.3 Simulation strategy and verification

493 Twenty case studies occurred in fall 2012 were selected. The events are reported in Table 2 and  
494 were all characterized by widespread convection, lightning activity, and moderate-heavy  
495 precipitation over Italy. The events of Table 2 comprise eight of the nine IOP (Intense Observing  
496 Period) declared in Italy (see Table 5 of Ferretti et al. (2014) for the complete list of the IOP) during  
497 HyMeX-SOP1 and few other cases of November 2012.

498 A 36 h forecast at 10 km horizontal resolution is performed for each case (R10). The initial and  
499 boundary conditions (BC) for this run are given by the 12 UTC assimilation/forecast cycle of the  
500 ECMWF (European Centre for Medium Weather range Forecast). Initial and BC are available at  
501 0.25° horizontal resolution. The R10 forecast starts at 12 UTC of the day before the day of interest  
502 (actual day, Table 2) and the first 12 hours, which also account for the spin-up time, are discarded  
503 from the evaluation. The R10 forecast is made to give the initial and BC to the 4 km horizontal  
504 resolution forecast (R4), avoiding the abrupt change of resolution from the ECMWF initial  
505 conditions and BC (0.25°) to the R4 horizontal resolution.

506 Starting from R10 as initial and BC, three kind of simulations, all using the R4 configuration, are  
507 performed for each event: a) CNTRL: this simulation is performed by nesting R4 in R10 using a  
508 one-way nest and without doing lightning data assimilation. Each CNTRL simulation starts at 18  
509 UTC of the day before the actual day and the first six hours, which account for the spin-up time, are  
510 discarded from the evaluation; b) F3HA6: these simulations consist of eight runs of 9 h duration.  
511 During the first 6 h, lightning data are assimilated following the procedure described in the previous  
512 section. Then, a short term 3 h forecast is made. Eight F3HA6 simulations are needed to span the  
513 forecast of a whole day (Figure 2). The first simulation starts at 18 UTC of the day before the actual  
514 day, using as initial and boundary conditions the R10 forecast, and gives the forecast for the hours  
515 00-03 UTC of the actual day. The second F3HA6 simulation starts at 21 UTC of the day before the  
516 actual day using as initial conditions the previous R4 forecast, [belonging to F3HA6 set of](#)  
517 [simulations](#), and as BC the R10 forecast. Lightning are assimilated from 21 UTC of the day before  
518 to 03 UTC of the actual day, while the forecast is valid for 03-06 UTC of the actual day. The  
519 F3HA6 forecasts from three to eight proceed as the second but shifted every time three hours ahead.  
520 [Please note](#) the switch of the initial conditions between the first and second F3HA6 simulations  
521 from R10 to R4. This is done to maximise the impact of lightning data assimilation on the F3HA6  
522 run, since the initial conditions provided by R4 are produced by a simulation using lightning data,  
523 while in R10 lightning data are not used; c) ASSIM: this simulation is performed by nesting R4 in  
524 R10 using a one-way nest and doing lightning data assimilation for the whole run. Each ASSIM

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528 simulation starts at 18 UTC of the day before the actual day and the first six hours of forecast are  
529 considered as spin-up time and are discarded from the evaluation. The ASSIM simulation  
530 continuously assimilates lightning data and, because it represents better the convection during the  
531 events compared to CNTRL and F3HA6, has the best performance (Section 3.2). The ASSIM  
532 configuration can be useful when analysing the events but cannot be used for the forecast because it  
533 needs real-time lightning data as the integration time advances.

534 It is noted that the configuration F3HA6 was chosen because it can be applied in the operational  
535 context. The simulation R10 takes less than one hour to complete the 36 h forecast on a 64 core  
536 state of the art cluster. Each simulation F3HA6 takes 20-25 minutes using a 64 cores state of the art  
537 cluster, which makes the forecast available for operational purposes. Continuous advancing of  
538 computing power will give the possibility to apply the methodology at finer horizontal resolutions  
539 for extended areas, as that considered in this paper, as well as to reach the kilometeric scale for  
540 limited areas.

541 Even if the main focus of this paper is the short-term (3 h) forecast, the daily precipitation  
542 accumulated from the 3h forecasts is also considered for comparison with other studies available in  
543 the literature. For F3HA6 the daily precipitation is given by adding the eight 3 h forecasts available  
544 for the actual day (Figure 2).

545 One of the products of the HyMeX initiative is a database of hourly precipitation from the network  
546 of the DPC of Italy, which consists of 2944 raingauges all over Italy. The dataset is available at  
547 [http://mistrals.sedoo.fr/?editDatsId=1282&datsId=1282&project\\_name=MISTR&q=DPC](http://mistrals.sedoo.fr/?editDatsId=1282&datsId=1282&project_name=MISTR&q=DPC) and it is  
548 used to derive 3 h and daily rainfall, which are then used to verify the model.

549 For the verification of the Quantitative Precipitation Forecast (QPF), the model output at the closest  
550 grid point of a raingauge is considered. When two or more raingauge fall in the same model grid-  
551 cell the average precipitation recorded by these stations is considered.

552 Statistical verification is performed by 2x2 contingency tables for different precipitation thresholds.  
553 For the 3 h rainfall comparison the thresholds are: 0.2, 1.0, 3.0, 5.0, 7.5, 10.0, 15.0, 20 mm/3h. For  
554 daily precipitation the thresholds are: 1, 5, 10, 20, 40, 60 mm/day, being 60 mm/day (7.5 mm/3h)  
555 considered as the threshold for severe precipitation events in the Mediterranean Basin (Jansà et al.,  
556 2014). From the hits (*a*), false alarms (*b*), misses (*c*), and correct no forecasts (*d*) of the contingency  
557 tables, the probability of detection (POD; range [0, 1], where 1 is the perfect score, i.e. when no  
558 misses and false alarms occur), the False Alarm Ratio (FAR; range [0, 1], where 0 is the perfect  
559 score), the Bias (range [0, + ∞), where 1 is the perfect score) and the equitable threat score (ETS;  
560 range [-1/3,1], where 1 is the perfect score and 0 is a useless forecast) are computed (Wilks, 2006):

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$$\begin{aligned}
 POD &= \frac{a}{a+c} \\
 FAR &= \frac{b}{a+b} \\
 Bias &= \frac{a+b}{a+c} \\
 ETS &= \frac{a-a_r}{a+b+c-a_r}; \text{ and } a_r = \frac{(a+b)(a+c)}{a+b+c+d}
 \end{aligned}
 \tag{1}$$

566  
567 where  $a_r$  is the probability to have a correct forecast by chance (Wilks, 2006).

568 The POD gives the fraction of the observed rain events that were correctly forecast. The FAR gives  
569 the fraction of rain forecast events that didn't occur. The Bias tells us the fraction of rain forecast  
570 events with respect to the rain observed events. The ETS measures the fraction of observed and/or  
571 forecast rain events that were correctly predicted, adjusted for hits associated to a random forecast,  
572 where forecast occurrence/non-occurrence is independent of observation/non observation.

573 In order to have a measure of the difference between the CNTRL and F3HA6 forecast a hypothesis  
574 test to verify that the score difference between the two competing models is significant at a  
575 predefined significance level (90%,  $\alpha=0.1$ ; or 95%,  $\alpha=0.05$ ) is made. The test was originally  
576 proposed by Hamill (1999), is based on resampling, and is discussed in Appendix A.

577

### 578 3. Results

579

#### 580 3.1 The 27 October 2012 case study

581 The event studied in this section is taken from the HyMeX SOP1 campaign, which was focused on  
582 heavy precipitation and its societal impact (Ducroq et al., 2014; Ferretti et al., 2014). Nine of the  
583 twenty IOPs (Intense Observing Period) considered in SOP1 occurred in Italy.

584 During SOP1, several upper level troughs extended from the Northern and Central Europe toward  
585 the Mediterranean Basin or entered in the Basin as deep through. Few of them developed a cut-off  
586 low at 500 hPa; the interaction between the upper level troughs and the orography of the Alps  
587 generated a low pressure pattern at the surface in Northern Italy, and usually the whole system  
588 moved along the Italian peninsula. The 27 October 2012 case study, also referred as IOP16a,  
589 belongs to the latter class of events, but it eventually evolved in a cut-off on 28-29 October  
590 (IOP16c). This event, characterized by widespread convection and intense lightning activity, caused  
591 huge precipitation all along the peninsula and also peak values of water level on the Venice Lagoon,

Spostato (inserimento) [1]

Spostato (inserimento) [2]

Spostato in su [1]: The POD gives the fraction of the observed rain events that were correctly forecast.

Spostato in su [2]: The FAR gives the fraction of rain forecast events that didn't occur.

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Eliminato: The null hypothesis of the resampling test is that the difference of the scores between the competitor forecasts is zero. The score is computed from the sum of the contingency tables available (8 multiplied the number of cases, i.e.  $20 \times 8 = 160$  for the 3h precipitation forecast; and 20 for the daily precipitation forecast) to minimize the sensitivity of the test to small changes of the contingency table el (... [1]

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Eliminato: Few of them developed a cut-off, while most of them generated a low pressure pattern in Northern Italy, which usually moved along the Italian peninsula. T

611 where the sea level exceeded twice the warning level of 120 cm (Casaioli et al., 2013; Mariani et  
612 al., 2014).

613 Figure 3 shows the synoptic situation at 12 UTC on 27 October 2012. At 500 hPa, Figure 3a, a  
614 trough extends from NE Europe toward the Western Mediterranean. The interaction between the  
615 trough and the Alps generated a mesoslow over northern Italy, as shown by the 990 hPa contour in  
616 Figure 3b, that caused a cyclonic circulation over most of the peninsula.

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617 In these synoptic conditions, winds over the Tyrrhenian Sea are from W and SW and bring humid  
618 and unstable air over the mainland of Italy. The interaction between the unstable air and the  
619 orography of Italy reinforced the convection, which, however, was already occurring over the sea as  
620 shown by the intense electric activity over the Tyrrhenian Sea (see below).

621 Figure 4a shows the lightning distribution observed by LINET on 27 October 2012. From Figure  
622 4a, convection is apparent over the Tyrrhenian Sea and it is enhanced over the land because of the  
623 interaction between the humid and unstable air masses from the sea and the orography of Italy.

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Eliminato: convection over the Tyrrhenian Sea, which is also active

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624 The daily precipitation (Figure 4b), which is unavailable for a wide area of Central-Northern Italy  
625 shows the widespread convection over the Apennines, with several stations reporting more than 90  
626 mm/day. More than 200 mm are reported in two stations in Southern Italy (15.84E, 40.31N; 207  
627 mm) and (15.98E, 40.16N; 220 mm), while the largest precipitation recorded in NE Italy is 141 mm  
628 (13.54E, 45.85N). Note also the abundant precipitation over Sardinia and over the North-East of  
629 Italy. It is important to note that the rainfall of Figure 4b is computed by summing the 1h  
630 precipitation registered by the raingauges. If one of the 1h observations is unavailable, the  
631 raingauge does not appear in Figure 4b. So, when verifying the precipitation for shorter time scales,  
632 different raingauges could appear compared to those of Figure 4b.

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633 Figures 5a and 5b show the daily precipitation forecast of the CNTRL run and the daily  
634 accumulated precipitation of the F3HA6 run. Figures 5a and 5b shows a high precipitation amount  
635 over the Apennines (> 90 mm/day) all along the peninsula, in agreement with observations.  
636 However, the precipitation is overestimated by both CNTRL and F3HA6, especially above 30  
637 mm/day. This is apparent by comparing the area of the 90 mm/day threshold in Figures 5a-5b with  
638 the comparatively few raingauges reporting this precipitation amount. As it will be shown in the  
639 next section, this is a general behaviour of the RAMS model with the setup used in this paper. Other  
640 features shown by Figures 5a and 5b are: a very heavy precipitation spell in NE Italy, whose area is  
641 overestimated by CNTRL and F3HA6; a high precipitation spell over the Liguria-Tuscany area,  
642 which is only partially revealed by observations due to the lack of data; a moderate precipitation

665 over Sardinia, which is underestimated by the CNTRL forecast both for the precipitation area and  
666 amount.

667 Even if CNTRL and F3HA6 share several precipitation features in common, there are important  
668 differences between Figures 5a and 5b. The convection over the sea is underestimated by CNTRL.  
669 Even if we cannot prove it by the precipitation amount, the intense electrical activity over the  
670 Central Mediterranean Sea, and especially over the Tyrrhenian Sea, shows that the convective  
671 activity over the sea is underestimated by CNTRL.

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672 The convection over the sea is simulated by F3HA6 thanks to the lightning data assimilation. When  
673 the convection is advected over the land it increases the precipitation. This is clearly shown by the  
674 precipitation over Sardinia, which increases both in areal coverage and rainfall amount for F3HA6  
675 compared to CNTRL.

676 Other differences between the precipitation field of CNTRL and F3HA6 can be discussed more  
677 easily by the difference of the precipitation fields. Figure 5c shows the precipitation difference  
678 between CNTRL and F3HA6 in this order, so that positive values show larger precipitation for  
679 CNTRL, while negative values show larger precipitation for F3HA6.

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680 From Figure 5c it is apparent that the precipitation of F3HA6 increases over large areas of the  
681 domain, especially over the Tyrrhenian Sea. The rainfall over Sardinia increases up to 40 mm/day,  
682 showing the important impact of the lightning assimilation on the forecast. However, the largest  
683 differences are found along the Apennines with values up to 80 mm/day.

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684 In general, the lightning assimilation increases the precipitation, nonetheless Figure 5c shows also  
685 areas where the precipitation of F3HA6 decreases compared to CNTRL, because of the different  
686 evolution of the storm in the two simulations. This is especially evident over the Adriatic coast of  
687 the Balkans where positive-negative patterns alternate every few tens of kilometres. We will discuss  
688 further this point later on in this section.

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689 Up to now, we considered the impact of the lightning assimilation on the daily precipitation, i.e.  
690 when the rainfall of the eight F3HA6 forecasts in a day are added, however the main focus of this  
691 paper is on the short-term precipitation forecast. To consider this point, Figure 6a shows the  
692 observed precipitation accumulated between 06 and 09 UTC, and the corresponding precipitation  
693 for the CNTRL (Figure 6b) and F3HA6 (Figure 6c).

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694 Figure 6a shows a considerable precipitation spells (about 40 mm/3h) over NE Italy, in some spots  
695 over the Apennines all along Italy, and, somewhat smaller, over Sardinia.



705 Comparing Figure 6b with Figure 6a it is apparent that the CNTRL forecast is able to catch several  
 706 features of the precipitation field, as the local spots of heavy rain over the Apennines or the rain  
 707 spell over NE Italy, the main error being the scarce precipitation simulated over Sardinia. This issue  
 708 is in part solved by the F3HA6 forecast (Figure 6c), which shows larger precipitation compared to  
 709 CNRTL over Sardinia.

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710 To better focus on the improvement given by the lightning data assimilation on the short term QPF  
 711 we consider the precipitation hits, i.e. the correct forecasts, of the contingency tables. Figure 7a  
 712 shows the difference between the hits of the F3HA6 and CNTRL (in this order) for the 1 mm/3h (8  
 713 mm/day) threshold. In Figure 7a, the +1 (red asterisk) shows a station where the CNTRL forecast  
 714 did not predict a precipitation equal or larger than the threshold, while the F3HA6 correctly  
 715 predicted a rainfall equal or larger than the threshold at the raingauge. The -1 value (blue asterisk)  
 716 shows the opposite behaviour. In Figure 7a there are fifty-two new correctly predicted events for  
 717 F3HA6. They are located in the Apennines and, mostly, over Sardinia, where CNTRL missed the  
 718 forecast (Figures 5a-5b). There are also two stations where the lightning assimilation worsens the  
 719 forecast, because of the different evolutions of the storms in CNTRL and F3HA6, nevertheless the  
 720 benefits of the lightning data assimilation on the short term QPF are apparent for the 1 mm/3h  
 721 threshold.

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722 Figure 7b shows the difference between the hits of F3HA6 and CNTRL for the 10 mm/3h (80  
 723 mm/day) threshold, which is more interesting when considering moderate-high rainfall amounts.  
 724 For this threshold, the lightning data assimilation improves the forecast because twelve new events  
 725 are correctly predicted by F3HA6 along the Apennines and over Sardinia.

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726 It is important to note the precision of the correction to the precipitation field given by the lightning  
 727 data assimilation. The positive-negative pattern of the difference between the precipitation fields of  
 728 CNTRL and F3HA6 (shown for the daily precipitation, Figure 5c, with amplitudes of tens of  
 729 kilometres in the Central Apennines) is found, with lower amplitude, also for the 3h forecast (not  
 730 shown). The F3HA6 forecast gave the correct prediction of several new stations for both 1 mm/3h  
 731 (fifty-two raingauges) and 10 mm/3h (twelve raingauges) thresholds, while losing only two stations  
 732 correctly predicted by CNTRL for the 1 mm/3h threshold. This shows that the precipitation is added  
 733 where necessary, but also that it is subtracted where it did not occur, i.e. only two correct forecasts  
 734 are lost by the lightning data assimilation. [For example, between 03 and 06 UTC there are 110](#)  
 735 [stations where the precipitation is reduced by more than 1 mm/3h, 20 stations where it is reduced by](#)  
 736 [more than 5 mm/3h, and 7 stations for which the precipitation is reduced by more than 10 mm/3h.](#)

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748 It is worth noting that the stations correctly forecast by both CNTRL and F3HA6 for a given  
749 precipitation threshold do not appear in Figures 7a and 7b. This occurs, for example, for the  
750 raingauges in NE Italy.

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751 This section showed how the data assimilation technique of this study works and how it is able to  
752 add new correct forecasts (hits) to CNTRL for a case study. In the following section, scores based  
753 on contingency tables are presented for a total of twenty case studies in order to quantify, in a  
754 statistically robust way, the benefits of the total lightning data assimilation on the short-term QPF.

755

### 756 3.2 Statistical scores

757 In this section we discuss the statistical scores of the F3HA6 forecast in comparison to CNTRL.  
758 The results of the ASSIM run are also presented as the benchmark for lightning data assimilation.  
759 First we discuss the results for the daily precipitation accumulated starting from 3h rainfall  
760 forecasts.

761 Figure 8a shows that the Bias increases with the threshold from 0.8-1.0 (1 mm/day threshold,  
762 depending on the type of simulation) to 2.3-2.6 (60 mm/day threshold), showing a considerable  
763 overestimation of the forecast area for the larger thresholds (> 40 mm/day). The lightning data  
764 assimilation improves the Bias up to 10 mm/day (both F3HA6 and ASSIM), while performance is  
765 worsened by data assimilation for larger thresholds. As expected, the ASSIM shows the largest  
766 Bias, followed by F3HA6 and CNTRL. This is caused by the addition of water vapour by the data  
767 assimilation, which is larger for ASSIM (assimilation performed continuously) compared to F3HA6  
768 (assimilation is not performed in the forecast phase). The statistical test to assess the bias difference  
769 between CNTRL and F3HA6 shows that the two scores are different at 95% significance level for  
770 all thresholds, showing the significant impact of the lightning data assimilation on the precipitation  
771 forecast.

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772 The overestimation of the precipitation area for higher thresholds is well evident, as discussed in the  
773 previous section, in Figures 5a-5b over the Apennines for the 90 mm/day threshold (the ASSIM  
774 simulation, not shown, does not differ substantially from F3HA6). Comparing the result of the Bias  
775 with the same result of Federico (2016), where the same configuration of the RAMS model of  
776 CNTRL was used, we note a considerable increase of the Bias in this work. This difference is  
777 caused by the fact that Federico (2016) considered 50 consecutive days of the HyMeX-SOP1, i.e.  
778 with heavy, moderate and small precipitation, while this study considers only cases with deep and  
779 widespread convection. The RAMS with WSM6 scheme shows the tendency to overestimate the  
780 Bias for increasing precipitation (Federico, 2016; see also Liu et al., 2011 for a general comparison

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786 of the WSM6 microphysical scheme and other microphysical schemes available in the Weather  
787 Research and Forecast (WRF) model), and this tendency is amplified for the heavy precipitation  
788 events considered in this work.

789 Figure 8b shows the ETS score. For CNTRL it decreases from 0.35 (1 mm/day) to 0.17 (60  
790 mm/day). The ETS increases for F3HA6, especially for thresholds lower than 30 mm/day, showing  
791 the positive impact of the lightning assimilation on the precipitation forecast. The difference of the  
792 ETS for F3HA6 and CNTRL is statistically significant at 95% level for thresholds up to 20 mm/day  
793 threshold, and not significant for larger precipitation. The ASSIM simulations show a further  
794 increase of the ETS compared to F3HA6 because of their ability to better represent the convection  
795 during the simulation through lightning data assimilation.

796 The POD (Figure 8c) for CNTRL decreases from 0.70 (1 mm/day) to 0.52 (60 mm/day), i.e. half of  
797 the potentially dangerous events are correctly predicted. It is also noted the rather stable value of the  
798 POD (0.6) between the 10 and 40 mm/day thresholds. The POD increases for F3HA6. The lowest  
799 increment is attained for 60 mm/day (0.04, i.e. 4% more potentially dangerous events are correctly  
800 forecast compared to CNTRL), the largest for the 1 mm/day (6.5%). Differences between the POD  
801 of CNTRL and F3HA6 are significant at 95% level for all thresholds showing the robust  
802 improvement of the performance for this score using lightning data assimilation. Notably, the  
803 ASSIM run increases the POD of 8-10%, depending on the threshold.

804 The FAR for CNTRL (Figure 8d) increases from less than 0.2 (1 mm/day threshold; i.e. less than  
805 20% of the forecasts are false alarms) to 0.8 (60 mm/day threshold; i.e. 80% of the forecasts are  
806 false alarms). The lightning assimilation improves the performance for the FAR but differences are  
807 statistically significant for 1 mm/day (90% level), 5 and 10 mm/day (95% level). The inspection of  
808 the contingency tables shows that the improvement of the FAR for those thresholds is attained by a  
809 larger number of hits but there is also an increase of the false alarms. In general, the lightning  
810 assimilation increases the precipitation, which is already overestimated for the larger thresholds by  
811 CNTRL. So, the POD and the hit rate are increased by lightning data assimilation, but also the false  
812 alarms, which were already reported in CNTRL, especially for the larger thresholds (> 30 mm/day).  
813 Anyway, we believe that the result is overall helpful for operational purposes.

814 Figure 9a shows the Bias for the 3h precipitation forecast. The Bias for CNTRL increases from  
815 about 1 (0.2 mm/3h threshold) to 2.5 (20 mm/3h threshold). The Bias differences between CNTRL  
816 and F3HA6 are significant at 95% level for all thresholds.

817 The ETS score (Figure 9b) for CNTRL shows a decrease from 0.33 (0.2 mm/3h threshold) to 0.13  
818 (20 mm/3h threshold). The ETS is larger for F3HA6 compared to CNTRL and the differences of the

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Eliminato: Bias increases for F3HA6 and ASSIM compared to CNTRL and the

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scores are significant at 95% level for all thresholds. It is also noted that, while the ETS is positive for all thresholds, [the ETS value is rather low for the 20 mm/3h threshold, limiting the usefulness of the forecast.](#)

Figure 9c shows the POD for the 3h forecast. The value for CNTRL decreases from 0.63 (0.2 mm/3h) to 0.43 (20 mm/3h). The POD increases for F3HA6, notably for thresholds up to 7.5 mm/3h (>5%), while the improvement is smaller (3%-4%) for larger thresholds.

Figure 9d shows the FAR for the 3h forecast. The FAR increases from 0.3 to 0.83 for the CNTRL forecast. The FAR for F3HA6 decreases (1-3% depending on the threshold) and the [improvement](#) is the result of the increase of the hits but it is also associated to an increase of the false alarms.

838

#### 839 4. Discussion and conclusions

This study shows the application of a total lightning data assimilation technique, developed by Fierro et al. (2012), to the RAMS model with WSM6 microphysics scheme (Federico, 2016). The technique adds water vapour to grid columns where flashes are observed, and the water vapour added at constant temperature depends on the flash rate and on the graupel mixing ratio. Water vapour is added to the model when suitable, while the water vapour is unchanged when the model predicts a value larger than that of the data assimilation algorithm. This paper shows a realistic implementation of the assimilation/forecast procedure that can be adopted in operational weather forecast.

The results of this paper show that the methodology is effective at improving the short-term (3h) precipitation forecast. More in detail, the analysis of the 27 October shows that the total lightning data assimilation is able to trigger convection over the sea and, when convection is advected over the land, it improves the short-term precipitation forecast. This effect is apparent over Sardinia for the case study. The humid marine air masses interact with the local orography causing or reinforcing convection. Also, the lightning data assimilation improves the rainfall forecast adding precipitation where it is observed and increasing the hits of the short-term forecast.

[The advection of convection from the sea to the land was important in most case studies considered in this paper, and we can conclude that it plays a fundamental role. There are cases, however, when it is less important, as for the severe and localized storm that occurred in NE Italy on 12 September 2012 \(Manzato et al., 2014\). For this case, the storm developed and evolved over land, and the difference between the precipitation field of the CNTRL and F3HA6 is confined inland, over NE](#)

**Eliminato:** The ETS of ASSIM, as expected, is larger than that of F3HA6.

**Eliminato:** showing the usefulness of the forecast, the ETS value is rather low for the 20 mm/3h threshold. This is mainly caused by the large number of false alarms for this threshold.

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**Eliminato:** The score difference between F3HA6 and CNTRL is statistically significant at 95% level for all thresholds.

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**Eliminato:** , showing again the tendency of the false alarms to increase with increasing precipitation thresholds.

**Eliminato:** differences of the FAR for CNTRL and F3HA6 are statistically significant at 95% level up to the 7.5 mm/3h threshold and at 90% significance level for 10 mm/3h and 20 mm/3h threshold. As for the daily precipitation forecast, the FAR improvement for F3HA6

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Italy, and it is larger than 40 mm (see the discussion of this paper for the map of the precipitation difference between CNTL and F3HA6; Federico et al., 2016).

The analysis of the scores for the 3h precipitation forecast, computed for twenty cases characterized by intense lightning activity and widespread convection, confirms the improvement of the precipitation forecast using lightning data assimilation. The ETS and POD increase for all thresholds considered for F3HA6 compared to CNTRL and the difference between the scores of the competitor forecast is significant at 95% level for all thresholds. The FAR is also improved and the difference between the scores of F3HA6 and CNTRL is statistically significant for all thresholds with the exception of the 15 mm/3h. The FAR improvement of F3HA6 is caused by the increase of the hits, but it is also associated to a larger number of false alarms.

The Bias is the only score that worsens with lightning data assimilation. The Bias of the RAMS model with the WSM6 microphysics scheme is larger than one for most thresholds for the case studies of this paper. Because the lightning data assimilation adds water vapour to the model, the tendency to overestimate the precipitation area, especially for the larger thresholds, is worsened by the lightning data assimilation.

In addition to the 3h forecast, the scores and precipitation field are analysed for the daily precipitation for completeness and for comparison with other studies. Recently, Giannaros et al. (2016) presented the WRF- LTNGDA, a lightning data assimilation technique implemented in WRF. They presented the results for eight cases in Greece. Their assimilation strategy focuses on the daily rainfall prediction (tomorrow daily precipitation). Their analysis (see their Figure 3, note also that the maximum precipitation threshold is 20 mm/day in their study) shows that the POD increases when lightning data assimilation is compared to CNTRL, and the increase of the POD is up to 5%. Moreover, for some thresholds, the lightning assimilation lowers the POD because of the different patterns followed by the storms in the simulations with or without lightning data assimilation.

Our results show that the POD improves for all precipitation thresholds when lightning data assimilation is used and the percentage of improvement is slightly better than that reported in Giannaros et al. (2016) for the lower thresholds (below 10 mm/day). Even if we cannot give a definitive answer to this issue, because of the many important differences between this study and that of Giannaros et al. (2016), the lightning data assimilation technique has a role. In our case, lightning data are assimilated also for the actual day (6h assimilation before the forecast start time followed by 3h forecast, Figure 2), while in Giannaros et al. (2016) the assimilation is done only for the day before the actual day (6h assimilation followed by 24 h forecast). So, our technique should

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915 improve the correct location of the convection during the actual day compared to their approach, as  
916 shown by the improvement, i.e. the difference between the POD of the simulations with or without  
917 lightning data assimilation.

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918 However, other differences play a role: first the two studies refer to different regions and to  
919 different events. In our case the extension of the region, the number of the events, and the number  
920 of verifying stations are larger. Moreover, two different model suites are used (WRF and RAMS).  
921 These differences are clearly seen in the score values. The POD of Giannaros et al. (2016), is larger  
922 than that of this study, especially for thresholds lower than 20 mm/day. Another important  
923 difference arises from the different convective nature of the storms considered in the two works.  
924 The performance of the precipitation forecast is clearly dependent on the type of event, i.e.  
925 widespread or localized convection (Giannaros et al., 2016) and, because the events considered in  
926 the two studies are different, the comparison can be only qualitative. Nevertheless, both studies  
927 show that the lightning data assimilation improves the precipitation forecast robustly, and can be  
928 used in the operational context.

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929 While the results of this study are encouraging, there are a number of issues that need further  
930 investigation. The water vapour is added for the grid column where the lightning is observed.  
931 However, the lightning is often the result of a process involving larger scales than the horizontal  
932 grid spacing considered in this paper (4 km). A spatial extension of the influence of the lightning  
933 perturbation on the water vapour field should be explored. For this approach the applications of the  
934 methods involving the model error matrix are foreseeable and will be investigated in future studies.  
935 The problem of the spatial extension of the water vapour perturbation caused by lightning to the  
936 model was considered in Fierro et al. (2012) by remapping the flashes onto a coarser horizontal  
937 resolution grid (9 km), while no similar approach is done in this study.

938 A problem arising with the RAMS model using the WSM6 microphysics scheme is the  
939 overestimation of the precipitation area for large rainfall thresholds. This tendency was already  
940 noted in Federico (2016), and it is amplified for the cases of widespread convection considered in  
941 this study. The high number of false alarms decreases the ETS score for high precipitation, reducing  
942 the applicability of the method for the largest thresholds (> 100 mm/day). The application of  
943 different microphysical schemes could mitigate this issue.

944 Finally, horizontal resolutions higher than that of this paper are needed to better resolve the  
945 orography and its interaction with air masses. To quantify this point preliminary, we increased the  
946 horizontal resolution of the second domain from 4 km to 2.5 km for the 15 October and 27 October  
947 case studies. Results for the two cases show that the impact of the resolution is notable because the

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precipitation patterns, especially for larger thresholds ( $>50$  mm/day), are less spread in the 2.5 km horizontal resolution experiment compared to 4 km forecast (see the discussion of this paper for the daily precipitation maps for the two cases, Federico et al., 2016). This impact could be beneficial for the scores of the F3HA6 forecast because it has the tendency to overestimate the precipitation area at high thresholds, as shown in this paper. However, these results are preliminary, and future studies are needed to quantify the important impact of the horizontal resolution on the lightning data assimilation forecast.

## Appendix A

We use the resampling method introduced by Hamill (1999) for the comparison of the scores of CNTRL and F3HA6 forecasts (see also Accadia et al. (2003) and Federico et al. (2003)).

The null hypothesis is that the difference of the scores of the two competing models, CNTRL and F3HA6, is zero:

$$H_0: S_1 - S_2 = 0 \quad (A1)$$

Where  $S$  is the generic score (Bias, ETS, POD and FAR), 1 is the CNTRL forecast and 2 is the F3HA6 forecast. The scores are computed from the sum of the contingency tables of the CNTRL and F3HA6 forecasts to minimize the sensitivity of the test to small changes of the contingency table elements.

In this paper the number of contingency tables available is 8 multiplied the number of days, i.e.  $n=20*8=160$  for the 3h precipitation forecast, and  $n=20$  for the daily precipitation forecast.

Indicating the contingency tables by the vector  $x$ :

$$x_{i,j} = (a, b, c, d)_{i,j} \quad (A2)$$

where  $i$  is the competing model ( $i=1$  for CNTRL,  $i=2$  for F3HA6) and  $j$  is the contingency table ( $j=1, \dots, 180$  for 3h forecast, and  $j=1, \dots, 20$  for daily precipitation), the scores are computed from the sum of the contingency tables:

$$S_i = f\left(\sum_{j=1}^n x_{i,j}\right) \quad (A3)$$

and the test statistic is given by the difference between  $S_1$  and  $S_2$ .

The bootstrap method is applied by resampling the contingency tables in a consistent way. For this purpose, a random number  $I_i$  is generated, which can assume the values 1 or 2. If  $I_i$  is 1 the contingency table of CNTRL is selected, if  $I_i \neq 2$  the F3HA6 table is selected. The process is

repeated for each contingency table ( $j=1,\dots,180$  for 3h forecast, and  $j=1,\dots,20$  for daily precipitation) and the scores  $S_1^*$  and  $S_2^*$  are computed:

$$S_1^* = f\left(\sum_{j=1}^n x_{1,j}\right); S_2^* = f\left(\sum_{j=1}^n x_{3-I_j,j}\right) \quad (A4)$$

So, the two  $j$ -th contingency tables are swapped if  $I_j=2$ , while the swapping is not performed for  $I_j=1$ .

This random sampling is performed a large number of times (10.000 in this paper). Each time the scores are computed from the sum of the elements of the resampled contingency tables, Eqn. (A4), to make the null distribution ( $S_1^*-S_2^*$ ) of the difference between the scores of the competing forecasts.

Then we compute the  $t_L$  and  $t_U$  that represent the  $\alpha/2$  and  $(1-\alpha)/2$  percentile of the null distribution ( $S_1^*-S_2^*$ ). The null hypothesis that the score difference between the two competing forecasts is zero is rejected at the level 90 % ( $\alpha=0.1$ ) or 95% ( $\alpha=0.05$ ) if:

$$(S_1 - S_2) < t_L \quad \text{or} \quad (S_1 - S_2) > t_U \quad (A5)$$

where  $S_1$  and  $S_2$  are the generic scores of the actual distributions (not resampled).

## Acknowledgments

This work is a contribution to the HyMeX program. The author acknowledges Meteo-France and the HyMeX program for supplying the data, sponsored by Grants MISTRALS/HyMeX and ANR-11-BS56-0005 IODA-MED project. The ECMWF and Aeronautica Militare – CNMCA are acknowledged for the access to the MARS database. LINET data were provided by Nowcast GmbH (<https://www.nowcast.de/>) within a scientific agreement between Prof. H.-D. Betz and the Satellite Meteorological Group of CNR-ISAC in Rome.

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**Tables**

Table 1: The twenty case studies.

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Month	Days
September 2012	12,13,14,24,26,30
October 2012	12,13,15,26,27,28,29,31
November 2012	4,5,11,20,21,28

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Figures

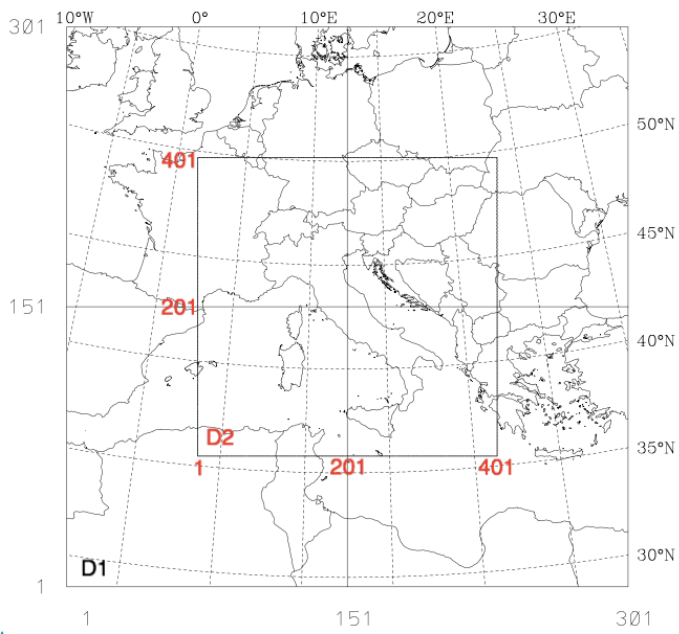


Figure 1: The two domains (D1, D2). D1 has 301 grid points in both the WE and SN directions; D2 has 401 grid points in both WE and SN directions.

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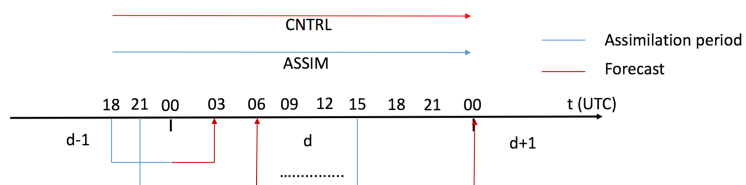
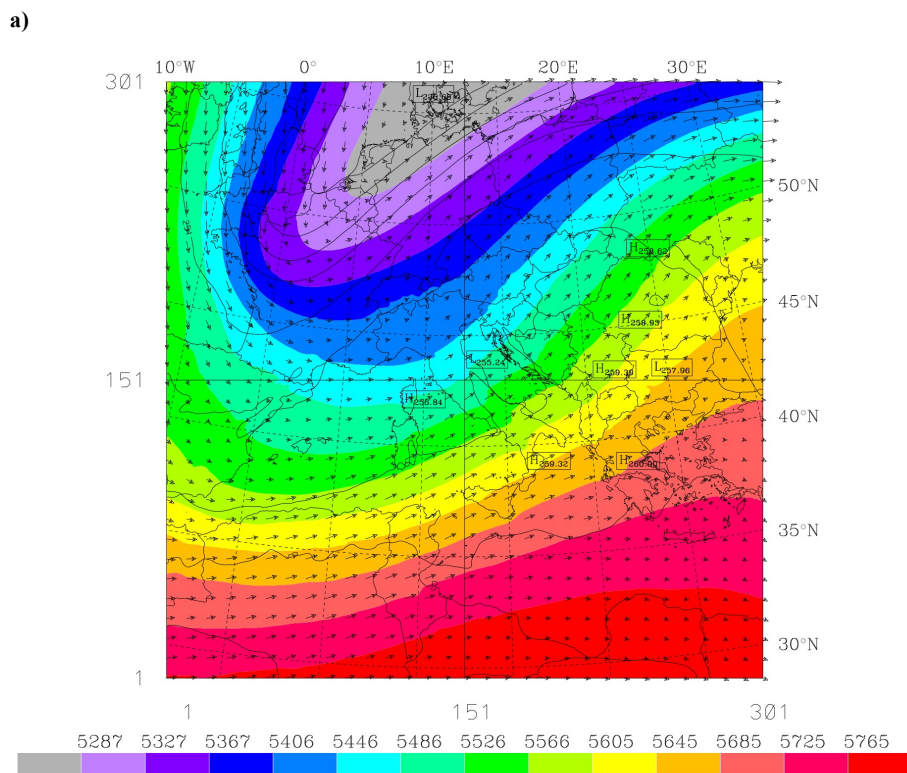
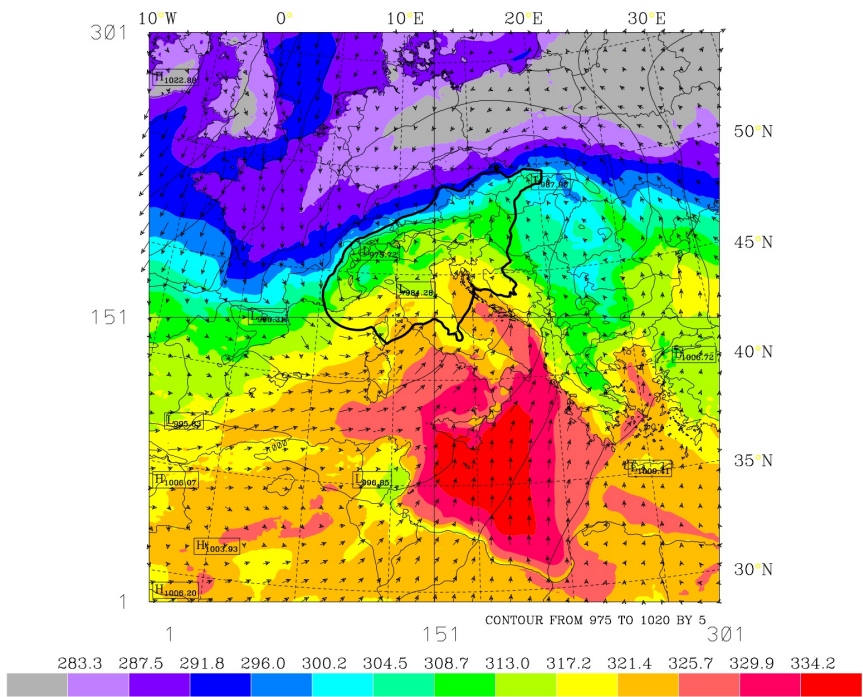


Figure 2: Synopsis of the simulations F3HA6 (below the timeline). The blue line is the assimilation stage, while the red line is the forecast stage; d, d+1 and d-1 are the actual day, the day after and the day before the actual day, respectively. In the upper part of the figure the CNTRL and ASSIM simulations are shown.

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1195    **b)**

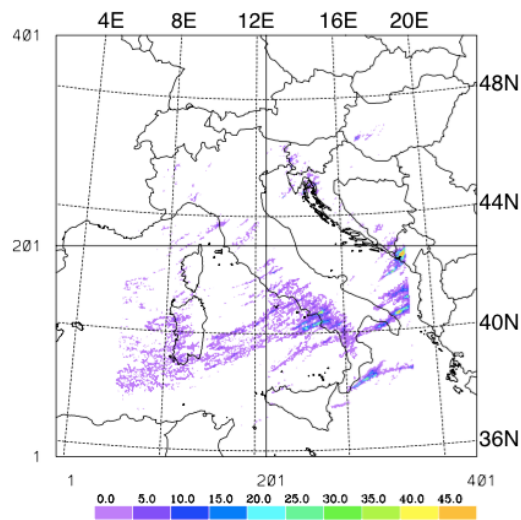


1196  
1197 Figure 3. Synoptic situation at 12 UTC on 27 October 2012; a) 500 hPa: temperature (black  
1198 contours from 236 K to 269 K every 3 K), geopotential height (filled contours, values shown by the  
1199 colour bar at the bottom) and wind vectors (maximum wind value 41 m/s); b) surface: Sea level  
1200 pressure (contour from 975 to 1020 hPa every 5 hPa, the thick line is the 990 hPa contour),  
1201 equivalent potential temperature (filled contours, values shown by the colour bar at the bottom), and  
1202 winds (maximum wind vector is 17 m/s) simulated at 25 m above the underlying surface in the  
1203 terrain-following coordinates of RAMS. This figure is derived from the RAMS run at 10 km  
1204 horizontal-resolution. The bottom and left axes show the grid point number, while the top and right  
1205 axes show the geographical coordinates.

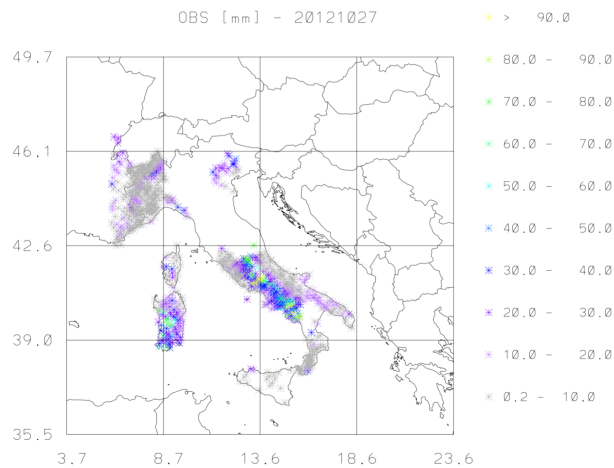
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1217 a)



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1219 b)



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1221 Figure 4: a) Lightning density on 27 October 2012 [number of flashes/16 km<sup>2</sup>]. The lightning  
1222 number is obtained by remapping the lightning observed by LINET onto the RAMS grid at 4 km  
1223 horizontal resolution. Note that the lightning are cut on all sides (this is especially evident on the  
1224 Eastern bound) because of the data availability. The bottom and left axes show the grid point  
1225 number, while the top and right axes show the geographical coordinates; b) daily precipitation [mm]  
1226 recorded by available raingauges on 27 October 2012.

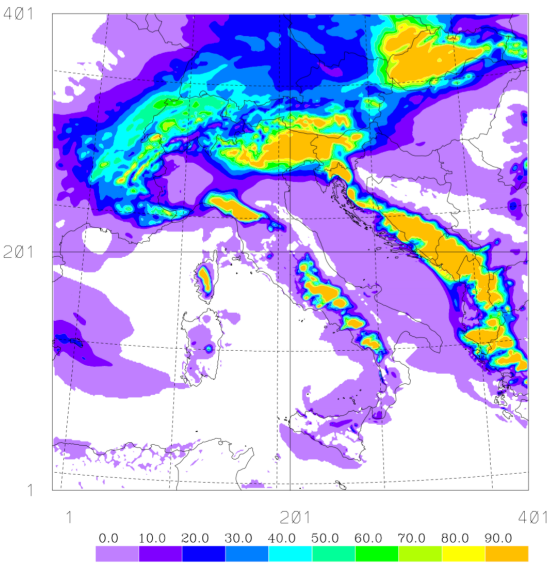
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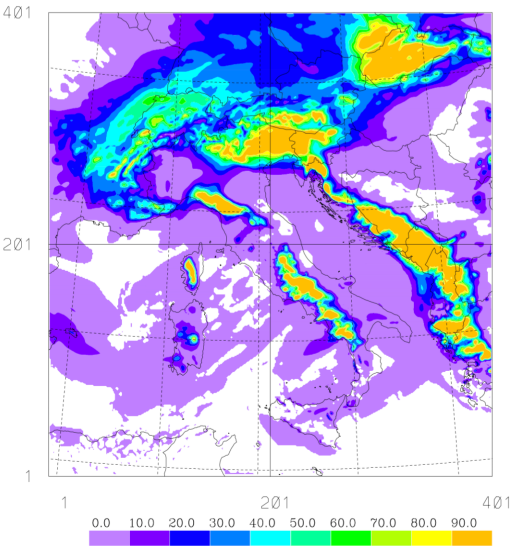
Eliminato: The figure shows the RAMS domain for R4.



1231 a)



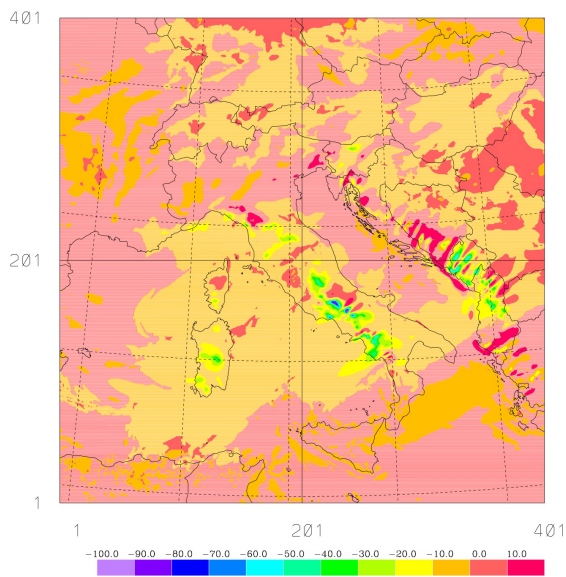
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1234 b)



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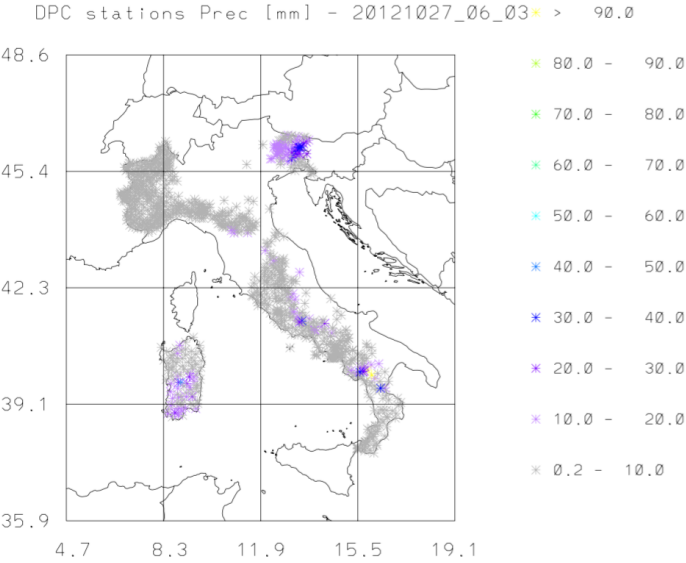
1237 c)  
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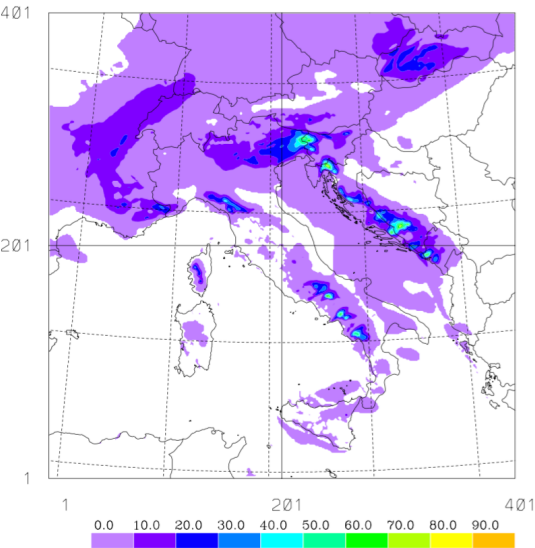
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1240 Figure 5: a) daily precipitation [mm] forecast of CNTRL (maximum value 300 mm in Southern  
1241 Italy; over NE Italy the maximum value is 135 mm); b) daily precipitation [mm] forecast obtained  
1242 by summing the eight 3h forecasts of F3HA6 (the maximum value is 320 mm in Southern Italy;  
1243 over NE Italy the maximum simulated value is 132 mm); c) difference of daily precipitation [mm]  
1244 between CNTRL and F3HA6.

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1259   **a)**

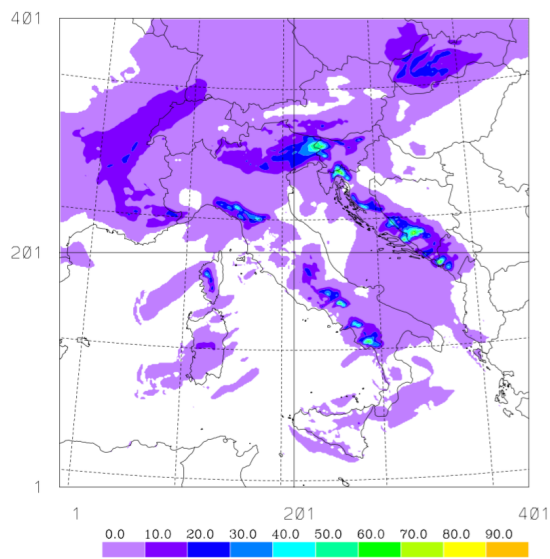


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1262   **b)**



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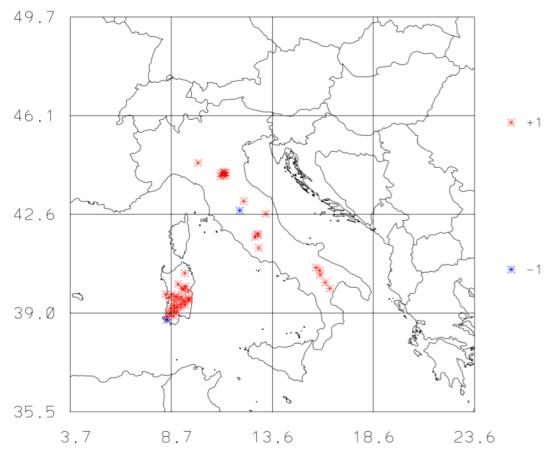


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1268 Figure 6: a) Precipitation [mm] recorded by raingauges between 06 and 09 UTC; b) As in a) for the  
1269 CNTRL forecast; c) As in a) for the F3HA6 forecast.

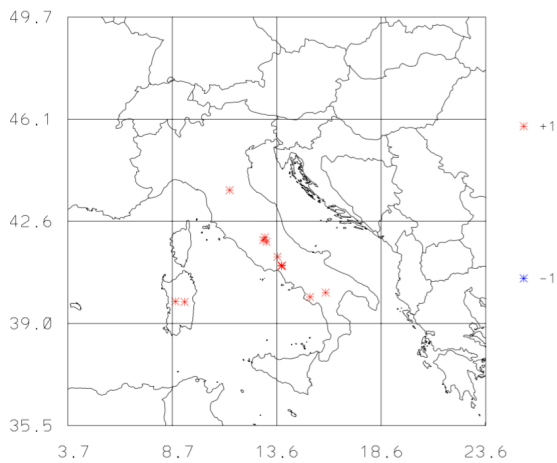
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1287 **a)**



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1290 **b)**  
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1293 Figure 7: a) Difference between the hits of the contingency tables of F3HA6 and CNTRL for the 1  
1294 mm/3h (8 mm/day) forecast; b) As in a) for the 10 mm/3h (80 mm/day) threshold.

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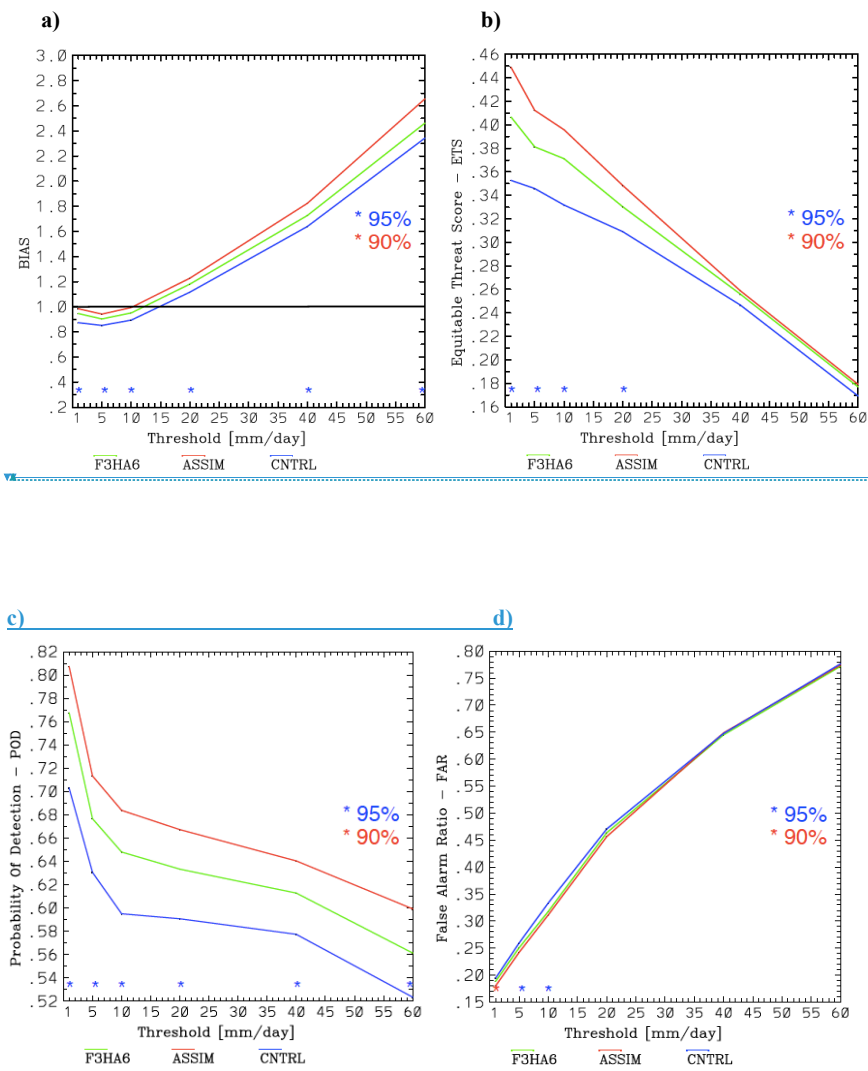
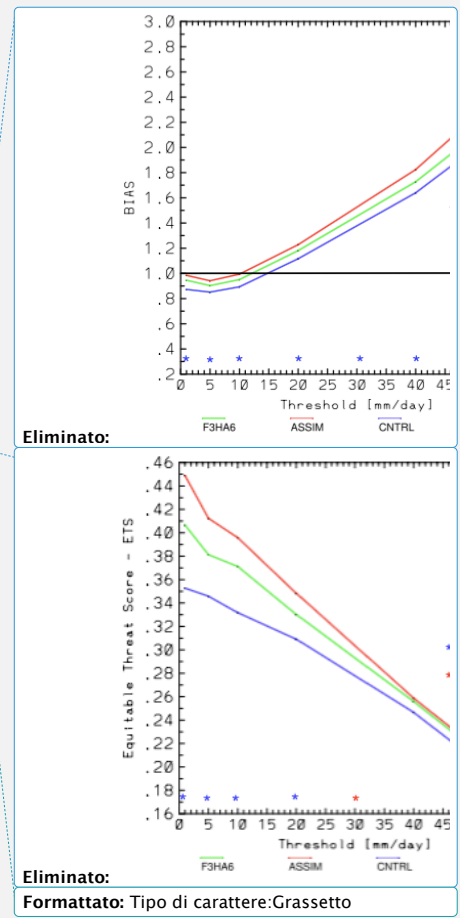


Figure 8: Scores for the daily precipitation computed by summing the contingency tables of all twenty case studies; a) Bias (the line of the perfect score 1.0 is shown in black); b) Equitable Threat Score; c) Probability of Detection; d) False Alarm Ratio. F3HA6 is in green, ASSIM is in red and CNTRL in blue. The asterisks above the x-axis show the results of the hypothesis testing (95% blue, 90% red) of the difference between F3HA6 and CNTRL scores.



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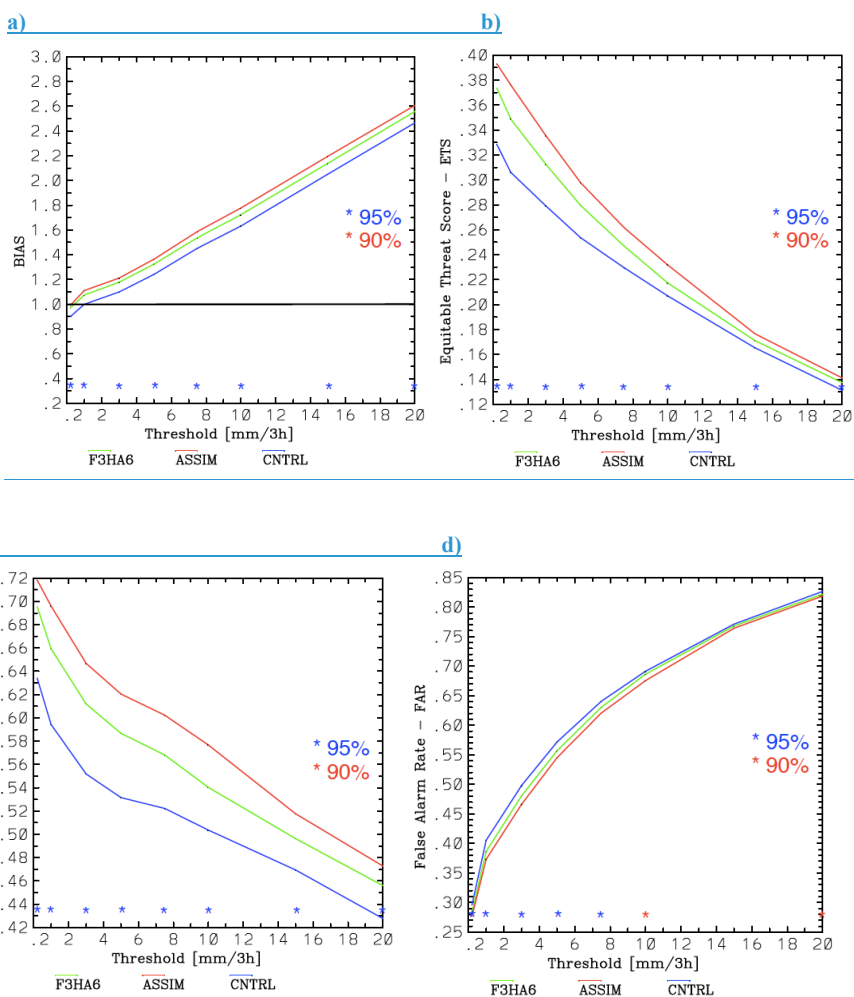


Figure 9: Scores for the 3h precipitation computed by summing the 160 contingency tables of the twenty case studies; a) Bias (the line of the perfect score 1.0 is shown in black); b) Equitable Threat Score; c) Probability of Detection; d) False Alarm Ratio. F3HA6 is in green, ASSIM is in red and CNTRL in blue. The asterisks above the x-axis show the results of the hypothesis testing (95% blue, 90% red) of the difference between F3HA6 and CNTRL scores.

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The null hypothesis of the resampling test is that the difference of the scores between the competitor forecasts is zero. The score is computed from the sum of the contingency tables available (8 multiplied the number of cases. i.e.  $20 \times 8 = 160$  for the 3h precipitation forecast; and 20 for the daily precipitation forecast) to minimize the sensitivity of the test to small changes of the contingency table elements.

A random sampling of the contingency table elements was performed 10.000 times using the bootstrapping technique, as detailed in Accadia et al. (2003) and Federico et al. (2003). Each time the scores are computed from the sum of the elements of the resampled contingency tables to make the null distribution of the difference between the scores of the competitor forecasts.

Then we compute the  $t_L$  and  $t_U$  that represent the  $\alpha/2$  and  $(1 - \alpha)/2$  percentile of the null distribution  $(S_1^* - S_2^*)$  where  $S_1^*$  and  $S_2^*$  are the generic scores of the resampled distributions. The null hypothesis that the score difference between the two competitor forecasts is zero is rejected at the level 90 % ( $\alpha=0.1$ ) or 95% ( $\alpha=0.05$ ) if:

$$(S_1 - S_2) < t_L \quad \text{or} \quad (S_1 - S_2) > t_U$$

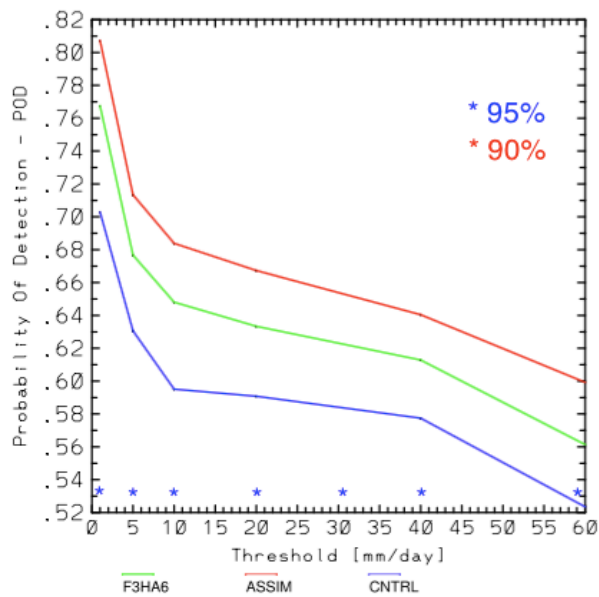
where  $S_1$  and  $S_2$  are the generic scores of the actual distributions (not resampled).

Table 1: RAMS grid-setting for R10 and R4. NNXP, NNYP and NNYZ are the number of grid points in the west-east, north-south, and vertical directions. Lx(km), Ly(km), Lz(m) are the domain extension in the west-east, north-south, and vertical directions. DX(km) and DY(km) are the horizontal grid resolutions in the west-east and north-south directions. CENTLON and CENTLAT are the geographical coordinates of the grid centres.

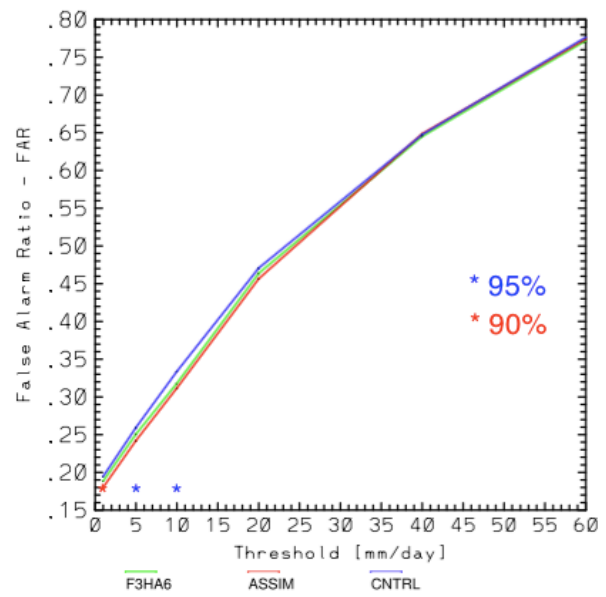
	<b>R10</b>	<b>R4</b>
NNXP	301	401
NNYP	301	401
NNZP	36	36
Lx	3000 km	1600 km
Ly	3000 km	1600 km
Lz	~22400 m	~22400 m
DX	10 km	4 km
DY	10 km	4 km
CENTLAT (°)	43.0 N	43.0 N
CENTLON (°)	12.5 E	12.5 E



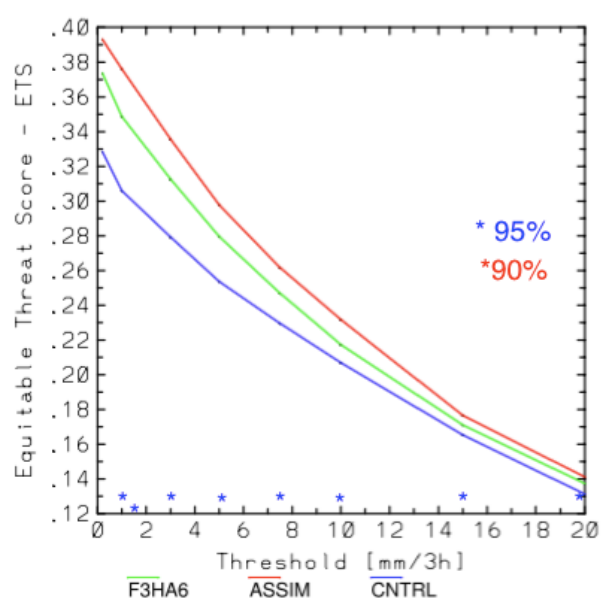
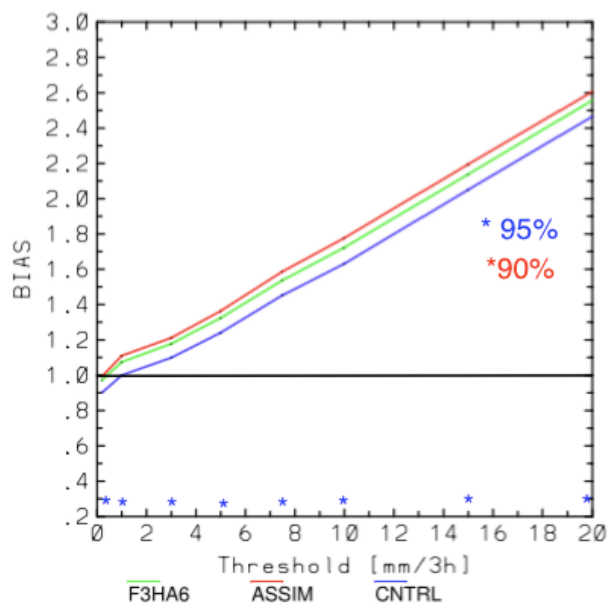
c)



d)



b)



c)

d)

