Overview of the first HyMeX Special Observation Period over Croatia

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7 Abstract

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The HYdrological cycle in the Mediterranean EXperiment (HyMeX) is intended to improve the 9 10 capabilities of predicting high-impact weather events. Within its framework, the aim of the first Special Observation Period (SOP1), 5 September to 6 November 2012, was to study heavy 11 12precipitation events and flash floods. Here, we present high-impact weather events over Croatia that 13occurred during SOP1. Particular attention is given to eight Intense Observation Periods (IOPs), 14 during which high precipitation occurred over the Eastern Adriatic and Dinaric Alps. During the entire SOP1, the operational model forecasts generally well represented medium intensity 15 16 precipitation, but heavy precipitation was frequently underestimated by the ALADIN model at an 8 km grid spacing and was overestimated at a higher resolution (2 km grid spacing). During IOP2, 17 18 intensive rainfall occurred over a wider area around the city of Rijeka in the Northern Adriatic. The 19 short-range maximum rainfall totals were the largest ever recorded at the Rijeka station since the 20 beginning of measurements in 1958. The rainfall amounts measured in intervals of 20, 30 and 40 minutes were exceptional, with return periods that exceeded a thousand, a few hundred and one 21 22 hundred years, respectively. The operational precipitation forecast using the ALADIN model at an 8 23 km grid spacing provided guidance regarding the event but underestimated the rainfall intensity. An 24evaluation of numerical sensitivity experiments suggested that the forecast was slightly enhanced by 25improving the initial conditions through variational data assimilation. The operational non-26 hydrostatic run at a 2 km grid spacing using a configuration with the ALARO physics package 27 further improved the forecast. This article highlights the need for an intensive observation period in 28 the future over the Adriatic region to validate the simulated mechanisms and improve numerical 29 weather predictions via data assimilation and model improvements in descriptions of microphysics 30 and air-sea interactions.

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³² **Keywords:** HyMeX SOP1, Adriatic TA, heavy precipitation, ALADIN mesoscale model, data 33 assimilation

36 **1. Introduction**37

38 The Special Observing Period 1 (SOP1) of the HYdrological cycle in the Mediterranean Experiment 39 - HyMeX project was performed from 5 September to 6 November 2012 (Drobinski et al., 2014). 40 The main objective of SOP1 was to improve the understanding and forecasting of the processes that 41 lead to heavy rainfall and floods (Ducrocq et al., 2014). The Mediterranean region frequently is 42 affected by heavy precipitation and flash floods, especially during the late summer and autumn. 43Daily precipitation amounts above 200 mm have been recorded during this season (e.g., Romero et 44 al. 2000; Buzzi and Foschini 2000; Jansa et al. 2001, Ducrocq et al 2008). Within small and densely 45 urbanized areas, intensive and stationary precipitation events can rapidly result in dangerous floods, 46 sometimes leading to disastrous consequences (e.g., Silvestro et al., 2012; Rebora et al. 2013; 47Ivančan-Picek et al. 2014). This stresses the importance of such events through their impacts on the 48 social and economic circumstances of local communities. Numerical weather prediction (NWP) 49 models have made significant progress through the development of convection permitting systems. 50However, the ability to predict such high-impact events remains limited because of the contribution 51 of fine-scale processes that are not represented in NWP models, their interactions with the large-52 scale processes and limitations in data assimilation, especially convective-scale data assimilation. 53 HyMeX aims to improve our understanding of precipitating systems, especially processes 54 responsible for their formation and maintenance, and to improve the ability of numerical weather 55 prediction models for forecasting the locations and intensities of heavy precipitation events in the 56 Mediterranean.

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58The orography and thermal contrasts of the Mediterranean basin together with approaching upperlevel troughs frequently induce lee cyclogenesis (e.g., Buzzi and Tibaldi, 1978; Horvath et al., 5960 2006) and provide a trigger mechanism for a range of extreme weather phenomena such as local 61 downslope Bora windstorms (known as Bura in Croatia) (e.g., Grisogono and Belušić, 2009), strong 62 Scirocco and Tramontana winds (Jurčec et al. 1996; Pandžić and Likso 2005; Jeromel et al., 2009), 63 orographic precipitation, thunderstorms, supercells and mesoscale convective systems (Ivančan-64 Picek et al. 2003; Mastrangelo et al., 2011), and water-spouts (Renko et al., 2012). Heavy 65 precipitation occurs preferentially downstream of cyclones aloft (Doswell et al., 1998).

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The seasonal distribution of heavy precipitation suggests the relevant role of the high sea surface temperature (SST) of the Mediterranean Sea during the autumn season, when the lower layer of the atmosphere is loaded with water vapour. The large thermal gradient between the atmosphere and the sea favours intense heat and moisture fluxes, which are the energy source for storms (Duffourg and 71Ducrocq, 2013). Because the sea provides a large source of moisture and heat, the steep slopes of 72 the surrounding mountains near the highly urbanized coastal areas of the Mediterranean are the key 73 factors in determining moisture convergence and the rapid uplift of moist and unstable air 74responsible for triggering condensation and convective instability processes (e.g., Rotunno and 75Ferretti, 2001; Davolio et al., 2009). The coastal mountains, however, are not the only sources of 76 lifting. Favourable synoptic upper-level settings, frontal lifting associated with quasi-stationary 77 frontal systems and lower tropospheric mesoscale convective lines may also induce convective 78 instability.

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80 A key component of HyMeX is experimental activity, which is intended to better understand and 81 quantify the water cycle in the Mediterranean, with an emphasis on intense events. Over the entire 82 Mediterranean region, three target areas (TA) have been proposed for Enhanced Observational 83 Periods (EOPs) to provide detailed and specific observations for studying key processes of the 84 water cycle (http://www.hymex.org). Among them is the Adriatic Sea and Dinaric Alps (Adriatic 85 TA), which has been proposed for the study of heavy precipitation events and flash floods, and 86 considerable effort from the Croatian meteorological community was put into the campaign 87 (http://www.hymex.org/?page=target areas).

88 The Adriatic Sea is a northwest-southeast elongated basin in the Central Mediterranean Sea that is 89 approximately 200 km wide and 1,200 km long and is almost entirely enclosed by mountains, 90 namely the Apennines to the west and southwest, the Alps to the north and the Dinaric Alps to the 91 east and southeast. Those topographic features play a large role in the structure and evolution of the 92 weather systems associated with heavy precipitation (e.g., Vrhovec et al., 2001; Ivančan-Picek et al. 93 2014). This area is among the rainiest in Europe, with expected annual amounts of precipitation 94 greater than 5.000 mm in the mountainous hinterland on the southern (end) part of the Adriatic Sea 95 (Mages, 2002).

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Although the Adriatic TA was not part of the extensive experimental activity during SOP1, many
events that affected the Western Mediterranean also expanded into the Adriatic area. During SOP1,
16 IOPs were dedicated to heavy precipitation events (HPE) over France, Spain and Italy, and many
of those events subsequently affected the Eastern Adriatic Sea and Croatia.

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The aim of the paper is to (1) provide a scientific overview of the HPEs that affected the Adriatic TA during SOP1, (2) provide and examine the operational numerical model skill of the precipitation forecasts in Croatia and (3) provide a detailed description of the extraordinarily rare and heavy 105 IOP2 precipitation event.

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The remainder of this paper is organized as follows. Section 2 describes the area of the Dinaric Alps and the Adriatic region and the measured and model data provided by the Croatian Meteorological and Hydrological Service (DHMZ). Section 3 analyses the events during HyMeX SOP1, which produced more than 100 mm of precipitation during 24 hours on the Eastern Adriatic Coastline. The performance of the operational precipitation forecasts is assessed through the verification of forecasts, primarily with the Croatian surface observation network. In Section 4, additional attention is given to the extraordinarily rare and heavy precipitation IOP2 event.

Finally, we analyse and discuss the potential for improving numerical weather predictions through
data assimilation using sensitivity experiments. The summary and conclusions are reported in
Section 5.

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118 **2. HyMeX SOP1 in Croatia: observations and models**

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120 The Mediterranean is among the most climatically pleasant areas in the world. Nevertheless, the area is prone to high-impact weather phenomena that affect people's lives and activities and cause 121 122 extensive material damage. This context was favourable for the active participation of the Croatian 123 scientific community in the HyMeX project. The Croatian research community was active in the 124 preparation of the scientific programme, which included the identification of typical weather patterns over the regions and target areas. During SOP1, the national meteorological service 125126 supported the main HyMeX Operational Centre (HOC) in Montpellier (France) by visiting scientists 127 and providing their meteorological expertise, observations, numerical modelling products and 128 forecast data.

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This section summarizes the observational network in Croatia that was operational during SOP1
and the operational forecasting modelling chain that produced numerical weather predictions during
SOP1.

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135 **2.1. Observations**

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137 The instrumentation deployed over the Adriatic TA during SOP1 belonged mainly to the DHMZ 138 observational network. DHMZ deployed a ground observation operational network that included 139 automatic, climatological and rain gauge stations, two radio-soundings (Zagreb-Maksimir (station 140 ID = 14240, H = 123 m asl, $\varphi = 45^{0}49$ N, $\lambda = 16^{0}02$ E) and Zadar-Zemunik (station ID = 14430, H 141 = 88 m asl, $\varphi = 44^{0}5$ N, $\lambda = 15^{0}21$ E)) and two radars (Bilogora and Osijek). The locations 142 mentioned in the text are indicated in Figure 1b.

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The meteorological measurements and observations from 58 SYNOP stations (31 of which were 144 145automatic stations) were made every hour and reported in real time during SOP1. All the automatic 146 stations measured data at 10-minute intervals and reported the measured data in real time. However, 147not all 63 automatic stations measured all the meteorological parameters. Twenty-one of the 148 automatic stations only reported wind parameters (average 10-minute speed and direction, and wind gust speed measured in the previous 10 minutes). Five additional stations measured wind 149 150 parameters, temperature and relative humidity. All real-time surface measurements (SYNOP and 151 automatic station data) and available radar figures were stored at the HyMeX data centre.

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The dense network of climatological stations (120 stations with an average distance of 20 km) was the source of temperature, humidity and wind speed, cloudiness and visibility were estimated from observations only 3 times per day at 0600, 1300 and 2000 UTC, and accumulated rainfall and snow height were measured at 0600 UTC (more than 500 stations reported accumulated 24-hour rainfall).

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158 In addition to operational radiosoundings in Zadar-Zemunik at 0000 and 1200 UTC, several extra 159 radiosoundings were deployed through the Data Targeting System (DTS) upon request of the HOC. 160 Those targeted radiosoundings, among others in the Western Mediterranean, were activated during 161 IOP16, which caused heavy precipitation, strong winds and snow in the Eastern Adriatic. Requests 162 for additional radiosoundings at 0600 and 1800 UTC were carried out under the EUMETNET Observation Programme. Sounding data measured at Zadar-Zemunik, located on the eastern coast 163 164 of the Adriatic Sea at the southern end of Velebit Mountain, provided information on the vertical 165 structure of the troposphere to monitor the upstream flow of the precipitation events in the Adriatic 166 region. The selection of sensitive area predictions (SAP), that is, predictions for regions where 167 observations are expected to have the largest impact on the forecasts for the verification, used 168 methods developed by ECMWF and Meteo-France (Prates et al., 2009). The verification area 169 selected for SAP calculations was centred over the Northern and/or Central Adriatic.

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171 To complement the ground-based observations, the data from two radars in Croatia (Bilogora (H = 172 270 m asl, $\varphi = 44^{0}53$ 'N, $\lambda = 17^{0}12$ 'E) and Osijek (H=89 m asl, $\varphi = 45^{0}30$ 'N, $\lambda = 18^{0}34$ 'E)) and one

in Slovenia (Lisca; H=944 m asl, $\varphi = 46^{0}04$ 'N, $\lambda = 15^{0}17$ 'E) were made available operationally in

174 graphical form. Estimates of the instantaneous surface rain rates from the Lisca and Bilogora radars

175 were provided to the HyMeX web server in real time. Northwest Croatia, particularly Rijeka and 176 Istria, are covered by operational radars in Croatia, Slovenia and Italy, but the area is on the edge of 177 the ranges and behind a mountain obstacle.

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179 Standard Meteosat Second Generation (MSG) Spinning Enhanced Visible and Infrared Imager 180 (SEVIRI) data are available in intervals of 15 minutes, and Rapid Scan Service (RSS) data are 181 available in 5 minute intervals. The abundance of remote sensing data on the HyMeX server 182 encourages detailed analyses of all the cases that produced HPEs over Croatia during SOP1.

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Satellite-derived precipitation data from the Tropical Rainfall Measuring Mission were used (TRMM, Huffman et al., 2007). In particular, we used the 3-hour accumulated precipitation data from the 3B42RT product to compute the 24 hourly accumulated rainfalls for the period from 0600 UTC to 0600 UTC the next day, and 1-hour precipitation data from the 3B41RT product were compared with the precipitation forecasts developed using operational numerical weather prediction models.

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192 **2.3 Mesoscale models**

A short description of the model characteristics and the operational setup during SOP1 is givenhere.

During SOP1, DHMZ provided the products from the operational forecast (Tudor et al., 2013). At
the time, the numerical weather prediction system (NWP) was based on the hydrostatic and nonhydrostatic ALADIN models.

199 The ALADIN hydrostatic model (Aladin International Team, 1997; Tudor et al. 2013) was run twice 200 per day on a domain with 8 km resolution (Figure 1a), starting from 0000 and 1200 UTC analyses 201 up to a 72 hour lead time. The operational suite used lateral boundary conditions from the global 202 model ARPEGE run operationally by Meteo-France. The initial fields were obtained using a data 203 assimilation procedure (Stanešić, 2011). The operational ALADIN model is a limited-area model 204 that applies Fourier spectral representation of the model variables using fast Fourier transforms 205 (FFTs) in both directions with a quadratic elliptic truncation (Machenhauer and Haugen, 1987), 206 which ensures an isotropic horizontal resolution and that the nonlinear terms of the model equations 207 are computed without aliasing. The forecast at an 8 km resolution was run on a domain with 208 240x216 grid points that included a band of 11 points along the northern and eastern boundaries, 209 with unphysical terrain created for the biperiodization (Figure 1a). The dynamical computations 210 were performed using semi-implicit semi-Lagrangian discretisation (Robert, 1982) to solve the hydrostatic dynamics and finite difference method on 37 levels of hybrid pressure type eta coordinates (Simmons and Burridge, 1981) in the vertical. The operational physics package at the time used prognostic TKE, cloud water and an ice, rain and snow and diagnostic scheme for deep convection. The prognostic equations for condensates were solved using the barycentric approach (Catry et al., 2007).

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217 Upon numerous case studies of severe weather events (e.g., Tudor and Ivatek-Šahdan, 2010), an 218 additional operational forecast run was established in July 2011 that used ALADIN with non-219 hydrostatic dynamics and a complete set of physics parameterisations, including the convection 220 scheme. The high 2 km resolution forecast using ALADIN model with non-hydrostatic dynamics (Benard et al 2010) with the physics package that included the convection scheme was running 221 222 operationally during the HyMeX SOP1 campaign (Figure 1b). The convection scheme used in the 223 high-resolution model is modular multiscale microphysics and a transport (3MT) scheme for precipitation and clouds (Gerard and Geleyn, 2005; Gerard, 2007; Gerard et al., 2009). 224

Both runs used SSTs from the initial file of the global model ARPEGE forecast. Additional details
of the model characteristics can be found in Table 1.

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3. Heavy precipitation events over the Adriatic TA during SOP1

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In the late summer and early autumn of 2012 (from 5 September to 6 November), Hymex SOP1, which was dedicated to heavy precipitation and flash floods, occurred over the Western Mediterranean (Ducrocq et al, 2014). During SOP1, 20 IOPs were declared, and 8 of those events affected the Adriatic TA (Table 2). Most of the events (6 IOPs) were related to HPEs over the Northern Adriatic (city of Rijeka).

236 Figure 2a shows the total precipitation amounts measured by the Croatian rain gauge network 237 accumulated over the entire SOP1. The total precipitation for SOP1 was above the corresponding 238 climatology (Zaninović et al., 2008) for September and October for the Adriatic TA. A similar 239 situation was found over the Apennine peninsula (Davolio et al., 2015). The maximum precipitation during SOP1 was recorded in the Northern Adriatic (city of Rijeka) and its mountainous hinterland 240 of Gorski Kotar (exceeding 1000 mm at some locations). There were 15 days with daily rainfall 241 242 accumulations exceeding 100 mm at locations in the Adriatic TA (Figure 2b). There were more 243 IOPs dedicated to HPEs over the Adriatic TA in October than in September 2012, which was also 244 the case in the Western Mediterranean (Ducrocq et al., 2014). Several of those events caused local 245 urban flooding (Rijeka, Pula and Zadar), with considerable material damage.

247 Some of the IOPs were embedded in a synoptic setting conducive to heavy rainfall and 248 characterized by cyclones over the Western Europe and Mediterranean (e.g., Dayan et al. 2015). 249 The storm tracks of these cyclones travelling from the North Atlantic to Europe depend on the 250 direction and strength of the westerly winds that are controlled by the relative positions of the 251permanent Azores High and Icelandic Low. Based on Ferretti et al. (2014) and Pantillon et al. 252 (2015), a small positive or negative North Atlantic Oscillation (NAO) index contributed to the 253 evolution of the weather systems associated with heavy precipitation and possibly reduced the long-254term predictability over the Mediterranean.

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3.1 Overview of IOPs over the Adriatic TA

259 The influence of different meteorological characteristics and physical processes that produced HPEs over the Adriatic target area and Dinaric Alps are briefly analysed and summarized. Previous 260 261 research on the occurrence of HPEs in the wider Adriatic region (e.g., Doswell et al., 1998; Romero 262 et a., 1998; Vrhovec et al., 2001; Kozarić and Ivančan-Picek, 2006; Horvath et al., 2006; 263 Mastrangelo et al., 2011; Mikuš et al., 2012) highlighted cyclonic activity in the Western 264 Mediterranean and Adriatic as a triggering mechanism for a range of extreme weather phenomena, 265 including HPE. The positions of cyclones that appear in the Adriatic Sea basin strongly influence 266 the climate and weather conditions in the area (Horvath et al., 2008).

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During SOP1, several upper-level troughs entered the Western Mediterranean and induced 268 269 cyclogenesis over the Gulf of Genoa, Tyrrhenian Sea and Adriatic Sea. Figure 3 shows the mean sea 270 level pressures and low-level horizontal winds for IOP4, IOP9, IOP13, IOP16, IOP18 and IOP19. 271 Although most of the events were related to cyclone activity in the region, some events were not 272 characterized by a cyclone moving over the area. In the following text, we summarize the analyses 273 of selected characteristic IOPs that affected the Adriatic area. Large-scale conditions similar to 274 those found in the IOPs helped generate mesoscale and local processes, leading to quite different 275 precipitation patterns.

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277 **3.1.1 IOP4**

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This event was caused by a mesoscale cyclone associated with a potential vorticity (PV) anomaly over the Adriatic Sea and was enhanced by the low-level convergence of the Bora flow over the Northern Adriatic Sea and warm southerly wind in the Southern Adriatic (Figure 3a). The mesoscale cyclone moved slowly southeastward, inducing instability over Central Adriatic Sea,
with intense convective phenomena on both sides of the basin.

284 Several rain gauges stations reached maxima of over 150 – 200 mm/24 h along the Eastern Italian 285 Coast (Maiello et al., 2014), and more than 100 mm/24 h was recorded over the southeast coast of the Adriatic, with a maximum over the Pelješac peninsula (Figure 1b). As inferred from the satellite 286 287 data, there were also other local precipitation maxima over the sea (Figure 4b). Previous studies 288 (e.g., Buzzi and Foschini, 2000; Ivančan-Picek et al., 2014; Davolio et al., 2016) have shown that 289 the largest component of the mountain-range-scale precipitation appears to be due to the orographic 290 lifting of moist and impinging low-level flows. Consequently, the vertical uplifts forced by the 291 Dinaric Alps area were favourable for the initiation and maintenance of convection. However, the 292 coastal mountains close to the Adriatic Sea were not the only sources of lift. Low-level circulation 293 over the sea frequently generates low-level convergence responsible for convective initiation (Jansa 294 et al., 2001; Davolio et al. 2009). The mesoscale cyclone over the Adriatic and frontal system 295 moved slowly southeastward and induced instability over the Central Adriatic Sea due to the strong 296 low-level *convergence* between the southerly jugo (sirocco) and northeasterly bora winds. This 297 caused more than 100 mm/24 h to be recorded over the Southeast Adriatic Coast and the open sea 298 (Figure 4b).

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300 In IOP4, heat loss caused by a strong bora wind was very intensive. The Bora was severe on 301 Northern Adriatic and exceeded 24 m/s. Strong bora winds bring cold and dry continental air over 302 the warm Adriatic basin, which generate intense air-sea heat exchanges and rapid sea surface 303 cooling (e.g., Grisogono and Belušić, 2009). The proper representation of sea surface temperatures 304 (SSTs) in the numerical models, especially in small and shallow basins, such as the Adriatic Sea, is 305 necessary for improving short-range precipitation forecasts (e.g., Davolio et al., 2015b; Stocchi and 306 Davolio, 2016; Ricchi et al., 2016). The response of heavy precipitation to an SST change is 307 complex and mainly involves modification to the boundary layer characteristics, flow dynamics and 308 its interaction with the orography. In the numerical modelling, the SST representation is generally 309 unrealistic and usually keeps the SST fixed at its initial value. Furthermore, especially in a narrow 310 and inhomogeneous basin, such as the Adriatic, small-scale SST variations cannot be properly 311 represented in coarse large-scale analyses, especially near the coasts. Figure 4a shows SST 312 measured at the Bakar station close to the city of Rijeka for the entire SOP period. During IOP4 (13 313 - 14 September 2012), the SST rapidly decreased by 10 °C at the Bakar station in comparison to 314 representation in the operational model that used LBC from the global ARPEGE model. Therefore, 315 the SST near the coast was colder than that in the ALADIN model forecast, which affected the 316 ability of the forecast model to properly forecast the meteorological fields there. In addition to 317 operational SST, a control simulation was driven by the SST field provided from the OSTIA 318 analyses (Donlon et al., 2012), which better corresponded to in situ observations during this event. 319 The daily accumulated precipitation for the operational 2 km model run and the control simulation 320 with modified colder SST from OSTIA are presented at Figures 4d and 4e. In this case, the control 321 simulation using the OSTIA analysis was more realistic (see Figure 4b) and generally drier than the 322 operational model with a warmer SST. The colder SST caused a decrease in precipitation over the 323 mountainous Adriatic Coast.

324 IOP4 shows the needs for further improvements in the role of SST and surface (latent and sensible)
325 heat fluxes over the Adriatic Sea, which attain large values during strong *bora* events. However, a
326 more detailed analysis of the impact of SST on precipitation is ongoing.

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328 3.1.2 IOP13

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Several events were characterized by frontal lifting associated with quasi-stationary *frontal systems* that helped release convective instability (IOP9, IOP12, and IOP13). Here, we will focus on the IOP13 event, which affected the entire Eastern Adriatic Coast and all three Italian target areas (Ferretti et al., 2014).

334 Smooth troughs entering the Western Mediterranean Sea that produced a south westerly flow over 335 the Adriatic TA were observed. A cold front moved eastward, supporting the advection of moist air 336 at low levels towards the coastline. This warm and moist air ahead of the front organized intensive 337 convective activity that formed a rain band stretching from Tunisia over Southern Italy to Southeast 338 Croatia. During the evening of 15 October, a Genoa cyclone developed and with an associated 339 frontal system moved rapidly over Italy. The advection of the moist air from over the sea caused 340 deep convection and another cut off low that developed over Northern Italy and moved eastward. 341 This weather regime (Figure 3c) provided a favourable environment for HPE, with thunderstorms 342 over the Northern Adriatic Sea, where 127.4 mm/24 h was recorded in the city of Rijeka in the 343 Northern Adriatic. Figure 5a shows the daily accumulated rainfall on 16 October recorded by the 344 Slovenian and Croatian rain gauge networks and the interpolation with the 3B42RT product. The 345 low-level wind field was dominated by a low-level jet stream that carried the warm and humid 346 Mediterranean air to the Adriatic Sea (Figure 3c). This situation was favourable for the strong S-SE sirocco wind, which is known as the jugo in Croatian (e.g., Jurčec et al., 1996). The advection of 347 348 warm and moist Mediterranean air caused intensive precipitation, which exceeded 100 mm/24 h 349 over the Northern Adriatic and open sea and several outermost islands (Mali Lošinj, Silba, Hvar, 350 and Mljet).

351 In less than 24 h, intense precipitation exceeding 120 mm affected the Northern Adriatic area. The

352 precipitation timing and the location of the maxima were reproduced quite well in the model 353 forecasts (Figures 5b and 5c). The operational forecast at a 2 km grid resolution better simulated the 354 extreme amounts in the Rijeka area than operational forecast at an 8 km grid resolution. However, 355 both models overestimated the rainfall over the Southern Adriatic Mountains.

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357 **3.1.3 IOP16 and IOP18**

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These events represent excellent cases for the science issues identified in HyMeX program for the Western Mediterranean (convection initiation, cloud-precipitation processes, and air-sea coupled processes). These situations produce favourable conditions for HPEs on the southern side of the Alpine ridge, including the Northern Adriatic region.

363 During these events, the Adriatic TA was strongly affected by the Genoa cyclone (IOP16) and the 364 intensive Western Mediterranean cyclone (IOP18) inducing low-level southeasterly and 365 southwesterly flow over the Adriatic area.

Figures 3d and 3e show the sea level pressure and low-level wind vectors at 1200 UTC on 27 and 366 367 31 October. This situation was favourable for the strong S-SE jugo wind (IOP18), which carried the warm and humid Mediterranean air to the Adriatic Sea. The cyclone during IOP16 caused the 368 369 lowest pressure recorded over the Adriatic TA during the entirety of SOP1. The advection of the 370 warm air combined with intensive advection of cyclonic vorticity contributed to the strong upward 371 motion in the area of the Northern Adriatic and the adjacent mountains, resulting in 180 mm of 372 precipitation over the city of Rijeka and the mountainous hinterland (Figure 6a). Very intensive 373 convective activity during IOP18, with heavy showers and thunderstorms, again produced more 374 than 170 mm/24 h in Rijeka (Figure 7a).

375 During IOP16, targeted radio-soundings intended for data assimilation, case analysis and 376 verification were deployed over the Central Mediterranean area and Adriatic area. The time 377 evolution of the vertical structure of troposphere on the Eastern Adriatic Coast was inferred by DTS 378 deployed and standard radiosoundings at Zadar-Zemunik during 26-28 October (Figure 8). A 379 gradual moistening of the lower troposphere occurred on 26 October during the occurrence of a 380 southeasterly near-surface jugo wind in the Adriatic basin and southwesterly flow aloft. The air 381 column below 500 hPa was nearly saturated and rather moist above. On 26 October, this moistening 382 was still not associated with significant values of convective available potential energy (CAPE). On 383 the next day, however, CAPE increased to over 1200 J/kg on 1200 UTC and over 1000 J/kg on 384 1800 UTC 27 October. The winds strengthened throughout the troposphere, and the highest 385 intensity was observed in the layer between 300 and 200 hPa. A strong southwesterly shear of 386 approximately 20 m/s in the first 2 km of the troposphere was also present over this area.

Both IOPs (IOP16 and IOP18) were fairly well forecast (Figures 6 and 7). The precipitation timing and the location of the maxima were reproduced quite well in the model forecasts. In less than 24 h, intense precipitation exceeding 170 mm affected the Northern Adriatic area. The operational forecast of the 2 km model resolution run overestimated rainfall above mountains, but it was consequently closer to the extreme amounts in the Rijeka area.

The sirocco wind is the cause of a piling up of Adriatic water near the northernmost coasts that occasionally floods the city of Venice (Orlić et al., 1994). This was the case also during the IOP16 and IOP18. The Venice Lagoon was hit by "acqua alta" (high water), the warning level was exceeded twice, with more than 120 mm on 27 and 28 October (Ferretti et al., 2014), and more than 140 mm was measured on 1 November 2012.

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399 **3.1.3 IOP19**

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During the entirety of IOP19 (3-5 November 2012), the southwesterly advection of warm and 401 402 humid air produced convection over the Northern Adriatic and orographic precipitation along the 403 Kvarner Bay. A southwesterly flow over the entire region of the Western Mediterranean was 404 produced by a baroclinic wave that formed over Northwest Europe to Northern Africa due to 405 weakened westerlies and low NAO. Strong southwest flow in the lower troposphere ahead of the 406 cold front supported the advection of moist and warm air. Additional details on the synoptic 407 situation are described in Ferretti et al. (2014) and Davolio et al. (2016). More rainfall was recorded 408 on rain gauges on the Northeastern Adriatic Coast. During this event, 177.0 mm/24 h was recorded 409in Klana, the hinterland of the city of Rijeka (Figure 9), and the precipitation was mainly orographic-forced with a strong southeast jugo (sirocco) wind (Figure 3f). This represents a typical 410 411 event in this area, which are generally well forecasted by operational models that can describe the 412 main orographic forcing properly. Both versions of the ALADIN operational models (8 and 2 km 413 resolution) produced maximum precipitation over the mountainous hinterland of the city of Rijeka 414 (Figures 9b and 9c). The amount of precipitation was slightly underestimated. In addition, the 2 km 415 non-hydrostatic version of the model produced the second maximum over the Velebit mountain, 416 which was not observed. This result implies that ALADIN 2 km overestimated the orographic 417 forcing associated with the higher Dinaric Alps ridges.

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420 **3.2. Verification of the precipitation forecasts during SOP1**421

422 The performances of the operational precipitation forecasts with the ALADIN model at 8 km and

423 ALADIN model at 2 km grid spacing during SOP1 were assessed by comparing the forecasts with 424 the measurements from the Croatian surface observation network. The model results were 425 compared with 24-hour accumulated precipitation measured by the rain gauges. Before the 426 calculation of the verification scores results for ALADIN 2 km, the model was upscaled to an ALADIN 8 km grid to avoid double penalty errors and make a more direct comparison. The 427 428 precipitation amount from the ALADIN (8 km and upscaled 2 km) model was obtained from the 429 nearest model point with respect to the observation location. Contingency tables (Tables 3 and 4) 430 were evaluated with three categories defined according to the amount of 24 h accumulated 431 precipitation and classified as dry, medium and strong. An event was defined as dry if the 24 h 432 accumulated precipitation on the rain gauge station was less or equal 0.2 mm/24 h. The border between the medium and strong categories was defined as the 95th percentile of the measured 24 h 433 accumulated precipitation (50.42 mm/24 h) during the SOP1 period, but with the dry events 434 435 excluded.

436 Figure 10 presents the 24-hour accumulated precipitation histograms from both the models and rain 437 gauges during the entire SOP1 period and during the specific days corresponding to the 8 IOPs 438 indicated in Table 1. The measurements show that a large percentage of the events were dry (64.7%) during the entire SOP1 period. The value corresponding to the 95th percentile (50.4 mm) is 439 440 indicated on the graph, and it appears to be a reasonable threshold for the heavy precipitation events 441 that we want to verify. As expected, the histogram for only the IOP days (8 IOP cases) show that the 442 number of dry events was reduced (18.1%) and the relative frequency of events shifted towards 443 events with higher amounts of precipitation.

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445 Although the ALADIN 8 km model distribution was in rather good agreement with the rain gauge 446 measurements during the entire SOP1 period, with the exception of the most intensive rain, the model distribution for the IOP days only shows that the model tended to underestimate the 447 448 frequencies of the weak and strong precipitation events, whereas it overestimated the frequency of moderate precipitation events. For ALADIN 2 km SOP1 and IOP days only, the histograms show 449 450 similar results; the model tended to underestimate moderate precipitation, whereas at the same time 451 it tended to overestimate strong precipitation. A comparison of the two models shows that the 452 ALADIN 2 km model better agreed with the measurements, especially for very weak and strong 453 precipitation.

The verification measures (Wilks, 2006) calculated from the comparison of the 24-hour accumulated precipitation from the rain gauges and model, for the three categories and different periods are summarized in Tables 3 and 4. The indices used here are defined in Appendix. Because most of the measures are Base Rate (BR) sensitive and can be safely used only to compare two 458 models for the same event, the polychoric correlation coefficient (PCC; Juras and Pasarić, 2006) as 459 an additional measure was calculated because PCC does not depend on BR or frequency bias 460 (FBIAS). For both ALADIN models, PCC showed rather high levels of association between the 461 observations and forecast for the entire SOP1, whereas it had a smaller value for only the IOP days. 462 For both models, the smallest value of PCC was for IOP 9, where both models overestimated the 463 number of strong precipitation events, especially ALADIN 2 km, which can be seen from the much 464 higher FBIAS than that from the ALADIN 8 km model. Comparing the performances of the two 465 ALADIN models, it can be observed that ALADIN 2 km had higher levels of association between 466 the observations and forecasts for IOP13 and IOP19 compared to ALADIN 8 km. For IOP13, ALADIN 2 km was relatively more accurate in all three categories, which can be seen from the 467 468 higher values of the critical success index (CSI). For IOP19, the FBIAS values show that ALADIN 469 2 km overestimated the frequency of strong precipitation, but at the same time it was relatively 470 more accurate for the other two categories (higher CSI). For the dry category, ALADIN 2 km had 471better scores for almost all the selected cases (higher CSI; FBIAS closer to 1). For medium 472 precipitation, ALADIN 8 km had better scores, except for IOP13 and IOP19. For the strong 473 category, the scores show that ALADIN 2 km tended to overestimate the frequency of strong 474 events, whereas ALADIN 8 km tended to underestimate the frequency of strong events, with the 475 sole exception of IOP19, where both models overestimated the number of strong precipitation 476 events (especially ALADIN 2 km).

477 478

479 **4. IOP2 over the Northeastern Adriatic TA**

480 481

482 Although the Adriatic TA was not part of the extensive experimental activity during SOP 1, many 483 events that affected the Western Mediterranean also expanded into the Adriatic area. During IOP 2, 484 in the late evening hours of September 12, a rainy episode with very heavy rainfall over only a few hours was recorded over the city of Rijeka, on the northern coast of Kvarner Bay in the Eastern 485 486 Adriatic Sea and its mountainous hinterland of Gorski Kotar. According to a report from the 487 Municipal Water and Sewer Company of the city of Rijeka, some major city roads became rivers 488 and streams, sewage manhole covers were discharged, massive caps flew into the air up to two 489 metres, and a spate of them were then carried up to one hundred metres from their shafts.

Ferretti et al. (2014) described IOP2 in Northeastern Italy (NEI) and analysed the meteorological characteristics and synoptic situation. A shallow orographic cyclone developed in the lee side of the Alps, extending from the Genoa Gulf to the Northern Adriatic. Simultaneously, with the Genoa cyclogenesis, a twin type of cyclone (Horvath et al., 2008) developed in the Northern Adriatic 494 (Figures 11a and 11b). The Croatian Coast of the Northern and Central Adriatic was influenced by 495 the strong moist southwestern flow on the leading side of the cyclone(s). The air was moist due to 496 southwest advection and evaporation from the Mediterranean. Below 2 km, there was strong 497 convergence over the Northern Adriatic. Due to its specific position deep in Kvarner bay, which is 498 open from the southwest and, at the same time, in the very pedestal of the Velebit mountain chain, 499 the city of Rijeka and its surroundings have geographic preconditions for pronounced convection, 500 with extensive precipitation under such specific synoptic conditions (e.g., Ivančan-Picek et al., 501 2003).

502 During the day in the late afternoon, cold air erupted along the Alpine slopes, and together with the 503 passage of the cold front over NEI and the Northeastern Adriatic Sea, resulted in intensive 504 convective processes.

505 506

507 **4.1. Extreme value analysis of the short-term precipitation maxima**

508

509 The spatial distribution of the daily rainfall amounts for the IOP2 rain episode indicates that the 510 largest amounts fell over the city of Rijeka (220 mm at the Rijeka meteorological station, which is 511 located 120 m above sea level) and the surrounding mainland hilly slopes and mountainous 512 hinterland. According to the rainfall data recorded by ombrograph at the Rijeka meteorological 513 station, a better-detailed insight into the temporal rainfall distribution during the short-term interval 514 of this heavy rainfall event is possible (Figure 12). The rainfall episode that occurred during the six-515 hour period between 6 pm and midnight was most intense between 9 pm and 11 pm. The maximum 516 20, 30, 40, 50, 60 and 120 minute rainfall totals, which would have been the most intense part of the 517 rainfall episode, have not been recorded at the Rijeka station since the beginning of measurements 518 in 1958 (Table 5). The rainfall intervals of 20, 30 and 40 minutes were especially intense and could 519 be expected once in a more than a thousand, a few hundred and a hundred years, respectively, and 520 correspond to an extraordinarily rare event as computed over the period 1958 - 2011 (Patarčić et al., 521 2014). The maximum amounts that fell in the two- and four-hour intervals could be expected every 522 forty and fifty years, respectively.

523

524 **4.2 Observational analysis**525

526 On 12 September 2012, a sequence of convective events hit the northeastern part of Italy and, in 527 particular, the eastern part of the Veneto region and the plain of the Friuli Venezia Giulia region. 528 During that day, at least two of the events could be classified as supercells, and the first one was also associated with heavy hail (Manzato et al., 2015; Miglietta et al., 2016). After a few hours, a third storm system that resembled a squall line, although of limited dimensions, swept over the area. EUMETSAT was conducting its first experimental 2.5-minute rapid scan with the MSG-3 satellite, and data are available from early morning until 0900 UTC of the IOP2 day. Unfortunately, the MSG-3 satellite (renamed Meteosat-10) experimental rapid scan data, which have intervals of 2.5 minutes, are available until only 0900 UTC on 12 September 2012.

The nearby area of Istria and Rijeka first received rain in the early afternoon, which soon stopped before the torrential rain in the evening between 2100 and 2300 UTC. This rain was connected to a third storm over Italy (as discussed in Manzato et al. 2015), which was an elongated storm moving along the coast of the North Adriatic. Convection developed over the Northern Adriatic, and warm and moist advection produced intensive precipitation triggered by the orography inland.

540

541 Satellite data show that cumulonimbus clouds formed (Figure 13). This intensive rainfall band 542 reached Trieste and Slovenia according to the radar data (not presented) and merged with the 543 rainfall band that formed above Trieste at 1800 UTC. Another rainfall band formed above the Istria 544 peninsula at 1930 UTC. Intensive rainfall spread to Rijeka and persisted there for several hours. 545 During that time, other rainfall bands formed and moved over Rijeka, intensifying the precipitation 546 and prolonging the period of high precipitation intensity.

According to the hourly amounts, the largest precipitation intensity occurred from 2100 to 2200
UTC (85.3 mm/h), with 20.6 and 51.7 mm/h in the previous and following hour (Figure 12).

550 Sounding data measured at Zadar-Zemunik, which is located approximately 150 km south-southeast 551 of the area where the largest rainfall was recorded, can provide information on the vertical structure 552 of the troposphere. Although the thermodynamic profile characteristics are not completely 553representative of the pre-convective environment over the study area, this is the only available 554 sounding data for the Eastern Adriatic. The soundings featured a low-level moist atmospheric layer 555 from the surface to approximately 850 hPa that was connected with SE jugo wind, confirming that 556 there was a suitable environment for strong convective activity (not presented). The winds 557 strengthened throughout the troposphere, and the highest intensity was observed at 400 hPa.

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559 **4.3. Operational model forecasts**

560 561

562 During SOP1, DHMZ made available the operational forecast from the ALADIN operational 563 forecast model at 8 km and non-hydrostatic 2 km horizontal resolutions (Section 2.3). The two 564 versions of the ALADIN model are compared here, and the comparison shows the capability for 565 forecasting intense convective activity in the area.

566 The short-range forecasts well reproduced the large-scale and mesoscale features responsible for the 567 event (Figure 11). The low-level wind field was dominated by two low-level jet streams (LLJs) and 568 caused the appearance of the low-level wind convergence over the North Adriatic that was 569 associated with the main Genoa cyclone (Figure 11b). In this case, the performance of the model 570 was rather successful in comparison with the ECMWF reanalysis (not presented). One south-571 westerly LLJ was elongated from Italy towards the middle Adriatic and carried warm and humid 572 Mediterranean air to the Adriatic Sea, and another north easterly LLJ (bora wind) was modified and 573 intensified by the pressure gradient across the southern flank of the Alps (Figure 11a). This 574 convergence was responsible for the convective triggering in the late afternoon. Although the 575 mesoscale characteristics were correctly reproduced, the location and timing of the precipitation 576 were not good predicted. The intensive precipitation event was predicted by both models, with 577 precipitation close to or exceeding 100 mm/24 hours inland of Rijeka (Figure 4), but the amount of 578 precipitation was underestimated for the city of Rijeka, which lies on the coastline in all operational 579 models, possibly due to an absence of the cold pool that formed after the showers in the early 580 afternoon or the low-level wind from northeast that started earlier than in the model forecast.

581 The operational forecast setup of the ALADIN 2 km resolution run overestimated the rainfall above 582 mountains (at least when compared to the 3B41 products from the TRMM data server), but it was 583 consequently closer to the extreme amounts measured in the Rijeka area (Figure 14). Although the 584 3B41 product is an estimate of precipitation intensity that also suffers from errors, the rain over the 585 Southern Velebit Mountain was overestimated, although it was correct for the mountains inland of 586 Rijeka. In the hours of peak precipitation intensity in Rijeka, the satellite measurement data-derived 587 precipitation (TRMM 3B41RT product available from NASA's Giovanni web service) was also 588considerably lower than that measured in situ.

589 The high-resolution, non-hydrostatic operational forecast showed upward motions along the coastal 590 mountains of Croatia that were associated with the convergence line and the rain band over the sea 591 (Figure 15). The wave of upward motion moved from the Po valley eastward and reached Rijeka 592 area one hour after the recorded maximum intensity in precipitation, and the model might, 593 therefore, have been slightly later than the real weather events. A permanent wave formed over 594 Southern Velebit (and several other mountains) and persisted throughout the night. That wave was 595 responsible for triggering the precipitation there, and its intensity was probably overestimated. 596 Apparently, small but tall topographic obstacles can trigger too much precipitation; this issue must 597 still be solved.

- 598
- 599 Figure 16 presents a scatter plot of the 24 h accumulated precipitation from rain gauges over Croatia

600 and the forecast values from the ALADIN model taken from the nearest grid points for IOP 2. The 601 ALADIN 8 km model underestimated precipitation and forecasted up to 92 mm/24 h of rainfall, 602 whereas the measurements reached 220 mm/24 h. Much better results were obtained from the 603 ALADIN 2 km model; the values predicted by the model reached 200 mm/24 h. A location error is 604 also evident for both models, especially for the area where the most intense precipitation occurred 605 (Istria peninsula; red dots), but it was smaller for the ALADIN 2 km model. The medium 606 precipitation amounts were better forecast than the strong precipitation amounts but were still 607 slightly overestimated for the ALADIN 8 km model, and much more spread can be seen for the 608 ALADIN 2 km model, with both overestimation and underestimation, but with better results for the 609 Istria peninsula. From Tables 3 and 4, it can be observed that ALADIN 2 km was relatively more accurate (higher CSI) for the dry and strong categories, but not for the medium category, than 610 611 ALADIN 8 km. FBIAS was better for ALADIN 2 km for the medium category in addition to the 612 dry and strong categories compared to the ALADIN 8 km results.

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616 **4.4 Influence of the data assimilation**

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618 Because the lack of model skill when simulating HPE could be partially attributed to imperfect 619 initial conditions, we performed several numerical weather prediction experiments to assess the 620 impact of data assimilation on the IOP2 forecast accuracy. Observations used in the operational data 621 assimilation system include ground station observations (2 metre temperature, 2 metre relative 622 humidity, pressure), radio soundings (temperature, humidity, wind components), aircraft-based 623 observations (temperature, wind components), wind components derived from a cloud motion 624 detection process based on the measurements of geostationary satellites and brightness temperature 625 from geostationary and polar satellites.

626

627 A comparison of the measurements with an operational forecast and simulations without data 628 assimilation is shown in Figure 17. The rain gauges showed that an elongated area of stronger 629 precipitation along the Croatia-Slovenia border was present, and that pattern was better forecasted 630 by the operational run that incorporated data assimilation. In addition, higher amounts of the 631 medium rain category over the Istria peninsula were found in the operational run, which better 632 accorded with measurements. This can also be seen in Figure 16, where for the run with data 633 assimilation the points are less scattered, and more points with higher values of precipitation over 634 Istria are present. The maximum recorded around the town of Rijeka was not adequately 635 represented by either model.

636 The verification measures (Table 3) show that the simulation with data assimilation produced 637 slightly better results. The scores for the entirety of Croatia show that the strong precipitation 638 category results were improved for the operational run (CSI=0.28) compared to the run without data 639 assimilation (CSI=0.23). In addition, PCC showed that the model and observations for the run with 640 data assimilation were better associated. The impact of data assimilation for that was rather small, 641 but it yielded an improvement in the 24-hour precipitation forecast. It should be considered that for 642 the selected case, better results were obtained with the higher resolution model and that the data 643 assimilated in the operational ALADIN 8 km model was mainly synoptic data. Thus, implementing 644 data assimilation in the higher resolution model and adding additional high-resolution temporal 645 and/or spatial data to the data assimilation system are apparently good ways to further enhance 646 operational forecasts.

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649 **Summary and conclusions**

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651 In this paper, an overview of the IOPs that affected the Adriatic TA during the SOP1 HyMeX 652 campaign (5 September to 6 November 2012) is presented. During SOP1, 20 IOPs were declared, and 8 of those events affected the EOP Adriatic TA. All the events produced localized heavy 653 654 precipitation and often were properly forecast by the available ALADIN operational model, but 655 uncertainties existed in the exact prediction of the amounts, precise times and locations of 656 maximum intensity. The total precipitation amounts for SOP1 exceeded the corresponding 657 climatology for the Adriatic TA. The precipitation maximum (more than 1.000 mm in 61 days at 658 some locations) was recorded in the Northern Adriatic (city of Rijeka) and its mountainous 659 hinterland of Gorski Kotar. This region experiences climatic maxima of annual precipitation greater 660 than 3.000 mm on average. The analysis was performed primarily using measurements from the 661 operational meteorological network maintained by the Meteorological and Hydrological Service of 662 Croatia.

There were 15 days when the accumulated rainfall at least one rain gauge in the Adriatic TA exceeded 100 mm in 24 hours. Most the HPEs contained similar ingredients and synoptic settings but had different intensities as follows: an extensive deep upper level, cyclone strengthening over the Mediterranean (or developing over the Gulf of Genoa, Lyon or the Tyrrhenian Sea), a strong southwesterly low-level jet stream that advects moist and warm air towards the orographic obstacles along the Mediterranean coastline and destabilizes the atmosphere as the strong wind picks up the moisture from the sea.

671 The verification of the operational precipitation forecasts during SOP 1 suggests the operational 672 ALADIN model with 8 km grid spacing may be useful for issuing early warnings for severe 673 precipitation events in the region. For most of the events, the precipitation forecast and 674 measurements were highly associated. From the verification statistics and different precipitation 675 related figures, it can be seen that an obvious limitation of the ALADIN 8 km model is its inability 676 to produce high amounts of precipitation and its tendency to underestimate the frequency of dry 677 events. Both issues can be ameliorated using a non-hydrostatic model at a higher resolution 678 (ALADIN 2 km). Nevertheless, the exact precipitation amounts were not always well simulated. 679 The verification methods used in this work are limited because the utilized score calculation method 680 is a point based comparison and is thus prone to location errors, and other methods that are used are 681 based on subjective comparisons of different precipitation plots. A next step would be to implement 682 an object-based verification method, e.g., SAL (Wernli et al., 2008), which could provide more 683 objective verification measures, but for this local spatial precipitation analysis, the method must 684 first be developed.

685

686 During IOP2 on 12 September 2012, several thunderstorms formed, including a supercell and a 687 possible tornado outbreak. The warm and moist air advected in the low levels over the Adriatic (and 688 Mediterranean before that) fed the storms, but one storm apparently produced downdrafts that 689 would in turn have formed a convergence zone with moist flow from the sea and triggered the next 690 storm. The intensive precipitation event in Rijeka and the surrounding area resulted from the 691 influence of the coastal mountains on the movement of a convergence line. The atmosphere 692 contained much moisture and was nearly saturated up to 6 km. The air flow converged above 693 Northern Adriatic in the layer up to 2 km. The convergence line moved southeastward, whereas 694 rainfall intensified in the Rijeka area due to local terrain. The peak intensity was underestimated by 695 the model forecast.

696

Such a chain of events poses a challenge with respect to predictability. The fact that the surrounding mountains represent physical obstacles that modified the flow and determined the position of the convergence zones made forecasting the location of such a chain of events more predictable. An abundance of available real-time measured data, including radar measurements, aircraft data and targeted radio soundings, can improve the initial conditions for the NWP models. The ambiguities in the sea surface fluxes, which were an important source of energy for this event, could be the factor that limits the abilities of deterministic forecasts.

704

705 The numerical sensitivity experiments with respect to the mesoscale data assimilation suggested the

706 precipitation forecast during IOP 2 was improved by using data assimilation to produce initial 707 conditions, compared to forecasts when initial conditions were derived from the global model data. 708 The use of mesoscale data assimilation for initial conditions enhanced the precipitation structure 709 and intensity. This is also evident given the improvement in the objective verification measures, 710 including the critical success index and PCC. The data assimilation system could be further enhanced by using additional observations (e.g., radar and ground based GNSS data), shorter data 711 712 assimilation cycles (e.g., 3 hours instead of 6 hours) or a B matrix computed using more advanced 713 methods (an ensemble B matrix instead of NMC based). Work also continues to implement a data assimilation system to a higher resolution model. 714

Furthermore, the operational non-hydrostatic model at a 2 km grid spacing was able to predict the intensity of an HPE more accurately than the hydrostatic model at an 8 km grid spacing. Nevertheless, a higher resolution forecast can misplace the position of the peak precipitation and overestimate the precipitation over narrow but high mountains such as the Southern Velebit. This may be an artefact of the excessive sea surface temperature in the model in that region. These results suggest that precipitation forecasts in the Adriatic TA may be improved by both using mesoscale data assimilation and by decreasing the grid spacing of the model.

Heavy precipitation over the Adriatic area is often associated with sirocco (*jugo*) or *bora* winds and thus involves intense air-sea interactions. IOP4 provided an excellent example of very intensive heat loss caused by a strong *bora* wind. In that case, the control simulation run was more realistic with colder SSTs and was generally drier than the operational run with warmer SSTs. IOP4 illustrates the need for further improvements of the role of the SST and surface (latent and sensible) heat fluxes over the Adriatic Sea, which attain large values during strong Bora events. However, a more detailed analysis of the impact of SST on precipitation is ongoing.

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This paper, therefore, highlights the need to enforce an intensive observation period in the future over the Adriatic region to better understand the relevant processes, validate the simulated mechanisms and improve numerical forecasts via data assimilation and improvements in model representations of moist processes and sea-land-atmosphere interactions. There is also a need for collaborative efforts within the Italian and other HyMeX scientific and forecast communities to achieve a better understanding of the complex processes that cause extreme events over the Adriatic region.

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1102 **APPENDIX**

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1104 The indices used in the statistical analysis of verification quality are briefly described and defined 1105 below. All the indices mentioned in Tables 2.3 were calculated from a 3x3 contingency table, the 1106 general form of which is shown in Table 6. A contingency table with three categories (dry, medium and strong) was defined according to the amount of 24 h accumulated precipitation (Table 6). An 1107 1108 event was defined as dry if the 24 h accumulated precipitation on the rain gauge station was less than or equal to 0.2 mm/24 h. The border between the medium and strong categories was defined as 1109 the 95th percentile (50.42 mm/24 h) of measured 24 h accumulated precipitation during the SOP1 1110 period, but with dry events excluded. 1111

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Table 6: *General form of a multi-category (3x3) contingency table with a marginal distribution.*

		OBSERVATIONS						
		Dry	Σ					
FORECAST	Dry	а	b	С	d			
	Medium	e	f	g	h			
	Strong	i	j	k	1			
	Σ	m	n	0	р			

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1116 The formulas for calculating the verification measures used in Tables 2 and 3 are provided here, 1117 where the subscripts D, M and S indicate dry, medium and strong categories, respectively.

BASE RATE (BR) – provides information on the observed event frequency. Does not depend on the
 forecasted values.

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$$BR_D = \frac{m}{p}$$
; $BR_M = \frac{n}{p}$; $BR_s = \frac{o}{p}$;

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1124 FREQUENCY BIAS (FBIAS) – indicates how well the forecast frequency of an event corresponds 1125 to the observed frequency of the event. FBIAS=1 for a perfect score. If FBIAS>1, the model has a 1126 tendency to overforecast events, whereas FBIAS<1 indicates that the model has a tendency to 1127 underforecast events.

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$$FBIAS_D = \frac{d}{m}$$
; $FBIAS_M = \frac{h}{n}$; $FIAS_s = \frac{l}{o}$;

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1131 CRITICAL SUCCESS INDEX (CSI) – measures the relative accuracy of a forecast. It is defined as 1132 the ratio of the number of correct forecasts of an event for some category and the sum of the 1133 number of correct forecasts of the event in that category, the number of events that were forecasted 1134 in that category and that were not observed and the number of observed events that were not 1135 forecast in that category. CSI has values in the interval [0,1], and 1 is a perfect forecast.

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1137
$$CSI_D = \frac{a}{m+d-a}$$
; $CSI_M = \frac{f}{n+h-f}$; $CSI_S = \frac{k}{o+l-k}$;

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POLYCHORIC CORRELATION COEFFICIENT (PCC) – represents a measure of the association between an observation and forecast in the contingency table. The main idea is to make appropriate transformations of forecasted and observed values together with category thresholds and then to seek the parameter (PCC) of the bivariate density function for which the volumes of the discretized bivariate distribution is equal to the corresponding joint probabilities of the contingency table, with the assumption that their joint probability density function is bivariate normal. For contingency tables with more than two categories, several methods for estimating PCC exist. In this work, the Maximum Likelihood method (Olsson, 1979) was used. Additional information on using PCC for the verification of meteorological fields can be found in Juras and Pasarić, 2006. PCC has values in the interval [-1,1].

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1152 **List of Tables:** 1153

1154 **Table 1.** *Details of the operational model characteristics.*

1156**Table 2:** HPEs over the Adriatic TA during SOP1. The column titled Rainfall lists the maximum 24-1157hour accumulated precipitation (from 0600 UTC to 0600 UTC). Weather regime gives the1158associated large-scale weather.

Table 3: Verification measures calculated for the 24-hour accumulated precipitation and for the ALADIN 8km model (second column) for three categories (first column) and for the entire SOP1 period (5 September to 6 November 2012), only IOP days (IOPavg) and for selected IOPs corresponding to the time periods indicated in Table 1 and for IOP2 without data assimilation experiment (IOP2 no DA). The verification measures include Base Rate (BR), Frequency Bias (FBIAS), Critical Success Index (CSI) and polychoric correlation coefficient (PCC). Due to zeros in the contingency table, some PCC scores could not be calculated (IOP4 and IOP16 for the ALADIN 8-km model).

1168**Table 4:** Same as Table 2, but the verification measures were calculated for the ALADIN 2-km model.1169

1170 **Table 5:** Annual maximal precipitation amounts (R_{max}) recorded in different intervals t (minutes) 1171 throughout the period 1958-2011 and during the heavy rainfall event on September 12, 2012 at 1172 Rijeka and their return values (T) according to the GEV distribution applied to the period 1958-1173 2011.

1175 **Table 6:** *General form of a multi-category (3x3) contingency table with a marginal distribution.*

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1181 <u>List of figures:</u>1182

1183Figure 1: ALADIN model domain and terrain height with 8 km (a, unit: m) and 2 km (b, unit: km)1184horizontal resolutions.

Figure 2: a) Total precipitation measured by the Croatian rain gauge network, cumulated over the
entire SOP1 period; b) Maximum 24 h rainfall totals at each rain gauge station during SOP1.

Figure 3: Horizontal wind at 10 m (arrows coloured according to wind speed) and mean sea level
pressure (blue isolines) forecasts by the ALADIN 8 km resolution run for 1200 UTC for: a) IOP4
(13 September); b) IOP9 (1 October); c) IOP13 (15 October); d) IOP16 (27 October); e) IOP18
(31 October); f) IOP19 (4 November).

1194Figure 4: a) Sea surface temperature measured in situ (red) at the Bakar station, which was close1195to the city of Rijeka, and the nearest sea point data used in the ALADIN 8 km resolution model from

- the global ARPAGE model (light blue) and OSTIA (blue) for SOP1 from 5 September to 8 November
 2012.
- 1198 For IOP4 (14 September) b) Accumulated 24 hourly rainfall measured on rain gauges (circles) and 1199 interpolated using data from rain gauges and 3B42RT3 hourly product for periods starting at 0600
- 1200 UTC; c) accumulated 24 hourly precipitation forecasts from the ALADIN 8 km resolution run; d)
- accumulated 24 hourly precipitation forecasts from the ALADIN 8 km resolution run; a) accumulated 24 hourly precipitation forecasts from the ALADIN 2 km resolution run with SST from
- 1202 OSTIA; e) accumulated 24 hourly precipitation forecasts from the ALADIN 2 km resolution run with

1203 SST from the ARPAGE global model.

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Figure 5: IOP13 (16 October): accumulated 24 hourly rainfall measured on rain gauges (circles) and interpolated using data from rain gauges and the 3B42RT3 hourly product for periods starting at 0600 UTC (a); accumulated 24 hourly precipitation forecasts from the ALADIN 8 km resolution run (starting from 000 UTC on the same day (b) and for the ALADIN 2 km resolution run (c).

- 12091210 Figure 6: same as Figure 5 but for IOP16 (28 October)
- 1212 **Figure 7:** same as Figure 5 but for IOP18 (1 November)

Figure 8: Radiosounding data for Zadar 26 October 2012 at 0600 and 1200 UTC (first row), 26
October 2012 at 1800 and 27 October 2012 at 0000 UTC (second row).

1217 **Figure 9:** same as Figure 5 but for IOP19 (4 November)

1219 Figure 10: Normalized histogram of rain events (24 h accumulated precipitation on rain gauge 1220 station greater or equal 0.2 mm/24 h) for the entire SOP1 period (5 September to 6 November 1221 2012) (left column) and for days of selected (IOP)s within the same period (right column). To have 1222 readable histogram first histogram bin starts from 0.2 mm, whereas the number of dry days for a given period is indicated on the graph. The location of the 95th percentile of the SOP1 rain events 1223 distribution (50.42 mm/24 h) is shown. The area of the histogram after the 95th percentile is 1224 1225 enlarged and shown as an inset to improve readability. The frequency of the precipitation events for 1226 rain gauge is coloured in blue and in light green for the model, whereas dark green indicates the 1227 overlapping of the model and rain gauge data. First row: ALADIN 8 km, Second row: ALADIN 2 1228 km upscaled to an ALADIN 8 km grid.

Figure 11: *Mean sea level pressure (a) and 850 hPa geopotential height (blue isolines), wind speed (background shading) and direction (vectors) (b) according to the ALADIN model operational forecast on 2100 UTC 12 September 2012 (starting from the 0000 UTC analysis of the same day).*

Figure 12: Hour precipitation amounts recorded from 1 pm on 12 September 2012 to 1 pm on
September 13, 2012 at the Rijeka meteorological station.

1237Figure 13: IR temperature enhanced satellite image for 2100 UTC on 12 Sep 2012, which was the1238operational MSG product used in DHMZ at the time.

12391240Figure 14: High resolution forecast of hourly accumulated precipitation (shaded background) and1241TRMM 3B41RT precipitation estimates (squares) for 1900 (a), 2000 (b), 2100 (c), 2200 (d) and12422300 (e) UTC 12 and 0000 (f) UTC 13 September 2012; this was the period of highest precipitation1243intensity. The satellite derived precipitation data were used as provided from the Tropical Rainfall1244Measuring Mission (TRMM, (Huffman et al. 2007)); in particular, we used the hourly precipitation intensity1245data from the 3B41RT product.

Figure 15: Vertical velocity omega (Pa/s) at the 850 hPa level from the operational 2 km resolution forecast for 2200 (a) and 2300 (b) UTC on 12 and 0000 (c) and 0100 (d) UTC on 13 September 2012; upward motions are shown in shades of red, and downward motions are shown in blue.

Figure 16: Scatter plot of 24 h accumulated precipitation from rain gauges over Croatia and the model equivalents from the ALADIN 8 km (left), ALADIN 8 km without data assimilation (middle), and ALADIN 2 km (right) models and from the point nearest the location of the rain gauge for IOP2. The locations from the Istria peninsula are marked in red.

Figure 17: The 24 h accumulated precipitation from 12 Sep 0600 UTC until 13 Sep 0600 UTC (IOP12). Left: rain gauge measurement, middle: ALADIN 8 km operational forecast with data assimilation, right: ALADIN 8 km forecast without data assimilation.

1296 List of Tables:

	8 km resolution	2 km resolution				
Horizontal discretization	Spectral, quadratic (Machenhauer a semi-implicit semi-Lagrangian (Ro					
Gridpoints	240x216	450x450				
Vertical discretization	37 hybrid pressure type eta coordinates (Simmons and Burridge, 1981)					
Equation system	Prognostic equations for condensate	es (Catry et al., 2007)				
Horizontal diffusion	SLHD (Váňa et al., 2008)					
Time scheme	SETTLS (Hortal, 2002) with a secononlinear residual (Gospodinov et a					
Lateral boundary coupling	Davies (1976) zone 8 grid-points wide, time dependent (Haugen Machenhauer, 1993) at the end of the grid-point computations (R 1995)					
LBC data	From ARPEGE, 3 hourly	6 hour forecast from 8 km run, hourly (Tudor and Termonia, 2010)				
Initial conditions	Stanešić (2011): 3DVar (Hollingsworth et al 1998; Lorenc, 1986) and optimal interpolation for surface	SSDFI (Termonia, 2008)				
Microphysics	prognostic cloud water and ice, rain and snow (Catry et al., 2007) statistical approach for sedimentation of precipitation (Geleyn et al., 2008)					
Radiation	(Ritter and Geleyn 1992) based or	n Geleyn and Hollingsworth (1979)				
	and enhanced recently (Geleyn et. al. 2005a, 2005b)					
Turbulence	TKE according to Geleyn et al. (2006), modified from Louis et al. (1982) includes the shallow convection (Geleyn, 1987)					
Soil scheme	ISBA (Noilhan and Planton, 1989), also used in the surface data assimilation (Giard and Bazile, 2000)					
Diagnostics of 10m wind and 2m temperature	using a parameterised vertical profile (Geleyn, 1988) dependent on stability					
Convection	diagnostic convection scheme (Geleyn et al., 1995) Gerard and Geleyn, 200, 2007) combines resol convective contributions al., 2009)					

 Table 1. Details of the operational model characteristics.

Table 2: HPEs over the Adriatic TA during SOP1. The column titled Rainfall lists the maximum 241307hour accumulated precipitation (from 0600 UTC to 0600 UTC). Weather regime gives associated1308large scale weather.

Date	IOP	Location	Rainfall (mm)	Weather regime
12-13 Sep	2	Rijeka	220.2	NAO+, cold front, SW advection
13-14 Sep	4	Pelješac	101.4	NAO+, cyclone, bora and sirocco
1-2 Oct	9	Rijeka	127.4	NAO+, cold front, SW advection
11-13 Oct	12a	Silba, Šolta, Prevlaka	121.0	blocking, cold front, SW advection
14-16 Oct	13	Hvar, Mljet, Rijeka	a, 118.6, 145.4	blocking, cold front, SW advection
		Karlobag, Imotski		
26-28 Oct	16	Rijeka, Rijeka inland	180.1, 173.5	NAO-, blocking, cyclone, sirocco, aqua
				alta
31Oct-2 No	v 18	Istria, Rijeka	171.4	NAO-, cyclone, sirocco, aqua alta
4-5 Nov	19	Rijeka inland	177.0	NAO-, cyclone, SW advection

Table 3: Verification measures calculated for 24 hour accumulated precipitation and for ALADIN 81313km model (second column) for three categories (first column) and for whole SOP1 period (51314September to 6 November 2012), only IOP days (IOPavg) and for selected (IOP)s corresponding to1315time periods indicated in Table 1 and for IOP2 without data assimilation experiment (IOP2 no1316DA). Verification measures include Base Rate (BR), Frequency Bias (FBIAS), Critical Success1317Index (CSI) and polychoric correlation coefficient (PCC). Due to zeros in contingency table some1318PCC scores could not be calculated (IOP4 and IOP16 for ALADIN 8km model).

Cat.	Measure			Period								
		SOP 1	IOPa vg	IOP2	IOP2 no DA	IOP 4	IOP9	IOP1 2a	IOP1 3	IOP 16	IOP1 8	IOP1 9
Dry	BR [%]	64.7	18.1	15.5	15.5	2.7	12.7	27	30.9	2.9	10.6	44.7
	FBIAS	0.78	0.29	0.5	0.41	0	0.15	0.47	0.45	0	0.01	0
	CSI	0.73	0.23	0.16	0.16	0	0.08	0.39	0.41	0	0.01	0
Med ium	BR [%]	33.6	74.5	60.1	60.1	86.9	86.4	69.8	62.9	87.9	85.1	49.6
	FBIAS	1.45	1.2	1.36	1.39	1.03	1.1	1.24	1.26	1.09	1.14	1.91
	CSI	0.62	0.76	0.59	0.59	0.84	0.84	0.76	0.65	0.88	0.86	0.5
Stro ng	BR [%]	1.8	7.3	24.3	24.3	10.4	0.8	3.3	6.3	9.3	4.3	5.7
	FBIAS	0.63	0.73	0.42	0.42	0.98	3.75	0.19	1.13	0.42	0.69	0.89
	CSI	0.2	0.23	0.28	0.23	0.22	0	0	0.08	0.19	0.39	0.39
	PCC	0.898 7	0.684 7	0.592 6	0.548 8	-	0.326 5	0.748 9	0.705 6	-	0.882 4	0.718 2

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	Table 4: Same as Table 2 b	ut verification measures d	are calculated for ALADIN 2	km model.
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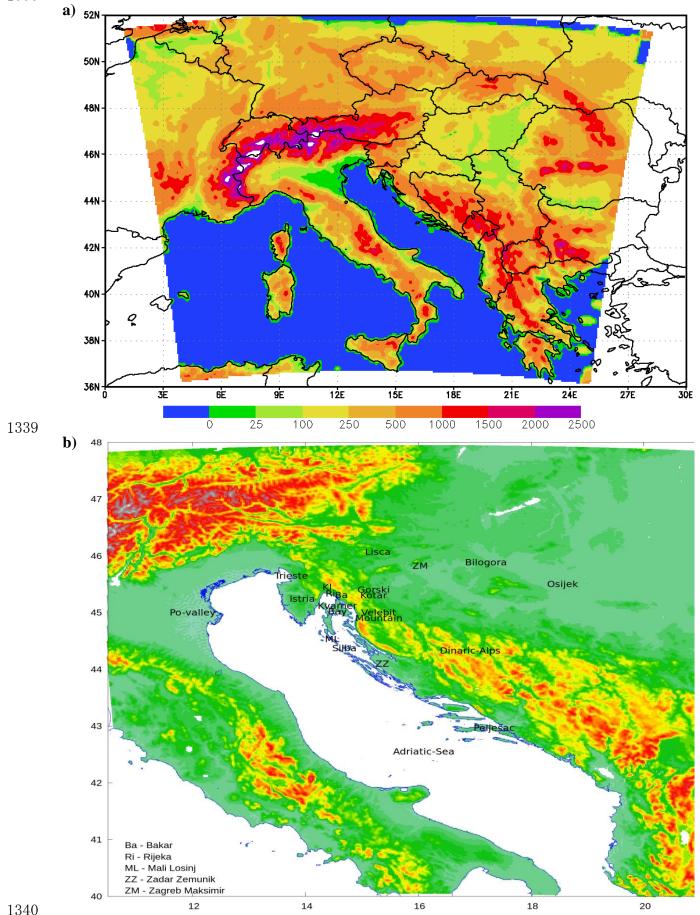
Cat	Measur e	Period									
		SOP1	IOPav g	IOP2	IOP4	IOP9	IOP12 a	IOP1 3	IOP1 6	IOP1 8	IOP19
D ry	BR [%]	64.7	18.1	15.5	2.7	12.7	27.0	30.9	2.9	10.6	44.7
	FBIAS	0.92	0.81	0.83	1.69	1.29	0.76	0.74	0.79	0.64	0.84
	CSI	0.78	0.39	0.18	0.00	0.15	0.39	0.59	0.19	0.04	0.68
M e di u m	BR [%]	33.6	74.5	60.1	86.9	86.4	69.8	62.9	87.9	85.1	49.6
	FBIAS	1.12	1.00	1.11	0.85	0.86	1.12	1.07	0.98	1.01	1.09
	CSI	0.59	0.71	0.50	0.70	0.69	0.73	0.69	0.83	0.76	0.64
St ro n g	BR [%]	1.8	7.3	24.3	10.4	0.8	3.3	6.3	9.3	4.3	5.7
	FBIAS	1.65	1.49	0.84	2.08	10.75	0.38	1.64	1.22	1.76	1.46
	CSI	0.17	0.20	0.32	0.21	0.00	0.05	0.21	0.18	0.18	0.19
	PCC	0.840 7	0.624	0.530 2	0.398 7	0.208 3	0.4933	0.789 6	0.323 3	0.326	0.7854

Table 5: Annual maximal precipitation amounts (R_{max}) recorded in different intervals t (minutes) throughout the period 1958-2011 and during the heavy rainfall event on September 12, 2012 at Rijeka and their return values (T) according to the GEV distribution applied to the period 1958-2011.

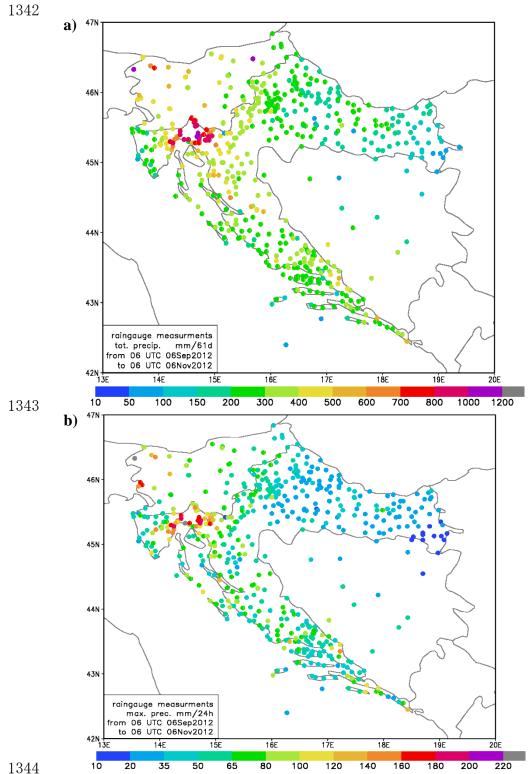
t (minutes)	1958-2	2011	12 Sept 2012	T ₁₉₅₈₋₂₀₁₁
	R _{max} (mm)	T (year)		
5 min	19.3	50	14.5	7
10 min	29.2	54	24.6	12
20 min	40.2	63	46.7	>1000
30 min	55.5	69	63.7	415
40 min	67	48	74.8	130
50 min	77.8	40	80.8	62
60 min	86.4	40	87.4	43
120 min	138.9	38	141.1	40
4 h	194.9	80	171.8	52
6 h	252.5	103	181.5	36
12 h	317.3	214	200.9	37
18 h	324.7	228	205.3	29
24 h	324.7	232	208.3	25

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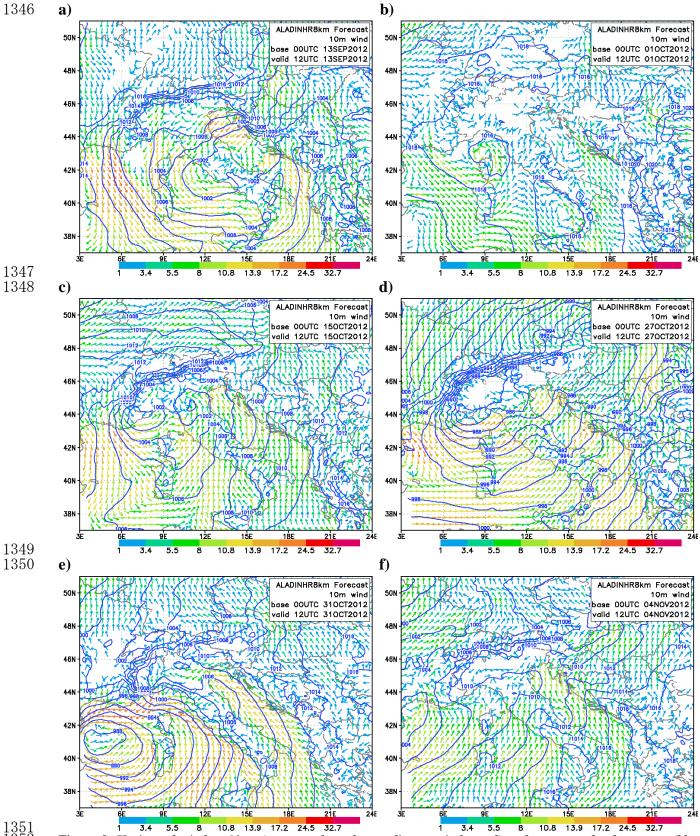




134012141618201341Figure 1. ALADIN model domain and terrain height with 8 km (a, unit: m) and 2 km (b, unit: km) horizontal
resolutions.



13441020355065801001201401601802002201345Figure 2. a) Total precipitation measured by the Croatian rain gauge network, cumulated over the entire SOP1
period; b) Maximum 24 h rainfall totals at each rain gauge station during SOP1.



1352Figure 3. Horizontal wind at 10 m (arrows coloured according to wind speed) and mean sea level pressure (blue1353isolines) forecasts by the ALADIN 8 km resolution run for 1200 UTC for: a) IOP4 (13 September); b) IOP9 (11354October); c) IOP13 (15 October); d) IOP16 (27 October); e) IOP18 (31 October); f) IOP19 (4 November).1355

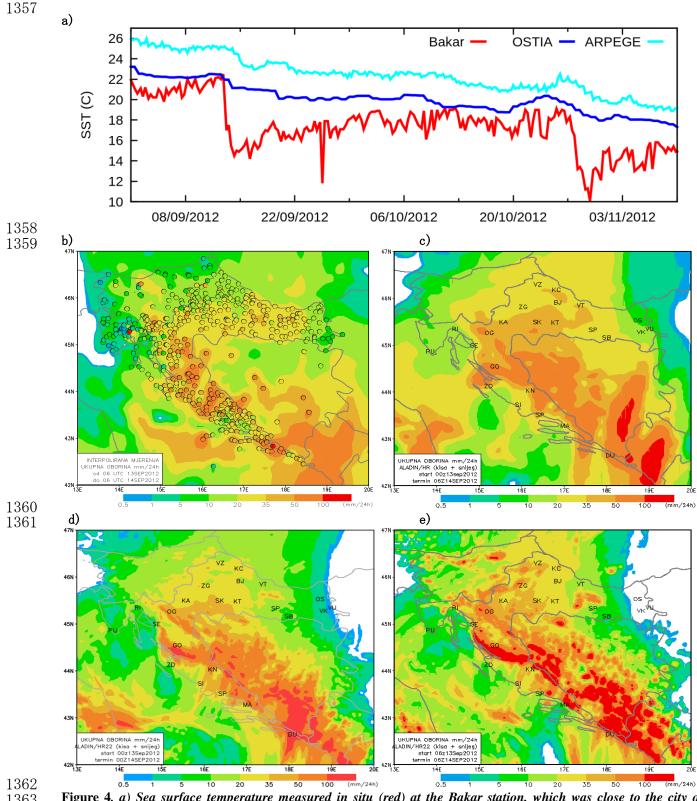
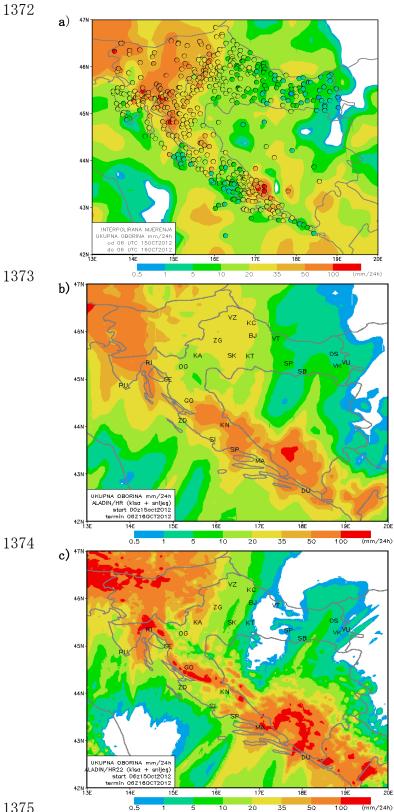
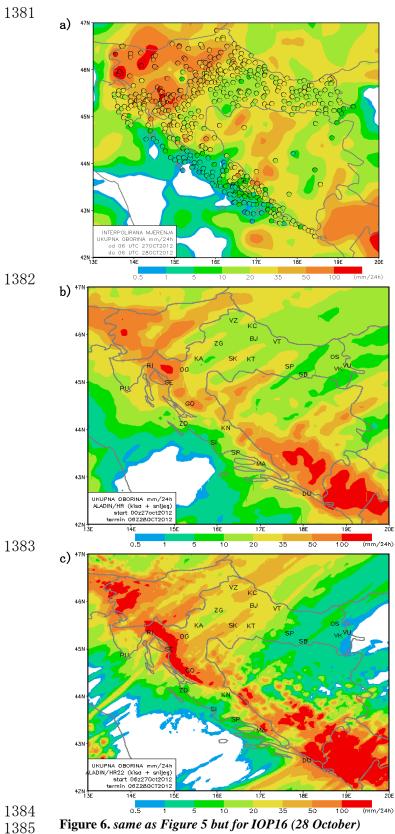


Figure 4. a) Sea surface temperature measured in situ (red) at the Bakar station, which was close to the city of Rijeka, and the nearest sea point data used in the ALADIN 8 km resolution model from the global ARPAGE model (light blue) and OSTIA (blue) for SOP1 from 5 September to 8 November 2012.

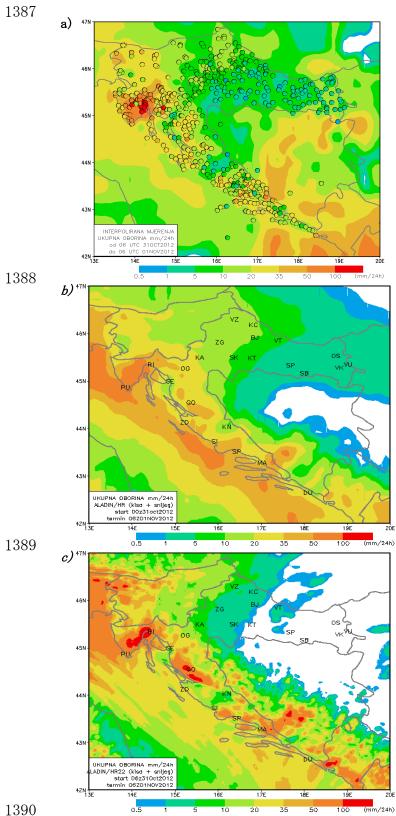
1366For IOP4 (14 September) b) Accumulated 24 hourly rainfall measured on rain gauges (circles) and interpolated1367using data from rain gauges and 3B42RT3 hourly product for periods starting at 0600 UTC; c) accumulated 241368hourly precipitation forecasts from the ALADIN 8 km resolution run; d) accumulated 24 hourly precipitation1369forecasts from the ALADIN 2 km resolution run with SST from OSTIA; e) accumulated 24 hourly precipitation1370forecasts from the ALADIN 2 km resolution run with SST from the ARPAGE global model.



1375 1376 1377 1378 Figure 5. IOP13 (16 October): accumulated 24 hourly rainfall measured on rain gauges (circles) and interpolated using data from rain gauges and the 3B42RT3 hourly product for periods starting at 0600 UTC (a); accumulated 24 hourly precipitation forecasts from the ALADIN 8 km resolution run (starting from 000 UTC on the same day (b) and for the ALADIN 2 km resolution run (c). 1379



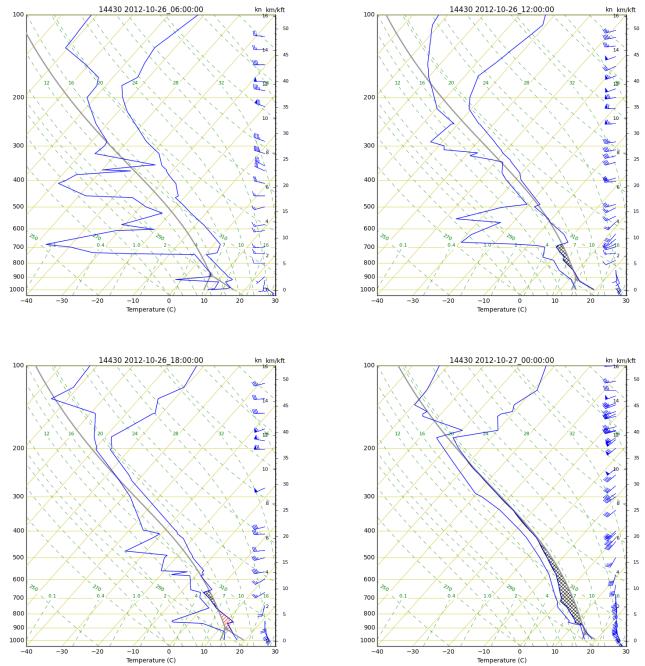
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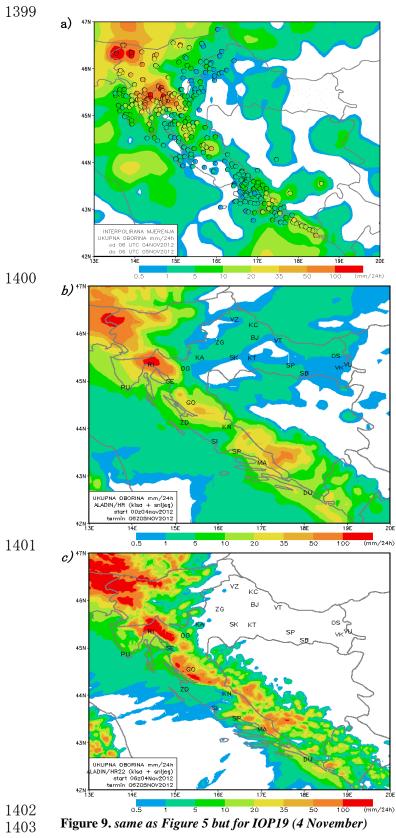
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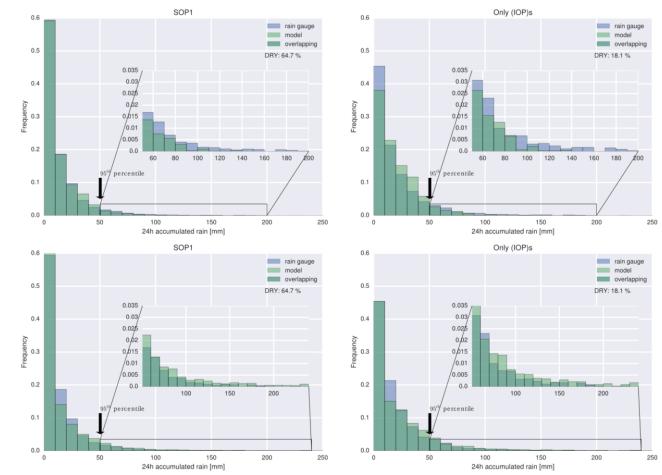
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 Figure 7. same as Figure 5 but for IOP18 (1 November)

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 Figure 8. Radiosounding data for Zadar 26 October 2012 at 0600 and 1200 UTC (first row), 26 October 2012 at 1800 1397
 and 27 October 2012 at 0000 UTC (second row).





1406 Figure 10. Normalized histogram of rain events (24 h accumulated precipitation on rain gauge station greater or 1407 equal 0.2 mm/24 h) for the entire SOP1 period (5 September to 6 November 2012) (left column) and for days of 1408 selected (IOP)s within the same period (right column). To have readable histogram first histogram bin starts from 0.2 1409 mm, whereas the number of dry days for a given period is indicated on the graph. The location of the 95th percentile 1410 of the SOP1 rain events distribution (50.42 mm/24 h) is shown. The area of the histogram after the 95th percentile is 1411 enlarged and shown as an inset to improve readability. The frequency of the precipitation events for rain gauge is 1412 coloured in blue and in light green for the model, whereas dark green indicates the overlapping of the model and 1413 rain gauge data. First row: ALADIN 8 km, Second row: ALADIN 2 km upscaled to an ALADIN 8 km grid. 1414

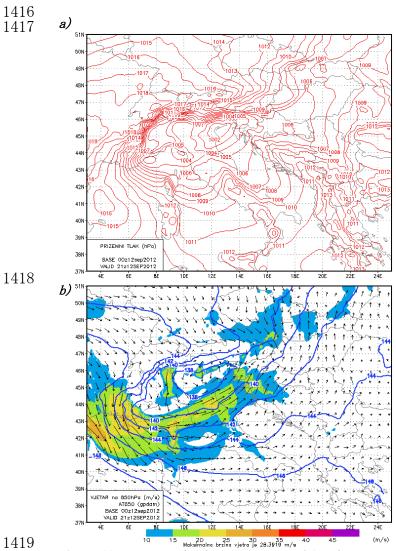
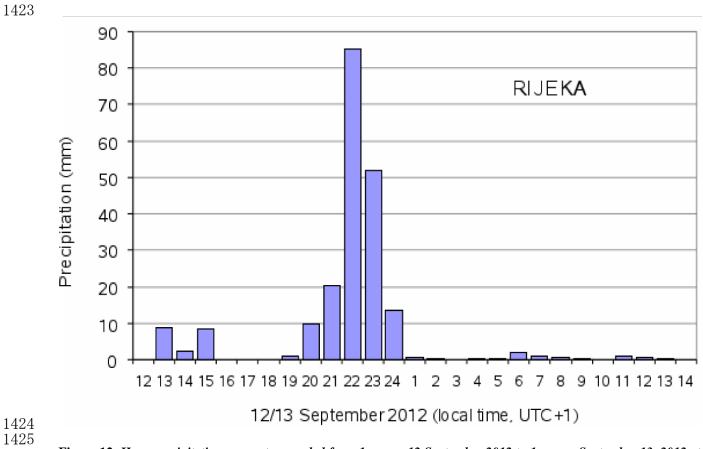
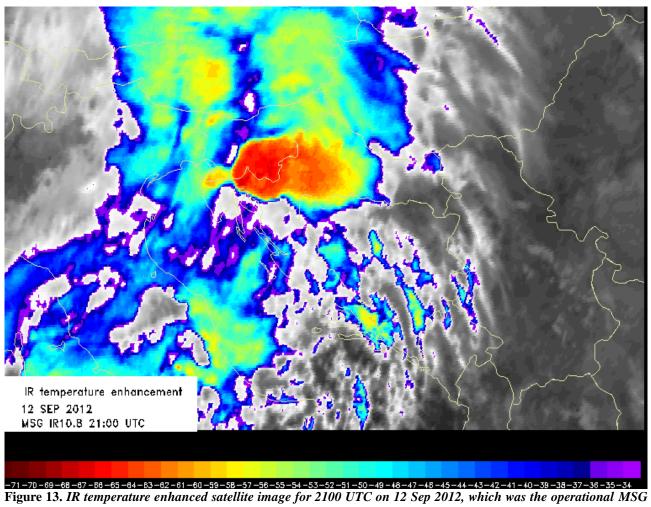


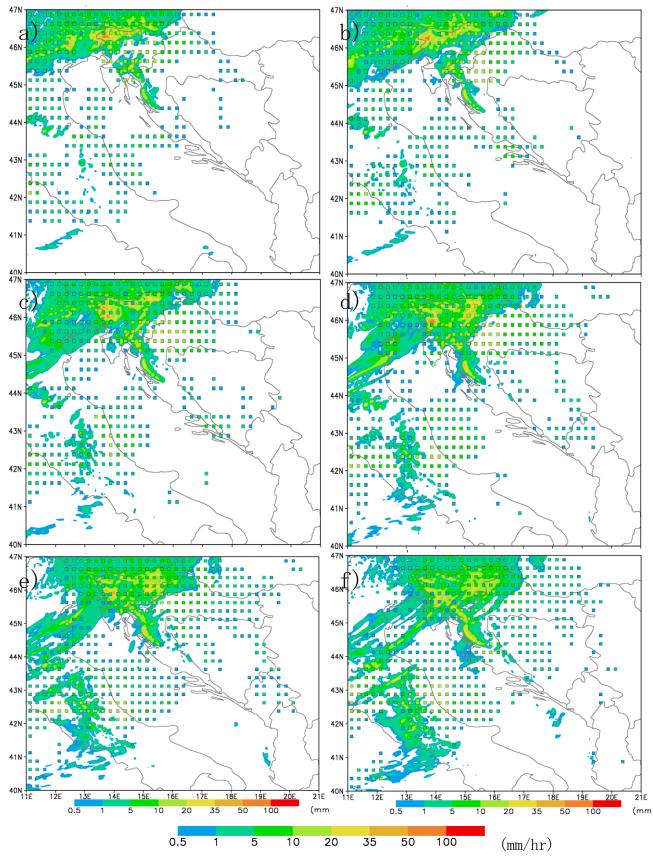
Figure 11. Mean sea level pressure (a) and 850 hPa geopotential height (blue isolines), wind speed (background shading) and direction (vectors) (b) according to the ALADIN model operational forecast on 2100 UTC 12 1420 1421 September 2012 (starting from the 0000 UTC analysis of the same day).



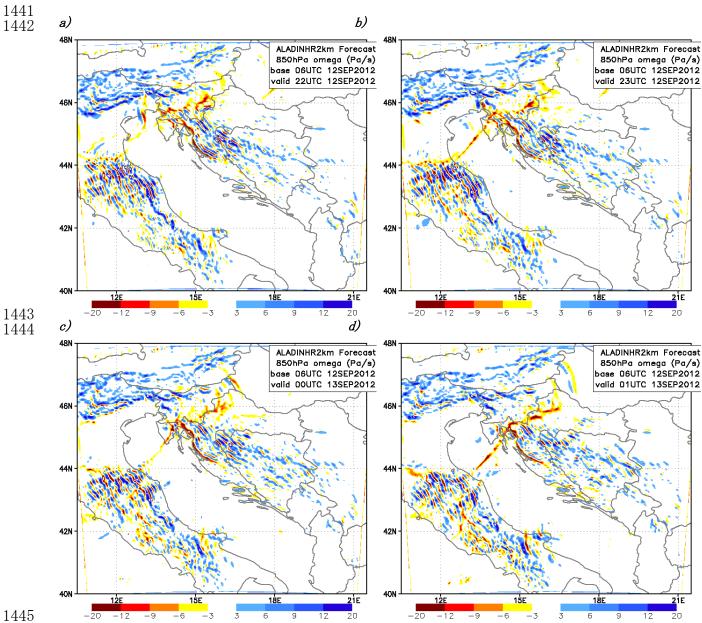
1426Figure 12. Hour precipitation amounts recorded from 1 pm on 12 September 2012 to 1 pm on September 13, 2012 at
the Rijeka meteorological station.



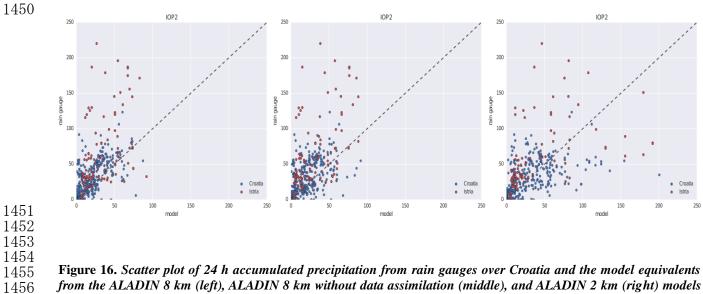
 $\begin{array}{c} 1428 \\ 1429 \end{array}$ product used in DHMZ at the time.



14341435Figure 14. High resolution forecast of hourly accumulated precipitation (shaded background) and TRMM 3B41RT1436precipitation estimates (squares) for 1900 (a), 2000 (b), 2100 (c), 2200 (d) and 2300 (e) UTC 12 and 0000 (f) UTC 131437September 2012; this was the period of highest precipitation intensity. The satellite derived precipitation data were1438used as provided from the Tropical Rainfall Measuring Mission (TRMM, (Huffman et al. 2007)); in particular, we1439used the hourly precipitation intensity data from the 3B41RT product.

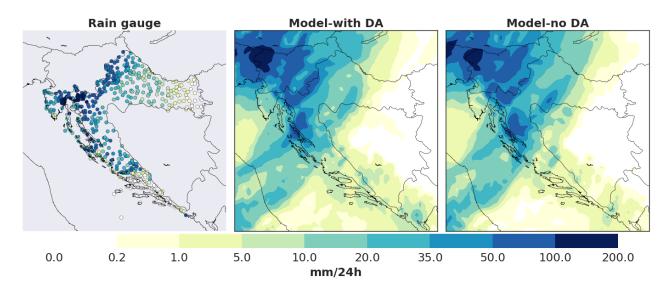


1446Figure 15. Vertical velocity omega (Pa/s) at the 850 hPa level from the operational 2 km resolution forecast for 22001447(a) and 2300 (b) UTC on 12 and 0000 (c) and 0100 (d) UTC on 13 September 2012; upward motions are shown in1448shades of red, and downward motions are shown in blue.



from the ALADIN 8 km (left), ALADIN 8 km without data assimilation (middle), and ALADIN 2 km (right) models and from the point nearest the location of the rain gauge for IOP2. The locations from the Istria peninsula are 1457 marked in red. 1458

24h accumulated precipitation (2012-09-12 06UTC - 2012-09-13 06UTC)



14591460

Figure 17. The 24 h accumulated precipitation from 12 Sep 0600 UTC until 13 Sep 0600 UTC (IOP12). Left: rain 1462

gauge measurement, middle: ALADIN 8 km operational forecast with data assimilation, right: ALADIN 8 km 1463 forecast without data assimilation.