

1 **[Authors' Response for nhess-2016-285]**

2

3 **Editor Decision: Reconsider after major revisions (further review by Editor and Referees)**
4 **(26 Nov 2016) by Prof. Dr. Paolo Tarolli / Comments to the Author:**

5 Dear Authors, your paper has been revised by two reviewers. They raised several critical issues
6 that need to be fixed before the publication. You provided a detailed feedback during the
7 NHESS open discussion. I think you should have a chance to propose a revised version of your
8 work. My recommendation is to accept this paper after major changes.

9 In submitting your revised version, please provide a detailed list of the changes made to the text,
10 and a detailed list of your responses to the reviewers' comments.

11 Please note that this editorial decision does not guarantee that your paper will be accepted for
12 final publication in NHESS. A decision will be made when the revised version will be available,
13 and will be evaluated with the help of the same, or further reviewers.

14 Best regards / Paolo Tarolli

15

16 The authors appreciate continuous handling of this manuscript on NHESS. The manuscript was
17 revised as follows. Revised parts are colored in red in a new manuscript and their pages and
18 lines are denoted in a bold font in this document.

19 **Reply comments (AC1) for the interactive comments on “Multiple remote sensing**
20 **assessment of the catastrophic collapse in Langtang Valley induced by the 2015 Gorkha**
21 **Earthquake” by Hiroto Nagai et al.**

22

23 The authors thank the anonymous referee #1 for his/her valuable comments. We improved the
24 manuscript according to his/her comments as following:

25

26 **General comments**

27 This paper demonstrated an assessment of the sediments caused by a catastrophic avalanche,
28 using Remote Sensing data, such as, ALOS-2, WorldView-3, ALOS World 3D, etc. The topic
29 of this manuscript is quite interesting, because L-band (PALSAR-2) could penetrate the cloud
30 and vegetation. In fact, catastrophic collapse (earthquake, debris flow, landslide, etc.) always
31 seem to be associated with rain and vegetation. So, PALSAR-2 have a great potential to
32 immediately indicate a catastrophic collapse and contribute to decision-making for such hazards
33 in the monsoon season. However, this manuscript need more information to illustrate its
34 conclusions. Below, I comment on the few things which I think can be improved.

35 We improved our manuscript especially to clarify what was already known for this hazard,
36 what remote-sensing techniques which we used can identify the mountain hazard, and what
37 we can mention from the technique for this specific hazard.

38

39 **Specific comments**

40 (1) “Introduction”, in this section, introduced too many information about study site (move it to
41 the 2.1 section), but lack the background and innovation to this research, it can’t attract the
42 reader’s interest immediately.

43 We moved “The Langtang Valley is one of...[previous: P02L05-L09]” to the end of the
44 section 2.1. [new: P02L30]. In terms of describing our motivation, we already know that was
45 a catastrophic avalanche event including debris and glacier ice which completely destroyed a
46 mountain village (Kargel et al., 2015; Fujita et al., 2016; Lacroix, 2016). Here we aim to
47 emphasize detail information (further than saying “avalanche”) and what aspect can be
48 identified using remote sensing techniques for such a catastrophic avalanche event. We added
49 here;

50 [new: P02L07] *“Damage detection through SAR technique has been applied for*
51 *urban damaged areas (e.g., Kobayashi et al, 2011; Yonezawa and Takeuchi, 2001;*
52 *Tamura and El-Gharbawi, 2015; Watanabe et al., 2016), but almost no case for a*
53 *large-scale mountain hazard was studied. We apply SAR damage detection for the*
54 *avalanche case. In addition, a detailed interpretation of the damaged area by means*

55 of high-resolution optical satellite imagery coupled with sediment volume estimation
56 would provide detailed features of this avalanche. In this study...”

57

58 (2) “2.1 study site”, I think you’d better add a location map of study site to help to understand
59 where is it.

60 We added a location map with satellite coverage as **Fig. 1**.

61

62 (3) “2.2 Synthetic aperture radar imagery”, just defined normalized coherence decrease (NCD),
63 didn’t explain what is Coherence calculation and how to calculate it, in addition, you can’t leave
64 out the process and method to noises filter, it’s too brief in this part.

65 <Coherence calculation and its normalization >

66 We added further information on the paragraph from **P03L12** “Not only...”:

67 *Not only the amplitude imagery but also the phase information emitted and received*
68 *by the synthetic aperture radar (SAR) contributes to the situational awareness. We*
69 *performed coherence calculation using interferometric phase information of SAR,*
70 *which was explained by Plank (2014) in detail. Coherence can be calculated from two*
71 *SAR images observing an identical place twice from the same orbit and incidence*
72 *angle, thereby achieving similar phase and intensity information of the receiving*
73 *microwave, which is calculated for a pair of SAR images by*

$$74 \quad \gamma = \frac{E\langle c_1 c_2^* \rangle}{\sqrt{E\langle c_1 c_1^* \rangle E\langle c_2 c_2^* \rangle}} \quad (1)$$

75 *where c_1 and c_2 are the corresponding complex-valued pixels of the two images, c^* is*
76 *the complex conjugate of c , and E indicates the expected value. The detailed*
77 *mathematical procedure is described in Touzi et al. (1999) and López-Martínez and*
78 *Pottier (2007). A significant change in surface feature between two observations*
79 *results in lower coherence (in other words, lower similarity). Other noisy influences,*
80 *including vegetation growth, can be reduced by calculating normalized differences*
81 *with a coherence calculated from two pre-hazard images. The normalized coherence*
82 *decrease (NCD) is calculated as*

$$83 \quad \gamma_{diff} = \frac{\gamma_{pre} - \gamma_{int}}{\gamma_{pre} + \gamma_{int}} \quad (2)$$

84 *where γ_{pre} is the coherence value between two images before the earthquake (October*
85 *4, 2014 and February 21, 2015), and γ_{int} is the coherence value between the two*
86 *images over the earthquake (February 21 and May 2, 2015). These data were*
87 *acquired from a same orbit with a spatial resolution of 10 m. When γ_{diff} is calculated*

88 for images over a hazard, higher-valued pixels of γ_{diff} indicate the reduction of the
89 similarity, which has high potential of hazard-induced deformation or destruction.
90 Several previous studies applied this method using L-band SAR for damage detection
91 in urban areas (e.g., Kobayashi et al., 2011; Yonezawa and Takeuchi, 2001; Tamura
92 and El-Gharbawi, 2015; Watanabe et al., 2016), but no such study applied this
93 method for mountain hazard. Throughout this study, we aim to emphasize the
94 possibility of normalized conference difference by using L-band SAR for damage
95 detection in mountain regions.

96 <Noise filtering>

97 We added further information and a figure (**Fig. 2**) on the paragraph from **P04L03**;

98 Numerous noises are removed by focal statistics. In the NCD raw image, all pixel
99 values are overwritten by the mean values within 15-pixel circles around each pixel
100 (Fig. 2). This filter emphasizes the concentration of high values, whereas the
101 homogeneously scattered high values are de-emphasized. The detailed steps are as
102 follows:

- 103 1. The radius of a window circle is set as 15 pixels.
- 104 2. A mean value of the pixels in a circle is calculated.
- 105 3. The mean value is placed in the center pixel of the circle.
- 106 4. Moving the circle, every pixel on the output image is filled with the mean
107 values in the same way.

108
109
110 (4)” 2.4 Post-event optical imagery and DSM”, the post-event DSM is very important to
111 calculate the sediments volume, this paper just said “was produced by NTT DATA as its
112 commercial service”, obviously it’s not enough, And “relative calibration/validation of this
113 DSM and the AW3D DSM was performed and summarized in a supplementary material”, I
114 didn’t find the supplementary material.

115 We understand. After that sentence we added further information as;

116 **[P04L29]** The DSM is generated by stereo photogrammetric method using two WV-3
117 images acquired on May 8, 2015 using stereo-area-collect mode (26.2 km swath, 112
118 km path). Two images that are (1) forward looking with cross-track tilting to the west
119 hand (i.e., average off-nadir angle: 27°, average target azimuth: 245° /scene id:
120 104001000BA62E00) and (2) backward looking with cross-track tilting to the west hand
121 (i.e., average off-nadir angle: 27°, average target azimuth: 319° /scene id:
122 104001000B3B2300) were acquired. Spatial resolution after cross-track tilt was 0.38 m,
123 coarsened from 0.31 m because of tilting. DSM generation flow (i.e., stereo matching,

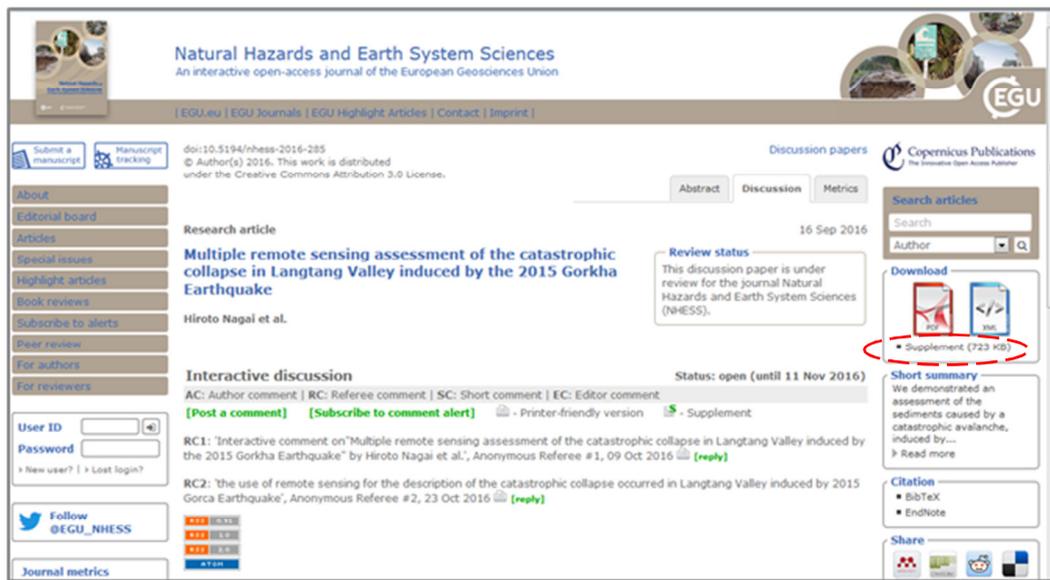
124 *RPC ortho-rectification, pixel resampling, and DSM data output) was performed by*
125 *NTT DATA with their original software, where the geo-referencing process was*
126 *supported by WV-3 accurate orbit information without any in-situ ground control point*
127 *and a resampled pixel spacing of 2 m. Officially announced specification shows a*
128 *vertical accuracy of 4 m and a horizontal accuracy of 5 m as root mean square errors.*
129 *In two sites that are neighboring the sediment surface, relative calibration/validation of*
130 *this DSM and the AW3D DSM was performed and summarized in a supplementary*
131 *material, in which a standard deviation error of 1.5 m between WV-3 and AW3D DSM*
132 *is reported. A pan-sharpened image (high-resolution and composite-color image)*
133 *generated from one scene of the pair was orthorectified by an author with 178 tie points*
134 *onto the PRISM image taken on October 12, 2008.*

135

136 Acknowledgement contains new mention for cooperation by NTT DATA [P10L16].

137

138 The supplementary material is provided from the right column here (circled in red below).



139

140

141 (5) Is it possible to do field survey to verify the results?

142 Fujita et al. (2016) performed an in-situ survey. They estimated the total volume of the
143 avalanche sediment as $6.81 \times 10^6 \text{ m}^3$, which is 109% of what we estimated. We added their
144 information to the discussion chapter;

145 [P09L21] Furthermore, Fujita et al. (2016) performed an in-situ survey from which
146 they estimated the total volume of the avalanche sediment as $6.81 \times 10^6 \text{ m}^3$, which is
147 109% of what we estimated. Thus, a comparison with the satellite-based studies by

148 *Kargel et al. (2015) and Lacroix (2016) indicates that our estimated sediment volume is*
149 *within the most equivalent order to that from the in-situ measurement by Fujita et al.*
150 *(2016).*

151

152 (6) Improve the quality of the figures

153 We have higher resolution figures in the revised version.

154 **Reply comments (AC2) for the interactive comments on “Multiple remote sensing**
155 **assessment of the catastrophic collapse in Langtang Valley induced by the 2015 Gorkha**
156 **Earthquake” by Hiroto Nagai et al.**

157

158 The authors thank the anonymous referee #2 for his/her valuable comments. We improved the
159 manuscript according to his/her comments as following:

160

161 In this manuscript, the authors describe the use of different remote sensing approaches for the
162 identification of the effects of the 2015 Gorka Earthquake. In my opinion, the topic is very
163 interesting and suitable for this journal, but the manuscript could be considered ready for the
164 publication only after major revisions. In the following some suggestions for the authors:

165 We improved our manuscript especially to clarify what was already known for this hazard,
166 what remote-sensing techniques which we used can identify the mountain hazard, and what
167 we can mention from the technique for this specific hazard.

168

169 Page 1 line 30: in the abstract the authors describe an avalanche and they introduce that the
170 paper will be focused on it. After, in the introduction, they introduce the presence of avalanche,
171 but also landslides and other gravitational processes. For the reader is not very easy to
172 understand which what happened in this area and then to follow the authors in the description of
173 their work. I suggest to rewrite the introduction and to describe better the effects of the
174 earthquake. Starting from the avalanche it is important to define if it is an ice avalanche from
175 glaciers or rock avalanche or another more complex phenomenon. A good definition of the
176 effects of the earthquake is fundamental to give to lectors the possibility to evaluate the
177 effectiveness of the approach proposed by the authors.

178 We are sorry for this complicated expression. Now most of the material is considered as an
179 avalanche including numerous boulders (debris) and possibly involving glacier ice along the
180 path. To review this proceeding, further information and a figure (**Fig. 5**) was attached at the
181 beginning of section 4.2. as;

182 **[P08L27]** *At an early time, Kargel et al. (2015) defined this event as a landslide, but*
183 *they also mentioned “co-seismic snow and ice avalanches and rockfalls” with an*
184 *image of lower surface temperature observed by Landsat-8 thermal infrared sensor.*
185 *Lacroix (2016) defined it as a debris avalanche composed mostly of ice and discussed*
186 *its triggers around the mountain ridge above two glaciers. Fujita et al. (2016)*
187 *confirmed sediment boulders on the surface, including melting ice (Fig. 7) and rapid*
188 *surface lowering after the quake, through an in-situ survey, thereby suggesting that*
189 *contained ice and snow were melting under the debris. Fujita et al. (2016) concluded*

190 *that extremely heavy snowfall before the quake increased its volume, a finding that was*
191 *coupled with weather station data. Therefore, we think this event should be defined as*
192 *“a catastrophic avalanche event including debris and glacier ice” in our introduction.*
193 *Our finding from the interpretation of a high-resolution WV-3 image suggests several*
194 *layers of the sediment. Multiple segments of the collapsed sediment classified with a*
195 *WV-3 image imply different sediment sources that have fallen continuously in a short*
196 *period of time, generating sediment layers (Fig. 5). We could...*

197

198 Also a new sentence was added to the abstract as;

199 **[P01L19]** *Our findings suggest that the avalanche event did not supply a*
200 *homogeneous snow-and-ice material with debris but supplied multiple kinds of*
201 *sediments from sequential collapse in a short period.*

202

203 *Page 2 from line 7: The introduction describe what the authors want to describe in the*
204 *manuscript, I’m not sure that the authors really satisfy this objectives. For this reason, I strongly*
205 *suggest the authors to check the text and control that they describe all this topics.*

206 We moved “The Langtang Valley is one of...**[previous: P02L05-L09]**” to the end of the
207 section 2.1. **[new: P02L30]**. In terms of describing our motivation, we already know that
208 was a catastrophic avalanche event including debris and glacier ice which completely
209 destroyed a mountain village (Kargel et al., 2015; Fujita et al., 2016; Lacroix, 2016). Here
210 we aim to emphasize detail information (further than saying “avalanche”) and what aspect
211 can be identified using remote sensing techniques for such a catastrophic avalanche event.

212 We added here;

213 **[new: P02L07]** *“Damage detection through SAR technique has been applied for*
214 *urban damaged areas (e.g., Kobayashi et al, 2011; Yonezawa and Takeuchi, 2001;*
215 *Tamura and El-Gharbawi, 2015; Watanabe et al., 2016), but almost no case for a*
216 *large-scale mountain hazard was studied. We apply SAR damage detection for the*
217 *avalanche case. In addition, a detailed interpretation of the damaged area by means*
218 *of high-resolution optical satellite imagery coupled with sediment volume estimation*
219 *would provide detailed features of this avalanche. In this study...”*

220

221 *Page 2 chapter 2.1: the description of the study area is very short and poor. I suggest that the*
222 *authors consider the possibility to improve both the geological and geomorphological aspect of*
223 *the study area.*

224 We added geological and geomorphological information as;

225 [P02L24] *The Lantang valley consists of the Gosainkund gneiss zone (various*
226 *gneisses and granitic migmatite) and the Langtang Himal migmatite zone*
227 *(medium-grained garnet-mica-gneiss of granitic composition and coarse-grained*
228 *augen-gneiss) (Arita et al. 1973; Shiraiwa and Watanabe 1991). Six successive glacial*
229 *stages were recognized from an in-situ dating survey on moraine compositions*
230 *(Shiraiwa and Watanabe 1991; Shiraiwa, 1994). Relatively extensive glaciation in the*
231 *Langtang Stage (3650–3000 yr BP) is suggested in the late Quaternary. Permafrost is*
232 *not highly expected in this valley because of the large amount of winter snow, which*
233 *prevents deep freezing in winter (Shiraiwa, 1994).*
234

235 Page 4 chapter 3: this is the most important part of the paper, but it is also very hard to
236 understand. Since it was not presented in the introduction a good description of what occurred
237 in this area, now it is very critical for readers to understand what the authors have found. I
238 suggest to rewrite this part of the article and to start the description from the evidence of the
239 gravitational phenomena that caused the disaster and then to describe the effect in the lower part
240 of the slope. One of the main limitation of this paper is that authors concentrate their description
241 on the technical description of satellite images and results, but they did not pay too much
242 attention to the description of the occurred events. I know that a correct reconstruction of the
243 sequence of events is very hard, but I also think that if you want to present a methodology that
244 use multiple remote sensing systems to describe the catastrophic collapse in Langtang Valley, at
245 the end is mandatory have a description of the collapse and the sequence of events reconstructed
246 by authors.

247 An avalanche including numerous boulders (debris) and possibly involving glacier ice
248 occurred. Overview of this event has already been summarized in the introduction chapter
249 from [P02L01]. In addition, already known findings are reviewed at [P08L27] as noted
250 above. The results chapter is constructed by what we additionally found from satellite
251 observations highlighting technical topics, and instead we renamed chapter 4.2. as “Details
252 of the avalanche event” to integrate what was already known and what we found, aiming
253 new insight.

254 **Additional references (for AC1 and AC2):**

- 255 Fujita, K., Inoue, H., Izumi, T., Yamaguchi, S., Sadakane, A., Sunako, S., Nishimura, K.,
256 Immerzeel, W. W., Shea, J. M., Kayashta, R. B., Sawagaki, T., Breashears, D. F., Yagi, H.,
257 and Sakai, A.: Anomalous winter snow amplified earthquake induced disaster of the 2015
258 Langtang avalanche in Nepal, *Nat. Hazards Earth Syst. Sci. Discuss.*,
259 doi:10.5194/nhess-2016-317, in review, 2016.
- 260 López-Martínez, C., & Pottier, E. (2007). Coherence estimation in synthetic aperture radar
261 data based on speckle noise modeling. *Applied optics*, 46(4), 544-558.
- 262 Plank, S. (2014). Rapid damage assessment by means of multi-temporal SAR—A
263 comprehensive review and outlook to Sentinel-1. *Remote Sensing*, 6(6), 4870-4906.
- 264 Touzi, R., Lopes, A., Bruniquel, J., & Vachon, P. W. (1999). Coherence estimation for SAR
265 imagery. *IEEE Transactions on Geoscience and Remote Sensing*, 37(1), 135-149.
- 266 Shiraiwa, T., & Watanabe, T. (1991). Late Quaternary glacial fluctuations in the Langtang
267 valley, Nepal Himalaya, reconstructed by relative dating methods. *Arctic and Alpine*
268 *Research*, 404-416.
- 269 Shiraiwa, T. (1994). Glacial fluctuations and cryogenic environments in the Langtang Valley,
270 Nepal Himalaya. *Contributions from the Institute of Low Temperature Science. Series A*,
271 38, 1-98.
- 272 Watanabe, M., Thapa, R. B., Ohsumi, T., Fujiwara, H., Yonezawa, C., Tomii, N., & Suzuki, S.
273 (2016). Detection of damaged urban areas using interferometric SAR coherence change
274 with PALSAR-2. *Earth, Planets and Space*, 68(1), 131.