



# 1 The catastrophic landfill flowslide at Hongao dumpsite on December 20, 2015 in Shenzhen, China

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6 **Abstract** A catastrophic flowslide occurred at Hongao dumpsite at approximately 11:40 AM on Dec 20,  
7 2015, in Guangming New District of Shenzhen, China. The flowslide damaged 33 buildings and caused  
8 total death toll of ~~69 and 8 missing~~, with the absence of extreme weather condition and seismic activity.  
9 The slope failure was analyzed on the basis of multi-temporal remote sensing images, site investigation, *in-*  
10 *situ* tests, laboratory experiment, and numerical analysis. The preliminary results showed that the volume  
11 of the flowslide deposit was ~~2.32×10<sup>6</sup> m<sup>3</sup>~~ and the volume of dumpsite filling was ~~6.27×10<sup>6</sup> m<sup>3</sup>~~ at the time  
12 of the event, ~~which is three times larger than the maximum design capacity~~. The flowslide had the  
13 characteristics of high travel speed and long run-out distance, of which the displaced material was primarily  
14 silty soil from nearby construction sites with high moisture content. The primary causes of the failure were  
15 concluded as follow: (1) The dumpsite stagnated groundwater flow in the study area, which resulted in the  
16 saturation of the waste filling and high pore water pressure due to the underlying impermeable granite  
17 stratum; (2) The accumulation rate and total volume of the waste filling was in exceedance of the design  
18 capacity. The slope failure may be ascribed to excess pore water pressure as liquefaction were observed at  
19 many locations and it is postulated that such phenomena was related to the surcharge loads imposed by the  
20 unregulated disposal activities.

21 **Keywords** Flowslide, Landslide, Municipal Solid Waste (MSW), Dumpsite, Quarry, Landfill

## 22 1 Introduction

23 Owing to the rapid population growth and industrialization, increase in the accumulation rate of municipal  
24 solid waste (MSW) poses challenges in MSW management and urban planning (Huang and Cheng 2016).  
25 Landfilling is the most common method of MSW disposal management (Brunner and Fellner 2007; Huang  
26 and Cheng 2016). Transformation of an abandoned quarry to a MSW dumpsite is a general approach as it  
27 conserves resources by reclaiming the quarry space and provides MSW storage solution (Zou 2016). The  
28 landfill slope stability is critical in MSW management, and therefore, the selection and design of landfills  
29 require engineering assessment on slope stability and environment impact (Zou 2016).

30 The porosity and moisture content of MSW is typically high in unregulated landfills due to inadequate  
31 drainage system. Therefore, the residual soils in MSW may exhibit fluid flow behave, i.e. flow slide, with  
32 extremely high mobility in the event of a slope failure (Dai et al 2016; Huang and Cheng 2016). Study on  
33 the landfill slope failure in MSW dumpsite can be found in previous literatures, of which 6 reported cases  
34 between 1993 and 2005 resulted in around 500 deaths and significant properties loss (Mitchell et al 1990;  
35 Kjeldsen and Fischer 1995; Kocasoy and Curi 1995; Eid et al 2000; Blight and Fourie 2005; Merry et al  
36 2005; Yilmaz and Atmaca 2006; Blight 2008). The deadliest event in history killed 278 people in Manila,  
37 Philippines in 2000, and the second deadliest event buried 71 houses and killed 143 people on Feb 21, 2005  
38 at Leuwigajah dumpsite near Bandung, Indonesia, (Lavigne et al 2014).

39 Shear strength of MSW is a function of various parameters, such as waste type and composition, disposal  
40 rate, moisture contents, overburden pressure, and compaction (Eid et al 2000; Huvaj-Sarihan and Stark  
41 2008). The movement of waste avalanches are complex and still poorly known (Lavigne et al 2014) due to  
42 the lack of field monitoring data. The existing studies have focused on empirical methods (e.g. Blight and  
43 Fourie 2005; Srour 2011), laboratory experiment, and numerical analysis. An approach was presented to  
44 estimate the maximum flow velocity of MSW slope failure based on energy balance (Blight and Fourie  
45 2005). The empirical-statistical method still has widespread use in practical applications, but the accuracy  
46 is often model dependent (Dai et al 2016; Huang and Cheng 2016). The mixture of peat, kaolinite, and  
47 quartz sand were used in laboratory tests to simulate MSW landslide, of which the results shown that, with



48 increased moisture content of the MSW, the mobility increases while the maximum and final flow depth  
49 decrease (Dai et al 2016). Numerical simulation has been widely used in the landfill slope stability analysis  
50 (Chang 2002; Chang 2005; Chugh et al 2007; Chen and Yuan 2009; Huang et al 2013; Huang and Cheng  
51 2016). The Smoothed Particle Hydrodynamics (SPH) method was used for run-out distance analysis of two  
52 typical landfill flow slides occurred in Sarajevo and Bandung (Huang and Cheng 2016).

53 A MSW slope failure occurred at Hongao dumpsite, Guangming New District of Shenzhen, China at 11:40  
54 AM on Dec 20, 2015, which destroyed 33 buildings and accommodations in the adjacent industrial park,  
55 and resulted in death of 69 with 8 missing as of Jan 12, 2016. No extreme weather conditions or seismic  
56 activity were reported at the time of the event. This article aims at investigating the characteristics and  
57 causes of the flowslide. Field investigation, *in-situ* test, UAV stereo-measurements, and remote sensing  
58 image interpretation were applied to recover material properties and landform characteristics of the study  
59 area. Laboratory experiment and numerical analysis were performed to improve understanding on the  
60 failure process.

## 61 **2 Data and Methodology**

### 62 **2.1 Satellite Images, Topographic Map, and Aerial Photo**

63 A series of high resolution satellite images was identified on Google Earth between Nov 2002 and Feb  
64 2016. A Pleiades remote sensing image of the study area obtained on Dec 18, 2015 (2 days before the event)  
65 was used to facilitate the analysis (Fig. 1a). Topography map of the pre-disposal site was provided by the  
66 local government, and the drawing was submitted by a third-party consulting firm in Dec 2013. According  
67 to the project report, the designed waste filling storage of was  $2 \times 10^6$  m<sup>3</sup> with 10 slope benches at a ratio of  
68 1:2.5. Aerial photos with resolution of 5 cm were collected by an Unmanned Aerial Vehicle (UAV) 3 days  
69 after the flowslide (Fig. 1b). The Digital Orthoimage Map (DOM) and Digital Surface Model (DSM) were  
70 reconstructed from the aerial photos, and a topographic map for the post-sliding dumpsite was generated  
71 from the DSM at the scale of 1:1000. As shown in the Pleiades image taken (Fig. 1a), a structured terrain  
72 for vehicles was excavated for around the pre-sliding dumpsite consisting of 8 benches and 3 platforms.  
73 The topographic map for the pre-sliding dumpsite was derived by overlaying the image of the original, pre-  
74 sliding, and post-sliding slopes, which provided estimation on the volume and depth of the pre-/post-sliding  
75 landfill.

### 76 **2.2 Field Investigation and *in-situ* Tests**

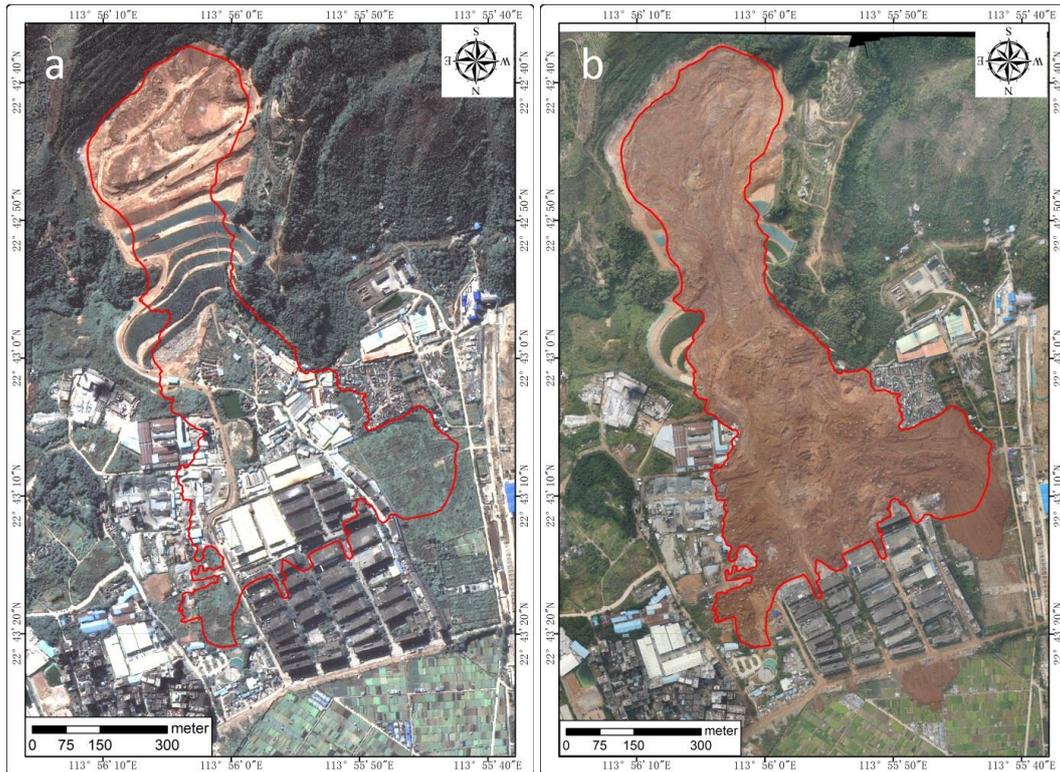
77 The first field investigation was conducted on Dec 23, 2015 and second investigation was deployed between  
78 Jan 21 and Jan 25, 2016. Field investigations assessed the topographical, geological, and groundwater  
79 conditions. The density, moisture content, and permeability of the displaced material of the flowside was  
80 measured from *in-situ* tests. The material properties were used in the subsequent laboratory experiment and  
81 numerical analysis.

### 82 **2.3 Laboratory Tests**

83 Undisturbed and disturbed soil samples were obtained for laboratory tests, including weathered silty soil  
84 and waste filling from the dumpsite. Grain size analysis was conducted by using the wet sieve method with  
85 sieve sizes of 20, 10, 5, 2.0, 1, 0.5, 0.25, 0.10, 0.075, 0.025, 0.01, 0.005, 0.002, and 0.001 mm. The  
86 maximum dry density and optimum moisture content of the displaced material were determined from the  
87 standard laboratory compaction test. The shear strength parameters of the displaced material ( $c$ ,  $\Phi$ ) were  
88 obtained by triaxial compression test.

### 89 **2.4 Numerical Analysis**

90 In order to analyze the stability of the dumpsite, input parameters for the material properties and model  
91 geometry were same as the corresponding values measured on site or in the laboratory. Factor of Safety  
92 (FOS) of the slope was calculated by using SLOPE/W software with Morgenstern Prince Limit Equilibrium  
93 Analysis method.



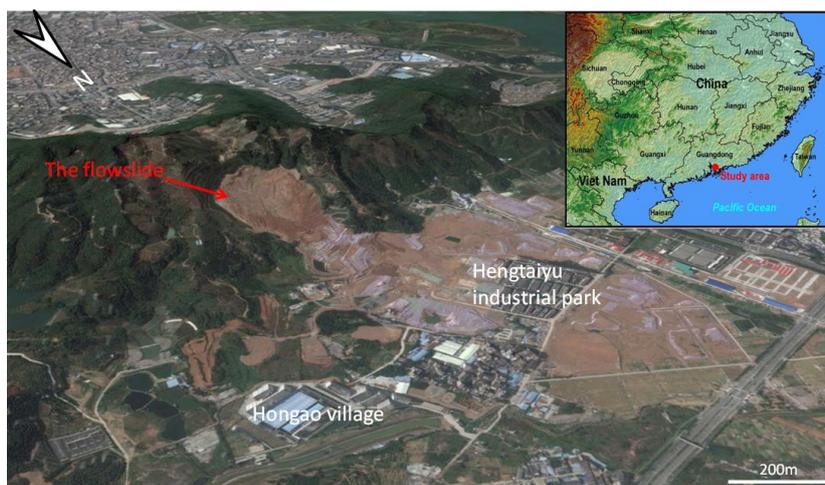
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95 Fig. 1 Images for the Pre- and Post-sliding dumpsite. The boundary of the flowslide is indicated by the red  
96 line. **a** Pleiades satellite image (Dec 18, 2015); **b** Aerial photo (Dec 23, 2015).

### 97 3 Geological and Climatic Setting

98 The dumpsite is located at 23 km away from Shenzhen and 5 km away from the Guangming New District  
99 (E113°56'5", N22°42'44") as shown in Fig. 2. The dumpsite was surrounded by three ridges with a free face  
100 excavated with a mild slope gradient. The bedrock of the dumpsite is mainly Cretaceous granite rock (Fig.  
101 3a, 3b, 3c, and 3d). The landfill consisted of construction waste with silty soil, clay, rock, and gravel (Fig.  
102 3e and 3f).

103 The study area belongs to the zone of subtropical monsoon climate with an average temperature of 22° and  
104 an average annual precipitation of 1500 mm concentrating between April and September (greater than 85 %  
105 of the annual precipitation) (Zhang et al 2006). Based on the precipitation records from the nearby Tangjia  
106 Rainfall Station between Jan 1, 2013 and Dec 20, 2015 (Fig. 5), the accumulated precipitation in the study  
107 area was nearly 3240 mm, and field evidence suggested that the drainage facilities of the dumpsite were  
108 abandoned (Fig. 6b and 6c), which permits the ingress of rainwater in the quarry pit (Fig. 7c). The catchment  
109 area of the study area was  $4.7 \times 10^5 \text{ m}^2$ , which was 2.95 times greater than the area of the dumpsite ( $1.6 \times 10^5$   
110  $\text{m}^2$ ) as shown in Fig. 6a. Drainage system was implemented in the study area, where the surface run-off was  
111 designed to be collected by the drainage pipes installed at a higher elevation than the dumpsite and divert  
112 into the peripheral drainage channel. However, the drainage system failed to operate due to the absence of  
113 maintenance, and led to the concentration of surface run-off and groundwater into the dumpsite. Field test  
114 estimated that the permeability of the waste filling was  $5.26 \times 10^{-6} \text{ cm/s}$ . Low permeability resulted in high  
115 moisture content and excess pore water pressure in the dumpsite. The groundwater in the study area were  
116 mainly bedrock fissure water and Quaternary pore water (Fig. 7).



117

118 Fig. 2 Location of the study area and overview of the post-sliding dumpsite (Google Earth image taken on  
119 Feb 2016).



120

121 Fig. 3 Photos of the rock and soil specimen collected from the displaced material. **a** Exposed granite rock  
122 near the scarp of the landslide; **b** Close view of the granite rocks; **c** Drilling core samples; **d** Close view of  
123 the granite rock sample; **e** Displaced material in the zone of depletion; **f** Soils in the flowslide source area.



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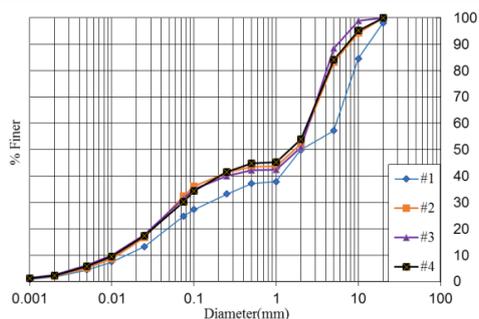


Fig. 4 Particle size distribution curves of the waste filling.

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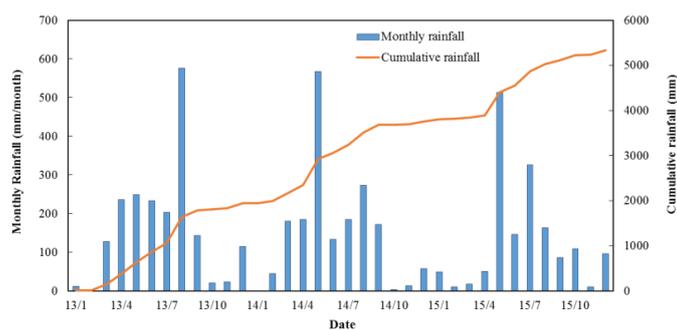


Fig.5 Monthly rainfall and cumulative precipitation between 2013 and Dec 2015.

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Fig. 6 **a** An overview of the catchment area (Google Earth image); **b** The surrounding peripheral drainage channel of the dumpsite was abandoned; **c** Damaged drainage pipes were not repaired and failed to divert surface run-off into the peripheral drainage channel. Surface run-off concentrated in the waste filling.



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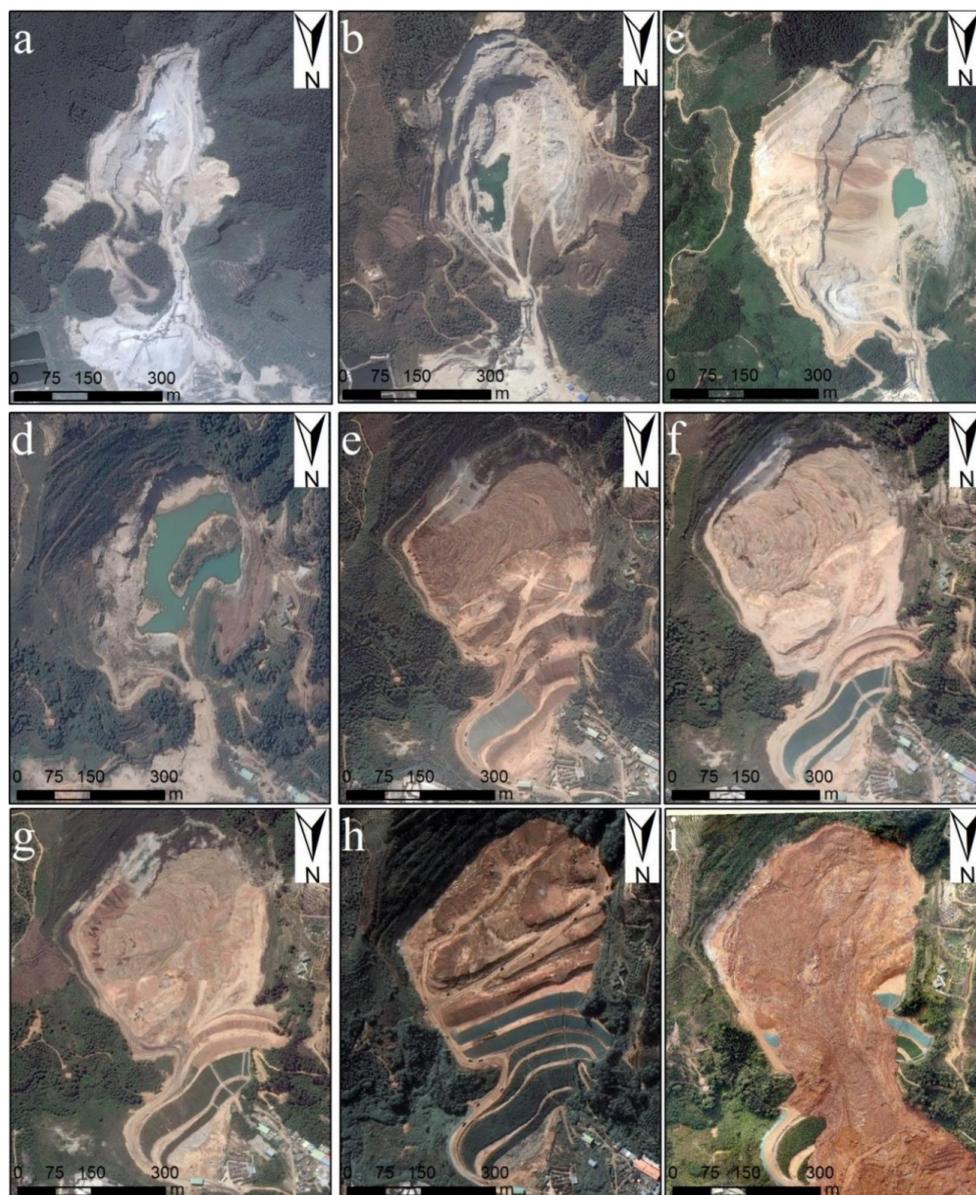
133 Fig. 7 **a** Groundwater overflow in the rock fissures near the flowslide crown; **b** Water accumulation in the  
134 flowslide crown; **c** Surface run-off near the flowslide source area during rainfall event; **d** Eroded channel  
135 in dry condition; **e** Water accumulation in the source area; **f** Surface run-off and infiltration contributed to  
136 groundwater seepage.

#### 137 **4 Multi-Temporal Remote Sensing Images**

138 The development and changes of the dumpsite is presented by multi-temporal remote sensing images taken  
139 between 2002 and 2016 (Fig. 8). It is postulated that the quarry was active between 2002 and 2008. However,  
140 formation of ponds of various sizes at different spatial and temporal locations in the quarry indicated low  
141 permeability and lack of drainage network (Fig. 8b, 8c, and 8d). The quarry was abandoned in or before  
142 2008 as shown in Fig. 8c (obtained on 2/20/2008) with a small amount of waste filling in the quarry pit. A  
143 large-scale pond was formed due to groundwater and rainfall accumulation (Fig. 8d). The depth of the pit  
144 was over 100 m before the disposal activities. A service road was excavated between two rock hills exiting  
145 the quarry and formed a small-scale gully cross the pit longitudinally (Fig. 8a, 8b, 8c, and 8d). Additionally,  
146 a small-scale platform (abandoned office area of the quarry) was excavated at the immediate downstream  
147 of quarry exit. The gully was covered during construction of a multi-bench retaining slope between the rock  
148 hills as waste disposal continued throughout the process (Fig. 8e). Disposal activity was started around  
149 2014 (Fig. 8e and 8f), and the accumulation rate of the waste filling was considerably fast (Zou 2016).



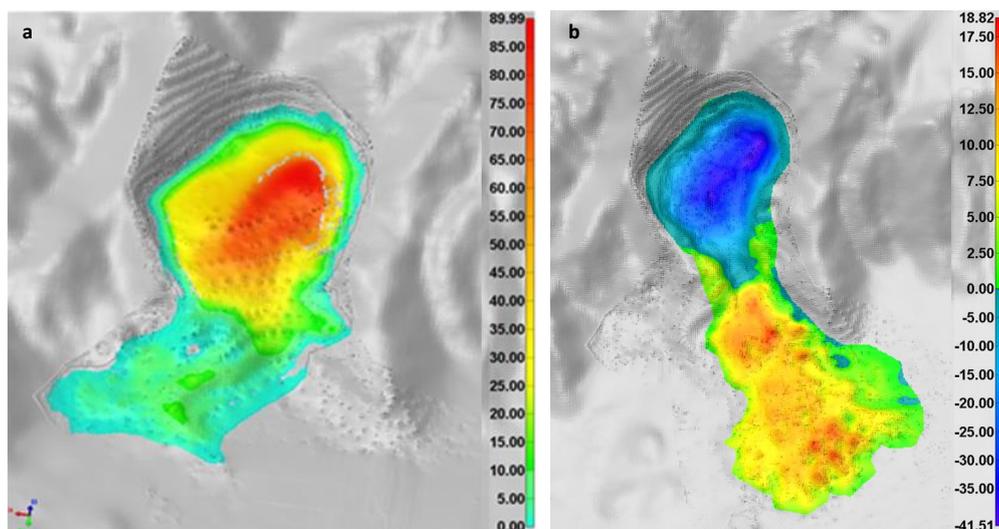
150 An unpaved road was excavated on the east of the quarry connecting the crest and toe of the hillslope as  
151 shown in Fig. 8e. A large number of trucks can be seen transporting waste filling to the dumpsite. A large  
152 amount of construction waste was dumped in the pit and four slope benches were built at the exit of the pit  
153 (Fig. 8e). The volume of the waste increased significantly with the first and second benches completed in  
154 late 2014, and the third to fifth benches were still under construction until Jan 2015 (Fig. 8f).



155  
156 Fig. 8 Multi-temporal remote sensing images of the study area. **a** 08/31/2002; **b** 02/20/2008; **c** 08/30/2010;  
157 **d** 11/25/2013; **e** 11/17/2014; **f** 01/23/2015; **g** 04/14/2015; **h** Pleiades (12/18/2015); **i** Aerial (12/23/2015).  
158 Image **a** to **g** were obtained from Google Earth. A service road was excavated at the exit of the quarry with  
159 a width of nearly 70 m (see image **a**, **b**, **c**, and **d**).



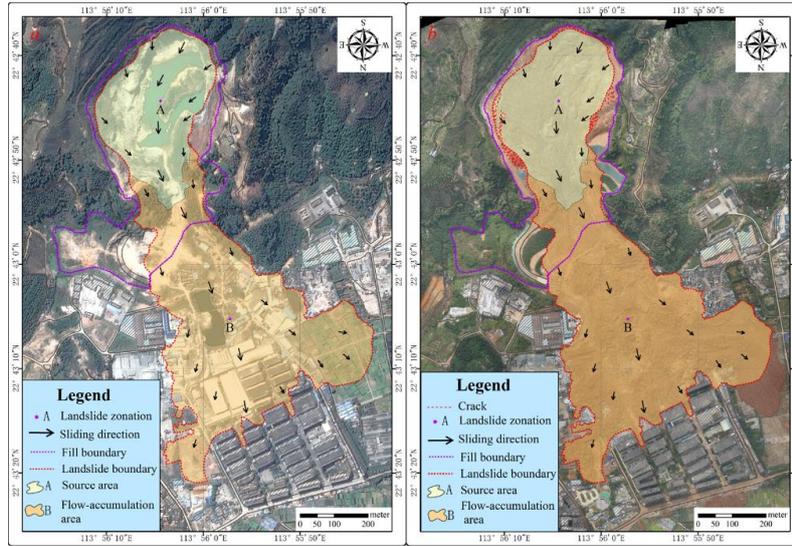
160 The rapid accumulation and total volume of the waste filling in the dumpsite gained some concerns before  
161 the flowslide. An environmental assessment provided by a third-party consulting firm warned the erosion  
162 at the site and its influence on the slope stability in Jan 2015 (Zou 2016). The disposal activity was ceased  
163 for a certain period as no trucks were seen in the daylight (Fig. 8f). The cease was verified by the interview  
164 with the local inhabitants. The disposal activity was resumed in or before April 2015, and the fourth bench  
165 was completed as shown in Fig. 8g. By comparing Fig. 8g and Fig. 8h, significant modifications on the  
166 landform can be seen in the study area with major increase in volume of the waste filling as the height of  
167 the landfill was close to the crest of the quarry pit. The waste filling was flattened and disposal activity was  
168 intense as more than 20 trucks appeared in Fig. 8h. Seven additional benches were completed with surface  
169 drainage channels installed on the hillslope, and the eighth bench was still under construction during the  
170 flowslide. The thickness of the dumpsite was estimated as 90 m with total volume of  $6.3 \times 10^6 \text{ m}^3$  (Fig. 9a)  
171 by extracting the difference between the pre- and post-filling DEMs.



172  
173 Fig. 9 **a** The thickness distribution of the dumpsite based on the pre-/post-disposal DEMs; **b** The elevation  
174 variations of the dumpsite estimated between the pre-/post-sliding DEMs.

### 175 5 Flowslide Characteristics

176 The flowslide area can be divided into the source area and flow-accumulation area as shown in Fig. 10. The  
177 source area was the pit of the abandoned quarry pit. The mass slid in the direction of nearly  $340^\circ$  with the  
178 maximum traveling distance of 1203 m. The maximum thickness of the remaining material in the source  
179 area was 41.51 m with an average of 20.5 m (Fig. 11 and Fig. 12). The maximum deposit thickness in the  
180 flow-accumulation area was 18.2 m with an average of 8.21 m (Fig. 9b). The geometric characteristic of  
181 the flowslide can be expressed in length (L), height (H), width (W), and area (S) (Scheidegger 1973; Legros  
182 2002). The geometric parameters are indicated in the simplified flowslide geometry in Fig. 13 with values  
183 listed in Table 1, Table 2 and Table 3.

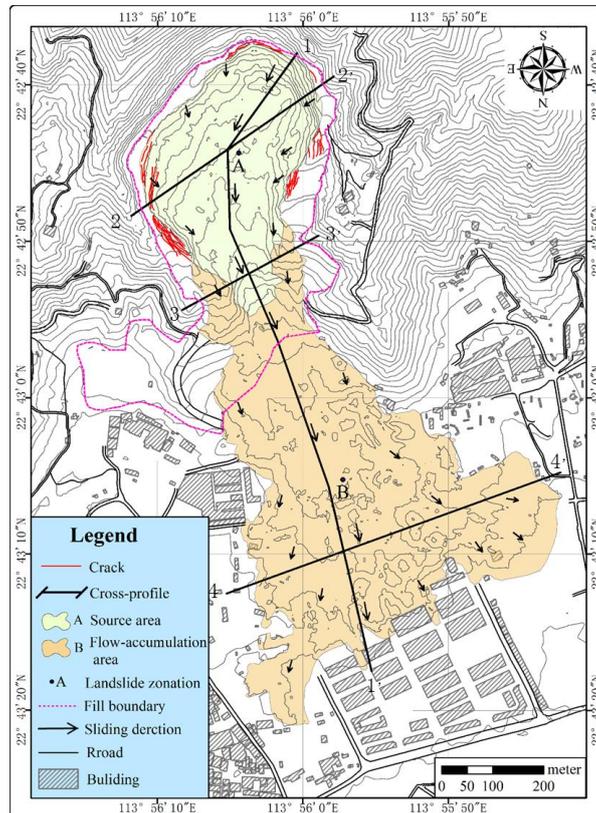


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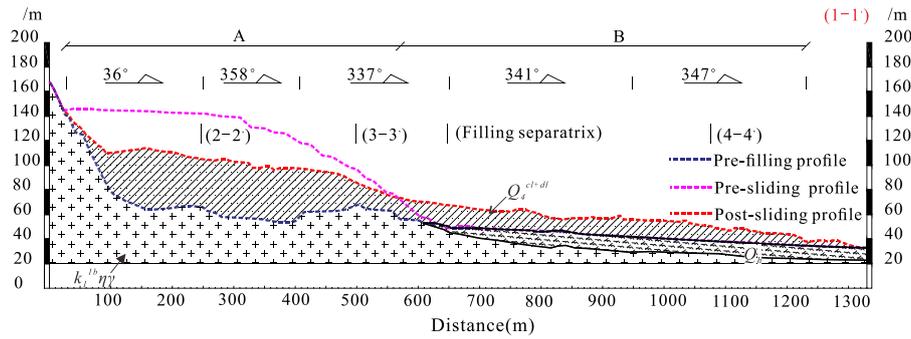
Fig.10 The direction of flowslide and distribution of the displaced material. **a** Flowslide overlying the pre-sliding industrial park showing the impact area and flow direction; **b** Aerial view of the flowslide.



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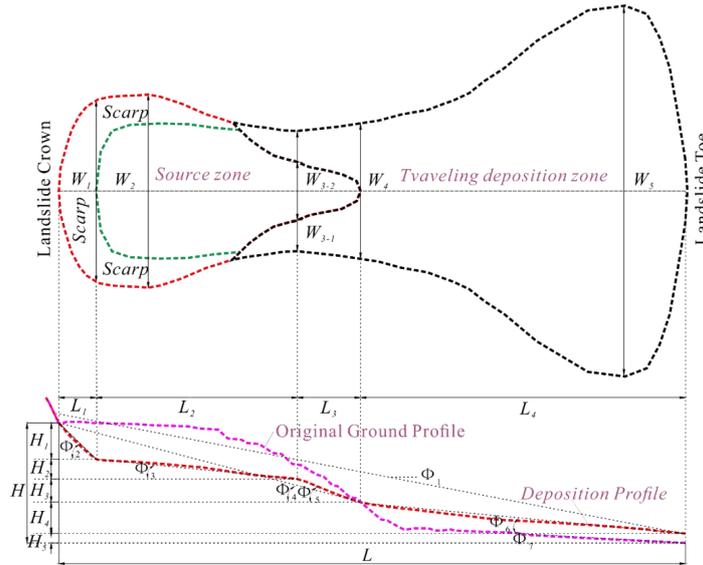
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Fig.11 The topographic map of the flowslide.



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Fig.12 The geological and topographical cross section (profile line 1-1')



191

192 Fig. 13 Simplified illustration of the flowslide geometry. L: run-out distance; H: elevation; W<sub>1</sub>: scarp width;  
 193 W<sub>2</sub>: max width of the source area; W<sub>3</sub>: frontal width of the source area; W<sub>4</sub>: width of the shear crack; W<sub>5</sub>:  
 194 max width of the flow-accumulation area; L<sub>1</sub>: horizontal length of the scarp; L<sub>2</sub>: horizontal length of the  
 195 mild slope; L<sub>3</sub>: horizontal length of the steep slope; L<sub>4</sub>: horizontal length of the flow-accumulation area; H<sub>1</sub>:  
 196 height of the scarp; H<sub>2</sub>: height of the mild slope; H<sub>3</sub>: height of the steep slope; H<sub>4</sub>: height of the flow-  
 197 accumulation area; H<sub>5</sub>: thickness of the toe of the flowslide; Φ<sub>1</sub>: extension angle; Φ<sub>2</sub>: slope gradient of the  
 198 scarp; Φ<sub>3</sub>: mild slope gradient; Φ<sub>4</sub>: slope gradient of the source area; Φ<sub>5</sub>: steep slope gradient; Φ<sub>6</sub>: slope  
 199 gradient of the flow-accumulation area; Φ<sub>7</sub>: slope gradient of the foundation area

200

Table 1 Geometric parameters of the flowslide (Length and Width)

Parameter	L	H	W <sub>1</sub>	W <sub>2</sub>	W <sub>3-1</sub>	W <sub>3-2</sub>	W <sub>4-1</sub>	W <sub>4-2</sub>	W <sub>5</sub>
	(m)	(m)	(m)	(m)	(m)	(m)	(m)	(m)	(m)
Value	1204.67	111.31	212.5	399.32	218.31	149.38	217.71	64.63	592.25

201

Table 2 Geometric parameters of the flowslide (Angle)

Parameter	Φ <sub>1</sub>	Φ <sub>2</sub>	Φ <sub>3</sub>	Φ <sub>4</sub>	Φ <sub>5</sub>	Φ <sub>6</sub>	Φ <sub>7</sub>	K	S
	(°)	(°)	(°)	(°)	(°)	(°)	(°)		(m <sup>2</sup> )
Value	5.28	28.06	1.2	7.62	11.36	3.08	1.36	0.32	398619.6



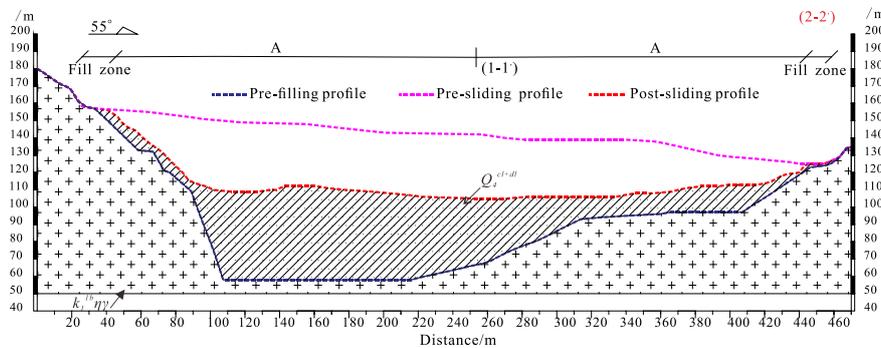
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Table 3 Geometric parameters of the flowslide (Area and Thickness)

Parameter	Area A	Area B
S (m <sup>2</sup> )	11.35×10 <sup>4</sup>	28.51×10 <sup>4</sup>
V (m <sup>3</sup> )	2.32×10 <sup>6</sup>	2.34×10 <sup>6</sup>
T <sub>max</sub> (m)	41.51	18.82
T <sub>ave</sub> (m)	20.49	8.21

203 **5.1 Source Area**

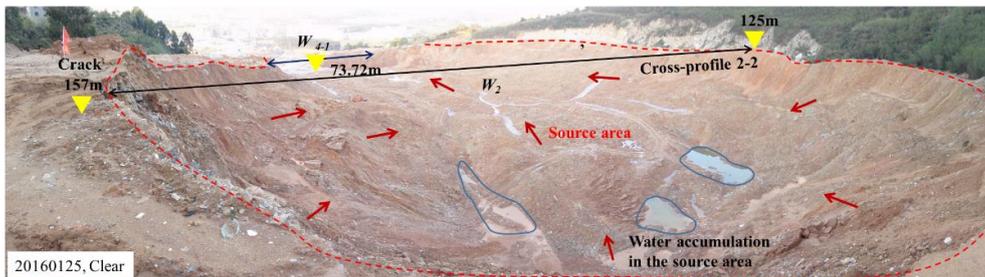
204 The source area of the flowslide is the quarry pit with a length ( $L_{1+2+3}$ ) of 540.30 m and a width ( $W_2$ ) of  
 205 399.32 m. The area of the source area ( $S_A$ ) is  $11.35 \times 10^4$  m<sup>2</sup> and the height ( $H_{1+2+3}$ ) was 68.5 m with an  
 206 apparent dip ( $\Phi_4$ ) of 7.62° (Fig. 14 and Table 1). The volume of the mobilized material in the source area  
 207 was  $2.32 \times 10^6$  m<sup>3</sup> and the remained volume was  $3.95 \times 10^6$  m<sup>3</sup>. The maximum thickness of the source area  
 208 ( $T_{A-max}$ ) was 41.5 m with an average thickness ( $T_{A-ave}$ ) of 20.5 m. The scarp located on the west of the main  
 209 scarp has steep slope gradient with mild gradient on the east. The height of the steep scarp ranged from 25  
 210 to 47 m, whereas the height of the mild scarp ranged from 10 to 20 m. The geological cross section (profile  
 211 line 2-2') of the source area is shown in Fig. 14. The maximum thickness of the source area was 40.65 m  
 212 with an average of 34.83 m. The overview of the source area is shown in Fig. 15, with details on the  
 213 remaining material presented in Fig. 16.



214

215 Fig.14 The geological cross section (profile line 2-2') of the source area.

216 With the ingress of rainwater and groundwater in the dumpsite, pore water pressure increases due to the  
 217 low permeability of the underlying granite in the Cretaceous system. It is appeared that the lack of drainage  
 218 resulted in waste filling saturation in the lower portion of the dumpsite, which in turn formed the sliding  
 219 bed of the flowslide (Fig. 15 and Fig. 16).

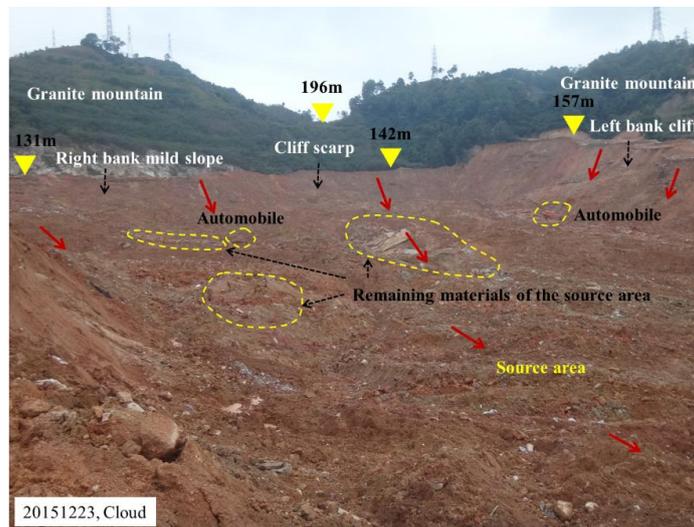


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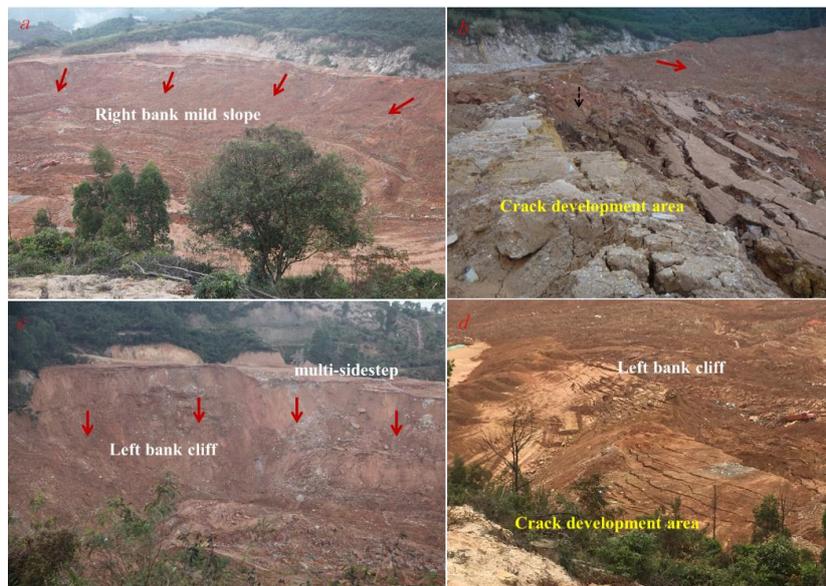
221 Fig.15 An overview of the source area (image taken at the flowslide crown facing north). A large opening  
 222 ( $W_{4.1}$ ) formed due to the failure of the retaining slope (the exit of the original quarry pit), which provided  
 223 passage for the flowslide and permitted rapid release of kinematic energy.



224 A large amount of silty soil were observed in the source area (Fig. 16). It is postulated that the material on  
 225 both sides of the source area were mobilized due to the debuttressing effect as the waste filling in the lower  
 226 portion of the dumpsite slid into the downstream industrial park, and in consequence, resulted in the collapse  
 227 of the dumpsite. Step-like steep scarp was formed on the west part of the main scarp area (Fig. 17c and  
 228 17d), with tensile cracks developed on the rear edge as well as both sides of the scarp.



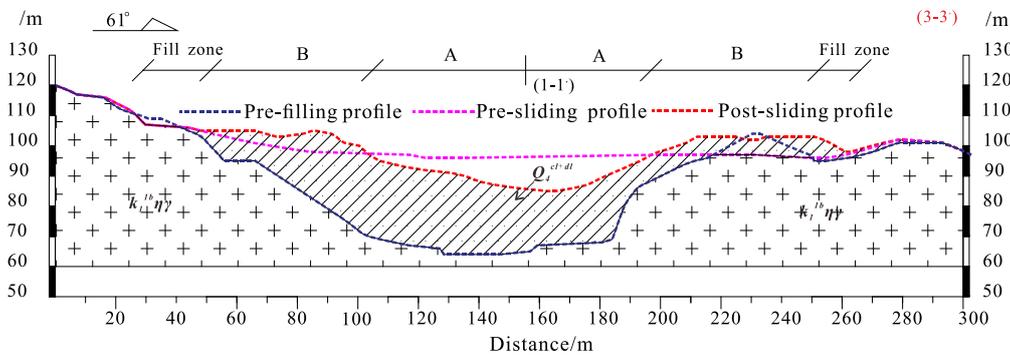
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 230 Fig.16 An overview of the remaining material in the source area (image taken near the quarry pit exit facing  
 231 south). Several service vehicles parked in the rear edge of the dumpsite were dragged into the source area  
 232 as waste filling slide into the industrial park. Blocky rock fragments were found in the source area.



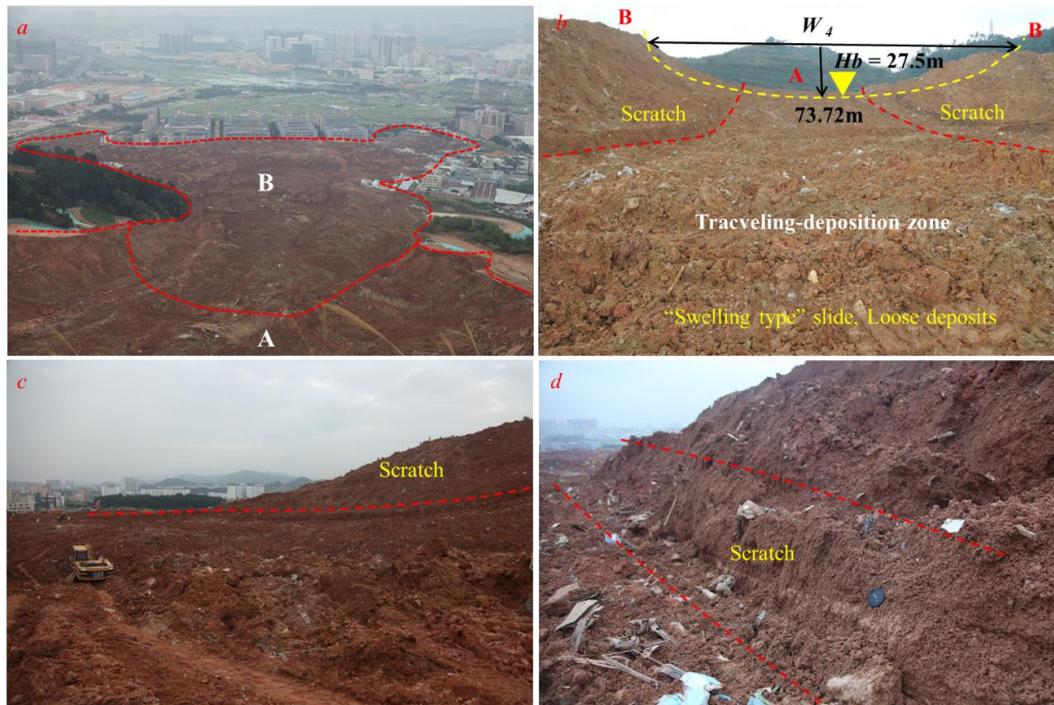
233  
 234 Fig.17 Characteristics of the remaining material in the source area. **a** Mild scarp on the east part of the main  
 235 scarp area; **b** Cracks were developed in the west boundary of the flowslide; **c** Step-like steep scarp on the  
 236 west part of the main scarp area; **d** An overview of the west part of the flowslide crown.



237 The presence of the aforementioned rock hills formed unfavorable topography for retaining slope stability  
 238 by promoting a narrow gully for groundwater flow (Fig. 8c and Fig. 10b). As a result of the retaining slope  
 239 failure, a large opening was formed at the elevation of 73.7 m between the front edge of the steep scarp and  
 240 the rear edge of the flow-accumulation area as shown in Fig. 11, Fig. 12, and Fig. 15. The displaced material  
 241 was stratified in the middle part of the flow-accumulation area during the high speed sliding process. The  
 242 shape of the failed retaining slope was half-elliptic-like with a width ( $W_{4-1}$ ) of 217.7 m and a height ( $H_b$ ) of  
 243 27.5 m (Fig. 18 and Fig. 19).



244  
 245 Fig. 18 Geological cross section (profile line 3-3') of the failed retaining slope located at the original quarry  
 246 between the two rock hills.

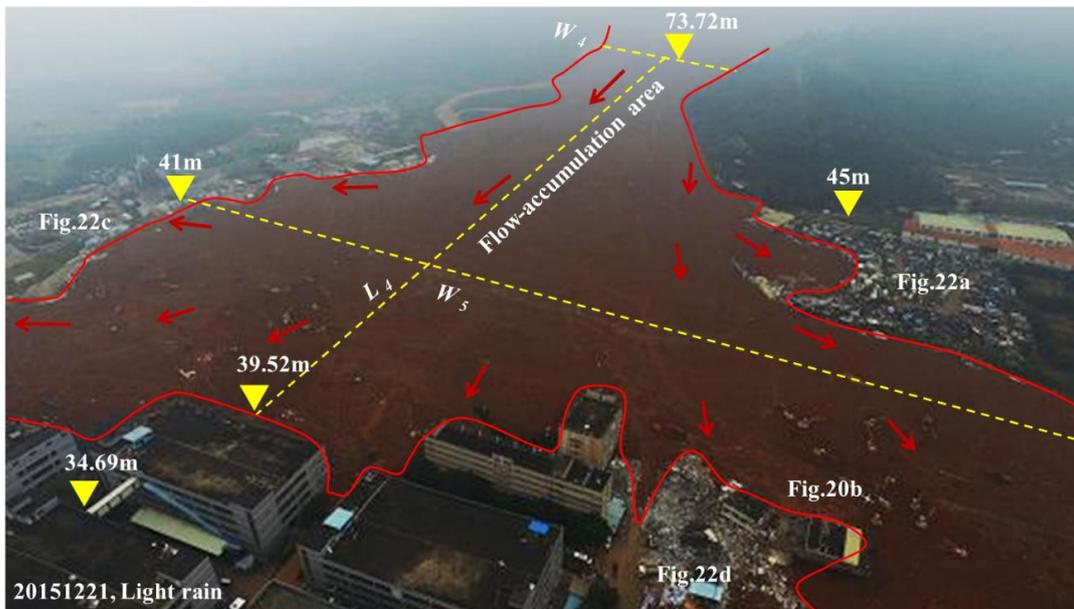


247  
 248 Fig. 19 **a** An overview of the exit of the flowslide between the source and flow-accumulation area (Photo  
 249 courtesy of China News Agency); **b** The half-elliptic-like opening of the failed retaining slope; **c** East side  
 250 of flow-accumulation area adjacent to the opening showing scratch caused by the flowslide; **d** Details on  
 251 the scratches.

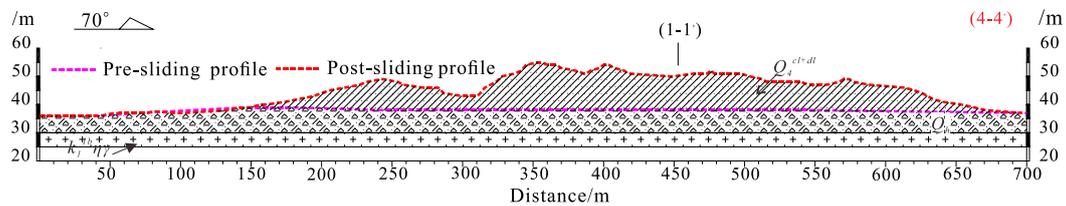


252 **5.2 Flow-Accumulation Area**

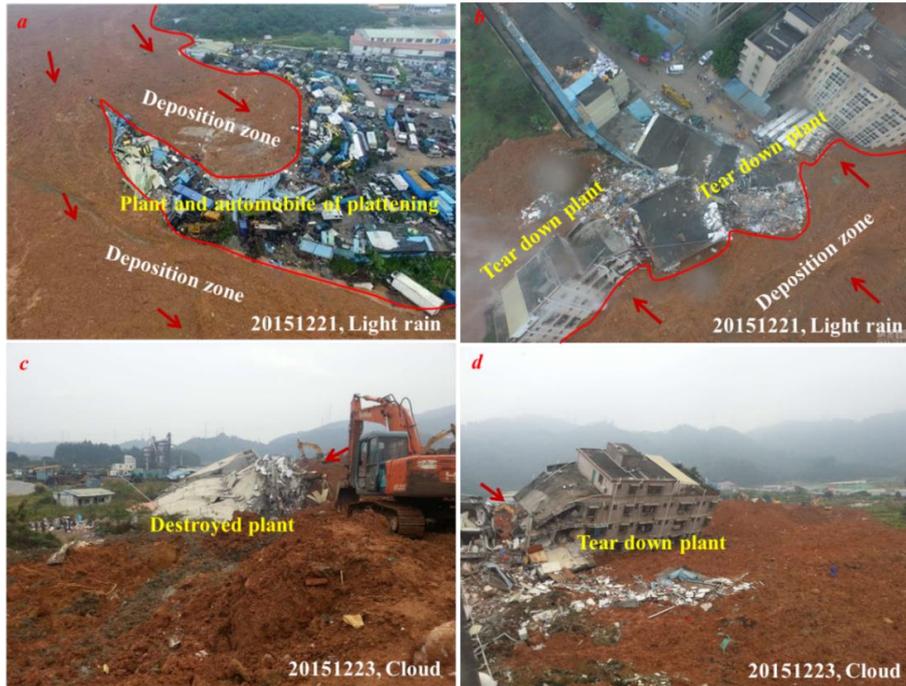
253 The fan-shaped flow-accumulation area mantled the retaining slope of the dumpsite and a large part of the  
 254 industrial park as shown in Fig. 20. The original slope gradient of the industrial park ( $\Phi$ ) was  $1.36^\circ$  along  
 255 the profile line 1-1' as shown in Fig. 14 and Table 1. The area immediately downstream of the landfill was  
 256 relatively flat with no major construction except a pond (approx.  $3600 \text{ m}^2$ ) and a channel (width: 7 m and  
 257 length: 130 m). Most of the industrial structures were constructed on east, west, and north side of the pond  
 258 as shown in Fig. 10a, and therefore, created a ideal flow channel for the mass movement. The failure of the  
 259 rock retaining slope resulted in an opening for the waste fill flow and destroyed downstream buildings (Fig.  
 260 20 and Fig. 21).



261  
 262 Fig. 20 The overview of the flow-accumulation area with elevations (Photo courtesy of China News Agency)



263  
 264 Fig. 21 Geological cross section (profile line 4-4') of the flow-accumulation area. The length ( $L_4$ ) was 664.4  
 265 m and the width ( $W_3$ ) was 218.3 m with the width of the front edge ( $W_5$ ) of 592.3 m. The area of the flow-  
 266 accumulation area is  $28.51 \times 10^4 \text{ m}^2$  and the elevation difference ( $H_4$ ) was 35.7 m with the apparent dip ( $\Phi_6$ )  
 267 of  $3.08^\circ$ . The average thickness in the flow-accumulation area was 8.21 m with the maximum thickness of  
 268 18.82 m (Fig. 14, Table 1, and Table 3).



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Fig. 22 The damage of buildings in the industrial park (Photo courtesy of China News Agency).

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### 5.3 Flowslide Movement

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The source area of the flowslide was in the elevation of 142 m and the horizontal run-out distance (L) was approximately 1203 m, with the elevation difference (H) of 111 m. The sliding velocity can be estimated on the basis of the landslide geometrical characteristics. The velocity of the high-speed landslide can be estimated using the following expression (Scheidegger 1973):

$$v = \sqrt{2g \times (H - f \times L)} \quad (1)$$

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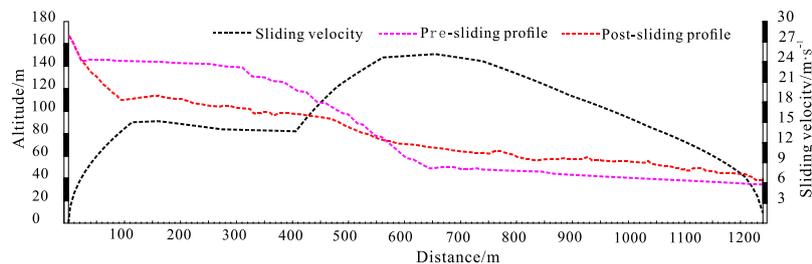
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Where  $v$  is the sliding velocity (m/s),  $g$  is the gravitational acceleration ( $m/s^2$ ),  $H$  and  $L$  is the elevation difference and horizontal distance (m) between the crown and toe of the flowslide, respectively, and  $f$  is the equivalent friction coefficient referred as the ratio between the height and run-out distance of the flowslide ( $f = H/L$ ). The equivalent friction coefficient of the dumpsite flowslide is 0.092. The relations of the run-out distance, elevation difference, and equivalent friction coefficient can be defined in light of the flowslide geometry (Fig. 13). The sliding velocity was calculated and presented in Fig. 23.



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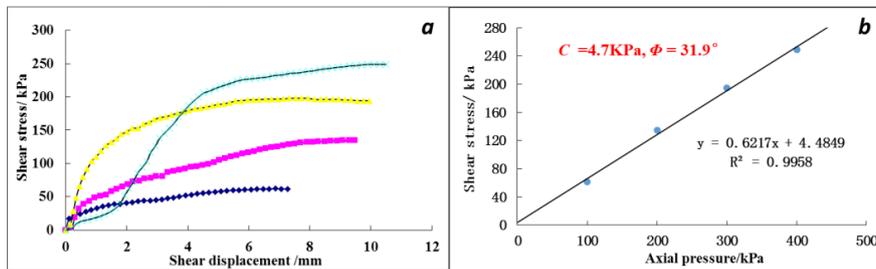
Fig. 23 The relationship between sliding velocity along profile line 1-1' and pre-/post-sliding landform.



284 Based on the calculated sliding velocity profile, two sharp increases in the velocity can be seen, including  
285 the movement of the waste filling near the steep scarp and the acceleration of the flowslide exiting the pit.  
286 The sliding velocity of the mass accelerated to 15.17 m/s as waste filling reached the bottom of the steep  
287 scarp. The velocity displaced material gradually decreased to approximately 13 m/s before ~~accelerate~~ to the  
288 maximum velocity of 25.15 m/s as it reached ~~to~~ the opening of the dumpsite. The second sharp acceleration  
289 was followed by rapid dissipation of kinetic energy and reduction on the velocity as it reached the flow-  
290 accumulation area (elev. 50 m). It was estimated that the sliding velocity was reduced to 15.68 m/s when it  
291 made contact with downstream buildings, and then ceased moving due to the obstruction of structures. The  
292 geometry and velocity exhibited the characteristics of high-speed long run-out flowslide.

### 293 6 Laboratory Experiment

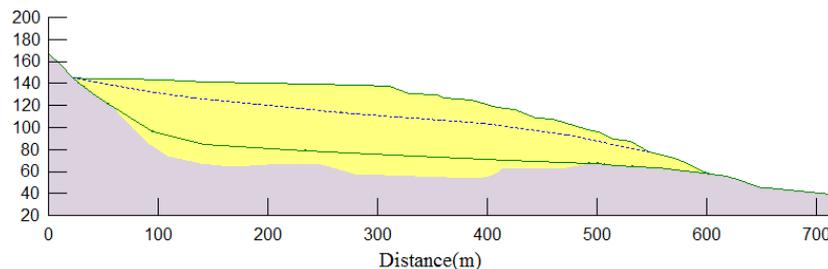
294 Based on *in-situ* tests for waste filling density and moisture content as well as the laboratory compaction  
295 test, it was found that the dry density varies from 1.25 to 1.48 g/cm<sup>3</sup> and void ratio ranged between 0.83  
296 and 1.31. Standard compaction test results suggested that the optimal water content of the waste filling was  
297 15.31% with the highest dry density of 1.79 g/cm<sup>3</sup>. The surface of the waste filling was in loose state with  
298 degree of compaction of the dumpsite ranged from 69.83% to 82.68%. Undrained shear test estimated that  
299 *c* and  $\Phi$  of the waste filling is 4.7 kPa and 31.9°, respectively (Fig. 24), which is considerably higher than  
300 gradient of the retaining slope.



301  
302 Fig. 24 Shear strength of the waste filling specimen obtained by undrained shear test.

### 303 7 Numerical Analysis

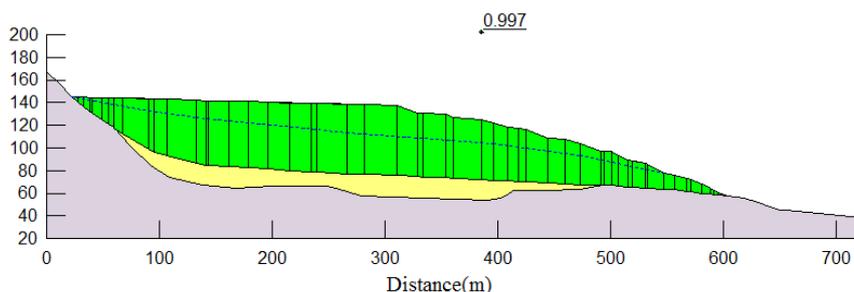
304 The numerical analysis aims at inverse analyzing the friction angle of the waste filling under the occurrence  
305 of the flowslide. Dumpsite slope FoS was estimated by using SLOPE/W software with Morgenstern Prince  
306 Limit Equilibrium Analysis method. Since it is postulated that the sliding mass in the lower portion of the  
307 dumpsite was saturated prior to the flowslide, a hypothetical sliding surface and the groundwater level were  
308 added into the model. The FoS was determined with an iterative approaching by changing the position of the  
309 sliding surface until failure of the dumpsite (Fig. 25 and Fig. 26). The physical parameters for the model  
310 were determined by the laboratory experiments and inversion analysis (Table 4).



311  
312 Fig. 25 Hypothetical sliding surface (green solid line) and groundwater level (blue dash line) in 2D numerical  
313 model.



add elevation(m)



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Fig. 26 Estimated FoS for the 2D numerical model.

Table 4 Material properties and parameters for the model

	Laboratory Experiment			Inversion Analysis		
	Unit Weight (kN/m <sup>3</sup> )	c (kPa)	Φ (°)	Unit Weight (kN/m <sup>3</sup> )	c (kPa)	Φ (°)
Waste Filling	16.5	-	-	16.5	-	-
Waste Surface	-	5	31	-	5	9.4

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The results of slope stability analysis is presented in Table 5. Based on the numerical analysis, it was found possible for the development of a sliding surface above the bedrock in the lower portion of the waste filling. It is evident that the permeability of the waste filling was considerably low, which may result in saturation in the lower portion of the waste filling. As no clear drainage passage were found for the waste filling, the displaced material may remain undrained during the flowslide so that the shear strength was significantly reduced. The substantial difference in the shear parameters obtained from laboratory test and numerical analysis indicated that the conventional numerical analysis is not appropriate for analyzing the failure mechanism of the flowslide. Additionally, the uncertainty of groundwater condition affects the analysis.

Table 5 Results of the numerical slope stability

Parameters	Unit Weight (kN/m <sup>3</sup> )	c (kPa)	Φ (°)	FoS
Value	16.5	5	9.4	0.997

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## 8 Discussion and Conclusion

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The topography of the flowslide has the following features: (1) Suitable topography with a height of 124 m between the crown and toe of the flowslide which stored large potential energy needed for high speed and long run-out distance flowslide; (2) Low permeability of the waste filling in the dumpsite with impermeable bedrock resulted in groundwater stagnation. However, the failure of the retaining slope formed a narrow opening for the subsequent flowslide, with sudden release of high kinematic energy generating high sliding velocity and long travel distance.

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Since the parameters obtained by the inversion analysis were largely different from the experimental results, it is postulated that the deformation and failure of the landfill was ascribed to the excess pore water pressure in the waste filling. The sharp increase of the pore water pressure causes significant destruction and results in liquefaction. Localized liquefactions were found at several locations on the study area (Fig. 27). The exact reason for the surge in the pore water pressure remains unclear at this point, however, it may involve: (1) rapid surcharge loading in the dumpsite while pore water pressure cannot dissipate immediately; (2) the waste filling was loosely packed with large pores in the microstructure, and the collapse of particle structure lead to the shrinkage of pores, and in turn building excess pore water pressure.



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Fig. 27 Evidence of liquefaction near the opening of the failed retaining slope.

343 The engineering background of the dumpsite prior to the event include mainly three phases, i.e. the mining  
344 process of the quarry, construction of the downstream industrial zone, and waste filling process. However,  
345 the previous engineering undertakes posed very limited influence on the stability of site, and based on the  
346 field investigations, the volume of the waste filling was estimated as  $6.27 \times 10^6 \text{ m}^3$ , which was three times  
347 larger than the design capacity of the dumpsite.

348 The dumpsite flowslide is divided into the source area and flow-accumulation area. The volume of the source  
349 area was  $2.32 \times 10^4 \text{ m}^3$  with the max. and average thickness of 41.5 m and 20.5 m, respectively. The volume  
350 of the fan-shaped flow-accumulating area was  $2.34 \times 10^6 \text{ m}^3$  with the max. and average thickness of 18.8 m  
351 and 8.2 m, respectively. The volume expansion coefficient of flowslide was 1.007. The maximum sliding  
352 velocity of the flowslide was 25.15 m/s at the opening of the dumpsite, and reduced to 15.68 m/s as it reached  
353 the industrial park and ceased moving due to the obstruction of buildings. The flowslide is characterized  
354 by high speed and long run-out distance. The laboratory test and numerical model yielded largely different  
355 results for the shear strength of the waste filling. Meanwhile, field investigation indicated liquefaction at  
356 several locations on the site. Unregularly disposal activities in addition to the ingress of rainwater and high  
357 pore water pressure played important roles in deformation process of the dumpsite. Although the flowslide  
358 destruction process was fast with excessive amount of waste filling accumulation, it is postulated that signs  
359 of deformation may have already appeared in the study site but not discovered due to the absence of field  
360 monitoring. Further analysis is undergoing at SKLGP to assess the causes involved for generating the  
361 excess pore water pressure.

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364 People's Republic of China.

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