1 Natural hazard fatalities in Switzerland from 1946 to 2015

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10 Abstract. A database of fatalities caused by natural hazard processes in Switzerland was compiled for the 11 period between 1946 and 2015. Using information from the Swiss flood and landslide database and the 12 Swiss destructive avalanche database, the data set was extended back in time and more hazard processes 13 were added by conducting an in-depth search of newspaper reports. The new database now covers all 14 natural hazards common in Switzerland categorized into seven process types: flood, landslide, rockfall, 15 lightning, windstorm, avalanche, and other processes (e.g. ice avalanches, earthquakes). Included were all 16 fatal accidents associated with natural hazard processes where victims did not expose themselves to an 17 important danger on purpose or wilfully. The database contains information on 635 natural hazard events 18 causing 1023 fatalities, which corresponds to a mean of 14.6 victims per year. The most common causes of 19 death were snow avalanche (37%), followed by lightning (16%), flood (12%), windstorm (10%), rockfall 20 (8%), landslide (7%) and other processes (9%). About 50% of all victims died in one of the 507 single-21 fatality events; the other half of victims were killed in the 128 multi-fatality events.

22 The number of natural hazard fatalities that occurred annually during our 70-year study period ranged from 23 two to 112 and exhibited a distinct decrease over time. While the number of victims during the first three 24 decades (until 1975) ranged from 191 to 269 per decade, it ranged from 47 to 109 in the four following 25 decades. This overall decrease was mainly driven by a considerable decline in the number of avalanche and 26 lightning fatalities. About 75% of victims were males in all natural hazard events considered together, and 27 this ratio was roughly maintained in all individual process categories except landslides (lower) and other 28 processes (higher). The ratio of male to female victims was most likely to be balanced when deaths 29 occurred at home (in or near a building), a situation that mainly occurred in association with landslides and 30 avalanches. The average age of victims of natural hazards was 35.9 years, and accordingly, the age groups 31 with the largest number of victims were the 20-29 and 30-39 year-old groups, which in combination 32 represented 34% of all fatalities. It appears that the overall natural hazard mortality rate in Switzerland 33 during the past 70 years has been relatively low in comparison to rates in other countries or rates of other 34 types of fatal accidents in Switzerland. However, a large variability in mortality rates was observed within 35 the country with considerably higher rates in Alpine environments.

38 1. Introduction

Every year, world-wide natural hazard events not only generate tremendous financial damage costs but also cause a large number of human fatalities (MunichRe, 2016). According to the NatCatSERVICE database of MunichRe, the average annual global loss of life due to natural catastrophes was 68,000 during the last ten years and 54,000 during the last thirty years (23,000 in 2015; Ins. Inf. Inst., www.iii.org/factstatistic/catastrophes-global).

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45 In scientific literature, information and data sets on loss of human lives due to specific natural hazard 46 processes cover various time periods and exist at different aggregation levels: at the global scale (e.g. Jonkman, 2005; Petley, 2012; Auker et al., 2013; Dowling and Santi, 2014), the continental scale (e.g. Di 47 48 Baldassarre et al., 2010; Sepúlveda and Petley, 2015) and most commonly the regional/national scale (e.g. 49 Guzzetti, 2000; Ashley and Ashley, 2008; Höller, 2009; Vranes and Pielke, 2009; Singh and Singh, 2015, 50 Techel et al., 2016). Moreover, there are studies that describe the circumstances during specific catastrophic 51 natural hazard events and/or assess the patterns and reasons behind the associated massive loss of life (e.g. 52 Chowdhury et al., 1993; Tsai et al., 2001; Doocy et al., 2007; Jonkman et al., 2009; Ando et al., 2013).

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54 While some authors have analysed natural hazard mortality data that include many hazard types (e.g. Shah, 55 1983; Noji, 1991; Borden and Cutter, 2008), the bulk of studies have focussed on a distinct hazard process. 56 Jonkman (2005) studied statistics about loss of human life caused by various freshwater flood types (river 57 floods, flash floods and drainage problems) on a global scale and for the period from 1975 to June 2002 58 based on the EM-DAT International Disaster Database. This investigation showed that while flash flood 59 events have the highest average mortality rate (deaths divided by number of affected persons), Asian river 60 floods are most devastating in terms of the number of persons killed or affected. In addition, very high 61 death tolls have been reported for coastal flood events (e.g. Chowdhury et al., 1993; Gerritsen, 2005; Jonkman et al., 2009; Kure et al., 2016) and tsunamis (e.g. Doocy et al., 2007; Inoue et al., 2007; Ando et 62 63 al., 2013). However, these events were not included in Jonkman (2005) due to the rather limited availability 64 of information. On a national scale, flood fatalities have been assessed by many authors for countries all around the world, such as the USA (Ashley and Ashley, 2008), India (Singh and Kumar, 2013), Pakistan 65 66 (Paulikas and Rahman, 2015) and Australia (Coates, 1999; FitzGerald et al., 2010).

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Petley (2012) assembled a global data set of fatalities from non-seismically triggered landslides that took place from 2004 to 2010 based on the Durham Fatal Landslide Database (DFLD). The total number of landslides and fatalities during the 7-year period turned out to be an order of magnitude larger than numbers suggested by other sources, and the study indicated that most fatalities occur in Asia. In a study

72 focusing on fatalities caused by debris flows (often included in landslides studies), Dowling and Santi 73 (2014) considered 213 events that occurred between 1950 and 2011 and during which a total of 77,779 74 people were killed. Results of this global analysis provided evidence that more debris-flow fatalities tend to 75 occur in developing countries. This is reflected in the median number of fatalities per recorded deadly 76 debris flow which is 23 in developing countries, and only 6 fatalities per flow in advanced countries (11 77 when considering all data; Dowling and Santi; 2014). The value is very high when compared to data for the 78 European Alps, where for example torrential processes cause an annual number of fatalities of 79 approximately 1.5 in Austria (Fuchs and Zischg, 2014). On a national scale, landslide events with fatal 80 consequences were compiled by Guzzetti (2000) for events that occurred in contemporary Italy from 1279 81 to 1999. Fast mass movements, such as rockfall events, rockslides, rock avalanches and debris flows were 82 included in this study and were found to have caused the largest number of fatalities.

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Loss of life due to snow avalanches has been analysed by numerous authors, most often at a national level, e.g. for Austria (e.g. Höller, 2009), France (e.g. Jarry, 2011), Norway (e.g. Kristensen, 1998) or Switzerland (e.g. Schneebeli et al., 1998), but also at a regional level, e.g. for the European Alps (Techel et al., 2016).

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89 Loss of life related to meteorological hazard events, such as lightning and all the different types of wind 90 storms, has also been the subject of many national and regional studies. In the USA, medium- to long-term 91 data sets of wind related deaths have been investigated, for example for tornados (Ashley, 2007) and 92 hurricanes (Rappaport, 2000; Czajkowski et al., 2011), as well as for (nontornadic) convective (Black and 93 Ashley, 2010) and nonconvective (Ashley and Black, 2008) high-wind events. While convective and 94 nonconvective high-winds can cause serious problems in Switzerland (e.g. WSL and BUWAL, 2001), 95 tornadoes are much more rare but have caused fatalities e.g. in the 1920ies and 30ies (Bader, 2001). 96 Various publications have presented national lightning data sets, for example from the UK (Elsom, 2001), 97 India (Singh and Singh, 2015), Australia (Coates et al., 1993), Colombia (Navarrete-Aldana et al., 2014), 98 USA (Holle, 2016; López and Holle, 1996; Curran et al., 2000), and Swaziland (Dlamini, 2009). 99 Additionally, Holle (2016) summarized and synthesized lightning fatality data from 23 recent national-100 scale investigations from both developed and developing countries.

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Geophysical events such as tsunamis and volcanic activity might not be very relevant for Switzerland, but are of considerable importance when assessing consequences of natural hazards at a global level (e.g. Auker et al., 2013). Earthquakes, in contrast, have occurred in Switzerland with dramatic effects, but events causing fatalities or large amounts of damage are rare. The Basel earthquake of 18 October 1356 is arguably one of the three most devastating natural hazard incidents of the last 1000 years in Switzerland (the other two being Biasca rockslide/water surge in 1513/1515 and the Goldau rock avalanche in 1806) and is regarded as the strongest historically documented earthquake event in central Europe (Giardini et al., 2004). While the 1356 event destroyed large parts of Basel, the overall number of victims remains
uncertain and estimates range from 300 to 2000 fatalities (Fäh et al., 2009). The highest estimates,
however, were evaluated to be highly improbable by Fäh et al. (2009). For countries more frequently struck
by seismic activity, various fatality databases exist (e.g. Vranes and Pielke, 2009).

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114 In Switzerland, fatalities caused by floods, debris flows, and landslides have been systematically collected 115 since 1972. They are recorded in the Swiss flood and landslide damage database (Hilker et al., 2009) and 116 were briefly analysed by Schmid et al. (2004). Deaths due to rockfall incidents have been included in the 117 database since 2002. For disastrous events causing loss of life that occurred before 1972, only partial and 118 scattered information is available (e.g. Röthlisberger, 1991). In parallel, information on loss of life caused 119 by snow avalanches in Switzerland has been collected since the hydrological year 1936/1937 by the WSL 120 Institute for Snow and Avalanche Research SLF. To our knowledge, no systematic data acquisition of 121 fatalities and damage induced by convective and non-convective high winds and lightning has been carried 122 out at national scale in Switzerland.

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124 In the study presented here, we compiled the available data on natural hazard fatalities mentioned above, 125 extended the period covered by the database, and expanded it to include all process types relevant for the 126 study area. We present this new, detailed 70-year (1946-2015) data set of loss of life in Switzerland caused 127 by (i) floods, (ii) debris flows, (iii) landslides and hillslope debris-flows, (iv) rockfall events and rockslides, 128 (v) windstorms, (vi) lightning strikes, (vii) earthquakes and (viii) avalanches. Temporal and spatial patterns 129 in the results are discussed and the numbers of fatalities as well as the characteristics of the underlying 130 incidents for different process types are assessed. Finally, we compare our data with similar data from other 131 countries and regions and attempt to explain differences qualitatively.

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According to the Federal Constitution of the Swiss Confederation, the cantons and municipalities are responsible to ensure the protection of the population against natural hazards. The aim of this study is to support authorities to better understand the occurrence of fatal incidents, to identify potential improvements in hazard prevention and to further reduce the number of victims of natural hazards.

137 **2. Study area**

Switzerland is located in central Europe between latitudes 45° and 48° N, and between longitudes 5° and 139 11° E, with a total area of 41,285 km² and an altitudinal range of 193 to 4634 m a.s.l. The Swiss 140 Confederation consists of 20 cantons and 6 half cantons, and its territory can be roughly divided into four 141 regions based on its geomorphology (see Figure 1): the Alps (a high-altitude mountain range running across 142 the central-south of the country), the Swiss Plateau (a relatively flat area between Lake Geneva and Lake 143 Constance), the Prealps (the transitional area between the Alps and the Swiss Plateau) and the Jura (a hilly

144 mountain range in the north-west). The population grew from 4.5 to 8.3 million people between 1946 and

- 145 2015 and is clustered mostly on the Swiss Plateau (over 60% of the total population is located on less than a
- third of the total area of the country). The Swiss climate is temperate but can vary regionally. In large parts
- 147 of the Alps the mean annual rainfall is around 2000 mm/year or more, and along the Swiss Plateau this
- value amounts to 1000-1500 mm/year. Most precipitation falls during the summer months.

149 **3. Data and methods**

The data for this study were extracted from the Swiss flood and landslide damage database (section 3.1) and the Swiss destructive avalanche database (section 3.2). The data set (except for avalanches) was then extended (i) in time to include an additional period of 26 years back to the year 1946 and (ii) in breadth to include additional relevant natural hazard processes, such as lightning, windstorm, and earthquake, by

154 carrying out an extensive newspaper search (sections 3.3 and 3.4).

155 **3.1 Swiss flood and landslide damage database**

Since 1972, fatalities and estimates of financial damage costs caused by naturally triggered floods, debris 156 157 flows, landslides and (since 2002) rockfall events have been collected by the Swiss Federal Research 158 Institute WSL in the Swiss flood and landslide damage database (Hilker et al., 2009; Badoux et al., 2014). 159 Fatality and damage information is primarily provided by approximately 3000 Swiss newspapers and 160 magazines, which are scanned daily by a media-monitoring company. Additional information is often 161 compiled from insurance companies and the websites of public authorities such as police and fire brigades. 162 An in-depth description of the structure of the Swiss flood and landslide damage database was presented by 163 Hilker et al. (2009). For this study, the database provided information on a total of 129 deaths due to floods, 164 debris flows, landslides, hillslope debris-flows or rockfall from 1972 to 2015.

165 **3.2 The Swiss destructive avalanche database**

Data on avalanche casualties since the winter 1936/37 are stored in the destructive avalanche database from the WSL Institute for Snow and Avalanche Research SLF (Techel et al., 2015). In the 79 years from 1936/37 to 2014/15, 1255 avalanches killed 1961 people in Switzerland. We retrieved data for our study period from this database and considered cases that occurred (i) in settlement areas, (ii) on high-alpine building sites, (iii) on transportation corridors (including roads and railway lines, ski runs and winter hiking trails, if any of these were officially open or if they were closed but the casualty was work related), and (iv) on hiking trails during summer if the trail was open and snow-free.

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We explicitly excluded all cases that occurred outside of transportation corridors and settlements (except hiking trails in summer, see (iv) above). Thus, cases associated with ski or snowshoe touring, and skiing or snowboarding away from open ski trails were not included in our data set. Also, we did not incorporate avalanche fatalities related to vehicles illegally driving on officially closed roads. The destructive avalanche 178 database is considered complete for fatality data, and data quality is generally very high. Thus, no 179 systematic search of avalanche related events in a newspaper was necessary.

180 **3.3 Further extension of the record of natural hazard fatalities in Switzerland**

181 With the aim of extending the data series to 70 years and combining it with other natural hazard processes 182 like windstorm, lightning, earthquake and ice avalanche, we made a search in a newspaper. We selected the 183 "Neue Zürcher Zeitung" (NZZ) because it is a national newspaper and a digital archive exists back until 184 1780. The NZZ is written in German, which is the most spoken language in Switzerland (see also section 185 5.1). We accessed the digital archive via an internet platform, where a keyword search was possible. In a 186 first step, we derived adequate keywords (in German) for the search. We selected the years 1986-1995, for 187 which we already had some data from the Swiss flood and landslide database, as validation period. We 188 generated a list with possible keywords and checked how often these words were used in the newspaper for 189 the description of casualties that occurred in Switzerland and abroad. The casualties from abroad were 190 included to get more search hits. We then shortened the list to the most relevant keywords. Where possible, 191 we combined the keywords for the different processes. For example, we searched for casualties caused by 192 "flood OR inundation OR landslide OR landslip OR mudslide OR mudflow" combined with "dead OR 193 casualty OR death OR human life OR drown OR killed OR dead body OR buried". An overview of the 194 keywords and the combinations used is given in the supplementary material (Table S1). With these 195 keyword combinations, we found most cases (roughly 90%) already stored in the Swiss flood and landslide 196 database for the selected validation period 1986-1995.

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198 In a next step, we used the selected keywords to scan the newspaper for the remaining years (1946-1977, 199 1988-2015). For the years where we already had data from the Swiss flood and landslide database for the 200 processes flood, landslide, debris flow and rockfall, we restricted the search to the processes not already 201 covered. The search for fatalities produced up to 300 hits per year. We initially viewed all hits, but many 202 were not relevant for our research (e.g. fatalities abroad). Further, the digital scan of the newspaper was 203 sometimes of bad quality, which resulted in the misspelling of words and thus influenced our search 204 because not all of our keywords were found. In addition, some gaps exist in the digital archive of the NZZ 205 (e.g. 04. - 15.08.1978).

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For each casualty found, a database entry was made. The following information describing the fatal accident and the victim was stored: name of municipality, canton, date, time, coordinates, description of the event, age, gender, locality (i.e. in or around a building, on a transportation route, in open terrain, in a stream channel, on a lake), mode of transport (on foot, by bicycle, in vehicle, by public transport, by boat, by ski), activity (work, leisure time), and data source. For most of the above-mentioned categories, the quality of the information was also assessed. In doing so, we distinguished between two types of information quality: (i) a concise statement describing a certain characteristic of the event (certain); and (ii) an indication of a characteristic that we deduced based on the available information (probable). In contrast,

- 215 if no information was available to describe certain aspects of a fatal incident, those characteristics were
- considered unknown.

217 **3.4 Natural hazard processes considered in the new database**

- In the present study, we assigned the fatalities found in our search (or adopted from the flood and landslide damage database or the destructive avalanche database) to the following seven process categories:
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- <u>Flood</u>: includes people drowned in flooded or inundated areas or carried away in streams under highwater conditions.
- <u>Landslide</u>: includes people killed by landslides, hillslope debris flows and channelized debris flows. Because debris flows were often not identified as such in the press media (especially in the first half of our study period), we decided to add debris flow fatalities to the category of landslide processes. This approach has been applied previously, for example by Guzzetti (2000).
- <u>Rockfall</u>: includes people killed by rockfall.
- <u>Lightning</u>: includes people who died after being struck by lightning.
- <u>Windstorm</u>: includes people killed by falling objects or trees during very strong wind conditions and people who drowned in lakes because their boat capsized during such conditions.
- <u>Avalanche</u>: includes people killed in snow avalanches (except roof avalanches, see below).
- <u>Other</u>: includes people killed by hazard processes that are not frequent in Switzerland (e.g. ice avalanches, earthquakes, lacustrine tsunamis, roof avalanches). Most of the fatalities assigned to this process type were caused by the 1965 Mattmark ice avalanche.
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236 Fatalities due to forest fires did not occur during our study period, and people who died during 237 meteorological heat waves were not included. Overall, we considered only casualties where people did not 238 expose themselves to a considerable danger on purpose or wilfully. For example, we excluded loss of life 239 due to high-risk sports (e.g. canoeing and river surfing during floods) and other outdoor activities in 240 potentially dangerous environments, such as canyoning, mountaineering and rock climbing. We also 241 excluded popular snow sports experienced outside of ski resorts, such as freeriding and alpine touring, that 242 have been described elsewhere (e.g. Techel and Zweifel, 2013; Schweizer and Lütschg, 2001). Further, we 243 only included cases where the process directly induced a casualty or an action that led to death. For 244 example, we did not consider cases where a forest ranger was killed during forest clearing operations after a 245 windstorm or where a firefighter was killed in a flooded basement due to an electric shock.

246 4. Results

247 4.1 Types of natural hazard processes associated with fatalities

248 Our newly compiled database includes reports on 1023 fatalities associated with natural hazard processes in 249 Switzerland during the period from 1946 to 2015 (Table 1). This result corresponds to an average of 14.6 250 fatalities per year. More than one third of all fatalities (378 deaths) were caused by snow avalanches during 251 winter and spring. The second most frequent cause of loss of life was lightning (16.0%), followed by flood 252 (12.1%) and windstorm (10.3%). Landslides and rockfall events each represented less than 10% of the total 253 number of fatalities in Switzerland. Processes that caused sporadic deaths included an earthquake (3) 254 deaths), a lacustrine tsunami (1) and a roof avalanche (1). The worst incident, in terms of the number of 255 fatalities involved, that occurred during our 70-year study period was the catastrophic 30 August 1965 ice 256 avalanche, which broke off at the terminus of the Allalin Glacier in the canton of Valais, destroyed the 257 Mattmark Dam construction site and killed 88 people. This incident was the only deadly ice avalanche 258 event we considered in the database (and is included in the category other processes, Table 1).

259 4.2 Temporal distribution of natural hazard fatalities in Switzerland

260 4.2.1 Annual distribution of fatalities

The annual number of natural hazard fatalities in Switzerland during our 70-year study period ranged from two (in five years and most recently in 2010) to 112 (in 1951; Figure 2). The resulting median over the entire period was 9.0 deaths per year, which is below the mean value of 14.6 and highlights the large influence of severe multi-fatality events (see also section 5.2). While two years had an annual number of deaths greater than 100, a total of five years exceeded the value of 33.2 (mean plus one standard deviation). The annual number of events ranged from one (in 1995) to 45 (in 1951), with a median over the study period of 7.0 and a mean value of 9.1 (Figure 2).

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269 The number of people killed by natural hazards in the last 70 years showed a clear decrease over time 270 (Figure 2). The downward trend in the total number of annual fatalities is statistically significant (Mann-Kendall trend test: 2-sided P-value = $<10^{-3}$; Theil-Sen slope estimate: -0.207 deaths per year; R-packages 271 Kendall (McLeod, 2011) and zyp (Bronaugh and Werner, 2009)). This pattern is confirmed when the total 272 273 number of deaths during the first 35 years of the study period (747) is compared with the value for the 274 second 35 years (276). Thus, nearly three times as many people were killed by natural hazard processes 275 from 1946 to 1980 than from 1981 to 2015 (Figure 2). Further, only three years after 1981 exhibited a 276 number of fatalities larger than the mean value for the full 70-year period: 1985, 1999 and 2000. The 277 decrease in natural hazard fatalities over the 70-year period is also apparent in the number of fatalities per 278 decade. On average, nearly three times as many people died in accidents during each of the first three 279 decades of the study period as during each of the last four decades.

- 281 The temporal distributions of victims of specific hazard types showed a distinct decrease for lightning and 282 avalanches only (Figures 3 and 4). The trend is statistically significant for avalanches (Mann-Kendall trend test: 2-sided p-value = $<10^{-3}$; Theil-Sen slope estimate: -0.042 deaths per year) and lightning (Mann-283 Kendall test: 2-sided p-value = $<10^{-3}$; Theil-Sen slope estimate: -0.063 deaths per year). For both process 284 285 types, around four times more fatalities were recorded in the first half of the study period than in the second 286 half (Figure 4). Interestingly, during the last 15 years, the database revealed only seven avalanche fatalities, 287 resulting in an average for this most recent period that is ten times smaller than the overall mean value. In a 288 qualitative sense, only deaths due to landslide processes seem to have increased slightly over the 70-year 289 period. However, this impression was strongly influenced by the large number of fatalities (16) that 290 occurred during the severe October 2000 event in the canton of Valais, when 13 people died in the Gondo 291 landslide.
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There were several years in the data set of each natural hazard type when no fatal incidents were reported (Figure 3). The three hazard types for which at least one fatality was reported in most years during the study period are lightning (49 years), avalanches (48 years) and floods (48 years). While at least one fatality associated with windstorm and rockfall events occurred in 40 and 37 years, respectively, fatal accidents related to landslide processes occurred only in one third of the investigated years. Even though only one deadly ice avalanche happened between 1946 and 2015 (category *other* processes), this event was responsible for more fatalities than all rockfall or all landslide incidents (Figure 4).

Normalization of fatality data by population resulted in a clearly declining annual crude mortality rate. We found an annual average rate of 3.9 deaths per million persons for the first 35 years of the study period and a rate of 1.1 for the second 35 years. The yearly mean for the whole period is 2.5 victims per million persons. A very distinct decrease in the mortality rate from the first to the second half of the study period appears for the processes lightning (0.7 to 0.14) as well as avalanche (1.63 to 0.29), and to a slightly lesser extent for the processes flood (0.41 to 0.18), rockfall (0.31 to 0.10) and windstorm (0.30 to 0.19).

307 4.2.2 Monthly distribution of fatalities

308 The monthly distribution of natural hazard fatalities from 1946 to 2015 showed two distinct peaks, one in 309 summer and one in winter (Figure 5). The first peak was due to the seasonal distribution of classic "summer 310 processes" such as lightning, floods and, to a lesser extent, also landslides and rockfall incidents, which 311 occur most frequently in June, July and August. Additionally, the catastrophic ice avalanche event in 1965 312 contributed considerably to the establishment of August as the month with most loss of life (207 fatalities). 313 The winter peak was largely caused by avalanches, the most fatal process type in Switzerland, which 314 accounted for 242 of the overall 280 deaths in the months of January (135 avalanche related deaths out of 315 145 total deaths) and February (107 avalanche deaths/135 total deaths). The months of March, April and 316 May in spring and September through December in autumn and early winter exhibited relatively low

fatality numbers, i.e. below 60. The month with the fewest deaths related to natural hazard processes was November, when a total of 22 deaths occurred during the last 70 years. This corresponds to a fatality number roughly one order of magnitude smaller than the value in August.

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321 These seasonal patterns resulted in a high percentages of fatalities in summer (June, July and Aug.; 41.7%) 322 and winter (Dec., Jan. and Feb.; 32.2%). In contrast, spring (Mar., Apr. and May; 16.0%) and autumn 323 (Sept., Oct. and Nov.; 10.1%) displayed low percentages. While 85.4% of lightning deaths and 67.7% of 324 flood deaths occurred in summer, 70.6% of avalanche victims were killed in winter and 24.9% in spring. In 325 autumn, only fatalities by landslides show an relatively high percentage (39.2%). The temporal distribution 326 of seasonal fatality data (all process types combined) is displayed in Figure 6. The plots show a distinct, 327 statistically significant decrease over time for fatalities that occurred in winter (Mann-Kendall trend test: 2sided p-value = $<10^{-3}$; Theil-Sen slope estimate: y = -0.047), spring (Mann-Kendall trend test: 2-sided p-328 329 value = 0.002; Theil-Sen slope estimate: y = -0.024) and summer (Mann-Kendall trend test: 2-sided p-value $= <10^{-3}$; Theil-Sen slope estimate: y = -0.074). In contrast, the number of autumn victims has not 330 331 significantly changed over the study period.

332 4.2.3 Natural hazard fatalities classified by time of day

Based on information on the fatality event time, we assigned the cases to four different time periods: 333 334 morning (06:00-11:59 local standard time), afternoon (12:00-17:59), evening (18:00-23:59) and night 335 (00:00-05:59). Most of the fatalities occurred in the afternoon (39%), followed by the evening (23%), the 336 morning (17%) and the night (11%). For ten percent of the fatalities, no exact event time could be 337 determined (Figure 7). Fatalities due to lightning strikes, floods or windstorms occurred mostly in the 338 afternoon and in the evening, whereas no characteristic time period could be distinguished for the 339 occurrence of fatalities due to avalanches, rockfall events and landslides. However, avalanches and 340 landslide processes showed a considerably higher percentage of deaths during the night (roughly 20%) 341 compared to the other processes (less than 10%).

342 **4.3 Spatial distribution of natural hazard fatalities in Switzerland**

Fatalities caused by natural hazard processes were relatively homogenously distributed over the entire territory of Switzerland (Figure 8). There are a few, mostly small, areas where very few or even no deaths were found in our data set. This is also visible in Figure 9 that shows the number of fatalities and events using a 10x10 km raster grid. Multi-fatality events were much more frequent in the mountainous parts of the country (Alps) compared to the Swiss Plateau and the hilly Jura (Figure 8).

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As can be expected, fatalities resulting from avalanches occurred mainly in the high-alpine parts of Switzerland (Figures 8 and S1). A few accidents were reported from the western and central Prealps (transitional areas to the high-alpine part of Switzerland). In contrast, no fatal incidents relating to avalanches occurred in the hilly Jura and in the Swiss Plateau region. Clusters are present, e.g. in the area
around Andermatt in the southern part of the canton Uri (UR) and around Davos in the canton Grisons
(GR). Also, a notably large number of multi-fatality avalanche events is visible in Figure 8 (see also section
5.2). Interestingly, some mountainous but populated regions showed very few or no deaths by avalanches at

- all. Such areas are located in several parts of Grisons and Valais (VS), as well as in parts of northern
- 357 Ticino (TI).
- 358

Most of the fatalities caused by landslide processes were recorded in the Alps and Prealps, and a few were documented in the Swiss Plateau (Figure 8 and S1). The largest number of landslide deaths occurred in the canton Valais, and the worst landslide event in the database (with 13 fatalities) occurred in Gondo (VS) in October 2000. Similar to landslide accidents, fatal rockfall accidents predominantly took place in the central Alps and Prealps, e.g. in the cantons of Valais, Grisons, Vaud (VD) and Uri. A limited number of these fatalities occurred in the Jura and the Swiss Plateau.

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Flood fatalities were rather homogenously distributed over Switzerland and occurred in almost all regions/cantons during the last 70 years (Figure 8 and S1). Still, there were considerably more cases (some of them multi-fatality incidents) in the Swiss Plateau than in any other Swiss region. The fewest deaths by floods occurred in the region Jura and the canton Valais. Some areas, such as the canton of Neuchâtel (NE), the eastern part of canton Grisons and south-eastern Valais exhibited no flood fatalities at all.

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372 Lightning fatalities occurred all over Switzerland, and by far most of them took place on the Swiss Plateau 373 (Figure 8 and S1). However, some high-mountain areas in several different parts of Switzerland exhibited 374 very few lightning related deaths (e.g. in cantons Grisons, Valais, Uri and Ticino). Windstorm related 375 fatalities were also mainly registered along the Swiss Plateau. This region exhibited more than half of all 376 windstorm related fatalities. Some cases occurred in the Jura, the Prealps and on the border to the Alps. In 377 contrast, very few wind related fatalities occurred in the large alpine cantons Grisons, Valais and Ticino. 378 Areas around lakes (Lake Zurich, Lake Neuchatel, Lake Geneva, Lake Constance) had clusters of 379 windstorm fatalities due to a considerable number of capsizing accidents.

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The spatial distribution of natural hazard fatalities for the different process types was confirmed by the altitude data for each event. For roughly three quarters of the fatalities, we were able to define the exact altitude at which the victim died (for slightly less than 25% of the fatalities the accident altitude was estimated). While median altitude was highest for avalanche and rockfall victims (1467 and 1082 m a.s.l., respectively), landslide and lightning victims were killed at intermediate altitudes (820 and 692 m a.s.l., respectively). In contrast, flood and windstorm fatalities were mainly registered at low altitudes representative for the Swiss Plateau (median values of 559 and 431 m a.s.l., respectively).

389 By combining the gridded natural hazard fatality data (Figure 9) with population data (Figure 10, above), a 390 spatially distributed mortality rate with a 10 km grid resolution was assessed for all of Switzerland 391 (fatalities per year and per one million persons; Figure 10, below). Obviously, the weakly populated, high-392 alpine areas with avalanche, landslide and rockfall incidents show the grid cells with the highest mortality 393 rates on Swiss territory. A cluster of cells with very high rates is discernible in the central part of the Alps. 394 While in the Prealps high rates are still widespread, the more densely populated Swiss Plateau displays a 395 majority of grid cells with mostly low and some medium mortality rates. The hilly Jura shows a few cells 396 with medium or slightly elevated rates. Grid cells where fatal natural hazard did occur, but that are not 397 populated due to their position in high-alpine terrain (in most cases in the immediate vicinity of the 398 country's borders), are indicated in red (Figure 10, below; section 5.6).

399 4.4 Natural hazard fatalities classified by age and gender

The age of victims was provided for more than 93% of the natural hazard fatalities reported in our database (954 out of 1023). Overall, the age groups with the highest death toll were the 20-29 year-old (172 fatalities) and 30-39 year-old (177 fatalities) groups, followed by the 40-49 year-old group with 151 fatalities (Figure 11, Table S2 in supplementary material). These values correspond to a combined 500 victims (or 48.9%) between 20 and 49 years of age. While children and teenagers (0-19) accounted for 20.9% of natural hazard deaths, people above 60 years of age made up only 12.6%.

406

407 When focusing on age related patterns for the individual process types, several discrepancies from the 408 overall numbers attract attention. For example, about one fifth of all flood victims were younger than ten 409 years of age, compared to 7.5% for all hazard processes (Figure 11). Most of these very young victims 410 drowned in the first half of the study period. In addition, the percentage of victims over 60 years of age was 411 more than twice as high for flood fatalities (25.8%) as for all fatalities. Lightning rarely killed young 412 children (2.4% in the 0-9 year-old age group) but seems to particularly affect teenagers. The 10-19 year-old 413 age group constituted 23.2% of all lightning victims, which is much higher than the value for all processes 414 (13.4%). The large majority of these teenagers were killed in the first part of our investigation period. 415 Finally, windstorm victims below 20 years of age were underrepresented (10.5%) compared to the full data 416 set (20.9%).

417

Gender was provided for practically all (99.5%) natural hazard fatalities in our database. Summarized for all process types, more than three quarters of all natural hazard victims were males, indicating that males were approximately three times as likely to become fatality victims as females (Table 1). Male fatalities greatly outnumbered female deaths for every process category (percentage of male fatalities between 74.2 and 79.3%), with the exception of landslides and processes in the *other* category (including ice avalanches) (Figure 11). While the proportions of male and female victims of landslides were quite similar (55.4 and 44.6%, respectively; Table 1), the victims killed by the ice avalanche of 1965, which destroyed a dam 425 construction site, were practically all males (96.6%). Expressed differently, female landslide deaths
426 represented 13.6% of all female natural hazard fatalities, whereas the same value for males was only 5.3%.
427 Correspondingly, processes classified as *other* were the cause of death in 11.0% of male victims in our
428 records, while this value was negligible for female victims (2.9%).

429

430 Regarding the ages of victims, the number of male victims in natural hazard fatalities was considerably 431 larger than the number of female fatalities within every age group (Figure 11). This pattern is accentuated 432 for young adults between 20 and 39 years of age, where the percentage of male victims was more than 433 80%, whereas for young children from 0 to 9 years of age the percentage of female victims was highest at 434 34%. Accordingly, the age distribution of male and female victims of natural hazard processes exhibits a 435 few differences. Whereas 26.4% of female fatalities were younger than 20 years of age, this applied to only 436 19.4% of male victims (Table S2). In contrast, the percentage of young-adult victims between 20 and 39 437 years of age was much higher for males (37.2% of all deaths) than for females (25.2%).

438 **4.5 Natural hazard fatalities in different accident circumstances**

439 Accident circumstances, such as *activity* (work or leisure time), *locality* (on transportation routes, in open 440 terrain, in or around buildings, on a lake, in the immediate vicinity of a stream channel) and mode of 441 transportation (on foot, in a vehicle, on a boat, on skis, on a bicycle, in public transport) were analysed for 442 the 1023 entries in our database (Figure 12, Table 2). Regarding the victims' activity, we found that 52% of 443 all fatalities occurred during leisure time, 35% occurred during work, and the activity could not be assigned 444 in 13% of the cases. The assessment of incident locality revealed that most of the fatalities occurred on 445 transportation routes (33%), in open terrain (14%) or in or around buildings (home 20%, other buildings 446 6%, around buildings 3%). For all fatalities except those in buildings, the mode of transport was 447 determined: 62% of victims were killed whilst on foot, 18% in a vehicle, 7% on a boat, 6% on skis and 1% 448 or less in public transport or on a bicycle.

449

450 Avalanches killed more than twice as many people during leisure time (245) as during work (110). People 451 killed by avalanches during work were mostly located on transportation routes (72), mainly travelling by 452 foot (35) or in vehicles (16). People who died in avalanches during leisure time were usually at home (159) 453 or were travelling on transportation routes (83). Similarly, for floods, windstorms, landslides and rockfall 454 events, fatalities that occurred during leisure time were at least twice as frequent as those that occurred 455 during work. The single hazard event with the largest number of people killed during work was the ice 456 avalanche in Mattmark with 88 deaths (category other). People fatally struck by lightning were working in 457 43% of the cases (Table 2).

458

Most victims of floods were killed in the stream channel sector (63) and were usually carried along by the high water. Flood related fatalities on transportation routes were also relatively common (31), with 11 461 victims travelling in vehicles, 16 by foot, two by bicycle, one in public transport and one case unclear. 462 Regarding windstorm related incidents, most of the fatalities occurred on lakes with victims located in 463 boats (44). Thirty-four windstorm related fatalities occurred on transportation routes, where 20 victims 464 were killed in vehicles, 13 on foot and one on a bicycle. Loss of life caused by landslide processes occurred 465 mostly in and around buildings (40). Twenty people were killed by landslides whilst on transportation 466 routes, and 11 of these victims were in vehicles, eight on foot and one in public transport. Most people 467 killed by rockfall were on transportation routes (58), of which 30 people were travelling by foot and 27 in a 468 vehicle (one unclear), whereas 14 people were killed by rockfall on open terrain. Most of the lightning fatalities occurred on open terrain (95 victims, of which 90 were moving by foot), in or around buildings 469 470 (33) or on transportation routes (28 victims, of which 13 were travelling by foot, 10 in or on a vehicle and 5 471 by bicycle; Table 2).

472 **5. Discussion**

473 **5.1 Data quality**

474 **5.1.1 Completeness of the data set**

475 Several factors influenced the integrity of our data set: (i) Fatal accidents caused by natural hazard 476 processes during the 70-year study period could simply not have been reported in the Neue Zürcher Zeitung 477 (NZZ) or in the additional data sources used. (ii) The NZZ is a German written newspaper. The coverage of 478 the news includes all parts of Switzerland (e.g. regarding severe natural hazard events), but there might be a 479 bias towards an underreporting in the other language regions (French, Italian, Rhaeto-Romanic), which 480 represent roughly one third of the population. (iii) For practical reasons, we had to apply a limited number 481 of keywords in our search. Thus, we could have missed reports on natural hazard fatalities in the data 482 sources because our keywords were not used in the respective news coverage. (iv) There were a few small 483 data gaps in our main data source. Some issues of the NZZ were not present in the data portal applied; 484 hence, we possibly missed fatalities that occurred during such periods. (v) The NZZ archive was 485 established by scanning all newspaper issues and applying a character recognition program (with the 486 exception of all new editions available digitally since 1994). This procedure is susceptible to errors 487 whenever the character recognition fails due to insufficient scan quality. We encountered this problem 488 when searching for keywords in the first few decades of the study period, mainly for long keywords. (vi) 489 Finally, media articles sometimes mix up technical terms and thus hazard processes (a problem already 490 discussed in Badoux et al., 2014). For example, we suspect that several fatal debris flows were not exactly 491 identified as such in the media during the first few decades of the study period because this process was not 492 yet commonly understood.

493

All in all, we acknowledge that our database for the time period between 1946 and 2015 is not fully complete and that we might have missed a certain number of natural hazard deaths, mainly in the first 496 decades of our study period. Taking into account our success rate for the validation period (see section 3.3), 497 we are confident that we passed over considerably less than 10% of the fatalities that occurred. There are 498 two main reasons for this. First, approximately 37% of all fatalities in the data set presented here were 499 caused by snow avalanches. Because the destructive avalanche database is considered complete for fatality 500 data, less than two thirds of our data is subject to underestimation. Second, grave events with several deaths 501 are normally described in numerous articles that span over a couple of days or even a few weeks (some 502 incidents are even mentioned in subsequent years for commemoration or retrospection). It is thus very 503 unlikely that we did not register at least one article on a multi-fatality event. Hence, we believe that our 504 database is valuable in its present state.

505 **5.1.2 Quality of the data**

506 The quality of our natural hazard fatality data was assessed by considering the levels of uncertainty 507 associated with the incident characteristics and circumstances. In general, uncertainty was low (Table 3). 508 This finding applies primarily to the date of events, the victims' gender and age, and the locality of the 509 incident (data was labelled as *certain* in 88% or more of the cases). Exact reporting of the time an incident 510 occurred was often lacking, and we had to estimate the event time for more than one third of the fatalities. 511 Data was unavailable in more than 10% of the cases only for the variables event time and victims' activity. 512 Finally, data quality increased in the course of our study period, in that all variables except one (victims' 513 activity) show lower uncertainty for the sub-period between 1981 and 2015.

514 **5.2 The impact of multi-fatality events**

A total of 635 natural hazard events with fatal consequences occurred in Switzerland during the study period (Table 4). The most common situation observed in the last 70 years was for one victim to be killed in a natural hazard incident. Roughly 50% of the total number of victims lost their life in one of the 507 single-fatality events recorded in the database. While incidents with two or three fatalities occurred 73 and 25 times, respectively, 25 incidents registered four to ten fatalities. Only five events caused more than ten deaths each, resulting in a combined 15.7% of the total number of victims in the entire study period (Table 4).

522

The largest of those five events was the Mattmark ice avalanche of 1965 that killed 88 people. The second and third worst multi-fatality events were both avalanches. The first of these avalanches occurred in February 1970 in Reckingen (canton of Valais) and represents one of the largest avalanche disasters in the Alps of the 20th century (30 deaths). Amongst other damage, the avalanche destroyed a Swiss army barrack and residential buildings, killing 19 officers and 11 civilians. The latter incident with 19 deaths occurred in January 1951 in Vals (canton of Grisons) during the worst avalanche winter in Swiss history (Figures 3 and 4).

531 Regarding the relative importance of single-fatality events, we detected two distinct categories of natural 532 hazard process types. Flood, rockfall, windstorm and lightning incidents with one victim were responsible 533 for more than 70% of the total fatalities associated with each of these process types. This value was much 534 smaller for avalanches (26.2%) and landslides (31.1%) (Table 4). This distinction is probably related to the 535 fact that fatal victims of both avalanche and landslide process types are more often killed in buildings 536 (around 50% of all cases) than victims of other hazard processes. Affected buildings are likely to be 537 occupied or inhabited by more than one person, and thus, multi-fatality events are more frequent for 538 avalanches and landslides. Lightning was associated with the highest percentage of deaths in single-fatality 539 events in Switzerland (87.8%). This value is only slightly lower than percentages reported for the USA 540 (90.9%, Curran et al., 2000) and Australia (92%, Coates et al., 1993).

541 **5.3** Evolution of fatality numbers during the last 70 years

542 General attempts to explain the decrease in natural hazard fatalities observed in other countries and globally 543 have been made previously. For example, Goklany (2007) indicated that societies' collective adaptive 544 capacities lead to reduced mortality rates due to extreme weather. For the USA, Curran et al. (2000) attributed a decline in lightning related deaths over time to improved medical care, emergency 545 546 communication and transportation, as well as better awareness of the serious threat posed by lightning. 547 Improved forecasting, process detection and warning systems may have also contributed to the decrease in 548 fatalities (Curran et al., 2000; López and Holle, 1996). Furthermore, fewer people currently work in open 549 fields than in the past (Elsom, 2001). With the expansion of urban areas more people are spending a greater 550 proportion of their time inside lightning-safe structures compared with decades ago (e.g. Curran et al., 551 2000; Holle, 2016). These points also apply to Switzerland, which is confirmed by significant decreases in 552 lightning fatalities in particular and total fatalities more generally.

553

554 A significant reduction in avalanche fatalities in settlements and on transportation corridors since the 555 1970's has been noted throughout the countries of the European Alps (e.g. Techel et al., 2016), as well as in 556 other developed regions like in Scandinavia (Kristensen, 1998) and North America (e.g. Page et al., 1999; 557 Jamieson et al. 2010). Explanations for this decrease, also detected in our data set for Switzerland, include 558 (i) large investments into permanent avalanche defence structures, which reduce the potential for 559 catastrophic avalanches (e.g. SLF, 2000); (ii) hazard mapping and risk assessment to evaluate appropriate 560 measures for the protection or closure of avalanche-threatened sections of roads during winter (e.g. Wilhelm, 1997; Margreth et al., 2003); (iii) the preventive artificial release of avalanches (e.g. Stoffel, 561 562 2001); (iv) the improved avalanche education of local authorities responsible for avalanche safety (e.g. 563 Bründl et al., 2004); and (v) an improved and more widely distributed avalanche forecast (Etter et al., 564 2008).

566 Many fatalities related to floods and inundations occur because people act imprudently and put themselves 567 in dangerous situations. We assume that a substantial proportion of all flood deaths in our data set can be 568 ascribed to such inappropriate behaviour. For example, outdoors, when victims are carried away by 569 floodwaters because they were standing too close to a channel or inside a building, when victims intend to 570 save belongings from flooded basements or try to drive vehicles out of inundated underground car parks 571 (see also section 5.5). Similar observations were made abroad, e.g. in association with the use of motor vehicles, when people decided to cross floodwaters, often underestimating the depth and force of flowing 572 573 water and thus taking significant risks (e.g. FitzGerald et al., 2010; Diakakis and Deligiannakis, 2013). 574 Hence, although Swiss flood protection has been developed and refined considerably in the last decades 575 (e.g. BWG, 2001), implying large financial investments, fatal events can only be prevented by such 576 measures to a limited extent. This could partly explain the relatively moderate decrease in flood fatality 577 over our study period. However, it has to be taken into consideration that deaths caused by floods were dramatically reduced since the middle of the 19th century in Switzerland, as showed e.g. in Petrascheck 578 579 (1989). Still, it will be very important in the future to better inform and train people who regularly stay in or 580 close to flood prone areas. A similar recommendation could be made for windstorms, as fatalities related to 581 these events also often occurred due to negligent behaviour in dangerous situations.

582 **5.4 Demography of fatality data**

583 Our study revealed that male natural hazard fatalities have been much more frequent than female fatalities 584 (75.9% male vs. 23.7% female). This pattern has also been observed in other countries, for example for 585 fatal flood (Ashley and Ashley, 2008; Coates, 1999) and lightning (Singh and Singh, 2015; Navarrete-586 Aldana et al., 2014; Elsom, 2001; Curran et al., 2000) victims. To explain this striking gender difference, 587 we first need to focus on work related deaths, which represent slightly more than one third of all recorded 588 fatalities (Figure 12, Table 2). A remarkable 93.5% of all natural hazard victims killed at work were men, 589 and this percentage was at least 95% in the four first decades of the study period. This finding is probably 590 mainly due to the fact that (i) during the study period, the ratio of men working full time was considerably 591 higher than the ratio of women working full time, especially in the first half of the period; and (ii) many 592 accidents were associated with occupations that are physically demanding and thus were, and to some 593 extent still are, almost exclusively carried out by men, such as farm labour, forestry work, construction 594 work, road maintenance (e.g. snow clearing) and rescue services. Thus, men have been more involved than 595 women in employment (often involving outdoor work) that puts them at risk of dving in a natural hazard 596 event.

597

598 More than half of all fatalities in our data set occurred during leisure time (Figure 12). Of these 536 599 victims, approximately two thirds were men and one third were women. Obviously, the predominance of 600 male fatalities was not as strong as that observed in work related accidents, but it was still considerable. We 601 assume that the gender difference observed for fatalities during leisure time activities was due to the higher

- for risk perception of women compared to men (e.g. Bubeck et al., 2012; Lindell and Hwang, 2008; Tekely-Yesil et al., 2011). This effect probably leads to a more cautious and less adventurous behaviour of women, which could explain the difference between the number of male and female fatalities. What applies for recreational activities might also be valid for work and it seems that men have an overall greater disposition towards risk-taking than women. This is e.g. confirmed by Jensenius (2014) for lightning deaths in the
- 607 USA. However, a growing recognition of the equality of the genders has led to a greater proportion of
- women being at risk relative to men (Coates, 1999). This trend is somewhat supported by our data, in that
- 609 55.6% of all leisure-time fatalities in the last decade of the study period involved women.

610 5.5 Effects of location, mode of transport, activity and inappropriate behaviour

611 The percentage of people who drowned in vehicles was much smaller in Switzerland (17% of all flood 612 victims) compared to values reported in studies of other countries. For example, 77% of flood fatalities 613 were vehicle related in a study about the US state of Texas (Sharif et al., 2015), and 63% were vehicle 614 related in a study of the USA as a whole (Ashley and Ashley, 2008). It was suggested that this large 615 number of fatalities occurs because people incautiously try to cross rivers in their vehicles (Sharif et al., 2015). Most of the people in our study were killed while travelling on foot (62%). This percentage includes 616 617 people who accidentally fell, were swept into the floodwaters, or tried to walk through the floodwaters. We 618 assume that most of these fatalities occurred because of inappropriate behaviour and underestimation of 619 risk and thus could have been prevented. The same could be said for all the lightning deaths in our study. In 620 most cases, the danger was underrated (e.g. people were struck during work on open fields) or people used 621 inadequate shelter (e.g. under trees). For fatalities due to lightning, a clear trend over time away from 622 outdoor worker casualties towards recreational accidents was apparent in our data set and has also been 623 observed elsewhere (López et al., 1995; Coates et al., 1993). Inappropriate behaviour also led to many of 624 the deaths related to windstorm events. Here, most fatalities occurred on lakes due to capsized boats, when 625 the victim(s) underestimated the heavy winds. Further, many victims were struck by falling tree (parts) or 626 other material that was swirling through the air. Regarding avalanche incidents, most of the fatalities in our 627 database occurred in buildings (185, 49%) because we excluded deaths due to sports like ski touring and 628 free skiing. We assume victims killed in buildings thought they were safe, as the specific damage threshold 629 of buildings is comparably high. However, once this threshold is exceeded during an event, statistics show 630 a high mortality rate for people located in a building (Wilhelm, 1997). Similarly, in the landslide process category, most of the fatalities occurred in buildings (54%), suggesting that the inhabitants were not 631 632 expecting an event and unable to escape from the threat.

633 **5.6 Comparison of Swiss natural hazard fatality data with data from abroad**

The EM-DAT International Disaster Database is a free and searchable source of information on world-wide victims of natural disasters (EM-DAT, www.emdat.be). In that database, we found 21 database entries for

636 Switzerland in our study period, and 329 fatalities associated with natural hazard processes that were

considered in our study. Avalanche events led to most of the fatalities recorded in EM-DAT (275),
followed by storms (24), landslides (20) and riverine floods (10). A considerable difference from our
overall results exists because EM-DAT focuses only on large catastrophic events with at least ten fatalities,
100 affected people, a call for international assistance, or the declaration of a state of emergency. This leads
to an underestimation of total fatalities, a problem also stated by Petley (2012). Furthermore, this issue
causes an underappreciation of natural hazard processes with a high percentage of single-fatality events
(Table 4).

644

645 Worldwide, EM-DAT registered a total of 5 million fatalities, including those due to earthquake (1.51 646 million, 30.1%), mass movement (0.06 million, 1.2%; including landslide, avalanche, rockfall and 647 subsidence), flood (2.48 million, 49.2%) and storm (0.98 million, 19.5%) for the period of 1946 to 2015. 648 Normalized by population (UN, Department of Economic and Social Affairs, Population Division, World 649 Population Prospects, the 2015 Revision, http://esa.un.org), this results in a mean yearly mortality rate of 19 650 victims per million people (earthquake 4.6, flood 11.4, mass movement 0.2, storm 3.2). This rate is much 651 higher compared to the average annual mortality rate determined in our study for Switzerland (overall 2.5 652 fatalities per million population and year; flood 0.29, landslide 0.16, rockfall 0.20, storm 0.24, lightning 653 0.42, avalanche 0.96, other 0.23; Table 1).

654

655 With the different data collection methods in mind, explanations for these differences could be that most 656 events that occurred in Switzerland during our study period did not cause more than ten fatalities (all but 657 five events, Table 4). Due to the geographical location of the study area, some very deadly hazard 658 processes, such as tropical storms, did not occur. Further, even though severe earthquakes are possible in 659 Switzerland and have been observed historically, only three such fatalities (all in 1946) were registered. 660 Furthermore, Switzerland exhibits a considerable network of protection measures and a well-developed risk management system (see section 5.3). These tactics probably additionally help to keep natural hazard 661 662 mortality rates low in comparison to other regions of the world, particularly less privileged ones (e.g. 663 Bründl et al., 2004; Lateltin et al., 2005).

664

665 Higher flood mortality rates compared to Switzerland have been reported for Texas (1.08 fatalities per 666 million population per year) as well as several other US states (Sharif et al., 2015) and also for India (1.5; Singh and Kumar, 2013). Coates (1999) found a large decrease in the flood mortality rate in Australia, from 667 668 239.8 in the 1800's to 0.4 in the 1990's. Also, a decreasing frequency of fatalities due to landslides was 669 found in Italy, with 1.8 fatalities per million population per year in 1950 and 1.4 in 1999 (Guzetti, 2000). 670 The average annual rate of fatalities due to lightning we found for Switzerland was similar to that reported 671 for the USA (0.42; Curran et al., 2000) and considerably lower than that reported for India (approximately 672 2.5-3.0; Illiyas et al., 2014). However, reports from India differ substantially with other authors recently 673 suggesting much lower mortality rates based on essentially different data sources (0.25; Singh and Singh, 2015). The decrease in lightning related fatalities we found over the last 70 years has also been observed in the USA (López and Holle, 1996) and in England and Wales (Elsom, 2001). In a comparative global summary of published lightning fatality estimates, Singh and Singh (2015) listed a value for 19th century Switzerland of 6.0 deaths per million population per year, which is more than ten times the value estimated in our study. Even though this figure was based on only two years of data (1876-1877), it illustrates the various improvements (e.g. better medical care, increased awareness) and the socio-economic changes that have occurred in Switzerland over the past 150 years.

681

682 The numbers reported in this section are rough estimates of mortality rates because they are based on the 683 entire population of a political entity. This may lead to a considerable underestimation of the actual 684 mortality rate at a given location. In an alternative approach to better reflect local or regional mortality 685 rates, we applied a 10x10 km raster grid that related fatalities to the actual resident population in a given 686 grid cell (Figure 9). This method reveals areas in Switzerland, mostly located in high-alpine environments 687 that have mortality rates that are much larger than the average value of 2.5 fatalities per million people and year. A total of 60 cells (out of 485) display values larger than 30 fatalities per million people and year, and 688 689 22 cells have rates larger than 150 fatalities per million people and year. This method has considerable 690 shortcomings. The main difficulty is that dependent on the process, other parts of the grid cell are at risk. 691 For example, in grid cells located in the Alps, only a small fraction of the people assigned to this cell really 692 live in or enter a given hazard process zone (e.g. an avalanche zone). Moreover, only approximately half of 693 all fatalities occurred close to or in the victim's place of residence or the victim's municipality. In many 694 cases the natural hazard victims were killed at a large distance from their home, or the victims were from 695 another Swiss region or a foreign country. This confirms that it is very difficult to assess an accurate natural 696 hazard mortality rate. Finally, because gridded population data has only become available recently, it has to 697 be kept in mind that by using current data, the resulting gridded mortality rates (Figure 9) represent a 698 considerable underestimation (Swiss population has been increasing considerably since the middle of the 699 last century). This also supports our statement that mortality data has to be regarded as a proxy and should 700 be treated with caution.

701 **5.7** Comparison of natural hazard fatality data with data from other accidental deaths

702 In his recent contribution, Goklany (2007) assessed death and death rates due to extreme weather events, 703 such as extreme heat, extreme cold, floods, lightning, tornados and hurricanes. This analysis indicated that 704 globally, as well as for the USA, the aggregate contribution of extreme weather events to overall mortality 705 is relatively small, ranging from 0.03% (globally) to 0.06% (USA). More specifically, the global 706 contribution of fatalities due to extreme weather events to all accidental deaths is also quite small (0.4%). 707 For example, based on EM-DAT data for 2000-2006 and World Health Organization data for 2002, 708 Goklany (2007) showed that, while roughly 20,000 people per year die during extreme weather events, 709 many more are killed in road traffic accidents (approx. 1.2 million people in 2002).

711 The natural hazard processes considered in the study mentioned above are not exactly the same as in the 712 present study (e.g. heat waves were not considered here and mass movements probably were not included 713 in Goklany, 2007) and the two study periods differ. Nevertheless, Goklany's point also applies to our Swiss 714 analysis: fatalities and mortality rates from the different hazard processes studied in the present paper are 715 relatively low. A comparison of our data with Swiss road and railroad accident fatality data (Swiss 716 Statistics, www.bfs.admin.ch) confirms the ratio suggested above at the global level. For the same 70-year 717 time frame from 1946 to 2015, a total of 64,561 people died on Swiss roads during traffic accidents. This 718 corresponds to an average value of 922 fatalities per year, with a maximum of 1773 deaths in 1971 and a 719 minimum of 243 deaths in 2014. Thus, on average for our study period, more than sixty times as many 720 people were killed in traffic accidents than by natural hazards. Swiss railroad fatalities also clearly 721 outnumbered natural hazard related fatalities, although to a lesser extent than traffic fatalities (4871 victims 722 from 1946 to 2014, corresponding to roughly five times the number of natural hazard related deaths). Note 723 that both road and railroad fatality numbers show a distinct decrease in Switzerland since the 1970s, similar 724 to the natural hazard fatality data presented here (Figs. 3 and 5).

725

726 Comparing the presented fatality numbers with those of recreational accidents in mountainous terrain, 727 which were not considered in our study, shows similar patterns (see section 3.4). For instance, data from 728 the Swiss Alpine Club (SAC, www.sac-cas.ch) show that mountaineering accidents (e.g. mountaineering, 729 hiking, climbing, canyoning) caused by lightning and rockfall led to 62 fatalities from 2000 to 2013, 730 compared to 21 fatalities in our database for the same two processes (with six fatalities appearing in both 731 data sources). Comparable patterns exist for avalanche fatalities: during the last twenty years (1995/96-732 2014/15), 15 times more people lost their life during recreational activities in unsecured backcountry terrain 733 than in settlements or on transportation corridors in Switzerland (Techel et al., 2015). On a larger spatial 734 scale covering the entire European Alps, this proportion is even more pronounced (a factor of 735 approximately 30 for the 15 years from 2000/01 to 2014/15; Techel et al., 2016). In the vast majority of 736 cases, victims in unsecured terrain triggered the avalanche themselves (e.g. Schweizer and Lütschg, 2001), 737 as opposed to fatalities caused by avalanches in settlements and on transportation routes, where most 738 avalanches released naturally (85% of the avalanche victims reported in the present study were killed by 739 naturally triggered events).

740 **6. Conclusions**

741 In this study, we compiled data from the Swiss flood and landslide database, the destructive avalanche 742 database, and information collected in an in-depth newspaper search to establish a new database of fatalities 743 caused by natural hazard processes in Switzerland. For a 70-year period from 1946 to 2015, we were able 744 to assemble detailed data on 635 events during which 1023 people were killed by processes we summarized

745 into seven hazard types (flood, landslide, rockfall, lightning, windstorm, avalanche, and other processes).

Fatalities that occurred in connection with high-risk sports or certain popular summer and winter outdoor sport activities were not considered. Snow avalanches claimed 378 victims and clearly represent the deadliest natural hazard process in Switzerland. With 164 deaths, less than half as many fatalities were caused by lightning. Floods, windstorms, rockfall events and landslides killed 124, 105, 85 and 74 people, respectively.

751

752 Natural hazard fatalities were considerably variable over time. The number of people who died in one 753 single year varied by one to two orders of magnitude. In 1951 and 1965, fatal events resulted in 112 and 754 108 deaths, respectively, whereas five years had only two fatalities each. For the 70-year study period, the 755 average and median number of people who died due to natural hazards amounted to 14.6 and 9.0, 756 respectively. Annual loss of life data showed a decrease over time, which was primarily induced by a 757 marked decrease in deaths due to avalanches and lightning strikes. The reduction in avalanche fatalities in 758 settlements and on transportation routes is a trend that has also been observed in other European countries 759 and elsewhere. The decrease is due to improvements in both technical (defence structures, preventive 760 artificial release) and organizational (e.g. hazard mapping, emergency planning) measures and to 761 significant progress in avalanche education and forecasting. A reduction in lightning victims during the last 762 century also occurred in many other countries around the world. In Switzerland, the main reasons for this 763 distinct decrease might be that today fewer people work outdoors and there is a much improved awareness 764 of the threat posed by lightning.

765

Most people were killed by natural hazard events in summer (JJA, 41.7% of fatalities) and winter (DJF, 32.2%). Accordingly, the four months with the largest number of victims were August (20.2%), January (14.2%), February (13.2%) and July (12.9%). While the summer peak mainly occurred due to flood and lightning events (together with one catastrophic ice avalanche in August 1965), the winter peak was caused by snow avalanche incidents. Furthermore, almost two thirds of the fatalities took place in the afternoon and evening.

772

Natural hazard fatalities were quite homogenously distributed over Switzerland. However, mountainous parts of the country (Prealps, Alps) were somewhat more prone to fatal events compared to the Swiss Plateau and the Jura. The reason for this is that avalanche fatalities, and to a slightly lesser extent rockfall and landslide fatalities, occur mainly in the alpine parts of Switzerland. In contrast, deadly events associated with floods and lightning were observed in practically all regions of Switzerland, with a definite maximum occurring along the Swiss Plateau. Finally, windstorm related fatalities were mostly observed on the Swiss Plateau, especially on lakes.

780

The age groups with the largest number of natural hazard victims were the 20-29 and 30-39 year-old groups (172 and 177, respectively), and almost 50% of all victims were between 20 and 49 years of age. Young

783 children (0-9 years of age) were the age group that was most underrepresented in the fatality data compared 784 to the Swiss age distribution. Three quarters of all fatalities were men, and men outnumbered women in all 785 process types except landslides. We assume that this large gender difference was strongly influenced by 786 two factors: first and probably more importantly, virtually all work related fatality incidents involved 787 physically difficult occupations that put workers at risk and where the majority of workers are men; second, 788 we speculate that women have a considerably higher risk perception compared to men and that, 789 accordingly, men have a greater disposition towards risk taking than women. Both of these points are 790 (probably) especially relevant for the first half of the study period.

791

792 Large catastrophic events with several hundreds of fatalities have occurred in Switzerland in the last 1000 793 years (e.g. Basel earthquake of 1356, Goldau rock avalanche of 1806), but not during the period studied 794 here. Apart from the tragic Mattmark ice avalanche of 1965 that killed 88 people, only one landslide and 795 three avalanche events caused more than ten victims in the period from 1946 to 2015. Together, these five 796 incidents led to 161 deaths, which correspond to 15.7% of all fatalities in the data set. Hence, single-fatality 797 events (that account for approximately half of the total natural hazard deaths) and events with up to a few 798 victims strongly influence the natural hazard fatality statistics in the recent past. For some process types, 799 such as lightning and rockfall, this influence is particularly strong. When compared with natural hazard 800 mortality rates in other countries or with accidental deaths from other causes in Switzerland, the fatality 801 numbers presented here are quite low. For example, traffic accidents kill an average of approximately 60 802 times more people than natural hazard events. Nevertheless, we think that current annual natural hazard 803 fatality numbers can still be further reduced by investing in and further developing both structural and 804 organizational (e.g. alarm systems, emergency planning, hazard awareness creation) protection measures. 805 The data set (and analysis) presented here can be used by decision makers at different political levels 806 (municipal, cantonal and federal authorities) to plan and implement such measures.

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1012 Table 1: Natural hazard fatalities in Switzerland (1946-2015) classified by gender.

1013

	Total fatalities		Normalized fatalities	Female fatalities		Male fatalities		Gender unclear	Percent female deaths	Percent male deaths	Altitude (A)
Process type	[deaths]	[%]	[deaths 10 ⁻⁶ a ⁻¹]	[deaths]	[%]	[deaths]	[%]	[deaths]	[%]	[%]	[m a.s.l.]
Flood	124	12.1	0.29	31	12.8	92	11.9	1	25.0	74.2	559
Landslide	74	7.2	0.16	33	13.6	41	5.3	0	44.6	55.4	820
Rockfall	85	8.3	0.20	21	8.7	64	8.2	0	24.7	75.3	1082
Windstorm	105	10.3	0.24	25	10.3	79	10.2	1	23.8	75.2	431
Lightning	164	16.0	0.42	33	13.6	130	16.8	1	20.1	79.3	692
Avalanche	378	37.0	0.96	92	38.0	285	36.7	1	24.3	75.4	1467
Other	93	9.1	0.23	7	2.9	85	11.0	1	7.5	91.4	2081
All processes	1023	100	2.50	242	100	776	100	5	23.7	75.9	1179

1014

1015 ^(A) Note that for snow avalanches only, the altitude of the lowest deposition point was used for technical reasons, which might lead to a slight

1016 underestimation in comparison with the other processes.

1018 Table 2: Natural hazard fatalities in Switzerland (1946-2015) classified by victims' activity, accident locality and victims' mode of transport.

1019

		Flood	Landslide	Rockfall	Windstorm	Avalanche	Lightning	Other	All processes	All processes [%]
	work	35	13	15	24	110	70	88	355	34.7
vity	leisure time	73	40	40	52	245	82	4	536	52.4
Activity	other/unclear	16	21	30	29	23	12	1	132	12.9
A	total	124	74	85	105	378	164	93	1023	100
	in or around a building	14	40	8	4	192	33	2	293	28.6
	on transportation routes	31	20	58	34	164	28	1	336	32.8
ţ	in open terrain	4	11	14	9	4	95	1	138	13.5
Locality	on a lake			1	44		1		46	4.5
Lo	in a stream channel	63	2						65	6.4
	other/unclear	12	1	4	14	18	7	89	145	14.2
	total	124	74	85	105	378	164	93	1023	100
	on foot	77	22	51	36	78	112	90	466	61.6
I	in vehicle	20	11	27	20	46	14		138	18.2
spc	by boat	5			44		1		50	6.6
ran	by ski					49			49	6.5
oft	by bicycle	3			1		6		10	1.3
Mode of transport	by public transport	1	1			4			6	0.8
M_0	other/unclear	9	0	2	4	16	5	2	38	5.0
	total (A)	115	34	80	105	193	138	92	757	100

1020

^(A) Note that 266 fatalities that occurred in buildings are not considered in this section (mode of transportation)

1023 Table 3: Quality of the data describing the circumstances of fatal natural hazard events and the victims (certain = information regarding this variable is fully

1024 reliable; probable = information regarding this variable was deduced but is very probable; unknown = no information available).

1025

	Certain		Probable		Unknown		Total	
	[no. of deaths]	[%]	[no. of deaths]	[%]	[no. of deaths]	[%]	[no. of deaths]	
Date of event	965	94.3	58	5.7	0	0.0	1023	
Time of event	558	54.5	360	35.2	105	10.3	1023	
Gender	1015	99.2	3	0.3	5	0.5	1023	
Age	938	91.7	16	1.6	69	6.7	1023	
Activity	788	77.0	107	10.5	128	12.5	1023	
Locality	898	87.8	101	9.9	24	2.3	1023	
Mode of transportation (A)	541	71.5	181	23.9	35	4.6	757	

1026

^(A) Note that 266 fatalities that occurred in buildings are not considered in this row (mode of transportation)

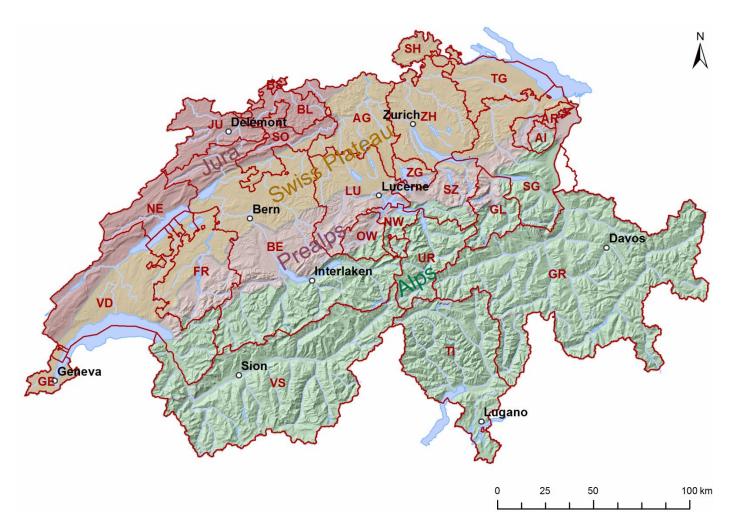
1028

1030Table 4: Fatal natural hazard events in Switzerland (1946-2015) classified by number of victims. The last two columns indicate the percentage of people that died1031in single fatality events (second to last column) and in events with more than ten deaths (last column); note that in the bottom row 100% corresponds to 1023

- 1032 deaths for all process types.
- 1033

]	Number of	f events w	ith <i>n</i> deaths	All fatal	Percent of people killed in events	Percent of people killed in events		
	<i>n</i> =1	<i>n</i> =2	<i>n</i> =3	4≤ <i>n</i> ≤10	<i>n></i> 10	events	where <i>n</i> =1	where <i>n</i> >10	
							[%]	[%]	
Flood	97	9	3	-	-	109	78.2	0.0	
Landslide	23	13	2	1	1	40	31.1	17.6	
Rockfall	68	4	_	2	-	74	80.0	0.0	
Windstorm	74	10	1	2	-	87	70.5	0.0	
Lightning	144	10	-	_	-	154	87.8	0.0	
Avalanche	99	27	18	20	3	167	26.2	15.9	
Other	2	_	1	_	1	4	2.2	94.6	
All processes	507	73	25	25	5	635	49.6	15.7	

1034



- 1038 Figure 1: Study area showing the Swiss stream network, the Swiss cantons (red polygons with abbreviations, see
- 1039 www.bfs.admin.ch/bfs/portal/en/index/dienstleistungen/premiere_visite/03/03_02.html), the geomorphologic-climatic regions Jura, Swiss Plateau, Prealps and Alps
- 1040 (areas with different background colours), and several key cities (DHM source: dhm25 © 2016 swisstopo (5704 000 000)).

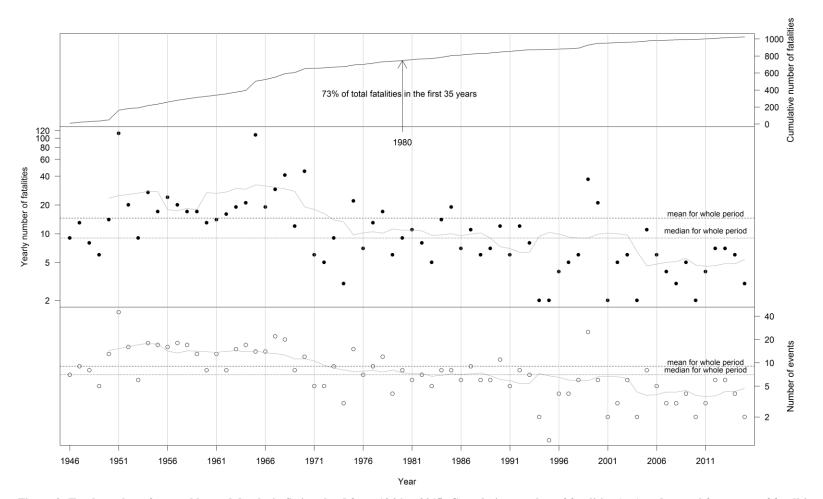
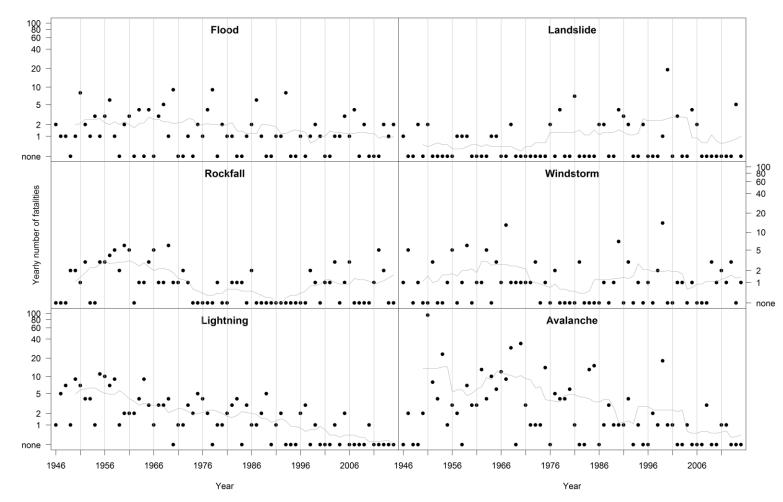


Figure 2: Total number of natural hazard deaths in Switzerland from 1946 to 2015: Cumulative number of fatalities (top) and annual frequency of fatalities (middle). At the bottom of the figure, the number of fatal natural hazard events is displayed. The dashed and dotted horizontal lines in the middle and bottom panels indicate the mean and median annual fatality frequencies and mean and median annual event frequencies, respectively. The thin grey lines in the middle and bottom panels show a

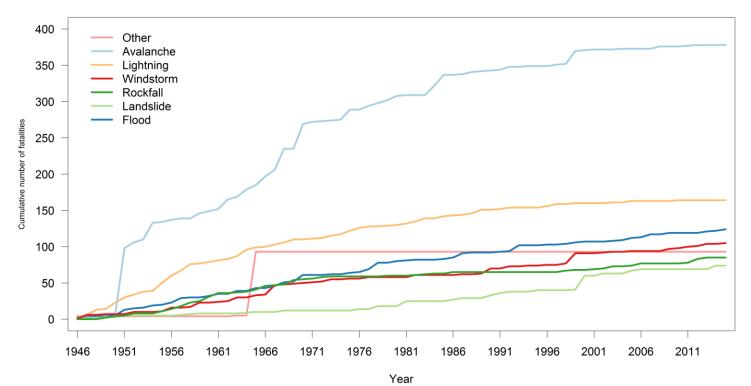
10-year running mean.



1047 Figure 3: Annual frequency of fatalities in Switzerland for the different natural hazard categories considered in this study (except the category other). The thin grey

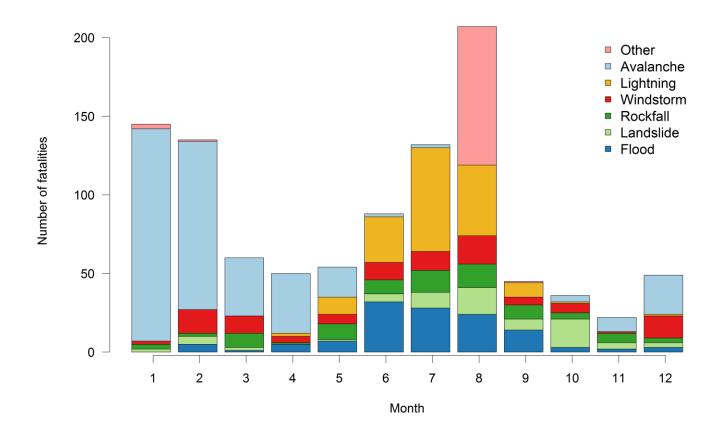
1048 lines in all panels the show a 10-year running mean. Note that years with no fatalities were assigned an arbitrary value of 0.5 for plotting purposes and are labelled

1049 "none" on the y-axis.



 1050
 Year

 1051
 Figure 4: Cumulative plot of the number of natural hazard deaths in Switzerland from 1946 to 2015 for the different natural hazard categories considered in this study.



1055 Figure 5: Monthly distribution of natural hazard fatalities in Switzerland from 1946 to 2015 (sum over study period). The different colours indicate the seven process

1056 categories defined for this study.

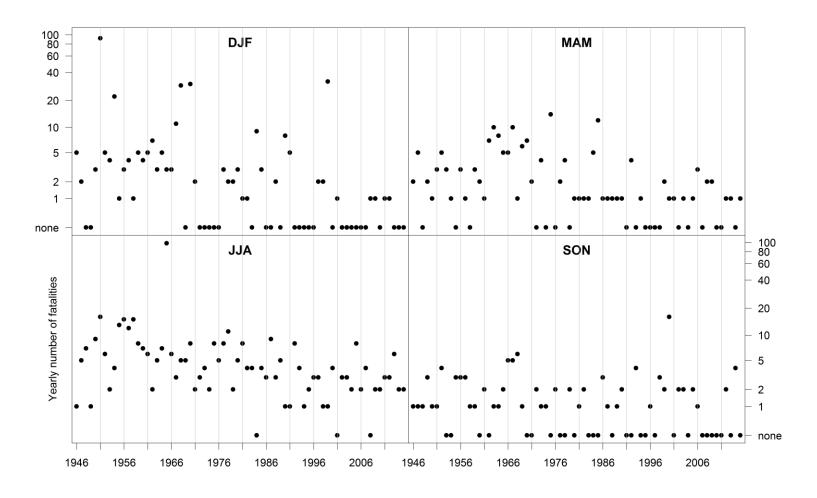


Figure 6: Annual frequency of total natural hazard fatalities in Switzerland from 1946 to 2015 for the four northern hemisphere seasons winter (DJF, top left), spring (MAM, top right), summer (JJA, bottom left) and autumn (SON, bottom right). Note that years with no fatalities in a certain season were assigned an arbitrary value of 0.5 for plotting purposes and are labelled "none" on the y-axis.

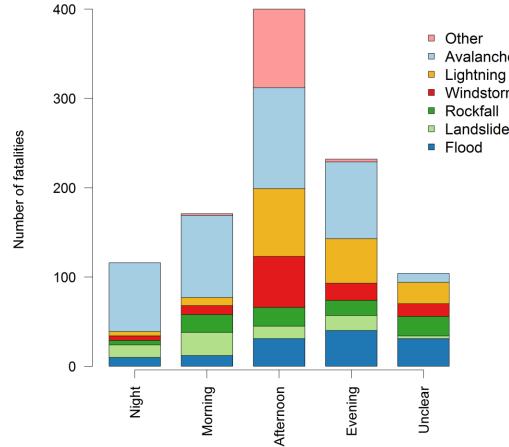




Figure 7: Distribution of natural hazard fatalities in Switzerland from 1946 to 2015 by time of day (sum over study period); morning (06:00-11:59 local standard time),

afternoon (12:00-17:59), evening (18:00-23:59) and night (00:00-05:59). The different colours indicate the seven process categories defined for this study.

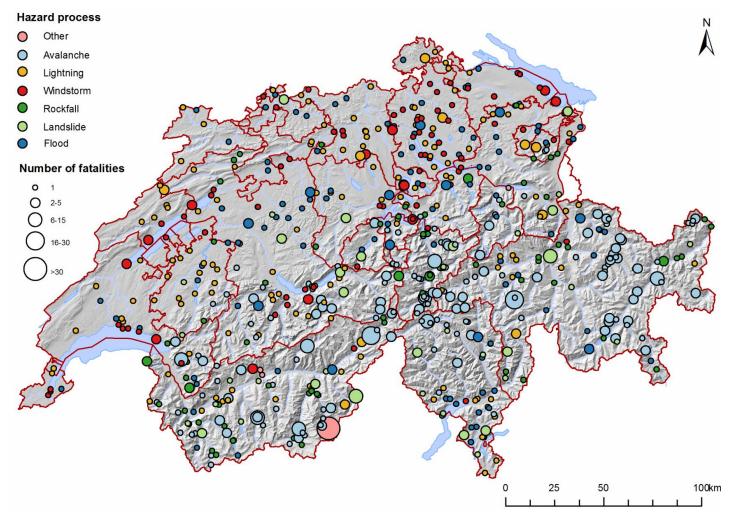
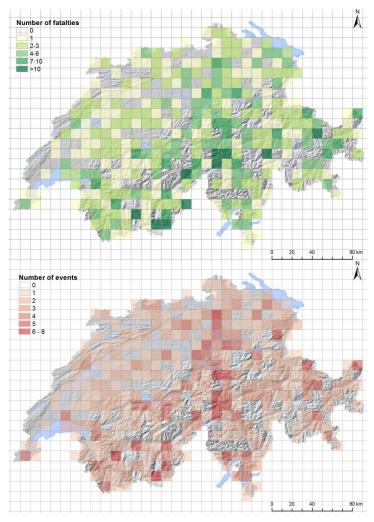
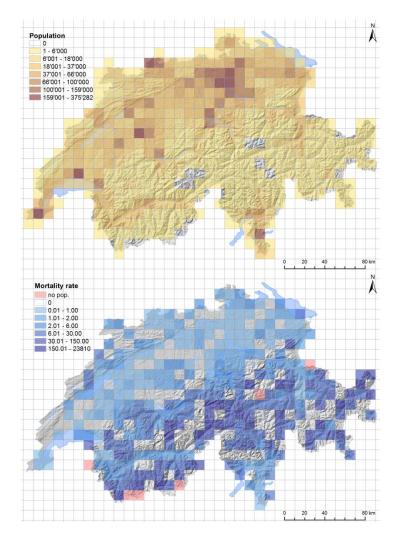


Figure 8: Spatial distribution of fatalities caused during natural hazard events in Switzerland from 1946 to 2015. The colour of each data point indicates the process type, and the size of the symbol shows the number of deaths per fatal accident (DHM source: dhm25 © 2016 swisstopo (5704 000 000)).



1070Figure 9: Spatial distribution of natural hazard fatalities (above) and fatal natural hazard events (below) in Switzerland over the 70-year study period using a 10x10 km1071raster grid (DHM source: dhm25 © 2016 swisstopo (5704 000 000)).



1072

Figure 10: Spatial distribution of population as of 2015 (above) and normalized natural hazard fatalities per year and million population (below) in Switzerland over the 70-year study period using a 10x10 km raster grid (DHM source: dhm25 © 2016 swisstopo (5704 000 000)). Note that the gridded mortality rate (below) represents a rough estimate because the population data of 2015 was used for its calculation (prior data not available in this format). Also, note that no mortality rate was calculated for the red grid cells because they are not populated (even though fatalities occurred there).

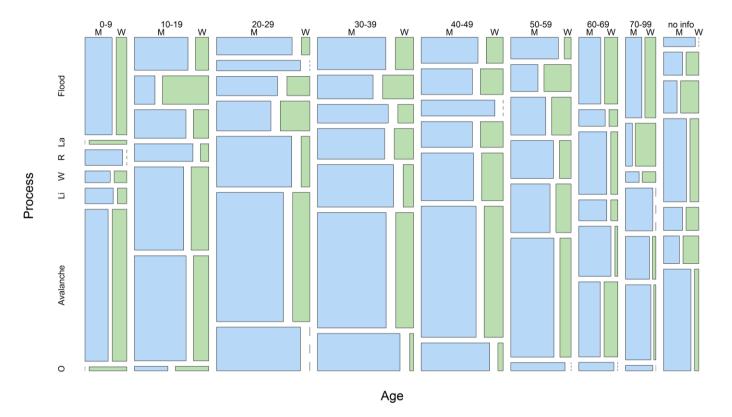
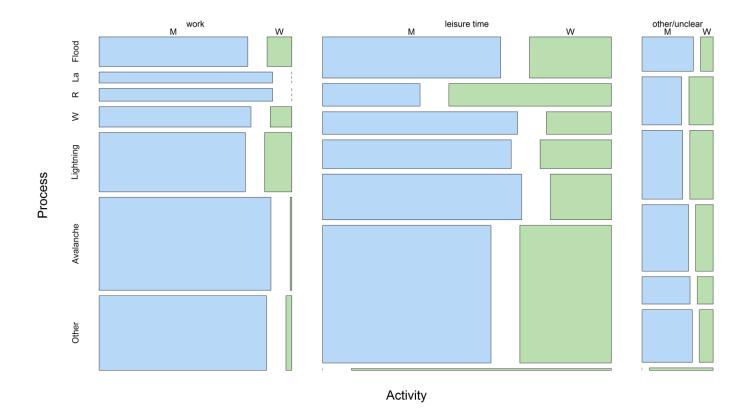


Figure 11: Mosaic plot showing age groups and gender for natural hazard fatalities in Switzerland (1946-2015). Fatalities are subdivided according to the hazard type

1079 (flood, La=landslide, R=rockfall, W=windstorm, Li=lightning, avalanche, and O=other). Also see Table S2 in the supplementary material.



1082 Figure 12: Mosaic plot showing victim's activity and gender for natural hazard fatalities in Switzerland (1946-2015). Fatalities are subdivided according to the hazard

1083 type (flood, La=landslide, R=rockfall, W=windstorm, lightning, avalanche, and other). Also see Table 2.