



1	Risk Factors and Perceived Restoration in a Town Destroyed by the 2010 Chile Tsunami
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ABSTRACT 18

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- A large earthquake and ts took place in February 2010, affecting a significant part of the Chilean coast (Maule carthquake (28,8)). Dichato (37° S), a small town located on Coliumo Barras one of 21 s one of
- the most devastated coastal places and is currently under reconstruction. Therefore, the risk 22
- which explain the disaster at that time as well as perceived restoration 6 years after the event were 23
- analyzed in the present paper. Numerical modeling of the 2010 Chile tsunami with four nested grids was 24
- applied to estimate the hazard. Physical, socio-economic and educational dimensions of vulnerability 25
- were analyzed for pre- and post-disaster conditions. A perceived restoration study was performed to 26
- assess the effects of reconstruction on the community and a principal component analysis was applied 27
- for post-disaster conditions. 28
- 29 The vulnerability factors that best explained the extent of the disaster were housing conditions, low
- household incomes and limited knowledge about tsunami events, which conditioned inadequate 30
- reactions to the emergency. These factors still constitute the same risks as a result of the reconstruction 31
- process, establishing that the occurrence of a similar event would result in a similar degree of disaster. 32
- For post-earthquake conditions, it was determined that all neighborhoods have the potential to be 33
- restorative environments soon after a tsunami. However, some neighborhoods are still located in areas 34
- devastated by the 2010 tsunami and present a high vulnerability to future tsunamis. Therefore, it may be 35
- stated that these areas will probably be destroyed again in case of future events. 36
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- Keywords: tsunami, natural risk, territorial planning, social resilience
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42 **1. Introduction**

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44 A tsunami is a phenomenon known for its great destructive power in a short period of time; however, the 45 process of post-disaster reconstruction usually lasts a long time and generates significant socio-territorial 46 transformations. A total of seven destructive tsunamis affected the coasts of Indonesia, Samoa, Chile and Japan in only the last decade: 2006, 2007, 2009, 2010 (Feb 27th and Oct 24th) and 2011. These tsunamis 47 took the lives of 237,981 people and generation an estimated US \$456 million in economic losses 48 (Løvholt et al., 2012; Løvholt 2014 et al.) Løvholt et al., 2014 et al.) 49 factors, such as ineffective early warning systems, inadequate management of information by the 50 population, lack of coordination of emergency mechanisms and high levels of social vulnerability (Rofi 51 et al., 2006; Løvholt et al., 2014). Although scientific research has led to significant advances in the 52 generation and propagation mechanisms of these phenomena (Aránguiz et al., 2013; Løvholt et al., 53 2014), other aspects linked to social components (vulnerability and resilience) are less understood, 54 primarily for post-disaster conditions, given social system dynamics and complexity. The latest events 55 have shown that increased mortality may be associated with intrinsic aspects of vulnerability, which in 56 the natural disaster context is defined as the inability of society tq pnd to an event, in this cas 57 dangerous natural phenomenon (Anderson and Woodrow, 1989 i dona, 2001; Wilches-Chau 58 (1993). Intrinsic aspects include population characteristics such as age and gender (Rofi et al., 2006), 59 income levels and job occupations (Birkman 2007), ideological and cultural factors 60 knowledge and inadequate reactions to the emergency (Ruan and Hogben, 2007). Others, 61 gh a line of still incipient work, have established that factors associated with social capital and territorial identity 62 foster social resilience, which would be an enabling framework to overcome the negative effects of a 63 disturbance (Pelling, 2003). 64 The 2010 Chile tsunami showed the high fragility of social and institutional systems in created areas, as 65 et al., 2010; by المسنع et al., 2010; by المسنع et al., 66 significant destruction alo 2011; Contreras et al., 201 amillo et al., 2012; Sobarzo et al., 2012; Bahlburg and Spiske, 2012; 67 68 Martinez et al., 2012). Historical records show that these phenomena are not sporadic in the country but rather highly recurrent, causing significant devastation (Lomnitz, 1970; Monge, 1993, Lagos, 2000; 69 Ruegg et al., 2011; Palacios, 2012) 70 Territorial planning in Chile, as in much of the rest of the world, has been focused primarily on 71 interventions for mitigation (Herrmann, 2015), برين policies and instruments for reconstruction (e.g., 72 Sustainable Reconstruction Plans and Master Plans) focused on housing production rather than social 73 reconstruction of territories (Rasse and Letelier, 20] Artinez, 2014). On that ground, interdisciplinary 74 75 approaches necessary for the reconstruction of human settlements in an integrated manner, i.e., studies which identify, assess and integrate physical, economic, social, environmental and perceptual factors, 76 have been neglected. This complex approach has already been addressed in an international context, 77 78 with the application of different study models of urban resilience to disaster (e.g., Cutter et al., 2008; Norris et al., 2008). Resilience refers to the ability of a community to adapt and recover after a 79 disturbance without losing its character (Cutter et al., 2014; Walker and Salt, 2006). Resilience is 80 expressed multi-dimensionally (Cutter et al., 2014); in Chile, however, physical and social dimensions 81 are the least considered in post-disaster planning. This occurs despite the fact that the integration of 82 83 these dimensions in planning can promote community recovery after a disaster, with the potential to 84 rebuild "the place where the restoration occurs" (Allan and Bryant, 2010). A restorative experience is described as "the process of recovering psychological and social resources that have become diminished 85

in the efforts to meet the demands of everyday life" (Hartig, 2007, p.164). After a large tsunami, the city





87	"takes on a new meaning [and] its spaces and components are re-evaluated (by the people)" for their
88	capacity to provide restorative experiences (Allan and Bryant, 2010). Thus, post-disaster reconstruction
89	processes are an opportunity to effectively reduce risk and generate mechanisms of physical as well as
90	social resilience.
91	In this context, we analyze tsunami inundation risks pre- and post-disaster where of the coastal towns
92	most affected by the earthquake and tsunami on Feb. 27, 2010, which presented an intense
93	transformation as a result of post-disaster reconstruction. It is unknown whether this reconstruction
94	process has reduced vulnerability and provided a restorative urban system, which enhance urban
95	resilience, or if it has generated new risk areas. Questions were asked in relation to the neighborhoods
96	being rebuilt in Dichato, such as: Do they have the potential to be restorative environments? Which
97	specific sites provide restoration? Are restorative environments pre-existing areas that persist after the
98	disaster? Or are they new sites built during reconstruction? These questions seek to determine whether
99	the reconstruction process has favored the population's ability to adapt after a tsunami, and whether it
100	has decreased the damage potential in the case of future events.
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102	2. Regional setting
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104	Dichato is a town located on Coliumo Bay (36° 33'S). It belongs to the Tomé Commune and has a
105	population of 3,488 inhabitants dedicated largely to fishing, trade and tourism (INE, 2002).
106	It has an urbanized coastal plain of approximately 2 km ² , dissected by Dichato Stream, with an average
107	height of 6m (Fig. 1). These characteristics explain the great impact of the 2010 tsunami, which had
108	inundation heights of up to 8m, a penetration distance of 1.3 km inland and an inundation area of 0.85
109	km ² . The affected population was 1,817 people, with 66 people dead and 60% of total housing destroyed
110	(Martinez et al., 2011). According to historical records, this coast had previously been affected by six
111	destructive tsunamis, the most significant occurring in 1751 (M = 8.5), 1835 (M = 8.2) and 1960 (M =
112	9.5) (Lagos, 2000; Palacios, 2012
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114	3. Materials and methods
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116	In order to give risk a value in pre- and post-disaster conditions, the equation $R = H * V$ was used, where
117	R = Risk, $H = Hazard$ and $V = Vulnerability$ (Blakie et al., 1994).
118	
119	3.1 Hazard
120	The tsunami hazard was estimated by means of a numerical simulation considering the tsunami on
121	February 27, 2010. The Non-hydrostatic Evolution of Ocean WAVEs NEOWAVE r ical model
122	(Yamazaki et al., 2010, 2011) sed. This model solves linear and nonlinear shallow water equations
123	using nested grids with different spatial resolutions. In this case, 4 nested grids were used with 120"
124	(~3600m), 30" (~900m), 6" (~180m) and 1" (~30m) resolution. Grids 1 and 2 were built from GEBCO
125	topo-bathymetric data, while nautical charts and detailed bathymetry in Coliumo Bay were used for
126	Grids 3 and 4. In addition, Grid 4 used 2.5m resolution LIDAR topographic data obtained in 2009,
127	representing the situation at the time of the 2010 tsunary. The initial tsunami condition was defined
128	using the finite fault model proposed by Hayes (2010). [180 sub-faults and heterogeneous slip.
129	Figure 2 shows the 4 nested grids and the tsunami initial conditions used in the numerical simulation.
130	The figure shows that Grid 4 takes into account the entire Coliumo Bay and not just the town of Dichato.





131	A Manning set the set of the set		
132	output rest 1 minute. The tide level was set to the sea level at the time of the maximum inundation.		
133	To do this, preliminary numerical simulations were conducted to find the maximum tsunami wave. The		
134	tide level was estimated to be -0.25m and the grids were modified to include this tide level. Furthermore,		
135	a virtual tide gauge on the Dichato beachfront was defined to obtain arrival times of different tsunami		
136	waves. The validation of the numerical simulation as performed using the Root Merchanter and		
137	the parameters K and κ proposed by Aida (1978, d by Suppasri et al. (2011) give equations 1 and		
138	2. The variable K_i is defined as $K_i = x_i/y_i$, where x_i and y_i are recorded and computed tsunami height		
139	respectively. The recorded tsunami heights were obtained from field survey data published by Mikami		
140	al. (2011) and Fritz et al. (2011).		
141			
142	Eq (1) $\log K = \frac{1}{n} \sum_{i=1}^{n} \log K_i$		
143	Eq (2) $\log \kappa = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (\log K_i)^2 - (\log K)^2}$		
144			
145	Hazard levels proposed by Walsh et al. (2005), defining flow depths of 0, 0.5 and 2.0m, were selected		
146	when obtaining tsunami inundation hazard levels (Table 1). The hazard levels generated by the current		
147	velocity were also included in the hazard analysis. The levels were selected in terms of security for human		
148	life (Table 2).		
149			
150	3.2 Vulnerability and environmental restoration		
151	The surface of a stability which for stars determined data a birry different base of the surface of the stars and a		
152	In order to establish which factors determined the achieved hazard level as well as the effects generated		
153	by the post-disaster reconstruction process in shaping new risk areas, the vulnerability analysis was		
154	For total vulnerability analysis, variables selected for both scenarios were representative of physical		
155	socio-economic and educational dimensions: however, some variables were modified according to		
150	socio-economic and educational dimensions; however, some variables were modified according to		
158	pre/post-disaster conditions (Table 3). In the case of pre-disaster combines, the analysis unit corresponded		
150	the analysis unit was the neighborhood, which due to the destruction caused by the tsunami and the		
160	absence of census data, was defined according to similarities of the post-disaster buildings (Fig. 4).		
161	Variables were incorporated into the GIS ArcGis 10.1 to generate thematic maps and synthesis charts		
162	through map algebra.		
163			
164	The capacity of the neighborhoods of Dichato to provide restorative experiences post-disaster was		
165	assessed through a perceived restoration study (Hartig et al., 1997). The inhabitants assessed their		
166	neighborhoods by means of the Perceived Restorative Scale (PRS), an instrument constructed based on		
167	the Attention Restoration Theory (Kaplan and Kaplan, 1989). The neighborhoods were defined as units of		
168	study (Fig. 4). The PRS has been used to identify landscape attributes that can be restorative to people		
169	subjected to high levels of stress and mental fatigue (Hartig et al., 1997; Korpela and Hartig, 1996; Ulrich		
170	et al., 1991). Access to restorative environments is also crucial in cities prone to natural disasters, such as		
171	tsunamis. Three factors were used to evaluate the interaction of people with the neighborhood they inhabit:		
172	being away (BE-AW), which reflects the need to escape from everyday life or daily mental activities that		
173	require major concentration; fascination (FAS), which is found in environments that attract and hold our		
174	attention without any effort; and compatibility (COMP), which refers to a sense of oneness with		
175	environments that provides the capability to meet our desires and needs. Each factor was evaluated using		





176	five items which people assessed using the Likert scale 1-7, where 1 is the lowest value and 7 is the highest.
177	Subsequently, each person was asked to describe the neighborhood areas they recalled while answering.
178	In this way, neighborhoods with the highest and lowest restoration values were identified, as well as the
179	specific locations that were more meaningful to the inhabitants.
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181	Sampling and statistical analysis
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183	For the application of pre-disaster surveys oriented at determining vulnerability and perception of the
104	nhanomenon stratified compliance was conducted with around (starte) company ading to 05 consus blocks

phenomenon, stratified sampling was conducted, with groups (strata) corresponding to 95 census blocks (Figure 1) (INE, 2002). Population was defined as the number of inhabitants between 15 and 59 years of age (N = 2120), with a confidence level of 95% and a sampling error margin lower than 5%; finally, 337 surveys (n) were carried out.

The determination of post-disaster vulnerability and restoration was also addressed by stratified sampling, where groups (strata) corresponded to 9 neighborhoods (Figure 3). Population was defined as heads of households (male or female) who live in the town of Dichato permanently (N = 1850). Eq (3), for finite populations, was applied to determine the sample size.

Eq (3)
$$n \ge \frac{N z_{1-a/2}^2 P Q}{z_{1-a/2}^2 P Q + d^2 (N-1)}$$

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Where: Confidence level was 95%; Precision (5%); Proportion 90% (≈ 90% of families in th 194 bio Region who experienced problems due to the 2010 earthquake and tsunami) (Larrañaga and 195 (2010). The minimum sampling size was estimated to be n=130. Finally, 156 surveys were carried out. 196 Performing a multivariate descriptive analysis, a cluster analysis and a principal component analysis were 197 198 applied in order to compare results obtained from the assessed variables in the neighborhoods. The chisquared test was used to compare proportions and a one-way analysis of variance (ANOVA) was 199 conducted for the numerical variables. The Tukey test was applied for comparison, using a significance 200 level of $\alpha = 0.05$. 201 202 3 3 Risk 203 204 ., 2012; Martinez et al., 2012) Risk factors were integrated into a matrix (Eckert et al., 201 205 and three risk levels were obtained from the multiplication: high, medium and low differences from 1 to 206 9 (Table 3). Risk level is applied to analysis units, according to pre and post event tions, in the GIS 207 vulnerability section. 208 209 210 4. Results 4.1 Hazard 211 212 Fig. 3 (a) shows the inundation area obtained from the numerical simulation. Dots indicate inundation 213

height records asterisks indicate synthetic tide gauge location. Fig. 3 (b) shows a comparison of recorded and simulated data, where the error obtained from Eq (1) was K = 1.09 with a standard deviation from Eq (2) of $\kappa = 0.12$, which is considered acceptable (Suppasri et al., 2011). Fig. 3 (c) shows the tsunami wave form obtained from the synthetic tide gauge. It can be seen that the largest wave is not the first, but rather the third wave, which reached an inundation height of up to 7m. A fourth wave is also





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observed reaching up to 5m. Fig. 4 shows the area inundated by the event, which reached a maximum runup of 10m, spread through Dichato Stream. 220 221 222 4.2 Vulnerability pre-disaster 223 In the case of physical vulnerability, 51% of census blocks reported high vulnerability levels, which 224 involved 47% of the total inundated area and 57% of the total population (Fig. 5). 73% of households 225 reported average vulnerability, which involves 61% of the inundated area and 67% of the total population. 226 These vulnerability levels can be explained mainly by the locations of the residential areas in which more 227 than 75% of the inhabitants reside, where there is no overcrowding but income levels are low, with 228 approximately 44% of the population receiving monthly incomes less than \$118,000 Chilean pesos (about 229 US \$170). For educational vulnerability, it was determined that low levels of schooling influenced overall 230 vulnerability because 42% of the population has only basic education or has not completed this level and 231 only 55% has secondary education. It is important to note that 58% of the provide the transmi 232 to the results of the earthquake a % attributed the tsunami to divine s, including global warming 233 and the apocalypse. Accordingly of the population has high educational vulnerability, involving 74% 234 235 of the inundated area. After the tsunami occurred, i.e., in post-event conditions, it was determined that 72% of census blocks 236 were affected by the tsunami, as well as 73% of the population and 70% of housing (Fig. 6). 237 238 Vulnerability and restoration post disaste 239 4.3. 240 For post-disaster conditions, the Reconstruction Plan applied to Dichato, known as PRB-18, modified 29% of the total town area, with 15% established as a conditioned building area, not including expropriation 241 (Fig.7). Elevated (Palafitte-style houses) and community buildings were designed and placed in these areas 242 (coastline). 12% of the total area was reserved for mitigation parks, construction along the coastline and 243 244 river banks, where the tsunami surged and the greatest destruction was generated. The fishing area utilized 245 1.6%, with the construction of a fishing pier and a market in Villarrica Cove. Mitigation park construction began in 2015, with a tree line that covered several meters of the surface. 246 Cluster analysis (Fig. 8a) performed for post-event vulnerability dimensions identified six neighborhood 247 groups. Four groups were represented individually by the neighborhoods C, E, F and A. The fifth 248 conglomerate grouped the analysis units D and B. Finally, the sixth group was composed of units I, H and 249 G. Only neighborhoods C, E, A, D and B were directly affected by the 2010 tsunami inundation. 250 ANOVA showed significant differences in physical and educational vulnerability dimensions (p < 0.05), 251 while the socio-economic dimension was homogeneous for all evaluated neighborhoods (p = 0.1808). The 252 neighborhoods with higher physical vulnerability were older sectors (I, D) and a provisionally relocated 253 sector (A). Neighborhoods affected directly by the tsunami (B, C) were grouped in the medium level, as 254 well as an unaffected sector (H). The neighborhoods found in the low level (E, F, G), presented higher 255 quality buildings. Regarding the educational dimension, the lowest vulnerability corresponded to relocated 256 sector A, which was most devastated by the 2010 tsunami. The above was reinforced by a principal 257 component (PC) analysis, which showed that the first two components explained 85.5% of the total 258 variance. Fig. 7b indicates that only sector C had a higher association with socio-economic vulnerability, 259 while the remaining 8 neighborhoods were related to physical and educational vulnerability dimensions. 260

Regarding feelings assessed on the possibility of a future tsunami (Table 4), 5 feelings showed no 261 significant differences by neighborhood (p>0.05): panic (19%), fear (39%), tranquility (41%), security 262





(19%) and indifference (3%). A significant difference (p = 0.0258) was found for the feeling of anxiety, 263 which was higher (67%) for relocated inhabitants (A). 264 Safety perception in current residential areas was evaluated in terms of safe or very safe by 65% of the 265 local population (p>0.05), considering changes made by authorities in the Master Plan for Reconstruction. 266 The feeling of identity with the city pre-disaster was 49% (p>0.05), with a higher percentage in 267 268 neighborhoods A (75%), C (59%) and D (57%), which were the most affected. Desire to change place of residence was not homogeneous among neighborhoods (p = 0.0018) and percentages $\geq 40\%$ were obtained 269 for sectors affected by the tsunami (A, B) and in areas not directly affected (F, G). 270 271 The Likert scale 1-7 was applied to assess 5 topics, namely, reconstruction, process quality, equipment and the role of the National Emergency Office (ONEMI). The results showed that there is no difference 272 among neighborhoods. Positive evaluations were obtained for the reconstruction process (Mean = 5.6; SD 273 = 1.4), associated equipment (Mean = 5.5; SD = 1.4) and quality (Mean = 6.0; SD = 1.3). The worst 274 performance was obtained for ONEMI (Mean = 3.8; SD = 1.9). 275 In relation to the perceived restoration study, ANOVA analysis showed significant differences (p < 0.05). 276 277 The best evaluated areas were neighborhoods C (Mean = 6.1; SD = 0.8) and I (Mean = 5.8; SD = 1.0). The means reported here correspond to the three factors combined for each neighborhood. For the results 278 of each factor, see Table 4. Neighborhood C (Villarrica) was affected by the tsunami and completely 279 rebuilt, while neighborhood I was not modified (Pingueral). The new coastal infrastructures (25%), new 280 anti-tsunami houses (19%) and views of the coast (16%) were mentioned the most by respondents in 281 neighborhood C as elements that contribute to restoration. Meanwhile, in neighborhood I, views of the 282 283 river (20%) and the presence of uphill streets (20%) and nearby hills (17%) were mostly mentioned as restorative elements. In contrast, neighborhoods A (Mean = 4.4; SD = 1.6) and H (Mean = 4.7; SD = 1.5) 284 285 were the worst evaluated. Neighborhood A, as previously mentioned, is the relocated neighborhood most 286 affected by the tsunami. In this case, new urban infrastructure (19%), views of the bay (19%), and the community building (19%) were found to contribute the most to restoration. Neighborhood H was not 287 288 affected by the tsunami nor was it modified. In this case, the presence of nearby hills (32%), pre-existing housing (23%) and uphill streets (16%) were found to add to restorative experiences. 289 290 In addition, a cluster analysis with the three restoration factors was conducted to complement the previous results. To do this, 4 neighborhood groups were identified (Fig. 9). One group was composed of the best 291 evaluated neighborhoods, C and I. A second group was composed of neighborhood F, which was not 292 293 affected by the tsunami and a third group by neighborhoods G, E, D and B, most of which were directly affected by the tsunami. These last two groups received moderate evaluations. A fourth group was 294 composed of neighborhoods H and A, which were the worst evaluated. Furthermore, principal component 295 analysis results indicate that these groups are organized from right to left along PC1, explaining 75% of 296 the variance. To the right is the group of the best evaluated neighborhoods (C and I), associated mostly 297 with BE-AW and COMP factors, while on the left is the worst evaluated group (A and H), which does not 298 show a clear association with restoration factors. 299 Vulnerability analysis for pre- and post-tsunami conditions (Fig. 10) established reduced vulnerability, 300 however, from high to medium levels, and spatial distribution of vulnerable areas was maintained for 301 both conditions. For pre-tsunami conditions, 90% of neighborhoods presented high vulnerability, 5.4% 302 medium vulnerability and 4.6% low vulnerability. For post-tsunami conditions, 55% of the area presents 303 high vulnerability and 45% medium vulnerability, while low vulnerability was not found. These findings 304 conclude that currently the entire area is vulnerable at high and medium levels. 305

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307 4.4 Risk pre- and post-disaster

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Little difference was presented between surface (0.11 km²) and tsunami risk area spatial distribution, 309 310 considering the conditions pre- and post-2010 event, with a high level of risk (≥78%) for both scenarios 311 (Fig. 11). In case of pre-disaster, some sectors of the town had small areas with medium risk, explained by better building quality or sites without buildings. This situation changed post-disaster due to increased 312 construction, especially public housing as part of the Reconstruction Plan. Construction quality was one 313 of the most important variables in levels of damage experienced. According to Fig. 12, most buildings 314 were destroyed by the tsunami due to poor quality of materials. In the area where the greatest destruction 315 occurred (Villarrica sector or section C), initial housing was replaced by two-level, 27 m² palafitte-style 316 houses made of wood, on steel columns 2m high. This type of housing was implemented as a mitigating 317 action against the possibility of a tsunami with similar characteristics, where the steel structure will prevail 318 while the wood can always be replaced (Fig. 12E). Not all former inhabitants returned to their 319 neighborhood to occupy these homes, because most were elderly and could not climb stairs to enter the 320 palafitte houses (Khew et al., 2015). Despite being owners, they opted for relocation to higher sectors of 321 322 Dichato, forming a new neighborhood. In addition to the Villarrica sector, these homes were also stationed in neighborhood B (or center), where 323 a beach front and boulevard have been built in order to promote tourism. The only structural change made 324 to houses consisted of replacing steel columns with reinforced concrete columns, while retaining the same 325 overall dimensions (Fig. 12F). Currently, the ground floors of these stilt houses have been transformed by 326 327 the inhabitants in order to increase living area (Khew et al., 2015). Neighborhood B presented the greatest transformation post-earthquake, replacing a fish market with beach front buildings, a mitigation park and 328 329 a boulevard with a striking design in order to attract tourism. However, behind these buildings, a mixture of palafitte-style houses and other types of one-story housing, made of wood or masonry, were built, giving 330 rise to new neighborhoods and risk areas post-earthquake. 331 Other areas, such as neighborhood A, went from being provisional neighborhoods to consolidated 332 settlements (e.g. El Molino neighborhood) and received in turn a part of the relocated population. 333

334 In general, new post-disaster risk areas affect neighborhoods C, D, E, F, G and H up to a height of about 20 meters; however, Dichato Stream extends the propagation area into the neighborhood. 335

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5. Discussion 337

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339 The main results of this research found that in this urban area, with a strong reliance on natural resources (fishing) and associated tourism, high risk levels are presented for both pre- and post-disaster conditions. 340 341 These conditions are not new and have already been reported for other areas in the country under the reconstruction process (Rojas et al., 2014) vever, few studies exist worldwide on how coastal towns 342 343 evolve in response to post-disaster reconstruction processes, generating transformations that do not contribute to risk reduction or urban resilience. 344 The main factors explaining high risk levels are mainly quality and materials of buildings, which are 345 highly related to the degree of destruction caused by the 2010 tsunami. Many of these houses were one-346 story buildings made of wood or lightweight materials and built in a do-it-yourself manner. Lack of infill 347 348 and reinforced concrete masonry (failure of brick masonry infill walls and lightly reinforced concrete 349 columns) were a damage factor, coinciding with studies by Palermo et al. (2013) in the area. According to these authors, residential housing consisting of light timber frame and concrete frame construction 350 with brick masonry infill walls experienced widespread damage throughout the surveyed coastal region 351 of Chile. 352





353	According to numerical modeling, tsunami wave heights reached between 5 and 8m, with current
354	velocities greater than 2m/s, which could be enough to damage house foundations and destroy coastal
355	infrastructure. In this regard, tsunami fragility curves developed by Mas et al. (2012) for Dichato from
356	field data and satellite imagery showed a 68% probability of damage at a flow depth of 2m, mainly due
357	to building materials, predominantly wood. In this case, it was found that approximately 80% of the built
358	area of Dichato experienced damage and was completely destroyed by the 2010 tsunami.
359	Other important factors were socio-economic status and educational level of the population, which were
360	relevant mainly in pre-disaster conditions. In this case, 44% of the population has low incomes and a
361	widespread lack of knowledge concerning emergency plans or evacuation routes, resulting in inadequate
362	reactions. One year after the event, people still had toms of post-traumatic stress, indicating
363	feelings of panic, fear and sadness (Venegas 2011) do this population, which consisted of owners
364	affected by the tsunami, moved to provisional neighborhoods where they remained for two years without
365	basic services. Some of these provisional houses became final settlements. The study conducted in
366	Dichato by Shahinoor and Kausel (2013) stated that risk of tsunamis is not well addressed in planning
367	and community-oriented programs and that the pre-established mechanisms for post-disaster recovery
368	are not appropriate, which is why risk is not reduced. The latter is not a specific problem of the location
369	but derives from the lack of coordination between planning instruments and risk management in Chile
370	which is essentially reactive and not preventative (Martinez, 2014). On the other hand, Chile lacks a
371	public policy oriented at establishing criteria or a reconstruction model to implement in case of a
372	disaster, and usually gives priority to physical reconstruction rather than social reconstruction. Yet
373	physical reconstruction continues to take place in inappropriate locations and therefore, considering only
374	the spatial location, risk areas fail to be managed to generate effective risk reduction.
375	Regarding restoration results, it is interesting to find that the restoration capacity of neighborhoods
376	varies with respect to the presence and absence of natural and built elements.
377	Natural elements such as the presence of hills and views of bodies of water contribute to perceived
378	restoration. These results are in line with previous restoration studies indicating that the presence of
379	natural elements such as water and vegetation are related to restorative environments (Korpela and
380	Hartig, 1996, Hartig et al., 1997). However, in this case, it is not only the mere presence of these
381	elements that is relevant, but most probably the sense of security they give to the community as well.
382	Hills are useful for refuge in case of tsunami as well as for observation points, which are much needed to
383	keep people informed about what happens in case of a disaster. Consequently, it is important for future
384	planning processes to consider the potential of natural elements to restore communities post-disaster. For
385	instance, access to these natural sites from different neighborhoods should be enhanced during the
386	reconstruction process by, for example, including evacuation routes that lead to these areas in everyday
387	life. The latter would contribute to adaptation post-disaster and social resilience (Pelling, 2003).
388	On the other hand, new elements introduced during the reconstruction process, such as the new coastal
389	infrastructure for mitigation and the new anti-tsunami housing, characterize neighborhoods which
390	provide restorative experiences as well (Khew et al., 2015). It is possible that these elements, although
391	they are built features, give a certain sense of security to respondents, which could explain these results.
392	This study did not focus on establishing relationships between perceived safety and post-disaster
393	restoration factors; however, it is highly recommended that this possible relationship be expanded in
394	future studies. It may be that restorative experiences post-disaster are found in new built sites that give
395	security to the community. This would also be important to consider in the process of reconstruction, as
396	built features of the kind described here not only play a role for mitigation, but also for community
397	function post-disaster, contributing to social resilience (Pelling, 2003).





In this sense, vulnerability and resilience are distinct elements but superimposed in their role in natural 398 disasters and come together in the cornerstone of sustainability (Turner, 2010). In the case of Dichato, 399 400 vulnerability showed a close relationship with lack of resilience because few lessons were learned from 401 the 2010 event and the same mistakes are still being made, with a rebuilding process almost completed which presents vulnerability conditions very similar to those that existed pre-2010 earthquake. This 402 situation is explained by the emphasis on physical rather than social reconstruction, lack of public 403 policies to face a rebuilding process of this magnitude despite recurring events in the country and 404 especially by the poor consideration and assessment of risk areas in planning at a local scale, since other 405 affected areas were repopulated in the same manner and relocated to the same risk areas (Martinez, 406 2014). In some neighborhoods, increased social and environmental problems, such as pollution, crime 407 and poverty, occurred as a result of reconstruction processes (Rojas et al., 2014). The main disadvantage 408 of these programs is that they were implemented as similar projects in 18 affected coastal towns, 409 regardless of geographic reality and territorial identity. In addition, the programs did not distinguish 410 411 between rural or urban areas. Small fishermen's coves located in coastal wetlands and small bays under 412 semi-urbanization processes had to absorb relocated populations from affected areas, resulting in increased population densities in new risk areas and loss of cultural and territorial identity. The latter 413 was reflected in that between 57% and 75% of the population mostly affected by the tsunami six years 414 ago identified with Dichato pre-tsunami. In this respect, most current approaches establish that resilience 415 is characterized by socio-ecological system responses to natural disturbances, capacity for self-416 417 organization, learning and adaptation to change (Folke, 2006; Turner, 2010). These elements present a challenge from an institutional point of view in Chile, in order to strengthen risk management and its 418 419 link to organized society, so as to ensure that investments in reconstruction processes produce effective ways to reduce risks to phenomena that undoubtedly continue to occur in the country. On the other hand, 420 reconstruction involves addressing physical, social and environmental territory components to facilitate 421 the development of post-disaster resilience, for which the country must change its approach to natural 422 disaster management, moving towards sustainability of its cities and coastal towns. 423 424

425 6. Conclusions

426

The vulnerability factors that best explained the extent of the 2010 tsunami disaster were housing 427 materials, low incomes and poor knowledge about these phenomena, which conditioned an inadequate 428 reaction at the time of emergency. The current town configuration, resulting from reconstruction process 429 in the six years after the event, has generated new risk areas which occupy the same locations as pre-430 431 event conditions, so risk is not reduced. For post-earthquake conditions, it was determined that all neighborhoods have the potential to be restorative environments after a tsunami, but with different 432 intensities, depending on the type of natural and built features they have kept and included during the 433 reconstruction process. However, risk analysis indicates that neighborhoods with greater restoration 434 ability post-disaster remain in the same areas devastated by the 2010 tsunami and will likely be 435 destroyed again in a future event, a situation that forces us to reflect on how to plan coastal area 436 occupation and manage risk in the country. 437 438 Acknowledgements 439

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602	
603	Fig. 1 Geographical context of the study area. Dichato is located on Coliumo Bay (36 $^{\circ}$ S). The letters
604	(A-I) identify different neighborhoods analyzed in the post-disaster period.







- 607
- TG in grid 4 Fig. 2. Nested computational grids. The inset in Grid 2 shows the tsunami initial con 608
- indicates the location of the virtual tide gauge 609









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625

Fig. 5. Pre-event Tsunami vulnerability of the town of Dichato



Fig. 6. Post-event Tsunami vulnerability of the town of Dichato







- Fig. 8.a) Cluster Analysis and 8.b) principal components for different dimensions of vulnerability by
 neighborhood. PHY-V (Physical vulnerability), SECO-V (Socio-economic Vulnerability), EDU-V
- 636 (Educational vulnerability).

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Range	Description	Hazard Level
05 m	Knee-high or less	Low
.5 – 2 m	Knee-high to head-high	Medium
> 2 m	More than head-high	High
> 2 m More than head-high High Reference: modified Walsh et al. 2005		

Table 2. Hazard level according to flow current velocity

Current velocity			
Range	Descriptor	Hazard Level	
.1 – 1.35	Very low and low hazard (speed at which it would be difficult to stand)	Low	
1.35 – 2 m/s	Hazard for most	Medium	





	> 2 m/s	Hazard for all, > 5.0 m/s very hazardous	High
Reference: modified Ia		alínek et al. 2012 and González-Riancho et	tal 2013

Table 3. Variables associated with each dimension of vulnerability pre- and post-2010 tsunami.

Physical dimension			Socio-economic o	Educational dimension				
Variable	Pre	Post	Variable	Pre Post		Variable	Pre	Post
Housing type	Х	Х	Population X			Level of	Х	Х
			density	kr		knowledge of		
					the			
						phenomenon		
Housing	Х	Х	Overcrowding	Х		Knowledge of	Х	
material			level	level		tsunami		
						warning		
						systems		
Number of	Х		Socio - economic	Х		Reaction to	Х	
houses			welfare of			the event		
			households (IBS)					
Number of		Х	Education level		Х	Knowledge of		Х
floors						evacuation		
						routes		
			Labor activity		Х	Knowledge of		Х
						safe zones		
	1		Per-capita		Х	Participation		Х
	1		income		in educational			
	1				programs or			
						lectures		

Σ	K	Hazard							
Vulnerability	Level	Low (1)	Medium (2)	High (3)					
	Low (1)	L 1 X 1 =1	L 1 X 2=1	M 1 X 3=3					
	Medium (2)	L 2 X 1 = 2	M 2 X 2=4	H 2 X 3=6					
	High (3)	M 3 X 1 = 3	H 3 X 2=6	H 3 X 3 =9					

682 Risk ranges: Low (1-2), Medium (3-4), High (6-9)

Table 4. Results with significant analyzed variable differences by neighborhood. Indications are as

687 follows: T_S (Total sample), Stat (statistic), df (degrees of freedom), p (p-value), FAS (Fascination) BE-

688 AW (being away), COMP (Compatibility), PHY-V (Physical vulnerability), SECO-V (Socio-economic

689 vulnerability) EDU-V (Educative vulnerability). Standard deviation in parentheses.

	T_S	Α	В	С	D	E	F	G	Η	Ι	Est	df	р
N	1710	155	258	24	325	163	142	66	382	195			
(n)	(172)	(12)	(21)	(17)	(28)	(19)	(19)	(13)	(28)	(15)			
% of respondents	10%	8%	8%	71%	9%	12%	13%	20%	7%	8%			
Anxiety	30%	67%	43%	24%	29%	37%	32%	31%	14%	7%	17.440 ^a	8	.0258
Desires location	36%	42%	43%	18%	32%	37%	58%	54%	39%	0%	17.577 ^a	8	.0246
change (yes)													
BE-AW	5.6 (1.3)	4.7	5.4	6.5	5.7	5.8	5.7	5.1	4.9	6.1	3.58		.0007
FAS	4.4 (1.7)	3.3	4.7	4.8	4.8	4.9	3.2	4.6	4.1	5.2	3.38		.0013
COMP	5.6 (1.2)	5.2	5.1	6.5	5.5	5.5	5.7	5.8	5.1	6.0	3.34		0.0015
SECO-V	6.7 (1.6)	6.1	6.2	7.8	6.6	6.5	6.9	6.7	6.6	6.9	1.45		.1808
PHY-V	8.8 (2.0)	11.0	8.6	8.8	9.5	6.9	7.4	8.2	9.2	9.9	51.2		<.0001
EDU-V	7.0 (0.8)	6.6	7.0	7.2	6.8	7.5	6.7	7.2	7.1	7.1	17.9		.0221