#### Of reliable landslide forecasting and factors influencing 1

## 2

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#### 9 ABSTRACT

- 10 Forecasting a catastrophic collapse is a key element in landslide risk reduction, but also a very
- 11 difficult task, owing to the scientific difficulties in predicting a complex natural event and also to
- 12 the severe social repercussions caused by a false or a missed alarm. A prediction is always
- 13 affected by a certain error, however when this error can imply evacuations or other severe
- 14 consequences a high reliability in the forecast is, at least, desirable.
- 15 In order to increase the confidence of predictions, a new methodology is here presented.
- 16 Differently from traditional approaches, it iteratively applies several forecasting methods based
- 17 on displacement data and, also thanks to an innovative data representation, gives a valuation of
- 18 how the prediction is reliable. This approach has been employed to back-analyse 15 landslide
- 19 collapses. By introducing a predictability index, this study also contributes to the understanding
- 20 of how geology and other factors influence the possibility to forecast a slope failure. The results
- 21 showed how kinematics, and all the factors influencing it such as geomechanics, rainfall and
- 22 other external agents, is the key feature when concerning landslide predictability.
- 23 Keywords: landslides; forecasting; geomechanics; early warning; time of failure; slope failure
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#### 25 INTRODUCTION

- 26 Natural disaster forecasting for early warning purposes is a field of study that drew the media
- attention after events such as the  $26^{th}$  December 2004 tsunami of Sumatra. Predicting landslides,
- 28 with respect to other natural hazards, is a complex task due to the influence of many factors like
- 29 geomechanical properties, rainfall, ground saturation, topography, earthquakes and many others.
- 30 So far, few empirical landslide forecasting methods exist (Azimi et al., 1988; Fukuzono, 1985a;
- 31 Mufundirwa et al., 2010; Saito, 1969; Voight et al., 1988) and none furnishes a reliability degree
- 32 about the prediction, making them unsuitable for decision making. In particular when mentioning
- 33 geomechanics we particularly refer to the study of the behaviour of a landslide concerning its
- 34 deformation with relation to the applied stress, with particular reference to its post-rupture
- 35 conditions.
- 36 In our research we present an approach to perform probabilistic forecasting of landslides
- 37 collapse. This has been achieved by reiterating several predictions using more forecasting
- 38 methods at the same time on multiple time series. This approach may have important
- 39 applications to civil protection purposes as it provides the decision makers with a level of
- 40 confidence about the prediction. Furthermore, this study, performed on 15 different case studies,
- 41 shows how the possibility or not to forecast the time of collapse of a landslide is affected by
- 42 geomechanical or geomorphological features as much as by circumstantial conditions.

#### 43 The inverse velocity forecasting method

- 44 Forecasting activity can be considered the fulcrum of early warning systems (Intrieri et al.,
- 45 2013), i.e. cost-effective tools for mitigating risks by moving the elements at risk away. For
- 46 many natural phenomena forecasting is common practice (for example for hurricanes;
- 47 Willoughby et al., 2007), while for others is, at present, impossible (earthquakes; Jordan et al.,
- 48 2011). Landslides lie in between. Their prediction can be performed through rainfall thresholds
- 49 (Baum and Godt, 2010), but a more reliable approach should make use of direct measures of
- 50 potential instability, such as displacements (Lacasse and Nadim, 2010; Blikra, 2008). A first
- 51 issue is that only a small percentage of landslides in the world is appropriately monitored, that
- 52 often monitoring is carried out for short periods not encompassing the final pre-failure stages, or
- 53 may have been carried out with a too low temporal frequency that does not permit to follow the

- 54 displacement trend. This also causes an insufficient knowledge of the geomechanical processes
- 55 leading to failure, which is another responsible for our deficiencies in predicting landslides.
- 56 In spite of this, few empirical methods for predicting the time of failure based on movement
- 57 monitoring data have been developed (Azimi et al., 1988; Fukuzono, 1985a; Mufundirwa et al.,
- 58 2010; Saito, 1969) and further investigated on a physical basis (Voight et al., 1988). They are all
- 59 based on the hypothesis that if a landslide follows a peculiar time-dependant geomechanical
- 60 behaviour (called creep; Dusseault and Fordham, 1994), it will display a hyperbolic acceleration
- of displacements before failure; by extrapolating this trend from a displacement time series
   through empirical arguments, it is possible to obtain the predicted time of failure. However such
- 63 methods do not always produce good results. In fact, other than the limitation of working only
- 64 with creep behaviours, sometimes the tertiary creep can evolve such rapidly that a sufficient lead
- 65 time is simply not possible (IEEIRP, 2015). In other cases natural or instrumental noise can
- 66 hamper the predictions and require further data treatment to allow for effective warnings (Carlà
- 67 et al., 2016). Other authors also contributed to methodologies to exploit such methods (Crosta
- and Agliardi, 2003; Dick et al., 2015; Manconi and Giordan, 2015).
- 69 One of the most famous methods is Fukuzono's (1985a), which derives from Saito's (1969),
- 70 from here on simply called F and S method, respectively. It requires that during the acceleration
- 71 typical of the final stage of the creep (tertiary creep), the inverse of displacement velocity  $(v^{-1})$
- 72 decreases with time. The collapse is forecasted to occur when the extrapolated line reaches the
- abscissa axis (corresponding to a theoretical infinite velocity). Such line may either be convex,
- straight or concave (Fukuzono, 1985a). When it is straight this phenomenon is sometimes
- referred to as Saito effect (Petley et al., 2008).
- 76 The possibility to find landslides showing the Saito effect has been related to the mechanical
- properties of the sliding mass. However there is no general consensus on this issue.
- According to some authors (Petley, 2004; Petley et al., 2002), in order to display the Saito effect,
- 79 landslides need to display a brittle behaviour (which indicates a drop from peak strength to
- 80 residual strength value, deformation which is concentrated along a well defined shear surface,
- 81 sudden movements and catastrophic failure, usually associated with crack formation in strong
- 82 rocks); furthermore only brittle, intact rocks evolve in catastrophic landslides and therefore can
- 83 be predicted; for others (Rose and Hungr, 2007), on the opposite, landslides displaying the Saito
- 84 effect must have ductile failures in order to be forecasted (i.e. slower, indefinite deformation
- 85 along a shear zone and under a constant stress, typical of sliding on pre-existing surfaces of soft
- 86 rocks), as brittleness is characterized by sudden, impossible to anticipate, ruptures.
- 87 This complex subject is made even more difficult due to the influence of external factors
- 88 (rainfall, earthquakes, excavations), structural constraints (joints, faults, contacts with different
- 89 lithologies) and sometimes unknown elements within the mass (the conditions of the shear
- 90 surface, the history of the landslide, the presence of rock bridges). Therefore it is often hard to
- 91 establish the mechanical behaviour and even more to find an exact correlation between the
- 92 mechanical behaviour of a landslide and the possibility to predict its failure.

#### 93 The concept of predictability

- 94 Before assessing the influence of geomechanics on the predictability of a landslide it is first
- 95 necessary to address the concept of predictability.
- 96 In literature (Azimi et al., 1988; Hutchinson, 2001; Mufundirwa et al., 2010; Rose and Hungr,
- 97 2007) there are papers that deal with "predictions" made in retrospect, that is thorough post-
- 98 event analyses showing the signs of a critical pre-collapse acceleration; however whether such

- signs would have been unambiguous or would have granted a sufficient lead time is often
- 100 neglected.
- 101 On the other hand in our research we consider an operational definition of predictability
- 102 (integrating the one of early warning system; UNISDR, 2009) as the feature possessed by a
- 103 landslide which allows one to forecast its collapse with reasonable confidence and sufficiently in
- advance, permitting the dispatch of meaningful warning information to enable individuals,
- 105 communities and organizations threatened by the hazard to prepare and to act appropriately and
- 106 in sufficient time to reduce the possibility of harm or loss. Therefore, displaying the Saito effect
- 107 is not the only prerequisite for an operational prediction, there is also the need for repeated time
- 108 of failure forecasts fluctuating around a constant time value placed not too close in the future.
- 109 This has been achieved through the reiterative approach and the graphical representation
- 110 described in the following paragraph.

## 111 METHODS

- 112 The usual way to apply landslide forecasting methods based on displacements, is to obtain a
- 113 single predicted time of failure  $(t_f)$  and to update such prediction as soon as new data are
- 114 gathered (Rose and Hungr, 2007). This is a deterministic approach, since the real time of failure
- 115  $(T_f)$  is predicted through a single inference. At most more predictions can be made in the future
- 116 but usually only one (the most recent) is used.
- 117 On the other hand, in order to account for the uncertainty of the methods and complexity of the
- 118 phenomena, predictions should have a certain confidence (for example given by the standard
- 119 deviation of  $t_f$ ). This is especially important for operative early warning systems. We achieved
- 120 this probabilistic approach by reiterating the equations from Saito (1969), Fukuzono (1985a) and
- 121 Mufundirwa et al. (2010) (the latter method will be called M method from here on) for finding  $t_{f}$ ,
- 122 using continuously new data and enabling the calculation of the standard deviation.
- 123 The predictions are plotted versus the time when they have been made (time of prediction,  $t_p$ ).
- We call these diagrams prediction plots (Figure 1). A prediction is considered reliable when the
- 125 inferences oscillate around the same  $t_f$ . Figure 1 also shows that since reliable predictions usually
- display an oscillatory trend, the most updated one is not necessarily the most accurate, contrarily to what is usually believed (Rose and Hungr, 2007) in fact, the length of the dataset is more
- 127 to what is usually believed (Rose and Hungi, 2007) in fact, the length of the dataset is more 128 important, from which  $T_f$  can be estimated through simple statistical analyses (like mean and
- 129 standard deviation).
- 130 Since in some cases a single forecasting method can fail to give satisfactory results, in order to
- 131 improve even more the confidence in the predictions, a multi-model approach is adopted together
- 132 with the probabilistic approach. In fact, according to the Diversity Prediction Theorem (Page,
- 133 2007; Hong and Page, 2008), diversity in predictive models reduces collective error. The highest
- 134 confidence, of course, is reached when all the employed method independently converge towards
- the same result. For this research we confronted the results from S and F methods and from the
- 136 method by Mufundirwa et al. (2010). The equations used for the iteration are obtained from the
- 137 respective authors and are:

$$t_r = \frac{t_2^2 - (t_1 \cdot t_3)}{2t_2 - (t_1 + t_3)}$$
(1)

- 139 for S method, where  $t_1$ ,  $t_2$ ,  $t_3$  are times taken so that the displacement occurred between  $t_1$  and  $t_2$
- 140 is the same as between  $t_2$  and  $t_3$ .

$$t_r = \frac{t_2 \frac{1}{v_1} - t_1 \frac{1}{v_2}}{\frac{1}{v_1} - \frac{1}{v_2}}, (2)$$

142 for F method, where  $v_1$  and  $v_2$  are the velocities at arbitrary times  $t_1$  and  $t_2$ .

143  $t\frac{dD}{dt} = t_r \frac{dD}{dt} - B_{,(3)}$ 

144 for M method, where D is the displacement and  $t_r$  is the angular coefficient of the line

- 145 represented in a  $t \frac{dD}{dt} = f \left(\frac{dD}{dt}\right)$  space having *B* as the intercept.
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Figure 1. This graph represents probabilistic predictions performed with 3 different forecasting 148 methods (Fukuzono, 1985a; Mufundirwa et al., 2010; Saito, 1969) applied to the MB34-35' 149 150 displacement time series of Mount Beni landslide (Gigli et al., 2011). The horizontal dashed line 151 indicates the observed time of failure  $(T_f)$  and the grey diagonal line the equality between  $t_f$  and  $t_p$ . Therefore the vertical distance between a point and the dashed line indicates the prediction 152 153 error. The vertical distance between the diagonal line and a prediction above it is the life expectancy of the landslide at the time of prediction. In this case the predictions obtained through 154 155 S and F methods give a good estimation of  $T_{f}$ , while the one from Mufundirwa et al. (2010) 156 consistently forecasts the collapse few days ahead.

#### 157 TIME OF FAILURE PREDICTION

- 158 In order to find a relation between the predictability of a failure and the geological features of the
- 159 landslide, S, F and M methods have been applied to a number of different real case studies. Some
- 160 geological features of interest relative to such cases are reported in TABLE 1, when they were
- 161 known or applicable. Concerning brittleness, since it was rarely explicitly stated in the
- 162 referenced articles, it was assessed based on information such as the type of material, the
- 163 presence of a reactivated landslide, the weathering and the shape of the displacement time series.
- 164 Since this lead to approximations, brittleness has been evaluated using broad and qualitative
- 165 definitions.
- 166 Since  $T_f$  must be known in order to assess the quality of predictions, all the case studies are from
- 167 past landslides that have already failed. Therefore the respective time of failures are all a
- 168 posteriori known.
- 169 A few representative examples of prediction plots are showed in Figure 1 and Figure 2. Mount
- 170 Beni landslide is a 500.000 m<sup>3</sup> topple that evolved as a rockslide (Gigli et al., 2011). It developed
- 171 on a slope object of quarrying activity. The predictions oscillate quite regularly around the
- 172 observed time of failure ( $T_f$ , dashed line in Figure 2). It is this convergence that permits to
- 173 correctly forecast the collapse a priori at least since late November, i.e. a month before the
- failure. The three methods are similar to the point that S and F previsions can be partially
- 175 overlapped. M previsions overlap as well but only in the final part. The M method alone would
- 176 not be sufficient for spreading a reliable alarm as the single forecasts do not converge but move
- 177 forward to a different time of failure as the time passes by.
- 178 Similar behaviours can be observed also for the cases of Figure 2 that display landslides with a
- 179 different array of geological features (as seen in TABLE 1). The best results are obtained when
- 180 the forecasts oscillate around  $T_f$  with sufficient time in advance (as for Vajont and, limited to F
- 181 method, for Liberty Pit) or when they consistently give the similar  $t_f$  (as for the artificial
- 182 landslide E, where the terms "artificial landslide" indicate a landslide recreated in laboratory
- 183 with an artificial slope). In other cases (Avran valley and, limited to S and M method, for Liberty
- 184 Pit) the predictions are too scattered or simply never converge toward a single result, thus
- 185 making it impossible to foresee a reliable time of failure.
- 186
- 187 The results of the prediction plots can be roughly summarized reporting the mean and standard 188 deviation of the forecasts for each method (Figure 3).

Name	Material	Туре	Brittleness	Volume (m <sup>3</sup> )	Predisposing factor	Trigger	History	Basal	Ref. *
Liberty Pit	Weathered quartz monzonite	Rockslide?	Medium/high	6x10 <sup>6</sup>	N.D.	Blasts, pore water pressure	First time failure	Planar?	1, 2
Landslide in mine	Consolidated alluvial sediments, weathered bedrock	Deep-seated toppling in bedrock	Medium	10 <sup>6</sup>	Blasts, pore water pressure	N.D.	First time failure?	N.D.	1
Betze-Post	Weathered granodiorite	Rockslide?	Medium/high	2x10 <sup>6</sup>	N.D.	Rainfall	First time failure?	Wedge intersections?	1
Vajont	limestone and clay	Rock slide	High	2.7x10 <sup>8</sup>	N.D.	Pore water pressure	Reactivated	Concave	1,3
Stromboli †	Shoshonitic basalts	Bulging (not a landslide)	Medium/high	N.D.	N.D.	Sill intrusion	First time failure	N.D.	4

## TABLE 1. LANDSLIDE CASE HISTORIES

Monte Beni	Beni Ophiolitic Topple/rock breccias slide		High	5x10 <sup>5</sup>	Rainfall, structure, basal	N.D.	First time failure	Stepped	5
Cerzeto	Weathered metamorphic rocks on top, cataclastic zone and Pliocene clays	Debris slide- earth flow	Medium/low	5x10 <sup>6</sup>	Tectonized area, permeability differences	Prolonged rainfalls	Reactivated ?	Compound (steeper and irregular in the upper zone and gentler in the clays	6
Rock mass failure Japan	Clayey limestone	Rockslide?	High (within limestone)?	5x10 <sup>2</sup>	"Structural complexity" (?)	Intense rainfall	First time failure?	Planar?	7
Asamushi	Liparitic tuff, jointed and weathered. Clay in the joints		Medium/low	10 <sup>5</sup>	N.D.	N.D.	N.D.	Concave?	7,8
Avran valley	Chalk	Rockslide	Medium/low	8x10 <sup>4</sup>	N.D.	N.D.	First time failure?	Convex	9
Giau Pass	Morainic material	Complex slide	Medium/low	5x10 <sup>5</sup>	N.D.	Pore water pressure	Preexisting shear surface	Composite	10, 11
Artificial landslide A	Loam	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Planar	12
Artificial landslide B	Sand	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Planar	12
Artificial landslide C	Sand	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Convex	12
Artificial landslide D	Sand	Earth slide	Low	N.D.	N.D.	Prolonged rainfall	First time failure	Planar	12

\*The references used are numbered as follows: 1: Rose and Hungr, 2007; 2: Zavodni and Broadbent, 1980; 3: Semenza and Melidoro, 1992; 4: Casagli et al., 2009; 5: Gigli et al., 2011; 6: Iovine et al., 2006; 7: Mufundirwa et al., 2010; 8: Saito, 1969; 9: Azimi et al., 1988; 10: Petley et al., 2002; 11: Angeli et al., 1989; 12: Fukuzono, 1985b.

The case of Stromboli is not relative to a landslide, rather to a volcanic bulging preceding a vent opening that was forecasted in a similar fashion of a landslide and therefore here included.

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Figure 2. These graphs show how iterating forecasts performed through multiple forecasting methods increases the confidence when estimating the actual time of failure ( $T_f$ , dashed line). 193 194 The crosses represent forecasts performed with S method, the triangles with F method and the 195 diamonds with M method. Note that F forecasts for Avran valley landslide include other less 196 accurate values not showed in the graph as they are out of scale.



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**Figure 3.** This graph represents for each method the differential between the mean of the forecasts ( $\bar{t}_f$ ) and the actual time of failure ( $T_f$ ). Negative values are safe predictions as anticipate the time of failure. The dashed line represents exact predictions ( $T_f - \bar{t}_f = 0$ ). The standard deviations of the forecasts are represented as error bars. For Betze-Post and Mount Beni landslides, time series from different measuring points are reported. The rock mass failure, Asamushi landslide and the artificial landslides are not shown as were monitored in a different time scale (hours or minutes).

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#### 207 **PREDICTABILITY INDEX**

208 In order to evaluate the performance of S, F and M methods and to relate it to the characteristics 209 of the reported examples, an arbitrary scoring system has been implemented and attributed to 210 each prediction plot (considering that every time series has a prediction plot for each forecasting 211 method and that for some case studies more than one time series was available). This permits to 212 quantify the predictability of a collapse based on the prediction plot. A score from 1 to 5 has 213 been assigned according to the following criteria: 1 point: the prediction plot never converges on a single  $t_f$  (typically  $t_f$  increases at every 214 215 new datum available).

- 2 points: the predictions vary considerably at every new iteration. An average time of failure  $(\bar{t}_f)$  can be extracted but with high uncertainty.
- 3 points: the predictions oscillate around  $T_{f}$ , although with a certain variance.
- 4 points: the predictions have a low variance although  $\bar{t}_f$  is slightly different than  $T_f$ . Note that when the variance was low,  $\bar{t}_f$  and  $T_f$  never differed greatly.

• 5 points: the prediction plot is clearly centred on  $T_f$  therefore the reliability of  $\bar{t}_f$  is high.

By summing the scores obtained from S, F and M prediction for each time series, what we call the Predictability Index (*PI*) is obtained (TABLE 2). Since PI is a means to evaluate the overall quality of a set of predictions (it requires to observe the time series of  $t_f$  and confront it with  $T_f$ , it is the predictability index) and also to compare the performance of different forecasting methods with different case studies, naturally it can only be estimated after the collapse.

- By using 3 forecasting methods, *PI* ranges from 3 (impossible to predict the time of failure) to 15
- 228 (the time of failure can be predicted in advance and with a high reliability). Though a certain
- degree of subjectivity is unavoidable when assigning the scores, what matters here is the relative
- 230 difference of *PI* between the case studies. In such a way it is possible to understand in which
- 231 conditions a landslide is more or less predictable.

Name	S	F	М	PI	Inverse velocity trend	Notes
Liberty Pit	1	5	1	7	Asymptotic (linear at the end)	Open pit mine, structural control of 2 intersecting faults
Landslide in mine	5	5	5	15	Linear	Open pit mine
Betze-Post 1	3	3	1	7	Linear	Open pit mine
Betze-Post 2	4	5	4	13	Linear	Open pit mine
Betze-Post 3	5	4	1	10	Linear	Open pit mine
Vajont benchmark 63	5	5	5	15	Linear	Air pressure and cementation caused catastrophic collapse
Stromboli	1	2	2	5	Asymptotic	Volcanic context
Mount Beni 12-9	4	5	1	10	Concave	Back fracture
Mount Beni a'b'	1	3	1	5	Linear	Short time series
Mount Beni 15-13	5	3	1	9	Linear	Internal fracture
Mount Beni 34-35'	5	3	1	9	Linear	Lateral fracture, short time series
Mount Beni 45-47	2	3	1	6	Linear	Back fracture, short time series
Mount Beni 3-2	5	2	1	8	Concave	Back fracture
Mount Beni 4'-6	1	4	1	6	Linear	Back fracture, short time series
Mount Beni 24-23	4	2	1	7	Linear	lateral fracture
Mount Beni 49-24	5	1	1	7	Linear	Lateral fracture, short time series
Mount Beni 35'-36	2	5	1	8	Linear	Lateral fracture, short time series
Mount Beni 33-35'	3	3	1	7	Linear	Lateral fracture, short time series
Mount Beni 36-37	4	3	1	8	Linear	Lateral fracture
Mount Beni 19-16	2	2	1	5	Linear	Lateral fracture
Mount Beni 19-17	1	2	1	4	Linear	Lateral fracture, short time series
Mount Beni 33-34	4	2	1	7	Linear	Internal fracture
Mount Beni 43-44	3	2	1	6	Asymptotic (constant velocity at the end)	Internal fracture, short time series
Mount Beni 40-41	3	2	1	6	Asymptotic (constant velocity at the end)	Internal fracture, short time series
Mount Beni 40-42	3	3	1	7	Linear	Internal fracture, short time series
Mount Beni 45-46	3	2	2	7	Linear	Back fracture, short time series
Mount Beni 1-2	4	2	1	7	Linear	Back fracture
Cerzeto	5	5	1	11	Linear	N.A.

### TABLE 2. PREDICTABILITY INDEX

Rock mass failure Japan	2	2	1	5	Convex	Open pit mine, very small landslide
Asamushi	5	3	1	9	Linear	N.A.
Avran valley 5	1	2	1	4	Concave	N.A.
Avran valley 6	1	1	1	3	Asymptotic	N.A.
Avran valley 7	1	2	1	4	Concave	N.A.
Giau Pass	3	3	1	7	Asymptotic /concave	N.A.
Artificial landslide A	5	5	5	15	Convex	40° artificial slope
Artificial landslide B	2	2	3	7	Concave	40° artificial slope
Artificial landslide C	1	2	3	6	Linear (slightly convex)	40° artificial slope
Artificial landslide D	tificial landslide D 5 5 5 15 Linear		30° artificial slope			

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#### 233 **DISCUSSION**

TABLE 2 shows how the most predictable events (PI > 8) can display very different features and are quite irrespective of the shape of the inverse velocity plot, the volume, the brittleness of the

236 material, the history of the landslide and so on (see also TABLE 1).

237 A comparison between Figure 3 and TABLE 2 illustrates how the mean and standard deviation

of the forecasts alone are not enough to represent the quality of predictions and, consequently,

- the predictability of a landslide. In fact the importance of a single forecast strongly depends on
- 240 the time when it is made; for example, given the same set of forecasts  $(t_{f,i})$ , a higher PI is
- obtained if the first predictions done are the farthest from  $T_f$  while the final ones tend to converge
- to it; in this way the prediction plot assumes an oscillatory shape (as for S and F forecasts in
   Figure 1). Conversely, if the same forecasts are made with a different order so that they get
- closer and closer to  $T_f$  as time passes by (that is  $|t_{f,i} T_f| < |t_{f,i-1} T_f|$ ), then there is no  $t_{f,i}$

prevailing on the others and it is not possible to define a more probable time of collapse (as for

246 M forecasts in Figure 1). However the average and standard deviation of  $t_f$  are the same for both

cases and this explains why these two statistics alone are not as informative as a prediction plot.
From TABLE 2 it is also possible to assess which method gives the best results. The sum of the

scores for S, F and M is 119, 115 and 63 respectively. Overall S and F perform similarly, but for

a specific case study their effectiveness can be very different, therefore their result are

- 251 independent and not redundant; there is no indisputable clue suggesting when F method is more
- 252 performing than S and vice versa; nonetheless it appears that S is negatively influenced when the
- displacement curve is not regularly accelerating (Liberty Pit, Stromboli), whereas for F a few aligned points in the final tract in the inverse velocity plot are sufficient for predicting the failure
- aligned points in the final tract in the inverse velocity plot are sufficient for predicting the failure; however F forecasts are more disturbed when displacement data are noisy, since they use their

derivative (velocity) as input. Eventually M forecasts generally perform more poorly and rarely

257 (i.e. artificial landslides B and C) surpass those obtained from S and F methods.

258 Interestingly, different displacement time series belonging to the same landslide can display

259 different behaviours. This is a strong evidence that, even though the geological features do

influence the predictability of a landslide, assuming that they keep the same for the whole

landslide, other factors must determine the quality of the predictions. The last column of TABLE

262 2 shows for each time series what such factors could be, such as lithology (the asymptotic trends

263 of the cases of Avran valley and Giau Pass can be explained as consequences of a lowly brittle

264 material according to Petley's experiments; Petley, 2004), external forces (excavation in open pit

265 mines, volcanic activity, rainfall), local effects (structural constraints, displacement measured

relative to internal or lateral fractures not representing the general instability of the landslide),

267 quality of data (length of the time series, frequency of the observations, level of noise,

- 268 representativeness of the monitored point) etc.
- All these case histories show that the main responsible for the predictability of a landslide, and
- secondary also for the presence or not of the "Saito effect", is connected to geology but not
- simply and directly. Instead both depend on the kinematics of the landslide, which in turn
- depends on the geological conditions. In the complex relation between geology and kinematics
- the aforementioned factors may intervene and asymptotic trends in the inverse velocity plot have
- been encountered also for first failure ruptures (as found in some time series of Mount Benilandslide).
- 276 In other words, even though geomechanics is unquestionably a key factor, it is sometimes
- 277 difficult to have a deep knowledge of the geomechanical features of a landslide, especially in the
- field and in emergency situations, although some safe assumptions can always been done by
- 279 observation and a broad knowledge of the area. What it may be known about them is in part
- thanks to what is derived from displacement data. Like in a black box model, even if the real
- 281 properties of a phenomenon are not known, we can draw conclusions from the output of those
- 282 properties (i.e. the kinematics). In this case, importance has been done to kinematics because
- 283 what is generally measured by monitoring are displacement data and because many other
- unknown factors (rainfall, ground saturation, earthquakes, anthropic disturbance) are included in
- the black box together with the geomechanics; this makes it virtually impossible to know in
- advance what may be the degree of influence of geomechanics alone with respect to other
- factors, thus leading to focusing on kinematics instead. Moreover, even though geomechanics is a key element (for example because it is responsible for the creep behaviour), we showed that
- landslide prediction can be carried out with a variety of different geomechanical settings.
- Finally, the prediction plots clearly show that, contrarily to what is generally believed (Rose and
- Hungr, 2007), the last forecasts are not necessarily the most accurate and that past ones (starting
- from the initiation of the tertiary creep) are essential to estimate the correct time of failure. In
- fact older forecasts can be more accurate and in any case furnish precious information about the
- 294 general reliability of the final prediction, as explained above. Therefore the present study
- 295 highlights the importance of considering the whole set of predictions made with time. The
- integration of more forecasting methods further raises reliability of the predictions, which is of
- 297 great importance for early warning systems, in particular when evacuations are envisaged.
- 298 Limitations of the proposed approach are those related to the intrinsic limitations of the
- 299 forecasting methods that have been integrated. In fact, since S, F and M methods are all based on
- 300 the creep theory, the occurrence of a tertiary creep phase slow enough to allow to monitor and
- take action is necessary. Voight (1988) also assumes that there must be no external force acting

302 on the landslide, but the examples shown in this paper demonstrate that this may not represent a

- 303 limitation.
- Resuming, the proposed methodology can be summarized as in **Figure** 4.



**Figure 4.** Flow-chart that synthesises the proposed procedure.

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#### 308 CONCLUSIONS

309 In conclusion, the results of the study are the following:

- Prediction plots are introduced as graphs showing the evolution of collapse forecasts with
   time. Such plots provide more information than simple average and standard deviation of
   the forecasts and improve the reliability of the final prediction.
- A predictability index (*PI*) has been introduced as a scoring system based on the description of the prediction plot, in order to evaluate the quality of a set of predictions.
  - The predictability of a landslide depends firstly on its kinematics and then on what determines it (geology, external forces, local effects etc.).
- Landslide collapses can be forecasted whether they are in highly or lowly brittle
   materials, in rock or in earth material, of different types, with different sliding surface
   geometries, volumes and triggers.
- Contrarily to what is generally assumed (Voight, 1988; Rose and Hungr, 2007),
   landslides can be forecasted also with external forces acting.
- The asymptotic behaviour of the inverse velocity curve does not imply that the landslide cannot be correctly forecasted, even though it can hinder the prediction.
- The asymptotic behaviour may be induced by external factors, lithology and local effects,
   rather than only by crack propagation. In fact asymptotic trends have been found in first
   time failures and in both brittle and lowly brittle materials. The crack propagation
   explanation is not neglected, but it may not represent the general rule.

- Most recent displacement monitoring data increase the confidence when estimating the
- time of failure but do not necessary provide more accurate predictions than the older ones
  (provided that they start from after the initiation of the tertiary creep).
- The developed approach integrates more forecasting methods to further improve the reliability of the prediction.
- 333

## 334 AUTHOR CONTRIBUTION

- E. Intrieri developed the idea and performed the analyses. G. Gigli supervised and improved the manuscript.
- 337

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- 342

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