

1 **Landslide forecasting and factors influencing predictability**
2 **Emanuele Intrieri^{1*}, Giovanni Gigli¹**
3 *¹ Department of Earth Sciences, University of Studies of Firenze, via La Pira 4, 50121 Firenze,*
4 *Italy.*
5 **Corresponding author*
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8 **ABSTRACT**

9 Forecasting a catastrophic collapse is a key element in landslide risk reduction, but also a very
10 difficult task, owing to the scientific difficulties in predicting a complex natural event and also to
11 the severe social repercussions caused by a false or a missed alarm. A prediction is always
12 affected by a certain error, however when this error can imply evacuations or other severe
13 consequences a high reliability in the forecast is, at least, desirable.

14 In order to increase the confidence of predictions, a new methodology is presented here.
15 Differently from traditional approaches, it iteratively applies several forecasting methods based
16 on displacement data and, also thanks to an innovative data representation, gives a valuation
17 about the reliability of the prediction. This approach has been employed to back-analyse 15
18 landslide collapses. By introducing a predictability index, this study also contributes to the
19 understanding of how geology and other factors influence the possibility to forecast a slope
20 failure. The results showed how kinematics, and all the factors influencing it such as
21 geomechanics, rainfall and other external agents, are the key concerning landslide predictability.
22 *Keywords: landslides; forecasting; geomechanics; early warning; time of failure; slope failure*
23

24 **INTRODUCTION**

25 Natural disaster forecasting for early warning purposes is a field of study that drew the media
26 attention after events such as the 26th December 2004 tsunami of Sumatra. Predicting landslides,
27 with respect to other natural hazards, is a complex task due to the influence of many factors like
28 geomechanical properties, rainfall, ground saturation, topography, earthquakes and many others.
29 So far, few empirical landslide forecasting methods exist (Azimi et al., 1988; Fukuzono, 1985a;
30 Mufundirwa et al., 2010; Saito, 1969; Voight et al., 1988) and none furnishes a reliability degree
31 about the prediction, making them unsuitable for decision making. In particular, when
32 mentioning geomechanics, the reference is to the study of the behaviour of a landslide
33 concerning its deformation with relation to the applied stress, with special reference to its post-
34 rupture conditions.

35 In the present paper research an approach to perform probabilistic forecasting of landslide
36 collapse is presented. This has been achieved by reiterating several predictions using more
37 forecasting methods at the same time on multiple time series. This approach may have important
38 applications to civil protection purposes as it provides the decision makers with a level of
39 confidence about the prediction. Furthermore, this study, performed on 15 different case studies,
40 shows how the possibility or not to forecast the time of collapse of a landslide is affected by
41 geomechanical or geomorphological features as much as by circumstantial conditions.

42 **The inverse velocity forecasting method**

43 Forecasting activity can be considered the fulcrum of early warning systems (Intrieri et al.,
44 2013), i.e. cost-effective tools for mitigating risks by moving the elements at risk away. For
45 many natural phenomena forecasting is common practice (for example for hurricanes;
46 Willoughby et al., 2007), while for others is, at present, impossible (earthquakes; Jordan et al.,
47 2011). Landslides lie in between. Their prediction can be performed through rainfall thresholds
48 (Baum and Godt, 2010), but a more reliable approach should make use of direct measures of
49 potential instability, such as displacements (Lacasse and Nadim, 2010; Blikra, 2008). A first
50 issue is that only a small percentage of landslides in the world is appropriately monitored, that
51 often monitoring is carried out for short periods not encompassing the final pre-failure stages, or
52 may have been carried out with a too low temporal frequency that does not permit to follow the
53 displacement trend. This also causes an insufficient knowledge of the geomechanical processes

54 leading to failure (here meant as the collapse following a sudden acceleration, either a first
55 movement or a reactivation), which is another responsible for our deficiencies in predicting
56 landslides.

57 In spite of this, few empirical methods for predicting the time of failure based on movement
58 monitoring data have been developed (Azimi et al., 1988; Fukuzono, 1985a; Mufundirwa et al.,
59 2010; Saito, 1969) and further investigated on a physical basis (Voight et al., 1988). They are all
60 based on the hypothesis that if a landslide follows a peculiar time-dependant geomechanical
61 behaviour (called creep; Dusseault and Fordham, 1994), it will display a hyperbolic acceleration
62 of displacements before failure; by extrapolating this trend from a displacement time series
63 through empirical arguments, it is possible to obtain the predicted time of failure. However such
64 methods do not always produce good results. In fact, other than the limitation of working only
65 with creep behaviour, sometimes the tertiary creep can evolve such rapidly that a sufficient lead
66 time for evacuation is simply not possible (IEEIRP, 2015). In other cases natural or instrumental
67 noise can hamper the predictions and require post-processing to allow for effective warnings
68 (more details on the types and effects of noise can be found in Carlà et al., 2016). Other authors
69 also contributed to methodologies to exploit and optimize the classic forecasting methods (Crosta
70 and Agliardi, 2003; Dick et al., 2015; Manconi and Giordan, 2015).

71 One of the most famous methods is Fukuzono's (1985a), which derives from Saito's (1969),
72 from here on simply called F and S method, respectively. It requires that during the acceleration
73 typical of the final stage of the creep (tertiary creep), the inverse of displacement velocity (v^{-1})
74 decreases with time. The collapse is forecasted to occur when the extrapolated line reaches the
75 abscissa axis (corresponding to a theoretical infinite velocity). Such line may either be convex,
76 straight or concave (Fukuzono, 1985a). When it is straight this phenomenon is sometimes
77 referred to as Saito effect (Petley et al., 2008).

78 The possibility to find landslides showing the Saito effect has been related to the mechanical
79 properties of the sliding mass. However there is no general consensus on this issue.
80 According to some authors (Petley, 2004; Petley et al., 2002), in order to display the Saito effect,
81 landslides need to display a brittle behaviour (which indicates a drop from peak strength to
82 residual strength value, deformation which is concentrated along a well defined shear surface,
83 sudden movements and catastrophic failure, usually associated with crack formation in strong
84 rocks); furthermore only brittle, intact rocks evolve in catastrophic landslides and therefore can
85 be predicted; for others (Rose and Hungr, 2007), on the opposite, landslides displaying the Saito
86 effect must have ductile failures in order to be forecasted (i.e. slower, indefinite deformation
87 along a shear zone and under a constant stress, typical of sliding on pre-existing surfaces of soft
88 rocks), as brittleness is characterized by sudden, impossible to anticipate, ruptures.

89 This complex subject is made even more difficult due to the influence of external factors
90 (rainfall, earthquakes, excavations), structural constraints (joints, faults, contacts with different
91 lithologies) and sometimes unknown elements within the mass (the conditions of the shear
92 surface, the history of the landslide, the presence of rock bridges). Therefore it is often hard to
93 establish the mechanical behaviour and even more to find an exact correlation between the
94 mechanical behaviour of a landslide and the possibility to predict its failure.

95 **The concept of predictability**

96 Before assessing the influence of geomechanics on the predictability of a landslide it is first
97 necessary to address the concept of predictability.

98 In literature (Azimi et al., 1988; Hutchinson, 2001; Mufundirwa et al., 2010; Rose and Hungr,
99 2007) there are papers that deal with "predictions" made in retrospect, that is thorough post-

100 event analyses showing the signs of a critical pre-collapse acceleration; however whether such
101 signs would have been unambiguous or would have granted a sufficient lead time is often
102 neglected.

103 On the other hand in this research an operational definition of predictability is considered
104 (integrating the one of early warning system; UNISDR, 2009) as the feature possessed by a
105 landslide which allows one to forecast its collapse with reasonable confidence and sufficiently in
106 advance, permitting the dispatch of meaningful warning information to enable individuals,
107 communities and organizations threatened by the hazard to prepare and to act appropriately and
108 in sufficient time to reduce the possibility of harm or loss. Therefore, displaying the Saito effect
109 is not the only prerequisite for an operational prediction, there is also the need for repeated time
110 of failure forecasts fluctuating around a constant time value placed not too close in the future.
111 This has been achieved through the reiterative approach and the graphical representation
112 described in the following paragraph. Finally a semi-quantitative parameter called Prediction
113 Index is defined in order to address the success of the predictions.

114 **METHODS**

115 The usual way to apply landslide forecasting methods based on displacements, is to obtain a
116 single predicted time of failure (t_f) and to update such prediction as soon as new data are
117 gathered (Rose and Hungr, 2007). This is a deterministic approach, since the real time of failure
118 (T_f) is predicted through a single inference. Even if sometimes more predictions are made
119 together with new data, usually only one (the most recent) is used.

120 On the other hand, in order to account for the uncertainty of the methods and complexity of the
121 phenomena, predictions should have a certain confidence. Confidence may be quantitatively
122 assessed by using the standard deviation of the forecasts t_f as a proxy. In fact the standard
123 deviation furnishes the dispersion (i.e. the precision) of the predictions, which may be used to
124 calculate a time window within which the collapse is more likely to occur. Therefore the lower
125 the standard deviation of a set of forecasts, the higher would be their reliability and the
126 confidence. This is especially important for operative early warning systems. This probabilistic
127 approach is achieved by reiterating the equations from Saito (1969), Fukuzono (1985a) and
128 Mufundirwa et al. (2010) (the latter method will be called M method from here on) for finding t_f ,
129 using continuously new data and enabling the calculation of the standard deviation.

130 The predictions are plotted versus the time when they have been made (time of prediction, t_p).
131 We call these diagrams prediction plots (Figure 1). A prediction is considered reliable when the
132 inferences oscillate around the same t_f . Figure 1 also shows that since reliable predictions usually
133 display an oscillatory trend, the most updated one is not necessarily the most accurate, contrarily
134 to what is usually believed (Rose and Hungr, 2007) in fact, the length of the dataset is more
135 important, from which T_f can be estimated through simple statistical analyses (like mean and
136 standard deviation).

137 Since in some cases a single forecasting method can fail to give satisfactory results, in order to
138 improve even more the confidence in the predictions, a multi-model approach is adopted together
139 with the probabilistic approach. In fact, according to the Diversity Prediction Theorem (Page,
140 2007; Hong and Page, 2008), diversity in predictive models reduces collective error. The highest
141 confidence, of course, is reached when all the employed method independently converge towards
142 the same result.

143 On the other hand, confidence it may also be considered as a qualitative increase in the
144 awareness of the decision makers that can estimate the time of failure of a landslide by
145 evaluating a large set of different predictions and their dispersions.

146 For this research the results from S and F methods have been confronted and from the method by
 147 Mufundirwa et al. (2010). The equations used for the iteration are obtained from the respective
 148 authors and are:

$$t_r = \frac{t_2^2 - (t_1 \cdot t_3)}{2t_2 - (t_1 + t_3)}, \quad (1)$$

149 for S method, where t_1, t_2, t_3 are times taken so that the displacement occurred between t_1 and t_2
 150 is the same as between t_2 and t_3 .

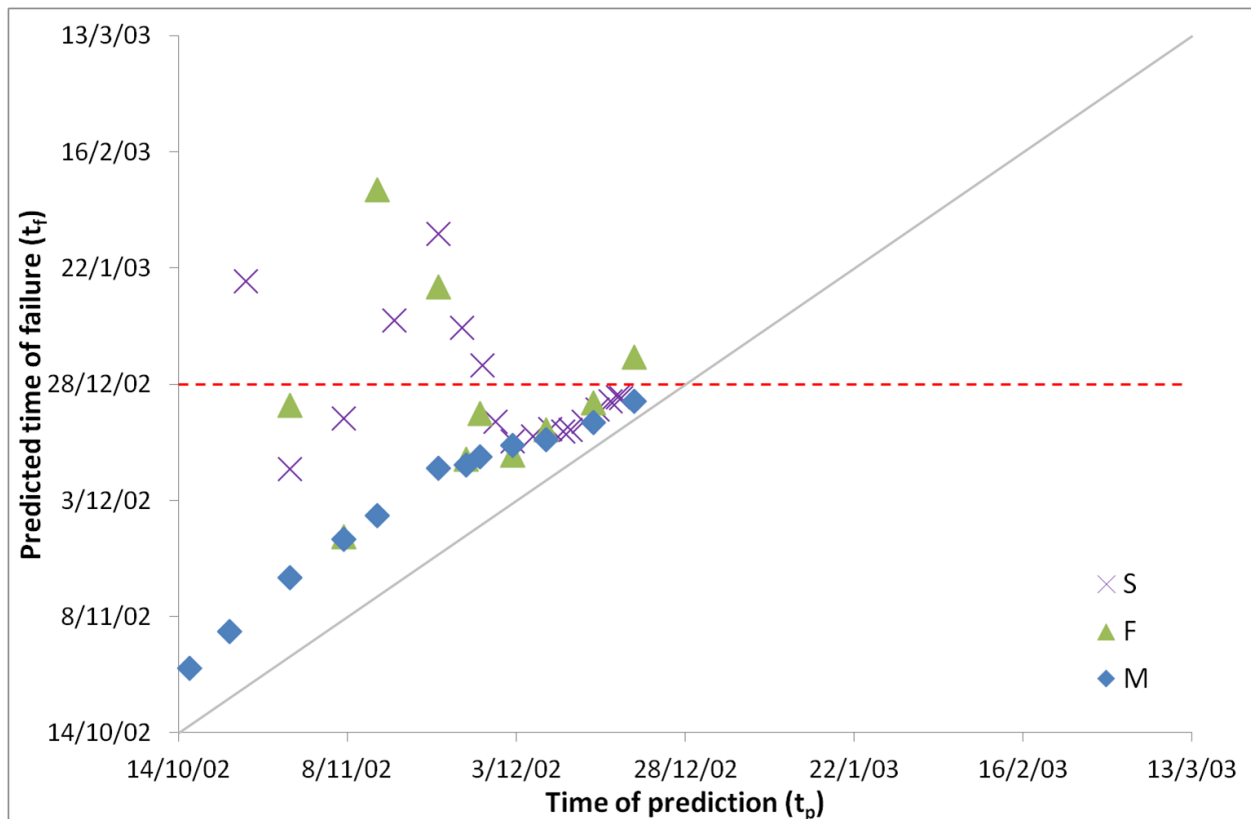
$$t_r = \frac{t_2 \frac{1}{v_1} - t_1 \frac{1}{v_2}}{\frac{1}{v_1} - \frac{1}{v_2}}, \quad (2)$$

151 for F method, where v_1 and v_2 are the velocities at arbitrary times t_1 and t_2 .

$$t \frac{dD}{dt} = t_r \frac{dD}{dt} - B, \quad (3)$$

152 for M method, where D is the displacement and t_r is the angular coefficient of the line
 153 represented in a $t \frac{dD}{dt} = f \left(\frac{dD}{dt} \right)$ space having B as the intercept. For the purposes of this paper t_r
 154 expressed in all these equations is equivalent to t_f .

158



159
 160 **Figure 1.** This graph represents probabilistic predictions performed with 3 different forecasting
 161 methods (Fukuzono, 1985a; Mufundirwa et al., 2010; Saito, 1969) applied to the MB34-35'

162 displacement time series of Mount Beni landslide (Gigli et al., 2011). The horizontal dashed line
163 indicates the observed time of failure (T_f) and the grey diagonal line the equality between t_f and
164 t_p . Therefore the vertical distance between a point and the dashed line indicates the prediction
165 error. The vertical distance between the diagonal line and a prediction above it is the life
166 expectancy of the landslide at the time of prediction. In this case the predictions obtained through
167 S and F methods give a good estimation of T_f , while the one from Mufundirwa et al. (2010)
168 consistently forecasts the collapse few days ahead.

169 The proposed procedure consists in iteratively calculating the time of failure t_f by using the
170 aforementioned methods and to repeat the calculation as soon as new monitoring data are
171 available. All the forecasts are recorded together with the time when they are made, in order to
172 create a time series of $t_f = f(t)$. This can be represented in a prediction plot having t_f and t (the
173 time when the prediction is made) as coordinates. Finally, from the distribution of the forecasts
174 with time it is possible to assess the time of failure.
175

176 TIME OF FAILURE PREDICTION

177 In order to find a relation between the predictability of a failure and the geological features of the
178 landslide, S, F and M methods have been applied to a number of different real case studies. Some
179 geological features of interest relative to such cases are reported in TABLE 1, when they were
180 known or applicable. Concerning brittleness, since it was rarely explicitly stated in the
181 referenced articles, it was assessed based on information such as the type of material, the
182 presence of a reactivated landslide, the weathering and the shape of the displacement time series.
183 Since this lead to approximations, brittleness has been evaluated using broad and qualitative
184 definitions.

185 Since T_f must be known in order to assess the quality of predictions, all the case studies are from
186 past landslides that have already failed. Therefore the respective time of failures are all a
187 posteriori known.

188 A few representative examples of prediction plots are showed in Figure 1 and Figure 2. Mount
189 Beni landslide is a 500.000 m³ topple that evolved as a rockslide (Gigli et al., 2011). It developed
190 on a slope object of quarrying activity. The predictions oscillate quite regularly around the
191 observed time of failure (T_f , dashed line in Figure 2). It is this convergence that permits to
192 correctly forecast the collapse a priori at least since late November, i.e. a month before the
193 failure, whereas a single forecast would not be able to give a confidence of the prediction. The
194 three methods are similar to the point that S and F previsions can be partially overlapped. M
195 previsions overlap as well but only in the final part. The M method alone would not be sufficient
196 for spreading a reliable alarm as the single forecasts do not converge but move forward to a
197 different time of failure as the time passes by.

198 Similar behaviours can be observed also for the cases of Figure 2 that display landslides with a
199 different array of geological features (as seen in TABLE 1). The best results are obtained when
200 the forecasts oscillate around T_f with sufficient time in advance (as for Vajont and, limited to F
201 method, for Liberty Pit) or when they consistently give the similar t_f (as for the artificial
202 landslide E, where the terms “artificial landslide” indicate a landslide recreated in laboratory
203 with an artificial slope). In other cases (Avran valley and, limited to S and M method, for Liberty
204 Pit) the predictions are too scattered or simply never converge toward a single result, thus
205 making it impossible to foresee a reliable time of failure.

206 Notably, considering for example only the results of the S method in the case of the Avran valley
 207 landslide, since the end of September the forecasts are constantly furnishing a time of failure
 208 preceding the actual T_f . Although this may be considered a case of safe predictions (that is an
 209 error not producing a false positive and therefore not dangerous for the elements at risk), this
 210 also means that, at every forecast that is made, t_f is postponed. Given a series of ever increasing
 211 values of t_f , it is impossible to assess which of them (if any) can be assumed as a good estimate
 212 of the actual time of failure. However, since the time series of predictions is long enough, past
 213 forecasts (before early September) furnish values of t_f that, if considered together with the late
 214 ones, centre the value of T_f . Therefore it is clear how a prediction plot may allow decision
 215 makers to make more aware evaluations of the time of collapse of a landslide.
 216

217 The results of the prediction plots can be roughly summarized reporting the mean and standard
 218 deviation of the forecasts for each method (Figure 3).

TABLE 1. LANDSLIDE CASE HISTORIES

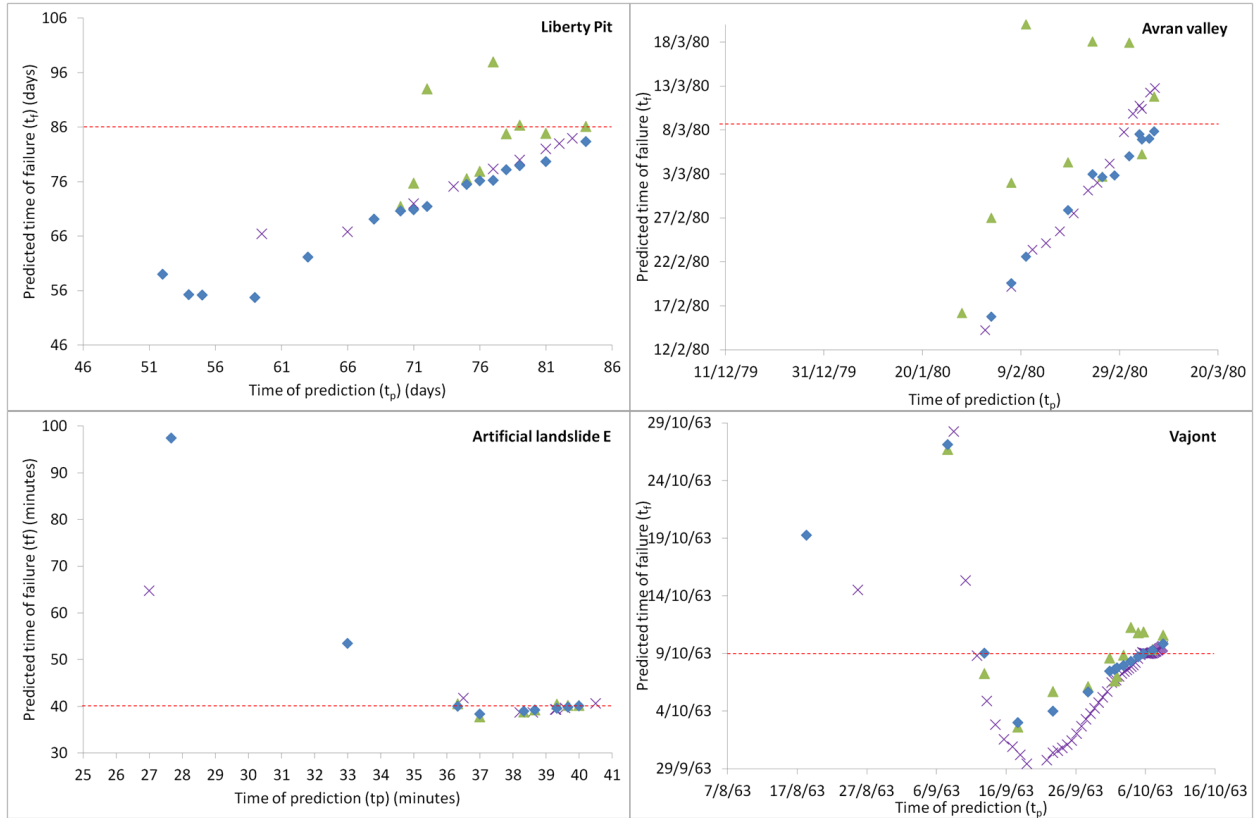
Name	Material	Type	Brittleness	Volume (m ³)	Predisposing factor	Trigger	History	Basal geometry	Ref. *
Liberty Pit	Weathered quartz monzonite	Rockslide?	Medium/high	6x10 ⁶	N.D.	Blasts, pore water pressure	First time failure	Planar?	1, 2
Landslide in mine	Consolidated alluvial sediments, weathered bedrock	Deep-seated toppling in bedrock	Medium	10 ⁶		Blasts, pore water pressure	First time failure?	N.D.	1
Betze-Post	Weathered granodiorite	Rockslide?	Medium/high	2x10 ⁶	N.D.	Rainfall	First time failure?	Wedge intersections?	1
Vajont	limestone and clay	Rock slide	High	2.7x10 ⁸	N.D.	Pore water pressure	Reactivated	Concave	1, 3
Stromboli †	Shoshonitic basalts	Bulging (not a landslide)	Medium/high	N.D.	N.D.	Sill intrusion	First time failure	N.D.	4
Monte Beni	Ophiolitic breccias	Topple/rock slide	High	5x10 ⁵		Rainfall, structure, basal excavation	First time failure	Stepped	5
Cerzeto	Weathered metamorphic rocks on top, cataclastic zone and Pliocene clays	Debris slide-earth flow	Medium/low	5x10 ⁶		Tectonized area, permeability differences	Reactivated ?	Compound (steeper and irregular in the upper zone and gentler in the clays)	6
Rock mass failure Japan	Clayey limestone	Rockslide?	High (within limestone)?	5x10 ²		“Structural complexity” (?)	First time failure?	Planar?	7
Asamushi	Liparitic tuff, jointed and weathered. Clay in the joints		Medium/low	10 ⁵	N.D.	N.D.	N.D.	Concave?	7, 8
Avran valley	Chalk	Rockslide	Medium/low	8x10 ⁴	N.D.	N.D.	First time failure?	Convex	9
Giau Pass	Morainic material	Complex slide	Medium/low	5x10 ⁵	N.D.	Pore water pressure	Preexisting shear surface	Composite	10, 11
Artificial landslide A	Loam	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Planar	12

Artificial landslide B	Sand	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Planar	12
Artificial landslide C	Sand	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Convex	12
Artificial landslide D	Sand	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Planar	12

*The references used are numbered as follows: 1: Rose and Hungr, 2007; 2: Zavodni and Broadbent, 1980; 3: Semenza and Melidoro, 1992; 4: Casagli et al., 2009; 5: Gigli et al., 2011; 6: Iovine et al., 2006; 7: Mufundirwa et al., 2010; 8: Saito, 1969; 9: Azimi et al., 1988; 10: Petley et al., 2002; 11: Angeli et al., 1989; 12: Fukuzono, 1985b.

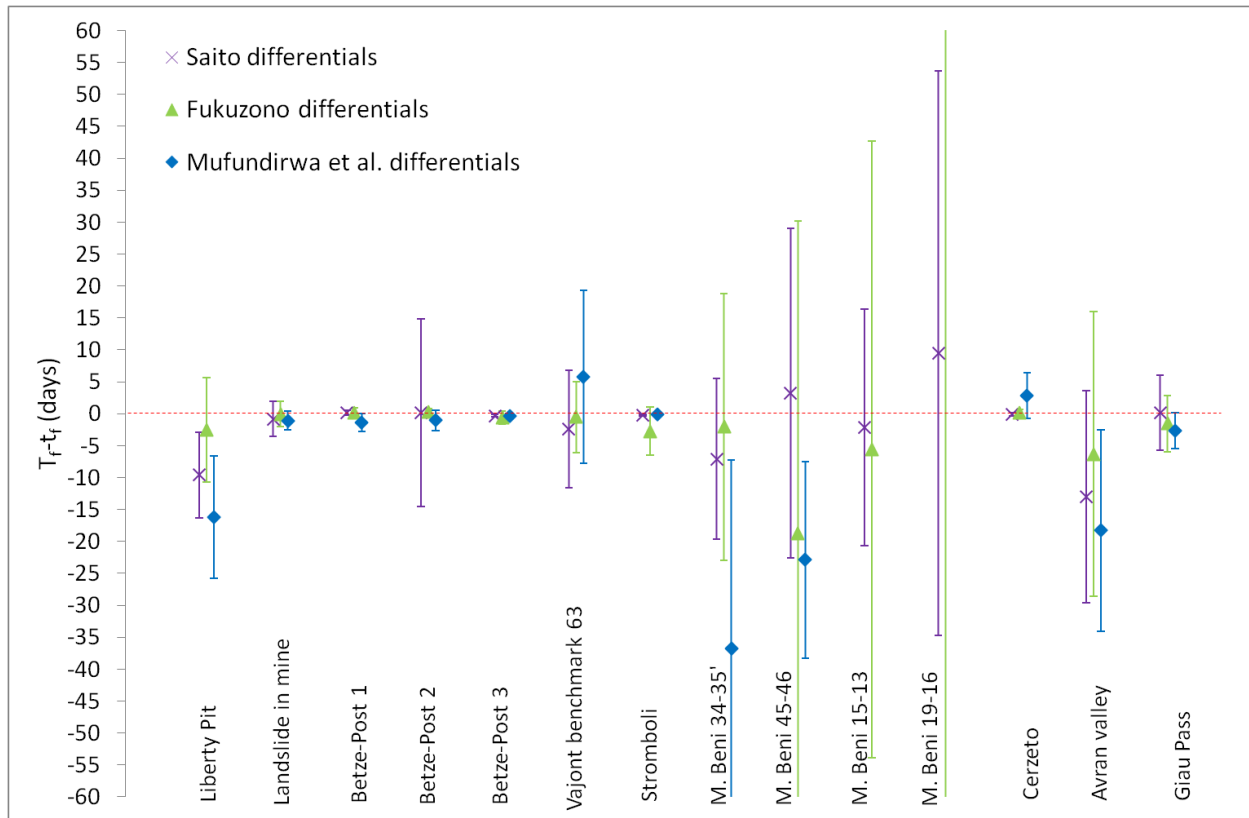
† The case of Stromboli is not relative to a landslide, rather to a volcanic bulging preceding a vent opening that was forecasted in a similar fashion of a landslide and therefore here included.

219
220



221
222 **Figure 2.** Prediction plots of four different case studies. The dashed line indicates T_f . The crosses
223 represent forecasts performed with S method, the triangles with F method and the diamonds with
224 M method. Note that F forecasts for Avran valley landslide include other less accurate values not
225 showed in the graph as they are out of scale.

226
227



228
 229 **Figure 3.** This graph represents for each method the differential between the mean of the
 230 forecasts (\bar{t}_f) and the actual time of failure (T_f). Negative values are safe predictions as anticipate
 231 the time of failure. The dashed line represents exact predictions ($T_f - \bar{t}_f = 0$). The standard
 232 deviations of the forecasts are represented as error bars. For Betze-Post and Mount Beni
 233 landslides, time series from different measuring points are reported. The rock mass failure,
 234 Asamushi landslide and the artificial landslides are not shown as were monitored in a different
 235 time scale (hours or minutes).

236
 237 **PREDICTABILITY INDEX**

238 In order to evaluate the performance of S, F and M methods and to relate it to the characteristics
 239 of the reported examples, an arbitrary scoring system has been implemented and attributed to
 240 each prediction plot (considering that every time series has a prediction plot for each forecasting
 241 method and that for some case studies more than one time series was available). This permits to
 242 quantify the predictability of a collapse based on the prediction plot. A score from 1 to 5 has
 243 been assigned according to the following criteria:

- 244 • 1 point: the prediction plot never converges on a single t_f (typically t_f increases at every
 245 new datum available).
 - 246 • 2 points: the predictions vary considerably at every new iteration. An average time of
 247 failure (\bar{t}_f) can be extracted but with high uncertainty.
 - 248 • 3 points: the predictions oscillate around T_f , although with a certain variance.
 - 249 • 4 points: the predictions have a low variance although \bar{t}_f is slightly different than T_f .
- 250 Note that when the variance was low, \bar{t}_f and T_f never differed greatly.

251 • 5 points: the prediction plot is clearly centred on T_f therefore the reliability of \bar{t}_f is high.

252 By summing the scores obtained from S, F and M prediction for each time series, what we call
 253 the Predictability Index (PI) is obtained (TABLE 2). Since PI is a means to evaluate the overall
 254 quality of a set of predictions (it requires to observe the time series of t_f and confront it with T_f , it
 255 is the predictability index) and also to compare the performance of different forecasting methods
 256 with different case studies, naturally it can only be estimated after the collapse.

257 By using 3 forecasting methods, PI ranges from 3 (impossible to predict the time of failure) to 15
 258 (the time of failure can be predicted in advance and with a high reliability). Though a certain
 259 degree of subjectivity is unavoidable when assigning the scores, what matters here is the relative
 260 difference of PI between the case studies. In such a way it is possible to understand in which
 261 conditions a landslide is more or less predictable.

TABLE 2. PREDICTABILITY INDEX

Name	S	F	M	PI	Inverse velocity trend	Notes
Liberty Pit	1	5	1	7	Asymptotic (linear at the end)	Open pit mine, structural control of 2 intersecting faults
Landslide in mine	5	5	5	15	Linear	Open pit mine
Betze-Post 1	3	3	1	7	Linear	Open pit mine
Betze-Post 2	4	5	4	13	Linear	Open pit mine
Betze-Post 3	5	4	1	10	Linear	Open pit mine
Vajont benchmark 63	5	5	5	15	Linear	Air pressure and cementation caused catastrophic collapse
Stromboli	1	2	2	5	Asymptotic	Volcanic context
Mount Beni 12-9	4	5	1	10	Concave	Back fracture
Mount Beni a'b'	1	3	1	5	Linear	Short time series
Mount Beni 15-13	5	3	1	9	Linear	Internal fracture
Mount Beni 34-35'	5	3	1	9	Linear	Lateral fracture, short time series
Mount Beni 45-47	2	3	1	6	Linear	Back fracture, short time series
Mount Beni 3-2	5	2	1	8	Concave	Back fracture
Mount Beni 4'-6	1	4	1	6	Linear	Back fracture, short time series
Mount Beni 24-23	4	2	1	7	Linear	lateral fracture
Mount Beni 49-24	5	1	1	7	Linear	Lateral fracture, short time series
Mount Beni 35'-36	2	5	1	8	Linear	Lateral fracture, short time series
Mount Beni 33-35'	3	3	1	7	Linear	Lateral fracture, short time series
Mount Beni 36-37	4	3	1	8	Linear	Lateral fracture
Mount Beni 19-16	2	2	1	5	Linear	Lateral fracture
Mount Beni 19-17	1	2	1	4	Linear	Lateral fracture, short time series
Mount Beni 33-34	4	2	1	7	Linear	Internal fracture
Mount Beni 43-44	3	2	1	6	Asymptotic (constant velocity at the end)	Internal fracture, short time series
Mount Beni 40-41	3	2	1	6	Asymptotic (constant velocity at the end)	Internal fracture, short time series
Mount Beni 40-42	3	3	1	7	Linear	Internal fracture, short time series
Mount Beni 45-46	3	2	2	7	Linear	Back fracture, short time series
Mount Beni 1-2	4	2	1	7	Linear	Back fracture
Cerzeto	5	5	1	11	Linear	N.A.

Rock mass failure Japan	2	2	1	5	Convex	Open pit mine, very small landslide
Asamushi	5	3	1	9	Linear	N.A.
Avran valley 5	1	2	1	4	Concave	N.A.
Avran valley 6	1	1	1	3	Asymptotic	N.A.
Avran valley 7	1	2	1	4	Concave	N.A.
Giau Pass	3	3	1	7	Asymptotic /concave	N.A.
Artificial landslide A	5	5	5	15	Convex	40° artificial slope
Artificial landslide B	2	2	3	7	Concave	40° artificial slope
Artificial landslide C	1	2	3	6	Linear (slightly convex)	40° artificial slope
Artificial landslide D	5	5	5	15	Linear	30° artificial slope

262

263 DISCUSSION

264 TABLE 2 shows how the most predictable events ($PI > 8$) can display very different features and
 265 are quite irrespective of the shape of the inverse velocity plot, the volume, the brittleness of the
 266 material, the history of the landslide and so on (see also TABLE 1).

267 A comparison between Figure 3 and TABLE 2 illustrates how the mean and standard deviation
 268 of the forecasts alone are not enough to represent the quality of predictions and, consequently,
 269 the predictability of a landslide. In fact the importance of a single forecast strongly depends on
 270 the time when it is made; for example, given the same set of forecasts ($t_{f,i}$), a higher PI is
 271 obtained if the first predictions done are the farthest from T_f while the final ones tend to converge
 272 to it; in this way the prediction plot assumes an oscillatory shape (as for S and F forecasts in
 273 Figure 1). Conversely, if the same forecasts are made with a different order so that they get
 274 closer and closer to T_f as time passes by (that is $|t_{f,i} - T_f| < |t_{f,i-1} - T_f|$), then there is no $t_{f,i}$
 275 prevailing on the others and it is not possible to define a more probable time of collapse (as for
 276 M forecasts in Figure 1). However the average and standard deviation of t_f are the same for both
 277 cases and this explains why these two statistics alone are not as informative as a prediction plot.
 278 From TABLE 2 it is also possible to assess which method gives the best results. The sum of the
 279 scores for S, F and M is 119, 115 and 63 respectively. Overall S and F perform similarly, but for
 280 a specific case study their effectiveness can be very different, therefore their result are
 281 independent and not redundant; there is no indisputable clue suggesting when F method is more
 282 performing than S and vice versa; nonetheless it appears that S is negatively influenced when the
 283 displacement curve is not regularly accelerating (Liberty Pit, Stromboli), whereas for F a few
 284 aligned points in the final tract in the inverse velocity plot are sufficient for predicting the failure;
 285 however F forecasts are more disturbed when displacement data are noisy, since they use their
 286 derivative (velocity) as input. Eventually M forecasts generally perform more poorly and rarely
 287 (i.e. artificial landslides B and C) surpass those obtained from S and F methods.

288 Interestingly, different displacement time series belonging to the same landslide can display
 289 different behaviours. This is a strong evidence that, even though the geological features do
 290 influence the predictability of a landslide, assuming that they keep the same for the whole
 291 landslide, other factors must determine the quality of the predictions. The last column of TABLE
 292 2 shows for each time series what such factors could be, such as lithology (the asymptotic trends
 293 of the cases of Avran valley and Giau Pass can be explained as consequences of a lowly brittle
 294 material according to Petley's experiments; Petley, 2004), external forces (excavation in open pit
 295 mines, volcanic activity, rainfall), local effects (structural constraints, displacement measured
 296 relative to internal or lateral fractures not representing the general instability of the landslide),

297 quality of data (length of the time series, frequency of the observations, level of noise,
298 representativeness of the monitored point) etc.

299 All these case histories show that the main responsible for the predictability of a landslide, and
300 secondary also for the presence or not of the “Saito effect”, is in a way or another connected to
301 geology. However this relation is not simple nor direct. Instead both the predictability and the
302 “Saito effect” depend on the kinematics of the landslide, since only a landslide accelerating with
303 a certain trend can be forecasted using S, F and M methods. Naturally, the kinematics in turn
304 depend on the geological conditions. In the complex relation between geology and kinematics
305 the aforementioned factors may intervene. Although their interaction may not be known, its
306 effect on displacement data can be easily measured. As a result it has been found that asymptotic
307 trends in the inverse velocity plot have been encountered also for first failure ruptures (as found
308 in some time series of Mount Beni landslide), contrarily to what is described by Petley (2004).
309 This can be explained as an effect of those interactions which may alter in an unknown way the
310 normal relation between geology and kinematics, thus making focusing on kinematics as the key
311 more reliable than relying on geology alone.

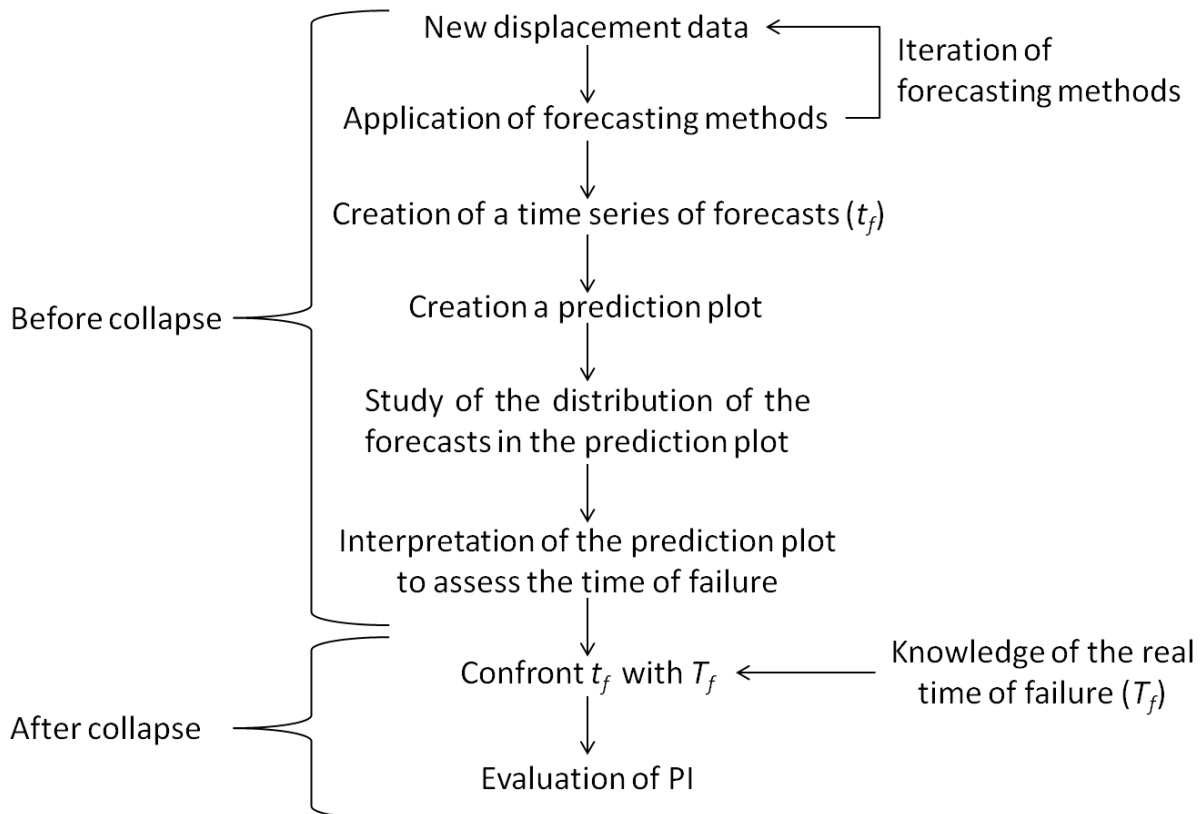
312 In fact, even though geomechanics is unquestionably a key factor, a complete geomechanical
313 characterization is often difficult to accomplish, especially in emergency situations. Hints of a
314 particular geomechanical behaviour are often derived from displacement data. Like in a black
315 box model, even if the real properties of a phenomenon are not known, conclusions may be
316 drawn from the output of those properties (i.e. the kinematics). In this case, importance has been
317 done to kinematics because what is generally measured by monitoring are displacement data.
318 Furthermore, many other unknown factors (rainfall, ground saturation, earthquakes, anthropic
319 disturbance etc.) are included in the black box model together with the geomechanics; this makes
320 it virtually impossible to know in advance what may be the degree of influence of geomechanics
321 alone with respect to other factors, thus leading to focusing on kinematics instead. Moreover,
322 even though geomechanics is a key element in determining landslide predictability (for example
323 because it is responsible for the creep behaviour), the results of the present study showed that
324 landslide prediction can be carried out with a variety of different geomechanical settings, as can
325 also be observed by comparing TABLE 1 (which furnishes evaluations concerning the
326 geomechanical properties of the case studies) with TABLE 2 (which states whether a collapse
327 was predictable or not).

328 The prediction plots clearly show that, contrarily to what is generally believed (Rose and Hungr,
329 2007), the last forecasts are not necessarily the most accurate and that past ones (starting from
330 the initiation of the tertiary creep) are essential to estimate the correct time of failure. In fact
331 older forecasts can be more accurate and in any case furnish precious information about the
332 general reliability of the final prediction, as explained above. Therefore the present study
333 highlights the importance of considering the whole set of predictions made with time. The
334 integration of more forecasting methods further raises reliability of the predictions, which is of
335 great importance for early warning systems, in particular when evacuations are envisaged.

336 Limitations of the proposed approach are those related to the intrinsic limitations of the
337 forecasting methods that have been integrated. In fact, since S, F and M methods are all based on
338 the creep theory, the occurrence of a tertiary creep phase slow enough to allow to monitor and
339 take action is necessary. Voight (1988) also assumes that there must be no external force acting
340 on the landslide, but the examples shown in this paper demonstrate that this may not represent a
341 limitation.

342 Figure 3 shows that the mean of the predictions can be used as a proxy for the time of failure but,
 343 as stated above in this paragraph, it is also shown that the obtained accuracy may not be enough
 344 as the mean does not exploits all the information provided by a prediction plot. Other statistical
 345 indicators have been attempted but none of them appeared to better approximate the value of T_f ,
 346 mainly due to the difficulty of accounting for the important time factor in the forecasts and also
 347 because not every prediction plot displays the characteristic oscillations. Therefore, the
 348 interpretation of the prediction plot (and in particular of the dispersion of the forecasts with time)
 349 represents the most valuable tool for decision makers, who, in this way, can make aware
 350 judgements informed with a large set of quantitative and redundant data and therefore assessing
 351 the “weight” of a single prediction by comparing it with many others.
 352 Resuming, the proposed methodology can be summarized as in Figure 4.

353



354

355 **Figure 4.** Flow-chart that synthesises the proposed procedure.

356

357 CONCLUSIONS

358 In conclusion, the main aspect of the proposed methodology concerns a way to produce and
 359 represent forecasting data. Then this methodology is used to assess the influence of different
 360 factors in the predictability of a landslide. The main results of such study are the following:

- 361 • Prediction plots are introduced as graphs showing the evolution of collapse forecasts with
 362 time. Such plots provide more information than simple average and standard deviation of
 363 the forecasts and improve the reliability of the final prediction.
- 364 • A predictability index (PI) has been introduced as a scoring system based on the
 365 description of the prediction plot, in order to evaluate the quality of a set of predictions.

- 366 • The predictability of a landslide depends firstly on its kinematics and then on what
367 determines it (geology, external forces, local effects etc.).
- 368 • Landslide collapses can be forecasted whether they are in highly or lowly brittle
369 materials, in rock or in earth material, of different types, with different sliding surface
370 geometries, volumes and triggers.
- 371 • Contrarily to what is generally assumed (Voight, 1988; Rose and Hungr, 2007),
372 landslides can be forecasted also with external forces acting.
- 373 • The asymptotic behaviour of the inverse velocity curve does not imply that the landslide
374 cannot be correctly forecasted, even though it can hinder the prediction.
- 375 • The asymptotic behaviour may be induced by external factors, lithology and local effects,
376 rather than only by crack propagation. In fact asymptotic trends have been found in first
377 time failures and in both brittle and lowly brittle materials. The crack propagation
378 explanation is not neglected, but it may not represent the general rule.
- 379 • Most recent displacement monitoring data increase the confidence when estimating the
380 time of failure but do not necessary provide more accurate predictions than the older ones
381 (provided that they start from after the initiation of the tertiary creep).
- 382 • The developed approach integrates more forecasting methods to further improve the
383 reliability of the prediction.

384

385 **AUTHOR CONTRIBUTION**

386 E. Intriери developed the idea and performed the analyses. G. Gigli supervised and improved the
387 manuscript.

388

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393

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